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(54) Title: RATE ENHANCED PULSED DC SPUTTERING SYSTEM

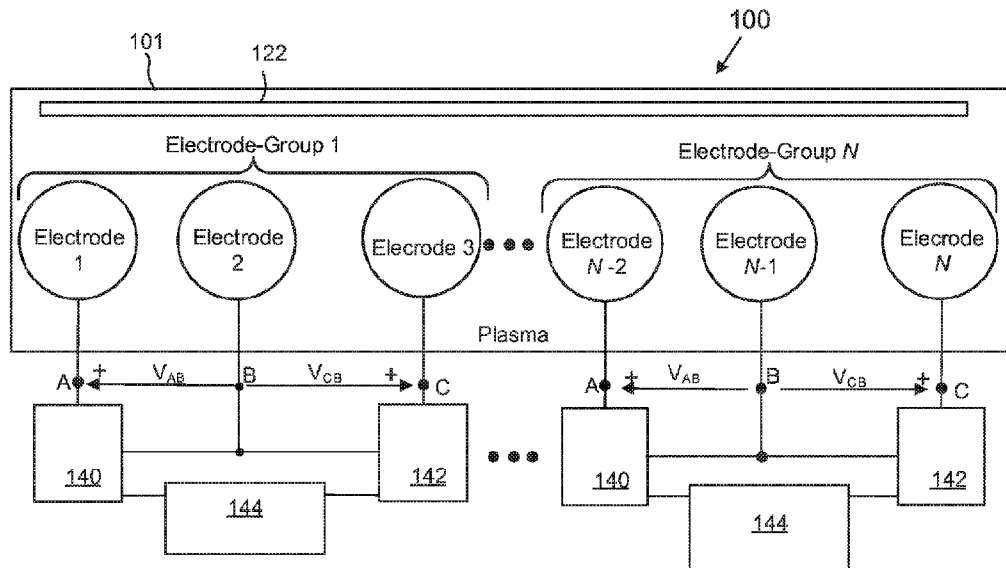


FIG. 1

(57) Abstract: A sputtering system and method are disclosed. The system includes a first power source that is configured to apply a first voltage at a first electrode that alternates between positive and negative relative to a second electrode during each of multiple cycles. A second power source is coupled to a third electrode and the second electrode, and the second power source is configured to apply a second voltage to the third electrode that alternates between positive and negative relative to the second electrode during each of the multiple cycles. A controller is configured to control the first power source and the second power source to phase-synchronize the first voltage with the second voltage, so both, the first voltage and the second voltage, are simultaneously negative during a portion of each cycle and simultaneously positive relative to the second electrode during another portion of each cycle.



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Rate Enhanced Pulsed DC Sputtering System

Field

[0001] The present invention relates generally to sputtering systems, and more specifically to pulsed DC sputtering.

Background

[0002] Sputtering historically includes generating a magnetic field in a vacuum chamber and causing a plasma beam in the chamber to strike a sacrificial target, thereby causing the target to sputter (eject) material, which is then deposited as a thin film layer on a substrate, sometimes after reacting with a process gas. Sputtering sources may employ magnetrons that utilize strong electric and magnetic fields to confine charged plasma particles close to the surface of the target. An anode is generally provided to collect electrons from the plasma to maintain plasma neutrality as ions leave to bombard the target.

[0003] The industry has evolved over the years in various attempts to maximize sputtering efficiency, decrease power consumption requirements, minimize the heat load of the system, minimize arcing and/or increase the types of substrates that may be used in the system.

[0004] Moreover, sputtering a thin film of, for example, titanium dioxide (TiO₂) or silicon dioxide (SiO₂) onto a polyethylene substrate presents unique challenges in the industry because polyethylene is a plastic with a low melting point or low heat tolerance. Currently-available sputtering systems, whether DC or AC type systems, require a high heat load to effectuate sputtering and/or the deposition of TiO₂ or SiO₂, yet this high heat load, caused by a high current density, effectively eliminates polyethylene as a suitable substrate for many intended high power applications. Compounding the problem, if the heat load in the currently-available sputtering systems is lowered to a level that does not melt or otherwise render the polyethylene unsuitable, e.g., by reducing the power applied, the deposition rate is lowered to a point that results in a low-quality deposited layer and/or increases the required time for sputtering to a point that renders the use of polyethylene as a substrate infeasible from a commercial perspective.

[0005] There therefore remains a need for a device that provides improved sputtering deposition rates at a lower heat load.

SUMMARY

[0006] Embodiments disclosed herein address the above stated needs by providing a system, method, or non-transitory memory having instructions for pulsed direct current sputtering.

[0007] According to an aspect, a pulsed sputtering system includes a plasma chamber enclosing at least a first electrode, a second electrode, and a third electrode. A first power source is coupled to the first electrode and the second electrode, and the first power source configured to apply a first voltage at the first electrode that alternates between positive and negative relative to the second electrode during each of multiple cycles. A second power source is coupled to the third electrode and the second electrode, and the second power source is configured to apply a second voltage to the third electrode that alternates between positive and negative relative to the second electrode during each of the multiple cycles. A controller is configured to control the first power source and the second power source to phase-synchronize the first voltage with the second voltage, so both, the first voltage and the second voltage, are simultaneously negative during a portion of each cycle and simultaneously positive relative to the second electrode during another portion of each cycle.

[0008] Other aspects include a non-transitory memory including non-transitory instructions. The non-transitory instructions are at least one of executable by a processor to execute a method and accessible by a field programmable gate array to configure the field programmable gate array to execute the method. The method includes causing a first power source to apply a first voltage between first electrode and a second electrode, wherein the first voltage at the first electrode alternates between positive and negative relative to the second electrode in a plasma chamber over multiple cycles. The method also includes causing a second power source to apply a second voltage between the third electrode and the second electrode, wherein the second voltage at the third electrode alternates between positive and negative relative to the second electrode in the plasma chamber over the multiple cycles. In addition, the first power source and the second power source are phase-synchronized so both, the first

voltage and the second voltage are simultaneously negative and simultaneously positive relative to the second electrode over the multiple cycles.

[0009] Another aspect includes a pulsed sputtering system including a plasma chamber enclosing at least a magnetron, an anode, and a substrate. A power source in the system is coupled to the first electrode to apply a voltage to the magnetron that alternates between a positive voltage and a negative voltage relative to the anode during each of multiple cycles. In addition, a controller is configured to control the power source so the power source applies the positive voltage with a magnitude that is the same as the negative voltage, and the magnitude of the positive voltage cleans the anode without imparting damaging heat to the substrate.

BRIEF DESCRIPTION OF THE DRAWINGS

[0010] FIG. 1 depicts an embodiment of a sputtering system depicting aspects that are common to many implementations disclosed herein;

[0011] FIG. 2 is a timing diagram depicting exemplary voltages applied to the electrodes of FIG. 1 over time;

[0012] FIG. 3 is a diagram depicting a sputtering system including three magnetrons;

[0013] FIG. 4 is a timing diagram depicting exemplary voltages applied to the magnetrons of FIG. 3 over time;

[0014] FIG. 5 is a diagram depicting extensibility of the implementation depicted in FIG. 3;

[0015] FIG. 6 is a timing diagram depicting exemplary voltages applied to the magnetrons of FIG. 5 over time;

[0016] FIG. 7 is a diagram depicting a sputtering system including two magnetrons and an anode;

[0017] FIG. 8 is a timing diagram depicting exemplary voltages applied to the two magnetrons of FIG. 7 relative to the anode of FIG. 7 over time;

[0018] FIG. 9 is a diagram depicting extensibility of the implementation depicted in FIG. 7;

[0019] FIG. 10 is a timing diagram depicting exemplary voltages applied to the magnetrons of FIG. 9 relative to the anodes of FIG. 9 over time;

- [0020] FIG. 11 is a block diagram illustrating additional aspects of a sputtering system that may be utilized in connection with the implementations described with reference to FIGS. 1-10;
- [0021] FIG. 12 is a flowchart depicting another method that may be traversed in connection with implementations described with reference to FIGS. 1 through 11;
- [0022] FIG. 13 is a graph of some aspects of power applications in the sputtering system of FIG. 11;
- [0023] FIG. 14 is a flowchart depicting another method disclosed herein;
- [0024] FIG. 15 is a graphical depiction of power supplied by the system depicted in FIG. 11;
- [0025] FIG. 16 is a graphical depiction of heat load test results, consistent with systems described herein, compared to traditional AC systems;
- [0026] FIG. 17 is a graphical depiction of a heat load resulting from the use of a system described herein at 4kW compared to a traditional AC system at 4kW;
- [0027] FIG. 18 is a graphical depiction of a heat load resulting from the use of a system described herein at 4kW compared to a traditional AC system at 8kW;
- [0028] FIG. 19 is a graphical depiction of film thickness or deposition rates achieved using various settings of an exemplary system disclosed herein, compared to various traditional AC systems;
- [0029] FIG. 20 depicts another embodiment utilizing a single magnetron with a dedicated anode;
- [0030] FIG. 21 is a timing diagram depicting a voltage of the system of FIG. 20 over multiple cycles; and
- [0031] FIG. 22 is a block diagram illustrating aspects of hardware components that may be implemented in the systems described herein.

DETAILED DESCRIPTION

- [0032] The word “exemplary” is used herein to mean “serving as an example, instance, or illustration.” Any embodiment described herein as “exemplary” is not necessarily to be construed as preferred or advantageous over other embodiments. A “power cycle” is intended to reference a time period including a time of power having a voltage with a first polarity followed by a time of power having a voltage with a second polarity.

Further, for the purpose of this disclosure, all terms, and particularly terms such as “simultaneously” and “equal to” are intended to mean “within the tolerances of process or manufacturing controls”. For example, it will be understood that a synchronizing unit, such as unit 120, may not achieve perfect synchronization between the power sources 140, 142 described herein, and therefore the term “simultaneous(ly)” is to be understood as meaning “substantially simultaneous(ly)”.

[0033] Referring to FIG. 1, an exemplary pulsed, direct current sputtering system 100 is shown. Generally stated, the system 100 provides an operator with the ability to achieve higher deposition rates as compared to prior AC dual magnetron and pulsed DC single magnetron sputtering approaches, by delivering higher power at a particular current. More specifically, at a particular power level, some embodiments of the system 100 disclosed herein may cut the RMS current in the endblocks or magnetrons by about half, as compared to prior AC sputtering systems. As a consequence, in cases in which the endblock current rating is limited, the system 100 may enable delivery of nearly twice the power while staying within the endblock current rating limit. Another aspect of the system depicted in FIG. 1 is that depending upon the type of electrodes that are utilized and the control scheme that is implemented, sputtering occurs at least 70% of the time. And in some implementations, the system 100 is capable of sputtering 80%, 90%, or up to nearly 100% of the time.

[0034] Additional aspects of the system 100 include a resultant reduction of heat load to the substrate, or a higher deposition rate at the same substrate heat load. Moreover, another aspect of many implementations is that substantially the same deposition rate (per total power (kW) delivered to the process) can be expected as compared to mid-frequency (MF)(AC or pulsed) dual magnetron sputtering. As discussed herein, the voltage in each cycle may reverse 100%. And beneficially, some implementations operate while producing undetectable anode material levels in a film on the substrate.

[0035] The system 100 may be used by web coaters, who have in the past struggled with maintaining the sputtering process at manageable temperatures throughout production runs (e.g., of over 7 days), to improve deposition rates and quality. In currently-available systems, web coaters apply ventilation to plasma chambers to reduce the heat, which increases the production rate by 35% as compared to systems without ventilation. The system 100 presently disclosed provides, in contrast, a doubling of the production rate before cooling is even applied. That is, the system 100 and/or the

methods disclosed herein may lower the heat load or heating experienced by the substrate 122, even under application of the same power, and may be practiced to apply a high-quality layer of material, such as silicon dioxide (SiO₂) or titanium dioxide (TiO₂), onto a polyethylene substrate, without destroying the polyethylene substrate, and in a shortened period of time as compared to those realized in currently-available systems. The SiO₂ or TiO₂ layer may be thicker than what may be realized in currently-available designs.

[0036] The system 100 may provide about 2 times the deposition rate of AC dual magnetron or bi-polar pulsed DC sputtering, with half the heat load experienced in the currently-available sputtering systems.

[0037] As shown in FIG. 1, the system 100 includes a plasma chamber 101 enclosing at least a first electrode, a second electrode, and a third electrode. The system 100 includes a substrate 122 upon which the system 100 deposits a thin film material in a sputtering process. As shown in FIG. 1, the system 100 includes at least three electrodes, but may include *N* electrodes, and in the present embodiment, the electrodes are depicted in groups of three for ease of description.

[0038] More specifically, in the depicted embodiment, there are a first group (shown as “electrode-group 1”) of three electrodes, which includes electrode 1, electrode 2, and electrode 3, and another group (shown as electrode-group *N*) of three electrodes, which includes electrode *N*-2, electrode *N*-1, and electrode *N*. In some variations, only one group of three electrodes may be implemented. In other variations two groups (of three electrodes) are implemented, and in yet other embodiments, more than two groups (of three electrodes) are implemented.

[0039] As shown, relative to each group of electrodes, a first power source 140 is coupled to the first electrode (electrode 1 in electrode-group 1 and electrode *N*-2 in electrode-group *N*) and the second electrode (electrode 2 in electrode-group 1 and electrode *N*-1 in electrode-group *N*). The first power source 140 is configured to apply a first voltage V_{AB} at the first electrode that alternates between positive and negative relative to the second electrode during each of multiple cycles. A second power source 142 is coupled to the third electrode (electrode 3 in electrode-group 1 and electrode *N* in electrode-group *N*) and the second electrode.

[0040] The second power source 142 is configured to apply a second voltage V_{CB} to the third electrode that alternates between positive and negative relative to the second

electrode during each of the multiple cycles. As shown, a controller 144 is configured to control the first power source 140 and the second power source 142 to phase-synchronize the first voltage with the second voltage, so both, the first voltage V_{AB} and the second voltage V_{CB} , are simultaneously negative during a portion of each cycle and simultaneously positive relative to the second electrode during another portion of each cycle.

[0041] As discussed further herein, each of the first and second power sources 140, 142 may include a bi-polar controllable pulsed DC power supply to apply the first voltage V_{AB} and second voltage V_{CB} . And as discussed in more detail further herein, the controller 144 may be realized by hardware, firmware or a combination of software and hardware and/or hardware and firmware. It is also contemplated, as discussed further herein, that each controller 144 may be coupled to one or more other controllers 144 so that inter-electrode-group voltages are phase synchronized. Moreover, arc management synchronization may be implemented so that a detected arc in the plasma prompts all the power sources 140, 142 to stop applying power to the electrodes.

[0042] Referring to FIG. 2 shown is a timing diagram depicting an exemplary first voltage V_{AB} and an exemplary second voltage V_{CB} that may be applied by the first power source 140 and the second power source 142 to the first and third electrodes, respectively, (relative to the second electrode) of FIG. 1 over time. Also shown are times t_1 , t_3 , during which the first and third electrodes may sputter (if implemented as magnetrons) while the second electrode operates as an anode. And at times t_2 , t_4 the second electrode may sputter (if implemented as a magnetron) while the first and third electrodes function as anodes.

