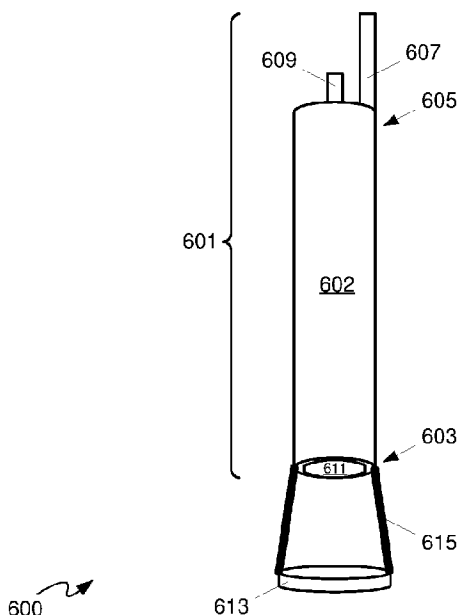




(86) Date de dépôt PCT/PCT Filing Date: 2015/03/05  
 (87) Date publication PCT/PCT Publication Date: 2016/09/09  
 (45) Date de délivrance/Issue Date: 2021/02/09  
 (85) Entrée phase nationale/National Entry: 2017/09/05  
 (86) N° demande PCT/PCT Application No.: IB 2015/051618  
 (87) N° publication PCT/PCT Publication No.: 2016/139512

(51) Cl.Int./Int.Cl. *A61B 5/00* (2006.01),  
*A61B 34/20* (2016.01), *A61B 5/06* (2006.01)  
 (72) Inventeurs/Inventors:  
MAK, SIU WAI JACKY, CA;  
WOOD, MICHAEL FRANK GUNTER, CA  
 (73) Propriétaire/Owner:  
SYNAPTIVE MEDICAL INC., CA  
 (74) Agent: PERRY + CURRIER

(54) Titre : SYSTEME DE TOMOGRAPHIE EN COHERENCE OPTIQUE COMPRENANT UN MATERIAU DE PLANARISATION TRANSPARENT  
 (54) Title: AN OPTICAL COHERENCE TOMOGRAPHY SYSTEM INCLUDING A PLANARIZING TRANSPARENT MATERIAL



(57) Abrégé/Abstract:

An optical coherence tomography ("OCT") system that includes a planarizing transparent material is provided. The OCT system comprises: an OCT probe comprising: a body having a distal end and a proximal end; a positioner adapter located at the proximal end; a connector to an OCT analysis device, the connector located at the proximal end; and, an OCT scan lens located at the distal end; and, a transparent material configured to planarize tissue at a scan plane of the OCT scan lens.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau(10) International Publication Number  
**WO 2016/139512 A1**(43) International Publication Date  
9 September 2016 (09.09.2016)

## (51) International Patent Classification:

A61B 19/00 (2006.01) A61B 5/06 (2006.01)  
A61B 5/00 (2006.01)

## (21) International Application Number:

PCT/IB2015/051618

## (22) International Filing Date:

5 March 2015 (05.03.2015)

## (25) Filing Language:

English

## (26) Publication Language:

English

(71) Applicant (for all designated States except US): **SYN-APTIVE MEDICAL (BARBADOS) INC.** [BB/BB];  
Chancery House, High Street, Bridgetown (BB).

## (72) Inventors; and

(71) Applicants (for US only): **MAK, Siu Wai** [CA/CA];  
MaRS Discovery District, 101 College Street, Suite 200,  
Toronto, Ontario M5G 1L7 (CA). **WOOD, Michael Frank  
Gunter** [CA/CA]; MaRS Discovery District, 101 College  
Street, Suite 200, Toronto, Ontario M5G 1L7 (CA).

(74) Agent: **PERRY + CURRIER**; 1300 Yonge Street, Suite  
500, Toronto, Ontario M4T 1X3 (CA).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

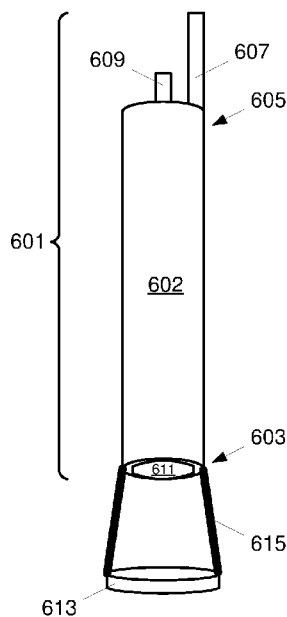
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

## Declarations under Rule 4.17:

— as to the identity of the inventor (Rule 4.17(i))

[Continued on next page]

(54) Title: AN OPTICAL COHERENCE TOMOGRAPHY SYSTEM INCLUDING A PLANARIZING TRANSPARENT MATERIAL



600

Figure 6

(57) Abstract: An optical coherence tomography ("OCT") system that includes a planarizing transparent material is provided. The OCT system comprises: an OCT probe comprising: a body having a distal end and a proximal end; a positioner adapter located at the proximal end; a connector to an OCT analysis device, the connector located at the proximal end; and, an OCT scan lens located at the distal end; and, a transparent material configured to planarize tissue at a scan plane of the OCT scan lens.

**WO 2016/139512 A1** 

---

**Published:**

— *with international search report (Art. 21(3))*

1           **AN OPTICAL COHERENCE TOMOGRAPHY SYSTEM INCLUDING A**  
2                           **PLANARIZING TRANSPARENT MATERIAL**

3  
4                           **FIELD**

5   **[0001]** The specification relates generally to optical coherence tomography and methods  
6   for minimally invasive therapy and image guided medical procedures, and specifically to  
7   an optical coherence tomography system that includes a planarizing transparent material.

8                           **BACKGROUND**

9   **[0002]** Optical Coherence Tomography (OCT) enables imaging of tissue with depth  
10   limited to typically 1-2 mm due to the light absorption and scattering property of tissue.  
11   When the object being imaged lies outside, but closed to, the range of imaging depth (i.e.  
12   the 1-2 mm mentioned above), the OCT image of the object could lie outside of the  
13   image (i.e. image could not be shown). On the other hand, the OCT image could be  
14   shown upside down overlapping with part of the object that lies within the imaging depth.  
15   This is known as a mirror artifact. In addition, optimal wavelengths for OCT imaging on  
16   turbid tissue, such as the brain, lies in the near-infrared range which is not visible to the  
17   human eye. As a result, surgeons and/or users performing the imaging cannot see the  
18   exact scanning area and the laser spot size. This makes focusing, position and alignment  
19   of the OCT probe or scanning head difficult. A visible laser could be coupled into the  
20   OCT system showing the scanning area on the object. However, this additional laser is  
21   added with performance lost in the system such as power loss, increased optical noise,  
22   and reduced bandwidth. System cost also increases as a result because wavelength  
23   division multiplexing unit is required to couple both the visible and NIR (near infrared)  
24   light into the same optical path.

25                           **SUMMARY**

26   **[0003]** The present disclosure is generally directed to image guided medical procedures  
27   using an access port. This port-based surgery approach allows a surgeon, or robotic  
28   surgical system, to perform a surgical procedure involving tumor resection in which the  
29   residual tumor remaining after is minimized, while also minimizing the trauma to the  
30   intact white and grey matter of the brain. In such procedures, trauma may occur, for

1 example, due to contact with the access port, stress to the brain matter, unintentional  
2 impact with surgical devices, and/or accidental resection of healthy tissue.

3 [0004] Further, an OCT system is provided which includes an OCT probe and a  
4 transparent material configured to planarize tissue at a scan plane of the OCT scan lens,  
5 which may assist in reducing and/or eliminating mirror artifacts in OCT scan images. The  
6 transparent material may be at an offset distance from the OCT scan lens of the OCT  
7 probe, and the OCT probe may further comprise apparatus for maintaining the offset  
8 distance between the OCT scan lens and the transparent material. As the transparent  
9 material may also define the scan area, a need for use of a laser to visualize the scan area  
10 may be obviated. The OCT probe may further be tracked in a three dimensional space  
11 using a NIR navigation system through the addition of a tracking device onto the OCT  
12 probe and/or a device positioner in which the OCT probe is mounted on. The transparent  
13 material may define the scan area may mayalso be a separate component from the rest of  
14 the OCT probe. In this configuration, an OCT probe, comprising the transparent material,  
15 a handle and a tracking device, may be included for automated positioning and focusing  
16 of a scan probe to scan the area-of-interest.

17 [0005] An aspect of the present specification provides an OCT (Optical Coherence  
18 Tomography) system comprising: an OCT probe comprising: a body having a distal end  
19 and a proximal end; a positioner adapter located at the proximal end; a connector to an  
20 OCT analysis device, the connector located at the proximal end; and, an OCT scan lens  
21 located at the distal end; and, a transparent material configured to planarize tissue at a  
22 scan plane of the OCT scan lens.

23 [0006] The transparent material may be substantially transparent to light used in optical  
24 coherence tomography.

25 [0007] The OCT probe and the transparent material may be discrete components. The  
26 OCT system may further comprise a handle attached to the transparent material. The  
27 handle may be configured to extend through a surgical port. The handle may be  
28 configured to be held by a human hand. The OCT probe may further comprise a tracking  
29 device located at a respective proximal end of the handle, the tracking device configured  
30 to be tracked by a navigation system.

31 [0008] A tissue-facing side of the transparent material may be substantially flat.

- 1 [0009] The OCT system may further comprise one or more of an immersion material and  
2 an index matching material on a tissue-facing side of the transparent material, the one or  
3 more of the immersion material and the index matching material configured to optically  
4 couple the transparent material to the tissue.
- 5 [0010] A side of the transparent material facing the OCT scan lens may be at an angle to  
6 a surface of the OCT scan lens.
- 7 [0011] A distance between the OCT scan lens and the scan plane may comprise an OCT  
8 scan distance.
- 9 [0012] The transparent material may extend between the OCT scan lens and the scan  
10 plane.
- 11 [0013] The OCT system may further comprise an offset device, the transparent material  
12 may be located at the scan plane, and the offset device may be configured to maintain an  
13 offset distance between the OCT scan lens and the transparent material. The OCT system  
14 may further comprise space between the transparent material and the OCT scan lens. The  
15 offset device may comprise a frame configured to hold the transparent material at the  
16 offset distance.
- 17 [0014] The transparent material may comprise glass.
- 18 [0015] The transparent material may comprise plastic.
- 19 [0016] The positioner adapter may be configured to be held by a human hand.
- 20 [0017] The positioner adapter may be configured to be held by an arm of a surgical  
21 system. The arm of the surgical system may be configured to position the body relative to  
22 the tissue.
- 23 [0018] The body may be configured for insertion through a surgical port configured for  
24 corridor based surgery.
- 25 [0019] The OCT system may further comprise a tracking device located at the proximal  
26 end, the tracking device configured to be tracked by a navigation system.
- 27 [0020] The OCT system may further comprise: a navigation system; a first tracking  
28 device located at the proximal end, the first tracking device configured to be tracked by  
29 the navigation system; a handle attached to the transparent material, the OCT probe and  
30 the transparent material being one or more of discrete components and separate  
31 components, the handle configured to be held by a human hand; a second tracking device

1 located a respective proximal end of the handle, the second tracking device configured to  
2 be tracked by the navigation system; and, a device positioner configured to hold the OCT  
3 probe, the device positioner in communication with the navigation system, the device  
4 positioner configured to position the OCT probe relative to the transparent material as the  
5 navigation system tracks respective positions of the first tracking device and the second  
6 tracking device.

7

### 8 BRIEF DESCRIPTIONS OF THE DRAWINGS

9 [0021] For a better understanding of the various implementations described herein and to  
10 show more clearly how they may be carried into effect, reference will now be made, by  
11 way of example only, to the accompanying drawings in which:

12 [0022] Figure 1 shows an example operating room setup for a minimally invasive  
13 access port-based medical procedure, according to non-limiting implementations.

14 [0023] Figure 2 is a block diagram illustrating components of a medical navigation  
15 system that may be used to implement a surgical plan for a minimally invasive surgical  
16 procedure, according to non-limiting implementations.

17 [0024] Figure 3 depicts a block diagram illustrating components of a planning system  
18 used to plan a medical procedure that may then be implemented using the navigation  
19 system of Figure 2, according to non-limiting implementations.

20 [0025] Figure 4 depicts an example implementation port based brain surgery using a  
21 video scope, according to non-limiting implementations.

22 [0026] Figure 5 depicts insertion of an access port into a human brain, for providing  
23 access to interior brain tissue during a medical procedure, according to non-limiting  
24 implementations.

25 [0027] Figure 6 depicts an OCT (Optical Coherence Tomography) system, according to  
26 non-limiting implementations.

27 [0028] Figure 7 components of the OCT system of Figure 6 in use with tissue,  
28 according to non-limiting implementations.

29 [0029] Figure 8 depicts OCT images acquired without and with planarized tissue,  
30 according to non-limiting implementations.

1 [0030] Figure 9 depicts an OCT system that includes one or more of an immersion  
2 material and an index matching material, according to alternative non- limiting  
3 implementations.

4 [0031] Figure 10 depicts an OCT system that includes a transparent material that  
5 extends from an OCT scan lens to an OCT scan plane, according to alternative non-  
6 limiting implementations.

7 [0032] Figure 11 depicts an OCT system that includes a transparent material with an  
8 angled side relative to an OCT scan lens and/or an OCT scan plane, according to  
9 alternative non- limiting implementations.

10 [0033] Figure 12 depicts an OCT system that includes a tracking device, according to  
11 alternative non- limiting implementations.

