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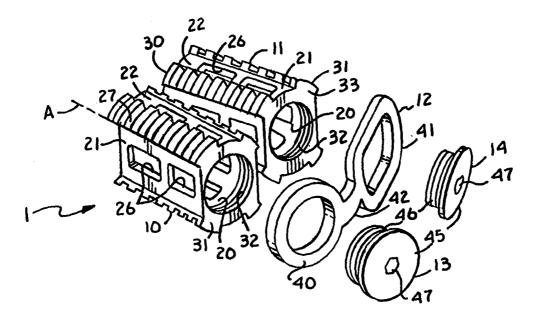
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(54) Title: SPINAL FUSION APPARATUS AND METHOD



(57) Abstract

An apparatus (1) for stabilizing, promoting fusion between adjacent vertebrae includes at least a pair of implants (10, 11) to promote bone growth, and to fuse with vertebral bone. The implants (10, 11) are joined by a connector (42). Preferably the implants (10, 11) are inserted into receiving bores in a non-parallel configuration, and/or the connector (42) joins the implants so as to bias the implants to a non-parallel configuration. A pair of connection members (40, 41) also preferably secure the implants to each of the adjacent vertebrae. A method of using the apparatus (1) provides for stabilizing between vertebrae where the original cushioning disc has deteriorated or becomes damaged.

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Τ	SPINAL FUSION APPARATUS AND METHOD
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3	Background of the Invention
4	
5	The present application is directed to an apparatus and
6	method of stabilizing the spine by placement of implants
7	between effected vertebrae which result in fusion of the
8,	vertebrae. In particular, the present application is
9	directed to an apparatus and method of improving the
10	stabilization of the implants during the fusion process by
11	linking the implants that are positioned between the same
12	vertebrae together in pairs and also linking the implants to
13	adjacent vertebrae. Still further the apparatus and method
14	provides some pre-loading or twisting of the implants such
15	that the axes of the implants are not parallel, so that the
16	implants are further stabilized relative to their position
17	between the vertebrae and more difficult to inadvertently
18	dislodge.
19	Many millions of people in the United States alone
20	suffer from some type of spinal injury or disease that
21	effects the spine and especially the discs that are located
22	between adjacent vertebrae of the spine. These discs are
23	necessary to properly position and cushion the vertebrae
24	during the movement. Degeneration, injury or other damage
25	to the disc results in improper alignment of and dysfunction
26	of the vertebrae which often also results in severe pain,

the inability to move correctly or to perform certain

- 2 functions, paralysis and other physical problems which may
- 3 leave the patent totally incapacitated. Approximately ten
- 4 percent of the persons who have degeneration or herniation
- of discs are candidates for surgery to correct the problem.
- 6 Many different systems have been developed to provide relief
- 7 to persons having defective discs some of which have been
- 8, effective and some of which have been relatively
- 9 ineffective. One of the methods of correcting disc defects
- 10 has been to properly position the adjacent vertebrae
- 11 relative to each other and then fuse them together in the
- 12 proper position or alignment.
- 13 Fusing often is best in situations where the discs
- 14 between the adjacent vertebrae have been either damaged or
- 15 diseased to such an extent that one or more of the discs no
- 16 longer functions properly and cannot be preserved by simple
- 17 procedures such as removal of herniated material and the
- 18 like.
- One particular type of fusion device which requires
- 20 insertion of an implant having live bone between the
- 21 vertebrae has grown in substantial popularity in recent
- 22 years. In this type of implant, two such devices are often
- 23 inserted in spaced relationship relative to one another
- 24 between two adjacent vertebrae in the region normally
- 25 occupied by the defective disc. In order to accomplish
- 26 this, at least part of the disc is removed or the entire

