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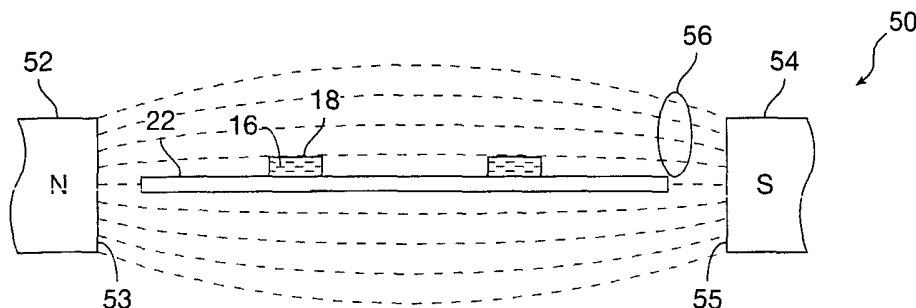
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MAGNETIC PLANARIZATION OF PIGMENT FLAKES



(57) Abstract: A magnetic field is applied to planarize magnetic pigment flakes relative to a surface. In many applications pigment flakes tend to align parallel to each other and to the surface to which they are applied. In some printing operations, pigment flakes that are applied parallel to the substrate are pulled out of plane when the print screen or printing die is lifted off the substrate. If the pigment flakes include a suitable magnetic structure, a magnetic field can be applied to subsequently align the flakes or enhance the alignment of the flakes in the plane of the substrate if the carrier that the flakes are dispersed in is still fluid, enhancing the visual quality of the printed image, especially with optically variable pigments.

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Magnetic Planarization of Pigment Flakes

BACKGROUND OF THE INVENTION

- [0001] This invention relates generally to printing or fabricating objects with pigment flakes, and more particularly to magnetically aligning pigment flakes in a plane to enhance the cumulative visual effect of the flakes.
- [0002] Pigment flakes are used in a variety of applications, such as paint, inks, textiles, cosmetics, extruded films, plastic castings, and powder coatings. Different types of pigment flakes can provide various, and often striking, visual effects. Color shifting is an example of a visual effect that can be obtained using pigment flakes. The pigment flakes can have an optical interference structure, such as a Fabry-Perot structure or thin-film stack, that changes color as the flake is tilted with respect to the viewing angle. Examples of such color-shifting images are used as security features on bank notes, like the U.S. 20-dollar bill, and for decorative purposes on and in a wide variety of consumer items, including vehicles, helmets, eyeglass frames, fingernail polish, and cell-phone cases, to name a few. Other examples of pigment flakes include reflective flake pigments and diffractive flake pigments.
- [0003] In some printing operations the pigment flakes tend to align in a plane of the object, such as the printed paper, to produce a visual optical effect from the aggregate effect of the individual flakes. It is not necessary for each flake to be perfectly aligned with each other, or with the plane of the substrate, but suitable optical effects can be obtained when a sufficient portion of the flakes are suitably aligned.
- [0004] Unfortunately, other printing operations do not lend themselves to planar alignment of pigment flakes and some printing applications actually contribute to the degradation of alignment of flakes that are applied in a generally planar fashion. Therefore, it is desirable to produce objects incorporating pigment flakes with improved planar alignment of the flakes.

SUMMARY OF THE INVENTION

- [0005] The present invention provides enhanced visual appearance of objects using flake pigments. In one embodiment, magnetic pigment flakes are applied to a surface of a substrate. A magnetic field is then applied to more closely align at least a portion of the magnetic pigment flakes to a plane of the surface of the substrate. The visual

appearance is enhanced because of the aggregate optical effect of the planarized pigment flakes. In another embodiment of the invention, flakes are applied to a surface and then burnished to planarize the flakes.

5 [0006] In a particular embodiment, an image is printed on a document using a printing technique that aligns flakes to the plane of the substrate during application, but de-planarizes the flakes when completing the printing process. Magnetic color-shifting pigment particles in a fluid carrier are applied to a surface of a substrate, and a magnetic field is applied to more closely align at least a portion of the magnetic color-shifting pigment particles to a plane of the surface of the substrate. Typically,
10 the flakes are fixed after planarization by drying or curing the carrier. Such images can be used for decorative or security purposes, such as an anti-counterfeiting device on a banknote.

