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(21) International Application Number: PCT/IT87/00068 (22) International Filing Date: 3 July 1987 (03.07.87) (31) Priority Application Number: 3462 A/86 (32) Priority Date: 11 July 1986 (11.07.86) (33) Priority Country: IT (71) Applicant (for all designated States except US): C.B. BIOELETTRONICA S.R.L. [IT/IT]; Via di Prato, 74, I-50041 Calenzano (IT). (72) Inventors; and (75) Inventors/Applicants (for US only) : GRASSI, Gino [IT/IT]; Via Imbriani, 21, I-50019 Sesto Fiorentino (IT). MARCONI, Paolo [IT/IT]; Via Mercati, 6, I-50139 Firenze (IT). (74) Agent: LANZONI, Luciano; Bugnion S.p.A., Via Fari- ni, 37, I-40124 Bologna (IT).		(81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), LU (European patent), NL (European patent), SE (European patent), US. Published <i>With international search report.</i>
(54) Title: OESOPHAGEAL PROBE PROVIDED WITH MEASURING OR STIMULATING ELECTRODES <div style="text-align: center;"> </div> (57) Abstract <p>The electrodes (1, 2) in a dipole probe exhibit rounded outer surfaces totally devoid of sharp edges, and are held close together for the purposes of ingestion using a retaining medium (3) fashioned from gastrically soluble gelatin. Once the soluble medium has been dissolved in the stomach, the electrodes will spread apart through the effect of their own weight, at very least, and the spread can be assisted by a spring (9), fashioned in biocompatible material, which is held compressed by the retaining medium (3) to facilitate ingestion.</p>		

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Oesophageal probe provided with measuring or stimulating electrodes.

The invention relates to a dipole probe designed for transoesophageal recording and/or stimulation of the heart, that can be inserted through the mouth of the patient.

05 It has been found advantageous, effecting electrophysiological tests (invasive included) in which electrical cardiac activity is monitored, to make use of electrodes positioned in the oesophagus for the purpose of recording atrial electrical activity and/or stimulating the left atrium by exploiting the
10 close anatomical proximity of oesophagus and heart. A strong requirement exists with many heart diseases for pin-pointing atrial and ventricular signals as and when they occur, momentarily and over extended periods alike; in many instances, such signals can
15 not be picked up and interpreted by way of normal ECG monitoring.

Utilized in their most notable applications, the types of probe in question permit of:

- 20 -distinguishing between ventricular and supraventricular genesis of hyperkinetic arrhythmia where a wide QRS is registered;
-distinguishing between ectopic atrial heartbeat and sinoatrial stoppage;
25 -monitoring ventricular-auricular conduction in

patients provided with pacemakers;

-monitoring the temporal relationships between QRS and P in cases of supraventricular reciprocating tachyarrhythmia;

05 -producing stimulation, induction and/or arrest of reciprocating tachycardia.

As many arrhythmic diseases are episodic and/or paroxysmal, the facility of effecting a recording by the transoesophageal route during dynamic monitoring
10 (Holter) for continuous periods of up to 24 hours, can prove indispensable for a correct diagnosis. Furthermore, the method in question is not invasive, and can be employed on the great number of patients without causing undue discomfort.

15 There is relatively little literature available on the performance of electrodes in transoesophageal probes over long periods of time.

In essence, the probes in current use consist in two cylindrical electrodes interconnected axially by a
20 cylindrical insulator of identical diameter; the electrical connection is effected by way of two flexible wires issuing from the probe, likewise axially, and passing through the patient's mouth.

Damage or discomfort that could arise during the act
25 of swallowing is avoided by enveloping the probe in a soluble capsule which, on arrival in or near the stomach, duly dissolves and frees the electrodes.

Certain drawbacks remain nonetheless, amongst which are the size and shape of the capsule, often such as
30 to make swallowing difficult for some patients and

almost impossible for others.

Another drawback encountered is that the electrodes of such dipole probes exhibit somewhat pronounced corners which, when the probe is withdrawn following use, can damage the oesophageal mucous membrane.

