

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



WIPO | PCT



(10) International Publication Number

WO 2017/051388 A1

(43) International Publication Date

30 March 2017 (30.03.2017)

(51) International Patent Classification:

A61B 8/08 (2006.01) A61B 8/00 (2006.01)

(21) International Application Number:

PCT/IB2016/055723

(22) International Filing Date:

24 September 2016 (24.09.2016)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/232,019 24 September 2015 (24.09.2015) US

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY,

BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

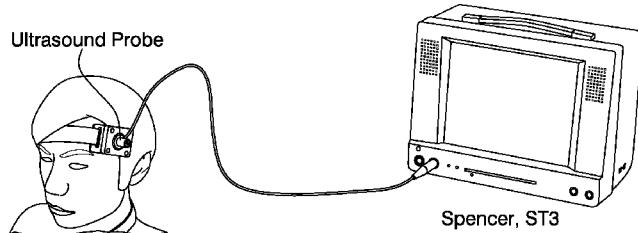
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

(54) Title: APPARATUS AND METHODS FOR DETECTING INCREASE IN BRAIN SWELLING AND/OR SHIFTING

FIG. 3



(57) Abstract: The disclosed subject matter relates to methods and apparatus for determining brain swelling and brain shifting in a patient as well as predicting a possible resultant increase in intracranial pressure in the patient. The apparatus can include a transducer such as an ultrasound transducer communicatively connected to a controller via wires or via wireless communications device(s). A monitor and/or alarm device can be provided to notify a practitioner when the controller has determined brain swelling is occurring and/or when an imminent increase in intracranial pressure is likely to occur.

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## APPARATUS AND METHODS FOR DETECTING INCREASE IN BRAIN SWELLING AND/OR SHIFTING

This application claims priority to and incorporates by reference the subject matter of U.S. Provisional Patent Application No. 62/232,019 filed on September 24, 2015 in the U.S. Patent & Trademark Office.

### BACKGROUND

[0001] The disclosed subject matter relates to methods, kits, and devices for detecting swelling of the brain in a patient. More particularly, the disclosed subject matter relates to methods, kits and devices configured for ensuring easy and continuous procedures for identifying intracranial tissue swelling in order to predict when an increase in intracranial pressure (ICP) will occur. Increased ICP can arise as a consequence of various traumas, diseases or congenital defects, and can be a result of intracranial mass lesions, disorders of cerebrospinal fluid (CSF) circulation, as well as more diffuse intracranial pathological processes. For example, in some cases, increased ICP is caused by obstruction of the outflow of Cerebral Spinal Fluid (CSF). This obstruction causes the ventricles to expand resulting in hydrocephalus.

[0002] In another example, a stroke or head trauma patient's brain tissue might gradually swell or shift after onset of symptoms, and this can cause what is commonly referred to as "second brain injury." Second brain injury can lead to brain herniation, hypercapnia, acidosis, meningitis, brain abscess and other serious injuries. Thus, the need for continuous, non-invasive, and yet cost effective and practical monitoring has been present in the medical field.

[0003] To avoid second brain injury, brain monitoring is typically conducted. Frequent and repeated computerized tomography (CT) scans and/or intracranial pressure (ICP) monitoring is typically recommended by head trauma guidelines in order to assess brain tissue condition.

[0004] The brain typically includes four fluid-filled ventricles that are connected. These cavities, known collectively as the ventricular system, include or consist of the left and right lateral ventricles, the third ventricle, and the fourth ventricle. The fourth ventricle extends from the cerebral aqueduct (aqueduct of Sylvius) to the obex, and is filled with CSF. The

fourth ventricle has a characteristic diamond shape in cross-sections of the human brain. The fourth ventricle is located within the pons or in the upper part of the medulla. CSF entering the fourth ventricle through the cerebral aqueduct can exit to the subarachnoid space of the spinal cord through two lateral foramina of Luschka and a single, midline foramen of Magendie.

[0005] The fourth ventricle is an outpouching on the posterior part of the brainstem. The flow of CSF to the nasal submucosal lymphatic channels occurs through the cribriform. When CSF pressure is elevated, cerebral blood flow may be constricted. CSF entering the fourth ventricle through the cerebral aqueduct can exit to the roof of the fourth ventricle formed by the cerebellum (and can expand into lateral, third and fourth ventricles, connected by thinner channels). Expansion of the ventricles is called Hydrocephalus and can lead to an increase in intracranial pressure. Congenital hydrocephalus is present in about 0.1% of newborn children and is due to outflow obstruction. Acquired Normal Pressure Hydrocephalus (NPH), due to excessive production of CSF, is present in an estimated 0.5% of adults over the age of 65, is underdiagnosed, and can cause gait disturbances, urinary incontinence and dementia.

[0006] Alternatively, expansion of intracranial solid tissues including: [1] brain cell swelling (cerebral edema) from infectious, hemodynamic, pharmacologic, metabolic or traumatic causes, [2] brain tumors, and [3] subdural or epidural hematomas from minor head trauma, can cause collapse of the ventricles; continued expansion results in increased ICP.

[0007] In both hydrocephalus (ventricular inflation) and intracranial tissue expansion, the first compensation is the obliteration of the layer of CSF surrounding the brain. The obliteration of this layer typically precedes an increase in ICP.

[0008] While normal ranges for ICP vary with age, increases in ICP can be acute or chronic, and thresholds for treatment are often difficult to determine.

[0009] The relation between volume and pressure within the cranium is non-linear. The Monro-Kellie hypothesis states that the sum of the intracranial volumes of blood, brain, CSF, and other components (for example, tumor, hematoma) is constant. The skull can be considered to be an inelastic container. An increase in the volume of any one of the intracranial contents is typically offset by a decrease in one or more of the others, ultimately

leading to an increase in ICP. Intracranial blood (especially in the venous/venular compartment) and CSF are two low pressure components whose volume can adapt easily to accommodate an increase in the volume of intracranial contents. Once the change in volumes of intracranial blood and CSF are exhausted, further increases in volume result in increase in ICP. Changes in both arterial and venous compartments affect pressure. Sitting up to an inclined position to raise the brain 20 cm above the heart results in ICP reduction by 8 mmHg due to deflation of the veins and venules; the expansion of intracranial arteries and arterioles by about 5 milliliters after each heart beat raises ICP by 1 mmHg. Compliance (the change in volume for a given change in pressure) provides an index of compensatory reserve, with low values suggesting a diminished reserve. Compliance is reduced when ICP is elevated, at an abnormal ICP of 25 mmHg, the arterial pressure ICP pulsation is 4 mmHg.

[0010] ICP monitoring is recommended by guideline to avoid the above-referenced “second brain injury.” Although an ICP monitor can be continuously measured at a patient’s bedside, there are several problems with this procedure/method. First, ICP monitoring is an invasive procedure. As shown in Figs. 1A and 1B, the standard methods for clinical monitoring of ICP are all invasive, requiring a hole drilled in the skull and the placement of a pressure probe or catheter into the brain tissue.

[0011] Conventionally, emergency room personnel and intensive care practitioners could deliver better care if ICP could be measured or monitored in a patient presenting with certain conditions such as head trauma or neurological symptoms. Unfortunately, as described above, monitoring ICP is typically accomplished through the use of a manometer that is inserted into a hole drilled into the skull of the patient. Thus, monitoring ICP requires an invasive procedure undertaken by a neurosurgeon (or at least with a neurosurgeon available in case of complications or difficulties with the surgery), because the procedure exposes the patient to infection and other inherent surgical risks. In addition to the difficulty in obtaining and monitoring ICP, there are also certain drawbacks to relying solely on ICP for diagnosis and treatment of trauma. For example, relying solely on ICP data may cause a time delay in treatment, may require complicated diagnostic and monitoring protocols, and may be subject to false readings should the instrumentation for monitoring ICP not be set up correctly or otherwise fail or be interpreted improperly.

[0012] Another problem with ICP monitoring is the ICP and intracranial volume relationship. Fig. 2 shows the ICP and intracranial volume curve. Medical guidelines for traumatic brain injury suggest maintaining ICP below 20-25 mmHg [Guidelines for the management of severe traumatic brain injury. J. Neurotrauma 24, S1–S106 (2007)]. However, as shown in Figs. 1A, even though intracranial volume is increased gradually, the ICP value is substantially stable until a critical point shown by arrow in Fig. 1A. After the critical point, the ICP increases dramatically. Therefore, it is difficult to maintain ICP below 20 mmHg. Accordingly a new method and device that can assess the brain condition before rising ICP has been sought after and desired.

[0013] CT scans have also been commonly used to assess the brain condition in a patient. Because a CT image can show the patient's intracranial brain "shape", CT is one of the most useful and reliable diagnosis methods for traumatic brain injury. Most traumatic brain injury patients are prescribed a CT-scan when each of the patients comes into the hospital, and additionally a follow-up CT scan is generally required.

[0014] One problem with the CT scan is that CT is not a "continuous" monitor and is not typically used at a patient's bedside. As described above, stroke or head trauma patients' brain tissue might gradually swell or shift after onset of symptoms. Even though frequent CT scans might be able to avoid second brain injury [N Engl J Med. 2012 Dec 27;367(26):2012 Dec 12.], realistically, frequent CT scans are not feasible because the patient is typically moved to a CT scan room, and this would have risk associated with patient transfer, such as patient cable problems or infection. Additionally, repeat CT imaging can increase radiation exposure and costs [<http://www.ahrq.gov/news/newsletters/research-activities/13mar/0313RA13.html>] [J Trauma Acute Care Surg. 2012 May;72(5):1255-62.].

## SUMMARY

[0015] Although ICP is an important variable for patient management, it is equally valuable to understand and possibly monitor and/or determine any causative variables associated with increases in ICP. Increases in ICP can be caused, in certain instances, by swelling of the brain tissues (edema) or by the expansion of tissue in the brain, for example, due to infection, injury, tumor, blood clot, or obstruction of cerebrospinal fluid (CSF) flow

(hydrocephalus). Monitoring of brain swelling, other intracranial tissue swelling and/or ventricular expansion can provide information helpful in predicting an imminent increase in ICP, as well as extent of ICP increase, and can therefore provide a practitioner timely information to initiate therapy and to monitor the effectiveness of that therapy.

[0016] Swelling or enlarging of the brain will occur substantially freely within an approximately 1 mm thick cushion of cerebral fluid that surrounds the brain. However, after a point at which the brain either fills the calvarium, or meets resistance at an anchor or tether point, the brain runs out of room to further expand or swell, and ICP will then begin to increase. It is at this point, when the brain meets resistance from the skull or tether points in the skull, that motion of the brain with respect to the boundaries due to swelling will decrease. This decrease in relative motion of the brain can then be used as an indicator that ICP will soon increase.

[0017] In one exemplary method according to the disclosed subject matter, the potential for using Doppler ultrasound to assess brain swelling/shifting is used, especially but not exclusively in patients with suspected stroke or head injury at bedside. Doppler ultrasound is a safe, possibly portable, continuous monitoring technique, which is already used to monitor blood flow through the major arteries, but has not conventionally been applied to the analysis of brain tissue motion.

[0018] The disclosed subject matter includes inexpensive, convenient, and effective methods of evaluating and monitoring the progress of patients in acute stroke, intensive care, and emergency medicine, etc.

[0019] One proposed technique would be used as a frontline investigative tool to monitor development of brain injury and responses to treatment in the crucial hours following brain trauma or stroke

[0020] One hypothesis states that the sum of the intracranial volumes of blood, brain, CSF, and other components (for example, tumor, hematoma) is constant. The skull is considered as an enclosed and inelastic container. An increase in the volume of any one of the intracranial contents is typically offset by a decrease in one or more of the others, or is associated with a rise in ICP. Intracranial blood (especially in the venous compartment) and

CSF are the two components whose volume can adapt most easily to accommodate an increase in the volume of intracranial contents.

[0021] According to an aspect of the disclosed subject matter, certain methods and kits and apparatus are provided that allow a standardized procedure in which normal brain motions and pulsations restricted by brain swelling can be determined and/or monitored in various conditions, regardless of operator ability or input.

[0022] According to another aspect of the disclosed subject matter, a kit for predicting intracranial pressure increase in a patient can include an ultrasound sensor and elastic band for attaching the sensor to a patient's head and a communication system (wire or wireless) for communicating information from the ultrasound sensor to a controller.

[0023] According to another aspect of the disclosed subject matter, a method of determining brain swelling in a patient, can include placing an ultrasound transducer adjacent the brain of the patient, determining at least one of location and motion of a first tissue portion relative to a second tissue portion based on information received by the transducer, and providing an intracranial pressure increase alarm when an increase above a target amount in the motion of the first tissue portion relative to the second tissue portion is determined.

[0024] According to another aspect of the disclosed subject matter, a method of determining brain swelling in a patient, can include calculating displacement of brain tissue utilizing the following formula:

$$\text{displacement}'(t) = \theta'(t) * \lambda / 2\pi - \theta'(t_0) * \lambda / 2\pi; \text{ and} \\ \theta'(t) = \arg(IQdata(t) - IQ \text{ centerpoint}),$$

where:

displacement '(t) is tissue displacement (swelling / shifting) from IQ center point;

$\theta'(t)$  is IQplot argument (IQ phase angle) from IQ centerpoint;

$IQdata(t)$  is IQ data at time  $t$ ; and

IQ centerpoint is IQ trajectory center point.

[0025] According to another aspect of the disclosed subject matter, an apparatus for determining brain swelling in a patient can include, an ultrasound transducer, an attachment

structure configured to attach the transducer to the patient for continuous monitoring of the brain, and a controller configured to calculate displacement of brain tissue based on information from the ultrasound transducer, the controller configured to remove data sensed by the ultrasound transducer due to at least one of a cardiac cycle and a respiratory cycle of the patient.

