



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>B26B 21/58</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 91/18719</b>  <b>(43) International Publication Date:</b> 12 December 1991 (12.12.91)						
<p><b>(21) International Application Number:</b> PCT/US91/01480</p> <p><b>(22) International Filing Date:</b> 4 March 1991 (04.03.91)</p> <p><b>(30) Priority data:</b></p> <table border="0"> <tr> <td>535,741</td> <td>8 June 1990 (08.06.90)</td> <td>US</td> </tr> <tr> <td>599,267</td> <td>16 October 1990 (16.10.90)</td> <td>US</td> </tr> </table> <p><b>(71) Applicant:</b> THE GILLETTE COMPANY [US/US]; Prudential Tower Building, Boston, MA 02199 (US).</p> <p><b>(72) Inventor:</b> HAHN, Steve, S. ; Seven Trinity Court, Wellesley Hills, MA 02181 (US).</p> <p><b>(74) Agents:</b> GALLOWAY, Peter, D. et al.; Ladas &amp; Parry, 26 West 61 Street, New York, NY 10023 (US).</p>		535,741	8 June 1990 (08.06.90)	US	599,267	16 October 1990 (16.10.90)	US	<p><b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), SU.</p> <p><b>Published</b> <i>With international search report.</i></p>
535,741	8 June 1990 (08.06.90)	US						
599,267	16 October 1990 (16.10.90)	US						
<p><b>(54) Title:</b> RAZOR BLADE TECHNOLOGY</p> <div style="text-align: center; margin: 20px 0;"> </div> <p><b>(57) Abstract</b></p> <p>A process for forming a razor blade includes the steps of providing a ceramic substrate (40), mechanically abrading an edge of the ceramic substrate to form a sharpened edge thereon with facets (58) that have an included angle of less than thirty degrees; thermally processing the mechanically abraded edge to reduce surface raggedness and subsurface defects; and sputter-sharpening the sharpened edge to provide supplemental facets that have an included angle of more than forty degrees and define a tip radius of less than five hundred angstroms. The resulting blade exhibit excellent shaving properties.</p>								

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RAZOR BLADE TECHNOLOGY

This invention relates to processes for producing and treating ceramic razor blades or similar cutting tools with sharp and durable cutting edge and to improved razors and razor blades.

While razor blades are conventionally produced from steel strips in which a sharpened edge is formed through a series of mechanical grinding and honing operations, ceramic materials have also been proposed for razor blades because of their desirable properties of high hardness, mechanical strength and corrosion resistance. While steel can exhibit increased strength in the worked area (e.g. the sharpened edge) from the mechanical cold working (e.g. finish-honing operations), ceramic materials in similar mechanical sharpening operations often exhibit weaker strength in the worked area because of microscale, subsurface defects induced by the considerable stress that accompany mechanical grinding and finish honing and tend to be more susceptible than steel razor blade edges to fracture-type breakdown of the cutting edges during shaving.

In accordance with one aspect of the invention, there is provided a process for forming a razor blade that includes the steps of providing a ceramic substrate, mechanically abrading an edge of the ceramic substrate to form a sharpened edge thereon that has an included angle of less than thirty degrees and a

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tip radius (i.e. the estimated radius of the largest circle that may be positioned within the ultimate tip of the edge when such ultimate tip is viewed under a scanning electron microscope at magnifications of at least 25,000) of less than twelve hundred angstroms; and sputter-sharpening the sharpened edge to form supplemental facets that have widths of less than one micrometer, have an included angle greater than forty degrees, define an ultimate tip radius of less than five hundred angstroms and form a cutting edge. The resulting blades exhibit excellent shaving properties and long shaving life.

In preferred processes, the ceramic substrate is abraded in two-step sequence of rough-honing and finish-honing with diamond abrasive material that minimizes mechanically-induced subsurface defects, (instead of a more conventional three-step steel sharpening sequence that includes a grinding step) to form a sharpened edge. The mechanically abraded edge of the ceramic substrate then is subjected to heat-treatment at a temperature of at least 1000°C., herein referred to as "annealing", that reduces surface raggedness and subsurface defects resulting from the mechanical abrasion sequence and to produce a micro-scale plateau-like top of less than about 0.2 micrometers width at the ultimate tip. The annealing may be performed in air or in other gaseous environments and the duration of annealing may decrease with higher annealing temperatures, for example, with an oxygen-hydrogen annealing flame. The plateau-like top of the blade edge then is sputter-sharpened by ion-beam etching to form supplemental facets of width in the range of 0.1 - 0.5 micrometers; to further reduce subsurface defect areas, and at the same time to reduce the ultimate tip radius of the sharpened edge by a factor of at least about two, as well as to provide a clean edge surface on which a metal layer that preferably contains chromium is

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sputter-deposited. An adherent and friction-reducing polymer coating is then applied on the metal-coated cutting edge.

