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| <b>(54) Title:</b> INDUCTION OF SOMATIC EMBRYOGENESIS IN <i>TAXUS</i> , AND THE PRODUCTION OF TAXANE-RING<br>CONTAINING ALKALOIDS THEREFROM<br><br><b>(57) Abstract</b><br><br>The present invention provides methods of inducing somatic embryogenesis in tissue cultures derived from varieties of <i>Taxus</i> . In addition, the present invention provides methods of obtaining taxol, or precursors thereof, using <i>in vitro</i> propagated somatic embryo tissues.                                                                                                                                                                      |           |                                                                                                                                                                                                                                                                                                                                                                   |

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**INDUCTION OF SOMATIC EMBRYOGENESIS IN *TAXUS*, AND  
THE PRODUCTION OF TAXANE-RING CONTAINING  
ALKALOIDS THEREFROM**

**FIELD OF THE INVENTION**

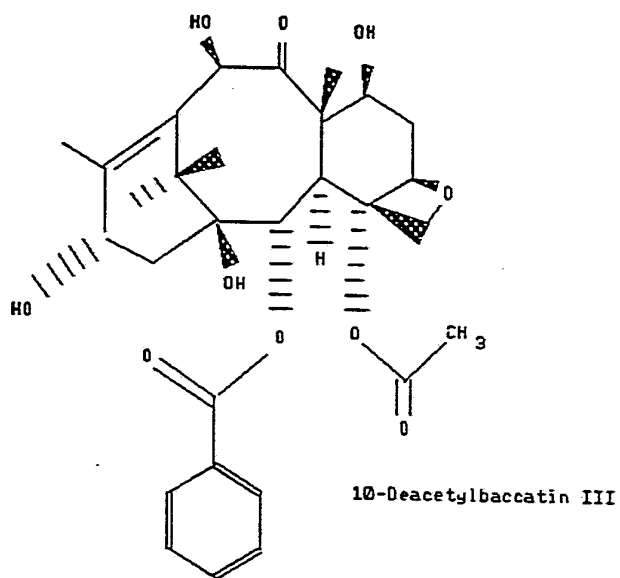
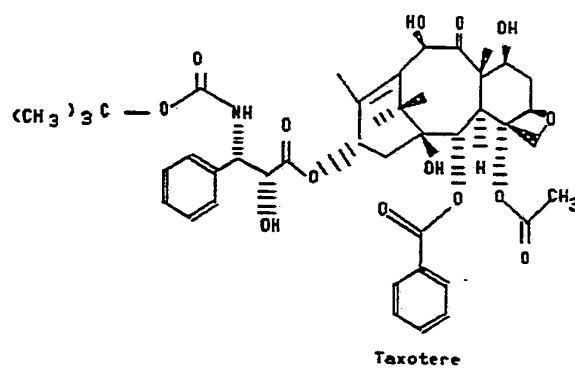
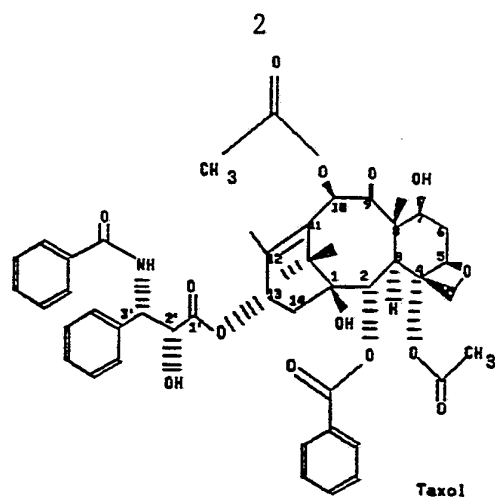
The invention is in the field of plant cell culture and pharmacology. In particular, the invention relates to the induction of somatic embryogenesis in plant tissue cultures and primary explants of the genus *Taxus*, specifically *T. brevifolia*.

The invention further relates to the surprising discovery that somatic embryos induced from explants of *T. brevifolia* produce taxane-ring containing alkaloids. Utilizing this observation, methods are described for the production of taxol and taxol precursors from *in vitro* propagated cultures of somatic embryos.

**BACKGROUND OF THE INVENTION**

**A. Taxol and the production of secondary plant metabolites *in vitro***

Taxol, a diterpene, was first identified in 1964 and has subsequently been shown to have anti-cancer activity against ovarian cancer, breast cancer, small-cell lung cancer, melanoma, and colon cancer. The structure of taxol and two taxane-ring containing alkaloid precursors is as follows:



Taxol is produced primarily in the bark and cambial tissue of the pacific yew *Taxus brevifolia*. Using current purification procedures, 1

5 kilogram of taxol requires processing of approximately 10,000 kilograms of bark. This is equivalent to 2,000-4,000 sixty to seventy year old trees. Recent estimates put the need of taxol at approximately 250 kilograms of the purified drug per year. This is equivalent to a yield of 25 million kilograms of dried bark or approximately 750,000 trees. Due to the shortage of the pacific yew, other sources of taxol are currently being sought.

10 One potential source of taxol which has been examined is *in vitro* cultured plant cells and tissues. U.S. Patent No. 5,019,504 describes the initiation and proliferation of callus cell cultures from explants of *T. brevifolia*. The callus cells produced by this procedure were shown to produce taxol.

15 There are several obstacles to the use of callus or undifferentiated cell cultures as a means of producing secondary metabolites such as taxol. Typically, secondary metabolites are produced by specialized or differentiated tissues; most notably bark in the case of taxol, or leaves in the case of other taxanes such as baccatin. Undifferentiated, or callus cultures often lack the necessary biosynthetic capacity to assemble molecules as complex as taxanes, or, the degree of cytodifferentiation  
20 required to sequester these molecules once synthesized. The result has been that most secondary metabolites are not found in callus cultures, and, in cases where they have been detected in callus, the concentration is usually very much lower than that *in planta*.

25 Nevertheless, callus cultures have been extensively investigated for the production of secondary metabolites due to their ease of establishment, manipulation and rapid growth rate. Often, for investigations *in vitro*, rapidly-growing callus cultures are the most convenient way to produce the large quantities of tissue required for detection of secondary metabolites such as taxol that are found in such

low concentrations. The rapid growth rate of callus cultures underscores another of their disadvantages in that the cells that comprise these cultures tend to be genetically unstable, demonstrating high levels of genetic recombination and unstable ploidy levels. Such genetic instability can ultimately lead to cultures with diminished taxol production capacity. In order to avoid this problem, callus cultures need to continually be reestablished from a genetic stock.

For the large scale production of secondary plant products *in vitro*, it would be desirable to combine the rapid growth rates and capacity for high biomass concentrations of undifferentiated cell culture systems with the inherent capacity for secondary metabolite production of differentiated cells or tissues. Researchers have realized the potential for secondary metabolite production in cultures that proliferate in a manner akin to undifferentiated cell cultures (i.e., callus or cell suspension), but are instead comprised of differentiated cells or tissues. For example shoot cultures (tissue cultures comprised of masses of rapidly proliferating shoots) have been investigated as sources of essential oils and alkaloids that are found in leaf or stem tissue (Heble, in: *Primary and Secondary Metabolism in Plant Cell Cultures*, Neumann *et al.* (ed.), Springer-Verlag, Berlin Heidelberg, pp.281-289 (1985)). In shoot cultures, the specific tissue types that produce and sequester essential leaf oils are multiplied, and the rigid developmental program required for shoot morphogenesis also minimizes genetic instability. In this way, tissue cultures have been shown to combine the attractive features of both undifferentiated and differentiated systems.