A further drawback occurs when the capsule fails to arrive fully in the stomach, in which instance some considerable time can lapse before it dissolves in the oesophagus, and a lengthy wait is necessary before the electrophysiological tests can begin.

Yet another drawback betrayed by conventional dipole probes is that of the limited distance between the electrodes, which signifies operating with high stimulation thresholds.

Accordingly, the object of the invention is that of overcoming the drawbacks mentioned above.

The stated object is achieved with a dipole probe as described herein and as characterized in the claims appended, the electrodes of which present rounded outer surfaces and are held close together, almost to the point of making contact, by a retaining medium fashioned in gastrically soluble material.

A first advantage of the probe disclosed consists essentially in the fact that it is easily swallowed and withdrawn, as the electrodes have rounded outer surfaces, devoid of projecting edges, corners etc., and exhibit compact dimensions.

Another advantage of the probe disclosed consists in a complete absence of discomfort, which is known to be obtainable in electrodes of the type embodied

without an external coating of gastrically soluble material.

05 Similarly advantageous is a reduction obtained in the time-lapse preceding activation of the probe, i.e. the interval that passes before the electrodes are freed and fully operational, either by rendering the two electrodes mechanically independent of one another such that their weight hastens the break-up of the retaining medium, or by interconnecting them with a spring the pent-up force of which similarly produces a destructive effect on the medium.

10 A further advantage of the invention is that of its greater effectiveness, achieved by adoption of a rounded shape that ensures optimum contact with the oesophagus walls.

15 Yet another advantage of the invention is the low stimulation threshold obtained, notwithstanding the longitudinal dimensions of the packaged probe are markedly compact. This is achieved by ensuring that the two electrodes are held close together during ingestion by no other constraint than the soluble retaining medium; the only additional connection envisaged is a spring, which in any event expands once the probe has been swallowed and the medium dissolved.

20 The invention will now be described in detail, by way of example, with the aid of the accompanying drawings, in which:

25 The invention will now be described in detail, by way of example, with the aid of the accompanying drawings, in which:
30 figs 1 and 2 show a first embodiment of the dipole probe disclosed, in axial section, viewed before and

after ingestion, respectively;

figs 3 and 4 show a second embodiment of the dipole probe disclosed, in axial section, viewed before and after ingestion, respectively;

05 figs 5 and 6 show a further embodiment of the dipole probe disclosed, viewed in axial section and side elevation and viewed before and after ingestion, respectively.

With reference to the drawings, the orally ingested
10 dipole electrode probe for transoesophageal cardiac recording and/or stimulation consists substantially in two electrodes 1 and 2 connected one to the other by a retaining medium, denoted 3. Within the context of the specification, "dipole" is taken to signify
15 two electric poles, even though the number of single elements making up the electrodes may be more than two, according to the recording and/or stimulation requirements encountered. Reference is thus made to two electrodes throughout the specification, in the
20 interests of simplicity.

One of the two electrodes 1 or 2, for instance that denoted 2, as in the drawings, is provided with an axial bore 8 affording passage to two signal leads 4 and 5 that connect with the respective electrodes 1
25 and 2, the lead denoted 4 also passing through the retaining medium 3 in order to reach the relative electrode 1.

According to the invention, the electrodes 1 and 2 are embodied with a rounded outer surfaces in order
30 that no sharp corners or points will be presented.

The external profile of the electrodes 1 and 2 might be spherical (as in figs 5 and 6) or elliptical (as in figs 1...4); other profiles could equally well be adopted, e.g. lenticular.