BRIEF DESCRIPTION OF THE DRAWINGS

15 [0007] Figs. 1A-1C are simplified side views of a printing apparatus before, during, and after printing illustrating de-planarization of pigment flakes.

[0008] Figs. 2A-2C are simplified side views of a screen printing apparatus before, during and after printing illustrating de-planarization of pigment flakes.

20 [0009] Fig. 3A is a simplified side view of a print with de-planarized magnetic pigment flakes.

[0010] Fig. 3B is a simplified side view of magnetically planarized pigment flakes according to an embodiment of the present invention.

[0011] Fig. 3C is a simplified side view of magnetically planarized pigment flakes according to another embodiment of the present invention

25 [0012] Fig. 4 is a simplified side view of an exemplary pigment flake suitable for use in embodiments of the present invention.

[0013] Fig. 5 is a simplified plan view of an exemplary image printed according to an embodiment of the present invention.

30 [0014] Fig. 6A is a simplified flow chart of a method for flattening magnetic pigment flakes according to an embodiment of the present invention.

[0015] Fig. 6B is a simplified flow chart of a method for re-planarizing magnetic pigment flakes according to an embodiment of the present invention.

[0016] Fig. 6C is a simplified flow chart of a method for flattening magnetic pigment flakes according to another embodiment of the present invention.

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DETAILED DESCRIPTION OF THE INVENTION

I. Introduction

[0017] The present invention provides enhanced visual effects using magnetic pigment flakes. The magnetic pigment flakes are dispersed in a fluid carrier that
5 allows the magnetic pigment flakes to respond to torque arising from a magnetic field applied across the flake. In another embodiment, flakes are physically flattened by burnishing a printed image while the carrier is sufficiently plastic to allow orientation of the flakes into the plane of the substrate.

10 I. Exemplary Printing Applications

[0018] Fig. 1A is a simplified side view of a printing apparatus 10. A die 12 has an engraved face, and ink 14 has been applied to the face. The ink includes magnetic pigment flakes 16 dispersed in a fluid carrier 18, such as an ink vehicle or a paint vehicle. The carrier could be transparent, such as a clear or tinted vehicle, or semi-
15 transparent, and ink may include other pigment particles.

[0019] The pigment flakes are generally small, thin flakes that are flat or reasonably flat. Typical dimensions for a flake might be about twenty microns across and about one micron thick; however, these dimensions are merely exemplary and not limiting. Much larger or much smaller flakes could be used, as could flakes with
20 different aspect ratios. Optically variable pigment ("OVP"TM) pigment flakes include an optical interference structure, such as a Fabry-Perot structure, made from thin film layers. The OVP shifts color with viewing angle. Different optical designs can produce various hues and color travel. Pigment flakes incorporating a thin film layer of magnetic material, such as a layer of nickel or PERMALLOY about 25 to about 250
25 nm thick, can provide a suitable magnetic structure for magnetic alignment of the pigment flakes. Other magnetic materials and structures could be incorporated into pigment flakes to provide a suitable magnetic structure for magnetic alignment, and suitable materials might form permanent magnets or not, but it is generally desirable to avoid permanent magnetization of the flakes prior to application to avoid clumping.
30 Some pigment flakes might be simply made from magnetic material, such as nickel flakes, which could be used for a reflective, non-color-shifting effect.

[0020] The magnetic pigment flakes 16 on the face of the die are shown as being reasonably well aligned in a plane corresponding to the surface 20 of the substrate 22, which is supported by a plate or table 24. The substrate could be paper, film,
35 laminate, card stock, fabric, leather, plastic, or metal, for example. For convenience

of discussion, a paper substrate will be used as an example. The flakes can be aligned on the face of the die in a variety of fashions. Flakes tend to follow the flow of the carrier so as to present the least fluid resistance. Flakes in a carrier (e.g. ink) can be aligned to a surface by drawing the ink into a thin layer along the surface with a blade or squeegee. The die can then pick up the drawn flakes and print them onto the substrate.

[0021] Fig. 1B is a simplified side view of the die 12 contacting the substrate 22 with the magnetic pigment flakes 16 remaining relatively aligned, and Fig. 1C is a simplified side view showing how the magnetic pigment flakes 16 have been pulled out of planar alignment when the die 12 was lifted off the substrate 22. This de-planarization occurs in other printing processes.

