



(86) Date de dépôt PCT/PCT Filing Date: 2008/01/07  
(87) Date publication PCT/PCT Publication Date: 2008/08/08  
(85) Entrée phase nationale/National Entry: 2008/07/21  
(86) N° demande PCT/PCT Application No.: US 2008/050359  
(87) N° publication PCT/PCT Publication No.: 2008/127744  
(30) Priorités/Priorities: 2007/02/08 (US11/672,556);  
2007/02/08 (US11/672,548)

(51) Cl.Int./Int.Cl. *C08L 63/02* (2006.01),  
*C08J 5/18* (2006.01), *C08L 101/06* (2006.01),  
*C09D 163/02* (2006.01), *C08G 59/62* (2006.01)  
(71) Demandeur/Applicant:  
BASF CORPORATION, US  
(72) Inventeurs/Inventors:  
GONZALEZ, SERGIO, US;  
DECEMBER, TIMOTHY S., US  
(74) Agent: ROBIC

(54) Titre : MATERIAU FILMOGENE PHOSPHOREUX ET PROCEDES DE FABRICATION DE PREPARATIONS  
PROTECTRICES ET/OU DECORATIVES PHOSPHOREUSES  
(54) Title: FILM-FORMING MATERIAL CONTAINING PHOSPHOROUS AND METHODS OF PRODUCING COATING  
COMPOSITIONS CONTAINING PHOSPHOROUS

(57) **Abrégé/Abstract:**

Film-forming materials include resins having a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. Film-forming resins containing phosphorous can include epoxy, acrylic, polyurethane, polycarbonate, polysiloxane, polyvinyl, polyether, aminoplast, and polyester resins. A process to produce a film-forming resin includes reacting various polymers to incorporate a pendent group comprising a covalently bonded phosphorous atom. Methods of making a coating composition including a film-forming material having a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. Coating compositions can be used to coat a substrate, such as a metal substrate, by electrodeposition. Applied coatings containing the film-forming resins can be cured to form crosslinked films on substrates.



IN-5862A-C

## ABSTRACT

Film-forming materials include resins having a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. Film-forming resins containing phosphorous can  
5 include epoxy, acrylic, polyurethane, polycarbonate, polysiloxane, polyvinyl, polyether, aminoplast, and polyester resins. A process to produce a film-forming resin includes reacting various polymers to incorporate a pendent group comprising a covalently bonded phosphorous atom.

Methods of making a coating composition including a film-forming  
10 material having a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. Coating compositions can be used to coat a substrate, such as a metal substrate, by electrodeposition. Applied coatings containing the film-forming resins can be cured to form crosslinked films on substrates.

IN-5862A-C

FILM-FORMING MATERIAL CONTAINING PHOSPHOROUS AND  
METHODS OF PRODUCING COATING COMPOSITIONS CONTAINING  
PHOSPHOROUS

5

## BACKGROUND

**[0001]** Coating compositions are used in a variety of applications to coat a variety of substrates, often for protection of the substrate or to improve adhesion of subsequent coating layers. Typical coatings include electrodeposition coatings, primers, sealers, basecoats, clearcoats, and one-coat topcoats. Coating compositions include film-forming materials containing one or more resins, which may be polymeric, oligomeric, and/or monomeric materials, that are applied to a substrate by various methods, including electrodeposition (or electrocoating), spray coating, dip coating, roll coating, knife coating, and curtain coating. As used herein, a “resin” refers to one or more polymeric, oligomeric, and/or monomeric materials; a polymer includes repeating monomer units; an oligomer is a polymer including a few repeating monomer units, typically ten or fewer. Various types of film-forming materials are known and include epoxy, acrylic, polyurethane, polycarbonate, polysiloxane, aminoplast, and polyester resins.

**[0002]** Coating compositions can include a pigment dispersing or grind resin and a principal resin that generally constitutes the major polymeric part of the coating film. A grind resin usually includes a film-forming material, with which a pigment paste is made by wetting out pigment, filler, and catalyst, such as a metal catalyst, where the grind resin is blended or mixed with the other materials by milling in, e.g., a sandmill, ball mill,



IN-5862A-C

attritor, or other equipment. The pigment paste is combined with the principal resin and, typically, a curing agent. The grind resin and the principal resin can include the same, different, or mixtures of various film-forming materials.

**[0003]** The relatively soft film of an applied coating composition  
5 can be hardened by curing or crosslinking the film through incorporation of a crosslinker or curing agent in the coating composition. The crosslinker can be chemically reactive toward the polymers, oligomers, and/or monomeric compounds of the resin in the coating composition, thereby covalently joining the film-forming units together into a crosslinked film. Typical crosslinkers are  
10 activated (e.g., unblocked) using heat during a curing step and/or by exposure to actinic radiation. Catalysts, such as metal catalysts, can be used to facilitate thermal activation of the crosslinker and the reaction of the crosslinker with the resin. For example, inclusion of a catalyst such as a metal catalyst can reduce the requisite cure temperature and/or provide for a  
15 more complete cure.

**[0004]** Coating compositions can be powder, organic solvent based, or aqueous based. However, it is often desirable to use aqueous based coatings in order to reduce organic emissions. Such aqueous coating compositions include emulsions and dispersions of cationic, anionic, or  
20 nonionic resins, which may be formed via the dispersive properties of the resins themselves or with aid of external surfactants.

**[0005]** Epoxy-based coatings include polymers, oligomers, and/or monomers prepared by reacting materials with epoxide groups with materials having functional groups such as carboxyl, hydroxyl, and amine  
25 groups. Epoxies can be cured or crosslinked to form hardened coatings by

IN-5862A-C

using various crosslinkers depending on the functional groups present. For example, hydroxy-functional resin can be cured using isocyanate compounds. Such coating compositions are known in the art; e.g., U.S. patents 6,852,824; 5,817,733; and 4,761,337.

5           **[0006]**       The electrodeposition process can be anodic or cathodic; typically the article to be coated serves as the cathode. Electrodeposition processes are advantageous both economically and environmentally due to the high transfer efficiency of coating resin to the substrate and the low levels of organic solvent, if any, that are employed.

10   Another advantage of electrocoat compositions and processes is that the applied coating composition forms a uniform and contiguous layer over a variety of metallic substrates regardless of shape or configuration. This is especially advantageous when the coating is applied as an anticorrosive coating onto a substrate having an irregular surface, such as a motor vehicle

15   body. The even and continuous coating layer formed over all portions of the metallic substrate provides maximum anticorrosion effectiveness.

**[0007]**       Electrocoat baths can comprise an aqueous dispersion or emulsion of a film-forming material, such as an epoxy resin, having ionic stabilization. A dispersion is typically a two-phase system of one or more

20   finely divided solids, liquids, or combinations thereof in a continuous liquid medium such as water or a mixture of water and organic cosolvent. An emulsion is a dispersion of liquid droplets in a liquid medium, preferably water or a mixture of water and various cosolvents. Accordingly, an emulsion is a type of dispersion.



IN-5862A-C

**[0008]** For automotive or industrial applications, the electrocoat compositions are formulated to be curable compositions by including a crosslinker. During electrodeposition, a coating composition containing an ionically-charged resin is deposited onto a conductive substrate by  
5 submerging the substrate in an electrocoat bath having dispersed therein the charged resin and then applying an electrical potential between the substrate and a pole of opposite charge, for example, a stainless steel electrode. The charged coating particles are plated or deposited onto the conductive substrate. The coated substrate is then heated to cure the coating.

10 **[0009]** Typical substrates to be coated include metallic substrates, such as steel, galvanized and electrogalvanized metals, zinc alloys, and aluminum substrates. The substrate is often treated in a multi-step process in order to prepare the surface prior to application of the coating composition. Substrate preparation can include treatments with cleaners and  
15 conditioning rinses followed by phosphating (also known as phosphatizing or parkerizing) the substrate. For example, a steel substrate can be cleaned and conditioned to remove any metal working fluids or oils by spraying with or immersion in cleaners and conditioning rinses. The cleaned substrate is then treated with a zinc, manganese, and/or iron phosphate conversion  
20 coating by immersion. The phosphate coating serves to improve adhesion between the substrate and subsequent organic coatings, such as an epoxy-based electrocoating composition.

**[0010]** A significant amount of time and energy is involved in preparation of the coating composition, preparation of the substrate surface,  
25 and the application of the coating composition to the substrate. Elimination of

IN-5862A-C

one or more steps or combination of multiple steps in the coating process would be advantageous. Such changes could reduce the amount of equipment necessary in addition to saving time and energy.

**[0011]** A need, therefore, exists for film-forming materials and processes using film-forming materials that improve and simplify the coating process, for example, by reducing the number of steps involved and/or by combining steps.

### SUMMARY

**[0012]** The present invention provides a film-forming material comprising a resin, wherein the resin includes at least one pendent group comprising a phosphorous atom and at least one crosslinkable group. The phosphorous atom has at least one covalently bonded oxygen atom. The crosslinkable group can be reactive with a crosslinker, self condensing, reactive with another group on the resin, or addition polymerizable. In some embodiments, the group reactive with a crosslinker can be an epoxide, hydroxyl, carboxyl, carbamate, aminoalkanol, aminoalkylether, amide, or amine group. The resin can be any film-forming resin, such as an epoxy, acrylic, polyurethane, polycarbonate, polysiloxane, aminoplast, or polyester resin and can be a homopolymer or copolymer.

**[0013]** In certain embodiments, the pendent group comprises phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate. Exemplary pendent groups further include organophosphate, organophosphonate, and organophosphinate where one or two oxygen atoms covalently bonded to the phosphorous atom



IN-5862A-C

form ester linkages to alkyl or aryl groups. Alkyl groups include from 1 to about 12 carbons and aryl groups include substituted and unsubstituted phenyl and benzyl groups. In some embodiments, the pendent group can be bonded to the resin via an ester linkage and in various embodiments the  
5 pendent group further comprises a carboxylic acid group.

**[0014]** Further embodiments include a crosslinker for polymerizing a film-forming material comprising an alkyl or aromatic compound including at least two functional groups reactive with a film-forming material and at least one pendent group comprising a covalently  
10 bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. Functional groups reactive with a film-forming material include isocyanate, blocked isocyanate, uretdione, epoxide, hydroxyl, carboxyl, ester, ether, carbamate, aminoalkanol, aminoalkylether, amide, or amine groups.

**[0015]** In some embodiments, the film-forming material and/or  
15 the crosslinker can further comprise a metal or metal compound coordinated by the film-forming material and/or crosslinker. The metal or metal compound includes those selected from a group consisting of  $M$ ,  $MO$ ,  $M_2O_3$ ,  $M(OH)_n$ ,  $R_xMO$ , and combinations thereof; wherein,  $M$  is a metal selected from the  
20 group consisting of Al, Au, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr;  $n$  is an integer satisfying the valency of  $M$ ;  $R$  is an alkyl or aromatic group; and  $x$  is an integer from 1 to 6. In various embodiments, the metal or metal compound comprises a metal catalyst selected from a group consisting of dibutyltin oxide, dibutyltin dilaurate, zinc oxide, bismuth oxide, tin oxide,  
25 yttrium oxide, copper oxide, and combinations thereof.



IN-5862A-C

**[0016]** In various other embodiments, the film-forming material is produced by a process comprising reacting a resin having at least one pendent hydroxyl group with a carboxylic anhydride having an ethylenically unsaturated group to form a grafted resin having an ester group, a carboxylic acid group, and an ethylenically unsaturated group, wherein the resin has at least one group reactive with a crosslinker; and reacting the ethylenically unsaturated group of the grafted resin with a phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate.

**[0017]** In some embodiments, a method of producing a coating composition includes combining a film-forming material and a crosslinker, wherein the film-forming material comprises a resin having at least one pendent group comprising a covalently bonded phosphorous atom, said phosphorous atom having at least one covalently bonded oxygen atom; and at least one crosslinkable group. In other embodiments, a method of producing a coating composition includes forming a film-forming material by a process comprising: reacting a resin having at least one pendent hydroxyl group with a carboxylic anhydride having an ethylenically unsaturated group to form a grafted resin having an ester group, a carboxylic acid group, and an ethylenically unsaturated group, wherein the resin has at least one crosslinkable group; and reacting the ethylenically unsaturated group of the grafted resin with a compound comprising a phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom; and combining a crosslinker and the film-forming material. Crosslinkers can include blocked polyisocyanate compounds, uretdione compounds, polyisocyanates and oligomers thereof, and combinations thereof.

IN-5862A-C

**[0018]** In various other embodiments, methods of producing a coated substrate are provided. Methods include combining a crosslinker and a film-forming material, the film-forming material comprising a resin, wherein the resin includes at least one pendent group comprising a covalently bonded phosphorous atom, said phosphorous atom having at least one covalently bonded oxygen atom; and at least one crosslinkable group; and applying the coating composition to the substrate.

**[0019]** Some embodiments of producing a coated substrate include forming a film-forming resin by a process comprising reacting a resin having at least one pendent hydroxyl group with a carboxylic anhydride having an ethylenically unsaturated group to form a grafted polymer having an ester group, a carboxylic acid group, and an ethylenically unsaturated group, where the resin has at least one group reactive with a crosslinker. The ethylenically unsaturated group of the grafted polymer is reacted with a phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate compound. A coating composition is prepared comprising a crosslinker and the film-forming resin and the coating composition is applied to the substrate. Applying the coating composition can include electrodepositing the coating composition and in some embodiments the applied coating composition is cured.

**[0020]** The present invention affords various benefits over conventional film-forming resins. Such benefits include integration of the metal binding characteristics of a phosphating treatment into the film-forming resin. Film-forming resins containing at least one pendent group comprising a covalently bonded phosphorous atom, the phosphorous atom having at



IN-5862A-C

least one covalently bonded oxygen atom, exhibit improved adhesion between the resultant coating and a metallic substrate. Such resins can be applied to an untreated metallic substrate surface, simplifying and/or eliminating pretreatment steps. For example, these coating compositions can  
5 be applied to a substrate without the need for first phosphating the substrate. The ability to forego the phosphating treatment saves considerable process time and energy and further saves considerable floor space required for phosphating immersion tanks and equipment.

**[0021]** The film-forming resins of the present invention can also  
10 coordinate metals and metal compounds via the phosphorous atom having at least one covalently bonded oxygen atom. Such metals include metallic substrates, metals on the surface of a substrate, and/or the coordination of metal catalysts. The film-forming resins can further include other metal coordinating groups, such as carboxylic acid groups, that can also serve to  
15 coordinate metals and metal compounds.

**[0022]** The film-forming resins containing at least one pendent group comprising a covalently bonded phosphorous atom can provide better adhesion to a metal substrate and/or better corrosion protection. Without wishing to be bound by theory, it is believed that one or more oxygen atoms  
20 covalently bonded to the phosphorous atom in the film-forming resins can interact with the metal substrate to enhance adhesion of the polymeric film thereto. Furthermore, coating compositions according to the present invention can be formulated such that some of the pendent groups and/or additional carboxylic acid groups can be coordinated with metal catalysts to



IN-5862A-C

enhance curing of the coating, while other pendent groups are free to interact with the metal substrate to enhance adhesion.

**[0023]** The ability to coordinate metal catalysts provides another advantage, in that metal catalysts can reduce the requisite cure temperature of the coating composition and/or provide for more complete curing. The film-forming resin can be mixed with various amounts of metal catalysts to provide various amounts of resin-metal complexes using the carboxylic acid groups and/or pendent groups from the resin. For example, the present invention enables liquid organometallic salts to be added directly to the coating composition to form resin and metal catalyst complexes.

**[0024]** "A" and "an" as used herein indicate "at least one" of the item is present; a plurality of such items may be present, when possible. "About" when applied to values indicates that the calculation or the measurement allows some slight imprecision in the value (with some approach to exactness in the value; approximately or reasonably close to the value; nearly). If, for some reason, the imprecision provided by "about" is not otherwise understood in the art with this ordinary meaning, then "about" as used herein indicates at least variations that may arise from ordinary methods of measuring or using such parameters. In addition, disclosure of ranges includes disclosure of all values and further divided ranges within the entire range.

## DETAILED DESCRIPTION

**[0025]** Further areas of applicability and advantages will become apparent from the following description. It should be understood that

IN-5862A-C

the description and specific examples, while exemplifying various embodiments of the invention, are intended for purposes of illustration and are not intended to limit the scope of the invention.

**[0026]** The present invention includes film-forming materials, crosslinkers, processes for producing film-forming materials, coating compositions, methods of producing coating compositions, and methods of producing coated substrates. Embodiments of the invention include at least one pendent group comprising a covalently bonded phosphorous atom. The phosphorous atom has at least one covalently bonded oxygen atom. One or more of these oxygen atoms can coordinate metals and/or metal compounds, such as metal substrates and/or metal catalysts.

**[0027]** A film-forming material can comprise a resin, wherein the resin includes at least one pendent group comprising a covalently bonded phosphorous atom. The phosphorous atom has at least one covalently bonded oxygen atom. The resin also includes at least one crosslinkable group selected from a group reactive with a crosslinker, a self-condensing group, an addition polymerizable group, and a group curable with actinic radiation. The group reactive with a crosslinker can be an epoxide, hydroxyl, carboxyl, carbamate, or amine group.