[0043] Referring to FIG. 3, shown is an implementation of the embodiment depicted in FIG. 1 in which three magnetrons are utilized to implement the N electrodes depicted in FIG. 1 to form a first magnetron M1, a second magnetron M2, and a third magnetron M3 wherein each of the three magnetrons is coupled to a corresponding one of three targets (not shown). The magnetrons M1, M2, M3 may be realized by magnetrons well known to those of ordinary skill in the art that operate as cathodes and are coupled to a target to enable the target material to be sputtered to the substrate 122 when bombarded by ions in the plasma chamber 101.

[0044] As shown in FIG. 4, which is a timing diagram depicting exemplary voltages applied to the magnetrons of FIG. 3 over time, the controller 144 is configured to

control the first power source 140 and the second power source 142, so both, the first voltage V_{AB} at the first magnetron and the second voltage V_{CB} at the third magnetron are simultaneously negative relative to the second magnetron at least 66 percent of a time over the multiple cycles. As shown, at times t_1 and t_3 the first and third magnetrons M1 and M3 sputter while magnetron M2 functions as anode, and at times t_2 and t_4 , M2 sputters while magnetrons M1 and M3 function as anodes. Thus, during one half of each cycle, 2/3 of the magnetrons are sputtering and during another half of each cycle, 1/3 of the magnetrons M1, M2, and M3 are sputtering.

[0045] In the implementation depicted in FIG. 4, there may be a high level (e.g., twice the level) of power for half a cycle (e.g., during time t_2) applied to magnetron M2 than magnetrons M1 and M3. That is, there will be twice the power at magnetron M2 in a very short period of time. In other words, a magnitude of power is effectively pulsed over time when switching between magnetrons (e.g., when switching from time t_1 to t_2).

[0046] Referring next to FIG. 5, shown is an implementation of the embodiment depicted in FIG. 1 in which the arrangement of three magnetrons depicted in FIG. 2 is repeated so $N/3$ groups of magnetrons (where each magnetron-group includes three magnetrons powered by two power sources 140, 142) is duplicated to form N total magnetrons where N is evenly divisible by 3. As shown, each of the first power source 140 and the second power source 142 may be synchronized by intercommunication between the power sources 140, 142 as discussed further herein with reference to FIG. 11. When synchronized, a first voltage (V_{AB} , V_{DE} , V_{HI}) is applied between a first magnetron (M1, M4, M_{N-2}) in a group relative a second magnetron (M2, M5, M_{N-1}) in the same group. And a second voltage (V_{CB} , V_{FE} , V_{JI}) in a group is applied between a third magnetron (M3, M6, M_N) in the group relative to the corresponding second magnetron (M2, M5, M_{N-1}) in the group.

[0047] Referring to FIG. 6, shown is a timing diagram depicting exemplary voltages applied to the magnetrons of FIG. 5 over time. As shown, at times t_1 and t_3 , 2/3 of the N magnetrons are sputtering. Specifically, M1, M3, M4, M6, M_{N-2} , and M_N sputter at times t_1 and t_3 while magnetrons M2, M5, and M_{N-1} function as an anode. And at times t_2 and t_4 , 1/3 of the N magnetrons are sputtering. Specifically, M2, M5, and M_{N-1} sputter during times t_2 and t_4 while magnetrons M1, M3, M4, M6, M_{N-2} , and M_N function as anodes. The system depicted in FIG. 6 enables sputtering to occur during the entire

cycle where 2/3 of the magnetrons sputter during a first part of each cycle and 1/3 of the magnetrons sputter during the other part of each cycle.

[0048] Referring next to FIG. 7, shown is a pulsed sputtering system where three electrodes of the sputtering system depicted in FIG. 1 are implemented by a first magnetron M1, a shared anode, and a second magnetron M2. The first power source 140 is coupled to the first magnetron M1 and the anode, and the first power source 140 is configured to apply a first voltage V_{AB} at the first magnetron M1 that alternates between positive and negative relative to the anode during each of multiple cycles. The second power source 142 is coupled to the second magnetron M2 and the anode, and the second power source 142 is configured to apply a second voltage V_{CB} to the second magnetron M2 that alternates between positive and negative relative to the anode during each of the multiple cycles. The controller 144 is configured to control the first power source 140 and the second power source 142 to phase-synchronize the first voltage V_{AB} with the second voltage V_{CB} , so both, the first voltage V_{AB} and the second voltage V_{CB} are simultaneously negative during a portion of each cycle and simultaneously positive relative to the anode during another portion of each cycle. In many variations of the implementation in FIG. 7, the shared anode is cooled (e.g., by water cooling).

[0001] Referring to FIG. 8, shown is a timing diagram depicting exemplary voltages applied to the two magnetrons of FIG. 7 relative to the anode of FIG. 7 over time. As shown, at times t_1 and t_3 , magnetrons M1 and M2 are sputtering. And at times t_2 and t_4 , the first and second magnetrons have a positive potential relative to a negative potential of the anode. As shown, a percentage of time the sputtering is occurring during each cycle (and hence, during the multiple cycles depicted in FIG. 8) is $(t_1)/(t_2)$, and this percentage in some implementations is at least 70% of the cycle, or in other implementations, the percentage is between 70% and 90% of the cycle. In yet other implementations, the percentage is between 80% and 90% of the cycle, or the percentage may be between 85% and 90% of the cycle. And in yet other implementations, the percentage may be 90% or greater.

[0002] Referring next to FIG. 9, shown is an implementation where the electrode arrangement depicted in FIG. 7 is repeated so that a group of three electrodes (including two magnetrons and an anode) is duplicated to form $N/3$ groups for a total of N magnetrons and $N/2$ anodes. As shown, in each group of the three electrodes, a first voltage (V_{AB} , V_{DE} , V_{HI}) is applied between a first magnetron (M1, M3, M_{N-1}) in the

group relative the anode in each group. And a second voltage (V_{CB} , V_{FE} , V_{II}) in each group of three electrodes is applied a second magnetron (M_2 , M_4 , M_N) in the group relative to the corresponding anode in each group.

[0003] FIG. 10 depicts an exemplary timing of the first voltage (V_{AB} , V_{DE} , V_{HI}) in each group of electrodes and the second voltage (V_{CB} , V_{FE} , V_{II}) in each of the groups of electrodes described with reference to FIG. 8. As shown, at times t_1 and t_3 all of the magnetrons M_1 through M_N are sputtering and at times t_2 and t_4 no sputtering is occurring. As the timing in FIG. 10 depicts, the first and second voltages are phase synchronized so both, the first voltage and the second voltage, are simultaneously negative during a portion of each cycle and simultaneously positive during another portion of each cycle.

[0004] Referring to FIG. 11, shown is a sputtering system 1100 depicting aspects that may be utilized in the various implementations (described with reference to FIGS. 2-10) of the general embodiment depicted in FIG. 1. As shown, the sputtering system 1100 may include a plasma chamber 1101 enclosing a first magnetron 1102 engaged with a first target 1103, a second magnetron 1104 engaged with a second target 1105, and an anode 1108. The system 1100 may include a substrate 1122 upon which the system 1100 is to deposit a thin film material in a sputtering process.

[0005] A first bi-polar controllable pulsed DC power supply 1112 (or first bi-polar DC supply 1112) and a second bi-polar controllable pulsed DC power supply 1114 (or second bi-polar DC supply 1114) may be provided. The first and second bipolar DC supplies 1112, 1114 may receive direct power from a first direct current (DC) supply 1116 and a second DC supply 1118, respectively. The first bi-polar DC supply 1112 may be coupled to the first magnetron 1102 by way of power lead(s) 1124, and configured to apply a sputtering power to the first target 1103. Similarly, the second bi-polar DC supply 1114 may be coupled to the second magnetron 1104, and configured to apply a sputtering power to the second target 1105. The first and second bi-polar DC supplies 1112, 1114 may be coupled to the anode 1108 by way of lead(s) 1128, and, more specifically, an electrical joint 1129 may couple a first anode lead 1113 from the first bi-polar DC supply 1112 to a second anode lead 1115 from the second bi-polar DC supply 1114. That is, the first power source 1140 may be operatively coupled to the anode 1108 and the first target 1103 by way of the first magnetron 1102 through leads 1124, 1113, and 1128, while the second power source 1142 may be operatively coupled

to the anode 1108 and the second target 1105 by way of the second magnetron 1104 through leads 1126, 1115, and 1128.

[0006] In some embodiments, the anode may be the wall of the plasma chamber 1101; however, in others and as illustrated, the anode 1108 may be a floating anode 1108, and may further have a gas inlet 1107 and a plurality of gas outlets 1109 enabling the hollow cathode effect to aid in keeping the outlets clean for a more stable operation. Those of skill in the art will understand that, because the anode 1108 is a part of the power supply delivery circuit, gas entering at the inlet 1107 has an increased chance of breaking its bonds. For example, oxygen gas O_2 is more likely to break into two oxygen atoms as the gas exits the plurality of outlets 1109, while nitrogen gas N_2 is more likely to break into two nitrogen atoms as it exits the plurality of outlets 1109, resulting in films on the substrate 1122 that are of higher quality than would be expected without the use of an anode 1108 that provides a hollow cathode effect.

[0007] Some embodiments provide a system 1100 that maintains an anode that does not “disappear” as is known to occur in the prior art, and in the system disclosed herein, the anode 1108 may be kept clean or cleansed through operation at higher temperatures, by hollow cathode discharge in the plurality of outlets 1109, and/or by sputtering directly during an application of anode power.

[0008] In some embodiments, the system 1100 maintains the anode 1108, which may be a floating gas anode, at a relatively high temperature suitable for maintaining a clean anode, while not causing the heat load within the chamber 101 and/or experienced by the substrate 1122 to become so high as to damage the substrate 1122, such as a polyethylene substrate, therein. In some embodiments, the system 100 may maintain the anode 1108 at 100° Celsius, or more. In some embodiments, the system 100 may maintain the anode 1108 at a temperature of about 150° Celsius. In some embodiments, the system 1100 may maintain the anode 1108 at 150° Celsius or less, such as when in use by web coaters depositing titanium dioxide (TiO_2) or silicon dioxide (SiO_2) onto a polyethylene substrate. In some embodiments, the system 1100 may maintain the anode 1108 at 200° Celsius or more, such as when in use by glass coaters.

[0009] Continuing with FIG. 11, a controller 1144 comprising a synchronizing unit 1120 may synchronize power signals from the first and second bi-polar DC supplies 1112, 1114 in a manner that will be described in later portions of this document. In some embodiments, the first power source 1140 may comprise the first bi-polar

controllable pulsed DC power supply 1112 and the first DC supply 1116. Similarly, the second power source may comprise the second bi-polar controllable pulsed DC power supply 1114 and the second DC supply 1118.

[0010] Of note, each of the first and second power supplies 1140, 1142 may be arranged and configured to be aware of the other one of the first and second power supplies 1140, 1142, without attempting to control the operation of the other one of the first and second power supplies 1140, 1142. Applicant has achieved this “awareness without control” by first configuring a frequency (e.g. 40kHz) and duty of each of the first and second bi-polar DC supplies 1112, 1114, and subsequently coupling the synchronizing unit 1120 and configuring one of the first and second bi-polar DC supplies 1112, 1114 to be perceived as a transmitter for the purpose of frequency synchronization, and the other one of the first and second bi-polar DC supplies 1112, 1114 to be perceived as a receiver, for the purpose of frequency synchronization. In contrast, each one of the first and second DC supplies 1116, 1118 are independent, and do not rely on awareness of the other one of the first and second DC supplies 1116, 1118 to properly function.

[0011] Although not required, in one implementation, the first and second DC supplies 1116, 1118 may each be realized by one or more ASCENT direct current power supplies sold by Advanced Energy Industries, Inc. of Fort Collins, Colorado, U.S.A. And the first and second bi-polar DC supplies 1112, 1114 may each be realized by an ASCENT DMS Dual-magnetron sputtering accessory, which is also sold by Advanced Energy Industries, Inc. of Fort Collins, Colorado, U.S.A. In this implementation, the first and second power sources 1140, 1142 are each realized as an AMS/DMS stack wherein the ASCENT direct current power supply may provide straight DC power and perform arc management functions, and the DMS dual-magnetron sputtering accessory generates a pulsed DC waveform from the straight DC power. Beneficially, the DMS dual-magnetron sputtering accessories may be located in close proximity to the chamber 1101, and the ASCENT direct current power supplies may be located remotely (e.g., in a remote rack) from the chamber 1101. The 1120 synchronizing unit in this implementation may be realized by a common exciter (CEX) function of the DMS accessories.

[0012] In another embodiment, each of the first and second power sources 1140, 1142 may be realized by an integrated pulsed DC power supply.

- [0013] Referring to FIG. 12, shown is a method that may be traversed in connection with the implementations disclosed herein. As shown, a plasma is provided in a plasma chamber enclosing at least a first electrode, a second electrode, and a third electrode (Block 1202). For example, the three electrodes may all be implemented as magnetrons, as described with reference to FIGS. 3-6, or the three electrodes may be implemented as two magnetrons in combination with an anode as described with reference to FIGS. 7-11.
- [0014] As shown, a first power source is coupled to the first electrode and the second electrode, and a second power source is coupled to the third electrode and the second electrode (Blocks 1204 and 1206). In implementations where the three electrodes are implemented as two magnetrons in combination with an anode, the second electrode is implemented as the anode (e.g., without a target and without a magnetron). A controller is coupled to both the first and second power sources (Block 1208), and a first voltage is applied (e.g., with the first power source) at the first electrode that alternates between positive and negative relative to the second electrode during each of multiple cycles. In addition, a second voltage is applied to the third electrode (e.g., with the second power source) that alternates between positive and negative relative to the second electrode during each of the multiple cycles (Block 1210).
- [0015] As shown, the first voltage is phase-synchronized with the second voltage, so both, the first voltage and the second voltage are simultaneously negative during a portion of each cycle and simultaneously positive relative to the second electrode during another portion of each cycle (Block 1214).
- [0016] Referring next to FIG. 13, shown is a timing diagram depicting voltages applied in the system depicted in FIG. 11. It should be recognized that the point of reference in FIGS. 11 and 13 is the anode voltage V_B relative to the voltages V_1 and V_2 at the magnetrons 1102 and 1104 (depicted in FIG. 11), respectively, which is opposite from the point of reference depicted in FIGS. 2, 4, 6, 8, and 10. As shown, in some embodiments, the controller 1144 and/or synchronizing unit 1120 may be configured to cause the first and second bi-polar DC supplies 1112, 1114 or first and second power sources 1140, 1142 to simultaneously apply sputtering power to the first and second magnetrons 1104, 1106, respectively, followed by a simultaneous anode power to the anode 1108.