12 [0034] Figure 13 depicts an alternative implementation of an OCT system in which the  
13 OCT probe and the transparent material are discrete components.

14 [0035] Figure 14 depicts an OCT system that is in use with a surgical system and an  
15 access port

16 [0036] Figure 15 depicts an OCT system that includes a first tracking device located at  
17 proximal end of the OCT probe and a second tracking device located at a respective  
18 proximal end of handle connecting to the transparent material.

19

20

#### DETAILED DESCRIPTION

21 [0037] Various implementations and aspects of the specification will be described with  
22 reference to details discussed below. The following description and drawings are  
23 illustrative of the specification and are not to be construed as limiting the specification.

24 Numerous specific details are described to provide a thorough understanding of various  
25 implementations of the present specification. However, in certain instances, well-known  
26 or conventional details are not described in order to provide a concise discussion of  
27 implementations of the present specification.

28 [0038] The systems and methods described herein may be useful in the field of  
29 neurosurgery, including oncological care, neurodegenerative disease, stroke, brain trauma  
30 and orthopedic surgery; however persons of skill will appreciate the ability to extend  
31 these concepts to other conditions or fields of medicine. It should be noted that the

1 surgical process is applicable to surgical procedures for brain, spine, knee and any other  
2 suitable region of the body.

3 **[0039]** Various apparatuses and processes will be described below to provide examples  
4 of implementations of the system disclosed herein. No implementation described below  
5 limits any claimed implementation and any claimed implementations may cover  
6 processes or apparatuses that differ from those described below. The claimed  
7 implementations are not limited to apparatuses or processes having all of the features of  
8 any one apparatus or process described below or to features common to multiple or all of  
9 the apparatuses or processes described below. It is possible that an apparatus or process  
10 described below is not an implementation of any claimed subject matter.

11 **[0040]** Furthermore, numerous specific details are set forth in order to provide a  
12 thorough understanding of the implementations described herein. However, it will be  
13 understood by those skilled in the relevant arts that the implementations described herein  
14 may be practiced without these specific details. In other instances, well-known methods,  
15 procedures and components have not been described in detail so as not to obscure the  
16 implementations described herein.

17 **[0041]** In this specification, elements may be described as “configured to” perform one  
18 or more functions or “configured for” such functions. In general, an element that is  
19 configured to perform or configured for performing a function is enabled to perform the  
20 function, or is suitable for performing the function, or is adapted to perform the function,  
21 or is operable to perform the function, or is otherwise capable of performing the function.

22 **[0042]** It is understood that for the purpose of this specification, language of “at least  
23 one of X, Y, and Z” and “one or more of X, Y and Z” may be construed as X only, Y  
24 only, Z only, or any combination of two or more items X, Y, and Z (e.g., XYZ, XY, YZ,  
25 ZZ, and the like). Similar logic may be applied for two or more items in any occurrence  
26 of “at least one ...” and “one or more...” language.

27 **[0043]** Referring to Figure 1, a non-limiting example navigation system 100 is shown  
28 to support minimally invasive access port-based surgery. In Figure 1, a neurosurgeon  
29 101 conducts a minimally invasive port-based surgery on a patient 102 in an operating  
30 room (OR) environment. The navigation system 100 includes an equipment tower,  
31 tracking system, displays and tracked instruments to assist the surgeon 101 during the

1 procedure. An operator 103 may also be present to operate, control and provide  
2 assistance for the navigation system 100.

3 **[0044]** Referring to Figure 2, a block diagram is shown illustrating components of an  
4 example medical navigation system 200, according to non-limiting implementations. The  
5 medical navigation system 200 illustrates a context in which a surgical plan including  
6 equipment (e.g., tool and material) tracking, such as that described herein, may be  
7 implemented. The medical navigation system 200 includes, but is not limited to, one or  
8 more monitors 205, 211 for displaying a video image, an equipment tower 201, and a  
9 mechanical arm 202, which supports an optical scope 204. The equipment tower 201  
10 may be mounted on a frame (e.g., a rack or cart) and may contain a computer or  
11 controller (examples provided with reference to Figures 3 and 6 below), planning  
12 software, navigation software, a power supply and software to manage the mechanical  
13 arm 202, and tracked instruments. In one example non-limiting implementation, the  
14 equipment tower 201 may comprise a single tower configuration with dual display  
15 monitors 211, 205, however other configurations may also exist (e.g., dual tower, single  
16 display, etc.). Furthermore, the equipment tower 201 may also be configured with a  
17 universal power supply (UPS) to provide for emergency power, in addition to a regular  
18 AC adapter power supply.

19 **[0045]** A patient's anatomy may be held in place by a holder. For example, in a  
20 neurosurgical procedure the patient's head may be held in place by a head holder 217,  
21 and an access port 206 and an introducer 210 may be inserted into the patient's head.  
22 The introducer 210 may be tracked using a tracking camera 213, which provides position  
23 information for the navigation system 200. The tracking camera 213 may also be used to  
24 track tools and/or materials used in the surgery, as described in more detail below. In one  
25 example non-limiting implementation, the tracking camera 213 may comprise a 3D  
26 (three-dimensional) optical tracking stereo camera, similar to one made by Northern  
27 Digital Imaging (NDI), configured to locate reflective sphere tracking markers 212 in 3D  
28 space. In another example, the tracking camera 213 may comprise a magnetic camera,  
29 such as a field transmitter, where receiver coils are used to locate objects in 3D space, as  
30 is also known in the art. Location data of the mechanical arm 202 and access port 206  
31 may be determined by the tracking camera 213 by detection of tracking markers 212

1 placed on these tools, for example the introducer 210 and associated pointing tools.  
2 Tracking markers may also be placed on surgical tools or materials to be tracked. The  
3 secondary display 205 may provide output of the tracking camera 213. In one example  
4 non-limiting implementation, the output may be shown in axial, sagittal and coronal  
5 views as part of a multi-view display.

6 **[0046]** As noted above with reference to Figure 2, the introducer 210 may include  
7 tracking markers 212 for tracking. The tracking markers 212 may comprise reflective  
8 spheres in the case of an optical tracking system and/or pick-up coils in the case of an  
9 electromagnetic tracking system. The tracking markers 212 may be detected by the  
10 tracking camera 213 and their respective positions are inferred by the tracking software.

11 **[0047]** As shown in Figure 2, a guide clamp 218 (or more generally a guide) for  
12 holding the access port 206 may be provided. The guide clamp 218 may optionally  
13 engage and disengage with the access port 206 without needing to remove the access port  
14 206 from the patient. In some examples, the access port 206 may be moveable relative to  
15 the guide clamp 218, while in the guide clamp 218. For example, the access port 206 may  
16 be able to slide up and down (e.g., along the longitudinal axis of the access port 206)  
17 relative to the guide clamp 218 while the guide clamp 218 is in a closed position. A  
18 locking mechanism may be attached to or integrated with the guide clamp 218, and may  
19 optionally be actuatable with one hand, as described further below. Furthermore, an  
20 articulated arm 219 may be provided to hold the guide clamp 218. The articulated arm  
21 219 may have up to six degrees of freedom to position the guide clamp 218. The  
22 articulated arm 219 may be lockable to fix its position and orientation, once a desired  
23 position is achieved. The articulated arm 219 may be attached or attachable to a point  
24 based on the patient head holder 217, or another suitable point (e.g., on another patient  
25 support, such as on the surgical bed), to ensure that when locked in place, the guide  
26 clamp 218 does not move relative to the patient's head.

27 **[0048]** Referring to Figure 3, a block diagram is shown illustrating a control and  
28 processing unit 300 that may be used in the navigation system 200 of Figure 2 (e.g., as  
29 part of the equipment tower). In one example non-limiting implementation, control and  
30 processing unit 300 may include one or more processors 302, a memory 304, a system  
31 bus 306, one or more input/output interfaces 308, a communications interface 310, and

1 storage device 312. In particular, one or more processors 302 may comprise one or more  
2 hardware processors and/or one or more microprocessors. Control and processing unit  
3 300 may be interfaced with other external devices, such as tracking system 321, data  
4 storage device 342, and external user input and output devices 344, which may include,  
5 but is not limited to, one or more of a display, keyboard, mouse, foot pedal, and  
6 microphone and speaker. Data storage device 342 may comprise any suitable data  
7 storage device, including, but not limited to a local and/or remote computing device (e.g.  
8 a computer, hard drive, digital media device, and/or server) having a database stored  
9 thereon. In the example shown in Figure 3, data storage device 342 includes, but is not  
10 limited to, identification data 350 for identifying one or more medical instruments 360  
11 and configuration data 352 that associates customized configuration parameters with one  
12 or more medical instruments 360. Data storage device 342 may also include, but is not  
13 limited to, preoperative image data 354 and/or medical procedure planning data 356.  
14 Although data storage device 342 is shown as a single device in Figure 3, in other  
15 implementations, data storage device 342 may be provided as multiple storage devices.  
16 **[0049]** Medical instruments 360 may be identifiable using control and processing unit  
17 300. Medical instruments 360 may be connected to and controlled by control and  
18 processing unit 300, and/or medical instruments 360 may be operated and/or otherwise  
19 employed independent of control and processing unit 300. Tracking system 321 may be  
20 employed to track one or more of medical instruments 360 and spatially register the one  
21 or more tracked medical instruments 360 to an intraoperative reference frame. In another  
22 example, a sheath may be placed over a medical instrument 360 and the sheath may be  
23 connected to and controlled by control and processing unit 300.  
24 **[0050]** Control and processing unit 300 may also interface with a number of configurable  
25 devices, and may intraoperatively reconfigure one or more of such devices based on  
26 configuration parameters obtained from configuration data 352. Examples of devices  
27 320, as shown in Figure 3, include, but are not limited, one or more external imaging  
28 devices 322, one or more illumination devices 324, a robotic arm, one or more projection  
29 devices 328, and one or more displays 305, 311.  
30 **[0051]** Aspects of the specification may be implemented via processor(s) 302 and/or  
31 memory 304. For example, the functionalities described herein may be partially

1 implemented via hardware logic in processor 302 and partially using the instructions  
2 stored in memory 304, as one or more processing modules 370 and/or processing  
3 engines. Example processing modules include, but are not limited to, user interface  
4 engine 372, tracking module 374, motor controller 376, image processing engine 378,  
5 image registration engine 380, procedure planning engine 382, navigation engine 384,  
6 and context analysis module 386. While the example processing modules are shown  
7 separately in Figure 3, in one example non-limiting implementation the processing  
8 modules 370 may be stored in the memory 304 and the processing modules may be  
9 collectively referred to as processing modules 370.

10 **[0052]** It is to be understood that the system is not intended to be limited to the  
11 components shown in Figure 3. One or more components of the control and processing  
12 unit 300 may be provided as an external component or device. In one example non-  
13 limiting implementation, navigation engine 384 may be provided as an external  
14 navigation system that is integrated with control and processing unit 300.

15 **[0053]** Some implementations may be implemented using processor 302 without  
16 additional instructions stored in memory 304. Some implementations may be  
17 implemented using the instructions stored in memory 304 for execution by one or more  
18 general purpose microprocessors. Thus, the specification is not limited to a specific  
19 configuration of hardware and/or software.

20 **[0054]** While some implementations may be implemented in fully functioning computers  
21 and computer systems, various implementations are capable of being distributed as a  
22 computing product in a variety of forms and are capable of being applied regardless of  
23 the particular type of machine or computer readable media used to actually effect the  
24 distribution.

25 **[0055]** At least some aspects disclosed may be embodied, at least in part, in software.  
26 That is, the techniques may be carried out in a computer system or other data processing  
27 system in response to its processor, such as a microprocessor, executing sequences of  
28 instructions contained in a memory, such as ROM, volatile RAM, non-volatile memory,  
29 cache and/or a remote storage device.

30 **[0056]** A computer readable storage medium, and/or a non-transitory computer readable  
31 storage medium, may be used to store software and data which, when executed by a data

1 processing system, causes the system to perform various methods. The executable  
2 software and data may be stored in various places including for example ROM, volatile  
3 RAM, nonvolatile memory and/or cache. Portions of this software and/or data may be  
4 stored in any one of these storage devices.

5 **[0057]** Examples of computer-readable storage media include, but are not limited to,  
6 recordable and non-recordable type media such as volatile and non-volatile memory  
7 devices, read only memory (ROM), random access memory (RAM), flash memory  
8 devices, floppy and other removable disks, magnetic disk storage media, optical storage  
9 media (e.g., compact discs (CDs), digital versatile disks (DVDs), etc.), among others.  
10 The instructions may be embodied in digital and analog communication links for  
11 electrical, optical, acoustical and/or other forms of propagated signals, such as carrier  
12 waves, infrared signals, digital signals, and the like. The storage medium may comprise  
13 the internet cloud, storage media therein, and/or a computer readable storage medium  
14 and/or a non-transitory computer readable storage medium, including, but not limited to,  
15 a disc.

16 **[0058]** At least some of the methods described herein are capable of being distributed in  
17 a computer program product comprising a computer readable medium that bears  
18 computer usable instructions for execution by one or more processors, to perform aspects  
19 of the methods described. The medium may be provided in various forms such as, but  
20 not limited to, one or more diskettes, compact disks, tapes, chips, USB (Universal Serial  
21 Bus) keys, external hard drives, wire-line transmissions, satellite transmissions, internet  
22 transmissions or downloads, magnetic and electronic storage media, digital and analog  
23 signals, and the like. The computer useable instructions may also be in various forms,  
24 including compiled and non-compiled code.