**[0028]** In one embodiment, the film-forming material comprises a resin that includes at least one pendent group comprising a covalently bonded phosphorous atom, wherein the phosphorous atom has at least one covalently bonded oxygen atom, and at least one group reactive with a crosslinker. The resin can include one or more polymeric, oligomeric, and/or monomeric materials. The film-forming material can include various resins,



IN-5862A-C

such as epoxy, acrylic, polyurethane, polycarbonate, polysiloxane, polyvinyl, polyether, aminoplast, and polyester resins, and can include mixtures thereof. In embodiments where the resin is a polymer, it can be a homopolymer or a copolymer. Copolymers have two or more types of repeating units.

**[0029]** In some embodiments, the pendent group comprising a covalently bonded phosphorous atom is bonded to the resin via various linkages. The pendent group can be covalently attached to the resin by ester, phosphoester, carbon-phosphorous, amine, urethane, and ether bonds, among others. Exemplary reactions of functional groups to produce these linkages include: epoxide reacted with acid resulting in an ester linkage; epoxide reacted with amine resulting in an amine linkage; hydroxyl reacted with isocyanate resulting in a urethane linkage; hydroxyl reacted with anhydride resulting in an ester linkage; epoxide reacted with hydroxyl resulting in an ether linkage; ethylenically unsaturated group reacted with phosphorous resulting in carbon-phosphorous linkage; hydroxyl reacted with phosphate resulting in phosphoester linkage; and other types of linkages generally used in forming coating resins.

**[0030]** Pendent groups comprising a covalently bonded phosphorous atom, where the phosphorous atom has at least one covalently bonded oxygen atom include, among others, phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, and organophosphinate groups. Exemplary pendent groups further include organophosphate, organophosphonate, and organophosphinate where one or two oxygen atoms covalently bonded to the phosphorous atom form ester linkages to



IN-5862A-C

alkyl or aryl groups. The ester linkages to alkyl groups may include from 1 to about 12 carbons and the aryl groups include, without limitation, substituted and unsubstituted phenyl and benzyl groups.

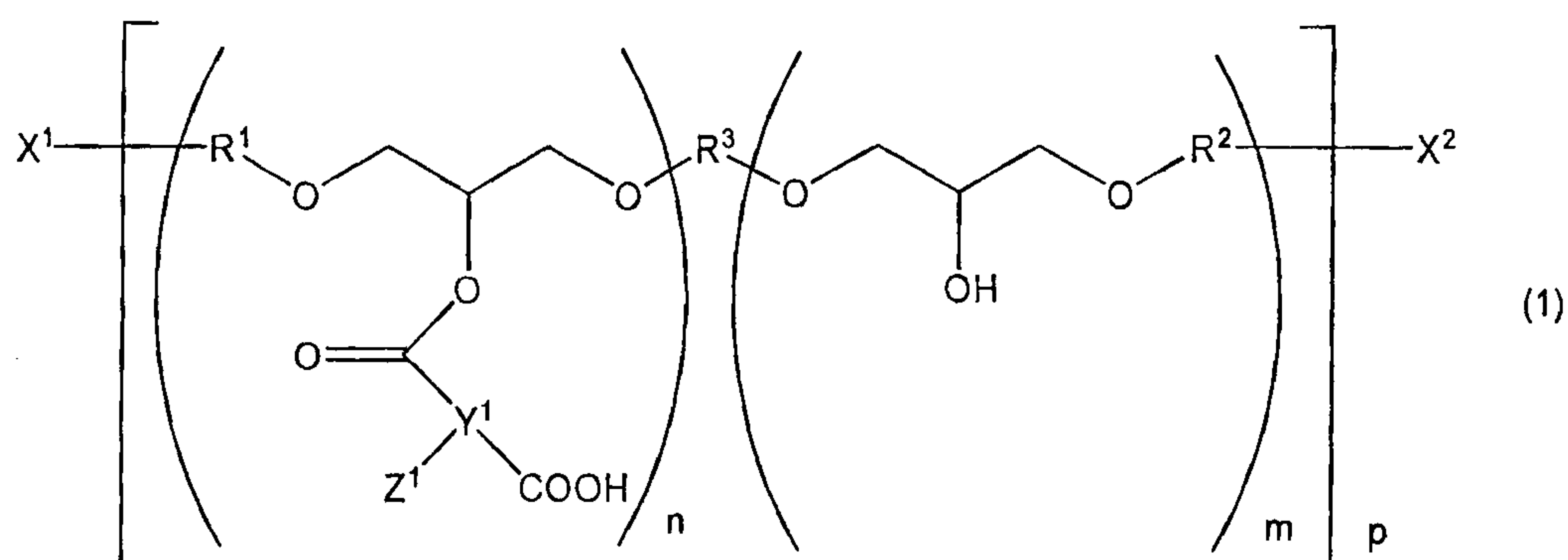
**[0031]** In various embodiments, the pendent group can be added to the resin using any of multiple reactions. In one embodiment, a two-step process is used to prepare a film-forming material comprising a resin having at least one pendent group comprising a covalently bonded phosphorous atom. A resin, having at least one hydroxyl group and at least one group reactive with a crosslinker, is reacted with a carboxylic anhydride having an ethylenically unsaturated group to form a grafted resin having an ester linkage to a group comprising a carboxylic acid group and an ethylenically unsaturated group. Exemplary carboxylic anhydrides include aconitic anhydride, chloromaleic anhydride, citraconic anhydride, ethylmaleic anhydride, itaconic anhydride, maleic anhydride, mellitic anhydride, methoxymaleic anhydride, phthalic anhydride, pyromellitic anhydride, trimellitic anhydride, hexahydrophthalic anhydride, or tetrahydrophthalic anhydride. The unsaturated carbon-carbon bond of the ethylenically unsaturated group of the grafted resin is then reacted with a phosphorous containing compound such as phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate to covalently link the phosphorous containing compound to the resin. In various embodiments, the phosphorous group is linked to the resin via a carbon-phosphorous bond or a phosphoester bond.

**[0032]** In some embodiments, the film-forming material comprising a covalently bonded phosphorous atom can be formed, in part,

IN-5862A-C

by adapting the two-step grafting reactions as found in U.S. Patent Application No. 11/278,030 filed March 30, 2006, which is herein incorporated by reference. These reactions include various resins that are reacted with various anhydrides. Various anhydrides include anhydrides  
 5 having at least one ethylenically unsaturated group. The resin-anhydride reaction product having at least one ethylenically unsaturated group is reacted with any one or more of various phosphorous containing compounds to produce a pendent group containing a covalently bonded phosphorous atom having at least one covalently bonded oxygen atom.

10           **[0033]**           In some embodiments, a film-forming material comprises an epoxy resin comprising formula (1):



15   wherein,  $X^1$  and  $X^2$  are independently hydrogen, hydroxyl, epoxide, or amine functional monovalent radicals; each  $R^1$ ,  $R^2$ , and  $R^3$  is independently an organic divalent radical; each  $Y$  is independently an organic trivalent radical having from 1 carbon atom to about 36 carbon atoms; each  $Z^1$  is independently a phosphate, organophosphate, phosphonate,  
 20 organophosphonate, phosphinate, or organophosphinate monovalent

IN-5862A-C

radical;  $n$  is an integer from 1 to about 12;  $m$  is an integer from 0 to about 12; and  $p$  is an integer from 1 to about 12.

**[0034]** In formula (1), the various values of  $m$ ,  $n$ , and  $p$  correspond to resins having portions formed from various repeating  
 5 monomer units. These values can be adjusted, for example, by varying the amounts and/or concentrations of capping, chain terminating, or chain propagation groups in the resin synthesis; where "capping" means a functional group on the resin is reacted with a functional group of another molecule to covalently bond the amine to the resin. Furthermore, resin  
 10 synthesis can be performed in steps or in batch, and typically results in a mixed population of resin molecules having various values for  $n$ ,  $m$ , and  $p$ . The organic divalent radicals denoted by each  $R^1$ ,  $R^2$ , and  $R^3$  can be derived from the same molecule or can be different molecules. Also, as shown in formula (1), when  $m$  is 0, there is no  $R^2$  group as the portion bracketed by  $m$   
 15 is absent. In this case,  $R^3$  is covalently bonded to  $X^2$ .

**[0035]** In some embodiments, the organic divalent radicals denoted by  $R^1$ ,  $R^2$ , and  $R^3$  are 2,2-diphenylpropane divalent radicals. Furthermore, in cases where  $n > 1$ ,  $m > 1$ , and/or  $p > 1$ , two or more 2,2-diphenylpropylene radicals can be linked to each other. For example, in  
 20 some embodiments,  $R^1$ ,  $R^2$ , and/or  $R^3$  of the resin can comprise part of the product formed by the reaction of diglycidyl ether of bisphenol A ("G") and bisphenol A ("B"), which results in repeats of the formula -G-B-. Embodiments further include permutations wherein  $n$  and  $p$  are integers from 1 to about 12 and  $m$  is an integer from 0 to about 12, resulting in repeating  
 25 units such as -G-B-G-, -G-B-G-B-, -G-B-G-B-G-, and so on.



IN-5862A-C

**[0036]** In some embodiments,  $X^1$  and  $X^2$  are independently hydrogen, hydroxyl, epoxide, or amine functional monovalent radicals. Embodiments of resins where  $X^1$  and/or  $X^2$  are amine monovalent radicals can include epoxy resins capped with an amine, where "capped" means a functional group on the resin, such as an epoxide group, is reacted with the amine-containing compound to covalently bond the amine to the resin. Exemplary capping compounds can include ammonia or amines such as dimethylethanolamine, aminomethylpropanol, methylethanolamine, diethanolamine, diethylethanolamine, dimethylaminopropylamine, the diketamine derivative of diethylenetriamine, and mixtures thereof. In various embodiments, for example, a cathodic electrocoating composition can be formed by salting the (capped) amine-containing resin with an acid and dispersing it in water.

**[0037]** It should be noted that in some embodiments, such as for example, liquid epoxy coating compositions, the overall molecular weight of the film-forming material will affect the liquid phase properties, such as the viscosity of the coating composition. Consequently, the molecular weight (and corresponding viscosity) of the resin can be adjusted as required by changing the number of repeating portions in the resin by varying the values of  $n$ ,  $m$ , and  $p$  in the above formula. For example, film-forming materials can include from one to about twelve units denoted by both  $n$  and  $p$  and from zero to about twelve units denoted by  $m$ .

**[0038]** In some embodiments, the resin is a vinyl polymer, including an acrylic polymer. The acrylic polymer comprises a functional group which is a hydroxyl, amino or epoxy group that is reactive with a curing

IN-5862A-C

agent (i.e., crosslinker). Acrylic polymers can be formed using methyl acrylate, acrylic acid, methacrylic acid, methyl methacrylate, butyl methacrylate, and cyclohexyl methacrylate. The functional group can be incorporated into the ester portion of the acrylic monomer. For example, 5 hydroxyl-functional acrylic copolymers may be formed by polymerization using various acrylate and methacrylate monomers, including but not limited to, hydroxyethyl acrylate, hydroxybutyl acrylate, hydroxybutyl methacrylate, or hydroxypropyl acrylate; amino-functional acrylic copolymers by polymerization with t-butylaminoethyl methacrylate and t- 10 butylaminoethylacrylate; and epoxy-functional acrylic copolymers by reaction with glycidyl acrylate, glycidyl methacrylate, or allyl glycidyl ether.

**[0039]** Other ethylenically unsaturated monomers that may be used in forming the acrylic copolymer having reactive functionality include esters or nitriles or amides of alpha-, beta-ethylenically unsaturated 15 monocarboxylic acids containing 3 to 5 carbon atoms; vinyl esters, vinyl ethers, vinyl ketones, vinyl amides, and vinyl compounds of aromatics and heterocycles. Representative examples further include acrylic and methacrylic acid amides and aminoalkyl amides; acrylonitrile and methacrylonitriles; esters of acrylic and methacrylic acid, including those with 20 saturated aliphatic and cycloaliphatic alcohols containing 1 to 20 carbon atoms such as methyl, ethyl, propyl, butyl, 2-ethylhexyl, isobutyl, isopropyl, cyclohexyl, tetrahydrofurfuryl, and isobornyl acrylates and methacrylates; esters of fumaric, maleic, and itaconic acids, like maleic acid dimethyl ester and maleic acid monohexyl ester; vinyl acetate, vinyl propionate, vinyl ethyl



IN-5862A-C

ether, and vinyl ethyl ketone; styrene,  $\alpha$ -methyl styrene, vinyl toluene, and 2-vinyl pyrrolidone.

**[0040]** Acrylic copolymers may be prepared by using conventional techniques, such as free radical polymerization, cationic polymerization, or anionic polymerization, in, for example, a batch, semi-batch, or continuous feed process. For instance, the polymerization may be carried out by heating the ethylenically unsaturated monomers in bulk or in solution in the presence of a free radical source, such as an organic peroxide or azo compound and, optionally, a chain transfer agent, in a batch or continuous feed reactor. Alternatively, the monomers and initiator(s) may be fed into the heated reactor at a controlled rate in a semi-batch process. Where the reaction is carried out in a solution polymerization process, the solvent should preferably be removed after the polymerization is completed. Preferably, the polymerization is carried out in the absence of any solvent.

**[0041]** Typical free radical sources are organic peroxides such as dialkyl peroxides, peroxyesters, peroxydicarbonates, diacyl peroxides, hydroperoxides, and peroxyketals; and azo compounds such as 2,2'-azobis(2-methylbutanenitrile) and 1,1'-azobis(cyclohexanecarbonitrile). Typical chain transfer agents are mercaptans such as octyl mercaptan, n- or tert-dodecyl mercaptan, thiosalicylic acid, mercaptoacetic acid, and mercaptoethanol; halogenated compounds, and dimeric  $\alpha$ -methyl styrene. The free radical polymerization is usually carried out at temperatures from about 20°C to about 250°C, preferably from 90°C to 170°C. The reaction is carried out according to conventional methods to produce a solid acrylic copolymer.



IN-5862A-C

**[0042]** Acrylic resins can have an equivalent weight (grams resin solid per mol equivalent -OH group) from about 150 to 950, including about 300 to about 600, and further including about 350 to about 550. The number average molecular weight (Mn) can be from about 5,000 to about 10,000 for high solids. A typical acrylic polymer is a hydroxy functional acrylic polyol. In some embodiments, an acrylic resin can be used to form an electrocoating composition. A cathodic electrocoating composition may be formed by copolymerizing an amine-functional ethylenically unsaturated monomer. The amine is salted and dispersed in water.

**[0043]** In some embodiments, the resin is a polyester resin. Poly-functional acid or anhydride compounds can be reacted with polyfunctional alcohols to form the polyester, and include alkyl, alkylene, arylalkylene, and aromatic compounds. Typical compounds include dicarboxylic acids and anhydrides; however, acids or anhydrides with higher functionality may also be used. If tri-functional compounds or compounds of higher functionality are used, these may be used in mixture with mono-functional carboxylic acids or anhydrides of monocarboxylic acids, such as versatic acid, fatty acids, or neodecanoic acid. Illustrative examples of acid or anhydride functional compounds suitable for forming the polyester groups or anhydrides of such compounds include phthalic acid, phthalic anhydride, isophthalic acid, terephthalic acid, hexahydrophthalic acid, tetrachlorophthalic anhydride, hexahydrophthalic anhydride, pyromellitic anhydride, succinic acid, azelaic acid, adipic acid, 1,4-cyclohexanedicarboxylic acid, citric acid, and trimellitic anhydride.

IN-5862A-C

**[0044]** The polyol component used to make the polyester resin has a hydroxyl functionality of at least two. The polyol component may contain mono-, di-, and tri-functional alcohols, as well as alcohols of higher functionality. Diols are a typical polyol component. Alcohols with higher  
5 functionality may be used where some branching of the polyester is desired, and mixtures of diols and triols can be used as the polyol component. However, in some cases, highly branched polyesters are not desirable due to effects on the coating, such as decreased flow, and undesirable effects on the cured film, such as diminished chip resistance and smoothness.

10 **[0045]** Examples of useful polyols include, but are not limited to, ethylene glycol, diethylene glycol, triethylene glycol, propylene glycol, dipropylene glycol, butylene glycol, glycerine, trimethylolpropane, trimethylolethane, pentaerythritol, neopentyl glycol, 2,2,4-trimethyl-1,3-pentanediol, 1,6-hexanediol, 1,4-cyclohexane dimethanol, hydrogenated  
15 bisphenol A, and ethoxylated bisphenols.

**[0046]** Methods of making polyester resins are well-known. Polyesters are typically formed by heating together the polyol and poly-functional acid components, with or without catalysts, while removing the by-product of water in order to drive the reaction to completion. A small amount  
20 of a solvent, such as toluene, may be added in order to remove the water azeotropically. If added, such solvent is typically removed from the polyester product before the coating formulation is begun.