- 05 In figs 1...4, the electrodes 1 and 2 are dished, the inside of each one affording a circumferential seat 7 in which to anchor the retaining medium 3. The retaining medium is fashioned in a gastrically soluble gelatin, and proportioned with a maximum
- 10 transverse dimension smaller than the corresponding dimension exhibited by each electrode 1 and 2. The gelatin retaining medium is prevented from filling the space encompassed by the electrodes 1 and 2, to no useful purpose, by association of a
- 15 further component with the internal surface 6 of at least one electrode, and more precisely, a tubular element 9 fashioned in biocompatible material such as Teflon, Silastic, Derlin or the like, which would be welded or glued in position.
- 20 In a first preferred embodiment (see figs 1 and 2), the tubular element 9 takes the form of a tightly compressed coil spring which is held in its bunched position by the retaining medium 3 until ingestion. Each end of the spring 9 is anchored to the relative
- 25 electrode 1 and 2 by welding, or using an adhesive or other similar expedient. Thus, once the probe has been swallowed and passed through to the stomach, the spring 9 will hasten break-up of the retaining medium 3; the result is that the dipole probe can
- 30 reach the oesophagus or the stomach and be rendered

operational (see fig 2) in a much shorter time than is the case with conventional embodiments, where one has to wait for a complete break-up of the soluble gelatin to come about without any auxiliary impetus being applied.

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Needless to say, the spring 9 will be fashioned in a biocompatible material such as those aforementioned. In the second embodiment illustrated (figs 3 and 4), the tubular element 9 is a simple tube fashioned in a material that is biocompatible, and soft. In this embodiment, the tubular element 9 is anchored to one only of the electrodes 1 or 2, say, the electrode 2 through which the signal leads 4 and 5 are routed; following ingestion, in fact, this electrode will be positioned uppermost, and the unattached end of the tubular element 9 will become the trailing end when the probe is withdrawn following the test.

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In this embodiment, the signal lead 4 connected to the electrode 1 without the bore is provided with a stop 10 consisting, quite simply, in a knot tied in the lead 4 itself at a point either between the two electrodes 1 and 2 or externally of the probe. With the knot 10 tied between the electrodes, it will be the lead 4 of the farthest electrode 1 that must be pulled to withdraw the probe (see fig 4); with the knot 10 tied externally of the probe, the lead 5 of the nearest electrode 2 will be pulled to accomplish withdrawal.

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The length of the extended spring 9, in the first embodiment, or the distance separating the farthest

electrode 1 and the knot 10 in the second, will be dependent upon the spacing of the electrodes 1 and 2 required in operation.

05 In both of the embodiments described thus far, the drawbacks besetting conventional dipole probes are overcome -viz,

-in either embodiment, the overall dimensions of the probe obtainable for the purposes of ingestion are those of an ordinary pill, and in the second, the
10 two components withdrawn are even smaller;

-the outer surfaces of the probe remain absolutely smooth during ingestion and withdrawal alike;
-the interval which passes before the electrodes are rendered operational is notably brief, as the force
15 of the spring 9 in the first embodiment, or the weight of the farthest electrode 1 in the second, will have the effect of assisting break-up of the gastrically soluble gelatin retaining medium 3.

In addition, the distance separating the electrodes
20 can be made relatively great, thereby lowering the stimulation threshold; thus, notwithstanding its compact dimensions at the moment of ingestion, the dipole probe is rendered singularly effective not only for the reception of cardiac signals, but also
25 for pacemaking purposes.

In a further embodiment illustrated in figs 5 and 6, the electrodes 1 and 2 are embodied as two balls, the one entirely solid, the other provided with an axial bore 8 affording passage to the two signal
30 leads 4 and 5. The electrodes are held together for

the purposes of ingestion by an enveloping retaining medium 3, namely a gastrically soluble capsule.

Likewise in this instance, break-up of the retaining medium 3 is hastened by the weight of the farthest electrode 1, the lead 4 from which is provided with a stop 10 identical or similar to that illustrated in figs 3 and 4. The embodiment of the electrodes 1 and 2, especially small and totally devoid of any projecting edges or corners, facilitates withdrawal still further.

In order to improve signal reception, or step up the transmission capacity for pacemaking purposes, the electrodes 1 and 2 might be faced externally with a layer of precious metal, or activated by coating with platinum black.

Clear reception of cardiac signals, i.e. undisturbed by the effects of breathing and muscular activity, can be ensured by routing the signal leads 4 and 5 through a filter circuit capable of eliminating such unwanted interference.