25 **[0059]** According to one aspect of the present application, one purpose of the navigation  
26 system 200, which may include control and processing unit 300, is to provide tools to a  
27 surgeon and/or a neurosurgeon that will lead to the most informed, least damaging  
28 neurosurgical operations. In addition to removal of brain tumours and intracranial  
29 hemorrhages (ICH), the navigation system 200 may also be applied to a brain biopsy, a  
30 functional/deep-brain stimulation, a catheter/shunt placement procedure, open  
31 craniotomies, endonasal/skull-based/ENT, spine procedures, and other parts of the body

1 such as breast biopsies, liver biopsies, etc. While several examples have been provided,  
2 aspects of the present specification may be applied to other suitable medical procedures.  
3 **[0060]** Attention is next directed to Figure 4 which depicts a non-limiting example of a  
4 port-based brain surgery procedure using a video scope. In Figure 4, operator 404, for  
5 example a surgeon, may align video scope 402 to peer down port 406. Video scope 402  
6 may be attached to an adjustable mechanical arm 410. Port 406 may have a tracking tool  
7 408 attached to it where tracking tool 408 is tracked by a tracking camera of a navigation  
8 system.

9 **[0061]** Even though the video scope 402 may comprise an endoscope and/or a  
10 microscope, these devices introduce optical and ergonomic limitations when the surgical  
11 procedure is conducted over a confined space and conducted over a prolonged period  
12 such as the case with minimally invasive brain surgery.

13 **[0062]** Figure 5 illustrates the insertion of an access port 12 into a human brain 10, in  
14 order to provide access to interior brain tissue during a medical procedure. In Figure 5,  
15 access port 12 is inserted into a human brain 10, providing access to interior brain tissue.  
16 Access port 12 may include, but is not limited to, instruments such as catheters, surgical  
17 probes, and/or cylindrical ports such as the NICO BrainPath. Surgical tools and  
18 instruments may then be inserted within a lumen of the access port 12 in order to perform  
19 surgical, diagnostic or therapeutic procedures, such as resecting tumors as necessary.  
20 However, the present specification applies equally well to catheters, DBS needles, a  
21 biopsy procedure, and also to biopsies and/or catheters in other medical procedures  
22 performed on other parts of the body.

23 **[0063]** In the example of a port-based surgery, a straight and/or linear access port 12 is  
24 typically guided down a sulci path of the brain. Surgical instruments and/or surgical tools  
25 would then be inserted down the access port 12.

26 **[0064]** Attention is next directed to Figure 6, which depicts an example of a surgical tool  
27 that could be inserted through access port 12.

28 **[0065]** Specifically, Figure 6 depicts an optical coherence tomography (OCT) system 600  
29 comprising: an OCT probe 601 comprising: a body 602 having a distal end 603 and a  
30 proximal end 605; a positioner adapter 607 located at proximal end 605; a connector 609  
31 to an OCT analysis device, connector 609 located at proximal end 605; and, an OCT scan

1 lens 611 located at distal end 603; and, a transparent material 613 configured to planarize  
2 tissue at a scan plane of OCT scan lens 611. As described in further detail below.

3 **[0066]** The terms proximal end and distal end are used as, when OCT probe 601 is in  
4 use, proximal end 605 will be proximal a surgeon and the like, and distal end 603 will be  
5 distal the surgeon, and the like.

6 **[0067]** OCT probe 601 is generally configured to perform an OCT scan on tissue  
7 planarized by transparent material 613; for example, in use, body 602 of OCT probe  
8 601 can be inserted through an access port, such as access port 12, connector 609 is  
9 connected to an OCT analysis device and/or OCT light source, and tissue planarized by  
10 transparent material 613 is scanned using OCT scan lens 611, in conjunction with the  
11 OCT analysis device coupled to OCT probe 601 using connector 609.

12 **[0068]** While body 602 is generally depicted as cylindrical, body 602 may generally  
13 comprise a size, shape and/or configuration which enables body 602 to be inserted  
14 through a surgical access port. Specifically, body 602 may be configured for insertion  
15 through a surgical port configured for corridor based surgery, such as access port 12. As  
16 such, positioner adapter 607 may comprise a handle configured to be held by a human  
17 hand, and may hence include grips, indentations, and the like for ergonomic use with a  
18 human hand.; alternatively, positioner adapter 607 may be configured to be held by an  
19 arm of a device positioner, for example a component of a surgical system, such that the  
20 arm may position OCT system 600 in relation to a patient being operated on, for example  
21 in relation to, and/or through, an access port and/or a surgical port. In other words, OCT  
22 system 600 may be held in place manually using positioner adapter 607, and/or positioner  
23 adapter 607 may be configured to be held by an arm of a surgical system. Hence,  
24 positioner adapter 607 is depicted schematically, but a shape, configuration, and/or size  
25 of positioner adapter 607 may be adapted for a holding device with which positioner  
26 adapter 607 is to be used (e.g. a hand of a user and/or an arm of a surgical system);  
27 furthermore, positioner adapter 607 may comprise fasteners, apertures, and the like,  
28 configured to attach positioner adapter 607 to an arm of a surgical system.

29 **[0069]** OCT scan lens 611 is generally configured to focus and scan OCT light across  
30 tissue, as well as to collect light reflected from the tissue. OCT scan lens 611 may be a  
31 component of an OCT scan head located within body 602. Indeed, body 602 may include

1 an OCT scan head that comprises OCT scan lens 611, and may further comprise one or  
2 more scanning components, including, but not limited to, a MEMS  
3 (microelectromechanical) mirror and a galvanometer, such scanning components  
4 configured to scan OCT light across a line and/or an area to obtain a two or three  
5 dimensional OCT image respectively. The OCT light may comprise laser light. Such  
6 OCT light from an OCT light source may be directed to the OCT scan lens 611 through  
7 connector 609. Further, the connector 609 may direct light from OCT scan lens 611 to an  
8 OCT detector and/or an OCT analysis device. Hence, connector 609 is generally  
9 configured for connection to the OCT analysis device, and/or an OCT light source (which  
10 may be located at the OCT analysis device), and hence connector 609 generally  
11 comprises an optical connector, for example to any suitable combination of optical fibers,  
12 light guides and the like which in turn connect to the OCT analysis device, and/or the  
13 OCT light source.

14 **[0070]** An OCT analysis device may comprise a light source, an optical coupler and/or  
15 beam splitter, and a reference arm which may comprise at least a reference mirror, and a  
16 detector. The light source may be directed to an optical coupler and/or beam splitter  
17 which splits the OCT light (e.g. laser light) into the reference arm and a sample arm. In  
18 the reference arm, the OCT light is directed to a mirror that sets a reference imaging  
19 distance from optical coupler and/or beam splitter. The OCT light then reflects back to  
20 the optical coupler and/or beam splitter. In the sample arm, the optical coupler and/or  
21 beam splitter may direct the OCT light to connector 609 which directs the OCT light to  
22 OCT scan lens 611 so that tissue is scanned. The reflected light from the tissue is  
23 received through OCT scan lens 611, which and which travels back through body 602 to  
24 the optical coupler and/or beam splitter through the connector 609. The reflected light  
25 from the tissue and the reference mirror then interferes and forms a fringe pattern which  
26 creates an A-scan OCT signal through Fourier transform.

27 **[0071]** As such, body 602 may further comprise any combination of free space optics,  
28 including, but not limited to, lenses, mirrors, light guides, diffusers, gratings, polarization  
29 optics, such as polarizers and wave plates, integrated optics, fiber optics, optical devices  
30 such as interferometers and the like, the free space optics configured to communicate  
31 light between connector 609 and OCT scan lens 611. Indeed, in some implementations,

1 body 602 may include at least a portion of an OCT analysis device. For example, body  
2 602 may include an interferometer, a reference arm, and/or photodetectors. In some  
3 implementations, body 602 may comprise one or more motors for moving and/or  
4 positioning OCT scan lens 611 during an OCT scan of tissue, such that OCT scans across  
5 a planarized scan area of the tissue, proximal transparent material 613. However, in other  
6 implementations, such scanning may occur by controlling angles of incidence and/or  
7 etendue of the OCT scan light from the OCT light source.

8 **[0072]** Furthermore, while body 602 is described as receiving OCT light using connector  
9 609, conveying the OCT light to tissue, and collecting and conveying reflected OCT light  
10 to an OCT analysis device using connector 60, with production of OCT light and  
11 analysis of reflected OCT being external to OCT system 600, in other implementations,  
12 body 602 may comprise components configured to generate OCT light (e.g. an OCT light  
13 source) and/or optical and/or computing components configured to perform at least a pre-  
14 analysis of reflected OCT light prior to communicating with an OCT analysis device.

15 Indeed, in some implementations, connector 609 may include, but is not limited to, a data  
16 and/or electrical connector. Hence, connector 609 may comprise a combination of an  
17 optical connector, a data connector and/or an electrical connector, configured to  
18 communicate optically, and/or electrically with components external to OCT system 600.

19 **[0073]** OCT scan lens 611 is generally configured to perform an OCT scan on tissue, and  
20 specifically configured to focus and/or scan OCT light onto tissue at a given distance  
21 from OCT scan lens 611, for example at a focal length of OCT scan lens 611, and the  
22 like. An OCT signal (e.g. reflected OCT light) is collected and conveyed to an OCT  
23 analysis device using connector 609. The OCT analysis device produces an OCT image  
24 of tissue being scanned, and the image may be rendered on a display device that may be a  
25 component of a surgical system, for example one or more projection devices 328, and/or  
26 one or more displays 305, 311. When tissue being scanned using OCT scan lens 611 is  
27 uneven, and specifically, when the tissue being scanned causes negative and positive time  
28 delays in an OCT signal, OCT images may be produced around a zero time delay line,  
29 which causes mirror artifacts in the OCT images. Transparent material 613 may lead to a  
30 reduction in such mirror images, as described hereafter.

1 [0074] Furthermore, as transparent material 613 planarizes tissue, such planarization may  
2 provide a visual indication of the area to be scanned, which may obviate use of a laser, a  
3 visible light source and the like for indicating the OCT scan area. In other words, as OCT  
4 light may not be visible to a human eye and/or an eye of a user, the planarized tissue may  
5 provide an indication of the area to be scanned.

6 [0075] As depicted, transparent material 613 comprises a transparent disc of material  
7 used to planarize tissue at a scan plane of OCT scan lens 611. For example the  
8 transparent material may comprise glass and/or the transparent material may comprise  
9 plastic and/or the transparent material may comprise any other transparent material  
10 compatible with surgery and that may be used to planarize tissue, including, but not  
11 limited to, transparent metal oxides. Specifically, transparent material 613 is substantially  
12 transparent to light used in optical coherence tomography. Furthermore, a tissue-facing  
13 side of transparent material 613 is substantially flat, and generally parallel to a scan plane  
14 of OCT scan lens 611.

15 [0076] Indeed, in use, transparent material 613 is pressed against tissue to planarize the  
16 tissue. Hence, transparent material 613 is generally of a stiffness and/or a hardness which  
17 will cause transparent material 613 to maintain its shape (i.e. not deform) when pressure  
18 is applied thereto, and transparent material 613 is pressed against tissue.

19 [0077] Furthermore, while transparent material 613 is depicted as a disc in Figure 6,  
20 transparent material may be other shapes, for example, square, rectangular, triangular,  
21 octangular, etc. However, transparent material 613 of a size which includes the scanning  
22 area of OCT scan lens 611.

23 [0078] As depicted, OCT system 600 further comprises space between transparent  
24 material 613 and OCT scan lens 611. For example, a thickness of transparent material  
25 613 may be selected to balance transparency of transparent material 613 with structural  
26 integrity of transparent material 613 when pressure is being applied to tissue, as described  
27 below, and space is provided between transparent material 613 and OCT scan lens 611 to  
28 minimize absorption of OCT light by transparent material 613.

29 [0079] As transparent material 613 does not extend to OCT scan lens 611, as depicted in  
30 Figure 6, OCT system 600 further comprises an offset device 615 configured to maintain  
31 an offset distance between OCT scan lens 611 and transparent material 613. For example,

1 as depicted, offset device 615 comprises a frame configured to hold transparent material  
2 613 at the offset distance. In general, an offset distance is a distance which locates a  
3 tissue-facing side of transparent material 613 at the OCT scan distance from OCT scan  
4 lens 611. The OCT scan distance may be about the focal length of OCT scan lens 611.  
5 Hence, a distance between OCT scan lens 611 and the scan plane comprises the OCT  
6 scan distance.

7 **[0080]** Furthermore, as depicted the frame is attached to distal end 603, extends from  
8 distal end 603 and holds transparent material 613 at the offset distance, as described  
9 above. Offset device 615 and/or the frame may comprise metal, plastic, carbon fiber, and  
10 the like, and/or any material which may translate pressure applied to body 602 to  
11 transparent material 613 so that transparent material 613 is pressed against tissue to  
12 planarize it.

13 **[0081]** For example, attention is next directed to Figure 7, which depicts a portion of  
14 OCT system 600 in use with tissue 701, which is uneven and, scanned without tissue 701  
15 being planarized by transparent material 613, may cause mirror artifacts. However, as  
16 depicted, pressure is applied OCT system 600, which translates through body 602, to  
17 offset device 615 and to transparent material 613, which results in pressure 703 being  
18 applied transparent material 613 and hence on tissue 701 at a tissue-facing side of  
19 transparent material 613. Such pressure 703 results in tissue 701 at a tissue-facing side of  
20 transparent material 613 being compressed and hence planarized.

