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## (54) Title: ANTI-PD-1 ANTIBODIES

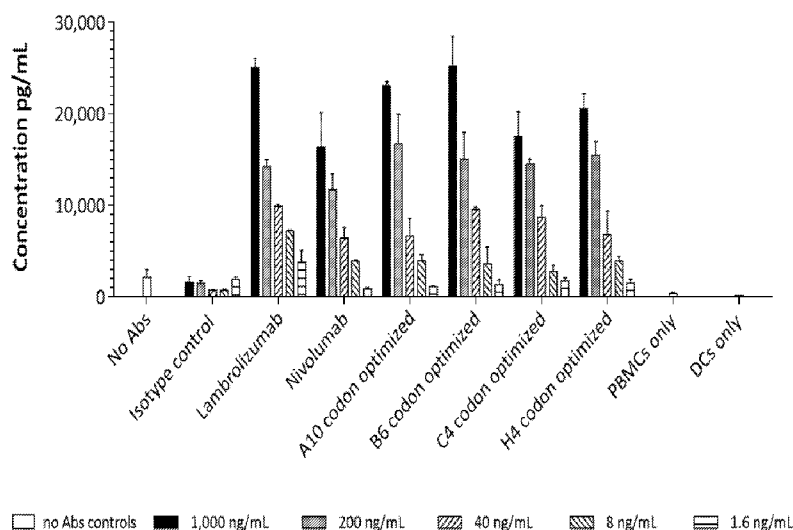


Figure 18

(57) Abstract: Anti-PD-1 antibodies are disclosed. Also disclosed are pharmaceutical compositions comprising such antibodies, and methods of using such antibodies to restore T-cell function in T-cells. The antibodies may also be used in the treatment of cancer or infectious diseases.

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## Anti-PD-1 Antibodies

### Field of the Invention

The present invention relates to antibodies that bind to programmed cell death 1 (PD-1).

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### Background to the Invention

T-cell exhaustion is a state of T-cell dysfunction that arises during many chronic infections and cancer. It is defined by poor T-cell effector function, sustained expression of inhibitory receptors and a transcriptional state distinct from that of functional effector or memory T-cells. Exhaustion prevents optimal control of infection and tumors. (E John Wherry., *Nature Immunology* 12, 492-499 (2011)).

10

T-cell exhaustion is characterized by the stepwise and progressive loss of T-cell functions. Exhaustion is well-defined during chronic lymphocytic choriomeningitis virus infection and commonly develops under conditions of antigen-persistence, which occur following many chronic infections including hepatitis B virus, hepatitis C virus and human immunodeficiency virus infections, as well as during tumor metastasis. Exhaustion is not a uniformly disabled setting as a gradation of phenotypic and functional defects can manifest, and these cells are distinct from prototypic effector, memory and also anergic T cells. Exhausted T cells most commonly emerge during high-grade chronic infections, and the levels and duration of antigenic stimulation are critical determinants of the process. (Yi et al., *Immunology* Apr 2010; 129(4):474-481).

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Circulating human tumor-specific CD8<sup>+</sup> T cells may be cytotoxic and produce cytokines *in vivo*, indicating that self- and tumor-specific human CD8<sup>+</sup> T cells can reach functional competence after potent immunotherapy such as vaccination with peptide, incomplete Freund's adjuvant (IFA), and CpG or after adoptive transfer. In contrast to peripheral blood, T-cells from metastasis are functionally deficient, with abnormally low cytokine production and upregulation of the inhibitory receptors PD-1, CTLA-4, and TIM-3. Functional deficiency is reversible, since T-cells isolated from melanoma tissue can restore IFN- $\gamma$  production after short-term *in vitro* culture. However, it remains to be determined whether this functional impairment involves further molecular pathways, possibly resembling T-cell exhaustion or anergy as defined in animal models. (Baitsch et al., *J Clin Invest.* 2011;121(6):2350-2360).

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Programmed cell death 1 (PD-1), also called CD279, is a type I membrane protein encoded in humans by the *PDCD1* gene. It has two ligands, PD-L1 and PD-L2.

5 The PD-1 pathway is a key immune-inhibitory mediator of T-cell exhaustion. Blockade of this pathway can lead to T-cell activation, expansion, and enhanced effector functions. As such, PD-1 negatively regulates T cell responses. PD-1 has been identified as a marker of exhausted T cells in chronic disease states, and blockade of PD-1:PD-1L interactions has been shown to partially restore T cell function. (Sakuishi et al., *JEM* Vol. 207, September 27, 2010, pp2187-2194).

10 Nivolumab (BMS-936558) is an anti-PD-1 antibody that was approved for the treatment of melanoma in Japan in July 2014. Other anti-PD-1 antibodies are described in WO 2010/077634, WO 2006/121168, WO2008/156712 and WO2012/135408.

15 T cell immunoglobulin mucin 3 (TIM-3) is an immune regulator identified as being upregulated on exhausted CD8<sup>+</sup> T cells (Sakuishi et al., *JEM* Vol. 207, September 27, 2010, pp2187-2194). TIM-3 was originally identified as being selectively expressed on IFN- $\gamma$ -secreting Th1 and Tc1 cells. Interaction of TIM-3 with its ligand, galectin-9, triggers cell death in TIM-3<sup>+</sup> T cells. Anti-TIM-3 antibodies are described in Ngiow et al (*Cancer Res.* 2011 May 15;71(10):3540-51), and in US8,552,156

20 Both TIM-3 and PD-1 can function as negative regulators of T cell responses and combined targeting of the TIM-3 and PD-1 pathways is more effective in controlling tumor growth than targeting either pathway alone. (Sakuishi et al., *JEM* Vol. 207, September 27, 2010, pp2187-2194; and Ngiow et al *Cancer Res.* 2011 May 15;71(10):3540-51).

### Summary of the Invention

25 The present invention is concerned with antibodies, or antigen binding fragments, that bind to PD-1. Heavy and light chain polypeptides are also disclosed. The antibodies, antigen binding fragments and polypeptides may be provided in isolated and/or purified form and may be formulated into compositions suitable for use in research, therapy and diagnosis.

30 In some embodiments the antibody, or antigen binding fragment, or polypeptide may be effective to restore T-cell function in T-cells, e.g. CD8<sup>+</sup> T-cells, exhibiting T-cell exhaustion or T-cell anergy.

In one aspect of the present invention an antibody, or antigen binding fragment, is provided, the amino acid sequence of the antibody may comprise the amino acid sequences i) to iii), or the amino acid sequences iv) to vi), or preferably the amino acid sequences i) to vi):

5	i) LC-CDR1:	SGSSSNIKFNSVN	(SEQ ID NO:25)
	ii) LC-CDR2:	SNNQRPS	(SEQ ID NO:26)
	iii) LC-CDR3:	X <sub>1</sub> X <sub>2</sub> WDDX <sub>3</sub> X <sub>4</sub> X <sub>5</sub> GX <sub>6</sub> X <sub>7</sub>	(SEQ ID NO:53)
	iv) HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	HC-CDR1:	SYGMH	(SEQ ID NO:89)
10	v) HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
	vi) HC-CDR3:	DZ <sub>1</sub> GZ <sub>2</sub> GZ <sub>3</sub> YZ <sub>4</sub> YGZ <sub>5</sub> DZ <sub>6</sub>	(SEQ ID NO:54)

or a variant thereof in which one or two or three amino acids in one or more of the sequences (i) to (vi) are replaced with another amino acid, where X<sub>1</sub>= A or S, X<sub>2</sub>= S or A, X<sub>3</sub>= V, Y, F, D, S or A, X<sub>4</sub>= L, Y, V or A, X<sub>5</sub>= Y, R or H, X<sub>6</sub>= S, or T, X<sub>7</sub>= V, I, or M and Z<sub>1</sub>= L or Y, Z<sub>2</sub>= A or S, Z<sub>3</sub>= P or Y, Z<sub>4</sub>= Y or L, Z<sub>5</sub>= K, M or L, Z<sub>6</sub>= H or V.

In connection with all aspects of the present invention, in embodiments wherein HC-CDR1: SYGMH (SEQ ID NO:89), this sequence may be comprised in the larger sequence GFTFSSYGMH (SEQ ID NO:39).

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In some embodiments, LC-CDR3 is one of ASWDDVLYGSV (SEQ ID NO:27), ASWDDYYYGTI (SEQ ID NO:28), ASWDDYLRGTV (SEQ ID NO:29), SAWDDYLHGTV (SEQ ID NO:30), ASWDDYVRGTM (SEQ ID NO:31), SSWDDFLRGTV (SEQ ID NO:32), SSWDDDARGTI (SEQ ID NO:33), AAWDDVYYGTI (SEQ ID NO:34), ASWDDSLYGTI (SEQ ID NO:35), AAWDDAYYGTI (SEQ ID NO:36), ASWDDVYRGTV (SEQ ID NO:37), or SSWDDSLYGTI (SEQ ID NO:38). In some embodiments HC-CDR3 is one of DLGAGPYYYYGKDH (SEQ ID NO:41), DLGAGPYYYYGKDV (SEQ ID NO:42), DYGAGPYYYYGMDV (SEQ ID NO:43), DLGAGPYYYYGLDV (SEQ ID NO:44), DLGAGPYYYYGMDV (SEQ ID NO:45), DLGAGPYYYYGMDV (SEQ ID NO:46),  
 25 DLGAGPYYYYGMDV (SEQ ID NO:47), DLGAGPYYYYGMDV (SEQ ID NO:48), DLGAGPYYYYGMDV (SEQ ID NO:49), DYGAGPYYYYGMDV (SEQ ID NO:50), DLGSGYYLYGMDV (SEQ ID NO:51), or DLGAGPYYYYGMDV (SEQ ID NO:52).

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In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

5           LC-CDR1:           SGSSSNIKFNSVN   (SEQ ID NO:25)  
               LC-CDR2:           SNNQRPS           (SEQ ID NO:26)  
               LC-CDR3:           ASWDDVLYGSV    (SEQ ID NO:27)

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

10           LC-CDR1:           SGSSSNIKFNSVN   (SEQ ID NO:25)  
               LC-CDR2:           SNNQRPS           (SEQ ID NO:26)  
               LC-CDR3:           ASWDDYYYGTI    (SEQ ID NO:28)

15           In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

              LC-CDR1:           SGSSSNIKFNSVN   (SEQ ID NO:25)  
               LC-CDR2:           SNNQRPS           (SEQ ID NO:26)  
               LC-CDR3:           ASWDDYLRGTV    (SEQ ID NO:29)

20           In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

              LC-CDR1:           SGSSSNIKFNSVN   (SEQ ID NO:25)  
               LC-CDR2:           SNNQRPS           (SEQ ID NO:26)  
               LC-CDR3:           SAWDDYLHGTV    (SEQ ID NO:30)

25

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

30           LC-CDR1:           SGSSSNIKFNSVN   (SEQ ID NO:25)  
               LC-CDR2:           SNNQRPS           (SEQ ID NO:26)  
               LC-CDR3:           ASWDDYVRGTM    (SEQ ID NO:31)

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

              LC-CDR1:           SGSSSNIKFNSVN   (SEQ ID NO:25)

LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: SSWDDFLRGTV (SEQ ID NO:32)

5 In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: SSWDDDARGTI (SEQ ID NO:33)

10

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
15 LC-CDR3: AAWDDVYYGTI (SEQ ID NO:34)

15

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
20 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: ASWDDSLYGTI (SEQ ID NO:35)

20

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

25 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: AAWDDAYYGTI (SEQ ID NO:36)

25

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

30 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: ASWDDVYRGTV (SEQ ID NO:37)

30

In some embodiments the antibody, or antigen binding fragment, may comprise at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 5 LC-CDR3: SSWDDSLYGTI (SEQ ID NO:38)

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

10 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGKDH (SEQ ID NO:41)

15 In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGKDV (SEQ ID NO:42)  
 20

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 25 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DYGAGPYYYGMDV (SEQ ID NO:43)

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

30 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGLDV (SEQ ID NO:44)

35 In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:



HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:45)

5

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:46)

10

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:47)

15

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:48)

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In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:49)

30

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
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	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DYGAGPYYYGMDV	(SEQ ID NO:50)

5 In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
10 HC-CDR3:	DLGSGYYLYGMDV	(SEQ ID NO:51)

In some embodiments the antibody, or antigen binding fragment, may comprise at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
15	SYGMH	(SEQ ID NO: 89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:52)

20

The antibody may comprise at least one light chain variable region incorporating the CDRs shown in Figures 1 or 3. The antibody may comprise at least one heavy chain variable region incorporating the CDRs shown in Figures 2 or 3.

25 The antibody may comprise at least one light chain variable region (V<sub>L</sub>) comprising the amino acid sequence of one of SEQ ID NOs 1, 25, 26, 27 or 2, 25, 26, 28, or 3, 25, 26, 29 or 4, 25, 26, 30, or 5, 25, 26, 31 or 6, 25, 26, 32 or 7, 25, 26, 33 or 8, 25, 26, 34 or 9, 25, 26, 35 or 10, 25, 26 36 or 11, 25, 26, 37 or 12, 25, 26, 38, or one of the amino acid sequences shown in Figure 1 or an amino acid sequence having at least 70%, more

30 preferably one of at least 75%, 80%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%, sequence identity to one of SEQ ID NOs 1, 25, 26, 27 or 2, 25, 26, 28, or 3, 25, 26, 29 or 4, 25, 26, 30, or 5, 25, 26, 31 or 6, 25, 26, 32 or 7, 25, 26, 33 or 8, 25, 26, 34 or 9, 25, 26, 35 or 10, 25, 26 36 or 11, 25, 26, 37 or 12, 25, 26, 38, or to the amino acid sequence of the V<sub>L</sub> chain amino acid sequence shown

35 in Figure 1.

The antibody may comprise at least one heavy chain variable region (V<sub>H</sub>) comprising the amino acid sequence of one of SEQ ID NOs 13, 39 or 89, 40, 41 or 14, 39 or 89, 40, 42, or 15, 39 or 89, 40, 43 or 16, 39 or 89, 40, 44 or 17, 39 or 89, 40, 45 or 18, 39 or 89, 40, 46 or 19, 39 or 89, 40, 47 or 20, 39 or 89, 40, 48 or 21, 39 or 89, 40, 49 or 22, 39 or 89, 40, 50 or 23, 39 or 89, 40, 51 or 24, 39 or 89, 40, 52, or one of the amino acid sequences shown in Figure 2 or an amino acid sequence having at least 70%, more preferably one of at least 75%, 80%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%, sequence identity to one of SEQ ID NOs 13, 39, 40, 41 or 14, 39 or 89, 40, 42, or 15, 39 or 89, 40, 43 or 16, 39 or 89, 40, 44 or 17, 39 or 89, 40, 45 or 18, 39 or 89, 40, 46 or 19, 39 or 89, 40, 47 or 20, 39 or 89, 40, 48 or 21, 39 or 89, 40, 49 or 22, 39 or 89, 40, 50 or 23, 39 or 89, 40, 51 or 24, 39 or 89, 40, 52, or to the amino acid sequence of the V<sub>H</sub> chain amino acid sequence shown in Figure 2.

The antibody may comprise at least one light chain variable region comprising the amino acid sequence of one of SEQ ID NOs 1, 25, 26, 27 or 2, 25, 26, 28, or 3, 25, 26, 29 or 4, 25, 26, 30, or 5, 25, 26, 31 or 6, 25, 26, 32 or 7, 25, 26, 33 or 8, 25, 26, 34 or 9, 25, 26, 35 or 10, 25, 26, 36 or 11, 25, 26, 37 or 12, 25, 26, 38, or to one of the amino acid sequences shown in Figure 1 (or an amino acid sequence having at least 70%, more preferably one of at least 75%, 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100%, sequence identity to one of SEQ ID NOs 1, 25, 26, 27 or 2, 25, 26, 28, or 3, 25, 26, 29 or 4, 25, 26, 30, or 5, 25, 26, 31 or 6, 25, 26, 32 or 7, 25, 26, 33 or 8, 25, 26, 34 or 9, 25, 26, 35 or 10, 25, 26, 36 or 11, 25, 26, 37 or 12, 25, 26, 38, or to one of the amino acid sequences of the V<sub>L</sub> chain amino acid sequence shown in Figure 1) and at least one heavy chain variable region comprising the amino acid sequence of one of SEQ ID NOs 13, 39 or 89, 40, 41 or 14, 39 or 89, 40, 42, or 15, 39 or 89, 40, 43 or 16, 39 or 89, 40, 44 or 17, 39 or 89, 40, 45 or 18, 39 or 89, 40, 46 or 19, 39 or 89, 40, 47 or 20, 39 or 89, 40, 48 or 21, 39 or 89, 40, 49 or 22, 39 or 89, 40, 50 or 23, 39 or 89, 40, 51 or 24, 39 or 89, 40, 52, or one of the amino acid sequence shown in Figure 2 (or an amino acid sequence having at least 70%, more preferably one of at least 75%, 80%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%, sequence identity to one of SEQ ID NOs 13, 39 or 89, 40, 41 or 14, 39 or 89, 40, 42, or 15, 39 or 89, 40, 43 or 16, 39 or 89, 40, 44 or 17, 39 or 89, 40, 45 or 18, 39 or 89, 40, 46 or 19, 39 or 89, 40, 47 or 20, 39 or 89, 40, 48 or 21, 39 or 89, 40, 49 or 22, 39 or 89, 40, 50 or 23, 39 or 89, 40, 51 or 24, 39 or 89, 40, 52, or to one of the amino acid sequences of the V<sub>H</sub> chain amino acid sequence shown in Figure 2).

The antibody may optionally bind PD-1. The antibody may optionally have amino acid sequence components as described above. The antibody may be an IgG. In one embodiment an *in vitro* complex, optionally isolated, comprising an antibody, or antigen binding fragment, as described herein, bound to PD-1 is provided.

5

In one aspect of the present invention an isolated heavy chain variable region polypeptide is provided, the heavy chain variable region polypeptide comprising the following CDRs:

	HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
		SYGMH	(SEQ ID NO: 89)
10	HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
	HC-CDR3:	DZ <sub>1</sub> GZ <sub>2</sub> GZ <sub>3</sub> YZ <sub>4</sub> YGZ <sub>5</sub> DZ <sub>6</sub>	(SEQ ID NO:54)

where Z<sub>1</sub>= L or Y, Z<sub>2</sub>= A or S, Z<sub>3</sub>= P or Y, Z<sub>4</sub>= Y or L, Z<sub>5</sub>= K, M or L, Z<sub>6</sub>= H or V.

In some embodiments HC-CDR3 is one of DLGAGPYYYGKDH (SEQ ID NO:41), DLGAGPYYYGKDV (SEQ ID NO:42), DYGAGPYYYGMDV (SEQ ID NO:43),  
 15 DLGAGPYYYGLDV (SEQ ID NO:44), DLGAGPYYYGMDV (SEQ ID NO:45), DLGAGPYYYGMDV (SEQ ID NO:46), DLGAGPYYYGMDV (SEQ ID NO:47), DLGAGPYYYGMDV (SEQ ID NO:48), DLGAGPYYYGMDV (SEQ ID NO:49), DYGAGPYYYGMDV (SEQ ID NO:50), DLGSGYYLYGMDV (SEQ ID NO:51), or DLGAGPYYYGMDV (SEQ ID NO:52).

20 In one aspect of the present invention an antibody, or antigen binding fragment, is provided, the antibody, or antigen binding fragment, comprising a heavy chain and a light chain variable region sequence, wherein:

the heavy chain comprises a HC-CDR1, HC-CDR2, HC-CDR3, having at least 85% overall sequence identity to

25	HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89),
	HC-CDR2 VISYDGSNKYYADSVKG (SEQ ID NO:40),
	HC-CDR3: is one of DZ <sub>1</sub> GZ <sub>2</sub> GZ <sub>3</sub> YZ <sub>4</sub> YGZ <sub>5</sub> DZ <sub>6</sub> (SEQ ID NO:54),
	DLGAGPYYYGKDH (SEQ ID NO:41), DLGAGPYYYGKDV (SEQ ID NO:42),
	DYGAGPYYYGMDV (SEQ ID NO:43), DLGAGPYYYGLDV (SEQ ID NO:44),
30	DLGAGPYYYGMDV (SEQ ID NO:45), DLGAGPYYYGMDV (SEQ ID NO:46),
	DLGAGPYYYGMDV (SEQ ID NO:47), DLGAGPYYYGMDV (SEQ ID NO:48),
	DLGAGPYYYGMDV (SEQ ID NO:49), DYGAGPYYYGMDV (SEQ ID NO:50),
	DLGSGYYLYGMDV (SEQ ID NO:51), or DLGAGPYYYGMDV (SEQ ID NO:52)
	respectively, where Z <sub>1</sub> = L or Y, Z <sub>2</sub> = A or S, Z <sub>3</sub> = P or Y, Z <sub>4</sub> = Y or L, Z <sub>5</sub> = K, M or L,
35	Z <sub>6</sub> = H or V, and

the light chain comprises a LC-CDR1, LC-CDR2, LC-CDR3,, having at least 85% overall sequence identity to

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25),

LC-CDR2: SNNQRPS (SEQ ID NO:26),

LC-CDR3: is one of  $X_1X_2WDDX_3X_4X_5GX_6X_7$  (SEQ ID NO:53), ASWDDVLYGSV (SEQ ID NO:27), ASWDDYYYGTI (SEQ ID NO:28), ASWDDYLRGTV (SEQ ID NO:29), SAWDDYLHGTV (SEQ ID NO:30), ASWDDYVRGTM (SEQ ID NO:31), SSWDDFLRGTV (SEQ ID NO:32), SSWDDDARGTI (SEQ ID NO:33), AAWDDVYYGTI (SEQ ID NO:34), ASWDDSLYGTV (SEQ ID NO:35), AAWDDAYYGTI (SEQ ID NO:36), ASWDDVYRGTV (SEQ ID NO:37), or SSWDDSLYGTI (SEQ ID NO:38) respectively, where  $X_1 = A$  or  $S$ ,  $X_2 = S$  or  $A$ ,  $X_3 = V, Y, F, D, S$  or  $A$ ,  $X_4 = L, Y, V$  or  $A$ ,  $X_5 = Y, R$  or  $H$ ,  $X_6 = S$ , or  $T$ ,  $X_7 = V, I$ , or  $M$ .

In some embodiments the degree of sequence identity may be one of 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%.

In another aspect of the present invention an antibody, or antigen binding fragment, optionally isolated, is provided comprising a heavy chain and a light chain variable region sequence, wherein:

the heavy chain sequence has at least 85% sequence identity to the heavy chain sequence of one of SEQ ID NOs:13 to 24 (Figure 2), and

the light chain sequence has at least 85% sequence identity to the light chain sequence of one of: SEQ ID NOs:1 to 12 (Figure 1).

In some embodiments the degree of sequence identity may be one of 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%.

In some embodiments the antibody, antigen binding fragment, or polypeptide further comprises variable region heavy chain framework sequences between the CDRs according to the arrangement HCFR1:HC-CDR1:HCFR2:HC-CDR2:HCFR3:HC-CDR3:HCFR4. The framework sequences may be derived from human consensus framework sequences.

In one aspect of the present invention an isolated light chain variable region polypeptide, optionally in combination with a heavy chain variable region polypeptide as described herein, is provided, the light chain variable region polypeptide comprising the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)

LC-CDR2: SNNQRPS (SEQ ID NO:26)

LC-CDR3:  $X_1X_2WDDX_3X_4X_5GX_6X_7$  (SEQ ID NO:53)

where  $X_1 = A$  or  $S$ ,  $X_2 = S$  or  $A$ ,  $X_3 = V, Y, F, D, S$  or  $A$ ,  $X_4 = L, Y, V$  or  $A$ ,  $X_5 = Y, R$  or  $H$ ,  $X_6 = S$ , or  $T$ ,  $X_7 = V, I$ , or  $M$ .

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In some embodiments LC-CDR3 is one of ASWDDVLYGSV (SEQ ID NO:27), ASWDDYYGTI (SEQ ID NO:28), ASWDDYLRGTV (SEQ ID NO:29), SAWDDYLHGTV (SEQ ID NO:30), ASWDDYVRGTM (SEQ ID NO:31), SSWDDFLRGTV (SEQ ID NO:32), SSWDDDARGTI (SEQ ID NO:33), AAWDDVYYGTI (SEQ ID NO:34), ASWDDSLYGTV (SEQ ID NO:35), AAWDDAYYGTI (SEQ ID NO:36), ASWDDVYRGTV (SEQ ID NO:37), or SSWDDSLYGTI (SEQ ID NO:38).

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In some embodiments the antibody, antigen binding fragment, or polypeptide further comprises variable region light chain framework sequences between the CDRs according to the arrangement LCFR1:LC-CDR1:LCFR2:LC-CDR2:LCFR3:LC-CDR3:LCFR4. The framework sequences may be derived from human consensus framework sequences.

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In some embodiments, the antibody, or antibody binding fragment, may further comprise a human constant region. For example selected from one of IgG1, IgG2, IgG3 and IgG4.

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In some embodiments, the antibody, or antibody binding fragment, may further comprise a murine constant region. For example, selected from one of IgG1, IgG2A, IgG2B and IgG3.

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In another aspect of the present invention, an antibody or antigen binding fragment is provided, optionally isolated, which is capable of binding to PD-1, and which is a bispecific antibody or a bispecific antigen binding fragment. In some embodiments, the bispecific antibody or bispecific antigen binding fragment comprises an antigen binding fragment or polypeptide capable of binding to PD-1 as described herein, and additionally comprises an antigen binding domain which is capable of binding to another target protein, e.g. a target protein other than PD-1. In some embodiments, the target protein is a cell surface receptor. In some embodiments, the target protein is a cell surface receptor expressed on the cell surface of immune cells, e.g. T cells. In some embodiments, the target protein may be a member of the CD28 family. In some embodiments, the member of the CD28 family is selected from TIM-3, LAG3, ICOS, CTLA4, BTLA or CD28.

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In another aspect of the present invention, a composition, e.g. a pharmaceutical composition or medicament, is provided. The composition may comprise an antibody, antigen binding fragment, or polypeptide as described herein and at least one pharmaceutically-acceptable carrier, excipient, adjuvant or diluent.

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In another aspect of the present invention an isolated nucleic acid encoding an antibody, antigen binding fragment, or polypeptide as described herein is provided. The nucleic acid may have a sequence of one of SEQ ID NOs 55, 56, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, or 88 (Figure 4), or a coding sequence which is degenerate as a result of the genetic code, or may have a nucleotide sequence having at least 70% identity thereto, optionally one of 75%, 80%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%.

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In one aspect of the present invention there is provided a vector comprising a nucleic acid described herein. In another aspect of the present invention, there is provided a host cell comprising the vector. For example, the host cell may be eukaryotic, or mammalian, e.g. Chinese Hamster Ovary (CHO), or human or may be a prokaryotic cell, e.g. *E. coli*.

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In one aspect of the present invention a method for making an antibody, or antigen binding fragment or polypeptide as described herein is provided, the method comprising culturing a host cell as described herein under conditions suitable for the expression of a vector encoding the antibody, or antigen binding fragment or polypeptide, and recovering the antibody, or antigen binding fragment or polypeptide.

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In another aspect of the present invention an antibody, antigen binding fragment or polypeptide is provided for use in therapy, or in a method of medical treatment. In another aspect of the present invention an antibody, antigen binding fragment or polypeptide as described herein is provided for use in the treatment of a T-cell dysfunctional disorder. In another aspect of the present invention, the use of an antibody, antigen binding fragment or polypeptide as described herein in the manufacture of a medicament or pharmaceutical composition for use in the treatment of a T-cell dysfunctional disorder is provided.

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In another aspect of the present invention a method of enhancing T-cell function comprising administering an antibody, antigen binding fragment or polypeptide as

described herein to a dysfunctional T-cell is provided. The method may be performed *in vitro* or *in vivo*.

5 In another aspect of the present invention a method of treating a T-cell dysfunctional disorder is provided, the method comprising administering an antibody, antigen binding fragment or polypeptide as described herein to a patient suffering from a T-cell dysfunctional disorder.

10 In another aspect of the present invention a method of modulating an immune response in a subject is provided, the method comprising administering to the subject an antibody, antigen binding fragment or polypeptide as described herein such that the immune response in the subject is modulated.

15 In another aspect of the present invention a method of inhibiting growth of tumor cells in a subject is provided, the method comprising administering to the subject a therapeutically effective amount of an antibody, antigen binding fragment or polypeptide as described herein.

20 In another aspect of the present invention a method is provided, the method comprising contacting a sample containing, or suspected to contain, PD-1 with an antibody or antigen binding fragment, as described herein, and detecting the formation of a complex of antibody, or antigen binding fragment, and PD-1.

25 In another aspect of the present invention a method of diagnosing a disease or condition in a subject is provided, the method comprising contacting, *in vitro*, a sample from the subject with an antibody, or antigen binding fragment, , as described herein, and detecting the formation of a complex of antibody, or antigen binding fragment, and PD-1.

30 In a further aspect of the present invention a method of selecting or stratifying a subject for treatment with PD-1 targeted agents is provided, the method comprising contacting, *in vitro*, a sample from the subject with an antibody, or antigen binding fragment, according to the present invention and detecting the formation of a complex of antibody, or antigen binding fragment, and PD-1.



In a further aspect of the present invention the use of an antibody, or antigen binding fragment, as described herein, for the detection of PD-1 *in vitro* is provided. In another aspect of the present invention the use of an antibody, or antigen binding fragment, as described herein, as an *in vitro* diagnostic agent is provided.

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In a further aspect of the present invention a method for expanding a population of T cells is provided, wherein T cells are contacted *in vitro* or *ex vivo* with an antibody, antigen binding fragment or polypeptide according to the present invention.

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In a further aspect of the present invention a method of treatment of a subject having a T-cell dysfunctional disorder is provided, the method comprising culturing T cells obtained from a blood sample from a subject in the presence of an antibody, antigen binding fragment or polypeptide according to the present invention so as to expand the T cell population, collecting expanded T cells, and administering the expanded T cells to a subject in need of treatment.

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In methods of the present invention the antibody, antigen binding fragment or polypeptide may be provided as a composition as described herein.

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In some embodiments the antibody may be antibody clone A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, or H9 as described herein.

## Description

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### Antibodies

Antibodies according to the present invention preferably bind to PD-1 (the antigen), preferably human or rhesus PD-1, optionally with a  $K_D$  in the range 0.1 to 2nM.

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In any aspect of the present invention the antibody preferably specifically binds PD-1 (e.g. human or rhesus) over other members of the CD28 family (preferably from the same organism), such as one or more or each of TIM-3 (HAVCR2), LAG3 (CD223), ICOS (CD278), CTLA4 (CD152), BTLA (CD272) or CD28.

Antibodies according to the present invention may be provided in isolated form.