[0026] According to another aspect of the disclosed subject matter, an apparatus for determining brain swelling can include a controller configured to calculate displacement of brain tissue utilizing the following formula:

[0027]  $\text{displacement}'(t) = \theta'(t) * \lambda / 2\pi - \theta'(t_0) * \lambda / 2\pi$ ; and

[0028]  $\theta'(t) = \arg(IQ_{\text{data}}(t) - IQ_{\text{centerpoint}})$ ,

[0029] where: displacement '(t) is tissue displacement (swelling / shifting) from IQ center point,  $\theta'(t)$  is IQplot argument (IQ phase angle) from IQ centerpoint;  $IQ_{\text{data}}(t)$  is IQ data at time t, and IQ centerpoint is IQ trajectory center point.

[0030] Although the apparatus and method are effective in predicting intracranial pressure increase due to brain swelling, the method can also be effective in predicting other increases in pressure such as due to compartment syndrome of muscles in the legs or arms, which is similar in most respects (a limited containing volume, enclosing expanding tissue or fluid space which results in the loss of blood supply (ischemia) and tissue death).

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0031] The disclosed subject matter of the present application will now be described in more detail with reference to exemplary embodiments of the apparatus, kits and method, given by way of example, and with reference to the accompanying drawings, in which:

[0032] Figs. 1A and 1B are a cross section of a patient depicting conventional ICP monitoring and a conventional ICP measurement probe, respectively.

[0033] Fig. 2 is an intracranial pressure-volume curve.

[0034] Figs. 3A and 3B are perspective views of an embodiment of the presently disclosed subject matter.

[0035] Fig. 4 is a schematic view of an embodiment of the presently disclosed subject matter.

[0036] Fig. 5 is a schematic block diagram showing an embodiment of the presently disclosed subject matter.

[0037] Figs. 6A and 6B are graphs showing an example of IQ data, and tissue displacement calculated by equation (1), respectively.

[0038] Figs. 7A and 7B are IQ example includes stationary echo clutter signal.

[0039] Fig. 8 is a flowchart showing an embodiment of the disclosed subject matter.

[0040] Figs. 9A and 9B are graphs showing uncorrected and corrected displacement, respectively, representing the tissue displacement signal.

[0041] Fig. 10 is a graph showing brain tissue displacement (depth 25mm).

[0042] Fig. 11 is a graph showing brain tissue displacement (depth 50mm).

[0043] Fig. 12 is a graph showing brain tissue displacement (depth 75mm).

[0044] Fig. 13 is a graph showing brain tissue displacement (depth 25mm) when patient is in supine position.

[0045] Fig. 14 is a graph showing brain tissue displacement (depth 50mm) when patient is in supine position.

[0046] Fig. 15 is a graph showing brain tissue displacement (depth 75mm) when patient is in supine position.

[0047] Fig. 16 is a schematic view of another embodiment of the presently disclosed subject matter.

[0048] Figs. 17A and 17B are a schematic block diagram and photo, respectively, showing another embodiment of an ultrasound controller made in accordance with principles of the presently disclosed subject matter.

[0049] Figs. 18A and 18B are a schematic diagram and photo, respectively, showing another embodiment of an ultrasound probe made in accordance with principles of the presently disclosed subject matter.

#### **DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENTS**

[0050] A few inventive aspects of the disclosed embodiments are explained in detail below with reference to the various figures. Exemplary embodiments are described to

illustrate the disclosed subject matter, not to limit its scope, which is defined by the claims. Those of ordinary skill in the art will recognize a number of equivalent variations of the various features provided in the description that follows.

**1. Exemplary Method and Apparatus for Determining Brain Swelling and/or Predicting Increase in Intracranial Pressure**

[0051] The cranial braincase is a fixed volume containing "semi-solid" neurological tissue and other solids plus liquid blood and cerebral-spinal fluid. The only major outlet is the foramen magnum containing the brainstem including channels for CSF flow to and from the ventricles to the spinal cord. Blood vessels provide additional communications between the calvarium and the exterior. The current standard of practice for assessing intracranial pathology includes the measurement of Intra-Cranial Pressure (ICP). Intracranial pressure can be reviewed in various time increments, such as seconds (C waves), minutes (B waves), fractions of hours (A waves), or on a daily basis, to provide information about the likely outcome of the case and to provide information necessary for treatment. ICP is measured in various types of cases, such as stroke, osmotic metabolic disease, unexplained coma, hydrocephalus, and head trauma. Some publications suggest that ICP measurement would be useful in the evaluation of severe headache, gait disturbances, incontinence and dementia.

[0052] In the case of head trauma, the time course of ICP can be correlated with patient outcome. For example, in cases considered to have an "early profile" where ICP was elevated for two days or less, the patient outcome was relatively good. In cases considered to have an "intermediate profile" where ICP was elevated for a time period between two and five days, the patient outcome was not as good as in early profile cases. In cases considered to have a "late profile" where ICP was elevated greater than five days, the patient outcome was more often severe, including vegetative state and death. In addition, if a mass were removed from the brain or skull during treatment, the number of intermediate profile cases dropped from 40% to 12%, while the early and late profile cases increased from 25% to 40% and from 35% to 50%, respectively. Thus, patient outcome was related to the ICP profile: good outcome was rare in "late profile" cases compared to "early profile." Death, persistent vegetative state and severe disability were higher in "late profile" cases compared to "early

profile" cases.

[0053] The cause of the poor outcome correlation with long duration High ICP might be due to brain tissue ischemia due to low Cerebral Perfusion Pressure (CPP), the difference between Blood Pressure (BP) and ICP.

$$[0054] \quad \text{CPP} = \text{BP} - \text{ICP}$$

[0055] CPP is similar to transmural pressure (BP – tissue pressure) that sustains inflation of arteries and veins. Transmural pressure is related to muscle compartment syndrome, in which elevated tissue pressure due to edema within a confined fascial compartment compresses the patency of veins and arteries. Because the vascular walls are flaccid, the lumen collapses as the tissue pressure exceeds the luminal pressure.

[0056] CPP analysis considers the contents of the cranium to be liquid with isotropic, uniform pressure distribution, a simplification that might obscure a better understanding. Thus the ICP measurement from a single point might not be sufficient to characterize the pressure throughout the volume of the cerebrum and cerebellum because the major content of the cranium is semi-solid, tethered at multiple locations, and divided by fascia into compartments.

[0057] As a mass or swelling expands in a portion of the brain, the solid tissue will distort and deflect the boundaries creating differing regions of pressure within the cranium. In addition, tethering will create further alterations in pressure. One example of tethering is the Superior Sagital Sinus, which has a negative transmural pressure, but is stretched open by the tether of the Falx Cerebri. Of course, in solid tissue, pressure is not isotropic (equal in all directions). The differences in pressure in different regions of the brain will cause the arterial, arteriolar, venular and venous transmural pressure to differ between regions. Low transmural pressure in one region might decrease or obstruct perfusion in that region while other regions receive higher perfusion, causing the regions of decreased perfusion to become ischemic resulting in regional brain damage. In the supine patient, where venous drainage to the right atrium is via open veins and thus the respiratory variations in atrial pressure are reflected in the cerebral venules, an increase in pressure in a portion of the brain will result in adverse venular transmural pressure and a decrease in the respiratory tissue volume changes. This condition will also result in an increase in arteriolar pulse amplitude, as the "cushioning

effect" of the venules is lost. In a patient with more severe regional cerebral pressure increases, the arteriolar transmural pressure might become unfavorable leading to a loss of brain perfusion indicated by the loss of the tissue arteriolar pulsations. Applicant notes that if the ICP exceeds the arterial pressure, especially exceeds the systolic brain arterial pressure (arm blood pressure (=120 mmHg) – Elevation hemostatic decrease ( = 30 mmHg~40 cmElevation) = 90 mmHg, then the brain strain pulse amplitude should decrease to zero, when the ICP is between diastolic and systolic pressure, then the pulsatile strain should be large.

[0058] There is also a possibility of regional perfusion that could be monitored or predicted in accordance with the presently disclosed subject. Because the pressure is likely to be different in different compartments of the cranium, a region of increased pressure might have depressed perfusion compared to another.

[0059] In addition, it is possible that "slow waves" exist that can be measured on the order of a minute or so, that are due to major shifts of tissue, releasing "bottled up" pressure, that are likely similar to "earthquakes" that will cause large brain motions. Certain noise filters can be incorporated into the software and/or hardware of the disclosed subject matter in order to protect data from being swamped or somehow made less effective by these "earthquake" type of events.

[0060] In pathological cases, ICP increases by various mechanisms, including for example: 1) the obstruction of the outflow of Cerebral Spinal Fluid (CSF) leading to hydrocephalus; 2) the expansion of solid tissue including: 2a) brain edema, 2b) intracranial hematoma, and 2c) tumor.

[0061] Some in-vivo models of ICP have measured "brain elasticity" (dP/dV) by the infusion of fluid into brains or spinal cords, assuming that the brain tissue is compressible or that the vascular and fluid spaces in the cranium have elastic boundaries. Here we consider an alternate conception of the crano/cerebral dynamics.

[0062] Our study investigates the potential for using Doppler ultrasound to assess brain swelling/shifting in patients with suspected stroke or head injury at bedside. Doppler ultrasound is a safe, possibly portable, continuous monitoring technique, which is already used to monitor blood flow through the major arteries, but has not so far been applied to the

analysis of brain tissue motion.

[0063] The disclosed subject matter includes inexpensive, convenient, and effective methods of evaluating and monitoring the progress of patients in acute stroke, intensive care, and emergency medicine.

[0064] One proposed technique would be used as a frontline investigative tool to monitor development of brain injury and responses to treatment in the crucial hours following brain trauma or stroke.

[0065] In accordance with one embodiment of the disclosed subject matter, system and measurement algorithm can be employed. As shown in Figs. 3 and 4 an investigation test system can be employed to conduct the method of monitoring the brain of a patient. In particular, a Trans Cranial Doppler (e.g., a Spencer T3) can be used by attaching an Ultrasound probe on the temporal window or forehead using an elastic band to measure brain tissue swelling/shifting via a controller.

[0066] Table 1

Doppler type	Pulse Wave Doppler
Ultrasound Frequency	2MHz
PRF(Pulse repetition Frequency)	8000KHz
Measuring Range (depth)	22mm to 87 mm

[0067] At each depth swelling or shift of brain tissue (Displacement) from time 0 to t is calculated using the equation below.

[0068] Displacement (t) = $\theta(t)\lambda/2\pi - \theta(t_0)\lambda/2\pi$  (1)

[0069]  $\lambda = 1000*c/f$  (2)

[0070] where;

[0071] t : time

[0072] Displacement (Gate, t) : tissue displacement(swelling / shifting) um

[0073]  $\theta(t)$  : IQplot argument (IQ phase angle)

[0074]  $\lambda$  : ultrasound wavelength um

[0075] c : Ultrasound speed 1.54mm/us

[0076]  $f$  : Ultrasound frequency 2 MHz

[0077]

[0078] The phase angle (theta (t)) is calculated from each IQ data, and the displacement is calculated by multiplying the phase angle (theta (t)) and wavelength (lambda/2). IQ data trajectory forms arcs centered at the origin of IQ plane (0,0) as shown in Figure 6A and the tissue displacement calculated by equation (1) is shown in Figure 6B.

[0079] This method measures brain tissue displacement from time 0 to t, but does not provide an absolute brain tissue position at a certain time. However, by using "continuous" measurement or monitoring the method can provide the total displacement from the beginning of the measurement (time 0) to current, so that it will detect if any shift or swelling of the brain tissue occurs during monitoring.

[0080] As shown in Figs. 6A and 6B, in principle the IQ data's center point is at the origin of IQ plane (0,0), however, the IQ data often includes stationary echo clutter signal, which is caused by bone reflection and the IQ trajectory center point shifts from the origin.

[0081] Figs. 7A and 7B show a simulated example where IQ data includes the tissue displacement signal and stationary echo clutter signal. Sine wave can be used as a tissue displacement signal. As shown in Fig. 7A, the IQ trajectory center point is shifted from the origin, and the tissue displacement waveform calculated by equation (1) is not sine wave (Fig. 7B). Therefore, if the IQ data includes some stationary clutter signal or something that causes the shift of the center point from the origin, the equation (1) may not accurately calculate tissue displacement.

[0082] To calculate correct tissue displacement, the stationary echo clutter signal or any signals that cause the shift of the center point can be removed. When this data is removed, the IQ phase angle (IQ plot argument) is measured not from (0,0) but from an IQ trajectory center point. The IQ trajectory center point is calculated at every cardiac cycle or every few seconds, and the IQ phase angle (argument) is calculated from the IQ trajectory center point as shown in the flowchart (Fig. 8).

[0083] Then, the correct brain tissue displacement from time 0 to t is calculated using the equation below.

[0084]

$$\text{Displacement}'(t) = \theta'(t) * \lambda / 2\pi - \theta'(t_0) * \lambda / 2\pi \quad (3)$$

$$\theta'(t) = \arg(IQdata(t) - IQ\text{ centerpoint}) \quad (4)$$

where:

Displacement '(t) : tissue displacement (swelling / shifting)  
from IQ center point um

$\theta'(t)$  : IQplot argument (IQ phase angle)  
from IQ centerpoint

$IQdata(t)$  : IQ data at time t  
 $IQ\text{ centerpoint}$  : IQ trajectory center point

[0085] Figs. 9A and 9B show the uncorrected displacement and the corrected displacement, which is calculated by the above equation (3). The corrected displacement (Fig. 9B) forms the sine wave that is used in the simulation to represent the tissue displacement signal. The presently disclosed algorithm is, therefore, shown to be able to remove the stationary echo clutter signal.

[0086] Brain motions attributed to heart and breathing cycles can be removed using the above algorithm to calculate brain position and thus, true swelling can be clearly discernable with the presently disclosed device and method. Moreover, the cyclical displacement of brain tissue due to heart cycle and variation in blood pressure and/or concurrent breathing can be removed from the measurement method and the device and method can provide accurate brain position and movement data through the use of a Doppler type ultrasound sensor and controller.

