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(72) Inventor:
(75) Inventor/Applicant (for US only): CATALANO, Anthony [US/US]; 1448 Carriage Hills Drive, Boulder, CO 80302 (US).
(54) Title: RECESSED CAN DOWNLIGHT RETROFIT ILLUMINATION DEVICE
(57) Abstract: In various embodiments, an illumination device (200) includes driver (210) and LED lighting modules (220) collectively sized to fit within a recessed-can lighting fixture constructed for use with incandescent or halogen bulbs, thereby facilitating LED changeover without removal and replacement of the fixture.

[Continued on next page]
— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))
RECESSED CAN DOWNLIGHT RETROFIT ILLUMINATION DEVICE

Related Application

[0001] This application claims the benefit of and priority to U.S. Provisional Patent Application No. 61/412,096, filed November 10, 2010, the entire disclosure of which is hereby incorporated herein by reference.

Field of the Invention

[0002] In various embodiments, the present invention relates to illumination devices, in particular illumination devices incorporating light-emitting diodes.

Background

[0003] One of the most common light fixtures is the recessed can downlight (RCD), which is an open-bottom can that contains a light bulb, most commonly an incandescent bulb. The fixture is typically connected into the power mains at 120 to 277 volts, 50/60 Hz. RCDs are generally installed during the construction of a building before the ceiling material (such as plaster or gypsum board) is applied. Therefore, they are not easily removed or substantially reconfigured during their lifetime.

[0004] RCDs generally also accommodate incandescent light bulbs of various sizes (which, in a 4-inch-diameter RCD, include A19 (the common Edison-base bulb), PAR20, PAR16, R16, R20, etc., where the numerical designation refers to the diameter of the bulb and the letter to the bulb type or shape). These bulbs all have different overall dimensions (i.e., length, width, and diameter), and have varied light-distribution capabilities. For example, various bulbs have narrow, medium, or wide (flood) distributions. Therefore, the internal features of the RCD are constructed to accommodate many (if not all) various bulb types. Such features include mechanisms to adjust the vertical position of the bulb socket, as well as various "face plates" that cover the bottom of the fixture and provide a decorative finish that fits flush with the ceiling. Moreover, the face plate may contain a recessed reflector which channels and distributes the light. Because there are so many different light bulbs and finishes, there are a
very large number of trim rings and optics combinations, in addition to the various spacers that accommodate the bulbs. Thus a complex arrangement of parts is needed for each RCD that is produced.

Because LEDs have very high efficiency (e.g., 100 lumens per watt compared to 10-15 lumens per watt for incandescent or halogen lights) and a long lifetime (e.g., 10,000-100,000 hours), they are attractive for virtually all lighting applications. However, even a dedicated LED-based downlight would have the disadvantage of only being compatible with new construction (without a prohibitively costly overhaul of an entire lighting system and related infrastructure), and thus would be unavailable for retrofitting into the large host of existing incandescent-based RCDs. Moreover, because the LED technology itself is rapidly changing, LED-based fixtures become obsolete as the LED technology, as well as the optics and cooling technology vital to performance, improve.

LED-based light bulbs represent a logical alternative. These products contain electronics, optics and heat sinks all in a form factor identical to that of the particular light bulb to be replaced. Such designs may be quite difficult to achieve, however, and generally necessitate strict control over power consumption in order to maintain low enough operating temperatures to avoid thermally-induced premature failure. Hence, the light output of such LED light bulbs is typically well below that of the incandescent light bulbs they replace. For example, a PAR20 LED lamp from Lighting Sciences has a rated output of 350 lumens while a conventional 50 watt PAR20 incandescent bulb has light output in the range of 600-750 lumens. Furthermore, replacement of the light bulb product means disposing and replacing the entire suite of electronics, optics, and heat sink - a costly and wasteful proposition.

Thus, there is a need for retrofit devices for RCDs based on LEDs that are compatible with a wide range of differently sized and/or shaped RCD fixtures, and that are easily upgradable with different light sources and/or associated electronics.
Summary

[0008] Embodiments of the present invention advantageously enable retrofitting of a standard incandescent- or halogen-based RCD and also simplify and reduce the cost of eventual upgrades as the technology is improved. Such embodiments have some or all of the following advantages:

1) Modularization of the electronics, optics and cooling elements.
2) Backward compatibility to existing RCDs.
3) Upgradable in the field as the technology evolves.
4) Reduction in the number of products needed across platforms.
5) Compatibility with existing light-bulb bases without being limited by them.
6) Independent of the light bulb being replaced yet conforming to the volume of existing RCD fixtures.

