

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
6 June 2002 (06.06.2002)

PCT

(10) International Publication Number
WO 02/43922 A1

(51) International Patent Classification⁷: **B24B 37/04**,
49/16, B24D 3/26, 11/00, 13/14

(21) International Application Number: PCT/US01/44177

(22) International Filing Date:
27 November 2001 (27.11.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/250,299 29 November 2000 (29.11.2000) US
60/304,375 10 July 2001 (10.07.2001) US
Not furnished 24 October 2001 (24.10.2001) US

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

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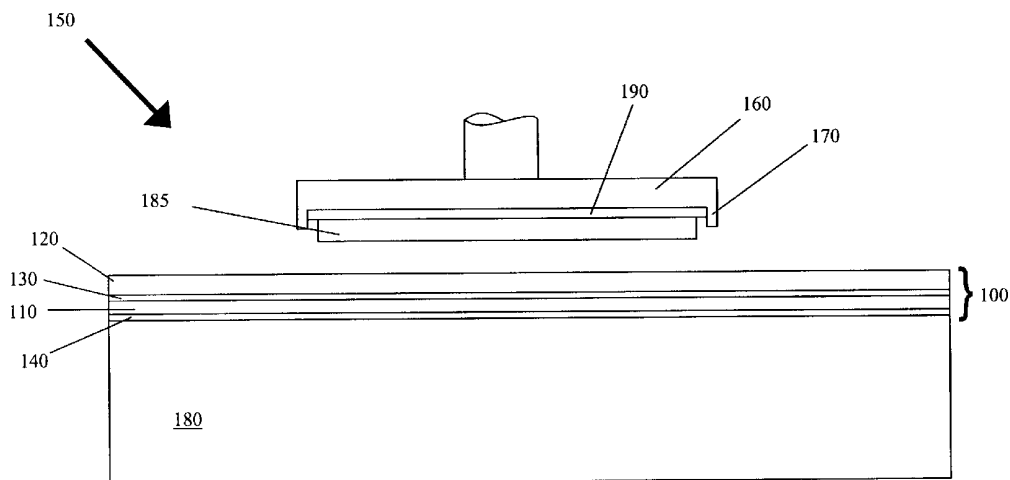
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Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: CROSSLINKED POLYETHYLENE POLISHING PAD FOR CHEMICAL-MECHANICAL POLISHING, POLISHING APPARATUS AND POLISHING METHOD



(57) Abstract: The present invention is directed, in general, to a method of polishing a surface on substrates, such as semiconductor wafers and, more specifically, to a polishing pad suitable for this purpose. The polishing pad comprises a polishing body that includes a cross-linked polymer material, and may be incorporated into a polishing apparatus. Polishing includes positioning the substrate containing at least one layer against the polishing body and polishing the layer.



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CROSSLINKED POLYETHYLENE POLISHING PAD FOR CHEMICAL-MECHANICAL POLISHING,
POLISHING APPARATUS AND POLISHING METHOD

TECHNICAL FIELD OF THE INVENTION

The present invention is directed to polishing pads
5 used for creating a smooth, ultra-flat surface on such
items as glass, semiconductors, dielectric/metal
composites, magnetic mass storage media and integrated
circuits. More specifically, the present invention relates
to the selective mechanical and chemical polishing
10 properties of a cross-linked polymer and its application to
create pads suitable for polishing a substrate.

BACKGROUND OF THE INVENTION

Chemical-mechanical polishing (CMP) is used
15 increasingly as a planarizing technique in the manufacture
of very large scale integration (VLSI) integrated circuits.
It has potential for planarizing a variety of materials in
IC processing but is used most widely for planarizing
metallized layers and interlevel dielectrics on
20 semiconductor wafers, and for planarizing substrates for
shallow trench isolation. The efficient polishing of
copper surfaces has taken on added importance due to the
wide spread use of the copper damascene process.

There are three critical consumable components in the
25 CMP process. The first is the abrasive liquid slurry. The
abrasive liquid slurry's composition must be altered, and
special formulations must be produced for each different
substrate being polished. For example, some substrates

require a high pH to be activated for polishing, while other substrates need a more acid environment. Still other substrates respond best to silica abrasives, while others require alumina or titanium abrasive particles. The second
5 critical consumable component in the CMP process is the polishing pad. It must be very flat, uniform across its entire surface, and resistant to the chemical nature of the slurry and have the right combination of stiffness and compressibility to minimize effects like dishing and
10 erosion. A third critical consumable component in the CMP process is the carrier film. The carrier film attaches the wafer to its rotating holder must be: adequately flat and uniform in its thickness; an adhesive that will hold it tightly to the carrier but not too tightly to the wafer;
15 and immune to the chemical environment in which it works.