disc is removed (discectomy) and the intervertebral implant

- devices, often referred to as cages, are inserted in
- 3 receiving bores. Such implants have exterior walls which
- 4 are fenestrated, porous or windowed so as to provide
- 5 multiple openings therethrough. The interior of each of the
- 6 implants is filled with live bone harvested from another
- 7 part of the persons body, such as the hip and after
- 8 implantation, the bone of the vertebrae grows into and joins
- 9 with the live bone in the implants such that the two
- 10 adjacent vertebrae and the implant bone grow into a single
- 11 mass causing a fusion of the two vertebrae so as to hold
- 12 them in a desired position. While this procedure reduces
- 13 flexibility of the vertebrae, it significantly reduces pain
- 14 and/or nerve damage due to collapse, missing or defective
- 15 discs and, therefore, the benefits outweigh the lost
- 16 flexibility. This is especially true where the patent would
- 17 otherwise be immobile.
- 18 Applicant, as a spinal surgeon, has found that it is
- 19 desirable to further stabilize the implants, especially
- 20 during the period between implantation and the time when
- 21 stabilizing fusion occurs. Consequently, applicant has
- developed an apparatus and method of joining a pair of
- 23 implants that are located between two vertebrae in such a
- 24 manner as to further stabilize the pair such that they are
- 25 not as likely to become dislodged at some time before the
- 26 fusion process is complete or afterward. In addition

applicant has found it is desirable to secure implants to 1 vertebrae on opposite sides of the implant and to other 2 implants so as to further improve the stability of those 3 implants. 4 Finally, applicant has found that it is desirable to 5 position the implants such that the central axis of the 6 implants are not parallel to one another prior to joining 7 such that it is more difficult to accidentally remove the 8. implants from bores that receive the implants prior to 9 completion of the fusion process. Yet further applicant has 10 found it desirable to place a slight torque on the implants 11 such that they are biased against the sides of the bore in 12 opposite directions so as to yet further assist in 13 maintaining the implants between the vertebrae during the 14 15 fusion process. 16 17 Summary of the Invention 18 19 The present invention is directed to implants utilized 20 to stabilize vertebrae wherein the pad or disc between 21 adjacent vertebrae has deteriorated or been damaged and no 22 longer properly spaces and cushions the vertebrae. 23 of the type of the present invention have been previously 24 used to both separate and support adjacent vertebrae while

functioning as a promoter for encouraging bone fusion to

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occur between the vertebrae. The present invention further 1 stabilizes such implants to allow the implants to form a 2 quicker and stronger fusion plateform and, very importantly, 3 reduce the risk that the implants will become unseated and 4 either require surgery to repair or that the implants will 5 impinge on a nerve, blood vessel, or other structure and 6 produce serious injury either directly or indirectly due to 7 instability of the vertebrae supported by the implants. 8 , In particular the apparatus of the invention includes a 9 pair of implants shaped and sized to be received in a bore 10 or alternatively to be driven by tapping between the 11 vertebrae, each having an axis of insertion and each being 12 placed between two adjacent vertebrae. The implants include 13 a central chamber that receives bone for fusion or material 14 to function as a matrix promoting bone growth and has a 15 plurality of radially located apertures between the chamber 16 and the exterior that allow bone from the vertebrae to grow 17 into and fuse with the bone in the chamber. Alternatively, 18 other types of implants may be used including carbon fiber, 19 porous tantalum or any structure compatible with 20 implantation in the human body and adapted to support bone 21 growth so as to join adjacent vertebrae together through 22 promotion of bone growth and fusion. The implants that are 23 secured into bores preferably include an external rough 24 thread that is sized and shaped to be received in a similar 25 thread in the implant receiving bores to assist in securing 26

- the implants in the implant receiving bores.
- The implants are joined by a connector. In one
- 3 embodiment the connector element is an elongate and
- 4 generally rigid bar of rectangular cross-section that is
- 5 received in recesses in the front of each implant and
- 6 secured thereto by fasteners. Preferably, the connector is
- 7 not aligned to be perpendicular to the central axis is
- 8 slightly bowed at an angle preferably between about 2° to
- 9 10° . This allows the implants to be biased relative to each
- 10 other such that the implants are non-parallel after
- 11 completion of the implantation. This urges the implants
- 12 into the sidewalls of the implant receiving bores, which may
- 13 also be non-parallel, and makes it more difficult for the
- 14 implants to be unintentionally disturbed while in the
- implant receiving bores or pulled entirely from the bores.
- In a second embodiment the connecting element is a
- 17 relatively thin plate connecting the implants and also
- 18 preferably designed to allow the implants to be aligned to
- 19 be non-parallel. The plate also includes at least one
- 20 elongate slot so that upon installation, a set screw can
- 21 slide along the plate during tightening while effectively
- 22 biasing the implants against the wall of the implant
- 23 receiving bores.
- In a third embodiment a connecting plate joins two tap-
- 25 in type intervertebral implants. To gain additional
- 26 stability a pair of L-shaped connecting plates are secured