[0017] Referring again to FIG. 11, the synchronizing unit 1120 may be configured to cause the first and second bi-polar DC supplies 1112, 1114 to apply power to the magnetrons 1104, 1106 for a first period of time t_1 , followed by an application of power to the anode 1108 for a second period of time t_2 , wherein the first period of time t_1 is 80% of a sputtering cycle comprising the first and second periods of time t_1 , t_2 . The second period of time t_2 may be about 20% of the cycle. In some variations, the first period of time t_1 may be at least 70% of the cycle, or, in other variations, between 70% and 90% of the cycle. The second period of time t_2 may be less than 30% of the cycle, or between 30% and 10% of the cycle. In some implementations, the first period of time t_1 may be between 80% and 90% of the cycle, and the second period of time t_2 may be between 20% and 10% of the cycle. In other implementations, the first period of time t_1 may be between 85% and 90% of the cycle, and the second period of time t_2 may be between 15% and 10% of the cycle.

[0018] As discussed further herein, the controller 1144 may be configured to control the first power source 1140 and the second power source 1142, and may have a non-transitory memory including non-transitory instructions to effectuate the methodologies described herein. For example, the non-transitory instructions may be accessible by a field programmable gate array to configure the field programmable gate array to execute one or more methods. In some embodiments, the non-transitory instructions are executable by a processor and/or accessible by the field programmable gate array to configure the field programmable gate array to execute one or more methods. In other embodiments, one or more aspects of the controller 1144 may be realized by hardware (e.g., application specific integrated circuits) that is persistently configured to control the first and second power sources 1140, 1142 to effectuate one or more of the methods described herein.

[0019] As shown in FIG. 13 certain novel and innovative aspects of a sputtering process that may be executed by the system 1100 are described in detail. As illustrated in FIG. 13, the system 1100 may be configured to apply a first power having a first voltage V_{B1} between the anode and the first magnetron 1102 for a first period of time t_1 . Simultaneously, or for the first period of time t_1 , the system 1100 may apply a second power having a second voltage V_{B2} between the anode 1108 and the second magnetron 1104. In some embodiments, a magnitude of the first voltage V_{B1} may be substantially the same as a magnitude of the second voltage V_{B2} ($V_{B1} = V_{B2}$), and when $V_{B1} = V_{B2}$,

the voltage at node V_B is referred to herein as V_{combined} although it will be understood that the values are highly idealized, and V_{B1} may not perfectly match V_{B2} in practice.

[0020] Continuing with FIG. 13, the first period of time t_1 may be followed by a second period of time t_2 . During the second period of time t_2 , the system 1100 may be configured to cause the first power source 1140 and the second power source 1142 to apply an anode power having a voltage at the anode 1108 that is negative relative to the magnetrons 1102 and 1104. Of note, when the two power sources 1140, 1142 apply power to the anode 1108 simultaneously, the anode current is additive.

[0021] While the graphical depictions in Fig. 13 are highly idealized, an approximation of the powers and voltages may be provided. In some embodiments, the first voltage V_{B1} may be between about 300 Volts and about 800 Volts. In some embodiments, the first voltage V_{B1} may be at least 400 Volts. A magnitude of the second voltage V_{B2} may be substantially equal to a magnitude of the first voltage V_{B1} . A root mean square or RMS may be taken to evaluate the voltage.

[0022] It should also be noted that V_{B1} and V_{B2} represent the voltage applied to the anode 1108 relative to the first magnetron 1102 and second magnetron 1104, respectively. But the voltage at node V_B may be measured relative to ground. With brief reference to Fig. 15, for example, shown is an oscilloscope illustrating a top trace representing the voltage of the anode, or V_B , relative to ground, while the bottom trace illustrates a voltage V_{B1} applied to the first magnetron 1102 relative to the anode 1108. In some embodiments, V_B relative to ground is at least 70% of a value V_{sum} , which is in an arbitrary summed voltage V_{sum} in a sputtering power applied for the first period of time t_1 . That is, the term "summed voltage V_{sum} " is not intended to mean that the system as a whole experiences a summed voltage, but rather that the first magnetron 102 may experience a voltage and the second magnetron 104 may experience a voltage, with the voltage being summed for the purpose of analysis. In some embodiments, V_B relative to ground is at least 80% of V_{sum} .

[0023] Referring now to FIG. 14, shown is a flowchart depicting another method 1400 of sputtering that may be executed by the system 1100. The method 1400 may include providing 1402 a plasma chamber, a first power source, a second power source, and other sputtering components. Providing 1402 may be achieved by providing a system such as system 100 described with reference to specific implementations in FIGS. 1-13. The method 1400 may include causing 1404 the first power source to apply a first

sputtering power to the first magnetron for a first period of time. The method 1400 may also include causing 1406 the second power source to apply a second sputtering power to the second magnetron for the first period of time, the first sputtering power and the second sputtering power providing a summed sputtering voltage. In some embodiments, the method 1400 may include calculating 1414 one or more of a summed sputtering power, a summed sputtering voltage, and a summed sputtering current applied to the first magnetron and the second magnetron.

[0024] The method 1400 may also include causing 1408 the first power source to apply a first anode power to the anode for a second period of time following the first period of time. The method 1400 may also include causing 1410 the second power source to apply a second anode power to the anode for the second period of time, the first anode power and the second anode power providing a combined anode power.

[0025] The method 1400 may include combining 1412 an anode power to the anode such that the combined anode power, having a current and a voltage, has a voltage V_B relative to ground having a magnitude of at least 80% of a magnitude of the summed sputtering voltage.

[0026] In method 1400, the first period of time (e.g., t_1) may be at least 80% of a sputtering cycle, the sputtering cycle comprised of the first period of time and the second period of time. In some embodiments, the first period of time is at least 70% of the sputtering cycle. In some embodiments, the combined anode voltage has a magnitude of at least 800 Volts. In some embodiments, the first period of time t_1 may be between 70% and 90% of the cycle, and the second period of time t_2 may be between 30% and 10% of the cycle. In some embodiments, the first period of time t_1 may be between 80% and 90% of the cycle, and the second period of time t_2 may be between 20% and 10% of the cycle. In some embodiments, the first period of time t_1 may be between 85% and 90% of the cycle, and the second period of time t_2 may be between 15% and 10% of the cycle.

[0027] In some embodiments, the first sputtering power has a voltage having a magnitude of at least 300 Volts, and the second sputtering power has a voltage having a magnitude of at least 300 Volts.

[0028] In some embodiments, the combined anode power has a current and a voltage, the voltage having a magnitude of at least 1000 Volts.

- [0029] In some embodiments, the anode is a floating anode comprising a gas bar having a gas inlet and a plurality of gas outlets shaped to provide a Hollow Cathode Effect.
- [0030] In some embodiments, the method 1400 includes causing a synchronizer to cause the first power source and the second power source to simultaneously apply power to the first magnetron and the second magnetron for the first period of time and to cause the first power source and the second power source to simultaneously apply power to the anode for the second period of time.
- [0031] To determine basic functionality, the system 1100 and method 1400 previously described herein were tested using TiO_x as the target material, 6.4 mTorr using 126 SCCM argon and 100 SCCM oxygen as the reactive gas, 4 kW of applied power per magnetron with a floating anode sitting between them, and a line speed of 10 inches per minute. Table 1 illustrates the results of the functionality test, establishing that the system 100 would operate.

Table 1: Functionality Test Observations at first plasma.

Item	Voltage	Current
V1 (V of first magnetron 1102 to anode 1108)	-535 V	7.2 A
V2 (V of second magnetron 1104 to anode 1108)	-565 V	7.1 A
Voltage of Anode 1108 to Ground	850 V	NA
Anode	-680 V _{combined}	2.2 A
Voltage of first magnetron 1102 to Ground	-720 V	NA

[0032] The above functionality test verified that the system 1100 was functional substantially as envisioned, and was attempting to deliver full power to the anode 1108. Of note, an unforeseen result of the functionality test revealed that the anode power exhibited a current of just 2.2 Amperes, or about half of what was expected.

[0033] Applicant has determined that, because there was no magnetic enhancement on the anode 1108, it would take very high voltages to do any more work beyond heating the anode 1108, which was also found to be a desirable response. That is, V_{combined} is high enough to result in a very low current at the anode 1108 in the functionality test. Moreover, because the current is additive, a current at the anode 1108 of just 2.2 Amperes indicates that a current approaching 0 is experienced at each of the first and second magnetrons 1102, 1104 – another desirable result to prevent undesirable coating of the first and second magnetrons 1102, 1104 during an anode power pulse.

[0034] Beyond the functionality test, the system was tested under three other settings, described below under runs 1, 2, and 3. Benchmark runs 4 and 5 were also performed using a traditional AC sputtering system as described below. By measuring a film deposition thickness at the same given line speed, deposition rates could be calculated. The heat load or temperature of the substrate 1122 was also measured, as was the voltage and current at the magnetrons 1102, 1140 and anode 1108. Of note, the setup was run on a sputter-down machine with relatively small magnetrons, so process power was limited.

[0035] Turning now to FIG. 16, illustrating a summary of the heat load responses, a series of tests were performed at various frequencies, notably run 1 was at 20 kHz, run 2 was at 30 kHz, and run 3 was at 40 kHz with as much Side A ON time as possible, that is, with as much time of power application to the magnetrons.

[0036] To compare deposition rates and heat loads, the system 1100 was compared to a currently available Advanced Energy PEII AC power supply, illustrated at benchmark test runs 4 and 5.

[0037] With each run, a film thickness measurement was taken using a Dektak® profilometer, with a Sharpie® mark on the bare glass. Scrubbing the coated glass over the Sharpie mark removes the coating so a good thickness step could be obtained.

[0038] Also with each run, the heat load on the substrate was measured by a SuperMole, which is a circuit board encased by many heat shields with a type K thermocouple super-glued to the glass substrate. The SuperMole took real-time temperature measurements through the plasma and the results were then downloaded and stored.

[0039] With simultaneous reference now to Figs. 16-19, the 5 runs were completed, with all machine setups maintained as described above. The results of the 5 runs are described below.

[0040] Table 2: Run 1. System 100 @ 4 kW, 20 kHz.

DC Supply Settings	Power	Voltage	Current	
1 st DC supply 1116 Settings	4 kW	V ₁ = 513 V	I ₁ = 7.8 A	
2 nd DC supply 1118 Settings	4 kW	V ₂ = 526 V	I ₂ = 7.7 A	
Side A Settings	Frequency	Side A	Side B	Boost
1 st bi-polar DC supply 1112	20 kHz	90%	10%	50%
2 nd bi-polar DC supply 1114				
Side B (Anode 1108) Settings	Voltage		Current	
1 st bi-polar DC supply 1112	V ₃ = 589 V		I ₃ = 2.8 A	
2 nd bi-polar DC supply 1114	V ₄ = 598 V		I ₄ = 3.4 A	
Anode	VB1 and VB2 not measured		I _{combined} = 6.2 A	

[0041] Table 3: Run 2. System 100 @ 4 kW, 30 kHz.

DC Supply Settings	Power	Voltage		Current
1 st DC supply 1116 Settings	4 kW	V ₁ = 527 V		I ₁ = 7.6 A
2 nd DC supply 1118 Settings	4 kW	V ₂ = 542 V		I ₂ = 7.4 A
Side A Settings	Frequency	Side A	Side B	Boost
1 st bi-polar DC supply 1112	30 kHz	85%	15%	50%
2 nd bi-polar DC supply 1114				
Side B (Anode 108) Settings	Voltage		Current	
1 st bi-polar DC supply 112	V ₃ = 637 V		I ₃ = 2.2 A	
2 nd bi-polar DC supply 114	V ₄ = 647 V		I ₄ = 2.2 A	
Anode	VB1 and VB2 not measured		I _{combined} = 4.4 A	

[0042] Table 4: Run 3. System 100 @ 4 kW, 40 kHz.

DC Supply Settings	Power	Voltage		Current
1 st DC supply 1116 Settings	4 kW	V ₁ = 545 V		I ₁ = 7.3 A
2 nd DC supply 1118 Settings	4 kW	V ₂ = 552 V		I ₁ = 7.2 A
Side A Settings	Frequency	Side A	Side B	Boost
1 st bi-polar DC supply 1112	40 kHz	80%	20%	50%
2 nd bi-polar DC supply 1114				
Side B (Anode 108) Settings	Voltage		Current	
1 st bi-polar DC supply 1112	V ₃ = 678 V		I ₃ = 2.2 A	
2 nd bi-polar DC supply 1114	V ₄ = 680 V		I ₄ = 2.2 A	
Anode	VB1 and VB2 not measured		I _{combined} = 4.4 A	

[0043] Table 5: Run 4. Traditional AC System @ 4 kW.

PEII Settings	Power	Voltage	Current
PEII AC Power Settings	4 kW	570 V	7.6 A

[0044] Table 6: Run 5. Traditional AC System @ 8 kW.

PEII Settings	Power	Voltage	Current
PEII AC Power Settings	8 kW	630 V	14 A

[0045] FIG. 17 illustrates the heat load results of the system 1100 running at 40 kHz and 4 kW, as compared to an AC system at 4kW. FIG. 18 illustrates the heat load results of the system 1100 running at 40 kHz and 4 kW, as compared to an AC system at 8 kW. FIG. 19 illustrates the resulting film thickness for each of the 5 runs (runs 1-3 being test runs and runs 4-5 being benchmark tests of AC systems).

[0046] With reference now to FIGS. 17 and 19, FIG. 17 indicates that the system 1100 results in less heating (under 300 degrees Celsius versus over 300 degrees Celsius). Moreover, and with reference to tables 3 and 5, the system 1100 provides a combined current $I_{combined}$ of 4.4 Amperes at a power of 4kW, while an AC system at 4kW provides a current of 7.6 Amperes. That is, at the same power wattage settings, the system 1100 causes the anode 1108 to experience significantly less current (4.4 Amperes versus 7.6 Amperes), resulting in a relatively hot anode, improving cleaning properties of the anode 1108, and yet maintaining a relatively cool substrate. In light of FIG. 9, the system 1100 at the same power settings of a traditional AC system results in a much improved deposition rate (over 350 Angstroms versus about 225 Angstroms), meaning that a processing facility may realize significant yields without damage to sensitive substrates, such as polyethylene.

[0047] With reference now to FIGS. 18 and 19, illustrating the system 1100 with the first and second bi-polar DC supplies 1112, 1114 operating at 40kHz, and the first and second DC supplies 1116, operating at 4kW per side, compared to a traditional PEII AC system running at 8kW, it is noted that, although the traditional system resulted in a thicker film, the system 1100 resulted in a much lower heat load. That is, although the deposition rate was just slightly more with the traditional system, the heat load on the system 1100 was half as herein described. While this is interesting, it is not where a

usual process engineer would be when an AC power or current limit is hit and more deposition rate is required. For example, if a sputtering zone is running at 90kW and is hitting the 300 amp AC current limit a traditional system, the system 1100 as described herein could be used instead. Placing two power supplies 1140, 1142 that are 120 kW in place of a 120kW AC delivery system would result in the dramatic differences illustrated in FIGS. 17 and 19. With the system 1100 as herein described, it is possible to push more power into the power-delivery system without breaching the inductive heating current limit. The system 1100 may improve production speeds, add deposition rates without adding cathode lids, use existing cathodes to their fullest extent, and keep substrates much cooler.