[0009] Embodiments of the invention typically include a discrete driver module featuring circuitry for supplying power to and controlling the LED light source(s) and a plug-compatible base (i.e., a connector compatible with a socket for an incandescent or halogen light bulb, e.g., an A19 Edison-style base). The driver module is electrically connected to a discrete light module featuring at least one LED and a mechanism for mounting within an RCD fixture. The two modules are generally linked by an electrical cable that provides the electrical connection therebetween; preferably, this cable represents the sole physical link between the modules. As utilized herein, the terms "cable" and "electrical cable" refer to any one or more cables, wires, or other conduits for the conduction of electrical signals and/or electrical power.

[0010] In various embodiments of the invention, the lighting module incorporates a temperature sensor for sensing the temperature of the LED(s) and/or the ambient temperature, and the driver module incorporates thermal-feedback circuitry for controlling power supply to the LED(s) based on the sensed temperature. The lighting module may incorporate an integral or removable heat sink, and the heat sink may fit within a trim ring. The trim ring may have vents that substantially conceal the heat sink but permit air circulation and/or convection. The heat sink may not be physically or thermally connected to the driver module, and it may be
supplemented or replaced by an active cooling element (e.g., a fan or a Synjet module available from Nuventix, Inc. of Austin, TX).

[0011] In various embodiments, the electrical cable connecting the two discrete modules has sufficient and/or adjustable length, thereby permitting the retrofit of a variety of differently sized RCD fixtures with the same driver and lighting modules. The lighting module and/or optional optics therefor may be positionable, e.g., on a gimbal mount enabling the aiming of the emitted light in a desired direction.

[0012] Thus, various embodiments of the present invention provide an LED light source that is backward-compatible with existing RCDs. Typical RCDs are designed to accommodate numerous light bulbs in a single fixture, but require numerous accessory parts to do so. In contrast, the LED-based retrofit in accordance with embodiments of the invention adjusts to a wide variety of RCD volumes without the need for accessory parts. Generally, the device utilizes a standard RCD shell and includes a trim ring (which may also be decorative) that permits convection and facilitates use of a replaceable lighting module. Thus, the device may be utilized in existing installations incorporating incandescent- and/or halogen-based RCDs.

[0013] In one aspect, embodiments of the invention feature an illumination device including or consisting essentially of a discrete driver module and a discrete lighting module that are collectively sized to fit within a recessed-can lighting fixture. The discrete lighting module is configured for electrical connection to but otherwise physically separate from the driver module. The discrete driver module includes or consists essentially of (i) circuitry for supplying power to and controlling at least one light-emitting diode and (ii) a connector compatible with a socket for an incandescent or halogen light bulb. The discrete lighting module includes or consists essentially of at least one light-emitting diode and a mechanism for mounting the lighting module within a recessed-can lighting fixture.

[0014] Embodiments of the invention feature one or more of the following in any of a variety of combinations. The lighting module may include a heat sink and/or an active cooling element. The illumination device may include a trim ring configured to overlap an edge of the lighting fixture and at least a portion of the lighting module. The trim ring may have a plurality of openings, thereby enabling convective cooling of the lighting module. The illumination device may include a light-emitting diode (which may be distinct from those light-emitting
diodes on the lighting module for direct illumination) for emitting light through at least one of
the openings, thereby providing decorative illumination. An electrical cable may electrically
connect the driver module and the lighting module. The electrical cable may be the only
physical connection between the driver module and the lighting module. The electrical cable
may provide substantially no physical support to the lighting module. The electrical cable
be detachable from the driver module and/or the lighting module, thereby enabling replacement
of the electrical cable with a second electrical cable having a different length. The lighting
module may be detachable from the electrical cable, thereby enabling replacement of the
lighting module with a second lighting module different from the lighting module. The
mounting mechanism may include or consist essentially of one or more spring clip. The driver
module may include an ambient temperature sensor and/or a temperature sensor for measuring
the temperature of at least one of the light-emitting diodes(s) (i.e., the temperature resulting
from heat generated during operation of the light-emitting diode(s)). The driver module may
include circuitry for controlling current flow to the light-emitting diode(s) based on the
measured temperature. The lighting module may lack circuitry for supplying power to and
controlling the light-emitting diode(s).

