Distinct surface topographies, which may include separate macro processing, micro processing, and nano processing steps.
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IMPLANTS HAVING THREE DISTINCT SURFACES

TECHNICAL FIELD

[0001] The present invention relates generally to interbody spinal implants and methods of making such implants and, more particularly, to spinal implants having at least three distinct surfaces. The present invention also relates to spinal implants comprising one or more vertical and transverse-apertures that intersect at a substantially hollow center, with the surfaces of one or more of these apertures and/or the surfaces of the hollow center comprising a roughened surface topography, which facilitates integration of the implant with newly formed bone when the implant is implanted into the spine of a patient.

BACKGROUND OF THE INVENTION

[0002] In the simplest terms, the spine is a column made of vertebrae and discs. The vertebrae provide the support and structure of the spine while the spinal discs, located between the vertebrae, act as cushions or "shock absorbers." These discs also contribute to the flexibility and motion of the spinal column. Over time, the discs may become diseased or infected, may develop deformities such as tears or cracks, or may simply lose structural integrity (e.g., the discs may bulge or flatten). Impaired discs can affect the anatomical functions of the vertebrae, due to the resultant lack of proper biomechanical support, and are often associated with chronic back pain.

[0003] Several surgical techniques have been developed to address spinal defects, such as disc degeneration, deformity, or both. Spinal fusion has become a recognized surgical procedure for mitigating back pain by restoring biomechanical and anatomical integrity to the spine. Spinal fusion techniques involve the removal, or partial removal, of at least one intervertebral disc and preparation of the disc space for receiving an implant by shaping the exposed vertebral endplates. An implant is then inserted between the opposing endplates.

[0004] Spinal fusion procedures can be achieved using a posterior or an anterior approach, for example. Anterior interbody fusion procedures generally have the advantages of reduced operative times and reduced blood loss. Further, anterior procedures do not interfere with the posterior anatomic structure of the lumbar spine. Anterior procedures also minimize scarring.
within the spinal canal while still achieving improved fusion rates, which is advantageous from a structural and biomechanical perspective. These generally preferred anterior procedures are particularly advantageous in providing improved access to the disc space, and thus correspondingly better endplate preparation.

[0005] There are a number of problems, however, with traditional spinal implants including, but not limited to, improper seating of the implant, implant subsidence (defined as sinking or settling) into the softer cancellous bone of the vertebral body, poor biomechanical integrity of the endplates, damaging critical bone structures during or after implantation, and the like. In summary, at least ten, separate challenges can be identified as inherent in traditional anterior spinal fusion devices. Such challenges include: (1) end-plate preparation; (2) implant difficulty; (3) materials of construction; (4) implant expulsion; (5) implant subsidence; (6) insufficient room for bone graft; (7) stress shielding; (8) lack of implant incorporation with vertebral bone; (9) limitations on radiographic visualization; and (10) cost of manufacture and inventory.

SUMMARY OF THE INVENTION

[0006] The present invention provides for interbody spinal implants having at least three distinct surfaces: (1) at least one integration surface; (2) at least one graft contact surface; and (3) at least one soft tissue surface. The integration surface includes macro, micro, and nano features having a fusion and biologically active surface geometry and frictionally engages preserved bone structures. The graft contact surface includes micro and nano features, which positively influence naturally occurring biological bone remodeling and fusion responses. The soft tissue surface includes a low friction surface with nano features (and optionally micro features) to avoid unintentional laceration or abrasion of delicate soft tissues (e.g., blood vessels, nerves, and muscles) the implant contacts during insertion, after insertion, or both. The soft tissue surface can also provide an anchoring point and signaling function to bone forming cells in order to positively influence the fusion and healing processes. Various implant body shapes are provided to allow for implantation through various access paths to the spine through a patient's body. The structures and surfaces are designed to work in concert to preserve endplate bone structures, provide for sufficient bioactivity in each respective location, and provide stability within the disc space and the graft containment axial column. In particular, the shapes and textures of the
bioactive surfaces vary based on the implant insertion path, location within the disc space, and frictional characteristics of the surfaces.

[0007] In one embodiment, the present invention provides an implant comprising a body having a top surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, a substantially hollow center, and a single vertical aperture; and optionally, at least one of a first integration plate affixed to the top surface of the body and a second integration plate affixed to the bottom surface of the body, wherein the first integration plate and the second integration plate each have a top surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, and a single vertical aperture extending from the top surface to the bottom surface and aligning with the single vertical aperture of the body. The interbody spinal implant further comprises (a) at least one integration surface having a roughened surface topography including macro features, micro features, and nano features, without sharp teeth that risk damage to bone structures; (b) at least one graft contact surface having a coarse surface topography including micro features and nano features; and (c) at least one soft tissue surface having a substantially smooth surface including nano features and optionally, micro features.

[0008] The integration surface may include the top, bottom, or both surfaces of the implant. In the case of no integration plates, this would include the top, bottom, or both surfaces of the body of the implant. In the case of one integration plate affixed to the top of the body of the implant, this would include the top of the integration plate, the bottom of the body, or both surfaces. In the case of one integration plate affixed to the bottom of the body of the implant, this would include the top of the body, the top of the integration plate (i.e., the outer surface of the integration plate at the bottom of the implant), or both surfaces. In the case of two integration plates sandwiched around the body of the implant, this would include the top of the first integration plate, the top of the second integration plate, or both surfaces (i.e., the outer surfaces of both integration plates at the top and bottom of the implant).

[0009] The graft contact surface may include the interior or internal surfaces of the implant. In other words, the graft contact surfaces may include any surfaces that may be in contact with bone growth inducing materials (once added to the inside of the implant). In particular, the surfaces typically in contact with bone growth inducing materials include one or more surfaces defined by the single vertical aperture, one or more surfaces defined by at least one transverse aperture, and
one or more surfaces defined by one or more openings in the implant. The implant may comprise a bone graft material disposed in the substantially hollow center, and this bone graft material preferably is in contact with the roughened surface topography of the internal surfaces or the graft contact surfaces.

[0010] The soft tissue surface may include the exterior surfaces of the implant, except for the integration surface. In other words, other than the one or more integration surfaces, the soft tissue surfaces may include any outer surfaces which may contact bone or soft tissue during or after implantation. In particular, the soft tissue surface may include the opposing lateral sides of the body and the opposing anterior and posterior portions of the body. In the case of one integration plate, the soft tissue surface may additionally include the opposing lateral sides of the integration plate and the opposing anterior and posterior portions of the integration plate. In the case of two integration plates, the soft tissue surface may additionally include the opposing lateral sides of both integration plates and the opposing anterior and posterior portions of both integration plates. The soft tissue surface may also include any rounded edges on the interbody spinal implant including rounded edges on the body or either or both of the integration plates.

[0011] In one embodiment, the single vertical aperture may extend from the top surface to the bottom surface, having maximum width at its center, and defining a transverse rim on the top surface and on the bottom surface. The transverse rim may have a varying thickness. In addition or in the alternative, the transverse rim may have a posterior thickness greater than an anterior thickness or that has an anterior thickness greater than a posterior thickness. The transverse rim may have a blunt and radiused portion along the top of each lateral side, and along the top of the posterior portion and/or along the top of the anterior portion. The portion of the transverse rim that is not blunt and radiused, and at least a portion of the internal sidewalls may have a roughened surface topography, and the blunt and radiused portion may not include any roughened surface topography.

[0012] The body may comprise a generally oval-shaped transverse cross section, a generally rectangular transverse cross section, or a generally curved transverse cross section. In some aspects, the body comprises at least one transverse aperture through each of the opposing lateral sides, and the sidewalls of the transverse aperture comprise roughened surface topography. Two,
three, four, five, six, seven, eight, or more transverse apertures may be present. The transverse aperture may comprise an intermediate wall. The body may comprise a rear wall.

[0013] The implant body and/or the integration plate(s) may be fabricated from a metal. A preferred metal is titanium or a titanium alloy. The implant body may be fabricated from both a metal and a non-metallic material. In an exemplary embodiment, a composite implant may be formed with integration plates made of titanium combined with a body also made of titanium.

[0014] In another embodiment of the invention, a composite interbody spinal implant comprises a body having a top surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, a substantially hollow center, a single vertical aperture, and at least one transverse aperture; a first integration plate affixed to the top surface of the body; and a second integration plate affixed to the bottom surface of the body. The first integration plate and the second integration plate each have a top surface comprising an integration surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, and a single vertical aperture extending from the top surface to the bottom surface and aligning with the single vertical aperture of the body, defining a transverse rim. The top surface of the first integration plate and the top surface of the second integration plate may each have a roughened surface topography including macro features, micro features, and nano features, without sharp teeth that risk damage to bone structures, adapted to grip bone through friction generated when the implant is placed between two vertebrae and to inhibit migration of the implant. A surface defined by the single vertical aperture, a surface defined by the at least one transverse aperture, and a surface defined by an optional opening may each comprise a graft contact surface having a coarse surface topography including micro features and nano features. The opposing lateral sides of the body, the opposing anterior and posterior portions of the body, the opposing lateral sides of the first integration plate, the opposing anterior and posterior portions of the first integration plate, the opposing lateral sides of the second integration plate, the opposing anterior and posterior portions of the second integration plate, and an optional rounded edge of the interbody spinal implant may each comprise a soft tissue surface having a substantially smooth surface including nano features.

[0015] The present invention also encompasses a process of fabricating a predetermined surface topography. The process may include macro processing at least one integration surface,
micro processing at least one integration surface and at least one graft contact surface, and nano processing at least one integration surface, at least one graft contact surface, and at least one soft tissue surface. The macro, micro, and nano process may include mechanical or chemical removal of at least a portion of the surface. For example, the nano process may include mild chemical etching, laser or other directed energy material removal, abrasion, blasting, or tumbling, followed by cleaning.

[0016] The roughened surface topography may comprise macro features comprising an amplitude of about 20 microns to about 200 microns from the peak to the mean line and a peak-to-valley height of about 40 microns to about 500 microns, and a spacing of about 400 microns to about 2000 microns between macro features. The roughened surface topography may comprise micro features comprising an amplitude of about 1 micron to about 20 microns from the peak to the mean line and a peak-to-valley height of about 2 microns to about 40 microns, and a spacing of about 20 microns to about 400 microns between micro features. The roughened surface topography may comprise nano features comprising an amplitude of about 0.01 microns to about 1 micron from the peak to the mean line and a peak-to-valley height of about 0.2 microns to about 2 microns, and a spacing of about 0.5 microns to about 20 microns between nano features.

BRIEF DESCRIPTION OF THE DRAWING

[0017] The invention is best understood from the following detailed description when read in connection with the accompanying drawing. It is emphasized that, according to common practice, the various features of the drawing are not to scale. On the contrary, the dimensions of the various features are arbitrarily expanded or reduced for clarity. Included in the drawing are the following figures:

[0018] FIG. 1 shows a perspective view of an embodiment of the interbody spinal implant having three distinct surfaces;

[0019] FIG. 2A shows a perspective view of an embodiment of the interbody spinal implant having a generally oval shape and roughened surface topography on the top surface;

[0020] FIG. 2B shows a top view of the embodiment of the interbody spinal implant illustrated in FIG. 2A;
FIG. 3 shows an anterior view of an embodiment of the interbody spinal implant having two integration plates, which sandwich the body of the implant;

FIGS. 4A-4C depict a technique to form the macro features of the roughened surface topography on the integration surface in an embodiment of the invention;

FIG. 4D depicts the macro features of the roughened surface topography on the integration surface in an embodiment of the invention;

FIG. 5A represents macro-, micro-, and nano- scaled features on a surface;

FIG. 5B shows Ra, Rmax, and Sm for a roughened surface topography;

FIG. 6 shows an exploded view of a generally oval-shaped implant with an integration plate;

FIG. 7 shows an exploded view of a curved implant with an integration plate;

FIG. 8 shows an exploded view of a posterior implant with an integration plate;

FIG. 9 shows an exploded view of a lateral lumbar implant with an integration plate;

FIG. 10 shows an exploded view of a generally oval-shaped anterior cervical implant with an integration plate;

FIG. 11 illustrates one set of process steps that can be used to form macro, micro, or nano processes;

FIG. 12 graphically represents the average amplitude, Ra;

FIG. 13 graphically represents the average peak-to-valley roughness, Rz;

FIG. 14 graphically represents the maximum peak-to-valley height, Rmax;

FIG. 15 graphically represents the total peak-to-valley waviness profile;

FIG. 16 graphically represents the mean spacing, Sm;

FIG. 17 shows a perspective view from the front of another embodiment of the interbody spinal implant according to the invention;

FIG. 18 shows a perspective view from the rear of the embodiment of the interbody spinal implant illustrated in FIG. 3;
FIG. 19 shows a perspective view of another embodiment of the interbody spinal implant according to the invention, including a transverse aperture;

FIG. 20A shows a perspective view of another embodiment of the interbody spinal implant having a generally oval shape and being especially well adapted for use in a cervical spine surgical procedure;

Fig. 20B shows a perspective view of a cervical implant having a generally box shape;

FIG. 21 shows a confocal laser microscopy image of an comparative polyetheretherketone (PEEK) surface;

FIG. 22 shows a confocal laser microscopy image of a comparative smooth titanium alloy (sTi or sTiAIV) surface;

FIG. 23 shows a confocal laser microscopy image of an exemplary rough titanium alloy (rTi or rTiAIV) surface;

FIG. 24 shows SEM images of the PEEK surface of FIG. 21 at 1K x and 2K x magnification;

FIG. 25 shows SEM images of the smooth titanium alloy surface of FIG. 22 at 1K x and 2K x magnification;

FIG. 26 shows SEM images of the rough titanium alloy surface of FIG. 23 at 1K x and 2K x magnification;

FIG. 27 shows a graph of the cell number for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTi, and rTi surfaces.