21 **[0082]** For example, in some implementations, OCT system 600 may be mounted to a  
22 device positioner and/or surgical arm that may be moved, for example robotically, and  
23 the surgical arm may be used to position OCT system 600 on an area of interest of tissue,  
24 for example, tissue of interest to a surgeon. The arm of the surgical system may be  
25 generally configured to position body 602 relative to tissue 701. The surgical arm may  
26 move OCT system 600 so that pressure is applied to tissue 701 and transparent material  
27 613 planarizes tissue 701, which also indicates to a surgeon an area of tissue 701 to be  
28 scanned using OCT system 600. As described above, a distance between OCT scan lens  
29 611 and the scan plane comprises an OCT scan distance, which is held at a fixed value  
30 using offset device 615; hence the surgical arm may move OCT scan lens 611 to point to  
31 an area of interest on tissue 701, while keeping OCT scan lens 611 at the fixed offset

1 distance. This keeps an OCT image of tissue 701 generally flat. Hence, using offset  
2 device 615 to maintain the working distance between a sample and scan lens 611, a tissue  
3 of interest may be placed into axial imaging range of scan lens 611 for an OCT scan by a  
4 surgeon, and the like.

5 **[0083]** It is further apparent from Figure 7 that a tissue-facing side of transparent material  
6 613 is generally flat and about parallel to a scan plane of OCT scan lens 611 and/or  
7 normal to OCT scan lens 611. Hence, not only is tissue 701 planarized by transparent  
8 material 613, but tissue 701 is planarized in a scan plane of OCT scan lens 611.

9 **[0084]** Such planarization may lead to reductions in mirror artifacts in OCT images. For  
10 example attention is directed to Figure 8, which depicts two OCT images "A" and "B". In  
11 OCT image "A", tissue being scanned was not planarized, and hence has a mirror artifact  
12 801 (also highlighted with arrows). In OCT image "B", the same tissue was scanned with  
13 a prototype of OCT system 600, and was hence planarized as in Figure 7; as such, in  
14 OCT image "B", mirror artifact 801 has been reduced and/or eliminated in comparison  
15 with OCT image "A".

16 **[0085]** Attention is next directed to Figure 9, which depicts an alternative implementation  
17 of an OCT system 900, which is substantially similar to Figure 9, with like elements  
18 having like numbers, however in a "900" series, rather than a "600" series. For example,  
19 OCT system 900 comprises: an OCT probe 701 comprising: a body 902 having a distal  
20 end 903 and a proximal end 905; a positioner adapter 907 located at proximal end 905; a  
21 connector 909 to an OCT analysis device, connector 909 located at proximal end 905;  
22 and, an OCT scan lens 911 located at distal end 903; and, a transparent material 913  
23 configured to planarize tissue at a scan plane of OCT scan lens 911. Furthermore, OCT  
24 system 900 comprises an offset device 915.

25 **[0086]** In contrast to OCT system 600, however, OCT system 900 further comprises one  
26 or more of an immersion material and an index matching material 917 on a tissue-facing  
27 side of transparent material 913, the one or more of immersion material and index  
28 matching material 917 configured to optically couple transparent material 913 to the  
29 tissue. For example, or more of immersion material and index matching material 917 may  
30 comprise an optical coating which has an index of refraction that is intermediate an index  
31 of refraction of transparent material 913 and tissue to be scanned using OCT scan lens

1 911. Alternatively, one or more of immersion material and index matching material 917  
2 may comprise a material which acts as one or more of an optical and physical interface  
3 between tissue to be scanned and transparent material 913. Either way, one or more of an  
4 immersion material and index matching material 917 is also substantially transparent to  
5 light used in optical coherence tomography and furthermore does not change the  
6 planarization of the tissue by transparent material 913. In other words, one or more of an  
7 immersion material and index matching material 917 is also substantially flat and  
8 substantially parallel to a tissue-facing side of transparent material 913.

9 **[0087]** One or more of immersion material and index matching material 917 may also  
10 reduce reflections of OCT light from a tissue-facing side of transparent material 913.  
11 Specifically, one or more of immersion material and index matching material 917 may  
12 comprise an anti-reflection coating on transparent material 913. Hence, in some  
13 implementations, an OCT scan lens-facing side of transparent material 913 may comprise  
14 an anti-reflection coating.

15 **[0088]** Attention is next directed to Figure 10, which depicts an alternative  
16 implementation of an OCT system 1000, which is substantially similar to Figure 10, with  
17 like elements having like numbers, however in a "1000" series, rather than a "600" series.  
18 For example, OCT system 1000 comprises: an OCT probe 1001 comprising: a body 1002  
19 having a distal end 1003 and a proximal end 1005; a positioner adapter 1007 located at  
20 proximal end 1005; a connector 1009 to an OCT analysis device, connector 1009 located  
21 at proximal end 1005; and, an OCT scan lens 1011 located at distal end 1003; and, a  
22 transparent material 1013 configured to planarize tissue at a scan plane of OCT scan lens  
23 1011.

24 **[0089]** However, in contrast to OCT system 600, transparent material 1013 extends  
25 between OCT scan lens 1011 and the scan plane of OCT scan lens 1011. In other words,  
26 as depicted transparent material 1013 comprises a frustum of transparent material  
27 between OCT scan lens 1011 and the scan plane of OCT scan lens 1011, though in other  
28 implementations transparent material 1013 may be other shapes, for example cylindrical  
29 and/or having a longitudinal shape similar to body 1002. While such implementations  
30 may result in some absorption of OCT light as compared to OCT system 600, OCT  
31 system 1000, may have increased structural integrity due to the lack of space between

1 OCT scan lens 1011 and the scan plane of OCT scan lens 1011, as pressure is translated  
2 directly from body 1002 to transparent material 1013 without the use of an intervening  
3 offset device and/or frame. However, OCT system 1000 could include an optional frame  
4 to assist with translating pressure from body 1002 to a tissue-facing side of transparent  
5 material 1013 and/or to attach transparent material 1013 to distal end 1003.

6 **[0090]** Furthermore, a side of transparent material 1013 adjacent OCT scan lens 1011  
7 may be adapted for a shape of OCT scan lens 1011 and/or be complementary to OCT  
8 scan lens 1011, to eliminate and/or reduce space and/or reflecting surface between  
9 transparent material 1013 and OCT scan lens 1011. In some implementations, optical  
10 epoxy and the like may be used to attach transparent material 1013 to OCT scan lens  
11 1011, which may result in reduction and/or elimination of space there between. In other  
12 implementations, a fusion splicer can be used to fuse or weld two optical elements  
13 together though an electric arc.

14 **[0091]** Attention is next directed to Figure 11, which depicts an alternative  
15 implementation of an OCT system 1100, which is substantially similar to Figure 11, with  
16 like elements having like numbers, however in a "1100" series, rather than a "600" series.  
17 For example, OCT system 1100 comprises: an OCT probe 1101 comprising: a body 1102  
18 having a distal end 1103 and a proximal end 1105; a positioner adapter 1107 located at  
19 proximal end 1105; a connector 1109 to an OCT analysis device, connector 1109 located  
20 at proximal end 1105; and, an OCT scan lens 1111 located at distal end 1103; and, a  
21 transparent material 1113 configured to planarize tissue at a scan plane of OCT scan lens  
22 1111. Furthermore, OCT system 900 comprises an offset device 1115 similar to offset  
23 device 615, but adapted for a shape of transparent material 1113.

24 **[0092]** Specifically, in contrast to OCT system 600, a side of transparent material 1113  
25 facing OCT scan lens 1111 is at an angle to a surface of OCT scan lens 1111 and/or at an  
26 angle to the OCT scan plane and/or at an angle to a tissue-facing side of transparent  
27 material 1113. Put another way, transparent material 1113 comprises a wedge configured  
28 to reduce reflection from transparent material 1113. For example, with reference to  
29 Figures 6 and 9, as transparent material 913 comprises a disc, reflections from surfaces of  
30 the disc, which are generally normal to a respective OCT scan lens, may result in artifacts  
31 in OCT images, unless coated with an anti-reflection coating as in some implementations

1 of OCT system 900. However, configuring transparent material 1113 into a wedge, so  
2 that a side of transparent material 1113 facing OCT scan lens 1111 is at an angle to a  
3 surface of OCT scan lens 1111, may result in reduction in reflections from the side of  
4 transparent material 1113 facing OCT scan lens 1111, as OCT light is reflected away  
5 from OCT scan lens 1111.

6 **[0093]** Attention is next directed to Figure 12, which depicts an alternative  
7 implementation of an OCT system 1200, which is substantially similar to Figure 12, with  
8 like elements having like numbers, however in a "1200" series, rather than a "600" series.  
9 For example, OCT system 1200 comprises: an OCT probe 1201 comprising: a body 1202  
10 having a distal end 1203 and a proximal end 1205; a positioner adapter 1207 located at  
11 proximal end 1205; a connector 1209 to an OCT analysis device, connector 1209 located  
12 at proximal end 1205; and, an OCT scan lens 1211 located at distal end 1203; and, a  
13 transparent material 1213 configured to planarize tissue at a scan plane of OCT scan lens  
14 1211. Furthermore, OCT system 1200 comprises an offset device 1215.

15 **[0094]** However, in contrast to OCT system 600, OCT system 1200 further comprises a  
16 tracking device 1223 located at proximal end 1205, tracking device 1223 configured to be  
17 tracked by a navigation system external to OCT system 1200. While not depicted OCT  
18 system 1200 may further comprise a mount configured to removably attach tracking  
19 device 1223 at proximal end 1205. Tracking device 1223 may provide a position of OCT  
20 system 1200 in three dimensional space, and hence OCT system 1200 may to be  
21 positioned relative to other tracked devices including other surgical tools such as an  
22 access port or a pointer. Tracking device 1223 is generally to extend away from body  
23 1202 so that a camera, and the like, of a surgical navigation system may track a position  
24 of tracking device 1223 and hence a position of OCT system 1200, for example in an  
25 access port. As depicted, tracking device 1223 comprises four reflective spheres arranged  
26 in a configuration where each sphere is located at about a corner of a square. However,  
27 other numbers of spheres and other configurations are within the scope of present  
28 implementations. In particular, one or more of a number, arrangement, and configuration  
29 of such spheres may be selected to provide a given tracking accuracy, including, but not  
30 limited to, a tracking accuracy that is less than about half a diameter of a sensing array  
31 surface. However, tracking device 1223 may include tracking devices other than

1 reflective spheres. For example, in some implementations, tracking device 1223 may  
2 include a flexible sheath configured to measure tip position deflection, for example  
3 deflection of a tip of the flexible sheath.

4 **[0095]** Attention is next directed to Figure 13, which depicts an alternative  
5 implementation of an OCT system 1300, which is substantially similar to Figure 6, with  
6 like elements having like numbers, however in a "1300" series, rather than a "600" series.  
7 For example, OCT system 1300 comprises: an OCT probe 1301 comprising: a body 1302  
8 having a distal end 1303 and a proximal end 1305; a positioner adapter 1307 located at  
9 proximal end 1305; a connector 1309 to an OCT analysis device, connector 1309 located  
10 at proximal end 1305; and, an OCT scan lens 1311 located at distal end 1303; and, a  
11 transparent material 1313 configured to planarize tissue at a scan plane of OCT scan lens  
12 1311. However, in contrast to OCT system 600, where OCT probe 601 and transparent  
13 material 613 are integrated using offset device 615, in OCT system 1300, OCT probe  
14 1301 and transparent material 1313 are discrete components (i.e. separate from one  
15 another). Furthermore, OCT system 1300 further comprises a handle 1327 attached to the  
16 transparent material, handle 1327 configured to extend through a surgical port.

17 **[0096]** For example, attention is next directed to Fig. 14 which schematically depicts  
18 OCT system 1300 in use with a surgical system 1400 comprising: a device positioner  
19 1401 that includes a coupler 1403 configured to couple to positioner adapter 1307 (not  
20 visible in Fig. 14) of OCT probe 1301, as depicted; an access port 1412, similar to access  
21 port 12, an OCT analysis device 1413 that, as depicted, includes an OCT light source,  
22 OCT analysis device 1413 in communication with OCT probe 1301 via an optical fiber  
23 and/or an electrical cable 1415, and the like, coupled to connector 1309; a computing  
24 device 1420 in communication with OCT analysis device 1413; and a display device  
25 1427 configured to render images, including, but not limited to OCT images 1429.

26 **[0097]** In particular, access port 1412 is inserted into a patient, as in Fig. 5, so that tissue  
27 701 is accessible; further access port 1412 then provides a corridor to interact with tissue  
28 701. Device positioner 1401, that may include a robotic arm, is controlled to position  
29 OCT probe 1301 relative to access port 1412 so that OCT probe 1301 may perform an  
30 OCT scan on tissue 701: for example, computing device 1420 may be in communication  
31 with device positioner 1401 and control device positioner 1401, so that a OCT scan lens

1 1311 of OCT probe 1301 is at an offset distance from tissue 701. In particular, OCT  
2 probe 1301 is not physically inserted through access port 1412 in these configurations but  
3 is configured to perform an OCT scan through access port 1412, but at a distance from a  
4 proximal end of access port 1412 (i.e. a proximal end of access port 1412 is towards a  
5 surgeon and the like while a distal end of access port 1412 is towards tissue 701.

6 **[0098]** A surgeon, and the like, as represented by hand 1331, manually inserts transparent  
7 material 1313 through access port 1412 using a proximal end of handle 1327 and applies  
8 pressure to transparent material 1313 so that tissue 701 adjacent a tissue-facing side of  
9 transparent material is planarized.