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Claims

- 1) An orally ingested dipole probe for transoesophageal cardiac recording and/or stimulation, comprising at least two conductive elements fashioned from a bio-compatible material and constituting electrodes (1, 2), a retaining medium (3) by which the electrodes are interconnected mechanically, and at least one pair of signal leads (4, 5) wired to the electrodes, which are routed through an access bore (8) formed in at least one electrode, characterized in that the electrodes (1, 2) exhibit rounded outer surfaces totally devoid of sharp edges and corners, and are held close together prior to their ingestion by the retaining medium (3), which is fashioned in gastrically soluble material.
- 2) A dipole probe as in claim 1, wherein the electrodes (1, 2) are two in number and exhibit a dished shape affording an internal circumferential seat (7) in which to anchor a retaining medium (3) fashioned in gastrically soluble gelatin, and wherein the soluble retaining medium ensheaths a tubular element (9) fashioned in a biocompatible material and associated with the internal surface (6) of at least one of the two electrodes (1, 2).

- 3) A dipole probe as in claim 2, wherein the retaining medium (3) is proportioned with a maximum external diameter smaller than the maximum external diameter exhibited by the electrodes (1, 2).
- 4) A dipole probe as in claim 2, wherein the external profile of the single electrode (1, 2) is spherical, at least in part, or substantially elliptical or lenticular.
- 5) A dipole probe as in claim 2, wherein the tubular element (9) is made fast at each end to the internal surface (6) of both electrodes (1, 2) and embodied as a helical spring that is compressed into tubular configuration, its single coils urged into mutual contact one with the next, through the agency of the retaining medium (3) by which it is ensheathed.
- 6) A dipole probe as in claim 2, comprising a tubular element (9) fashioned from a soft material and made fast at one end to the internal surface (6) of one electrode (1, 2) only, wherein the signal lead (4) wired to the electrode (1) other than that through which the leads (4, 5) are routed is provided with a stop (10) serving to dictate the distance by which the two electrodes (1, 2) will be separated once the retaining medium (3) has been dissolved, or at least broken up, and the lead (4) itself fully extended.
- 7) A dipole probe as in claim 6, wherein the tubular

element (9) is made fast by one end to the electrode (2) through which the signal leads (4, 5) are routed.

- 8) A dipole probe as in claim 6, wherein the tubular element (9) is made fast by one end to the electrode (1) other than that through which the signal leads (4, 5) are routed.
- 9) A dipole probe as in claim 1, wherein the electrodes (1, 2) are ball shaped and enveloped by a retaining medium (3) embodied as a capsule of gastrically soluble material, and wherein the signal lead (4) wired to the electrode (1) other than that through which the leads (4, 5) are routed is provided with a stop (10) serving to dictate the distance by which the two electrodes (1, 2) will be separated once the retaining medium (3) has been dissolved, or at least broken up, and the lead (4) itself fully extended.
- 10) A dipole probe as in claim 6 or 9, wherein the stop (10) consists in a knot tied in the signal lead (4) wired to the electrode (1) other than that through which the leads (4, 5) are routed, at a given point between the electrodes (1, 2).
- 11) A dipole probe as in claim 6 or 9, wherein the stop (10) consists in a knot tied in the signal lead (4) wired to the electrode (1) other than that through which the leads (4, 5) are routed, at a given point other than between the electrodes (1, 2).

- 12) A dipole probe as in claim 1, wherein the outer surface of the electrodes (1, 2) is either faced with a layer of precious metal, or activated.
- 13) A dipole probe as in claim 1, wherein the outer surface of the electrodes (1, 2) is activated by application of a coating of platinum black.