FIG. 28 shows a graph of alkaline phosphatase specific activity for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTi, and rTi surfaces.

FIG. 29 shows a graph of osteocalcin levels for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTi, and rTi surfaces.

FIG. 30 shows a graph of osteoprotegerin levels for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTi, and rTi surfaces.
FIG. 31 shows a graph of latent TGF-β1 levels for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 32 shows a graph of active TGF-β1 levels for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 33 shows a graph of BMP2 levels for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 34 shows a graph of active BMP4 levels for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 35 shows a graph of active BMP7 levels for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 36 shows a graph of ITGA1 expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 37 shows a graph of ITGA2 expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 38 shows a graph of ITGAV expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 39 shows a graph of ITGB1 expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 40 shows a graph of BMP2 expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 41 shows a graph of BMP4 expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 42 shows a graph of NOG expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.

FIG. 43 shows a graph of GREM1 expression for human MG63 osteoblast-like cells cultured on tissue culture polystyrene (TCPS), PEEK, sTI, and rTi surfaces.
FIG. 4 shows a cut-away view of an anterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and hollow center;

FIG. 45 shows a cut-away view of an anterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the transverse aperture;

FIG. 46 shows a cut-away view of an anterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and hollow center, and transverse aperture;

FIG. 47 shows a cut-away view of a posterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and hollow center;

FIG. 48 shows a cut-away view of a posterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the transverse aperture;

FIG. 49 shows a cut-away view of a posterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and hollow center, and transverse aperture;

FIG. 50 shows a cut-away view of a curved posterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the transverse aperture;

FIG. 51 shows a cut-away view of a curved posterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and hollow center;

FIG. 52 shows a cut-away view of a curved posterior lumbar interbody fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and hollow center, and transverse aperture;

FIG. 53 shows a cut-away view of a cervical fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and hollow center, transverse aperture, and rear wall; and

FIG. 54 shows a cut-away view of a cervical fusion implant having roughened surface topography on the internal surfaces of the vertical aperture and the transverse aperture.
DETAILED DESCRIPTION OF THE INVENTION

[0076] Certain embodiments of the present invention may be especially suited for placement between adjacent human vertebral bodies. The implants of the present invention may be used in procedures such as Anterior Lumbar Interbody Fusion (ALIF), Posterior Lumbar Interbody Fusion (PLIF), Transforaminal Lumbar Interbody Fusion (TLIF), and cervical fusion. Certain embodiments do not extend beyond the outer dimensions of the vertebral bodies.

[0077] The ability to achieve spinal fusion is directly related to the available vascular contact area over which fusion is desired, the quality and quantity of the fusion mass, and the stability of the interbody spinal implant. Interbody spinal implants, as now taught, allow for improved seating over the apophyseal rim of the vertebral body. Still further, interbody spinal implants, as now taught, better utilize this vital surface area over which fusion may occur and may better bear the considerable biomechanical loads presented through the spinal column with minimal interference with other anatomical or neurological spinal structures. Even further, interbody spinal implants, according to certain aspects of the present invention, allow for improved visualization of implant seating and fusion assessment. Interbody spinal implants, as now taught, may also facilitate osteointegration (e.g., formation of direct structural and functional interface between the artificial implant and living bone or soft tissue) with the surrounding living bone.

[0078] It is generally believed that the surface of an implant determines its ultimate ability to integrate into the surrounding living bone. Without being limited by theory, it is hypothesized that the cumulative effects of at least implant composition, implant surface energy, and implant surface roughness play a major role in the biological response to, and osteointegration of, an implant device. Thus, implant fixation may depend, at least in part, on the stimulation and proliferation of bone modeling and forming cells, such as osteoclasts and osteoblasts and like-functioning cells upon the implant surface. Still further, it appears that these cells attach more readily to relatively rough surfaces rather than smooth surfaces. In this manner, a surface may be bioactive due to its ability to stimulate cellular attachment and osteointegration.

[0079] **Implant Structure**

[0080] Referring now to the drawing, in which like reference numbers refer to like elements throughout the various figures that comprise the drawing, FIGS. 1 and 2A show a perspective view of a first embodiment of the interbody spinal implant 1 especially well adapted for use in
the ALIF procedure. Other embodiments of the invention include implant 101 suitable for posterior lumbar interbody fusion (see e.g., FIGS. 8, 17, 18, and 47-49), implant 101a suitable for transforaminal lumbar interbody fusion (see e.g., FIGS. 7, 19, and 50-52), and implant 201 suitable for cervical fusion (see e.g., FIGS. 10, 20A, 20B, 53, and 54) procedures. The interbody spinal implant 1, 101, 101a, 201, and 301 includes a body 2 having a top surface 10, a bottom surface 20, opposing lateral sides 30, and opposing anterior 40 and posterior 50 portions. The interbody spinal implant 1, 101, 101a, 201, and 301 may include implants made of a single piece of material or composite implants.

[0081] Interbody spinal implants 1, 101, 101a, 201, and 301 made of a single piece of material do not include integration plates 82. Thus, the integration surface may include the top surface 10, 110, 110a, 210, and 310 of the body 2 of the implant 1, 101, 101a, 201, and 301, the bottom surface 20, 120, 120a, 220, 320 of the body 2 of the implant 1, 101, 101a, 201, and 301, or both. The integration surfaces have a roughened surface topography 80 including macro features, micro features, and nano features, without sharp teeth that risk damage to bone structures. The implant 1, 101, 101a, 201, and 301 may be composed of a suitable biocompatible material. In an exemplary embodiment, implant 1, 101, 101a, 201, and 301 is formed of metal. The metal may be coated or not coated. Suitable metals, such as titanium, aluminum, vanadium, tantalum, stainless steel, and alloys of those metals, may be selected by one of ordinary skill in the art. In a preferred embodiment, however, the metal is at least one of titanium, aluminum, and vanadium, without any coatings. In a more preferred embodiment, the implant 1, 101, 101a, 201, and 301 is comprised of titanium or a titanium alloy. An oxide layer may naturally form on a titanium or titanium alloy. Titanium and its alloys are generally preferred for certain embodiments of the present invention due to their acceptable, and desirable, strength and biocompatibility. In this manner, certain embodiments of the present interbody spinal implant 1, 101, 101a, 201, and 301 may have improved structural integrity and may better resist fracture during implantation by impact.

[0082] Composite implants include at least a body 2 and one or two integration plates 82, which may be formed from the same or different materials. As depicted in FIG. 6, the implant 1 includes a first integration plate 82 affixed to the top surface 10 of the body 2 and an optional second integration plate 82 (shown in FIG. 3) affixed to the bottom surface 20 of the body 2. The first integration plate 82 and optional second integration plate 82 each have a top surface 81,
a bottom surface 83, opposing lateral sides, opposing anterior portion 41 and posterior portion 51, and a single vertical aperture 61 extending from the top surface 81 to the bottom surface 83 and aligning with the single vertical aperture 60 of the body 2.

[0083] When present, the integration plate(s) 82 comprise an integration surface (e.g., the top surface 81 of the integration plate 82), which is adapted to grip bone through friction generated when the implant 1, 101, 101a, 201, and 301 is placed between two vertebrae and to inhibit migration of the implant 1, 101, 101a, 201, and 301 once implanted. The integration surfaces may also have a fusion and biologically active surface geometry. In other words, at least a portion of the top surface 81 of the first integration plate 82 (e.g., a first integration surface) and optionally a top surface 81 of a second integration plate 82 (e.g., a second integration surface) has a roughened surface topography 80 including macro features, micro features, and nano features, without sharp teeth that risk damage to bone structures. The roughened surface topography 80 may include macro features, micro features, and nano features of a regular repeating pattern, which may promote biological and chemical attachment or fusion with the bone structure.

[0084] The body 2 and at least one integration plate 82 are preferably compatibly shaped, such that the implant 1, 101, 101a, 201, and 301 having the body 2 and integration plate(s) 82 joined together may have a generally oval shape, a generally rectangular shape, a generally curved shape, or any other shape described or exemplified in this specification. Thus, for example, the body 2 and the integration plate(s) 82 may be generally oval-shaped in transverse cross-section. The body 2 and the integration plate(s) 82 may be generally rectangular-shaped in transverse cross-section. The body 2 and the integration plate(s) 82 may be generally curved-shaped in transverse cross-section.

[0085] The body 2 and integration plate(s) 82 of the implant 1, 101, 101a, 201, and 301 may be the same material or may be different. The body 2 and the integration plate(s) 82 may be composed of a suitable biocompatible material. In an exemplary embodiment, the body 2 and optional integration plate(s) 82 are formed of metal, which may be coated or not coated. Suitable metals, such as titanium, aluminum, vanadium, tantalum, stainless steel, and alloys of the metals, may be selected by one of ordinary skill in the art. In a preferred embodiment, however, the metal is at least one of titanium, aluminum, and vanadium, without any coatings. In a more
preferred embodiment, the body 2 and optional integration plate(s) 82 are comprised of titanium or a titanium alloy. An oxide layer may naturally form on a titanium or titanium alloy.

[0086] Alternatively, the body 2 may be composed of a non-metal biocompatible material. In one embodiment, the body 2 of the implant 1, 101, 101a, 201, and 301 is formed of a plastic, polymeric, or composite material. For example, suitable polymers may comprise silicones, polyolefins, polyester, polyethers, polystyrenes, polyurethanes, acrylates, and co-polymers and mixtures of the polymers. Certain embodiments of the present invention may be comprised of a biocompatible, polymeric matrix reinforced with bioactive fillers, fibers, or both. Certain embodiments of the present invention may be comprised of urethane dimethacrylate (DUDMA)/tri-ethylene glycol dimethacrylate (TEDGMA) blended resin and a plurality of fillers and fibers including bioactive fillers and E-glass fibers. In another embodiment, the body 2 comprises polyetherether-ketone (PEEK), Hedrocel, or ultra-high molecular weight polyethylene (UHMWPE). Hedrocel is a composite material composed of carbon and an inert metal, such as tantalum. UHMWPE, also known as high-modulus polyethylene (HMPE) or high-performance polyethylene (HPPE), is a subset of the thermoplastic polyethylene, with a high molecular weight, usually between 2 and 6 million.

[0087] Certain embodiments of the interbody spinal implant 1, 101, 101a, 201, and 301 are substantially hollow. Substantially hollow, as used in this document, means at least about 33% of the interior volume of the interbody spinal implant 1, 101, 101a, 201, and 301 is vacant. Still further, the substantially hollow portion may be filled with cancellous autograft bone, allograft bone, demineralized bone matrix (DBM), porous synthetic bone graft substitute, bone morphogenetic protein (BMP), or combinations of those materials.

[0088] Three Distinct Surfaces of the Implant

[0089] The three surfaces of the implant 1, 101, 101a, 201, and 301 are designed to balance friction with roughened integration surfaces, preserve critical tissues and influence the natural biological responses of cells forming bone structures in contact with the outer smooth soft tissue surfaces, and enhance healing of joint space fusion treatments by providing graft contact surfaces. The at least three distinct surfaces described in this document may also better promote the osteointegration of certain embodiments of the present invention. The roughened surface topography 80 of the integration surfaces may better grip the vertebral endplate surfaces and
inhibit implant migration upon placement and seating. The coarse surface topography of the
graft contact surface may positively promote naturally occurring biological bone remodeling and
fusion responses, for example, by stabilizing the graft materials and transferring loads from the
motion of the joint through the implant body to those graft materials. The smooth surface
topography of the soft tissue surface may provide an anchoring point and signaling function to
bone forming cells to positively influence the fusion and healing responses. In addition, the
smooth surface topography functions as a low friction surface so as to not cause unintentional
abrasion or laceration of delicate soft tissue that the implant may contact during or after
insertion.

(a) Integration Surfaces

The implant 1, 101, 101a, 201, and 301 includes a roughened surface topography 80 or integration
surface on at least a portion of the top surface, bottom surface, or both (e.g., the top
surface 81 of an integration plate 82). As used in this document, the integration surface is the
surface at least partially in contact with the vertebral or bone structure. In one embodiment of
the present invention, the roughened surface topography 80 is obtained by combining separate
macro processing, micro processing, and nano processing steps. The term "macro" typically
means relatively large; for example, in the present application, dimensions measured in
millimeters (mm). The term "micro" typically means one millionth (10⁻⁶); for example, in the
present application, dimensions measured in microns (µm) which correspond to 10⁻⁶ meters. The
term "nano" typically means one billionth (10⁻⁹); for example, in the present application,
dimensions measured in nanometers (nm) which correspond to 10⁻⁹ meters. FIG. 5A depicts
macro, micro, and nano-sized surface features on a surface.

The interbody implant 1, 101, 101a, 201, and 301 has a roughened surface topography
80 on the integration surface(s). The integration surface may include the top, bottom, or both
surfaces of the implant. In the case of no integration plates 82, this would include the top 10,
bottom 20, or both surfaces of the body 2 of the implant 1, 101, 101a, 201, and 301. In the case
of one integration plate 82 affixed to the top 10 of the body 2 of the implant, this would include
the top 81 of the integration plate 82, the bottom 20 of the body 2, or both surfaces. In the case
of one integration plate 82 affixed to the bottom 20 of the body 2 of the implant 1, this would
include the top 10 of the body 2, the top 81 of the integration plate 82 (i.e., the outer surface of
the integration plate 82 at the bottom of the implant), or both surfaces. In the case of two
integration plates 82 sandwiched around the body 2 of the implant 1, this would include the top
81 of the first integration plate 82, the top 81 of the second integration plate 82, or both surfaces
(i.e., the outer surfaces of both integration plates 82 at the top and bottom of the implant,
respectively).