[0022] Fig. 2A is a simplified side view of a screen printing apparatus 30 such as a silkscreen apparatus. Such techniques use a patterned screen 32. The pattern can be defined a number of ways, one of which is using a photo-sensitive emulsion 34 that is developed to open windows 36 in the patterned screen. The actual "silk" screen 38 is very thin and fine, and allows the ink or paint to pass through.

[0023] Ink 40 is drawn across the screen with a blade or squeegee 42 in the direction shown by the arrow 44. Drawing the ink across the screen with the squeegee tends to align the pigment flakes 16 in the printed ink 40' in the plane of the substrate 22 because flakes tend to align along the direction of fluid flow and the act of drawing the squeegee across the screen and substrate tends to align the flakes as shown.

[0024] Fig. 2B is a simplified side view showing the alignment of the pigment flakes 16 in the printed portions 44 while the patterned screen 32 is still in contact. Fig. 2C illustrates how the pigment flakes 16 are de-planarized when the patterned screen 32 is lifted from the substrate 22.

[0025] The de-planarization that occurs degrades the optical effect(s) that might otherwise be obtained if the flakes retained their as-applied planarization. Other processes might not produce initially planarized flakes, such as spray or jet processes, and even if as-applied planarization is maintained, improvements in the visual quality of the printed image might be obtained with further planarization of the flakes. Thus, it is desirable to be able to planarize pigment flakes after application to a substrate.

II. Magnetic Planarization of Pigment Flakes

[0026] Fig. 3A is a simplified side view of a substrate 22 with non-planarized magnetic pigment flakes 16 in a fluid carrier 18 on the surface 20 (*i.e.* the plane) of the substrate 22. The non-planarized magnetic pigment flakes may be applied using a technique that does not sufficiently planarize the flakes, or that de-planarizes the flakes to some extent, including current techniques that produce an aggregate visual effect of the flakes as applied. It is understood that some of the pigment flakes might lie in the plane of the substrate, but that many do not, and that generally an enhanced visual effect might be obtained by aligning more flakes to the plane of the substrate (“planarization”).

[0027] Fig. 3B is a simplified side view of an apparatus 50 for planarizing magnetic pigment flakes 16 according to an embodiment of the present invention. Magnets 52, 54 are configured to create magnetic field lines, represented by dashed lines 56, essentially in the plane of the substrate 22. The magnetic pigment flakes, which are dispersed in the fluid carrier 18, tend to align themselves along the magnetic field lines so that the major surfaces of the flakes are more parallel to the surface of the substrate, and hence to each other. The magnets are arranged with the north pole 53 of one magnet facing the south pole 55 of another, although different magnet configurations are possible. After aligning the flakes, the carrier is fixed, typically by drying, setting, or curing.

[0028] In some print operations, the substrate moves past the magnets at speeds in the range of about 2 meters/second, and the carrier rapidly dries after the ink is applied to the substrate. The planarization of the flakes occurs in only a few milliseconds. Permanent magnets commonly known as “supermagnets”, such as Nd-Fe-B magnets, can produce sufficiently high fields to planarize magnetic pigment flakes in a high-speed printing operation. Electromagnets may be used in some embodiments, but tend to be bulkier than permanent magnets of comparable strength and the coils, which require electric current, generate heat. Such permanent supermagnets are capable of producing magnetic field strengths of up to 70,000 Amps/meter, although other processes may operate with different magnetic field strengths. Factors such as the time available for planarization, viscosity of the carrier, size of the flake, and magnetic characteristics of the flake may affect the desired alignment of the flakes. Similarly, it is understood that even after magnetic planarization not all flakes are perfectly aligned in the plane of the substrate, and that improvement in the visual characteristics of the image formed with the magnetic

pigment flakes is a matter of degree, the suitability of which might depend on the initial state flakes and the desired effect, for example.

[0029] Fig. 3C is a simplified side view of an apparatus 60 according to another embodiment of the present invention for planarizing magnetic pigment flakes 16 that have been applied to a substrate 22. Magnets 62, 64, 66 are arranged below the substrate 22 with their respective north and south poles as shown. The magnets are arranged relative to the printed fields 68, 70 so that the magnetic field lines 72 are essentially parallel to the plane of the substrate.