In trench isolation, large areas of field oxide must be polished to produce a planar starting wafer. Integrated circuits that operate with low voltages, *i.e.*, 5 volts or less, and with shallow junctions, can be isolated
20 effectively with relatively shallow trenches, *i.e.*, less than a micron. In shallow trench isolation (STI) technology, the trench is backfilled with oxide and the wafer is planarized using CMP. The result is a more planar structure than typically obtained using LOCOS, and the
25 deeper trench (as compared with LOCOS) provides superior latch up immunity. Also, by comparison with LOCOS, STI substrates have a much reduced "bird's beak" effect and thus theoretically provide higher packing density for circuit elements on the chips. The drawbacks in STI
30 technology to date relate mostly to the planarizing process. Achieving acceptable planarization across the full

diameter of a wafer using traditional etching processes has been largely unsuccessful. By using CMP, where the wafer is polished using a mechanical polishing wheel and a slurry of chemical etchant, unwanted oxide material is removed with
5 a high degree of planarity.

It is also well known that integrated circuit fabrication on semiconductor wafers require the formation of precisely controlled apertures, such as contact openings or "vias," that are subsequently filled and interconnected
10 to create components and VLSI or ultra large scale integration (ULSI) circuits. Equally well known is that the patterns defining such openings are typically created by optical lithographic processes that require precise alignment with prior levels to accurately contact the
15 active devices located in those prior levels. In multilevel metallization processes, each level in the multilevel structure contributes to irregular topography. In three or four level metal processes, the topography can be especially severe and complex. The expedient of
20 planarizing the interlevel dielectric layers, as the process proceeds, is now favored in many state-of-the-art IC processes. Planarity in the metal layers is a common objective, and is promoted by using plug interlevel connections. A preferred approach to plug formation is to
25 blanket deposit a thick metal layer on the interlevel dielectric and into the interlevel windows, and then remove the excess using CMP. In a typical case, CMP is used for polishing an oxide, such as SiO_2 , Ta_2O_5 , W_2O_5 . It can also be used to polish nitrides such as Si_3N_4 , TaN , TiN , and
30 conductor materials used for interlevel plugs, e.g., Cu , W , Ti , and TiN .

During conventional metal chemical-mechanical polishing (CMP) of metal stacks, an oxidant is used to convert the top metal to metal oxides. These metal oxides are subsequently abraded *in situ* with some harder metal oxide abrasives. It certain applications, it is desirable to selectively polish such metal surfaces without removing the underlying nitride surface, for example. In such situations, selective metal polishing and precise end-point detection are desirable features.

10 During metal CMP, areas dense in features (*i.e.*, alignment marks) tend to oxidize at a faster rate than areas with sparse distributions. This uncontrollable oxidation of the metals forming the alignment marks is commonly referred to as oxide erosion. Additionally, 15 manufacturers have observed that oxide erosion in dense arrays increases dramatically as batch sizes are increased.

Traditionally, polishing pads are composed of stacked polyurethane based materials, containing a softer bottom structure and harder top surface used for polishing. Two 20 examples of such pads in wide commercial use include the IC1400™ and IC1000/SUBAIV™, pads, manufactured by Rodel®, Inc. (Phoenix, Az.). Such polyurethane pads, however, are subject to wear down and glazing due to hydrolysis during polishing, with consequent deleterious effects on the rate 25 and uniformity of planarization. This in turn requires frequent reconditioning to restore the pad's surface properties, with a subsequent loss in productivity and increase in costs.

Accordingly, what is needed in the art is an improved 30 design for a semiconductor wafer polishing pad that reduces scratches and resultant yield loss during

chemical/mechanical planarization, provides selective metal polishing and end-point detection of such polishing.

SUMMARY OF THE INVENTION

To address the deficiencies of the prior art, the present invention, in one embodiment, provides a polishing pad comprising a polishing body comprising a material wherein said material is a cross-linked polymer.

In another embodiment, the present invention provides a polishing apparatus. This particular embodiment includes a mechanically driven carrier head, a polishing platen, and a polishing pad attached to the polishing platen. The carrier head is positionable against the polishing platen to impart a polishing force against the polishing platen. The polishing pad includes a polishing body comprising a material wherein the material is a cross-linked polymer.