In a particular process, the ceramic material is single crystal alumina (sapphire) with a thickness of less than 0.5 millimeter, and a bend strength in excess of 700 MPa; the rough-honing operation employs grinding wheels with diamond particles with grain sizes of less than twenty micrometers and the finish-honing operation employs sharpening wheels with an average diamond particle size of about one micrometer; annealing of the mechanically sharpened edge is carried out in air at a temperature of about 1550°C. for about one hour; the sputter-sharpened facets of the ultimate edge have widths in the range of 0.2 - 0.5 micrometer and an effective included angle of about seventy degrees; the sputter-coated metallic layer has a thickness of less than five hundred angstroms, and the polymer layer has a thickness of less than ten micrometers.

In accordance with another aspect of the invention, there is provided a razor blade that includes a ceramic substrate with mechanically abraded and thermally annealed facets generally parallel (that is, within at least thirty degrees and preferably within ten degrees) to its C crystallographic axis and supplemental sputter-sharpened facets that have an effective included angle substantially greater than the included angle of the mechanically abraded sharpened facets, and that define a sputter-sharpened cutting edge with an ultimate tip radius of less than five hundred angstroms.

In particular embodiments, the razor blade substrate is single crystal alumina (sapphire), and has a bend strength in excess of 700 MPa; the mechanically abraded facets have an effective included angle of less than twenty degrees; the sputter-sharpened facets of the ultimate edge have widths of about 0.3 micrometer, an effective included angle greater than forty degrees, and

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substantial uniformity in ultimate tip radius along the length of the entire cutting edge. A sputter-deposited metallic layer on the cutting edge is less than five hundred angstroms thickness, and an adherent, friction-reducing polymer coating on the metal-coated ceramic cutting edge is less than ten micrometers in thickness. Preferably, the ceramic substrate is single crystal material selected from silicon carbide, silicon nitride, zirconia and alumina, particularly preferred substrate materials being high-purity alumina and hot-isostatically-pressed tetragonal zirconia. The heat-treatment reduces surface raggedness and subsurface defects resulting from the mechanical abrasion sequence and the sputter-sharpening further reduces such subsurface defect areas, and reduces the ultimate tip radius of the sharpened edge.

The resulting large facet angle (immediately adjacent the tip), low tip radius annealed blades with sputter-deposited metallic layer and adherent, friction-reducing polymer coating exhibit strength and excellent shaving characteristics.

In accordance with another aspect of the invention, there is provided a shaving unit that comprises at least one blade and blade support structure that has external guard and cap surfaces for engaging the user's skin respectively ahead and rearwardly of the blade edge or edges. Razor blade structure secured to the support structure includes a ceramic substrate with mechanically abraded facets that have a width of at least about 0.1 millimeter and an included angle of less than thirty degrees, and a sputter-sharpened cutting edge of tip radius less than about five hundred angstroms that is defined by facets that have an effective included angle substantially greater than the included angle of the mechanically abraded facets, the sputter-sharpened cutting edge being disposed between the skin-engaging surfaces. Preferably, the razor blade

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structure includes two ceramic substrates, and each ceramic substrate is thermally annealed and has a sputter-sharpened cutting edge of tip radius less than about five hundred angstroms that is defined by facets that have an effective included angle of at least forty degrees, and the sputter-sharpened cutting edges being disposed parallel to one another between the skin-engaging surfaces.

In a particular embodiment, the ceramic substrate material is alumina and has a bend strength in excess of 300 MPa, each sputter-sharpened facet immediately adjacent the cutting edge has a width of about 0.3 micrometer and an effective included angle of about seventy degrees, a sputter-deposited metal layer is on the cutting edge, and an adherent polymer coating is on the metal coated cutting edge, the sputter-deposited metal layer has a thickness of less than five hundred angstroms, and the adherent polymer coating on the metal layer has a thickness of less than ten micrometers.