[0093] The integration surface(s) comprise predefined surface features that (a) engage the
vertebral endplates with a friction fit and, following an endplate preserving surgical technique,
(b) attain initial stabilization, and (c) benefit fusion. The composition of the endplate is a thin
layer of notch-sensitive bone that is easily damaged by features (such as teeth) that protrude
sharply from the surface of traditional implants. Avoiding such teeth and the attendant risk of
damage, the roughened surface topography 80 of the integration surface(s) does not have teeth or
other sharp, potentially damaging structures; rather, the roughened surface topography 80 may
have a pattern of repeating features of predetermined sizes, smooth shapes, and orientations. As
used in the document, "predetermined" means determined beforehand, so that the predetermined
characteristic must be determined, i.e., chosen or at least known, before use of the implant 1,
101, 101a, 201, and 301.

[0094] The shapes of the frictional surface protrusions of the roughened surface topography 80
are formed using processes and methods commonly applied to remove metal during fabrication
of implantable devices such as chemical, electrical, electrochemical, plasma, or laser etching;
cutting and removal processes; casting; forging; machining; drilling; grinding; shot peening;
abrasive media blasting (such as sand or grit blasting); and combinations of these subtractive
processes. Additive processes such as welding, thermal, coatings, sputtering, and optical melt
additive processes are also suitable. The resulting surfaces either can be random in the shape and
location of the features or can have repeating patterns. This flexibility allows for the design and
production of surfaces that resist motion induced by loading in specific directions that are
beneficial to the installation process and resist the opposing forces that can be the result of
biologic or patient activities such as standing, bending, or turning or as a result of other
activities. The shapes of the surface features, when overlapping, work to increase the surface
contact area but do not result in undercuts that generate a cutting or aggressively abrasive action
on the contacting bone surfaces.
These designed surfaces are composed of various sizes of features that, at the microscopic level, interact with the tissues and stimulate their natural remodeling and growth. At a larger scale these features perform the function of generating non-stressful friction that, when combined with a surgical technique that retains the most rigid cortical bone structures in the disc space, allow for a friction fit that does not abrade, chip, perforate, or compromise the critical endplate structures. The features may be divided into three size scales: nano, micro, and macro. The overlapping of the three feature sizes can be achieved using manufacturing processes that are completed sequentially and, therefore, do not remove or degrade the previous method.

The first step in the process may be mechanical (e.g., machining though conventional processes) or chemical bulk removal, for example, to generate macro features. The macro features may be of any suitable shape, for example, roughly spherical in shape, without undercuts or protruding sharp edges. Other shapes are possible, such as ovals, polygons (including rectangles), and the like. These features may be at least partially overlapped with the next scale (micro) of features using either chemical or mechanical methods (e.g., A10₂ blasting) in predetermined patterns which also do not result in undercuts or protruding sharp edges. The third and final process step is completed through more mild (less aggressive) etching (e.g., HCl acid etching) that, when completed, generates surface features in both the micro and nano scales over both of the features generated by the two previous steps. The nano layer may dictate the final chemistry of the implant material.

(b) Graft Contact Surfaces

The graft contact surface includes micro and nano features, which positively influence naturally occurring biological bone remodeling and fusion responses. Such results may occur by stabilizing the graft materials and transferring loads from the motion of the joint through the implant body to these materials. The graft contact surface may include surfaces that are in contact with or may become in contact with contained bone growth inducing materials. For example, the substantially hollow portion of the implant 1, 101, 101a, 201, and 301 may be filled with bone growth inducing materials once the implant 1, 101, 101a, 201, and 301 has been inserted into position. Suitable bone growth inducing materials may include, but are not limited to, cancellous autograft bone, allograft bone, demineralized bone matrix (DBM), porous...
synthetic bone graft substitute, bone morphogenic protein (BMP), and combinations of those materials.

The graft contact surface may include the interior surfaces of the implant 1, 101, 101a, 201, and 301. The graft contact surface may include any internal sidewalls that surround the substantially hollow implant center, including the sidewalls 66, 166, 166a, and 266 of the vertical aperture 60, 160, 160a, 260, and 360 and sidewalls 76, 176, 176a, and 276 of the transverse aperture 70, 170, 170a, and 270. In other words, the graft contact surfaces may include any surfaces that may be in contact with bone growth inducing materials (once added to the inside of the implant). In particular, the surfaces typically in contact with bone growth inducing materials include one or more surfaces defined by the single vertical aperture 60, 160, 160a, 260, and 360, one or more surfaces defined by at least one transverse aperture 70, 170, 170a, and 270, and one or more surfaces defined by one or more alternative openings 92 in the implant 1, 101, 101a, 201, and 301. In an exemplary embodiment depicted in FIG. 1, the graft contact surfaces include each of surface 60a defined by the single vertical aperture 60, surfaces 70a defined by two transverse apertures 70, and surface 92a defined by alternative opening 92.

The graft contact surface may have a "coarse" surface topography in that the surface topography is roughened or textured in the microscopic and nanoscopic levels. The micro features may be formed using either chemical or mechanical methods (e.g., Al₂O₃ blasting) in predetermined patterns, which also do not result in undercuts or protruding sharp edges. The nano features may be formed through more mild (less aggressive) etching (e.g., HCl acid etching). The graft contact surface may have a mid-level of friction when evaluated in comparison to the integration surface and the soft tissue surface. In other words, a frictional relationship (e.g., a coefficient of friction) between the integration surface, the graft contact surface, and the soft tissue surface may be defined as follows: the coefficient of friction of the integration surface ≥ the coefficient of friction of the graft contact surface ≥ the coefficient of friction of the soft tissue surface.

(c) Soft Tissue Surfaces

The soft tissue surface or insertion surface includes a low friction surface with nano features (and optionally micro features) to avoid unintentional laceration or abrasion of delicate soft tissues the implant 1, 101, 101a, 201, and 301 contacts during insertion, after insertion, or
both. The soft tissue surface can also provide an anchoring point and signaling function to bone forming cells in order to positively influence the fusion and healing processes.

[0103] The soft tissue surface may include the exterior surfaces of the implant 1, 101, 101a, 201, and 301, except for the integration surface. In other words, other than the one or more integration surfaces, the soft tissue surfaces may include any outer surfaces which may contact bone or soft tissues during or after implantation. In particular, the soft tissue surface may include the opposing lateral sides 30 of the body 2, the opposing anterior portion 40 of the body 2, and the posterior portion 50 of the body 2. In the case of one integration plate 82, the soft tissue surface may additionally include the opposing lateral sides of the integration plate 82, the opposing anterior portion 41 of the integration plate 82, and the posterior portion 51 of the integration plate 82. In the case of two integration plates 82, the soft tissue surface may include the opposing lateral sides of both integration plates 82 and the opposing anterior portion 41 and posterior portion 51 of both integration plates 82. The soft tissue surface may also include any rounded edges 7 on the interbody spinal implant including rounded edges 7 on the body 2 or either or both of the integration plates 82.

[0104] The soft tissue surface may have a "smooth" surface topography in that the surface topography appears substantially smooth to the unaided eye. The smooth surface may include, however, intentional nano-sized features, and optionally, micro features. The nano features, and optionally, the micro features, may be formed through more mild (less aggressive) etching (e.g., HC1 acid etching), for example. The soft tissue surface may have a low degree of friction when evaluated in comparison to the integration surface and the soft tissue surface. In other words, a frictional relationship (e.g., a coefficient of friction) between the integration surface, the graft contact surface, and the soft tissue surface may be defined as follows: a high degree of friction for the integration surface relative to a medium degree of friction for the graft contact surface relative to a low degree of friction for the soft tissue surface.

[0105] **Macro, Micro, and Nano Processes**

[0106] FIG. 11 illustrates one set of process steps that can be used to form macro, micro, or nano processes. As illustrated, there may be some overlap in the processes that can be applied to form each of the three types of features (macro, micro, and nano). For example, acid etching can be used to form the macro features, then the same or a different acid etching process can be used
to form the micro features. The features may be provided in a random design or a predetermined pattern (e.g., a repeating pattern).

[0107] (a) Macro Features

[0108] The macro features are relatively large features (e.g., on the order of millimeters). The macro features may be formed from subtractive techniques (e.g., mechanical or chemical bulk removal, for example) or additive techniques (e.g., deposition). Preferably, the macro features are formed by subtractive techniques, which remove at least portions of the surface (e.g., from the titanium material that was used to form the part). Suitable subtractive techniques may include for example, machining (e.g., machine tools, such as saws, lathes, milling machines, and drill presses, are used with a sharp cutting tool to physically remove material to achieve a desired geometry) or unmasked or masked etching (e.g., portions of the surface is protected by a masking material which resists etching and an etching substance is applied to unmasked portions). The patterns may be organized in regular repeating patterns and optionally overlapping each other. In a preferred embodiment, the macro features may be formed in three, sequential steps.

[0109] FIG. 4A illustrates the result of the first step in forming the macro features 102. Specifically, a first cut pattern 103 of the macro features is formed in a surface (e.g., the top surface 81 of the integration plate 82). The "cut 1" features of the first cut pattern 103 may cover about 20% of the total area of the surface, for example, leaving about 80% of the original surface 104 remaining. The range of these percentages may be about ± 20%, preferably ± 10%, and more preferably about ± 5%. The "cut 1" features of the first cut pattern 103 do not have any undercuts. In one embodiment, these "cut 1" features have the smallest diameter and greatest depth of the macro features that are formed during the sequential steps.

[0110] FIG. 4B illustrates the result of the second step in forming the macro features. Specifically, a second cut pattern 105 of the macro features is formed in the surface. Together, the "cut 1" features of the first cut pattern 103 and the "cut 2" features of the second cut pattern 105 may cover about 85% of the total area of the surface, for example, leaving about 15% of the original surface 104 remaining. The range of these percentages may be about ± 10% and preferably ± 5%. In an embodiment of the invention, these "cut 2" features have both a diameter
and a depth between those of the "cut 1" and "cut 3" features of the macro features that are formed during the first and third steps of the process of forming the macro features.

[0111] FIG. 4C illustrates the result of the third and final step in forming the macro features. Specifically, a third cut pattern 107 of the macro features may be formed in the surface. Together, the "cut 1" features of the first cut pattern 103, the "cut 2" features of the second cut pattern 105, and the "cut 3" features of the third cut pattern 107 cover about 95% of the total area of the surface, for example, leaving about 5% of the original surface 104 remaining. The range of these percentages may be about ± 1%. In an embodiment of the invention, these "cut 3" features may have the largest diameter and least depth of the macro features that are formed during the sequential process steps.

[0112] FIG. 4D also depicts the roughened surface topography 80 of the integration surface on the implant 1, 101, 101a, 201, and 301 following completion of the three, sequential processing steps. As shown, the finished macro features comprise multiple patterns of the three, overlapping cuts: the first cut pattern 103, the second cut pattern 105, and the third cut pattern 107.

[0113] (b) Micro Features

[0114] Depending on the surface structure desired, the micro surface features (e.g., on the order of micrometers) may be applied to all or a portion of the surface (for example, to the integration surface, the graft contact surface, the soft tissue surfaces, or all three). The micro features may also be formed from subtractive techniques (e.g., mechanical or chemical bulk removal, for example) or additive techniques (e.g., deposition). Preferably, the micro features are also formed by subtractive techniques.

[0115] In an exemplary embodiment, the micro features are cut by masked or unmasked etching, such as acid etching. For example, portions of the surface, optionally including portions of the surface exposed by the macro step(s) described above for the case of the integration surface, may be exposed to a chemical etching. In an exemplary embodiment, the micro process includes an acid etching, with a strong acid, such as hydrochloric acid (HC1), hydroiodic acid (HI), hydrobromic acid (HBr), hydrofluoric (HF), perchloric acid (HC10₄), nitric acid (HN0₃), sulfuric acid (H₂SO₄), and the like. The etching process may be repeated a number of times as necessitated by the amount and nature of the irregularities required for any particular application.
Control of the strength of the etchant material, the temperature at which the etching process takes place, and the time allotted for the etching process allows fine control over the resulting surface produced by the process. The number of repetitions of the etching process can also be used to control the surface features. For example, the micro features may be obtained via the repetitive masking and chemical or electrochemical milling processes described in U.S. Patents No. 5,258,098; No. 5,507,815; No. 5,922,029; and No. 6,193,762, the contents of which are incorporated by reference into this document, in their entirety, and for all purposes.

By way of example, an etchant mixture of at least one of nitric acid and hydrofluoric acid may be repeatedly applied to a titanium surface to produce an average etch depth of about 0.53 mm. In another example, chemical modification of a titanium surface can be achieved using at least one of hydrofluoric acid (HF), hydrochloric acid (HC1), and sulfuric acid (H2SO4). For example, chemical modification of the titanium implant surfaces can be achieved using HF and a combination of hydrochloric acid (HC1) and sulfuric acid (HC1/H2SO4). In a dual acid etching process, for example, the first exposure may be to hydrofluoric acid and the second may be to a hydrochloric acid and sulfuric acid mixture. Chemical acid etching alone may enhance osteointegration without adding particulate matter (e.g., hydroxyapatite) or embedding surface contaminants (e.g., grit particles).