Yet another embodiment provides a method of polishing a substrate. The method comprises positioning a substrate having at least one layer of material located thereon against a polishing pad attached to a polishing apparatus wherein the polishing pad includes a polishing body comprising a cross-linked polymer; and polishing the layer of material against the polishing pad.

The foregoing has outlined, rather broadly, preferred and alternative features of the present invention so that those skilled in the art may better understand the detailed description of the invention that follows. Additional features of the invention will be described hereinafter that form the subject of the claims of the invention. Those skilled in the art should appreciate that they can readily use the disclosed conception and specific embodiment as a

basis for designing or modifying other structures for carrying out the same purposes of the present invention. Those skilled in the art should also realize that such equivalent constructions do not depart from the spirit and
5 scope of the invention in its broadest form.

BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the invention, reference is now made to the following descriptions taken in conjunction with the accompanying drawing, in which:

10 FIGURE 1 illustrates a polishing apparatus, including a polishing pad fabricated using a cross-linked polymer made according to the present invention;

FIGURE 2 illustrates an exemplary contour plot of the depth of Copper removed during the polishing of a TEOS
15 wafer having a layer of Copper and an underlying Ta barrier layer, using a pad (A32) fabricated using a cross-linked polymer according to the present invention, and a conventional polyurethane pad (IC1400);

FIGURE 3 illustrates an exemplary change in
20 coefficient of friction determined during the polishing of a TEOS wafer having a layer of Copper and an underlying Ta barrier layer, using a pad (A32) fabricated using a cross-linked polymer according to the present invention, and a conventional polyurethane pad (IC1000/SUBA IV).

25 DETAILED DESCRIPTION

We have discovered that polishing pads containing a polishing body comprised of a crossed-linked polymer have unexpected desirable polishing properties. In particular, pads formed from such material are capable of uniformly and

rapidly removing a metal surface, such as copper, with relatively much slower removal rates of an underlying tantalum barrier layer and the silicon wafer.

In one particular embodiment, the cross-linked polymer
5 may be made from a thermoplastic foam polymer. Alternatively, the polishing pad may be made from a thermoplastic foam elastomer. In other embodiments, the cross-linked polymer has a closed cell structure. In another embodiment, the thermoplastic foam may include
10 cross-linked polyolefins, such as polyethylene, polypropylene, and combinations thereof. In particularly useful embodiments, the crosslinked polymer may be a crosslinked polyethylene closed-cell foam such as Volara™, available from Merryweather Foam, Inc. (Anthony, NM); or
15 Aliplast™, available JMS Plastics Supply, Inc. (Neptune, NJ), or from Atlas International (Sacramento, CA).

The cross-linked polymer of this invention may be fabricated by melt extrusion of the pellets into sheets, and then pads made by laser cutting, die cutting or similar
20 process familiar to those skilled in the art of thermoplastic processing. Referring to FIGURE 1, these cross-linked polymers may then be incorporated into a polishing body 100 that includes a base pad 110, where a cross-linked polymer pad 120 forms a polishing surface
25 located over the base pad 110. Optionally, a first adhesive material 130, such as epoxy or other materials well known to those skilled in the art, may be used to couple the base pad 110 to the cross-linked polymer pad 120. The polishing pads thus formed may also have a second
30 adhesive material 140, well known to those skilled in the art, applied to the platen side. The polishing pad may

then be cleaned and packaged for use.

With continuing reference to FIGURE 1, the polishing body 100 may then be employed in a variety of CMP processes by incorporation into a polishing apparatus 150. The
5 polishing apparatus 150 typically includes a mechanically driven carrier head 160, carrier ring 170, polishing platen 180, and a polishing pad that includes the polishing body 100 comprising the cross-linked polymer pad 120 of the present invention, attached to the polishing platen 180,
10 optionally using the second adhesive 140. The substrate to be polished 185, typically a wafer, may be attached to the carrier ring with the aid of a third adhesive 190 also well known to those skilled in the art. The carrier head 160 is then positioned against the polishing platen 180 to impart
15 a polishing force against the polishing platen 180, typically a repetitive, regular motion of the mechanically driven carrier head 160, while providing an appropriate slurry mixture well known to those skilled in the art.

With continuing reference to FIGURE 1, in such
20 polishing processes, substrates 185 may be polished by positioning the substrate 185, having at least one layer, on to the above-described polishing apparatus 150, and polishing the layer against the cross-linked polymer pad 120 of the present invention. In one embodiment, the
25 substrate 185 has at least one layer of material that is a metal layer. In a particular embodiment, the metal layer is copper. In another embodiment, the substrate 185 is located on a semiconductor wafer. Cross-linked polymer pads 120 of the present invention are particularly suited
30 for polishing in shallow trench isolation (STI) in integrated circuit fabrication or other fabrication

techniques where large areas of field oxide or other dielectrics must be removed from the wafer to produce a planar surface prior to subsequent processing. The cross-linked polymer pad 120 of the present invention are also
5 desirable for polishing interlevel plug materials such as W, Ti, Cu, Al, and other metals as well as nitrides or barrier materials such as Si_3N_4 , TaN, TiN.