[0015] In another aspect, embodiments of the invention feature a method of upgrading an
illumination device disposed at least partially within a recessed-can lighting fixture comprising
a socket for an incandescent or halogen light bulb. A discrete driver module is connected to the
socket, the driver module including or consisting essentially of (i) circuitry for supplying power
to and controlling at least one light-emitting diode and (ii) a connector compatible with the
socket. A discrete lighting module is mounted within the recessed-can fixture, the lighting
module including at least one light-emitting diode and being electrically connected to and
otherwise physically separate from the driver module. The driver module and the lighting
module collectively fit within the recessed-can lighting fixture.

[0016] These and other objects, along with advantages and features of the invention, will
become more apparent through reference to the following description, the accompanying
drawings, and the claims. Furthermore, it is to be understood that the features of the various
embodiments described herein are not mutually exclusive and can exist in various combinations
and permutations. As used herein unless otherwise indicated, the terms "substantially" and
"approximately" mean ±10%, and, in some embodiments, ±5%. The term "consists essentially
of” means excluding other materials that contribute to function, unless otherwise defined herein. Nonetheless, such other materials may be present, collectively or individually, in trace amounts.

**Brief Description of the Drawings**

[0017] In the drawings, like reference characters generally refer to the same parts throughout the different views. Also, the drawings are not necessarily to scale, emphasis instead generally being placed upon illustrating the principles of the invention. In the following description, various embodiments of the present invention are described with reference to the following drawings, in which:

- Figure 1 is a schematic cross-section of an RCD fixture in accordance with the prior art; and
- Figure 2 is a schematic cross-section of an LED-based illumination device in accordance with various embodiments of the invention.

**Detailed Description**

[0018] Figure 1 depicts a standard RCD fixture 100 in accordance with the prior art. The fixture 100 typically houses and supplies electrical power to an incandescent or halogen light bulb 110, power being supplied via, e.g., an electrical conduit 120 connecting to the AC mains of the building in which the fixture 100 resides. The fixture 100 includes a can 130, which is typically recessed into a ceiling 140. The fixture 100 also includes an electrical socket 150 that is compatible with the electrical connector of the light bulb 110. As detailed above, retrofitting the fixture 100 for compatibility with a different type or size of light bulb 110 is difficult or impossible due to the fixed dimensions of the fixture 100.

[0019] Figure 2 depicts an illumination device 200 in accordance with various embodiments of the present invention. As shown, the illumination device 200 includes or consists essentially of a discrete driver module 210 and a discrete lighting module 220. The driver module 210 and lighting module 220 are collectively sized to fit within the RCD fixture or can 130, and may thus be utilized as a replacement lighting product for light bulb 110 shown in Figure 1. The RCD fixture is typically cylindrical, and the cross-section of the fixture may
be round, square, or have another shape. Generally the fixture is mounted to a structural
element in a building, such as a ceiling beam, and may be connected to the building electrical
system via electrical conduit 120 and an electrical junction box (not shown).

[0020] In preferred embodiments of the present invention, the driver module 210 and
lighting module 220 are electrically connected, e.g., via an electrical cable 230, but are
otherwise physically separate. The electrical cable 230 may thus be the only physical
connection between modules 210, 220. As shown, cable 230 generally has a length sufficient
to position the lighting module 220 proximate the opening of the RCD fixture but may have
shorter or longer lengths, thereby facilitating the removal of at least a portion of device 200
from the RCD fixture and subsequent placement within a different RCD fixture having
different dimensions, e.g., a different depth (i.e., of recess into the ceiling 140). Thus, in many
embodiments of the invention the cable 230 provides substantially no physical support to the
lighting module 220. Instead, the lighting module 220 is preferably positioned within the RCD
fixture via a mounting mechanism 240, which may include or consist essentially of, e.g., one or
more springs or spring clips (that may be coated to enhance their friction against the inner
surface of the RCD fixture). The modular design of preferred embodiments of the present
invention obviates the need for a dedicated "sleeve" or other insert housing the modules 210,
220 within the RCD fixture. The electrical cable 230 may be detachable from the driver
module 210 and/or the lighting module 220, allowing for the replacement or upgrading of any
of modules 210, 220 or cable 230. For example, the cable 230 may terminate in removable
snap-in connectors at one or both ends.

