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Declarations under Rule 4.17:

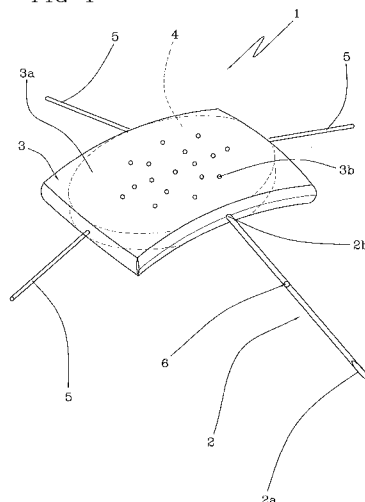
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(54) Title: VALVE DEVICE FOR USE IN GLAUCOMA SURGERY

FIG 1



(57) Abstract: A valve device for use in glaucoma surgery comprises at least one aqueous humor feeding duct (2) having a first end (2a) designed to fit into the anterior chamber of the eyeball, a balancing chamber (3) communicating with a second end (2b) of the feeding duct (2) and having an inner volume ranging from 2 mm<sup>3</sup> to 6 mm<sup>3</sup>, and designed to be introduced into a surgical groove in the sclera, a retainer member (6) placed within the feeding duct (2) to allow fluid transfer from the first end (2a) toward the second end (2b) of the feeding duct (2) and to inhibit fluid transfer in the opposite direction. A plurality of aqueous humor outflow ducts (5) extend from the balancing chamber (3) to allow outflow of aqueous humor from the balancing chamber (3) to an outside space.



Valve device for use in glaucoma surgery

## DESCRIPTION

### *Technical Field*

The present invention relates to a valve device for use in glaucoma surgery and  
5 a method for surgical treatment of glaucoma using such device.

### *Background Art*

Glaucoma is the second leading cause of blindness worldwide. 60 million  
people suffer from such disease and about 32% of them unfortunately incurs  
blindness. High eye pressure is the first risk factor that has been found, as glaucoma  
10 occurrence progressively increases in subjects with increased eye pressures.

Pharmacological and surgical treatments have been developed to reduce eye  
pressure. Pharmacological treatments include administration of hypotensive eye drops  
which have the purpose of reducing production of aqueous humor or increasing  
removal thereof. Nevertheless, pharmacological treatment requires active collaboration  
15 by the patient and involves daily administration of eye drops.

Intraocular pressure may be also reduced by laser therapy, i.e. trabeculoplasty,  
which provides good results but for limited periods of time, after which the disease  
reappears.

Concerning surgical treatment, standard procedures have the purpose of  
20 opening a passage through the eye walls to allow aqueous humor to escape from  
within the eyeball. The most common procedure among those that may be used for  
this purpose is trabeculectomy. This surgical procedure is maybe the oldest eye  
surgery procedure that is still in use. It consists in cutting a small trapdoor flap in the  
sclera (the outer coat of the eye), allowing communication between the anterior  
25 chamber (the interior of the eye) and the exterior of the eye, by forming a pocket in the

subconjunctival space.

This surgical technique is still deemed to be the last treatment option, as it involves a number of problems both concerning methods (it is a non-standardized surgical technique) and post-surgery complications. In this respect, the most common  
5 late post-surgical complication is reduced filtration caused by normal scleral and conjunctival scarring. This normal process is counteracted using particular substances (mitotic inhibitors), which inhibit proliferation of scar tissue or sometimes by enlarging the communication passage between the anterior chamber and the subconjunctival space. Nevertheless, this would cause over-filtration in the first days,  
10 which might initially lead to a dramatic drop of intraocular pressure.

In recent years a number of valve devices and devices of other kind have been introduced into the market, in an attempt to provide standardizable surgical procedures and more predictable results. Some of these act directly upon the sclerocorneal trabecular meshwork (i.e. the region in which aqueous humor is filtered out of the eye)  
15 and attempt to bypass it, whereas others generate well-defined filtration volumes, thereby reducing post-surgery complications of trabeculectomy. The drawback of these techniques is their inability to reduce or prevent short-to-medium term scarring of the scleral flap and the conjunctiva, which at most times neutralize the hypotensive effect of the surgical procedure.

20 In this context, the technical purpose of the present invention is to provide a valve device for use in glaucoma surgery that is free of the above mentioned drawbacks.