[0030] Another embodiment might have closely spaced opposing magnets (north-north or south-south) on opposite sides of the flakes, such as for planarizing flakes during extrusion of a plastic film. In that case, there might not be a separate "substrate". The curing or setting plastic fixes the orientation of the flakes in the film.

[0031] The planarization of the flakes enhances the aggregate visual effect of the flakes. In the case of optically variable pigment, brighter, more intense colors are obtained. In a particular example, optically variable pigment was used to make ink that was applied to test cards using a silk-screen technique. One card was allowed to dry as normal, while a magnetic field was applied to a second card before the ink vehicle (carrier) dried to planarize the pigment flakes in the plane of the substrate. The chroma was measured for each sample. The planarization increased the chroma ten points, which is a very significant increase. Such an increase in chroma over the existing printing technique would be very difficult to achieve by changing the optical design of the pigment flakes, for example, by changing the material of the thin film layers or number of thin film layers. It is believed that it may be possible to improve the chroma of images printed with an Intaglio process using magnetically optically variable pigments up to forty points. Thus a significant improvement in the visual impression of an image printed with optically variable pigment flakes is obtainable without changing the optical design of the flake. The addition of a magnetic structure in the flake allows the flake to be planarized after application.

[0032] Fig. 4 is a simplified side view of a magnetic pigment flake 80 suitable for use in embodiments of the present invention. A magnetic structure 82 is between optical structures 84, 86. The optical structures could be Fabry-Perot structures having a reflective layer next to the magnetic structure, a spacer layer, and then an absorber layer, as is well-known in the art of optically variable pigments, for example. In some cases, the magnetic layer 82 can serve as the reflector in the Fabry-Perot structures, such as if it is a layer of nickel. Nickel and PERMALLOY layers about 50

nm thick have been found to provide magnetic alignment of color-shifting pigment flakes with Fabry-Perot optical structures where the flakes are about one micron thick and about 20 microns across (average). Other optical structures, such as dielectric thin-film interference stacks, could be used, or the optical structures could be omitted, such as in the case of a metallic magnetic flake, and other layers could be added, such as tinted layers or layers for environmental protection. Although the flake is illustrated as a being symmetrical, this is not required, but is generally desirable to achieve the desired aggregate optical effect.

[0033] Fig. 5 is a simplified plan view of an exemplary image 90 printed according to an embodiment of the present invention on a substrate 92, such as paper. The image could be a security, authentication, or anti-counterfeiting device printed on a banknote, label, or product packaging, for example. Paint or ink containing magnetic pigment flakes is applied to a substrate, and a magnetic field is applied to planarize magnetic pigment flakes.

III. Exemplary Methods

[0034] Fig. 6A is a simplified flow chart of a method 600 for flattening magnetic pigment flakes according to an embodiment of the present invention. Magnetic pigment flakes in a fluid carrier are applied to a substrate (step 602). A magnetic field is applied to the magnetic pigment flakes to align the flakes in the plane of the substrate (step 604) while the carrier is still fluid. The carrier then typically dries, cures, or sets to fix the alignment of the flakes (step 606). In some embodiments the substrate is static relative to the magnetic field, while in other embodiments the substrate is moving, sometimes at high speed. The substrate might be a large sheet of paper with several printed images on it, or even a roll of paper.

[0035] Fig. 6B is a simplified flow chart of a method 610 for re-planarizing magnetic pigment flakes according to an embodiment of the present invention. Magnetic pigment flakes in a fluid carrier are partially aligned (step 612) during application, such as during a silk-screen printing operation or some Intaglio printing operations. The flakes are de-planarized (step 614) when the screen or die is lifted from the substrate, for example. A magnetic field is applied to the magnetic pigment flakes to align the flakes in the plane of the substrate (step 616) while the carrier is still fluid.

[0036] Fig. 6C is a simplified flow chart of a method 620 for flattening pigment flakes according to another embodiment of the present invention. Pigment flakes are

applied to a substrate (step 622) and then burnished (step 624) to physically press the flakes to align with the plane of the substrate. If the pigment flakes are supplied in a carrier, the carrier is typically plastic enough to allow slight re-alignment of the flakes, which do not have to be magnetic flakes. Burnishing can be accomplished by
5 passing the printed substrate between two rollers that provide sufficient pressure to align the flakes to the plane of the substrate, for example. A static substrate could be burnished simply by rubbing or rolling a smooth object over the printed image, supported by a plate or table, to press the flakes into the plane of the substrate.