The shaving unit may be of the disposable cartridge type adapted for coupling to and uncoupling from a razor handle or may be integral with a handle so that the complete razor is discarded as a unit when the blade or blades becomes dulled. The front and rear skin engaging surfaces cooperate with the blade edge (or edges) to define the shaving geometry. Particularly preferred shaving units are of the types shown in U.S. Patent 3,876,563 and in U.S. Patent 4,551,916.

Other features and advantages will be seen as the following description of particular embodiments progresses, in conjunction with the drawings, in which:

Fig. 1 is a perspective view of a shaving unit in accordance with the invention;

Fig. 2 is a flow diagram indicating a sequence of steps in manufacturing a razor blade in accordance with the invention;

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Fig. 3 is a perspective view of a portion of a razor blade in accordance with the invention; and

Fig. 4 is an enlarged diagrammatic view (as viewed in a scanning electron microscope originally at about 50,000 magnification) of the ultimate tip of the razor blade shown in Fig. 3.

The shaving unit 10 shown in Fig. 1 includes a base or platform member 12 molded of high impact polystyrene for attachment to a razor handle and guard structure 16 that defines a transversely extending forward skin engaging surface 18. On the upper surface of platform 12 are disposed ceramic leading blade 20 having a sharpened edge 22, ceramic following blade 24 having sharpened edge 26, and spacer structure that maintains blades 20 and 24 in spaced relation. Cap member 30 is molded of high impact polystyrene and has body portion 32 that defines skin engaging surface 34 that extends transversely between forwardly projecting end walls 36 and has a front edge 38 that is disposed rearwardly of blade edge 26.

Blades 20 and 24 are manufactured in accordance with the sequence shown in Fig. 2. Each blade 20, 24 is formed from a ceramic razor blade blank 40 of single crystal aluminum oxide (sapphire) that has a width of about 0.6 centimeter, a length of about 3.8 centimeters, a thickness of about 0.1 millimeter, and edge surface 42 parallel to its C crystallographic axis that is to be sharpened to a cutting edge.

With reference to Fig. 2, blank 40 is subjected to a sequence of edge forming operations including rough-honing operation 44; finish-honing operation 46; annealing operation 48; and sputter-sharpening operation 50 to form a blade edge of cross sectional configuration as diagrammatically indicated in the perspective view of Fig. 3; the blade is then subjected to sputter-depositing operation 52. The blade has rough-honed facets 58 of about 0.5 millimeter width

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and an included angle of about nine degrees and a flat top diagrammatically indicated at 60 (Fig. 4) that is modified by finish-honing 46 to form a tip 62 of about 700 angstroms tip radius (Fig. 4). After reduction of surface raggedness and of subsurface defects by annealing 48 and sputter-sharpening 50, the resulting ultimate tip 68 defined by facets 66 has an included angle of about seventy degrees and a tip radius of about 300 angstroms.

10 In the rough-honing operation 44, the blade blank 40 is fed, at a transfer speed of about 360 centimeters per minute, past an abrasive wheel (with diamond particles of 8 - 16 micrometers grain size) with an oil flow of 1.8 liters per minute and the wheel  
15 rotating into the blade edge at 1100 RPM, a set angle of 4.5 degrees (the angle between the plane of the blade 40 and a tangent to the wheel where the blade makes contact with the wheel), a sharpening infeed of about 0.5 millimeter (the blade deflection by the sharpening  
20 wheel), and a spring force of about one kilogram, to form rough-hone facets 58 that have an included angle of about nine degrees and a width of about 0.5 millimeter and relatively flat top 60 that has a width of about ten micrometers.

25 The rough-honed facets 58 are then subjected to a finish-honing operation at stage 46 in which the blade edge is abraded to form tip 62 of about 600-800 angstroms radius. The sharpening wheels at the finish-hone stage 46 have diamond particles with an average  
30 grain size of one micron and are rotated at a speed of 1130 RPM away from the blade 40 with a set angle of about 8 degrees, a sharpening infeed of 0.2 millimeter and a spring force of about one kilogram, and the blade 40 is fed at a transfer speed of about 170 centimeters  
35 per minute.