10 **[0099]** OCT probe 1301 is used to perform the OCT scan while transparent material 1313  
11 is planarizing tissue 701, and OCT analysis device 1413 may Computing device 1420  
12 received OCT data from OCT analysis device 1413, processes the OCT data to produce  
13 an OCT image 1429 and controls display device 1427 to render OCT image 1429 (as  
14 depicted, similar to image "B" in Fig. 8).

15 **[00100]** As OCT scanning and data collection may occur in real time, OCT image  
16 1429 may be updated in real time; hence the surgeon, and the like, may move transparent  
17 material 1313 to both apply pressure and change an angle of transparent material 1313  
18 until a mirror artifact is eliminated and/or is reduced in image 1429.

19 **[00101]** Hence, in contrast to OCT system 600, in OCT system 1300, OCT probe  
20 1301 and transparent material 1313 are discrete components. Furthermore, OCT system  
21 1300 further comprises handle 1327 attached to transparent material 1313, handle 1327  
22 configured to extend through a surgical port, including, but not limited to access port  
23 1412. In addition, at least a proximal end of handle 1327 is is configured to be held by a  
24 human hand, such as hand 1331. A distal end of handle 1327 may be attached to  
25 transparent material 1313 using one or more frames, one or more connectors, epoxy, and  
26 the like and may have a shape and/or configuration and/or dimensions compatible with:  
27 insertion of transparent material 1313 through access port 1412; and a proximal end of  
28 handle 1327 extending through access port 1412 such that transparent material 1313 may  
29 be manipulated (e.g. pressure placed on tissue 701 such that tissue 701 is planarized by  
30 transparent material 1313) by hand 1331 external to access port 1412.

1 [00102] While not depicted, it is appreciated that transparent material 1313 may  
2 include one or more of an immersion material and an index matching material on a  
3 tissue-facing side of transparent material 1313 and/or transparent material 1313 may be  
4 wedge shaped and/or transparent material may be a shape other than disc, as depicted, as  
5 long as a tissue-facing side of transparent material 1313 is substantially flat. In other  
6 words, alternative implementations of transparent material described with reference to  
7 Figs. 9, 10 and 11 may also be implemented at transparent material 1313, as well as  
8 combination thereof.

9 [00103] Attention is next directed to Figure 15, which depicts an alternative  
10 implementation of an OCT system 1500, which is substantially similar to Figure 13, with  
11 like elements having like numbers, however in a "1500" series, rather than a "1300"  
12 series. For example, OCT system 1500 comprises: an OCT probe 1501 comprising: a  
13 body 1502 having a distal end 1503 and a proximal end 1505; a positioner adapter 1507  
14 located at proximal end 1505; a connector 1509 to an OCT analysis device, connector  
15 1509 located at proximal end 1505; and, an OCT scan lens 1511 located at distal end  
16 1503; and, a transparent material 1513 configured to planarize tissue at a scan plane of  
17 OCT scan lens 1511. OCT system 1500 further comprises a handle 1527 attached to  
18 transparent material 1513, similar to handle 1327.

19 [00104] However, in contrast to OCT system 1300, OCT system 1500 further  
20 comprises a first tracking device 1533 located at proximal end 1505, first tracking device  
21 1533 similar to tracking device 1223. In addition, OCT system 1500 further comprises a  
22 second tracking device 1535 located at a respective proximal end of handle 1527,  
23 tracking device 1535 configured to be tracked by a navigation system. As depicted,  
24 second tracking device 1535 is also similar to tracking device 1223. Hence, a navigation  
25 system may track a position of both OCT probe 1501 and transparent material 1513  
26 (presuming a physical configuration of transparent material 1513 and handle 1527 has  
27 been provided to the navigation system). In these implementations, a surgeon and the like  
28 may position transparent material 1500 onto a tissue of interest through the use of handle  
29 1527 using a surgeon's hand, and the like. Tracking device 1535 located at handle 1527  
30 then provides the navigation system with a position of transparent material 1513. At the  
31 same time, the navigation system may detects a position of OCT probe 1501 using

1 tracking device 1533. Device positioner 1401 may be used obtain the two three-  
2 dimensional position information (e.g. positions of each of tracking devices 1533, 153)  
3 and automatically position OCT probe 1501 at a fixed distance away from transparent  
4 material 1513 (e.g. the working distance of scan lens 1511) for OCT scanning. Since, in  
5 these implementations, the positioning process of OCT probe 1501 can be automatic  
6 through the use of the navigation system and device positioner 1401, OCT probe 1501  
7 may follow transparent material 1513 and keep the tissue of interest in focus and within  
8 the imaging area of OCT probe 1501, for example when transparent material 1513 is  
9 placed on to the tissue. Hence, OCT system 1500 may provide both auto-positioning and  
10 an auto-focusing feature. In addition, in some implementations, OCT system 1500 may  
11 comprise one of first tracking device 1533 and second tracking device 1535, but not the  
12 other of first tracking device 1533 and second tracking device 1535.

13 **[00105]** While features of OCT systems and probes are described with reference to  
14 specific implementations, features described with reference to one implementation of an  
15 OCT system and/or probe may be used with other implementations of OCT systems  
16 and/or probes. For example, any of the OCT systems and/or probes described herein may  
17 be adapted to include anti-reflective coatings, immersion materials, index matching  
18 materials, tracking devices, and the like. Furthermore, while present implementations  
19 have been described with reference to port-based surgery, present implementations may  
20 be used other types of surgery that is no port-based including, but not limited to open  
21 case surgery, open cranial surgery, and the like.

22 **[00106]** Described herein is are implement systems that include OCT systems  
23 and/or probes which planarize material in a scan plane of an OCT scan lens using a  
24 transparent material which may result in a reduction and/or elimination of mirror  
25 artifacts.

26 The specific embodiments described above have been shown by way of example, and it  
27 should be understood that these embodiments may be susceptible to various  
28 modifications and alternative forms. It should be further understood that the claims are  
29 not intended to be limited to the particular forms disclosed, but rather to cover all  
30 modifications, equivalents, and alternatives falling within the spirit and scope of this  
31 disclosure.

1 **What is claimed is:**

2 1. An OCT (Optical Coherence Tomography) system comprising:

3 an OCT probe comprising: a body having a distal end and a proximal end; a  
4 positioner adapter located at the proximal end; a connector to an OCT analysis  
5 device, the connector located at the proximal end; and, an OCT scan lens located at  
6 the distal end;

7 a second component comprising: a transparent material configured to planarize tissue  
8 at a scan plane of the OCT scan lens; and, a handle attached to the transparent  
9 material, a respective proximal end of the handle configured to extend through a  
10 surgical port, configured for corridor based surgery, such that the transparent material  
11 can be manipulated independent of the OCT probe using the handle, the OCT probe  
12 and the second component being discrete components, separate from one another,  
13 with a space between the transparent material and the OCT scan lens;

14 a navigation system;

15 a first tracking device located at the proximal end, the first tracking device configured  
16 to be tracked by the navigation system;

17 a second tracking device located the respective proximal end of the handle, the  
18 second tracking device configured to be tracked by the navigation system; and,

19 a device positioner configured to hold the OCT probe, the device positioner in  
20 communication with the navigation system, the device positioner configured to  
21 position the OCT probe relative to the transparent material as the navigation system  
22 tracks respective positions of the first tracking device and the second tracking device.

23 2. An OCT (Optical Coherence Tomography) system comprising:

24 an OCT probe having a proximal end and a distal end, the OCT probe comprising:

25 a positioner adapter located at the proximal end;

26 an OCT scan lens located at the distal end;

27 a first tracking device located at the proximal end;

- 1 a device comprising:
- 2 a transparent material transparent to OCT light and configured to planarize
- 3 tissue at a scan plane of the OCT scan lens;
- 4 a handle attached to the transparent material and configured to: extend
- 5 through a surgical port; and be held by a human hand to manipulate the
- 6 transparent material independent of the OCT probe to place pressure on the
- 7 tissue to planarize the tissue;
- 8 a second tracking device located at a respective proximal end of the handle;
- 9 an arm configured to hold the OCT probe using the positioner adapter; and
- 10 a navigation system configured to: cause the arm to position the OCT probe relative
- 11 to the transparent material to maintain focus at the planarized tissue as the navigation
- 12 system tracks respective positions of the first tracking device and the second tracking
- 13 device.
- 14 3. The OCT system of claim 2, wherein a tissue-facing side of the transparent material is
- 15 substantially flat.
- 16 4. The OCT system of claim 2, further comprising an immersion material on a tissue-
- 17 facing side of the transparent material, the immersion material configured to physically
- 18 couple the transparent material to the tissue.
- 19 5. The OCT system of claim 2, further comprising an index matching material on a tissue-
- 20 facing side of the transparent material, the index matching material configured to
- 21 optically couple the transparent material to the tissue.
- 22 6. The OCT system of claim 2, wherein a side of the transparent material facing the OCT
- 23 scan lens is at a non-zero angle to a surface of the OCT scan lens.
- 24 7. The OCT system of claim 2, wherein a distance between the OCT scan lens and the
- 25 scan plane comprises an OCT scan distance.
- 26 8. The OCT system of claim 2, wherein the transparent material comprises glass.
- 27 9. The OCT system of claim 2, wherein the transparent material comprises plastic.

- 1 10. The OCT system of claim 2, wherein the arm is further configured to position the OCT  
2 device relative to the tissue.
- 3 11. The OCT system of claim 2, wherein a body of the OCT device is configured for  
4 insertion through the surgical port.
- 5 12. The OCT system of claim 2, wherein a respective distal end of the handle is attached  
6 to the transparent material using at least one of: one or more frames; one or more  
7 connectors; and epoxy.
- 8 13. The OCT system of claim 2, wherein a respective distal end of the handle includes a  
9 90° bend, the respective distal end of the handle attached to the transparent material.
- 10 14. The OCT system of claim 2, wherein the handle is further configured to: extend through  
11 the surgical port configured for corridor based surgery.
- 12 15. The OCT system of claim 2, wherein the OCT probe and the device are discrete  
13 components.
- 14 16. The OCT system of claim 2, wherein the OCT probe further comprises a connector to  
15 an OCT analysis device, the connector located at the proximal end of the OCT probe.
- 16 17. The OCT system of claim 2, wherein the OCT scan lens is configured to focus and scan  
17 the OCT light at the scan plane of the OCT scan lens.
- 18