**[0047]** In some embodiments, the resin can be a polyurethane resin. Polyurethanes can be formed from two components, where the first  
25 includes compounds containing hydroxyl groups, which are at least



IN-5862A-C

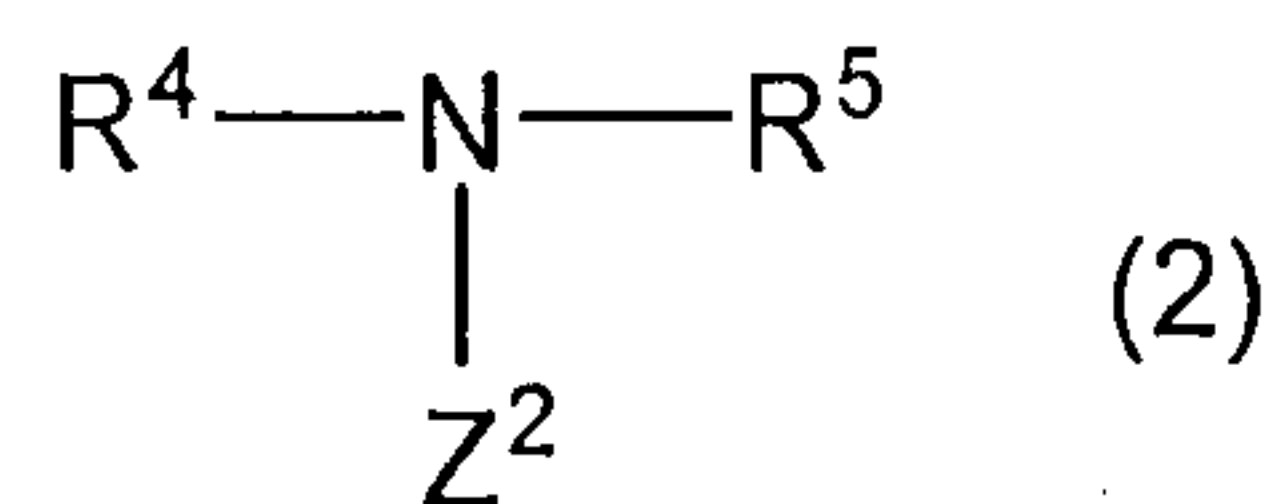
difunctional for the purposes of the isocyanate-addition reaction. The second component includes at least one polyisocyanate compound.

**[0048]** The polyol component must be at least difunctional for the purpose of the polymerization reaction. These compounds generally have an average functionality of about two to eight, preferably about two to four. These compounds generally have a molecular weight of from about 60 to about 10,000, preferably from 400 to about 8,000. However, it is also possible to use low molecular weight compounds having molecular weights below 400. The only requirement is that the compounds used should not be volatile under the heating conditions, if any, used to cure the compositions.

**[0049]** Preferred macromonomer compounds containing isocyanate-reactive hydrogen atoms are the known polyester polyols, polyether polyols, polyhydroxy polyacrylates and polycarbonates containing hydroxyl groups. In addition to these polyhydroxyl compounds, it is also possible to use polyhydroxy polyacetals, polyhydroxy polyester amides, polythioethers containing terminal hydroxyl groups or sulfhydryl groups or at least difunctional compounds containing amino groups, thiol groups or carboxyl groups. Mixtures of the compounds containing isocyanate-reactive hydrogen atoms may also be used. Other exemplary hydroxyl containing compounds can be found in U.S. Pat. No. 4,439,593 issued on March 27, 1984, which is hereby incorporated by reference.

**[0050]** In various embodiments, the film-forming material comprises formula (2):

IN-5862A-C



wherein,  $\text{R}^4$  is a monovalent radical of a resin having from 2 to 12 monomer units or a monovalent radical comprising the film-forming resin of formula (1);

5  $\text{R}^5$  is a monovalent radical of hydrogen, a resin having from 2 to 12 monomer units, or a radical comprising the film-forming resin of formula (1); and  $\text{Z}^2$  is a monovalent radical comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. In various embodiments, the monovalent radical represented by  $\text{Z}^2$  can include

10 a phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate.

**[0051]** Film-forming materials according to formula (2) further include those where  $\text{R}^4$  and/or  $\text{R}^5$  are monovalent radicals of a resin, wherein the resin includes at least one pendent group comprising a

15 covalently bonded phosphorous atom, said phosphorous atom having at least one covalently bonded oxygen atom; and at least one crosslinkable group.

**[0052]** In some embodiments, film-forming materials include a resin that is capped with an amine, aminoorganophosphate, or

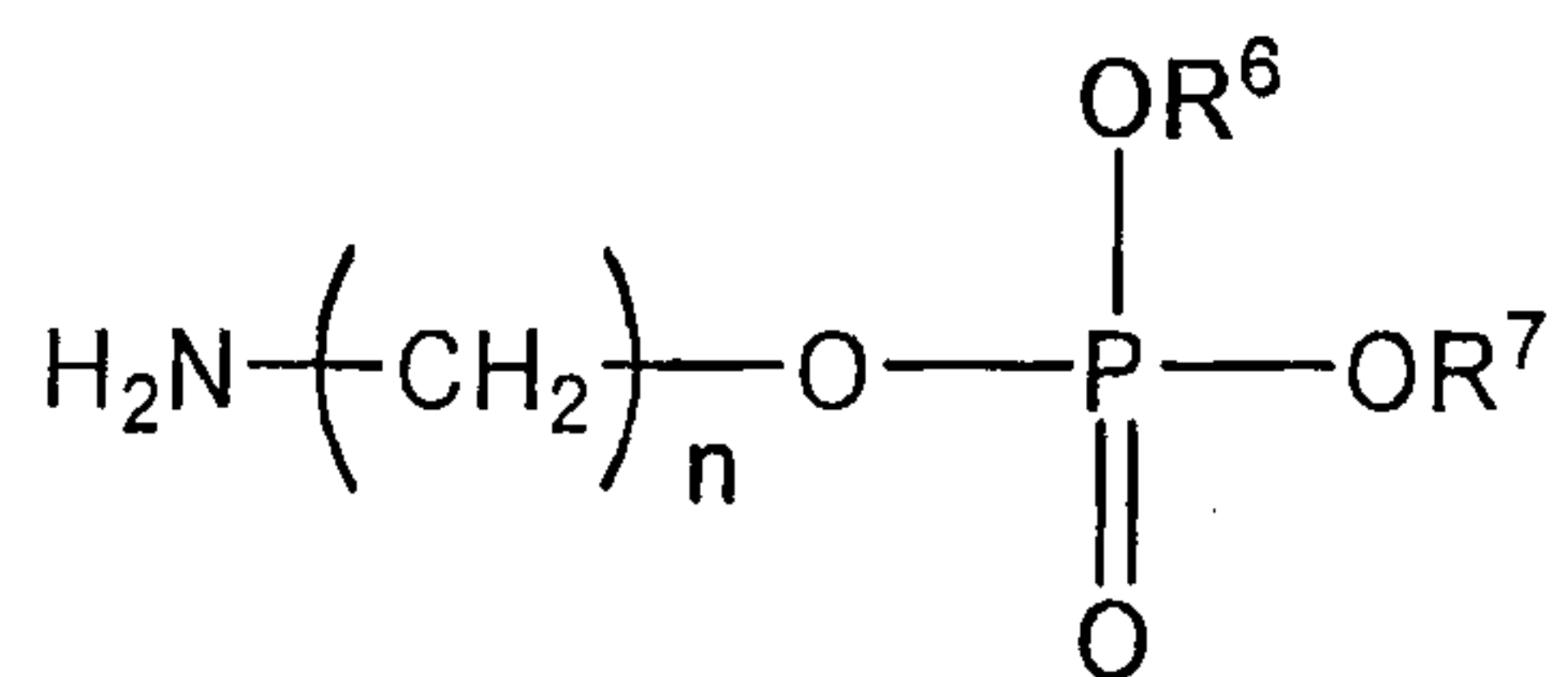
20 aminoorganophosphonate; *i.e.*, where a functional group on the resin is reacted with the amine-containing compound to covalently bond the amine to the resin. The resin can be any resin as described, such as a resin including at least one pendent group comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen



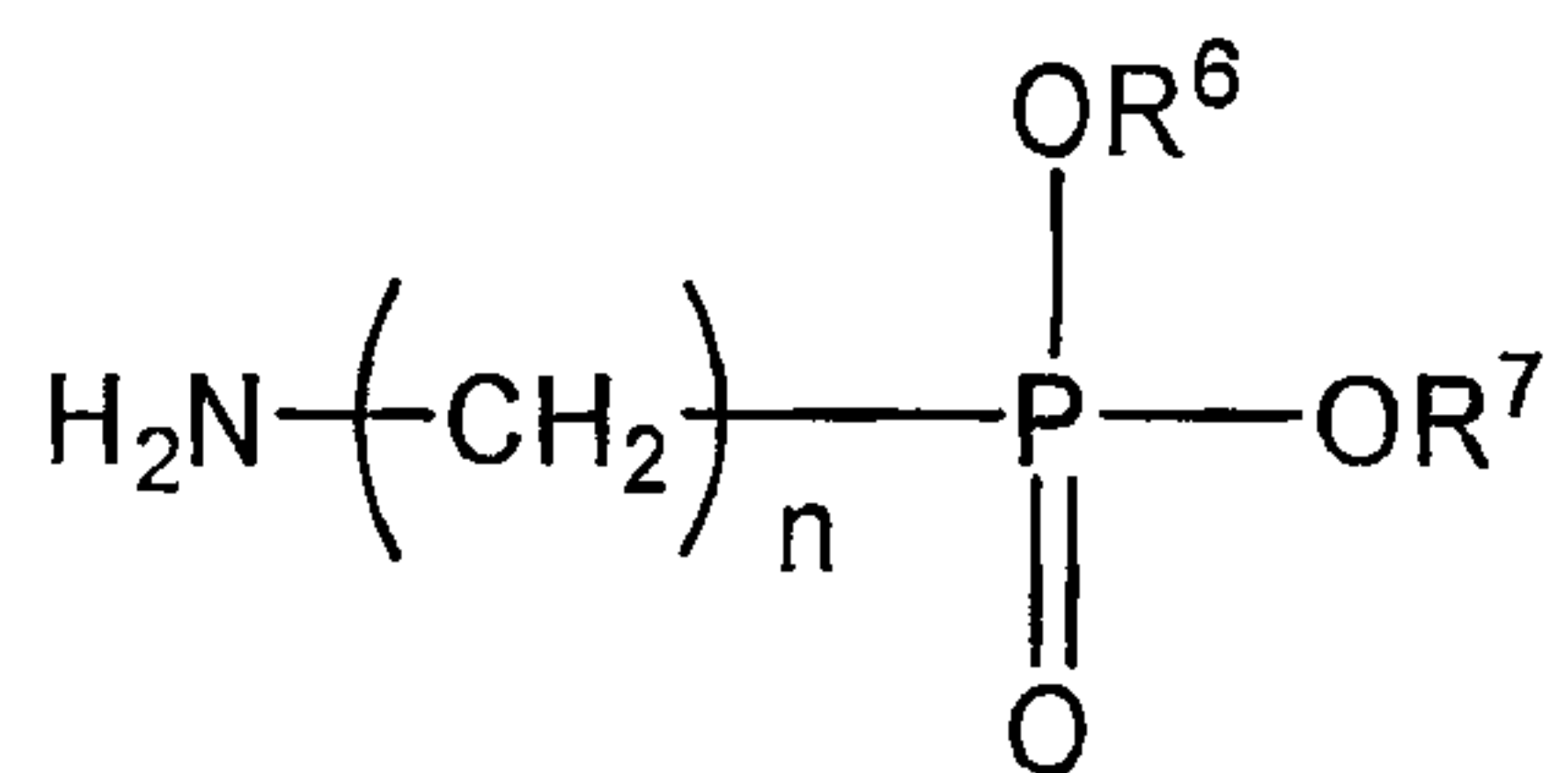
IN-5862A-C

atom, and at least one group reactive with a crosslinker. Other resins can be capped, including resins not having a phosphorous atom, such as for example where  $R^4$  and  $R^5$  in formula (2) do not have a phosphorous atom, include epoxy, acrylic, polyurethane, polycarbonate, polysiloxane, aminoplast, or polyester resins. Further embodiments include capping resins of formulas (1) and (2).

**[0053]** Aminoorganophosphonates and aminoorganophosphates suitable for capping various resins include:



or



wherein each  $R^6$  and  $R^7$  is independently hydrogen, an alkyl group including from 1 to about 12 carbons, or an aryl group including substituted and unsubstituted phenyl and benzyl groups; and  $n$  is an integer from 1 to about 12.

IN-5862A-C

**[0054]** In some embodiments, the film-forming material can include a mixed population of resin molecules. For example, these reactions can result in film-forming material products consisting of fractions of various film-forming materials with different numbers of repeating monomer units.

5 These film-forming materials can result from variations in the rate of propagation and termination events in the reaction used to form the resin and/or by adding various reactants in stages.

**[0055]** In some embodiments, the film-forming material further comprises one or more metals or metal containing compounds that are

10 coordinated by the resin. The resin can coordinate the metal or metal containing compound via the pendent group comprising a covalently bonded phosphorous atom having at least one covalently bonded oxygen. In various embodiments, the pendent group can further comprise a carboxylic acid group, allowing metal or metal compounds to be coordinated by the

15 phosphorous atom having at least one covalently bonded oxygen and/or the carboxylic acid group. One or more phosphorous atoms having at least one covalently bonded oxygen atom can coordinate a metal or metal compound via the oxygen atom. The carboxylic acid group can likewise coordinate a

20 metal or metal compound via an oxygen atom. Metal coordination by film-forming materials is also described in U.S. Patent Application Nos. 11/553,185; 11/553,195; 11/553,213 filed October 26, 2006; and 11/278,030 filed March 30, 2006; which are herein incorporated by reference.

**[0056]** Film-forming materials can therefore coordinate one or more metals or metal compounds, including metal substrates and/or metal

25 catalysts that improve the cure response of the film-forming material when



IN-5862A-C

used in a coating composition. Metals and metal compounds can include those selected from a group consisting of M, MO, M<sub>2</sub>O<sub>3</sub>, M(OH)<sub>n</sub>, R<sub>x</sub>MO, and combinations thereof; wherein, n is an integer satisfying the valency of M; R is an alkyl or aromatic group; and x is an integer from 1 to 6. In some  
5 preferred embodiments, M is selected from the group consisting of Al, Au, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr. Exemplary metal catalysts can include dibutyl tin oxide, dibutyl tin dilaurate, zinc oxide, bismuth oxide, tin oxide, yttrium oxide, copper oxide, and combinations thereof.

**[0057]** In some embodiments, a coating composition contains a  
10 crosslinker (i.e., curing agent) for polymerizing a film-forming material comprises an organic compound including at least two functional groups reactive with a film-forming material and at least one pendent group comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. Functional groups  
15 reactive with a film-forming resin include isocyanate, blocked isocyanate, uretdione, epoxide, hydroxyl, carboxyl, ester, ether, carbamate, aminoalkanol, aminoalkylether, amide, or amine groups. Embodiments can include the various crosslinkers as disclosed elsewhere herein, where the crosslinker has at least two functional groups reactive with a film-forming  
20 material and at least one pendent group comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. In various embodiments, the pendent group of the crosslinker can comprise phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate, for example, and  
25 can include the various pendent groups as described for a film-forming

IN-5862A-C

material of the present invention. In some embodiments, the crosslinker can also coordinate a metal or metal compound via the pendent group.

**[0058]** In addition, crosslinkers of the present invention can be mixed with the film-forming materials of the present invention and/or with  
5 other resins to form coating compositions which can be used to coat substrates. For example, a method of producing a coated substrate comprises applying a coating composition comprising a crosslinker and a film-forming material, wherein one or both of the crosslinker and the film-forming material includes a pendent group comprising a covalently bonded  
10 phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom. The coating composition may be cured on the substrate.

**[0059]** For example, upon curing the present coating compositions, the resulting cured film includes pendent groups comprising  
15 covalently bonded phosphorous atoms, the phosphorous atoms having at least one covalently bonded oxygen atom each, where the phosphorous atoms are incorporated from the film-forming material and/or from the crosslinker. The pendent groups may be used to improve adhesion to and/or protection of a metal substrate. In some embodiments, the crosslinkers  
20 comprising pendent groups can be complexed with one or more metal catalysts prior to forming the coating composition or the metal catalyst can be added after the crosslinker is combined with the film-forming material.

**[0060]** The present invention provides various ways of producing a film-forming material. In one embodiment, a film-forming material  
25 is produced by a process comprising reacting a resin having at least one



IN-5862A-C

pendent hydroxyl group with a carboxylic anhydride having an ethylenically unsaturated group to form a grafted resin having an ester group, a carboxylic acid group, and an ethylenically unsaturated group, wherein the resin has at least one group reactive with a crosslinker; and reacting the ethylenically unsaturated group of the grafted resin with a phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate.

**[0061]** The process of producing a film-forming material can further include other reactants, such as capping agents, chain propagating or chain terminating agents, metals and metal compounds, and combinations thereof. Exemplary molecules include bisphenol A, bisphenol F, diols, amines, phenol, and metals and metal catalysts.