Another embodiment of the present invention includes a method to determine an endpoint for metal polishing. The
10 endpoint may be determined by detecting a change in the coefficient of friction or a change in an acoustic signal during substrate polishing using the cross-linked polymer pad 120 of the present invention associated with the transition from removing the metal layer to removing at
15 least a portion of another layer. Detection apparatus for determining changes in coefficient of friction or a change in an acoustic signal, such as that described by CETR, Inc. (Campbell, CA), may be used.

Experiments

20 The copper polishing properties of polishing pads made according to the present invention were compared to conventional polishing pads. First, a polishing apparatus was prepared according to the present invention by including a polishing pad whose polishing body comprised a
25 cross-linked polymer, attaching the pad to a polishing platen, and attaching the platen to a mechanically driven carrier head. Specifically, the cross-linked polymer used was Type 6A or Type 10 Aliplast® (JMS Plastic Supplies, Neptune, N.J.). Both are cross-linked closed cell
30 polyethylene foam having a medium density and hardness of

about 34 Shore A (Type 6A) and about 60 Shore A (Type 10). The blanket Copper polishing properties of polishing pads incorporating these materials, designated A32 and A40, respectively, were compared to two commercially available
5 pads: an IC1400 pad, and an IC1000/SUBA IV pad stack (both manufactured by Rodel, Phoenix, Az) in two experimental protocols.

In the first protocol, an experiment to compare the uniformity of copper polishing using the IC1400 pad versus
10 the A32 pad was carried out for 1 or 2 minutes using an IPEC-372 polisher (formerly IPEC, Inc., now SpeedFam-IPEC, Inc., Chandler, Az) with a down force of 3.5 psi; table and carrier speeds of 90 and 85 rpm, respectively; and slurry flow of 200 ml/min. The slurry was obtained from DuPont
15 Air Products Nanomaterials, LLC (Carlsbad, California), contained hydrogen peroxide, and was adjusted to a pH of about 4. *Ex situ* pad conditioning was used. Tetraethylorthosilicate (TEOS) wafers having a deposited copper surface and an underlying 250 Å thick tantalum
20 barrier layer were used.

Contour plots of copper surfaces polished using the IC 1400 versus the A32 pad were measured electrically by measuring sheet resistance at 49 points distributed radially across the wafer. Texture maps were generated
25 using software obtained from ResMat® Corporation (Resmat map model 487; Montreal, Quebec, Canada). As illustrated in FIGURE 2, the depth of copper removed across the wafer using the IC 1400 pad ranged from 3000 to 6500 Å, and from 2000 to 5500 Å in a second experiment (not shown). In
30 contrast, the depth of copper removed using the A32 pad was more uniform, ranging from only 4000 to 5250 Å.

Using the same experimental protocol, in a second experiment, the average and standard deviation for the removal rate of copper (*i.e.*, the average of 49 measurement points) was compared for the IC1400 pad versus the A32 pad.

5 The copper removal rate and its standard deviation using the A32 pad ($4931 \pm 715 \text{ \AA} / \text{min}$) was not significantly different than the removal rate obtained using the IC1400 pad (5446 ± 760 and $5429 \pm 801 \text{ \AA} / \text{min}$, in two experiments). Thus, the A32 pad removed copper at a rate

10 comparable to that of a commercial pad.

Polishing using the A32 pad was continued until the copper layer was entirely removed and the Ta layer was polished for an additional two minutes. The thickness of Ta removed narrowly ranged from 75 to 175 $\text{\AA}$, and the average

15 rate of removal over all 49 measurement points was only about 41 $\text{\AA} / \text{min}$. Finally, polishing was continued until the entire Ta layer was removed, and the underlying TEOS wafer was polished. The removal rate of the TEOS was about 45 $\text{\AA} / \text{min}$. Thus, the A32 pad polished the underlying Ta

20 layer and TEOS wafer at much slower rates than the Copper layer. This shows that the A32 pad has a higher selectivity for Copper removal, as compared to Ta or Si.