The micro features may also be cut by abrasive or grit blasting, for example, by applying a stream of abrasive material (such as alumina, sand, and the like) to the surface. The abrasive material may include inert and non-bioactive materials. Alternatively, the abrasive material may include those reactive with biological functions as part of healing and fusions. In an exemplary embodiment, the micro features are created, at least partially, with an aqueous hydrochloric acid etching step and at least partially with an Al2O3 blasting step. Patterns may be organized in regular repeating patterns and optionally overlapping each other. After the micro features are formed, it is possible that less than about 3% of the original surface 104 remains. The range of that percentage may be about ± 1%.

(c) Nano Features

Depending on the surface structure desired, the nano surface features (e.g., on the order of nanometers) may be applied to all or a portion of the surface (for example, to the integration surface, the graft contact surface, the soft tissue surfaces, or all three). The nano features may...
also be formed from subtractive techniques (e.g., mechanical or chemical bulk removal, for example) or additive techniques (e.g., deposition). Preferably, the nano features are also formed by subtractive techniques.

[0120] In an exemplary embodiment, the nano features are cut by masked or unmasked etching. For example, portions of the surface, including optionally portions of the surface exposed by the macro and micro steps described above in the case of the integration surface, may be exposed to a chemical etching. In an exemplary embodiment, the nano process also includes an acid etching, with a strong or weak acid, such as hydrochloric acid (HCl), hydroiodic acid (HI), hydrobromic acid (HBr), hydrofluoric acid (HF), perchloric acid (HClO₄), nitric acid (HNO₃), sulfuric acid (H₂SO₄), and the like. The acid etching process for the nano step is preferably less aggressive than the acid etching process in the micro step. In other words, a less acidic, mild, or more dilute acid may be selected. In an exemplary embodiment, the nano features are created, at least partially, with an aqueous hydrochloric acid etching step.

[0121] As an example, the nano features may be formed by preparing an acid solution comprising hydrochloric acid, water, and titanium; applying the acid solution to the desired surface; removing the acid solution by rinsing with water; and heating and subsequently cooling the surface being treated.

[0122] The acid solution may be prepared using any suitable techniques known in the art. For example, the acid solution may be prepared by combining hydrochloric acid and water, simultaneously or sequentially. The aqueous hydrochloric acid solution may optionally be heated, for example, to a temperature of about 150-250°F (66-121 °C), preferably about 200-210°F (93-99°C), and most preferably about 205°F (96°C). The titanium may be seeded (e.g., added) in the aqueous hydrochloric acid solution or may already be present from titanium previously removed from at least one surface of the implant, for example, in a continuous manufacturing process. The solution may optionally be cooled. The acid solution may comprise a concentration of 20-40% hydrochloric acid, preferably about 25-31% hydrochloric acid, and more preferably about 28% hydrochloric acid, based on the weight percent of the solution.

[0123] It is preferred that the etch rate is substantially constant. The etch rate in a metal-free solution is typically erratic. For example, the metal may be passive for some period and then suddenly start reacting (sometimes at an exceptionally high rate), then may even go passive
again. Therefore, it is preferred that the metal (e.g., titanium) is present from the manufacturing process or the titanium is seeded with the aqueous hydrochloric acid solution.

[0124] The acid solution may be applied to the surface (e.g., a treated surface) using any suitable mechanism or techniques known in the art, for example, immersion, spraying, brushing, and the like. In an exemplary embodiment, the acid solution is applied to the surface by immersing the entire part (e.g., the integration plate 82) in the solution. It is also contemplated that the part (e.g., the integration plate 82) may be immersed in the acid solution alone or in combination with the entire implant 1, 101, 101a, 201, and 301 or the assembled implant 1, 101, 101a, 201, and 301 (i.e., including the body 2). If desired, certain areas of the surface or the implant 1, 101, 101a, 201, and 301 may be masked in patterns to protect certain portions of the implant 1, 101, 101a, 201, and 301. The acid solution may be heated when it is applied to the surface. For example, the solution may be heated to a temperature of about 150-250°F (66-121°C), preferably about 200-210°F (93-99°C), and most preferably about 205°F (96°C). The solution may also be applied for any suitable period of time. For example, the solution may be applied to the surface for a period of time of about 5-30 minutes, preferably about 15-25 minutes, and more preferably about 20 minutes.

[0125] After the acid solution is applied, the acid solution may be removed, for example, by rinsing with water (e.g., deionized water). The treated surface or the entire implant 1, 101, 101a, 201, and 301 may be subsequently dried. The treated surface may be dried using any suitable mechanism or techniques known in the art, for example, by heating in an oven (e.g., a dry oven). The treated surface (or entire implant) may be heated to a temperature of about 110-130°F (43-54°C), preferably about 120-125°F (49-52°C), and most preferably about 122.5°F (49-52°C). The treated surface (or entire implant) may be heated for any suitable period of time, for example about 30-50 minutes, preferably about 35-45 minutes, and more preferably about 40 minutes. After heating, the treated surface may be cooled to room temperature, for example.

[0126] It is contemplated that the nano features may also be removed by the abrasive or grit blasting, for example, described for the micro processing step. Patterns may be organized in regular repeating patterns and optionally overlapping each other. After the nano features are formed, it is possible that less than about 1% of the original surface 104 remains. For example,
after the nano features are formed, the nano features (and optionally, the macro and micro features) may cover substantially the entire surface.

[0127] The nano features may also be achieved by tumble finishing (e.g., tumbling) the part or the implant 1, 101, 101a, 201, and 301. Suitable equipment and techniques can be selected by one of ordinary skill in the art. For example, a barrel may be filled with the parts or implants and the barrel is then rotated. Thus, the parts or implants may be tumbled against themselves or with steel balls, shot, rounded-end pins, ballcones, or the like. The tumbling process may be wet (e.g., with a lubricant) or dry.

[0128] Any or each of the steps, including the macro, micro, or nano processing steps, may be accompanied by a cleaning step. In addition, the part may be cleaned once the processing steps are complete. For example, the part may be washed in an aqueous environment under agitation and heat with or without a detergent. Following washing, the part may be dried, for example with hot air, heating in a dry oven, or both.

[0129] As should be readily apparent to a skilled artisan, the process steps described in this document can be adjusted to create a mixture of depths, diameters, feature sizes, and other geometries suitable for a particular implant application. The orientation of the pattern of features can also be adjusted. Such flexibility is desirable, especially because the ultimate pattern of the surface topography desired, for example, the integration surface of the implant 1, 101, 101a, 201, and 301 may be oriented in opposition to the biologic forces on the implant 1, 101, 101a, 201, and 301 and to the insertion direction. In one particular embodiment, for example, the pattern of the roughened surface topography 80 may be modeled after an S-shaped tire tread. It is also contemplated that the same or different process steps may be used to create each of the macro, micro, and nano features on each of the desired surfaces.

[0130] **Roughness Parameters**

[0131] Several separate parameters can be used to characterize the roughness of an implant surface. Among those parameters are the average amplitude, Ra; the maximum peak-to-valley height, Rmax; and the mean spacing, Sm. Each of these three parameters, and others, are explained in detail below. Meanwhile, FIG. 5B illustrates all three parameters, namely, Ra, Rmax, and Sm, for the macro features 102 of the integration plate 82. Surface roughness may be measured using a laser profilometer or other standard instrumentation.
In addition to the parameters Ra, Rmax, and Sm mentioned above, at least two other parameters can be used to characterize the roughness of an implant surface. In summary, the five parameters are: (1) average amplitude, Ra; (2) average peak-to-valley roughness, Rz; (3) maximum peak-to-valley height, Rmax; (4) total peak-to-valley of waviness profile, Wt; and (5) mean spacing, Sm. Each parameter is explained in detail as follows.

1. Average Amplitude Ra

In practice, "Ra" is the most commonly used roughness parameter. It is the arithmetic average height. Mathematically, Ra is computed as the average distance between each roughness profile point and the mean line. In FIG. 12, the average amplitude is the average length of the arrows.

In mathematical terms, this process can be represented as:

\[ Ra = \frac{1}{n} \sum_{i=1}^{n} |y_i| \]

2. Average Peak-to-Valley Roughness Rz

The average peak-to-valley roughness, Rz, is defined by the ISO and ASME 1995 and later. Rz is based on one peak and one valley per sampling length. The RzDIN value is based on the determination of the peak-to-valley distance in each sampling length. These individual peak-to-valley distances are averaged, resulting in the RzDIN value, as illustrated in FIG. 13.

3. Maximum Peak-to-Valley Height Rmax

The maximum peak-to-valley height, Rmax, is the maximum peak-to-valley distance in a single sampling length — as illustrated in FIG. 14.

4. Total Peak-to-Valley of Waviness Profile Wt

The total peak-to-valley of waviness profile (over the entire assessment length) is illustrated in FIG. 15.

5. Mean Spacing Sm

The mean spacing, Sm, is the average spacing between positive mean line crossings. The distance between each positive (upward) mean line crossing is determined and the average value is calculated, as illustrated in FIG. 16.
The parameters Sm, Rmax, and Ra can be used to define the surface roughness following formation of each of the three types of features macro, micro, and nano.

The following preferred ranges (all measurements in microns) are as follows for the macro features for each of the three parameters. The mean spacing, Sm, is between about 400-2,000, with a range of 750-1,750 preferred and a range of 1,000-1,500 most preferred. The maximum peak-to-valley height, Rmax, is between about 40-500, with a range of 150-400 preferred and a range of 250-300 most preferred. The average amplitude, Ra, is between about 8-200, preferably 20-200, more preferably 50-150, and most preferably 100-125.

The following preferred ranges (all measurements in microns) are as follows for the micro features for each of the three parameters. The mean spacing, Sm, is between about 20-400, with a range of 100-300 preferred and a range of 200-250 most preferred. The maximum peak-to-valley height, Rmax, is between about 2-40, with a range of 2-20 preferred and a range of 9-13 most preferred. The average amplitude, Ra, is between about 1-20, preferably 2-15, more preferably 4-10, even more preferably 2-8, and most preferably 2-6.

The following preferred ranges (all measurements in microns) are as follows for the nano features for each of the three parameters. The mean spacing, Sm, is between about 0.5-20, with a range of 1-15 preferred and a range of 5-12 most preferred. The maximum peak-to-valley height, Rmax, is between about 0.2-2, with a range of 0.2-1.8 preferred and a range of 0.3-1.3 most preferred. The average amplitude, Ra, is between about 0.01-2, preferably 0.01-1, more preferably 0.02-0.8, and most preferably 0.03-0.6.

An example of such data is provided in Tables below.

**TABLE 1:** Surface Feature Size and Roughness (Metric): Macro (µm)

<table>
<thead>
<tr>
<th></th>
<th>Size (Sm)</th>
<th>Depth (Rmax)</th>
<th>Roughness (Ra)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Max.</td>
<td>2,000</td>
<td>500</td>
<td>200</td>
</tr>
<tr>
<td>Min.</td>
<td>400</td>
<td>40</td>
<td>20</td>
</tr>
<tr>
<td>Avg.</td>
<td>1,200</td>
<td>270</td>
<td>110</td>
</tr>
</tbody>
</table>

**TABLE 2:** Surface Feature Size and Roughness (Metric): Micro (µm)

<table>
<thead>
<tr>
<th></th>
<th>Size (Sm)</th>
<th>Depth (Rmax)</th>
<th>Roughness (Ra)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Max.</td>
<td>400</td>
<td>40</td>
<td>20</td>
</tr>
</tbody>
</table>
TABLE 3: Surface Feature Size and Roughness (Metric): Nano (μη)

<table>
<thead>
<tr>
<th>Size (Sm)</th>
<th>Depth (Rmax)</th>
<th>Roughness (Ra)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Max.</td>
<td>20</td>
<td>2</td>
</tr>
<tr>
<td>Min.</td>
<td>0.5</td>
<td>0.2</td>
</tr>
<tr>
<td>Avg.</td>
<td>10.25</td>
<td>1.1</td>
</tr>
</tbody>
</table>