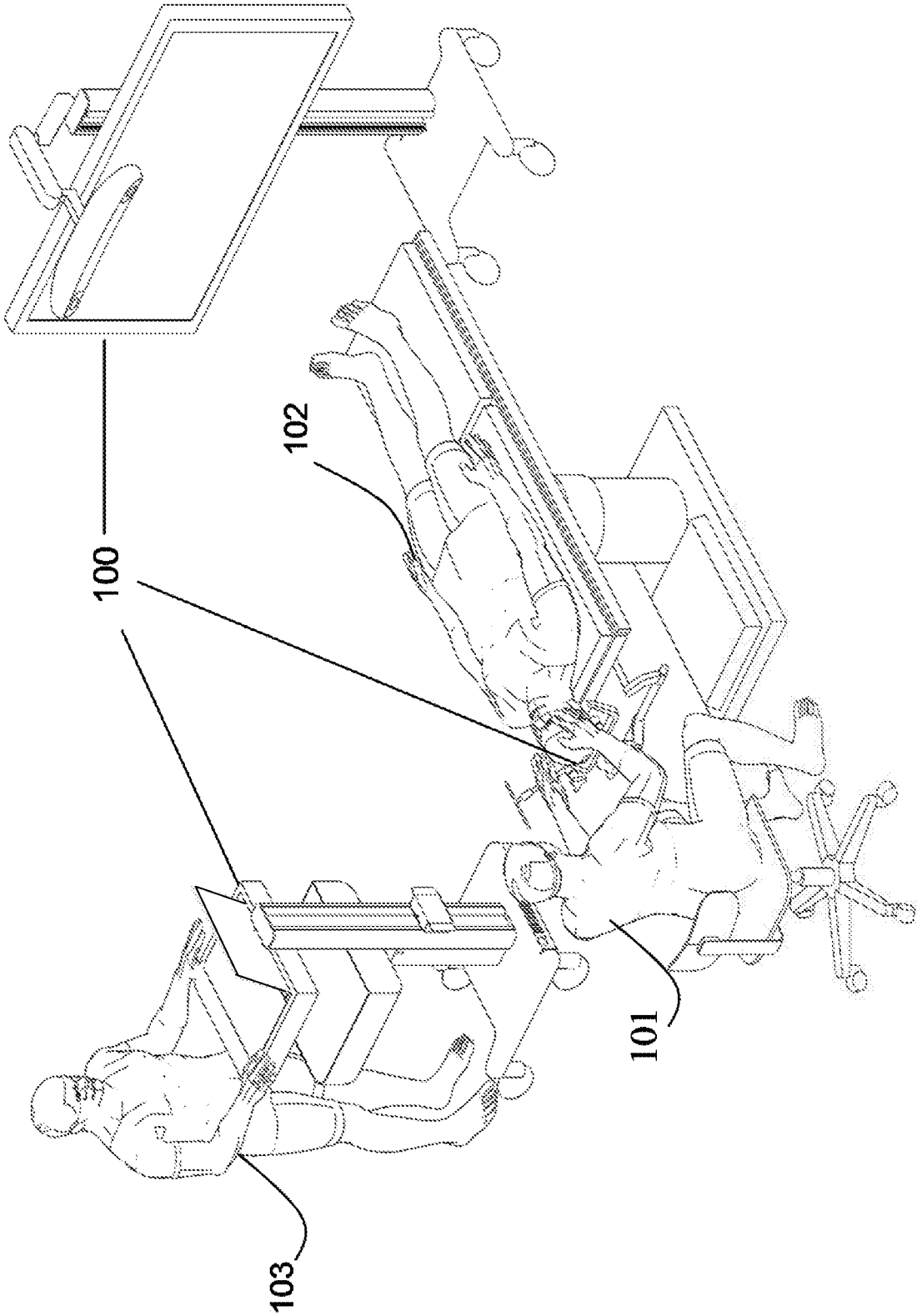


Figure 1

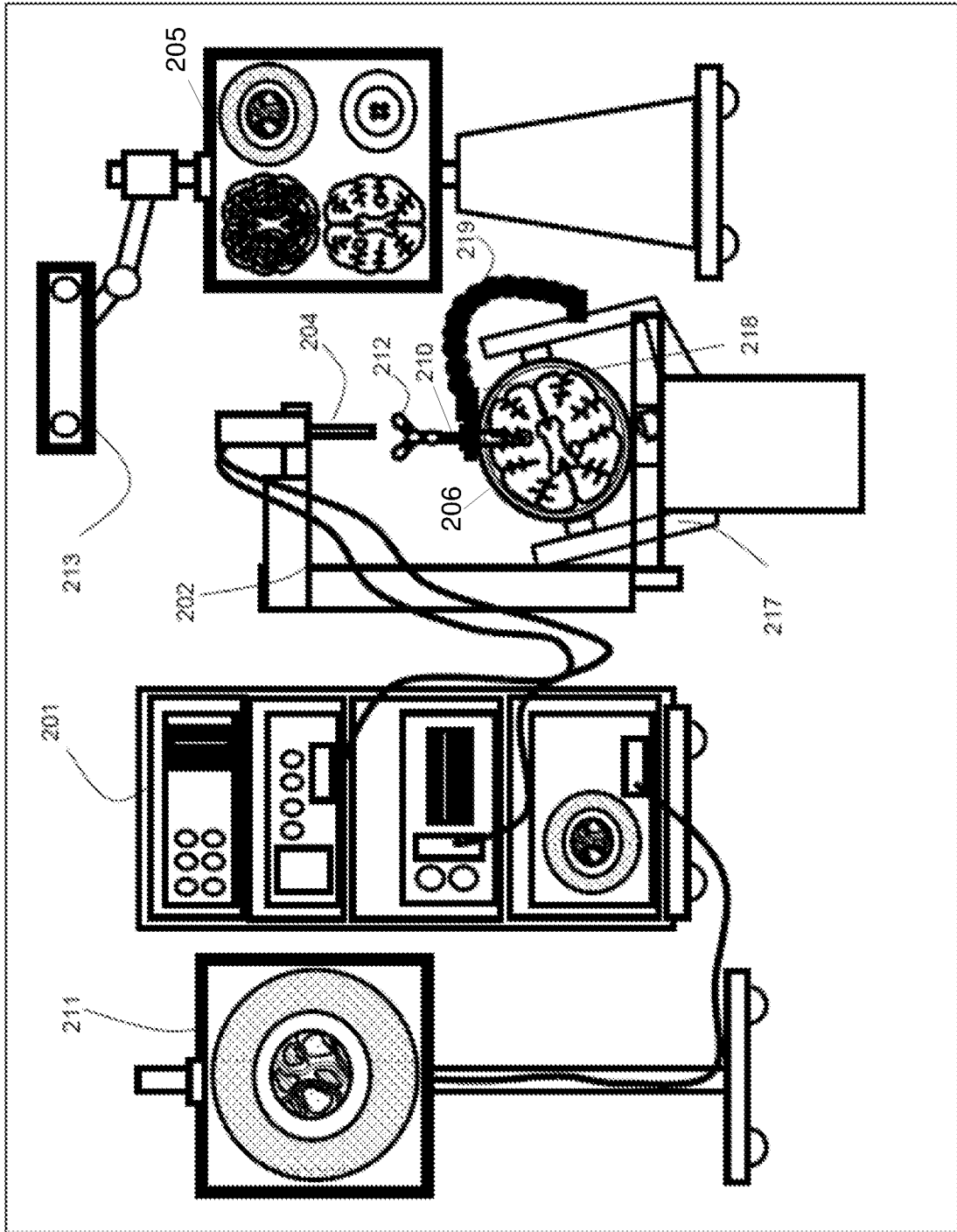


Figure 2

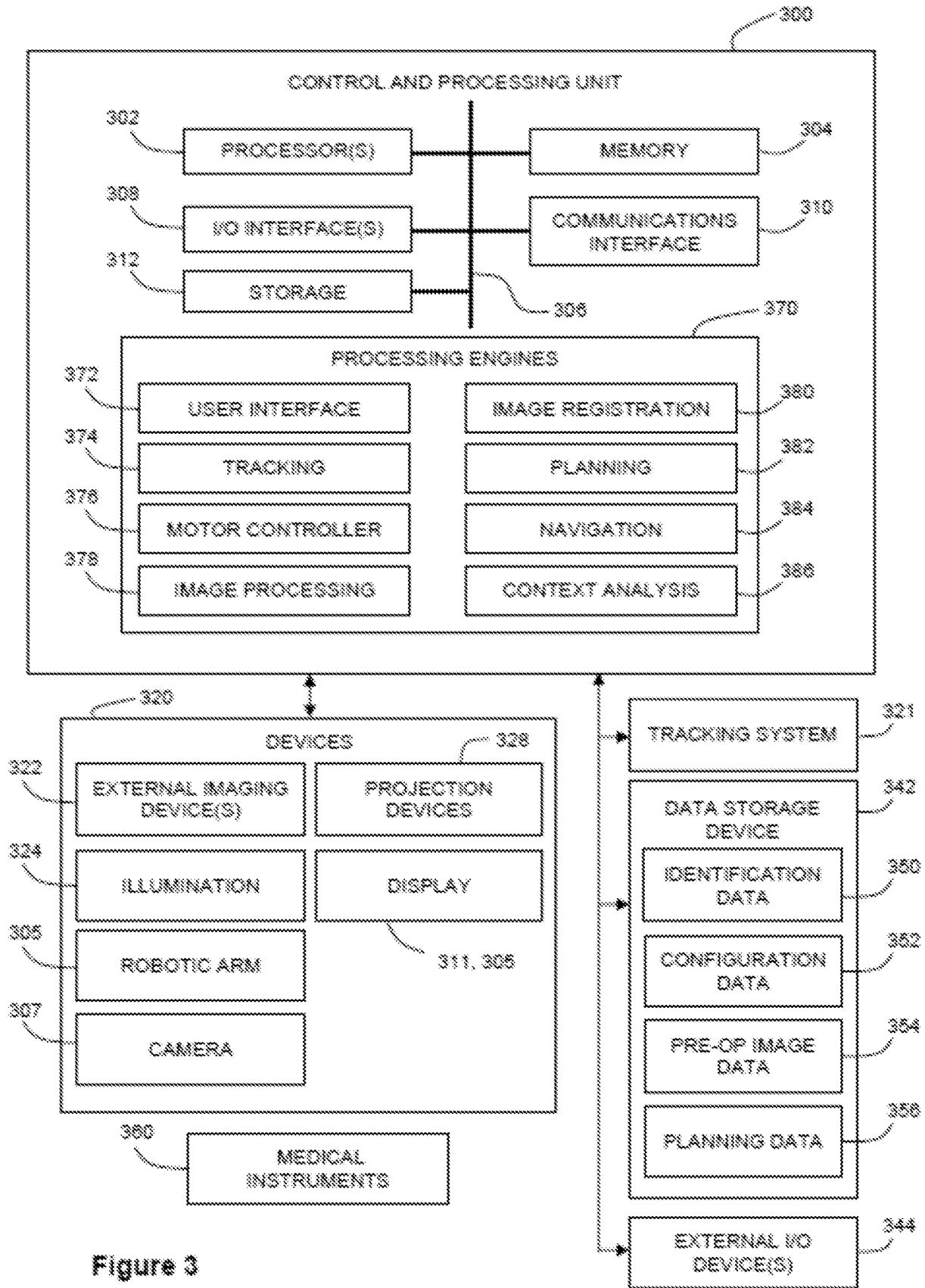


Figure 3

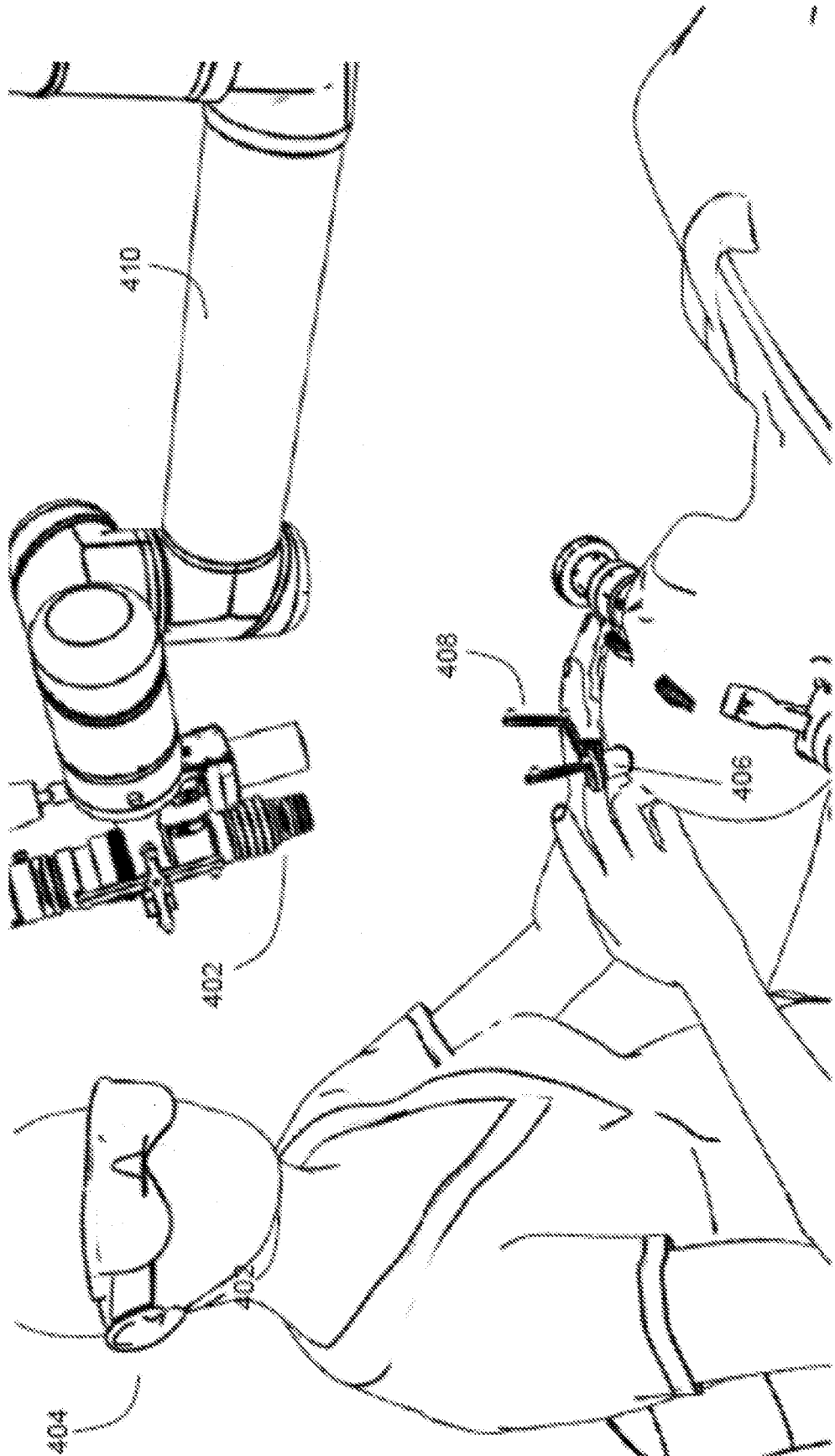


Figure 4

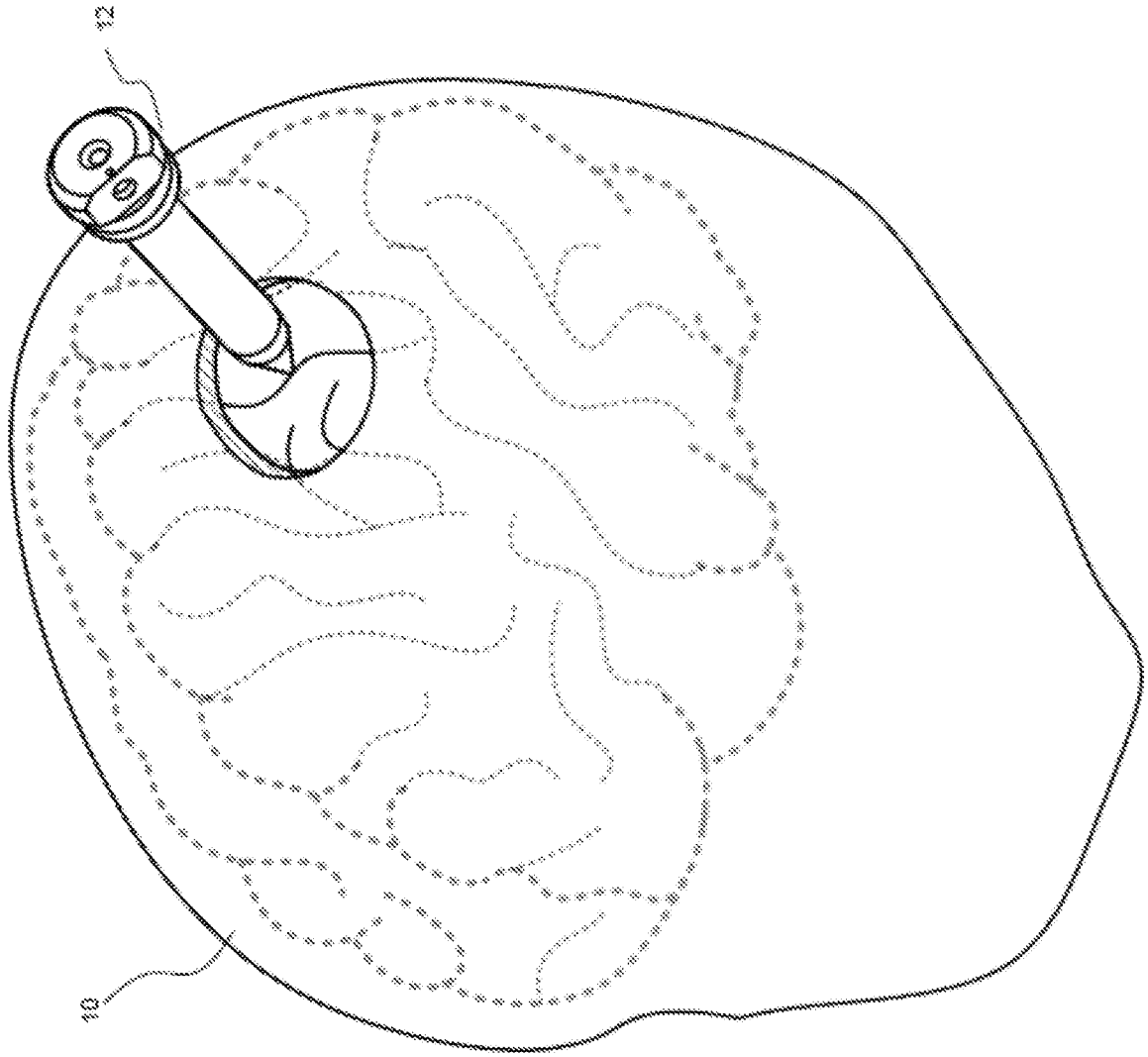


Figure 5

