

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
11 November 2004 (11.11.2004)

PCT

(10) International Publication Number  
**WO 2004/098143 A1**

(51) International Patent Classification<sup>7</sup>: **H04L 29/06**

(21) International Application Number:  
PCT/CA2004/000652

(22) International Filing Date: 28 April 2004 (28.04.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/465,798 28 April 2003 (28.04.2003) US

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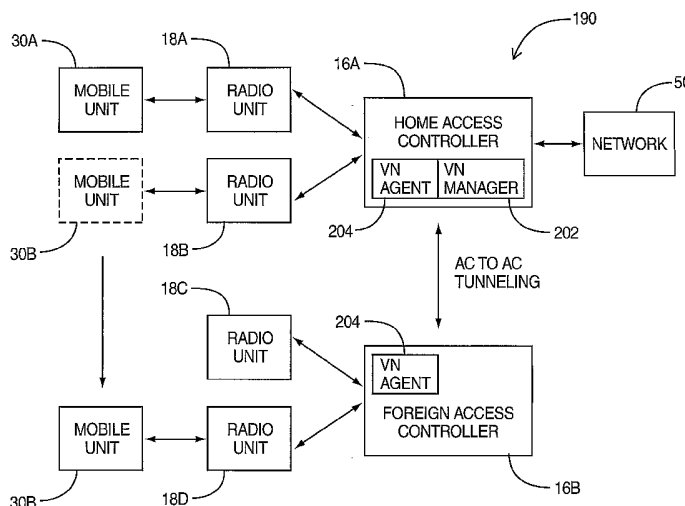
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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI,

[Continued on next page]

(54) Title: SYSTEM AND METHOD FOR MOBILE UNIT SESSION MANAGEMENT ACROSS A WIRELESS COMMUNICATION NETWORK



(57) Abstract: A wireless network and associated method for providing continuous communication service for a mobile unit as it roams within a virtual wireless network. The virtual network includes home and foreign access controllers and a virtual network manager (VN manager). The VN manager is used to maintain a VN configuration record that includes all active access controllers within virtual network and to disseminate said VN configuration record to all active access controllers. A tunneling data communication connection is established between all active access controllers including home and foreign access controllers. When a mobile unit first connects to home access controller and then roams to foreign access controller, communication data is transmitted between the mobile unit and the foreign access controller to provide continuous data communication on the basis of the characteristics defined by the virtual networking services while simultaneously preserving the IP configuration of mobile unit on the network.



SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,  
GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *with international search report*

SYSTEM AND METHOD FOR MOBILE UNIT SESSION MANAGEMENT ACROSS A WIRELESS  
COMMUNICATION NETWORK

This application claims the benefit under 35 U.S.C. 119(e) of U.S.  
5 Provisional Application No. 60/465,798, filed April 28, 2003.

**FIELD OF THE INVENTION**

This invention relates to a wireless communication network and  
more particularly to a wireless communication system and method for mobile  
10 unit session management across a wireless communication network.

**BACKGROUND OF THE INVENTION**

Virtual networking services (VNS) is a network service provided  
on a managed IP network that provides for the definition of several virtual  
15 wireless LAN networks within a single physical wireless LAN network. By  
grouping mobile units together using a VNS and controlling their sessions  
centrally, specific policies can be applied to such groups of users such as  
which security mechanism is applied, what grade of service is to be provided,  
or even what network their traffic is associated with (e.g. intranet, Internet  
20 etc.) Existing strategies for the deployment of virtual wireless local area  
networks fail to provide flexible and customizable network connection services  
to roaming mobile users within a wireless LAN.

A conventional solution is the use of "mobile IP" communication  
to allow mobile units to connect to different access controllers in a VNS. This  
25 approach allows mobile units to preserve their mobile unit's layer 3 (IP)  
connection to the network. However, this solution requires the use and  
installation of client software on mobile units. It is desirable to provide mobile  
unit roaming and access controller availability without the need for the use  
and installation of client software on mobile units.

**SUMMARY OF THE INVENTION**

The invention provides in one aspect, a wireless network for providing continuous communication service to a mobile unit within a virtual network without the need to install software on the mobile unit, when the mobile unit  
5 initially connects to the virtual network by associating with a home access controller and then subsequently roams to a foreign access controller, said virtual network comprising:

- 10 (a) a virtual network manager (VN manager) adapted to maintain a virtual network configuration record including all active access controllers within the virtual network including home and foreign access controller and to disseminate said virtual network configuration record to all active access controllers;
- 15 (b) said home access controller and foreign access controller being adapted to:
  - (i) establish a tunneling data communication connection between said home access controller and foreign access controller based on the current record maintained by said VN manager; and
  - 20 (ii) utilize the tunneling data communication connection between said foreign access controller and said home access controller and said tunneling data communication connection to provide continuous data communication for the mobile unit

In another aspect, the present invention provides a method for  
25 providing continuous communication service for a mobile unit as it roams within a virtual network without the need to install software on the mobile unit, said virtual network including home and foreign access controllers and a virtual network manager (VN manager), said method comprising the steps of:

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- 5 (a) using the VN manager to maintain a virtual network configuration record including all active access controllers within virtual network including home and foreign access controller and to disseminate said virtual network configuration record to all active access controllers;
- 10 (b) establishing a tunneling data communication connection between all active access controllers including between said foreign access controller and said home access controller;
- (c) connecting the mobile unit to the virtual network by transmitting communication data between the mobile unit and said home access controller;
- 15 (d) determining whether the mobile unit has roamed from the home access controller to the foreign access controller;
- (e) if step (d) is true, then:
- 20 (i) transmitting communication data between the mobile unit and said foreign access controller;
- (ii) utilizing the data from step (i) and tunneling data communication connection between said foreign access controller and said home access controller to provide continuous data communication for the mobile unit.
- 25 Further aspects and advantages of the invention will appear from the following description taken together with the accompanying drawings.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

30 In the accompanying drawings:

FIG. 1 is a schematic diagram of an example of a high level implementation of the wireless local area network (LAN) of the present invention;

FIG. 2A is a block diagram illustrating the hardware components  
5 of the radio unit of FIG. 1;

FIG. 2B is a block diagram illustrating the software components of the radio unit of FIG. 1;

FIG. 3A is a block diagram illustrating the hardware components of the access controller of FIG. 1;

10 FIG. 3B is a block diagram illustrating the software components of the access controller of FIG. 1;

FIG. 4A is a schematic drawing illustrating the radio unit header used for communication between the radio unit and access controller of FIG. 1;

15 FIG. 4B is a schematic drawing illustrating the mobile unit data header used for encapsulated communication between the radio unit and access controller of FIG. 1;

FIG. 4C is a schematic drawing illustrating the complete CTP communication data packet utilized by the wireless LAN of FIG. 1;

20 FIG. 5A is a schematic of the mobile unit end-to-end protocol stack associated with the mobile unit, radio unit and access controller of FIG. 1;

FIG. 5B is a schematic of the protocol stack associated with the radio unit and the access controller of FIG. 1;

25 FIG. 6A is a schematic diagram illustrating the VNS factors known by the access controller and the VNS factors discovered by the radio unit and communicated to the access controller during time segment t1;

FIG. 6B is a schematic diagram illustrating the VNS factors known by the access controller and the VNS factors discovered by the radio unit and communicated to the access unit during time segment t2;

FIG. 6C is a schematic diagram illustrating the VNS factors  
5 known by the access controller and the VNS factors discovered by the radio unit and communicated to the access controller during either time segment t3 or t4;

FIG. 7 is a block diagram that illustrates a two-tier multiple access controllers network topology for the wireless LAN of FIG. 1;

10 FIG. 8 is a block diagram that illustrates the VN manager and VN agents associated with multiple access controllers associated with a VNS;

FIG. 9A is a finite state machine representation that illustrates the operation of the VN manager of FIG. 8;

15 FIG. 9B is a finite state machine representation that illustrates the operation of the VN agent of FIG. 8;

FIG. 10 is a block diagram that illustrates in more detail the registration of a mobile unit session on a FOREIGN access controller host within the AC-AC tunneling architecture of FIG. 7;

20 FIG. 11 is a block diagram that illustrates a mobile unit authentication sequence with a Captive Portal;

FIG. 12 is a block diagram that illustrates a mobile unit authentication sequence using 802.1x or WPA authentication;

FIG. 13 is a block diagram that illustrates a mobile unit authentication sequence when roaming from AC to AC with 802.1x or WPA;

25 FIG. 14 is a schematic diagram illustrating session tracking across multiple access controllers in the VNS over time;

FIG. 15 is a block diagram that illustrates in more detail the registration of a mobile unit session on a FOREIGN access controller host within the AC-AC tunneling architecture of FIG. 7;

FIG. 16 is a schematic diagram of a unique AC-AC identifier utilized in the AC-AC tunneling architecture of FIG. 7; and

FIGS. 17A and 17B are communication sequence flow diagrams that illustrate the process flow required for the VN manager and VN agents  
5 within an example virtual network (VN).

### **DETAILED DESCRIPTION OF THE INVENTION**

FIG. 1 illustrates the main components of a wireless communication network **10** operating in accordance with a preferred  
10 embodiment of the invention. Wireless network **10** includes, a plurality of access controllers **16**, a plurality of radio units **18** and third party access points **19**, mobile units **30**, and a back-end network (e.g. intranet) **50**. Using the communication protocol of the present invention, wireless network **10** provides mobile unit traffic segmentation for a plurality of mobile units **30** for  
15 the purpose of assigning virtual networking services (VNS).

Mobile unit (MU) **30** is any electronic device that will support wireless communication with the radio unit **18** such as the IEEE 802.11 standard, Bluetooth standard or any other wireless protocol for wireless communication. Mobile unit **30** could be a laptop, personal digital assistant  
20 (PDA) or other device capable of wireless communication, including various wireless voice/multimedia communication devices.

Radio unit (RU) **18** acts as the connection point for the mobile unit **30** to the wireless network **10**. As shown in FIG. 2A, radio unit **18** includes a MAC controller/baseband module **62** and radio front-end electronics **67**  
25 which are capable of receiving RF signals from mobile unit **30** in accordance with a wireless standard such as the IEEE 802.11 standard, Bluetooth standard or other wireless communication standard. Radio unit **18** also includes a memory **60**, a host processor **65** as well as an Ethernet driver **64**. Several radio units **18** can be connected through a backbone, which can be  
30 any suitable network technology, such as an Ethernet LAN. It should be understood that wireless network **10** is also able to accommodate third party



access points **19** through which to receive mobile unit **30** communication data using other appropriate protocols that are dependant on the specific parameters suited to the particular software and hardware configuration of third party access points **19**.

5                   Access controller (AC) **16** is connected within wireless network **10** through a network connection such as Ethernet. Access controller **16** is in communication with the radio unit **18** by way of portal **14**. As shown in FIG. 3A, access controller **16** includes a memory **90**, host processor **95**, shared memory **91**, a plurality of network process units **93** and a plurality of network  
10 interfaces **94**. Shared memory **91** is a memory disk or other data storage device (e.g. RAM) that stores mobile unit session data and radio unit session data as will be described. It should be understood that the hardware configuration described above is just one example implementation of access controller **16** and that many other hardware configurations could be utilized.  
15 For example, it is not necessary to utilize separate network process units **93** and host processor **95**. Rather, a single processor could be used.

Each radio unit **18** is associated with a radio unit session, and this radio unit session is stored as radio unit session data in shared memory **91**. However, it should be understood that for availability purposes, the radio  
20 unit session could be stored using a RU\_REGISTRY service that keeps session info in OS memory **90** on the Host Processor **95**. Also, each mobile unit **30** is associated with a mobile unit session, and this mobile unit session is stored as mobile unit session data in shared memory **91**. Host processor **95** is used to provide local processing of mobile unit session data and radio unit  
25 session data as will be described. Network interfaces **94** are used to provide communication functionality between access controller **16** and radio unit **18** and access controller **16** and back-end network **50**. It should be understood that strong control and data path linkages are provided between access controller **16** and radio unit **18**.

30                   Conventional networks can include portals **14** which provide for communication between radio units **18**, back-end network **50** and access controller **16**. Portal **14** is implemented by a commercially available router

such as a Cisco 3725 Multiservice Access Router (manufactured by Cisco Systems of California). It should be understood that while it is not necessary for proper operation of the invention that portal **14** be present within wireless network **10**, however, the present invention is adapted to support any existing  
5 portals **14** within wireless network **10**.

Back-end network **50** can be either a hard-wired network, such as Ethernet or another configuration supported by the IEEE 802 LAN standards or a wireless network. Back-end network **50** connects to authentication server **22** and to access controller **16** either directly or through  
10 communication with portal **14**. It should be noted that the latter option is utilized if it is desired to authenticate user sessions. Authentication server **22** may be any of a number of standard authentication servers depending on the type of protocol adopted for use between access controller **16** and authentication server **22**. For example, if the Remote Authentication Dial In  
15 User Service (RADIUS) protocol is adopted for use within wireless network **10**, then a Steel Belted RADIUS server (manufactured by Funk Software) would be used. As another example, the LDAP protocol could be used with an associated LDAP compatible server. Authentication server **22** is used as part of the IEEE 802.1x standard to authenticate mobile unit **30** as it attempts to  
20 connect to the wireless network **10**. Authentication server **22** can also be used to authenticate a mobile unit **30** as part of a Captive Portal feature by capturing the mobile unit **30** information and then sending a message to the authentication server to get confirmation. As is conventionally known, a Captive Portal allows a common browser to be leveraged as a secure  
25 authentication device. A Captive Portal also allows for network security via SSL and IPSec and setup per user quality of service (QOS) rules, while still maintaining an open network.

Wireless network **10** can also include a VPN router **54** to provide communication between mobile unit **30** and a customer's Intranet **52** over  
30 back-end network **50** as shown. Specifically, VPN router **54** provides a secure connection between access controller **16** and a device on the Intranet **52** by providing a logical connection (i.e. a tunnel over a public network).

Each access controller **16** is adapted to receive communication data from each radio unit **18** during connection of mobile unit **30**. The communication protocol of the present invention encapsulates all packets destined or sent from each mobile unit **30**, provides control messages to support management of the mobile unit **30** connection, and provides management messages to support the discovery, configuration and management of a radio unit **18**. Based on the communication data transmitted to radio unit **18** during connection of the mobile unit **30**, access controller **16** is able to discover VNS factors for the mobile unit communication session and to establish a communication session such that the mobile unit is connected to the network on the basis of the characteristics defined by virtual networking services (VNS).

FIGS. 2A and 2B illustrate the basic hardware and software components of radio unit **18**. Host processor **65** and memory **60** are used to implement the software functionality of radio unit **18** as illustrated in FIG. 2B. Host processor **65** provides the execution space for the configuration and control aspects for the operation of radio unit **18**.

As discussed above, radio unit **18** communicates with access controller **16** and passes control information and user data. Radio unit **18** includes a memory **60**, a host processor **65**, MAC controller/Baseband module **62**, radio front-end electronics **67**, an Ethernet driver **64**, and a power module **66**. Radio unit **18** is designed to be a relatively simple and low cost device that provides RF functionality and generally the same functionality as is currently available in commercially available access points. Radio unit **18** also includes various other standard access point power components including a DC-DC converter and isolation components (not shown). It should be understood that the present communication method of the invention could be implemented using any other type of radio unit **18** (i.e. with a particular hardware architecture).

Memory **60** contains appplnitTask module **70**, SNMPd module **72**, dot1x **74**, ruPoll module **76**, ruDiscov module **77** and ruMgmt module **78**.

Specifically, applnitTask **70** is responsible for the initial configuration of the system upon reboot. SNMPd module **72** represents the interface to the management/configuration aspects of radio unit **18** and can overwrite aspects of the system configuration after reboot. Dot1x module **74**  
5 represents the security controller for user authentication using 802.x/EAP authentication mechanisms.

ruPoll module **76**, ruDiscov module **77**, ruMgmt module **78** are responsible for the control portions of managing the state and configuration of radio unit **18**. Specifically, ruDiscov module **77** is responsible for the discovery  
10 mechanism for the initial radio unit **18** registration with access controller **16**. The ruMgmt module **78** is responsible for the operational state of radio unit **18** once it is registered with an access controller **16**. Finally, ruPoll module **76** is responsible for the keepalive mechanism of the communications channel (CBT) between radio unit **18** and access controllers **16**. ruMgmt module **78** is  
15 the main component for control communications with access controller **16** (e.g. configuration, statistics, state monitoring, etc.).

Specifically, host processor **65** includes a CTP Decap module **80**, a CTP Encap module **82**, a MUX module **84**. CTP Encap **80** and CTP Decap module **82** are responsible for the encapsulation/decapsulation of  
20 mobile unit **30** data packets. MUX module **84** is responsible for arbitrating data flows between interface drivers (e.g. "2" for RF, 1 for Ethernet and stack applications). MUX module **84** takes data from the interfaces and passes the data to the stack or the correct interface as required.

Ethernet driver **64** is a conventional Ethernet interface (i.e.  
25 10/100 Ethernet with P.O.E.) and allows radio unit **18** to be connected to existing networks with wired Ethernet. WLAN drivers **86** are conventional WLAN drivers and allow radio unit **18** to be connected to a WLAN.

Following boot initialization by applnitTask module **70**, if radio unit **18** is not statically configured with IP address parameters, radio unit **18**  
30 negotiates its network representation address (IP address), supported through its IP stack, with an applicable external network host via standard

methods such as DHCP. Upon properly configuring its network access parameters (IP address) radio unit **18** then uses ruDiscov **77** along with Service Discovery Protocol (SLP) to discover an appropriate area service access controller **16**. It should be understood that the SLP is not always  
5 followed. For example, it is possible to configure radio unit **18** into its "branch office" mode that allows it to connect to a specified access controller **16**. Since the controller is pre-configured in this way, SLP discovery is not necessary. Also, it is contemplated that the DNS protocol can be utilized as an alternate discovery mechanism to SLP. Once radio unit **18** is informed of which access  
10 controller **16** it is to connect to for service provision, radio unit **18** engages in an active negotiation of authentication parameters with access controller **16** to establish a registered session.

The registration process validates the authentication of radio unit **18** and this process is actively tracked in the active AC Connection Table  
15 registry. Once radio unit **18** properly registers with access controller **18**, radio unit **18** then engages in the validation and exchange of configuration parameters through ruMgmt **78**. These configuration parameters are then interpreted and applied by SNMPd **72** which governs the operation of radio unit **18** and its network representation assignments (SSID). This negotiation  
20 may indicate to radio unit **18** that a software image upgrade may be necessary in order to actively be able to provide network services to mobile units **30** within the definitions of the access controller **16** operations.

The configuration procedure primarily affects the provision of RF services. The configuration procedure is also used to specify operational  
25 parameters associated with the wireless protocol driver's and hardware module operations. Once radio unit **18** finishes this configuration process, radio unit **18** then enables MAC controller/Baseband module **62** and radio front-end electronics **67** to operate under the specified configuration, which in turn is able to provide network services to a requesting mobile unit **16**. RF  
30 front-end electronics **67** support different RF technologies (e.g. 802.11bg (2.4 GHz), 802.11a (5GHz), etc.) Radio unit **18** also includes internal and optional

external antennas (not shown) that are coupled to RF front-end electronics **67** as conventionally known.

The message exchanges that support registration, configuration and data transport is performed using a CTP™ protocol developed by the inventors of the present invention and this protocol will be referred to in the following as the CTP protocol. As mobile units **30** associate with radio unit **18** via the MAC controller/Baseband module **62** and radio front-end electronics **67**, radio unit **18** actively communicates with access controller **16** utilizing the CTP protocol to provide the registration of mobile unit sessions and to exchange any data received or to be delivered to the mobile unit **30**.

FIGS. 3A and 3B illustrate the hardware and software components of access controller **16**. As discussed above, access controller **16** is where the higher layer packet processing and system management functions reside such as connection management, access security, policy enforcement, management and IP services (filtering, routing QOS, mobility, etc.) The software architecture described in FIG. 3B is implemented through the hardware implementation described in FIG. 3A. Again, it should be understood that the communication method of the present invention could be implemented using any type of commercially available hardware and software including various types of protocol specific drivers.

Access controller **16** includes memory module **90** associated with a host processor **95**, a shared memory module **91**, a plurality of network process units **93**, and a network interface module **94**. Host processor **95** and associated memory module **90** can be implemented using any commercially available high performance processing host with sufficient memory and processing speed (e.g. Pentium IV-based host).

FIG. 3B illustrates the specific software modules and their interrelationship within access server **16**. The software of access controller **16** is adapted to support the high level features of radio unit management (e.g. auto configuration, software loading, failover, statistics), mobile unit services (e.g. connection management, address assignment) and access security (e.g.

RADIUS security, Captive Portal features, 802.11i), policy features (e.g. packet filtering, QOS), mobility (inter and intra access controller), VNS (i.e. traffic steering, VLAN), and system management (i.e. SNMP, bootp, HTML, accounting and statistics) as will be further discussed. It should be understood  
5 that the specific software module configuration shown in FIG. 3B is only one example implementation of the software modules of access server 16 and that many other implementations are contemplated.

Host processor **95** includes a CTP service module **130**, a system manager **100**, a routing manager **102**, a security manager **104**, and a  
10 DHCP module **106** to keep track of IP addresses. Host processor **95** constitutes a management plane that provides high-level management functionality (i.e. management plane) to access controller **16** and is capable of interfacing with a Web server and other back end interfaces.

Shared memory module **91** includes data for routing and filtering  
15 data packets as well as for radio unit **18** and mobile unit **30** sessions. Specifically, shared memory module **91** includes packet buffers **108**, filter **110**, mobile unit parameter table **112**, mobile user statistics table **114**, radio unit parameter table **116**, and a radio unit statistics table **118**.

