



(86) Date de dépôt PCT/PCT Filing Date: 2000/08/21  
 (87) Date publication PCT/PCT Publication Date: 2001/05/25  
 (85) Entrée phase nationale/National Entry: 2001/11/13  
 (86) N° demande PCT/PCT Application No.: US 2000/022916  
 (87) N° publication PCT/PCT Publication No.: 2001/036551  
 (30) Priorité/Priority: 1999/11/17 (09/441,810) US

(51) Cl.Int.<sup>7</sup>/Int.Cl.<sup>7</sup> C09D 175/04, C09D 133/02  
 (71) Demandeur/Applicant:  
 BASF CORPORATION, US  
 (72) Inventeurs/Inventors:  
 GESSNER, MICHAEL, US;  
 KANDOW, TIMOTHY, US  
 (74) Agent: ROBIC

(54) Titre : COUCHE D'APPRET EN PHASE AQUEUSE PRESENTANT UNE RESISTANCE A L'ECAILLEMENT AMELIOREE  
 (54) Title: WATERBORNE PRIMER WITH IMPROVED CHIP RESISTANCE

(57) **Abrégé/Abstract:**

The invention provides a thermosetting aqueous primer composition that includes a polyurethane polymer, an acrylic polymer, and a crosslinking component that is reactive with at least one of the polyurethane polymer and the acrylic polymer. The polyurethane polymer has a glass transition temperature of 0 °C or less. The acrylic polymer has a glass transition temperature that is at least about 20 °C higher than the glass transition temperature of polyurethane resin. The invention further provides a composite coating having as a primer layer a cured layer of the primer composition of the invention and having at least one topcoat layer.

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
25 May 2001 (25.05.2001)

PCT

(10) International Publication Number  
**WO 01/36551 A1**

- (51) International Patent Classification<sup>7</sup>: C09D 175/04, 133/02
- (21) International Application Number: PCT/US00/22916
- (22) International Filing Date: 21 August 2000 (21.08.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/441,810 17 November 1999 (17.11.1999) US
- (71) Applicant (for all designated States except US): **BASF CORPORATION** [US/US]; Patent Department, 26701 Telegraph Road, Southfield, MI 48034-2442 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **GESSNER, Michael** [US/US]; 5494 Fox Ridge Drive, West Bloomfield, MI 48322 (US). **KANDOW, Timothy** [US/US]; 1987 Sundance Ridge, Howell, MI 48843 (US).
- (74) Agents: **SABOURIN, Anne, Gerry et al.**; BASF Corporation, 26701 Telegraph Road, Southfield, MI 48034-2442 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:**  
— With international search report.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: WATERBORNE PRIMER WITH IMPROVED CHIP RESISTANCE

(57) Abstract: The invention provides a thermosetting aqueous primer composition that includes a polyurethane polymer, an acrylic polymer, and a crosslinking component that is reactive with at least one of the polyurethane polymer and the acrylic polymer. The polyurethane polymer has a glass transition temperature of 0 °C or less. The acrylic polymer has a glass transition temperature that is at least about 20 °C higher than the glass transition temperature of polyurethane resin. The invention further provides a composite coating having as a primer layer a cured layer of the primer composition of the invention and having at least one topcoat layer.

WO 01/36551 A1

## WATERBORNE PRIMER WITH IMPROVED CHIP RESISTANCE

Field of the Invention

The present invention relates to aqueous  
5 crosslinkable primer coating compositions and particularly  
such primer compositions that combine aqueous polyurethane  
dispersions and aqueous acrylic dispersions. The present  
invention further relates to composite coating finishes  
having one or more primer layers and one or more topcoat  
10 layers.

Background of the Invention

Coating finishes, particularly exterior coating  
finishes in the automotive industry, are generally applied  
in two or more distinct layers. One or more layers of  
15 primer coating composition may be applied to the unpainted  
substrate first, followed by one or more topcoat layers.  
Each of the layers supplies important properties toward  
the durability and appearance of the composite coating  
finish. The primer coating layers may serve a number of  
20 purposes. First, the primer coating may be applied in  
order to promote adhesion between the substrate and the  
coating. Secondly, the primer coating may be applied in  
order to improve physical properties of the coating  
system, such as corrosion resistance or impact strength,  
25 especially for improving resistance to gravel chipping.  
Third, the primer coating may be applied in order to

improve the appearance of the coating by providing a smooth layer upon which the topcoat layers may be applied. The topcoat layer or layers contribute other properties, such as color, appearance, and light stabilization.

5 In the process of finishing the exterior of automobiles today, metal substrates are usually first coated with an electrocoat primer. While the electrocoat primer provides excellent surface adhesion and corrosion protection, it is often desirable to apply a second primer  
10 layer. The second primer layer provides additional properties not available from the electrocoat primer. Resistance to gravel chipping is one of the critical properties provided by the second primer layer. The second primer layer may also enhance the corrosion  
15 protection of the finish and provide a smoother surface than the electrocoat primer. The second primer also serves to provide a barrier layer between the electrocoat primer layer, which usually contains aromatic moieties and other materials that can cause yellowing on exposure to  
20 sunlight, and the topcoat.