After the mechanically sharpened blades 40 have been degreased in methylene chloride and solvent-

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washed ultrasonically in Freon, the degreased and particulate-free blades are placed in a tube furnace and annealed at 1550°C. for one hour in air. Such annealing treatment of the mechanically sharpened, ceramic edge produces significant change in the tip region such that the annealed ultimate tip now has a micro-scale, plateau-like top region diagrammatically indicated at 64 along the length of the blade edge that is about 1000 angstroms in width. Edge surface raggedness is reduced, and subsurface defects that were created during the mechanical honing operations (as evidenced by transmission electron microscopy analysis) are also reduced.

The annealed blades 40 are then placed in a sputtering chamber with an elongated cathode, the blade edges being normal to the cathode at a blade edge-to-cathode distance of about seven centimeters. The sputtering chamber is evacuated to a pressure of equal to or better than  $2 \times 10^{-6}$  torr, and argon is introduced to attain a sputtering gas pressure of ten millitorr. 13.56 megahertz RF power is applied to establish a stable plasma with 200 watts RF forward power and a sputter-sharpening duration of about 135 seconds to produce sputter-sharpened facets 66 that have widths of about 0.3 micrometers and an included angle of about seventy degrees and an ultimate tip 68 radius of about 300 angstroms as diagrammatically indicated in Fig. 4. Edge surface raggedness and subsurface defects that were created during the mechanical honing operations (as evidenced by transmission electron microscopy analysis) are further reduced.

Following the sputter-sharpening procedure 50, the sputter unit is switched from sputter-sharpening (ion-beam etching) mode to deposition mode using a matching network selector; a plasma is ignited at 400 watts and ten millitorr pressure, and a chromium-platinum target is presputtered for about five minutes

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with a substrate shielded between the blades and the target. Upon completion of presputtering, the substrate shield is retracted and sputtered atoms of chromium and platinum are deposited on the sharpened blade edges to  
5 form a stabilizing metallic layer 70 of about three hundred angstroms thickness and a tip radius of about 350 angstroms as diagrammatically indicated in Fig. 4.

A coating 72 of polytetrafluoroethylene telomer is then applied to the sputter-coated edges of  
10 the blades in accordance with the teaching of U.S. Patent No. 3,518,110. This process involves heating the blades in an argon environment and providing on the cutting edges of the blades an adherent and friction-reduction polymer coating 74 of solid PTFE as  
15 diagrammatically indicated in Fig. 4.

A diagrammatic view of the resulting blade edge is shown in Fig. 4. The radius of the modified (sputter-sharpened) tip 68 is about three hundred angstroms, the included angle of the sputter-sharpened  
20 surfaces 66 forming the modified tip 68 is about seventy degrees and the included angle of the mechanically abraded and annealed facets 58 is about nine degrees. Resulting ceramic blades 20, 24 are assembled in razor  
10. The razor exhibits excellent shaving properties and  
25 shaving life.

While particular embodiments of the invention have been shown and described, various modifications will be apparent to those skilled in the art, and therefore, it is not intended that invention be limited  
30 to the disclosed embodiment, or to details thereof, and departures may be made therefrom within the spirit and scope of the invention.

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C L A I M S

1. A process for forming a razor blade comprising the steps of  
providing a ceramic substrate,  
mechanically abrading said ceramic substrate to form a sharpened edge thereon with facets that have an included angle of less than thirty degrees and a tip radius of less than twelve hundred angstroms; and  
sputter-sharpening said edge to form a cutting edge defined by supplemental facets that are less than one micrometer in width and have an included angle greater than forty degrees.
2. The process of claim 1, wherein said ceramic substrate material is selected from the group consisting of silicon carbide, silicon nitride, zirconia, and alumina.
3. The process of claim 1, wherein said ceramic substrate is of single crystal material and has a bend strength in excess of 700 MPa.
4. The process of claim 1, wherein said ceramic substrate is mechanically abraded in a sequence of rough-honing and finish-honing steps with diamond abrasive material and said rough-honing step forms facets that have an included angle of less than twenty degrees and said step of mechanically abrading said ceramic substrate forms a sharpened edge thereon that has an ultimate tip radius in the range of 600 to 800 angstroms.
5. The process of claim 4 and further including the steps of sputter depositing a chromium-containing metal layer on said cutting edge, and then applying an adherent polymer coating on said metal-coated cutting edge.
6. A razor blade comprising a ceramic substrate with mechanically-abraded facets that have a width of at least about 0.1 millimeter and an included angle of less than thirty degrees, and a sputter-sharpened cutting edge of tip radius less than about five hundred angstroms that is defined by supplemental sputter-sharpened facets that have