**[0062]** The amount of pendent groups comprising a covalently bonded phosphorous atom incorporated into the resin can be varied and optimized for specific performance characteristics. In some embodiments, it is not necessary incorporate the pendent groups throughout the backbone of the film-forming material and/or have the majority of crosslinker molecules have the pendent groups comprising a covalently bonded phosphorous atom. In fact, in some embodiments, most of the polymer backbone and/or crosslinker molecules do not contain these pendent groups. The amount of pendent groups can be adjusted to provide enough pendent groups comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom, to coordinate with a metal and/or metal compound so that desired adhesion characteristics are realized and/or sufficient cure and/or coating stability results. For example, in the case of an epoxy-based resin, from 5% to 15% of pendent hydroxyl

IN-5862A-C

groups can be reacted with an anhydride and subsequently reacted with a phosphorous containing compound to produce a film-forming material.

**[0063]** Coating compositions of the present invention include the film-forming materials and/or crosslinkers as described. Methods of coating substrates include application of coating compositions having these film-forming materials and/or crosslinkers. Coated substrates have coatings prepared from such coating compositions. Coating compositions can be produced using epoxide, acrylic, polyurethane, polycarbonate, polysiloxane, aminoplast, and/or polyester resins, for example. These various resins can be formed by reactions of appropriate functional groups, as is known in the art, to produce the resin bond linkages. Such reactions include: epoxide reacted with acid resulting in an ester linkage; epoxide reacted with amine resulting in an amine linkage; hydroxyl reacted with isocyanate resulting in a urethane linkage; hydroxyl reacted with anhydride resulting in an ester linkage; epoxide reacted with hydroxyl resulting in an ether linkage; and other types of linkages generally used in forming coating resins. The resulting film-forming resin contains a crosslinkable group, which can be a group reactive with a crosslinker, a self-condensing group, an addition polymerizable group, or a group curable with actinic radiation. Exemplary functional groups reactive with the film-forming resin include isocyanate, blocked isocyanate, uretdione, epoxide, hydroxyl, carboxyl, ester, ether, carbamate, aminoalkanol, aminoalkylether, amide, aminoalkyl ethers, or amine groups.

**[0064]** In some embodiments, the film-forming material can comprise a vinyl or acrylic resin, wherein the vinyl resin has at least one



IN-5862A-C

pendent group comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom, and at least one group reactive with a crosslinker. The vinyl resin formed by polymerizing a compound having an unsaturated carbon bond and a pendent  
5 group comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom, in the resin synthesis. Suitable compounds for incorporation during addition polymerization can include the following: 4-allyl-1,2-dimethoxybenzene; 2-allyl-2-methyl-1,3-cyclopentanedione; 2-allyloxytetrahydropyran; allylphenyl  
10 carbonate; 3-allylrhodanine; allyltrimethoxysilane; itaconic anhydride; maleic anhydride; and combinations thereof.

**[0065]** In various embodiments of producing a coating composition, the film-forming materials of the present invention can be the sole film-forming resin, form a population of resins, or can be combined with  
15 additional resins. The film-forming materials can be used as a grind resin, principal resin, and/or crosslinker. The same resin can be used in preparing a pigment dispersion and the principal resin, or mixtures of various resins can be used to form a coating composition. In a pigmented composition, the grind resin and the principal resin can be combined in forming a coating  
20 composition containing film-forming material(s) according to the present invention.

**[0066]** Additional resins can be included with the film-forming materials of the present invention. For example, suitable additional resins include epoxy oligomers and polymers, such as polymers and oligomers of  
25 polyglycidyl ethers of polyhydric phenols such as bisphenol A. These can be



IN-5862A-C

produced by etherification of a polyphenol with an epihalohydrin or dihalohydrin such as epichlorohydrin or dichlorohydrin in the presence of alkali. Suitable polyhydric phenols include bis-2,2-(4-hydroxyphenyl)propane, bis-1,1-(4-hydroxyphenyl)ethane, bis(2-hydroxynaphthyl)methane and the  
5 like. The polyglycidyl ethers and polyhydric phenols can be condensed together to form the oligomers or polymers. Other useful poly-functional epoxide compounds are those made from novolak resins or similar poly-hydroxyphenol resins. Also suitable are polyglycidyl ethers of polyhydric alcohols such as ethylene glycol, propylene glycol, diethylene glycol and  
10 triethylene glycol. Also useful are polyglycidyl esters of polycarboxylic acids which are produced by the reaction of epichlorohydrin or a similar epoxy compound with an aliphatic or aromatic polycarboxylic acid such as succinic acid or terephthalic acid.

**[0067]** In some embodiments, these additional resins can be a  
15 liquid epoxy that is the reaction product of diglycidyl ether of bisphenol A and bisphenol A. Examples include modified upgraded epoxy resins having epoxy equivalent weights of approximately 100 to 1200 or more. Suitable liquid epoxies are GY2600, commercially available from Huntsman, and Epon® 828, commercially available from Hexion Specialty Chemicals, Inc.  
20 For example, epoxy-containing compounds can be reacted with hydroxyl-containing compounds, such as bisphenol A, ethoxylated bisphenol A, phenol, polyols, or substituted polyols.

**[0068]** In various embodiments, coating compositions can also include a mixture of resin compounds with groups reactive with a curing  
25 agent. The mixture of compounds can include more than one type of resin

IN-5862A-C

with groups reactive with a crosslinker, a resin mixture with one or more co-monomers, and more than one resin with at least one co-monomer.

[0069] In some embodiments, the present invention also includes incorporating a metal or a metal compound with the film-forming material to complex the metal or metal compound with the resin. Without wishing to be bound by theory, one or more electron-rich oxygen atoms, such as an oxygen atom bound to a phosphorous atom or an oxygen atom bound to a carbon atom (*e.g.*, an oxygen atom in a carboxylic acid group) may coordinate a metal or metal compound through monodentate or polydentate geometries. Thus, the film-forming materials and associated metal(s) can form an associated complex. Metals include the various metals and metal catalysts already mentioned. The metal can be added to the film-forming material, crosslinker, or to both the film-forming material and crosslinker, for example. In some embodiments, the metal catalyst can be incorporated prior to curing the resin and crosslinker to form a cured coating. Alternatively, the metal catalyst can be incorporated with the film-forming material as a subpart of a coating composition; for example, the metal catalyst can be added to a film-forming material used as a grind resin.

[0070] A metal catalyst can also be incorporated at other various steps in producing the film-forming material. In some embodiments, the metal catalyst is incorporated in the step of forming the film-forming material, *i.e.*, as the film-forming material is formed by the various reaction mixtures described herein. Alternatively, the metal catalyst can be incorporated with the film-forming material after the resin is formed and prior to the reaction of the resin and the crosslinker to form the cured coating. For



IN-5862A-C

instance, in some embodiments, a pigment-containing composition may be incorporated prior to the step of reacting (i.e., curing) the resin and the crosslinker. Coating compositions commonly incorporate such pigment-containing compositions. The metal catalyst can be incorporated into the pigment-containing composition to complex the metal catalyst with the film-forming material.

**[0071]** Embodiments can include one metal catalyst, or in some embodiments, a combination of metal catalysts can be employed. The metal catalysts, such as for example, various metal oxides, can be supplied in a milled form having a low particle size (e.g., less than 20 microns, more typically less than 10 microns) such that no additional grinding is needed to reduce the particle size of the metal catalyst for effective incorporation of the metal catalyst with the film-forming material or ligand.

**[0072]** Various coating compositions include polyisocyanate crosslinkers (i.e., curing agents) capable of reacting with the film-forming material. Polyisocyanate crosslinkers can comprise any desired organic polyisocyanate having free isocyanate groups attached to aliphatic, cycloaliphatic, araliphatic and/or aromatic structures. Polyisocyanates can have from 2 to 5 isocyanate groups per molecule. Exemplary isocyanates are described in "Methoden der organischen Chemie" [Methods of Organic Chemistry], Houben-Weyl, volume 14/2, 4th Edition, Georg Thieme Verlag, Stuttgart 1963, pages 61 to 70, and by W. Siefken, Liebigs Ann. Chem. 562, 75 to 136. Suitable examples include 1,2-ethylene diisocyanate, 1,4-tetramethylene diisocyanate, 1,6-hexamethylene diisocyanate, 2,2,4- and 2,4,4-trimethyl-1,6-hexamethylene diisocyanate, 1,12-dodecane



## IN-5862A-C

diisocyanate, omega,omega'-diisocyanatodipropyl ether, cyclobutane 1,3-  
 diisocyanate, cyclohexane 1,3- and 1,4-diisocyanate, 2,2- and 2,6-  
 diisocyanato-1-methylcyclohexane, 3-isocyanatomethyl-3,5,5-  
 trimethylcyclohexyl isocyanate ("isophorone diisocyanate"), 2,5- and 3,5-  
 5 bis(isocyanatomethyl)-8-methyl-1,4-methano-decahydronaphthalene, 1,5-,  
 2,5-, 1,6- and 2,6-bis(isocyanatomethyl)-4,7-methanohexahydroindane, 1,5-,  
 2,5-, 1,6- and 2,6-bis(isocyanato)-4,7-methylhexahydroindane,  
 dicyclohexyl 2,4'- and 4,4'-diisocyanate, 2,4- and 2,6-hexahydrotolylene  
 diisocyanate, perhydro 2,4'- and 4,4'-diphenylmethane diisocyanate,  
 10 omega,omega'-diisocyanato-1,4-diethylbenzene, 1,3- and 1,4-phenylene  
 diisocyanate, 4,4'-diisocyanatobiphenyl, 4,4'-diisocyanato-3,3'-  
 dichlorobiphenyl, 4,4'-diisocyanato-3,3'-dimethoxybiphenyl, 4,4'-  
 diisocyanato-3,3'-dimethylbiphenyl, 4,4'-diisocyanato-3,3'-diphenylbiphenyl,  
 2,4'- and 4,4'-diisocyanatodiphenylmethane, naphthylene-1,5-diisocyanate,  
 15 tolylene diisocyanates, such as 2,4- and 2,6-tolylene diisocyanate, N,N'-(4,4'-  
 dimethyl-3,3'-diisocyanatodiphenyl)uretdione, m-xylylene diisocyanate,  
 dicyclohexylmethane diisocyanate, tetramethylxylylene diisocyanate, but also  
 triisocyanates, such as 2,4,4'-triisocyanatodiphenyl ether, 4,4',4'-  
 triisocyanatotriphenyl methane. Polyisocyanates can also contain  
 20 isocyanurate groups and/or biuret groups and/or allophanate groups and/or  
 urethane groups and/or urea groups. Polyisocyanates containing urethane  
 groups, for example, are obtained by reacting some of the isocyanate groups  
 with polyols, for example trimethylol propane and glycerol. Examples of  
 suitable crosslinkers include: unblocked and blocked polyisocyanate  
 25 compounds such as self-blocking uretdione compounds; caprolactam- and

IN-5862A-C

oxime-blocked polyisocyanates; isocyanurates of diisocyanates; diisocyanates half-blocked with polyols; and combinations thereof.

[0073] Polyisocyanate crosslinkers can further include polymeric MDI, an oligomer of 4,4'-diphenylmethane diisocyanate, or other  
5 polyisocyanate that is blocked with an ethylene glycol ether or a propylene glycol ether. Such crosslinkers containing urethane groups can be prepared, for example, from Lupranate® M20S, or other similar commercially available materials. Polyisocyanate compounds are commercially available from, among others, BASF AG, Degussa AG, and Bayer Polymers, LLC.

10 [0074] In some embodiments, thermal curing can include the reaction between isocyanate (free or blocked) with an active hydrogen functional group such as a hydroxyl or a primary or secondary amine; or that between an aminoplast and an active hydrogen material such as a carbamate, urea, amide or hydroxyl group; an epoxy with an active hydrogen  
15 material such as an acid, phenol, or amine; a cyclic carbonate with an active hydrogen material such as a primary or secondary amine; a silane (i.e., Si-O-R where R = H, an alkyl or aromatic group, or an ester) with an active hydrogen material, including when the active hydrogen material is Si-OH, as well as mixtures of these crosslinking pairs.

20 [0075] In some embodiments, methods of producing a coating composition can further comprise forming a salting site on the film-forming material. The film-forming materials can be further reacted with an amine containing compound, such as methylaminoethanol, diethanol amine, or the diketamine derivative of diethylenetriamine, to provide a salting site on the  
25 resin for use in cathodic electrocoating. Alternatively, quaternium ammonium,



IN-5862A-C

sulfonium, or phosphonium sites can be incorporated. Or, the film-forming materials can be reacted with an acid functionality in order to make anodic electrocoating compositions or anionic aqueous coating compositions.

[0076] These salting sites are then reacted, or salted, in forming an aqueous dispersion in forming electrodepositable or other aqueous coating compositions, for example. The film-forming material can have basic groups salted with an acid for use in a cathodic electrocoating composition. This reaction may be termed neutralization or acid-salting and specifically refers to the reaction of pendent amino or quarternary groups with an acidic compound in an amount sufficient to neutralize enough of the basic amino groups to impart water-dispersibility to the resin. Illustrative acid compounds can include phosphoric acid, propionic acid, acetic acid, lactic acid, formic acid, sulfamic acid, alkylsulfonic acids, and citric acid. Or, an acidic resin can be salted with a base to make an anodic electrocoating composition. For example, ammonia or amines such as dimethylethanolamine, triethylamine, aminomethylpropanol, methylethanolamine, and diethanolamine can be used to form an anodic electrocoating composition.

[0077] In some embodiments, coating compositions can also include at least one additive. Many types of additives are known to be useful in coating compositions, including electrocoating compositions. Such additives can include various organic solvents, surfactants, dispersants, additives to increase or reduce gloss, catalysts, pigments, fillers, and salting agents. Additional additives further include hindered amine light stabilizers, ultraviolet light absorbers, anti-oxidants, stabilizers, wetting agents, rheology



IN-5862A-C

control agents, adhesion promoters, and plasticizers. Such additives are well-known and may be included in amounts typically used for coating compositions.

**[0078]** In some embodiments, the film-forming materials can be used in methods of producing aqueous coating compositions. The aqueous medium of a coating composition is generally predominantly water, but a minor amount of organic solvent can be used. Examples of useful solvents include, without limitation, ethylene glycol butyl ether, propylene glycol phenyl ether, propylene glycol propyl ether, propylene glycol butyl ether, diethylene glycol butyl ether, dipropylene glycol methyl ether, propylene glycol monomethyl ether acetate, xylene, N-methylpyrrolidone, methyl isobutyl ketone, mineral spirits, butanol, butyl acetate, tributyl phosphate, dibutyl phthalate, and so on. However, organic solvent can be avoided to minimize organic volatile emissions from the coating process.

**[0079]** Examples of suitable surfactants include, without limitation, the dimethylethanolamine salt of dodecylbenzene sulfonic acid, sodium dioctylsulfosuccinate, ethoxylated nonylphenol, sodium dodecylbenzene sulfonate, the Surfynol® series of surfactants (Air Products and Chemicals, Inc.), and Amine-C (Huntsman). Generally, both ionic and non-ionic surfactants may be used together, and, for example, the amount of surfactant in an electrocoat composition may be from 0 to 2%, based on the total solids. Choice of surfactant can also depend on the coating method. For example, an ionic surfactant should be compatible with the particular electrocoating composition, whether it is cathodic or anodic.

IN-5862A-C

**[0080]** When the coating composition is a primer composition or pigmented topcoat composition, such as a basecoat composition, one or more pigments and/or fillers may be included. Pigments and fillers may be utilized in amounts typically of up to 40% by weight, based on total weight of the coating composition. The pigments used may be inorganic pigments, including metal oxides, chromates, molybdates, phosphates, and silicates. Examples of inorganic pigments and fillers that could be employed are titanium dioxide, barium sulfate, carbon black, ocher, sienna, umber, hematite, limonite, red iron oxide, transparent red iron oxide, black iron oxide, brown iron oxide, chromium oxide green, strontium chromate, zinc phosphate, silicas such as fumed silica, calcium carbonate, talc, barytes, ferric ammonium ferrocyanide (Prussian blue), ultramarine, lead chromate, lead molybdate, and mica flake pigments. Organic pigments may also be used. Examples of useful organic pigments are metallized and non-metallized azo reds, quinacridone reds and violets, perylene reds, copper phthalocyanine blues and greens, carbazole violet, monoarylide and diarylide yellows, benzimidazolone yellows, tolyl orange, naphthol orange, and the like.

**[0081]** Coating compositions formed according to the methods described can be coated on a substrate by any of a number of techniques well-known in the art. These can include, for example, spray coating, dip coating, roll coating, curtain coating, knife coating, coil coating, and the like. In some embodiments, the coating composition of the invention can be electrodepositable and can be coated onto the substrate by



IN-5862A-C

electrodeposition. The electrodeposited or applied coating layer can be cured on the substrate by reaction of the resin and crosslinker.

**[0082]** The coating composition can be electrodeposited as is conventionally performed in the art. Electrodeposition includes immersing an electrically conductive article in an electrocoating bath containing a coating composition of the present invention, connecting the article as the cathode or anode, preferably as the cathode, depositing a coating composition film on the article using direct current, removing the coated article from the electrocoating bath, and subjecting the deposited electrocoated material film to conventional thermal curing, such as baking.