[0087] Safety guidelines for transcranial Doppler monitoring issued by the British Medical Ultrasound Society are typically followed at all times (BMUS 2009). These state that:

[0088] Table 2 TIC

Application	Values to monitor	Thermal Index value		Mechanical Index value	
		0 – 1.0	> 1.0	0 – 0.3	> 0.7
Adult transcranial (imaging and stand-alone)	TIC and MI	✓	Restrict time to: 0.7<TIC≤1.0 : 60 min 1.0<TIC≤1.5 : 30 min 1.5<TIC≤2.0 : 15 min 2.0<TIC≤2.5 : 4 min 2.5<TIC≤3.0 : 1 min TIC> 3 : not recommended	✓	Risk of cavitation with contrast agents

[0089] TIC – thermal index for cranial bone, MI – mechanical index

[0090] Therefore, it was decided to record measurements using a power (TCD system power) of 40% to maintain a TIC of < 1.0.

[0091] Healthy volunteer test

[0092] To validate the assumption, we did the following testing.

[0093] \*Valsalva maneuver test

[0094] \*supine posture (-20deg) test.

[0095] Valsalva maneuver

[0096] The Valsalva maneuver is performed by moderately forceful attempted exhalation against a closed airway, usually done by having a patient close their mouth, pinching the patient's nose shut, while having the patient exhale or press out as if blowing up a balloon.

[0097] During Valsalva maneuver testing, the intrathoracic pressure increases, and central venous pressure also increases. Accordingly, the venous blood volume in brain typically increases and, as a result, the brain swells. (ICP would also increase.) Therefore, we expect that the brain swelling can be measured using the ultrasound transducer attached on the head during Valsalva maneuver testing.

[0098] The valsalva maneuver test protocol is as follows;

[0099] Probe position: Temporal window

[00100] Protocol:

[00101] \* Rest: about 10second

[00102] \* Valsalva maneuver : about 30second

[00103] \* Rest : about 30 second

[00104] Supine(-20deg) posture test

[00105] During supine posture, the venous intracranial venous blood volume in brain would increase and accordingly, the brain tissue would also swell. We expect that the brain swelling can be measured using an ultrasound sensor when the patient is in the supine posture.

[00106] The Supine(-20deg) posture test protocol is as follows;

[00107] Probe position: Temporal window

[00108] Protocol:

[00109] \* sitting: about 20second

[00110] \* Supine (-20deg): about 60second

[00111] \* sitting: about 40 second

[00112] Result

[00113] Valsalva maneuver

[00114] Figure 6-8 show the brain tissue data during Valsalva maneuver. The measurement depth from head surface is 25mm, 50mm and 75mm respectively.

[00115] As shown in Figs. 10-12, the ultrasound system could measure brain tissue displacement and this means “brain swelling measurement”. In the 25mm depth measurement, the brain tissue swelled around 1.0mm. In the 50mm depth measurement, the tissue swelled 2.5mm, and in the depth 75mm, the displacement was around 3.5mm. Therefore deeper brain tissue swelled more. The reason is that, because the brain tissue is enclosed in rigid skull and the brain tissue is like a “sponge”, we think the deeper brain tissue can swell more.

[00116] Supine(-20deg) posture

[00117] Figs. 13-15 show the brain tissue data during Valsalva maneuver. The measurement depth from head surface is 25mm, 50mm and 75mm respectively.

[00118] As shown in Figs. 13-15, brain tissue displacement could also be measured in supine posture testing using the ultrasound system. In the 25mm depth measurement, the brain tissue swelled around 0.5mm. In the 50mm depth measurement, the tissue swelled 0.75mm, and in the depth 75mm, the displacement was around 1.5mm. The deeper brain tissue swelled more, and this is similar behavior to Valsalva maneuver testing.

[00119] Brain swelling can be measured using ultrasound. This brain swelling/shifting monitor can be a new tool to avoid second brain injury. An EKG-electrode-like ultrasound transducer and connected to a patient as shown in Fig. 3 would make continuous measuring and monitoring easier to use and facilitate measurement of brain swelling. The disclosed swelling monitor can be used as new patient monitor parameter. The disclosed swelling monitor and method for use can measure swelling/shifting and also measure the progress of swelling going down.

[00120] Features of the swelling monitor and method for use include brain swelling/shifting monitor using ultrasound, continuous monitoring at a patient's bedside, and measurement of "relative" brain tissue displacement. Therefore, according to one embodiment of the device and method of the disclosed subject matter, measurement is continuous, and not in an intermittent measurement manner.

[00121] It should be noted that the ultrasound transducers can be "communicatively connected" to a controller by a hard wire connection (such as metal wire, fiber optics, or other hard connection) or by a wireless connection (such as wi-fi, bluetooth technologies, and other radio-frequency connections or other wireless communication protocols). In operation, the controller can be integrated into or separate from a typical ultrasound device, and includes software and or hardware configured to obtain, determine and/or monitor the location of a first brain tissue portion relative to a second brain tissue portion. The software and/or hardware can be configured such that positional information of a first target tissue is obtained and then compared to positional information related to a second target tissue. If the information indicates an expected pulsation over time with the cardiac cycle, then the controller will determine that the brain is free to expand normally. If the information indicates a periodic expansion with respiration, then the controller will determine that the venous pressure in brain exceeds the intracranial pressure, which is normal in a supine patient. If the information indicates a change of position over time in concert with an accelerometer monitoring skull position and/or orientation, then the controller will determine that the brain is floating normally in the CSF. If the information indicates a progressive change over time, then the controller will determine that the brain is swelling. If the information indicates either a decrease in change over time, or indicated no relative

movement after a period of movement, then the controller will determine that the brain is swelling and that ICP will increase in the near future. Upon the controller determining that ICP will increase, information can be provided to a practitioner via a monitor or via a remote alarm device such that the practitioner will be informed that ICP will likely increase in the patient. The monitor device can be built into or attached to the controller either via a wired or wireless connection. Similarly, the alarm device can be attached to the controller either via a wired or wireless connection. Alternatively, the alarm device can be a cell phone or other type of remote communication device. The controller can also be configured to provide information to a server that then manages the information and communicates to various recipients (such as alarm device in the form of a cellular phone, tablet, computer, etc.). Various applications can also be developed to best manage and deliver the information to specific remote devices.

[00122] It should be noted that radio frequency phase demodulation can be used in the disclosed subject matter to obtain the desired resolution from the ultrasound (or other) transducer. For example, resolution can be such that 1/10 micron displacements can be measured within the brain tissue.

[00123] The controller can also be connected to other sensors to provide for more accurate determination of positional relationships of brain tissue relative to itself (*i.e.*, to determine the amount of change in position of a first target brain tissue relative to a second target brain tissue to determine swelling, etc.). For example, an accelerometer can be provided and attached to a patient's head and/or chest (over the sternum) to monitor position and movement of these two areas of the body such that the movement can be used to better calculate the positional relationship between the two target brain tissues or the elevation between a target brain tissue and the right atrium of the heart which is used as a pressure reference. More specifically, the accelerometers placed on the skull and sternum can be used to determine a relative elevational difference between the right atrium and the body part being measured, *e.g.*, skull/brain. In addition, a respiratory and/or a pulmonary sensor can be attached to the controller such that respiratory and/or a pulmonary function information can be used to better calculate the positional relationship between the two target brain tissues, and to better the existence of swelling. In one example, the respiratory and/or a pulmonary sensor can be

combined with either the accelerometer or the ECG sensor(s). Recently, microcircuit patches have become available that attach to a user's head like a small bandage and wirelessly transmits acceleration data for real-time monitoring of head acceleration, especially during sporting activities. It is contemplated that the accelerometer can be configured in a similar manner to include such a microcircuit. In addition, because swelling of the brain tissue is relatively small, and because there is a great deal of variability between anatomical geometry in patients, it may be helpful to use base line data to ensure greater accuracy of swelling and positional measurement and calculation. For example, base line data including skull and brain location data is sometimes collected for athletes. This type of base line information could then be utilized by the system/apparatus 1 of the disclosed subject matter to increase accuracy during use on a particular patient in which base line information is available.

[00124] Intracranial tissue, including brain tissue, exhibits natural pulsatile motions. In an upright normal person, the cardiac motion is about 20 micrometers superimposed on the respiratory motion of about 20 micrometers. The motion includes the dicrotic wave commonly found in any plethysmographic method. The presence of the dicrotic wave indicates relative vasoconstriction, and loss of this wave indicates relative vasodilation. The respiratory motion in an upright person is likely due to changes in cardiac output with respiration as the central venous pressure is less than the elevation of the head over the heart. If the person is supine, then an additional respiratory component may be present, with a different phase than the cardiac output component. The motion is bilateral, and thus the ventricles expand.

[00125] The brain (and other intracranial tissue) expands and contracts over both the cardiac and respiratory cycles. However, brain volume is constrained by the skull (and other tether points, as described above). Expansion or swelling of the brain or other intracranial tissue compresses the ventricles in the brain. Each cardiac cycle causes the brain to move medially, posteriorly, and caudally. These motions are the basis of the monitoring system proposed for determining intracranial tissue swelling, which is the cause of increased intracranial pressure in most cases.

[00126] Fig. 16 is a schematic view of another embodiment of the presently disclosed subject matter. In this embodiment, a brain tissue swelling/shifting monitor is connected via

an ultrasound probe or transducer to a patient or other user. The ultrasound probe can be attached to the patient's head by a flexible band that directs the probe at certain target areas. The ultrasound controller connected to the ultrasound probe transmits bursts of high voltage pulses to the probe, and accordingly the probe generates ultrasound waves. The probe then receives the ultrasound signal (RF signal), reflected from patient's brain tissue (as well as other tissue surrounding the brain). The controller calculates the brain tissue displacement from IQ data that is generated from the ultrasound RF signal. The tissue displacement data can be sent to a recording/ monitoring device such as a patient monitor, computer, or other data control or storage device or a networked system. The controller can be built into or attached to the patient monitor (or other data recorder) either via a wired or wireless connection. For example, the controller can be built into monitoring devices associated with a central monitoring system, such as those disclosed in U.S. Patent No. 8,638,192, or into bedside monitor devices such as those disclosed in U.S. Patent No. 9,049,993.

[00127] Figs. 17A and 17B are a schematic block diagram and photo, respectively, showing another embodiment of an ultrasound controller made in accordance with principles of the presently disclosed subject matter. The ultrasound controller can include or can consist of a field-programmable gate array (FPGA), high voltage generator, transmitter, T/R switch, Low-noise amplifier (LNA), Programmable Gain Amplifier (PGA), Differential amplifier, Band pass filter (BPF), Analog digital converter (ADC), probe connector, communication interface connector, and other components. The ultrasound probe can be connected to the BNC connector as shown in Fig. 17A. The transmitter can generate a substantially 2MHz high voltage burst pulse (+/- 4V~25V). The T/R switch can remove the transmitted high voltage burst pulse signal and extract the reflected ultrasound signal from brain (and other) tissue. Amplifiers (LNA, PGA and differential amplifier) can be provided to increase the signal voltage (-4dB~+36dB). ADC digitizes the signal. In this diagram, IQ demodulation is accomplished in FPGA (digital IQ demodulation). The RF data or IQ data is transmitted to the patient monitor (Fig. 16) via a communication cable such as a USB wire or via wireless transmission.

[00128] Figs. 18A and 18B are a schematic diagram and photo, respectively, showing another embodiment of an ultrasound probe made in accordance with principles of the

presently disclosed subject matter. The ultrasound probe can include or consist of a piezoelectric element, a backing material, an acoustic matching layer, and casing.

[00129] During use, a high voltage burst pulse is generated by a transmitter in the ultrasound controller (Fig. 16), and is applied to the piezoelectric element in the ultrasound probe. The piezoelectric element then oscillates by repeatedly expanding and contracting, generating an ultrasound wave. When the element receives a vibration (or an ultrasonic wave) reflected from the target tissue, the piezoelectric element generates a voltage that correlates with an image of the target tissue.

[00130] The backing material is located behind the piezoelectric element and is configured to prevent excessive vibration and to control the vibration signature output by the piezoelectric element. The shape and material choice for the backing material can be selected to shorten the pulse length of the ultrasonic wave generated by the piezoelectric element.

[00131] Ultrasonic waves transmitted from the piezoelectric element can be prevented from transmission through and/or to a target tissue due to reflection off of adjacent tissue including target tissue because there is a difference in acoustic impedance between the piezoelectric element and the adjacent tissue or object. To avoid this phenomenon, and to ensure deep penetration of the ultrasonic wave to or into target tissue, an intermediate material (acoustic matching layer) can be inserted between the piezoelectric element and the target tissue so that ultrasonic waves can efficiently enter the object and/or target tissue. An acoustic lens can also be provided adjacent the piezoelectric element and configured to focus the beam of the generated ultrasonic wave towards the target tissue.

[00132] While the subject matter has been described in detail with reference to exemplary embodiments thereof, it will be apparent to one skilled in the art that various changes can be made, and equivalents employed, without departing from the scope of the invention. In particular, the various features from each of the disclosed specific embodiments can be interchanged or incorporated into each of the other disclosed embodiments disclosed herein without departing from the spirit and scope of the invention. All related art references including U.S. and foreign patents and patent publications described above are hereby incorporated by reference in their entirety.