[0037] While the invention has been described above in reference to particular
10 embodiments and the best mode of practicing the invention, various modifications and substitutions may become apparent to those of skill in the art without departing from the scope and spirit of the invention. Therefore, it is understood that the foregoing descriptions are merely exemplary, and that the invention is set forth in the following claims.

We claim:

1. A method of planarizing magnetic pigment flakes, the method comprising steps of:
 - 5 applying magnetic pigment flakes to a surface of a substrate; and
 - applying a magnetic field to more closely align at least a portion of the magnetic pigment flakes to a plane parallel to the surface of the substrate.
2. The method of claim 1 wherein the magnetic pigment flakes are applied in a
10 fluid carrier.
3. A document having an image printed in accordance with the method of claim 1.
4. The document of claim 3 wherein the image is a security feature on a
15 banknote.
5. The document of claim 3 wherein the image is a security feature on a label.
6. The method of claim 1 further comprising a step, after the step of applying a
20 magnetic field, of fixing the magnetic pigment flakes.
7. The method of claim 6 wherein the step of fixing the magnetic pigment flakes occurs while the magnetic field is still applied.
8. The method of claim 1 wherein the substrate is a sheet of paper moving
25 relative to the magnetic field during the step of applying the magnetic field.
9. The method of claim 1 wherein the substrate is a portion of a roll of paper
30 moving relative to the magnetic field during the step of applying the magnetic field.
10. The method of claim 1 wherein the substrate is a sheet of paper moving relative to the magnetic field during the step of applying the magnetic field.

11. The method of claim 1 wherein the magnetic pigment flakes include an optical structure.
12. The method of claim 8 wherein the optical structure is a color-shifting structure and the step of applying the magnetic field enhances chroma of the image.
13. A method of printing an image on a document, the method comprising steps of:
- applying magnetic color-shifting pigment particles in a fluid carrier to a surface of a substrate,
- applying a magnetic field to more closely align at least a portion of the magnetic color-shifting pigment particles to a plane of the surface of the substrate; and
- fixing the magnetic color-shifting pigment particles.
14. The method of claim 13 wherein the document is a banknote.
15. The method of claim 13 wherein the document is a label.
16. The method of claim 13 wherein the step of applying the magnetic color-shifting pigment particles includes mechanically aligning the magnetic color-shifting pigment flakes to the plane of the surface of the substrate, and then de-planarizing at least a portion of the mechanically aligned magnetic color-shifting pigment flakes.
17. The method of claim 16 wherein the step of applying comprises silk-screen printing.
18. The method of claim 16 wherein the step of applying comprises Intaglio printing.
19. The method of claim 16 wherein the step of applying the magnetic field restores chroma of the image.
20. The method of claim 13 wherein the step of applying the magnetic field enhances chroma of the image.

21. A method of improving optical quality of pigment flakes on a surface, the method comprising:
- 5 applying pigment flakes to the surface; and
- burnishing the pigment flakes to planarize at least a portion of the pigment flakes to the surface of the substrate.
22. The method of claim 21 wherein the pigment flakes are color-shifting pigment flakes and the step of burnishing the pigment flakes improves chroma.