[0048] With reference to tables 3 and 6, one can see that the system 1100 provides a combined current I_{combined} of 4.4 Amperes at a power of 4kW, while an AC system at 8kW provides a current of 14 Amperes. Taken in light of FIG. 19, one can see that the system 1100 at 4kW and 40 kHz results in a deposition rate that approaches that of a traditional AC system at twice the power, but resulting in significantly less current (4.4 Amperes verses 14 Amperes) and a much lower heat load (about 300 degrees Celsius verses over 500 degrees Celsius).

[0049] A close analysis of FIG. 19 reveals that using a first power supply 1140 and a second power supply 1142 as previously described herein increases the deposition rates as compared to a traditional AC type sputtering system at the same power. For example, the system 1100 running at 4kW per side and 20 kHz results in a film thickness of 470 Angstroms, while the traditional PEII AC system at 4kW results in a film thickness of just 230 Angstroms. To approach the deposition rates of the system 1100, a traditional AC system would have to apply more than 8kW of power.

[0050] Put succinctly, Applicant has provided a system, method, and means for increasing the deposition rate while drastically reducing the heat load experienced by the substrate 1122 at the same or lower power as that of a traditional AC system, and the current experienced by the anode 1108 and magnetrons 1102, 1104, which, as previously described, was an unexpected result.

[0051] Returning now to FIG. 16, one can deduce that system 1100 may run at any number of frequencies, including 20, 30, and 40 kHz, or others and provide a much better Angstrom/substrate temperature profile consistently over traditional AC power delivery.

- [0052] Referring next to FIG. 20 shown is another embodiment in which a single magnetron M1 is utilized along with a dedicated anode. As shown, a plasma chamber 2001 encloses at least a magnetron M1 and an anode, and a power source 2040 is coupled to the magnetron M1 to apply a voltage V_{AB} to the magnetron (relative to the anode) that alternates between positive and negative during each of multiple cycles. A controller 2044 is configured to control the first power source 2040 to apply the positive portion of the voltage V_{AB} with a same magnitude as a negative portion of the voltage V_{AB} . The anode in this embodiment may be the plasma chamber 2001, or the anode may be floating (decoupled from the chamber 2001).
- [0053] In operation, the depicted magnetron M1 may employ strong electric and magnetic fields to confine charged plasma particles close to the surface of a target (not shown in FIG. 20) associated with the magnetron M1. The anode generally functions to collect electrons from the plasma to maintain plasma neutrality as ions leave to bombard the target. Unlike prior art implementations, however, the anode in the present embodiment does not become coated with a deleterious insulating (e.g., dielectric) film, so the sputtering process is not adversely affected.
- [0054] More specifically, as shown with reference to FIG. 21, the positive portion of the voltage V_{AB} at times t_2 and t_4 is applied with a magnitude and duration that results in a heating of the anode per se to keep the anode clean. But because the anode does not utilize the magnetic components (e.g., magnetic components of a magnetron) that generate magnetic fields (and hence impedance and heat), the positive portion of the voltage V_{AB} at times t_2 and t_4 results in a low amount of heat being imparted to the substrate 2022.
- [0055] Another aspect of the system 2000 is that the duty of the negative (sputtering) portion of the cycle depicted in FIG. 21 (at times t_1 and t_3) may be set by the controller 2044 to a high level such as levels greater than 50%, greater than, 60 %, greater than 70%, greater than 80%, and even greater than 90%. During the positive portion of the of the voltage V_{AB} , power is reversed into the magnetron M1.
- [0056] The methods (including the control methodologies) described in connection with the embodiments disclosed herein may be embodied directly in hardware, in processor executable instructions encoded in non-transitory processor readable medium, or in a combination of the two. Referring to Fig. 22 for example, shown is a block diagram depicting physical components that may be utilized to realize the controller 144, 1144

according to an exemplary embodiment. As shown, in this embodiment a display portion 2212 and nonvolatile memory 2220 are coupled to a bus 2222 that is also coupled to random access memory ("RAM") 2224, a processing portion (which includes N processing components) 2226, a field programmable gate array (FPGA) 2227, and a transceiver component 2228 that includes N transceivers. Although the components depicted in FIG. 22 represent physical components, FIG. 22 is not intended to be a detailed hardware diagram; thus, many of the components depicted in FIG. 22 may be realized by common constructs or distributed among additional physical components. Moreover, it is contemplated that other existing and yet-to-be developed physical components and architectures may be utilized to implement the functional components described with reference to FIG. 22.

[0057] This display 2212 generally operates to provide a user interface for a user, and in several implementations, the display 2212 is realized by a touchscreen display. In general, the nonvolatile memory 2220 is non-transitory memory that functions to store (e.g., persistently store) data and processor executable code (including executable code that is associated with effectuating the methods described herein). In some embodiments for example, the nonvolatile memory 2220 includes bootloader code, operating system code, file system code, and non-transitory processor-executable code to facilitate the execution of the methods described with reference to FIGS. 12 and 14.

[0058] In many implementations, the nonvolatile memory 2220 is realized by flash memory (e.g., NAND or ONENAND memory), but it is contemplated that other memory types may be utilized as well. Although it may be possible to execute the code from the nonvolatile memory 2220, the executable code in the nonvolatile memory is typically loaded into RAM 2224 and executed by one or more of the N processing components in the processing portion 2226.

[0059] The N processing components in connection with RAM 2224 generally operate to execute the instructions stored in nonvolatile memory 2220 to enable the power sources 140, 142, 1140, 1142 to achieve one or more objectives. For example, non-transitory processor-executable instructions to effectuate the methods described with reference to FIGS. 12 and 14 may be persistently stored in nonvolatile memory 2220 and executed by the N processing components in connection with RAM 2224. As one of ordinary skill in the art will appreciate, the processing portion 2226 may include a

video processor, digital signal processor (DSP), graphics processing unit (GPU), and other processing components.

[0060] In addition, or in the alternative, the FPGA 2227 may be configured to effectuate one or more aspects of the methodologies described herein (e.g., the methods described with reference to FIGS. 12 and 14). For example, non-transitory FPGA-configuration-instructions may be persistently stored in nonvolatile memory 2220 and accessed by the FPGA 2227 (e.g., during boot up) to configure the FPGA 2227 to effectuate the functions of the controller 144, 1144.

[0061] The input component operates to receive signals that are indicative of one or more aspects of the power applied to the electrodes (e.g., magnetrons and/or the anodes). The signals received at the input component may include, for example, voltage, current, and/or power. The output component generally operates to provide one or more analog or digital signals to effectuate an operational aspect of the first and/or second power sources 140, 142, 1140, 1142. For example, the output portion may be a signal to cause the first power source 112, 1112 and/or second power source 114, 1114 to effectuate some of the methodologies described with reference to FIGS. 12 and 14. In some embodiments, the output component operates to adjust a frequency and duty of the first and/or second power source 140, 142, 1140, 1142.

[0062] The depicted transceiver component 2228 includes N transceiver chains, which may be used for communicating with external devices via wireless or wireline networks. Each of the N transceiver chains may represent a transceiver associated with a particular communication scheme (e.g., WiFi, Ethernet, Profibus, etc.).

[0063] The previous description of the disclosed embodiments is provided to enable any person skilled in the art to make or use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without departing from the spirit or scope of the invention. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

CLAIMS

1. A pulsed sputtering system, comprising:
 - a plasma chamber enclosing at least a first electrode, a second electrode, and a third electrode;
 - a first power source coupled to the first electrode and the second electrode, the first power source configured to apply a first voltage at the first electrode that alternates between positive and negative relative to the second electrode during each of multiple cycles;
 - a second power source coupled to the third electrode and the second electrode, the second power source configured to apply a second voltage to the third electrode that alternates between positive and negative relative to the second electrode during each of the multiple cycles; and
 - a controller configured to control the first power source and the second power source to phase-synchronize the first voltage with the second voltage, so both, the first voltage and the second voltage, are simultaneously negative during a portion of each cycle and simultaneously positive relative to the second electrode during another portion of each cycle.

2. The system of claim 1, wherein each of the three electrodes is a magnetron to form a first magnetron, a second magnetron, and a third magnetron wherein each of the three magnetrons is coupled to a corresponding one of three targets.

3. The system of claim 2, wherein:
 - the controller is configured to control the first power source and the second power source, so both, the first voltage at the first magnetron and the second voltage at the third magnetron are simultaneously negative relative to the second magnetron at least 66 percent of a time over the multiple cycles.

4. The system of claim 1, wherein the first electrode and the third electrode each include a magnetron to form a first magnetron and a third magnetron wherein each of the first magnetron and the third magnetron is coupled to a corresponding one of two targets, and wherein the second electrode includes neither a target nor a magnetron to operate as an anode.

5. The system of claim 4, wherein the controller is configured to control the first power source and the second power source, so both, the first voltage and the second voltage are simultaneously negative relative to the second electrode at least 80 percent of a time over the multiple cycles.

6. The system of claim 1, wherein:

the controller includes a processor, and the controller is configured with a non-transitory memory comprising non-transitory instructions executable by the processor.

7. The system of claim 1, wherein:

the controller includes a field programmable gate array, and the controller is configured with a non-transitory memory including non-transitory instructions accessible by the field programmable gate array to configure the field programmable gate array.

8. The system of claim 1, wherein:

the first power source includes a first direct current power supply coupled to a first bi-polar controllable pulsed direct current power supply, the first bi-polar controllable pulsed direct current power supply is configured to provide an alternating direct current power to the first electrode and the second electrode; and

the second power source comprises a second direct current power supply coupled to a second bi-polar controllable pulsed direct current power supply, the second bi-polar controllable pulsed direct current power supply is configured to provide an alternating direct current power to the third electrode and the second electrode.

9. A non-transitory memory including non-transitory instructions that are at least one of executable by a processor to execute a method and accessible by a field programmable gate array to configure the field programmable gate array to execute the method, the method comprising:

causing a first power source to apply a first voltage between first electrode and a second electrode, the first voltage at the first electrode alternates between positive and negative relative to the second electrode in a plasma chamber over multiple cycles;

causing a second power source to apply a second voltage between the third electrode and the second electrode, the second voltage at the third electrode alternates between positive and negative relative to the second electrode in the plasma chamber over the multiple cycles; and

causing the first power source and the second power source to phase-synchronize the first voltage with the second voltage, so both, the first voltage and the second voltage are simultaneously negative and simultaneously positive relative to the second electrode over the multiple cycles.

10. The non-transitory memory of claim 9, wherein:

the voltages at the first electrode and the third electrode relative to the second electrode are negative at least 70 percent of a time over the multiple cycles.

11. The non-transitory memory of claim 9, wherein:

the voltages at the first electrode and the third electrode relative to the second electrode are negative at least 80 percent of a time over the multiple cycles.

12. The non-transitory memory of claim 9, wherein:

the voltages at the first electrode and the third electrode relative to the second electrode are negative between 70 and 90 percent of a time over the multiple cycles.

13. The non-transitory memory of claim 9, wherein:

the first voltage has a positive magnitude that is the same as a negative magnitude of the first voltage; and

the second voltage has a positive magnitude that is the same as a negative magnitude of the second voltage.

14. A method of pulsed direct current sputtering, comprising:
providing a plasma chamber enclosing at least a first electrode, a second electrode, and a third electrode;
coupling a first power source to the first electrode and the second electrode;
coupling a second power source to the third electrode and the second electrode;
coupling a controller to both the first and second power sources;
applying, with the first power source, a first voltage at the first electrode that alternates between positive and negative relative to the second electrode during each of multiple cycles;
applying, with the second power source, a second voltage to the third electrode that alternates between positive and negative relative to the second electrode during each of the multiple cycles; and
phase-synchronizing, with the controller, the first voltage with the second voltage, so both, the first voltage and the second voltage are simultaneously negative during a portion of each cycle and simultaneously positive relative to the second electrode during another portion of each cycle.

15. The method of claim 14, wherein:
the first electrode voltage and the third electrode voltage are simultaneously negative relative to the second electrode at least 70 percent of a time over the multiple cycles.

16. The method of claim 14, wherein:
the first electrode voltage and the third electrode voltage are simultaneously negative relative to the second electrode at least 80 percent of the time over the multiple cycles.

17. The method of claim 14, wherein:
the first electrode voltage and the third electrode voltage are simultaneously negative relative to the second electrode between 70 and 90 percent of a time over the multiple cycles.

18. The method of claim 14, wherein:

applying, with the first power source, the first voltage at the first electrode that alternates between positive and negative relative to the second electrode wherein a magnitude of the positive and negative portions of each of the multiple cycles of the first voltage is the same; and

applying, with the second power source, the second voltage at the third electrode that alternates between positive and negative relative to the second electrode wherein a magnitude of the positive and negative portions of each of the multiple cycles of the second voltage is the same.

19. A pulsed sputtering system, comprising:

a plasma chamber enclosing at least a magnetron, an anode, and a substrate;

a power source coupled to the first electrode to apply a voltage to the magnetron that alternates between a positive voltage and a negative voltage relative to the anode during each of multiple cycles; and

a controller configured to control the power source to apply the positive voltage with a magnitude that is the same as the negative voltage, and the magnitude of the positive voltage cleans the anode without imparting damaging heat to the substrate.