Network processor unit **93** includes redirection module **120**,  
20 policy manager **122**, filter manager **124**, forwarding manager **126**, session manager **128**. Network process unit **93** is functionally divisible into a control plane and a data plane which share access to shared memory module **91**. The control plane of network process unit **93** communicates with host processor **95** via a PCI bus or via an Ethernet link.

25 Data received at network interface module **94** is read into the packet buffer table **108** and is processed according to the rules mandated by the information in the session tables associated with shared memory **91**. These session tables provide the definition for registered devices in the mobile and radio unit parameter tables **112** and **116**. These definitions dictate  
30 the policy to be applied to registered devices, such as the filter definitions stored in filter definition table **110** that apply to such packets. Most of the

packets received at the network interface module **94** represent mobile unit **30** packets that can derive enough treatment information from the session tables stored in the stored memory **91** and be processed entirely within the network interface **94** realm. However, packets not directly related to the data flow of a registered mobile unit **30** are delivered for further processing to network processor unit **92**.

Specifically, CTP packets relevant to the control and management of registered devices are handled by CTP service module **130**, which processes the packets and interacts with the necessary application within session management module **128**, which in turn may interact with additional **124** or even with system manager **100** for configuration related activities. Additionally, packets originating from mobile unit **30** or from other network hosts connected to access controller **16** may be delivered to host processor **95** for processing if they pertain to network management and representation services (e.g. address requests handled by DHCP server **106** or routing updates handled by routing manager **102**). Mobile unit **30** packets that relates to user authentication may be processed by the redirector module **120** in cooperation with the security manager **104** which is responsible for the propagation of user authentication status change notifications.

Referring now to FIGS. 1, 4A, 4B and 4C, as discussed above, the present invention achieves effective segmentation of mobile units **30** by providing strong control and data path linkages between access controller **16** and radio units **18**. This allows various emerging wireless LAN services (e.g. security with 802.11i, QoS with 802.11e, etc.) to be applied to end-to-end mobile device communications.

Specifically, radio unit **18** and access controller **16** are in communication with each other within wireless network **10** at layer 3. This is achieved by encapsulating the necessary data in an IP (Internet protocol) packet with an IP header. This allows access controller **16** to be in a different physical location from radio unit **18**, while still allowing communication between access controller **16** and radio unit **18**. Through this encapsulation, data and control information can be exchanged between radio unit **18** and



access controller **16**. These IP packets with their IP headers are transferred on the network using UDP/IP, although it should be understood that any suitable protocol could be used.

FIGS. 4A and 4B illustrate the headers added to the data to form an IP packet used in communicating between radio unit **18** and access controller **16** and FIG. 4C illustrates how these headers are incorporated into the general CTP data packet. The data in the IP packet is treated as two separate layers, namely a radio unit layer having a RADIO UNIT HEADER **150**, and mobile unit layer having a MOBILE UNIT HEADER **151**. Once the IP packet reaches access controller **16**, the headers **150** and **151** are removed and radio unit layer and mobile unit layer messages are interpreted by access controller **16**.

FIG. 4A illustrates RADIO UNIT HEADER **150**. The radio unit layer is used to establish a communication session between radio unit **30** and access controller **16**. Once this session is established, this layer is then utilized as the transport for the mobile unit layer. RADIO UNIT HEADER **150** includes the header fields: Version, TYPE, SEQUENCE#, FLAGS, SESSION ID and LENGTH. The header field Version specifies the protocol version. The radio unit header field TYPE (note there is a mobile unit TYPE field which will be discussed later) identifies the type of radio unit message that is contained in the packet. The header field SEQUENCE# is used to determine the order for multi-packet transmissions that occur when an original packet is fragmented into several packets. The header field FLAGS provides notification of message flow related information (e.g. an indication that a particular message represents the last message in a sequenced set). For example, these fields are used in the situation where a packet is received from mobile unit **30** or from a host that sends a packet that is at the maximum packet size for Ethernet. Since the packet then needs to be encapsulated into the CTP packet and more data needs to be added to it, the maximum packet size supported by Ethernet would be exceeded. Accordingly, it is necessary to split the packet apart into fragments that will fit into the Ethernet packet. The

header field SESSION ID identifies the radio unit session to associate the message with. The header field LENGTH specifies the length of the payload.

FIG. 4B illustrates the MOBILE UNIT HEADER **151**. It should be noted that the first few fields of MOBILE UNIT HEADER **151** constitute the RADIO UNIT HEADER **150** discussed above. MOBILE UNIT HEADER **151** provides the means for mobile unit **30** to validate with access controller **16** and for the exchange of data between mobile unit **30** and access controller **16**. Once this data is encapsulated at radio unit **18**, the data is sent to access controller **16**. As shown in FIG. 4C, MOBILE UNIT HEADER **151** is carried within the Payload segment associated with RADIO UNIT HEADER **150**. The Version, SEQUENCE#, FLAGS, SESSION ID and LENGTH are part of the RADIO UNIT HEADER **150** that encapsulates the MOBILE UNIT HEADER **151**.

MOBILE UNIT HEADER **151** also including the following mobile unit related header fields: TYPE, QOS, SSID, MU MAC ADDRESS and RESERVED. The first header field TYPE (mobile unit TYPE field) indicates the subtypes of MOBILE UNIT\_DATA that indicates that this message is applicable to a particular mobile unit operation within radio unit **18**. Put another way, the TYPE field indicates the purpose of the message as applicable to mobile operation, and may refer to control operations or simply to carry mobile unit **30** data. The QOS field is the QoS identifier.

The header field SSID contains the SSID that mobile unit **30** is using to access radio unit **18**. Since mobile units **30** are mapped to a VNS, this field should be understood to represent their current association state to the representing VNS. The header field MU MAC ADDRESS field contains the MAC address of mobile unit **30** accessing wireless network **10** through radio unit **18** or MU MAC ADDRESS. The header field RESERVED is reserved for future use.

As shown in FIGS. 4A and 4B, both RADIO UNIT HEADER **150** and MOBILE UNIT HEADER **151** allow for two types of messages, namely, radio unit layer messages and mobile unit layer messages. The messages

used by the radio unit layer are specified in the header field TYPE field of the radio unit header **150** and include an authentication request message, an authentication confirmation message, a session data message, a set state message, a poll message, a halt message and a re-activate message.

5                   When wireless network **10** is configured for dynamic discovery, Service Location Protocol (SLP) is used by radio unit **18** to discover the location of access controller **16**. However, if wireless network **10** is configured for static configuration then SLP may be preempted. In the case where wireless network **10** is configured for static configuration, factory defaults will  
10 be implemented within radio unit **18** so that radio unit **18** can still rely on SLP discovery for initial connection to access controller **16**. However, once connected access controller **16** will configure radio unit **18** with static connection parameters such as IP address specification (whether DHCP is to be used or specific IP address configuration) as well as a list of IP addresses  
15 to which radio unit **18** should connect. From then on, when radio unit **18** reboots, radio unit **18** will connect to the specified access controller list, and will forego SLP discovery when attempting to register. That is, radio unit **18** will iterate through the IP addresses in the list until it finds one access controller **16** that it can connect to.

20                   Access controller **16** uses the SLP message to offer its services to radio unit **18**. The authentication request message is used by radio unit **18** to request registration of a radio unit session with access controller **16**. The authentication confirm message is used by access controller **16** to confirm the registration of the registration of a radio unit session for radio unit **18** in  
25 storage module **60** of access controller **16**. The session data message is used as the transport of mobile unit layer messages. When session data message is indicated in header field TYPE field of radio unit header **110**, the message body is not interpreted by radio unit layer. The set state message allows access controller **16** to alter the operation state of radio unit **18**. The poll  
30 message, allows access controller **16** to poll access controller **16** to re-activate radio unit **18**. The re-activate message is used by access controller

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**16** to re-activate radio unit **18** when it has been halted. The halt message allows access controller **16** to halt operation of radio unit **18**.

The messages used by the mobile unit layer are specified in the header field TYPE field of the mobile unit header **110** and are a subtype of radio units **18** CTP\_DATA message TYPE and carried as payload for CTP\_DATA as discussed above. In order for these mobile unit messages to be used, the MOBILE UNIT HEADER field TYPE (see FIG. 4B) must indicate a session data message. These messages include an associate request (Associate\_Req), an associate response (Associate\_Rsp), and a data transport (mu\_data) message indicator. It should be understood that within the CTP protocol, re-association operations are treated as normal associate requests (Associate\_Req). Host processor **65** then determines if the associate request is a fresh association or a re-association scenario. The mu\_data is utilized as the transport for mobile unit **30** related data exchanges. In particular, during the user authentication phase of session establishment, these messages encapsulate the applicable message sets for user authentication that are comprised of an EAP (Extensible Authentication Protocol) request (EAP\_Req), an EAP (Extensible Authentication Protocol) response (EAP\_Rsp), a user authentication (User\_Authentication\_Req), a user validation (User\_Validation\_Rsp). Following authentication, the mu\_data encapsulation then carries the user's data frames which provide network representation parameters such as a Dynamic Host Configuration Protocol (DHCP) request (DHCP\_Req), and DHCP response (DHCP\_Rsp); or general purpose data messages such as HTML requests (HTML\_Req).

FIG. 4C is a schematic diagram which shows the complete CTP data packet. It contains an 802.3 Ethernet RU/AC segment, an IP RU/AC segment, an UDP RU/AC segment, RADIO UNIT HEADER **150**, and a RU Payload segment. The RU Payload segment contains operational parameters for RU control messages and specifically contains CTP\_Data comprising MOBILE UNIT HEADER **151** and a MU Payload segment. The MU Payload segment contains optional parameters for MU control messages or MU Data

frame and specifically, CTP\_MU\_DATA which comprises an 802.3 Ethernet MU/AC segment and a MU IP data frame segment.

FIGS. 5A and 5B illustrate the protocol stacks associated with the mobile unit **30**, radio unit **18** and the access controller **16** of wireless network **10**. FIG. 5A illustrates the protocol stack that exists between mobile unit **30** and the end host that it wishes to communicate with. FIG. 5B is the protocol stack for communications between radio unit **18** and access controller **16**. As is conventionally known, there are three main protocol layers, namely the Medium Access Control layer (MAC), the Network layer and the Transport layer. The MAC layer controls which device is allowed to transmit a message and helps to avoid "collisions" of data packet transmissions. The Network layer handles routing between nodes that are not directly connected. The Transport layer provides end-to-end application layer conversation between network nodes.

Now referring to FIG. 5A, the end-to-end communication protocol stack **160** between mobile unit **30** and an end host through radio unit **18** and access controller **16** is illustrated. The mobile unit protocol stack **162** associated with mobile unit **30** consists of four layers, namely a MU Payload layer, a MU TCP MU layer, a MU IP layer and an IEEE 802.11 layer. The MU TCP MU layer manages the assembling of messages into smaller packets for transmission over the wireless network to radio unit **18**. The MU IP layer handles the address part of each data packet. It should be noted that MU Payload, MU TCP MU, and MU IP layers are not affected during the exchange of messages between radio unit **18** and access controller **16**. That is, to mobile unit **30** and the end host, radio unit **18** and access controller **16** appear to be transparent at the MU IP MU level and above.

Radio unit protocol stack **164** contains eight protocol layers but only utilizes four protocol layers to communicate with access controller **16**. Specifically, radio unit protocol stack **164** includes a MU payload layer, MU TCP MU layer, MU IP layer, MU 802.3 layer, CTP MU layer, RU UDP layer, RU IP layer, and an IEEE 802.3 layer representing the radio unit's wired parameters. MU TCP MU layer receives the packets from mobile device **30**

via the MAC controller/Baseband module **62** and radio front-end electronics **67** and reassembles them into the original message. Radio unit **18** provides conversion from IEEE 802.11 to IEEE 802.3 and provides CTP encapsulation of packets being sent to access controller **16** having the MOBILE UNIT  
5 HEADER **151**. Correspondingly, radio unit **18** provides conversion from IEEE 802.3 to IEEE 802.11 and CTP de-encapsulation of packets being received from access controller **16**. It should be understood that radio unit **18** never looks at the MU IP layer or those layers above (i.e. the top four layers are maintained intact through CTP encapsulation).

10 Access controller protocol stack **166** associated with access controller **16** contains all eight layers of the radio unit protocol stack **164** and provides the MU Payload layer, MU TCP MU layer, MU IP layer, and the IEEE 802.3 layer to back-end network **50**. Access controller **16** is responsible for removing the CTP header from MOBILE UNIT HEADER **151** and converting  
15 the 802.3 headers from mobile unit **30** into new 802.3 headers. Access controller **16** only uses MOBILE UNIT HEADER **151** to determine which interface to send the packet out on.

FIG. 5B illustrates the protocol stack **180** associated with the communication between radio unit **18** and access controller **16** and the  
20 RADIO UNIT HEADER **150**. The radio unit protocol stack **182** and the access controller protocol stack **184** both consist of five protocol layers, namely a Payload layer containing information associated with the Radio Unit Header TYPE, a CTP RU layer, a RU UDP layer, a RU IP layer and an IEEE 802.3 layer.

25 Referring now back to FIG. 1, access controller **16** assigns mobile unit **30** to virtual networking services (VNS) on the basis of data communicated between radio unit **18** and access controller **16**, which is in turn based on data received by radio unit **18** from mobile unit **30** as well as information that may be retrieved from authentication server **22**.  
30 Authentication server **22** during the registration process of mobile unit **30** may instruct access controller **16** to assign mobile unit **30** to a different VNS. When mobile unit **30** begins the connection process to wireless network **10** through

radio unit **18**, access controller **16** creates a mobile unit session for mobile unit **30** and stores it as mobile unit session data in mobile unit session table **72** in memory **60**. Specifically, during the connection process of mobile unit **30** to radio unit **18**, relevant data sent by mobile unit **30** to radio unit **18** is communicated by radio unit **18** to access controller **16**.

Access controller **16** then uses this data to discover a number of certain so-called "VNS factors" associated with the mobile unit session. These VNS factors are a set of variables that provide information about what is known about the mobile unit session at a specific point in time. The VNS factors include RADIO UNIT, Service Set Identifier (SSID), Ingress interface (PORT), security mechanism, USER ID, and the MAC address of the mobile unit **30** (MU MAC ADDRESS). The RADIO UNIT factor represents the specific radio unit **18** that mobile unit **30** is accessing. The SSID and Ingress interface (PORT) represents which group of radio units **18** that mobile unit **30** is accessing wireless network **10** through. The security mechanism represents the type of security protocol being utilized (e.g. no authentication, Captive Portal, or 802.1x, etc.).

The USERID factor may be initially provided by mobile unit **30** during a connection attempt. The way that USERID is entered is dependant on the particular security mechanism being utilized. For example, in the case of 802.1x, the USERID is passed from mobile unit **30** to radio unit **18** and then forwarded to the access controller **16** within an encapsulated EAP message. In this case, access controller **16** could have access to the USERID and does not require a response from authentication server **22**. However, USERID can also be discovered through a RADIUS response from authentication server **22**, which may also include other information which would allow for the assignment of virtual networking services (VNS).

The VNS factors are stored by access controller **16** in shared memory **91** in association with the mobile unit session and are used to determine various associated so-called "VNS parameters" that are to be assigned to mobile unit **30** while it is connected to wireless network **10**. These VNS parameters include: an authentication mechanism, an accounting

mechanism, IP address pools, an egress interface or virtual router, a quality of service (QoS), packet filters and a walled garden.

The authentication mechanism VNS parameter is the process by which mobile unit **30** will be authenticated on wireless network **10**. The accounting mechanism VNS parameter is how the activities of mobile unit **30** are kept track of while mobile unit **30** is accessing wireless network **10**. Specifically, the accounting mechanism VNS parameter keeps track of the amount of time spent on the wireless network **10**, the services accessed and amount of data transferred.

The Egress interface (or virtual router) VNS parameter is what identifies which specific interface or specific back-end network **50**, mobile unit **30** traffic will be forwarded to. Specifically, according to the present invention, it is possible to forward mobile unit **30** traffic out of a specific interface or onto a specific back-end network **50**. In the case where access controller **16** has connections to a number of independent (non-communicating) back-end networks **50**, it is possible to confine mobile unit **30** traffic to a selected back-end network **50**. This mechanism allows for mobile unit traffic to be restricted to an appropriate back-end network on the basis of the USERID of a mobile unit **30**.

The quality of service or QoS VNS parameter specifies the minimum level of data throughput that mobile unit **30** will transfer to and from wireless network **10** (i.e. to achieve a certain level of quality of service). The IP address pool VNS parameter is a pool of IP addresses from which an IP address can be assigned to mobile unit **30**. The packet filter VNS parameter specifies a desired pattern for the data packets transferred from mobile unit **30** to wireless network **10** or from wireless network **10** to mobile unit **30** that all data packets must match to be passed through the filter. The walled garden VNS parameter controls what information (e.g. Web sites) a mobile unit **30** is able to access on the Internet over wireless network **10**. One walled garden VNS parameter setting could be used to screen out unwanted material or to direct mobile unit **30** to sites endorsed by owners of wireless network **10**, and another walled garden VNS parameter setting could be used to prevent



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mobile units **30** with limited capacity to display content (e.g. cell phones or PDAs), to access only those sites that mobile unit **30** is physically able to display. An example of this mechanism would be allowing cell phones to visit only sites encoded in WAP. Another example is in the case of a phone service  
5 deployment would be where access to the phone gateway is secured (i.e. locked down).

Each of these VNS parameters are divided into one of three VNS categories or groups, namely a network assignment category, a general policies category and a location and time based category. Accordingly, each  
10 of these VNS categories is a group of VNS parameters that are applied to a particular mobile unit session. Within each VNS group category variants are possible based on the influence of the different VNS factors at issue. That is, for a particular VNS parameter associated with a VNS category, the specific representation of the VNS category may be affected by related conditions  
15 (e.g. the type of security mechanism may affect the end value of the network assignment).

The network assignment VNS category is a group of VNS parameters that define the network that the mobile unit session will be associated with. The network assignment VNS category includes the IP  
20 address pool and Egress Interface/Virtual Router VNS parameters. Once the network assignment parameters are defined, they remain for the duration of the session and cannot be changed without creating a new mobile unit session unless the authentication method involves EAP/802.1x authentication with the aid of an authentication service. In such a case, authentication server  
25 **22** may instruct access controller **16** to assign mobile unit **30** to a different VNS.

As discussed above, in the case of network assignment, the network assignment group may define the IP address pool and the Egress interface based on the type of security mechanism VNS factor. Accordingly,  
30 mobile units **30** with 802.1x would have a network assignment that represents the corporate network whereas mobile units **30** without 802.1x would have a network assignment that represents the Internet.

The general policy VNS category is a group of VNS parameters which define the set of policies that will be applied to a mobile unit session. The general policy VNS category includes the accounting mechanism, the quality of service (QOS), packet filters and walled garden (if applicable) VNS parameters. As is the case for network assignment, once these policies are defined they remain for the duration of the session and cannot be changed without creating a new mobile unit session. The general policy parameters are essentially the default parameters that are associated to a VNS upon the connection of mobile unit **30** connecting to wireless network **10**.

The location and time-based VNS category is a group of VNS parameters that define a set of policies that will be applied to a session based on the location of its current connection or the current time. The location and time-based VNS category includes the accounting mechanism, the QoS and packet filters VNS parameters. These location and time-based VNS parameters overlap some of the general policy parameters and can either override the general policy parameters where there are conflicts or add to the general policies. The location and time-based parameters are the only category of the three that can be altered after mobile unit **30** has completed the connection process to wireless network **10** (i.e. as mobile unit **30** moves from location to location or based on time of day).

FIG. 6A, 6B and 6C illustrate communication between radio unit **18** and access controller **16** for the events discussed above.

FIG. 6A shows the VNS factors communicated to access controller **16** from radio unit **18** for event t1. As detailed above, access controller **16** is already aware of several VNS factors relating to radio unit **18** when mobile unit **30** begins connection process. These VNS factors are, RU MAC ADDRESS, RU IP ADDRESS, SSID and RADIO UNIT SESSION IDENTIFIER (which provides reference to the RADIO Unit's UNIQUE IDENTIFIER). RU BIND KEY is only used during radio unit **18**'s registration process as a method to obtain an access controller **16** registered SESSION ID. From then on, the SESSION ID determines the radio unit **18** identification

from local memory storage using the RU BIND KEY or any other identifier including IP and Serial number.

For event t1, radio unit **18** communicates to access controller **16**, the RADIO UNIT SESSION ID and RU IP ADDRESS VNS factors and mobile unit data from the association request, which includes MU MAC ADDRESS. The SESSION ID and MU IP ADDRESS are used by access controller **16** to determine which VNS factors to associate with mobile unit session. Specifically, when radio unit **18** goes through the registration process with access controller **18**, radio unit **18** uses the BIND KEY to prove authentication after which it is assigned a SESSION ID. The SESSION ID is then used from then on to indicate which radio unit **18** the data packet was received from.

FIG. 6B illustrates the communication between radio unit **18** and access controller **16** for event t2. As shown in FIG. 8B, access controller **16** has already discovered the RU IP ADDRESS, the SSID, RU SESSION ID, RU LOCATION, RU BIND KEY and MU MAC ADDRESS VNS factors for the mobile unit session. Factoring in RU LOCATION into VNS decisions can be beneficial as it can allow for different behaviour or even traffic characteristics and shaping profile depending of where the providing radio unit **18** (i.e. and accordingly the mobile unit **30**) actually geographically is located. Radio unit **18** communicates to access controller **16** the RU SESSION ID, RU VNS Assigned ID, RU IP ADDRESS, and mobile unit variables learned from the EAP request (**225**), including MU MAC ADDRESS and mobile unit security mechanism. It should be noted that the SESSION ID is what is actually exchanged in data messages. From SESSION ID we can determine any other factor and accordingly this VNS factor provides all the indexing and identification needed. The only message that uses the BIND KEY is the RU\_REGISTER\_REQUEST that uses it to establish the validation means for the creation of a RU session (identified by RU SESSION ID). RU SESSION ID, RU IP ADDRESS and MU MAC ADDRESS, which are shown being communicated between radio unit **18** and access controller **16** in FIG. 8B, are used to determine which mobile unit session the new VNS factors belong to.