to the implants near one end thereof and to the adjacent vertebrae. Also the implants between different vertebrae are joinable by a connecting strip.

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Objects and Advantages of the Invention

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Therefore, the objects of the present invention are: to 7 provide a spinal stabilizing system having an apparatus 8 including implants that are positioned in bores between 9 vertebrae having a degenerated or damaged disc wherein the 10 implants include live bone or are constructed of bone growth 11 enhancing material for generating fusion between the 12 vertebrae and wherein the implants are joined for greater 13 stabilization during the fusion process; to provide such an 14 apparatus that provides for proper spacing and alignment 15 between the vertebrae thereby relieving pressure on nerves, 16 restoring strength to the spinal column and correcting other 17 problems associated with vertebrae misaligned due to disc 18 failure or related damage; to provide such an apparatus 19 including structure to further join implants to adjacent 20 vertebrae above and below the implants and other implants so 21 as to additionally improve stabilization of the implant 22 during the fusion process; to provide such an apparatus 23 wherein the implants are joined in such a manner that the 24 axes thereof are nonparallel so as to substantially reduce 25 the likelihood of accidental dislodgement of the implants 26

1	from the bores in which they are seated or their correct
2	position between the vertebrae; to provide such an apparatus
3	where the implants are biased against the interior walls of
4	the bores so as to further reduce the likelihood of
5	inadvertent removal of the implants from the bores during
6	the fusion process; to provide a method that utilizes the
7	implants in such a manner as to provide an extremely stable
8,	implant construction during the fusion process to reduce the
9	likelihood of disturbance of the implants or of accidental
10	removal of the implants from the bores and to speed the
11	fusion process so as to quickly stabilize the patient's
12	spine; and to provide such an apparatus and method which are
13	relatively simple to use, economical to produce and utilize
14	and that are especially well adapted for the intended usage
1 5	thereof.
15	
16	Other objects and advantages of this invention will
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16 17 18 19 20 21 22	Other objects and advantages of this invention will become apparent from the following description taken in conjunction with the accompanying drawings wherein are set forth, by way of illustration and example, certain embodiments of this invention. The drawings constitute a part of this specification and include exemplary embodiments of the present invention

1 Figure 1 is a fragmentary perspective view of a

- 2 patient's spine showing implants in accordance with the
- 3 present invention inserted in a region normally occupied by
- 4 a disc between two vertebrae wherein the implants are joined
- 5 to each other by a stabilizing structure or apparatus
- 6 according to the present invention.
- 7 Figure 2 is a perspective view of the patients spine
- 8 prior to insertion of the implants illustrating the
- 9 insertion of a non-circular spacer between the vertebrae.
- 10 Figure 3 is a front view of the vertebrae of the
- 11 patients spine showing the spacer in phantom lines as the
- 12 spacer was inserted and showing the vertebrae in phantom
- 13 lines at the time of first insertion of the spacer and also
- 14 showing the spacer in solid lines as the spacer is rotated
- 15 to space the vertebrae that are shown in solid lines, when
- 16 spaced.
- Figure 4 is a perspective view of the patients spine
- 18 illustrating the pair of vertebrae in spaced relationship to
- one another and illustrating a bore being produced by use of
- 20 a drill and drill guide.
- 21 Figure 5 is a fragmentary cross-sectional view of the
- 22 spine illustrating an implant receiving bore being drilled,
- taken along line 5-5 of Fig. 4.
- 24 Figure 6 is a fragmentary cross-sectional view of the
- 25 spine illustrating a top threading the implant receiving
- 26 bore, taken along line 5-5 of Fig. 4.