Mitsuji et al, U.S. Patents 5,281,655, 5,227,422, and 4,948,829, all of which are incorporated herein by reference, disclose automotive basecoat coating compositions containing polyurethane resin emulsion, a  
25 second resin emulsion than can be an acrylic resin, and a crosslinking agent. In Mitsuji '829, the polyurethane

resin is prepared by dispersing an isocyanate-functional prepolymer and having the water react with the isocyanate groups to chain-extend the prepolymer. The prepolymer is prepared using an aliphatic diisocyanate, a polyether or polyester diol, a low molecular weight polyol, and a dimethylolalkanoic acid. In Mitsuji '655 and '422, the polyurethane resin is prepared by reacting an aliphatic polyisocyanate, a high molecular weight polyol, a dimethylolalkanoic acid, and, optionally, a chain extender or terminator. Because the Mitsuji patents are directed to basecoat coatings, these patents provide no direction for preparing compositions that have the chip resistance and other properties required for primer coating layers.

Hatch et al., U.S. Patent 5,817,735, incorporated herein by reference, discloses an aqueous primer composition for golf balls that includes a polyurethane dispersion and an acrylic dispersion. The primer has a very low content of volatile organic solvent, which is important for minimizing regulated emissions from the coating process. The Hatch patent, however, does not disclose a curable (thermosetting) composition. More importantly, the golf ball primers of the Hatch patent do not provide the properties, such as resistance to stone chipping and corrosion protection, that are required of an automotive primer.

It would be desirable, therefore, to have a primer composition that provides improved resistance to stone chipping and other properties that are important for an automotive primer, which additionally can be formulated with a very low content of volatile organic solvent.

#### Summary of the Invention

The present invention provides a thermosetting primer composition that includes a polyurethane polymer, an acrylic polymer, and a crosslinking component that is reactive with at least one of the polyurethane polymer and the acrylic polymer. The polyurethane polymer has a glass transition temperature of 0°C or less. The acrylic polymer has a glass transition temperature that is at least about 20°C higher than the glass transition temperature of polyurethane resin. The polyurethane and acrylic polymers are preferably dispersed or emulsified in an aqueous medium. As used herein, "emulsion" or "dispersion" will each be used to refer both to dispersions and emulsions.

The invention further provides a composite coating having as a primer layer a cured layer of the primer composition of the invention and having at least one topcoat layer.

#### Detailed Description of the Invention

The polyurethane polymer of the invention has a glass transition temperature of about 0°C or less, preferably

about -20°C or less, and more preferably about -30°C or less. The glass transition temperature of the polyurethane of the invention is in the range of from about -80°C to about 0°C, more preferably from about -65°C to about -10°C, still more preferably from about -65°C to about -30°C, and even still more preferably from about 5 60°C to about -35°C.

The weight average molecular weight of the polyurethane is preferably from about 15,000 to about 10 60,000, more preferably from about 15,000 to about 60,000, and even more preferably from about 20,000 to about 35,000.

Polyurethanes are prepared by reaction of at least one polyisocyanate and at least one polyol. The 15 reactants used to prepare the polyurethane are selected and apportioned to provide the desired glass transition temperature. Suitable polyisocyanates include, without limitation, aliphatic linear and cyclic polyisocyanates, preferably having up to 18 carbon atoms, and substituted 20 and unsubstituted aromatic polyisocyanates. Illustrative examples include, without limitation, ethylene diisocyanate, 1,2-diisocyanatopropane, 1,3-diisocyanatopropane, 1,4-butylene diisocyanate, lysine diisocyanate, 1,4-methylene bis(cyclohexyl isocyanate), 25 isophorone diisocyanate, toluene diisocyanates (e.g., 2,4-

toluene diisocyanate and 2,6-toluene diisocyanate)  
diphenylmethane 4,4'-diisocyanate, methylenebis-4,4'-  
isocyanatocyclohexane, 1,6-hexamethylene diisocyanate, p-  
phenylene diisocyanate, tetramethyl xylene diisocyanate,  
5 meta-xylene diisocyanate, 2,2,4-trimethyl-1,6-  
hexamethylene diisocyanate, 1,12-dodecamethylene  
diisocyanate, cyclohexane-1,3- and -1,4-diisocyanate, 1-  
isocyanato-2-isocyanatomethyl cyclopentane, and  
combinations of two or more of these. Biurets,  
10 allophanates, isocyanurates, carbodiimides, and other such  
modifications of these isocyanates can also be used as the  
polyisocyanates. In a preferred embodiment, the  
polyisocyanates include methylenebis-4,4'-  
isocyanatocyclohexane, 1,6-hexamethylene diisocyanate,  
15 1,12-dodecamethylene diisocyanate, and combinations  
thereof. It is particularly preferred to use at least one  
 $\alpha,\omega$ -alkylene diisocyanate having four or more carbons,  
preferably 6 or more carbons, in the alkylene group.  
Combinations of two or more polyisocyanates in which one  
20 of the polyisocyanates is 1,6-hexamethylene diisocyanate  
are especially preferred.

The polyol or polyols used to prepare the  
polyurethane polymer can be selected from any of the  
polyols known to be useful in preparing polyurethanes,  
25 including, without limitation, 1,4-butanediol, 1,3-  
butanediol, 2,3-butanediol, 1,6-hexanediol, neopentyl

glycol, 1,3-propanediol, 1,5-pentanediol, 1,6-hexanediol,  
1,9-nonanediol, ethylene glycol, diethylene glycol,  
triethylene glycol and tetraethylene glycol, propylene  
glycol, dipropylene glycol, glycerol,  
5 cyclohexanedimethanols, 2-methyl-2-ethyl-1,3-propanediol,  
2-ethyl-1,3-hexanediol, thiodiglycol, 2,2,4-trimethyl-1,3-  
pentanediol, cyclohexanediols, trimethylolpropane,  
trimethylolethane, and glycerin; polyester polyols such as  
the reaction products of any of the foregoing alcohols and  
10 combinations thereof with one or more polycarboxylic acids  
selected from malonic acid, maleic acid, succinic acid,  
glutaric acid adipic acid, azelaic acid, anhydrides  
thereof, and combinations thereof; polyether polyols, such  
as polyethylene glycols and polypropylene glycols; and  
15 combinations of such polyols. Polyols having two hydroxyl  
groups are preferred. The polyurethane is preferably  
prepared using one or more polyester polyols. In a  
preferred embodiment, the polyester polyol is the reaction  
product of a mixture that comprises neopentyl glycol and  
20 adipic acid.