Particularly, the object of the present invention is to provide a valve device for use in glaucoma surgery that can afford optimal intraocular pressure recover and  
25 minimize tissue scarring problems.

A further object of the present invention is to provide a method for surgical treatment of glaucoma that uses such device,

According to the present invention, these and other objects, as better illustrated hereinafter, are fulfilled by a valve device for use in glaucoma surgery and a method  
5 for surgical treatment of glaucoma that uses such device having the features as set forth in one or more of the annexed claims.

#### *Brief Description of the Drawings*

The accompanying figures show exemplary embodiments of the present disclosure, by way of illustration and without limitation, and:

- 10 - Figure 1 shows a perspective view of the valve device for use in glaucoma surgery according to the present invention;
- Figure 2 shows a variant embodiment of the valve device of Figure 1; and
- Figures 3 and 4 show sectional views of details of the device of Figure 1.

#### *Detailed Description*

15 As used herein and in the annexed claims, the terms aqueous humor, sclera, trabecular meshwork, limbus, conjunctiva, anterior chamber are intended in their usual anatomical meaning. Particularly, aqueous humor is a saline liquid that fills the space between the cornea and the crystalline; the sclera is an opaque fibrous membrane that forms 5/6 of the outer tunic of the eyeball; the trabecular meshwork is the region  
20 between the iris and the cornea, at 360 degrees, through which aqueous humor is drained; the limbus is the junction area between the cornea and the sclera; the conjunctiva is a mucous membrane that covers the posterior surface of the eyelids and the outer surface of the sclera; the anterior chamber is the intraocular space delimited  
25 surface of the iris.

Referring to the accompanying figures, a valve device for use in glaucoma surgery according to the present invention is generally designated by numeral 1. The valve device as shown in the annexed figures has been represented schematically and ratio and/or scale factors are not necessarily applied to the parts of the device.

5 The device 1 comprises at least one aqueous humor feeding duct 2 having a first end 2a designed to fit into the anterior chamber of an eyeball and extend past the limbus. Such first end 2a has a pointed section for insertion thereof into a hole formed in the limbus at the trabecular meshwork such that it may reach the anterior chamber of the eyeball. The feeding duct 2 has a second end 2b which is in fluid  
10 communication with an inner volume 4 (outlined by broken lines in Figures 1 and 2) of a balancing chamber 3. The diameter of the feeding duct ranges from 20 to 100 micrometers (i.e.  $\mu\text{m}$ ), and is preferably about 50 micrometers.

The balancing chamber 3 is designed to fit into a recess (or pocket) of the sclera under the conjunctiva. The inner volume of the balancing chamber 3 preferably  
15 ranges from  $2\text{ mm}^3$  to  $6\text{ mm}^3$ , and is preferably about  $4\text{ mm}^3$ . The outer dimensions of the balancing chamber are preferably about  $4\text{ mm} \times 4\text{ mm}$  with a height of about 0.25 mm. The thickness of the walls of the balancing chamber 3 ranges from 10 to 50 micrometers, and is preferably about 20-30 micrometers.

A plurality of aqueous humor outflow ducts 5 extend in fluid communication  
20 with and away from the balancing chamber 3, namely the inner volume 4 thereof, and are designed to allow outflow of aqueous humor from the inner volume of the balancing chamber to a subconjunctival space. Thus aqueous humor is transferred from the anterior chamber to the subconjunctival space, and pressure in the anterior chamber is thereby decreased. In the preferred embodiment of the invention, there are  
25 three outflow ducts 5, although there may be any number of such ducts greater than

one. The inside diameter of the outflow ducts 5 ranges from 20 to 300 micrometers.