Figure 7 is a front view of the patient's spine

- 2 subsequent to the production of an implant receiving bore by
- 3 the steps of Figs. 2 through 6.
- Figure 8 is a front view of the patient's spine showing
- 5 an implant positioned in the bore formed in steps of Figs. 2
- 6 through 7.
- 7 Figure 9 is a schematic top plan view of a pair of
- 8 implants prior to joining of the implants.
- 9 Figure 10 is a schematic top plan view of the pair of
- 10 implants subsequent to joining of the implants.
- 11 Figure 11 is an exploded and enlarged perspective view
- of the implants and a connecting element prior to joining of
- 13 the implants.
- 14 Figure 12 is a perspective view of a portion of a first
- 15 modified implant system showing an implant, a rod for
- 16 connecting the implants and a pair of links for connecting
- 17 the implants to adjacent vertebrae.
- Figure 13 is a fragmentary perspective view of the
- 19 first modified implant system positioned in a patents spine
- 20 between two vertebrae and inter-connecting the vertebrae to
- 21 the system.
- Figure 14 is a front elevational view of a second
- 23 modified implant system showing two pairs of top-in implants
- 24 with connectors and a strip joining the connectors.
- 25 Figure 15 is a side elevational view of the upper pair
- of implants of Fig. 14, taken along viewing line 15-15.

1 Figure 16 is a side elevational view of the lower pair

of implants of Fig. 14, taken along viewing line 16-16.

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1	Detailed Description of the Invention
2	
3	As required, detailed embodiments of the present
4	invention are disclosed herein; however, it is to be
5	understood that the disclosed embodiments are merely
6	exemplary of the invention, which may be embodied in various
7	forms. Therefore, specific structural and functional
8,	details disclosed herein are not to be interpreted as
9	limiting, but merely as a basis for the claims and as a
10	representative basis for teaching one skilled in the art to
11	variously employ the present invention in virtually any
12	appropriately detailed structure.
13	The reference numeral 1 generally represents a first
14	embodiment of a spinal stabilization and fusion enhancing
15	apparatus or system 1 in accordance with the present
16	invention shown in Figures 1 and 8 through 11 and showing
17	installation of the apparatus 1 in Figures 1 through 10 in
18	the spine 2 of a patient.
19	The fusion enhancing apparatus 1 includes a pair of
20	bone receiving cages or implants 10 and 11 that are joined
21	to a connecting plate 12 by a pair of set screws 13 and 14.
22	The implants 10 and 11 are designed to be received in a
23	circular bore, but have a somewhat rectangular cross-section
24	with arcing at four opposite corners. Implants of the type
25	illustrated are sold in the marketplace by Spine-Tech Inc.
26	and other manufacturers of spinal fusion type implants. In

1 accordance with the invention pairs of implants of a wide

- 2 range of shapes and constructed of a wide range of materials
- 3 may be utilized in the invention, provided that the implants
- 4 are positionable between adjacent vertebrae, that is,
- 5 intervertebral implants; are compatible with use in the
- 6 human body; promote, encourage or enhance bone growth into
- 7 the implant or between the vertebrae and are connectible.
- 8 Each of implants 10 and 11 (best seen in Fig. 11) are
- 9 elongate and have a central axis A. Each of the implants 10
- 10 and 11 also are somewhat annular in shape having a central
- 11 chamber 20, surrounded by a wall 21 having an outer surface
- 12 22. The wall 22 is penetrated by a plurality of ports or
- 13 windows 26 that are radially positioned and open into the
- 14 central chamber 20. The outer surface 22 also includes
- 15 partial threads 27 interspaced at opposite corners with the
- 16 windows 26.
- Each implant 10 and 11 has an enclosed rear end 30 and
- 18 a front end 31. The front end 31 has a threaded bore 32
- 19 that is generally aligned with the axis A and an outer
- 20 generally planar surface 33. When installed, bone chips 35,
- 21 normally harvested from another part of the body such as the
- 22 hip, are inserted in the chamber 20 (see Fig. 8).
- The plate 12 is relatively rigid, but has a slight
- 24 amount of resiliency. The plate 12 has two spaced loops 40
- 25 and 41 joined by a connector 42. The loops 40 and 41 are
- 26 sized and shaped to generally sit on the front end surface