While it is possible to prepare a nonionic dispersion  
of the polyurethane, the polyurethane dispersion is  
preferably anionic. Acid-functional polyurethanes that  
can be salted to form anionic dispersions or emulsions may  
25 be synthesized by including a monomer having acid  
functionality, such as, without limitation,

dialkylpropionic acids including dimethylolpropionic acid, and alkali metal salts of amino acids such as taurine, methyl taurine, 6-amino caproic acid, glycine, sulfanilic acid, diamino benzoic acid, ornithine, lysine and 1:1  
5 adducts of sultones, such as propane sultone or butane sultone, with diamines, such as ethylene diamine, hydrazine, or 1,6-hexamethylene diamine. The hydroxyl groups react to form the urethane linkages while the acid group remains unreacted in the polyurethane  
10 polymerization.

Suitable polyurethane polymers can be prepared by any of the known methods. In one method for preparing polyurethane polymers, the polyisocyanate component is reacted with an excess of equivalents of the polyol  
15 component to form a hydroxyl-functional polyurethane polymer. Alternatively, an excess of equivalents of the polyisocyanate component can be reacted with the polyol component to form an isocyanate-functional prepolymer. The prepolymer can then be reacted further in different  
20 ways. First, the prepolymer can be reacted with a mono-functional alcohol or amine to provide a non-functional polyurethane polymer. Examples of mono-functional alcohols and amines that may be used include polyethylene oxide compounds having one terminal hydroxyl group, lower  
25 mono-functional alcohols having up to 12 carbon atoms, amino alcohols such as dimethylethanolamine, and secondary

amines such as diethylamine and dimethylamine. Secondly, the prepolymer can be reacted with a polyfunctional polyol, polyamine, or amino alcohol compound to provide reactive hydrogen functionality. Examples of such

5 polyfunctional compounds include, without limitation, the polyols already mentioned above, including triols such as trimethylolpropane; polyamines such as ethylenediamine, butylamine, and propylamine; and amino alcohols, such as diethanolamine. Finally, the prepolymer can be chain

10 extended by the water during emulsification or dispersion of the prepolymer in the aqueous medium. The prepolymer is mixed with the water after or during neutralization.

The polyurethane may be polymerized without solvent. Solvent may be included, however, if necessary, when the

15 polyurethane or prepolymer product is of a high viscosity. If solvent is used, the solvent may be removed, partially or completely, by distillation, preferably after the polyurethane is dispersed in the water. The polyurethane may have nonionic hydrophilic groups, such as polyethylene

20 oxide groups, that serve to stabilize the dispersed polyurethane polymer. In a preferred embodiment, however, the polyurethane polymer is prepared with pendant acid groups as described above, and the acid groups are partially or fully salted with an alkali, such as sodium

25 or potassium, or with a base, such as an amine, before or

during dispersion of the polyurethane polymer or prepolymer in water.

The primer composition further includes an acrylic polymer. The acrylic polymer is prepared according to usual methods, such as by bulk or solution polymerization followed by dispersion in an aqueous medium or, preferably, by emulsion polymerization in an aqueous medium. The acrylic polymer is polymerized from a monomer mixture that preferably includes an active hydrogen-functional monomer and preferably includes an acid-functional monomer. Examples of active hydrogen-functional monomers include, without limitation, hydroxyl-functional monomers such as hydroxyethyl acrylate, hydroxyethyl methacrylate, hydroxypropyl acrylate, hydroxypropyl methacrylate, hydroxybutyl acrylates, and hydroxybutyl methacrylates; and carbamate- and urea-functional monomers or monomers with functional groups that are converted to carbamate or urea groups after polymerization such as, without limitation, those disclosed in U.S. Patent 5,866,259, "Primer Coating Compositions Containing Carbamate-Functional Acrylic Polymers," the entire disclosure of which is incorporated herein by reference. Preferably, a sufficient amount of active hydrogen-functional monomer is included to produce an equivalent weight of 1000 or less grams per equivalent,

more preferably 800 or less grams per equivalent, and even more preferably 600 or less grams per equivalent.

It is preferred that the acrylic polymer is dispersed as an anionic dispersion. Examples of suitable acid-  
5 functional monomers include, without limitation,  $\alpha,\beta$ -ethylenically unsaturated monocarboxylic acids containing 3 to 5 carbon atoms,  $\alpha,\beta$ -ethylenically unsaturated dicarboxylic acids containing 4 to 6 carbon atoms and the anhydrides and monoesters of these. Examples include,  
10 without limitation, acrylic acid, methacrylic acid, crotonic acid, maleic acid or maleic anhydride, itaconic acid or itaconic anhydride, and so on. A sufficient amount of acid-functional monomer is included to produce an acrylic polymer with an acid number of at least about  
15 1, and preferably the acrylic polymer has an acid number of from about 1 to about 10.