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Antibodies according to the present invention may exhibit least one of the following properties:

- a) binds to human PD-1 with a  $K_D$  of 1  $\mu$ M or less, preferably one of  $\leq 10$ nM,  $\leq 1$ nM,  $\leq 800$ pM,  $\leq 700$ pM,  $\leq 600$ pM,  $\leq 500$ pM,  $\leq 400$ pM,  $\leq 300$ pM,  $\leq 200$ pM or  $\leq 100$ pM;
- b) does not substantially bind to human TIM-3, LAG3, ICOS, CTLA4, BTLA or CD28;
- c) increases T -cell proliferation in an Mixed Lymphocyte Reaction (MLR) assay (e.g. see Bromelow et al *J.Immunol Methods*, 2001 Jan 1;247(1-2):1-8);
- d) increases interferon-gamma production in an MLR assay; or
- e) increases interleukin-2 (IL-2) secretion in an MLR assay.

In some embodiments, the antibodies may be capable of increasing interferon-gamma production in an MLR assay in a dose-dependent manner. In some embodiments, the antibodies may be capable of increasing interferon-gamma production in an MLR assay by lymphocytes expressing one or more markers of exhaustion, e.g. PD-1.

By "antibody" we include a fragment or derivative thereof, or a synthetic antibody or synthetic antibody fragment.

In view of today's techniques in relation to monoclonal antibody technology, antibodies can be prepared to most antigens. The antigen-binding portion may be a part of an antibody (for example a Fab fragment) or a synthetic antibody fragment (for example a single chain Fv fragment [ScFv]). Suitable monoclonal antibodies to selected antigens may be prepared by known techniques, for example those disclosed in "Monoclonal Antibodies: A manual of techniques ", H Zola (CRC Press, 1988) and in "Monoclonal Hybridoma Antibodies: Techniques and Applications ", J G R Hurrell (CRC Press, 1982). Chimaeric antibodies are discussed by Neuberger et al (1988, 8th International Biotechnology Symposium Part 2, 792-799).

Monoclonal antibodies (mAbs) are useful in the methods of the invention and are a homogenous population of antibodies specifically targeting a single epitope on an antigen.

Polyclonal antibodies are useful in the methods of the invention. Monospecific polyclonal antibodies are preferred. Suitable polyclonal antibodies can be prepared using methods well known in the art.

Antigen binding fragments of antibodies, such as Fab and Fab<sub>2</sub> fragments may also be used/provided as can genetically engineered antibodies and antibody fragments. The variable heavy (V<sub>H</sub>) and variable light (V<sub>L</sub>) domains of the antibody are involved in antigen recognition, a fact first recognised by early protease digestion experiments. Further confirmation was found by "humanisation" of rodent antibodies. Variable domains of rodent origin may be fused to constant domains of human origin such that the resultant antibody retains the antigenic specificity of the rodent parented antibody (Morrison et al (1984) Proc. Natl. Acad. Sci. USA 81, 6851-6855).

That antigenic specificity is conferred by variable domains and is independent of the constant domains is known from experiments involving the bacterial expression of antibody fragments, all containing one or more variable domains. These molecules include Fab-like molecules (Better et al (1988) Science 240, 1041); Fv molecules (Skerra et al (1988) Science 240, 1038); single-chain Fv (ScFv) molecules where the V<sub>H</sub> and V<sub>L</sub> partner domains are linked via a flexible oligopeptide (Bird et al (1988) Science 242, 423; Huston et al (1988) Proc. Natl. Acad. Sci. USA 85, 5879) and single domain antibodies (dAbs) comprising isolated V domains (Ward et al (1989) Nature 341, 544). A general review of the techniques involved in the synthesis of antibody fragments which retain their specific binding sites is to be found in Winter & Milstein (1991) Nature 349, 293- 299.

By "ScFv molecules" we mean molecules wherein the V<sub>H</sub> and V<sub>L</sub> partner domains are covalently linked, e.g. by a flexible oligopeptide.

Fab, Fv, ScFv and dAb antibody fragments can all be expressed in and secreted from *E. coli*, thus allowing the facile production of large amounts of the said fragments.

Whole antibodies, and F(ab')<sub>2</sub> fragments are "bivalent". By "bivalent" we mean that the said antibodies and F(ab')<sub>2</sub> fragments have two antigen combining sites. In contrast, Fab, Fv, ScFv and dAb fragments are monovalent, having only one antigen combining site. Synthetic antibodies which bind to PD-1 may also be made using phage display technology as is well known in the art.

The present application also provides an antibody or antigen binding fragment which is capable of binding to PD-1, and which is a bispecific antibody or a bispecific antigen

binding fragment. In some embodiments, the bispecific antibody or bispecific antigen binding fragment may be isolated.

In some embodiments, the bispecific antibodies and bispecific antigen binding fragments comprise an antigen binding fragment or a polypeptide according to the present invention. In some embodiments, the bispecific antibodies and bispecific antigen binding fragments comprise an antigen binding domain capable of binding to PD-1, wherein the antigen binding domain which is capable of binding to PD-1 comprises or consists of an antigen binding fragment or a polypeptide according to the present invention.

In some embodiments the bispecific antibodies and bispecific antigen binding fragments comprise an antigen binding domain capable of binding to PD-1, and an antigen binding domain capable of binding to another target protein.

The antigen binding domain capable of binding to another target protein may be capable of binding to another protein other than PD-1. In some embodiments, the target protein is a cell surface receptor. In some embodiments, the target protein is a cell surface receptor expressed on the cell surface of immune cells, e.g. T cells. In some embodiments, the target protein may be a member of the CD28 family. In some embodiments, the target protein may be a member of the CD28 family such as TIM-3 (HAVCR2), LAG3 (CD223), ICOS (CD278), CTLA4 (CD152), BTLA (CD272) or CD28. In particular embodiments, the target protein may be CTLA4 or LAG3.

In some embodiments, the antigen binding domain for TIM-3 may comprise the CDRs, light and heavy chain variable domains or other TIM-3 binding fragment of e.g. anti-TIM-3 antibody clone F38-2E2 (BioLegend), clone 2E2 (Merck Millipore), clone 6B6E2, clone 024 (Sino Biological) clone 344801 (R&D Systems), clone E-18, clone H-191 (Santa Cruz Biotechnology), or clone 13A224 (United States Biological). In some embodiments, the antigen binding domain for LAG3 may comprise the CDRs, light and heavy chain variable domains or other LAG3 binding fragment of e.g. anti-LAG3 antibody clone 17B4 (Enzo Life Sciences), clone 333210 (R&D Systems), or clone 14L676 (United States Biological). In some embodiments, the antigen binding domain for ICOS may comprise the CDRs, light and heavy chain variable domains or other ICOS binding fragment of e.g. anti-ICOS antibody clone ISA-3 (eBioscience), clone SP98 (Novus Biologicals), clone 1G1, clone 3G4 (Abnova Corporation), clone 669222 (R&D Systems), clone TQ09 (Creative Diagnostics), or clone C398.4A (BioLegend). In some embodiments, the antigen binding

domain for CTLA4 may comprise the CDRs, light and heavy chain variable domains or other CTLA4 binding fragment of e.g. anti-CTLA4 antibody clone 2F1, clone 1F4 (Abnova Corporation), clone 9H10 (EMD Millipore), clone BNU3 (GeneTex), clone 1E2, clone AS32 (LifeSpan BioSciences) clone A3.4H2.H12 (Acris Antibodies), clone 060 (Sino Biological), clone BU5G3 (Creative Diagnostics), clone MIH8 (MBL International), clone A3.6B10.G1, or clone L3D10 (BioLegend). In some embodiments, the antigen binding domain for BTLA may comprise the CDRs, light and heavy chain variable domains or other BTLA binding fragment of e.g. anti-BTLA antibody clone 1B7, clone 2G8, clone 4C5 (Abnova Corporation), clone 4B8 (antibodies-online), clone MIH26 (Thermo Scientific Pierce Antibodies), clone UMAB61 (OriGene Technologies), clone 330104 (R&D Systems), clone 1B4 (LifeSpan BioSciences), clone 440205, clone 5E7 (Creative Diagnostics). In some embodiments, the antigen binding domain for CD28 may comprise the CDRs, light and heavy chain variable domains or other CD28 binding fragment of e.g. anti-CD28 antibody clone CD28.6 (eBioscience), clone CD28.2, clone JJ319 (Novus Biologicals), clone 204.12, clone B-23, clone 10F3 (Thermo Scientific Pierce Antibodies), clone 37407 (R&D Systems), clone 204-12 (Abnova Corporation), clone 15E8 (EMD Millipore), clone 204-12, clone YTH913.12 (AbD Serotec), clone B-T3 (Acris Antibodies), clone 9H6E2 (Sino Biological), clone C28/77 (MyBioSource.com), clone KOLT-2 (ALPCO), clone 152-2E10 (Santa Cruz Biotechnology), or clone XPH-56 (Creative Diagnostics).

An antigen binding domain of a bispecific antibody or bispecific antigen binding fragment according to the present invention may be any domain of a polypeptide which is capable of binding to an antigen. In some embodiments, an antigen binding domain comprises at least the three light chain CDRs (i.e. LC-CDR1, LC-CDR2 and LC-CDR3) and three heavy chain CDRs (i.e. HC-CDR1, HC-CDR2 and HC-CDR3) which together define the antigen binding region of an antibody or antigen binding fragment. In some embodiments, an antigen binding domain may comprise the light chain variable domain and heavy chain variable domain of an antibody or antigen binding fragment. In some embodiments, an antigen binding domain may comprise the light chain polypeptide and heavy chain polypeptide of an antibody or antigen binding fragment.

Bispecific antibodies and bispecific antigen binding fragments according to the invention may be provided in any suitable format, such as those formats described in Kontermann MAbs 2012, 4(2): 182-197, which is hereby incorporated by reference in its entirety. For example, a bispecific antibody or bispecific antigen binding fragment may be a bispecific

antibody conjugate (e.g. an IgG2, F(ab')<sub>2</sub> or CovX-Body), a bispecific IgG or IgG-like molecule (e.g. an IgG, scFv<sub>4</sub>-Ig, IgG-scFv, scFv-IgG, DVD-Ig, IgG-sVD, sVD-IgG, 2 in 1-IgG, mAb<sup>2</sup>, or Tandemab common LC), an asymmetric bispecific IgG or IgG-like molecule (e.g. a kih IgG, kih IgG common LC, CrossMab, kih IgG-scFab, mAb-Fv, charge pair or SEED-body), a small bispecific antibody molecule (e.g. a Diabody (Db), dsDb, DART, scDb, tandAbs, tandem scFv (taFv), tandem dAb/VHH, triple body, triple head, Fab-scFv, or F(ab')<sub>2</sub>-scFv<sub>2</sub>), a bispecific Fc and C<sub>H</sub>3 fusion protein (e.g. a taFv-Fc, Di-diabody, scDb-C<sub>H</sub>3, scFv-Fc-scFv, HCAb-VHH, scFv-kih-Fc, or scFv-kih-C<sub>H</sub>3), or a bispecific fusion protein (e.g. a scFv<sub>2</sub>-albumin, scDb-albumin, taFv-toxin, DNL-Fab<sub>3</sub>, DNL-Fab<sub>4</sub>-IgG, DNL-Fab<sub>4</sub>-IgG-cytokine<sub>2</sub>). See in particular Figure 2 of Kontermann MABs 2012, 4(2): 182-19.

The skilled person is able to design and prepare bispecific antibodies and bispecific antigen binding fragments according to the present invention.

Methods for producing bispecific antibodies include chemically crosslinking of antibodies or antibody fragments, e.g. with reducible disulphide or non-reducible thioether bonds, for example as described in Segal and Bast, 2001. Production of Bispecific Antibodies. Current Protocols in Immunology. 14:IV:2.13:2.13.1–2.13.16, which is hereby incorporated by reference in its entirety. For example, *N*-succinimidyl-3-(2-pyridyldithio)propionate (SPDP) can be used to chemically crosslink e.g. Fab fragments via hinge region SH- groups, to create disulfide-linked bispecific F(ab)<sub>2</sub> heterodimers.

Other methods for producing bispecific antibodies include fusing antibody-producing hybridomas e.g. with polyethylene glycol, to produce a quadroma cell capable of secreting bispecific antibody, for example as described in D. M. and Bast, B. J. 2001. Production of Bispecific Antibodies. Current Protocols in Immunology. 14:IV:2.13:2.13.1–2.13.16.

Bispecific antibodies and bispecific antigen binding fragments according to the present invention can also be produced recombinantly, by expression from e.g. a nucleic acid construct encoding polypeptides for the antigen binding molecules, for example as described in Antibody Engineering: Methods and Protocols, Second Edition (Humana Press, 2012), at Chapter 40: Production of Bispecific Antibodies: Diabodies and Tandem scFv (Hornig and Färber-Schwarz), or French, How to make bispecific antibodies, Methods Mol. Med. 2000; 40:333-339, the entire contents of both of which are hereby incorporated by reference.

For example, a DNA construct encoding the light and heavy chain variable domains for the two antigen binding domains (i.e. the light and heavy chain variable domains for the antigen binding domain capable of binding PD-1, and the light and heavy chain variable domains for the antigen binding domain capable of binding to another target protein), and including sequences encoding a suitable linker or dimerization domain between the antigen binding domains can be prepared by molecular cloning techniques. Recombinant bispecific antibody can thereafter be produced by expression (e.g. *in vitro*) of the construct in a suitable host cell (e.g. a mammalian host cell), and expressed recombinant bispecific antibody can then optionally be purified.

Antibodies may be produced by a process of affinity maturation in which a modified antibody is generated that has an improvement in the affinity of the antibody for antigen, compared to an unmodified parent antibody. Affinity-matured antibodies may be produced by procedures known in the art, e.g., Marks *et al.*, *Bio/Technology* 10:779-783 (1992); Barbas *et al.* *Proc Nat. Acad. Sci. USA* 91:3809-3813 (1994); Schier *et al.* *Gene* 169:147-155 (1995); Yelton *et al.* *J. Immunol.* 155:1994-2004 (1995); Jackson *et al.*, *J. Immunol.* 154(7):331 0-15 9 (1995); and Hawkins *et al.*, *J. Mol. Biol.* 226:889-896 (1992).

Antibodies according to the present invention preferably exhibit specific binding to PD-1. An antibody that specifically binds to a target molecule preferably binds the target with greater affinity, and/or with greater duration than it binds to other targets. In one embodiment, the extent of binding of an antibody to an unrelated target is less than about 10% of the binding of the antibody to the target as measured, e.g., by ELISA, or by a radioimmunoassay (RIA). Alternatively, the binding specificity may be reflected in terms of binding affinity where the anti-PD-1 antibody of the present invention binds to PD-1 with a  $K_D$  that is at least 0.1 order of magnitude (i.e.  $0.1 \times 10^n$ , where  $n$  is an integer representing the order of magnitude) greater than the  $K_D$  of the antibody towards another target molecule, e.g. another member of the CD28 family. This may optionally be one of at least 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0, 1.5, or 2.0.

Antibodies according to the present invention preferably have a dissociation constant ( $K_D$ ) of one of  $\leq 1\mu\text{M}$ ,  $\leq 100\text{nM}$ ,  $\leq 10\text{nM}$ ,  $\leq 1\text{nM}$  or  $\leq 100\text{pM}$ . Binding affinity of an antibody for its target is often described in terms of its dissociation constant ( $K_D$ ). Binding affinity can be measured by methods known in the art, such as by Surface Plasmon Resonance, or

by a radiolabeled antigen binding assay (RIA) performed with the Fab version of the antibody and antigen molecule.

Antibodies according to the present invention may be "antagonist" antibodies that inhibit or reduce a biological activity of the antigen to which it binds. Blocking of PD-1 assists in the restoration of T-cell function by inhibiting the immune-inhibitory signalling pathway mediated by PD-1.

In some aspects, the antibody is clone A3, or a variant of A3. A3 comprises the following CDR sequences:

Light chain:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: ASWDDVLYGSV (SEQ ID NO:27)

Heavy chain:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGKDH (SEQ ID NO:41)

CDR sequences determined by Kabat definition.

In some aspects, the antibody is clone A10, or a variant of A10. A10 comprises the following CDR sequences:

Light chain:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: ASWDDYYYGTI (SEQ ID NO:28)

Heavy chain:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGKDV (SEQ ID NO:42)

CDR sequences determined by Kabat definition.



In some aspects, the antibody is clone B6, or a variant of B6. B6 comprises the following CDR sequences:

Light chain:

5 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: ASWDDYLRGTV (SEQ ID NO:29)

Heavy chain:

10 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DYGAGPYYYGMDV (SEQ ID NO:43)

CDR sequences determined by Kabat definition.

15 In some aspects, the antibody is clone C4, or a variant of C4. C4 comprises the following CDR sequences:

Light chain:

20 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: SAWDDYLHGTV (SEQ ID NO:30)

Heavy chain:

25 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGLDV (SEQ ID NO:44)

CDR sequences determined by Kabat definition.

In some aspects, the antibody is clone D4, or a variant of D4. D4 comprises the following CDR sequences:

Light chain:

30 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: ASWDDYVRGTM (SEQ ID NO:31)

Heavy chain:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:45)

5 CDR sequences determined by Kabat definition.

In some aspects, the antibody is clone E1, or a variant of E1. E1 comprises the following CDR sequences:

Light chain:

10 LC-CDR1: SGSSSNIKFN SVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: SSWDDFLRGTV (SEQ ID NO:32)

Heavy chain:

15 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:46)

CDR sequences determined by Kabat definition.

20 In some aspects, the antibody is clone F2, or a variant of F2. F2 comprises the following CDR sequences:

Light chain:

25 LC-CDR1: SGSSSNIKFN SVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: SSWDDDDARGTI (SEQ ID NO:33)

Heavy chain:

30 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:47)

CDR sequences determined by Kabat definition.

In some aspects, the antibody is clone G1, or a variant of G1. G1 comprises the following CDR sequences:

Light chain:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: AAWDDVYYGTI (SEQ ID NO:34)

5 Heavy chain:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:48)

10 CDR sequences determined by Kabat definition

In some aspects, the antibody is clone G2, or a variant of G2. G2 comprises the following CDR sequences:

Light chain:

15 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: ASWDDSLYGTV (SEQ ID NO:35)

Heavy chain:

20 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:49)

CDR sequences determined by Kabat definition.

25 In some aspects, the antibody is clone G10, or a variant of G10. G10 comprises the following CDR sequences:

Light chain:

30 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: AAWDDAYYGTI (SEQ ID NO:36)

Heavy chain:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
 SYGMH (SEQ ID NO: 89)

HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)

HC-CDR3: DYGAGPYYYGMDV (SEQ ID NO:50)

CDR sequences determined by Kabat definition.

5 In some aspects, the antibody is clone H4, or a variant of H4. H4 comprises the following CDR sequences:

Light chain:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)

LC-CDR2: SNNQRPS (SEQ ID NO:26)

10 LC-CDR3: ASWDDVYRGTV (SEQ ID NO:37)

Heavy chain:

HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
SYGMH (SEQ ID NO: 89)

HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)

15 HC-CDR3: DLGSGYYLYGMDV (SEQ ID NO:51)

CDR sequences determined by Kabat definition.

In some aspects, the antibody is clone H9, or a variant of H9. H9 comprises the following CDR sequences:

20 Light chain:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)

LC-CDR2: SNNQRPS (SEQ ID NO:26)

LC-CDR3: SSWDDSLYGTI (SEQ ID NO:38)

Heavy chain:

25 HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
SYGMH (SEQ ID NO: 89)

HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)

HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:52)

CDR sequences determined by Kabat definition.

30

Antibodies according to the present invention may comprise the CDRs of one of A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, or H9 or one of SEQ ID NOs 1 and 13, 2 and 14, 3 and 15, 4 and 16, 5 and 17, 6 and 18, 7 and 19, 8 and 20, 9 and 21, 10 and 22, 11 and 23, or 12 and 24. In an antibody according to the present invention one or two or three or

four of the six CDR sequences may vary. A variant may have one or two amino acid substitutions in one or two of the six CDR sequences.

Amino acid sequences of the V<sub>H</sub> and V<sub>L</sub> chains of anti-PD-1 clones are shown in Figures 1 and 2. The encoding nucleotide sequences are shown in Figure 4.

The light and heavy chain CDRs may also be particularly useful in conjunction with a number of different framework regions. Accordingly, light and/or heavy chains having LC-CDR1-3 or HC-CDR1-3 may possess an alternative framework region. Suitable framework regions are well known in the art and are described for example in M. Lefranc & G. Lefranc (2001) "The Immunoglobulin FactsBook", Academic Press, incorporated herein by reference.

In this specification, antibodies may have V<sub>H</sub> and/or V<sub>L</sub> chains comprising an amino acid sequence that has a high percentage sequence identity to one or more of the V<sub>H</sub> and/or V<sub>L</sub> amino acid sequences of SEQ ID Nos 1 and 13, 2 and 14, 3 and 15, 4 and 16, 5 and 17, 6 and 18, 7 and 19, 8 and 20, 9 and 21, 10 and 22, 11 and 23, or 12 and 24 respectively, or to one or the amino acid sequences shown in Figures 1 and 2.

For example, antibodies according to the present invention include antibodies that bind PD-1 and have a V<sub>H</sub> or V<sub>L</sub> chain that comprises an amino acid sequence having at least 70%, more preferably one of at least 75%, 80%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%, sequence identity to the V<sub>H</sub> or V<sub>L</sub> chain amino acid sequence of one of SEQ ID NOs 1 to 24 or to one or the amino acid sequences shown in Figures 1 and 2.

Antibodies according to the present invention may be detectably labelled or, at least, capable of detection. For example, the antibody may be labelled with a radioactive atom or a coloured molecule or a fluorescent molecule or a molecule which can be readily detected in any other way. Suitable detectable molecules include fluorescent proteins, luciferase, enzyme substrates, and radiolabels. The binding moiety may be directly labelled with a detectable label or it may be indirectly labelled. For example, the binding moiety may be an unlabelled antibody which can be detected by another antibody which is itself labelled. Alternatively, the second antibody may have bound to it biotin and binding of labelled streptavidin to the biotin is used to indirectly label the first antibody.

### Methods of detection

Antibodies, or antigen binding fragments, described herein may be used in methods that involve the binding of the antibody or antigen binding fragment to PD-1. Such methods may involve detection of the bound complex of antibody, or antigen binding fragment, and PD-1. As such, in one embodiment a method is provided, the method comprising contacting a sample containing, or suspected to contain, PD-1 with an antibody or antigen binding fragment as described herein and detecting the formation of a complex of antibody, or antigen binding fragment, and PD-1.

Suitable method formats are well known in the art, including immunoassays such as sandwich assays, e.g. ELISA. The method may involve labelling the antibody, or antigen binding fragment, or PD-1, or both, with a detectable label, e.g. fluorescent, luminescent or radio- label.

Methods of this kind may provide the basis of a method of diagnosis of a disease or condition requiring detection and or quantitation of PD-1. Such methods may be performed *in vitro* on a patient sample, or following processing of a patient sample. Once the sample is collected, the patient is not required to be present for the *in vitro* method of diagnosis to be performed and therefore the method may be one which is not practised on the human or animal body.

Such methods may involve determining the amount of PD-1 present in a patient sample. The method may further comprise comparing the determined amount against a standard or reference value as part of the process of reaching a diagnosis. Other diagnostic tests may be used in conjunction with those described here to enhance the accuracy of the diagnosis or prognosis or to confirm a result obtained by using the tests described here.

The level of PD-1 present in a patient sample may be indicative that a patient may respond to treatment with an anti-PD1 antibody. The presence of a high level of PD-1 in a sample may be used to select a patient for treatment with an anti-PD1 antibody. The antibodies of the present invention may therefore be used to select a patient for treatment with anti-PD-1 therapy.

Detection in a sample of PD-1 may be used for the purpose of diagnosis of a T-cell dysfunctional disorder or a cancerous condition in the patient, diagnosis of a predisposition to a cancerous condition or for providing a prognosis (prognosticating) of a

cancerous condition. The diagnosis or prognosis may relate to an existing (previously diagnosed) cancerous condition, which may be benign or malignant, may relate to a suspected cancerous condition or may relate to the screening for cancerous conditions in the patient (which may be previously undiagnosed).

5

In one embodiment the level of PD-1 expression on CD8+ T cells may be detected in order to indicate the degree of T-cell exhaustion and severity of the disease state.

10

A sample may be taken from any tissue or bodily fluid. The sample may comprise or may be derived from: a quantity of blood; a quantity of serum derived from the individual's blood which may comprise the fluid portion of the blood obtained after removal of the fibrin clot and blood cells; a tissue sample or biopsy; or cells isolated from said individual.

15

Methods according to the present invention are preferably performed *in vitro*. The term "*in vitro*" is intended to encompass experiments with cells in culture whereas the term "*in vivo*" is intended to encompass experiments with intact multi-cellular organisms.

#### Therapeutic applications

20

Antibodies, antigen binding fragments and polypeptides according to the present invention and compositions comprising such agents may be provided for use in methods of medical treatment. Treatment may be provided to subjects having a disease or condition in need of treatment. The disease or condition may be one of a T-cell dysfunctional disorder, including a T-cell dysfunctional disorder associated with a cancer, or a cancer, or a T-cell dysfunctional disorder associated with an infection, or an infection.

25

30

A T-cell dysfunctional disorder may be a disease or condition in which normal T-cell function is impaired causing downregulation of the subject's immune response to pathogenic antigens, e.g. generated by infection by exogenous agents such as microorganisms, bacteria and viruses, or generated by the host in some disease states such as in some forms of cancer (e.g. in the form of tumor associated antigens).

35

The T-cell dysfunctional disorder may comprise T-cell exhaustion or T-cell anergy. T-cell exhaustion comprises a state in which CD8<sup>+</sup> T-cells fail to proliferate or exert T-cell effector functions such as cytotoxicity and cytokine (e.g. IFN $\gamma$ ) secretion in response to antigen stimulation. Exhausted T-cells may also be characterised by sustained

expression of PD-1, where blockade of PD-1:PD-L1 interactions may reverse the T-cell exhaustion and restore antigen-specific T cell responses.

5 The T-cell dysfunctional disorder may be manifest as an infection, or inability to mount an effective immune response against an infection. The infection may be chronic, persistent, latent or slow, and may be the result of bacterial, viral, fungal or parasitic infection. As such, treatment may be provided to patients having a bacterial, viral or fungal infection. Examples of bacterial infections include infection with *Helicobacter pylori*. Examples of viral infections include infection with HIV, hepatitis B or hepatitis C.

10 The T-cell dysfunctional disorder may be associated with a cancer, such as tumor immune escape. Many human tumors express tumor-associated antigens recognised by T cells and capable of inducing an immune response. However, immune evasion is common and is believed to be mediated by a number of soluble factors, including PD-L1. 15 As such, blocking the interaction of PD-1 and PD-L1 may inhibit this negative immunoregulatory signal to tumor cells and enhance tumor-specific CD8<sup>+</sup> T-cell immunity.

Cancers may also be treated where there is no indication of a T-cell dysfunctional disorder such as T-cell exhaustion but the use of an antibody, antigen binding fragment or 20 polypeptide according to the present invention allows the subject to suppress PD-1 signalling and mount an effective immune response with limited impairment, evasion or induction of tumor immune escape. In such treatments, the antibody, antigen binding fragment or polypeptide may provide a treatment for cancer that involves prevention of the development of tumor immune escape.

25 The treatment may be aimed at prevention of the T-cell dysfunctional disorder, e.g. prevention of infection or of the development or progression of a cancer. As such, the antibodies, antigen binding fragments and polypeptides may be used to formulate pharmaceutical compositions or medicaments and subjects may be prophylactically 30 treated against development of a disease state. This may take place before the onset of symptoms of the disease state, and/or may be given to subjects considered to be at greater risk of infection or development of cancer.

35 Treatment may comprise co-therapy with a vaccine, e.g. T-cell vaccine, which may involve simultaneous, separate or sequential therapy, or combined administration of vaccine and antibody, antigen binding fragment or polypeptide in a single composition. In



this context, the antibody, antigen binding fragment or polypeptide may be provided as an adjuvant to the vaccine. Limited proliferative potential of exhausted T cells has been attributed as a main reason for failure of T-cell immunotherapy and combination an agent capable of blocking or reversing T cell exhaustion is a potential strategy for improving the efficacy of T-cell immunotherapy (Barber et al., *Nature* Vol 439, No. 9 p682-687 Feb 2006).

Administration of an antibody, antigen binding fragment or polypeptide is preferably in a "therapeutically effective amount", this being sufficient to show benefit to the individual.

The actual amount administered, and rate and time-course of administration, will depend on the nature and severity of the disease being treated. Prescription of treatment, e.g. decisions on dosage etc., is within the responsibility of general practitioners and other medical doctors, and typically takes account of the disorder to be treated, the condition of the individual patient, the site of delivery, the method of administration and other factors known to practitioners. Examples of the techniques and protocols mentioned above can be found in Remington's Pharmaceutical Sciences, 20th Edition, 2000, pub. Lippincott, Williams & Wilkins.

#### Formulating pharmaceutically useful compositions and medicaments

Antibodies, antigen binding fragments and polypeptides according to the present invention may be formulated as pharmaceutical compositions for clinical use and may comprise a pharmaceutically acceptable carrier, diluent, excipient or adjuvant.

In accordance with the present invention methods are also provided for the production of pharmaceutically useful compositions, such methods of production may comprise one or more steps selected from: isolating an antibody, antigen binding fragment or polypeptide as described herein; and/or mixing an isolated antibody, antigen binding fragment or polypeptide as described herein with a pharmaceutically acceptable carrier, adjuvant, excipient or diluent.

For example, a further aspect of the present invention relates to a method of formulating or producing a medicament or pharmaceutical composition for use in the treatment of a T-cell dysfunctional disorder, the method comprising formulating a pharmaceutical composition or medicament by mixing an antibody, antigen binding fragment or polypeptide as described herein with a pharmaceutically acceptable carrier, adjuvant, excipient or diluent.

### Infection

An infection may be any infection or infectious disease, e.g. bacterial, viral, fungal, or parasitic infection. In some embodiments it may be particularly desirable to treat chronic/persistent infections, e.g. where such infections are associated with T cell dysfunction or T cell exhaustion.

It is well established that T cell exhaustion is a state of T cell dysfunction that arises during many chronic infections (including viral, bacterial and parasitic), as well as in cancer (Wherry *Nature Immunology* Vol.12, No.6, p492-499, June 2011).

An infection or infectious disease may be one in which PD-1 is upregulated (e.g. as reported by Radziejewicz H, et al., *J Virol.* 2007;81(6):2545–2553 and Golden-Mason L et al., *J Virol.* 2007;81(17):9249–9258).

Examples of bacterial infections that may be treated include infection by *Bacillus spp.*, *Bordetella pertussis*, *Clostridium spp.*, *Corynebacterium spp.*, *Vibrio cholerae*, *Staphylococcus spp.*, *Streptococcus spp.*, *Escherichia*, *Klebsiella*, *Proteus*, *Yersinia*, *Erwinia*, *Salmonella*, *Listeria sp.*, *Helicobacter pylori*, mycobacteria (e.g. *Mycobacterium tuberculosis*) and *Pseudomonas aeruginosa*. For example, the bacterial infection may be sepsis or tuberculosis.