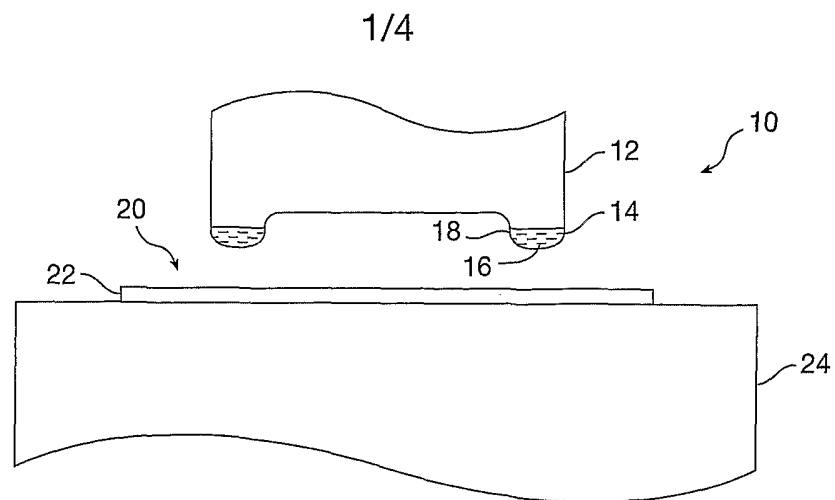


FIG. 1A

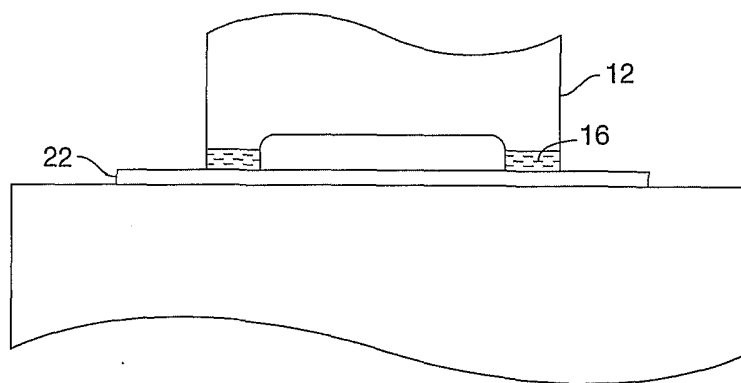


FIG. 1B

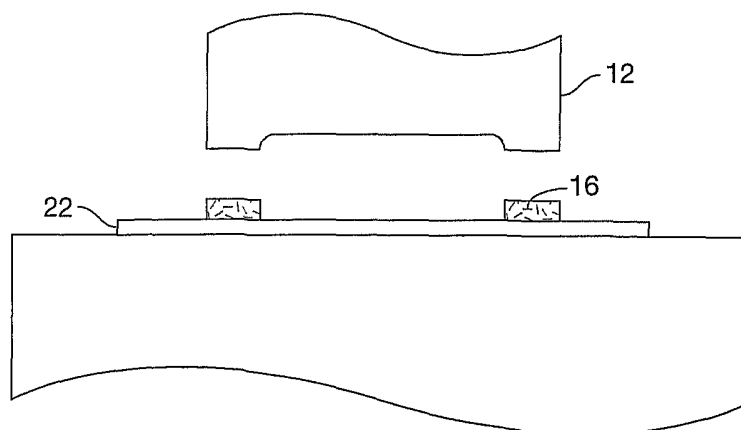


FIG. 1C

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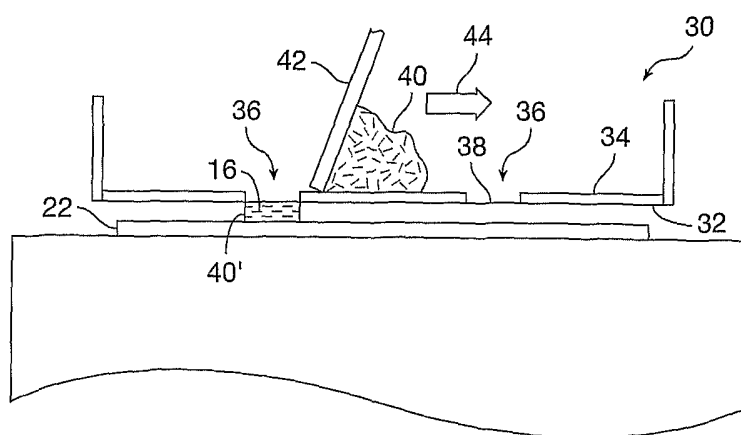