In addition to the ethylenically unsaturated monomer having acid functionality or used to generate acid functionality in the finished polymer, one or more other  
20 ethylenically unsaturated monomers are employed as comonomers in forming the acrylic resins of the invention. Examples of such copolymerizable monomers include, without limitation, derivatives of  $\alpha,\beta$ -ethylenically unsaturated monocarboxylic acids containing 3 to 5 carbon atoms,  
25 including esters, nitriles, or amides of those acids;

diesters of  $\alpha,\beta$ -ethylenically unsaturated dicarboxylic acids containing 4 to 6 carbon atoms; vinyl esters, vinyl ethers, vinyl ketones, vinyl amides, and aromatic or heterocyclic aliphatic vinyl compounds. Representative  
5 examples of acrylic and methacrylic acids, amides and aminoalkyl amides include, without limitation, such compounds as acrylamide, N-(1,1-dimethyl-3-oxobutyl)-acrylamide, N-alkoxy amides such as methylolamides; N-alkoxy acrylamides such as n-butoxy acrylamide; N-  
10 aminoalkyl acrylamides or methacrylamides such as aminomethylacrylamide, 1-aminoethyl-2-acrylamide, 1-aminopropyl-2-acrylamide, 1-aminopropyl-2-methacrylamide, N-1-(N-butylamino)propyl-(3)-acrylamide and 1-aminohexyl-(6)-acrylamide and 1-(N,N-dimethylamino)-ethyl-(2)-  
15 methacrylamide, 1-(N,N,-dimethylamino)-propyl-(3)-acrylamide and 1-(N, N-dimethylamino)-hexyl-(6)-methacrylamide.

Representative examples of esters of acrylic, methacrylic, and crotonic acids include, without  
20 limitation, those esters from reaction with saturated aliphatic and cycloaliphatic alcohols containing 1 to 20 carbon atoms, such as methyl, ethyl, propyl, isopropyl, n-butyl, isobutyl, tert-butyl, 2-ethylhexyl, lauryl, stearyl, cyclohexyl, trimethylcyclohexyl,  
25 tetrahydrofurfuryl, stearyl, sulfoethyl, and isobornyl

acrylates, methacrylates, and crotonates; and polyalkylene glycol acrylates and methacrylates.

Representative examples of other ethylenically unsaturated polymerizable monomers include, without  
5 limitation, such compounds as fumaric, maleic, and itaconic anhydrides, monoesters, and diesters. Polyfunctional monomers may also be included to provide a partially crosslinked acrylic dispersion. Examples of polyfunctional compounds include, without limitation,  
10 ethylene glycol diacrylate, ethylene glycol dimethacrylate, triethylene glycol diacrylate, tetraethylene glycol dimethacrylate, 1,6-hexanediol diacrylate, divinylbenzene, trimethylolpropane triacrylate, and so on.

15 Representative examples of vinyl monomers that can be copolymerized include, without limitation, such compounds as vinyl acetate, vinyl propionate, vinyl ethers such as vinyl ethyl ether, vinyl and vinylidene halides, and vinyl ethyl ketone. Representative examples of aromatic or  
20 heterocyclic aliphatic vinyl compounds include, without limitation, such compounds as styrene,  $\alpha$ -methyl styrene, vinyl toluene, tert-butyl styrene, and 2-vinyl pyrrolidone.

After polymerization, the acid functionality is  
25 salted, preferably with an alkali or base, preferably an amine. Example of suitable salting materials include,

without limitation, ammonia, monoethanolamine, ethylamine, dimethylamine, diethylamine, triethylamine, propylamine, dipropylamine, isopropylamine, diisopropylamine, triethanolamine, butylamine, dibutylamine, 2-ethylhexylamine, ethylenediamine propylenediamine, ethylethanolamine, dimethylethanolamine, diethylethanolamine, 2-amino-2-methylpropanol, and morpholine. Preferred salting materials include 2-amino-2-methylpropanol and dimethylethanolamine.

10 The acrylic polymers may be prepared as solutions in an organic solvent medium, preferably selected from water-soluble or water-miscible organic solvents, and then dispersed into water. After dispersion into water, the organic solvent can be distilled from the aqueous  
15 dispersion or emulsion.

In a preferred method, the acrylic polymer is provided by emulsion polymerization. Preferably, a nonionic or an anionic surfactant is used for the emulsion polymerization. Suitable surfactants include, without  
20 limitation, polyoxyethylenenonylphenyl ethers, polyoxyethylenealkylallyl ether sulfuric acid esters, amino and alkali salts of dodecylbenzenesulfonic acid such as the dimethylethanolamine salt of dodecylbenzenesulfonic acid and sodium dodecylbenzenesulfonic acid, and sodium  
25 dioctylsulfosuccinate.

The polymerization typically proceeds by free radical polymerization. The free radical source is typically supplied by a redox initiator or by an organic peroxide or azo compound. Useful initiators include, without  
5 limitation, ammonium peroxydisulfate, potassium peroxydisulfate, sodium metabisulfite, hydrogen peroxide, t-butyl hydroperoxide, dilauryl peroxide, t-butyl peroxybenzoate, 2,2'-azobis(isobutyronitrile), and redox  
10 initiators such as ammonium peroxydisulfate and sodium metabisulfite with ferrous ammonium sulfate. Optionally, a chain transfer agent may be used. Typical chain transfer agents include mercaptans such as octyl mercaptan, n- or tert-dodecyl mercaptan, thiosalicylic acid, mercaptoacetic acid, and mercaptoethanol;  
15 halogenated compounds; and dimeric alpha-methyl styrene.