FIG. 6C illustrates the communication between radio unit **18** and access controller **16** for event t3 or event t4. As shown in FIG. 8C, access controller **16** has already discovered a number of VNS factors and associated them with mobile unit session data. These VNS factors include:

5 RU MAC ADDRESS, RU IP ADDRESS, the SSID, RU SESSION ID, RU LOCATION, RU BIND KEY, MU MAC ADDRESS and USER ID. The information communicated to access controller **16** from radio unit **18** is RU SESSION ID, RU IP ADDRESS and mobile unit **30** data. The mobile unit **30** data includes the MU MAC ADDRESS and mobile unit **30** USER ID.

10 FIG. 7 illustrates an example wireless LAN **190** having a two-tier multiple access controllers network topology. Specifically, mobile units **30A** and **30B** connect to network **50** to establish a wireless connection through radio units **18A**, **18B**, **18C** or **18D**. Access controllers **16A** and **16B** communicate with radio units **18A**, **18B**, **18C** or **18D** and control operation of

15 radio units **18A**, **18B**, **18C** or **18D**. Access controllers **16A** and **16B** provide mobile unit session management including the termination of wireless sessions and mobility. Access controllers **16A** and **16B** also provide network access, network services (e.g. IP filtering, Network Address Translating (NAT), Quality of Service (QoS), and routing), security and controls data

20 transmission to the wired network as well as providing a management interface to the entire system (i.e. radio units **18A**, **18B**, **18C** or **18D** and access controllers **16A** and **16B**). As detailed above, the CTP protocol is used to exchange control messages and mobile unit **30A** and **30B** data between a radio unit **18A**, **18B**, **18C** or **18D** and an access controller **16A** or **16B**.

25 Wireless LAN **10** provides CTP data tunneling between access controllers **16A** and **16B** to provide roaming and availability services to mobile units **30A** and **30B**. This is accomplished by establishing and maintaining CTP tunnels within wireless LAN **10** using the concept of a virtual network (VN) based on a virtual network manager (VN manager) **202** and virtual

30 network agents (VN agents) **204**. CTP data tunnels are established in a mesh-pattern between all entities of the virtual network. VN managers **202** and VN agents **204** allow access controllers **16A** and **16B** to exchange

session information to allow mobile units **30A** and **30B** to roam between radio units **18A**, **18B**, **18C** and **18D** connected to different access controllers **16A** and **16B**. VN manager **202** and VN agents **204** are implemented as software modules within access controllers **16** and allow multiple access controllers **16** to exchange session information. VN manager **202** also oversees the state of VN level CTP data tunnels.

A VN agent **204** exists on every access controller **16** and updates the VN manager with session updates, as will be described. A VN manager **202** is associated with one of the access controllers **16** in the virtual network group (VN group). Accordingly, if mobile unit **30B** roams from access controller **16A** to access controller **16B**, the data traffic associated with mobile unit **30B** will be tunneled through access controller **16A**, as the access controller that mobile unit **30B** originally connected to. The "first connected-to" access controller **16A** is called the HOME access controller.

Each access controller **16A** and **16B** is managed as an independent node and configured separately. The VN manager **202** and VN agent **204** together allow access controllers **16A** and **16B** to exchange access controller information and mobile unit session information to facilitate mobile unit roaming. All VN agents **204** maintain a TCP/IP connection to the VN manager. The VN manager **202** distributes access controller and mobile unit session updates to the VN agents **204** on an administrator configurable heartbeat interval. When the VN agents **204** receive a heartbeat they will then respond with updates to the VN manager. The protocol that facilitates these exchanges is called the VN control protocol.

Each access controller **16** maintains a CTP Data Tunnel connection to every other access controller **16** in the VN. Which means, if there are  $n$  access controllers **16** in the VN, there will be  $n(n-1)/2$  connections between access controllers **16**. The CTP data tunnel connection extends the radio unit **18** to access controller protocol to support the tunneling of mobile unit data between access controllers **16**. When a mobile unit **30** first connects to a VN (i.e. group of access controllers **16** associated with a particular VN), it is given an IP address on the access controller **16** it connects to (i.e. its

HOME access controller (i.e. access controller **16A** of FIG. 7)). As the mobile unit **30B** roams from access controller **16A** to access controller **16B**, the network traffic associated with mobile unit **30B** continues to be transmitted through its HOME access controller (i.e. access controller **16A** of FIG. 7).

5                   FIG. 8 illustrates an example Virtual Network (VN) **200** where an established VN manager **202** is responsible for the collection and propagation of state information to all members of VN **200**. VN manager **202** operates by providing periodic notifications of state changes to the members of VN **200**. Specifically, VN manager **202** synchronizes all session management across  
10 multiple access controllers **16A**, **16B** and **16C**. VN manager **202** is configured to reside on one access controller (i.e. **16C**) in the network. VN manager **202** propagates the list of access controllers **16A**, **16B** and **16C** registered to the VN **200** as well as the list of all mobile units **30** registered within these access controllers **16A**, **16B**, and **16C**. Each access controller **16** associated with the  
15 VN caches the information locally. Upon initialization, the VN agent **204** in each access controller **16** in VN **200** will attempt to establish a connection with the active VN manager **202**. Once registered, the VN manager **202** will obtain a registration ID (i.e. AC\_ID) and realize that a new member has joined the VN **200**. This access controller information will be propagated by the VN  
20 manager **202** on the next heart-beat notification to all VN agents **204**.

Correspondingly, as access controllers **16A**, **16B** and **16C** start accepting the registration of mobile unit **30** devices, VN agents **204** within these access controllers **16A**, **16B**, **16C** provide a consolidated report to the VN manager **202** of the modifications mobile unit state changes, which the VN  
25 manager **202** then uses to provide a consolidated view of all mobile unit states to every VN agent **204**. These reports provide primarily the registration information for established mobile unit **30** sessions, such as HOME access controller, IP Address and other session specific information (e.g. security keys). This information is then utilized by members of VN **200** to be able to  
30 properly and expediently establish local copies of a mobile unit's **30** session, and to properly forward related data to the mobile unit's point-of-presence in the network (i.e. HOME access controller). Also, the VN membership list is

utilized to establish new CTP data tunnels to new VN mobile units **30**. CTP data tunnels are adapted to emulate a regular radio unit **18**. Accordingly, each of the access controllers **16A**, **16B** and **16C**, see the CTP data tunnel as another (although special) radio unit **18**.

5 VN agents **204** are configured to reside on each access controller **16A**, **16B**, and **16C** in VN **200**. VN manager **202** distributes access controller configuration information to VN agents **204** on a VN agent connection. VN agent **204** uses the access controller configuration information to establish CTP Data Tunnels between the access controller it resides on  
10 and other access controllers in VN **200**. The Service Location Protocol (SLP) is used to allow VN agents **204** to discover the VN manager **202**. VN manager **202** and VN agents **204** use DHCP option **78** and **79** to connect to an SLP directory agent. VN manager **202** registers itself as a service to a directory agent **406**. In the event that DHCP option **78** returns multiple directory agents  
15 **406** to support availability, VN manager **202** will register with each in succession. VN agents **204** perform a query on directory agent **206** to find the IP address of the VN manager **202**.

VN agent **204** and VN manager **202** use a TCP/IP connection to exchange access controller configuration information and mobile unit session  
20 information. VN manager **202** distributes updates to the VN agents **204** on a heartbeat. After each heartbeat, the VN agent **204** sends updates to the VN manager **202** in response. It should be understood that preferably VN agent **204** and VN manager **202** are a single application that can operate in either one of two modes. While there will only be a single, statically configured, VN  
25 manager **202** in the initial implementation of multiple access controller functionality. It is contemplated that a VN manager **202** could be dynamically assigned using an electoral process to provide redundancy.

FIG. 9A illustrates a finite state machine representation **210** of VN manager **202**. The purpose of the VN manager **202** is to distribute mobile  
30 unit session information and access controller information amongst the access controllers **16** in the VN. VN manager **202** uses Service Location Protocol (SLP) to advertise its services to the VN. As shown in FIG. 9A, VN

manager **202** service starts in the "IDLE" state when access controller **16** starts-up. As indicated, the VN Agent Table is first initialized and then a VN MU Session Table is initialized. At state transition **212**, VN manager **202** registers with the SLP directory agent **206**. Once VN manager **202** has  
5 registered with directory agent **206**, the VN manager **202** begins to listen on a TCP/IP socket for VN agent connections and enters the "ACTIVE" state as shown.

When VN agent **204** first establishes a connection with the VN manager **202**, it will query the VN manager **202** to retrieve the entire contents  
10 of the VN Agent Table and the VN MU Session Table. When VN agent **204** either closes its connection to the VN manager **202** or VN manager **202** does not receive an update or keep alive message from the VN agent **204**, VN manager **202** will update the VN Agent Table by changing the status for the VN agent **204**. During normal operation, to support the distribution of MU  
15 session information, VN manager **202** distributes only changes (i.e. deltas) to the VN Agent Table and the VN MU Session Table to every VN agent **204** on a heartbeat. The interval of the heartbeat is configurable in seconds (typically 3 to 5 seconds). As a response to this heartbeat each VN agent **204** distributes changes to its VN MU Session Table since the last update to the  
20 VN manager **202**.

At set-up, the wireless LAN **10** network administrator must select which access controller **16** will be enabled as the VN manager **202** and will have the ability to configure two separate interface parameters. One parameter represents at which port to allow VN manager **202** and VN agent  
25 **204** communications (i.e. using the VN control protocol discussed above), and another parameter represents at which port to allow CTP Data Tunneling. However, it should be understood that the data and control connections may also be established over the same interface. In order to prevent a VN agent **204** from communicating with VN manager **202**, VN agent **204** needs to be  
30 disabled (i.e. set to NONE mode) on the associated VN agent access controller management page. In addition, to disable the VN manager **202**, VN manager **202** also needs to be set to NONE mode.



FIG. 9B illustrates a finite state machine representation **220** of VN agent **204**. The purpose of the VN agent **204** is to communicate with the VN manager **202** to obtain the list of active access controllers **16** in the VN and to maintain the list of mobile unit sessions in the VN. For each access controller **16**, the local VN agent **204** operates as an MU registry that can be queried by the system to determine whether a registering device is already known to the VN. The VN agent **204** starts in the "IDLE" state when access controller **16** starts-up. The VN and the MU Tables are initialized, and the SLP directory agent **206** is queried for the location of the VN manager **202**. VN agent **204** then at state transition **222**, connects to VN Manager, enters "ACTIVE" state and listens for status changes to other access controllers in the network as well as session updates from the VN manager **202**.

When VN agent **204** first connects to the VN manager **202**, it queries the VN manager **202** for a list of other access controllers **16** in the VN. VN agent **204** and VN manager **202** maintains a list of active access controllers **16** in the VN so that it can manage CTP Data Tunnels between itself and all the other access controllers **16**. When a new access controller **16** joins the VN, VN agent **204** retrieves the contents of the VN Agent Table from the VN manager **202** and establishes CTP Data Tunnel connections to all the access controllers **16** in the VN. When VN agent **204** receives an agent table update from VN manager **202**, VN agent **204** updates its VN Agent Table and updates the CTP Data Tunnel state information appropriately.

VN agent **204** sends MU session updates to the VN manager **202** when connected mobile units roam from access controller to access controller (or possibly from radio unit to radio unit). The session updates are stored in the MU Session Table. The VN agent **204** then listens for an update from the VN manager **202** on a heartbeat and updates its Table with any MU Session Table changes. If the VN agent **204** does not receive a heartbeat from its VN manager **202** after a configurable amount of time, VN agent **204** will immediately attempt to re-connect to the VN manager **202** by querying the SLP Directory Agent. If the VN manager **202** is unavailable, the VN agent **204** will continue to repeat this process.

VN agent **204** uses Service Location Protocol (SLP) to find the location of VN manager **202**. VN agent **204** enters an "ACTIVE" state and connects to the VN manager **202** using a VN control protocol (a TCP/IP connection protocol). The network administrator configures the associated access controller **16** to be a VN agent **204** (i.e. to indicate that access controller **16** is part of a VN that supports roaming) and can configure two separate interface parameters. One parameter represents which port to support the VN Control protocol, and the other parameter represents which port to support CTP Data Tunneling.

An access controller **16** can be configured to be a VN agent **204** while operational, without requiring access controller **16** to be shutdown or restarted. The network administrator has the ability to disable the VN agent **204** by de-selecting the host access controller as a VN agent **204**. The network administrator can deduce the entries in the VN Agent Table by viewing the tunnel status tables or MU session tables.

Each VN message will have a header and a data field. An example VN message header is:

#### Example VN Header

Element	# of bytes	Description
Version	1	Protocol version
Type	1	Identifies the message type: <ul style="list-style-type: none"> <li>○ CONNECT 1</li> <li>○ CONN_ESTABLISHED 2</li> <li>○ REQUEST 3</li> <li>○ RESPONSE 4</li> <li>○ UPDATE 5</li> <li>○ HEARTBEAT 6</li> <li>○ DISCONNECT 7</li> </ul>
Sequence Number	1	Sequence number for multi-message transmissions
Flags/Reserved	1	This bitmask represents operational constraints on the packet flow: TBD
Payload Length	2	Length of Payload (header not included)
Error Code	1	Describing error type
Seq Num	1	Sequence number

Flag	1	Flag
HB Interval	1	Interval for HB
Desc IP	1	Desc IP
Src IP	1	Src IP

Referring back to FIG. 7, there are two tables that support mobile unit **30** roaming between access controllers **16**. The VN Agent Table maintains a list of access controllers **16** connected to the VN manager **202** and their operational state. The VN MU Session Table provides information on mobile unit **30** sessions throughout the VN.

The VN Agent Table maintains a list of access controllers **16** connected in the VN. The Table is updated as VN agents **204** connect, disconnect, and reply to heartbeats, from the VN manager **202**. The Table includes the following elements: VN IP Address, CTP IP Address, and Descriptor. State (ACTIVE, UNKNOWN, CLOSED) and Tunnel State (connected, disconnected) information are kept in separate internal tables on the VN manager **202**. The VN IP address is the port that the VN control protocol communicates on. The CTP IP Address is the port that the CTP Data Tunnel is set to. It should again be understood that the same port can be used for both control and data functions.

The Descriptor could be a fully-qualified domain name or a descriptor for the access controller **16**. The state is the state of the VN agent **204** from the associated access controller **16** to the VN manager **202**. If VN agent **204** has replied to the VN manager **202** heartbeat, the state of VN agent **204** will be broadcasted as "ACTIVE". If VN agent **204** fails to respond to a heartbeat, its state will be set to "UNKNOWN". If VN agent **204** closes its connection to the heartbeat during the last period, its state will be updated to "CLOSED". Once the state has been "CLOSED", access controller **16** will terminate its CTP Data Tunneling connection. It should be noted that if an access controller **16** is shutdown or has its associated VN agent **204** disabled (i.e. "CLOSED") then the entry is removed from VN Agent Table (as part of a routine VN manager **202** clean-up operation). However, if the state of VN agent **204** fails to reply (i.e. "UNKNOWN") after a predetermined period of

time elapses (i.e. to allow a chance for VN agent **204** to reply), the entry is removed from the VN Agent Table.

An example VN Table element is as follows:

#### Example VN Table Element

5

Sub Field	Size	Description
IP address	4 bytes	port that the tunnel is set to
Descriptor		Fully qualified domain name or descriptor for the access controller
AC State		ACTIVE, UNKNOWN, CLOSED
Tunnel State		Connected, disconnected

The VN MU Session Table maintains the state of mobile units **30** in the network. The Tunnel State is a state that is maintained locally by the VN agent **202** to store the state of the CTP Data Tunnel connection. The VN MU Session Table is used by the control and data planes for the access controller **16** to set up mobile unit **30** connections and to track mobile units **30** as they move from access controller to access controller. The mobile unit table consists of the following fields: MU MAC, MU IP Address, Pairwise Master Key, Home AC (as previously described), and Current AC.

The MU MAC and MU IP Address give the address of the device on the network. The Pairwise master key is used to allow an MU to roam from access controller to access controller without having to go through 802.1x authentication. The Home AC IP Address is the IP address of the access controller **16** where the mobile unit's **30** IP Session resides, this is the IP address of the port supporting CTP data tunneling. The Current AC IP Address is the IP address of CURRENT access controller to which the mobile unit **30** is connected to (i.e. the "active" access controller). The Current AC IP Address value of the Table changes throughout an MU session (also the MU IP address may change). The Current AC IP Address value is updated as the mobile unit **30** moves from one access controller **16** to another in a VN. It

should be understood that the CURRENT access controller would be considered to be the FOREIGN access controller (i.e. access controller **16B** in FIG. 7) from the HOME access controller's (i.e. access controller **16A**) perspective (i.e. when the CURRENT access controller is not the HOME access controller). It is contemplated that the VN MU Session Table will be extended to provide VNS and SSID assignment/membership of a mobile unit **30**.

An example VN MU Session Table element is as follows:

**Example VN MU Session Table Element**

Sub Field	Size	Description
MU MAC	6 bytes	The physical MAC address of the MU
MU IP Address	4 bytes	
Pairwise Master Key	4 bytes	For 802.1x authentication
Home AC IP Address	4 bytes	
Current AC IP Address	4 bytes	
Action	1 byte	ADD/UPDATE 1 WITHDRAW 2

10

Referring now to FIGS. 7 and 10, wireless LAN **10** conducts session management across multiple access controllers **16A** and **16B** within a single VN by allowing mobile unit **30B** to connect to different access controllers **16A** and **16B** in the VN while preserving the Layer 3 (IP) connection of mobile unit **30B** to network **50** without the need for installation of any proprietary client software. Each access controller **16A** and **16B** within a VN is configured with at least one VN subnet. The configuration includes DHCP, routing, authentication, privacy, and filter profiles, as is normally associated with a normal access controller. When mobile unit **30B** establishes a session for the first time, access controller **16A** will be configured as a host on an IP subnet. Access controller **16** updates its VN MU Session Table to indicate that it is the HOME access controller **16** for mobile unit **30B**.

20

When mobile unit **30** roams to a radio unit **18D** that is associated with another access controller **16B** as shown in FIGS. 7 and 10,

access controller **16B** checks its MU Session Table to determine whether the mobile unit **30** session already exists. If it finds an active session for mobile unit **30**, the foreign access controller **16B** relays to the HOME access controller (i.e. access controller **16A**) the registration characteristics of the associating mobile unit **30**. The HOME access controller (i.e. access controller **16A**) then determines if the mobility characteristics for mobile unit **30** are correct (e.g. SSID and VNS membership) and informs the new FOREIGN access controller (i.e. access controller **16B**) to allow the mobile unit **30** to register. On the FOREIGN access controller (i.e. access controller **16B**), the session is known as a "virtual session" which has the virtue of having both physical characteristics in terms of association with a real radio unit **18** on the FOREIGN access controller (i.e. access controller **16B**) and a virtual portion to deal with the interaction with the HOME access controller (i.e. access controller **16A**) and the CTP data tunnel.

The CTP data tunneling protocol assists in the clean up of mobile unit **30** sessions on foreign access controllers (e.g. like access controller **16B**). As part of the CTP protocol, when a mobile unit **30** that was associated with a FOREIGN access controller (e.g. **16B**) roams to associate with a different foreign access controller (not shown), the HOME access controller (e.g. **16A**) directly informs the original FOREIGN access controller (e.g. **16B**) that mobile unit **30** should be removed from its local MU Session Table using the CTP protocol MU disconnect message.

The HOME access controller (i.e. access controller **16A**) is responsible for managing the "linger time" of the mobile unit **30** session. The "linger time" is configured as a VNS parameter and represents the time that HOME access controller (i.e. access controller **16A**) monitors for traffic from the mobile unit **30** before removing the mobile unit **30** session from the VN MU Session Table. If the mobile unit **30B**'s CURRENT access controller is not the HOME access controller (e.g. where CURRENT access controller (i.e. access controller **16B**) is not the HOME access controller (i.e. access controller **16A**)), then HOME access controller (i.e. access controller **16A**) informs the CURRENT access controller (i.e. access controller **16B**) through

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the CTP protocol to remove the session for the mobile unit **30** from the local MU Session Table. Whenever possible, all protocols relating to layer 3 will be handled by the HOME access controller (i.e. access controller **16A**). That is, any ARP requests from a roaming mobile unit **30B** will be relayed back to the  
5 HOME access controller (i.e. access controller **16A**). DHCP requests including authentication type messages for 802.1x/EAP mobile unit authentication are handled at the HOME access controller (i.e. access controller **16A**) (by default).

Reporting on mobile unit **30** sessions is handled at the HOME  
10 access controller **16**. The HOME access controller maintains all IP session information including the Call Detail Record's and traffic metrics (bytes in/bytes out/packets in/packets out). However, any layer 2 information or real-time information on the location of mobile unit **30** (for example, the radio unit **18** connection and any wireless parameters) are stored at the current access  
15 controller **16B**.

FIGS. 7 and 10 illustrate Inter-AC roaming which takes place when a mobile unit **30** roams, from its current radio unit to a radio unit connected to a different access controller **16**. When a device (new to the registering or foreign access controller **16**) registers, the MU manager queries  
20 the local VN agent **204** to determine if a record for this device already exists in the domain. This record will principally indicate the AC\_ID of the HOME access controller **16** for the registering device, at which point the MU-Manager can then interface with its RU\_Manager in the parameters to obtain the VRU (CTP data tunnel link) to be used to interface with the HOME access  
25 controller **16**. The FOREIGN access controller **16** (the one with which the device is currently trying to associate) creates an MU session for the device, but proxies the association request to the HOME access controller **16**. The purpose of the proxy activity allows the HOME access controller **16** to validate the association request according to its own policy rules. The proxy activity  
30 also allows the HOME access controller **16** to be notified that the mobile unit **30** has roamed outside its own radio unit controlled boundary. This is important since access controller **16** can now instruct the original radio unit **18**

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to delete its current MU session. Also, the HOME access controller **16** will now associate the registering device with the indicated VRU.