Although taxol has been detected in undifferentiated cell culture systems, its production has not been described in tissue culture systems. One such system is embryogenic tissue cultures.

Embryogenic conifer tissue cultures are strikingly dissimilar to conifer callus cultures biochemically, histologically, and in macroscopic appearance. Although the term "callus" is a generic term used to describe cell and tissue cultures, many researchers in the field of conifer somatic embryogenesis object to the use of "callus" in describing embryogenic conifer tissue (See for example Gupta and Durzan, *Bio/Tech.* 5:147-151 (1987); Rohr *et al.*, *Amer. J. Bot.* 76:1460-1467 (1988); Tautorus *et al.*, *Can. J. Bot.* 69:1873-1899 (1991)). The reason for the objection to the use of the term "callus" is that, rather than being comprised of undifferentiated cells, embryogenic conifer tissue cultures are comprised of differentiated cells (suspensor-like cells) and structures analogous to early stage embryos found in developing seeds. Therefore, embryogenic conifer tissue cultures do not fit the definition of callus or their liquid counterparts, cell suspension cultures, and represent an improved way to produce taxol by the embodiment of the beneficial growth characteristics of cell culture systems with the capacity for secondary metabolite production of tissue culture systems.

**B. Somatic Embryogenesis in Conifers**

Although procedures for the induction of somatic embryogenesis have been known in the art for some time (Tisserat *et al.*, *Hort. Rev.* 1:1-78 (1979)), it has only been recently demonstrated successfully with coniferous species (See Hakman *et al.* *Plant Sci.* 38:53-59 (1985)). Since the first reports of successful induction of somatic embryogenesis in conifer cell cultures, eighteen (18) species from the genera *Pinus*, *Picea*, *Abies*, *Larix* and *Psuedotsuga* (Tautorus *et al.*, *Can. J. Bot.* 69:1873-1899 (1991)), have been demonstrated as having the capacity to produce somatic embryos.

The production of somatic embryos from conifers is not universal. Several important varieties have yet to be successfully cultured, such as members of the genus *Taxus*.

C. Transgenic Plants.

5           Recent advances in recombinant DNA and genetic technologies have made it possible to introduce and express a desired gene sequence in a recipient plant. Through the use of such methods, plants have been engineered to express gene sequences that are not normally or naturally  
10           present in the native plant, or to exhibit altered expression of naturally occurring genes. Plants produced through the use of recombinant techniques are known as "transgenic" plants.

          Transgenic plants are generally produced by transforming a single plant cell and then regenerating a whole plant from the cell via somatic embryogenesis. Since many genera of plants have been regenerated from  
15           a single cell (Friedt, W. *et al.* *Prog. Botany* 49:192-215 (1987); Brunold, C. *et al.*, *Molec. Gen. Genet.* 208:469-473 (1987); Durand, J. *et al.*, *Plant Sci.* 62:263-272 (1989); Attree *et al.*, *Can. J. Bot.* 67:1790-1795 (1989)), successful production of transgenic plants from a wide variety of plant groups is theoretically possible.

20           Several methods have been developed to deliver and express a foreign gene into a plant cell. These include engineered Ti plasmids from the soil bacterium *A. tumefaciens* (Czako, M. *et al.*, *Plant Mol. Biol.* 6:101-109 (1986); Feirer *et al.*, *Proceedings 20th Southern Forest Tree Improvement Conference*, June 26-30, 1989, Charleston, South Carolina,  
25           pg. 381; Jones, J.D.G. *et al.*, *EMBO J.* 4:2411-2418 (1985), engineered plant viruses such as the cauliflower mosaic virus (Shah, D.M. *et al.*, *Science* 233:478-481 (1986)); Shewmaker, C.K. *et al.*, *Virol.* 140:281-288 (1985)), microinjection of gene sequences into a plant cell (Crossway, A.

5 *et al.*, *Molec. Gen. Genet.* 202:179-185 (1986); Potrykus, I. *et al.*, *Molec. Gen. Genet.* 199:169-177 (1985)), electroporation (Fromm, M.E. *et al.*, *Nature* 319:791-793 (1986); Tautorus *et al.*, *Theor. Appl. Genet.* 78:531-536 (1989), and DNA coated particle acceleration (Bolik, M. *et al. Protoplasma* 162:61-68 (1991)). Several of these procedures have been successfully employed to transform conifer tissues *in vitro*. (Ellis *et al.*, *International Society of Plant Molecular Biology*, meeting of October 6-11, 1991, Tucson, Arizona).

#### SUMMARY OF THE INVENTION

10 For the production of taxol and related taxanes, embryogenic tissue cultures of conifers (i.e., *Taxus*) combines the attractive growth rate and biomass production capabilities of callus cultures with the capacity for secondary metabolite accumulation inherent to differentiated cells. Although taxol was detected in callus cultures of *T. brevifolia* (Christen *et al.*, U.S. Patent No. 5,019,504) the yield has not been reported.  
15 Embryogenic tissue cultures of *Taxus* grow like, and can be handled in the same way, as conventional callus cultures, but have the capacity for a greater yield of taxanes as they contain tissues with the ability to produce and sequester taxanes.

20 Embryogenic conifer tissue is a unique tissue type even to the field of somatic embryogenesis, and the potential of this material in plant propagation and secondary metabolite production has not been appreciated. Therefore, the present invention provides a description of how the unique capabilities of embryogenic tissue cultures of *Taxus* may  
25 be used to produce taxol and related taxanes.

The present invention is based on the observation that *in vitro* cultured primary explants obtained from plants of the genus *Taxus*, produce and secrete compounds which inhibit somatic embryogenesis.

Based on this observation, the present invention provides methods of inducing somatic embryogenesis from cultures and primary explants of *Taxus*. Specifically, embryogenic tissue can be obtained from explants of the genus *Taxus* by first culturing a *Taxus* explant in a culture media and, second, successively transferring the explant to fresh media during culture to obtain early stage somatic embryos.

The present invention is further directed to cultured *Taxus* embryogenic tissue.

The present invention further provides methods of clonally propagating plants from the genus *Taxus*. Specifically, plants of the genus *Taxus* can be clonally propagated by (1) culturing a *Taxus* explant in a culture media, (2) successively transferring the explant to fresh media during culture to obtain embryogenic tissue, and (3) transferring embryogenic tissue to media capable of inducing the somatic embryos contained therein into plants.

The present invention further provides methods of producing genetically altered plants of the genus *Taxus*. Utilizing the methods of the present invention for the induction of somatic embryogenesis, it is now possible to regenerate whole plants from a genetically altered, single *Taxus* cell. Plants produced in this fashion can be selected for their ability to produce elevated levels of taxol or taxane-ring containing alkaloids, or for the ability to grow under specific physiological conditions. Specifically, the present invention is related to methods of generating variant plants of *Taxus* which overproduce taxane-ring containing alkaloids. These variants can be selected from naturally occurring variants which appear during *in vitro* propagation, generated through chemical or physical mutagenesis, or obtained as a result of being transformed with an exogenously supplied DNA.