Acrylic polymers prepared by emulsion polymerization can have weight average molecular weights of one million or more. The weight average molecular weight of the acrylic dispersion is preferably from about 5,000 to about  
20 5,000,000, more preferably from about 7500 to about 500,000, and even more preferably from about 10,000 to about 50,000. If prepared by solution polymerization and then dispersed in water, the acrylic polymer will generally have a number average molecular weight of from  
25 about 5000 to about 60,000. The molecular weight can be

determined by gel permeation chromatography using a polystyrene standard or other known methods.

The theoretical glass transition temperature of the acrylic polymer can be adjusted according to methods well-  
5 known in the art through selection and apportionment of the comonomers. The acrylic polymer has a glass transition temperature that is at least about 20°C higher than the glass transition temperature of polyurethane resin. Preferably, the acrylic polymer has a glass  
10 transition temperature that is at least about 40°C higher, more preferably about 50°C higher, than the glass transition temperature of polyurethane resin. In a preferred embodiment, the theoretical  $T_g$  of the acrylic polymer is between about -30°C and 80°C, more preferably  
15 between about -20°C and 40°C.

The polyurethane polymer may be included in the primer in an amount of at least about 40% by weight, preferably at least about 50% by weight, based on the combined nonvolatile weights of the polyurethane polymer  
20 and the acrylic polymer. The polyurethane polymer may be included in the primer in an amount of up to about 98% by weight, preferably up to about 80% by weight, based on the combined nonvolatile weights of the polyurethane polymer and the acrylic polymer. It is preferred to include from  
25 about 50% by weight to about 75% by weight, and even more

preferred to include from about 65% by weight to about 75% by weight, of the polyurethane polymer, based on the combined nonvolatile weights of the polyurethane polymer and the acrylic polymer.

5           The compositions of the present invention also include a crosslinker component. The crosslinker component includes one or more crosslinkers reactive with active hydrogen functionality. Examples of crosslinkers reactive with active hydrogen functionality include, 10 without limitation, materials having active methylol or methylalkoxy groups, including aminoplast resins or phenol/formaldehyde adducts; blocked polyisocyanate curing agents; tris(alkoxy carbonylamino) triazines (available from Cytec Industries under the tradename TACT); and 15 combinations thereof. Suitable aminoplast resins are amine/aldehyde condensates, preferably at least partially etherified, and most preferably fully etherified. Melamine and urea are preferred amines, but other triazines, triazoles, diazines, guanidines, or guanamines 20 may also be used to prepare the alkylated amine/aldehyde aminoplast resins crosslinking agents. The aminoplast resins are preferably amine/formaldehyde condensates, although other aldehydes, such as acetaldehyde, crotonaldehyde, and benzaldehyde, may be used. Non- 25 limiting examples of preferred aminoplast resins include monomeric or polymeric melamine formaldehyde resins,

including melamine resins that are partially or fully  
alkylated using alcohols that preferably have one to six,  
more preferably one to four, carbon atoms, such as  
hexamethoxy methylated melamine; urea-formaldehyde resins  
5 including methylol ureas and siloxy ureas such as  
butylated urea formaldehyde resin, alkylated  
benzoguanimines, guanyl ureas, guanidines, biguanidines,  
polyguanidines, and the like. Monomeric melamine  
formaldehyde resins are particularly preferred. The  
10 preferred alkylated melamine formaldehyde resins are water  
miscible or water soluble. Examples of blocked  
polyisocyanates include isocyanurates of toluene  
diisocyanate, isophorone diisocyanate, and hexamethylene  
diisocyanate blocked with a blocking agent such as an  
15 alcohol, an oxime, or a secondary amine such as pyrazole  
or substituted pyrazole.

The crosslinker component preferably is from about 2%  
by weight to about 30% by weight, and more preferably from  
about 5% by weight to about 20% by weight, and  
20 particularly preferably about 5% to about 15% by weight of  
the combined nonvolatile weights of the polyurethane, the  
acrylic polymer, and the crosslinking component.

The compositions may include one or more catalysts.  
The type of catalyst depends upon the particular  
25 crosslinker component composition utilized. Useful  
catalysts include, without limitation, blocked acid

catalysts, such as para-toluene sulfonic acid,  
dodecylbenzene sulfonic acid, and dinonylnaphthylene  
disulfonic acid blocked with amines; phenyl acid  
phosphate, monobutyl maleate, and butyl phosphate, hydroxy  
5 phosphate ester; Lewis acids, zinc salts, and tin salts,  
including dibutyl tin dilaurate and dibutyl tin oxide.

The primer coating compositions according to the  
invention may further include pigments such as are  
commonly used in the art, including color pigments,  
10 corrosion inhibiting pigments, conductive pigments, and  
filler pigments. Illustrative examples of these are metal  
oxides, chromates, molybdates, phosphates, and silicates,  
carbon black, titanium dioxide, sulfates, and silicas.

Other conventional materials, such as dyes, flow  
15 control or rheology control agents, and so on may be added  
to the compositions.

The primer composition has a very low content of  
volatile of organic solvent. The polyurethane dispersion  
is preferably prepared as a solvent free or substantially  
20 solvent free dispersion. By "substantially solvent free"  
it is meant that the dispersion has a volatile organic  
content of less than about 5% by weight of the primer  
composition. The acrylic dispersion is also preferably  
solvent free or substantially solvent free dispersion.  
25 The primer composition preferably has a volatile organic  
content of less than about 1.5, more preferably less than

about 1.3, and even more preferably less than about 0.7. The volatile organic content of a coating composition is typically measured using ASTM D3960.

The coating compositions of the present invention can be applied over many different substrates, including wood, metals, glass, cloth, plastic, foam, metals, and elastomers. They are particularly preferred as primers on automotive articles, such as metal or plastic automotive bodies or elastomeric fascia. When the article is a metallic article, it is preferred to have a layer of electrocoat primer before application of the primer coating composition of the invention.