**[0083]** Coating compositions of the present invention are also useful as coil coatings. Coil coatings are applied to coiled sheet metal stock, such as steel or aluminum, in an economical, high speed process. The coil coating process results in a high quality, uniform coating with little waste of the coating and little generation of organic emissions as compared to other coating methods, e.g. spray application of a coating composition.

**[0084]** Polyester resins can be used as coil coating compositions and can comprise a branched polyester and/or an essentially linear polyester and a crosslinking agent. A pendent group comprising a covalently bonded phosphorous atom, said phosphorous atom having at least one covalently bonded oxygen atom, can be incorporated into the polyester and/or the crosslinking agent. The branched polyester can be prepared by condensation of a polyol component and a polyacid component, either of which can further include the ligand or be reactive with the ligand. The polyester synthesis may be carried out under suitable, well-known



IN-5862A-C

conditions, for example at temperatures from about 150°C to about 250°C, with or without catalyst (e.g., dibutyl tin oxide, tin chloride, butyl chlorotin dihydroxide, or tetrabutyoxytitanate), typically with removal of the by-product water (e.g., by simple distillation, azeotropic distillation, vacuum distillation) to drive the reaction to completion. The crosslinking agent can have groups reactive with the hydroxyl functionality of the polyesters. Suitable crosslinking agents include, without limitation, aminoplasts and isocyanate crosslinking agents. The coil coating composition typically further includes a pigment and can contain other additives and fillers.

10           **[0085]**           Coil coating is a continuous feeding operation, with the end of one coil typically being joined (e.g., stapled) to the beginning of another coil. The coil is first fed into an accumulator tower and coating is fed into an exit accumulator tower, with the accumulator towers allowing the coating operation to continue at constant speed even when intake of the coil is delayed. For example, coil advancement can be delayed to start a new roll, or for winding of the steel, for example, to cut the steel to end one roll and begin a new roll. The coil is generally cleaned to remove oil or debris, pre-treated, primed with a primer on both sides, baked to cure the primer, quenched to cool the metal, and then coated on at least one side with a topcoat. A separate backer or a different topcoat may be applied on the other side. The topcoat is baked and quenched, then fed into the exit accumulator tower and from there is re-rolled.

**[0086]**           The coating compositions can be applied onto many different substrates, including metal substrates such as bare steel, phosphated steel, galvanized steel, gold, or aluminum; and non-metallic

IN-5862A-C

substrates, such as plastics and composites including an electrically conductive organic layer. In electrocoating (e.g., electrodeposition) or electrospray, only electrically conductive substrates are used. The substrate may also be any of these materials having upon it already a layer of another coating, such as a layer of an electrodeposited primer, primer surfacer, and/or basecoat, either cured or uncured. When the substrate is metallic, the film-forming material with a pendent group comprising a covalently bonded phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom, can act to improve film adhesion to the substrate.

Although various methods of curing may be used, in some embodiments, thermal curing can be used. Generally, thermal curing is effected by heating at a temperature and for a length of time sufficient to cause the reactants (i.e., the film-forming material and crosslinker) to form an insoluble polymeric network. The cure temperature can be from about 150°C to about 200°C for electrocoating compositions, and the length of cure can be about 15 minutes to about 60 minutes. Cure temperatures can be lower, for example, and in some embodiments can be reduced to 140°C or lower due to metal catalysts complexed to the pendent groups in the film-forming materials. Therefore, lower bake temperatures can be used in some instances. For topcoats, the cure temperature can be from about 120°C to about 140°C and the cure time can be about 15 minutes to about 30 minutes. Heating can be done in infrared and/or convection ovens.

**[0087]** A coil coating composition cures at a given peak metal temperature. The peak metal temperature can be reached more quickly if the oven temperature is high. Oven temperatures for coil coating generally range



IN-5862A-C

from about 220°C to about 500°C, to obtain peak metal temperatures of between 180°C and about 250°C, for dwell times generally ranging from about 15 seconds to about 80 seconds. Oven temperatures, peak metal temperature and dwell times are adjusted according to the coating  
5 composition, substrate and level of cure desired. Examples of coil coating methods are disclosed in U.S. Patents No. 6,897,265; 5,380,816; 4,968,775; and 4,734,467, which are hereby incorporated by reference.

**[0088]** The film-forming materials, coating compositions, and methods of the present invention provide several advantages. For example,  
10 pretreatment of metal surfaces, such as phosphating, can be eliminated due to increased adhesion and corrosion performance of coating compositions made according to present invention. Increased adhesion can be due to complexes forming between the pendent groups incorporated in the film-forming material and the metal substrate. Elimination of the phosphating step  
15 in coating a steel substrate can save time and expense. Furthermore, complexing metal catalysts with the film-forming material can improve cure response and catalytic efficiency of the applied coating composition. These improvements can be effected by the proximity of the metal catalyst to the reactive functional groups in the crosslinking matrix.

20 **[0089]** The present technology is further described in the following examples. The examples are merely illustrative and do not in any way limit the scope of the technology as described and claimed. All parts given are parts by weight unless otherwise noted. Tradename compounds suitable for practicing embodiments of the technology may be included,  
25 where applicable.

IN-5862A-C

## EXAMPLE 1

## Synthesis of a Film-Forming Resin Containing Phosphorous

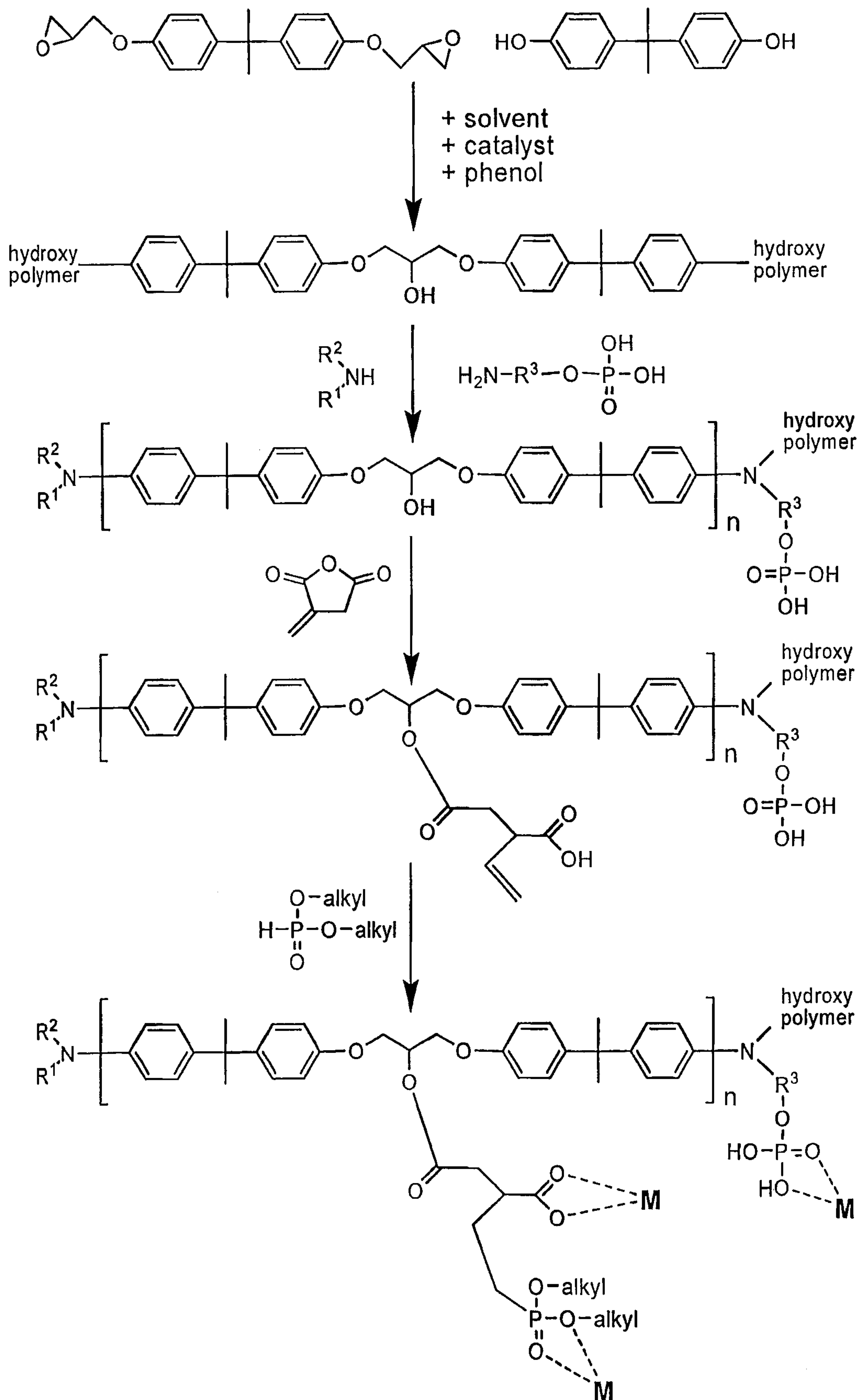
**[0090]** A film-forming resin containing phosphorous is formed using  
5 a four step process. The first step is the backbone synthesis of the resin  
polymer. Diglycidyl ether of bisphenol A, bisphenol A, solvent, phenol, and  
catalyst are combined and reacted to produce a hydroxy polymer with  
monomer unit linkages containing a hydroxyl group flanked by ethers. The  
second step is amine capping by reacting the hydroxyl polymer with primary  
10 or secondary amines, including aminoorganophosphates to incorporate  
phosphate groups. The third step involves a graft reaction between the  
capped hydroxyl polymer and a carboxylic anhydride, where the carboxylic  
anhydride has an ethylenically unsaturated group. The carboxylic anhydride  
reacts with the hydroxyl group of the polymer producing an ester linkage  
15 between the former anhydride and the polymer. The grafted polymer product  
includes a carboxylic acid group and the ethylenically unsaturated group.  
The fourth step is reaction of the ethylenically unsaturated nucleophile with a  
dialkylphosphonate group to produce a film-forming resin containing  
phosphonate.

20 **[0091]** The film-forming resin containing phosphorous coordinates  
metals via one or more oxygen atoms covalently bonded to the phosphorous  
atom and/or the carboxylic acid groups. Metal coordination includes metals  
from a substrate surface, when the resin is applied as a coating film, and  
metals in the form of metal catalysts added to the coating composition to  
25 enhance cure properties of the coating film.



IN-5862A-C

[0092] The synthesis scheme is illustrated as follows:



IN-5862A-C

[0093] In the synthesis scheme,  $R^1$  and  $R^2$  are organic groups incorporated by capping with the secondary amine and  $R^3$  is an organic group incorporated from the aminoorganophosphonate. M is a metal from a metal substrate to which the film-forming material is applied, or M is a metal catalyst. M includes the following metal species: M, MO,  $M_2O_3$ ,  $M(OH)_n$ ,  $R_xMO$ , and combinations thereof; wherein, M is a metal selected from the group consisting of Al, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr; n is an integer satisfying the valency of M; R is an alkyl or aromatic group; and x is an integer from 1 to 6.

10 [0094] The description of the technology is merely exemplary in nature and, thus, variations that do not depart from the gist of the present invention are intended to be within the scope of the invention. Such variations are not to be regarded as a departure from the spirit and scope of the invention.



IN-5862A-C

## CLAIMS

What is claimed is:

1. A film-forming material comprising:  
5 a resin, wherein the resin includes  
at least one pendent group comprising a covalently  
bonded phosphorous atom, said phosphorous atom having at  
least one covalently bonded oxygen atom; and  
at least one crosslinkable group.  
10
2. A film-forming material of claim 1, wherein the resin is an epoxy,  
acrylic, polyurethane, polycarbonate, polysiloxane, polyvinyl,  
polyether, aminoplast, or polyester resin.
- 15 3. A film-forming material of claim 1, wherein the crosslinkable group is a  
group reactive with a crosslinker, a self-condensing group, an addition  
polymerizable group, or a group curable with actinic radiation.
4. A film-forming material of claim 1, wherein the crosslinkable group is  
20 an epoxide, hydroxyl, carboxyl, carbamate, or amine group.
5. A film-forming material of claim 1, wherein the pendent group  
comprises phosphate, organophosphate, phosphonate,  
organophosphonate, phosphinate, or organophosphinate.

25

IN-5862A-C

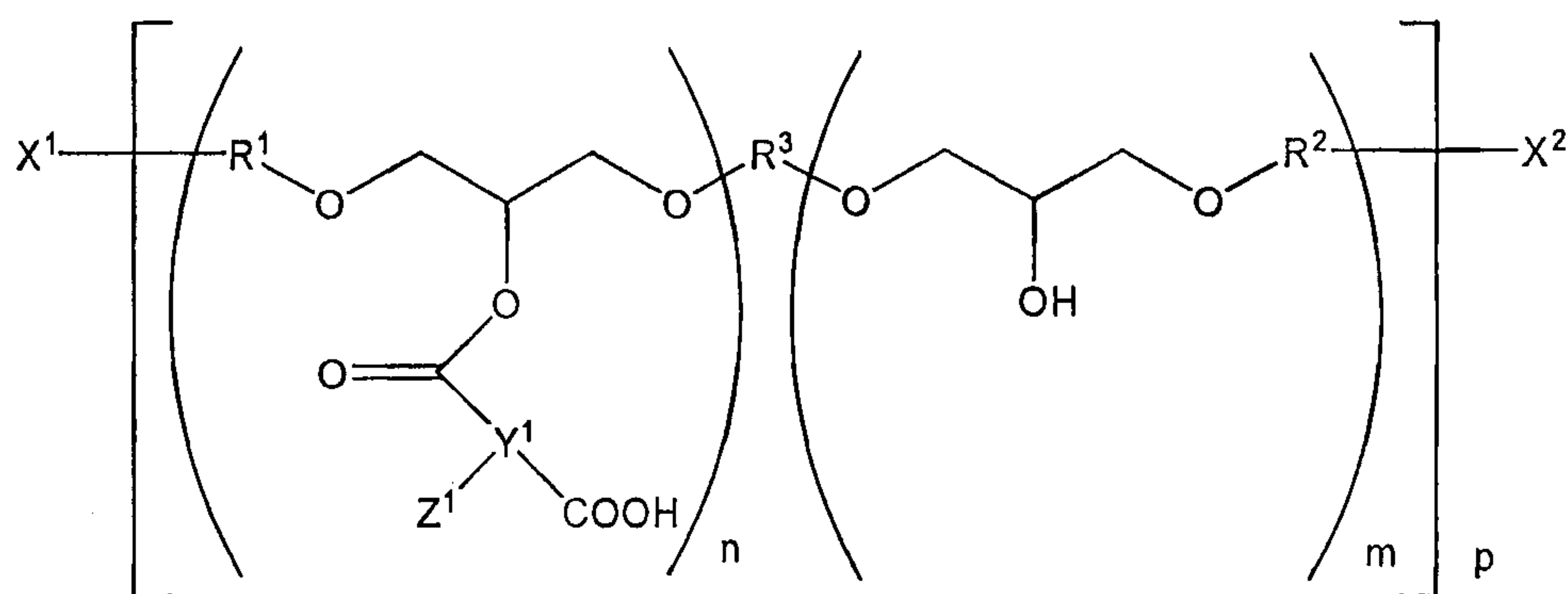
6. A film-forming material of claim 1, wherein the pendent group comprises an organophosphate, organophosphonate, or organophosphinate wherein one or two oxygen atoms covalently bonded to the phosphorous atom form ester linkages to alkyl or aryl groups, wherein alkyl groups include from 1 to about 12 carbon atoms and aryl groups include substituted and unsubstituted phenyl and benzyl groups.
7. A film-forming material of claim 1, wherein the pendent group is bonded to the resin via an ester linkage.
8. A film-forming material of claim 1, wherein the pendent group further comprises a carboxylic acid group.
9. A film-forming material of claim 1, further comprising a metal or metal compound coordinated by the film-forming material.
10. A film-forming material of claim 9, wherein the metal or metal compound is selected from a group consisting of M, MO, M<sub>2</sub>O<sub>3</sub>, M(OH)<sub>n</sub>, R<sub>x</sub>MO, and combinations thereof; wherein, M is a metal selected from the group consisting of Al, Au, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr; n is an integer satisfying the valency of M; R is an alkyl or aromatic group; and x is an integer from 1 to 6.



IN-5862A-C

11. A film-forming material of claim 9, wherein the metal or metal compound comprises a metal catalyst selected from a group consisting of dibutyltin oxide, dibutyltin dilaurate, zinc oxide, bismuth oxide, tin oxide, yttrium oxide, copper oxide, and combinations thereof.