The present invention is additionally based on the surprising observation that *in vitro* propagated somatic embryos of *Taxus* produce taxane-ring containing alkaloids. This result is surprising because taxol has not been reported to occur in embryos. Moreover, it would not have been expected that a secondary metabolite such as taxane-ring containing alkaloids could have been produced in somatic embryos, since such embryos are believed to lack the requisite biochemical machinery for producing such secondary metabolites.

Utilizing *in vitro* cultures of embryogenic tissue, it is now possible to produce large amounts of taxane-ring containing alkaloids. Embryogenic tissue offers the advantages of the rapid growth rate associated with callus cultures for the production of large quantities of tissue, while at the same time affords the proliferation of structures (somatic embryos) that sequester taxanes. Taxane-ring containing alkaloid compounds from somatic embryo cultures of *Taxus* can be obtained by first culturing explants from the genus *Taxus* in a culture media under conditions which produce somatic embryos, and second, isolating the taxane-ring containing alkaloid compounds from the somatic embryos or the culture media.

## BRIEF DESCRIPTION OF THE FIGURES

Figure 1 shows the typical stages of development of embryos in the *Coniferales*.

Figure 2a and 2b shows a continuous flow liquid culture system for the production of somatic embryo (a) and taxane-ring containing alkaloids (b).

Figure 3 shows a summary of induction of somatic embryogenesis from a number of varieties of *Taxus*.

-10-

Figure 4 shows a series of three HPLC traces, wherein trace 1 is an HPLC trace showing the separation of the components of an extract obtained by culture of *Taxus brevifolia* in liquid media. Trace 2 is an HPLC trace of a mixture of the *Taxus brevifolia* extract and a taxol standard (3:1; see the peak at about 20.00 minutes). Trace 3 is an HPLC trace of a 1:1 mixture of the extract and taxol standard.

### DETAILED DESCRIPTION OF THE INVENTION

#### I. Induction of Somatic Embryogenesis in Explants From Varieties Of *Taxus*

The present invention is based on the observation that primary explants and *in vitro* cell cultures derived from plants of the genus *Taxus*, especially *T. brevifolia*, produce compounds which inhibit somatic embryogenesis. Based on this observation, it can be inferred that taxane-ring containing alkaloids are being produced. Thus, the present invention provides methods of inducing somatic embryogenesis from primary explants of varieties of *Taxus*, especially *T. brevifolia* (Figure 3).

Specifically, the method comprises the steps of: culturing an explant from a plant of the genus *Taxus* in a media capable of promoting the induction of somatic embryogenesis, transferring the explants to fresh media during culturing to remove the cultured cells from the inhibitors which are produced, and isolating the somatic embryos produced in the culture.

As used herein, a "somatic embryo" consists of a cell or group of cells having the potential to become a plant by developing along a route that mimics the course of development a zygotic embryo undergoes, within a seed. In conifers, as in most plants, somatic embryogenic cells can be classified into two stages (Figure 1). The first stage consists of proembryogenic masses and early-formed somatic embryos (see stage 1 in

Figure 1), and the second stage consist of further differentiation and development of the early-formed embryos to embryos (stage 3-6). The pro-embryogenic mass is a group of cells having the potential to become an embryo but lacking defined meristematic organ primordia. It is these rudimentary stages of embryo development that proliferate as long as the cultures are maintained on media with 2, 4-D and BA.

Upon transfer of embryogenic tissue cultures to a medium that supports embryo maturation, embryo development proceeds along a pathway analogous to that found in seeds. The stages in this pathway are illustrated in Figure 1.

By the final stage of embryogenesis (Stage 7), ripe, cotyledonary embryos are produced which possess a well defined elongated bipolar structure containing a latent meristem and cotyledon primordial at one end and a potential radical at the opposite end. Cells within these embryos are typically characterized as containing seed storage proteins, lipids, and are cytoplasmically dense.

Embryogenic conifer tissue differs significantly from callus cell cultures and many have argued that they cannot be called callus cultures. Callus cultures are considered to be an unorganized growth of undifferentiated cells that are either unconnected or loosely connected and can be generally produced by culturing a variety of explants. Unlike cells found in embryogenic cultures, callus cells tend to be spherical, isodiametric, highly vacuolated with non-dense cytoplasm. Callus cells are considered to be de-differentiated or undifferentiated plant cells since they are derived from the rapid cell division of differentiated plant explants.

Embryogenic tissue, on the other hand, is comprised of masses of (1) very early stage embryos, (2) cells in the process of producing early stage embryos (i.e. proembryogenic masses) or (3) suspensor-type cells

-12-

that have been sloughed off by the early-stage embryos. Therefore, the cultures have an aspect of organization to them, as well as consisting of cell and tissue types, that are strikingly dissimilar by inspection under a low power microscope.

5           In addition to physical appearance, callus cells and embryogenic cells are different at the biochemical and histological level as indicated by differences in a number of biochemical markers such as ethylene evolution rate, concentrations of glutathione, the ability to reduce ferric ion, protein synthesis rates, and plastid structure. (Wann *et al.*, *Plant Cell Reports* 6:39-10 42 (1987); Wann *et al.*, *Trees* 3:173-178 (1989)) .

As used herein, a "primary explant" is any tissue or cells obtained from a plant. This includes, but is not limited to, zygotic embryo tissue, root or shoot meristematic tissue, and cambium tissue. The most preferred tissue is obtained from immature arils and consists of zygotic 15 embryos, as well as cotyledonous, and hypocotyledonous cells.

Any *Taxus* variety can be used as a source of the explant. This includes, but is not limited to, *T. brevifolia*, *T. baccata*, *T. x media*, and *T. cuspidata*.

20 Prior to culturing, the explant is surface sterilized to kill potential contaminants such as bacteria or fungus which may be present on the explant surface. The most commonly employed method involves immersion of the explant in a solution of bleach and a wetting agent such as Tween-20™. Such an immersion sterilizes the surface of the explant while not effecting the underlying cells. A vacuum can be employed 25 during immersion in order to aid the sterilant in surface penetration. Once the explant has been obtained and treated, it is placed in a liquid or on solid culture media.

In general, most plant growth media which have been shown to be effective in inducing somatic embryogenesis in other conifers can be

utilized in generating somatic embryos from varieties of *Taxus*. These include, but are not limited to BLG (Wann *et al.*, *Trees* 3:173-178 (1989) herein incorporated by reference), MS (Gupta *et al. Bio/Technology* 5:141 (1987) herein incorporated by reference), BMI (Krogstrup, *Can. J. For. Res.* 16:664-668 (1986) herein incorporated by reference), and DCR (Gupta *et al. Plant Cell. Rep.* 4:177 (1985) herein incorporated by reference). Most preferred are medias which contain the plant hormones 2,4-dichlorophenoxyacetic acid (2,4-D) and benzyl adenine (BA) as well as casein hydrolysate. One skilled in the art will can readily adapt such medias for use in the present invention without undue experimentation. In the example provided below, BLG media is employed.

Various conditions may be employed in the culturing of the primary explants. Conditions such as temperature and lighting will vary slightly from plant variety to plant variety as well as tissue source. For *T. brevifolia*, culturing is most preferably performed in the dark and from about 20-25° C. One skilled in the art will readily vary culture conditions to optimize both cell proliferation and embryo production.