20. The pulsed sputtering system of claim 19, wherein the anode is floating.

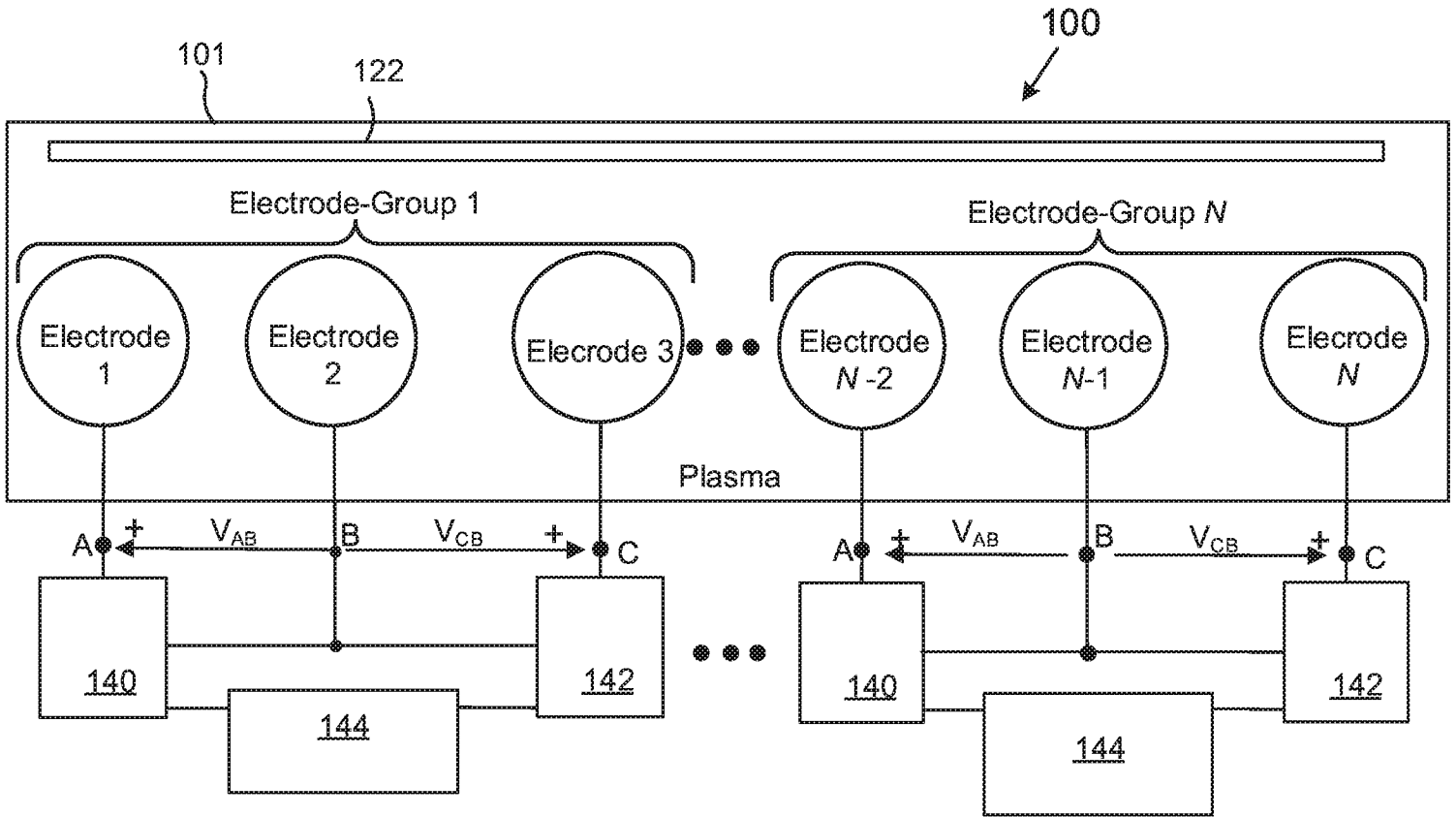


FIG. 1

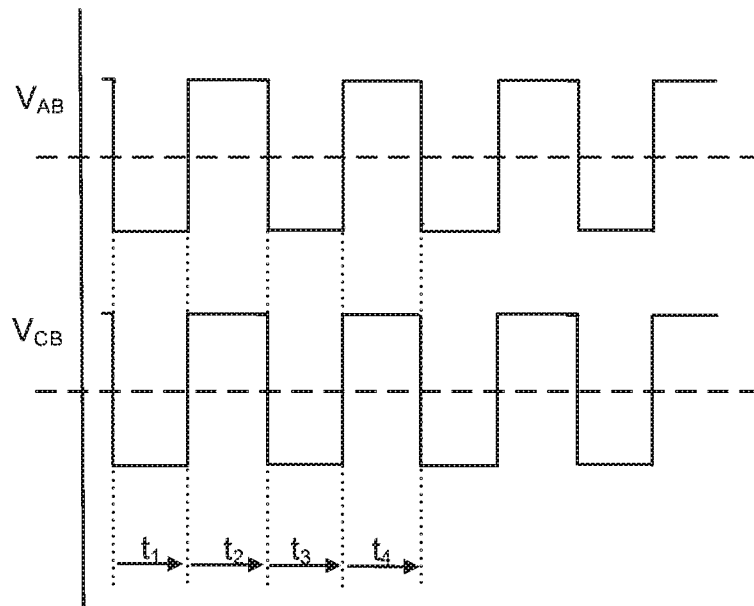


FIG. 2

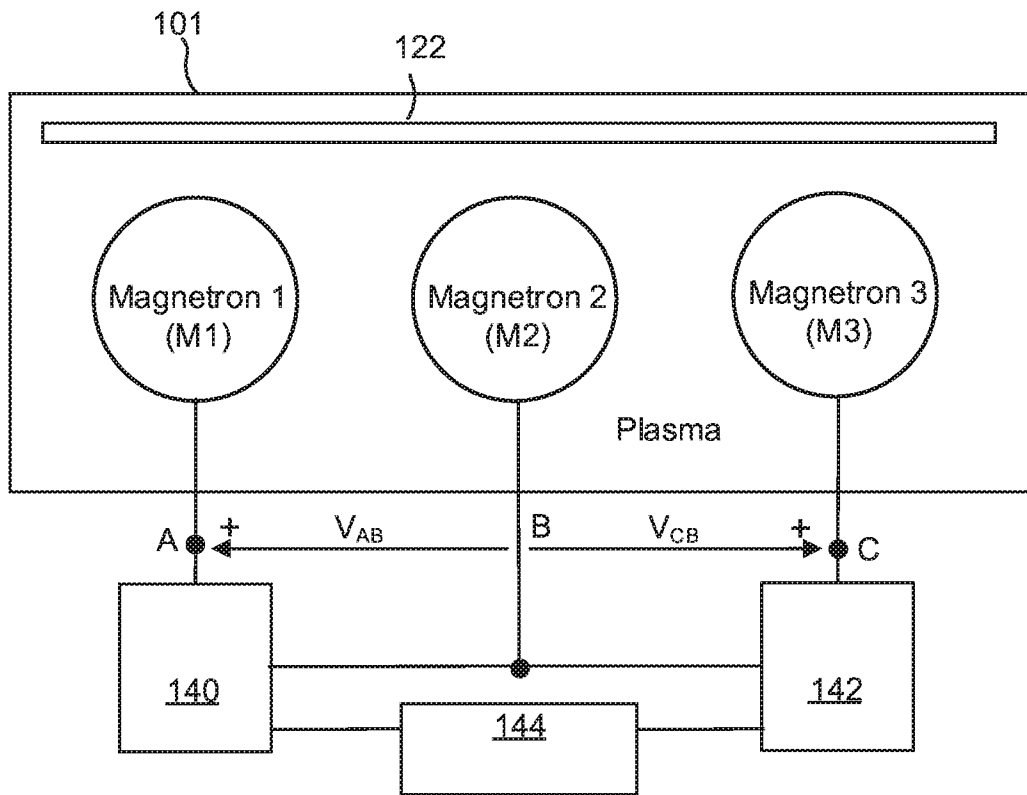


FIG. 3

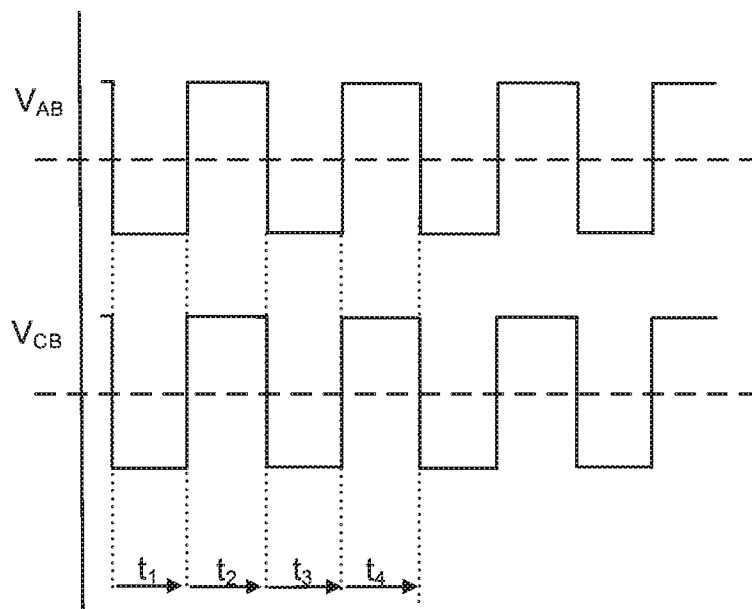


FIG. 4

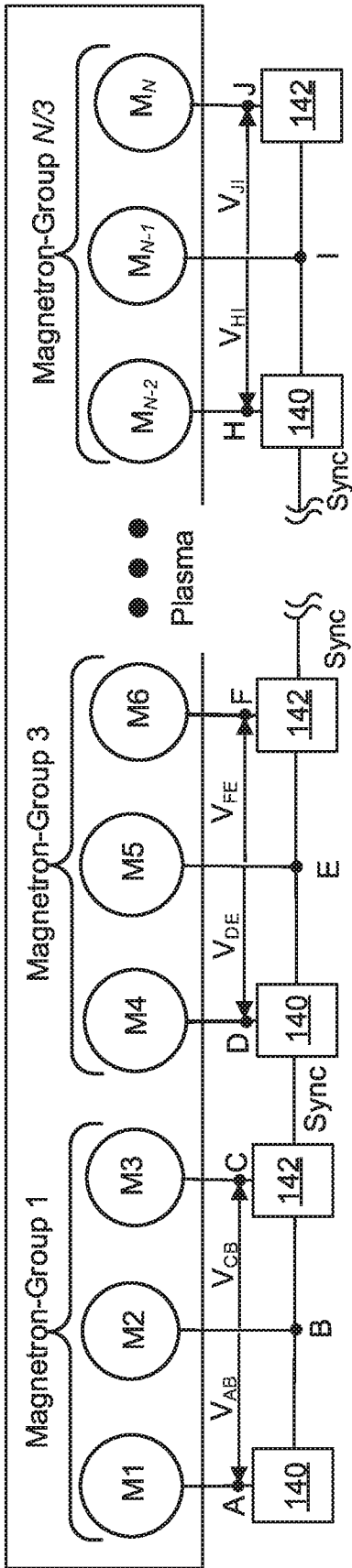


FIG. 5

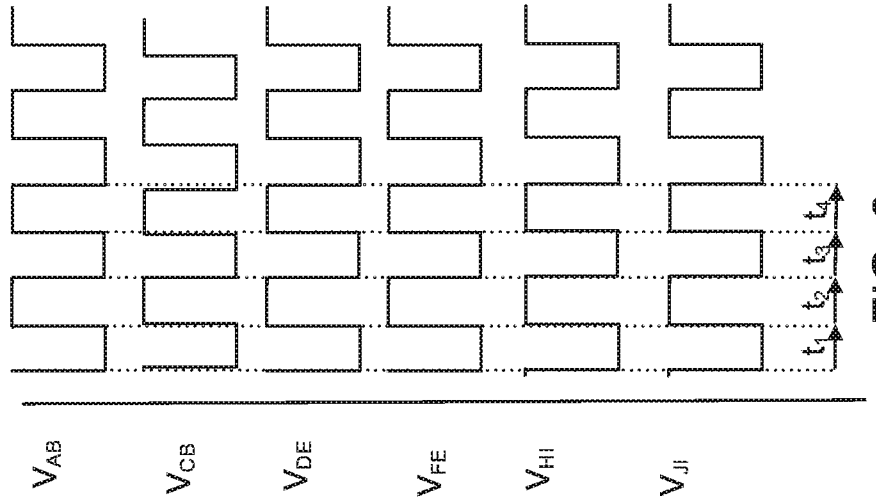


FIG. 6

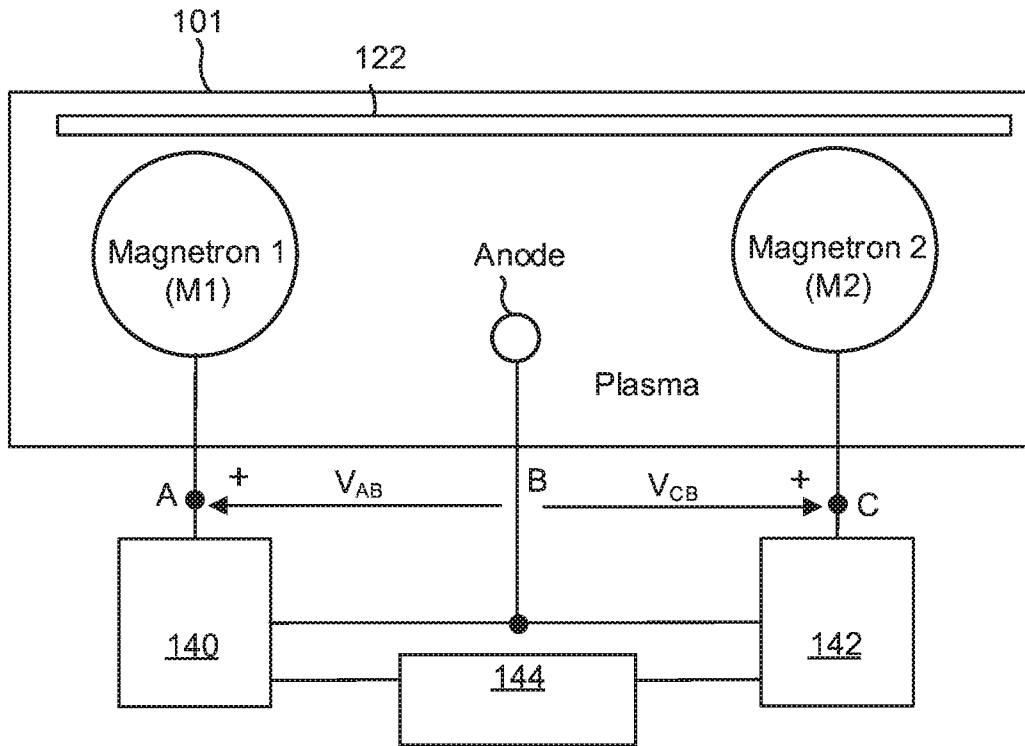


FIG. 7

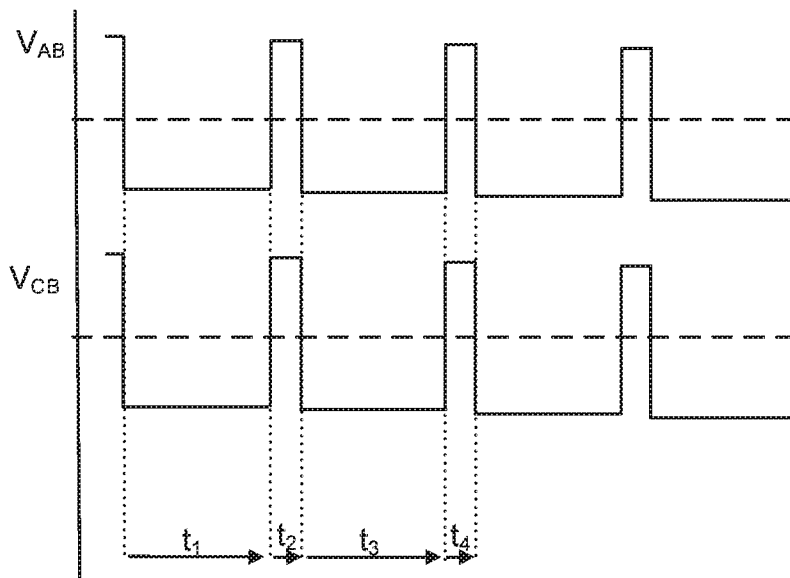


FIG. 8

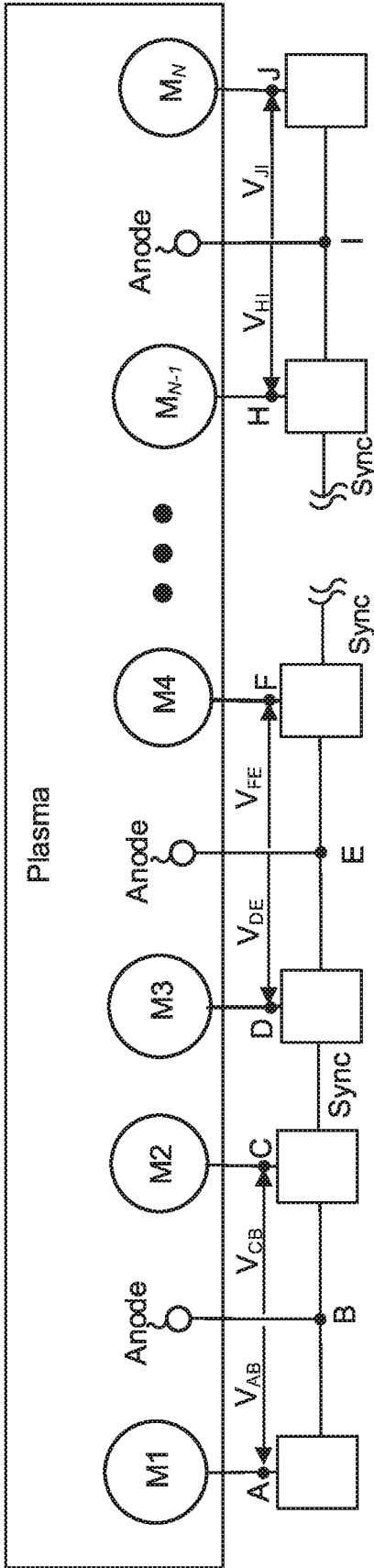


FIG. 9

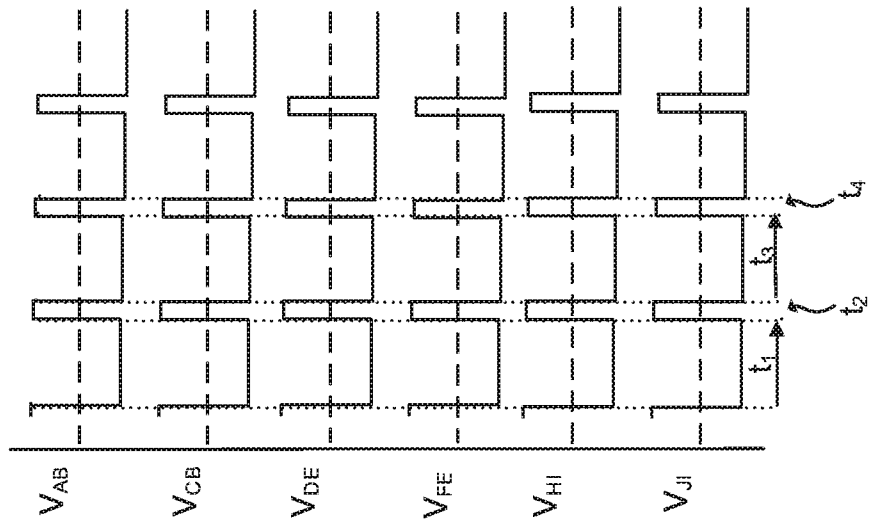


FIG. 10

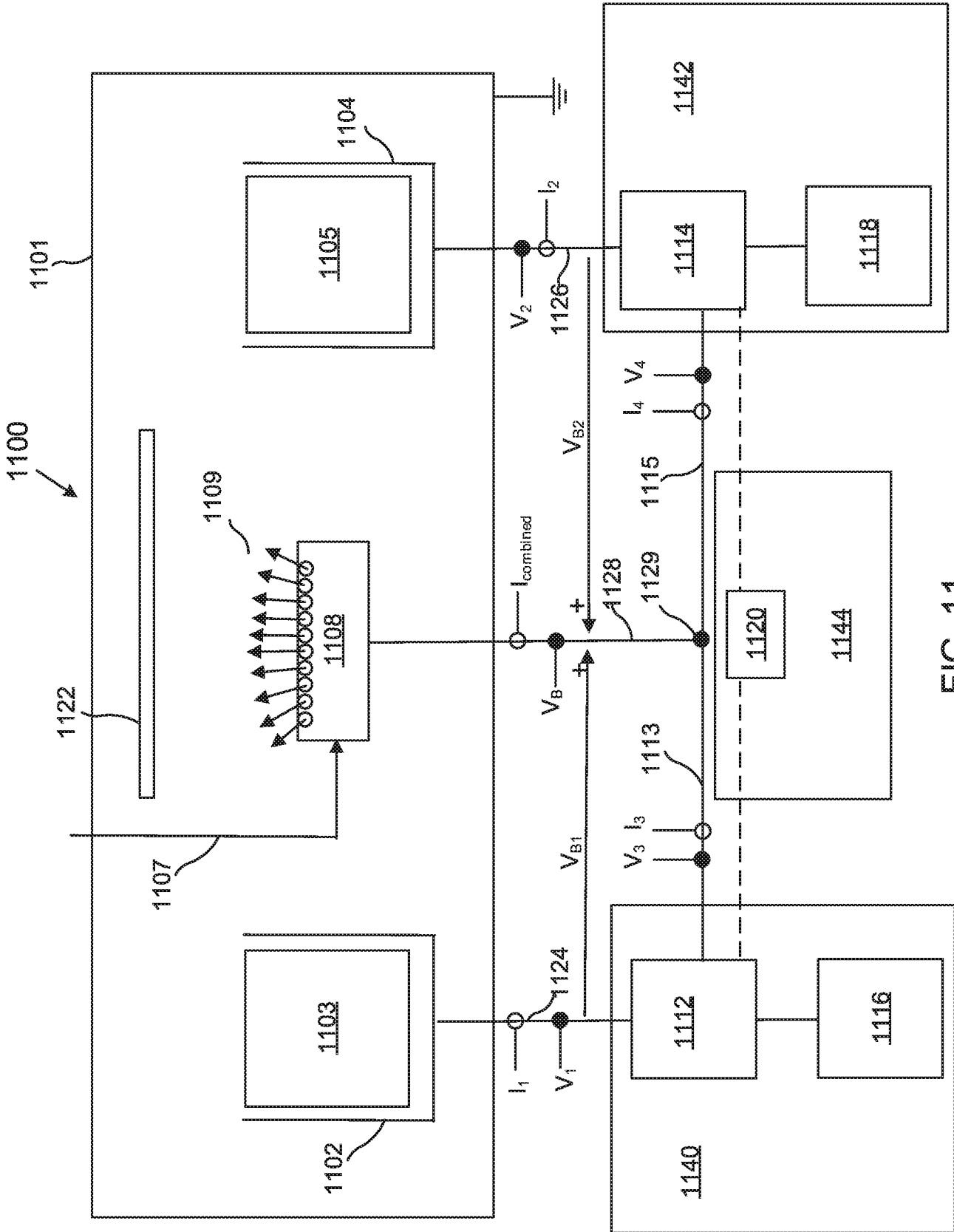


FIG. 11

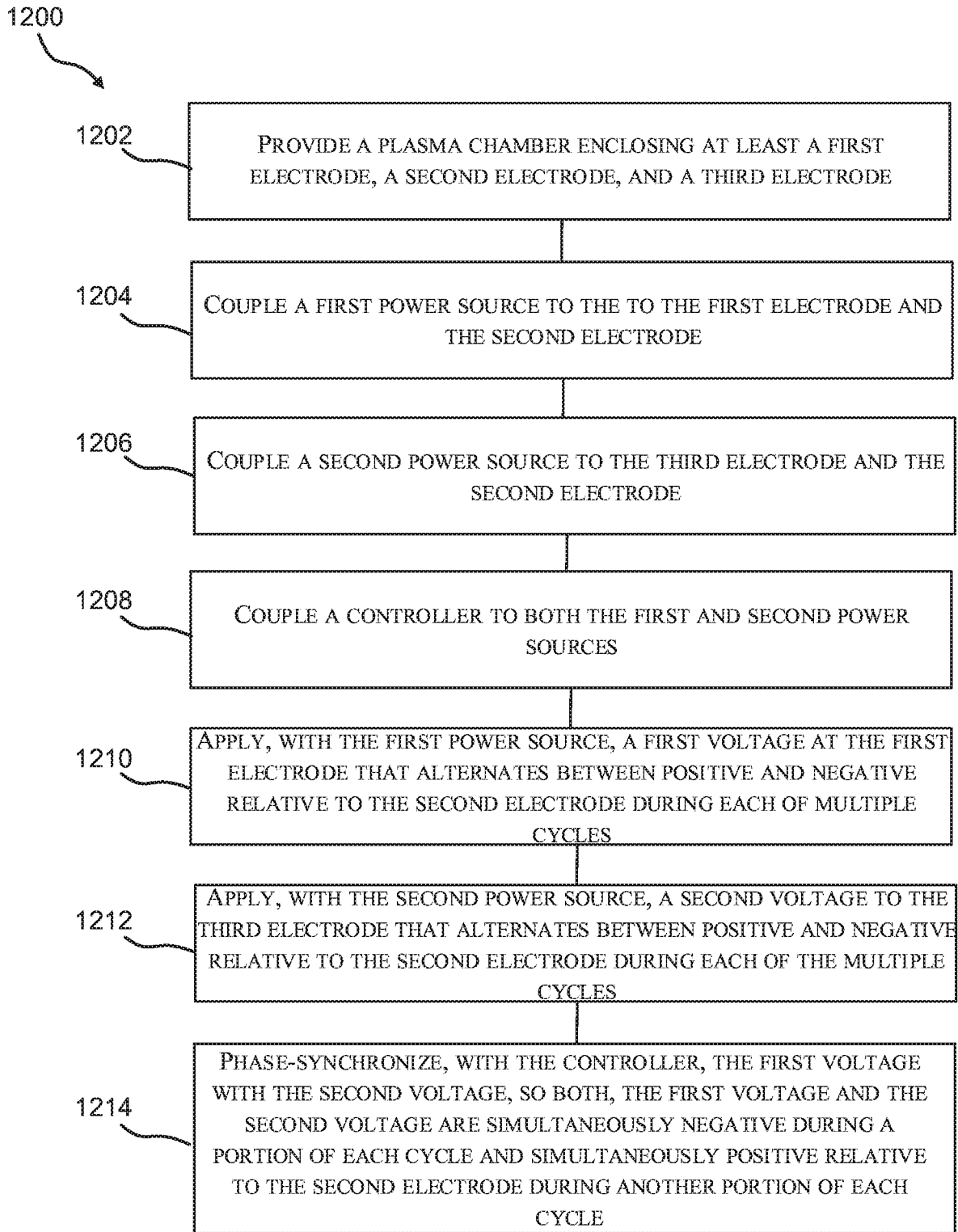


FIG. 12

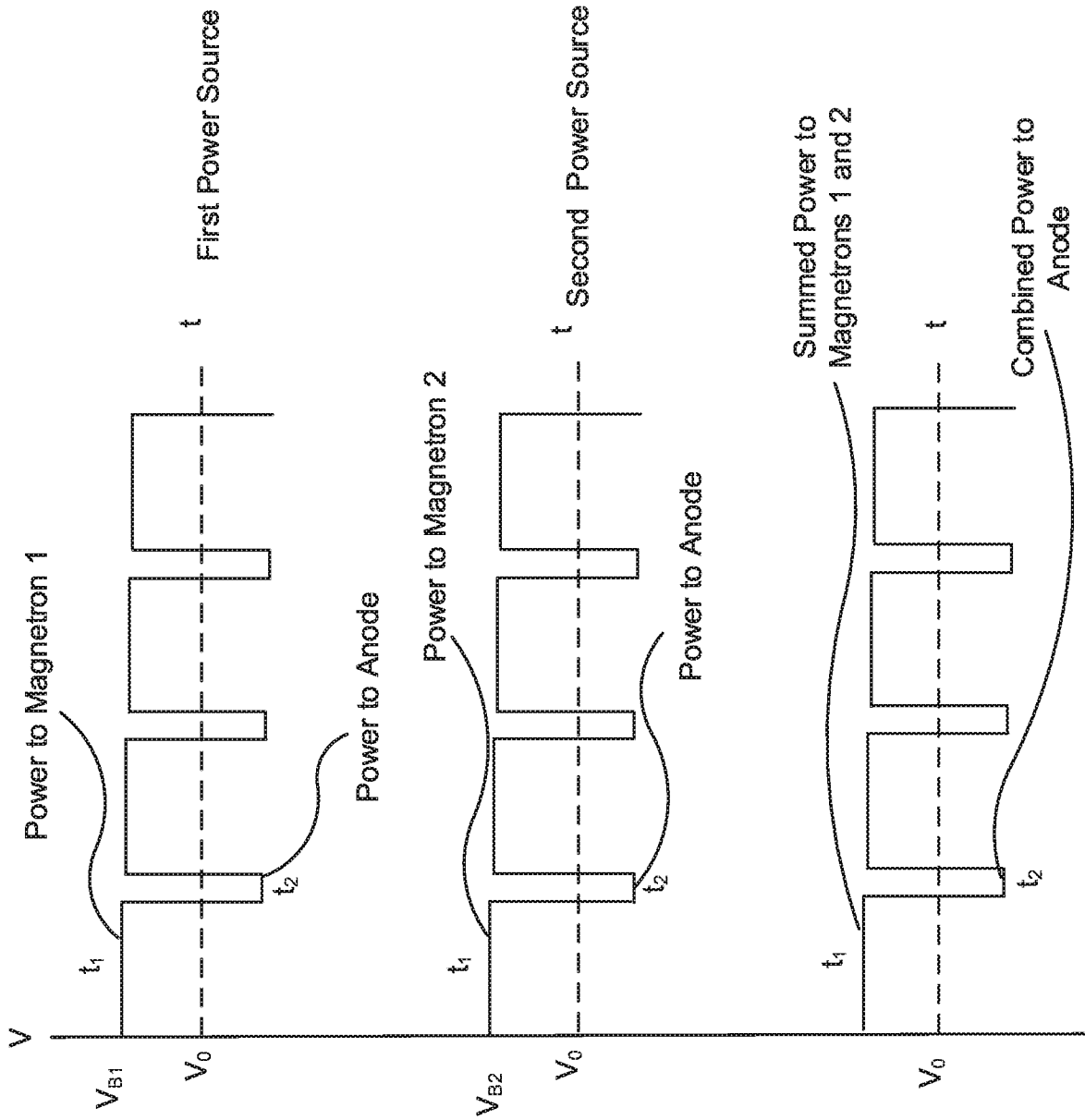


FIG. 13

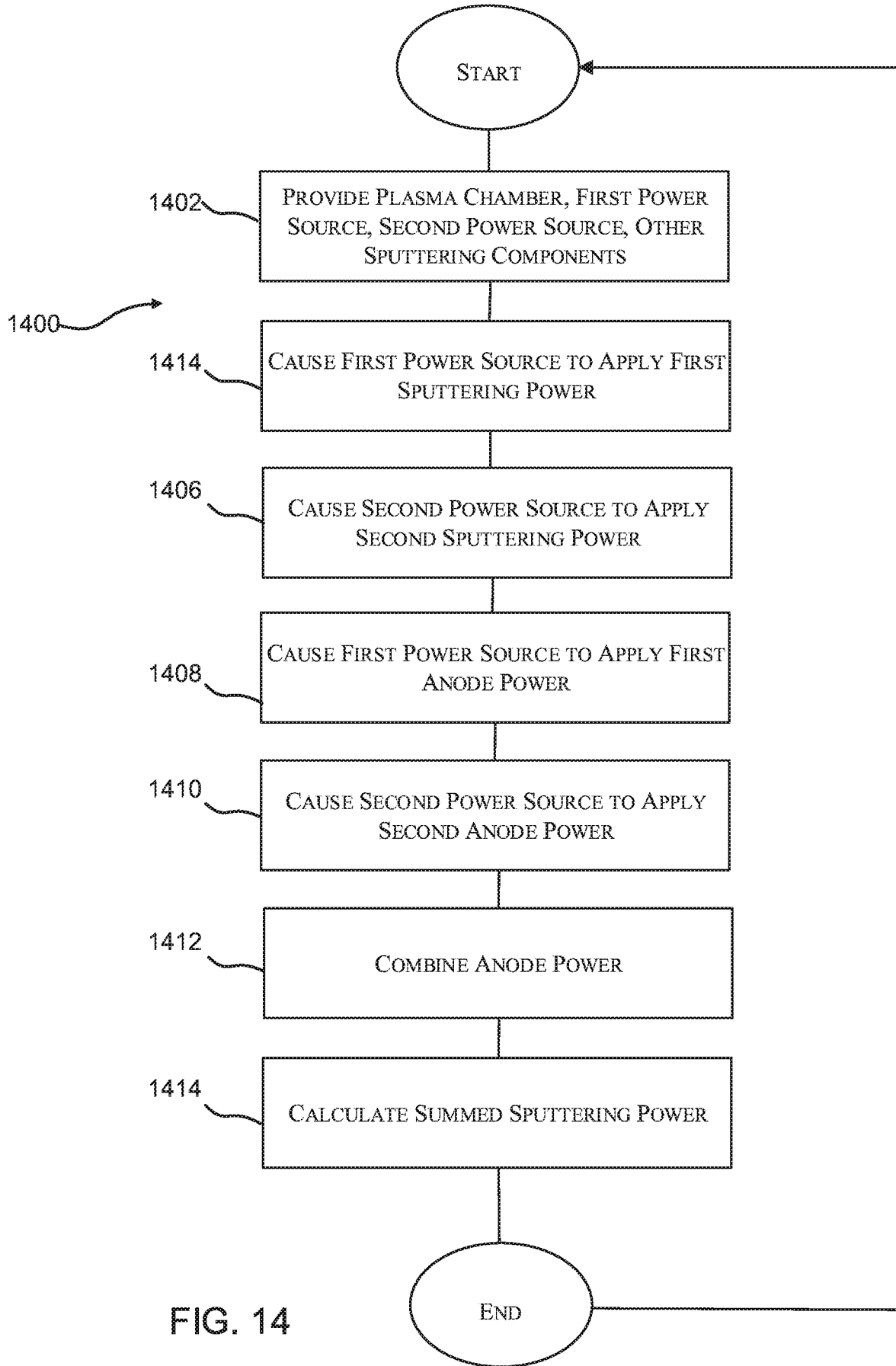


FIG. 14

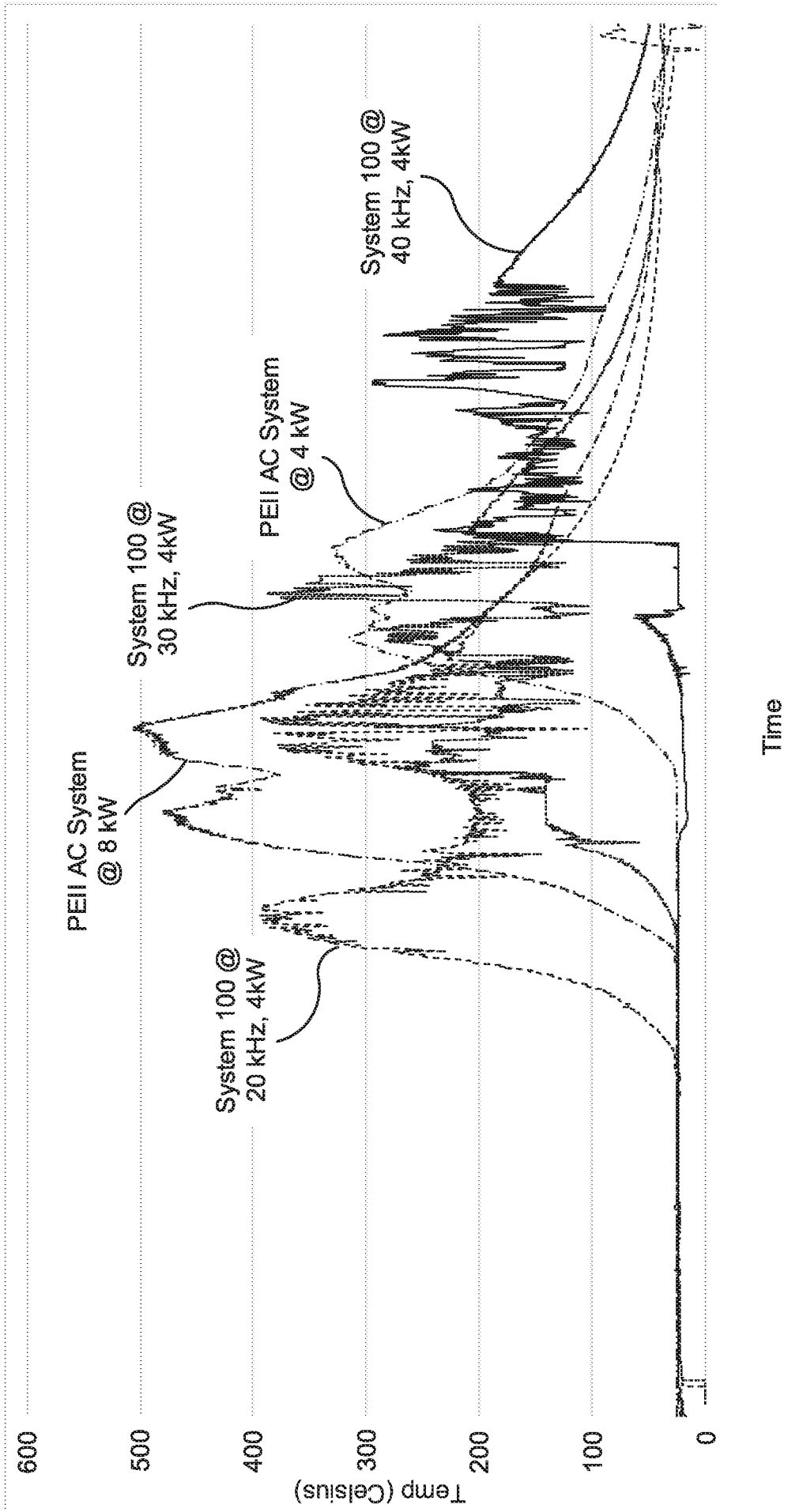


FIG. 16

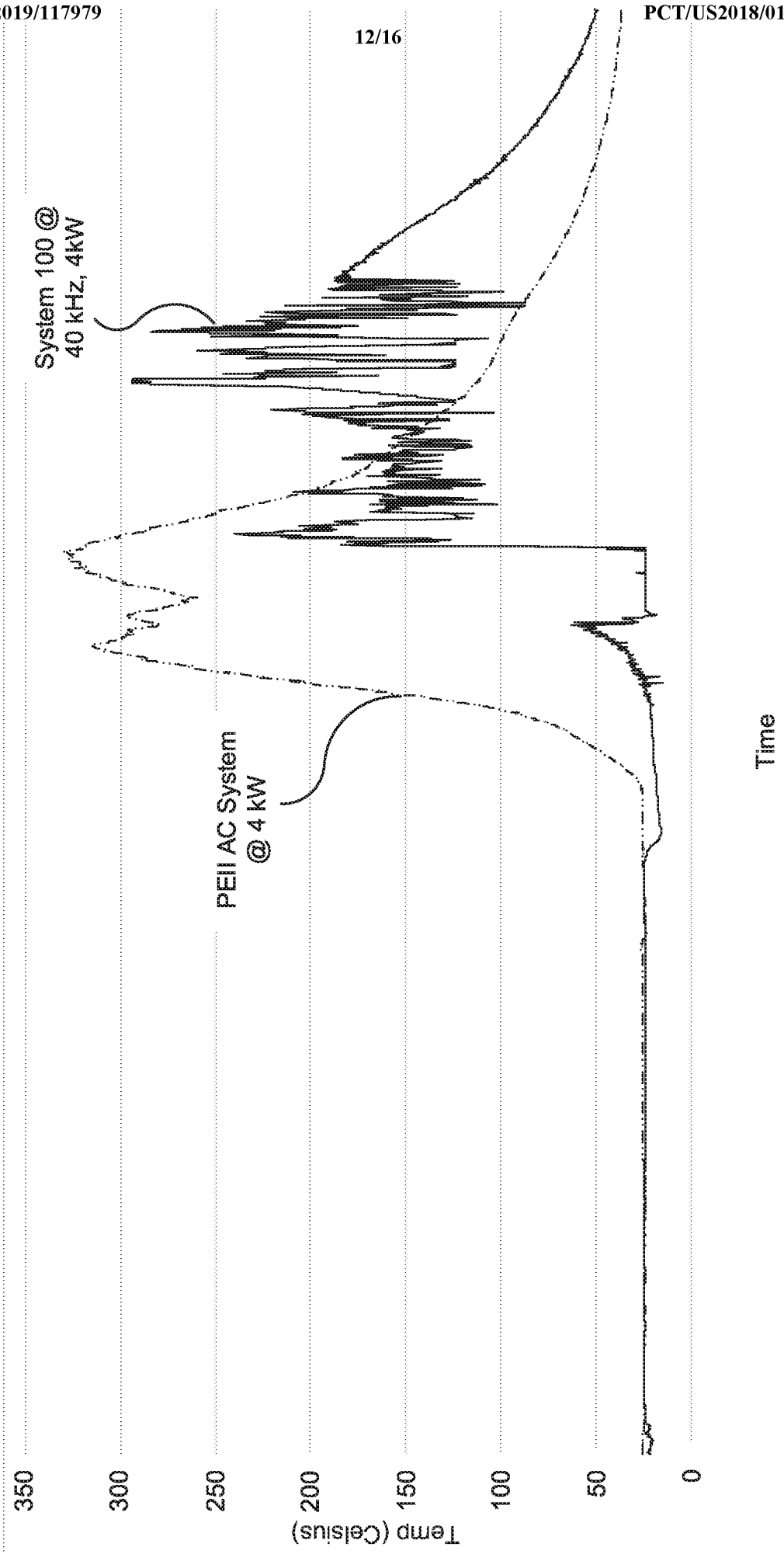


FIG. 17

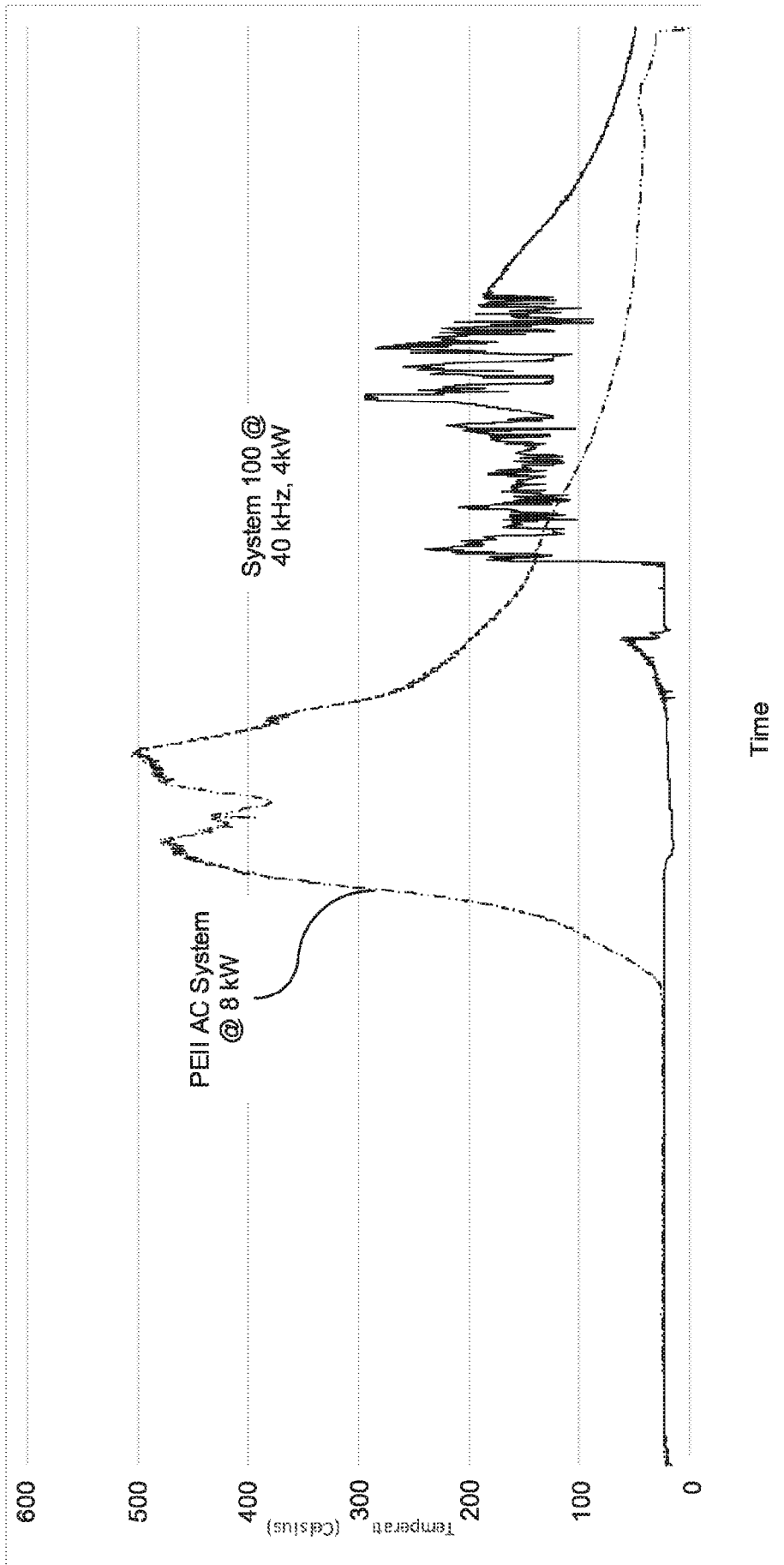


FIG. 18

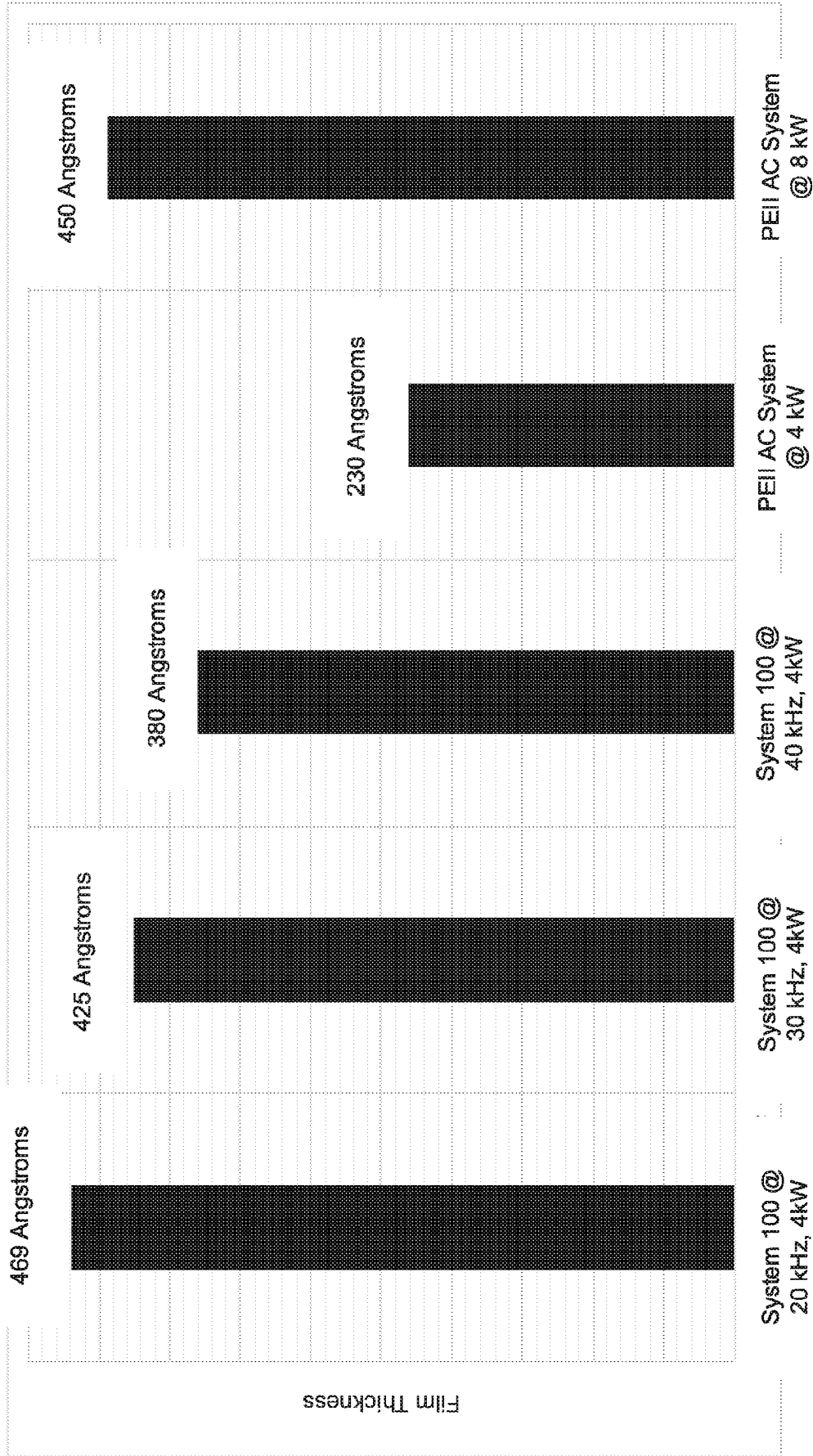


FIG. 19

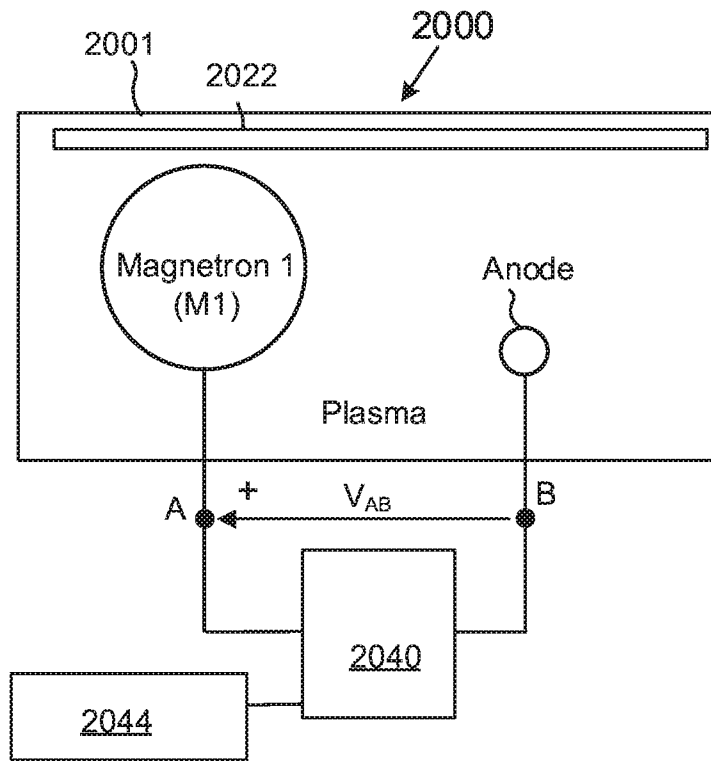


FIG. 20

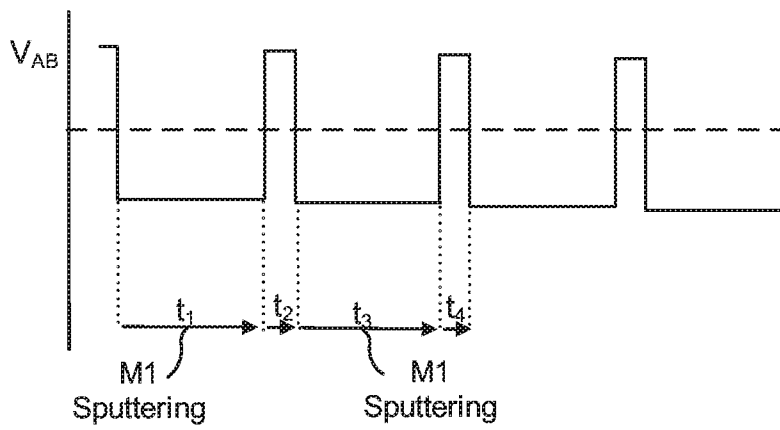


FIG. 21

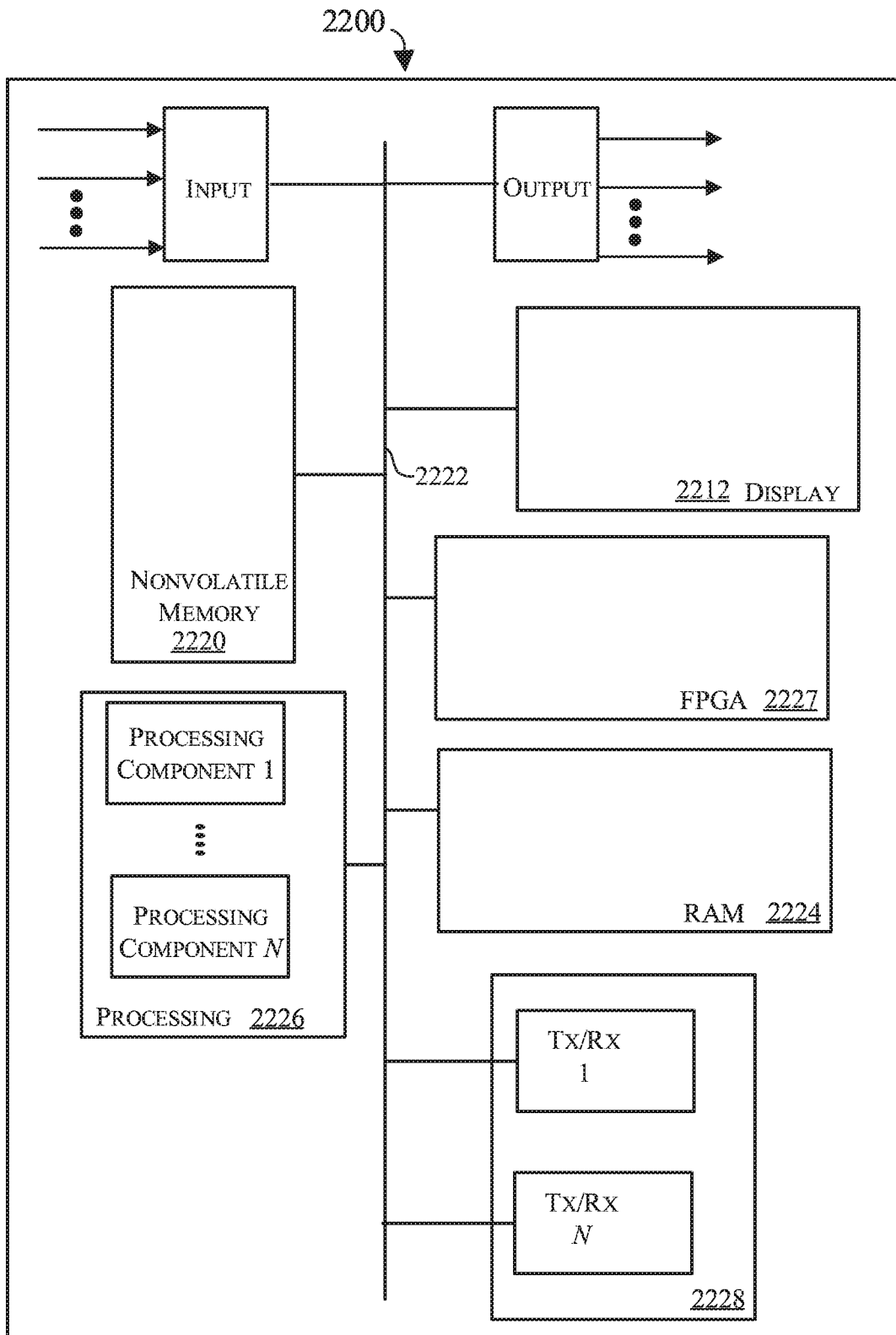


FIG. 22

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
the subject matter listed in Rule 39 on which, under Article 17(2)(a)(i), an international search is not required to be carried out, including
2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

See Supplemental Box for Details

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

A. CLASSIFICATION OF SUBJECT MATTER