The composite coating of the invention has, as one layer, a primer coating layer that is obtained by reaction of the aqueous primer composition of the invention. The composite coating has a topcoat layer, which may include basecoat coating layer applied over the primer coating layer and an outer, clearcoat layer applied over the basecoat coating layer.

The primer coating composition of the invention is applied directly to the substrate or over one or more other layers of primer, such as the electrocoat primer. The applied primer coating composition is then cured to form a primer coating layer. The electrocoat primer or other first layer of primer may be cured at the same time as the primer coating layer of the invention in a process

known as "wet-on-wet" coating. The primer coating layer formed from the primer coating composition of the invention is the outermost primer layer of the composite coating.

5 A topcoat composition is applied over the primer coating layer and cured to form a topcoat layer. The substrate at that point is then covered with a composite coating that has at least one layer of primer coating derived from the inventive compositions and at least one  
10 layer of topcoat. In a preferred embodiment, the coating composition of the present invention is overcoated with a topcoat applied as a color-plus-clear (basecoat-clearcoat) topcoat. In a basecoat-clearcoat topcoat, an underlayer of a pigmented coating, the basecoat, is covered with an  
15 outer layer of a transparent coating, the clearcoat. Basecoat-clearcoat topcoats provide an attractive smooth and glossy finish and generally improved performance.

Crosslinking compositions are preferred as the topcoat layer or layers. Coatings of this type are well-  
20 known in the art and include waterborne compositions as well as solventborne compositions. For example, the topcoat may be a clearcoat according to U.S. Pat. No. 5,474,811, applied wet-on-wet over a layer of a basecoat composition. Polymers known in the art to be useful in  
25 basecoat and clearcoat compositions include, without limitation, acrylics, vinyl, polyurethanes,

polycarbonates, polyesters, alkyds, and polysiloxanes. Acrylics and polyurethanes are preferred. Thermoset basecoat and clearcoat compositions are also preferred, and, to that end, preferred polymers comprise one or more  
5 kinds of crosslinkable functional groups, such as carbamate, hydroxy, isocyanate, amine, epoxy, acrylate, vinyl, silane, acetoacetate, and so on. The polymer may be self-crosslinking, or, preferably, the composition may include a crosslinking agent such as a polyisocyanate or  
10 an aminoplast resin of the kind described above. In one embodiment, waterborne basecoat compositions and/or clearcoat compositions having low volatile organic content are used. The waterborne basecoat and waterborne clearcoat compositions each preferably has a volatile  
15 organic content of less than about 1.5, more preferably less than about 1.3, and even more preferably less than about 0.7.

Each layer of the composite coatings of the invention can be applied to an article to be coated according to any  
20 of a number of techniques well-known in the art. These include, for example, spray coating, dip coating, roll coating, curtain coating, and the like. If an initial electrocoat primer layer is applied to a metallic substrate, the electrocoat primer is applied by  
25 electrodeposition. For automotive applications, the primer coating composition of the invention and the

topcoat layer or layers are preferably applied by spray coating, particularly electrostatic spray methods. Coating layers of one mil or more are usually applied in two or more coats, separated by a time sufficient to allow  
5 some of the solvent or aqueous medium to evaporate, or "flash," from the applied layer. The flash may be at ambient or elevated temperatures, for example, the flash may use radiant heat. The coats as applied can be from 0.5 mil up to 3 mils dry, and a sufficient number of coats  
10 are applied to yield the desired final coating thickness.

The outermost primer layer, which is formed by reacting the primer compositions of the invention, may be cured by reaction of curing component with at least one the polyurethane resin or the acrylic resin. before the  
15 topcoat is applied. The cured primer layer may be from about 0.5 mil to about 2 mils thick, preferably from about 0.8 mils to about 1.2 mils thick.

Color-plus-clear topcoats are usually applied wet-on-wet. The compositions are applied in coats separated by a  
20 flash, as described above, with a flash also between the last coat of the color composition and the first coat the clear. The two coating layers are then cured simultaneously. Preferably, the cured basecoat layer is 0.5 to 1.5 mils thick, and the cured clear coat layer is 1  
25 to 3 mils, more preferably 1.6 to 2.2 mils, thick.

Alternatively the primer layer of the invention and the topcoat can be applied "wet-on-wet." For example, the primer composition of the invention can be applied, then the applied layer flashed; then the topcoat can be applied and flashed; then the primer and the topcoat can be cured at the same time. Again, the topcoat can include a basecoat layer and a clearcoat layer applied wet-on-wet.

The coating compositions described are preferably cured with heat. Curing temperatures are preferably from about 70°C to about 180°C, and particularly preferably from about 170°F to about 200°F for a composition including an unblocked acid catalyst, or from about 240°F to about 275°F for a composition including a blocked acid catalyst. Typical curing times at these temperatures range from 15 to 60 minutes, and preferably the temperature is chosen to allow a cure time of from about 15 to about 30 minutes. In a preferred embodiment, the coated article is an automotive body or part.

The primer layer of the invention provides improved chip resistance as compared to previously known primers, while retaining the desirable properties of sandability and corrosion resistance. Further, the primer composition of the invention can be formulated to have low volatile organic content and even no volatile organic content.

The invention is further described in the following examples. The examples are merely illustrative and do not

in any way limit the scope of the invention as described and claimed.