Also using the same protocol, a third experiment was performed to examine the effect of two parameters, down

25 force and table speed, on the removal rate of copper using the A32 pad. Three different down forces (*i.e.*, 3, 4.4 and 5 psi) and table speeds (*i.e.*, 40, 60 and 90 rpm) were examined. Either down force or table speed were held constant while the other of these two parameters was

30 successively adjusted to each of the three above-mentioned values. The removal rate increased as a linear function of

increasing down force. For example, at a table speed of 40 rpm, the removal rate increased from 3416 ± 875 Å / min, with a down force of 3 psi, to 6826 ± 491 Å / min, with a down force of 5 psi. In contrast, the removal rate was
5 relatively invariant at different table speeds. For example, at a down force of 3 psi, the removal rate increased slightly from 3416 ± 875 Å / min with a table speed of 40 rpm, to 4452 ± 730 Å / min with a table speed of 80. Thus, of these two parameters, it is more desirable
10 to adjust down force to produce faster removal rates.

In the second experimental protocol, the blanket copper polishing properties of the A32 pad was compared to the IC1000/SUBA IV pad stack. The comparison was performed using a Model PMT-A CMP tester (CETR, Inc., Campbell, Ca.),
15 operated with a down force of 3 psi and table speed of 200 rpm. The PMT-A CMP tester was equipped with both a coefficient of friction and an acoustic signal detector. An EP-C5001 slurry, (Cabot Microelectronics, Inc., Aurora, Il.) containing hydrogen peroxide and adjusted to a pH of
20 about 6.1, was used. TEOS wafers having a 16,000 Å thick copper surface and underlying 300 Å thick tantalum barrier layer were used.

As illustrated in FIG. 2, during the copper polishing stage, the coefficient of friction measured by the tester
25 was more uniform compared to the IC1000/SUBA IV pad stack. Moreover, during a 300 second polishing period, the IC1000/SUBA IV pad never completely removed the Copper layer, whereas the A32 pad removed the Cu layer in about 220 s, as determined by an increase the coefficient of
30 friction when the Ta layer was reached (FIGURE 3), or as similarly determined by an decrease in the acoustic signal

(not shown).

Using the same protocol, removal rates and the selectivity of removal of Cu versus Ta were compared for the A32 and A40 pads versus the IC1000/SUBA IV pad stack.

5 The rates of Cu and Ta removal were about 5700 Å / min and about 170 Å / min, respectively, using the IC1000/SUBA IV pad. In comparison, rates of Cu and Ta removal were about 5000 Å / min and about 185 Å / min, respectively, using the A40 pad. Thus, the selectivity of Cu over Ta removal, as

10 characterized by the ratio of rates of Cu to Ta removal were about 33.5 and 27, for the IC1000/SUBA IV and A40 pads, respectively. For the A32 pad the Cu removal rate was about 3,300 5000 Å / min. Both A32 and A40 pads were able to effectively polish wafers having a tungsten surface.

15 Although the present invention has been described in detail, those skilled in the art should understand that they can make various changes, substitutions and alterations herein without departing from the spirit and scope of the invention in its broadest form.

WHAT IS CLAIMED IS:

1. A polishing pad comprising:
a polishing body comprising a material wherein said material is a cross-linked polymer.
- 5 2. The polishing pad as recited in Claim 1 wherein said cross-linked polymer is a thermoplastic foam.
3. The polishing pad as recited in Claim 1 wherein said cross-linked polymer has a closed cell structure.
4. The polishing pad as recited in Claim 3 wherein
10 said cross-linked polymer is polyethylene.
5. The polishing pad as recited in Claim 1 wherein said polishing body includes a base pad and said cross-linked polymer forms a polishing surface located over said base pad.
- 15 6. The polishing pad as recited in Claim 1 wherein said cross-linked polymer is a polyethylene having a closed cell structure.
7. The polishing pad as recited in Claim 1 wherein said cross-linked polymer has a hardness ranging from about
20 34 Shore A to about 60 Shore A.
8. The polishing pad as recited in Claim 1 wherein said cross-linked polymer has a selectivity of Cu to Ta removal rates of greater than about 27:1.

9. A polishing apparatus comprising:
a mechanically driven carrier head;
a polishing platen, said carrier head being
positionable against said polishing platen to impart a
5 polishing force against said polishing platen; and
a polishing pad attached to said polishing platen and
including a polishing body comprising a material wherein
said material is a cross-linked polymer.

10. The polishing apparatus as recited in Claim 9
10 wherein said cross-linked polymer has a closed cell
structure.

11. The polishing apparatus as recited in Claim 9
wherein said cross-linked polymer is polyethylene.

12. The polishing apparatus as recited in Claim 9
15 wherein said polishing body includes a base pad and said
cross-linked polymer forms a polishing surface located over
said base pad.