Based on the data in Table 1, the macro features for each of the three parameters may comprise the following preferred ranges (all measurements in microns). In some aspects, the macro mean spacing, Sm, is about 400 to about 2000 micrometers. More preferably, the macro mean spacing is about 750 to about 1750 micrometers, and more preferably, the macro mean spacing is about 1000 to about 1500 micrometers. In some aspects, the macro mean spacing is about 500 to about 1000 micrometers, about 600 to about 900 micrometers, about 700 to about 1000 micrometers, about 750 to about 1200 micrometers, about 800 to about 1300 micrometers, about 900 to about 1300 micrometers, about 1000 to about 1300 micrometers, about 1100 to about 1300 micrometers, about 1150 to about 1400 micrometers, about 1150 to about 1250 micrometers, about 1150 to about 1350 micrometers, about 1200 to about 1500 micrometers, or about 1200 to about 1400 micrometers. In some aspects, the macro peak-to-valley height, Rmax, is about 40 to about 500 micrometers. More preferably, the macro peak-to-valley height is about 150 to about 400 micrometers, and more preferably, about 250 to about 300 micrometers. In some aspects, the macro mean peak-to-valley height is about 100 to about 450 micrometers, about 200 to about 400 micrometers, about 200 to about 300 micrometers, about 260 to about 280 micrometers, about 250 to about 350 micrometers, about 260 to about 320 micrometers, or about 270 to about 300 micrometers. In some aspects, the macro average amplitude, Ra, is about 20 to about 200 micrometers. More preferably, the macro average amplitude is about 50 to about 150 micrometers, and more preferably about 100 to about 120 micrometers. In some aspects, the macro average amplitude is about 80 to about 180 micrometers, about 90 to about 160 micrometers, about 90 to about 140 micrometers, about 100 to about 150 micrometers, about 100 to about 130 micrometers, about 105 to about 125 micrometers, or about 105 to about 115 micrometers.
Based on the data in Table 2, the micro features for each of the three parameters may comprise the following preferred ranges (all measurements in microns). In some aspects, the micro mean spacing, Sm, is about 20 to about 400 micrometers. More preferably, the micro mean spacing is about 100 to about 300 micrometers, and more preferably, the macro mean spacing is about 200 to about 220 micrometers. In some aspects, the micro mean spacing is about 50 to about 350 micrometers, about 75 to about 350 micrometers, about 75 to about 300 micrometers, about 100 to about 325 micrometers, about 100 to about 250 micrometers, about 120 to about 220 micrometers, about 150 to about 250 micrometers, about 180 to about 240 micrometers, about 190 to about 230 micrometers, or about 205 to about 215 micrometers. In some aspects, the micro peak-to-valley height, Rmax, is about 2 to about 40 micrometers. More preferably, the micro peak-to-valley height is about 5 to about 25 micrometers, and more preferably, about 6 to about 16 micrometers. In some aspects, the micro mean peak-to-valley height is about 0.5 to about 50 micrometers, about 1 to about 45 micrometers, about 1 to about 40 micrometers, about 1 to about 30 micrometers, about 1 to about 20 micrometers, about 1 to about 15 micrometers, about 2 to about 50 micrometers, about 2 to about 30 micrometers, about 2 to about 25 micrometers, about 3 to about 40 micrometers, about 3 to about 30 micrometers, about 4 to about 40 micrometers, about 4 to about 30 micrometers, about 5 to about 40 micrometers, about 5 to about 30 micrometers, about 7 to about 20 micrometers, about 7 to about 15 micrometers, about 8 to about 14 micrometers, or about 9 to about 13 micrometers. In some aspects, the micro average amplitude, Ra, is about 1 to about 20 micrometers. More preferably, the micro average amplitude is about 1 to about 10 micrometers, and more preferably about 3 to about 7 micrometers. In some aspects, the micro average amplitude is about 0.5 to about 30 micrometers, about 0.5 to about 25 micrometers, about 1 to about 15 micrometers, about 1 to about 10 micrometers, about 1 to about 9 micrometers, about 1 to about 7 micrometers, about 2 to about 9 micrometers, or about 4 to about 7 micrometers.

Based on the data in Table 3, the nano features for each of the three parameters may comprise the following preferred ranges (all measurements in microns). In some aspects, the nano mean spacing, Sm, is about 0.5 to about 20 micrometers. More preferably, the nano mean spacing is about 5 to about 15 micrometers, and more preferably, the macro mean spacing is about 8 to about 12 micrometers. In some aspects, the nano mean spacing is about 0.1 to about 30 micrometers, about 0.25 to about 25 micrometers, about 0.5 to about 15 micrometers, about 0.5 to about 30 micrometers, about 1 to about 25 micrometers, about 1 to about 20 micrometers, about 1 to about 15 micrometers, about 1 to about 10 micrometers, about 1 to about 9 micrometers, about 1 to about 7 micrometers, about 2 to about 9 micrometers, or about 4 to about 7 micrometers.
0.5 to about 13 micrometers, about 1 to about 250 micrometers, about 1 to about 20 micrometers, about 1 to about 150 micrometers, about 2 to about 18 micrometers, about 2 to about 12 micrometers, about 7 to about 14 micrometers, or about 9 to about 11.5 micrometers. In some aspects, the nan peak-to-valley height, Rmax, is about 0.2 to about 2 micrometers. More preferably, the nan peak-to-valley height is about 0.5 to about 1.5 micrometers, and more preferably, about 0.8 to about 1.4 micrometers. In some aspects, the nan mean peak-to-valley height is about 0.05 to about 5 micrometers, about 0.1 to about 3 micrometers, about 0.1 to about 2 micrometers, about 0.1 to about 1.5 micrometers, about 0.1 to about 0.4 micrometers, about 0.2 to about 3 micrometers, about 0.2 to about 2.5 micrometers, about 0.2 to about 1.8 micrometers, about 0.6 to about 1.6 micrometers, about 0.7 to about 1.5 micrometers, or about 0.9 to about 1.3 micrometers. In some aspects, the nan average amplitude, Ra, is about 0.01 to about 1 micrometers. More preferably, the nan average amplitude is about 0.05 to about 0.75 micrometers, and more preferably about 0.3 to about 0.7 micrometers. In some aspects, the nan average amplitude is about 0.005 to about 2 micrometers, about 0.005 to about 1.5 micrometers, about 0.01 to about 0.75 micrometers, about 0.01 to about 1.1 micrometers, about 0.01 to about 0.9 micrometers, about 0.01 to about 0.07 micrometers, about 0.025 to about 0.75 micrometers, or about 0.04 to about 0.6 micrometers.

[0153] In addition to the top surface 10, 110, 110a, and 210, and bottom surface 20, 120, 120a, and 220, the roughened surface topography 80, 180, 180a, and 280 may also be present on at least a portion of one or more internal surfaces of the implant 1, 101, 101a, and 201, particularly those surfaces that will be in contact with a bone graft material. The internal surfaces having a roughened surface topography 80, 180, 180a, and 280 include the surfaces of the interior of the implant 1, 101, 101a, and 201 that surround and define the substantially hollow center, and include the sidewalls 66, 166, 166a, and 266 of the vertical aperture 60, 160, 160a, 260, and 360, the sidewalls 76, 176, 176a, and 276 of the transverse aperture 70, 170, 170a, and 270, the internal surfaces of the intermediate wall 172, 172a, the surfaces of the sidewalls 96, 196, 196a, and 296 of the opening 90, 190, 190a, and 290, the surfaces of the implant holding feature 194, and 194a, and the internal surfaces of the rear wall 242. The internal roughened surface topography 80, 180, 180a, and 280 may be present on any one or combination of these internal surfaces, and not all such internal surfaces need to have any roughened surface topography 80, 180, 180a, and 280 on any given implant 1, 101, 101a, and 201, although the implant 1, 101,
101a, and 201 nevertheless will have roughened surface topography on the top surface 10, 110, 110a, and 210 and/or bottom surface 20, 120, 120a, and 220 in addition to the internal surfaces that are roughened. The roughened surface topography 80, 180, 180a, and 280 on such internal surfaces may comprise the Sm, Rmax, and Sa features described above. The roughened surface topography 80, 180, 180a, and 280 on such internal surfaces preferably promotes osseointegration when the implant 1, 101, 101a, and 201 is implanted within the intervertebral space, for example, when a bone graft material is placed within the substantially hollow center, including within one or more of the vertical aperture 60, 160, 160a, 260, and 360 and the transverse aperture 70, 170, 170a, and 270.

Integration Plate and Attachment

In the case of a composite implant 1, 101, 101a, 201, and 301, the integration plate, shown in the drawing as component 82 (FIGS. 3 and 6), 182a (FIG. 7), 182 (FIG. 8), 382 (FIG. 9), and 282 (FIG. 10), respectively, includes the roughened surface topography 80, 180, 180a, 280, and 380 for the integration surface, and is connectable to either or both of the top surface 10, 110, 110a, 210, and 310 or bottom surface 20, 120, 120a, 220, and 320. The integration plate 82, 182, 182a, 282, and 382 includes a top surface 81, 181, 181a, 281, and 381; a bottom surface 83, 183, 183a, 283, and 383; an anterior portion 41, 141, 141a, 241, and 341; a posterior portion 51, 151, 151a, 251, and 351; and at least one vertical aperture 61, 161, 161a, 261, and 361. The anterior portion 41, 141, 141a, 241, and 341 preferably aligns with the anterior portion 40, 140, 140a, 240, and 340 of the main body 2 of the implant 1, 101, 101a, 201, and 301, respectively, and the posterior portion 51, 151, 151a, 251, and 351 aligns with the posterior portion 50, 150, 150a, 250, and 350 of the main body 2 of the implant 1, 101, 101a, 201, and 301, respectively. The vertical aperture 61, 161, 161a, 261, and 361 preferably aligns with the vertical aperture 60, 160, 160a, 260, and 360 of the main body 2 of the implant 1, 101, 101a, 201, and 301, respectively. Thus, the integration plate vertical aperture 61, 161, 161a, 261, and 361 and the body vertical aperture 60, 160, 160a, 260, and 360 preferably comprise substantially the same shape.

The integration plate 82, 182, 182a, 282, and 382 may be attached or affixed to the main body of the implant 1, 101, 101a, 201, and 301 using any suitable mechanisms known in the art. For example, the bottom surface 83, 183, 183a, 283, and 383 of the integration plate 82, 182,
182a, 282, and 382 may comprise a reciprocal connector structure, such as a plurality of posts 84, 184, 184a, 284, and 384 that align with and insert into a corresponding connector structure such as a plurality of holes 12, 112, 112a, 212, and 312 on the top surface 10, 110, 110a, 210, and 310 and/or bottom surface 20, 120, 120a, 220, and 320 of the main body 2 of the implant 1, 101, 101a, 201, and 301, respectively, and thus facilitate the connection between the integration plate 82, 182, 182a, 282, and 382 and the main body 2 of the implant 1, 101, 101a, 201, and 301. Thus, integration plates 82, 182, 182a, 282, and 382 with different sizes, shapes, or features may be used in connection with the implant 1, 101, 101a, 201, and 301, for example, to accommodate attributes of the spine of the patient to which the implant 1, 101, 101a, 201, and 301 is to be implanted. Among these different sizes, shapes, and features are lordotic angles; anti-expulsion edges 8, 108, 108a, 208, and 308; and anti-expulsion angles as described throughout this specification.

[0157] The implant 1, 101, 101a, 201, and 301 is configured to receive the integration plate 82, 182, 182a, 282, and 382, respectively. Thus, for example, the top surface 10, 110, 110a, 210, and 310 and/or bottom surface 20, 120, 120a, 220, and 320 of the implant 1, 101, 101a, 201, and 301 may be optionally recessed, and comprise a plurality of holes 12, 112, 112a, 212, and 312 that mate with the plurality of posts 84, 184, 184a, 284, and 384 on the bottom surface 83, 183, 183a, 283, and 383 of the integration plate 82, 182, 182a, 282, and 382. Thus, the plurality of posts 84, 184, 184a, 284, and 384 are inserted into the plurality of holes 12, 112, 112a, 212, and 312.

[0158] FIG. 1 shows that the top surface 10 is recessed and comprises a plurality of holes 12, but the recessed bottom surface 20 and its holes 12 are not shown. FIG. 6 shows that the top surface 110a is recessed and comprises a plurality of holes 112a, but the recessed bottom surface 120a and its holes 112a are not shown. FIG. 7 shows that the top surface 110 is recessed and comprises a plurality of holes 112, but the recessed bottom surface 120 and its holes 112 are not shown. FIG. 8 shows that the top surface 310 is recessed and comprises a plurality of holes 312, but the recessed bottom surface 320 and its holes 312 are not shown. FIG. 9 shows that the top surface 210 is recessed and comprises a plurality of holes 212, but the recessed bottom surface 220 and its holes 212 are not shown. The recess may be at a depth D, and the recess depth D preferably is uniform throughout the top surface 10, 110, 110a, 210, and 310 and/or bottom surface 20, 120, 120a, 220, and 320.
The recess depth \(D\) preferably corresponds to a thickness \(T\) of the integration plate 82, 182, 182a, 282, and 382. Thus, in some aspects, the depth \(D\) and thickness \(T\) are the same so that once the integration plate 82, 182, 182a, 282, and 382 and body of the implant 1, 101, 101a, 201, and 301, respectively, are placed together, the top surface 10, 110, 110a, 210, and 310 and/or bottom surface 20, 120, 120a, 220, and 320 of the implant 1, 101, 101a, 201, and 301 is substantially even, at least at the seam/junction between the integration plate 82, 182, 182a, 282, and 382 and the top surface 10, 110, 110a, 210, and 310 or bottom surface 20, 210, 120a, 220, and 320. In some embodiments, the posterior portion 51, 151, 151a, 251, and 351 and the anterior portion 41, 141, 141a, 241, and 341 of the integration plate 82, 182, 182a, 282, and 382 have different thicknesses such that the anterior portion 41, 141, 141a, 241, and 341 has a greater thickness than the thickness of the posterior portion 51, 151, 151a, 251, and 351.

The recess depth \(D\) and the thickness \(T\) may each independently be from about 0.1 mm to about 10 mm. In preferred aspects, the recess depth \(D\) and the thickness \(T\) may each independently be from about 1 mm to about 5 mm. Thus, for example, the recess depth \(D\) or the thickness \(T\) may be selected from about 0.1 mm, about 0.25 mm, about 0.5 mm, about 0.75 mm, about 1 mm, about 1.25 mm, about 1.5 mm, about 1.75 mm, about 2 mm, about 2.25 mm, about 2.5 mm, about 2.75 mm, about 3 mm, about 3.25 mm, about 3.5 mm, about 3.75 mm, about 4 mm, about 4.25 mm, about 4.5 mm, about 4.75 mm, about 5 mm, 5.5 mm, about 6 mm, about 6.5 mm, about 7 mm, about 7.5 mm, or about 8 mm.

Recessing the top surface 10, 110, 110a, 210, and 310 or bottom surface 20, 120, 120a, 220, and 320 exposes a ridge 11, 111, 111a, 211, and 311 against which the anterior portion 41, 141, 141a, 241, and 341, posterior portion 51, 151, 151a, 251, and 251, or lateral side of the integration plate 82, 182, 182a, 282, and 382 may be seated when brought together with the implant 1, 101, 101a, 201, and 301.