**WHAT IS CLAIMED IS:**

1. A method of determining at least one of brain swelling and shifting in a patient, comprising:
  1. placing an ultrasound transducer adjacent the brain of the patient;
  2. determining at least one of location and motion of a first tissue portion relative to a second tissue portion based on information received by the transducer; and
  3. providing an intracranial pressure increase alarm when an increase above a target amount in the motion of the first tissue portion relative to the second tissue portion is determined.
2. The method of claim 1, wherein
  1. determining includes calculating displacement of brain tissue utilizing the following formula:
$$\text{displacement}'(t) = \theta'(t) * \lambda / 2\pi - \theta'(t_0) * \lambda / 2\pi; \text{ and}$$
$$\theta'(t) = \arg(IQdata(t) - IQ \text{ centerpoint}),$$
where:
    - 1. displacement'(t) is tissue displacement (swelling / shifting) from IQ center point;
    - 2.  $\theta'(t)$  is IQplot argument (IQ phase angle) from IQ centerpoint;
    - 3. IQdata(t) is IQ data at time t; and
    - 4. IQ centerpoint is IQ trajectory center point.
3. The method of any of claims 1 and 2, wherein
  1. placing a transducer adjacent the skull includes securing the transducer using one of an elastic band and an adhesive such that long term monitoring by the transducer can be achieved.
4. An apparatus for determining at least one of brain swelling and shifting in a patient, comprising:
  1. an ultrasound transducer;

an attachment structure configured to attach the transducer to the patient for continuous monitoring of the brain; and

a controller configured to calculate displacement of brain tissue based on information from the ultrasound transducer, the controller configured to remove data sensed by the ultrasound transducer due to at least one of a cardiac cycle and a respiratory cycle of the patient.

5. The apparatus of claim 4, wherein

the controller is configured to calculate displacement of brain tissue utilizing the following formula:

$$\text{displacement}'(t) = \theta'(t) * \lambda / 2\pi - \theta'(t_0) * \lambda / 2\pi; \text{ and}$$
$$\theta'(t) = \arg(IQdata(t) - IQ \text{ centerpoint}),$$

where:

displacement '(t) is tissue displacement (swelling / shifting) from IQ center point;

$\theta'(t)$  is IQplot argument (IQ phase angle) from IQ centerpoint;

$IQdata(t)$  is IQ data at time  $t$ ; and

IQ centerpoint is IQ trajectory center point.

6. The apparatus of any one of claims 4 and 5, further comprising:

a patient monitor including a casing and a video display, the patient monitor configured to monitor patient parameters including  $SpO_2$ ,  $CO_2$ , and Blood Pressure, wherein

the ultrasound controller is located within the casing of the patient monitor, and the ultrasound transducer is connected to the patient monitor via at least one of a wired and wireless connection,

the controller is configured to create at least one of brain swelling and brain shifting data from the calculated displacement of brain tissue, and to show at least one of brain swelling and brain shifting data on the display.

7. The apparatus of any one of claims 4, 5 and 6, further comprising:

an alarm mechanism configured to provide at least one of an audible and visual signal when at least one of the brain swelling data and brain shifting data is in an abnormal situation.

8. The apparatus of any one of claims 4-7, wherein the ultrasound controller includes a field-programmable gate array (FPGA), a high voltage generator, a transmitter, T/R switch, a Low-noise amplifier (LNA), a Programmable Gain Amplifier (PGA), a Differential amplifier, a Band pass filter (BPF), an Analog digital converter (ADC), a transducer connector, and a communication interface connector.

9. The method of any one of claims 1-3, further comprising:

providing an ultrasound controller, and using the ultrasound controller to complete the determining of at least one of location and motion of the first tissue portion relative to the second tissue portion based on the information received by the transducer.

10. The method of any one of claims 1-3 and 9, further comprising:

providing a patient monitor and incorporating the ultrasound controller into the patient monitor,

connecting the ultrasound transducer to the patient monitor via one of wireless and wired connection.

11. The method of any one of claims 1-3, 9 and 10, further comprising:

providing the ultrasound controller with a field-programmable gate array (FPGA), a high voltage generator, a transmitter, T/R switch, a Low-noise amplifier (LNA), a Programmable Gain Amplifier (PGA), a Differential amplifier, a Band pass filter (BPF), an Analog digital converter (ADC), a transducer connector, and a communication interface connector.

12. The method of any one of claims 1-3, 9, 10 and 11, further comprising:

treating a patient for increased intracranial pressure after the alarm is provided.

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FIG. 1A

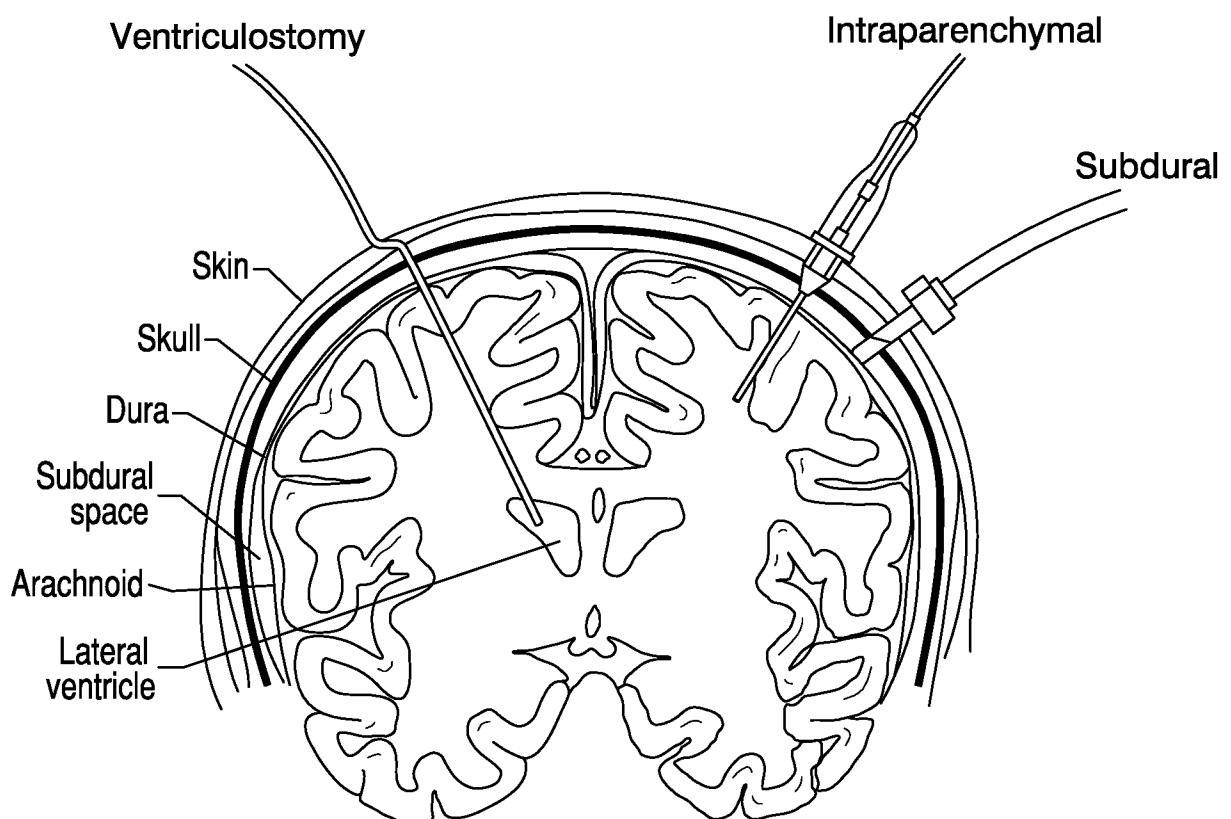
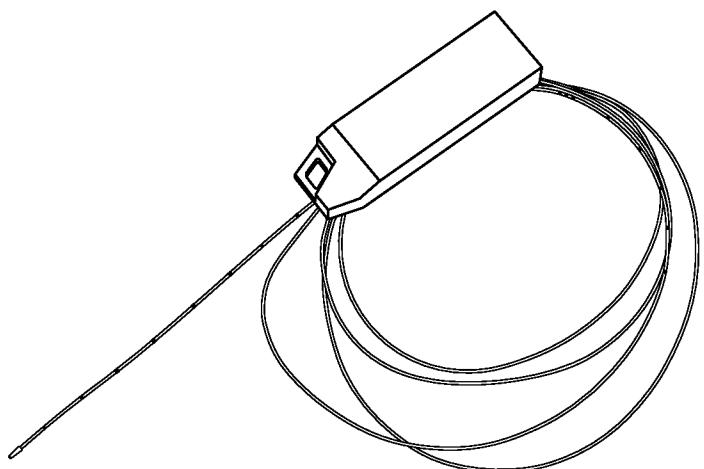
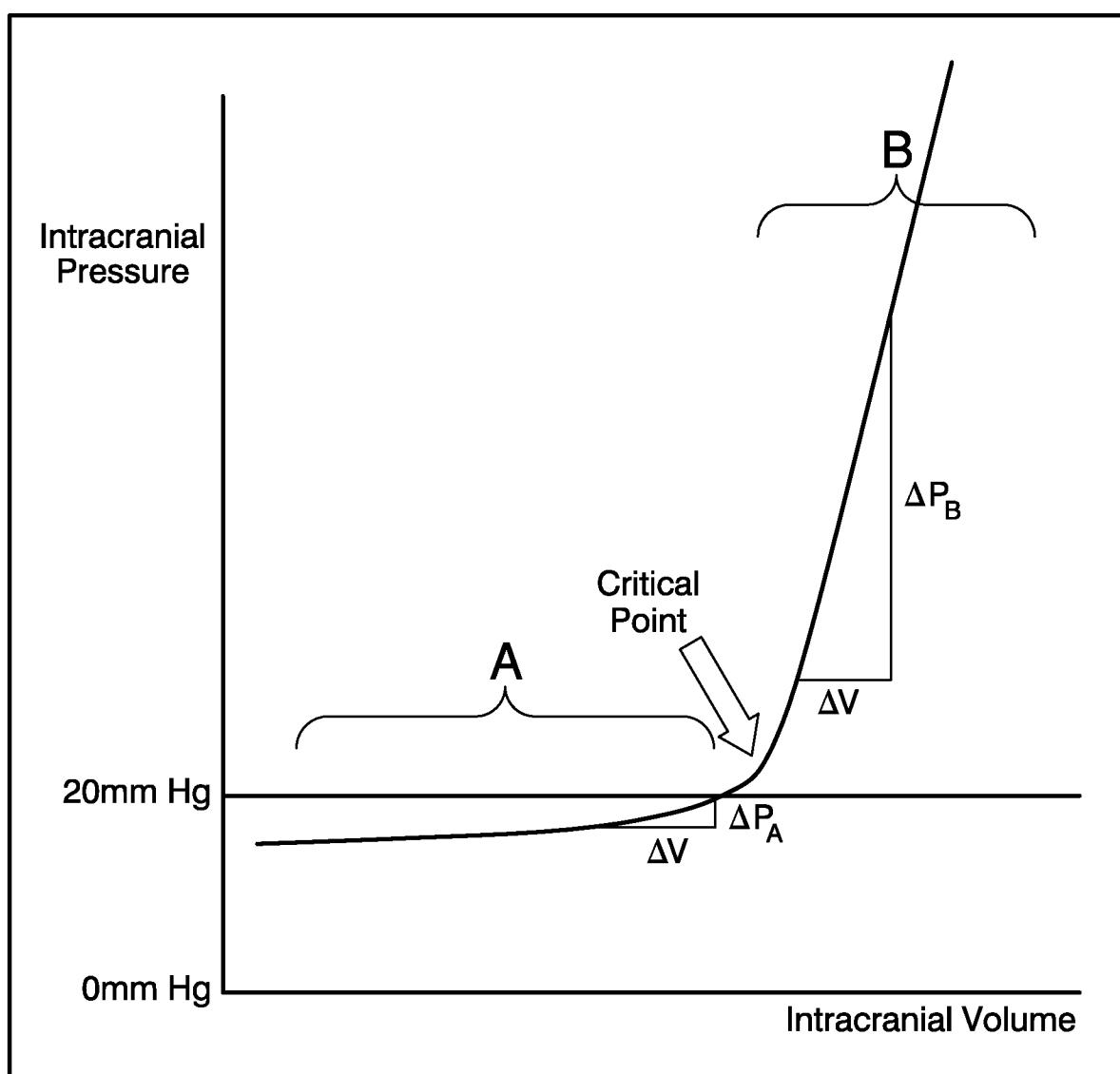


FIG. 1B



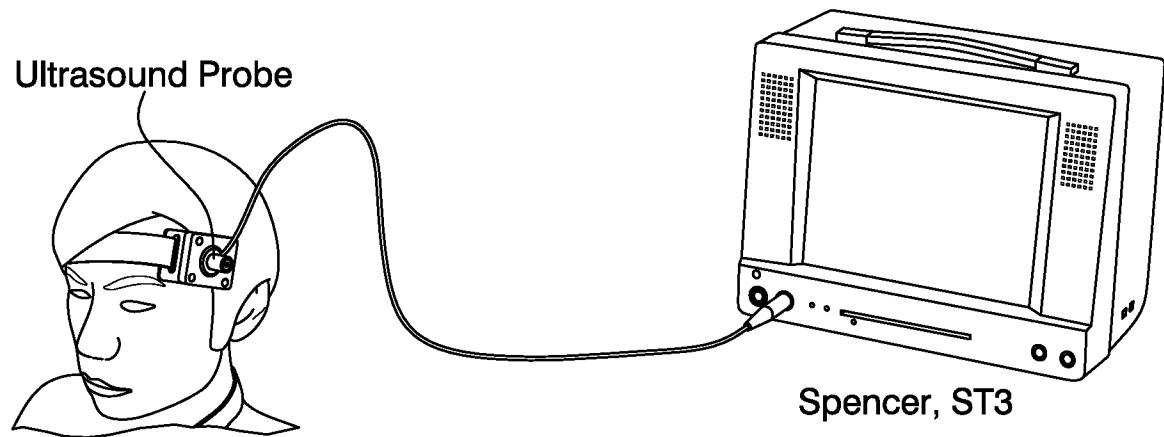
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FIG. 2