C23C 14/34 (2006.01) C23C 14/35 (2006.01) H01J 37/34 (2006.01)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PATENW, Google Patents, Espacenet: IPC & CPC (C23C14/3485, H01J37/3467, C23C14/0021/LOW, C23C14/34/LOW, H01J37/34/LOW, H01J2237/081, H01J2237/327, H01J2237/332, H01J2237/2485) and Keywords (sputter, deposition, pulse, pulsed, phase, oscillation, sine, wave, waveform, mode, synchronise, sync, match, couple, harmonise, lock, second, three, third, 3rd, triple, several, plural, additional, supplemental, power, voltage, potential, current, direct, alternating, DC, AC, supply, source, input, anode, cathode, electrode, magnetron, positive, negative, opposite, switch, reverse, swap, flip, polarity, same, equivalent, equal, magnitude, amplitude, self, clean, single and like terms in various combinations)

Google Internet: Keywords as for PATENW, Google Patents, Espacenet; and additionally, 'Advanced Energy Industries'.
Applicant and Inventor names searched in Espacenet, AusPat and internal databases provided by IP Australia.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	Documents are listed in the continuation of Box C	



Further documents are listed in the continuation of Box C



See patent family annex

* Special categories of cited documents:		
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family	
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search
7 May 2018Date of mailing of the international search report
07 May 2018

Name and mailing address of the ISA/AU

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INTERNATIONAL SEARCH REPORT

International application No.

C (Continuation).

DOCUMENTS CONSIDERED TO BE RELEVANT

PCT/US2018/016223

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2016/0314946 A1 (ADVANCED ENERGY INDUSTRIES, INC.) 27 October 2016 Paragraphs 0009-0011, 0021, 0025-0044, 0047, 0055, 0063; Figures 1-4; Claims 1, 4, 8, 20	1-20
X	US 2011/0120860 A1 (HORISHITA et al.) 26 May 2011 Abstract, paragraphs 0002, 0008, 0011, 0017-0020, 0023-0024, 0031; Figures 1-2, 5-6	19-20