Yao et al (PD-1 on dendritic cells impedes innate immunity against bacterial infection. *Blood* 113(23):5811-5818 Jun 4 2009) established PD-1 in the negative regulation of DC function during innate immune response to infection by *Listeria monocytogenes*.

Brahmamdam et al (Delayed administration of anti-PD-1 antibody reverses immune dysfunction and improves survival during sepsis. *Journal of Leukocyte Biology* vo.88, no.2 233-240, August 2010) reported that anti-PD-1 antibody administered 24 h after sepsis prevented sepsis-induced depletion of lymphocytes and DCs, increased Bcl-xL, blocked apoptosis and improved survival. Tim3:Galectin-9 interactions have been reported to mediate T cell exhaustion and mediate the innate and adaptive immune response to infection by *Mycobacterium tuberculosis* (Jayaraman et al., *The Journal of Immunology* 2012, 188, 70.6).

Examples of viral infections that may be treated include infection by influenza virus, measles virus, hepatitis B virus (HBV), hepatitis C virus (HCV), human immunodeficiency

virus (HIV), lymphocytic choriomeningitis virus (LCMV), Herpes simplex virus and human papilloma virus.

Chronic viral infections, such as those caused by HCV, HBV, and HIV commonly involve mechanisms to evade immune clearance. Expression of PD-1 and TIM-3 have been identified as correlating with defective T cell responses to hepatitis C virus (HCV) (McMahan et al., The Journal of Clinical Investigation Vol. 120, No. 12 p4546-4557, December 2010). In HCV, McMahan et al (*supra*) found that the level of dual TIM-3 and PD-1 expression on HCV-specific CTLs predated the development of viral persistence, providing prognostic information. Barber et al. (Nature Vol 439, No. 9 p682-687 Feb 2006) reported that PD-1 is upregulated during chronic viral infection. In mice infected with LCMV they reported that blockade of the PD-1/PD-L1 inhibitory pathway had a beneficial effect on CD8 T cells, restoring their ability to undergo proliferation, secrete cytokines, kill infected cells and decrease viral load. PD-1 is also upregulated in HIV infection (Said et al., *Nature Medicine* Vol. 16, No.4 p452-460 April 2010). Blocking interaction between PD-1 and PD-L1 contributed to viral clearance and improved T cell function in animal models of chronic viral infection (Said et al., *supra*).

Examples of fungal infections that may be treated include infection by *Alternaria sp*, *Aspergillus sp*, *Candida sp* and *Histoplasma sp*. The fungal infection may be fungal sepsis or histoplasmosis.

Chang et al (Blockade of the negative co-stimulatory molecules PD-1 and CTLA-4 improves survival in primary and secondary fungal sepsis. *Critical Care* 2013, 17:R85) reported that anti-PD1 antibodies were highly effective at improving survival in primary and secondary fungal sepsis. Lázár-Molnár et al (The PD-1/PD-L costimulatory pathway critically affects host resistance to the pathogenic fungus *Histoplasma capsulatum* PNAS vol. 105, no.7, p2658-2663, 19 Feb 2008) reported that anti-PD-1 antibody significantly increased survival of mice infected with *Histoplasma capsulatum*. As such, the importance of T cell exhaustion in mediating fungal infection is well established.

Examples of parasitic infections that may be treated include infection by *Plasmodium* species (e.g. *Plasmodium falciparum*, *Plasmodium yoeli*, *Plasmodium ovale*, *Plasmodium vivax*, or *Plasmodium chabaudi chabaudi*). The parasitic infection may be a disease such as malaria, leishmaniasis and toxoplasmosis.

Infection of humans with *Plasmodium falciparum* has been shown to result in higher expression of PD-1 and T cell exhaustion mice (Butler et al., *Nature Immunology* Vol.13, No.12, p 188-195 February 2012). Blockade of PD-L1 and LAG-3 using anti-PD-L1 and anti-LAG-3 monoclonal antibodies *in vivo* contributed to the restoration of CD4<sup>+</sup> T-cell function, amplification of the number of follicular helper T cells, germinal-center B cells and plasmablasts, enhanced protective antibodies and rapidly cleared blood-stage malaria in mice. It was also shown to block the development of chronic infection (Butler et al., *supra*).

## Cancer

A cancer may be any unwanted cell proliferation (or any disease manifesting itself by unwanted cell proliferation), neoplasm or tumor or increased risk of or predisposition to the unwanted cell proliferation, neoplasm or tumor. The cancer may be benign or malignant and may be primary or secondary (metastatic). A neoplasm or tumor may be any abnormal growth or proliferation of cells and may be located in any tissue. Examples of tissues include the adrenal gland, adrenal medulla, anus, appendix, bladder, blood, bone, bone marrow, brain, breast, cecum, central nervous system (including or excluding the brain) cerebellum, cervix, colon, duodenum, endometrium, epithelial cells (e.g. renal epithelia), gallbladder, oesophagus, glial cells, heart, ileum, jejunum, kidney, lacrimal gland, larynx, liver, lung, lymph, lymph node, lymphoblast, maxilla, mediastinum, mesentery, myometrium, nasopharynx, omentum, oral cavity, ovary, pancreas, parotid gland, peripheral nervous system, peritoneum, pleura, prostate, salivary gland, sigmoid colon, skin, small intestine, soft tissues, spleen, stomach, testis, thymus, thyroid gland, tongue, tonsil, trachea, uterus, vulva, white blood cells.

Tumors to be treated may be nervous or non-nervous system tumors. Nervous system tumors may originate either in the central or peripheral nervous system, e.g. glioma, medulloblastoma, meningioma, neurofibroma, ependymoma, Schwannoma, neurofibrosarcoma, astrocytoma and oligodendroglioma. Non-nervous system cancers/tumors may originate in any other non-nervous tissue, examples include melanoma, mesothelioma, lymphoma, myeloma, leukemia, Non-Hodgkin's lymphoma (NHL), Hodgkin's lymphoma, chronic myelogenous leukemia (CML), acute myeloid leukemia (AML), myelodysplastic syndrome (MDS), cutaneous T-cell lymphoma (CTCL), chronic lymphocytic leukemia (CLL), hepatoma, epidermoid carcinoma, prostate carcinoma, breast cancer, lung cancer, colon cancer, ovarian cancer, pancreatic cancer, thymic carcinoma, NSCLC, haematologic cancer and sarcoma.

In some embodiments, the cancer is one or more of lung cancer, renal cancer and bladder cancer.

5     Adoptive T cell transfer therapy

Adoptive T cell transfer therapy generally refers to a process in which white blood cells are removed from a subject, typically by drawing a blood sample from which white blood cells are separated, expanded *in vitro* or *ex vivo* and returned either to the same subject or to a different subject. The treatment is typically aimed at increasing the  
10     amount/concentration of an active form of the required T cell population in the subject. Such treatment may be beneficial in subjects experiencing T cell exhaustion.

Antibodies capable of blocking the mechanism of T cell exhaustion, or reversing it, provide a means of enhancing T cell activity and promoting T cell expansion.  
15

Accordingly, in a further aspect of the present invention a method is provided for expanding a population of T cells, wherein T cells are contacted *in vitro* or *ex vivo* with an antibody, antigen binding fragment or polypeptide according to the present invention.

20     The method may optionally comprise one or more of the following steps: taking a blood sample from a subject; isolating T cells from the blood sample; culturing the T cells in *in vitro* or *ex vivo* cell culture (where they may be contacted with the antibody, antigen binding fragment or polypeptide), collecting an expanded population of T cells; mixing the T cells with an adjuvant, diluent, or carrier; administering the expanded T cells to a  
25     subject.

Accordingly, in some aspects of the present invention a method of treatment of a subject having a T-cell dysfunctional disorder is provided, the method comprising obtaining a blood sample from a subject in need of treatment, culturing T cells obtained from the  
30     blood sample in the presence of an antibody, antigen binding fragment or polypeptide according to the present invention so as to expand the T cell population, collecting expanded T cells, and administering the expanded T cells to a subject in need of treatment.

35     The T cells may be obtained from a subject requiring treatment, and may be isolated and/or purified. They may be a CD4<sup>+</sup> and/or CD8<sup>+</sup> T-cell population. The T-cells may

represent a population experiencing T cell exhaustion and may optionally have upregulated expression of PD-1.

During culture, T cells may be contacted with the antibody, antigen binding fragment or polypeptide under conditions and for a period of time suitable to allow expansion of the T cells to a desired number of cells. After a suitable period of time the T cells may be harvested, optionally concentrated, and may be mixed with a suitable carrier, adjuvant or diluent and returned to the subject's body. A subject may undergo one or more rounds of such therapy.

Methods of T cell expansion are well known in the art, such as those described in Kalamasz et al., *J Immunother* 2004 Sep-Oct; 27(5):405-18; Montes et al., *Clin Exp Immunol* 2005 Nov;142(2):292-302; Wölfl and Greenburg *Nature Protocols* 9 p950-966 27 March 2014; Trickett and Kwan *Journal of Immunological Methods* Vol. 275, Issues 1-2, 1 April 2003, p251-255; Butler et al *PLoS ONE* 7(1) 12 Jan 2012.

#### Simultaneous or Sequential Administration

Compositions may be administered alone or in combination with other treatments, either simultaneously or sequentially dependent upon the condition to be treated.

In this specification an antibody, antigen binding fragment or polypeptide of the present invention and an anti-infective agent or chemotherapeutic agent (therapeutic agent) may be administered simultaneously or sequentially.

In some embodiments, treatment with an antibody, antigen binding fragment or polypeptide of the present invention may be accompanied by chemotherapy.

Simultaneous administration refers to administration of the antibody, antigen binding fragment or polypeptide and therapeutic agent together, for example as a pharmaceutical composition containing both agents (combined preparation), or immediately after each other and optionally via the same route of administration, e.g. to the same artery, vein or other blood vessel.

Sequential administration refers to administration of one of the antibody, antigen binding fragment or polypeptide or therapeutic agent followed after a given time interval by separate administration of the other agent. It is not required that the two agents are

administered by the same route, although this is the case in some embodiments. The time interval may be any time interval.

#### Anti-infective agents

- 5 In treating infection, an antibody, antigen binding fragment or polypeptide of the present invention may be administered in combination with an anti-infective agent, as described above. The anti-infective agent may be an agent known to have action against the microorganism or virus responsible for the infection.
- 10 Suitable anti-infective agents include antibiotics (such as penicillins, cephalosporins, rifamycins, lipiarmycins, quinolones, sulfonamides, macrolides, lincosamides, tetracyclines, cyclic lipopeptides, glycylicyclines, oxazolidinones, and lipiarmycins), anti-viral agents (such as reverse transcriptase inhibitors, integrase inhibitors, transcription factor inhibitors, antisense and siRNA agents and protease inhibitors), anti-fungal agents
- 15 (such as polyenes, imidazoles, triazoles, thiazoles, allylamines, and echinocandins) and anti-parasitic agents (such as antinematode agents, anticestode agents, antitrepatode agents, antiamoebic agents and antiprotozoal agents).

#### Chemotherapy

- 20 Chemotherapy refers to treatment of a cancer with a drug or with ionising radiation (e.g. radiotherapy using X-rays or γ-rays). In preferred embodiments chemotherapy refers to treatment with a drug. The drug may be a chemical entity, e.g. small molecule pharmaceutical, antibiotic, DNA intercalator, protein inhibitor (e.g. kinase inhibitor), or a biological agent, e.g. antibody, antibody fragment, nucleic acid or peptide aptamer,
- 25 nucleic acid (e.g. DNA, RNA), peptide, polypeptide, or protein. The drug may be formulated as a pharmaceutical composition or medicament. The formulation may comprise one or more drugs (e.g. one or more active agents) together with one or more pharmaceutically acceptable diluents, excipients or carriers.
- 30 A treatment may involve administration of more than one drug. A drug may be administered alone or in combination with other treatments, either simultaneously or sequentially dependent upon the condition to be treated. For example, the chemotherapy may be a co-therapy involving administration of two drugs, one or more of which may be intended to treat the cancer.

The chemotherapy may be administered by one or more routes of administration, e.g. parenteral, intravenous injection, oral, subcutaneous, intradermal or intratumoral.

The chemotherapy may be administered according to a treatment regime. The treatment regime may be a pre-determined timetable, plan, scheme or schedule of chemotherapy administration which may be prepared by a physician or medical practitioner and may be tailored to suit the patient requiring treatment.

The treatment regime may indicate one or more of: the type of chemotherapy to administer to the patient; the dose of each drug or radiation; the time interval between administrations; the length of each treatment; the number and nature of any treatment holidays, if any etc. For a co-therapy a single treatment regime may be provided which indicates how each drug is to be administered.

Chemotherapeutic drugs and biologics may be selected from:

- alkylating agents such as cisplatin, carboplatin, mechlorethamine, cyclophosphamide, chlorambucil, ifosfamide;
- purine or pyrimidine anti-metabolites such as azathiopurine or mercaptopurine;
- alkaloids and terpenoids, such as vinca alkaloids (e.g. vincristine, vinblastine, vinorelbine, vindesine), podophyllotoxin, etoposide, teniposide, taxanes such as paclitaxel (Taxol™), docetaxel;
- topoisomerase inhibitors such as the type I topoisomerase inhibitors camptothecins irinotecan and topotecan, or the type II topoisomerase inhibitors amsacrine, etoposide, etoposide phosphate, teniposide;
- antitumor antibiotics (e.g. anthracycline antibiotics) such as dactinomycin, doxorubicin (Adriamycin™), epirubicin, bleomycin, rapamycin;
- antibody based agents, such as anti-TIM-3 antibodies, anti-CTLA-4, anti-LAG-3, anti-4-1BB, anti-GITR, anti-CD27, anti-BLTA, anti-OX40, anti-VEGF, anti-TNF $\alpha$ , anti-IL-2, antiGpIIb/IIIa, anti-CD-52, anti-CD20, anti-RSV, anti-HER2/neu(erbB2), anti-TNF receptor, anti-EGFR antibodies, monoclonal antibodies or antibody fragments, examples include: cetuximab, panitumumab, infliximab, basiliximab, bevacizumab (Avastin®), abciximab, daclizumab, gemtuzumab, alemtuzumab, rituximab (Mabthera®), palivizumab, trastuzumab, etanercept, adalimumab, nimotuzumab
- EGFR inhibitors such as erlotinib, cetuximab and gefitinib



- anti-angiogenic agents such as bevacizumab (Avastin®)
- cancer vaccines such as Sipuleucel-T (Provenge®)

In one embodiment the chemotherapeutic agent is an anti-TIM-3 antibody, anti-CTLA-4, anti-LAG3, anti-41BB, anti-GITR, anti-CD27, anti-BLTA, anti-OX40, anti-VEGF, anti-  
 5 TNF $\alpha$ , anti-IL2, anti-GpIIb/IIIa, anti-CD-52, anti-CD20, anti-RSV, anti-HER2/neu(erbB2), anti-TNF receptor, anti-EGFR or other antibody. In some embodiments, the chemotherapeutic agent is an immune checkpoint inhibitor or costimulation molecule.

Further chemotherapeutic drugs may be selected from: 13-cis-Retinoic Acid, 2-  
 10 Chlorodeoxyadenosine, 5-Azacitidine 5-Fluorouracil, 6-Mercaptopurine, 6-Thioguanine, Abraxane, Accutane®, Actinomycin-D Adriamycin®, Atracuril®, Afinitor®, Agrylin®, Alacort®, Aldesleukin, Alemtuzumab, ALIMTA, Alitretinoin, Alkaban-AQ®, Alkeran®, All-transretinoic Acid, Alpha Interferon, Altretamine, Amethopterin, Amifostine, Aminoglutethimide, Anagrelide, Anandron®, Anastrozole, Arabinosylcytosine, Aranesp®,  
 15 Aredia®, Arimidex®, Aromasin®, Arranon®, Arsenic Trioxide, Asparaginase, ATRA, Avastin®, Azacitidine, BCG, BCNU, Bendamustine, Bevacizumab, Bexarotene, BEXXAR®, Bicalutamide, BiCNU, Blenoxane®, Bleomycin, Bortezomib, Busulfan, Busulfex®, Calcium Leucovorin, Campath®, Camptosar®, Camptothecin-11, Capecitabine, Carac™, Carboplatin, Carmustine, Casodex®, CC-5013, CCI-779, CCNU,  
 20 CDDP, CeeNU, Cerubidine®, Cetuximab, Chlorambucil, Cisplatin, Citrovorum Factor, Cladribine, Cortisone, Cosmegen®, CPT-11, Cyclophosphamide, Cytadren®, Cytarabine, Cytosar-U®, Cytoxan®, Dacogen, Dactinomycin, Darbepoetin Alfa, Dasatinib, Daunomycin, Daunorubicin, Daunorubicin Hydrochloride, Daunorubicin Liposomal, DaunoXome®, Decadron, Decitabine, Delta-Cortef®, Deltasone®, Denileukin, Diflucan,  
 25 DepoCyt™, Dexamethasone, Dexamethasone Acetate, Dexamethasone Sodium Phosphate, Dexasone, Dexrazoxane, DHAD, DIC, Diodex, Docetaxel, Doxil®, Doxorubicin, Doxorubicin Liposomal, Droloxia™, DTIC, DTIC-Dome®, Duralone®, Eligard™, Ellence™, Eloxatin™, Elspar®, Emcyte®, Epirubicin, Epoetin Alfa, Erbitux, Erlotinib, Erwinia L-asparaginase, Estramustine, Ethyol Etopophos®, Etoposide,  
 30 Etoposide Phosphate, Eulexin®, Everolimus, Evista®, Exemestane, Faslodex®, Femara®, Filgrastim, Floxuridine, Fludara®, Fludarabine, Fluoroplex®, Fluorouracil, Fluoxymesterone, Flutamide, Folinic Acid, FUDR®, Fulvestrant, Gefitinib, Gemcitabine, Gemtuzumab ozogamicin, Gleevec™, Gliadel® Wafer, Goserelin, Granulocyte - Colony Stimulating Factor, Granulocyte Macrophage Colony Stimulating Factor, Herceptin®,  
 35 Hexadrol, Hexalen®, Hexamethylmelamine, HMM, Hycamtin®, Hydrea®, Hydrocort

Acetate®, Hydrocortisone, Hydrocortisone Sodium Phosphate, Hydrocortisone Sodium Succinate, Hydrocortone Phosphate, Hydroxyurea, Ibritumomab, Ibritumomab Tiuxetan, Idamycin®, Idarubicin, Ifex®, IFN-alpha, Ifosfamide, IL-11, IL-2, Imatinib mesylate, Imidazole Carboxamide, Interferon alfa, Interferon Alfa-2b (PEG Conjugate), Interleukin -  
 5 2, Interleukin-11, Intron A® (interferon alfa-2b), Iressa®, Irinotecan, Isotretinoin, Ixabepilone, Ixempra™, Kidrolase, Lanacort®, Lapatinib, L-asparaginase, LCR, Lenalidomide, Letrozole, Leucovorin, Leukeran, Leukine™, Leuprolide, Leurocristine, Leustatin™, Liposomal Ara-C, Liquid Pred®, Lomustine, L-PAM, L-Sarcosylsin, Lupron®, Lupron Depot®, Matulane®, Maxidex, Mechlorethamine, Mechlorethamine Hydrochloride,  
 10 Medralone®, Medrol®, Megace®, Megestrol, Megestrol Acetate, Melphalan, Mercaptopurine, Mesna, Mesnex™, Methotrexate, Methotrexate Sodium, Methylprednisolone, Meticorten®, Mitomycin, Mitomycin-C, Mitoxantrone, M-Prednisol®, MTC, MTX, Mustargen®, Mustine, Mutamycin®, Myleran®, Mylocel™, Mylotarg®, Navelbine®, Nelarabine, Neosar®, Neulasta™, Neumega®, Neupogen®, Nexavar®,  
 15 Nilandron®, Nilutamide, Nipent®, Nitrogen Mustard, Novaldex®, Novantrone®, Octreotide, Octreotide acetate, Oncospar®, Oncovin®, Ontak®, Onxal™, Oprevelkin, Orapred®, Orasone®, Oxaliplatin, Paclitaxel, Paclitaxel Protein-bound, Pamidronate, Panitumumab, Panretin®, Paraplatin®, Pediapred®, PEG Interferon, Pegaspargase, Pegfilgrastim, PEG-INTRON™, PEG-L-asparaginase, PEMETREXED, Pentostatin,  
 20 Phenylalanine Mustard, Platinol®, Platinol-AQ®, Prednisolone, Prednisone, Prelone®, Procarbazine, PROCRIT®, Proleukin®, Prolifeprospan 20 with Carmustine Implant Purinethol®, Raloxifene, Revlimid®, Rheumatrex®, Rituxan®, Rituximab, Roferon-A® (Interferon Alfa-2a), Rubex®, Rubidomycin hydrochloride, Sandostatin® Sandostatin LAR®, Sargramostim, Solu-Cortef®, Solu-Medrol®, Sorafenib, SPRYCEL™, STI-571,  
 25 Streptozocin, SU11248, Sunitinib, Sutent®, Tamoxifen, Tarceva®, Targretin®, Taxol®, Taxotere®, Temodar®, Temozolomide, Temsirolimus, Teniposide, TESPA, Thalidomide, Thalomid®, TheraCys®, Thioguanine, Thioguanine Tabloid®, Thiophosphoamide, Thioplex®, Thiotepa, TICE®, Toposar®, Topotecan, Toremifene, Torisel®, Tositumomab, Trastuzumab, Treanda®, Tretinoin, Trexall™, Trisenox®, TSPA, TYKERB®, VCR,  
 30 Vectibix™, Velban®, Velcade®, VePesid®, Vesanoid®, Viadur™, Vidaza®, Vinblastine, Vinblastine Sulfate, Vincasar Pfs®, Vincristine, Vinorelbine, Vinorelbine tartrate, VLB, VM-26, Vorinostat, VP-16, Vumon®, Xeloda®, Zanosar®, Zevalin™, Zinecard®, Zoladex®, Zoledronic acid, Zolanza, Zometa®.

35 Routes of administration

Antibodies, antigen binding fragments, polypeptides and other therapeutic agents, medicaments and pharmaceutical compositions according to aspects of the present invention may be formulated for administration by a number of routes, including but not limited to, parenteral, intravenous, intra-arterial, intramuscular, subcutaneous, intradermal, intratumoral and oral. Antibodies, antigen binding fragments, polypeptides and other therapeutic agents, may be formulated in fluid or solid form. Fluid formulations may be formulated for administration by injection to a selected region of the human or animal body.

#### Dosage regime

Multiple doses of the antibody, antigen binding fragment or polypeptide may be provided. One or more, or each, of the doses may be accompanied by simultaneous or sequential administration of another therapeutic agent.

Multiple doses may be separated by a predetermined time interval, which may be selected to be one of 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, or 31 days, or 1, 2, 3, 4, 5, or 6 months. By way of example, doses may be given once every 7, 14, 21 or 28 days (plus or minus 3, 2, or 1 days).

#### Kits

In some aspects of the present invention a kit of parts is provided. In some embodiments the kit may have at least one container having a predetermined quantity of the antibody, antigen binding fragment or polypeptide. The kit may provide the antibody, antigen binding fragment or polypeptide in the form of a medicament or pharmaceutical composition, and may be provided together with instructions for administration to a patient in order to treat a specified disease or condition. The antibody, antigen binding fragment or polypeptide may be formulated so as to be suitable for injection or infusion to a tumor or to the blood.

In some embodiments the kit may further comprise at least one container having a predetermined quantity of another therapeutic agent (e.g. anti-infective agent or chemotherapy agent). In such embodiments, the kit may also comprise a second medicament or pharmaceutical composition such that the two medicaments or pharmaceutical compositions may be administered simultaneously or separately such that they provide a combined treatment for the specific disease or condition. The

therapeutic agent may also be formulated so as to be suitable for injection or infusion to a tumor or to the blood.

### Subjects

The subject to be treated may be any animal or human. The subject is preferably mammalian, more preferably human. The subject may be a non-human mammal, but is more preferably human. The subject may be male or female. The subject may be a patient. A subject may have been diagnosed with a disease or condition requiring treatment, or be suspected of having such a disease or condition.

### Protein Expression

Molecular biology techniques suitable for the producing polypeptides according to the invention in cells are well known in the art, such as those set out in Sambrook et al., Molecular Cloning: A Laboratory Manual, New York: Cold Spring Harbor Press, 1989

The polypeptide may be expressed from a nucleotide sequence. The nucleotide sequence may be contained in a vector present in a cell, or may be incorporated into the genome of the cell.

A “vector” as used herein is an oligonucleotide molecule (DNA or RNA) used as a vehicle to transfer exogenous genetic material into a cell. The vector may be an expression vector for expression of the genetic material in the cell. Such vectors may include a promoter sequence operably linked to the nucleotide sequence encoding the gene sequence to be expressed. A vector may also include a termination codon and expression enhancers. Any suitable vectors, promoters, enhancers and termination codons known in the art may be used to express polypeptides from a vector according to the invention. Suitable vectors include plasmids, binary vectors, viral vectors and artificial chromosomes (e.g. yeast artificial chromosomes).

In this specification the term “operably linked” may include the situation where a selected nucleotide sequence and regulatory nucleotide sequence (e.g. promoter and/or enhancer) are covalently linked in such a way as to place the expression of the nucleotide sequence under the influence or control of the regulatory sequence (thereby forming an expression cassette). Thus a regulatory sequence is operably linked to the selected nucleotide sequence if the regulatory sequence is capable of effecting transcription of the

nucleotide sequence. Where appropriate, the resulting transcript may then be translated into a desired protein or polypeptide.

Any cell suitable for the expression of polypeptides may be used for producing peptides according to the invention. The cell may be a prokaryote or eukaryote. Suitable prokaryotic cells include *E.coli*. Examples of eukaryotic cells include a yeast cell, a plant cell, insect cell or a mammalian cell. In some cases the cell is not a prokaryotic cell because some prokaryotic cells do not allow for the same post-translational modifications as eukaryotes. In addition, very high expression levels are possible in eukaryotes and proteins can be easier to purify from eukaryotes using appropriate tags. Specific plasmids may also be utilised which enhance secretion of the protein into the media.

Methods of producing a polypeptide of interest may involve culture or fermentation of a cell modified to express the polypeptide. The culture or fermentation may be performed in a bioreactor provided with an appropriate supply of nutrients, air/oxygen and/or growth factors. Secreted proteins can be collected by partitioning culture media/fermentation broth from the cells, extracting the protein content, and separating individual proteins to isolate secreted polypeptide. Culture, fermentation and separation techniques are well known to those of skill in the art.

Bioreactors include one or more vessels in which cells may be cultured. Culture in the bioreactor may occur continuously, with a continuous flow of reactants into, and a continuous flow of cultured cells from, the reactor. Alternatively, the culture may occur in batches. The bioreactor monitors and controls environmental conditions such as pH, oxygen, flow rates into and out of, and agitation within the vessel such that optimum conditions are provided for the cells being cultured.

Following culture of cells that express the polypeptide of interest, that polypeptide is preferably isolated. Any suitable method for separating polypeptides/proteins from cell culture known in the art may be used. In order to isolate a polypeptide/protein of interest from a culture, it may be necessary to first separate the cultured cells from media containing the polypeptide/protein of interest. If the polypeptide/protein of interest is secreted from the cells, the cells may be separated from the culture media that contains the secreted polypeptide/protein by centrifugation. If the polypeptide/protein of interest collects within the cell, it will be necessary to disrupt the cells prior to centrifugation, for example using sonification, rapid freeze-thaw or osmotic lysis. Centrifugation will

produce a pellet containing the cultured cells, or cell debris of the cultured cells, and a supernatant containing culture medium and the polypeptide/protein of interest.

It may then be desirable to isolate the polypeptide/protein of interest from the supernatant or culture medium, which may contain other protein and non-protein components. A common approach to separating polypeptide/protein components from a supernatant or culture medium is by precipitation. Polypeptides/proteins of different solubility are precipitated at different concentrations of precipitating agent such as ammonium sulfate. For example, at low concentrations of precipitating agent, water soluble proteins are extracted. Thus, by adding increasing concentrations of precipitating agent, proteins of different solubility may be distinguished. Dialysis may be subsequently used to remove ammonium sulfate from the separated proteins.

Other methods for distinguishing different polypeptides/proteins are known in the art, for example ion exchange chromatography and size chromatography. These may be used as an alternative to precipitation, or may be performed subsequently to precipitation.

Once the polypeptide/protein of interest has been isolated from culture it may be necessary to concentrate the protein. A number of methods for concentrating a protein of interest are known in the art, such as ultrafiltration or lyophilisation.

#### Sequence Identity

Alignment for purposes of determining percent amino acid or nucleotide sequence identity can be achieved in various ways known to a person of skill in the art, for instance, using publicly available computer software such as ClustalW 1.82, T-coffee or Megalign (DNASTAR) software. When using such software, the default parameters, e.g. for gap penalty and extension penalty, are preferably used. The default parameters of ClustalW 1.82 are: Protein Gap Open Penalty = 10.0, Protein Gap Extension Penalty = 0.2, Protein matrix = Gonnet, Protein/DNA ENDGAP = -1, Protein/DNA GAPDIST = 4.

The invention includes the combination of the aspects and preferred features described except where such a combination is clearly impermissible or expressly avoided.

The section headings used herein are for organizational purposes only and are not to be construed as limiting the subject matter described.

Aspects and embodiments of the present invention will now be illustrated, by way of example, with reference to the accompanying figures. Further aspects and embodiments will be apparent to those skilled in the art. All documents mentioned in this text are incorporated herein by reference.

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Throughout this specification, including the claims which follow, unless the context requires otherwise, the word "comprise," and variations such as "comprises" and "comprising," will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

10

It must be noted that, as used in the specification and the appended claims, the singular forms "a," "an," and "the" include plural referents unless the context clearly dictates otherwise. Ranges may be expressed herein as from "about" one particular value, and/or to "about" another particular value. When such a range is expressed, another embodiment includes from the one particular value and/or to the other particular value. Similarly, when values are expressed as approximations, by the use of the antecedent "about," it will be understood that the particular value forms another embodiment.

15

## 20 **Brief Description of the Figures**

Embodiments and experiments illustrating the principles of the invention will now be discussed with reference to the accompanying figures in which:

25

**Figure 1.** Light chain variable domain sequences for anti-PD-1 antibody clones A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, H9 (human IgG4). CDRs are underlined and shown separately.

30

**Figure 2.** Heavy chain variable domain sequences for anti-PD-1 antibody A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, H9 (human IgG4). CDRs are underlined and shown separately.

**Figure 3.** Table showing light chain and heavy chain CDR sequences for anti-PD-1 antibody clones A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, H9.

35

**Figure 4.** Nucleotide and encoded amino acid sequences of heavy and light chain variable domain sequences for anti-PD-1 antibody clones A3, A10, optimised A10, B6, optimised B6, C4, optimised C4, D4, E1, F2, G1, G2, G10, H4, optimised H4 and H9 (human IgG4).

5

**Figure 5.** Table showing binding affinity ( $K_D$ , nM) of clones A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4 and H9, and control antibodies nivolumab and lambrolizumab for human PD-1.