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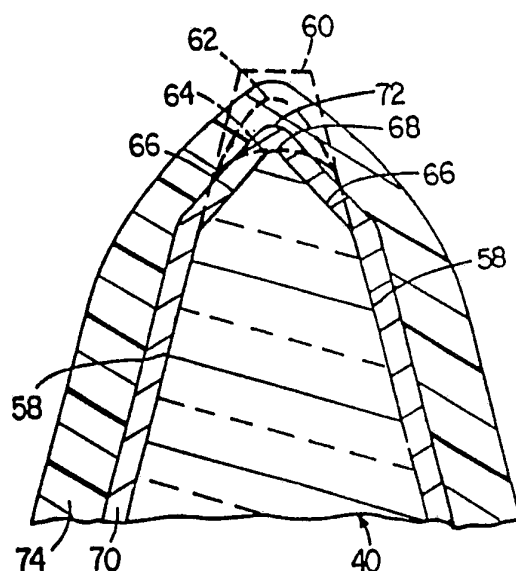
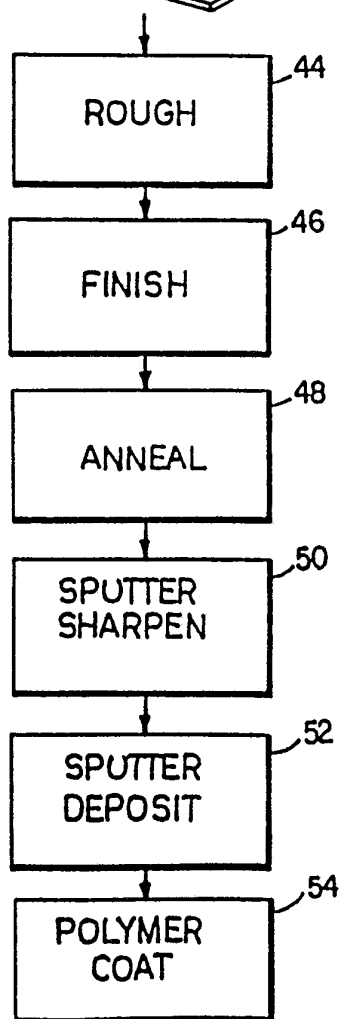
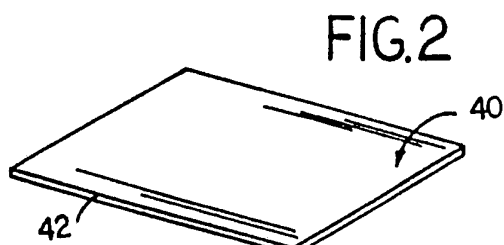
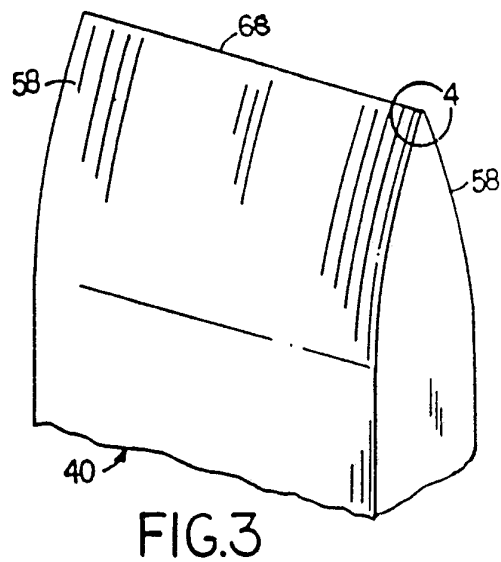
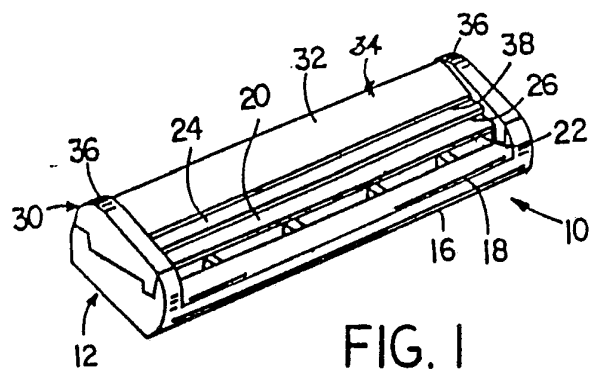
an effective included angle substantially greater than the included angle of said mechanically abraded facets and said mechanically-abraded facets are thermally annealed.