The length of time an explant needs to be cultured before somatic embryogenesis is induced will vary depending on the source of the explant, culture conditions, and frequency of transfer. For immature embryo explants of *T. brevifolia*, cultured in BLG-casein media at 22° C, no light, with transfers from about every two to four weeks, embryogenic tissue will be induced in about 6 weeks.

As used herein, "transferring said explant" refers to the process of supplying fresh media to the explant. Transferring effectively removes the explant from the inhibitors of embryogenesis which are secreted by varieties of *Taxus*. This need for transferring in order to induce and maintain somatic embryogenesis is unique amongst conifers.

-14-

Procedures for transferring the cultured tissue will vary depending on the type of media employed. When the explant is maintained on solid media, transferring entails the removal of the explant from the old media to a new media. The inhibitors of embryogenesis produced by growing  
5 cultures of *Taxus* do not rapidly diffuse through solid media. Therefore, the explant may be removed from the secreted inhibitors by simply placing the cultured explant on a fresh surface of the culture plate.

Somatic embryogenesis may also be induced in liquid cultures of *Taxus*. Embryogenic tissue can be removed from the liquid media via  
10 filtration or sedimentation to allow fresh media to be supplied. Alternatively, a continuous flow system can be employed to supply the cultured tissue with fresh media. In such a system, the tissue is maintained in an environment that allows media to flow through the environment while restricting the flow of the cultured cells (See Figure  
15 2a).

The length of time between transfers will vary depending on the source of the explant, culture conditions, media, as well as the cell density in the culture. In general, when solid media is employed, such transfer  
20 will be performed about every 2-3 weeks. One skilled in the art will be readily able to determine the time between transfer by visually observing the rate of production of somatic embryos.

Once a culture producing somatic embryos is obtained, the embryos can be matured, isolated and germinated to form seedlings. Any procedure known in the art for embryo maturation can be employed.  
25 These typically involve a transfer of embryogenic tissue to a medium with increased osmolarity and containing abscisic acid (ABA). (See Becwar *et al.*, *Tappi Journal* 70(4):155-160 (1987)).

The present invention further provides cultured *Taxus* embryogenic tissue. Such tissue is obtained using the methods described above. Any

*Taxus* variety can be utilized. This includes, but is not limited to *T. brevifolia*, *T. baccata*, *T. x media*, and *T. cuspidata*.

Utilizing the techniques described above, plants from the genus *Taxus* can now be clonally propagated from somatic embryos.

5        A plant from which clonal offspring is desired, may be used as a source of the primary explant. Through successive culture transfers, somatic embryogenesis of the primary explant can be induced as described above. The embryos thus produced can be readily regenerated into whole plants and thus generate plants which are clonally derived from the  
10       explant. (See Becwar *et al.*, *Tappi Journal* 70(4):155-160 (1987); Durzan *et al.*, *Plant Science* 52:229-235 (1987); Krogstrup *et al.*, *Plant Cell Reports* 7:594-597 (1988), herein incorporated by reference).

Additionally, the above described methods can be used to propagate plants which are variants of the explant source.

15       As used herein, a "variant" is defined as any plant or tissue which contains a genetic alteration not present in the plant from which the explant is derived from. This may include a change in ploidy level, a change in the sequence of a particular segment of DNA, or the introduction of an exogenously supplied DNA sequence. The variant may  
20       be generated naturally during the process of tissue culturing, or can be generated through biochemical, physical, or molecular techniques.

Since plant cultures tend to be genetically unstable, naturally occurring variants may be produced during prolonged culture. Such variants can be assayed for a change in a physiological characteristic, such  
25       as accelerated growth, the level of production of a plant metabolite or alkaloid, or a change in ploidy level. Preferably, such variants will exhibit increased production of taxol or a taxol precursor. Once identified, the variant cell or cells can be isolated and used to produce clonally

propagated plants with increased taxol or taxol precursor content as described above.

In addition to naturally occurring variants, variants may be generated through a variety of methods known in the art. These include, but are not limited to, physical or chemical mutagenesis, protoplast fusion, or direct transfer of exogenous DNA. Such methods can be used to induce variants in the primary explant prior to the initiation of somatic embryogenesis, or to the somatic embryos which have been generated using the methods described herein.

Physical or chemical mutagenesis entails exposing the cultured cells or primary explant to an agent which is capable of inducing DNA damage or inhibiting chromosome segregation during meiosis and mitosis. Such agents include UV light, ethylmethylsulfonate, nitrous oxide, acridine orange, colchicine, and nitrosoguanidine. Agents such as these have been employed in a variety of systems to introduce random changes in the DNA of the organism in order to generate variants. (Chaleff, R.S., *Science* 219:676-682 (1983)).

While the site of mutagenesis and the resulting variant produced is not predetermined, one skilled in the art can readily adapt known mutagenesis and selection procedures to the explants and embryogenic culture of the present invention.

The mutagenized cells may be screened for an alteration in the production of a secondary metabolite such as the taxane-ring containing alkaloids of the present invention. Preferably, such mutants will over produce the taxane-ring containing alkaloids. Methods for detecting the level of taxane-ring containing compounds include HPLC (See Examples) and by immunologically reacting a sample of the culture media with an antibody specific for such taxane-ring containing alkaloids. See Stephen M. Edington, *Bio/Technology* 9:933-938 (1991).

5 Protoplast fusion can be utilized to generate variants with increased ploidy levels as well as to produce plants containing chromosomes from other varieties. For example, by fusing a taxol or taxol-precursor producing variety of *Taxus* with a non-producing variety, a variant may be generated which processes the characteristics of both varieties.

10 Variants can also be produced through the introduction of exogenously supplied DNA. (Ellis *et al.*, *International Society of Plant Molecular Biology*, meeting of October 6-11, 1991, Tucson, Arizona). As described earlier, numerous techniques have been developed to introduce DNA into a plant cell. These include, but are not limited to, engineered Ti plasmids from the soil bacterium *A. tumefaciens* (Czako, M. *et al.*, *Plant Mol. Biol.* 6:101-109 (1986); Jones, J.D.G. *et al.*, *EMBO J.* 4:2411-2418 (1985); Feirer *et al.*, *Proceedings 20th Southern Forest Tree Improvement Conference*, June 26-30, 1989, Charlestown, South Carolina, 15 page 381), engineered plant viruses such as the cauliflower mosaic virus (Shah, D.M. *et al.*, *Science* 233:478-481 (1986)); Shewmaker, C.K. *et al.*, *Viol.* 140:281-288 (1985)), microinjection of gene sequences into a plant cell (Crossway, A. *et al.*, *Molec. Gen. Genet.* 202:179-185 (1986); Potrykus, I. *et al.*, *Molec. Gen. Genet.* 199:169-177 (1985)), electroporation (Fromm, 20 M.E. *et al.*, *Nature* 319:791-793 (1986); Tautorus, T.E. *et al.*, *Theor Appl Genet* 78:531-536 (1989), Tautorus *et al.*, *Theor Appl. Genet.* 78:531-536 (1989), and DNA coated particle acceleration (Bolik, M. *et al.* *Protoplasma* 162:61-68 (1991)).

25 Utilizing one of these procedures, one skilled in the art can readily generate a variant which contains an exogenously supplied gene construct. In such a fashion, a variant can be produced which contains a predetermined characteristic, such as resistance to an antibiotic. Screening for such a characteristic may be done routinely by those of ordinary skill in the art and without undue experimentation.