It should be understood that although this description explicitly differentiates a physical radio unit from a virtual radio unit (VRU) which represents data tunnel link, in reality a VRU is handled like a physical radio unit **18**. As such, to the HOME access controller **16**, having the device roam to a VRU requires no different handling than the typical Intra-AC roaming scenario, in that the access controller **16** simply updates the device-to-RU association parameters. The HOME access controller will then provide an MU\_ASSOCIATION\_RESP (just as it would for a device associating on a real RU – Remember, in its processing the access controller **16** does not really differentiate the two types of RUs) that will be picked up by the foreign MU\_Manager. If the HOME access controller **16** rejected the association then the MU session is terminated on the FOREIGN access controller **16**. The corresponding result of the association validation is then proxied back to the physical radio unit **18** device.

### Captive Portal Authentication

FIG. 11 illustrates an example network **230** that utilizes a network assignment and authentication process for Captive Portal in accordance with the present invention. The connection process begins with mobile unit **30B** associating with radio unit **18A** and radio unit **18A** forwarding an association message to access controller **16A** (at "1"). Access controller **16A** checks its MU Session Table (at "2") to find whether mobile unit **30B** has roamed from another access controller **16**. If a MU session cannot be located, access controller **16A** creates a new un-authenticated MU session and stores it MU Session Table. Mobile unit **30B** issues a DHCP request to access controller **16A** (at "3") and access controller **16A** responds with an IP address and other network configuration information.

At this point, the VN MU Session Table is updated (at "4") to reflect the new session for mobile unit **30B** that will update the VN manager



**202** on the next heartbeat. Mobile unit **30B** (at "5" in FIG. 11) has the ability to roam at this point even though the mobile unit **30B** session is not authenticated. If a Walled Garden has been set up mobile unit **30B** would be allowed access to this. Once mobile unit **30B** issues an HTTP request for a website that is outside the Walled Garden, the HOME access controller (i.e. access controller **16A**) redirects mobile unit **30B** (at "6" in FIG. 11) to a login page. Mobile unit **30B** authenticates with access controller **16A** at the login page (at "7" FIG. 11), preferably using Captive Portal and RADIUS.

### **802.1x or WPA Authentication**

FIG. 12 illustrates an example network **240** that authenticates a mobile unit connection sequence using 802.1x or WPA authentication. In this case, network assignment is conducted after 802.1x authentication. If access controller **16B** is configured to use WPA, the VN agent **204** sends the PMK to the VN manager **202** as part of the session update information. The mobile unit connection starts with mobile unit **30A** associating with radio unit **18A** and radio unit **18A** forwarding an association message and authentication type messages (802.1x/EAP) to access controller **16A** (at "1" FIG. 11). Access controller **16A** checks the local MU Session Table to find whether mobile unit **30B** has roamed from another access controller **16** (at "2" FIG. 11).

If access controller **16A** cannot find a MU session, it creates a new un-authenticated session. Access controller **16A** initiates an EAP sequence and uses RADIUS to authenticate mobile unit **30B** (at "3" FIG. 11). If authentication is successful, access controller **16A** sends an EAP\_Success message and negotiates session keys with mobile unit **30B** using the EAPoL four-way handshake (at "4" FIG. 11). The mobile unit **30A** then issues a DHCP request and is assigned an IP address. The VN MU Session Table is then updated to reflect the new session for mobile unit **30A** (at "5") that will update VN manager **202** on the next heartbeat. Finally, (at "6"), the VN manager **202** updates the new MU session information on the next heartbeat.

30

### **Roaming from AC to AC with 802.1x or WPA**

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FIG. 13 illustrates an example network **250** that authenticates a mobile unit **30B** connection sequence using 802.1x or WPA when mobile unit **30B** roams from access controller **16A** to access controller **16B**. Access controller **16A** uses the MU Session Table to streamline the connection sequence as shown in FIG. 12. When mobile unit **30B** connects, access controller **16A** checks its MU Session Table to see if mobile unit **30A** is listed as having a valid session for the network. If mobile unit **30A** does have a valid session, access controller **16A** creates an authenticated session and allows mobile unit **30A** to communicate on the network. Access controller **16A** tunnels all data traffic from mobile unit **30A** to HOME access controller (i.e. access controller **16B**), for filtering and forwarding.

The connection sequence begins (at "1") with mobile unit **30B** associating with radio unit **18A** and radio unit **18A** forwarding an association message to access controller **16A**. Access controller **16A** then (at "2") checks its MU Session Table to find whether mobile unit **30B** has roamed from another access controller. If a MU session is located, access controller **16A** creates a new local authenticated session and forwards the association request on to the HOME access controller (i.e. access controller **16B**). In this way, the HOME access controller (i.e. access controller **16B**) learns as soon as possible about the fact that an mobile unit **30** has moved to a new access controller **16** so traffic arriving at the HOME access controller (i.e. access controller **16B**) can be forwarded to the CURRENT access controller (i.e. access controller **16A**). When packets are arriving at the CURRENT access controller before mobile unit **30** is actually through their authentication, the radio unit **18B** will drop the data packets since radio unit **18B** will not receive a response from mobile unit **30B** (since mobile unit **30B** has moved).

In the case of 802.1x or WPA (at "3"), the HOME access controller (i.e. access controller **16B**) always replies to authentication messages. The CURRENT access controller (i.e. access controller **16A**) simply relays all received data (including authentication) back to the HOME access controller (i.e. access controller **16B**). The CURRENT access controller (i.e. access controller **16A**) initiates the 802.1x authentication

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sequence and issues an EAP\_Success message to complete the authentication. CURRENT access controller (i.e. access controller **16A**) uses the PMK in the MU Session Table and the EAPoL four-way handshake to negotiate session keys and complete the authentication procedure. The VN  
5 agent **204** sends a message (at "4") to the VN manager **202** to update the session information. VN manager **202** in turn (at "5") updates the new MU session information on the next heartbeat.

FIG. 14 illustrates how mobile unit **30** sessions are tracked across multiple access controllers **16** in the VN over time. A mobile unit  
10 session can consist of multiple layer 2 (802.11) connections to the access controller/radio unit architecture of wireless LAN **10** even though the access controller **16** maintains the mobile unit's **30** layer 3 (IP) connection to the network. In the case of a single access controller **16**, the mobile unit **30** session is maintained at access controller **16** as mobile unit **30** moves from  
15 radio unit **18** to radio unit **18**. In the multiple access controller **16** architecture, a mobile unit **30** can move from radio unit **18** to radio unit **18** across different access controllers **16** while maintaining a layer 3 connection to the network. The layer 3 connection is always maintained by the HOME access controller as previously discussed.

20 The HOME ACCESS CONTROLLER (i.e. access controller **16A**) maintains the IP session for mobile unit **30** and keeps track of data traffic to/from mobile unit **30B**. The CURRENT access controller (i.e. access controller **16B**) maintains the 802.11 session for mobile unit **30B** while it is connected to one of its radio units **18** on the network. All connected mobile  
25 units **30** in the VN can be viewed from any access controller **16** in the VN. The information will describe information on the MU IP address and MAC as well as the HOME access controller (i.e. access controller **16A**) and the CURRENT access controller (i.e. access controller **16B**). Information on the MU IP session can be obtained at the HOME access controller since the  
30 HOME access controller tracks the data transferred between mobile unit **30B** and the network.

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The HOME access controller also generates the Call Detail Record for mobile unit **30** when its session ends. Information about the current state of the mobile unit connection to the network can be viewed at the CURRENT access controller. The CURRENT access controller (i.e. access controller **16B**) tracks which radio unit **18**, mobile unit **30** is connected to and information on the wireless connection for radio unit **18**. The mobile unit **30** association and disassociations to radio units are stored in the system log on the CURRENT access controller (i.e. access controller **16B**). Similarly to as discussed in respect of "linger" time, all mobile unit **30** disassociations will be done at the HOME access controller. If mobile unit's **30** CURRENT access controller (i.e. access controller **16B**) is not the HOME access controller, the HOME access controller (i.e. access controller **16A**) informs the CURRENT access controller (i.e. access controller **16B**) through the CTP protocol to remove the session for mobile unit **30**.

15

### Tunneling and CTP

FIG. 15 is an example data tunneling configuration **260** between two access controllers **16A** and **16B** that illustrates the use of the CTP data communication protocol discussed above as the tunneling mechanism for access controller to access controller communications within wireless LAN **10**. One result of using CTP for data tunneling is that each access controller **16** can be represented to each other as a radio unit **18**. As part of the registration process, the VN agent **204** for the registering access controller **16** will obtain from the VN manager **202** the list of access controllers **16** already registered in the VN domain. The list of access controllers **16** is then passed by the VN agent **204** to the RU Session Manager as shown in FIG. 14 that then takes upon the task of establishing CTP tunneling sessions with each of the identified access controllers **16**. Even though the tunneling protocol is based on CTP, the registration process for Inter-AC CTP tunnels uses a specialized set of messages that provide a specialized registration process. This guarantees that each of the systems recognizes that the established tunnel is in fact an access controller tunnel. This message set is designed so as to

30

allow each participating access controller **16** to have a mechanism for negotiating the RU session ID by which the tunnel will be known. This is slightly different from the typical RU registration, as in the usual case, the RU Session ID is assigned by the access controller **16**. In the case where the  
5 tunnel is being established between two access controllers **16**, then both access controllers would attempt to uniquely identify the tunnel.

The tunneling model is the second tier of a two-tiered architecture for supporting mobile unit sessions across multiple access controllers **16**. The tunneling model is based on the principle that a connected  
10 mobile unit IP session and policy is hosted at one access controller **16**, namely the HOME access controller, while the mobile unit's **30** layer **2** wireless connection is free to roam across groups of access controllers that are part of a particular VN. The tunneling architecture for multiple access controllers **16** uses the same nodal access controller hardware and additional  
15 software to provide roaming and availability. The use of CTP as a data tunnel solution between access controllers **16** allows an access controller **16** to appear to another access controller **16** as simply a radio unit **18** (i.e. can contain many sessions and does not require configuration changes).

One of the key requirements for the CTP tunneling  
20 implementation is the provision for uniquely identifying each of the systems within a mobility domain. To provide such functionality, each system is assigned a unique ID, namely AC\_ID. The unique ID, AC\_ID is negotiated during a VN Manager/Agent registration phase. VN Manager **202** assigns a registering VN agent **204** the unique AC\_ID that it will have in the VN **202**.  
25 The VN Agent **204** then provides the data plane with indication of the registered AC\_ID following VN registration. Following this, all events exchanged from the control plane to the management plane will carry the originator's AC\_ID.

Domain membership list (or the AC\_ID list) is fundamental to the  
30 operation of the system and provides the essence of Inter-AC communications. The domain membership list is created dynamically as access controllers **16** register themselves with the VN manager **202**. As each

successive access controller **16** registers, the list grows. Modifications to the list are then propagated to all registered access controllers **16** who then participate in the establishment of tunnels to access controllers **16** to which they are not currently connected. As each access controller **16** receives the  
5 periodic advertisement containing the state of access controller **16** registrations, it compares the propagated list, with its own current state. The VN agent **204** maintains a connection list. For each entry in the propagated list that the receiving access controller **16** is not currently connected to, VN agent **204** will attempt establish a connection as long as its own AC-ID is  
10 greater than the AC\_ID of the entry.

In order to establish tunnels to the remote access controllers **16** VN agent **204** explicitly sends a request to the RU Session Manager that a connection be established to the specified access controller **16**, by indicating the pair of AC\_ID and corresponding data plane IP address for the port to  
15 which the tunnel should be established. The connection process is initiated via a AC\_Connect\_Req message. The RU Session Manager then establishes a CTP tunnel connection with the indicated parameters.

The Inter-AC tunneling establishment is facilitated though the extension of CTP functionality within AC-AC configuration **260** as shown. This  
20 approach consists of introducing into CTP the capability of representing each AC-link as a radio unit **18** to requesting system. With this approach, the existing functionality of associating mobile unit sessions to radio units remains intact. This provides a simplified processing model for system operations, and in particular, for the data processing components. Accordingly, the current  
25 infrastructure of wireless LAN **10** discussed above, can be leveraged with minimum modifications to accommodate CIP data tunneling.

Of particular interest to the establishment of the data tunnel is the fact that each radio unit **18** session within a VN is uniquely identifiable via its radio unit Session ID. Each access controller **16** assigns to its registering  
30 radio units **18** its own unique Session ID according to the state of its current session tables. In order to properly administer cross-linked sessions, it is important that Session IDs match for the cross-linked sessions. That is, when

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access controller **16** writes to the tunnel it should use the session ID that the corresponding peer has also assigned to the same tunnel. Now, since each access controller **16** is responsible for assigning their own session IDs, it is necessary to provide a mechanism whereby a common ID can be assigned.

- 5 One mechanism is to establish a reservation based negotiations algorithm under which each access controller **16** attempts to suggest different IDs that it has available until both access controllers **16** agree on a common one.

FIG. 16 illustrates a more efficient method of obtaining a common ID that guarantees uniqueness of the common ID. Uniqueness is  
10 guaranteed by taking advantage of the Session ID assignment profile for current RU Session Manager. Currently, Session IDs are defined as **16** bit numbers, assigned sequentially by the RU Session Manager. As such, the uniqueness algorithm for Virtual RU (which is in essence how the AC-AC link is known to the system) is implemented as follows. The established Session  
15 ID is composed based on the IDs of the two systems establishing a connection (7 bits – which limiting the maximum number of domain access controllers **16** to **127**), with the largest AC\_ID occupying the lower 7 bits of the upper byte and the lowest AC\_ID occupying the last 7 bits of the lower byte. The most significant bit is enabled in virtual radio units **18** so as to provide an  
20 easier and straight forward method of differentiating virtual radio units **18** from real radio units **18** when necessary. This approach is illustrated by the bit configuration **261** of FIG. 16. Virtual RU links (i.e. the CTP data tunnel link) are not associated with a specific SSID. If necessary to convey SSID information in the data exchanges the SSID field for the CTP message is filled  
25 in corresponding to the device's current physical RU association. With this method, each access controller **16** is able to identify any other domain access controllers **16** and to establish an unique ID. Additionally, it is actually important that the Session ID is programmatically determined as it allows access controller **16** components to deterministically identify the correct link –  
30 i.e. the virtual radio unit (VRU) that should be used for any required communications.

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Since the VRU session ID is predetermined, the link establishment procedure requires simply a small extension of the current CTP message set to support AC\_REGISTER\_REQ and AC\_REGISTER\_RESP functionality. With these messages, the connecting access controllers **16** are able to pre-construct a session ID for an access controller **16** to which they are not yet connected and request that a VRU session be established between the two interested parties. Both parties end-up with a VRU session with same identifier. Following session establishment, the created radio unit **18** session is then available by both the data and control plane components so that data can flow across the tunnel for delivery to a registered mobile unit **30**. The process of session establishment and registration varies slightly depending on whether the device is already known in the domain and if so, session registration is established by tight cooperation between the access controller's VN agent **204** and the MU\_Session\_Manager.

When a mobile unit **30** associates with a radio unit **18**, the access controller **16** is notified via a CTP\_MU\_AUTHENTICATE\_REQ. The CTP MU registration message is also extended to provide the textual representation of the SSID associated with a mobile unit **30** at a FOREIGN access controller **16**. This message is delivered to the MU\_SESSION\_MANAGER, which first attempts to determine whether it already knows about the session. For the case of a new device, this lookup would fail, at which point the MU\_SESSION\_MANAGER will attempt to query local VN agent **204** to determine if the device is known to the domain. At this point, the VN agent **204** consults its copy of the domain MU registration tables, and upon failing to locate a session for the registering device, will then respond to the MU\_SESSION\_MANAGER, indicating that no session was located. At this point the MU\_SESSION\_MANAGER creates a brand new session that it associates with the radio unit **18** and the corresponding VN. The registration notification is then provided to the VN agent **204** that propagates the relevant registration information to the VN manager **202** so that other access controllers **16** can now learn that the device exists in the domain. The VN agent **204** propagates the change of state (registration, de-



registration) of sessions for which it is the HOME access controller. It is contemplated that VN agent 204 will also propagate SSID and VNS membership information.

Mobile unit **30** roaming falls into two specific categories, namely

5 Intra-AC Roaming where the mobile unit **30** roams within the same SSID to an RU that is connected to the same HOME access controller for the session and Inter-AC Roaming, where the mobile unit **30** roams within the same SSID to an RU that is connected to a different access controller **16** than the HOME access controller for the session. The first category, namely, intra-AC roaming

10 is already handled by the modal functionality of access controller **16** and is responsible for tracking the mobile unit **30** session as it roams from one radio unit **18** to another.

FIGS. 17A and 17B are communication sequence diagrams that illustrate the process flow for VN manager **202** and VN agent **204** within an

15 example wireless network having three access controllers **16**: AC#1, AC#2, AC#3 (where AC#2 is the HOME access controller) and two mobile units **30**: MU#1 and MU#2.

Referring back to FIGS. 7 and 10, example network **190** illustrates how the system operates in various situations (i.e. where devices

20 are removed or added to the network). As shown, example network **190** consists of three access controllers. Specifically, a VN Manager AC (an access controller **16C** in the VN that is responsible for VN manager functions), a HOME access controller (an access controller **16A** that mobile unit **30A** first connected to), and FOREIGN access controller (an access controller **16B** that

25 mobile unit **30** is currently connected to if it is not the HOME access controller). Also, HOME VN agent **204** is the VN agent that resides on mobile unit's **30** HOME access controller (i.e. access controller **16A**). HOME mobile unit **30** is the mobile unit **30A** for whom it's HOME access controller is the same as it's FOREIGN access controller. FOREIGN VN agent **204** is the VN

30 agent that resides on the Foreign AC **16B**. FOREIGN mobile unit is the mobile unit **30** on access controller **16B** in which the HOME access controller is not the same access controller **16**. The VN control protocol is the TCP/IP protocol

between the VN manager **202** and VN agents **204**. The CTP Data tunneling is the UDP protocol between each access controller **16A** and **16B** in the VN.

### CTP Data Tunnel Failure

5                   When a CTP data tunnel fails between two access controllers **16** there is no path to support the tunneling of user data between the two access controllers **16**. Each of the network devices behaves as follows when this occurs. First, each access controller **16** will recognize that the CTP link is unavailable when it does not receive a response from another access  
10 controller **16** (through CTP keepalive message). At this point access controller **16** will update its MU Session Table by removing all Foreign mobile units **30** that have their HOME access controller set to the FOREIGN access controller and removing all Home mobile units **30** that are currently connected to the FOREIGN access controller. In doing so access controller **16** must also close  
15 all accounting records for HOME mobile units that have been removed. Additionally the HOME access controller updates the VN MU Session Table by removing all HOME mobile units **30** that are currently connected to the FOREIGN access controller.

                  While the FOREIGN access controller status is still "ACTIVE" in  
20 the VN Agent Table, the HOME access controller **16** will continue to try and connect a CTP Data Tunnel to the FOREIGN access controller **16**. However, mobile units **30** that attempt a connection and have their HOME access controller set to the FOREIGN access controller representing the failed CTP tunnel will be refused a connection. In the case of a CTP data tunnel failure,  
25 both the HOME access controller and FOREIGN access controller remove delete and disconnect any MU sessions that were in some way associated with the failing CTP data tunnel. At the FOREIGN access controller the mobile unit **30** will now attempt to re-register and since the registration cannot be completed across the tunnel, the MU session is made local and this access  
30 controller **16** now becomes the HOME access controller. If a conflict is then detected in which more than one VN Agent claims to be the Home session for

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a particular mobile unit **30**, the VN Manager's conflict resolution will be invoked. In such a case, the VN manager **202** will instructs both access controllers **16** to delete/disconnect the affected session. This will remove mobile unit **30** from the system and force mobile unit **30** to re-associate with  
5 the correct system. Wherever the mobile unit **30** is actually connected will then become the HOME access controller.

### **VN Control Protocol Failure**

When a VN Control Protocol fails between a VN manager **202**  
10 and a VN agent **204** there is no longer a mechanism to distribute VN agent and MU Session information. Each of the network devices behaves as follows when this occurs. VN manager **202** will recognize a failure of the VN control protocol between itself and an access controller **16** through the termination of the TCP/IP connection or no response after a heartbeat. VN manager **202** will  
15 wait a configurable amount of time for the re-establishment of that connection from the VN Agent on access controller. If the time expires the VN manager **202** will update its VN Agent Table and place the access controller **16** in an "UNKNOWN" state and distribute the VN Agent Table at the next heartbeat.

Access controller **16** will recognize a failure of the VN Control  
20 Protocol between itself and another access controller **16** through the termination of the TCP/IP Connection or if it does not receive a heartbeat within the specified heartbeat timer. The access controller **16** will attempt to reconnect to the VN manager **202** a configurable number of times. VN agent **204** also instructs the data plane to terminate any (if still established) CTP  
25 data tunnels. Also, the VN manager **202** will instruct the data plane to terminate the (if still established) CTP data tunnel to the VN agent **204** that is not in communication. The termination of the CTP data tunnel will force the disconnection of any sessions associated with the CTP data tunnel at both the HOME and FOREIGN access controllers as discussed previously. If all these  
30 attempts fail, access controller **16** needs to change its mode of operation to represent the fact that it's VN agent **204** and VN MU Session Table are no

longer valid. All sessions associated with the failing end-point (HOME or FOREIGN) will be deleted by virtue of the termination of the CTP data tunnel.