12. A film-forming material of claim 1, wherein the resin comprises a structure:



wherein,

$X^1$  and  $X^2$  are independently hydrogen, hydroxyl, epoxide, or amine functional monovalent radicals;

each  $R^1$ ,  $R^2$ , and  $R^3$  is independently an organic divalent radical;

each  $Y^1$  is independently an organic trivalent radical having from 1 carbon atom to about 36 carbon atoms;

each  $Z^1$  is independently a phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate monovalent radical;

$n$  is an integer from 1 to about 12;

$m$  is an integer from 0 to about 12; and

IN-5862A-C

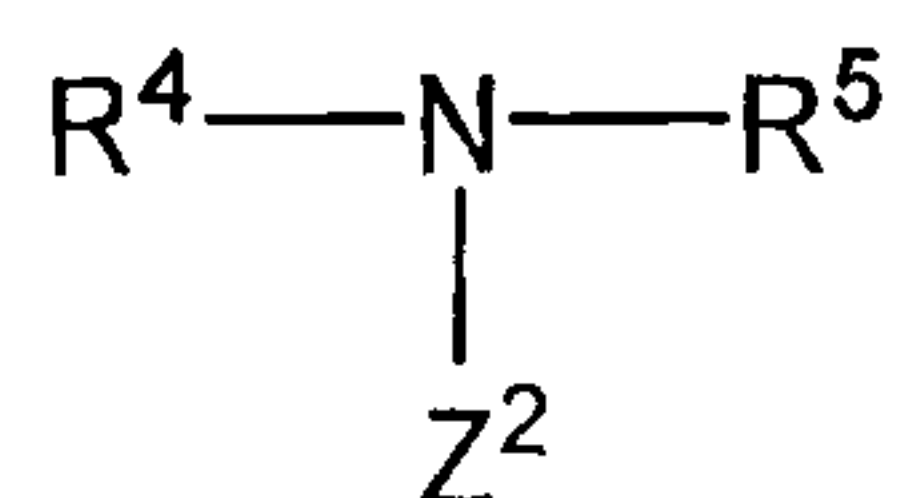
p is an integer from 1 to about 12.

13. A film-forming material of claim 12, wherein each  $Z^1$  is independently an organophosphate, organophosphonate, or organophosphinate monovalent radical, wherein one or two oxygen atoms covalently bonded to the phosphorous atom form ester linkages to alkyl or aryl groups, wherein the alkyl groups include from 1 to about 12 carbons and the aryl groups include substituted and unsubstituted phenyl and benzyl groups.

14. A film-forming material of claim 12, wherein  $R^1$ ,  $R^2$ , and  $R^3$  are 2,2-diphenylpropane divalent radicals.

15. A film-forming material of claim 12, wherein the film-forming material is capped with an aminoorganophosphate or aminoorganophosphonate when at least one of  $X^1$  and  $X^2$  is a hydroxyl or epoxide monovalent radical.

16. A film-forming material comprising a structure:



wherein,

$R^4$  is a monovalent radical of a resin having from 2 to 12 monomer units or a monovalent radical comprising the film-forming resin of claim 1;

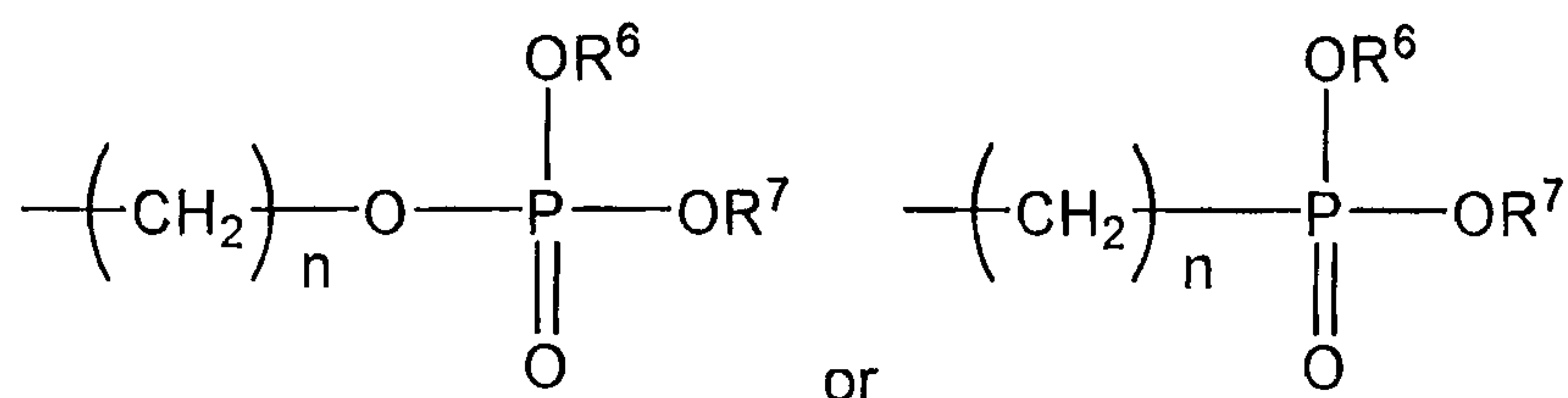
IN-5862A-C

$R^5$  is a monovalent radical of hydrogen, a resin having from 2 to 12 monomer units, or a radical comprising the film-forming resin of claim 1; and

$Z^2$  is a monovalent radical comprising a covalently bonded phosphorous atom, said phosphorous atom having at least one covalently bonded oxygen atom.

17. A film-forming material of claim 16, wherein  $Z^2$  is a monovalent radical comprising a phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate.

18. A film-forming material of claim 16, wherein  $Z^2$  is a monovalent radical comprising:



wherein  $R^6$  and  $R^7$  are independently hydrogen, an alkyl group including from 1 to about 12 carbons, or an aryl group including substituted and unsubstituted phenyl and benzyl groups; and  $n$  is an integer from 1 to about 12.

19. A film-forming material of claim 16, wherein  $Z^2$  is a monovalent radical comprising an organophosphate, organophosphonate, or organophosphinate wherein one or two oxygen atoms covalently



IN-5862A-C

bonded to the phosphorous atom form ester linkages to alkyl or aryl groups, wherein alkyl groups include from 1 to about 12 carbons and aryl groups include substituted and unsubstituted phenyl and benzyl groups.

5

20. A film-forming material produced by a process comprising:

reacting a resin having at least one pendent hydroxyl group with a carboxylic anhydride having an ethylenically unsaturated group to form a grafted resin having an ester group, a carboxylic acid group, and an ethylenically unsaturated group, wherein the resin has at least one crosslinkable group; and

10

reacting the ethylenically unsaturated group of the grafted resin with a compound comprising a phosphorous atom, the phosphorous atom having at least one covalently bonded oxygen atom.

15

21. A film-forming material of claim 20, wherein the crosslinkable group is a group reactive with a crosslinker, a self-condensing group, an addition polymerizable group, or a group curable with actinic radiation.

20

22. A film-forming material of claim 20, wherein the crosslinkable group is an epoxide, hydroxyl, carboxyl, carbamate, or amine group.

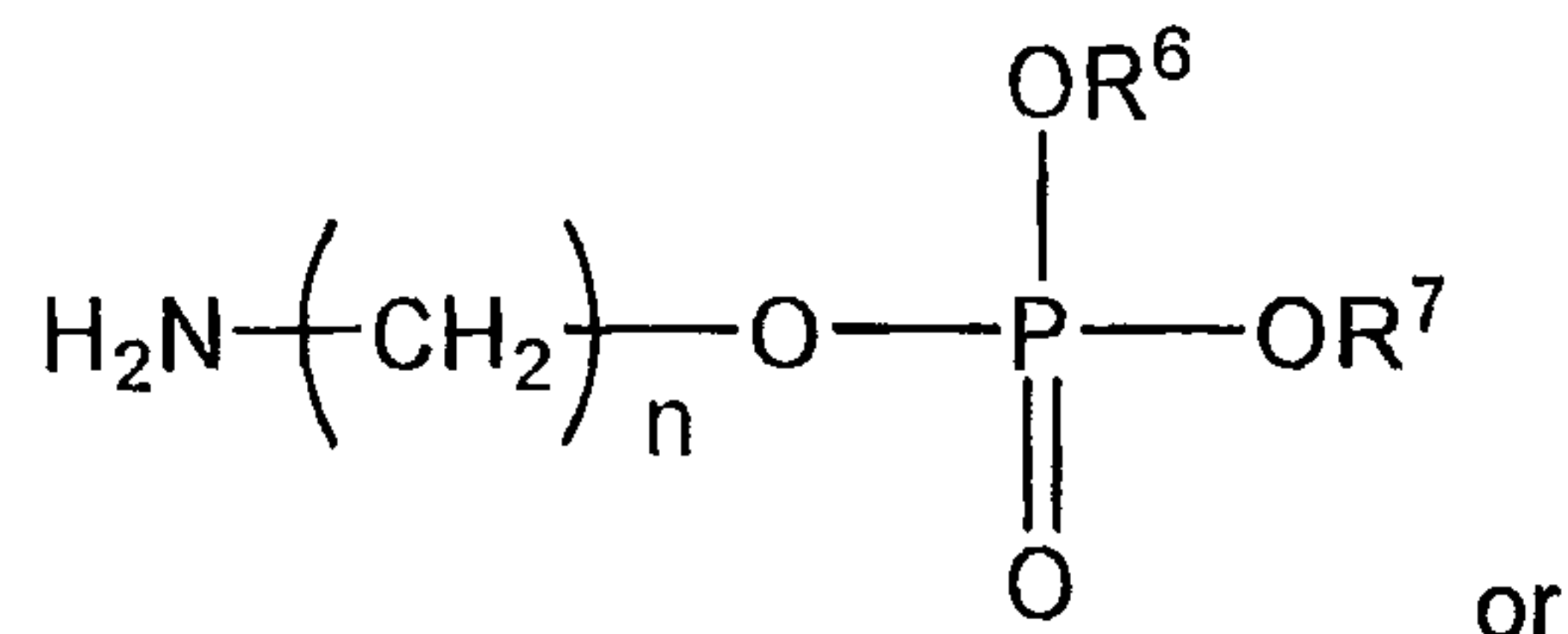
23. A film-forming material of claim 20, wherein the resin is a product of a reaction comprising diglycidyl ether of bisphenol A and bisphenol A.

25

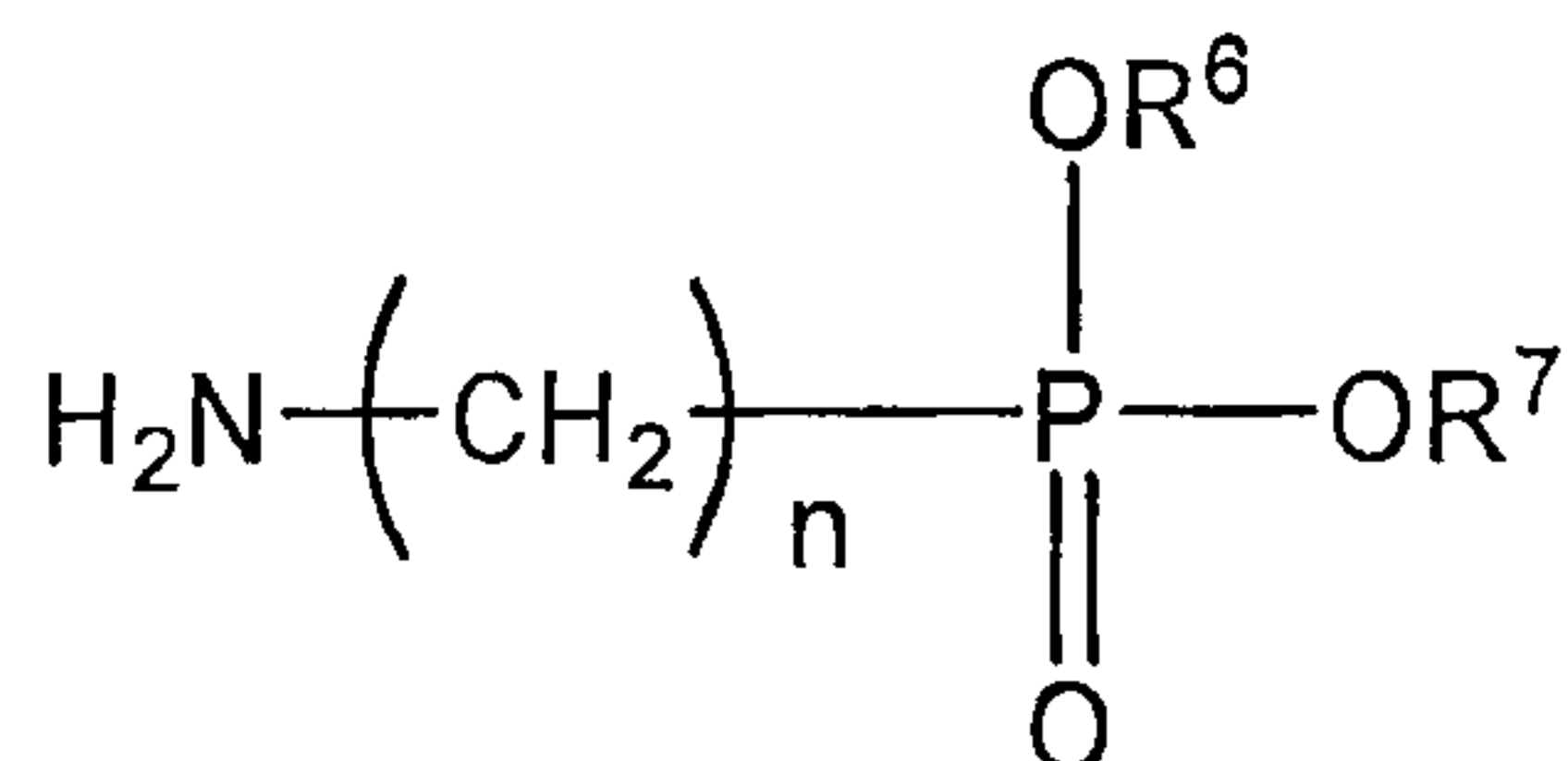
IN-5862A-C

24. A film-forming material of claim 20, wherein the compound comprising a phosphorous atom is phosphate, organophosphate, phosphonate, organophosphonate, phosphinate, or organophosphinate.
- 5 25. A film-forming material of claim 20, wherein the compound comprising a phosphorous atom is an organophosphate, organophosphonate, or organophosphinate, wherein one or two oxygen atoms covalently bonded to the phosphorous atom form ester linkages to alkyl or aryl groups, wherein alkyl groups include from 1 to about 12 carbons and  
10 aryl groups include substituted and unsubstituted phenyl and benzyl groups.
26. A film-forming material of claim 20, further comprising:  
capping the resin by reacting the resin with an amine,  
15 aminoorganophosphate, or aminoorganophosphonate, wherein the resin has at least one terminal epoxide group.
27. A film-forming material of claim 20, wherein the process for producing the film-forming material further comprises:  
20 capping the resin by reacting the resin with

IN-5862A-C



or



wherein each  $\text{R}^6$  and  $\text{R}^7$  is independently hydrogen, an alkyl group including from 1 to about 12 carbons, or an aryl group including substituted and unsubstituted phenyl and benzyl groups;  $n$  is an integer from 1 to about 12; and the resin has at least one terminal epoxide group.

28. A film-forming material of claim 20, wherein the carboxylic anhydride having an ethylenically unsaturated group comprises from 4 to about 36 carbon atoms.
29. A film-forming material of claim 20, wherein the carboxylic anhydride is aconitic anhydride, chloromaleic anhydride, citraconic anhydride, ethylmaleic anhydride, itaconic anhydride, maleic anhydride, mellitic anhydride, methoxymaleic anhydride, phthalic anhydride, pyromellitic anhydride, trimellitic anhydride, hexahydrophthalic anhydride, or tetrahydrophthalic anhydride.
30. A film-forming material of claim 20, further comprising:



IN-5862A-C

adding a metal or metal compound, wherein the metal or metal compound is coordinated by the film-forming material.

31. A film-forming material of claim 30, wherein the metal or metal compound is selected from a group consisting of M, MO, M<sub>2</sub>O<sub>3</sub>, M(OH)<sub>n</sub>, R<sub>x</sub>MO, and combinations thereof; wherein, M is a metal selected from the group consisting of Al, Au, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr; n is an integer satisfying the valency of M; R is an alkyl or aromatic group; and x is an integer from 1 to 6.

10

32. A film-forming material of claim 30, wherein the metal or metal compound comprises a metal catalyst selected from a group consisting of dibutyltin oxide, dibutyltin dilaurate, zinc oxide, bismuth oxide, tin oxide, yttrium oxide, copper oxide, and combinations thereof.

15

33. A method of producing a coating composition comprising:

combining a crosslinker and a film-forming material of claim 1.