The integration plate 82, 182, 182a, 282, and 382 may be used with an implant suitable for ALIF (e.g., implant 1, integration plate 82), PLIF (e.g., implant 101, integration plate 182), or TLIF fusion (e.g., implant 101a, integration plate 182a); may be used with an implant suitable for cervical fusion (e.g., implant 201, integration plate 282); and may be used with an implant suitable for lateral lumbar insertion (e.g., implant 301, integration plate 382).
The reciprocal connector such as the post 84, 184, 184a, 284, and 384 preferably is secured within the connector of the body such as the hole 12, 112, 112a, 212, and 312 to mediate the connection between the integration plate 82, 182, 182a, 282, and 382 and the implant 1, 101, 101a, 201, and 301. The connection should be capable of withstanding significant loads and shear forces when implanted in the spine of the patient. The connection between the post 84, 184, 184a, 284, and 384 and the hole 12, 112, 112a, 212, and 312 may comprise a friction fit. In some aspects, the reciprocal connector such as the post 84, 184, 184a, 284, and 384 and the connector of the body such as the hole 12, 112, 112a, 212, and 312 have additional compatible structures and features to further strengthen the connection between the integration plate 82, 182, 182a, 282, and 382 and the implant 1, 101, 101a, 201, and 301.

The structures and features may be on either or both of the integration plate 82, 182, 182a, 282, and 382 and the main body 2 of the implant 1, 101, 101a, 201, and 301. In general, the structures include fasteners, compatibly shaped joints, compatibly shaped undercuts, and/or other suitable connectors having different shapes, sizes, and configurations. For example, a fastener may include a pin, screw, bolt, rod, anchor, snap, clasp, clip, clamp, or rivet. In some aspects, an adhesive may be used to further strengthen any of the integration plate 82, 182, 182a, 282, and 382 and implant 1, 101, 101a, 201, and 301 connections described in this specification. An adhesive may comprise cement, glue, polymer, epoxy, solder, weld, or other suitable binding materials.

The integration plate 82, 182, 182a, 282, and 382 may comprise one or more reciprocal connectors (not shown), such as one or more posts, each having a bore, extending through a horizontal plane. The post may be inserted into a connector such as a hole through the implant 1, 101, 101a, 201, and 301. A fastener (not shown), such as a pin, may be inserted through the bore thereby preventing the post from being disengaged from the hole. In some aspects, the pin may be threaded through a second bore that passes through the walls of the implant 1, 101, 101a, 201, and 301 itself; although it is preferable that the implant 1, 101, 101a, 201, and 301 does not include a second bore through its walls and that the bore is accessible from the space inside of the implant. Alternatively, the integration plate 82, 182, 182a, 282, and 382 may comprise a plurality of bores (not shown) present on and having an opening accessible from the bottom of the integration plate 82, 182, 182a, 282, and 382. The bores may mate with a plurality of fasteners, which may comprise rods integral with or otherwise attached to the top surface or
bottom surface of the implant 1, 101, 101a, 201, and 301. For example, the rods may be molded as upward-facing extensions or snap-fit into the bores. In some aspects, for example, where the body 2 of the implant 1, 101, 101a, 201, and 301 is comprised of a plastic or polymeric material, the hole 12, 112, 112a, 212, and 312 may not be present, and the screw or bolt (not shown) may be screwed directly into the plastic or polymeric material, with the screw threads tightly gripping the plastic or polymeric material to form the connection.

[0166] It is also contemplated that the bottom surface 83, 183, 183a, 283, and 383 of the integration plate 82, 182, 182a, 282, and 382 may comprise undercuts (not shown) in shapes that form a tight junction with compatible shapes on the implant 1, 101, 101a, 201, and 301. For example, the bottom surface 83, 183, 183a, 283, and 383 may comprise a dovetail joint, bevel, or taper that fits with a counterpart dovetail joint, bevel, or taper on the body 2 of the implant 1, 101, 101a, 201, and 301.

[0167] An adhesive (not shown) may directly join the integration plate 82, 182, 182a, 282, and 382 and the body 2 of the implant 1, 101, 101a, 201, and 301 together, with or without other connecting features. For example, the adhesive may be applied to the bottom surface 83, 183, 183a, 283, and 383 of the integration plate 82, 182, 182a, 282, and 382. Alternatively, the adhesive may be applied to the top surface 10, 110, 110a, 210, and 310 or bottom surface 20, 120, 120a, 220, and 320, or both surfaces of the implant 1, 101, 101a, 201, and 301.

[0168] The foregoing describes various non-limiting examples of how the one or two integration plates 82, 182, 182a, 282, and 382 may be joined together with the implant 1, 101, 101a, 201, and 301.

[0169] Other Implant Features

[0170] The implant 1, 101, 101a, 201, and 301 may comprise some or all of the following implant features, for example. In some aspects, the interbody spinal implant 1, 101, 101a, 201, and 301 is substantially hollow with smooth, rounded, or both smooth and rounded lateral sides and posterior-lateral corners. The implant 1, 101, 101a, 201, and 301 includes at least one vertical aperture 60, 160, 160a, 260, and 360 that extends the entire height of the implant body 2. The vertical aperture 60, 160, 160a, 260, and 360 defines an interior surface 60a or hollow cavity within the implant 1, 101, 101a, 201, and 301, which may be filled with bone growth inducing materials. The vertical aperture (a) extends from the top surface to the bottom surface, (b) has a
size and shape predetermined to maximize the surface area of the top surface and the bottom surface available proximate the anterior and posterior portions while maximizing both radiographic visualization and access to the substantially hollow center, and (c) optionally defines a transverse rim having a varying thickness. As shown for implant 1, the vertical aperture 60 may further define a transverse rim 100 having a greater posterior portion thickness 55 than an anterior portion thickness 45.

[0171] The vertical aperture 60, 160, 160a, 260, and 360 preferably comprises a maximum width at its center. The width of the vertical aperture 60, 160, 160a, 260, and 360 may range from about 20% to about 80% of the distance between opposing lateral sides. In some aspects, the width ranges from about 40% to about 80% of the distance between the opposing lateral sides. In some aspects, the width ranges from about 50% to about 70% of the distance between the opposing lateral sides. In some aspects, the width ranges from about 50% to about 65% of the distance between the opposing lateral sides. In some aspects, the width ranges from about 60%, to about 70%, of the distance between the opposing lateral sides. In some aspects, the width ranges from about 55% to about 75% of the distance between the opposing lateral sides. In some aspects, the width ranges from about 60% to about 80% of the distance between the opposing lateral sides. In some aspects, the width is about 40%, about 45%, about 50%, about 55%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, or about 90% of the distance between the opposing lateral sides. Preferably, the width of the vertical aperture 60, 160, 160a, 260, and 360 comprises the dimension between the lateral sides.

[0172] The length of the vertical aperture 60, 160, 160a, 260, and 360 may range from about 20% to about 80% of the distance between the anterior and posterior edges. In some aspects, the length ranges from about 40% to about 80% of the distance between the anterior and posterior edges. In some aspects, the length ranges from about 50% to about 70% of the distance between the anterior and posterior edges. In some aspects, the length ranges from about 50% to about 65% of the distance between the anterior and posterior edges. In some aspects, the length ranges from about 60% to about 70% of the distance between the anterior and posterior edges. In some aspects, the length ranges from about 55% to about 75% of the distance between the anterior and posterior edges. In some aspects, the length ranges from about 60% to about 80% of the distance between the anterior and posterior edges. In some aspects, the length ranges from about 50%, about 45%, about 50%, about 55%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%.
or about 90% of the distance between the anterior and posterior edges. Preferably, the length of the vertical aperture 60, 160, 160a, 260, and 360 comprises the dimension between the anterior and posterior edges. The size of the length and the size of the width of the vertical aperture 60, 160, 160a, 260, and 360 may vary independently of each other.

[0173] In at least one embodiment, the opposing lateral sides 30 and the anterior portion 40 have a rim thickness 45 of about 5 mm, while the posterior portion 50 has a rim thickness 55 of about 7 mm. Thus, the rim posterior portion thickness 55 may allow for better stress sharing between the implant 1, 101, 101a, 201, and 301 and the adjacent vertebral endplates and helps to compensate for the weaker posterior endplate bone. In some aspects, the transverse rim 100 has a generally large surface area and contacts the vertebral endplate. The transverse rim 100 may act to better distribute contact stresses upon the implant 1, and hence minimize the risk of subsidence while maximizing contact with the apophyseal supportive bone. It is also possible for the transverse rim 100 to have a substantially constant thickness (e.g., for the anterior portion thickness 45 to be substantially the same as the posterior portion thickness 55) or for the posterior portion 50 to have a rim thickness 55 less than that of the opposing lateral sides 30 and the anterior portion 40.

[0174] The implant 1, 101, 101a, 201, and 301 may be shaped to reduce the risk of subsidence, and improve stability, by maximizing contact with the apophyseal rim of vertebral endplates. Embodiments may be provided in a variety of anatomical footprints having a medial-lateral width ranging from about 32 mm to about 44 mm. An interbody spinal implant 1, 101, 101a, 201, and 301 generally does not require extensive supplemental or obstructive implant instrumentation to maintain the prepared disc space during implantation. Thus, the interbody spinal implant 1, 101, 101a, 201, and 301 and associated implantation methods allow for larger-sized implants as compared with other size-limited interbody spinal implants known in the art. This advantage allows for greater medial-lateral width and correspondingly greater contact with the apophyseal rim.

[0175] As illustrated in FIG. 1 and FIG. 2A, the implant 1 has an opening 90 in the anterior portion 40. In one embodiment, the posterior portion 50 may have a similarly shaped opening 90 (not shown). In some aspects, only the anterior portion 40 has the opening 90 while the posterior portion 50 has an alternative opening 92 (which may have a size and shape different from the
opening 90). The opening 92 defines an interior surface 92a or hollow cavity, which may be filled with bone growth inducing materials.

[0176] The opening 90, 290, and 390 has a number of functions. One function is to facilitate manipulation of the implant 1, 201, and 301 by the caretaker. Thus, the caretaker may insert a surgical tool into the opening 90, 290, and 390 and, through the engagement between the surgical tool and the opening 90, 290, and 390, manipulate the implant 1, 201, and 301. The opening 90, 290, and 390 may be threaded to enhance the engagement. A suitable surgical tool, such as a distractor (not shown), may be selected by one of ordinary skill in the art.

[0177] As best shown in FIG. 7 and FIG. 8, the anterior portion 140, 140a may have a tapered nose 142, 142a to facilitate insertion of the implant 101.

[0178] As described above, each implant 1, 101, 101a, and 201 may include a roughened surface topography 80, 180, 180a, and 280, respectively, with this roughened surface topography 80, 180, 180a, and 280 on at least a portion of their top surface 10, 110, 110a, and 210 and/or on at least a portion of their bottom surface 20, 120, 120a, 220. The implant 1, 101, 101a, and 201 preferably comprises a blunt and radiused portion along the top and/or the bottom of each lateral side 30, 130, 130a, and 230, and along the top and/or bottom of the anterior portion 40, 140, 140a, 240, and/or along the top and/or bottom of the posterior portion 50, 150, 150a, and 250. This blunt and radiused portion, generally part of the transverse rim 100, 200, 200a, and 300, preferably does not include any roughened surface topography 80, 180, 180a, and 280. For example, it is preferred that the portions of the top 10, 110, 110a, and 210 and bottom 20, 120, 120a, and 220 surfaces of the implant 1, 101, 101a, and 201 that are not blunt and radiused have the roughened surface topography 80, 180, 180a, and 280. Such a roughened surface topography 80, 180, 180a, and 280 may be present on additional surfaces, including internal surfaces such as those of the implant hollow center, the vertical aperture 60, 160, 160a, 260, and 360, and/or the transverse aperture 70, 170, 170a, and 270, and/or the opening 90, 190, 190a, and 290.

[0179] The implant 1, 101, 101a, and 201 may further include at least one transverse aperture 70, 170, 170a, and 270 that extends the entire transverse length of the implant body. The transverse aperture 70, 170, 170a, and 270 defines an interior surface 70a or hollow cavity, which may be filled with bone growth inducing materials. Like the vertical aperture 60, 160, 160a, 260, and 360, the size and shape of the transverse aperture 70, 170, 170a, and 270 are
carefully chosen (and predetermined) to achieve a preferable design tradeoff for the particular application envisioned for the implant 1, 101, 101a, 201, and 301. Specifically, the transverse aperture 70, 170, 170a, and 270 should have minimal dimensions to maximize the strength and structural integrity of the implant 1, 101, 101a, 201, and 301. On the other hand, the transverse aperture 70, 170, 170a, and 270 should have maximum dimensions to (a) improve the visibility of the implant 1, 101, 101a, 201, and 301 during surgical procedures to ensure proper implant placement and seating, and to improve post-operative assessment of implant fusion, and (b) to facilitate engagement between bone graft material and adjacent bone. The substantially hollow area defined by the implant 1, 101, 101a, 201, and 301 may be filled with bone graft materials to facilitate the formation of a solid fusion column within the spine of a patient. The at least one transverse aperture 70, 170, 170a, and 270 may provide improved visibility of the implant 1, 101, 101a, 201, and 301 during surgical procedures to ensure proper implant placement and seating, and may also improve post-operative assessment of implant fusion. The transverse aperture 70, 170, 170a, and 270 may be broken into two, separate sections by an intermediate wall. Suitable shapes and dimensions for the transverse aperture 70, 170, 170a, and 270 may be selected by one of ordinary skill in the art. In particular, all edges of the transverse aperture 70, 170, 170a, and 270 may be rounded, smooth, or both. The intermediate wall may be made of the same material as the remainder of the body 2 of the implant 1, 101, 101a, 201, and 301 (e.g., plastic), or it may be made of another material (e.g., metal). The intermediate wall may offer one or more of several advantages, including reinforcement of the implant 1, 101, 101a, 201, and 301 and improved bone graft containment.