In the preferred embodiment of the invention, the balancing chamber 3 comprises an upper wall 3a which delimits the inner volume 4 and faces the underside of the scleral pocket. This upper wall 3a of the balancing chamber 3 comprises a plurality of through holes 3b which establish fluid communication between the inner volume 4 and the outside environment. The number of holes 3b ranges from 4 to 50, preferably from 10 to 40, more preferably from 15 to 25. The diameter of each hole 3b ranges from 2 to 50 micrometers, preferably from 5 to 20 micrometers, and is more preferably about 10 micrometers. Preferably, all the holes 3b have the same diameter. The holes 3b have the purpose of allowing at least partial escape of the aqueous humor contained in the balancing chamber 3, which comes from the anterior chamber of the eyeball and flows toward the scleral portion with the pocket that contains the balancing chamber 3. Such liquid drainage may result from an outflow of liquid through the holes 3b, due to a natural pressure difference between the inner volume 4 and the environment outside it, or may be obtained by creating such pressure difference, e.b. by applying an impulsive force to the balancing chamber 3, to cause a change in the inner volume and a consequent pressure variation. The at least partial escape of aqueous humor through the holes 3b ensures continuous wettability of the scleral portion with the balancing chamber 3, which inhibits or delay scar adhesion between the sclera and the balancing chamber 3.

Advantageously, a retainer member 6 is placed in the feeding duct 2, namely between the first 2a and the second 2b ends thereof, and acts as a backflow-preventing valve for one-way transfer of fluid from the first end 2a to the second end 2b of the feeding duct 2, thereby preventing fluid transfer from the second end 2b to the first end 2a of the feeding duct 2. In other words, the retainer member 5 allows transfer of

aqueous humor from the anterior chamber to the exterior of the eye, and prevents any fluid transfer in the opposite direction. Therefore, the retainer member 6 acts as a non-return valve.

In the preferred embodiment of the invention, the retainer member 6 comprises at least two flaps 7, 8 having respective first edges 7a, 8a attached to inner walls of the feeding duct 2 and respective mutually facing free edges 7b, 8c (see Figures 3 and 4) This type of retainer member is functionally and structurally similar to a bicuspid valve, such as the mitral valve. Particularly, the free edges 7b, 8b may be switched from a feeding duct closing state (see Figure 3), in which the free edges are mutually contacting, and a feeding duct opening state (see Figure 4), in which the free edges are in spaced relationship. Such switching between the closing and opening state occurs as a result of the pressure difference between the first end 2a and the second end 2b of the feeding duct 2, Particularly, when (aqueous humor) pressure at the first end 2a of the feeding duct is higher than pressure at the second end 2b, the free edges 7b, 8b move apart from each other by elastic deformation, due to the pressure applied to the walls of the two flaps 7, 8. On the other hand, when (aqueous humor) pressure at the second end 2b of the feeding duct is higher than pressure at the first end 2a, the free edges 7b, 8b come close to each other by elastic deformation, thereby closing the feeding duct 2. It shall be noted that the cusp defined by the free ends 7b, 8b of the two flaps 7, 8 faces toward the second end 2b of the feeding duct 2 (as schematically shown in Figure 3).

Preferably, the pressure difference that can open the two flaps is greater than 100 Pa, whereas the flaps tend to close again with pressure differences of more than 10 Pa.

In an alternative embodiment of the invention, there are three feedin ducts 2,

having different inside diameters. Particularly, in this embodiment (see Figure 2) a first feeding duct has an inside diameter of about 50 micrometers, a second feeding duct has an inside diameter of about 100 micrometers, and a third feeding duct has an inside diameter of about 200 micrometer. Each feeding duct can trigger an outflow of aqueous humor from the anterior chamber to the inner volume of the balancing chamber when the above mentioned pressure difference between the anterior chamber and the inner volume of balancing chamber exceeds predetermined values. For instance, the feeding duct with the greater diameter establishes an outflow at pressure differences of less than the pressure difference that is needed for actuation of the duct with the smaller diameter. Preferably, each feeding duct has a retainer member 6 as described above.

The material of which the device 1 is formed is a biocompatible and hydrophobic acrylic plastic material, having a low wettability, to avoid adhesion of solid particles to the walls of the device. It shall be further noted that the balancing chamber 3 has a substantially curved shape, for better conformation to the natural curvature of the eyeball.

In order to put the device 1 in place, the surgeon will operate as follows.

An opening is formed in the superior conjunctiva, preferably close to the limbus, to provide access to the underlying sclera.

A trapdoor flap is cut on the sclera to expose an inner portion of the sclera, i.e. a portion of the sclera is lifted without completely removing such portion. It shall be noted that this flap has a depth of about one third the thickness of the sclera. Preferably the depth (or thickness) of the flap is about 0.2 mm and the dimensions of the flap are compatible with the dimensions of the device 1 (e.g. 5 mm x 5 mm).