13. The polishing apparatus as recited in Claim 9
wherein said cross-linked polymer is a polyethylene having
20 a closed cell structure.

14. The polishing apparatus as recited in Claim 9
wherein said cross-linked polymer has a hardness ranging
from about 34 Shore A to about 60 Shore A.

15. The polishing apparatus as recited in Claim 9
25 wherein said cross-linked polymer has a selectivity of Cu

to Ta removal rates of greater than about 27:1.

16. A method of polishing a substrate comprising:
positioning a substrate having at least one layer of
material located thereon against a polishing pad attached
5 to a polishing apparatus wherein said polishing pad
includes a polishing body comprising a cross-linked
polymer; and
polishing said at least one layer of material against
said polishing pad.

10 17. The method as recited in Claim 16 wherein
polishing said at least one layer of material includes
polishing a metal layer.

18. The method as recited in Claim 16 wherein
polishing said metal layer includes polishing a layer
15 selected from the group containing:
copper; and
tungsten.

19. The method as recited in Claim 16 wherein said
substrate includes another layer located under said metal
20 layer and polishing includes removing said metal layer and
removing at least a portion of said another layer and said
method further includes determining an endpoint of said
polishing of said metal layer by determining a change in a
coefficient of friction between said metal layer and said
25 another layer.

20. The method as recited in Claim 16 wherein said

substrate includes another layer located under said metal layer and polishing includes removing said metal layer and removing at least a portion of said another layer and said method further includes determining an endpoint of said
5 polishing of said metal layer by determining a change in an acoustic signal between said metal layer and said another layer.

21. The method as recited in Claim 16 wherein positioning a substrate includes positioning a substrate
10 located on a semiconductor wafer against said polishing pad.

22. The method as recited in Claim 16 wherein said cross-linked polymer has a closed cell structure.

15 23. The method as recited in Claim 16 wherein said cross-linked polymer is polyethylene.

24. The method as recited in Claim 16 wherein said polishing body includes a base pad and said cross-linked polymer forms a polishing surface located over said base
20 pad.

25. The method as recited in Claim 16 wherein said cross-linked polymer is a polyethylene having a closed cell structure.

26. The method as recited in Claim 16 wherein said
25 cross-linked polymer has a hardness ranging from about 34 Shore A to about 60 Shore A.

27. The method as recited in Claim 16 wherein cross-linked polymer has a selectivity of Cu to Ta removal rates of greater than about 27:1.