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## FIG. 3



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FIG. 4

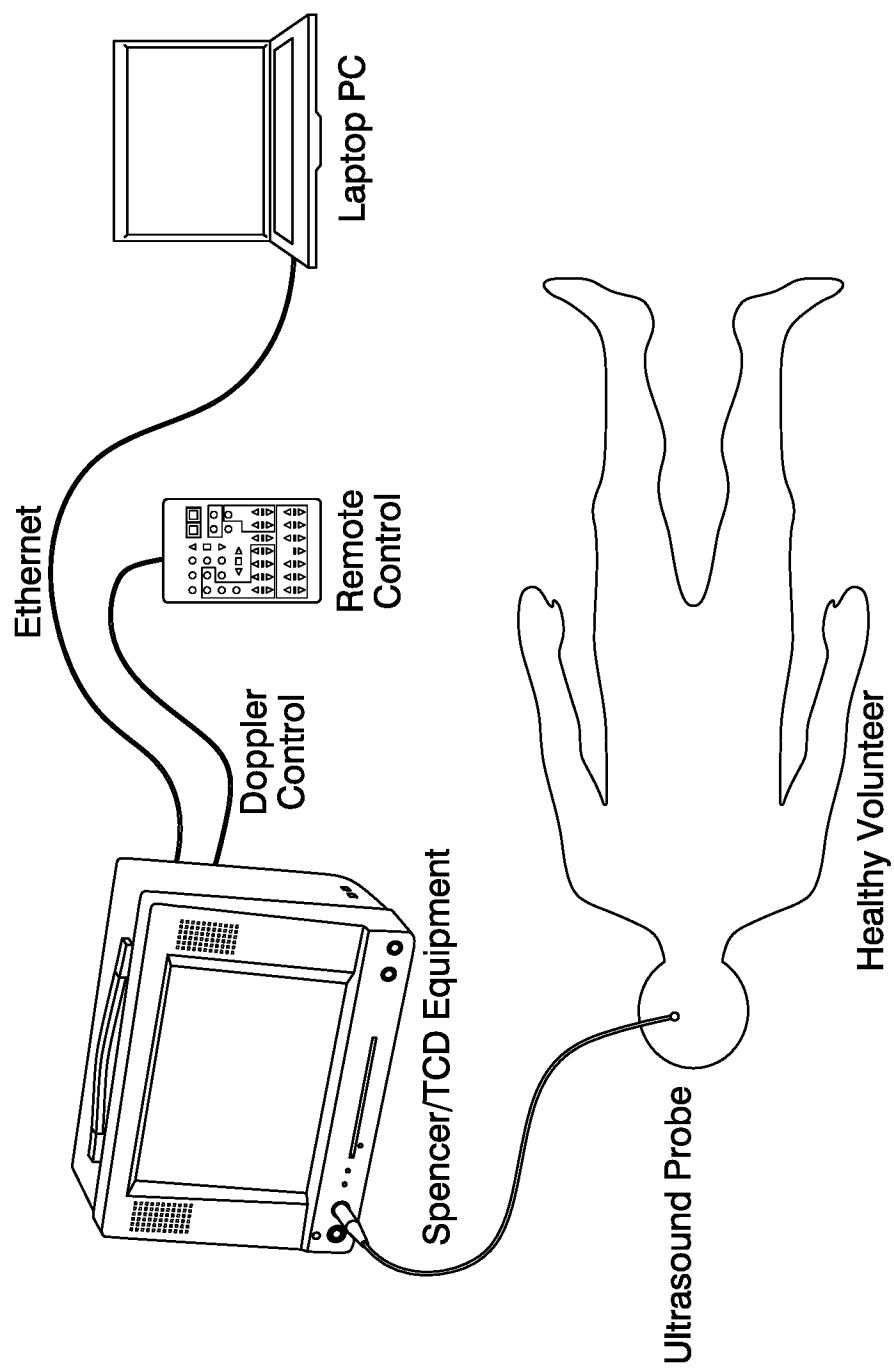
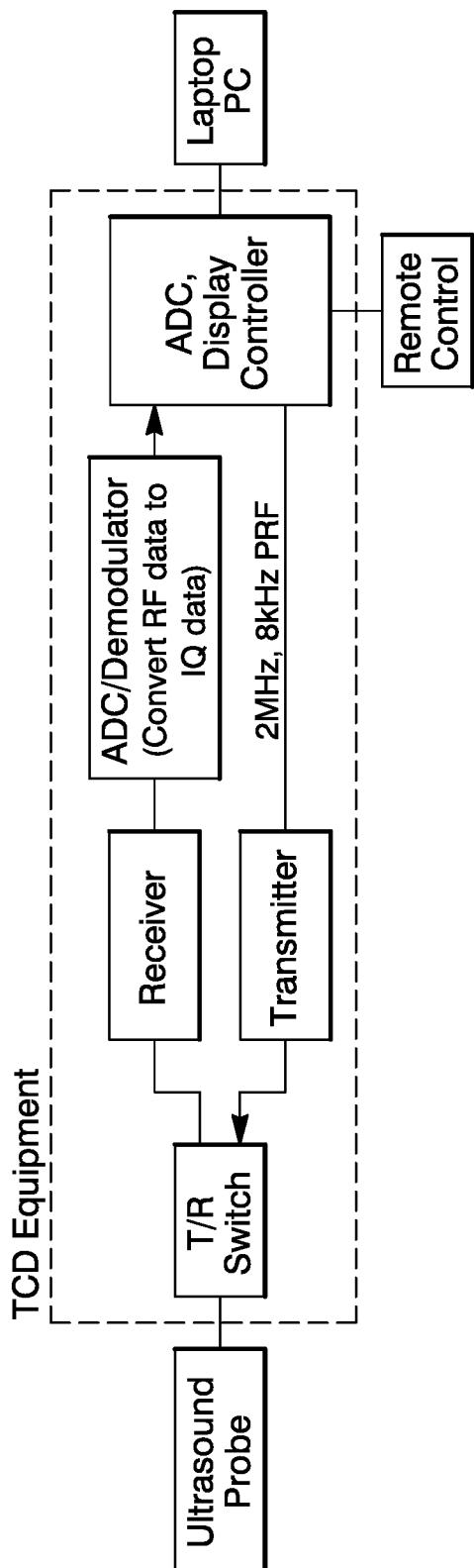


FIG. 5



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FIG. 6A

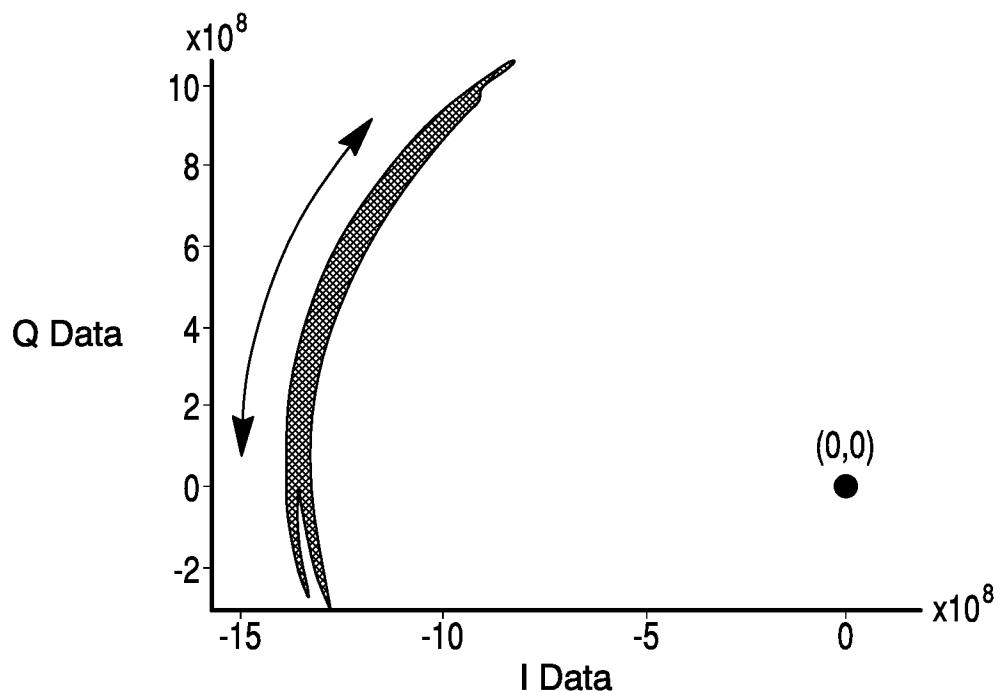
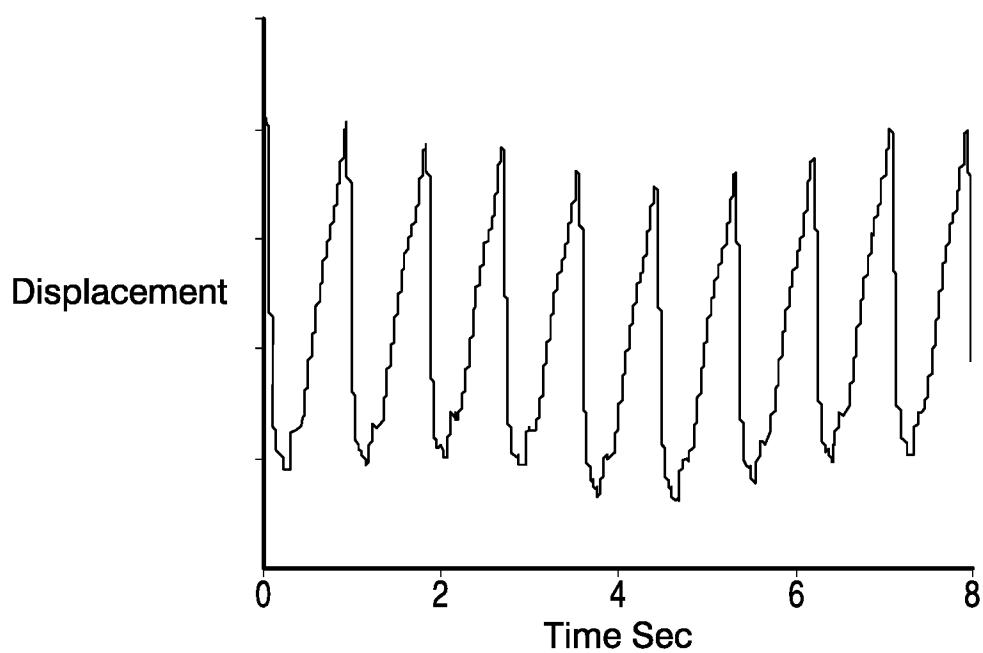


FIG. 6B



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FIG. 7A

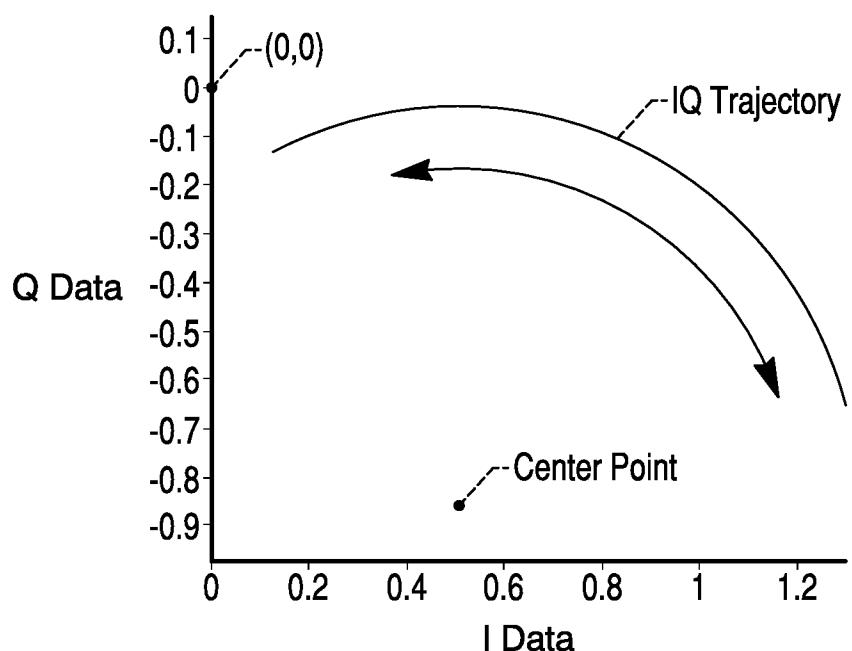
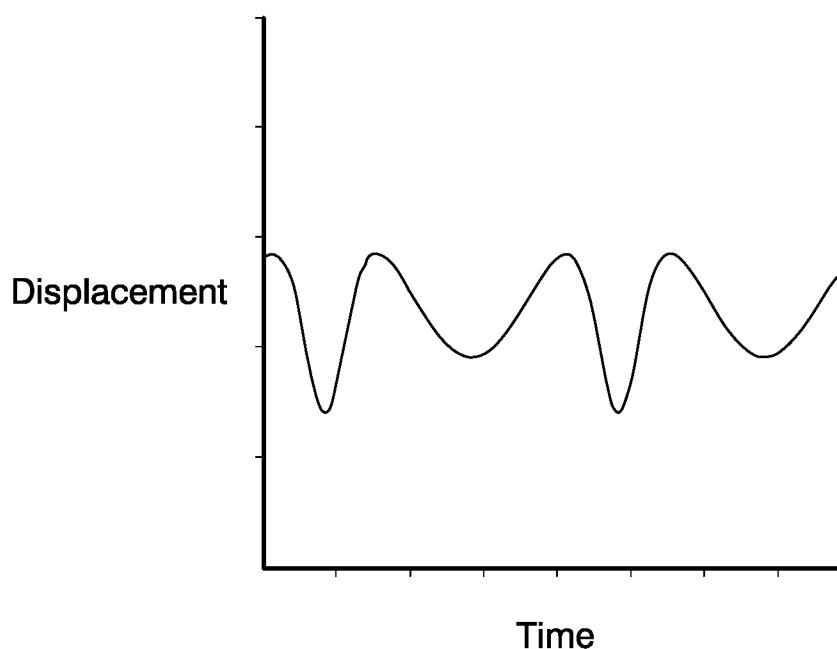
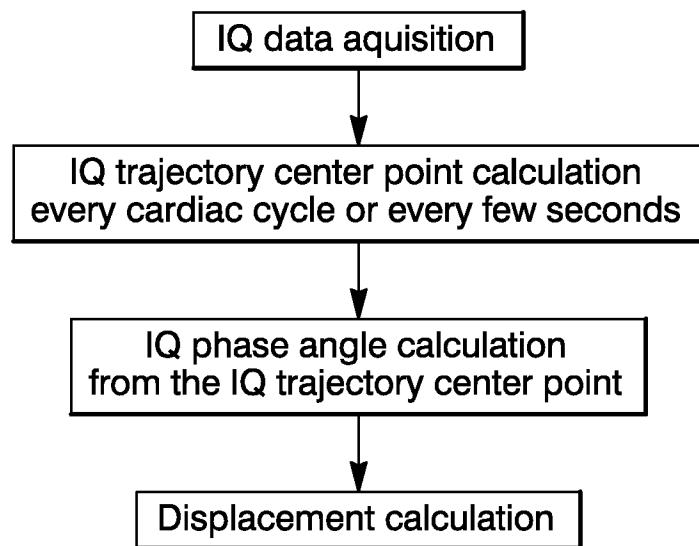