Then, a button of sclera is cut and removed at the inner portion of the sclera



exposed beneath the flap, thereby forming a groove in the sclera. The dimensions of the removed button are compatible with those of the device 1 (e.g. 5 mm x 5 mm) and its thickness is about 0.3 mm. Then, the side and rear walls of the sclera are cut to form appropriate grooves for receiving the outflow ducts.

5           Next, a calibrated needle is used to form a hole in the limbus at the portion of the sclera that separates the button from the limbus, to reach the anterior chamber. This hole also extends through the trabecular meshwork. A feeding duct 2 of the device 1 is introduced into the hole, such that the first end 2a of the duct 2 penetrates the anterior chamber. Now, the balancing chamber 3 is placed in the groove that was  
10           previously formed in the sclera. The outflow ducts are introduced beneath the side and rear scleral grooves, such that the entire device is received within the sclera. Then, the scleral flap is sutured such that the entire valve device is covered thereby. It shall be noted that the device is entirely contained in the sclera and does not contact the conjunctiva. The outflow ducts 5 are placed in the intrascleral space such that the  
15           aqueous humor withdrawn from the anterior chamber may flow into this area. Now, the conjunctiva is sutured.

          The above disclosure clearly shows that the valve device and the method for surgical treatment of glaucoma can avoid the post-surgical rejection (scarring) problems that were noted in the prior art. Should the conjunctiva exhibit some  
20           reactivity, the effectiveness of the valve would not be affected thereby, as the latter does not directly contact the valve device, which is entirely embedded in the sclera. Furthermore, the provision of holes on the upper surface of the balancing chamber ensures constant wettability of the scleral portion with the valve, and further reduces the occurrence of rejection.

25           Those skilled in the art will obviously appreciate that a number of changes and

variants may be made to the above described configurations, to meet incidental and specific needs. All of these variants and changes shall be contemplated in the scope of the invention, as defined in the following claims.

## CLAIMS

1. A valve device for use in glaucoma surgery, comprising:  
at least one aqueous humor feeding duct (2) having a first end (2a) designed to fit into the anterior chamber of an eyeball and into the trabecular meshwork of an eyeball;  
5 a balancing chamber (3), which is in fluid communication with a second end (2b) of said feeding duct (2), said balancing chamber (3) having an inner volume (4) ranging from 2 mm<sup>3</sup> to 6 mm<sup>3</sup> and being designed to fit into a surgical groove formed in the sclera of an eyeball;  
a retainer member (6) placed within said feeding duct (2) and designed to allow fluid  
10 transfer from the first end (2a) toward the second end (2b) of the feeding duct (2) and to inhibit fluid transfer from the second end (2b) toward the first end (2a) of the feeding duct (2);  
a plurality of aqueous humor outflow ducts (5) which extend in fluid communication with and away from said balancing chamber (3) and are designed to allow outflow of  
15 aqueous humor from the balancing chamber (3) to an intrascleral space.
2. A valve device as claimed in claim 1, wherein the balancing chamber (3) comprises a plurality of holes (3b) which establish fluid communication between said inner volume (4) and the outside environment of the balancing chamber (3).
3. A valve device as claimed in claim 2, wherein said through holes (3b) are  
20 formed on an upper wall (3a) of the balancing chamber (3).
4. A valve device as claimed in claim 3, wherein the number of holes (3b) ranges from 4 to 50, preferably from 10 to 40, more preferably from 15 to 25.
5. A valve device as claimed in claim 3, wherein the diameter of each hole (3b) ranges from 2 to 50 micrometers, preferably from 5 to 20 micrometers, and is more  
25 preferably about 10 micrometers.