10 **Figure 6.** Chart showing binding of anti-human PD-1 antibodies A3, A10, nivolumab and lambrolizumab to activated T cells.

**Figure 7.** Chart showing binding of anti-human PD-1 antibodies A10, B6, C4, D4, E1, F2, G1, G2, G10, H4 and H9, nivolumab and lambrolizumab to activated T cells.

15

**Figure 8.** Chart showing reactivity of clones B6, C4, D4, E1, F2, G1, G2, G10, H4 and H9 with human PD-1, rhesus PD-1 and human CTLA-4.

20 **Figure 9.** Chart showing allogeneic CD4<sup>+</sup> T cell proliferation of exhausted T cells in response to antibodies A3, A10, nivolumab, lambrolizumab.

**Figure 10.** Chart showing allogeneic CD4<sup>+</sup> T cell proliferation of exhausted T cells in response to antibodies A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, H9, nivolumab, lambrolizumab.

25

**Figure 11.** Chart showing IFN $\gamma$  secretion of exhausted T cells in response to antibodies A3, A10, nivolumab, lambrolizumab.

30 **Figure 12.** Chart showing IFN $\gamma$  secretion of exhausted T cells in response to antibodies A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, H9, nivolumab, lambrolizumab.

**Figure 13.** Chart showing specificity of binding of antibodies A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4, H9, for human and rhesus-PD-1 over other human (h) or murine (m) CD28 family members compared with nivolumab, and lambrolizumab, as determined by ELISA.

35



**Figure 14.** Chart showing allogeneic CD4<sup>+</sup> T cell proliferation of exhausted T cells in response to antibodies A10 codon optimised, B6 codon optimised, C4 codon optimised, H4 codon optimised, nivolumab, lambrolizumab.

5

**Figure 15.** Chart showing IFN $\gamma$  secretion of exhausted T cells in response to antibodies A10 codon optimised, B6 codon optimised, C4 codon optimised, H4 codon optimised, nivolumab, lambrolizumab.

10

**Figure 16.** Chart showing the expression of exhaustion markers PD-1, PD-L1, TIM-3 and LAG-3 by lung tumour infiltrating lymphocytes. Approximately 2/3 of CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes in the tumour express PD-1.

**Figure 17.** Charts showing the secretion of IFN- $\gamma$  by tumour infiltrating lymphocytes (A) after 7 days of culture in the presence or absence of anti-PD-1 antibodies (direct culture of tumour dissociated tissues), (B) after a mixed lymphocyte reaction in the presence or absence of anti-PD-1 antibodies.

15

**Figure 18.** Chart showing the secreted IFN- $\gamma$  after culture of PBMCs with *Influenza* virus infected dendritic cells in the presence or absence of anti-PD-1 antibodies.

20

**Figure 19.** Chart showing the expression of exhaustion markers PD-1, PD-L1, TIM-3, LAG-3 and CTLA4 by lung tumour infiltrating lymphocytes. Approximately 2/3 of CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes in the tumour express PD-1.

25

**Figure 20.** Charts showing IFN- $\gamma$  secretion by tumour infiltrating lymphocytes after a mixed lymphocyte reaction in the presence or absence of anti-PD-1 antibodies, for three patients. (A) Patient #1, (B) Patient #2, (C) Patient #3. Shown are mean  $\pm$  SD from duplicates or triplicates.

30

**Figure 21.** Charts showing the expression of exhaustion markers PD-1, PD-L1, TIM-3, LAG-3 and CTLA4 by (A) renal tumour infiltrating lymphocytes and (B) blood circulating lymphocytes from renal carcinoma patients. Approximately 2/3 of CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes in the tumour express PD-1, while most of PBMC lymphocytes do not express PD-1.

35

**Figure 22.** Charts showing IFN- $\gamma$  secretion by tumour infiltrating lymphocytes after a mixed lymphocyte reaction in the presence or absence of anti-PD-1 antibodies, for three patients. (A) Patient #1, (B) Patient #2, (C) Patient #3. Shown are mean  $\pm$  SD from duplicates or triplicates.

**Figure 23.** Charts showing the expression of exhaustion markers PD-1, PD-L1, TIM-3, LAG-3 and CTLA4 by (A) bladder tumour infiltrating lymphocytes and (B) blood circulating lymphocytes from bladder carcinoma patients. A majority of tumour infiltrating lymphocytes express PD-1, while only a minority of PBMC lymphocytes express PD-1.

**Figure 24.** Chart showing IFN- $\gamma$  secretion by tumour infiltrating lymphocytes after a mixed lymphocyte reaction in the presence or absence of anti-PD-1 antibodies, for one patient. Shown are mean  $\pm$  SD from duplicates or triplicates.

## Examples

The inventors described in the following Examples the identification of nucleotide and amino-acid sequences of isolated antibodies, or the antigen-binding portions thereof, that specifically bind human and rhesus PD-1, block the PD-1 pathway and restore exhausted T cell activity.

### Isolation of anti-human PD-1 antibodies

Anti-PD-1 antibodies were isolated from a human antibody phage display library via *in vitro* selection in a 4-round bio-panning process.

Streptavidin-magnetic beads were coated with biotinylated human PD-1 and used to fish-out anti-PD-1-specific phages using magnetic sorting. Some steps to remove of potential anti-biotin antibodies were added in the selection process.

Specific Fab antibodies were originally identified by ELISA with human-PD-1 as the antigen. A first clonality screening was performed by DNA fingerprinting; clonality was then confirmed by sequencing.

### Affinity maturation

Selected antibodies went through affinity maturation by CDR engineering. Selection of specific anti-PD-1 antibodies was conducted by phage display. More than 80 affinity-matured clones with  $\sim$ 100-fold improved affinity were generated. To select clones,

thermostability assays were conducted. Antibodies were heated and their stability monitored based on their ability to bind human PD-1 in ELISA. Clones still stable at 71°C were kept for further analyses, their affinity for human PD-1 was measured using a ProteOn bioanalyser (Biorad). Briefly, human-PD-1 coupled to Fc was immobilised on a sensor chip and a flow of antibody was applied; association and dissociation rates were measured and the affinity ( $K_D$ ) calculated. Twelve of the affinity-matured clones were designated A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4 and H9.

#### **Affinity of the isolated anti-PD-1 antibodies**

Affinity of A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4 and H9 for human PD-1 was measured by Surface Plasmon Resonance and compared to the affinity of nivolumab and lambrolizumab, two anti-PD-1 antibodies in late clinical development stage (Figure 5).

Briefly, human or mouse PD-1 coupled to human Fc was immobilised on a sensor chip compatible with the Proteon XPR36 bioanalyser (Biorad). Crude Fab extracts (or the control antibodies) were then flown onto the chip and the association/dissociation of each candidate Fab was then recorded and analysed, the affinity ( $K_D$ ) was calculated.

A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4 and H9 show affinity in the range 0.1 to 0.7 nM while nivolumab and lambrolizumab exhibit  $K_D$  of 1.9 and 0.5 nM respectively (Figure 5).

#### **Characterisation of the anti-human PD-1 antibodies:**

##### **Binding to PD-1 expressing cells**

50,000 T cells isolated from a healthy donor were incubated in the presence of 5,000 monocyte-derived DCs from another donor for 7 days at 37°C. Antibodies, expressed as IgG4, were incubated with such activated T cells for 30 minutes in PBS. After a wash in PBS, a fluorescent labelled secondary antibody was added for 30 minutes and then washed in PBS. Cells were resuspended in FACS buffer and binding of the antibody to the cells was monitored by flow cytometry (Figures 6 and 7).

##### **Cross-reactivity with rhesus PD-1**

Affinity-matured clone Fabs were tested in ELISA for recognition of rhesus PD-1. Briefly, ELISA plates were coated with 350ng/well of human or rhesus PD-1 in carbonate buffer

and then blocked with a solution of casein. After extensive washes in PBS Tween-20, antibody-containing supernatants were transferred into the ELISA plates in the presence of 7% milk in PBS. After 90 minutes at room temperature under agitation and extensive washes, a goat anti-human Fab antibody coupled to HRP was added. One hour later, plates were washed and TMB substrate added. The reaction was stopped with 1M HCl and optical density measured at 450nm with a reference at 670nm (Figure 8).

### **In vitro functional activity**

Anti-PD-1 antibodies were tested in a functional assay measuring 2 activity parameters of T cells: proliferation and secretion of IFN- $\gamma$ . Briefly, T cells were isolated from a healthy donor and cultured for 7 days in the presence of monocyte-derived dendritic cells from another donor (50,000 Tcells / 5,000 DCs). This continuous stimulation induces exhaustion of the T cells. Antibodies were then added to the cultures for 5 more days. After 4 days, supernatants were collected to measure IFN- $\gamma$  by ELISA, and T cells were cultured for 4 days, with addition of 1 $\mu$ Ci of tritiated thymidine for the last 18 hours. Cells were then harvested and proliferation measured with a  $\beta$ -counter.

Clones A3, A10, B6, C4, D4, E1, F2, G1, G2, G10, H4 and H9 are able to restore proliferation of previously exhausted T cells and to restore their ability to secrete IFN- $\gamma$  (Figures 9 to 12).

### **Specificity for PD-1**

Anti-PD-1 antibodies were tested in an ELISA assay for their ability to bind to other members of the CD28 family.

Briefly, ELISA plates were coated with 350ng/well of one of the following antigens coupled to human Fc in carbonate buffer: human PD-1, human PD-L1, human TIM-3, human LAG-3, human ICOS, human CTLA4, human BTLA, human CD28, mouse TIM-3, or rhesus PD-1. The plate was then blocked with a solution of casein. After extensive washes in PBS Tween-20, antibodies were added into the ELISA wells in the presence of 7% milk in PBS. After 90 minutes at room temperature under agitation and extensive washes, a goat anti-human Fab antibody coupled to HRP was added. One hour later, plates were washed and TMB substrate added. The reaction was stopped with 1M HCl and optical density measured at 450nm with a reference at 670nm.

While nivolumab cross-binds to PD-L1, TIM-3, LAG-3, BTLA and CD28 (and lambrolizumab in a very weak manner too), 1D11 and 1G4 are specific to PD-1 only; showing only a very weak cross-recognition of other members than PD-1 (Figure 13).

## 5 **Production yield**

HEK-293.6E cells were transiently transfected to produce anti-PD-1 antibodies in IgG4 format; production yield in this system was compared.

Antibody	Production yield (mg/L)
Lambrolizumab	2.1
A3	9.9
A10	32.1
E1	30.6
F2	32.6
G1	30.8
G2	28.8
H9	18.4
D4	26.9
B6	26.5
C4	27.4
H4	19.8
G10	33.6

All novel antibody clones showed better production yield than lambrolizumab.

## 10 **Codon optimisation**

In order to increase gene expression efficiency, A10, B6, C4 and H4 underwent codon optimisation.

Codon-optimised clones retain their ability to neutralise PD-1 and restore T cell activity (T cell proliferation and IFN- $\gamma$  secretion – Figures 14 and 15).

15

## **Use of anti-PD-1 antibodies to treat tumours: *ex vivo* activation of tumour infiltrating lymphocytes**

Lung tumour samples were obtained from the National Cancer Centre Singapore after approval by the proper IRB. Samples were dissociated using a human tumour dissociation kit and a tissue dissociator device.

To confirm expression of exhaustion markers at the surface of the tumour infiltrating lymphocytes, isolated cell mixture was washed once and passed through 70µm filter to obtain single cell suspension. Cells were stained with antibodies against CD4, CD8, PD-1, PD-L1, TIM-3 and LAG-3; a live/dead marker was also used to exclude dead cells from the analysis. Cells were analysed by flow cytometry. Results are shown in Figure 16.

The tumour dissociated mixture was cultured with anti-PD-1 codon-optimised antibodies A10, B6, C4 and H4 for 7 days prior to measurement of IFN-γ in the supernatant by ELISA. Nivolumab and lambrolizumab were used as positive controls, an isotype antibody as a negative control.

Figure 17A presents the secretion of IFN-γ by tumour infiltrating lymphocytes after 7 days of culture in the presence or absence of anti-PD-1 antibodies. Anti-PD-1 antibodies were able to re-activate lymphocytes to secrete IFN-γ in a dose-dependent manner.

Another fraction of the dissociated mixture was co-cultured with allogeneic dendritic cells (DCs) to initiate a mixed lymphocyte reaction (MLR). Cells were first cultured with DCs for 7 days without antibodies and then restimulated with DCs for 7 days in the presence of anti-PD-1 or control antibodies. After these 2 rounds, IFN-γ was assayed in supernatants by ELISA.

Figure 17B presents the secretion of IFN-γ after the MLR in the presence or absence of anti-PD-1 antibodies. Anti-PD-1 antibodies were able to restore the ability of lymphocytes located in the tumour site to secrete IFN-γ in a dose-dependent manner.

#### **Use of anti-PD-1 antibodies to treat infections: autologous activation of T cells in the presence of *Influenza***

Blood was collected from *Influenza*-positive donors. Monocyte-derived DCs were infected with influenza virus A/PR/8/34 (H1N1). Infected DCs were then mixed to PBMCs from the same donor for a first round of culture of 5 days. Cells were then restimulated with *Influenza*-infected DCs and cultured for a second round of 5 days in the presence of anti-PD-1 antibodies. After these 2 rounds, most of the cells in culture are *Influenza*-specific T cells. At the end of the 2 rounds of culture, IFN-γ was assayed in supernatants by ELISA.

Figure 18 presents the secreted IFN-γ after culture of PBMCs with *Influenza* virus infected DCs in the presence or absence of anti-PD-1 antibodies. Anti-PD-1 antibodies

were able to restore the capacity of lymphocytes to secrete IFN- $\gamma$  upon viral stimulation in a dose-dependent manner.

**Lung cancer: use of anti-PD-1 antibodies to re-activate lung tumour infiltrating lymphocytes (ex vivo data)**

Lung tumour samples were obtained from the National Cancer Centre Singapore after approval by the proper IRB. Samples were dissociated using a human tumour dissociation kit and a tissue dissociator device.

To confirm expression of exhaustion markers at the surface of the tumour infiltrating lymphocytes, isolated cell mixture was washed once and passed through 70 $\mu$ m filter to obtain single cell suspension. Cells were stained with antibodies against CD4, CD8, PD-1, PD-L1, Tim-3, LAG-3 and CTLA-4; a live/dead marker was also used to exclude dead cells from the analysis. Cells were analysed by flow cytometry.

Figure 19 shows the expression of PD-1, PD-L1, TIM-3, LAG-3 and CTLA-4 by tumour infiltrating lymphocytes from 3 different patients (shown are mean  $\pm$  SD from 3 independent experiments using cells from 3 different donors, all experiments done in triplicates). Approximately 2/3 of CD4+ and CD8+ lymphocytes in the tumour express PD-1.

The tumour dissociated mixture was co-cultured with allogeneic dendritic cells (DC) to initiate a mixed lymphocyte reaction (MLR). Cells were first cultured for 7 days without antibodies and then for 7 days in the presence of anti-PD-1 or control antibodies. After these 2 rounds, IFN- $\gamma$  was assayed in supernatants by ELISA.

Figures 20A-20C present the secretion of IFN- $\gamma$  after the MLR in the presence or absence of anti-PD-1 antibodies. Shown are mean  $\pm$  SD from triplicates in 3 independent experiments (cells from 3 different patients). Anti-PD-1 antibodies were able to restore the ability of lymphocytes located in the tumour site to secrete IFN- $\gamma$  in a dose-dependent manner.

**Renal cell carcinoma: use of anti-PD-1 antibodies to re-activate kidney tumour infiltrating lymphocytes (ex vivo data)**

Kidney tumour samples were obtained from the National Cancer Centre Singapore after approval by the proper IRB. Samples were dissociated using a human tumour dissociation kit and a tissue dissociator device.

To confirm expression of exhaustion markers at the surface of the tumour infiltrating lymphocytes, isolated cell mixture was washed once and passed through 70µm filter to obtain single cell suspension. Cells were stained with antibodies against CD4, CD8, PD-1, PD-L1, Tim-3, LAG-3 and CTLA-4; a live/dead marker was also used to exclude dead cells from the analysis. Cells were analysed by flow cytometry. As a comparison, same markers expression was assessed on PBMCs from the same patients.

Figures 21A and 21B show the expression of PD-1, PD-L1, TIM-3, LAG-3 and CTLA-4 by tumour infiltrating lymphocytes (Fig. 21A) and on blood circulating lymphocytes (Fig. 21B) from 3 different patients (shown are mean  $\pm$  SD from 3 independent experiments using cells from 3 different donors, all experiments done in triplicates). Approximately 2/3 of CD4+ and CD8+ lymphocytes in the tumour express PD-1 while most of PBMC lymphocytes do not express PD-1.

The tumour dissociated mixture was co-cultured with allogeneic dendritic cells (DC) to initiate a mixed lymphocyte reaction (MLR). Cells were first cultured for 7 days without antibodies and then for 7 days in the presence of anti-PD-1 or control antibodies. After these 2 rounds, IFN- $\gamma$  was assayed in supernatants by ELISA.

Figures 22A-22C present the secretion of IFN- $\gamma$  after the MLR in the presence or absence of anti-PD-1 antibodies. Shown are mean  $\pm$  SD from duplicates or triplicates in 3 independent experiments (cells from 3 different patients). Anti-PD-1 antibodies were able to restore the ability of lymphocytes located in the tumour site to secrete IFN- $\gamma$  in a dose-dependent manner.

#### **Bladder cancer: use of anti-PD-1 antibodies to re-activate bladder tumour infiltrating lymphocytes (ex vivo data)**

Bladder tumour samples were obtained from the National Cancer Centre Singapore after approval by the proper IRB. Samples were dissociated using a human tumour dissociation kit and a tissue dissociator device.

To confirm expression of exhaustion markers at the surface of the tumour infiltrating lymphocytes, isolated cell mixture was washed once and passed through 70µm filter to obtain single cell suspension. Cells were stained with antibodies against CD4, CD8, PD-1, PD-L1, Tim-3, LAG-3 and CTLA-4; a live/dead marker was also used to exclude dead cells from the analysis. Cells were analysed by flow cytometry.



Figure 23A and 23B show the expression of PD-1, PD-L1, TIM-3, LAG-3 and CTLA-4 by tumour infiltrating lymphocytes (Fig. 23A) and blood circulating lymphocytes (Fig. 23B) from 2 different patients (shown are mean  $\pm$  SD from 2 independent experiments using cells from 2 different donors, all experiments done in triplicates). A majority of tumour infiltrating lymphocytes express PD-1, while only a minority of their blood circulating counterparts do so.

The tumour dissociated mixture was co-cultured with allogeneic dendritic cells (DC) to initiate a mixed lymphocyte reaction (MLR). Cells were first cultured for 7 days without antibodies and then for 7 days in the presence of anti-PD-1 or control antibodies. After these 2 rounds, IFN- $\gamma$  was assayed in supernatants by ELISA.

Figure 24 presents the secretion of IFN- $\gamma$  after the MLR in the presence or absence of anti-PD-1 antibodies. Shown are mean  $\pm$  SD from triplicates in 1 experiment. Anti-PD-1 antibodies were able to restore the ability of lymphocytes located in the tumour site to secrete IFN- $\gamma$  in a dose-dependent manner.

**Claims:**

1. An antibody, or antigen binding fragment which is capable of binding to PD-1, optionally isolated, having the amino acid sequences i) to vi):

- |    |               |  |                   |
|----|---------------|--|-------------------|
| 5  | i) LC-CDR1:   | SGSSSNIKFNSVN  | (SEQ ID NO:25)    |
|    | ii) LC-CDR2:  | SNNQRPS  | (SEQ ID NO:26)    |
|    | iii) LC-CDR3: | X <sub>1</sub> X <sub>2</sub> WDDX <sub>3</sub> X <sub>4</sub> X <sub>5</sub> GX <sub>6</sub> X <sub>7</sub> | (SEQ ID NO:53)    |
|    | iv) HC-CDR1:  | SYGMH  | (SEQ ID NO:89) or |
|    |               | GFTFSSYGMH   | (SEQ ID NO: 39)   |
| 10 | v) HC-CDR2:   | VISYDGSNKYYADSVKG  | (SEQ ID NO:40)    |
|    | vi) HC-CDR3:  | DZ <sub>1</sub> GZ <sub>2</sub> GZ <sub>3</sub> YZ <sub>4</sub> YGZ <sub>5</sub> DZ <sub>6</sub>             | (SEQ ID NO:54)    |

or a variant thereof in which one or two or three amino acids in one or more of the sequences (i) to (vi) are replaced with another amino acid, where X<sub>1</sub>= A or S, X<sub>2</sub>= S or A, X<sub>3</sub>= V, Y, F, D, S or A, X<sub>4</sub>= L, Y, V or A, X<sub>5</sub>= Y, R or H, X<sub>6</sub>= S, or T, X<sub>7</sub>= V, I, or M and Z<sub>1</sub>= L or Y, Z<sub>2</sub>= A or S, Z<sub>3</sub>= P or Y, Z<sub>4</sub>= Y or L, Z<sub>5</sub>= K, M or L, Z<sub>6</sub>= H or V.

2. The antibody, or antigen binding fragment, of claim 1, wherein LC-CDR3 is one of ASWDDVLYGSV (SEQ ID NO:27), ASWDDYYYGTI (SEQ ID NO:28), ASWDDYLRGTV (SEQ ID NO:29), SAWDDYLHGTV (SEQ ID NO:30), ASWDDYVRGTM (SEQ ID NO:31), SSWDDFLRGTV (SEQ ID NO:32), SSWDDDARGTI (SEQ ID NO:33), AAWDDVYYGTI (SEQ ID NO:34), ASWDDSLYGTV (SEQ ID NO:35), AAWDDAYYGTI (SEQ ID NO:36), ASWDDVYRGTV (SEQ ID NO:37), or SSWDDSLYGTI (SEQ ID NO:38).

3. The antibody, or antigen binding fragment, of claim 1 or 2, wherein HC-CDR3 is one of DLGAGPYYYGKDH (SEQ ID NO:41), DLGAGPYYYGKDV (SEQ ID NO:42), DYGAGPYYYGMDV (SEQ ID NO:43), DLGAGPYYYGLDV (SEQ ID NO:44), DLGAGPYYYGMDV (SEQ ID NO:45), DLGAGPYYYGMDV (SEQ ID NO:46), DLGAGPYYYGMDV (SEQ ID NO:47), DLGAGPYYYGMDV (SEQ ID NO:48), DLGAGPYYYGMDV (SEQ ID NO:49), DYGAGPYYYGMDV (SEQ ID NO:50), DLGSGYYLYGMDV (SEQ ID NO:51), or DLGAGPYYYGMDV (SEQ ID NO:52).

4. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

- |    |          |               |                |
|----|----------|---------------|----------------|
| 35 | LC-CDR1: | SGSSSNIKFNSVN | (SEQ ID NO:25) |
|    | LC-CDR2: | SNNQRPS       | (SEQ ID NO:26) |

LC-CDR3: ASWDDVLYGSV (SEQ ID NO:27)

5. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

5 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: ASWDDYYYGTI (SEQ ID NO:28)

10 6. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: ASWDDYLRGTV (SEQ ID NO:29)

15 7. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: SAWDDYLHGTV (SEQ ID NO:30).

20

8. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

25 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: ASWDDYVRGTM (SEQ ID NO:31).

9. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

30 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: SSWDDFLRGTV (SEQ ID NO:32).

10. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

5 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: SSWDDDARGTI (SEQ ID NO:33).

11. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

10 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: AAWDDVYYGTI (SEQ ID NO:34).

12. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

15 LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: ASWDDSLYGTI (SEQ ID NO:35).

20 13. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: AAWDDAYYGTI (SEQ ID NO:36).

25 14. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: ASWDDVYRGTV (SEQ ID NO:37).

30

15. The antibody, or antigen binding fragment, of any one of claims 1 to 3, having at least one light chain variable region incorporating the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)

LC-CDR3: SSWDDSLYGTI (SEQ ID NO:38)

16. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

5 HC-CDR1: SYGMH (SEQ ID NO:89) or  
GFTFSSYGMH (SEQ ID NO:39)  
HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
HC-CDR3: DLGAGPYYYGKDH (SEQ ID NO:41)

10 17. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or  
GFTFSSYGMH (SEQ ID NO:39)  
HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
15 HC-CDR3: DLGAGPYYYGKDV (SEQ ID NO:42).

18. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

20 HC-CDR1: SYGMH (SEQ ID NO:89) or  
GFTFSSYGMH (SEQ ID NO:39)  
HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
HC-CDR3: DYGAGPYYYGMDV (SEQ ID NO:43)

25 19. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or  
GFTFSSYGMH (SEQ ID NO:39)  
HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
30 HC-CDR3: DLGAGPYYYGLDV (SEQ ID NO:44).

20. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

35 HC-CDR1: SYGMH (SEQ ID NO:89) or  
GFTFSSYGMH (SEQ ID NO:39)

HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:45).

21. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or  
 GFTFSSYGMH (SEQ ID NO:39)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:46).

22. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or  
 GFTFSSYGMH (SEQ ID NO:39)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:47).

23. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or  
 GFTFSSYGMH (SEQ ID NO:39)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:48).

24. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or  
 GFTFSSYGMH (SEQ ID NO:39)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:49).

25. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or

HC-CDR2: GFTFSSYGMH (SEQ ID NO:39)  
 VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DYGAGPYYYYGMDV (SEQ ID NO:50).

5 26. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

HC-CDR1: SYGMH (SEQ ID NO:89) or  
 GFTFSSYGMH (SEQ ID NO:39)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 10 HC-CDR3: DLGSGYYLYGMDV (SEQ ID NO:51).

27. The antibody, or antigen binding fragment, of any one of claims 1 to 15, having at least one heavy chain variable region incorporating the following CDRs:

15 HC-CDR1: SYGMH (SEQ ID NO:89) or  
 GFTFSSYGMH (SEQ ID NO:39)  
 HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)  
 HC-CDR3: DLGAGPYYYYGMDV (SEQ ID NO:52).

20 28. An antibody, or antigen binding fragment, optionally isolated, that specifically binds PD-1 (e.g. human or rhesus) over other members of the CD28 family, wherein the antibody is optionally as claimed in any one of claims 1 to 27.

25 29. The antibody, or antigen binding fragment, of any one of claims 1 to 28, wherein the antibody is effective to restore T-cell function in T-cells exhibiting T-cell exhaustion or T-cell anergy.

30 30. An *in vitro* complex, optionally isolated, comprising an antibody, or antigen binding fragment, according to any one of claims 1 to 29 bound to PD-1.

30 31. An isolated light chain variable region polypeptide comprising the following CDRs:

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
 LC-CDR2: SNNQRPS (SEQ ID NO:26)  
 LC-CDR3: X<sub>1</sub>X<sub>2</sub>WDDX<sub>3</sub>X<sub>4</sub>X<sub>5</sub>GX<sub>6</sub>X<sub>7</sub> (SEQ ID NO:53)

35 where X<sub>1</sub>= A or S, X<sub>2</sub>= S or A, X<sub>3</sub>= V, Y, F, D, S or A, X<sub>4</sub>= L, Y, V or A, X<sub>5</sub>= Y, R or H, X<sub>6</sub>= S, or T, X<sub>7</sub>= V, I, or M.

32. The isolated light chain variable region polypeptide of claim 31 wherein LC-CDR3 is one of ASWDDVLYGSV (SEQ ID NO:27), ASWDDYYYGTI (SEQ ID NO:28), ASWDDYLRGTV (SEQ ID NO:29), SAWDDYLHGTV (SEQ ID NO:30), ASWDDYVRGTM (SEQ ID NO:31), SSWDDFLRGTV (SEQ ID NO:32), SSWDDDDARGTI (SEQ ID NO:33), AAWDDVYYGTI (SEQ ID NO:34), ASWDDSLYGTI (SEQ ID NO:35), AAWDDAYYGTI (SEQ ID NO:36), ASWDDVYRGTV (SEQ ID NO:37), or SSWDDSLYGTI (SEQ ID NO:38).

33. An isolated heavy chain variable region polypeptide comprising the following CDRs:

HC-CDR1:	SYGMH	(SEQ ID NO:89) or
	GFTFSSYGMH	(SEQ ID NO:39)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DZ <sub>1</sub> GZ <sub>2</sub> GZ <sub>3</sub> YZ <sub>4</sub> YGZ <sub>5</sub> DZ <sub>6</sub>	(SEQ ID NO:54)

where Z<sub>1</sub>= L or Y, Z<sub>2</sub>= A or S, Z<sub>3</sub>= P or Y, Z<sub>4</sub>= Y or L, Z<sub>5</sub>= K, M or L, Z<sub>6</sub>= H or V.

34. The isolated heavy chain variable region polypeptide of claim 33 wherein HC-CDR3 is one of DLGAGPYYYGKDH (SEQ ID NO:41), DLGAGPYYYGKDV (SEQ ID NO:42), DYGAGPYYYGMDV (SEQ ID NO:43), DLGAGPYYYGLDV (SEQ ID NO:44), DLGAGPYYYGMDV (SEQ ID NO:45), DLGAGPYYYGMDV (SEQ ID NO:46), DLGAGPYYYGMDV (SEQ ID NO:47), DLGAGPYYYGMDV (SEQ ID NO:48), DLGAGPYYYGMDV (SEQ ID NO:49), DYGAGPYYYGMDV (SEQ ID NO:50), DLGSGYYLYGMDV (SEQ ID NO:51), or DLGAGPYYYGMDV (SEQ ID NO:52).

35. An isolated light chain variable region polypeptide of claim 31 or 32 in combination with a heavy chain variable region polypeptide according to claim 33 or 34.

36. An antibody or antigen binding fragment which is capable of binding to PD-1, comprising a heavy chain and a light chain variable region sequence, wherein:  
the heavy chain comprises a HC-CDR1, HC-CDR2, HC-CDR3, having at least 85% overall sequence identity to HC-CDR1: SYGMH (SEQ ID NO:89) or GFTFSSYGMH (SEQ ID NO: 39), HC-CDR2 VISYDGSNKYYADSVKG (SEQ ID NO:40), HC-CDR3: DZ<sub>1</sub>GZ<sub>2</sub>GZ<sub>3</sub>YZ<sub>4</sub>YGZ<sub>5</sub>DZ<sub>6</sub> (SEQ ID NO:54), respectively, where Z<sub>1</sub>= L or Y, Z<sub>2</sub>= A or S, Z<sub>3</sub>= P or Y, Z<sub>4</sub>= Y or L, Z<sub>5</sub>= K, M or L, Z<sub>6</sub>= H or V, and



the light chain comprises a LC-CDR1, LC-CDR2, LC-CDR3,, having at least 85% overall sequence identity to LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25), LC-CDR2: SNNQRPS (SEQ ID NO:26), LC-CDR3: X<sub>1</sub>X<sub>2</sub>WDDX<sub>3</sub>X<sub>4</sub>X<sub>5</sub>GX<sub>6</sub>X<sub>7</sub> (SEQ ID NO:53), respectively, where X<sub>1</sub>= A or S, X<sub>2</sub>= S or A, X<sub>3</sub>= V, Y, F, D, S or A, X<sub>4</sub>= L, Y, V or A, X<sub>5</sub>= Y, R or H, X<sub>6</sub>= S, or T, X<sub>7</sub>= V, I, or M.