FIG. 7B



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## FIG. 8



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FIG. 9A

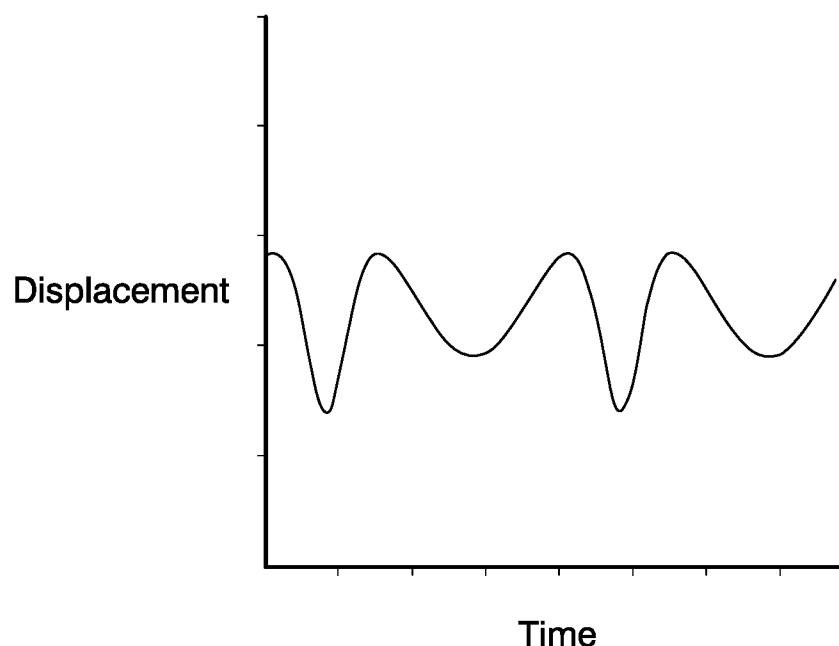


FIG. 9B

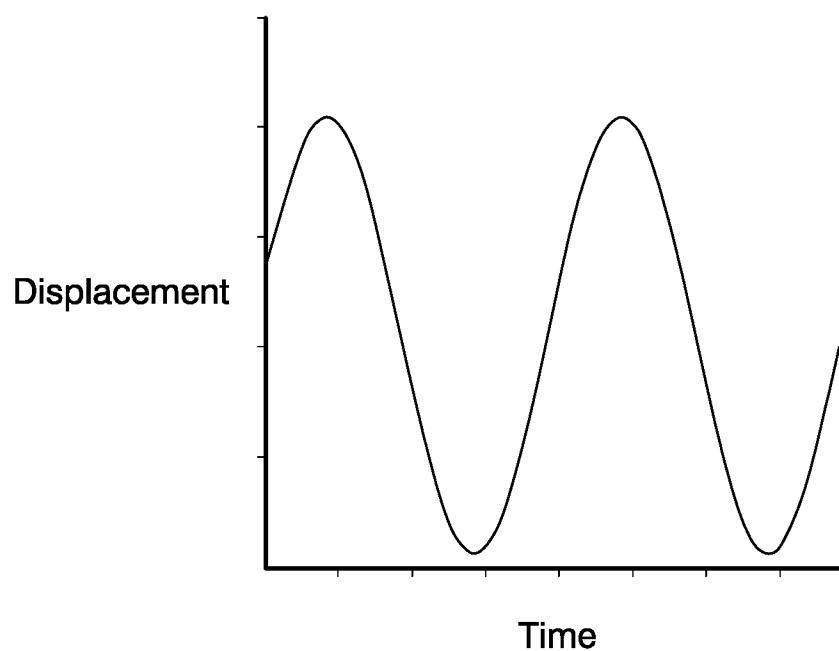


FIG. 10

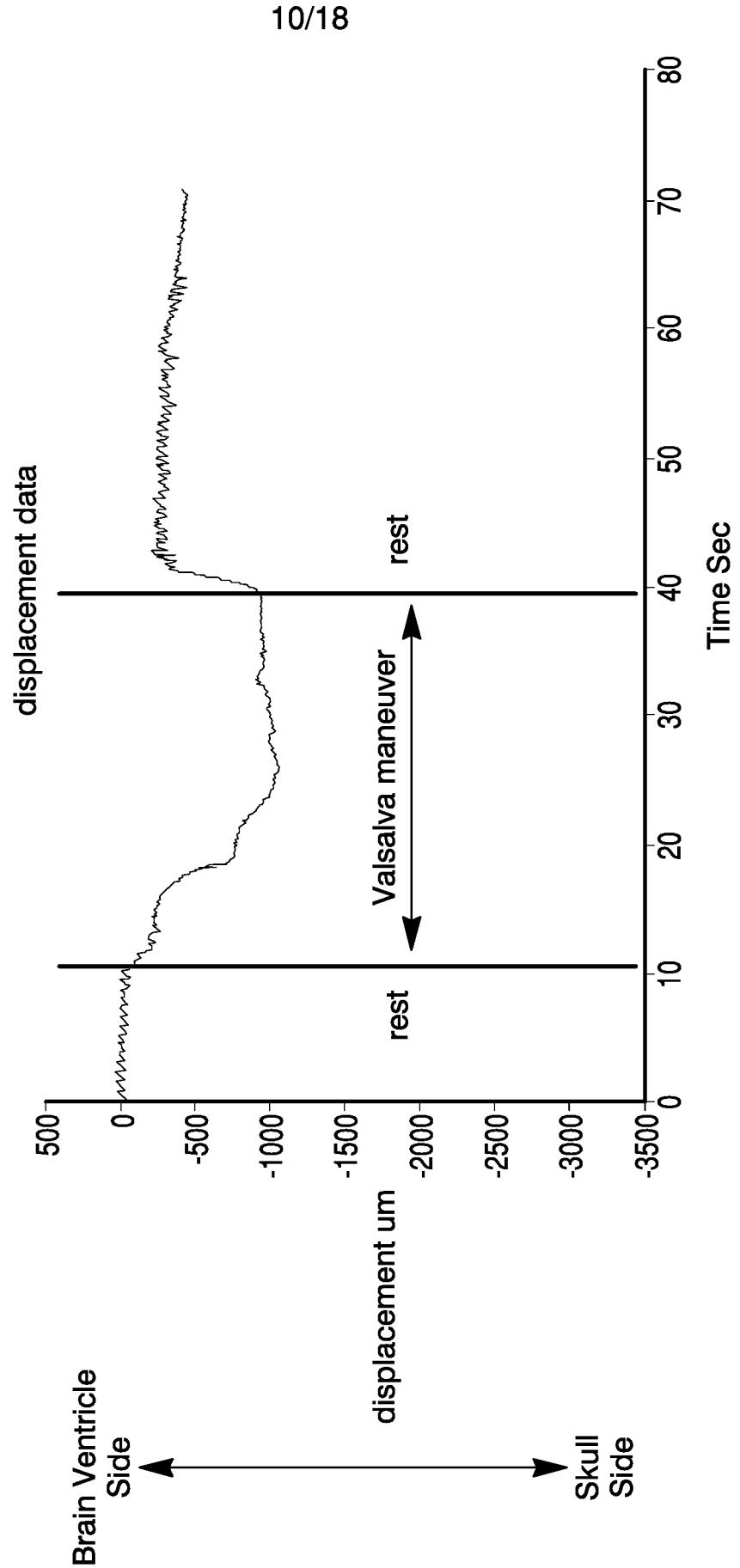


FIG. 11