Supplemental Box**Continuation of: Box III**

This International Application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept.

This Authority has found that there are different inventions based on the following features that separate the claims into distinct groups:

- Claims 1-18 are directed to a pulsed sputter system, method for pulsed sputtering and non-transitory memory to operate first and second power sources (which are phase synchronised) for three electrodes, with the voltage to the first and third electrodes alternating between positive/negative polarity (relative to the second electrode) over multiple cycles. The features of the second power source (that is phase-synchronised with the first power source) and the third electrode are specific to this group of claims.
- Claims 19-20 are directed to a pulsed sputtering system with a power source for a first electrode/magnetron and an alternating voltage (with the magnitude of positive/negative polarities being the same) so that the positive voltage cleans the anode without imparting damaging heat to the substrate. The feature of the alternating voltage (with the magnitude of positive/negative polarities being the same) so that the positive voltage cleans the anode without imparting damaging heat to the substrate is specific to this group of claims.

PCT Rule 13.2, first sentence, states that unity of invention is only fulfilled when there is a technical relationship among the claimed inventions involving one or more of the same or corresponding special technical features. PCT Rule 13.2, second sentence, defines a special technical feature as a feature which makes a contribution over the prior art.

When there is no special technical feature common to all the claimed inventions there is no unity of invention.