37. An antibody or antigen binding fragment which is capable of binding to PD-1, optionally isolated, comprising a heavy chain and a light chain variable region sequence, wherein:

the heavy chain sequence has at least 85% sequence identity to the heavy chain sequence of one of SEQ ID NOs:13 to 24 (Figure 2), and

the light chain sequence has at least 85% sequence identity to the light chain sequence: SEQ ID NO:1 or 12 (Figure 1).

38. An antibody or antigen binding fragment, optionally isolated, which is capable of binding to PD-1, which is a bispecific antibody or a bispecific antigen binding fragment comprising (i) an antigen binding fragment or polypeptide according to any of one of claims 1 to 37, and (ii) an antigen binding domain which is capable of binding to a target protein other than PD-1.

39. The antibody, or antigen binding fragment, of claim 38, wherein the antigen binding domain which is capable of binding to a target protein other than PD-1 is capable of binding to one of TIM-3, LAG3, ICOS, CTLA4, BTLA or CD28.

40. A composition comprising the antibody, or antigen binding fragment, or polypeptide of any one of claims 1 to 39 and at least one pharmaceutically-acceptable carrier.

41. An isolated nucleic acid encoding the antibody, or antigen binding fragment or polypeptide of any of one of claims 1 to 39.

42. A vector comprising the nucleic acid of claim 41.

43. A host cell comprising the vector of claim 42.

44. A method for making an antibody, or antigen binding fragment or polypeptide of any of one of claims 1 to 39 comprising culturing the host cell of any of claim 43 under conditions suitable for the expression of a vector encoding the antibody, or antigen binding fragment or polypeptide, and recovering the antibody, or antigen binding fragment or polypeptide.

45. An antibody, antigen binding fragment or polypeptide according to any of one of claims 1 to 39 for use in therapy, or in a method of medical treatment.

46. An antibody, antigen binding fragment or polypeptide according to any of one of claims 1 to 39 for use in the treatment of a T-cell dysfunctional disorder.

47. An antibody, antigen binding fragment or polypeptide according to any of one of claims 1 to 39 for use in the treatment of cancer.

48. An antibody, antigen binding fragment or polypeptide according to any of one of claims 1 to 39 for use in the treatment of an infectious disease.

49. Use of an antibody, antigen binding fragment or polypeptide according to any of one of claims 1 to 39 in the manufacture of a medicament for use in the treatment of a T-cell dysfunctional disorder.

50. Use of an antibody, antigen binding fragment or polypeptide according to any of one of claims 1 to 39 in the manufacture of a medicament for use in the treatment of cancer.

51. Use of an antibody, antigen binding fragment or polypeptide according to any of one of claims 1 to 39 in the manufacture of a medicament for use in the treatment of an infectious disease.

52. A method, *in vitro* or *in vivo*, of enhancing T-cell function comprising administering an antibody, antigen binding fragment or polypeptide according to any one of claims 1 to 39 to a dysfunctional T-cell.

53. A method of treating a T-cell dysfunctional disorder comprising administering an antibody, antigen binding fragment or polypeptide according to any one of claims 1 to 39 to a patient suffering from a T-cell dysfunctional disorder.

5

54. A method of treating cancer comprising administering an antibody, antigen binding fragment or polypeptide according to any one of claims 1 to 39 to a patient suffering from a cancer.

10

55. A method of treating an infectious disease comprising administering an antibody, antigen binding fragment or polypeptide according to any one of claims 1 to 39 to a patient suffering from an infectious disease.

15

56. A method comprising contacting a sample containing, or suspected to contain, PD-1 with an antibody or antigen binding fragment according to any one of claims 1 to 39 and detecting the formation of a complex of antibody, or antigen binding fragment, and PD-1.

20

57. A method of diagnosing a disease or condition in a subject, the method comprising contacting, *in vitro*, a sample from the subject with an antibody, or antigen binding fragment, according to any one of claims 1 to 39 and detecting the formation of a complex of antibody, or antigen binding fragment, and PD-1.

25

58. A method of selecting or stratifying a subject for treatment with PD-1 targeted agents, the method comprising contacting, *in vitro*, a sample from the subject with an antibody, or antigen binding fragment, according to any one of claims 1 to 39 and detecting the formation of a complex of antibody, or antigen binding fragment, and PD-1.

30

59. Use of an antibody, or antigen binding fragment, according to any one of 1 to 39 for the detection of PD-1 *in vitro*.

60. Use of an antibody, or antigen binding fragment, according to any one of 1 to 39 as an *in vitro* diagnostic agent.

61. A method for expanding a population of T cells, wherein T cells are contacted *in vitro* or *ex vivo* with an antibody, antigen binding fragment or polypeptide according to any one of claims 1 to 39.

- 5 62. A method of treatment of a subject having a T-cell dysfunctional disorder, the method comprising culturing T cells obtained from a blood sample from a subject in the presence of an antibody, antigen binding fragment or polypeptide according to any one of claims 1 to 39 so as to expand the T cell population, collecting expanded T cells, and administering the expanded T cells to a subject in need of treatment.

**A3 clone**

LPVLTQPPSASGTPGQQRVTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVPDRFSGSKSGTSASLAISGLQSEDEADY  
FCASWDDVLYGSVFGGGKLTVL (SEQ ID NO:1)

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: ASWDDVLYGSV (SEQ ID NO:27)

**A10 clone**

LPVLTQPPSASGTPGQQRVTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVPDRFSGSKSGTSASLAISGLQSEDEADY  
FCASWDDYYGGTIFGGGKLTVL (SEQ ID NO:2)

LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)  
LC-CDR2: SNNQRPS (SEQ ID NO:26)  
LC-CDR3: ASWDDYYGGTI (SEQ ID NO:28)

**Figure 1**

**B6 clone**

QSVLTQPPSASGTPGQRTISCSSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCASWDDYLRGTVFGGGTKLTVL (SEQ ID NO:3)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: ASWDDYLRGTV (SEQ ID NO:29)

**C4 clone**

QSVLTQPPSASGTPGQRTISCSSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCSAWDDYLHGTVFGGGTKLTVL (SEQ ID NO:4)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: SAWDDYLHGTV (SEQ ID NO:30)

**Figure 1 (cont.)**

**D4 clone**

QSVLTQPPSASGTPGQQRVTISCSGSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCASWDDYVRGTMFGGGTKLTVL (SEQ ID NO:5)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: ASWDDYVRGTM (SEQ ID NO:31)

**E1 clone**

QSVLTQPPSASGTPGQQRVTISCSGSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCSSWDDFLRGTVFGGGTKLTVL (SEQ ID NO:6)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: SSWDDFLRGTV (SEQ ID NO:32)

**Figure 1 (cont.)**

**F2 clone**

QSVLTQPPSASGTPGQRVTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVPD~~RF~~SGSKSGTSASLAISGLQSEDEADY  
YCSSWDDDDARGTIFGGGTKLTVL (SEQ ID NO:7)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: SSWDDDDARGTI (SEQ ID NO:33)

**G1 clone**

QSVLTQPPSASGTPGQRVTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVPD~~RF~~SGSKSGTSASLAISGLQSEDEADY  
YCAAWDDDVYYGTIFGGGTKLTVL (SEQ ID NO:8)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: AAWDDDVYYGTI (SEQ ID NO:34)

**Figure 1 (cont.)**



**G2 clone**

QSVLTQPPSASGTPGQRVTISCSGSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCASWDDSLYGTVFGGGKLTVL (SEQ ID NO:9)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: ASWDDSLYGTV (SEQ ID NO:35)

**G10 clone**

QSVLTQPPSASGTPGQRVTISCSGSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCAAWDDAYYGTIFGGGKLTVL (SEQ ID NO:10)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: AAWDDAYYGTI (SEQ ID NO:36)

**Figure 1 (cont.)**

**H4 clone**

QSVLTQPPSASGTPGQQRVTISCSGSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCASWDDVYRGTVFGGKLTVL (SEQ ID NO:11)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: ASWDDVYRGTV (SEQ ID NO:37)

**H9 clone**

QSVLTQPPSASGTPGQQRVTISCSGSSSNIKENSVNWYQQLPGTAPKLLIYSNNQRPSGVDPDRFSGSKSGTSASLAISGLQSEDEADY  
YCSSWDDSLYGTIFGGKLTVL (SEQ ID NO:12)

- LC-CDR1: SGSSSNIKFNSVN (SEQ ID NO:25)
- LC-CDR2: SNNQRPS (SEQ ID NO:26)
- LC-CDR3: SSWDDSLYGTI (SEQ ID NO:38)

**Figure 1 (cont.)**

**A3 clone**

QVQLVQSGGGVQPGRSLRLSCAASGFTSSYGMHWRQAPGKGLEWVAVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGKDHWGQGTTTVTVSS (SEQ ID NO:13)

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO:89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGKDH	(SEQ ID NO:41)

**A10 clone**

QVQLVQSGGGVQPGRSLRLSCAASGFTSSYGMHWRQAPGKGLEWVAVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGKDVWGQGTTTVTVSS (SEQ ID NO:14)

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO:89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGKDV	(SEQ ID NO:42)

**Figure 2**

**B6 clone**

QVQLVESGGGWQPGRLRLSCAASGFTFSSYGMHWRQAPGKGLEWVAVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDYGAGPYYYGMDVWGQGTTTVSS (SEQ ID NO:15)

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO:89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DYGAGPYYYGMDV	(SEQ ID NO:43)

**C4 clone**

QVQLVESGGGWQPGRLRLSCAASGFTFSSYGMHWRQAPGKGLEWVAVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDYL GAGPYYYGLDVWGQGTTTVSS (SEQ ID NO:16)

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO:89)
HC-CDR2:	VISYDGSNKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGLDV	(SEQ ID NO:44)

**Figure 2 (cont.)**

**D4 clone**

QVQLVESGGGVQPGRSLRLSCAASGFTSSYGMHWRQAPGKGLEWVAVISYDGSNKKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTTVSS (SEQ ID NO:17)

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO:89)
HC-CDR2:	VISYDGSNKKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:45)

**E1 clone**

QVQLVESGGGVQPGRSLRLSCAASGFTSSYGMHWRQAPGKGLEWVAVISYDGSNKKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTTVSS (SEQ ID NO:18)

HC-CDR1:	GFTFSSYGMH	(SEQ ID NO:39) or
	SYGMH	(SEQ ID NO:89)
HC-CDR2:	VISYDGSNKKYYADSVKG	(SEQ ID NO:40)
HC-CDR3:	DLGAGPYYYGMDV	(SEQ ID NO:46)

**Figure 2 (cont.)**

**F2 clone**

QVQLVESGGGWQPGRLSLRSCAASGFTFSYGMHWVRQAPGKGLEWVAVISYDGSNKKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTVTVSS (SEQ ID NO:19)

HC-CDR1: GFTFSYGMH (SEQ ID NO:39) or  
SYGMH (SEQ ID NO:89)  
HC-CDR2: VISYDGSNKKYYADSVKG (SEQ ID NO:40)  
HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:47)

**G1 clone**

QVQLVESGGGWQPGRLSLRSCAASGFTFSYGMHWVRQAPGKGLEWVAVISYDGSNKKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTVTVSS (SEQ ID NO:20)

HC-CDR1: GFTFSYGMH (SEQ ID NO:39) or  
SYGMH (SEQ ID NO:89)  
HC-CDR2: VISYDGSNKKYYADSVKG (SEQ ID NO:40)  
HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:48)

**Figure 2 (cont.)**

**G2 clone**

QVQLVESGGGVQPGRSLRLSCAASGFTSSYGMHWRQAPGKGLEWAWVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGMDVVWGQGT<sup>TV</sup>TVSS (SEQ ID NO:21)

- HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
SYGMH (SEQ ID NO:89)
- HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)
- HC-CDR3: DLGAGPYYYGMDV (SEQ ID NO:49)

**G10 clone**

QVQLVESGGGVQPGRSLRLSCAASGFTSSYGMHWRQAPGKGLEWAWVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDYGAGPYYYGMDVVWGQGTTVSS (SEQ ID NO:22)

- HC-CDR1: GFTFSSYGMH (SEQ ID NO:39) or  
SYGMH (SEQ ID NO:89)
- HC-CDR2: VISYDGSNKYYADSVKG (SEQ ID NO:40)
- HC-CDR3: DYGAGPYYYGMDV (SEQ ID NO:50)

**Figure 2 (cont.)**

**H4 clone**

QVQLVESGGGVQPGRSLRLSCAASGFTSSYGMHWVRQAPGKGLEWAWVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGSGYYLYGMDVVWGQGTTVTSS (SEQ ID NO:23)

- HC-CDR1:      GFTFSSYGMH      (SEQ ID NO:39) or  
                 SYGMH      (SEQ ID NO:89)
- HC-CDR2:      VISYDGSNKYYADSVKG (SEQ ID NO:40)
- HC-CDR3:      DLGSGYYLYGMDV      (SEQ ID NO:51)

**H9 clone**

QVQLVESGGGVQPGRSLRLSCAASGFTSSYGMHWVRQAPGKGLEWAWVISYDGSNKYYADSVKGRFTISRDN SKNTLYLQMN  
SLRAEDTAVYYCASDLGAGPYYYGMDVVWGQGTTVTSS (SEQ ID NO:24)

- HC-CDR1:      GFTFSSYGMH      (SEQ ID NO:39) or  
                 SYGMH      (SEQ ID NO:89)
- HC-CDR2:      VISYDGSNKYYADSVKG (SEQ ID NO:40)
- HC-CDR3:      DLGAGPYYYGMDV      (SEQ ID NO:52)

**Figure 2 (cont.)**



Clone	CDR L1	CDR L2	CDR L3
<b>Light Chain</b>			
<b>A3</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	ASWDDVLYGSV (SEQ ID NO:27)
<b>A10</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	ASWDDYYYGTI (SEQ ID NO:28)
<b>B6</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	ASWDDYLRGTV (SEQ ID NO:29)
<b>C4</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	SAWDDYLHGTV (SEQ ID NO:30)
<b>D4</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	ASWDDYVRGTM (SEQ ID NO:31)
<b>E1</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	SSWDDFLRGTV (SEQ ID NO:32)
<b>F2</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	SSWDDDARGTI (SEQ ID NO:33)
<b>G1</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	AAWDDVYYGTI (SEQ ID NO:34)
<b>G2</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	ASWDDSLYGTI (SEQ ID NO:35)
<b>G10</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	AAWDDAYYGTI (SEQ ID NO:36)
<b>H4</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	ASWDDVYRGTV (SEQ ID NO:37)
<b>H9</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	SSWDDSLYGTI (SEQ ID NO:38)
<b>Consensus</b>	SGSSSNIKFNSVN (SEQ ID NO:25)	SNNQRPS (SEQ ID NO:26)	X <sub>1</sub> X <sub>2</sub> WDDX <sub>3</sub> X <sub>4</sub> X <sub>5</sub> GX <sub>6</sub> X <sub>7</sub>  X <sub>1</sub> = A or S X <sub>2</sub> = S or A X <sub>3</sub> = V, Y, F, D, S or A X <sub>4</sub> = L, Y, V or A X <sub>5</sub> = Y, R or H X <sub>6</sub> = S, or T X <sub>7</sub> = V, I, or M  (SEQ ID NO:53)

**Figure 3**

Clone	CDR L1	CDR L2	CDR L3
<b>Heavy Chain</b>			
<b>A3</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGKDH (SEQ ID NO:41)
<b>A10</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGKDV (SEQ ID NO:42)
<b>B6</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DYGAGPYYYGMDV (SEQ ID NO:43)
<b>C4</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGLDV (SEQ ID NO:44)
<b>D4</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGMDV (SEQ ID NO:45)
<b>E1</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGMDV (SEQ ID NO:46)
<b>F2</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGMDV (SEQ ID NO:47)
<b>G1</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGMDV (SEQ ID NO:48)

**Figure 3 (cont.)**

<b>G2</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGMDV (SEQ ID NO:49)
<b>G10</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DYGAGPYYYGMDV (SEQ ID NO:50)
<b>H4</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGSGYYLYGMDV (SEQ ID NO:51)
<b>H9</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DLGAGPYYYGMDV (SEQ ID NO:52)
<b>Consensus</b>	GFTFSSYGMH (SEQ ID NO:39) or SYGMH (SEQ ID NO:89)	VISYDGSNKYYADSVKG (SEQ ID NO:40)	DZ <sub>1</sub> GZ <sub>2</sub> GZ <sub>3</sub> YZ <sub>4</sub> YGZ <sub>5</sub> DZ <sub>6</sub>  Z <sub>1</sub> = L or Y Z <sub>2</sub> = A or S Z <sub>3</sub> = P or Y Z <sub>4</sub> = Y or L Z <sub>5</sub> = K, M or L Z <sub>6</sub> = H or V (SEQ ID NO:54)

Figure 3 (cont.)

**Light chain variable domains****A3 clone**

>A3\_aa\_L

LPVLTQPPSASGTPGQRVTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYFCASWDDVLYGSVFGGGKLTVL [SEQ ID NO.  
1]

>A3\_ntd\_L

CTGCCTGTGCTGACTCAGCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACITCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTTCTGTGCTTCTTGGGATGATGTTCTTTATGGATCTGTGTTCCG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 55]

**A10 clone**

>A10\_aa\_L

LPVLTQPPSASGTPGQRVTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYFCASWDDYYYGTIFCGGKLTVL [SEQ ID NO.  
2]

>A10\_ntd\_L

CTGCCTGTGCTGACTCAGCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACITCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTTCTGTGCTTCTTGGGATGATTATTATTATGGAATATTTTCCG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 56]

**Figure 4**

**A10 codon-optimised clone (induced modifications in the amino-acid sequence)**

&gt;A10\_optimised\_aa\_L

QSVLTQPPSASGTPGQRTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
 RFSGSKSGTSASLAISGLQSEDEADYYCASWDDYYYGTIFGGGTKLTVL [SEQ ID NO.  
 57]

&gt;A10\_optimised\_ntd\_L

CAGAGCGTCCTGACACAGCCTCCTAGTGCAAGCGGAACCCCTGGGCAGAGAGTGACCATTT  
 CTTGTAGCGGCAGCAGCAGTAACATCAAGTTCAACTCCGTGAATTGGTATCAGCAGCTGCC  
 CGGAAGTGTCTCTAAACTGCTGATCTACTCTAACAATCAGCGACCAAGTGGCGTCCCCGAC  
 CGGTTTCAGCGGCTCCAAGTCTGGGACCAGTGCCTCACTGGCTATCAGCGGGCTCCAGTCCG  
 AGGACGAAGCAGATTACTATTGCGCCAGCTGGGACGATTACTATTACGGCACCATTTTTCGG  
 CGGGGGAACAAAAGTACCGTCCTG [SEQ ID NO. 58]

**B6 clone**

&gt;B6\_aa\_L

QSVLTQPPSASGTPGQRTISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
 RFSGSKSGTSASLAISGLQSEDEADYYCASWDDYLRGTVFGGGTKLTVL [SEQ ID NO.  
 3]

&gt;B6\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
 CTTGTTCTGGAAGCAGCTCCAACATCAAAATTTAAGTGTAACTGGTATCAGCAACTCCC  
 AGGAACGGCCCCCAAAGTCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
 CGATTCTCTGGCTCCAAGTCTGGCACCTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
 AGGATGAGGCTGATTATTACTGTGCTTCTTGGGATGATTATCTTCGTGGAAGTGTTCGCG  
 CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 59]

**B6 codon-optimised clone (no modifications of the amino-acid sequence)**

&gt;B6\_optimised\_ntd\_L

CAGAGCGTGCTGACCCAGCCCCCAGCGCCAGTGGAACACCCGGACAGAGAGTGACCATCA  
 GTTGCTCAGGCAGCTCCTCTAACATTAAGTTCAACTCTGTGAATTGGTATCAGCAGCTGCC  
 CGGAAGTGTCTCTAAACTGCTGATCTATTCTAACAATCAGCGACCAAGTGGCGTCCCCGAC  
 CGGTTTCAGCGGCTCCAAGTCTGGGACCAGTGCCTCACTGGCTATTAGCGGGCTCCAGTCCG  
 AGGACGAAGCAGATTACTATTGTGCCAGCTGGGACGATTACCTGAGGGGCACCGTGTTTCGG  
 AGGAGGAACAAAAGTACCGTCCTG [SEQ ID NO. 60]

**Figure 4 (cont.)**

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**C4 clone**

&gt;C4\_aa\_L

QSVLTQPPSASGTPGQRTIISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCSAWDDYLHGTVFGGTKLTVL [SEQ ID NO.  
4]

&gt;C4\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTTCTGCTTGGGATGATTATCTTCATGGAAGTGTGTTCCG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 61]

**C4 codon-optimised clone (no modifications of the amino-acid sequence)**

&gt;C4\_optimised\_ntd\_L

CAGAGCGTCCTGACACAGCCCCCTTCCGCAAGTGGAACCCCTGGGCAGCGAGTGACTATTT  
CATGCAGTGGATCTTCATCTAACATCAAGTTCAACTCCGTGAATTGGTATCAGCAGCTGCC  
CGGAAGTGTCTCTAAACTGCTGATCTATTCTAACAATCAGCGACCAAGTGGCGTCCCCGAC  
CGGTTACAGCGGCTCCAAGTCTGGGACCAGTGCCTCACTGGCTATTAGCGGGCTCCAGTCCG  
AGGACGAAGCAGATTACTATTGCAGCGCCTGGGACGATTACCTGCACGGCACCGTGTTCGG  
AGGAGGAACAAAAGTACCGTCCTG [SEQ ID NO. 62]

**D4 clone**

&gt;D4\_aa\_L

QSVLTQPPSASGTPGQRTIISCSGSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCASWDDYVRGTMF'GGGTKLTVL [SEQ ID NO.  
5]

&gt;D4\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTGCTTCTTGGGATGATTATGTTCTGGAAGTATGTTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 63]

**Figure 4 (cont.)**

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**E1 clone**

&gt;E1\_aa\_L

QSVLTQPPSASGTPGQRVTISCSGSSSNIKFN SVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCSSWDDFLRGTVFGGGTKLTVL [SEQ ID NO.  
6]

&gt;E1\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTTCTTCTTGGGATGATTTTCTTCGTGGAAGTGTTCCTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 64]

**F2 clone**

&gt;F2\_aa\_L

QSVLTQPPSASGTPGQRVTISCSGSSSNIKFN SVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCSSWDDDDARGTIFGGGTKLTVL [SEQ ID NO.  
7]

&gt;F2\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTTCTTCTTGGGATGATGATGCTCGTGGAAGTATTTTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 65]

**Figure 4 (cont.)**

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**G1 clone**

&gt;G1\_aa\_L

QSVLTQPPSASGTPGQRTIISCSGSSSNIKFN SVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCAAWDDVYYGTIFGGGTKLTVL [SEQ ID NO.  
8]

&gt;G1\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTGCTGCTTGGGATGATGTTTATTATGGAAC TATTTTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 66]

**G2 clone**

&gt;G2\_aa\_L

QSVLTQPPSASGTPGQRTIISCSGSSSNIKFN SVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCASWDDSLYGT VFGGTKLTVL [SEQ ID NO.  
9]

&gt;G2\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTGCTTCTTGGGATGATTCTCTTTATGGAAC TGTTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 67]

**Figure 4 (cont.)**



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**G10 clone**

&gt;G10\_aa\_L

QSVLTQPPSASGTPGQRTIISCSGSSSNIKFN SVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCAAWDDAYYG TIFGGGTKLTVL [SEQ ID NO.  
10]

&gt;G10\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTGCTGCTTGGGATGATGCTTATTATGGAAC TATTTTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 68]

**H4 clone**

&gt;H4\_aa\_L

QSVLTQPPSASGTPGQRTIISCSGSSSNIKFN SVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCASWDDVYRGTVF'GGGTKLTVL [SEQ ID NO.  
11]

&gt;H4\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAACCTCCTCATCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTGCTTCTTGGGATGATGTTTATCGTGGAAC TGTTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 69]

**Figure 4 (cont.)**

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**H4 codon-optimised clone (no modifications of the amino-acid sequence)**

&gt;H4\_optimised\_ntd\_L

CAGAGCGTCCTGACACAGCCCCCAAGCGCAAGCGGAACCCCCGGCCAGCGAGTGACCATTA  
GTTGTAGTGGAAGTAGTAGTAACATCAAGTTCAACTCCGTGAATTGGTATCAGCAGCTGCC  
CGGAAGTGTCTCTAAACTGCTGATCTATTCTAACAATCAGCGACCAAGTGGCGTCCCCGAC  
CGGTTTCAGCGGCTCCAAGTCTGGGACCAGTGCCTCACTGGCTATTAGCGGGCTCCAGTCCG  
AGGACGAAGCAGATTACTATTGCGCCAGCTGGGACGATGTGTACAGGGGCACCGTCTTCGG  
CGGGGGAACAAAAGTACCGTCCTG [SEQ ID NO. 70]

**H9 clone**

&gt;H9\_aa\_L

QSVLTQPPSASGTPGQRTVISCSSSSNIKFNSVNWYQQLPGTAPKLLIYSNNQRPSGVDP  
RFSGSKSGTSASLAISGLQSEDEADYYCSSWDDSLYGTIFGGGTKLTVL [SEQ ID NO.  
12]

&gt;H9\_ntd\_L

CAGTCTGTGCTGACTCAGCCCCCTCAGCGTCCGGGACCCCCGGGCAGAGGGTCACCATCT  
CTTGTTCTGGAAGCAGCTCCAACATCAAATTTAACAGTGTTAACTGGTATCAGCAACTCCC  
AGGAACGGCCCCCAAAGTCTCTATAGTAATAATCAGCGACCCTCAGGGGTCCCTGAC  
CGATTCTCTGGCTCCAAGTCTGGCACTTCAGCCTCCCTGGCCATCAGTGGGCTCCAGTCTG  
AGGATGAGGCTGATTATTACTGTTCTTCTTGGGATGATTCTCTTTATGGAAGTATTTTCGG  
CGGAGGGACCAAGCTGACCGTCCTG [SEQ ID NO. 71]

**Figure 4 (cont.)**

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**Heavy chain variable domains****A3 clone**

&gt;A3\_aa\_H

QVQLVQSGGGVVPGRSLRLSCAASGFTFSSYGMHWVRQAPGKGLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGKDHWGQGTTVTVSS  
[SEQ ID NO. 13]

&gt;A3\_ntd\_H

CAGGTCCAGCTGGTGCAGTCCGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTGC  
TGGTCCTTATTATTATGGTAAGGATCATTTGGGGCCAAGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 72]

**A10 clone**

&gt;A10\_aa\_H

QVQLVQSGGGVVPGRSLRLSCAASGFTFSSYGMHWVRQAPGKGLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGKDVWGQGTTVTVSS  
[SEQ ID NO. 14]

&gt;A10\_ntd\_H

CAGGTCCAGCTGGTGCAGTCTGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTGC  
TGGTCCTTATTATTATGGTAAGGATGTTTGGGGCCAAGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 73]

**Figure 4 (cont.)**

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**A10 codon-optimised clone (induced modifications in the amino-acid sequence)**

&gt;A10\_optimised\_aa\_H

QVQLVESGGGVVQPGKSLRLSCAASGFTFSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGKDVWGQGTTVTVSS  
[SEQ ID NO. 74]

&gt;A10\_optimised\_ntd\_H

CAGGTGCAGCTGGTTCGAATCCGGGGGGGGGGTGGTGCAGCCTGGACGGTCACTGAGACTGA  
GTTGTGCCGCCTCTGGGTTTACTTTTCACTCCTATGGCATGCACTGGGTGAGGCAGGCTCC  
CGGCAAGGGGCTGGAGTGGGTGGCAGTCATCTTACGACGGCAGTAACAAGTACTATGCC  
GATAGCGTCAAAGGGCGGTTCACTATTTCAAGAGACAACAGCAAAAATACCCTGTACCTCC  
AGATGAACAGCCTGCGGGCCGAAGACACAGCTGTGTACTATTGCGCATCTGATCTGGGAGC  
CGGCCCTTACTATTACGGCAAGGATGTCTGGGGGCAGGGAACACAGTCACCGTCTCAAGC  
[SEQ ID NO. 75]

**B6 clone**

&gt;B6\_aa\_H

QVQLVESGGGVVQPGKSLRLSCAASGFTFSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDYGAGPYYYGMDVWGQGTTVTVSS  
[SEQ ID NO. 15]

&gt;B6\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATTATGGTGC  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 76]

**Figure 4 (cont.)**

**B6 codon-optimised clone (no modifications in the amino-acid sequence)**

&gt;B6\_optimised\_ntd\_H

CAGGTGCAGCTGGTGGAAAGCGGGGGGGCGTGGTGCAGCCTGGAAGGTCAGTACTGAGACTGT  
CTTG TGCCGCATCTGGGTTTACATTTAGCTCCTATGGCATGCACTGGGTGAGGCAGGCTCC  
CGGCAAGGGGCTGGAGTGGGTGGCAGTCATCTCTTACGACGGCAGTAACAAGTACTATGCC  
GATAGCGTCAAAGGGCGGTTCACTATTTCAAGAGACAACAGCAAAAATACCCTGTACCTCC  
AGATGAACAGCCTGCGGGCCGAAGACACAGCTGTGTACTATTGCGCATCTGATTACGGAGC  
CGGCCCTTACTATTACGGCATGGATGTCTGGGGGCAGGGAACCACAGTCACCGTCTCAAGC  
[SEQ ID NO. 77]

**C4 clone**

&gt;C4\_aa\_H

QVQLVESGGGVVQPG RSLRLSCAASGFTFS SYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGLDVWGQTTVTVSS  
[SEQ ID NO. 16]

&gt;C4\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTGC  
TGGTCCTTATTATTATGGTTTGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 78]

**C4 codon-optimised clone (no modifications in the amino-acid sequence)**

&gt;C4\_optimised\_ntd\_H

CAGGTGCAGCTGGTGGAAATCTGGGGGGGGGTCGTGCAGCCCGGACGGTCACTGAGACTGT  
CATGTGCCGCTTCAGGGTTTACTTTTAGCTCCTATGGCATGCACTGGGTGAGGCAGGCTCC  
CGGCAAGGGGCTGGAGTGGGTGGCAGTCATCTCTTACGACGGCAGTAACAAGTACTATGCC  
GATAGCGTCAAAGGGCGGTTCACTATTTCAAGAGACAACAGCAAAAATACCCTGTACCTCC  
AGATGAACAGCCTGCGGGCCGAAGACACAGCTGTGTACTATTGCGCATCTGATCTGGGAGC  
CGGCCCTTACTATTACGGCCTGGATGTCTGGGGGCAGGGAACCACAGTCACCGTCTCAAGC  
[SEQ ID NO. 79]