6. A valve device as claimed in claim 1, wherein said balancing chamber (3) has peripheral walls defining said inner volume (4) whose thickness ranges from 10 to 50 micrometers.
7. A valve device as claimed in claim 1, wherein said retainer member (6) comprises at least two flaps (7, 8) having respective first edges (7a, 8a) attached to inner walls of the feeding duct (2) and respective free edges (7b, 8b) in mutually facing relationship; said free edges (7b, 8b) being adapted to be switched between a feeding duct closing state (2) in which the free edges contact each other and a feeding duct opening state (2) in which the free edges are in spaced relationship.
8. A valve device as claimed in claim 7, wherein said free edges (7b, 8b) are in spaced relationship when a pressure difference between the free end (2a) and the second end (2b) of the feeding duct (2) exceeds 100 Pa and contact each other when a pressure difference between the second end (2b) and the first end (2a) of the feeding duct (2) exceeds 10 Pa.
9. A valve device as claimed in claim 1, wherein the inside diameter of the feeding duct (2) ranges from 20 to 100 micrometers, and is preferably about 50 micrometers.
10. A valve device as claimed in claim 1, comprising a plurality of feeding ducts (2).
11. A valve device as claimed in claim 10, comprising three feeding ducts (2), wherein the inside diameters of the ducts are about 50, 100 and 200 micrometers respectively.
12. A valve device as claimed in claim 1, wherein said balancing chamber (3) has a substantially curved shape and is made of a biocompatible and hydrophobic acrylic material.

- 13.** A method for surgical treatment of glaucoma, comprising the steps of:
- providing a valve device (1) as claimed in one or more of claims 1 to 12;
  - forming a cut in the superior conjunctiva;
  - forming a trapdoor flap in the sclera to expose an inner portion of the sclera;
  - 5 removing a button of sclera beneath said trapdoor flap to define a groove in the sclera;
  - forming a hole in the surgical limbus and introducing the feeding duct (2) of said valve device into said hole, to the anterior chamber;
  - placing said balancing chamber (3) of the valve device and the outflow ducts (5) within the groove in the sclera;
  - 10 suturing the trapdoor flap in the sclera to cover the balancing chamber (3);
  - suturing the conjunctiva.

- 14.** A method as claimed in claim 13, wherein said trapdoor flap in the sclera has dimensions of about 5 mm x 5 mm and a thickness of about 0,2 mm and said button of sclera has dimensions of about 5 mm x 5 mm and a thickness of about 0.3 mm.
- 15