## II. Taxane-Ring Containing Alkaloid Production in Embryonic Tissues

A further embodiment of the present invention is based on the observation that *in vitro* propagated somatic embryos of *Taxus* produces taxane-ring containing alkaloids. This is a surprising observation since taxol is normally sequestered in vacuoles and somatic embryos are not highly vacuolated. Moreover, it would not have been expected that a secondary metabolite such a taxane-ring containing alkaloid could be obtained from such biochemically immature cells. Utilizing such *in vitro* cultures, it is now possible to produce large amounts of taxane-ring containing alkaloids. In addition, it is now possible to produce *Taxus* seedlings on a large scale and isolate the taxane-ring containing alkaloids therefrom. This is significant since seedlings are second only to bark as a source of taxol and its precursors. (Vidensek, N. *et al.*, *J. Natural Prod.* 53:1609-1610 (1990)).

Specifically, taxane-ring containing alkaloids can be obtained from cultures of somatic embryos derived from explants of the genus *Taxus*, especially *T. brevifolia*, *T. baccata*, *T. x media*, and *T. cuspidata*.

In detail, an explant is obtained from a variety of *Taxus* which produces the desired taxane-ring containing alkaloids. The explant is used to produce a culture which contains somatic embryos using the procedures described earlier. Taxane-ring containing alkaloids can then be isolated from either the culture media or from the tissue using techniques which are well known in the art such as column chromatography (See, Wani *et al.* *J. Am. Chem. Soc.* 93:2325 (1971); M. Boyd, *Personal Communication* (August 1991); (Witherup, K.M. *et al.*, *J. Liq. Chromotgr.* 12:2117-2132 (1989)). One such system is shown in Figure 2b.

Preferably, autoclaved fungal cells or extracts are added to the culture media to stimulate the production of taxane-ring containing

alkaloids. When such fungal cell extracts are added to the media, the embryogenic tissue act to ward off the supposed infection by the production of the taxane compounds.

5       The most preferred embryos for isolating taxane-ring containing alkaloids are embryos from stage 7 and into germination. (See Figure 1). Embryos from stages 1 and 2, though they may contain taxane-ring containing alkaloids, are hard to dissect and separate from non-embryogenic tissues. Embryos from stage 7 are easily separated from non-embryogenic tissues and therefore are preferred. One skilled in the  
10       art can readily adapt known procedures for isolating embryos in order to obtain any particular stage of embryo for use in the production of taxane-ring containing alkaloids.

15       Alternatively, fully developed seedlings produced from the embryogenic cultures, can be used as a source of the taxane-ring containing alkaloids.

20       The taxane-ring containing alkaloid can be isolated from media that is replaced batch-wise, or continuously. The embryogenic culture may be grown in free suspension or maybe immobilized within a carrier such as hollow fibers. Examples of such carriers are described in Durzan *et al.*, *Plant Science* 52:229-235 (1987).

25       Having now generally described the invention, the same will be more readily understood through reference to the following examples which are provided by way of illustration, and are not intended to be limiting of the present invention, unless specified. All patents and publications cited herein are incorporated by reference herein in their entirety.

-20-

**EXAMPLE****Procedure for the Initiation and Maintenance of  
Embryogenic Tissue in *Taxus* and its Subsequent  
Analysis for Taxol****5     Initiation and maintenance of embryogenic *Taxus* tissue.**

Immature arils of *Taxus* species were collected throughout the summer (the best time is July) and were sterilized by stirring with a 20% solution of household bleach (1.1% sodium hypochlorite) for 30 min. employing several drops of Tween 20™ (per 100 mL sterilant) as a wetting agent. After three rinses with sterile water, immature embryos were aseptically excised from the arils and were plated horizontally onto the medium shown in Table 1. Cultures were incubated at 22°C in the dark for four weeks. At the end of this time, the explants were transferred to fresh medium by simply moving the cultures to a new location on the original petri dish. After two additional weeks (total time = six weeks), the cultures were scored for the initiation of embryogenic tissue. Embryogenic tissue exhibited the translucent to white, mucilaginous phenotype characteristic of embryogenic conifer tissue.

Most often, embryogenic tissue originated in the cotyledon or hypocotyl region of the immature embryo explant. Embryogenic tissue was teased away from the original explant with a forceps and could thereafter be maintained as a purely embryogenic tissue culture on the same medium and under the same conditions described for initiation. Embryogenic tissue was serially maintained by transfer to fresh medium every three weeks.

The frequency of initiation of embryogenic tissue from immature *Taxus* embryos is illustrated for one particular collection (Corvallis, OR) of immature *Taxus brevifolia* seed (see Table 2). Embryos that were just at the stage of cotyledon formation gave rise to embryogenic callus at a

higher frequency than younger embryos that had not yet developed to the cotyledonary stage (see Figure 1). The inclusion of casein hydrolysate (500 ml/L) in the medium afforded not only a higher initiation frequency from cotyledonary stage embryos but also made the initiation of embryogenic tissue from younger embryos possible.

The frequency of initiation of embryonic tissue from cultures other than *T. brevifolia* is shown in Figure 3. These include *T. baccata*, *T. x media* and *T. cuspidata*.

#### Extraction and determination of taxane-ring containing alkaloids from embryogenic tissue

*Taxol* was analyzed in embryogenic tissue by a modification (M. Boyd, *Personal Communication* (August 1991)) of the published procedure (Witherup, K.M. *et al.*, *J. Liq. Chromatogr.* 12:2117-2132, (1989)). Briefly, embryogenic *Taxus* tissue was homogenized in hexane in a Ten Breock tissue grinder. The hexane was discarded and the remaining plant tissue was extracted with 1:1 methylene chloride:methanol overnight at room temperature. The next day the mixture was filtered, and evaporated to dryness under a stream of nitrogen. The residue was dissolved in a minimal amount of methanol and was partitioned between 1:1 methylene chloride:water. The aqueous layer was discarded and the organic layer was evaporated to dryness. The residue was taken up in methanol and subjected to HPLC analysis.

HPLC analysis was performed using a phenyl bonded silica gel column operated under isocratic conditions employing a mobile phase consisting of (20:32:48) methanol:acetonitrile:50 mM aqueous ammonium sulfate buffer adjusted to pH 4.4 with acetic acid. The flow rate was 1 mL/mm and the effluent was monitored at 228 nm.

No *taxol* was observed being produced in embryogenic tissues containing proembryogenic masses and Stage 1 somatic embryos.

-22-

However, several peaks were observed whose migration indicated that they are taxane-ring containing alkaloids other than taxol.

5        These results were confirmed using a polyclonal antibody-based indirect competitive inhibition enzyme immunoassay (CIEIA; Hawaii Biotechnology Group, Inc.).