Any new sessions at the access controller **16** will be assigned to the access controller **16** as HOME mobile units. At some configurable interval  
5 access controller **16** will perform a Directory Agent lookup for a VN manager **202** and attempt another connection. Access controllers **16** that still have a connection to the VN manager will continue to operate as normal. In the case where an access controller **16** completely disappears, the MU Sessions associated with the disappeared access controller (i.e. those mobile units  
10 having their HOME access controller set to be the disappeared access controller) must be removed from the VN MU Session Table by VN manager **202**. The result would be that any mobile units **30** that try to roam will appear as new sessions on the access controllers **16** that are still active in the VN.

The sessions are cleaned since the CTP data tunnel is forced to  
15 close. If after re-establishment, more than one access controller **16** believes that they are HOME access controller to the same mobile unit **30**, then the conflict resolution mechanism described above would ensure that the proper HOME access controller is identified. Accordingly, it is contemplated that each access controller would send up the status of its CTP Tunnel connection to  
20 allow the VN manager **202** to determine this event and then whether the removal of MU sessions from the VN MU Session Table is appropriate in the circumstances.

In summary, wireless LAN **10** provides a two tier architecture that allows a customer to address capacity and geographic scaling depending  
25 on the network topology. The AC-AC architecture of wireless LAN **10** can scale in a geographically dispersed topology and in a concentrated network topology. Geographically dispersed nodes (i.e. access controllers **16**) tunnel traffic when wireless mobile units **30** roam between radio units connected to different access controllers. They tunnel traffic to preserve the IP configuration  
30 of the wireless client on the network. Different access controllers **16** communicate to exchange session information and each access controller **16**

has its own management interface. A VN manager **202** and VN agents **204** allow access controllers **16** to exchange session information.

It is contemplated that new hardware will be used as the base for a stackable solution. Stacked access controllers **16** will be treated as an  
5 access controller group providing a scalable solution to address capacity. Access controllers **16** are linked using a switching fabric and act a single management entity. VN networks are configured across the group as if it was a single access controller **16** through the master access controller. The group will be configured through a single user interface and hardware resources will  
10 be shared across the group. Hardware and software resources for the group can be configured to maintain service in the case where one of the AC's in the group goes down. The master access controller **16** communicates with other access controller nodes to provide VN coverage in geographically dispersed network.

Also, it is contemplated that along with the access controller  
15 configuration information, VNS information would also be distributed to provide another layer of functionality. Virtual Network Services (VNS) is a network service that allows for the association of mobile units **30** with a VNS in order to control the sessions of these mobile units **30**. Specific policies can  
20 be applied to that group such as the applicable security mechanism, what grade of service (silver, gold, platinum) or what back-end network their traffic should be associated with. VNS can also be used to define multiple security models on a single platform and can provide mobile units **30** with connections that are secured and provisioned with Quality of Service (QoS). Accordingly,  
25 when a mobile unit **30** connects to wireless LAN **10**, VNS factors would be used to assign mobile unit **30** to a Home access controller **16** that could be different then the first access controller **16** that they connected to. For example, if a specific VNS was defined to have an egress interface that existed on access controller **16B** (FIG. 7) any mobile units **30** that connected  
30 to access controller **16A** but were determined to belong to this VNS would have their home access controller set to be access controller **16B**.

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Finally, it is contemplated that the functionality of wireless LAN **10** discussed above could be further extended to support access controller redundancy. That is, in that if an access controller **16** fails, the radio units **18** associated with the failed access controller **16** would connect to a remaining  
5 (operational) access controller **16**. In order to support such a redundancy function, it would be necessary to also maintain and distribute radio unit configuration information in addition to access controller and mobile unit session information.

As will be apparent to those skilled in the art, various  
10 modifications and adaptations of the structure described above are possible without departing from the present invention, the scope of which is defined in the appended claims.

**Claims**

1. A wireless network for providing continuous communication service to a mobile unit within a virtual network without the need to install software on the mobile unit, when the mobile unit initially connects to the virtual network by associating with a home access controller and then subsequently roams to a foreign access controller, said virtual network comprising:

- (a) a virtual network manager (VN manager) adapted to maintain a virtual network configuration record including all active access controllers within the virtual network including home and foreign access controller and to disseminate said virtual network configuration record to all active access controllers;
- (b) said home access controller and foreign access controller being adapted to:
  - (i) establish a tunneling data communication connection between said home access controller and foreign access controller based on the current record maintained by said VN manager; and
  - (ii) utilize the tunneling data communication connection between said foreign access controller and said home access controller and said tunneling data communication connection to provide continuous data communication for the mobile unit.

2. The wireless network of claim 1, wherein each of said home and foreign access controllers are associated with home and foreign virtual network agents (VN agents), respectively, said home and foreign VN agents being adapted to and update said virtual network configuration record through communication with VN manager.

3. The wireless network of claim 2, wherein said first and second VN agents are adapted to communicate with the VN manager to obtain the list of active access controllers in the virtual network and to maintain the list of mobile unit sessions in the virtual network.

4. The wireless network of claim 2, wherein VN manager disseminates said VN configuration record to said home and foreign VN agents using heartbeat notification.

5. The wireless network of claim 1, wherein said VN manager is implemented on one of said home access controller and said foreign access controller.

6. The wireless network of claim 1, wherein said foreign access controller is adapted to confirm that the mobile unit is already connected to the virtual network, before performing authentication on the mobile unit and conducting steps (i) and (ii).

7. The wireless network of claim 1, further comprising a home radio unit associated with said home access controller and a foreign radio unit associated with said foreign access controller, said home radio unit being adapted to encapsulate communication data from said mobile unit for transmission to said home access controller and said foreign radio unit being adapted to encapsulate communication data from said mobile unit for transmission to said foreign access controller.

8. The wireless network of claim 1, wherein the maintenance of continuous communication service includes the preservation of the IP configuration of mobile unit on the virtual network.



9. The wireless network of claim 1, wherein said virtual network is associated with virtual network services, and wherein said home and foreign access controllers are adapted to manage the mobile unit communication session in accordance with said virtual network services.

10. The wireless network of claim 9, wherein said first and second access controllers are configured with at least one VNS subnet having VNS properties selected from the group consisting of: DHCP, routing, authentication, privacy, and filter profiles.

11. A method for providing continuous communication service for a mobile unit as it roams within a virtual network without the need to install software on the mobile unit, said virtual network including home and foreign access controllers and a virtual network manager (VN manager), said method comprising the steps of:

- (a) using the VN manager to maintain a virtual network configuration record including all active access controllers within virtual network including home and foreign access controller and to disseminate said virtual network configuration record to all active access controllers;
- (b) establishing a tunneling data communication connection between all active access controllers including between said foreign access controller and said home access controller;
- (c) connecting the mobile unit to the virtual network by transmitting communication data between the mobile unit and said home access controller;

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- (d) determining whether the mobile unit has roamed from the home access controller to the foreign access controller;
- (e) if step (d) is true, then:
  - (i) transmitting communication data between the mobile unit and said foreign access controller;
  - (ii) utilizing the data from step (i) and tunneling data communication connection between said foreign access controller and said home access controller to provide continuous data communication for the mobile unit.

12. The method of claim 11, wherein each of said home and foreign access controllers are associated with home and foreign virtual network agents (VN agents), respectively, for updating said virtual network configuration record through communication with VN manager.

13. The method of claim 12, further comprising the step of said first and second VN agents communicating with the VN manager to obtain the list of active access controllers in the virtual network and to maintain the list of mobile unit sessions in the virtual network.

14. The method of claim 12, further comprising the step of disseminating said VN configuration record from said VN manager to said home and foreign VN agents using heartbeat notification.

15. The method of claim 11, wherein said VN manager is implemented on one of said home access controller and said foreign access controller.

16. The method of claim 11, further comprising the step of foreign access controller confirming that the mobile unit is already connected to the virtual

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network, and performing authentication on the mobile unit, before performing step (e).

17. The method of claim 11, wherein a home radio unit is associated with said home access controller and a foreign radio unit is associated with said foreign access controller, said home radio unit being adapted to encapsulate communication data from said mobile unit for transmission to said home access controller and said foreign radio unit being adapted to encapsulate communication data from said mobile unit for transmission to said foreign access controller.

18. The method of claim 11, wherein the maintenance of continuous communication service includes the preservation of the IP configuration of mobile unit on the virtual network.

19. The method of claim 11, wherein the virtual network is associated with virtual networking services, and wherein said home and foreign access controllers manage the mobile unit communication session in accordance with said virtual networking services.

20. The method of claim 19, wherein said first and second access controllers are configured with at least one VNS subnet having VNS properties selected from the group consisting of: DHCP, routing, authentication, privacy, and filter profiles.

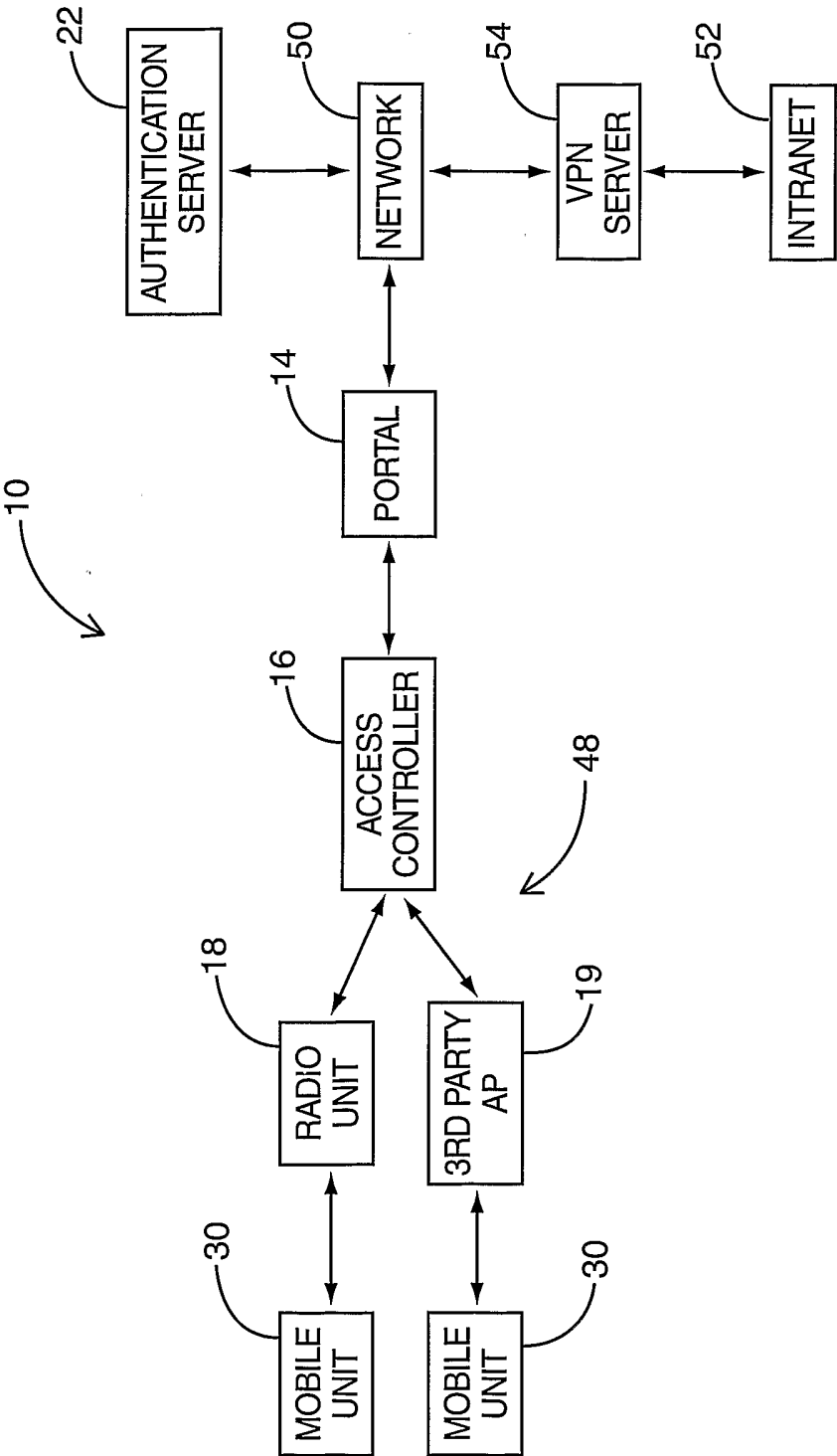


FIG. 1

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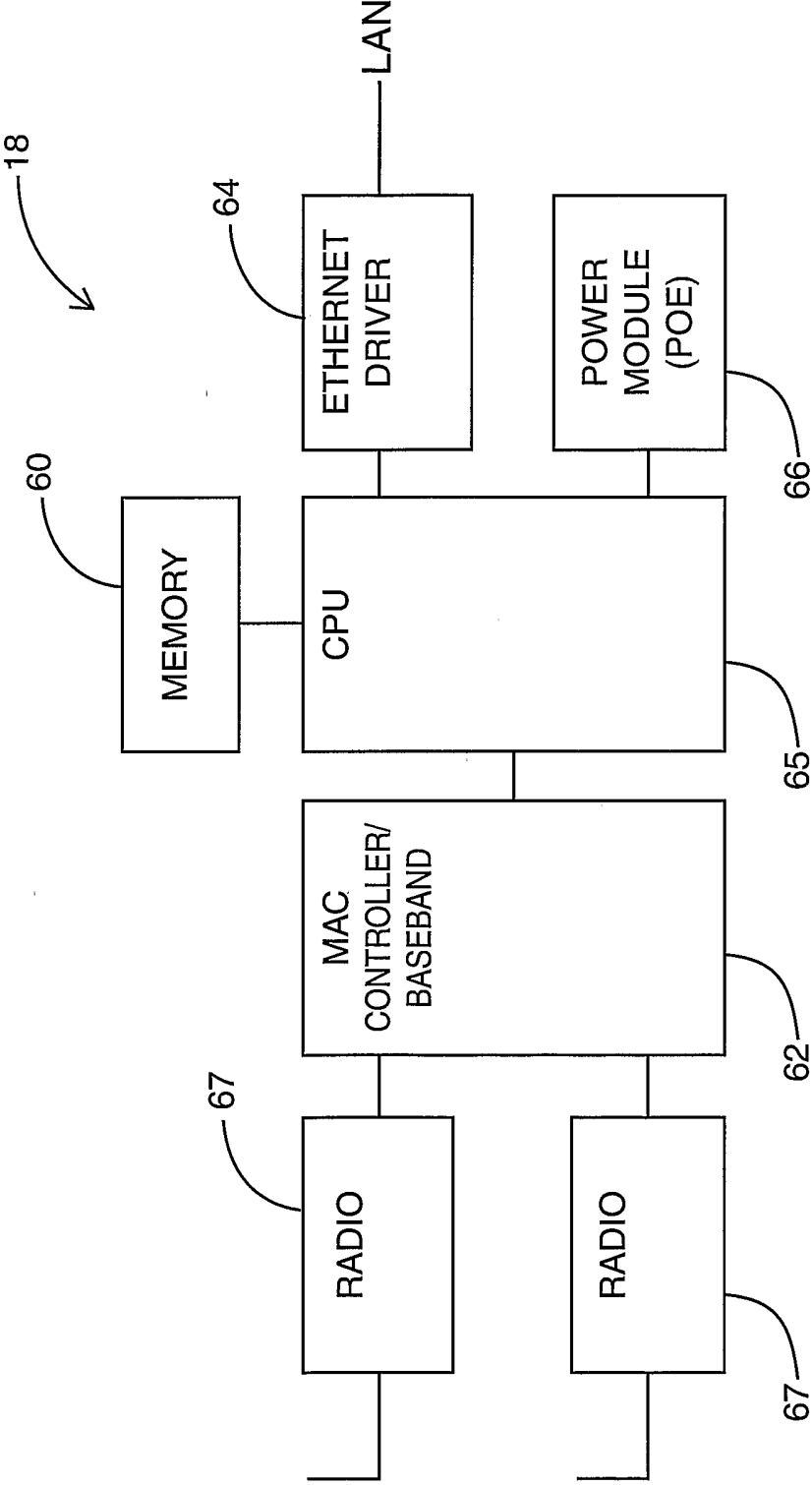


FIG. 2A

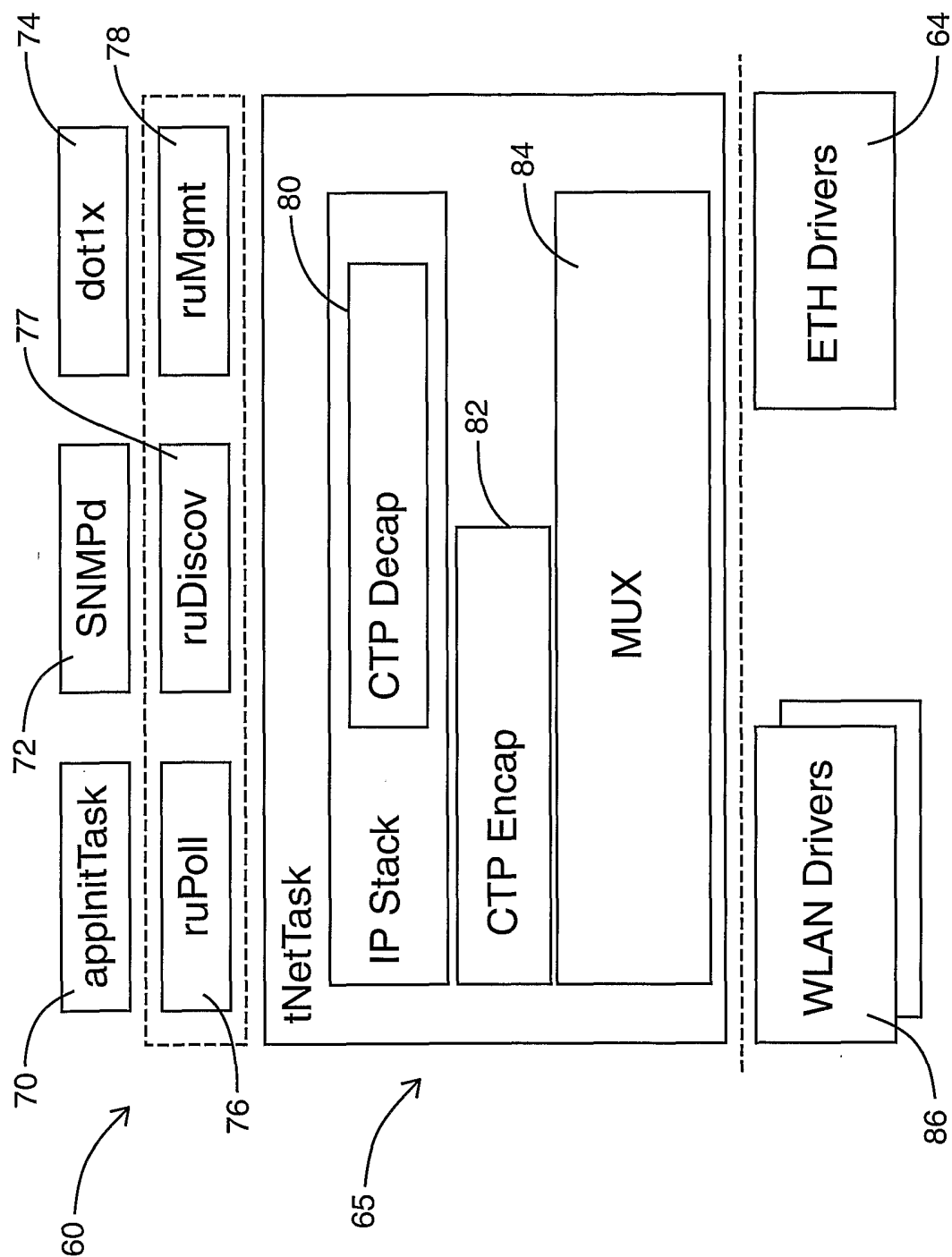
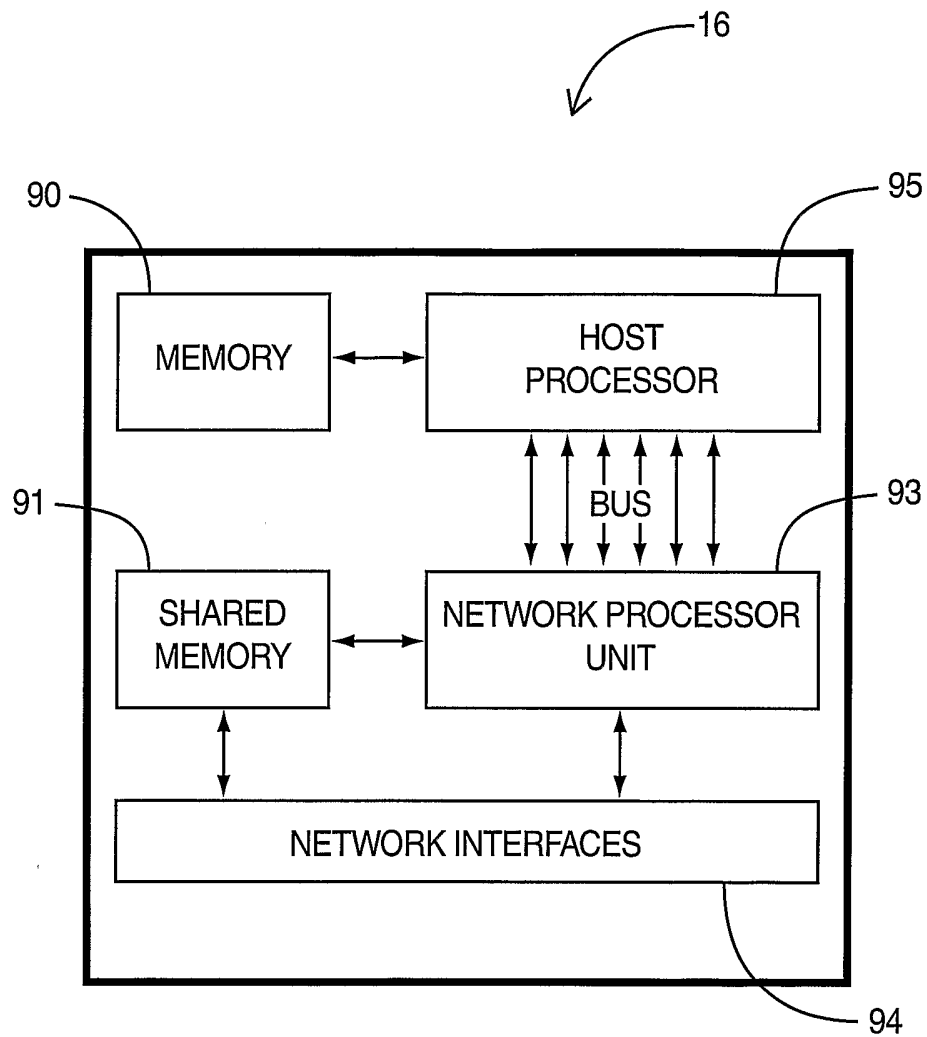
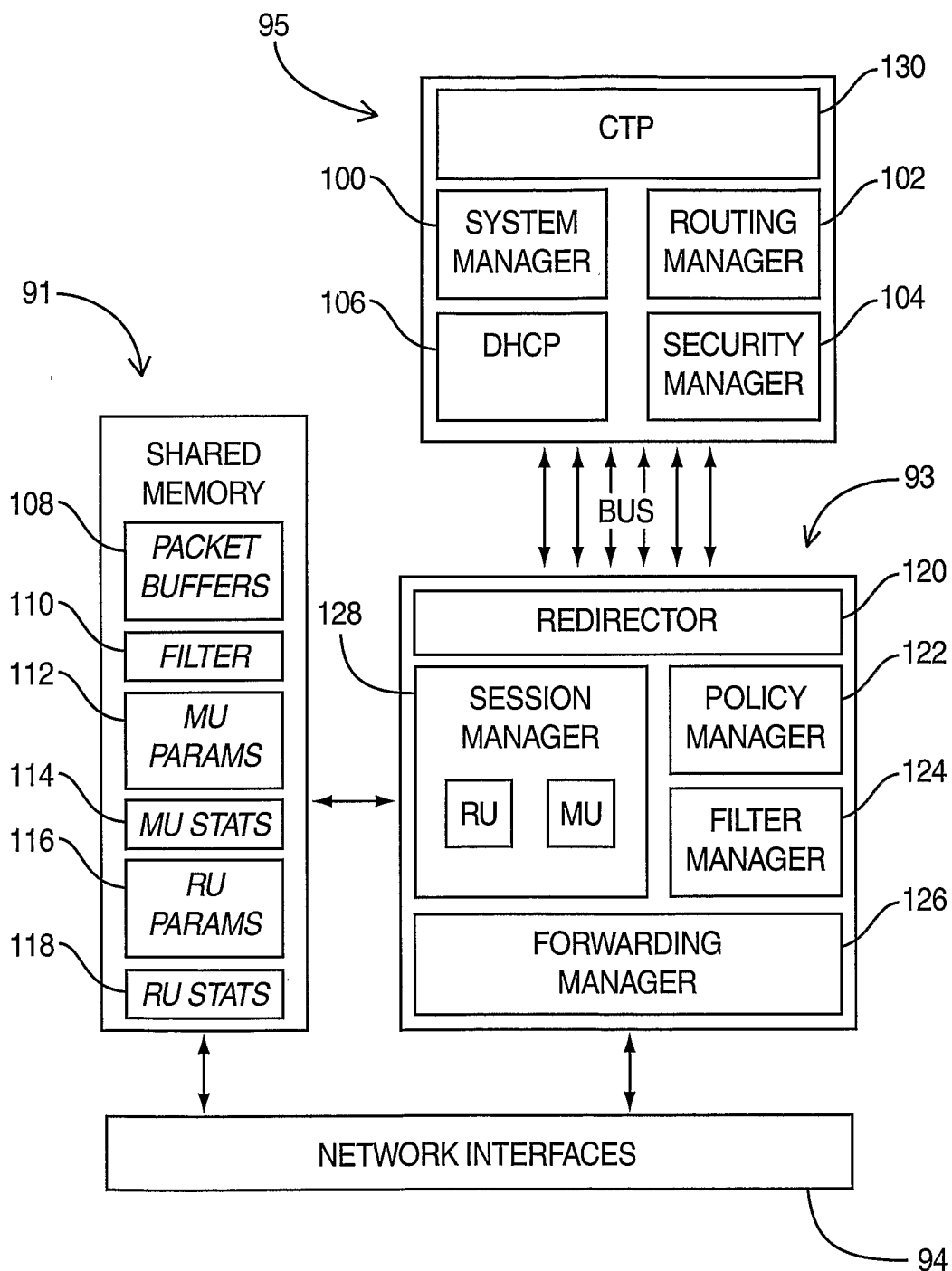