[0021] The lighting module 220 features one or more LEDs 250, which may be packaged
(e.g., with integrated optics and/or encapsulation) and/or substantially unpackaged (e.g., bare
dies), and which may individually and/or collectively emit any of a variety of colors of light,
including white light. An optic 260 (e.g., a refractive, diffusive, or focusing lens) may be
integ rall y or removably connected to one or more of the LEDs 250 in order to direct the light
emitted from the LEDs 250 in a particular direction or to give the light a desired pattern or
color. As mentioned above, the entire lighting module 220 may be mounted, e.g., gimbal
mounted, to facilitate aiming of the light emitted therefrom in a desired direction.
A trim ring 270 may provide a decorative cover to the interface between the ceiling 140 and the RCD fixture and preferably covers the seam therebetween. The trim ring 270 may also facilitate the exchange of air with the outside via one or more vents 280, e.g., louvers or a mesh grill, while obscuring portions of device 200 within the RCD fixture. In some embodiments, a decorative feature is created with such openings, e.g., an illumination pattern created from the light from one or more (in some embodiments dedicated) LEDs in the lighting module 220. (Such decorative illumination is preferably distinct from the direct illumination emanating directly from the LEDs 250 out of the RCD fixture.) The trim ring 270 may be attached to the mounting mechanism 240 and may also provide mechanical support for the lighting module 220. The lighting module 220 may be substantially flush-mounted to the trim ring 270 or may be recessed to reduce glare. The lighting module 220 may be removably attached to the trim ring 270 by one or more pins, clamps, or other suitable fasteners. As shown, the trim ring 270 typically overlaps the edge of the RCD fixture and at least a portion of the lighting module 220. Although in some embodiments the LEDs 250 and/or the optics 260 are directly visible within the RCD fixture, in other embodiments the trim ring 270 incorporates a screen 285, e.g., a diffusive screen, to reduce glare or to produce a desired lighting pattern and/or color.

A heat sink 290 is preferably integrally or removably attached to the lighting module 220 in order to facilitate conduction and/or convection of heat away from the LEDs 250. The heat sink 290 may have a plurality of fins or other projections that increase its surface area, and it may be supplemented or replaced by an active cooling element (e.g., a fan or a Synjet module available from Nuventix, Inc. of Austin, TX). Due to the physical separation between driver module 210 and lighting module 220, the heat sink 290 is typically neither physically nor thermally connected to the driver module 210.

In various embodiments of the present invention, the lighting module 220 also incorporates one or more temperature sensors 295 (e.g., thermistors or other sensors) that sense the operating temperature of the LEDs 250 and/or the ambient temperature within or immediately outside the RCD fixture. Thus, a temperature sensor may be directly thermally coupled to one or more of the LEDs 250. The sensed temperature may be utilized by the driver module 210 to control lighting module 220, as described below.
In other embodiments, one or more sensors 295 may be occupancy and/or ambient-light-level sensors, and lighting module 220 may feature these types of sensors instead of or in addition to the abovementioned temperature sensors. Such sensors 295, as known to those of skill in the art, detect motion of and/or heat from occupants of the room in which illumination device 200 is installed, and/or the level of ambient light in the room. The output(s) of such sensors 295 may also be utilized by the driver module 210 to control lighting module 220. For example, the driver module 210 may direct the LEDs 250 to illuminate when the level of ambient light decreases beyond a threshold level and/or when an occupant is detected in the room. Similarly, the driver module 210 may direct the LEDs 250 to dim or turn off entirely when the level of ambient light increases beyond a threshold level and/or when no occupant has been detected for a certain amount of time.