**Table 1. Composition of Media used for Embryogenic Yew Tissue Cultures.**

| <u>Component</u>                                      | <u>Concentration, mg/L</u> |
|-------------------------------------------------------|----------------------------|
| KNO <sub>3</sub>                                      | 100                        |
| MgSO <sub>4</sub> • 7 H <sub>2</sub> O                | 320                        |
| KH <sub>2</sub> PO <sub>4</sub>                       | 170                        |
| CaCl <sub>2</sub> • 2 H <sub>2</sub> O                | 440                        |
| KCl                                                   | 745                        |
| KI                                                    | 0.83                       |
| H <sub>3</sub> BO <sub>3</sub>                        | 6.2                        |
| MnSO <sub>4</sub> • H <sub>2</sub> O                  | 16.9                       |
| ZnSO <sub>4</sub> • 7 H <sub>2</sub> O                | 8.6                        |
| Na <sub>2</sub> MoO <sub>4</sub> • 2 H <sub>2</sub> O | 0.25                       |
| CuSO <sub>4</sub> • 5 H <sub>2</sub> O                | 0.025                      |
| CoCl <sub>2</sub> • 6 H <sub>2</sub> O                | 0.025                      |
| FeSO <sub>4</sub> • 7 H <sub>2</sub> O                | 27.8                       |
| Na <sub>2</sub> EDTA                                  | 37.3                       |
| Inositol                                              | 100                        |
| Nicotinic acid                                        | 0.5                        |
| Pyridoxine                                            | 0.1                        |
| Thiamine • HCl                                        | 0.1                        |
| Sucrose                                               | 20,000                     |
| Glutamine                                             | 1,450                      |
| Asparagine                                            | 100                        |
| 2, 4-D                                                | 2                          |
| Benzyl adenine                                        | 1                          |
| Bacto agar                                            | 8,000                      |
| pH = 5.8                                              |                            |

---

BLG 2/1 medium = above formulation

BLCG 2/1 medium = above formulation + 500 mg/L  
casein hydrolysate

Table 2

INITIATION FREQUENCY OF SOMATIC EMBRYOGENESIS  
AS A FUNCTION OF IMMATURE EMBRYO DEVELOPMENT  
STAGE IN *TAXUS BREVIFOLIA* (6 weeks)

| Medium   | Immature Embryo Stage (See below) | Embryos Cultured, No. | Embryogenic Callus, No. (%) |
|----------|-----------------------------------|-----------------------|-----------------------------|
| BLG 2/1  | 1-2                               | 5                     | 0                           |
|          | 3                                 | 10                    | 0                           |
|          | 4-6                               | 9                     | 3 (33)                      |
| BLCG 2/1 | 1-2                               | 6                     | 0                           |
|          | 3                                 | 11                    | 3 (27)                      |
|          | 4-6                               | 14                    | 8 (57)                      |

Production of Taxol from Embryogenic Tissue Cultures of *Taxus* in Liquid Media

5 Taxol was not detected in embryogenic cultures of *Taxus* species that were maintained on agar-solidified, BLG-casein medium as just described. However, taxol was detected in the spent medium when embryogenic tissue was grown in liquid medium of the same composition in shake (110 rpm) flasks. Liquid cultures of embryogenic *Taxus* tissue were produced by dispersing masses of embryogenic tissue grown on agar-solidified medium in small volumes of liquid BLG-casein maintenance medium. After 1-2 months at 22°C, 110 rpm and an 8h photoperiod under dim (80 foot-candles) fluorescent light, cultures consisting of small masses of tissue of uniform size were obtained. Until cultures of uniformly sized tissue masses were obtained, liquid media was replaced

10

-25-

every 10-21 days by decanting spent medium and adding the same volume of fresh medium.

5       Once well-dispersed liquid cultures were obtained, taxol was produced in the following way. Cultures were inoculated into fresh medium by pipetting known volumes into fresh medium such that the inoculation density was 1 part mother culture to 10 parts fresh medium. Cultures were incubated with shaking under the conditions described above, and after 21 days the spent medium was collected. The spent medium was concentrated to dryness *in vacuo* and taxol was extracted and  
10       detected by HPLC (see Figure 4).

15       As shown in Figure 4, trace 1, the *Taxus* extract contained a number of peaks which eluted at about the same place as a taxol standard. Trace 2 is an HPLC showing the separation of a 3:1 mixture of *Taxus* extract and taxol standard. It can be seen that the shoulder which eluted just before 20.00 minutes has increased in size. When a 1:1 mixture of *Taxus* extract and taxol standard were separated, this shoulder increased further, thus confirming the presence of taxol. Also evident are other taxane-ring containing compounds such as cephalomannine.

20       Having now fully described this invention, it will be understood by those of skill in the art that it can be performed within any wide range of equivalent modes of operation as well as other parameters without affecting the scope of the invention or any embodiment thereof.

WHAT IS CLAIMED IS:

1. A method of producing embryogenic tissue containing somatic embryos from explants from the genus *Taxus* comprising the steps of:

culturing a *Taxus* explant in a culture medium; and  
successively transferring the explant to fresh media during culture to obtain early stage somatic embryos.

2. A method of clonally propagating plants from the genus *Taxus* comprising the steps of:

culturing a *Taxus* explant in a culture medium;  
successively transferring the explant to fresh media during culture to obtain early stage embryos; and  
transferring said early stage embryos to a medium capable of inducing plantlet formation.

3. A method of producing taxane ring-containing alkaloid compounds from embryogenic tissue cultures of *Taxus* comprising the steps of:

culturing explants from the genus *Taxus* in a culture media under conditions which produce somatic embryos, or somatic seedlings; and  
isolating said taxane ring-containing alkaloid compounds from said embryos or said media.

4. A method of producing genetically altered plants of the genus *Taxus* comprising the steps of:

culturing explants from the genus *Taxus* in a culture media under condition which produce somatic embryos;

-27-

introducing DNA into said embryos to produce genetically altered embryos; and

regenerating plants from said altered embryos.

5. A method of producing genetically altered plants of the genus *Taxus* comprising the steps of:

obtain explants from the genus *Taxus*;

introducing DNA into said explant;

culturing said explant containing said DNA in a culture media under conditions which produce somatic embryos; and

regenerating plants from said somatic embryos.

6. The method of any of claims 1-5 wherein said explant is derived from immature arils.

7. The method of any of claims 1-5 wherein said culturing is in the dark and said transferring occurs from about every 2-3 weeks.

8. The method of claims 4 or 5 wherein said DNA is introduced into said embryo by micro-injection.

9. The method of claims 4 or 5 wherein said DNA is introduced into said embryo by a Ti-plasmid or a modification thereof.

10. The method of claims 4 or 5 wherein said DNA is introduced into said embryo by electroporation.

11. The method of claims 4 or 5 wherein said DNA is introduced into said embryo by particle acceleration.

12. Cultured *Taxus* embryogenic tissue.
13. The cultured embryogenic tissue of claim 12, wherein said tissue is derived from a plant selected from the group consisting of *T. brevifolia*, *T. baccata*, *T. x media*, and *T. cuspidata*.
14. A method of producing variants of plants from the genus *Taxus* which produce elevated levels of taxane-ring containing alkaloids comprising the steps of;
  - culturing explants from the genus *Taxus* in a culture media under condition which produce somatic embryos;
  - inducing mutagenesis in said explants with a capable agent;
  - selecting embryos which produces said elevated level of taxane-ring containing alkaloid; and
  - regenerating plants from said selected embryos.