#### Examples

##### Example 1. Primer Composition According to the Invention

5 A primer composition was prepared by first mixing together 17.51 parts by weight of BAYHYDROL 140 AQ polyurethane dispersion (about 40% nonvolatile, 59% water, and 1% toluene, glass transition temperature of about -45°C, pH of about 6.0 to about 7.5, weight average  
10 molecular weight of about 25,000, anionic Desmodur W/1,6-hexamethylene diisocyanate/polyester polyol-based polyurethane, available from Bayer Corporation, Pittsburgh, PA), 16.27 parts by weight of an emulsion of an acrylic polymer (glass transition temperature of 20  
15 °C., nonvolatile content of about 41% in water, acid number of about 8 mg KOH/g nonvolatile, hydroxyl equivalent weight of 510, salted with 2-amino-2-methylpropanol to a pH of about 6 to 7), 20.9 parts deionized water, and 40.89 parts by weight of pigment  
20 paste (63% by weight nonvolatile in water, nonvolatiles are 33.1% by weight of BAYHYDROL 140 AQ polyurethane resin, 33.1% by weight of titanium dioxide, 33.1% by weight of barium sulfate extender, and the balance carbon black, ground on a horizontal mill to a fineness of 6  
25 microns). To this mixture were added 2.71 parts by weight of RESIMENE 747 (a melamine formaldehyde resin available

from Solutia, St. Louis, MO) and 0.27 parts by weight of  
ABEX EP 110 (anionic surfactant available from Rhodia). A  
total of 1.39 parts by weight of an additive package  
(defoamer, wetting agent, and thickener) was then added.  
5 Finally, the pH of the primer composition was adjusted to  
about 8.0 with 2-amino-2-methylpropanol.

The measured volatile organic content of the primer  
composition is 0.24 pounds per gallon. The primer  
composition had a nonvolatile content of 42% by weight.  
10 The primer composition was adjusted before spray  
application with deionized water to a viscosity of 75 to  
110 centipoise.

#### Example A. Comparative Primer Composition

The procedure of Example 1 was repeated, except that  
15 the BAYHYDROL 140 AQ polyurethane resin dispersion was  
replace by CYDROTHANE HP-1035 polyurethane resin  
dispersion (a tetramethyl xylene diisocyanate/polyester  
polyol-based polyurethane anionic dispersion, pH of about  
9, glass transition temperature of 25°C, 35% nonvolatile  
20 in water, available from Cytec, Stamford, CT).

The primer compositions of Example 1 and Example A  
were applied to electrocoat primed 4"x12" steel panels and  
cured according to the bake schedule shown in the table  
below to form a primer layer of about 1 mil thickness.  
25 The cured primer was then topcoated with commercial  
basecoat and clearcoat compositions. The panels were then

subjected to gravelometer testing according to the test  
 procedure of SAE J400. Briefly, in the SAE J400  
 procedure, the panels are cooled to -20 centigrade for 1  
 hour prior to the gravel test. The panel is positioned in  
 5 a gravelometer machine in an upright position, 90 degrees  
 from path of gravel. One pint of gravel is blown onto the  
 panel with an air pressure of 70 psi. The panel is then  
 warmed to room temperature, tape pulled with 3M 898  
 strapping tape, and rated according to chip rating  
 10 standards on a scale of 0 to 9, with 0 corresponding to a  
 standard having total delamination of the coating and 9  
 corresponding to a standard having almost no chips. The  
 gravelometer ratings for the panels obtained using the  
 compositions of Example 1 and Example A are shown in the  
 15 following table.

Primer	15 Minutes at 275°F Bake	30 Minutes at 325°F Bake
Example 1	8-	7-
Comparative Example A	5	6-

The invention has been described in detail with  
 reference to preferred embodiments thereof. It should be  
 20 understood, however, that variations and modifications can  
 be made within the spirit and scope of the invention.

What is claimed is:

1. An aqueous coating composition, comprising:
  - (a) a dispersed polyurethane polymer, said polyurethane polymer having a glass transition temperature of about 0°C  
5 or less;
  - (b) a dispersed acrylic polymer, said acrylic polymer having a glass transition temperature that is at least about 20°C higher than the glass transition temperature of said polyurethane polymer; and
  - 10 (c) a crosslinking component that is reactive with at least one of the polyurethane polymer and the acrylic polymer.
2. An aqueous coating composition according to claim 1,  
15 wherein the polyurethane polymer has a glass transition temperature of about -20°C or less.
3. An aqueous coating composition according to claim 1,  
wherein the polyurethane polymer has a glass transition  
20 temperature of about -30°C or less.
4. An aqueous coating composition according to claim 1,  
wherein the polyurethane polymer has a glass transition  
temperature in the range of from about -80°C to about 0°C.

25

5. An aqueous coating composition according to claim 1, wherein the polyurethane polymer has a glass transition temperature in the range of from about -65°C to about -10°C.

5

6. An aqueous coating composition according to claim 1, wherein the polyurethane polymer has a glass transition temperature in the range of from about -65°C to about -30°C.