In the above groups of claims, the identified features may have the potential to make a contribution over the prior art but are not common to all the claimed inventions and therefore cannot provide the required technical relationship. The only features common to all of the claimed inventions are first and second electrodes; and a power source that causes the a voltage at the first electrode to alternate between positive and negative relative to the second electrode over multiple cycles. However it is considered that this feature is generic in this particular art.

Therefore this common feature cannot be a special technical feature. Hence there is no special technical feature common to all the claimed inventions and the requirements for unity of invention are consequently not satisfied *a priori*.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2018/016223

This Annex lists known patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document/s Cited in Search Report		Patent Family Member/s	
Publication Number	Publication Date	Publication Number	Publication Date
US 2016/0314946 A1	27 October 2016	US 2016314946 A1	27 Oct 2016
		US 9812305 B2	07 Nov 2017
		CN 106119792 A	16 Nov 2016
		EP 3089196 A1	02 Nov 2016
		US 2018108520 A1	19 Apr 2018
US 2011/0120860 A1	26 May 2011	US 2011120860 A1	26 May 2011
		US 8506771 B2	13 Aug 2013
		CN 102047548 A	04 May 2011
		CN 102047548 B	16 Oct 2013
		JP 2009284734 A	03 Dec 2009
		JP 5124345 B2	23 Jan 2013
		KR 20110016449 A	17 Feb 2011
		KR 101181875 B1	11 Sep 2012
		TW 201014147 A	01 Apr 2010
		TW I483536 B	01 May 2015
		WO 2009145094 A1	03 Dec 2009

End of Annex

Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.

Form PCT/ISA/210 (Family Annex)(July 2009)