FIG. 2B

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FIG. 3A

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FIG. 3B



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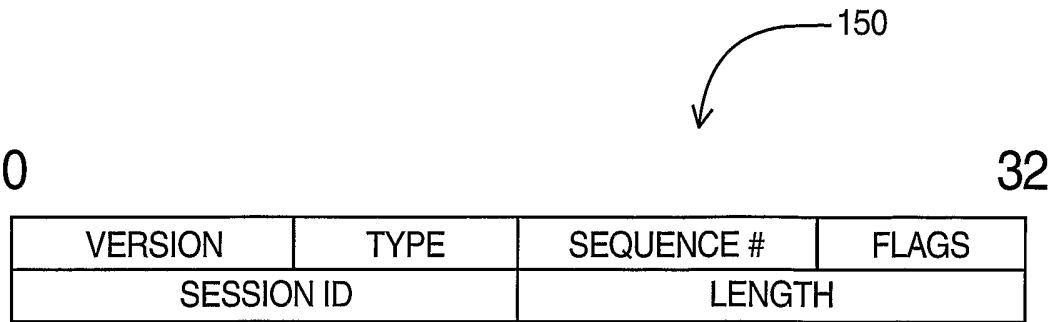


FIG. 4A

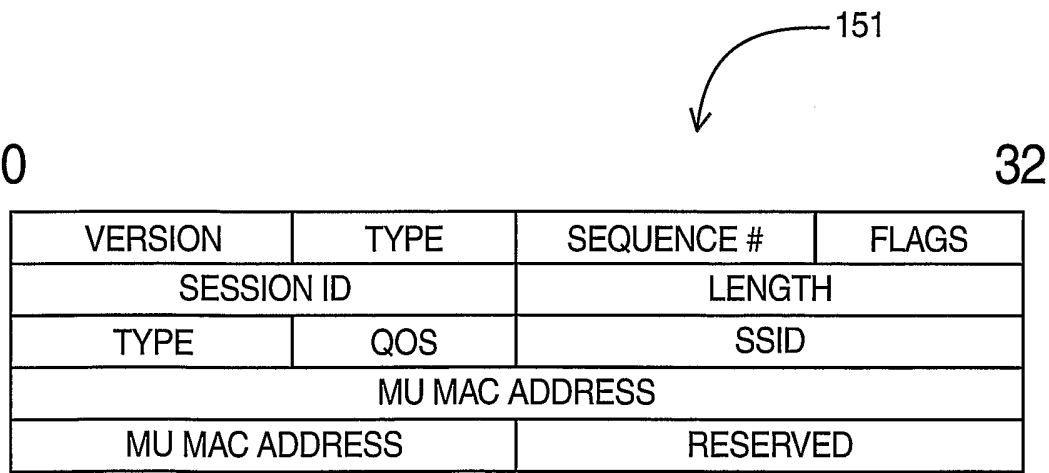


FIG. 4B

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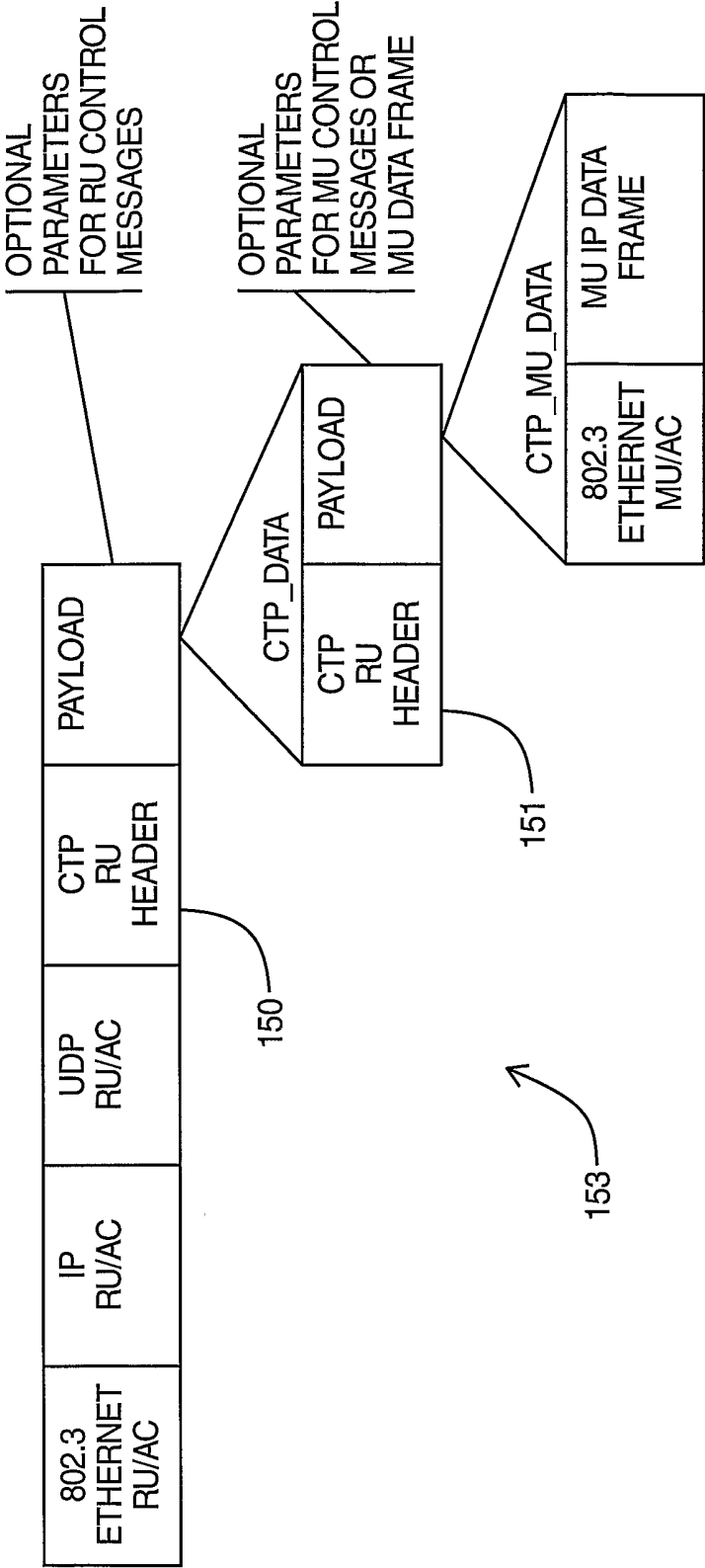


FIG. 4C

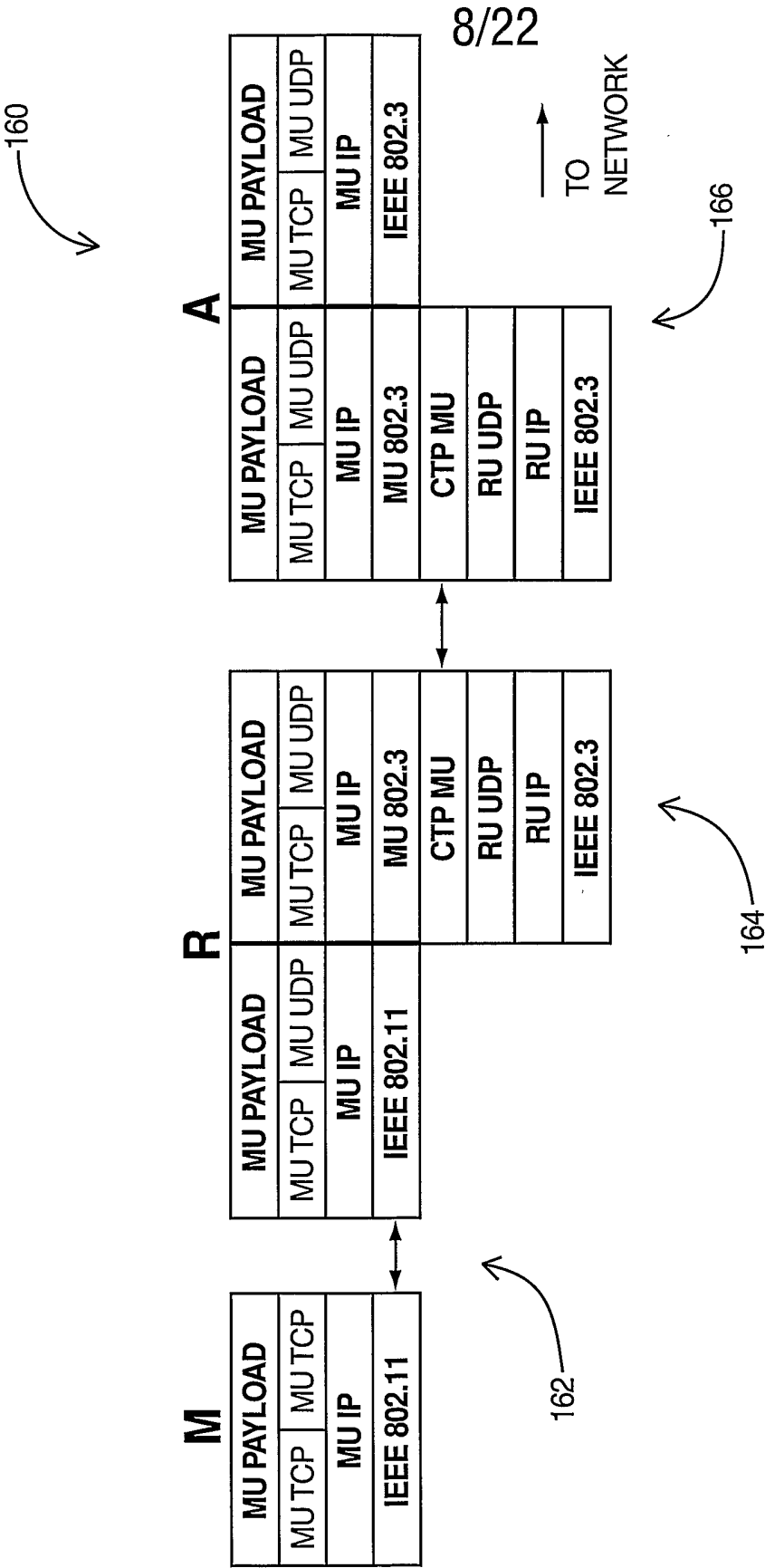


FIG. 5A

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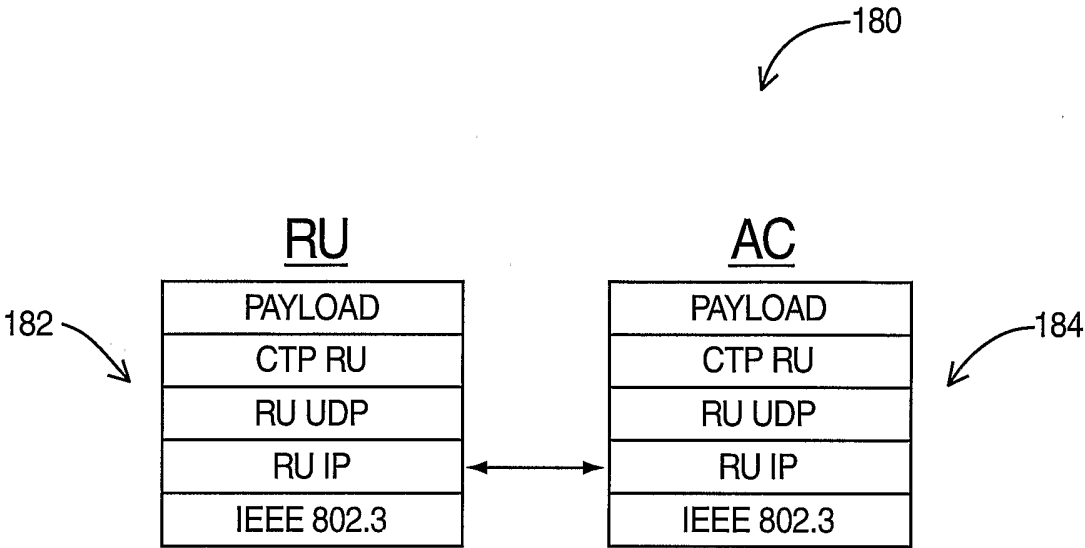
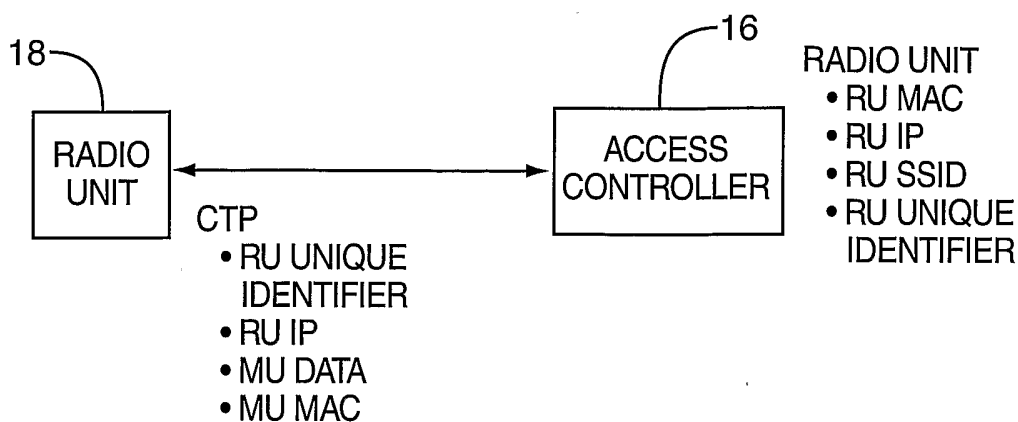
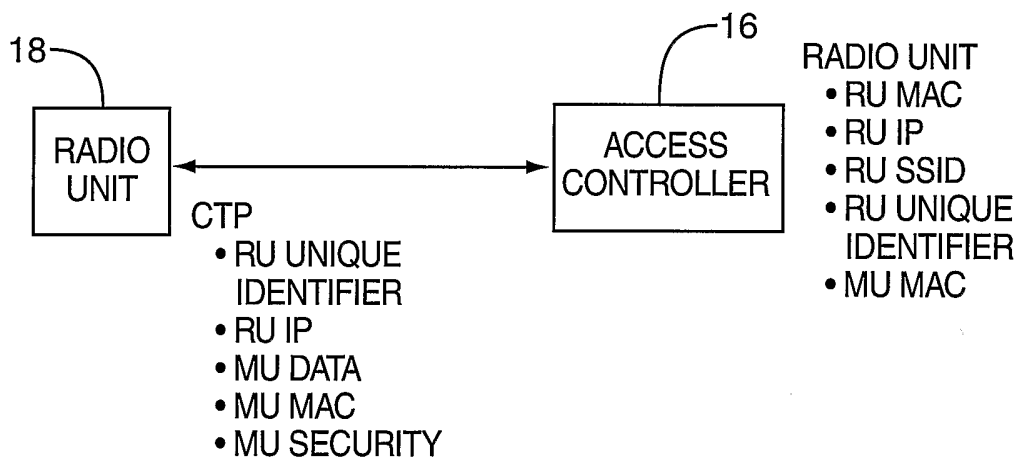
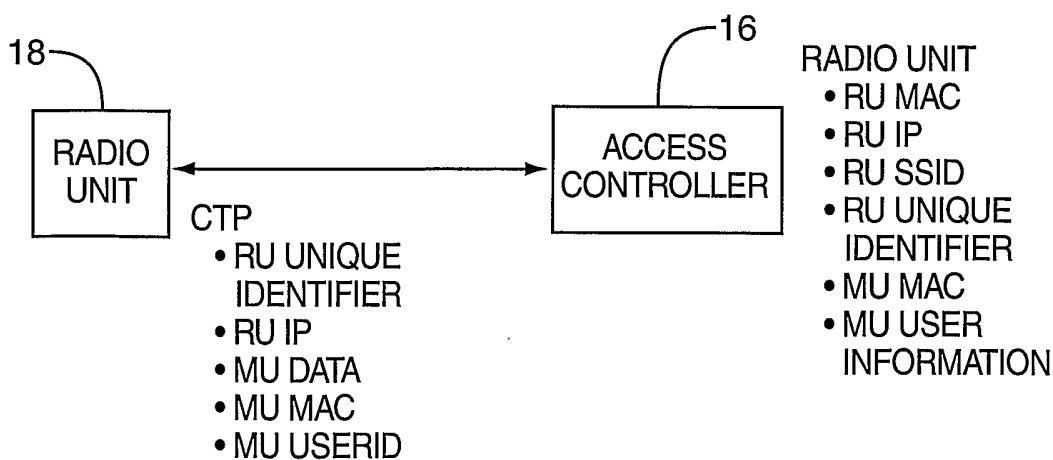