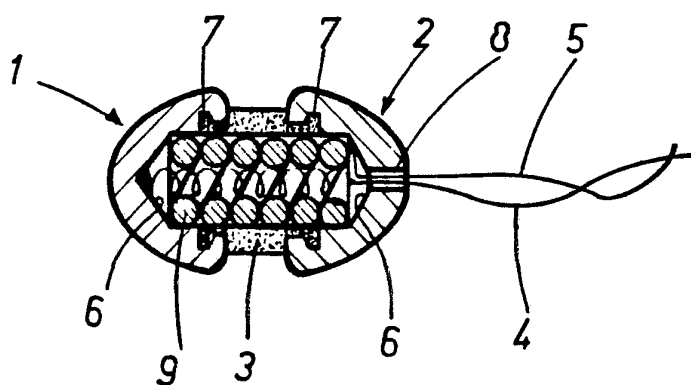


FIG 1

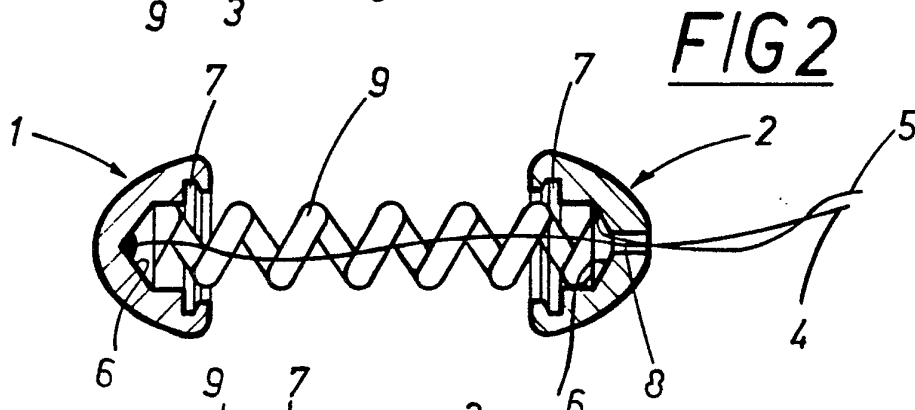


FIG 2

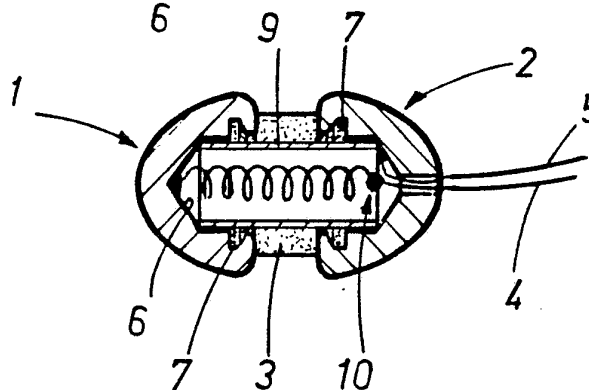


FIG 3

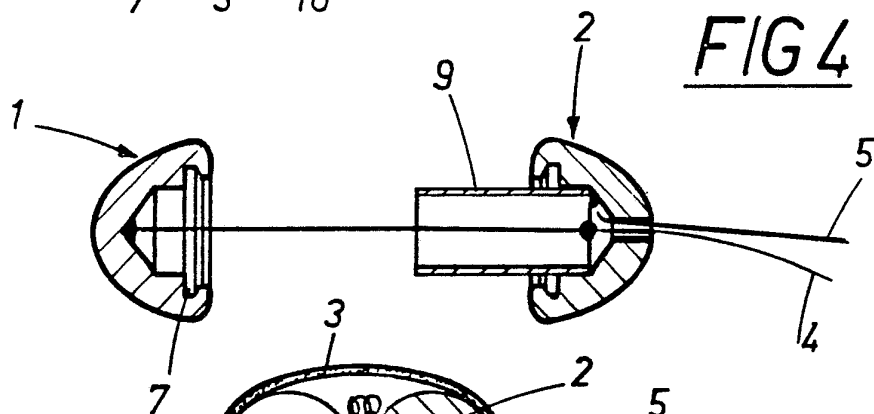


FIG 4

FIG 5

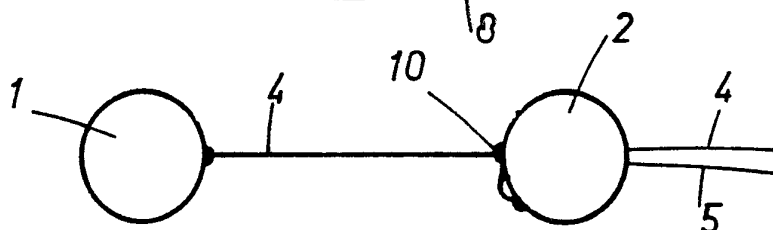
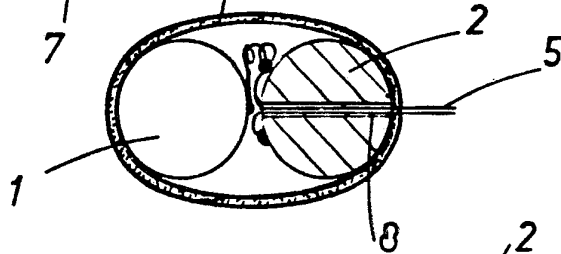


FIG 6

INTERNATIONAL SEARCH REPORT

International Application No. **PCT/IT 87/00068**

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) * According to International Patent Classification (IPC) or to both National Classification and IPC IPC ⁴ : A 61 B 5/04; A 61 N 1/05						
II. FIELDS SEARCHED <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Minimum Documentation Searched *</div> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; border-bottom: 1px solid black; padding: 5px;">Classification System</td> <td style="border-bottom: 1px solid black; padding: 5px;">Classification Symbols</td> </tr> <tr> <td style="padding: 5px;">IPC⁴</td> <td style="padding: 5px;">A 61 B; A 61 N</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched *</div>			Classification System	Classification Symbols	IPC ⁴	A 61 B; A 61 N
Classification System	Classification Symbols					
IPC ⁴	A 61 B; A 61 N					
III. DOCUMENTS CONSIDERED TO BE RELEVANT *						
Category *	Citation of Document, ** with indication, where appropriate, of the relevant passages **	Relevant to Claim No. **				
X	FR, A, 2237648 (F. ZACOUTO) 14 February 1975, see page 1, line 31 - page 2, line 4; page 4, lines 3-6; page 6, lines 6-27; page 9, lines 13-20; page 10, lines 9-17; figures 1-5	1				
Y	DE, A, 2043105 (F. ERBEL AND J. GÄRTNER) 9 March 1972, see page 1, lines 1-9; page 2, lines 5-15; page 3, lines 10-26; figures 1-6	1,2,9				
A	--	4				