FIG. 2A

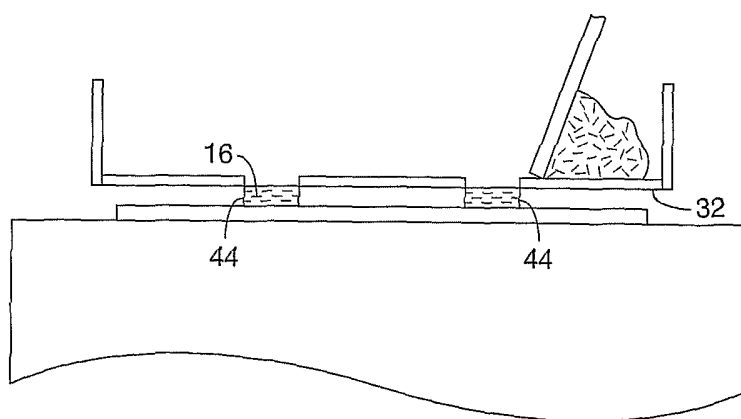


FIG. 2B

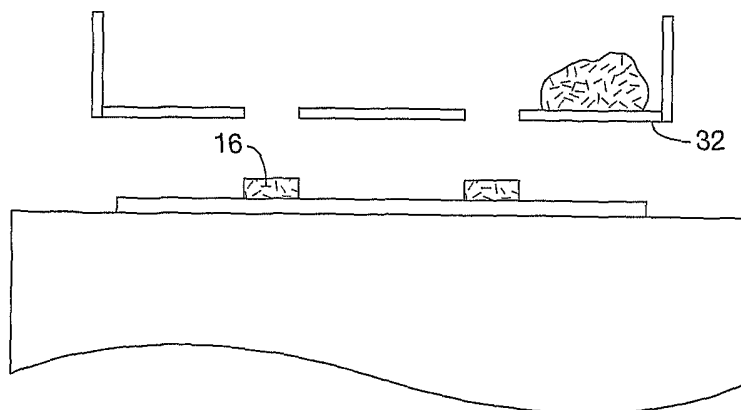


FIG. 2C

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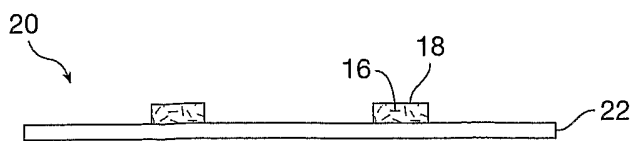


FIG. 3A

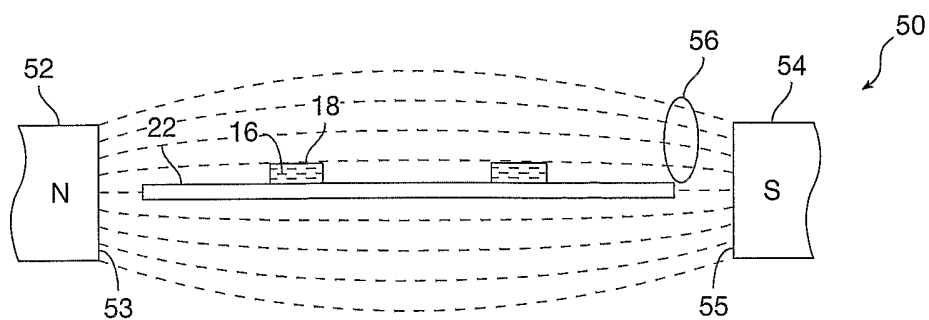


FIG. 3B

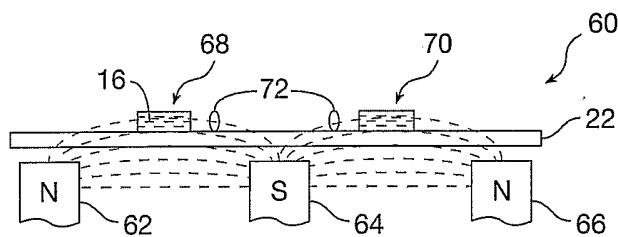


FIG. 3C

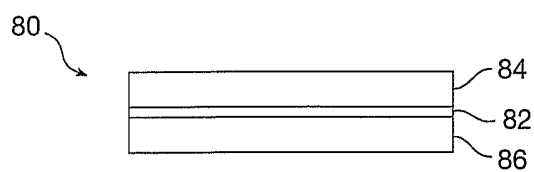


FIG. 4

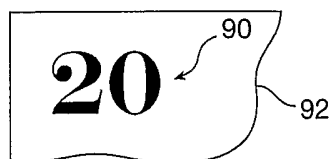


FIG. 5

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