[0180] The implant 1, 101, 101a, 201, and 301 may be provided with a solid rear wall (not shown). The rear wall may extend the entire width of the implant body and nearly the entire height of the implant body. Thus, the rear wall can essentially close the anterior portion 40 of the implant 1, 101, 101a, 201, and 301. The rear wall may offer one or more of several advantages, including reinforcement of the implant 1, 101, 101a, 201, and 301 and improved bone graft containment. In the cervical application, it may be important to prevent bone graft material from entering the spinal canal.

[0181] The implant 1, 101, 101a, 201, and 301 may also have a lordotic angle to facilitate alignment. One lateral side 30 is preferably generally greater in height than the opposing lateral side 30. Therefore, the implant 1, 101, 101a, 201, and 301 may better compensate for the
generally less supportive bone found in certain regions of the vertebral endplate. As much as
seven degrees of lordosis (or more) may be built into the implant 1, 101, 101a, 201, and 301 to
help restore cervical balance.

[0182] To enhance movement resistance and provide additional stability under spinal loads in
the body, the implant 1, 101, 101a, 201, and 301 may comprise one or more anti-expulsion edges
8, 108, 108a, 208, and 308 or sharp edges that tend to "dig" into the end-plates slightly and help
to resist expulsion. The anti-expulsion edges 8, 108, 108a, 208, and 308 may be present on the
top surface 81 of the integration plate 82 affixed to the top surface 10, 110, 110a, 210, and 310;
the bottom surface 20, 120, 120a, 220, and 320; or both surfaces of the implant 1, 101, 101a,
201, and 301. Alternatively, the anti-expulsion edges 8, 108, 108a, 208, and 308 maybe a sharp
edge present on the top surface 10, 110, 110a, 210, and 310; the bottom surface 20, 120, 120a,
220, and 320; or both surfaces of the body of the implant 1, 101, 101a, 201, and 301.

[0183] By way of example, FIG. 6 shows an anti-expulsion edge 8 on the top surface 81 of the
integration plate 82 and the bottom surface 20 of the anterior face 40 of the implant 1. Each anti-
expulsion edge 8 may protrude above the plane of the top surface 81 of the integration plate 82
and bottom surface 20, with the amount of protrusion increasing toward the anterior face 40 and
the highest protrusion height P at the anterior-most edge of the top surface 81 of the integration
plate 82 or bottom surface 20.

[0184] An anti-expulsion edge 8, 108, 108a, 208, and 308 may be oriented toward the anterior
portion 40, 140, 140a, 240, and 340, or the posterior portion 50, 150, 150a, 250, and 350, or
either of the opposing lateral sides 30, 130, 130a, 230, and 330. The orientation of the anti-
expulsion edge 8, 108, 108a, 208, and 308 may depend on the intended orientation of the implant
1, 101, 101a, 201, and 301 when it has been implanted between vertebrae in the patient.

[0185] The following examples are provided to describe the invention in greater detail. They
are intended to illustrate, not to limit, the invention.

EXAMPLES

[0186] Example 1

[0187] Test Method. Human osteoblast-like MG63 cells were cultured on tissue culture
polystyrene (TCPS), PEEK, or smooth [sTi6A14V] and rough [rTi6A14V] surfaces. FIG. 21
shows a confocal laser microscopy image of the PEEK surface; FIG. 22 shows a confocal laser microscopy image of the sTiAlV surface; and FIG. 23 shows a confocal laser microscopy image of the rTiAlV surface. FIG. 24 shows a SEM image of the PEEK surface at 1000X and 20,000X magnification; FIG. 25 shows a SEM image of the sTiAlV surface at 1000X and 20,000X magnification; FIG. 26 shows a SEM image of the rTiAlV surface at 1000X magnification.

Gene expression was measured by qPCR. Osteoblast maturation was assessed by analysis of cell number, alkaline phosphatase activity (ALP), and secreted osteocalcin, osteoprotegerin, TGFpi, BMP2, BMP4, and BMP7. Data are mean ±SEM (n=6/condition), analyzed by ANOVA with Bonferroni's modification of Student's t-test.

**[0188]** Human MG63 osteoblast-like cells were harvested 24 hours after confluence on TCPS. Cell number, alkaline phosphatase specific activity in cell lysates and levels of osteocalcin, osteoprotegerin, active TGFpi, latent TGFβ1, BMP2 and BMP4 in the conditioned media were measured. The results of these measurements are shown in FIGS. 27-35, respectively. P-values were as follows: *p<0.05, v. TCPS; #p<0.05, v. PEEK; $p<0.05, v. sTiAlV.

**[0189]** Human MG63 osteoblast-like cells were harvested 12 hours after confluence on TCPS. Levels of mRNA for integrins alpha 1 (ITGA1), alpha 2 (ITGA2), alpha v (ITGAV), and beta 1 (ITGB1), BMP2 (A) and BMP4, and BMP inhibitors noggin (NOG) and gremlin 1 (GREM1) were measured by real-time qPCR and normalized to GAPDH. The results of these measurements are shown in FIGS. 36-43, respectively. P-values were as follows: *p<0.05, v. TCPS; #p<0.05, v. PEEK; $p<0.05, v. sTiAlV.

**[0190]** Results. The results indicated that osteoblasts on Ti6A14V surfaces present a more mature phenotype than osteoblasts grown on PEEK. Cells on Ti6A14V, but not PEEK, produced an osteogenic environment. Osteoblasts cultured on Ti6A14V produced and regulated BMP pathway molecules, increasing BMP2, BMP4, BMP7, and physiologic BMP inhibitors. One reason for the differential responses of osteoblasts to PEEK and TiALV may have been from differences in integrin expression and downstream signaling by these receptors. Taken together, surface properties, including the composition of the bulk material, are important in directing cell response to implant materials, ultimately affecting implant success. The results demonstrated that Ti6A14V surfaces positively modulate osteoblast maturation and regulated BMP signaling.
EXAMPLE SURGICAL METHODS

The following examples of surgical methods are included to more clearly demonstrate the overall nature of the invention. These examples are exemplary, not restrictive, of the invention.

Certain embodiments of the invention are particularly suited for use during interbody spinal implant procedures currently known in the art. For example, the disc space may be accessed using a standard mini open retroperitoneal laparotomy approach. The center of the disc space is located by AP fluoroscopy taking care to make sure the pedicles are equidistant from the spinous process. The disc space is then incised by making a window in the annulus for insertion of certain embodiments of the spinal implant 1, 101, 101a, 201, and 301 (a 32 or 36 mm window in the annulus is typically suitable for insertion). The process according to the invention minimizes, if it does not eliminate, the cutting of bone. The endplates are cleaned of all cartilage with a curette, however, and a size-specific rasp (or broach) may then be used.

Use of a rasp preferably substantially minimizes or eliminates removal of bone, thus substantially minimizing or eliminating impact to the natural anatomical arch, or concavity, of the vertebral endplate while preserving much of the apophyseal rim. Preservation of the anatomical concavity is particularly advantageous in maintaining biomechanical integrity of the spine. For example, in a healthy spine, the transfer of compressive loads from the vertebrae to the spinal disc is achieved via hoop stresses acting upon the natural arch of the endplate. The distribution of forces, and resultant hoop stress, along the natural arch allows the relatively thin shell of subchondral bone to transfer large amounts of load.

During traditional fusion procedures, the vertebral endplate natural arch may be significantly removed due to excessive surface preparation for implant placement and seating. This is especially common where the implant 1, 101, 101a, 201, and 301 is to be seated near the center of the vertebral endplate or the implant 1, 101, 101a, 201, and 301 is of relatively small medial-lateral width. Breaching the vertebral endplate natural arch disrupts the biomechanical integrity of the vertebral endplate such that shear stress, rather than hoop stress, acts upon the endplate surface. This redistribution of stresses may result in subsidence of the implant into the vertebral body.
Preferred embodiments of the surgical method minimize endplate bone removal on the whole, while still allowing for some removal along the vertebral endplate far lateral edges where the subchondral bone is thickest. Still further, certain embodiments of the interbody spinal implant 1, 101, 101a, 201, and 301 include smooth, rounded, and highly radiused posterior portions and lateral sides which may minimize extraneous bone removal for endplate preparation and reduce localized stress concentrations. Thus, interbody surgical implant 1, 101, 101a, 201, and 301 and methods of using it are particularly useful in preserving the natural arch of the vertebral endplate and minimizing the chance of implant subsidence.

Because the endplates are spared during the process of inserting the spinal implant 1, 101, 101a, 201, and 301, hoop stress of the inferior and superior endplates is maintained. Spared endplates allow the transfer of axial stress to the apophysis. Endplate flexion allows the bone graft placed in the interior of the spinal implant 1, 101, 101a, 201, and 301 to accept and share stress transmitted from the endplates. In addition, spared endplates minimize the concern that BMP might erode the cancellous bone.

Interbody spinal implant 1, 101, 101a, 201, and 301 is durable and can be impacted between the endplates with standard instrumentation. Therefore, certain embodiments of the invention may be used as the final distractor during implantation. In this manner, the disc space may be under-distracted (e.g., distracted to some height less than the height of the interbody spinal implant 1) to facilitate press-fit implantation. Further, certain embodiments of the current invention having a smooth and rounded posterior portion (and lateral sides) may facilitate easier insertion into the disc space. Still further, the surface roughened topography 80 may lessen the risk of excessive bone removal during distraction as compared to implants having teeth, ridges, or threads currently known in the art even in view of a press-fit surgical distraction method. Nonetheless, once implanted, the interbody surgical implant 1, 101, 101a, 201, and 301 may provide secure seating and prove difficult to remove. Thus, certain embodiments of the interbody spinal implant 1, 101, 101a, 201, and 301 may maintain a position between the vertebral endplates due, at least in part, to resultant annular tension attributable to press-fit surgical implantation and, post-operatively, improved osteointegration.

Surgical implants and methods tension the vertebral annulus via distraction. These embodiments and methods may also restore spinal lordosis, thus improving sagittal and coronal
alignment. Implant systems currently known in the art require additional instrumentation, such as distraction plugs, to tension the annulus. These distraction plugs require further tertiary instrumentation, however, to maintain the lordotic correction during actual spinal implant insertion. If tertiary instrumentation is not used, then some amount of lordotic correction may be lost upon distraction plug removal. Interbody spinal implant 1, according to certain embodiments of the invention, is particularly advantageous in improving spinal lordosis without the need for tertiary instrumentation, thus reducing the instrument load upon the surgeon. This reduced instrument load may further decrease the complexity, and required steps, of the implantation procedure.

[0200] Certain embodiments of the spinal implant 1, 101, 101a, 201, and 301 may also reduce deformities (such as isthmic spondylolysis) caused by distraction implant methods. Traditional implant systems require secondary or additional instrumentation to maintain the relative position of the vertebrae or distract collapsed disc spaces. In contrast, interbody spinal implant 1, 101, 101a, 201, and 301 may be used as the final distractor and thus maintain the relative position of the vertebrae without the need for secondary instrumentation.

[0201] Certain embodiments collectively comprise a family of implants, each having a common design philosophy. These implants and the associated surgical technique have been designed to address at least the ten, separate challenges associated with the current generation of traditional anterior spinal fusion devices listed above in the Background section of this document.

[0202] After desired annulotomy and discectomy, embodiments of the invention first adequately distract the disc space by inserting (through impaction) and removing sequentially larger sizes of very smooth distractors, which have been size matched with the size of the available implant 1, 101, 101a, 201, and 301. Once adequate distraction is achieved, the surgeon prepares the end-plate with a rasp. There is no secondary instrumentation required to keep the disc space distracted while the implant 1, 101, 101a, 201, and 301 is inserted, as the implant 1, 101, 101a, 201, and 301 has sufficient mechanical strength that it is impacted into the disc space. In fact, the height of the implant 1, 101, 101a, 201, and 301 is preferably about 1 mm greater than the height of the rasp used for end-plate preparation, to create some additional tension in the annulus by implantation, which creates a stable implant construct in the disc space.
The implant geometry has features which allow it to be implanted via any one of an anterior, antero-lateral, or lateral approach, providing tremendous intra-operative flexibility of options. The implant 1, 101, 101a, 201, and 301 has adequate strength to allow impact, and the sides of the implant 1, 101, 101a, 201, and 301 may have smooth surfaces to allow for easy implantation and, specifically, to prevent binding of the implant 1, 101, 101a, 201, and 301 to soft tissues during implantation.

The invention encompasses a number of different implant 1, 101, 101a, 201, and 301 configurations, including a composite implant formed of top and optional bottom plates (components), for example, made out of titanium. The integration surfaces exposed to the vertebral body have a roughened surface topography 80 to allow for bony in-growth over time, and to provide resistance against expulsion. The top and bottom titanium plates may be assembled together with the implant body. The net result is a composite implant that has engineered stiffness for its clinical application. The axial load may be borne by the polymeric component of the construct.