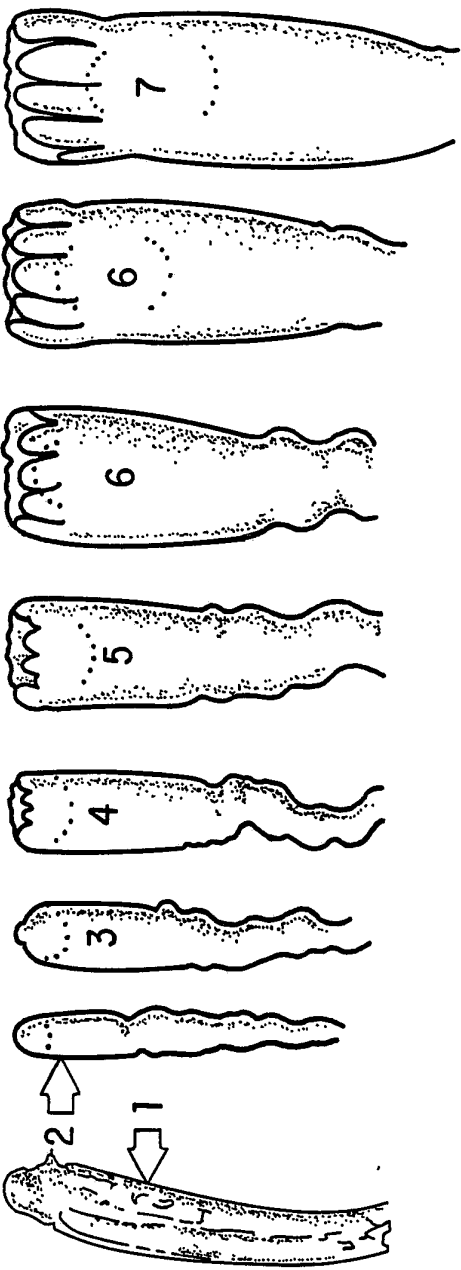


FIG. 1

2/4

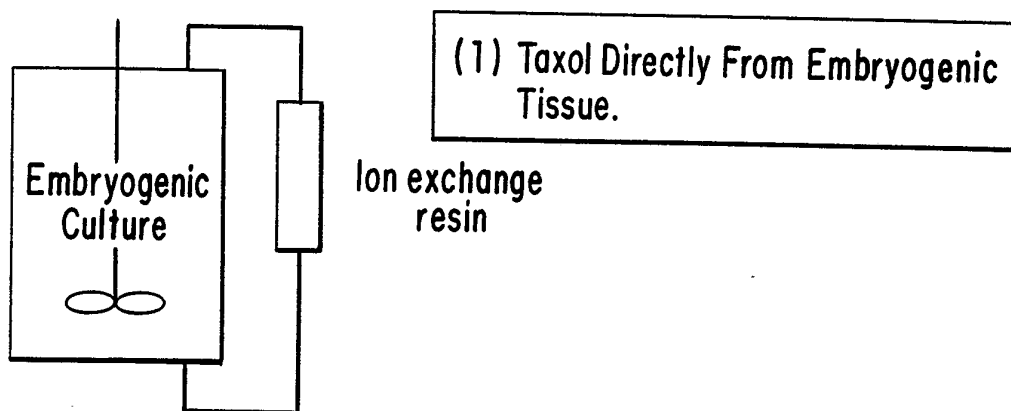


FIG. 2A

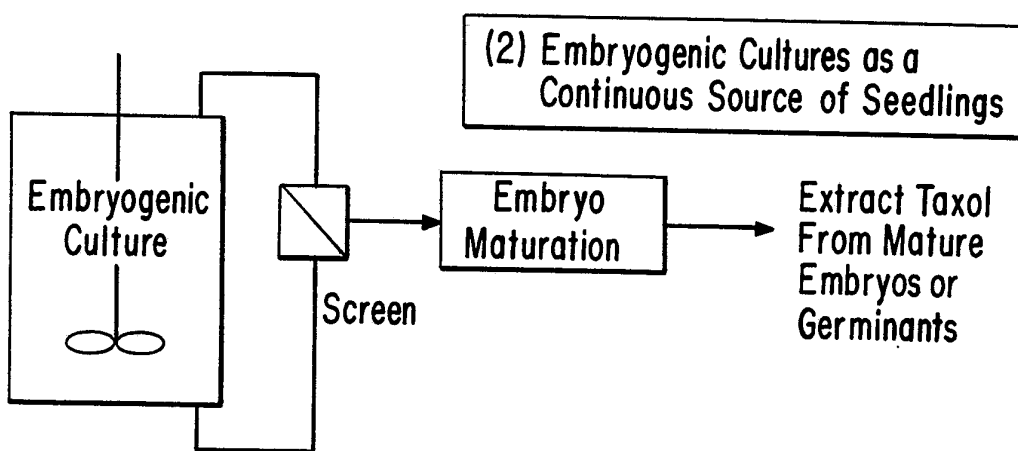


FIG. 2B

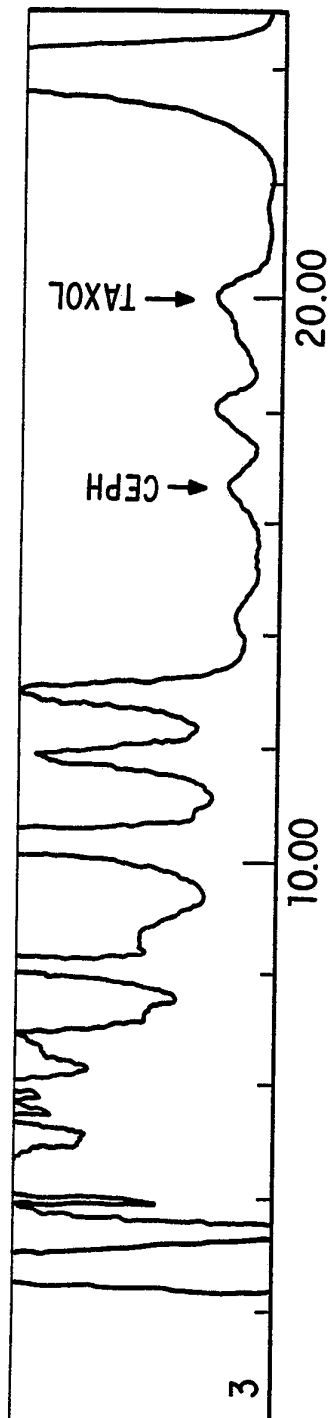
3/4

| SUMMARY OF CULTURE INITIATION FROM<br>IMMATURE EMBRYOS OF<br>TAXUS GENOTYPES, 1991 |                                                  |                    |                            |                                    |
|------------------------------------------------------------------------------------|--------------------------------------------------|--------------------|----------------------------|------------------------------------|
| Genotype                                                                           | Origin                                           | Collection<br>Date | Embryos<br>Cultured<br>No. | Embryogenic<br>Cultures No.<br>(%) |
| <i>T. brevifolia</i>                                                               | Special Trees<br>(Corvallis, OR)                 | July 10            | 55                         | 14(25)                             |
| <i>T. baccata</i>                                                                  | Longwood<br>Gardens<br>(Kennett<br>Square PA)    | August 10          | 10                         | 3(30)                              |
| <i>T. x media</i><br>cv. "Hicksii"                                                 | "                                                | "                  | 8                          | 3(38)                              |
| <i>T. cuspidata</i><br>cv. "Thayerae"                                              | "                                                | "                  | 9                          | 3(33)                              |
| <i>T. baccata</i><br>cv. "repens"                                                  | "                                                | August 28          | 34                         | 5(15)                              |
| <i>T. x media</i>                                                                  | U.S. Nat'l<br>Arboretum<br>(Washington,<br>D.C.) | September<br>16    | 8                          | 3(38)                              |
| <i>T. x media</i><br>cv. "Flushing"                                                | "                                                | "                  | 12                         | 4(33)                              |
| <i>T. x media</i><br>cv. "Hicksii"                                                 | "                                                | "                  | 12                         | 4(33)                              |
| <i>T. cuspidata</i><br>cv. "Thompson"                                              | "                                                | "                  | 4                          | 0                                  |
| <i>T. cuspidata</i>                                                                | "                                                | "                  | 8                          | 1(13)                              |
| TOTAL EMBRYOGENIC<br>LINES = 40                                                    |                                                  |                    |                            |                                    |