**Figure 4 (cont.)**

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**D4 clone**

&gt;D4\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTVTVSS  
[SEQ ID NO. 17]

&gt;D4\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTG  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 80]

**E1 clone**

&gt;E1\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTVTVSS  
[SEQ ID NO. 18]

&gt;E1\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTG  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 81]

**Figure 4 (cont.)**

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**F2 clone**

&gt;F2\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTVTVSS  
[SEQ ID NO. 19]

&gt;F2\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTG  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 82]

**G1 clone**

&gt;G1\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTVTVSS  
[SEQ ID NO. 20]

&gt;G1\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTG  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 83]

**Figure 4 (cont.)**

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**G2 clone**

&gt;G2\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGMDVWGQGTTVTVSS  
[SEQ ID NO. 21]

&gt;G2\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTG  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 84]

**G10 clone**

&gt;G10\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSYGMHWVRQAPGKLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDYGAGPYYYGMDVWGQGTTVTVSS  
[SEQ ID NO. 22]

&gt;G10\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATTATGGTG  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 85]

**Figure 4 (cont.)**



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**H4 clone**

&gt;H4\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSSYGMHWVRQAPGKGLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGSGYYLYGMDVWGQTTVTVSS  
[SEQ ID NO. 23]

&gt;H4\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTTC  
TGGTTATTATCTTTATGGTATGGACGCTCTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 86]

**H4 codon-optimised clone (no modifications in the amino-acid sequence)**

&gt;H4\_optimised\_ntd\_H

CAGGTGCAGCTGGTGGAGAGCGGGGGGGGGTGGTGCAGCCTGGACGGTCACTGAGACTGA  
GTTGCGCCGCATCTGGATTACATTTAGCTCCTACGGCATGCACTGGGTGAGGCAGGCACC  
CGGCAAGGGGCTGGAGTGGGTGGCCGTCATCTCTTATGACGGCAGTAACAAGTACTATGCT  
GATAGCGTCAAAGGGCGGTTCACTATTTCAAGAGACAACAGCAAAAATACCCTGTACCTCC  
AGATGAATAGCCTGCGGGCCGAAGACACAGCTGTGTACTATTGCGCCTCCGATCTGGGATC  
TGGCTACTATCTGTATGGCATGGATGTCTGGGGGCAGGGAACCACAGTCACCGTCTCAAGC  
[SEQ ID NO. 87]

**H9 clone**

&gt;H9\_aa\_H

QVQLVESGGGVVQPGRSLRLSCAASGFTFSSYGMHWVRQAPGKGLEWVAVISYDGSNKYYA  
DSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCASDLGAGPYYYGMDVWGQTTVTVSS  
[SEQ ID NO. 24]

&gt;H9\_ntd\_H

CAGGTCCAGCTGGTAGAGTCCGGGGGAGGCGTGGTCCAGCCTGGGCGGTCCCTGAGACTCT  
CCTGTGCAGCCTCTGGATTACCTTCAGTAGCTATGGCATGCACTGGGTCCGCCAGGCTCC  
AGGCAAGGGGCTGGAGTGGGTGGCAGTTATATCATATGATGGAAGTAATAAATACTACGCA  
GACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACGCTGTATCTGC  
AAATGAACAGCCTGAGAGCCGAGGACACGGCTGTGTATTACTGTGCGAGTGATCTTGGTGC  
TGGTCCTTATTATTATGGTATGGATGTTTGGGGCCAAGGGACCACGGTCACCGTCTCAAGC  
[SEQ ID NO. 88]

**Figure 4 (cont.)**

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Affinity

	<b>K<sub>D</sub> (nM)</b>
<b>A3</b>	0.20
<b>A10</b>	0.08
<b>B6</b>	0.26
<b>C4</b>	0.40
<b>D4</b>	0.22
<b>E1</b>	0.21
<b>F2</b>	0.11
<b>G1</b>	0.10
<b>G2</b>	0.10
<b>G10</b>	0.27
<b>H4</b>	0.27
<b>H9</b>	ND
<b>nivolumab</b>	1.9
<b>lambrolizumab</b>	0.5

Figure 5

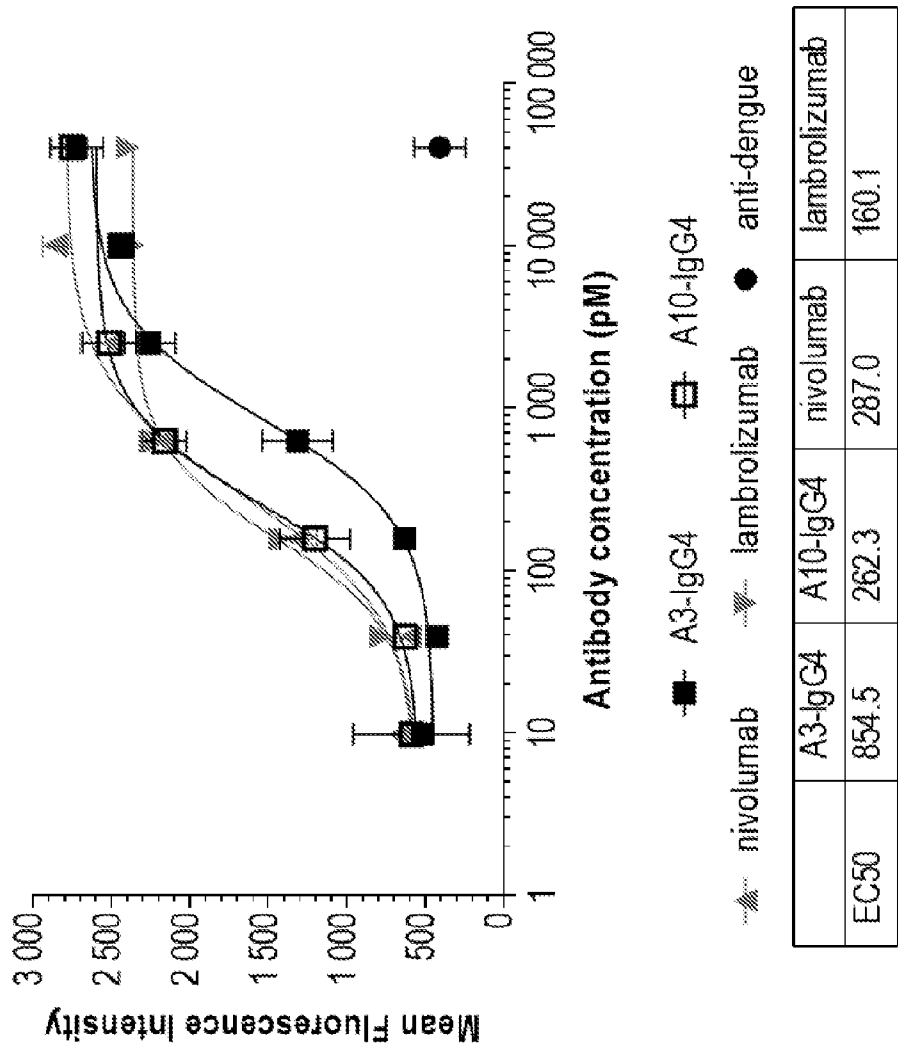


Figure 6

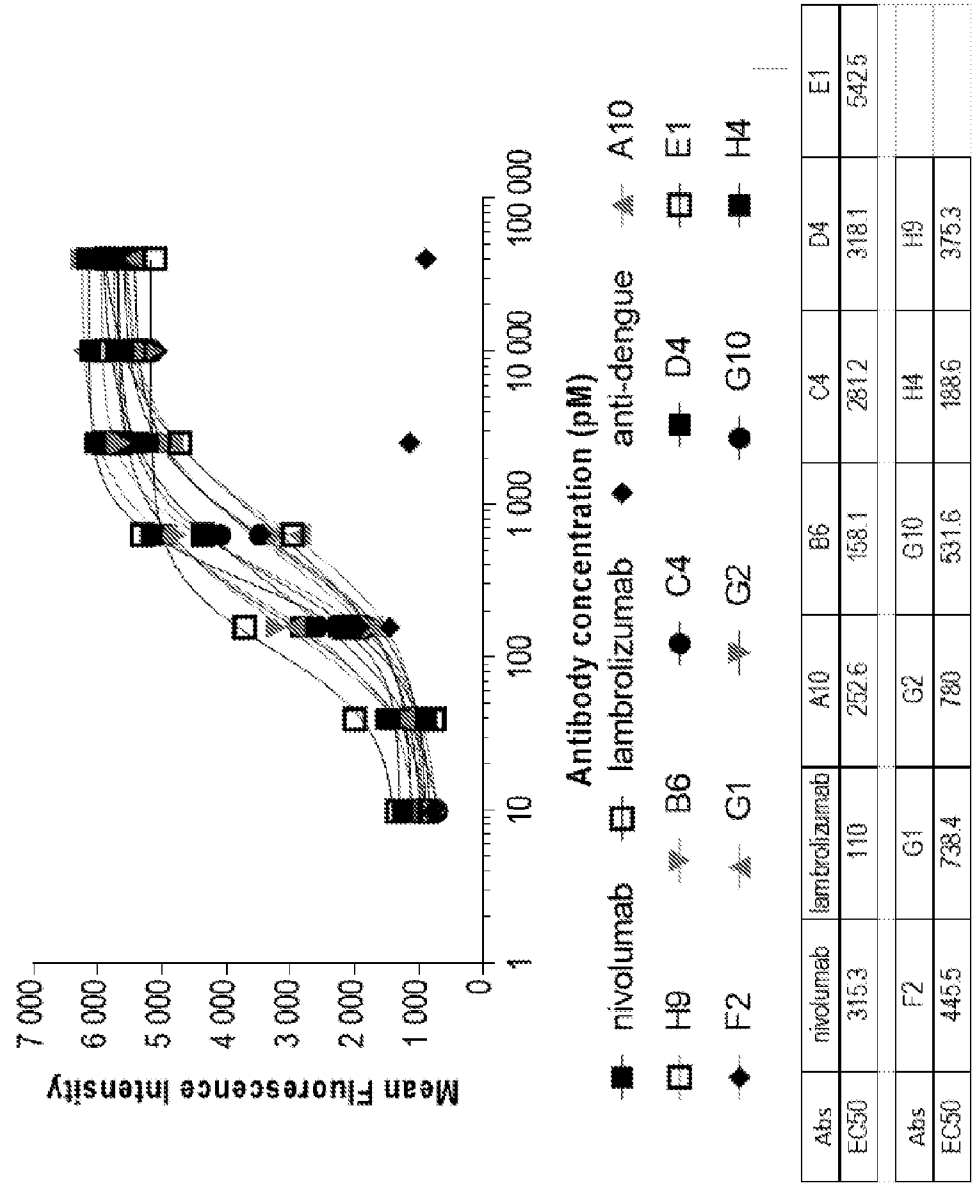


Figure 7

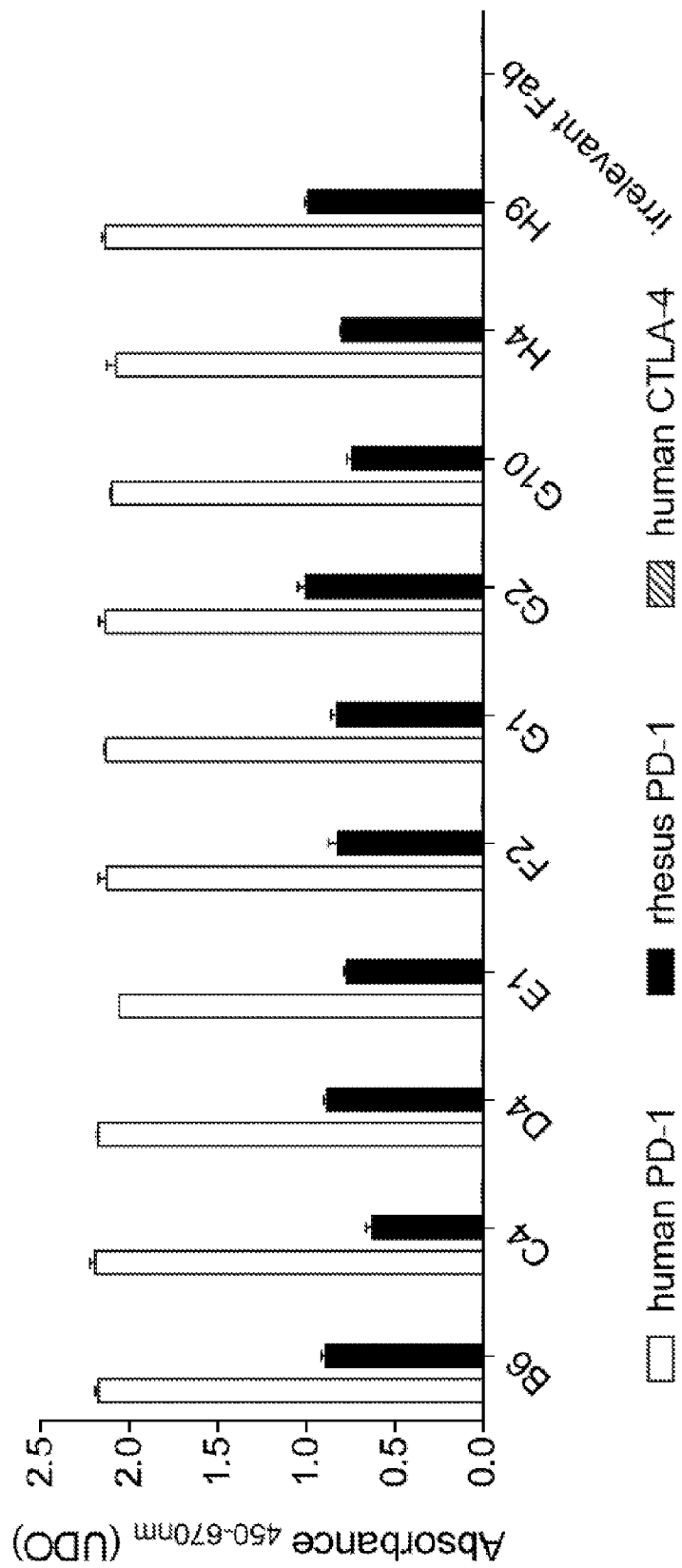


Figure 8

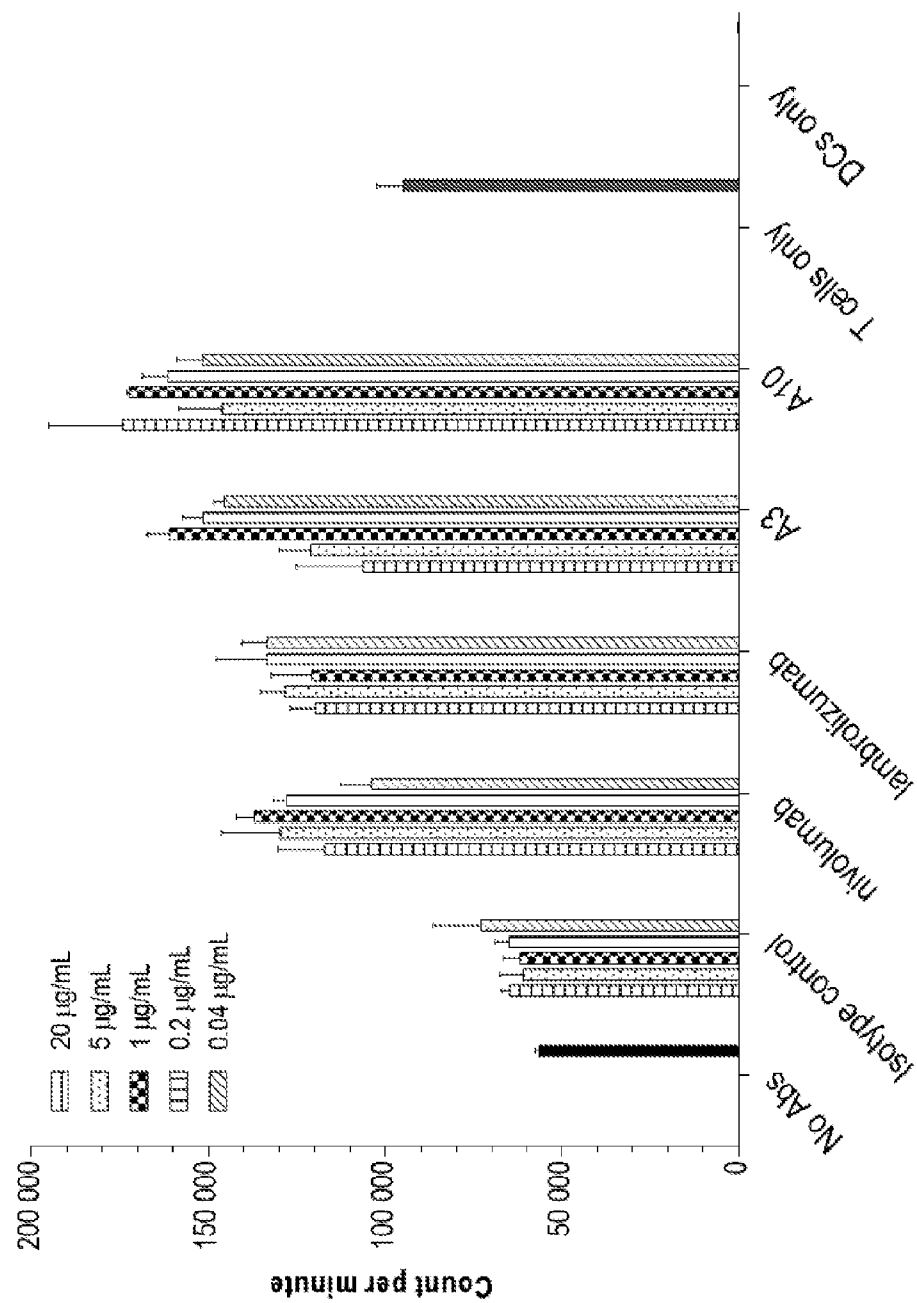


Figure 9

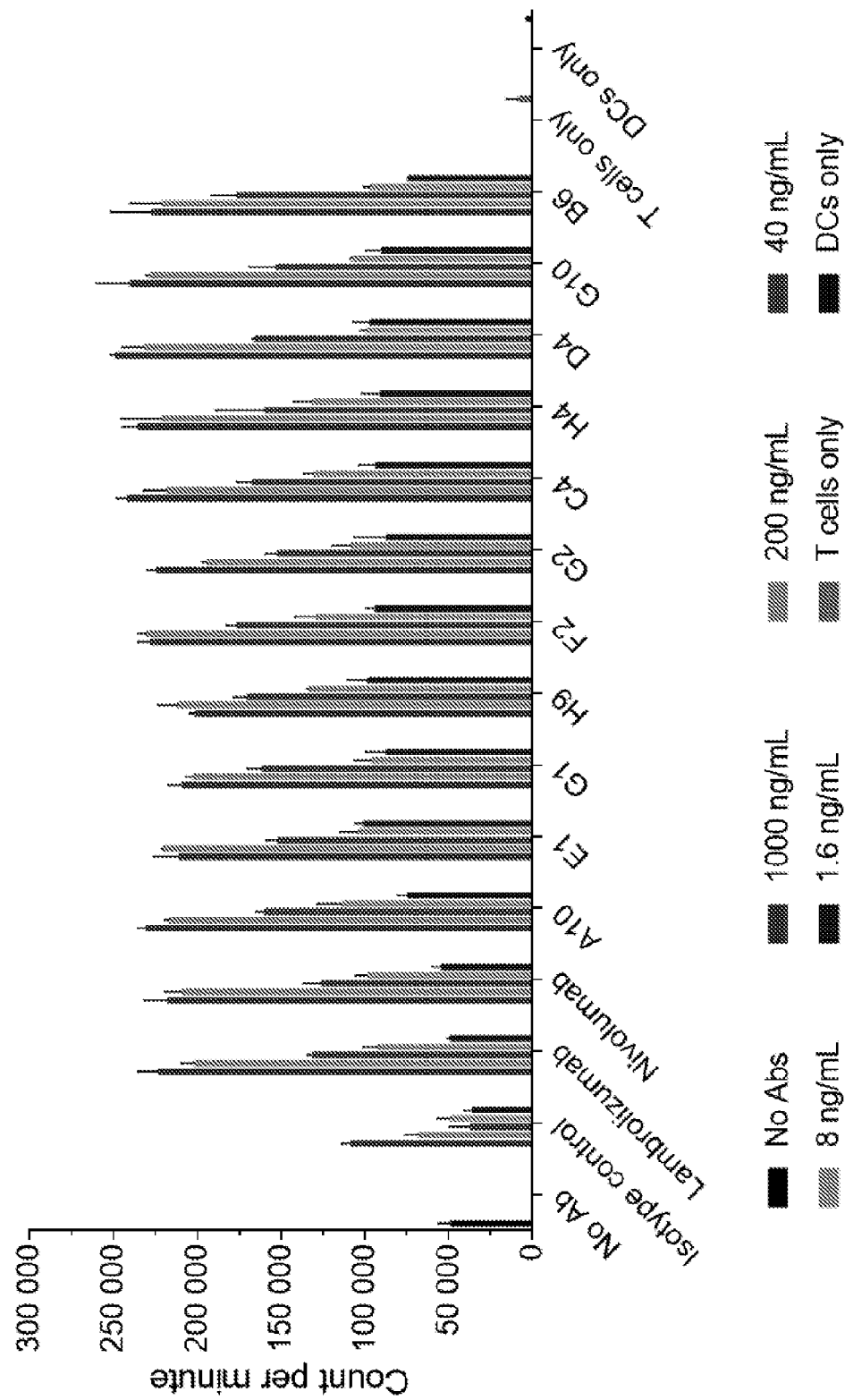


Figure 10

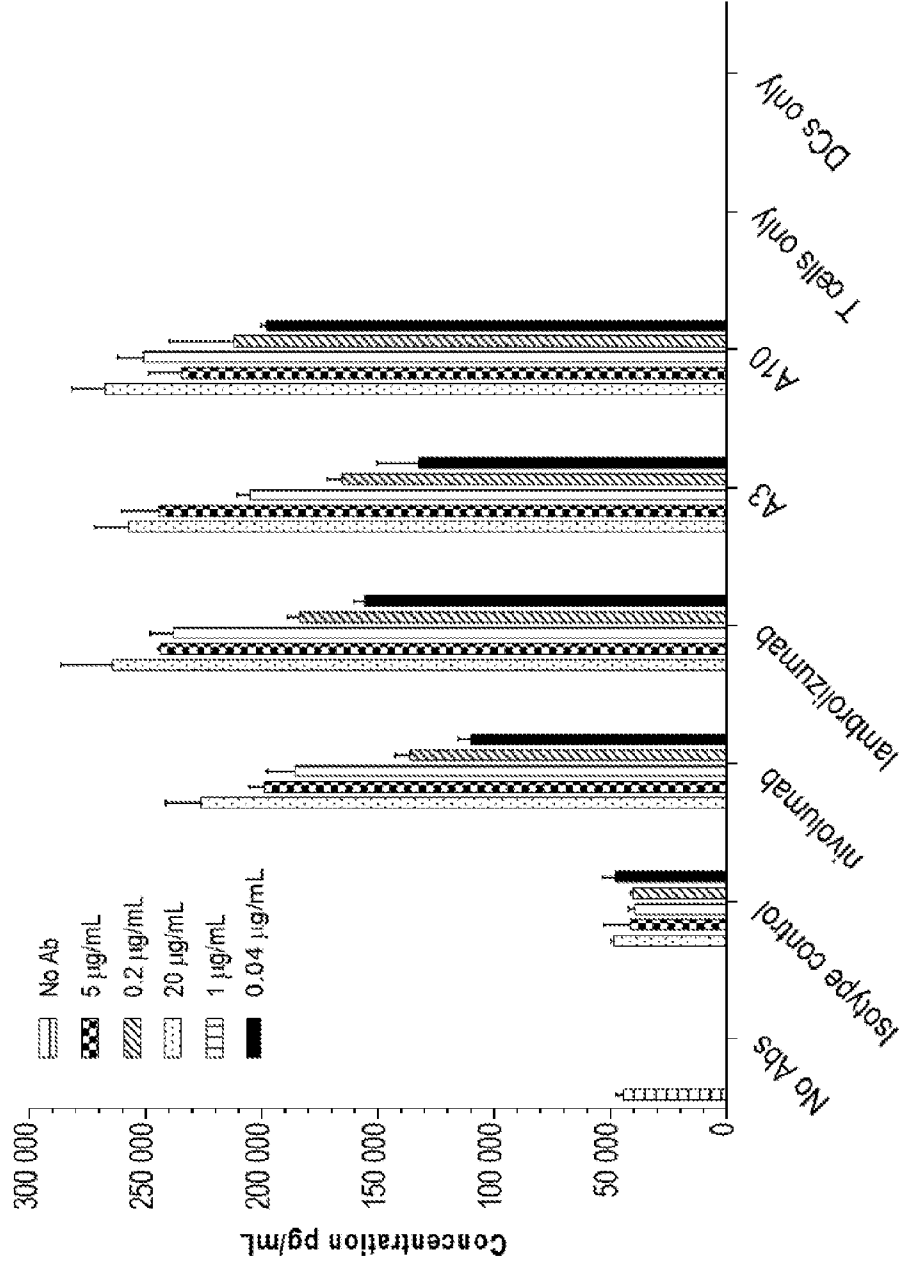


Figure 11



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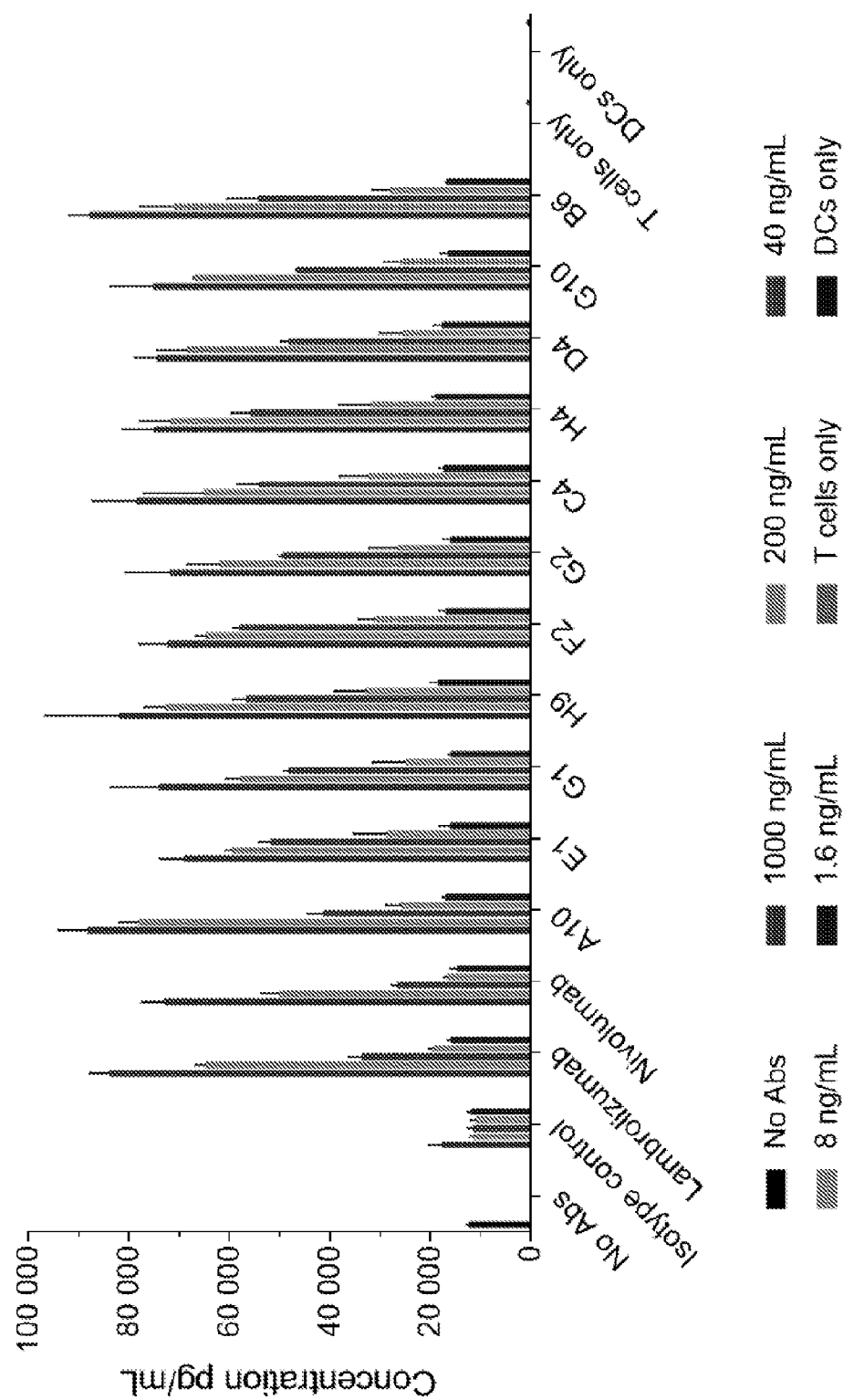