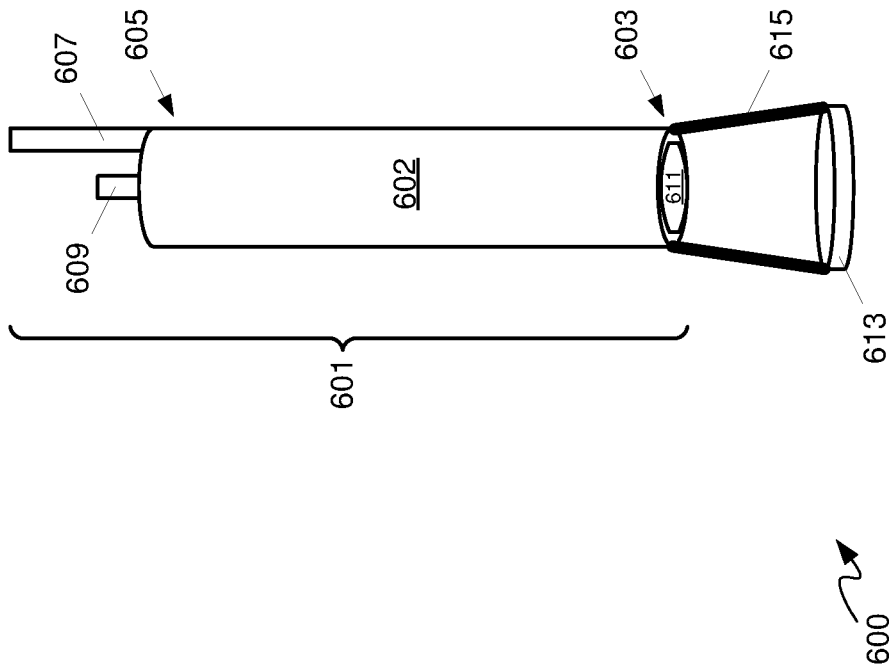


Figure 6

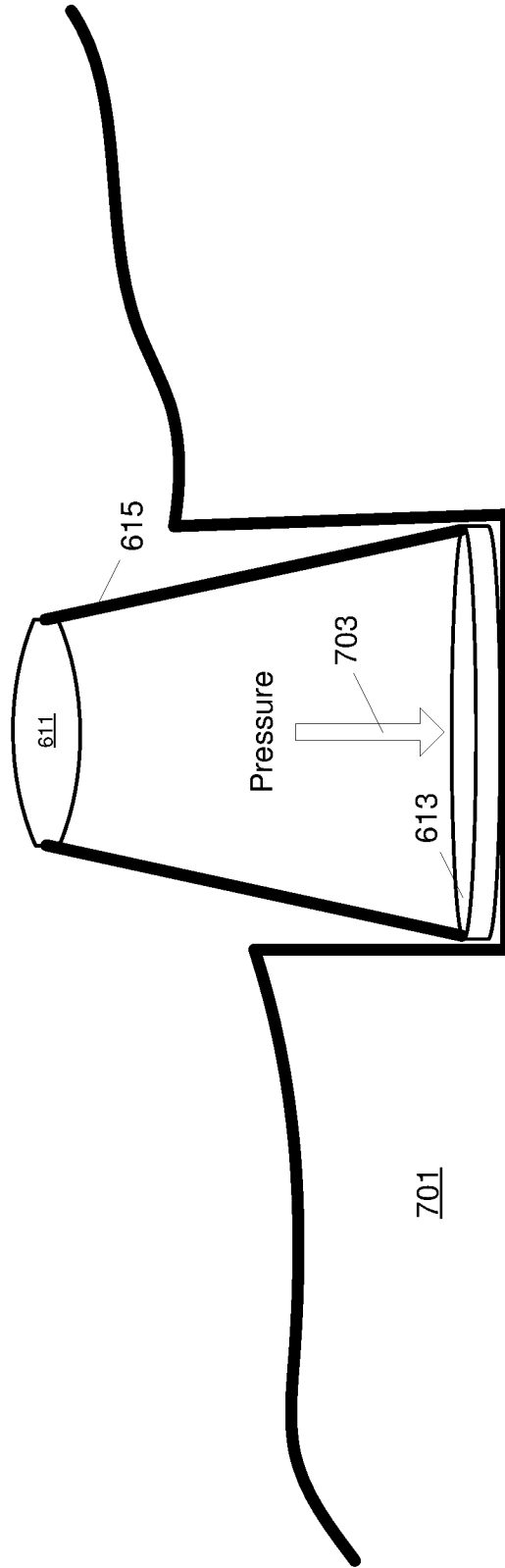


Figure 7

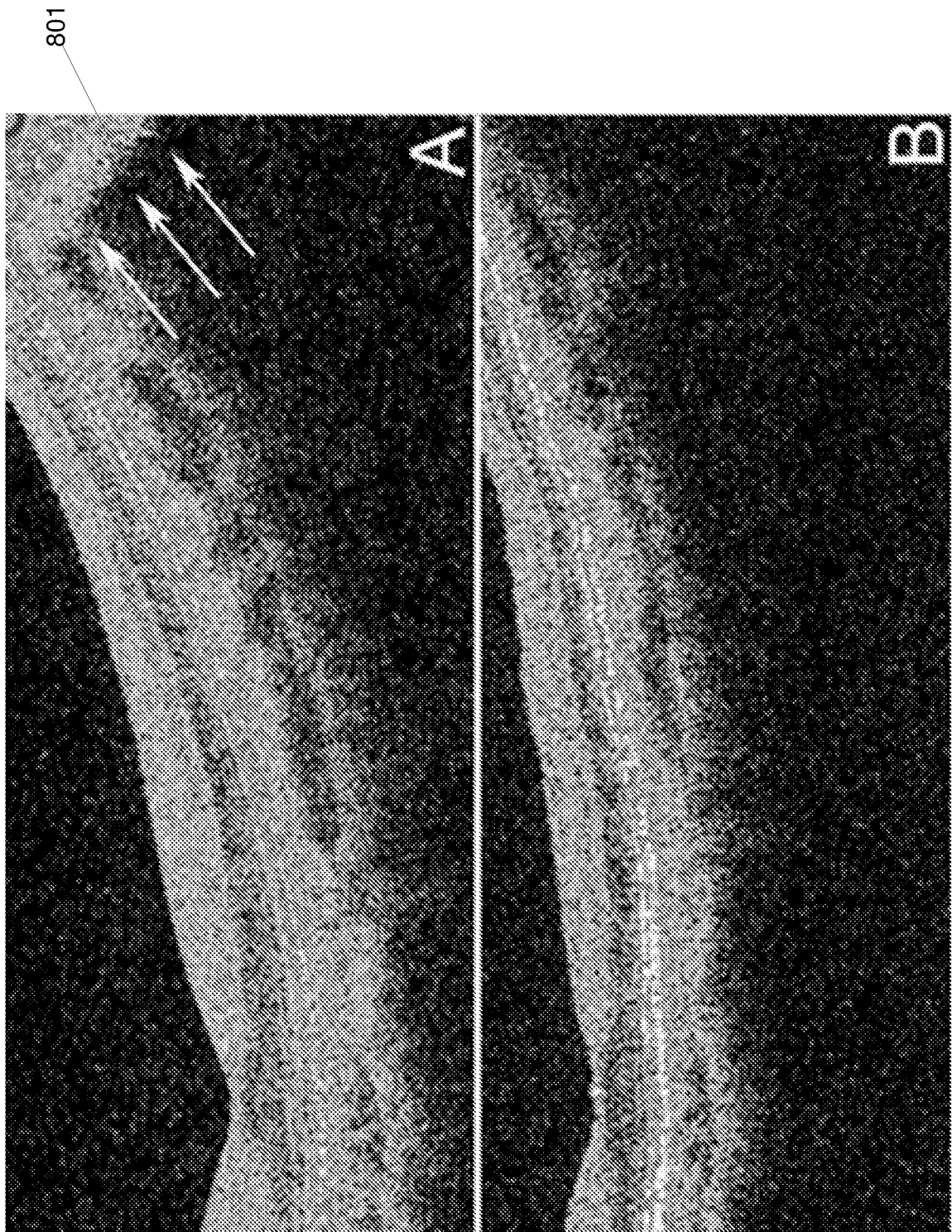


Figure 8

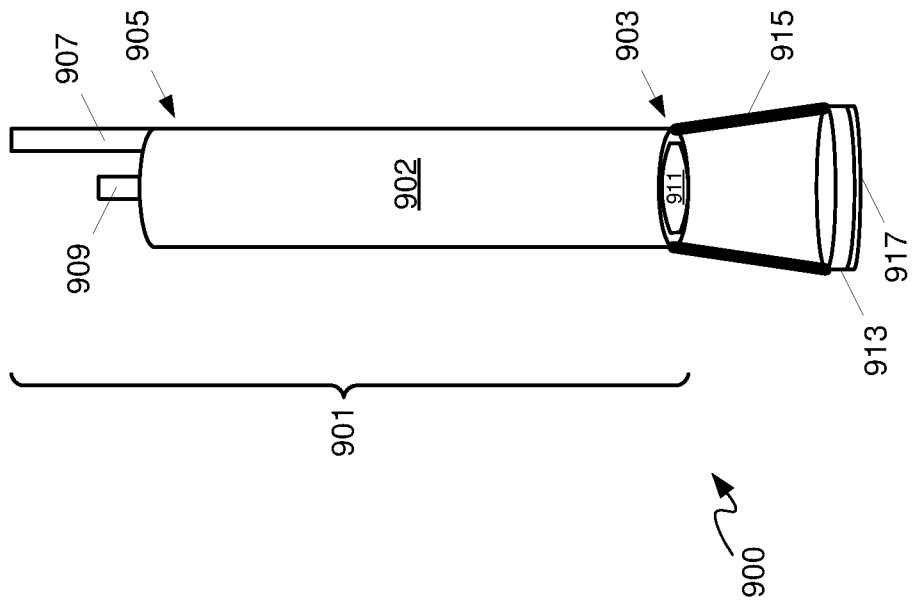


Figure 9

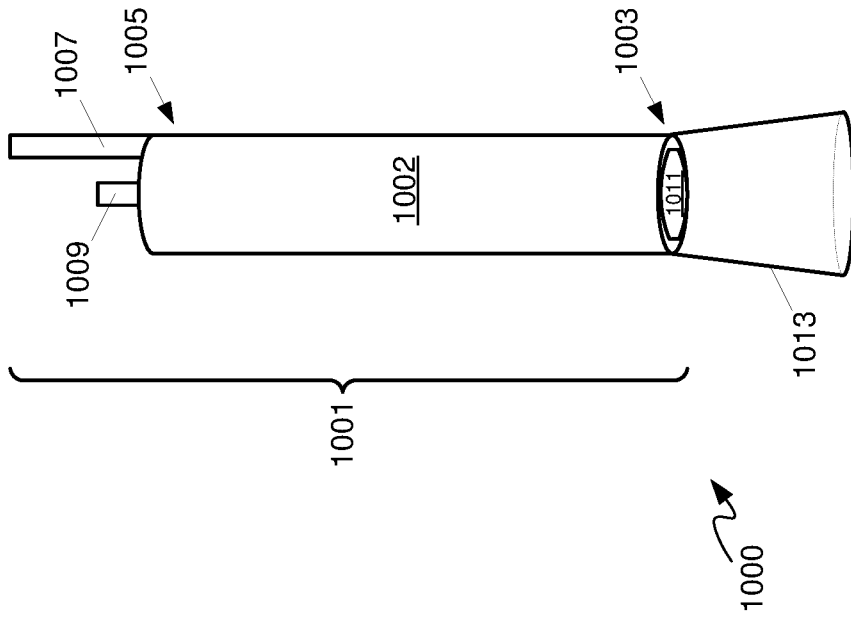


Figure 10