As shown in Figure 2, the driver module 210 incorporates a connector that connects directly to (e.g., screws or plugs into) electrical socket 150 and receives electrical power (e.g., from the AC mains). The driver module 210 preferably contains electronics that transform such electrical power into a form suitable to drive the LEDs 250 (e.g., DC current). Driver module 210 may also include dimmers, transformers, rectifiers, or ballasts suitable for operation with the LEDs 250, as understood by those of skill in the art, and such components (and/or any other circuitry) of driver module 210 may be disposed on a printed circuit board. In preferred embodiments, the driver module 210 also provides for thermal feedback (or "foldback") to protect the LEDs 250, as described in, e.g., U.S. Patent No. 7,777,430 and U.S. Patent Application Publication Nos. 2010/0320499, 2010/0176746 (the '6746 application), and 2011/0121760, the entire disclosures of which are incorporated by reference herein. For example, the driver module 210 may utilize the temperature sensed at the lighting module 220 to provide over-temperature protection (i.e., reduction in the power supplied to the LEDs 250) and/or switch and control any active cooling system (e.g., a fan) incorporated within lighting module 220 via, e.g., thermal control electronics 297. The driver module 210 may even incorporate features described in the '6746 application to enable two-wire temperature sensing and, thus, the maintaining of the LEDs 250 within a safe operating temperature range. The driver module 210 also typically provides electrical isolation from the mains power, and is self-contained and may incorporate other features such as a fuse. As shown in Figure 2, power is supplied from the driver module 210 to the lighting module via the electrical cable 230.
The terms and expressions employed herein are used as terms of description and not of limitation, and there is no intention, in the use of such terms and expressions, of excluding any equivalents of the features shown and described or portions thereof, but it is recognized that various modifications are possible within the scope of the invention claimed.

What is claimed is:
Claims

1. An illumination device comprising:
   a discrete driver module comprising (i) circuitry for supplying power to and controlling
   at least one light-emitting diode, and (ii) a connector compatible with a socket for an
   incandescent or halogen light bulb;
   a discrete lighting module configured for electrical connection to but otherwise
   physically separate from the driver module, the lighting module comprising (i) at least one
   light-emitting diode, and (ii) a mechanism for mounting the lighting module within a recessed-
   can lighting fixture,
   wherein the driver module and the lighting module are collectively sized to fit within
   the recessed-can lighting fixture.

2. The illumination device of claim 1, wherein the lighting module comprises at least one
   of a heat sink or an active cooling element.

3. The illumination device of claim 1, further comprising a trim ring configured to overlap
   an edge of the lighting fixture and at least a portion the lighting module.

4. The illumination device of claim 3, wherein the trim ring comprises a plurality of
   openings, thereby enabling convective cooling of the lighting module.

5. The illumination device of claim 3, further comprising a light-emitting diode for
   emitting light through at least one of the openings, thereby providing decorative illumination.

6. The illumination device of claim 1, further comprising an electrical cable electrically
   connecting the driver module and the lighting module.

7. The illumination device of claim 6, wherein the electrical cable is the only physical
   connection between the driver module and the lighting module.

8. The illumination device of claim 6, wherein the electrical cable provides substantially
   no physical support to the lighting module.
9. The illumination device of claim 6, wherein the electrical cable is detachable from the driver module and the lighting module, thereby enabling replacement of the electrical cable with a second electrical cable having a different length.

10. The illumination device of claim 6, wherein the lighting module is detachable from the electrical cable, thereby enabling replacement of the lighting module with a second lighting module different from the lighting module.

11. The illumination device of claim 1, wherein the mounting mechanism comprises a plurality of spring clips.

12. The illumination device of claim 1, wherein the driver module comprises an ambient temperature sensor.

13. The illumination device of claim 1, wherein the lighting module comprises a temperature sensor for measuring a temperature of the at least one light-emitting diode.

14. The illumination device of claim 13, wherein the driver module comprises circuitry for controlling current flow to the at least one light-emitting diode based on the measured temperature.

15. The illumination device of claim 1, wherein the lighting module lacks circuitry for supplying power to and controlling the at least one light-emitting diode.

16. A method of upgrading an illumination device disposed at least partially within a recessed-can lighting fixture comprising a socket for an incandescent or halogen light bulb, the method comprising:

   connecting to the socket a discrete driver module comprising (i) circuitry for supplying power to and controlling at least one light-emitting diode and (ii) a connector compatible with the socket; and

   mounting within the recessed-can fixture a discrete lighting module (i) electrically connected to and otherwise physically separate from the driver module and (ii) comprising at least one light-emitting diode,

   wherein the driver module and the lighting module collectively fit within the recessed-can lighting fixture.
INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER

INV. F21S8/02 F21V23/00
ADD. F21V23/04 F21Y101/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
F21S F21V

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

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Further documents are listed in the continuation of Box C. See patent family annex.

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Name and mailing address of the ISA:
European Patent Office, P.B. 5818 Patentlaan 2
NL-2280 HV Rijswijk
Tel. (+31-70) 340-2040,
Fax. (+31-70) 340-3016

Schulz, Andreas
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