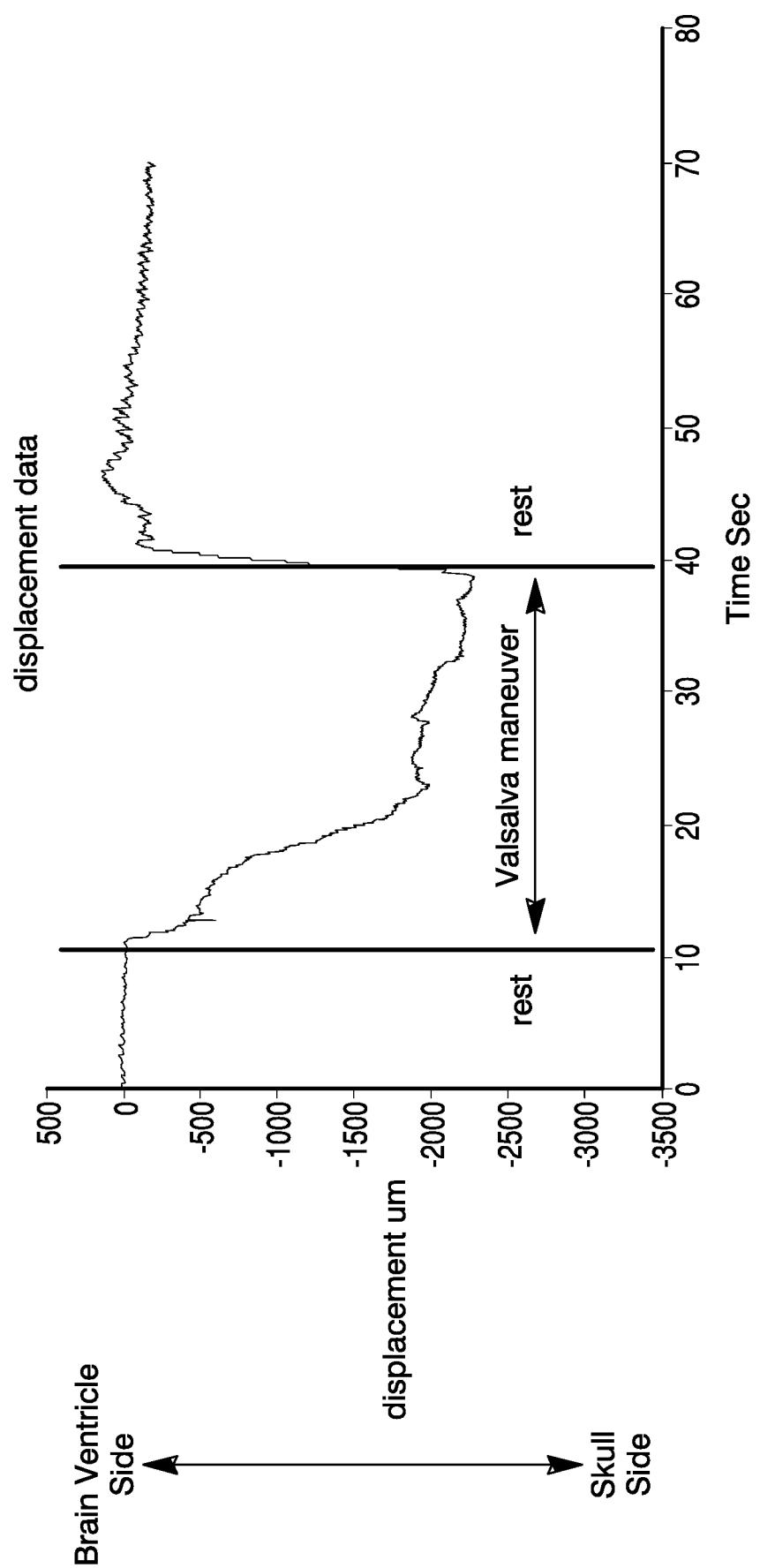


FIG. 12

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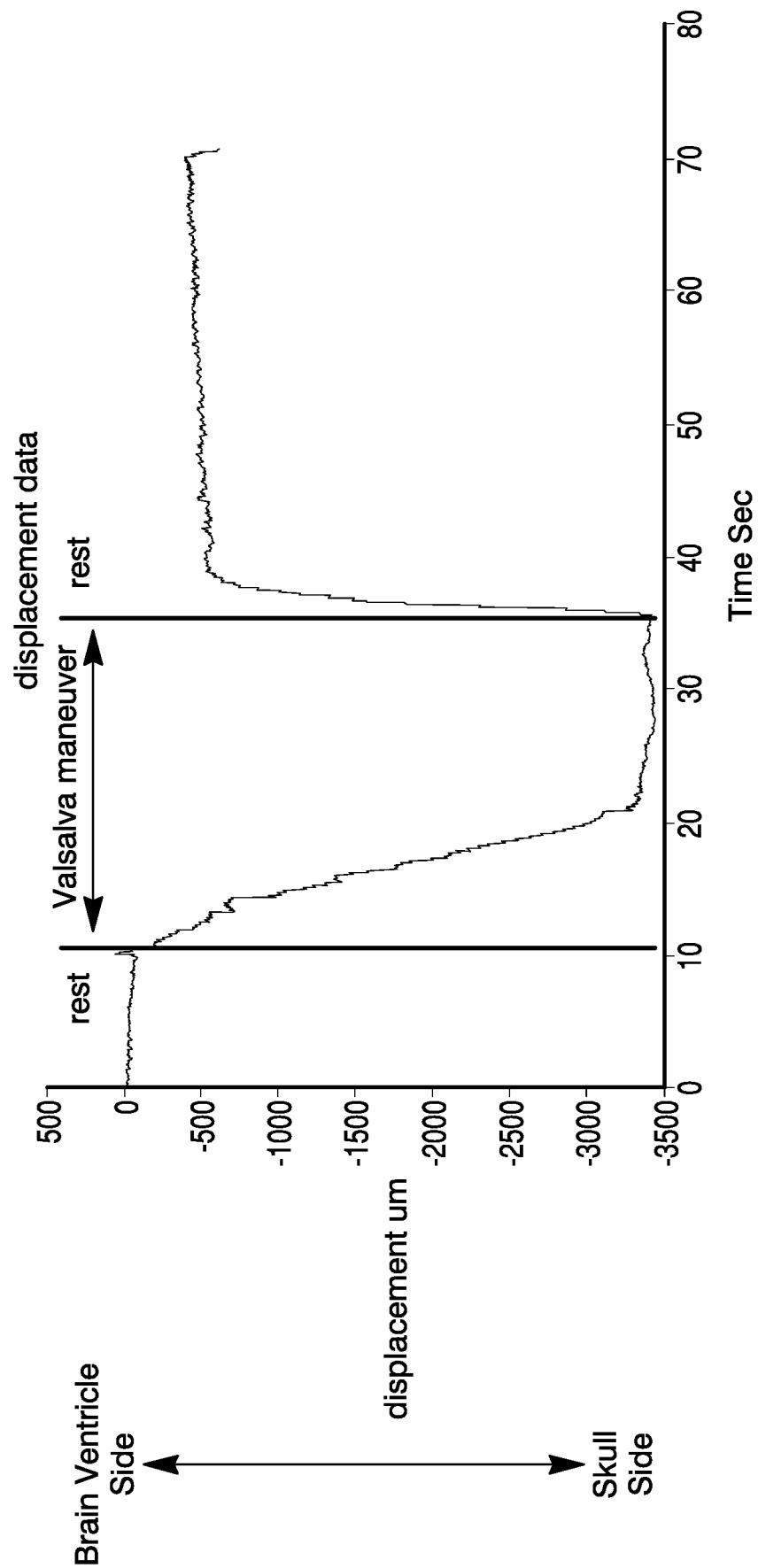


FIG. 13

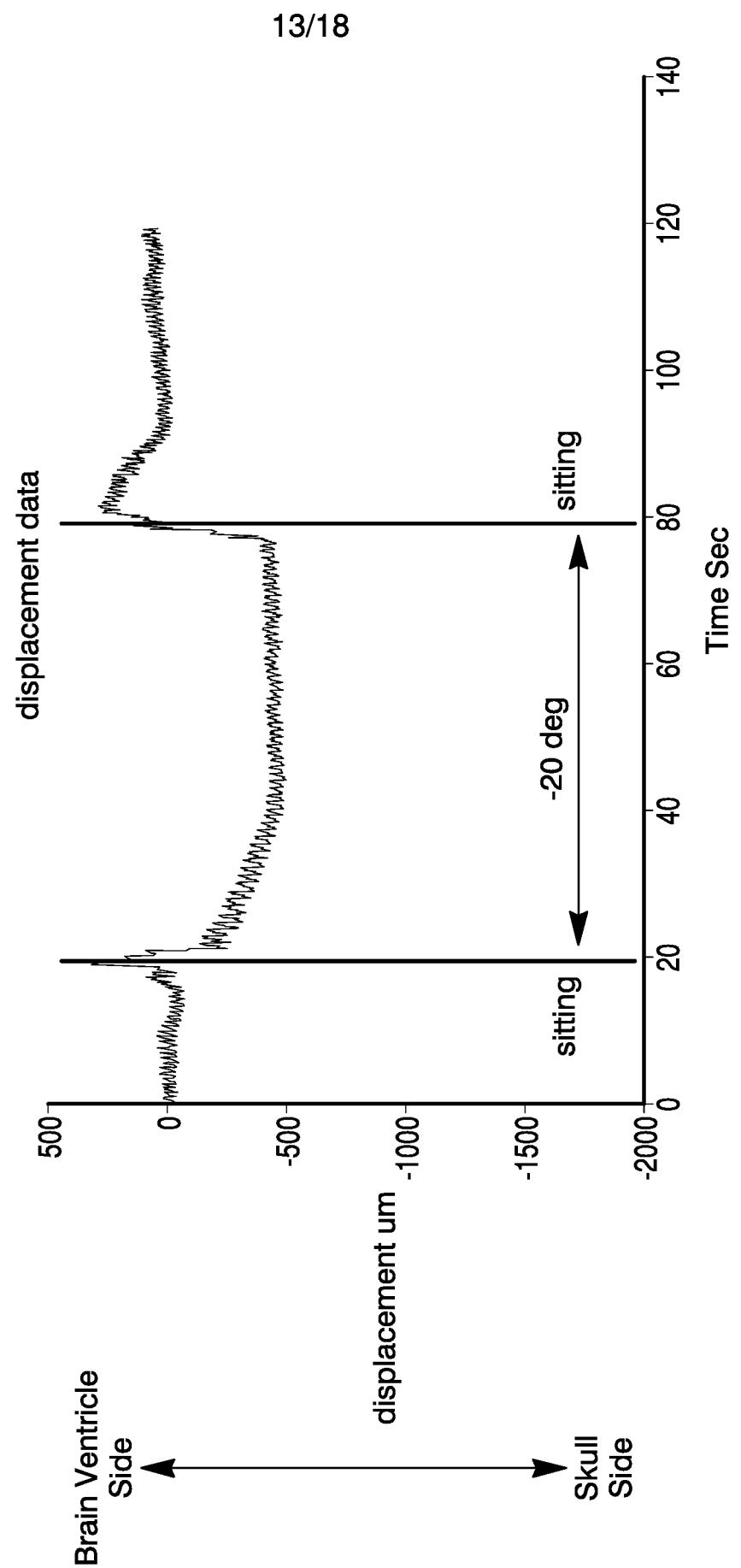


FIG. 14

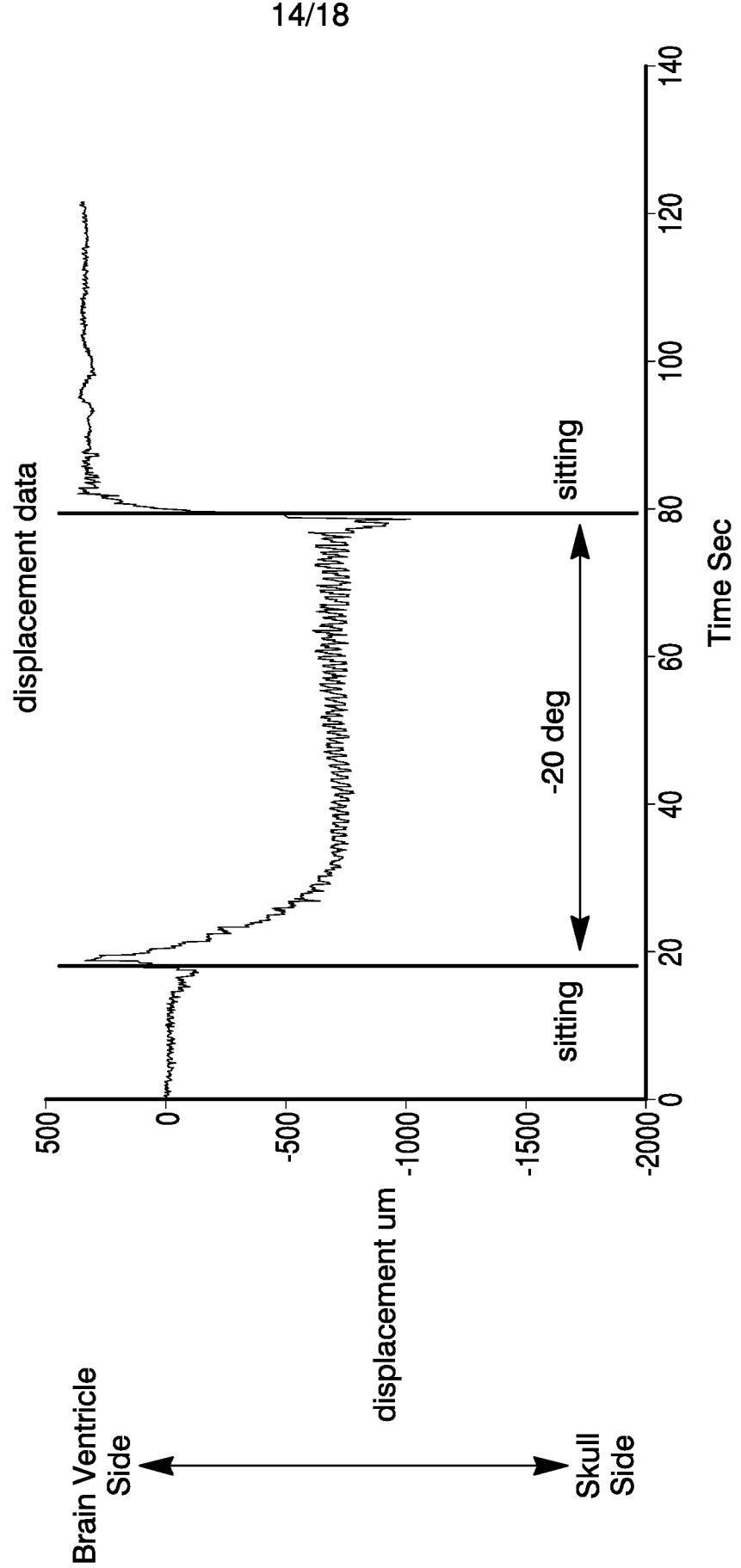
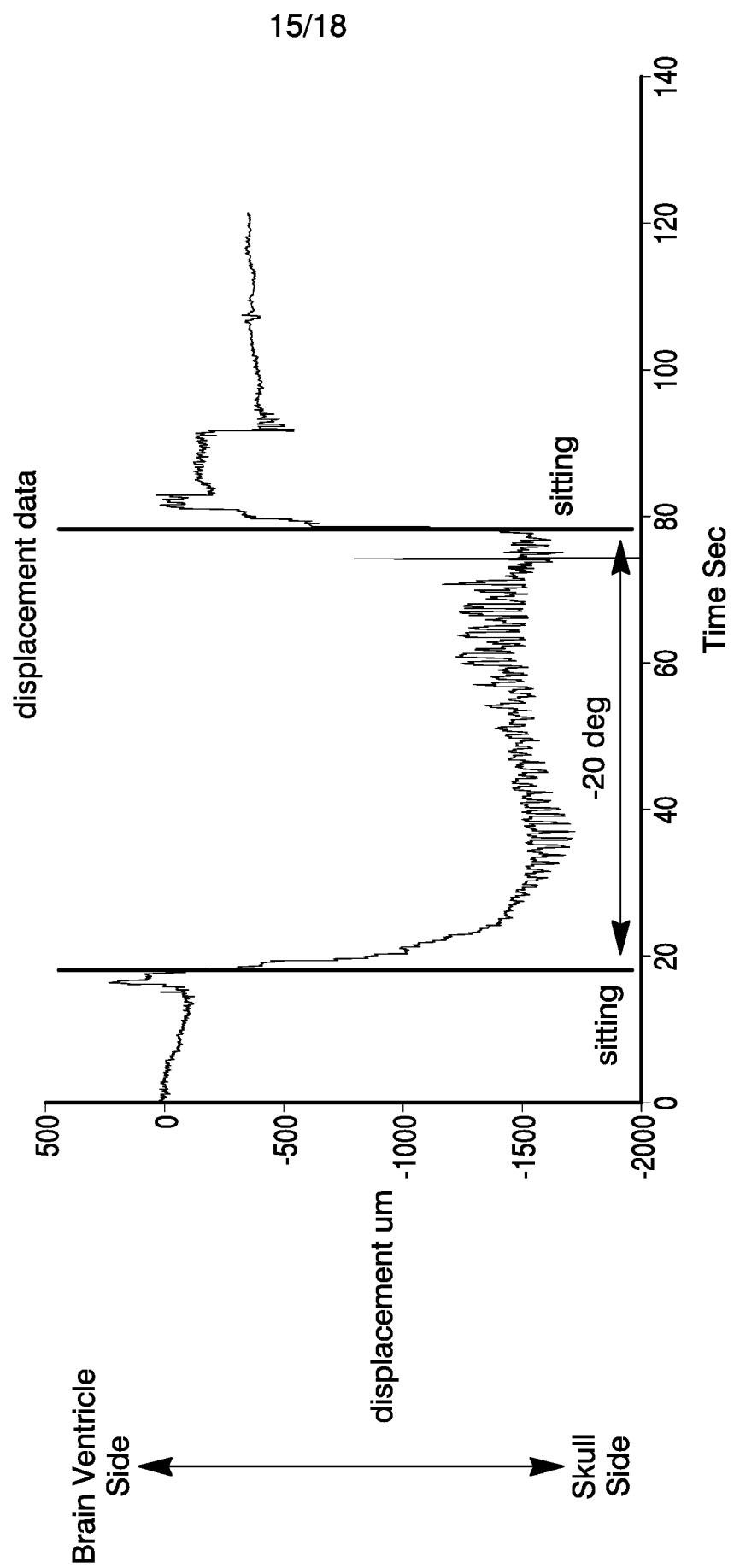
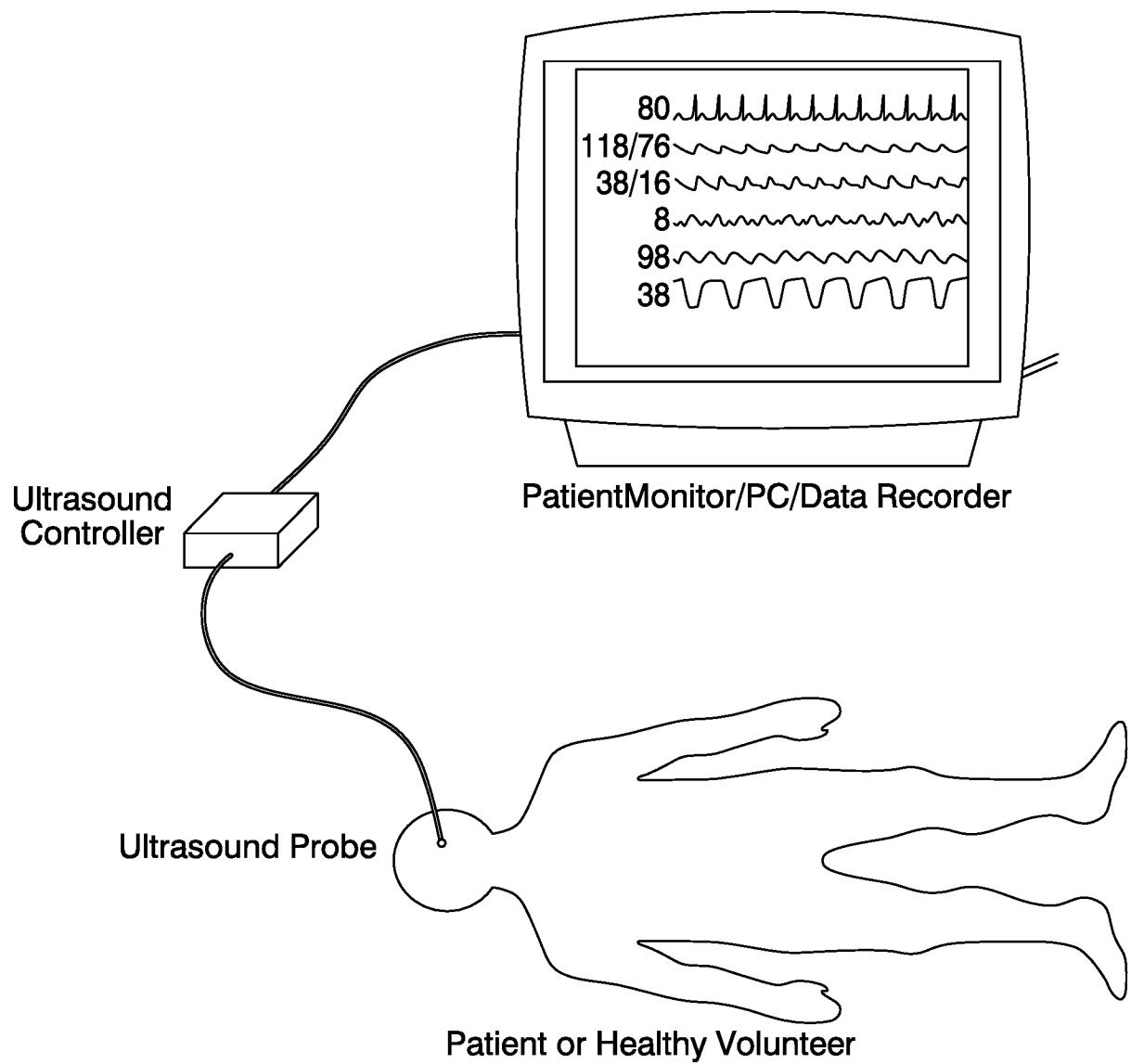