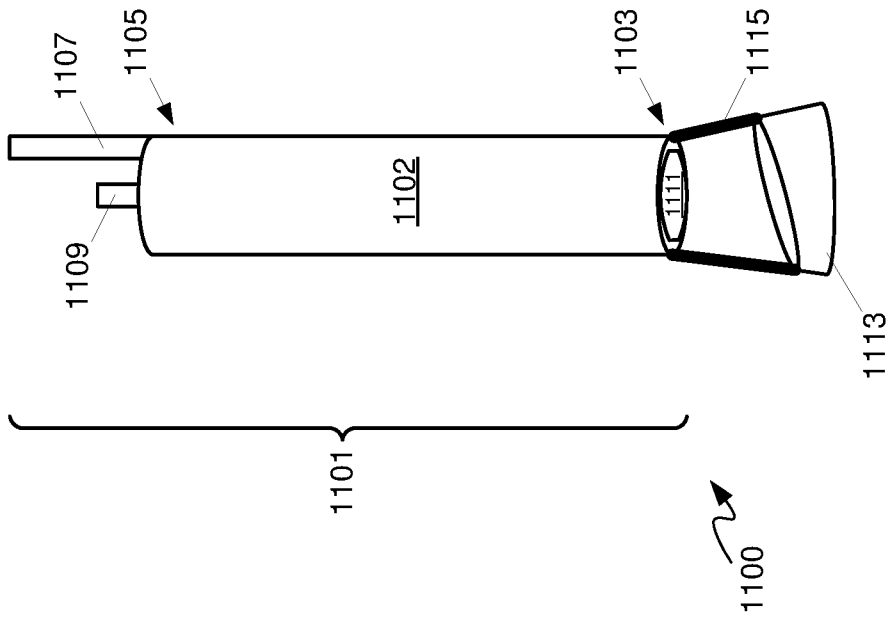


Figure 11

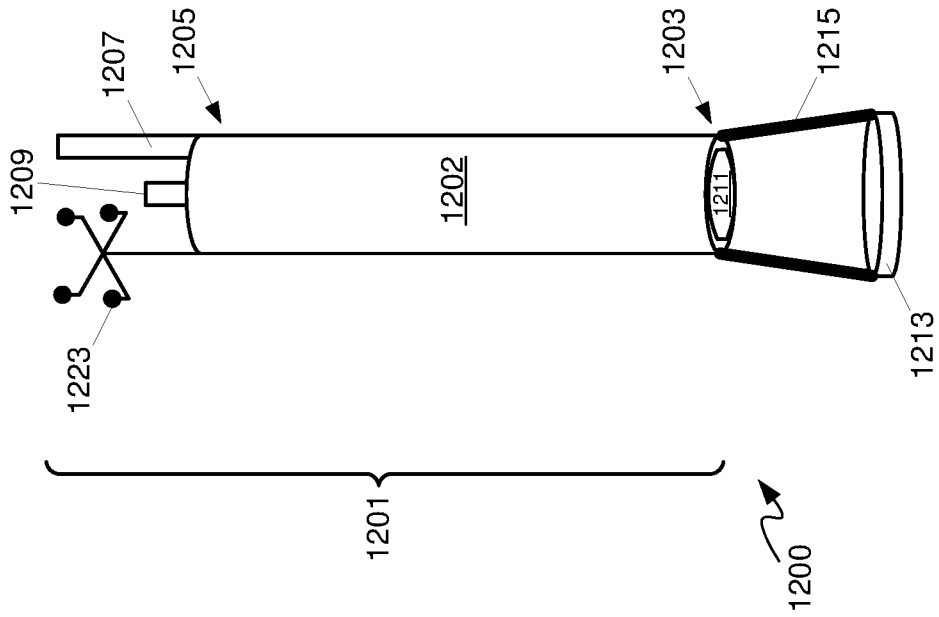


Figure 12

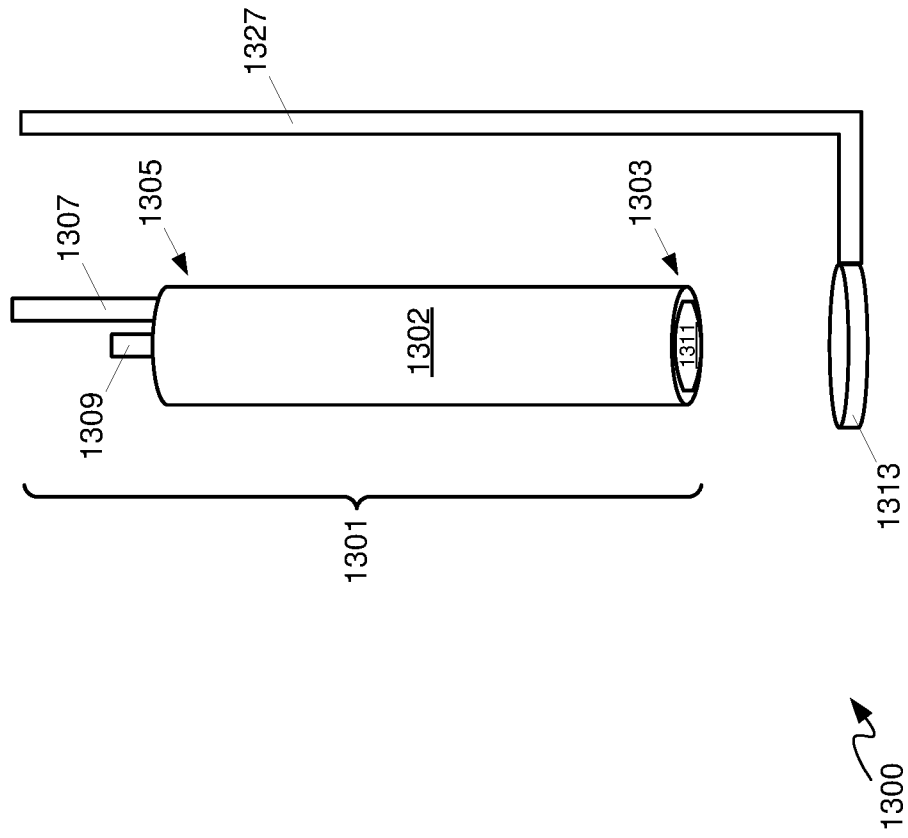


Figure 13

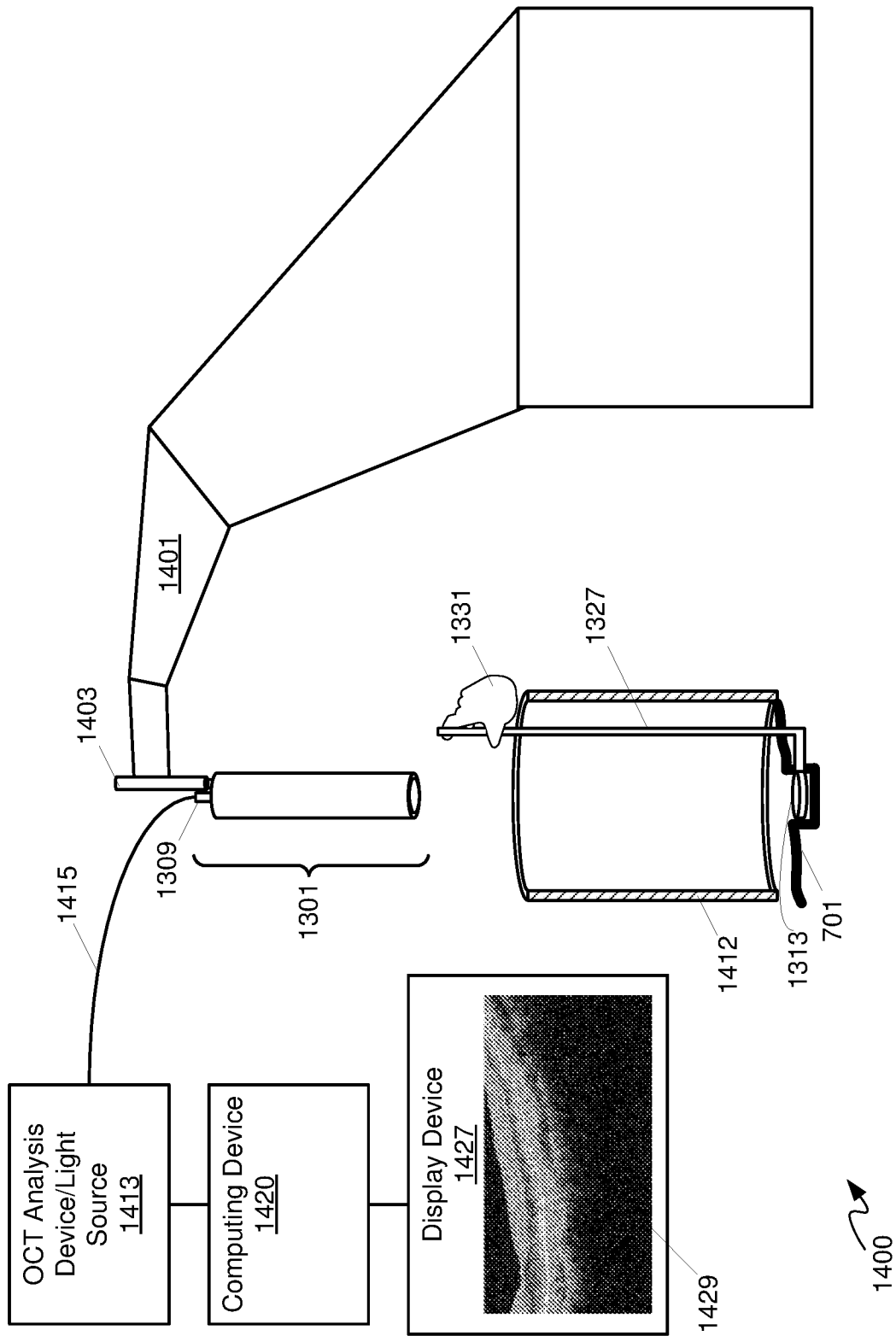


Figure 14

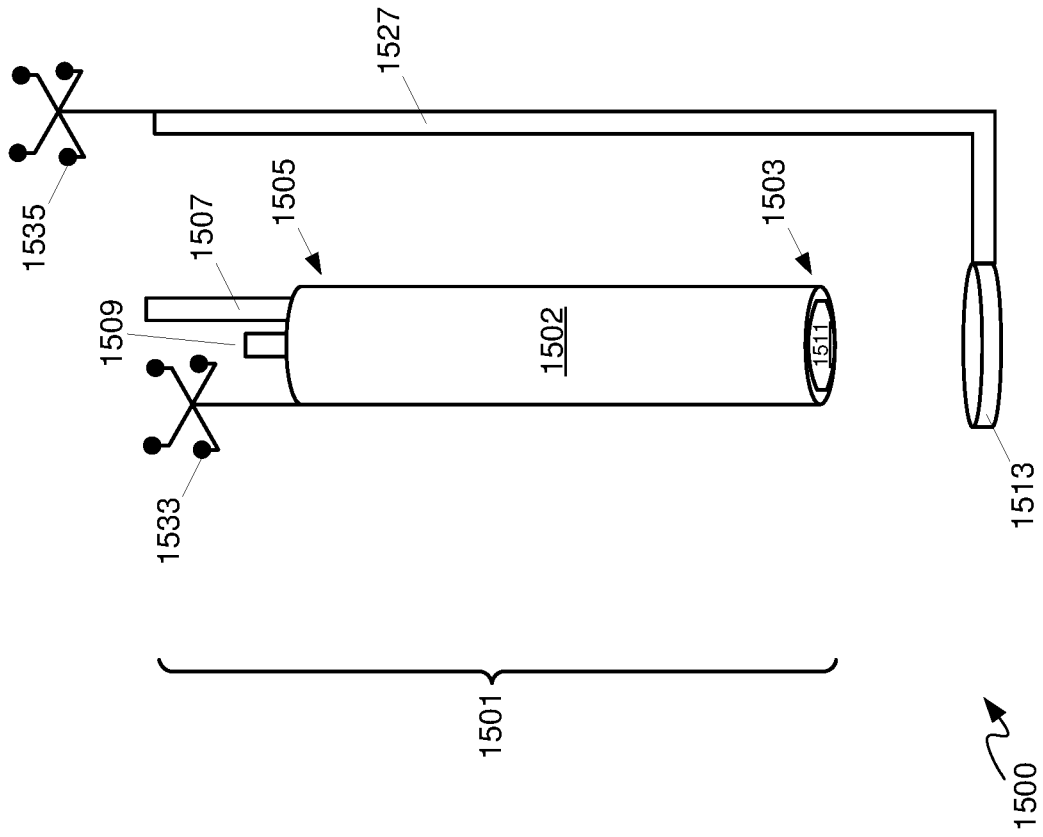


Figure 15

600 ↗