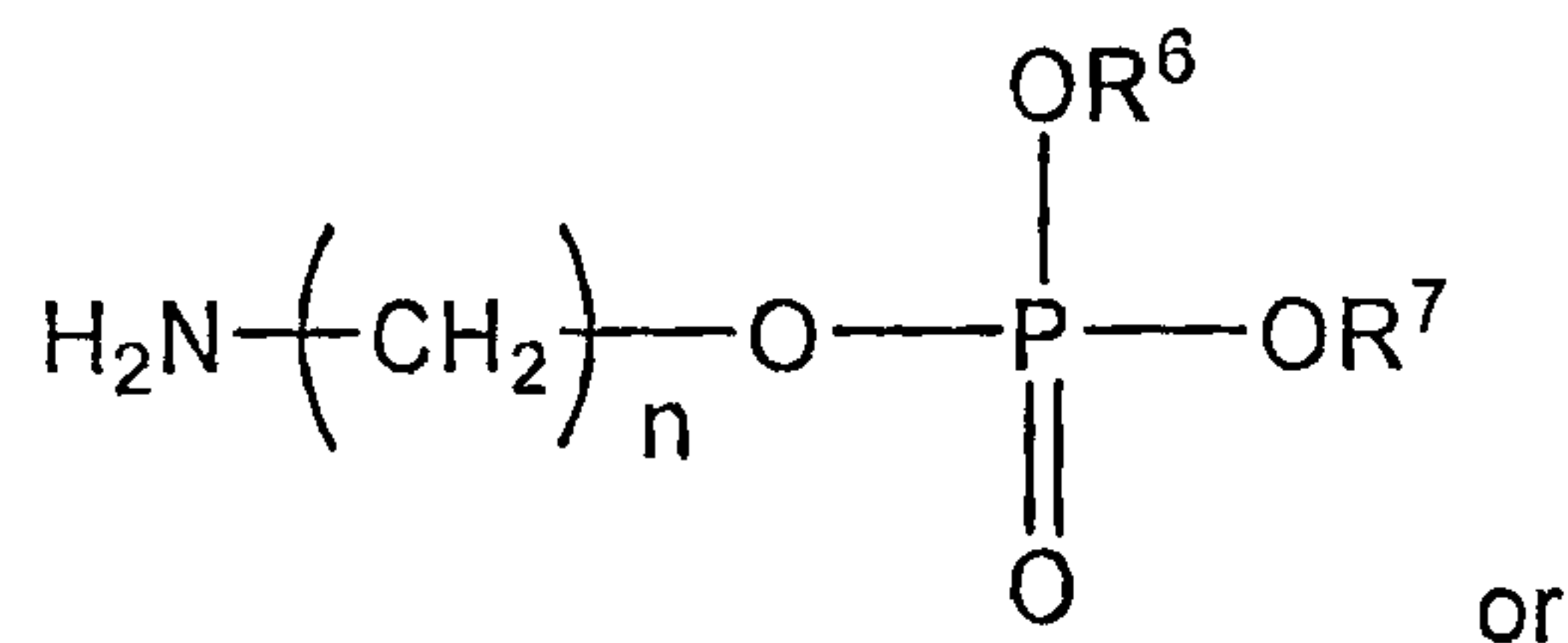
20

34. A method of claim 33, wherein the resin is an epoxy, acrylic, polyurethane, polycarbonate, polysiloxane, polyvinyl, polyether, aminoplast, or polyester resin.

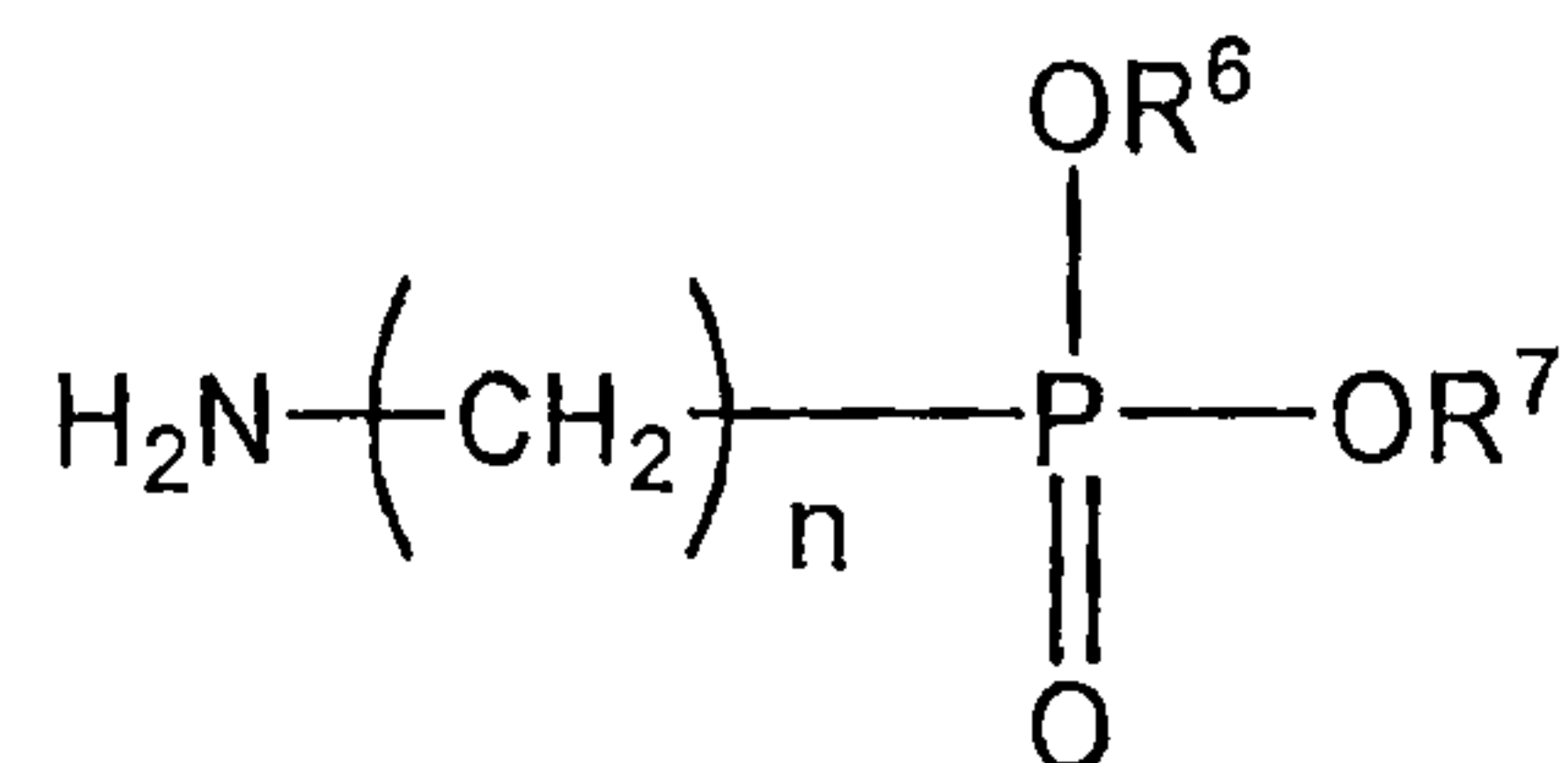
IN-5862A-C

35. A method of claim 33, wherein the crosslinkable group is a group reactive with a crosslinker, a self-condensing group, an addition polymerizable group, or a group curable with actinic radiation.
- 5 36. A method of claim 33, wherein the crosslinkable group is an epoxide, hydroxyl, carboxyl, carbamate, or amine group.
37. A method of claim 33, wherein the pendent group comprises phosphate, organophosphate, phosphonate, organophosphonate, 10 phosphinate, or organophosphinate.
38. A method of claim 33, wherein the pendent group comprises an organophosphate, organophosphonate, or organophosphinate, wherein one or two oxygen atoms covalently bonded to the 15 phosphorous atom form ester linkages to alkyl or aryl groups, wherein alkyl groups include from 1 to about 12 carbons and aryl groups include substituted and unsubstituted phenyl and benzyl groups.
39. A method of claim 33, further comprising:
- 20 capping the resin by reacting the resin with an amine, aminoorganophosphate, or aminoorganophosphonate, wherein the resin has at least one terminal epoxide group.
40. A method of claim 33, further comprising capping the resin with:

IN-5862A-C



or



wherein each  $\text{R}^6$  and  $\text{R}^7$  is independently hydrogen, an alkyl group including from 1 to about 12 carbons, or an aryl group including substituted and unsubstituted phenyl and benzyl groups;  $n$  is an integer from 1 to about 12; and the resin has at least one terminal epoxide group.

41. A method of claim 33, further comprising a metal or metal compound coordinated by the film-forming material.

42. A method of claim 41, wherein the metal or metal compound is selected from a group consisting of  $\text{M}$ ,  $\text{MO}$ ,  $\text{M}_2\text{O}_3$ ,  $\text{M}(\text{OH})_n$ ,  $\text{R}_x\text{MO}$ , and combinations thereof; wherein,  $\text{M}$  is a metal selected from the group consisting of  $\text{Al}$ ,  $\text{Au}$ ,  $\text{Bi}$ ,  $\text{Ce}$ ,  $\text{Cu}$ ,  $\text{Fe}$ ,  $\text{Pb}$ ,  $\text{Sn}$ ,  $\text{Sb}$ ,  $\text{Ti}$ ,  $\text{Y}$ ,  $\text{Zn}$ , and  $\text{Zr}$ ;  $n$  is an integer satisfying the valency of  $\text{M}$ ;  $\text{R}$  is an alkyl or aromatic group; and  $x$  is an integer from 1 to 6.

43. A method of claim 41, wherein the metal or metal compound comprises a metal catalyst selected from a group consisting of



## IN-5862A-C

dibutyltin oxide, dibutyltin dilaurate, zinc oxide, bismuth oxide, tin oxide, yttrium oxide, copper oxide, and combinations thereof.

44. A method of producing a coating composition comprising:  
5 combining a crosslinker and the film-forming material of claim 20.
45. A method of claim 44, wherein the crosslinkable group is a group  
reactive with a crosslinker, a self-condensing group, an addition  
polymerizable group, or a group curable with actinic radiation.  
10
46. A method of claim 44, wherein the crosslinkable group is an epoxide,  
hydroxyl, carboxyl, carbamate, or amine group.
47. A method of claim 44, wherein the resin is an epoxy, acrylic,  
15 polyurethane, polycarbonate, polysiloxane, polyvinyl, polyether,  
aminoplast, or polyester resin.
48. A method of claim 44, wherein the compound comprising a  
phosphorous atom is phosphate, organophosphate, phosphonate,  
20 organophosphonate, phosphinate, or organophosphinate.
49. A method of claim 44, wherein the compound comprising a  
phosphorous atom comprises an organophosphate,  
organophosphonate, or organophosphinate, wherein one or two  
25 oxygen atoms covalently bonded to the phosphorous atom form ester

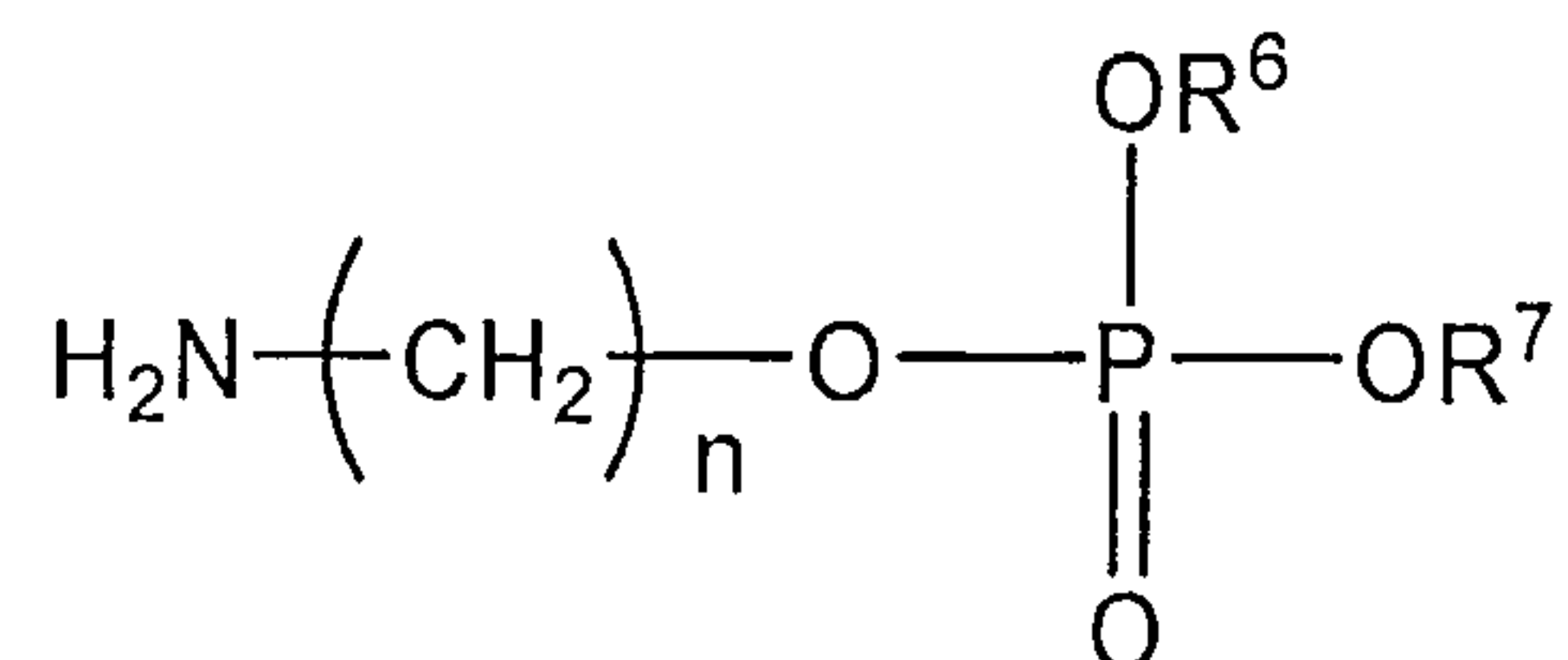
IN-5862A-C

linkages to alkyl or aryl groups, wherein alkyl groups include from 1 to about 12 carbons and aryl groups include substituted and unsubstituted phenyl and benzyl groups.

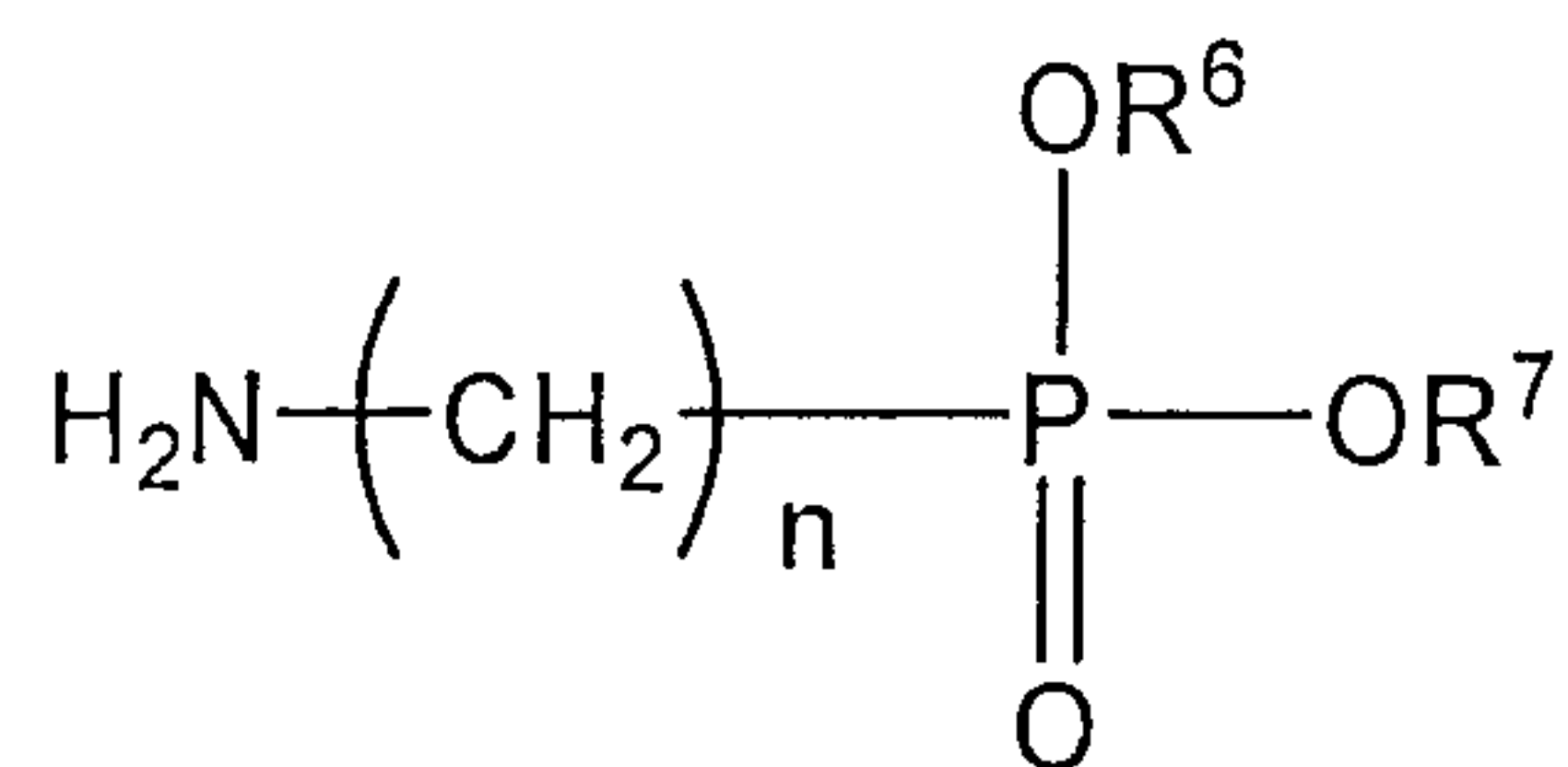
5 50. A method of claim 44, wherein the resin is a product of a reaction comprising diglycidyl ether of bisphenol A and bisphenol A.