Figure 12

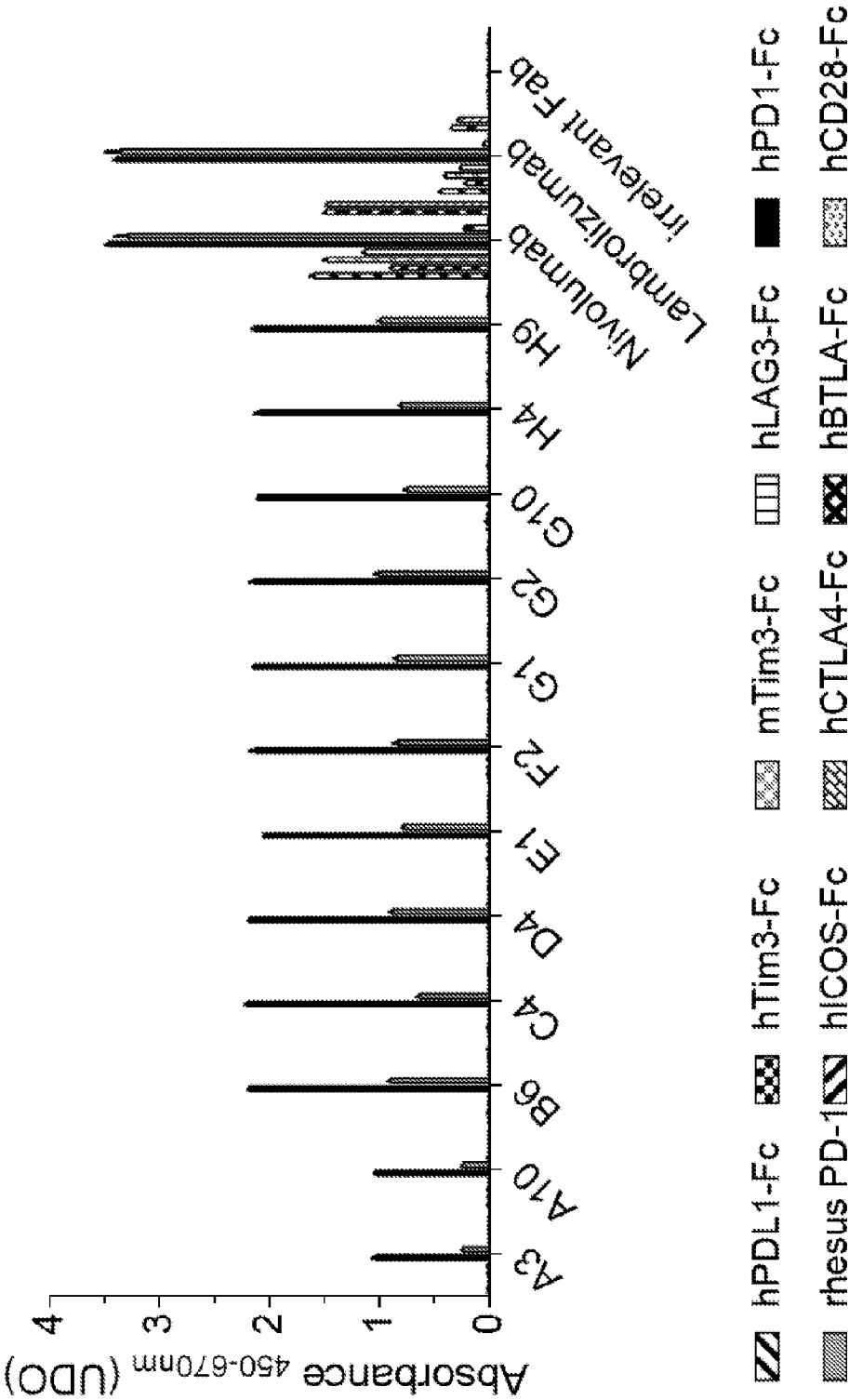


Figure 13

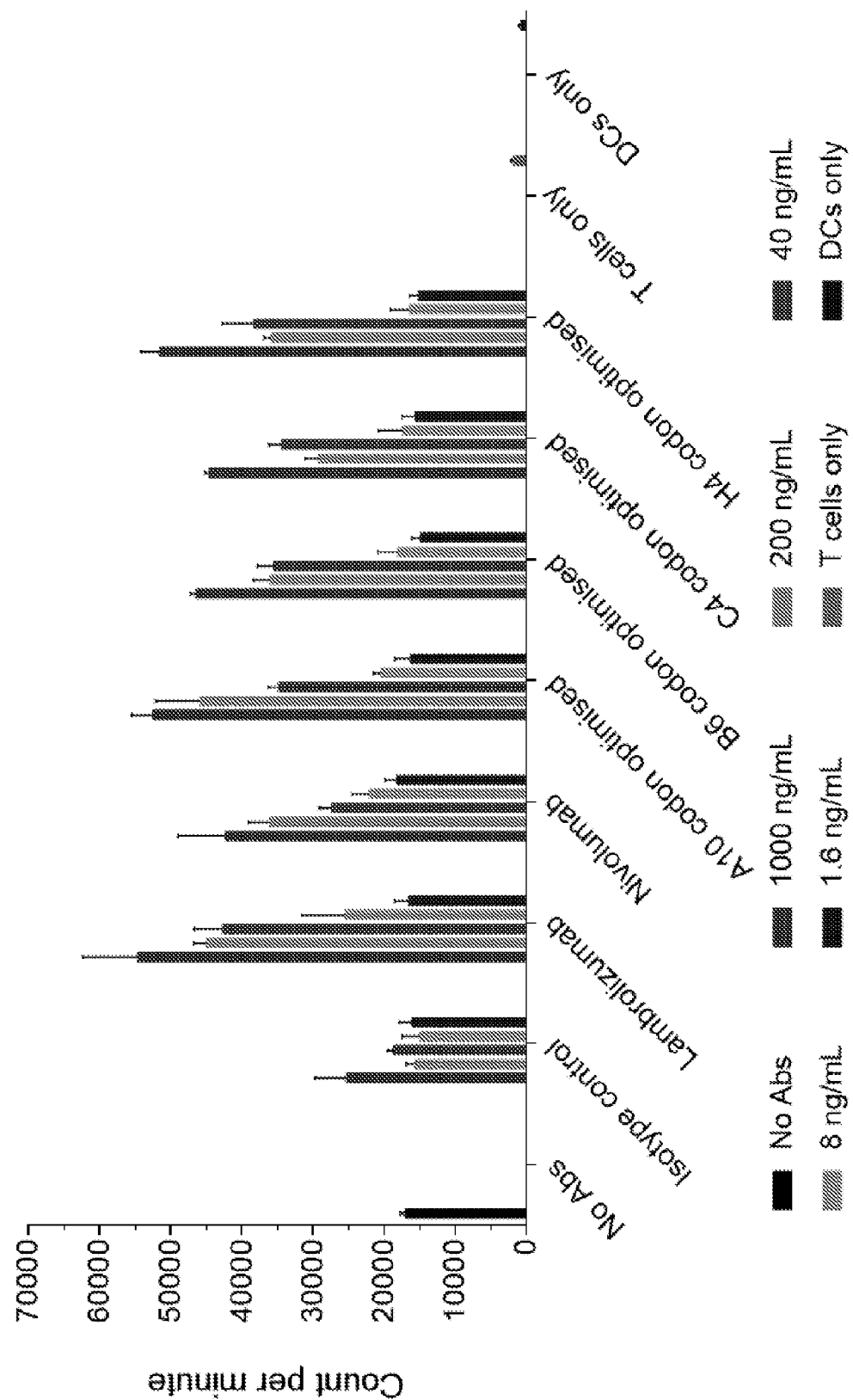


Figure 14

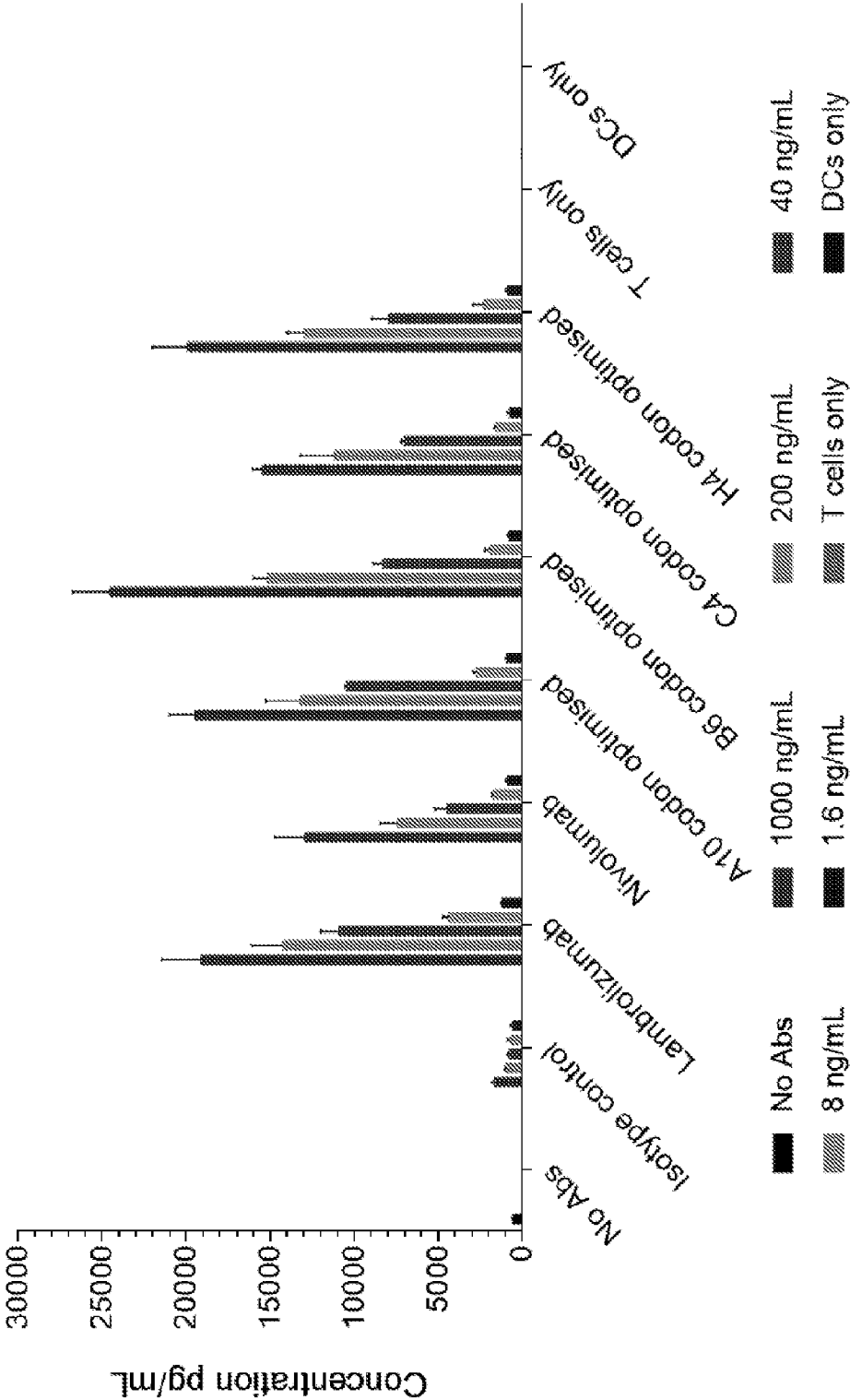


Figure 15

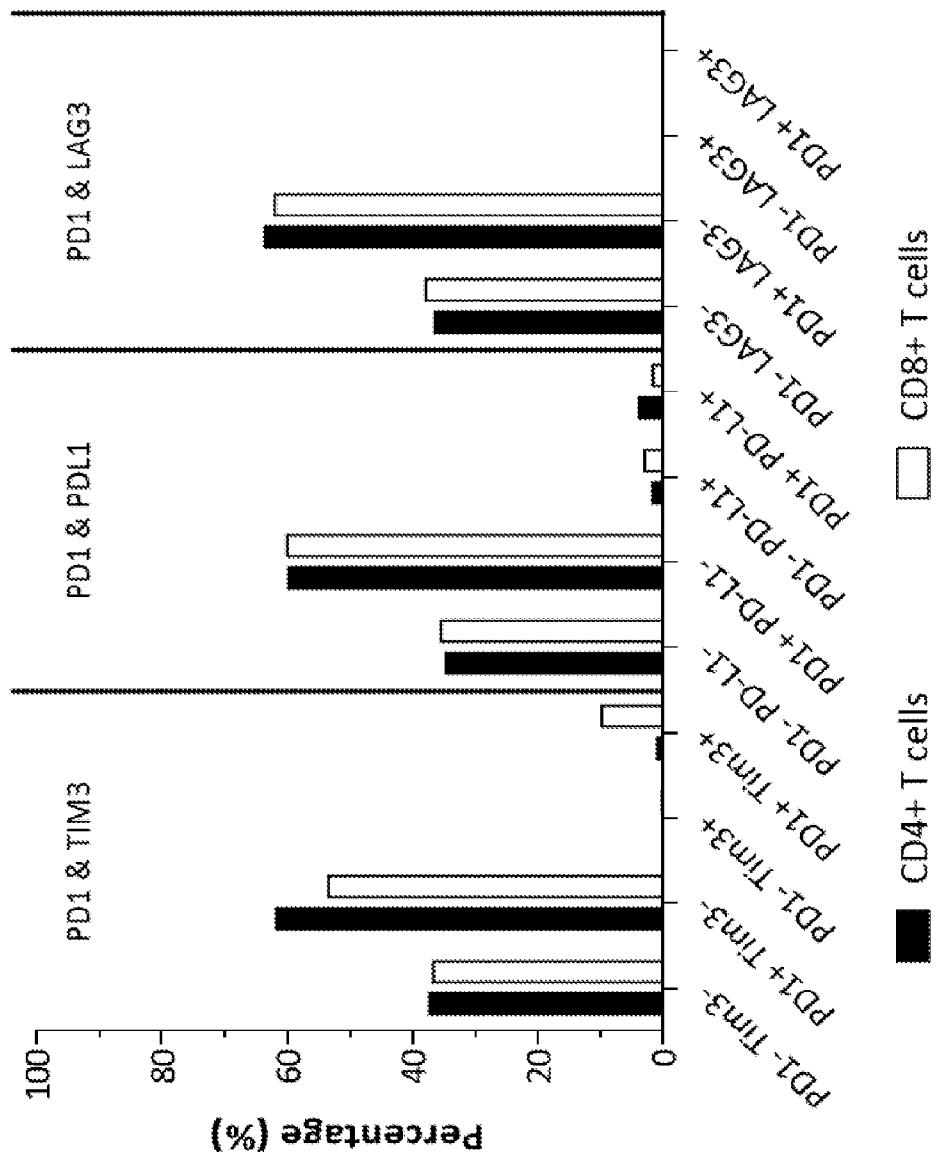


Figure 16

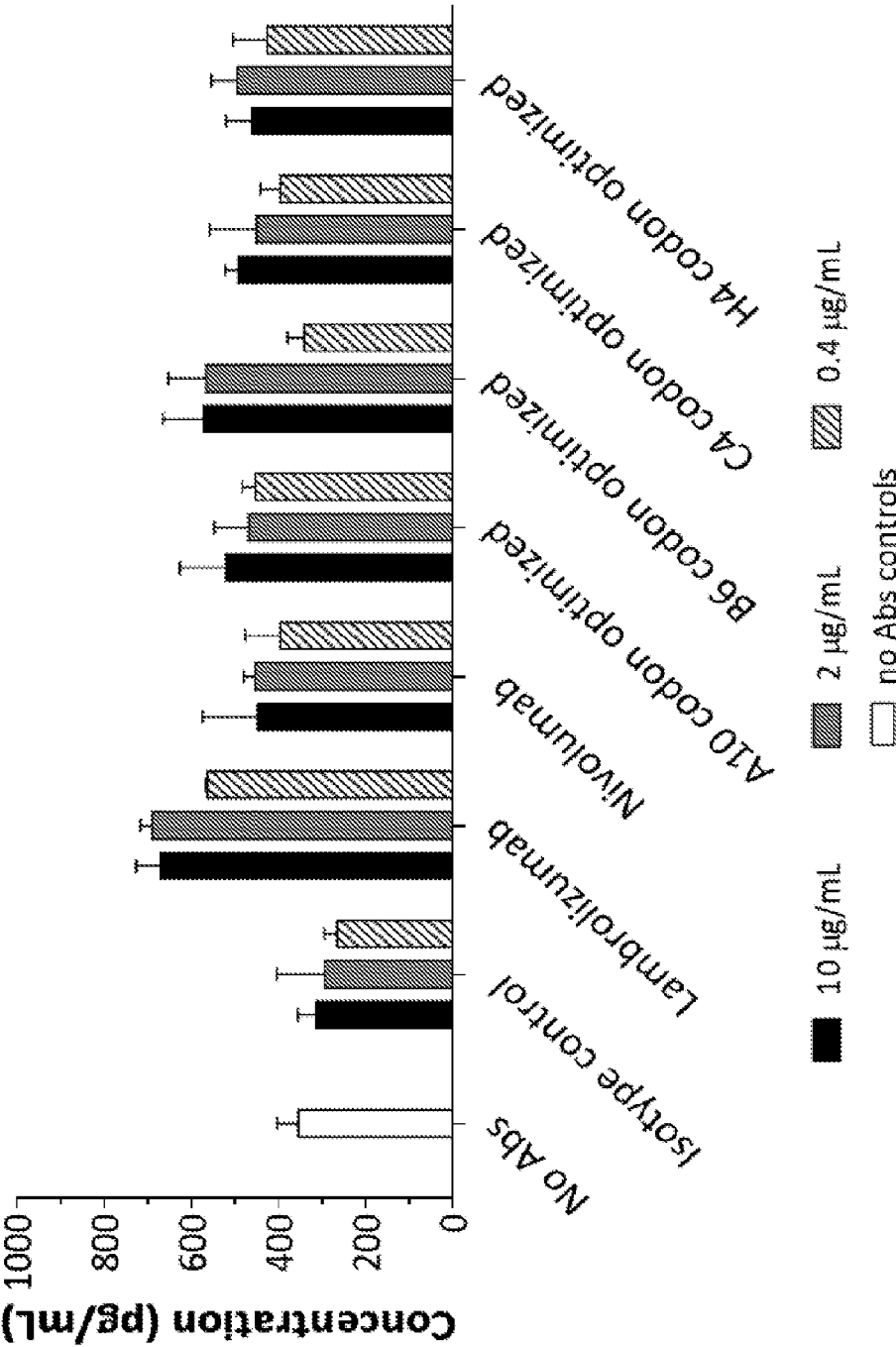


Figure 17A

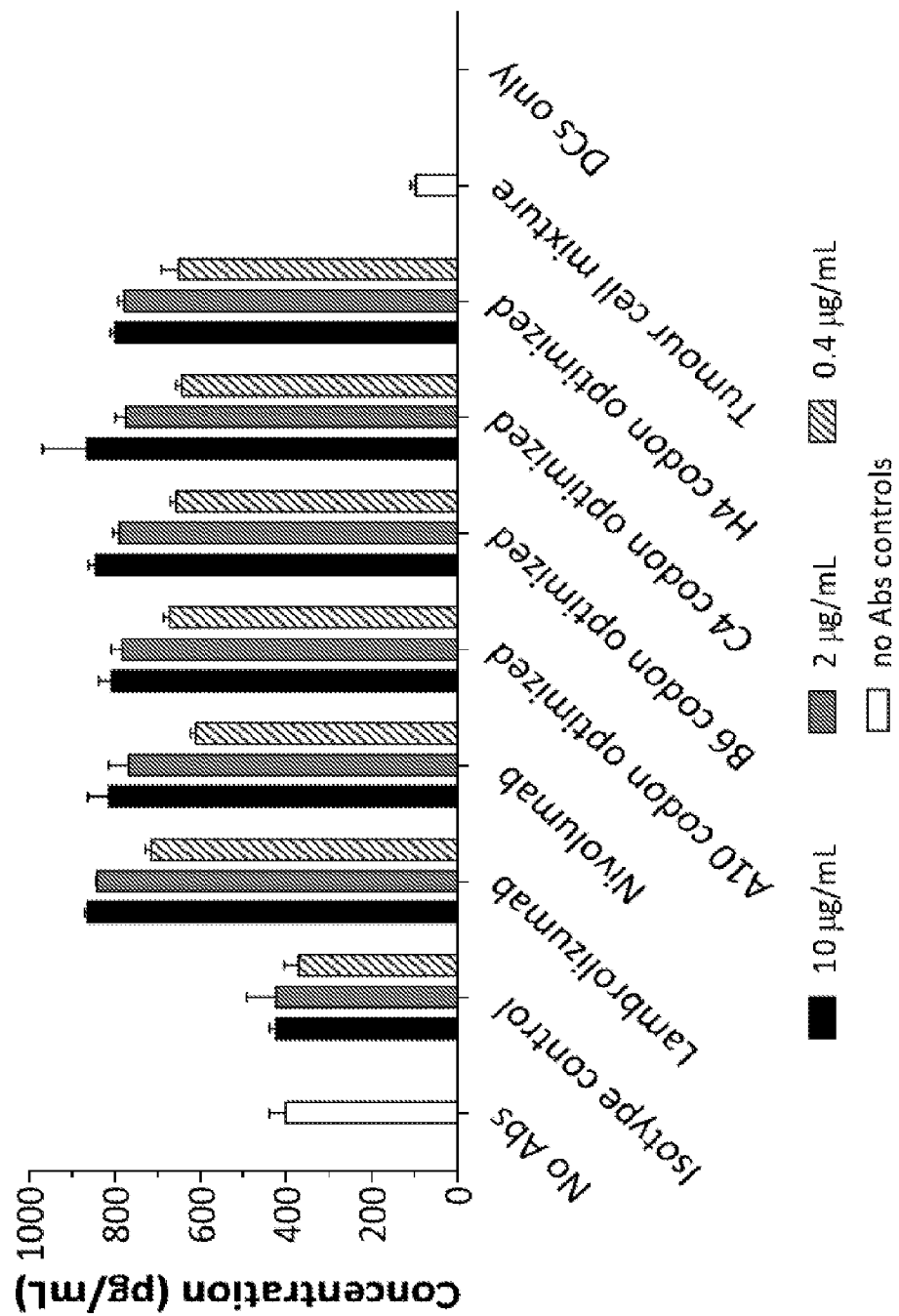


Figure 17B

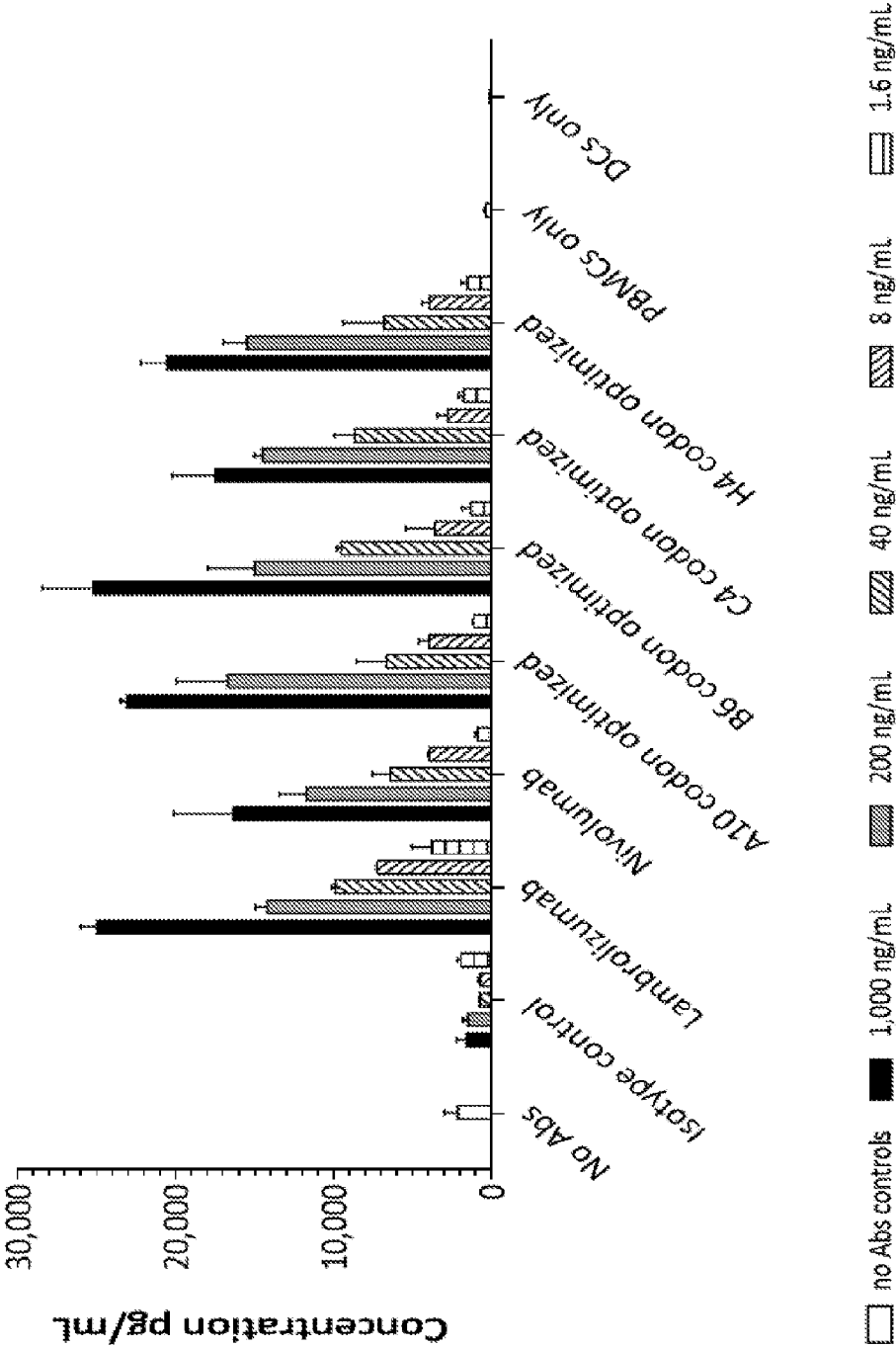


Figure 18



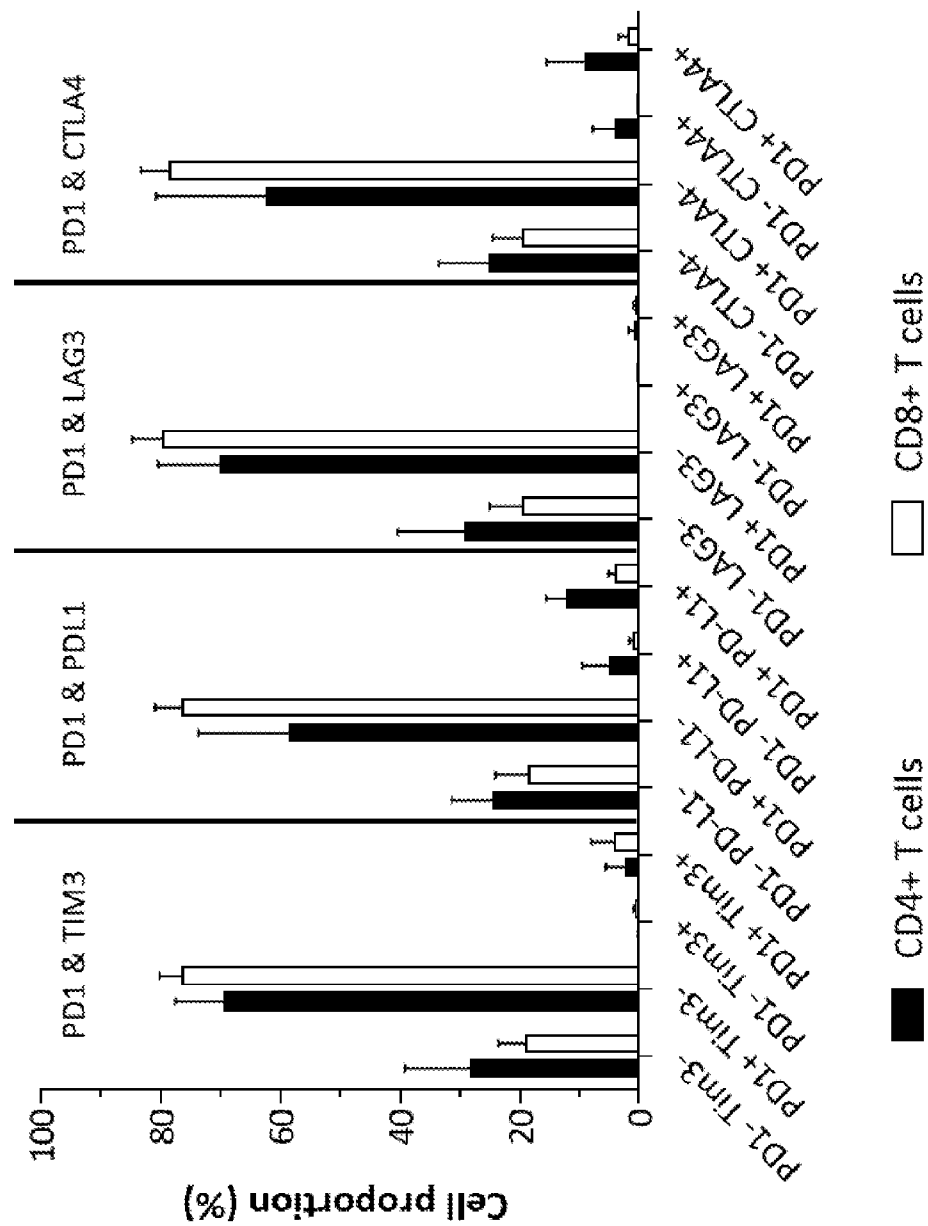


Figure 19

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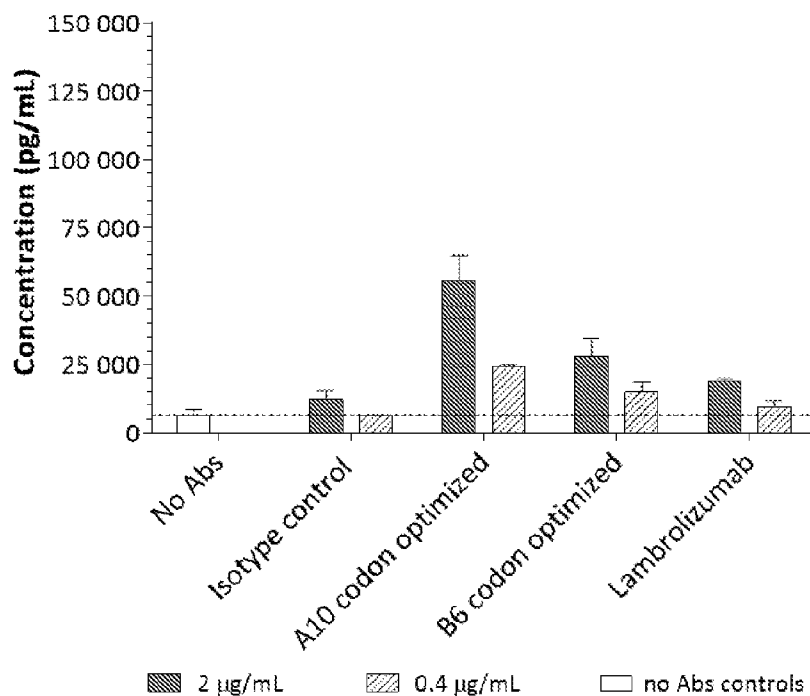