7. The razor blade of claim 6 and further including a sputter-deposited metal layer on said sputter-etched cutting edge, and an adherent polymer coating on said metal coated cutting edge and said sputter-sharpened cutting edge has a thickness of less than five hundred angstroms, and said adherent polymer coating on said metal coated cutting edge has a thickness of less than ten micrometers.

8. The razor blade of claim 6, wherein said cutting edge is generally parallel to the C crystallographic axis of said substrate.

9. The razor blade of claim 6, wherein said ceramic substrate material is selected from the group consisting of silicon carbide, silicon nitride, zirconia, and alumina and has a bend strength in excess of 300 MPa.

10. The razor blade of claim 9, wherein each said sputter-sharpened facet immediately adjacent said cutting edge has a width in the range of 0.1-0.5 micrometer and an effective included angle of at least forty degrees, and further including a sputter-deposited metal layer on said cutting edge, and an adherent polymer coating on said metal coated cutting edge, said sputter-deposited metal layer having a thickness of less than five hundred angstroms, and said adherent polymer coating on said metal layer having a thickness of less than ten micrometers.



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/01480

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5): B26B 21/58 U.S. CL: 30/346.54														
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%; border: 1px solid black; text-align: left;">Classification System</th> <th style="border: 1px solid black; text-align: left;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; vertical-align: top; padding: 5px;">U.S.</td> <td style="border: 1px solid black; vertical-align: top; padding: 5px;">30/346.5, 346.53, 346.54, 350 26/101.1, 104.1, 119, Dig 8</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Documentation Searched other than Minimum Documentation to the extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	U.S.	30/346.5, 346.53, 346.54, 350 26/101.1, 104.1, 119, Dig 8								
Classification System	Classification Symbols													
U.S.	30/346.5, 346.53, 346.54, 350 26/101.1, 104.1, 119, Dig 8													
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; border: 1px solid black; text-align: left;">Category *</th> <th style="width: 60%; border: 1px solid black; text-align: left;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 30%; border: 1px solid black; text-align: left;">Relevant to Claim No. <sup>13</sup></th> </tr> <tr> <td style="border: 1px solid black; vertical-align: top; text-align: center;">A</td> <td style="border: 1px solid black; vertical-align: top; padding: 5px;">US, A, 3,838,512 SANDERSON 01 October 1974 See entire document.</td> <td style="border: 1px solid black; vertical-align: top; text-align: center;">1-10</td> </tr> <tr> <td style="border: 1px solid black; vertical-align: top; text-align: center;">A</td> <td style="border: 1px solid black; vertical-align: top; padding: 5px;">US, A, 3,911,579 LAME ET AL. 14 October 1975 See entire document.</td> <td style="border: 1px solid black; vertical-align: top; text-align: center;">1-10</td> </tr> <tr> <td style="border: 1px solid black; vertical-align: top; text-align: center;">A</td> <td style="border: 1px solid black; vertical-align: top; padding: 5px;">US, A, 4,122,603 SASTRI 31 October 1978 See entire document.</td> <td style="border: 1px solid black; vertical-align: top; text-align: center;">1-10</td> </tr> </table>			Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	A	US, A, 3,838,512 SANDERSON 01 October 1974 See entire document.	1-10	A	US, A, 3,911,579 LAME ET AL. 14 October 1975 See entire document.	1-10	A	US, A, 4,122,603 SASTRI 31 October 1978 See entire document.	1-10
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<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>*</sup> Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>														
<b>IV. CERTIFICATION</b>														
Date of the Actual Completion of the International Search  <div style="font-size: 1.2em; font-weight: bold;">28 MAY 1991</div>	Date of Mailing of this International Search Report  <div style="font-size: 1.5em; font-weight: bold;">28 JUN 1991</div>													
International Searching Authority  <div style="text-align: center; font-weight: bold;">ISA/US</div>	Signature of Authorized Officer <div style="text-align: center;"> <div style="font-weight: bold;">DOUGLAS D. WATT</div> </div>													