Y	WO, A, 79/00811 (J. PAWELEC) 18 October 1979, see abstract; page 7, lines 5-26; page 10, line 36 - page 11, line 4; figures 1-6	1,2,9				
A	--	3,5				
A	WO, A, 81/03428 (P. PLESS et al.) 10 December 1981, see abstract; page 6, line 22 - page 7, line 13; page 8, lines 25-34; page 10, lines 24-28; page 16, claim 1; figures 1-7	1				
	--	./.				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: **</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>						
IV. CERTIFICATION						
Date of the Actual Completion of the International Search <u>30th September 1987</u> International Searching Authority EUROPEAN PATENT OFFICE	Date of Mailing of this International Search Report 27 OCT 1987 Signature of Authorized Officer M. VAN MOL					

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category*	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
A	US, A, 3933612 (D.J. FISHER et al.) 20 January 1976, see abstract; column 4, lines 31-45, 66-68; column 5, lines 25-28; column 7, lines 8-15, 38-51; column 8, lines 50-57; figures 1-9 -----	1,12,13

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON

INTERNATIONAL APPLICATION NO. PCT/IT 87/00068 (SA 17877)

This Annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 09/10/87

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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR-A- 2237648	14/02/75	None	
DE-A- 2043105	09/03/72	None	
WO-A- 7900811	18/10/79	GB-A,B 2039219 EP-A- 0014202 US-A- 4481952	06/08/80 20/08/80 13/11/84
WO-A- 8103428	10/12/81	EP-A,B 0053166 AU-A- 7229081 US-A- 4640298	09/06/82 21/12/81 03/02/87
US-A- 3933612	20/01/76	None	

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see Official Journal of the European Patent Office, No. 12/82