FIG. 5B

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FIG. 6AFIG. 6BFIG. 6C

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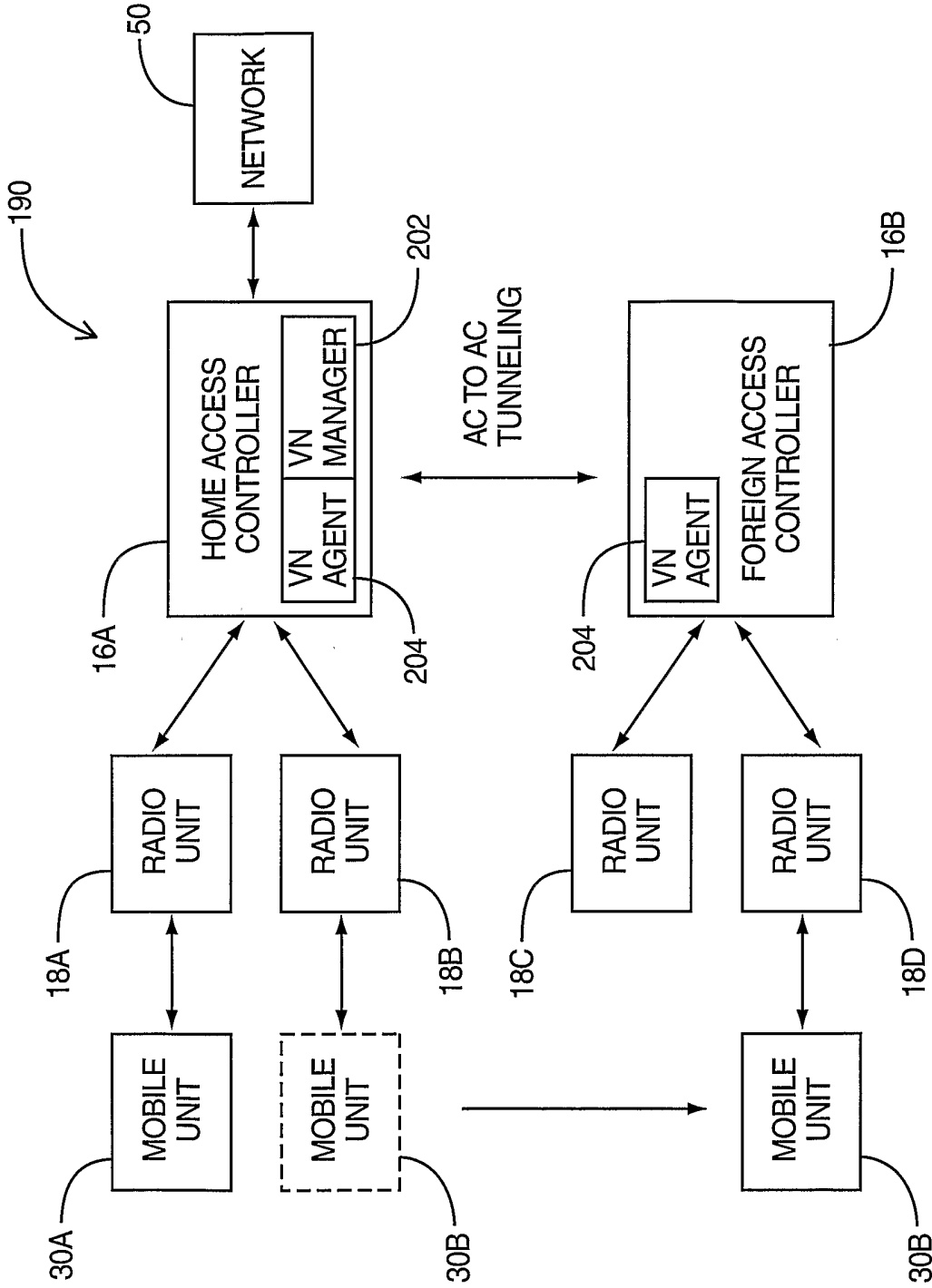
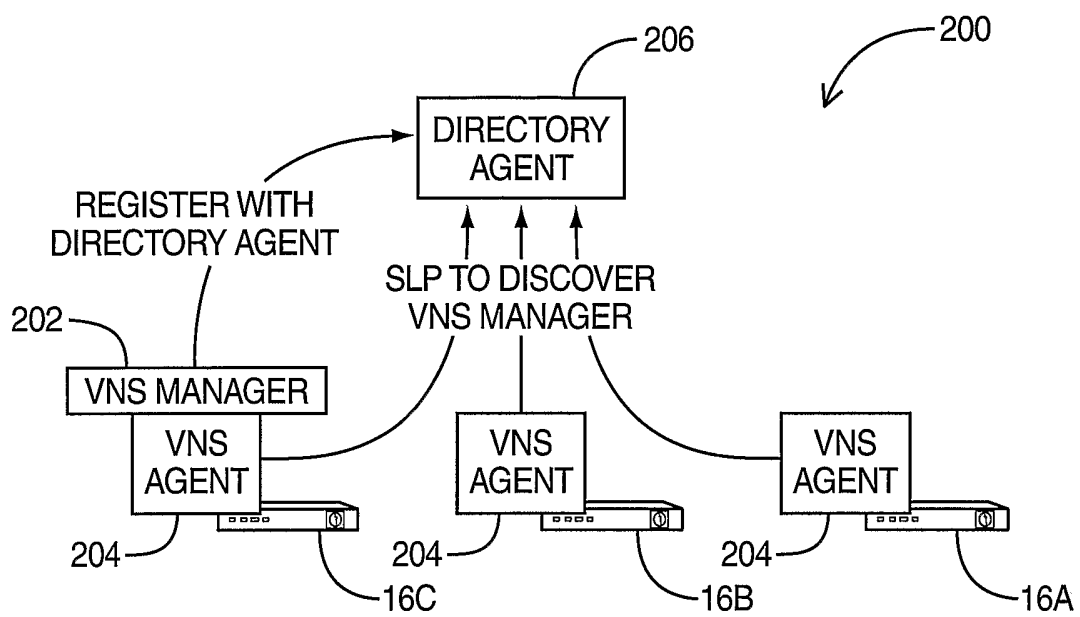
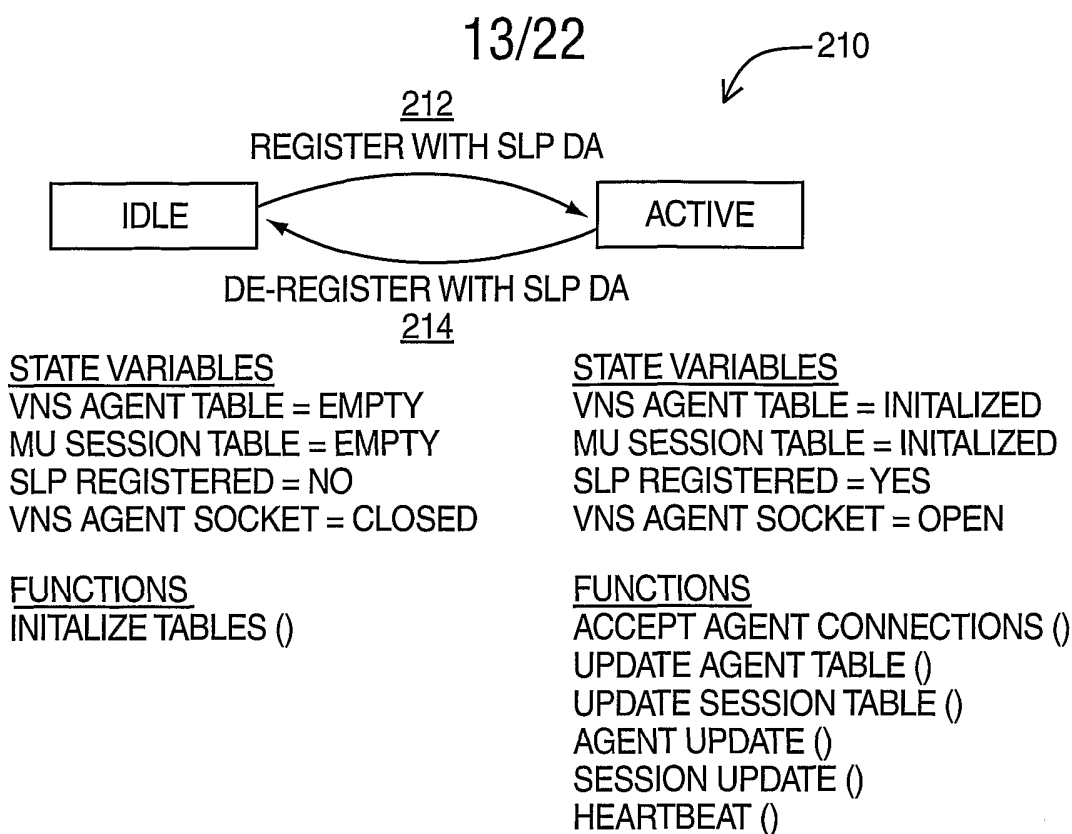
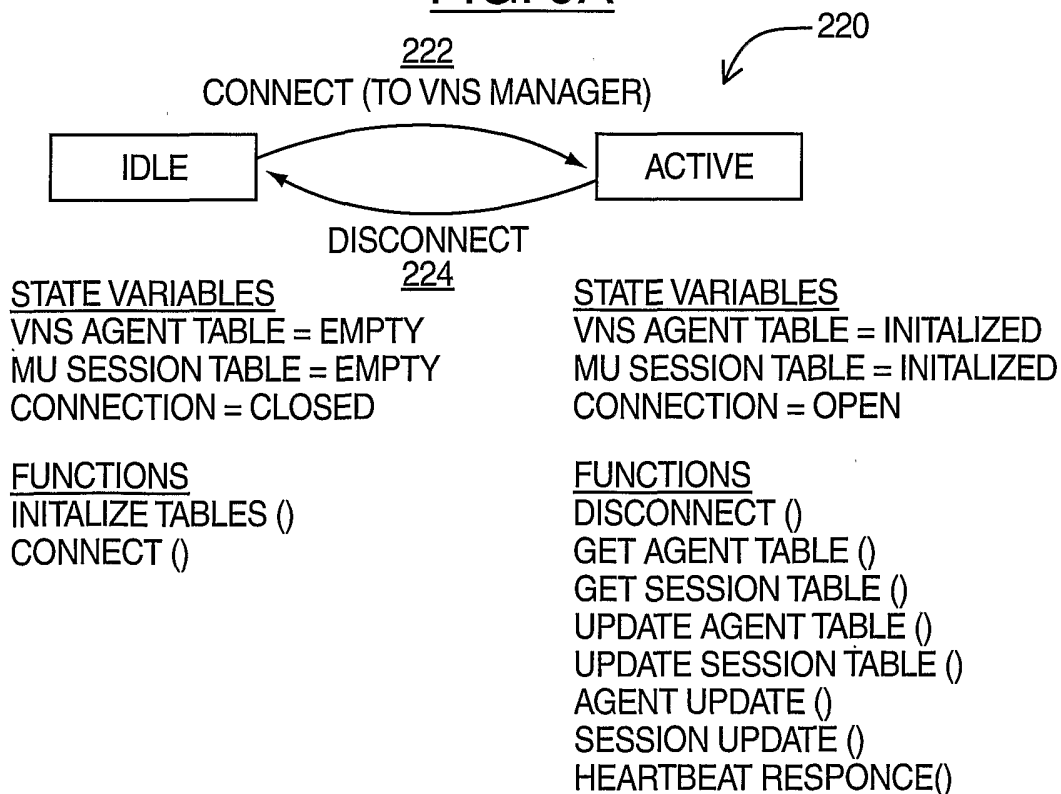


FIG. 7

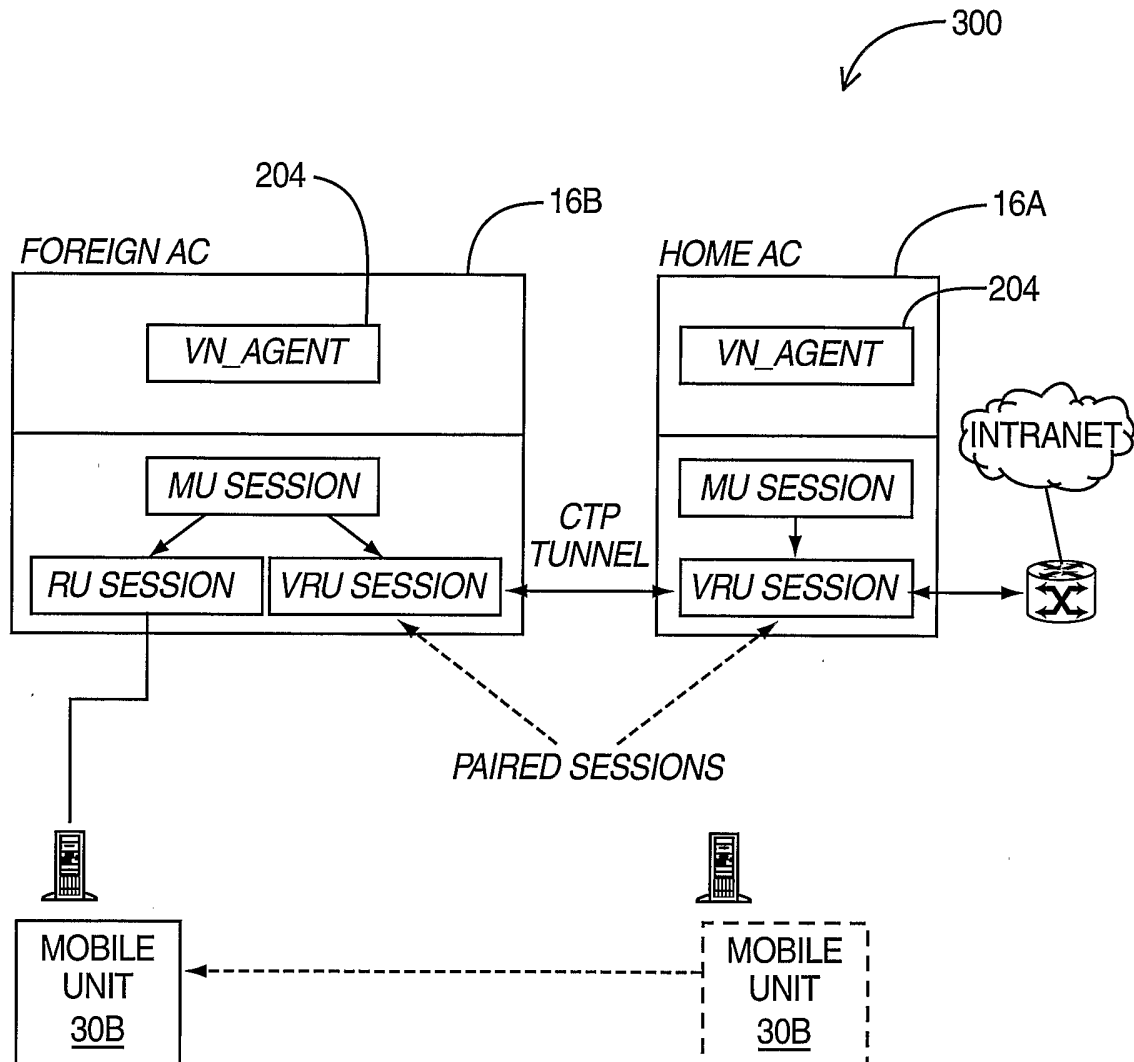
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FIG. 8

**FIG. 9A****FIG. 9B**



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FIG. 10

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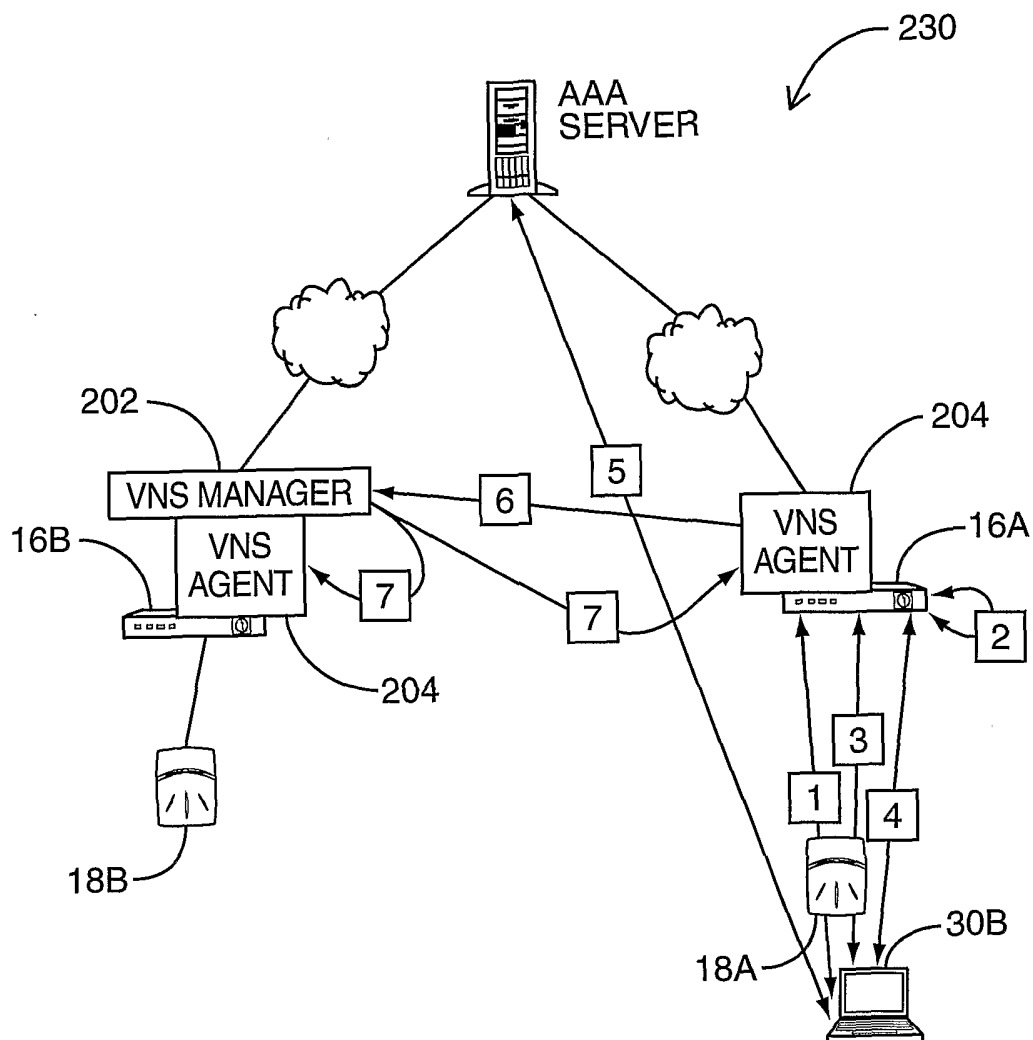
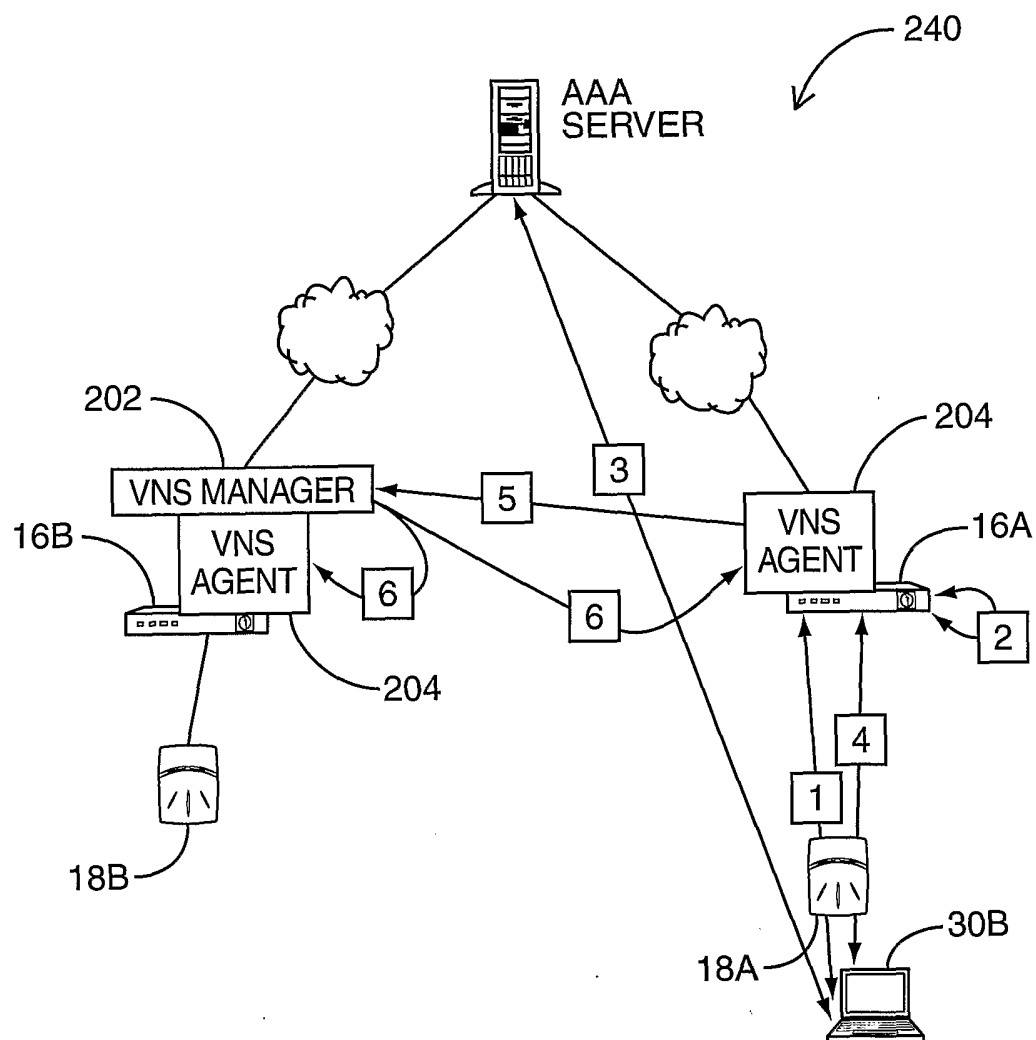
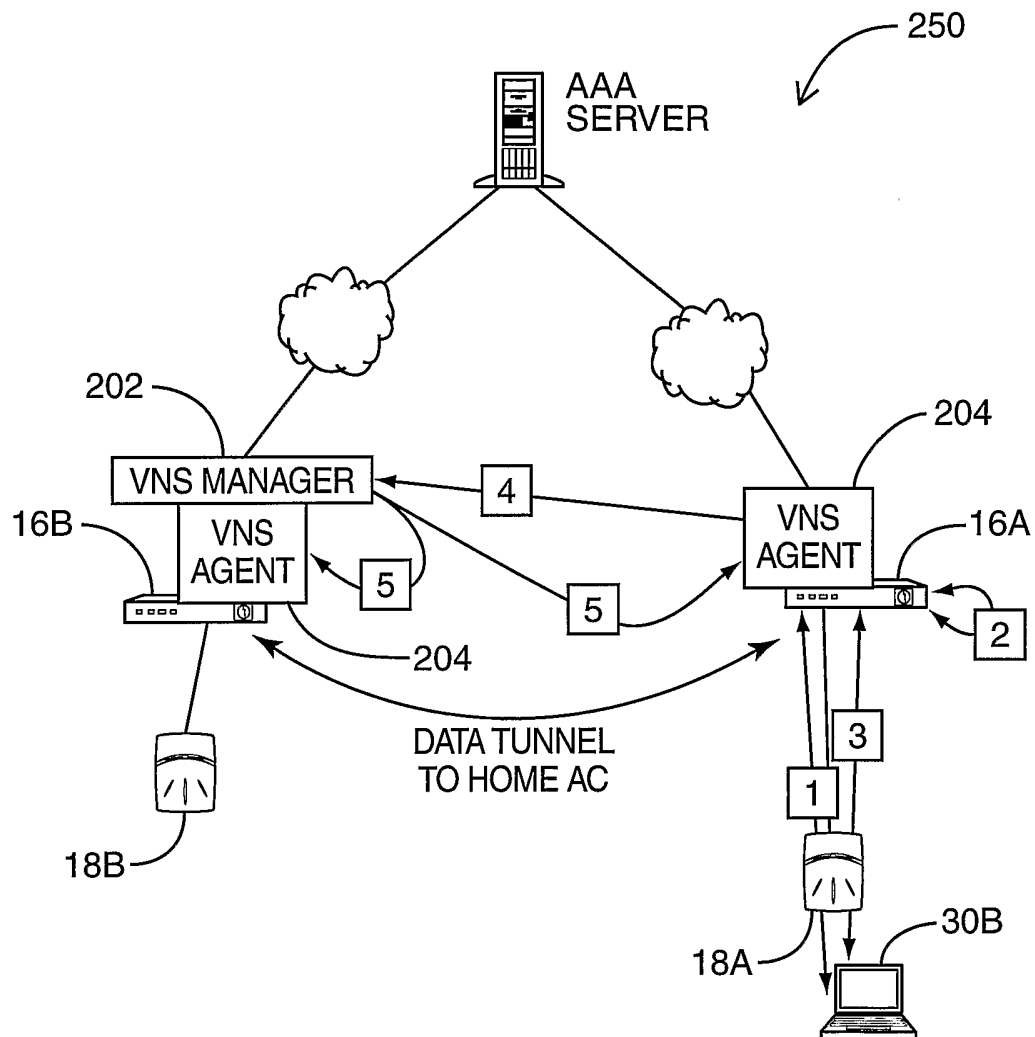