51. A method of claim 44, further comprising:  
capping the resin by reacting the resin with an amine,  
10 aminoorganophosphate, or aminoorganophosphonate, wherein the resin has at least one terminal epoxide group.

52. A method of claim 44, further comprising capping the resin with:



or



15

wherein each  $\text{R}^6$  and  $\text{R}^7$  is independently hydrogen, an alkyl group including from 1 to about 12 carbons, or an aryl group including substituted and unsubstituted phenyl and benzyl groups;  $n$  is an integer from 1 to about 12; and the resin has at least one terminal epoxide group.

20

IN-5862A-C

53. A method of claim 44, wherein the carboxylic anhydride having an ethylenically unsaturated group comprises from 4 to about 36 carbon atoms.
- 5
54. A method of claim 44, wherein the carboxylic anhydride is aconitic anhydride, chloromaleic anhydride, citraconic anhydride, ethylmaleic anhydride, itaconic anhydride, maleic anhydride, mellitic anhydride, methoxymaleic anhydride, phthalic anhydride, pyromellitic anhydride, 10 trimellitic anhydride, hexahydrophthalic anhydride, or tetrahydrophthalic anhydride.
55. A method of claim 44, wherein the crosslinker is selected from a group consisting of blocked polyisocyanate compounds, uretdione 15 compounds, polyisocyanates and oligomers thereof, and combinations thereof.
56. A method of claim 44, wherein the crosslinker comprises an alkyl or aromatic compound including at least two functional groups reactive 20 with a film-forming material and at least one pendent group comprising a covalently bonded phosphorous atom, said phosphorous atom having at least one covalently bonded oxygen atom.
57. A method of claim 44, further comprising:



IN-5862A-C

forming a salting site on the film-forming material by reacting the film forming material with an amine; incorporating quaternium ammonium, sulfonium, or phosphonium functionality on the film-forming material; or incorporating an acid functionality.

5

58. A method of claim 57, wherein the amine is selected from a group consisting of diethanolamine, methylethanolamine, diketamine of diethylenetriamine, and combinations thereof.

10 59. A method of claim 44, wherein the combining step further includes at least one member of a group consisting of pigment, salting agent, metal or metal compound, and combinations thereof.

15 60. A method of claim 59, wherein the metal or metal compound is selected from a group consisting of M, MO, M<sub>2</sub>O<sub>3</sub>, M(OH)<sub>n</sub>, R<sub>x</sub>MO, and combinations thereof; wherein, M is a metal selected from the group consisting of Al, Au, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr; n is an integer satisfying the valency of M; R is an alkyl or aromatic group; and x is an integer from 1 to 6.

20

61. A method of claim 59, wherein the metal or metal compound comprises a metal catalyst selected from a group consisting of dibutyltin oxide, dibutyltin dilaurate, zinc oxide, bismuth oxide, tin oxide, yttrium oxide, copper oxide, and combinations thereof.

25

IN-5862A-C

62. A method of producing a coated substrate comprising:

combining a crosslinker and a film-forming material of  
claim 1, and

applying the coating composition to the substrate.

5

63. A method of claim 62, wherein the resin is an epoxy, acrylic,  
polyurethane, polycarbonate, polysiloxane, polyvinyl, polyether,  
aminoplast, or polyester resin.

10 64. A method of claim 62, wherein the crosslinkable group is a group  
reactive with a crosslinker, a self-condensing group, an addition  
polymerizable group, or a group curable with actinic radiation.

65. A method of claim 62, wherein the crosslinkable group is an epoxide,  
15 hydroxyl, carboxyl, carbamate, or amine group.

66. A method of claim 62, wherein the pendent group comprises  
phosphate, organophosphate, phosphonate, organophosphonate,  
phosphinate, or organophosphinate.

20

67. A method of claim 62, wherein the pendent group comprises an  
organophosphate, organophosphonate, or organophosphinate  
wherein one or two oxygen atoms covalently bonded to the  
phosphorous atom form ester linkages to alkyl or aryl groups, wherein

IN-5862A-C

alkyl groups include from 1 to about 12 carbon atoms and aryl groups include substituted and unsubstituted phenyl and benzyl groups.

- 5 68. A method of claim 62, wherein the pendent group is bonded to the resin via an ester linkage.
69. A method of claim 62, wherein the pendent group further comprises a carboxylic acid group.
- 10 70. A method of claim 62, further comprising a metal or metal compound coordinated by the film-forming material.
71. A method of claim 70, wherein the metal or metal compound is selected from a group consisting of M, MO, M<sub>2</sub>O<sub>3</sub>, M(OH)<sub>n</sub>, R<sub>x</sub>MO, and combinations thereof; wherein, M is a metal selected from the group  
15 consisting of Al, Au, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr; n is an integer satisfying the valency of M; R is an alkyl or aromatic group; and x is an integer from 1 to 6.
- 20 72. A method of claim 70, wherein the metal or metal compound comprises a metal catalyst selected from a group consisting of dibutyltin oxide, dibutyltin dilaurate, zinc oxide, bismuth oxide, tin oxide, yttrium oxide, copper oxide, and combinations thereof.
- 25 73. A method of claim 62, further comprising:



IN-5862A-C

forming a salting site on the film-forming material by reacting the film forming material with an amine; incorporating quaternium ammonium, sulfonium, or phosphonium functionality on the film-forming material; or incorporating an acid functionality.

5

74. A method of claim 73, wherein the amine is selected from a group consisting of diethanolamine, methylethanolamine, diketamine of diethylenetriamine, and combinations thereof.

10 75. A method of claim 62, wherein the combining step further includes a member of a group consisting of pigment, salting agent, metal or metal compound, and combinations thereof.

76. A method of claim 62, further comprising:  
15 mixing the coating composition to form an dispersion; and  
wherein the applying includes electrodepositing the coating composition to the substrate, wherein the substrate is a metal substrate.

20 77. A method of claim 62, further comprising:  
curing the applied coating composition.

78. A method of claim 77, wherein the curing step includes heating, applying actinic radiation, or heating and applying actinic radiation.

25

IN-5862A-C

79. A method of producing a coated substrate comprising:  
combining a crosslinker and the film-forming material of claim 20 to  
form a coating composition; and  
applying the coating composition to the substrate.

5

80. A method of claim 79, wherein the compound comprising a  
phosphorous atom comprises an organophosphate,  
organophosphonate, or organophosphinate, wherein one or two  
oxygen atoms covalently bonded to the phosphorous atom form ester  
10 linkages to alkyl or aryl groups, wherein alkyl groups include from 1 to  
about 12 carbons and aryl groups include substituted and  
unsubstituted phenyl and benzyl groups.

81. A method of claim 79, wherein the resin is an epoxy, acrylic,  
15 polyurethane, polycarbonate, polysiloxane, polyvinyl, polyether,  
aminoplast, or polyester resin.

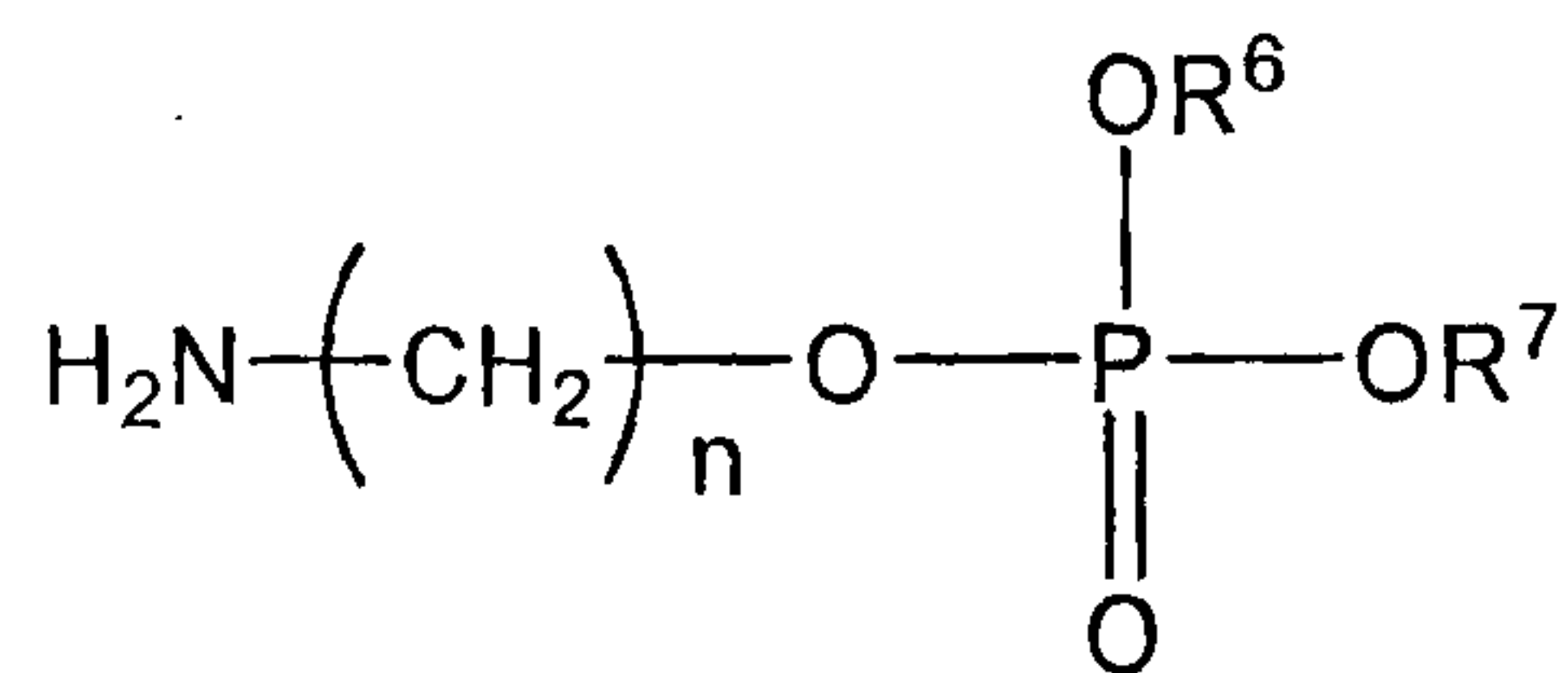
82. A method of claim 79, wherein the resin is a product of a reaction  
comprising diglycidyl ether of bisphenol A and bisphenol A.

20

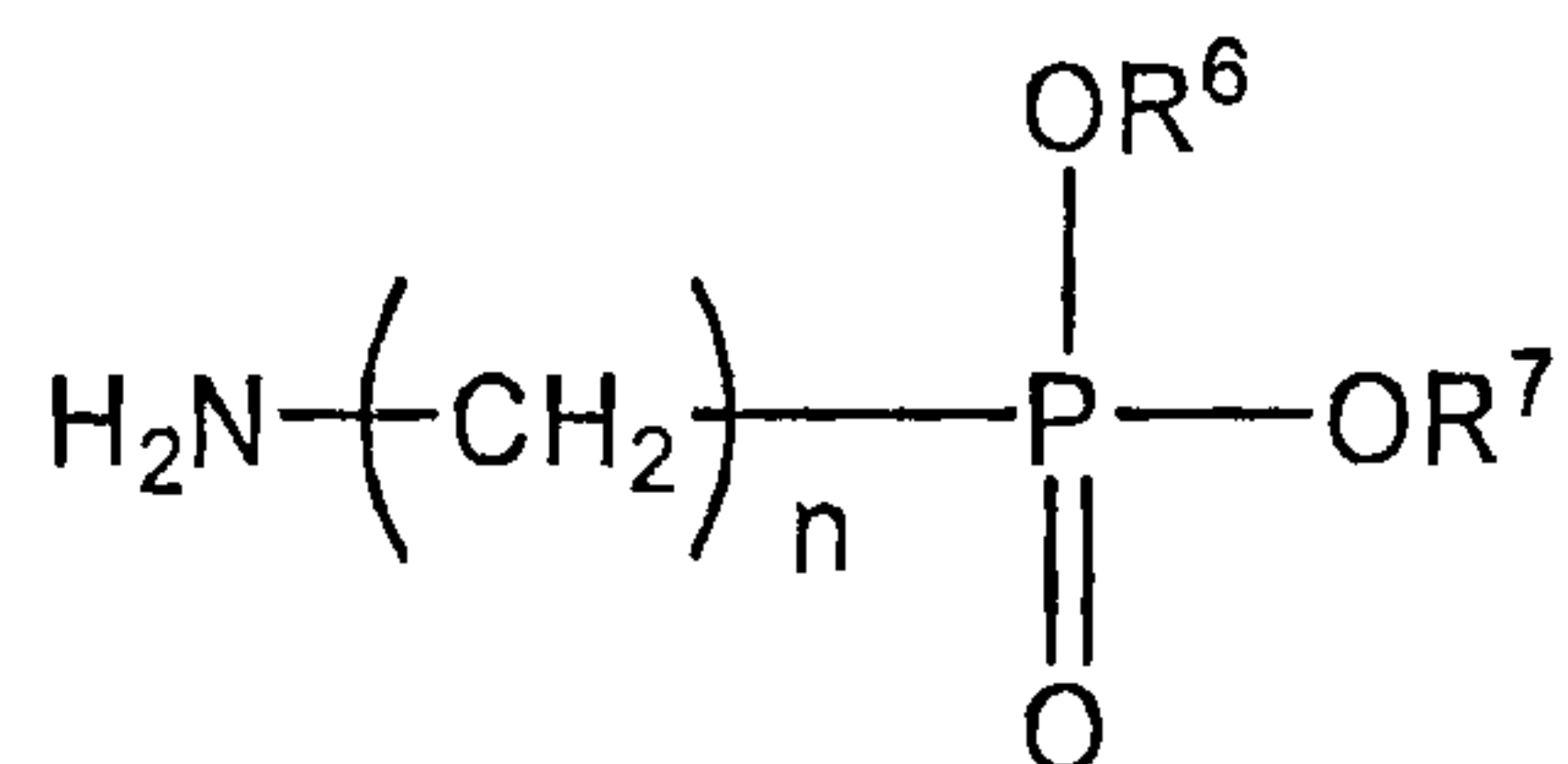
83. A method of claim 79, further comprising capping the resin with an  
amine, aminoorganophosphate, or aminoorganophosphonate.

84. A method of claim 79, further comprising capping the resin with:

IN-5862A-C



or



wherein each  $\text{R}^6$  and  $\text{R}^7$  is independently hydrogen, an alkyl group including from 1 to about 12 carbons, or an aryl group including substituted and unsubstituted phenyl and benzyl groups;  $n$  is an integer from 1 to about 12; and the resin has at least one terminal epoxide group.

85. A method of claim 79, wherein the carboxylic anhydride having an ethylenically unsaturated group comprises from 4 to about 36 carbon atoms.
86. A method of claim 79, wherein the carboxylic anhydride is aconitic anhydride, chloromaleic anhydride, citraconic anhydride, ethylmaleic anhydride, itaconic anhydride, maleic anhydride, mellitic anhydride, methoxymaleic anhydride, phthalic anhydride, pyromellitic anhydride, trimellitic anhydride, hexahydrophthalic anhydride, or tetrahydrophthalic anhydride.



IN-5862A-C

87. A method of claim 79, wherein the crosslinker is selected from a group consisting of blocked polyisocyanate compounds, uretdione compounds, polyisocyanates and oligomers thereof, and combinations thereof.

5

88. A method of claim 79, further comprising:

forming a salting site on the film-forming material by reacting the film forming material with an amine; incorporating quaternium ammonium, sulfonium, or phosphonium functionality on the film-forming material; or incorporating an acid functionality.

10

89. A method of claim 88, wherein the amine is selected from a group consisting of diethanolamine, methylethanolamine, diketamine of diethylenetriamine, and combinations thereof.

15

90. A method of claim 79, wherein the combining step further includes a member of a group consisting of pigment, salting agent, metal or metal compound, and combinations thereof.

20 91. A method of claim 90, wherein the metal or metal compound is selected from a group consisting of M, MO, M<sub>2</sub>O<sub>3</sub>, M(OH)<sub>n</sub>, R<sub>x</sub>MO, and combinations thereof; wherein, M is a metal selected from the group consisting of Al, Au, Bi, Ce, Cu, Fe, Pb, Sn, Sb, Ti, Y, Zn, and Zr; n is an integer satisfying the valency of M; R is an alkyl or aromatic group; and x is an integer from 1 to 6.

25

IN-5862A-C

92. A method of claim 90, wherein the metal or metal compound comprises a metal catalyst selected from a group consisting of dibutyltin oxide, dibutyltin dilaurate, zinc oxide, bismuth oxide, tin  
5 oxide, yttrium oxide, copper oxide, and combinations thereof.
93. A method of claim 79, further comprising:  
mixing the coating composition to form an dispersion; and  
wherein the applying includes electrodepositing the coating  
10 composition to the substrate, wherein the substrate is a metal substrate.
94. A method of claim 79, further comprising:  
curing the applied coating composition.  
15
95. A method of claim 94, wherein the curing step includes heating, applying actinic radiation, or heating and applying actinic radiation.