FIG 1

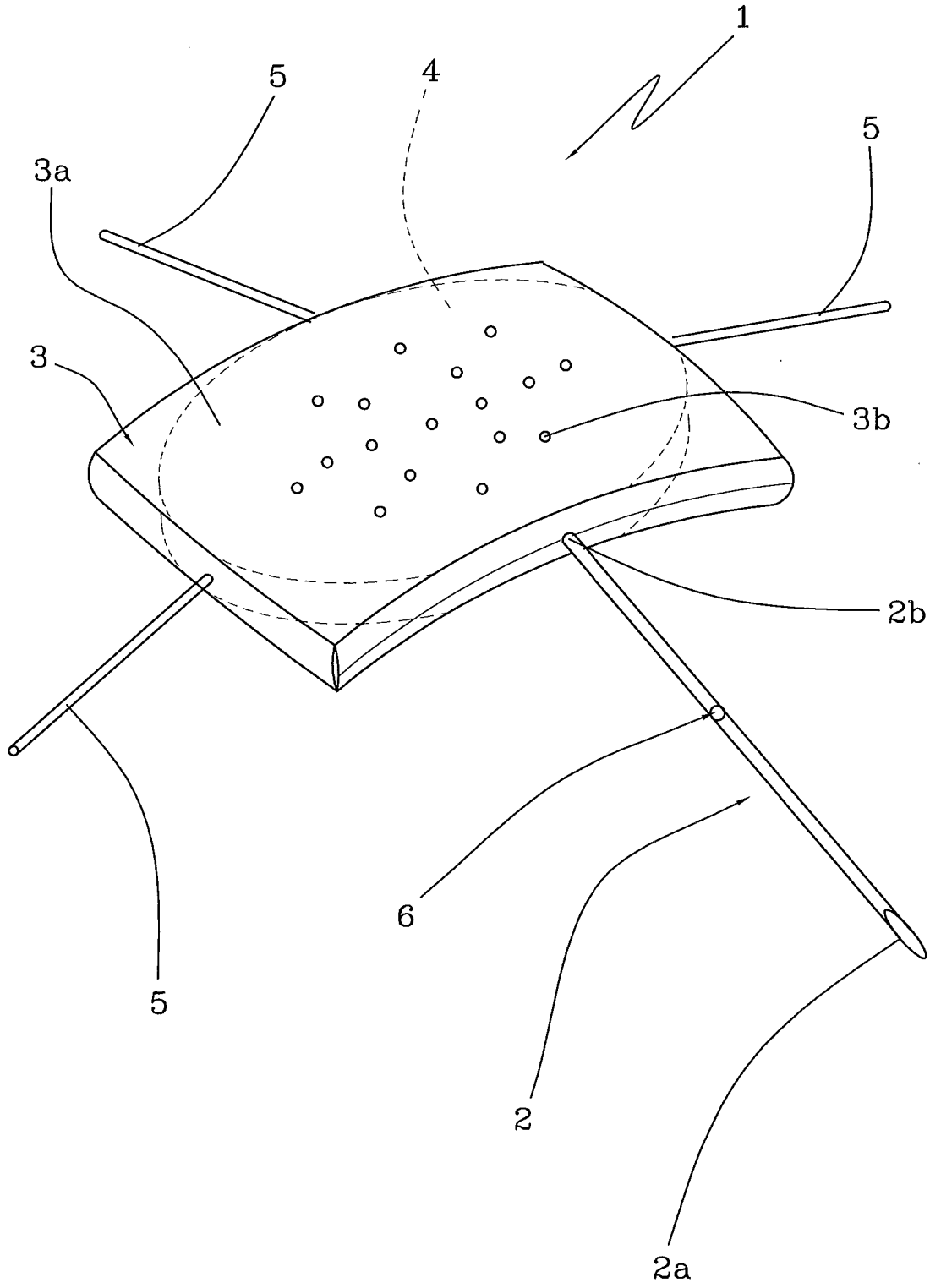


FIG 2

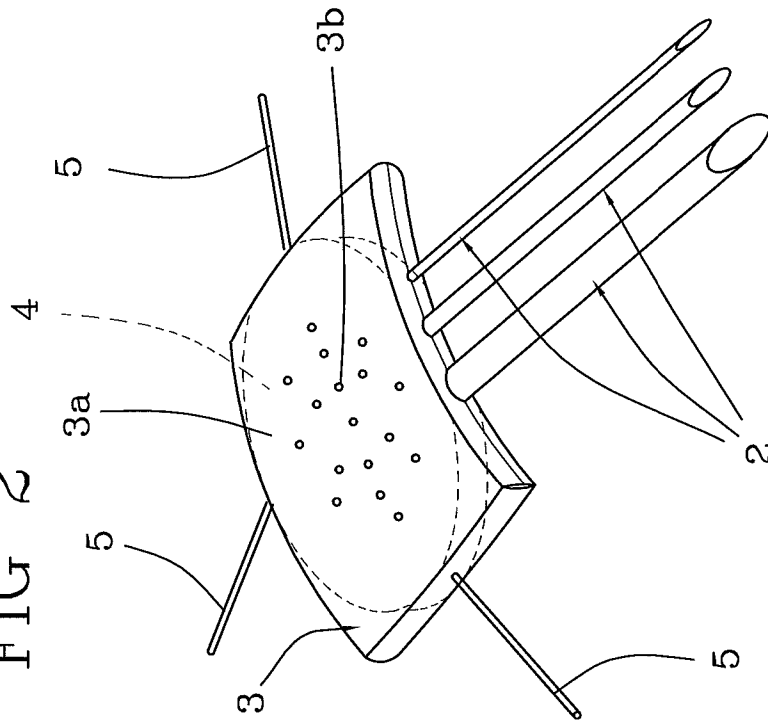


FIG 3

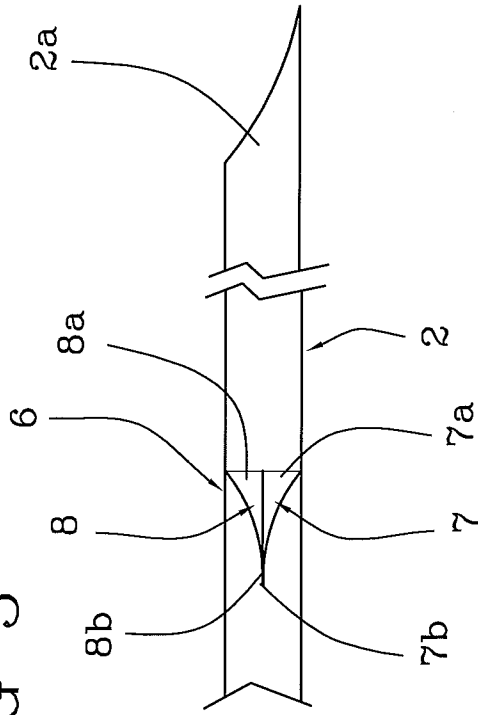
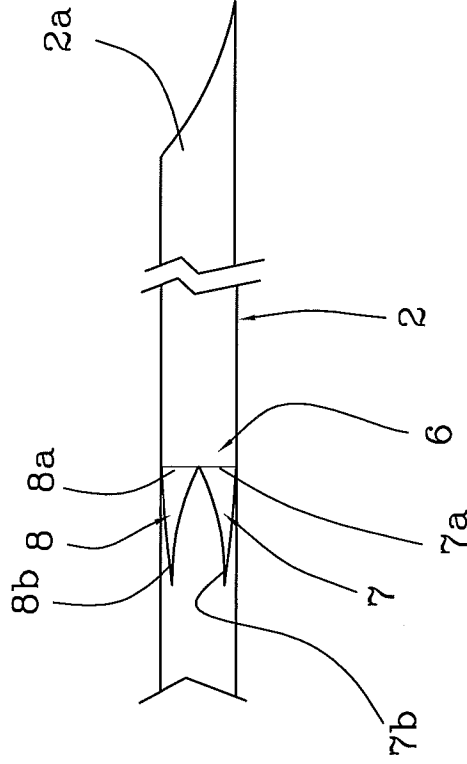


FIG 4



# INTERNATIONAL SEARCH REPORT

International application No  
PCT/IB2014/062435

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> INV. A61F9/007 ADD.				
According to International Patent Classification (IPC) or to both national classification and IPC				
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) A61F				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, WPI Data				
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
Y	US 2002/026200 A1 (SAVAGE JAMES A [US]) 28 February 2002 (2002-02-28) paragraph [0052] paragraph [0084] - paragraph [0100]; figures 1-12	1-12		
Y	----- US 5 171 213 A (PRICE JR FRANCIS W [US]) 15 December 1992 (1992-12-15) column 3, line 60 - column 6, line 36; figures 1-5b	1-12		
Y	----- US 2002/156413 A1 (WILLIAMS STUART K [US] ET AL) 24 October 2002 (2002-10-24) paragraph [0027] - paragraph [0034]; figures 1-3	2-5,9,11		
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<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;"> <input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.                 </td> <td style="width: 50%; border: none;"> <input checked="" type="checkbox"/> See patent family annex.                 </td> </tr> </table>			<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.	<input checked="" type="checkbox"/> See patent family annex.
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.	<input checked="" type="checkbox"/> See patent family annex.			
* Special categories of cited documents :				
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family			