FIG. 11

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FIG. 12

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**FIG. 13**

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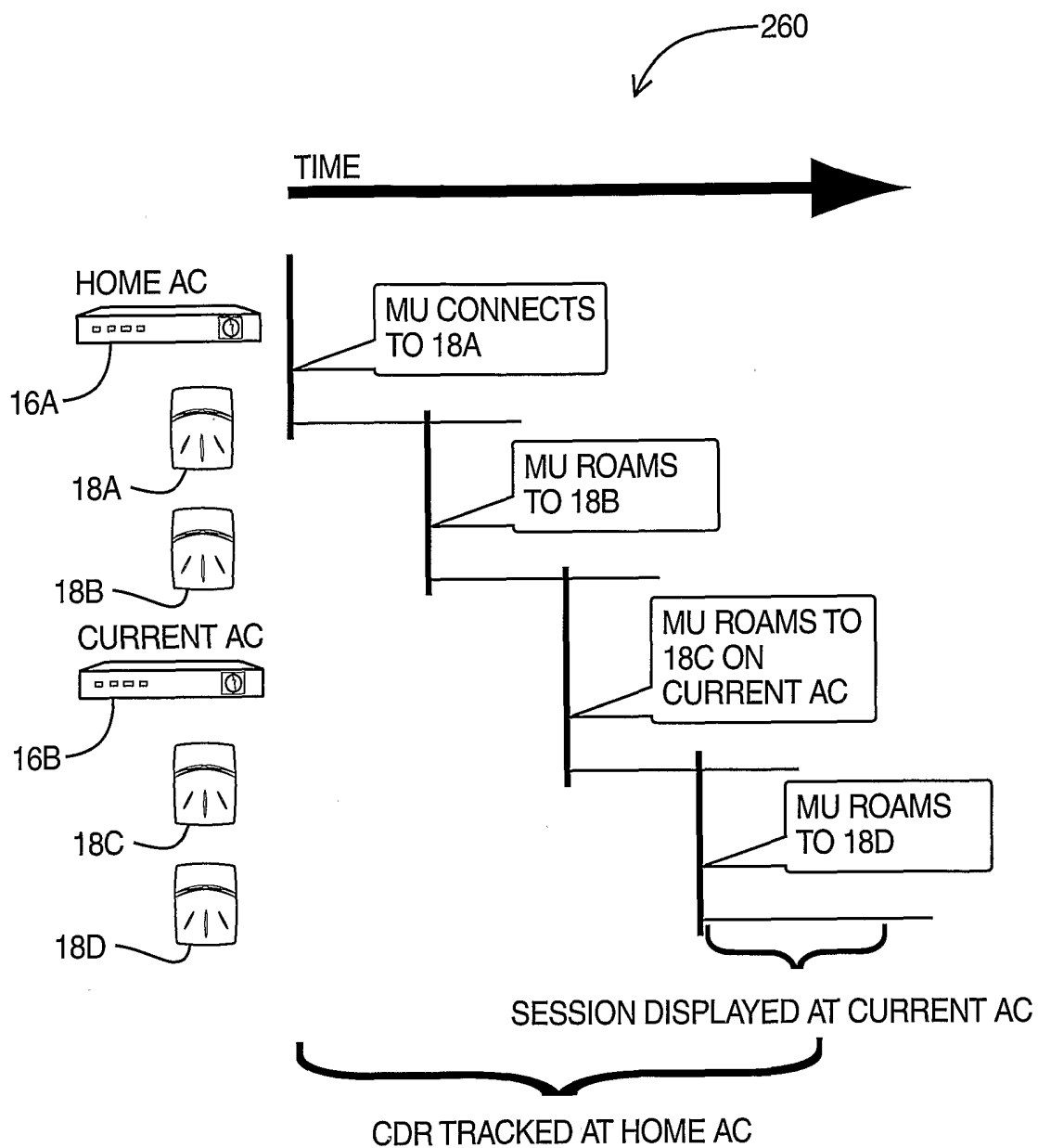


FIG. 14

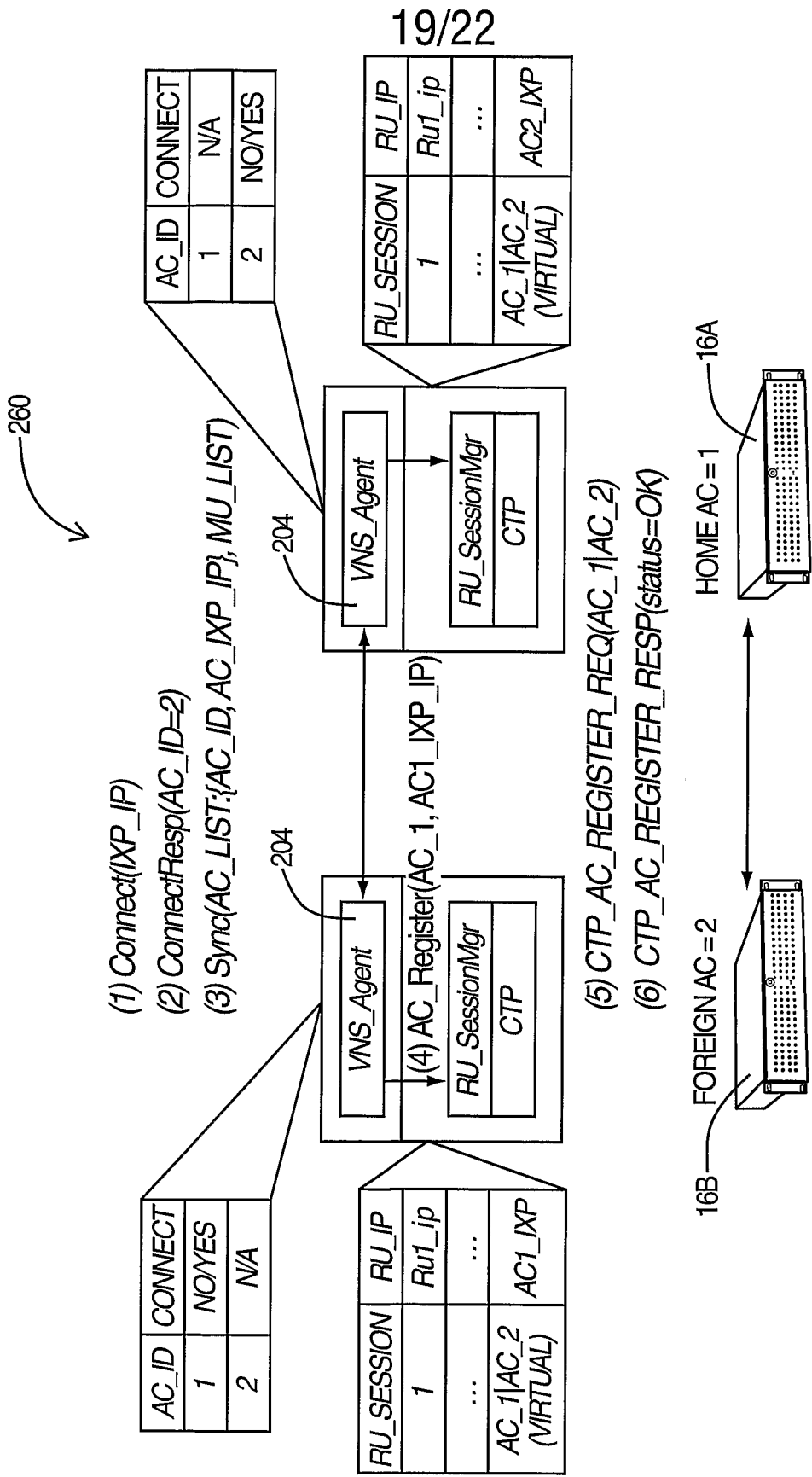


FIG. 15

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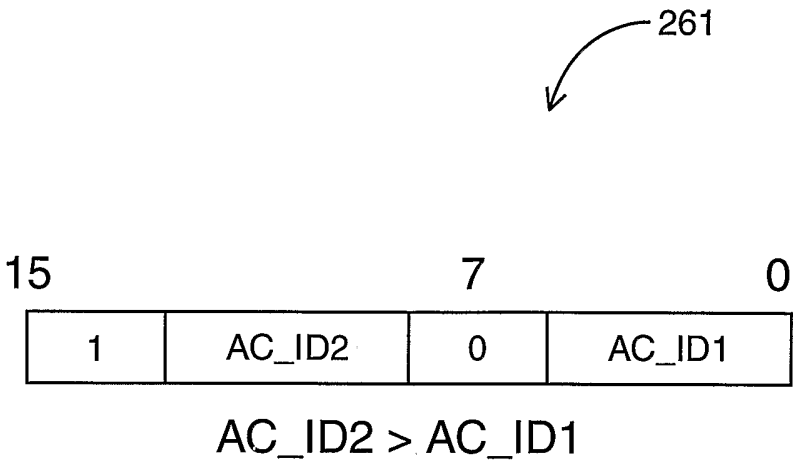


FIG. 16

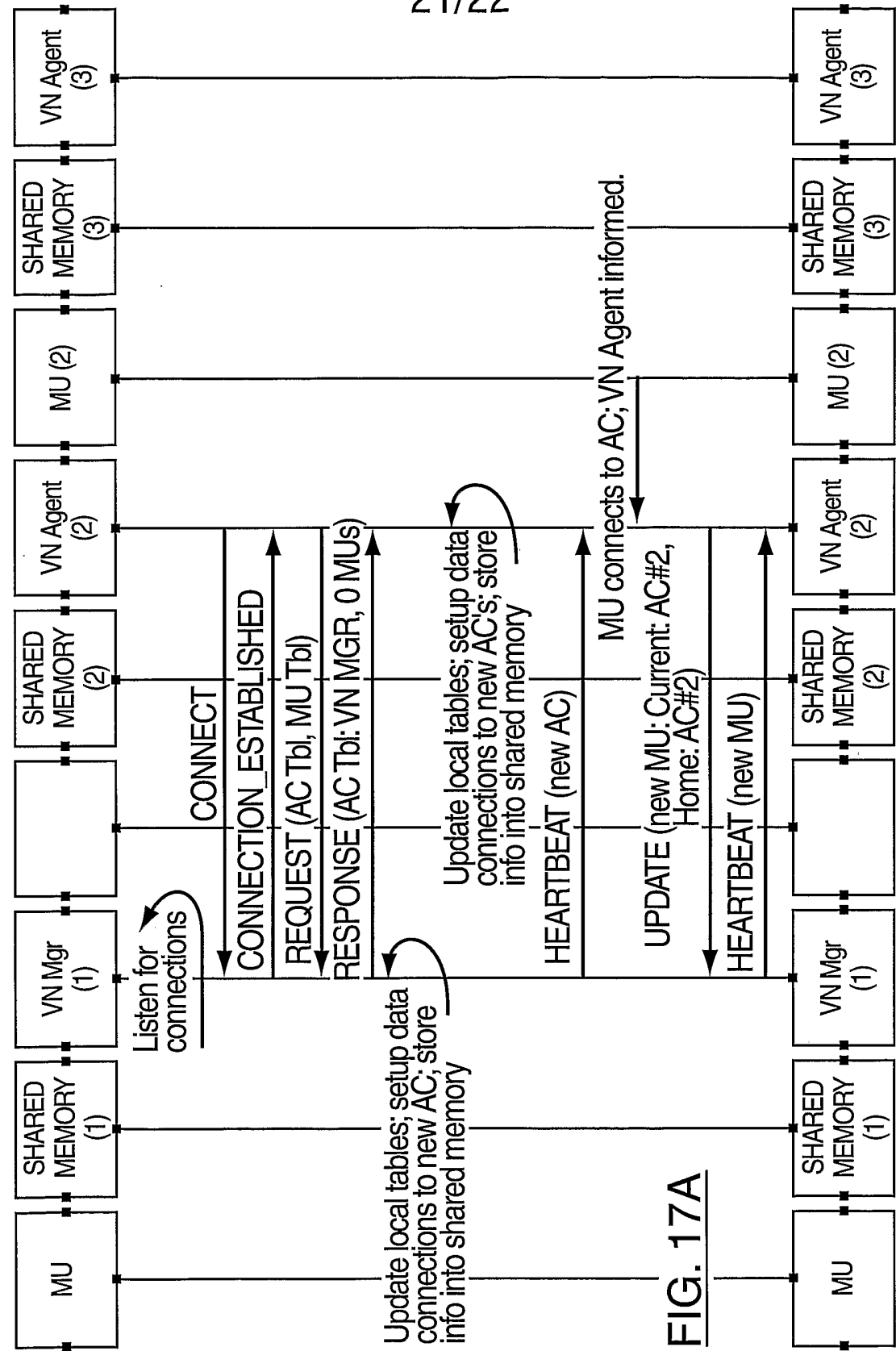
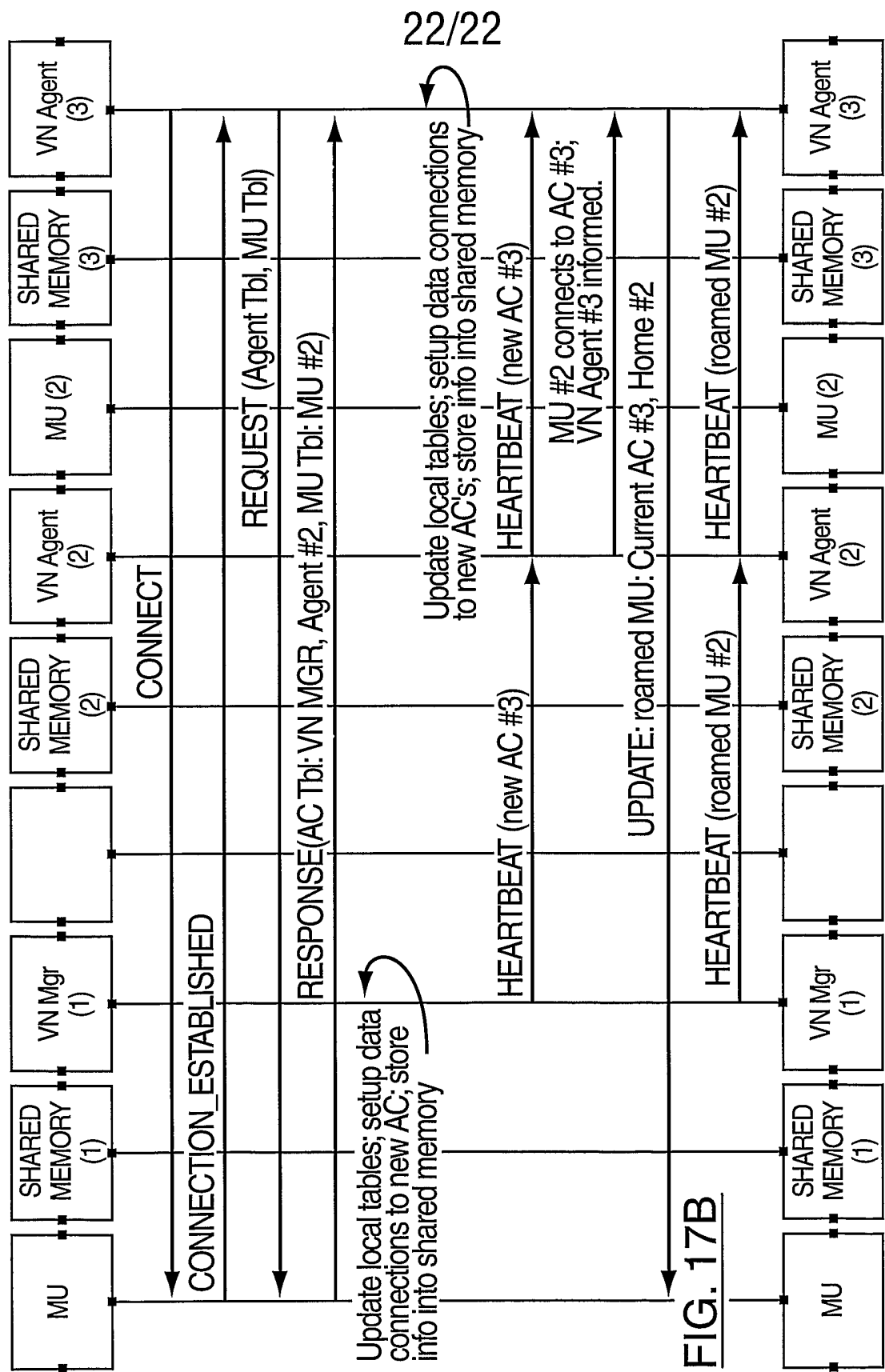


FIG. 17A





# INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA2004/000652

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04L29/06

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2002/069278 A1 (FORSLOEW JAN) 6 June 2002 (2002-06-06) paragraph '0031! paragraph '0067! paragraphs '0086! - '0095! paragraphs '0103! - '0111! paragraphs '0129! - '0136!; figures 1,3,10,12,14	1-20
A	WO 02/09458 A (BLUESOCKET INC) 31 January 2002 (2002-01-31) abstract page 6, line 24 - page 8, line 16 page 9, line 1 - line 31 page 12, line 14 - page 16, line 31; figures 1,4-7 ----- -/--	1-20

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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- \*O\* document referring to an oral disclosure, use, exhibition or other means
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Date of the actual completion of the international search

18 August 2004

Date of mailing of the international search report

25/08/2004

Name and mailing address of the ISA

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Milano, M

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA2004/000652

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>PERKINS C: "Request for Comments: 2002, IP Mobility Support" IETF, October 1996 (1996-10), XP002222715 the whole document</p> <p>-----</p>	1-20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA2004/000652

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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WO 0209458 A	31-01-2002	WO 0209458 A2	31-01-2002
		US 2002085719 A1	04-07-2002
		US 2002035699 A1	21-03-2002