Figure 20A

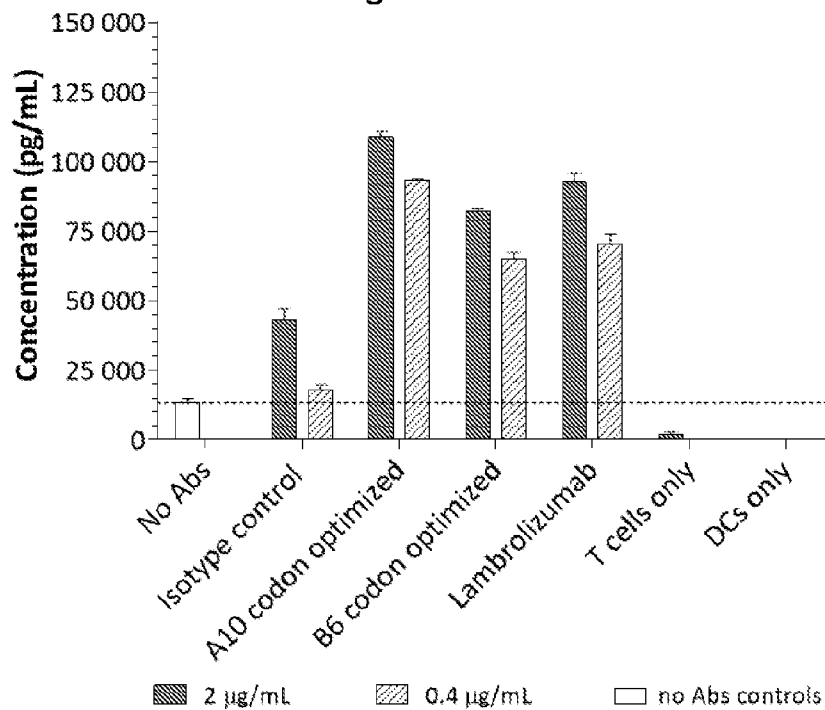
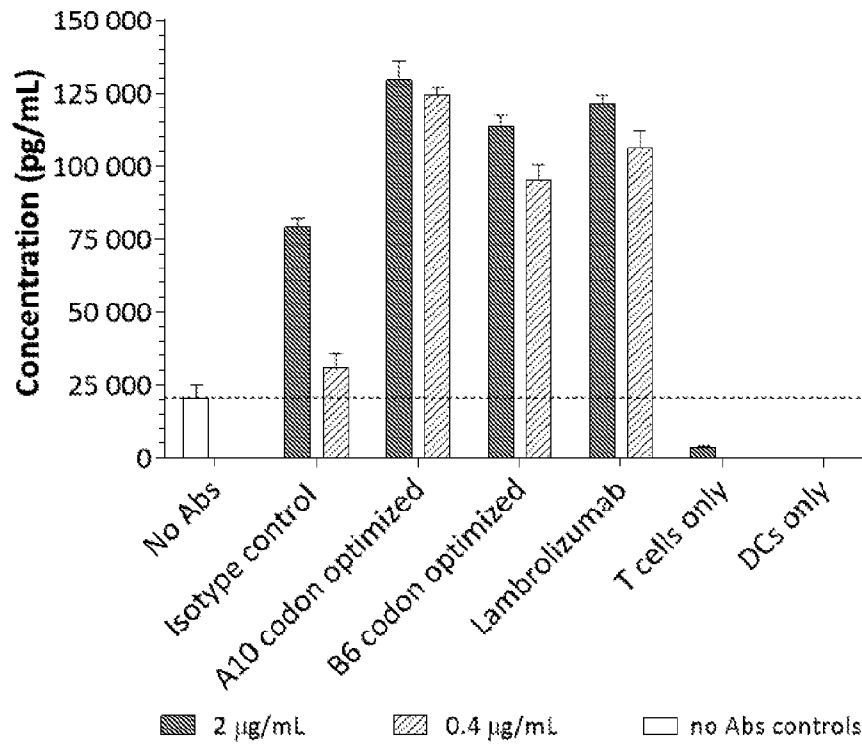
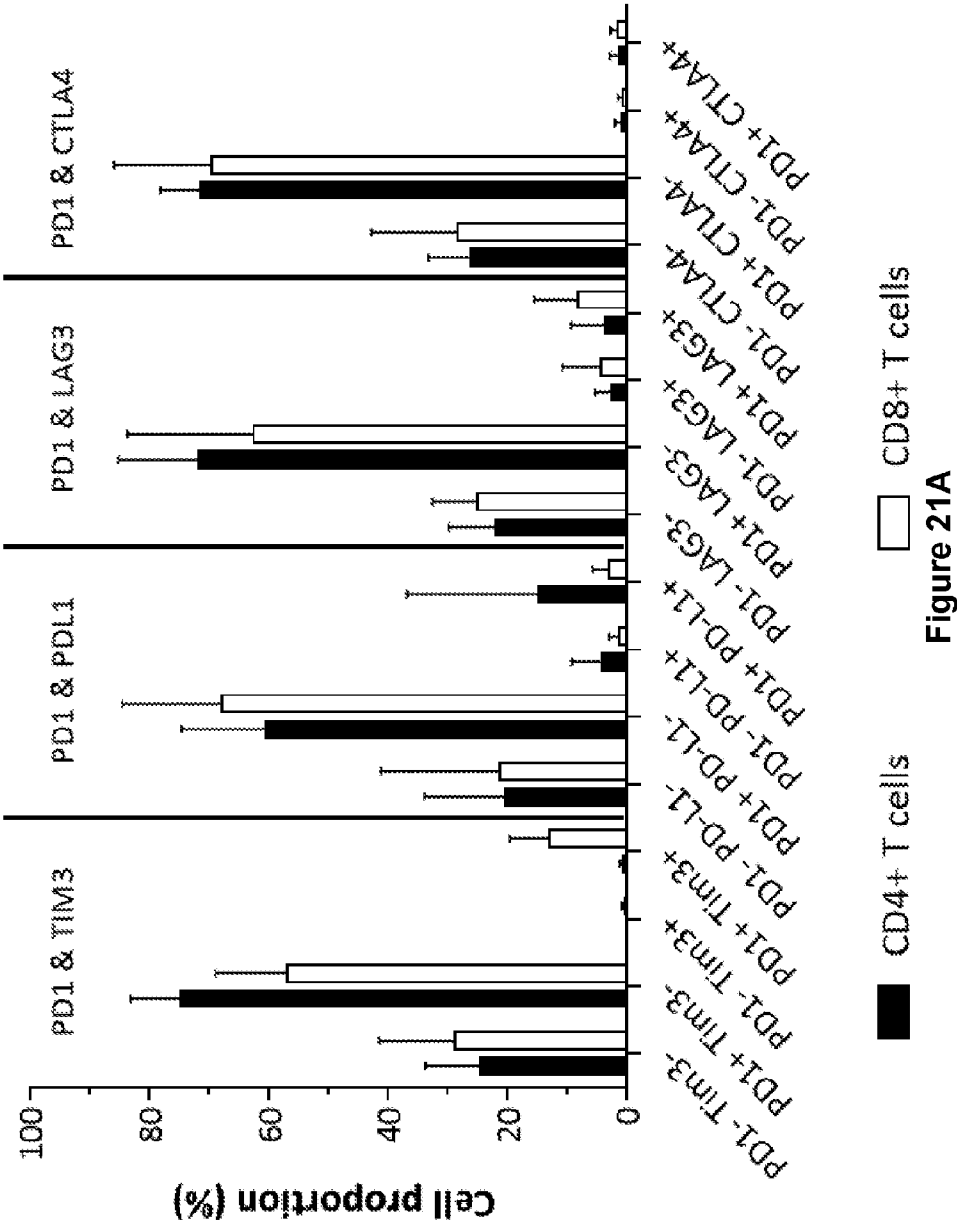


Figure 20B

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**Figure 20C**



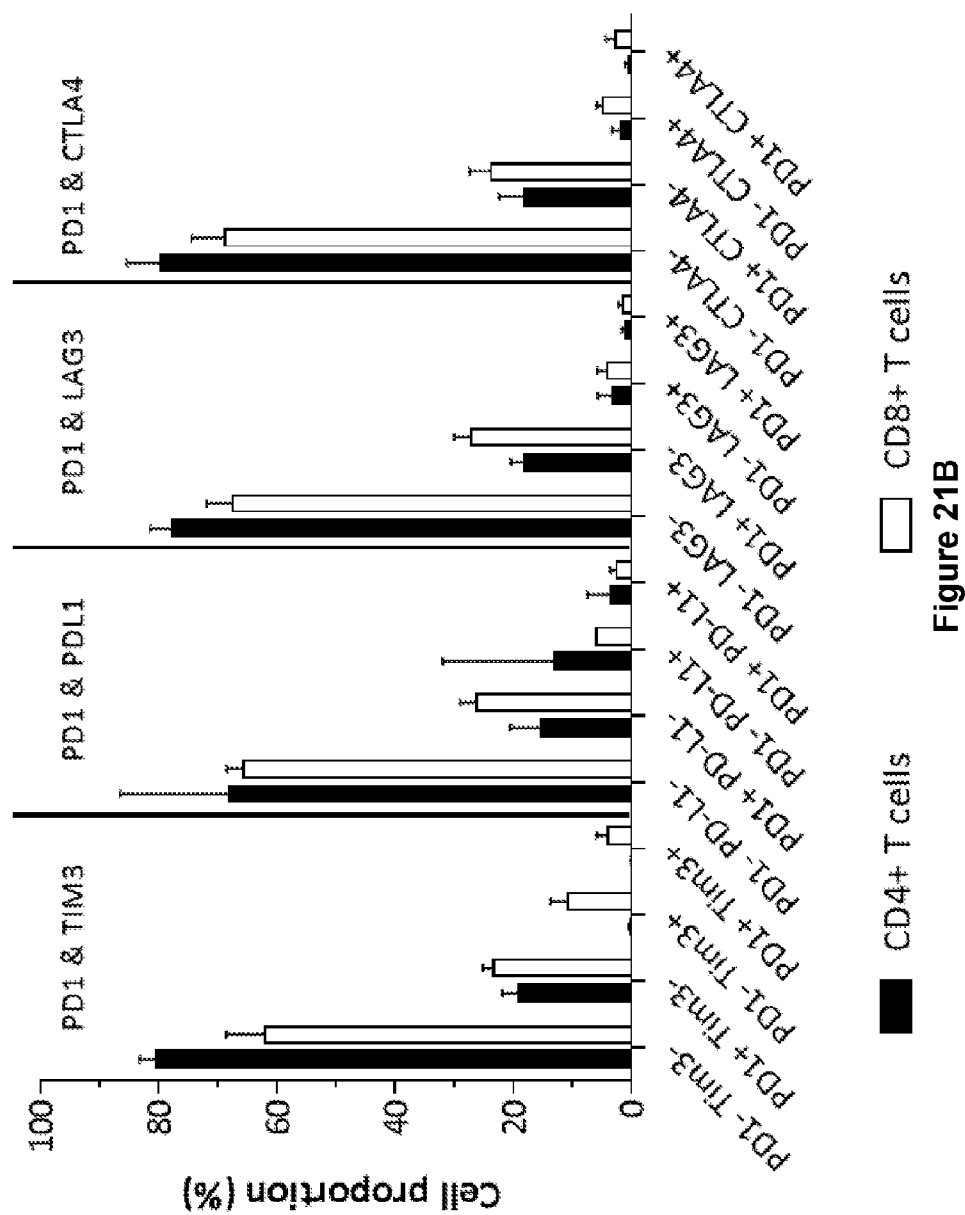


Figure 21B

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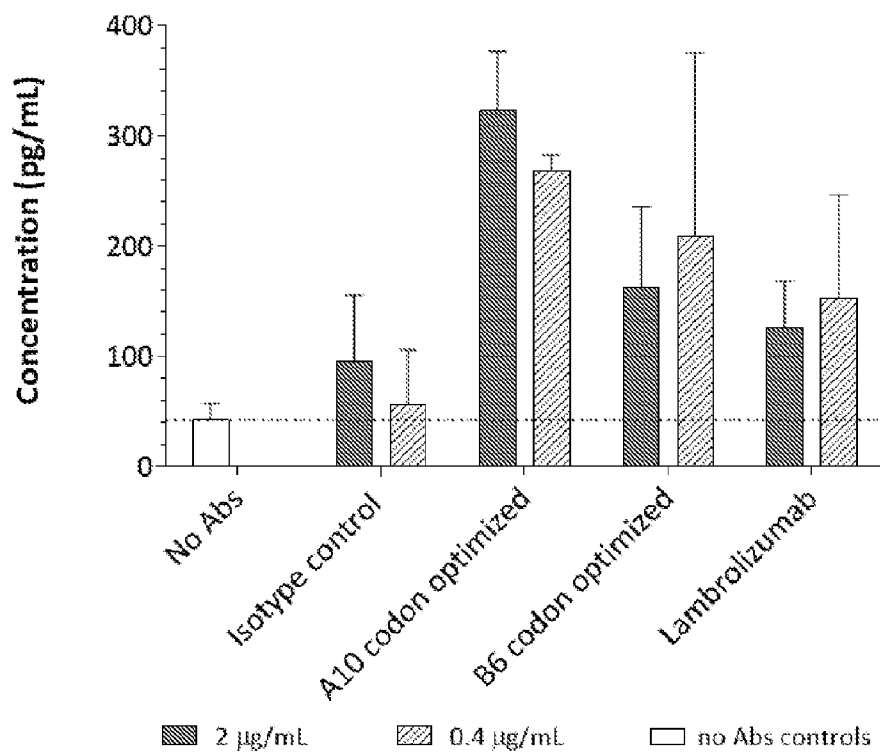


Figure 22A

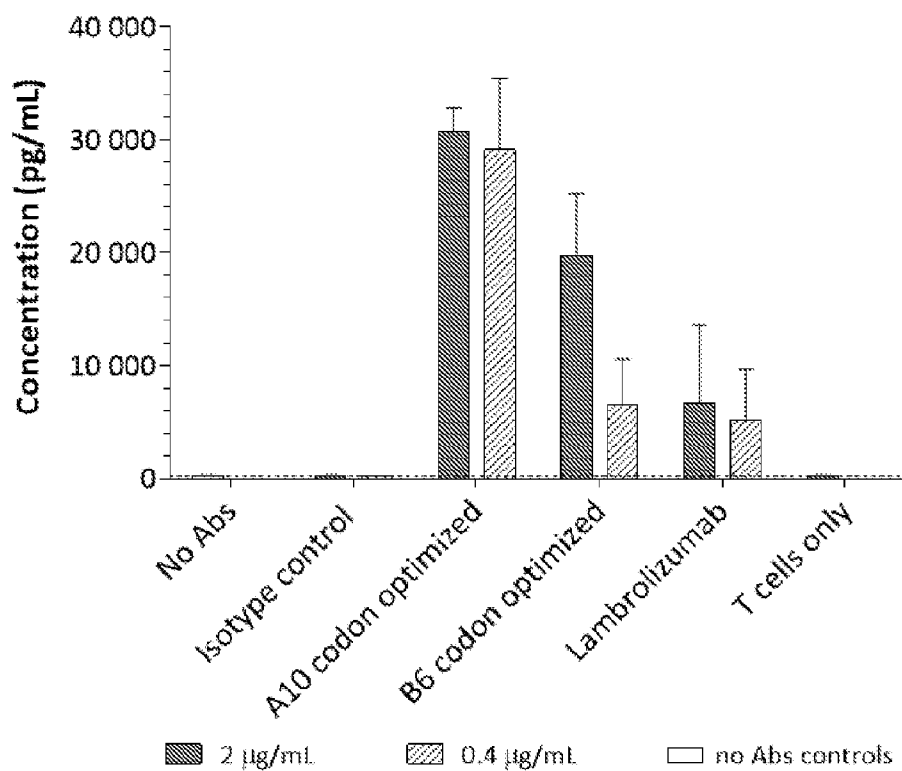
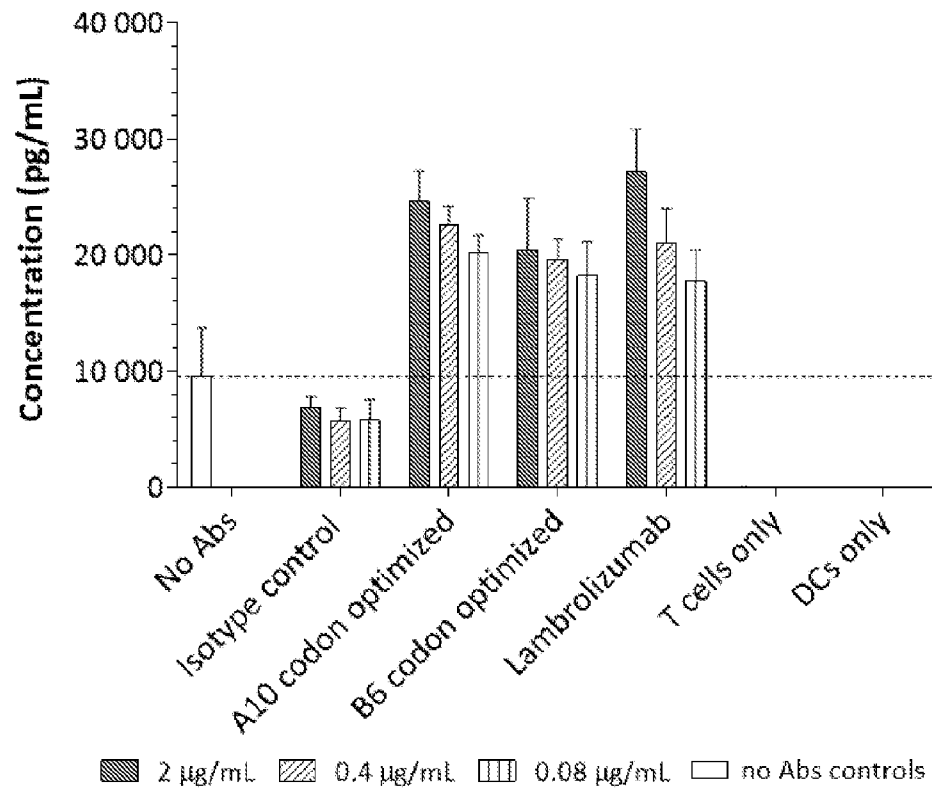
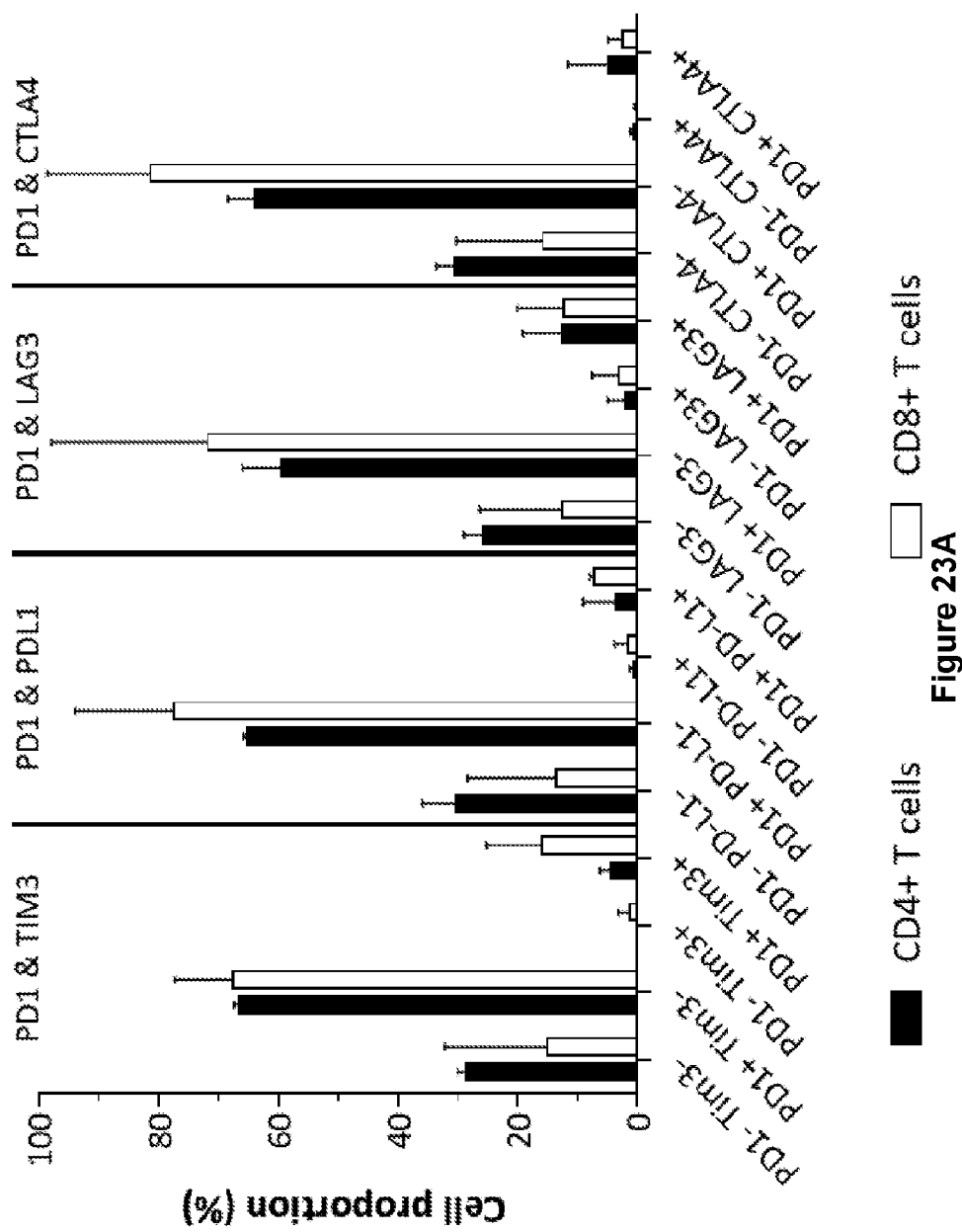


Figure 22B

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**Figure 22C**





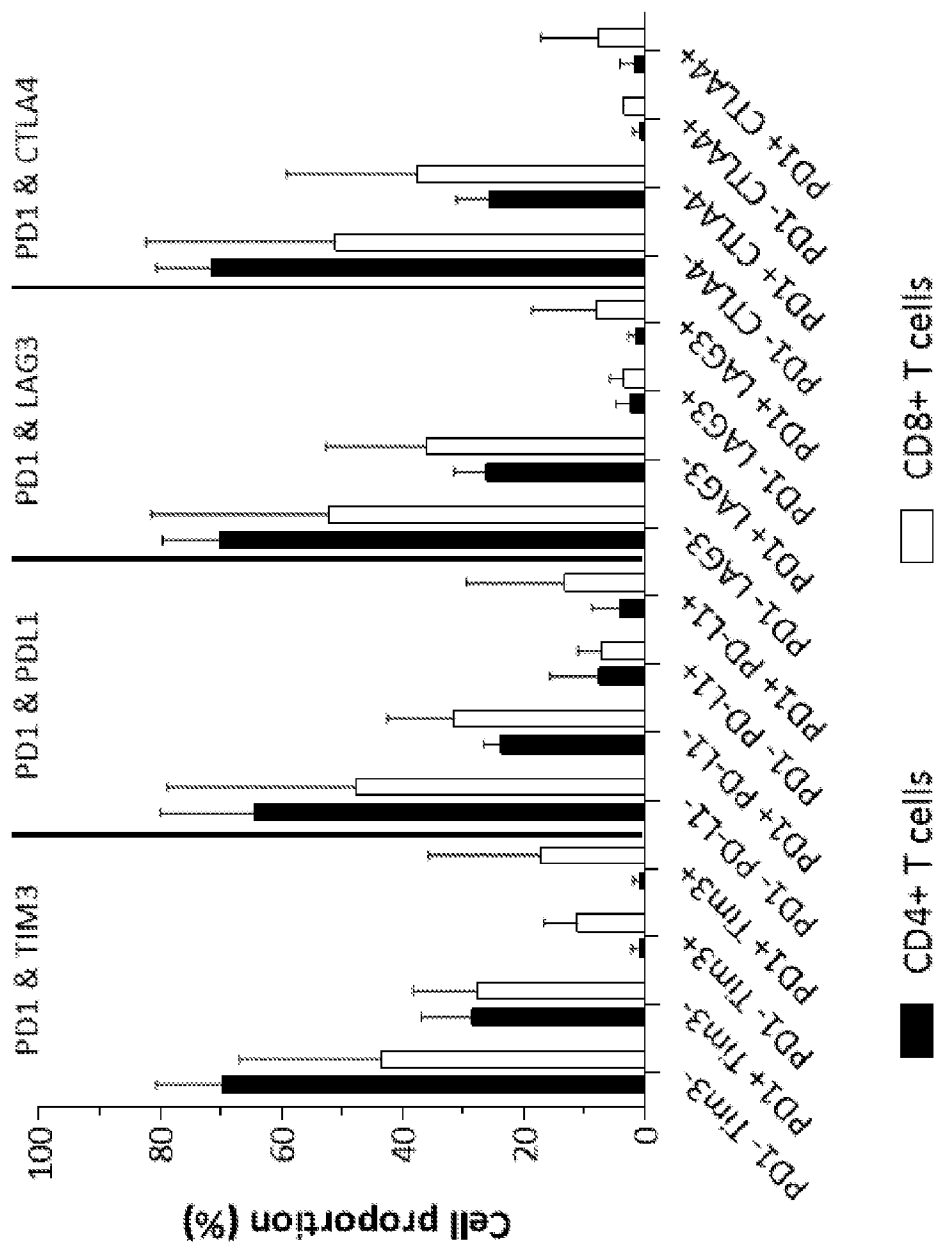
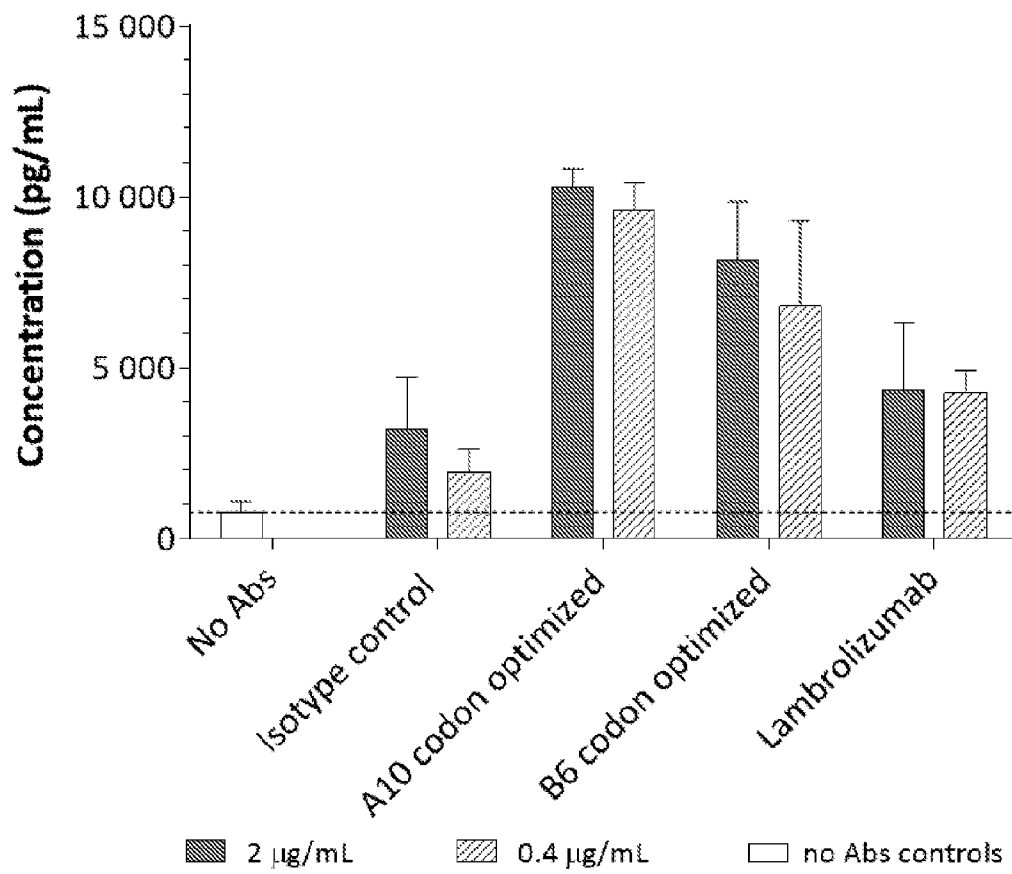


Figure 23B

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**Figure 24**

# INTERNATIONAL SEARCH REPORT

International application No.

**PCT/SG2015/050413**

## A. CLASSIFICATION OF SUBJECT MATTER

**C07K 16/28 (2006.01) A61K 39/395 (2006.01) A61P 31/00 (2006.01) A61K 35/00 (2006.01)**

According to International Patent Classification (IPC)

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

FAMPAT, EMBASE, BIOSIS, MEDLINE: PD-1, programmed cell death 1, CD279, antibody, and similar terms

REGISTRY: SEQ ID NO: 25, 26, 53, 89, 39, 40, 54

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2006/121168 A1 (ONO PHARMACEUTICAL CO., LTD. ET AL.) 16 November 2006 Pages 21-29	1-62
X Y	WO 2008/156712 A1 (N.V.ORGANON) 24 December 2008 Pages 6-10	1-37, 40-62 38-39
X Y	WO 2004/056875 A1 (WYETH ET AL.) 8 July 2004 Paragraphs [042] – [045], [0106]-[0108] Tables 1-4	1-37, 40-62 38-39

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\*Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search  
09/12/2015 (day/month/year)

Date of mailing of the international search report  
11/12/2015 (day/month/year)

Name and mailing address of the ISA/SG  
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IPOS Customer Service Tel. No.: (+65) 6339 8616

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SG2015/050413

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	CHU F ET AL, Anti-PD-1 antibodies for the treatment of B-cell lymphoma. <i>Oncoimmunology</i> , 14 February 2014, Vol. 3, No. 3, pages e28101 [Retrieved on 2015-12-07] (DOI:10.4161/ONCI.28101) Whole document	1-37, 40-62 38-39
X Y	BERGER R ET AL, Phase I safety and pharmacokinetic study of CT-011, a humanized antibody interacting with PD-1, in patients with advanced hematologic malignancies. <i>Clin Cancer Res</i> , 15 May 2008, Vol. 14, No. 10, pages 3044-3051 [Retrieved on 2015-12-07] (DOI:10.1158/1078-0432.CCR-07-4079) Abstract	1-37, 40-62 38-39
X Y	CHEN Y ET AL, Generation and characterization of four novel monoclonal antibodies against human programmed death-1 molecule. <i>Hybridoma (Larchmt)</i> , 29 April 2010, Vol. 29, No. 2, pages 153-160 [Retrieved on 2015-12-07] (DOI:10.1089/HYB.2009.0091) Abstract	1-37, 40-62 38-39
Y	WO 2011/159877 A2 (THE BRIGHAM AND WOMEN'S HOSPITAL, INC.) 22 December 2011 Claims 1-4	38-39

## INTERNATIONAL SEARCH REPORT

International application No.

**PCT/SG2015/050413**

<b>Box No. I</b>	<b>Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)</b>
------------------	---

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing:
  - a. ☒ forming part of the international application as filed:
    - ☒ in the form of an Annex C/ST.25 text file.
    - ☐ on paper or in the form of an image file.
  - b. ☐ furnished together with the international application under PCT Rule 13~~ter~~.1(a) for the purposes of international search only in the form of an Annex C/ST.25 text file.
  - c. ☐ furnished subsequent to the international filing date for the purposes of international search only:
    - ☐ in the form of an Annex C/ST.25 text file (Rule 13~~ter~~.1(a)).
    - ☐ on paper or in the form of an image file (Rule 13~~ter~~.1(b) and Administrative Instructions, Section 713).
2. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
3. Additional comments:

Since only one version or copy of a sequence listing has been filed or furnished, the statements under item 2 are not required.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.

**PCT/SG2015/050413**

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2006/121168 A1	16/11/2006	AU 2006244885 A1	16/11/2006
		BRPI 0610235 A2	8/6/2010
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**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No.

**PCT/SG2015/050413**

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**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No.

**PCT/SG2015/050413**

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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