It is believed that an intact vertebral end-plate deflects like a diaphragm under axial compressive loads generated due to physiologic activities. If a spinal fusion implant is inserted in the prepared disc space via a procedure which does not destroy the end-plates, and if the implant contacts the end-plates only peripherally, the central dome of the end-plates can still deflect under physiologic loads. This deflection of the dome can pressurize the bone graft material packed inside the spinal implant, hence allowing it to heal naturally. The implant 1, 101, 101a, 201, and 301 designed according to certain embodiments allows the vertebral end-plate to deflect and allows healing of the bone graft into fusion.

Although illustrated and described above with reference to certain specific embodiments and examples, the present invention is nevertheless not intended to be limited to the details shown. Rather, various modifications may be made in the details within the scope and range of equivalents of the claims and without departing from the spirit of the invention. It is expressly intended, for example, that all ranges broadly recited in this document include within their scope all narrower ranges which fall within the broader ranges. In addition, features of one embodiment may be incorporated into another embodiment.
What is claimed is:

1. An interbody spinal implant comprising:

   a body having a top surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, a substantially hollow center, and a single vertical aperture;

   optionally, at least one of a first integration plate affixed to the top surface of the body and a second integration plate affixed to the bottom surface of the body, wherein the first integration plate and the second integration plate each have a top surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, and a single vertical aperture extending from the top surface to the bottom surface and aligning with the single vertical aperture of the body;

   at least one integration surface having a roughened surface topography including macro features, micro features, and nano features, without sharp teeth that risk damage to bone structures, adapted to be in contact with adjacent vertebral endplates;

   at least one graft contact surface having a coarse surface topography including micro features and nano features adapted to be in contact with a bone growth inducing material; and

   at least one soft tissue surface having a substantially smooth surface including nano features adapted to be in contact with bone or soft tissue during or after implantation.

2. The interbody spinal implant of claim 1, wherein the at least one integration surface comprises at least one of the top surface of the body, the bottom surface of the body, the top surface of the optional first integration plate, and the top surface of the optional second integration plate.

3. The interbody spinal implant of claim 1, wherein the at least one graft contact surface comprises at least one surface defined by the single vertical aperture.

4. The interbody spinal implant of claim 1, wherein the at least one soft tissue surface comprises at least one of the opposing lateral sides of the body, the opposing anterior and posterior portions of the body, the opposing lateral sides of the optional first integration plate, the opposing anterior and posterior portions of the optional first integration plate, the opposing lateral sides of the optional second integration plate, and the opposing anterior and posterior portions of the optional second integration plate.
5. The interbody spinal implant of claim 1, wherein the at least one integration surface comprises repeating macro features, micro features, and nano features of smooth shapes oriented in opposition to the biologic forces on the implant and to an insertion direction, the at least one graft contact surface comprises micro features and nano features that promote bone growth, and the substantially smooth surface of the at least one soft tissue surface does not damage soft tissues during implantation, and the nano features promote fusion and healing responses.

6. The interbody spinal implant of claim 1, wherein the body has at least one transverse aperture, generally rounded and blunt intersections defined along the entire lengths between the top surface and the lateral sides and the bottom surface and the lateral sides, and at least one sharp edge between the top and bottom surfaces of the body and the anterior portion or the posterior portion;

wherein the at least one integration surface includes the top surface of the body and the bottom surface of the body;

wherein the at least one graft contact surface includes surfaces defined by the single vertical aperture and the at least one transverse aperture; and

wherein the at least one soft tissue surface includes the opposing lateral sides of the body, the opposing anterior and posterior portions of the body, and the generally rounded and blunt intersections.

7. The interbody spinal implant of claim 1 further comprising the first and second integration plates, the first and second integration plates having at least one sharp edge between the top surfaces and the anterior portion or the posterior portion;

wherein the body has at least one transverse aperture, generally rounded and blunt intersections defined along the entire lengths between the top surface and the lateral sides and the bottom surface and the lateral sides, and optionally an opening;

wherein the at least one integration surface includes the top surfaces of the first and second integration plates;

wherein the at least one graft contact surface includes the surfaces defined by the single vertical aperture, the at least one transverse aperture, and the optional opening; and
wherein the at least one soft tissue surface includes the opposing lateral sides of the body, the opposing anterior and posterior portions of the body, the opposing lateral sides of the first integration plate, the opposing anterior and posterior portions of the first integration plate, the opposing lateral sides of the second integration plate, the opposing anterior and posterior portions of the second integration plate, and the generally rounded and blunt intersections.

8. The interbody spinal implant of claim 1, wherein:

the at least one integration surface comprises a roughness average amplitude, Ra, of about 8-200;

the at least one graft contact surface comprises a roughness average amplitude, Ra, of about 2-8; and

the at least one soft tissue surface comprises a roughness average amplitude, Ra, of about 0.01-2.

9. The interbody spinal implant of claim 1, wherein a frictional relationship between the at least one integration surface, the at least one graft contact surface, and the at least one soft tissue surface is defined such that the coefficient of friction of the at least one integration surface > the at least one graft contact surface ≥ the at least one soft tissue surface.

10. The interbody spinal implant of claim 1, wherein:

the macro features have a mean spacing between about 400-2,000 microns, a maximum peak-to-valley height between about 40-500 microns, and an average amplitude between about 20-200 microns;

the micro features have a mean spacing between about 20-400 microns, a maximum peak-to-valley height between about 2-40 microns, and an average amplitude between about 1-20 microns; and

the nano features have a mean spacing between about 0.5-20 microns, a maximum peak-to-valley height between about 0.2-2 microns, and an average amplitude between about 0.01-1 microns.

11. The interbody spinal implant of claim 1, wherein the interbody spinal implant is a composite interbody spinal implant having:
the body;
the first integration plate including the at least one integration surface as the top surface; and
optionally, the second integration plate including the at least one integration surface as the top surface.

12. The interbody spinal implant of claim 1, wherein at least one of the body, the first integration plate, and the second integration plate comprises titanium or a titanium alloy.

13. The interbody spinal implant of claim 1, wherein the single vertical aperture is centrally located and (a) extends from the top surface to the bottom surface of the body, (b) has a size and shape predetermined to maximize the surface area of the top surface and the bottom surface available proximate the anterior and posterior portions while maximizing both radiographic visualization and access to the substantially hollow center, and (c) defines a transverse rim of varying thickness.

14. A composite interbody spinal implant comprising:

- a body having a top surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, a substantially hollow center, a single vertical aperture, at least one transverse aperture, generally rounded and blunt intersections defined along the entire lengths between the top surface and the lateral sides and the bottom surface and the lateral sides, and an optional opening; and

- a first integration plate affixed to the top surface of the body and a second integration plate affixed to the bottom surface of the body, wherein the first integration plate and the second integration plate each have a top surface comprising an integration surface, a bottom surface, opposing lateral sides, opposing anterior and posterior portions, and a single vertical aperture extending from the top surface to the bottom surface and aligning with the single vertical aperture of the body, defining a transverse rim;

wherein the top surface of the first integration plate and the top surface of the second integration plate each have a roughened surface topography including macro features, micro features, and nano features, without sharp teeth that risk damage to bone structures, adapted to
grip bone through friction generated when the implant is placed between two vertebrae and to inhibit migration of the implant;

wherein surfaces defined by the single vertical aperture, the at least one transverse aperture, and the optional opening each include a graft contact surface having a coarse surface topography including micro features and nano features; and

wherein the opposing lateral sides of the body, the opposing anterior and posterior portions of the body, the opposing lateral sides of the first integration plate, the opposing anterior and posterior portions of the first integration plate, the opposing lateral sides of the second integration plate, the opposing anterior and posterior portions of the second integration plate, and the generally rounded and blunt intersections each comprise a soft tissue surface having a substantially smooth surface including nano features.

15. A process of fabricating a predetermined surface topography on at least one surface of an implant, the process comprising:

macro processing at least one integration surface adapted to be in contact with adjacent vertebral endplates,

micro processing the at least one integration surface and at least one graft contact surface adapted to be in contact with a bone growth inducing material, and

nano processing the at least one integration surface, the at least one graft contact surface, and at least one soft tissue surface which may be in contact with bone or soft tissue during or after implantation.

16. The process of claim 15, wherein the macro processing includes heavy mechanical or chemical bulk removal of at least a portion of the at least one integration surface; the micro processing includes mechanical or chemical removal of at least a portion of the at least one integration surface and the at least one graft contact surface; and the nano processing includes mild chemical etching, laser or other directed energy material removal, abrasion, blasting, or tumbling, followed by cleaning of at least a portion of the at least one integration surface, the at least one graft contact surface, and the at least one soft tissue surface.

17. The process of claim 15, wherein the nano processing comprises:

preparing an acid solution comprising hydrochloric acid, water, and titanium;
applying the acid solution to at least one of the at least one integration surface, the at least one graft contact surface, and the at least one soft tissue surface;
removing the acid solution by rinsing with water; and
heating and subsequently cooling the at least one surface.

18. The process of claim 17, wherein the acid solution comprises a concentration of 25-31% hydrochloric acid.

19. The process of claim 17, wherein the titanium is seeded in the acid solution or is present from titanium previously removed from at least one surface of the implant.

20. The process of claim 17, wherein the acid solution is heated to a temperature of about 200-210°F when applied, and the acid solution is applied to the at least one surface for a period of time of about 15-25 minutes.
Ra = Average(1,4,6,8,2,1,4,1,2,1,4,7,4,1,4,1,1)  
Ra = 3.26  
FIG. 12
FIG. 13

\[ R_{pm} = \text{average}(R_{p1}, R_{p2}, R_{p3}, \ldots) \]
\[ R_{vm} = \text{average}(R_{v1}, R_{v2}, R_{v3}, \ldots) \]
\[ R_{zDIN} = R_{tm} = \text{average}(R_{t1}, R_{t2}, R_{t3}, \ldots) \]

FIG. 14

R_{max}
Latent TGF-β1 Levels

ng TGF-β1/Cell × 10^5

0 120 240 360

FIG. 31
FIG. 34

BMP4 Levels

ng BMP4/Cel (x10^9)

0.0 0.2 0.4 0.6 0.8

rti

sTi

PEEK

TCPs
**FIG. 38**

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ITGAV Expression

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* #  
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FIG. 42

Gene Expression

1.8 1.2 0.6 0.0

NOG/GAPDH

$ # *

RTiAIV

# *

sTiAIV

* *

PEEK

TCPS
# INTERNATIONAL SEARCH REPORT

## A. CLASSIFICATION OF SUBJECT MATTER

**INV. A61F2/44**
**ADD. A61F2/30 A61F2/46 A61F2/28**

According to International Patent Classification (IPC) and/or both national classification and IPC.

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols):

A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

<table>
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<td>X</td>
<td>EP 2 386 274 AI (TITAN SPINE LLC) 16 November 2011 (2011-11-16) paragraphs [0044] - [0053] ; cl aims ; figures 1-7</td>
<td>1-6, 12, 13, 15, 16</td>
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<td>A</td>
<td>US 2010/076559 AI (BAGGA C S ET AL) 25 March 2010 (2010-03-25) paragraphs [0078] - [0085] ; cl aims ; figures 1,2,9a</td>
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Further documents are listed in the continuation of Box C.

See patent family annex.

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* Special categories of cited documents:
  - "A" document defining the general state of the art which is not considered to be of particular relevance
  - "E" earlier application or patent but published on or after the international filing date
  - "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
  - "O" document referring to an oral disclosure, use, exhibition or other means
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* "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

* "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

* "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

* "A" document member of the same patent family

Date of the actual completion of the international search: 11 October 2013

Date of mailing of the international search report: 24/10/2013

Name and mailing address of the ISA:
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040,
Fax. (+31-70) 340-3016

Authorized officer: Kiihne, H
## Documents Considered to Be Relevant

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<td>A</td>
<td>WO 2006/121795 A2 (VITA SPECIAL PURPOSE CORP) 16 November 2006 (2006-11-16) claims; figures 1-5a</td>
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This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. □ Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

2. □ Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. □ Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. □ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. ✗ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.

3. □ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. □ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

[ ] The additional search fees were accompanied by the applicant’s protest and, where applicable, the payment of a protest fee.

[ ] The additional search fees were accompanied by the applicant’s protest but the applicable protest fee was not paid within the time limit specified in the invitation.

[ ] No protest accompanied the payment of additional search fees.
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This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-14

An interbody spinal implant comprising: a body having a top surface, a bottom surface, opposing lateral sides, opposing anterior or and posterior or portions, a substantially hollow center, and a single vertebral aperture; optionally, at least one of a first integrator on plate affixed to the top surface of the body and a second integrator on plate affixed to the bottom surface of the body, wherein the first integrator on plate and the second integrator on plate each have a top surface, a bottom surface, opposing lateral sides, opposing anterior or and posterior or portions, and a single vertebral aperture extending from the top surface to the bottom surface and aligning with the single vertebral aperture of the body; at least one integrator on surface having a roughened surface topography including macro features, micro features, and nano features, without sharp teeth that risk damage to bone structures, adapted to be in contact with adjacent vertebral endplates; at least one graft contact surface having a coarse surface topography including micro features and nano features adapted to be in contact with a bone growth inducing material; and at least one soft tissue surface having a substantially smooth surface including nano features adapted to be in contact with bone or soft tissue during or after implantation.

2. claims: 15-20

A process of fabricating a predetermined surface topography on at least one surface of an implant, the process comprising: macro processing at least one integrator on surface adapted to be in contact with adjacent vertebral endplates, micro processing the at least one integrator on surface and at least one graft contact surface adapted to be in contact with a bone growth inducing material, and nano processing the at least one integrator on surface, the at least one graft contact surface, and at least one soft tissue surface which may be in contact with bone or soft tissue during or after implantation.