Date of the actual completion of the international search  <p style="text-align: center; font-size: 1.2em;">6 October 2014</p>	Date of mailing of the international search report  <p style="text-align: center; font-size: 1.2em;">13/10/2014</p>			
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  <p style="text-align: center; font-size: 1.2em;">Lega, A</p>			



**INTERNATIONAL SEARCH REPORT**

International application No PCT/IB2014/062435
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C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2005/267398 A1 (PROTOPSALTIS DIMITRI [US] ET AL) 1 December 2005 (2005-12-01) paragraph [0051]; figure 4 -----	2-4
Y	US 5 454 796 A (KRUPIN THEODORE [US]) 3 October 1995 (1995-10-03) column 2, line 64 - column 4, line 37; figures 1-5 -----	6,12
Y	US 5 433 701 A (RUBINSTEIN MARK H [US]) 18 July 1995 (1995-07-18) column 3, line 3 - column 6, line 50; figures 1-6 -----	10,11

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB2014/062435

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: **13, 14**  
because they relate to subject matter not required to be searched by this Authority, namely:  
**see FURTHER INFORMATION sheet PCT/ISA/210**
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

Continuation of Box II.1

Claims Nos.: 13, 14

Claims 13 and 14 clearly refer to a method for treatment of the human or animal body by surgery (Rule 39.1(iv) PCT) on which an Authority is not required to carry out international search or preliminary examination. It should be noted that only the first feature of claim 13 does not refer to a surgical step.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/IB2014/062435

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