FIG. 3

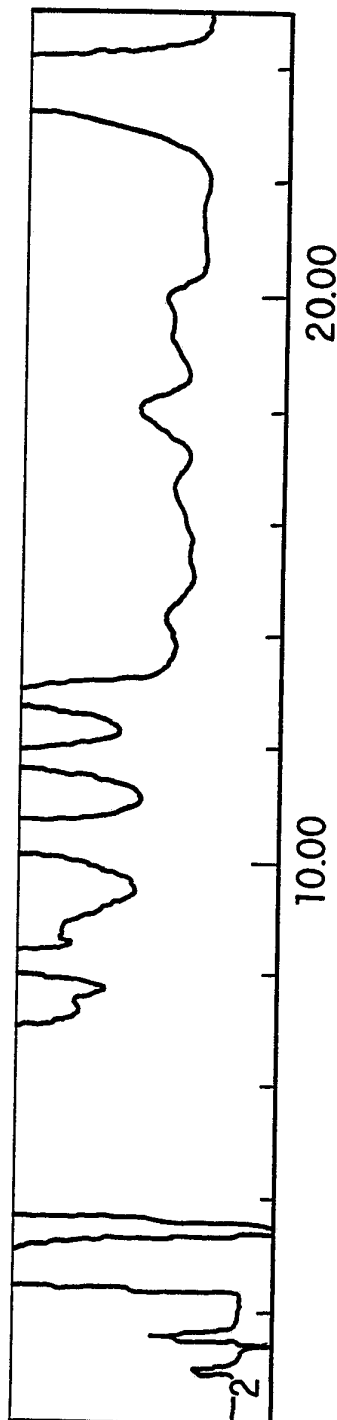
SUBSTITUTE SHEET

FIG. 4A



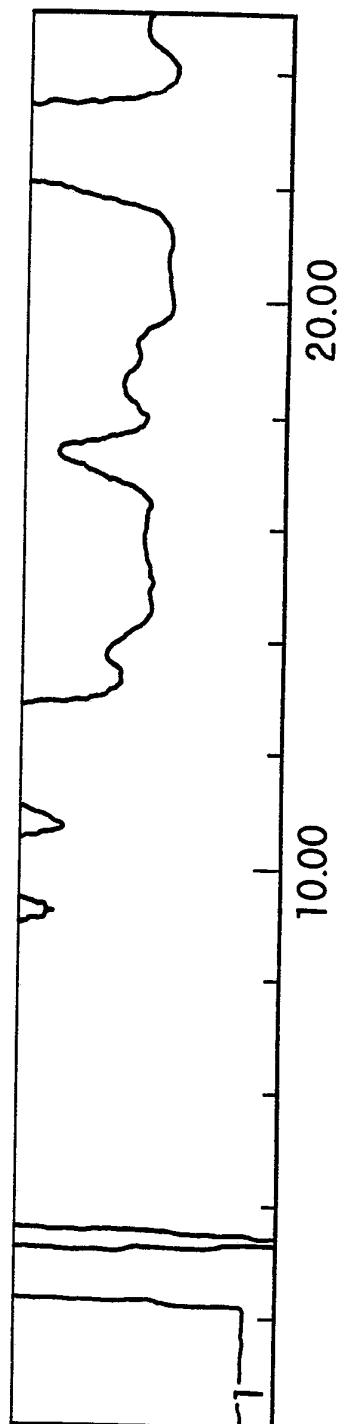
Trace 3

FIG. 4B <sup>4/4</sup>



Trace 2

FIG. 4C



Trace 1

SUBSTITUTE SHEET

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/02940

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) : A01H 1/00, 4/00, 7/00

US CL : 435/172.3, 240.4, 252.3; 800/205

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/172.3, 240.4, 252.3; 800/205; 935/52, 67

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, DIALOG, CAS ONLINE

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category*     | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No.    |
|---------------|------------------------------------------------------------------------------------|--------------------------|
| <u>X</u><br>Y | US, A, 5,019,504 (CHRISTEN ET AL) 28 MAY 1991, see columns 5-6.                    | <u>12-13</u><br>1-11, 14 |
| Y             | US, A, 5,034,326 (PULLMAN ET AL) 23 JULY 1991, SEE COLUMNS 9-11.                   | 1-14                     |
| Y             | US, A, 4,886,937 (SEDEROFF ET AL) 12 DECEMBER 1989, see column 2, lines 23-30.     | 4-11                     |
| Y             | US, A, 5,024,944 (COLLINS ET AL) 18 JUNE 1991, see columns 45-46.                  | 4-11                     |

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

|                                                                                                                                                                         |                                                                                                                                                                                                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| * Special categories of cited documents:                                                                                                                                |                                                                                                                                                                                                                                                  |
| *A* document defining the general state of the art which is not considered to be part of particular relevance                                                           | *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention                                              |
| *E* earlier document published on or after the international filing date                                                                                                | *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone                                                                     |
| *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| *O* document referring to an oral disclosure, use, exhibition or other means                                                                                            | *Z* document member of the same patent family                                                                                                                                                                                                    |
| *P* document published prior to the international filing date but later than the priority date claimed                                                                  |                                                                                                                                                                                                                                                  |

Date of the actual completion of the international search

03 May 1993

Date of mailing of the international search report

17 MAY 1993

Name and mailing address of the ISA/US  
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Authorized officer

ELIZABETH F. MCCELWAIN

Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/02940

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages                                                                                                                                       | Relevant to claim No. |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|
| Y         | Z. Pflanzenphysiol. Bd. Volume 70, issued 1973, M. Th. Le Page-DeGivry, "Influence de l'Acide Abscissique sur le Developpement des Embryons de Taxus baccata L. Cultives In Vitro" pages 406-413, see the abstract.      | 1-14                  |
| Y         | Theoretical and Applied Genetics, Volume 75, issued 1987, G. Neuhaus et al, "Transgenic rapeseed plants obtained by the microinjection of DNA into microspore-derived embryoids" pages 30-36, see entire document.       | 8                     |
| Y         | The Plant Cell, Volume 2, issued July 1990, R.A. Dekeyser et al, "Transient Gene Expression in Intact an Organized Rice Tissues", pages 591-602, see entire document.                                                    | 10                    |
| Y         | Physiologia Plantarum, Volume 79, No. 2, part 2, issued 1990, H.S. Yibrah et al, "Transfer of a Reporter Gene to Picea Abies Embryos and Embryogenic Callus using an Electric Particle Accelerator", page A38, abstract. | 11                    |
| Y         | Planta, Volume 153, issued 1981, J.S.H. Kueh et al, "Proline Accumulation in a Barley Mutant Resistant to trans-4-hydroxy-L-proline", pages 166-171, see page 167.                                                       | 14                    |