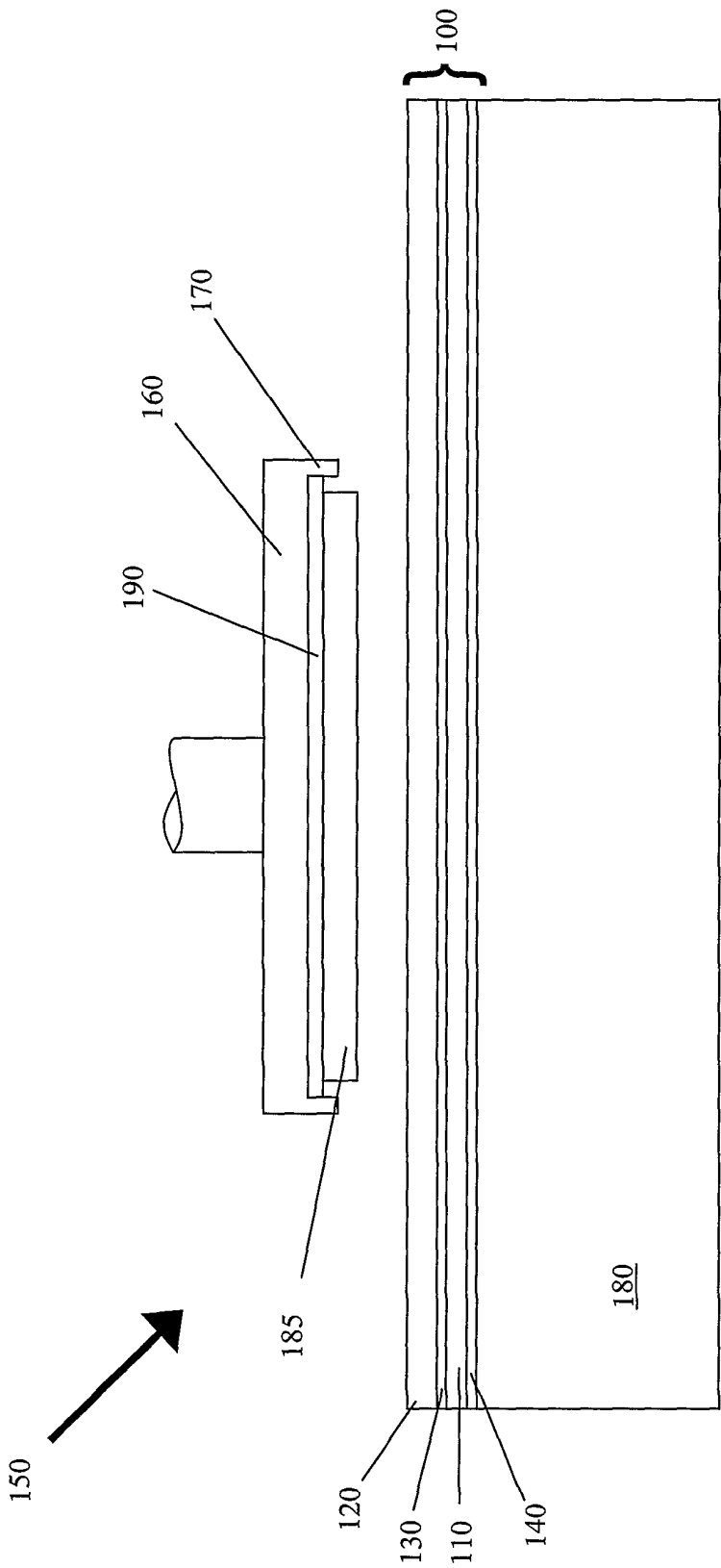


FIGURE 1

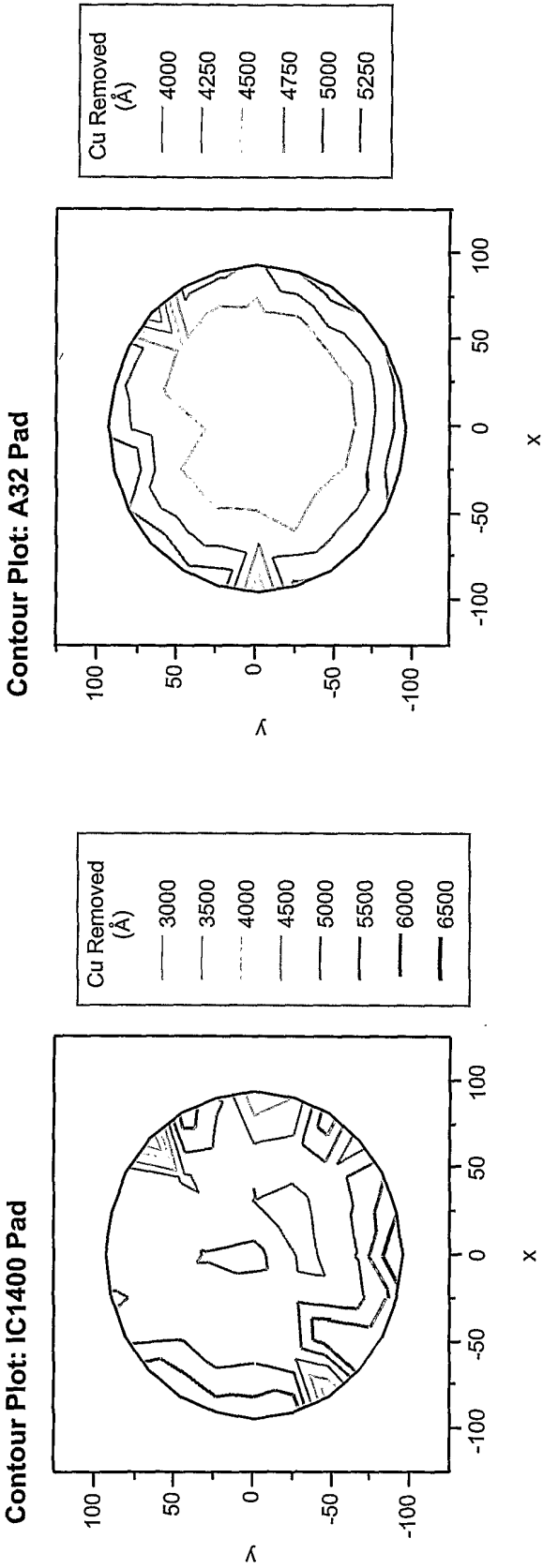


FIGURE 2

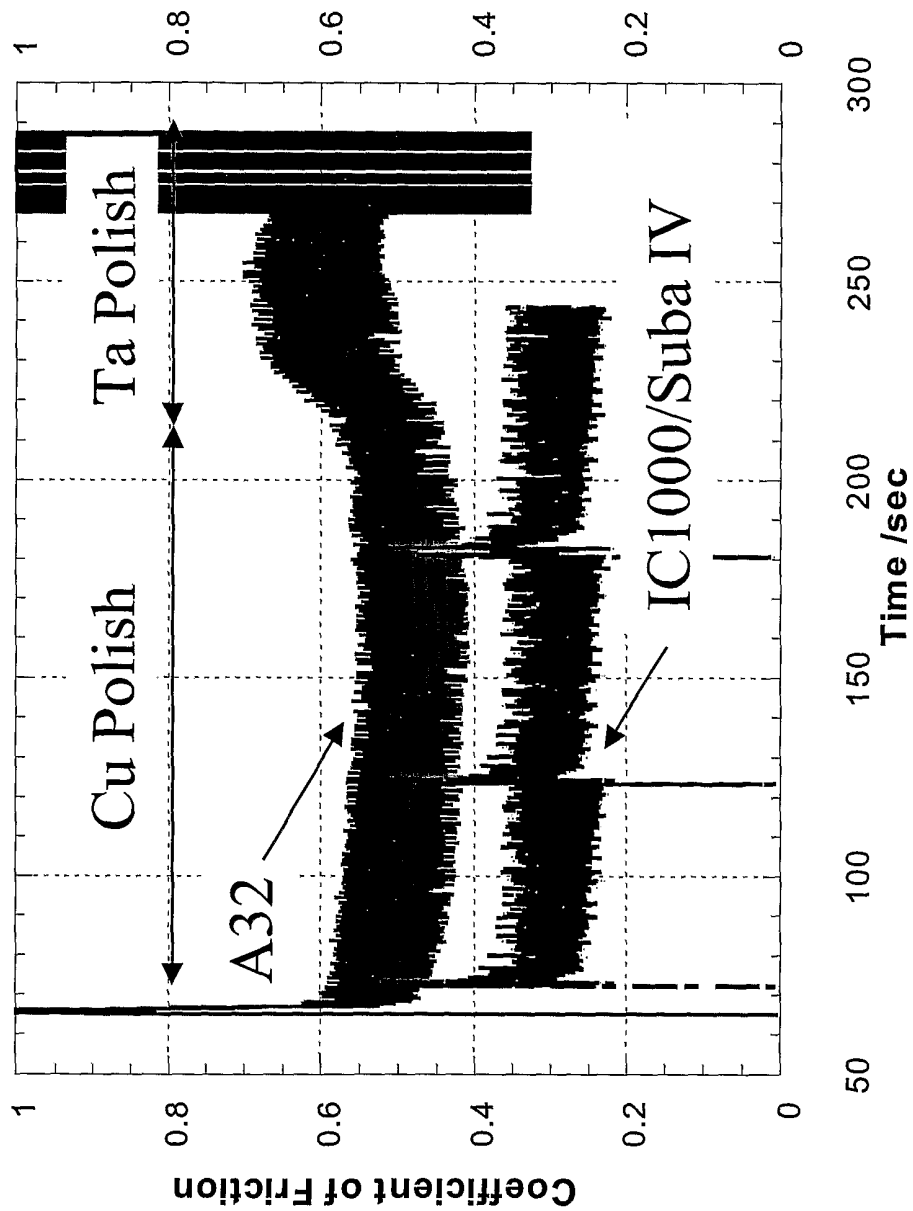


FIGURE 3

INTERNATIONAL SEARCH REPORT

PCT/US 01/44177

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B24B37/04 B24B49/16 B24D3/26 B24D11/00 B24D13/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B24B B24D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

PAJ, WPI Data, EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y	paragraph '0001! - paragraph '0005! paragraph '0016! - paragraph '0018! ---	10-15
X	WO 99 62673 A (SCAPA GROUP PLC ;BAJAJ RAJEEV (US); LAM RES CORP (US); LOMBARDO BR) 9 December 1999 (1999-12-09) claims 1-4 ---	1-4
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Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
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- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
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Date of the actual completion of the international search

17 April 2002

Date of mailing of the international search report

25/04/2002

Name and mailing address of the ISA

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y	column 4, line 38 -column 5, line 49; figure 1	10-15
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