FIG. 15



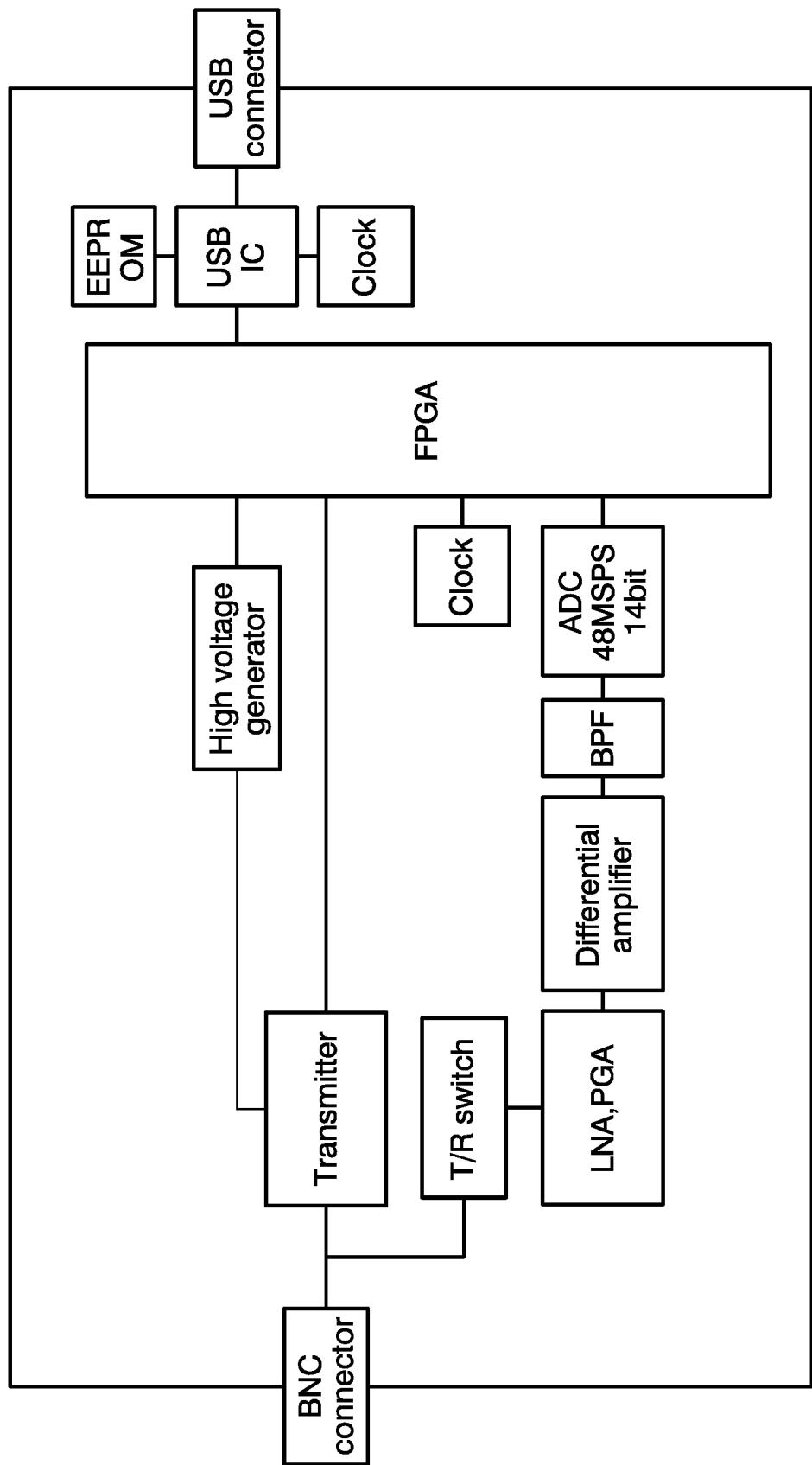
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## FIG. 16



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FIG. 17A



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FIG. 17B

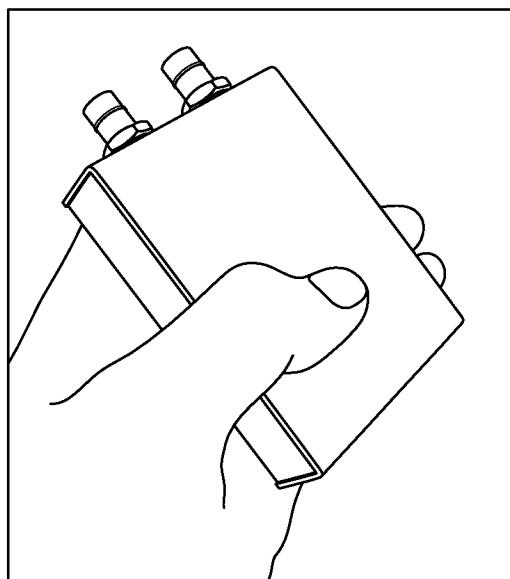


FIG. 18A

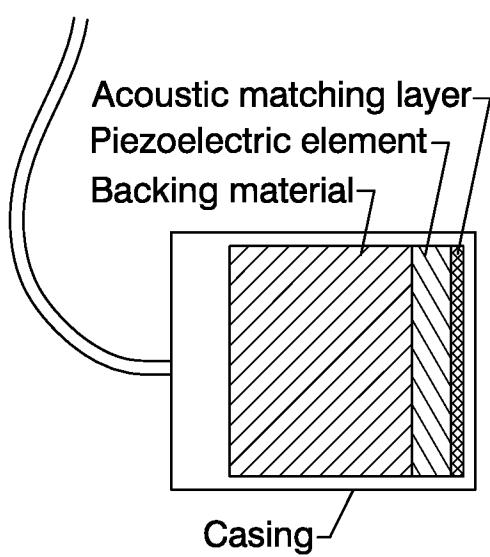
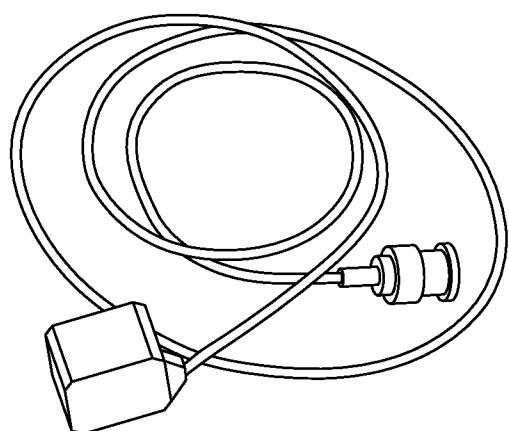


FIG. 18B



# INTERNATIONAL SEARCH REPORT

International application No  
PCT/IB2016/055723

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. A61B8/08 A61B8/00  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2008/275340 A1 (BEACH KIRK [US] ET AL) 6 November 2008 (2008-11-06) paragraphs [0008] - [0015], [0046] - [0065]; claims; figures -----	4-8
X	WO 02/43564 A2 (ALLEZ PHYSIONIX LTD [CA]; UNIV WASHINGTON [US]) 6 June 2002 (2002-06-06) the whole document -----	4-8
X	US 2005/015009 A1 (MOURAD PIERRE D [US] ET AL) 20 January 2005 (2005-01-20) paragraph [0002] paragraph [0037] - paragraph [0040] paragraph [0048] - paragraph [0049] paragraph [0219]; claims; figures ----- -/-	4-8

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance  
"E" earlier application or patent but published on or after the international filing date  
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
"O" document referring to an oral disclosure, use, exhibition or other means  
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
1 December 2016	14/12/2016
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Mundakapadam, S

**INTERNATIONAL SEARCH REPORT**

International application No

PCT/IB2016/055723

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 951 476 A (BEACH KIRK WATSON [US]) 14 September 1999 (1999-09-14) the whole document -----	4-8
A	KR 2007 0077837 A (S & G BIOTECH INC [KR]) 30 July 2007 (2007-07-30) the whole document -----	4-8

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB2016/055723

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 1-3, 9-12  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.

The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.

No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

Continuation of Box II.1

Claims Nos.: 1-3, 9-12

A diagnostic method practised on the human or animal body is a method including features relating to: (i) the diagnosis for curative purposes *stricto sensu* representing the deductive medical or veterinary decision phase as a purely intellectual exercise, (ii) the preceding steps which are constitutive for making that diagnosis, and (iii) the specific interactions with the human or animal body which occur when carrying those out among these preceding steps which are of a technical nature. In a diagnostic method practised on the human or animal body, the method steps of a technical nature belonging to the preceding steps which are constitutive for making the diagnosis for curative purposes *stricto sensu* must satisfy the criterion "practised on the human or animal body". A specific type and intensity of interaction with the human or animal body is not required. A preceding step of a technical nature satisfies the criterion "practised on the human or animal body" if its performance implies any interaction with the human or animal body necessitating the presence of the latter. More specifically, those preceding steps which are constitutive for making the diagnosis are: 1. the examination phase involving the collection of data, 2. the comparison of these data with standard values, and 3. the finding of any significant deviation, during the comparison. Claim 1 comprises the step of determining at least one of brain swelling and brain shifting in a patient. This step is a diagnosis for curative purposes *stricto sensu* and represent as such a deductive medical or veterinary decision phase as a purely intellectual exercise. Claim 1 further comprises the step of placing an ultrasound transducer adjacent the brain of the patient, and determining at least one of location and motion of a first tissue.. based on information received by the transducer. This step is clearly an examination phase involving the collection of data. It is of technical nature and implies an interaction with the human or animal body necessitating the presence of the latter. The step thus satisfies the criterion "practised on the human or animal body". The step of claim 1 "providing an intracranial pressure increase alarm when an increase above a target amount in motion of the first tissue portion relative to the second tissue portion is determined" clearly covers the preceding steps 2 and 3. These preceding step are predominantly of non-technical nature. Accordingly, the method of claim 1 is a diagnostic method practised on the human or animal body and thus a diagnostic method according to Rules 67.1(iv) and 39.1(iv) PCT. The same applies to the dependent method claims 2-3 and 9-12.

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