10

7. An aqueous coating composition according to claim 1, wherein the polyurethane polymer is the reaction product of a polyester polyol and a polyisocyanate selected from the group consisting of methylene-bis-4,4'-

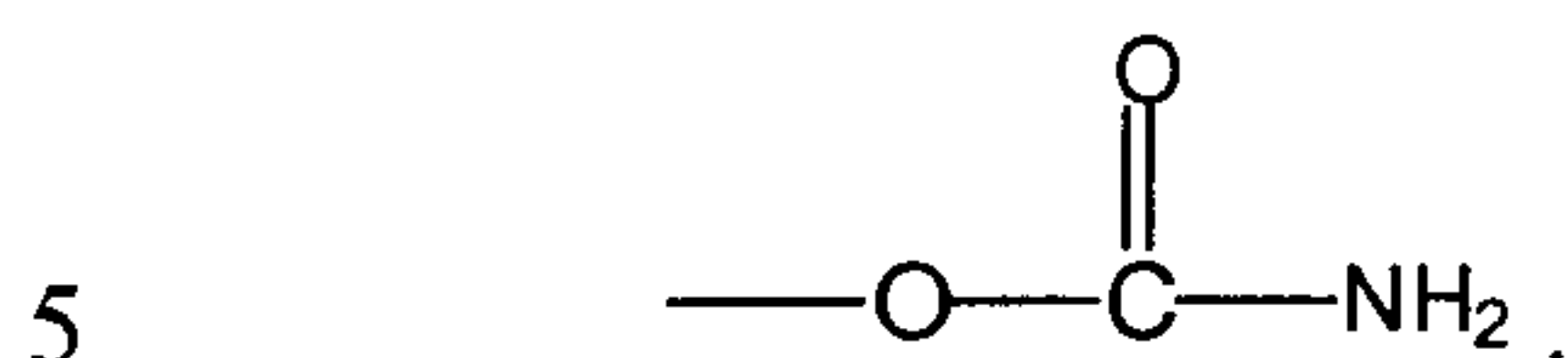
15 isocyanatocyclohexane, 1,6-hexamethylene diisocyanate, 1,12-dodecamethylene diisocyanate, and combinations thereof.

8. An aqueous coating composition according to claim 1, 20 wherein the polyurethane polymer has a weight average molecular weight of from about 15,000 to about 60,000.

9. An aqueous coating composition according to claim 1, wherein the polyurethane polymer is present in the aqueous 25 coating composition as an anionic dispersion.

10. An aqueous coating composition according to claim 1,  
wherein the acrylic polymer has a glass transition  
temperature that is at least about 40°C higher than the  
5 glass transition temperature of the polyurethane polymer.
11. An aqueous coating composition according to claim 1,  
wherein the acrylic polymer has a glass transition  
temperature that is at least about 50°C higher than the  
10 glass transition temperature of the polyurethane polymer.
12. An aqueous coating composition according to claim 1,  
wherein the acrylic polymer has a glass transition  
temperature of from about -30°C to about 80°C.  
15
13. An aqueous coating composition according to claim 1,  
wherein the acrylic polymer has a glass transition  
temperature of from about -20°C to about 40°C.
- 20 14. An aqueous coating composition according to claim 1,  
wherein the active hydrogen functionality is hydroxyl.
15. An aqueous coating composition according to claim 14,  
wherein the acrylic polymer has a hydroxyl equivalent  
25 weight of 1000 or less.

16. An aqueous coating composition according to claim 1, wherein the active hydrogen functionality is carbamate functionality having a structure



17. An aqueous coating composition according to claim 1, wherein the acrylic polymer has an acid number of from about 1 to about 10.

10

18. An aqueous coating composition according to claim 1, wherein the polyurethane polymer is at least about 40% by weight of the combined nonvolatile weights of the polyurethane polymer and the acrylic polymer.

15

19. An aqueous coating composition according to claim 1, wherein the polyurethane polymer is from about 40% by weight to about 80% by weight of the combined nonvolatile weights of the polyurethane polymer and the acrylic  
20 polymer.

20. An aqueous coating composition according to claim 1, wherein the crosslinking component comprises an aminoplast resin.

25

21. An aqueous coating composition according to claim 1,  
comprising from about 2% by weight to about 30% by weight  
of the crosslinking component, based on the combined  
nonvolatile weights of the polyurethane polymer, the  
5 acrylic polymer, and the crosslinking component.

22. An aqueous coating composition according to claim 1,  
having a volatile organic content of less than about 1.5  
pounds per gallon.

10

23. An aqueous coating composition according to claim 1,  
having a volatile organic content of less than about 0.7  
pounds per gallon.

15 24. A composite coating, comprising  
(a) a substrate;  
(b) at least one primer coating layer over said substrate  
that is obtained by curing a primer coating  
composition comprising:

20 (i) a polyurethane polymer, said polyurethane  
polymer having a glass transition temperature of  
about 0°C or less;

(ii) an acrylic polymer, said acrylic polymer having  
a glass transition temperature that is at least  
25 about 20°C higher than the glass transition

temperature of said polyurethane polymer; and

(iii) a crosslinking component that is reactive with  
at least one of the polyurethane polymer and the  
acrylic polymer;

and

5 (c) at least one topcoat layer over said primer coating  
layer.

25. A composite coating according to claim 24, wherein  
said substrate is metal or plastic.

10

26. A composite coating according to claim 24, wherein  
said topcoat layer comprises an inner basecoat layer and  
an outer clearcoat layer.

15 27. A composite coating according to claim 24, wherein  
said primer coating composition is over a layer of an  
electrocoat primer.

28. A method of preparing a composite coating according  
20 to claim 27, comprising a step of applying said primer  
coating composition in a layer over an uncured layer said  
electrocoat primer and curing said primer coating layer  
and said electrocoat primer layer together.

25 29. A method of preparing a composite coating according  
to claim 24, comprising a step of applying said topcoat

layer over an uncured layer of said primer coating composition and curing the topcoat layer and the primer coating layer together.

- 5 30. A method of preparing a composite coating according to claim 24, including a step of forming the topcoat layers from a basecoat coating composition and a clearcoat coating composition, at least one of which is aqueous and has a volatile organic content of less than about 1.5,
- 10 wherein the primer coating composition is an aqueous composition that has a volatile organic content of less than about 1.5.