1 33 of each of the implants 10 and 11. One of the loops 40

- 2 is circular and the other loop 41 is oblong. The general
- 3 reason for the difference in shape is that the loop 40 is
- 4 joined to an implant 10 or 11 first and, thereafter, the
- 5 loop 41 to the opposite implant. The oblong nature of the
- 6 loop 41 is necessary to allow for various spacing of the
- 7 implants 10 and 11 and more importantly to allow the second
- 8 of the set screws 13 or 14 to be started into the associated
- 9 bore 32.
- 10 In particular, the plate 12 is bowed or bent from top
- 11 to bottom across the connector 42. Normally, the angle of
- 12 the bend will be in the range of 2° to 10° and, in the
- 13 illustration the angle is about 7° and the bend can be seen
- in Figures 9 and 10.
- The plate 12 is relatively rigid to hold the implants
- 16 10 and 11 in a non-parallel relationship to each other as
- seen in Fig. 10 to make the implants 10 and 11 harder to
- 18 disturb once implanted and to also provide a slight loading
- or bias to the implants 10 and 11 in some instances to
- 20 further stabilize the apparatus 1.
- The set screws 13 and 14 are sized and shaped to be
- 22 received through the connector 42 loops 40 and 41
- 23 respectively with a cap 45 abutting on and snugged against
- 24 each respective loop 40 and 41. Each set screw includes a
- 25 threaded surface 46 below the cap 45 that is operably
- 26 received in a respective implant matingly threaded bore 32.

1 Each cap 45 also includes an opening 47 sized and shaped to

- 2 receive a driving tool such as an allen wrench, screwdriver
- 3 or the like (not shown).
- In use, the patient's spine 2 is exposed and a pair of
- 5 vertebrae 50 and 51 are exposed, normally by entry from the
- 6 front. Although rear entry is possible, front entry is
- 7 normally considered to be preferred to rear entry.
- The vertebrae 50 and 51 to be stabilized and fused are
- 9 first separated, since proper spacing has usually been
- 10 compromised by a defective intervertebral disc or vertebrae
- 11 damage. To space the vertebrae 50 and 51 a nonsymetrical
- 12 spacer having a rotating lug 61 is inserted between the
- vertebrae 50 and 51 on the left or right side (see Fig. 2).
- The spacer 60 is then rotated (as seen in Fig. 3) and
- 15 the vertebrae 50 and 51 are further spaced as illustrated by
- 16 the difference between phantom lines (not spaced) and solid
- 17 lines (spaced) in Fig. 3. Normally the vertebrae 50 and 51
- 18 are spaced approximately to the limits of ligaments (not
- 19 shown) holding the vertebrae 50 and 51 together.
- 20 A guide tool 63 is then positioned opposite the spacer
- 21 60, as seen in Figs. 4 and 5. The guide tool 63 includes a
- tube 64 with pins 65 at one end to provide better gripping
- of the bone. The guide tool 63 aligns the location of a
- 24 bore 68 to receive one of the implants 10 or 11. A drill
- 25 bit 70 is inserted in the guide tool sleeve 64 and the bore
- 26 68 is drilled. The drill bit 70 is then removed and a

threading tool 71 is inserted to form a coarse thread 72 on

- 2 the interior of the bore 68 that mates with the thread 27 of
- 3 implants 10 and 11.
- The threading tool 71 is removed from the bore 68 and
- 5 an implant 10 (see Fig. 8) is inserted. The spacer 60 is
- 6 then removed and the drilling and threading procedure is
- 7 repeated on the opposite side creating a second bore 74.
- 8 The second implant 11 is then inserted in the second bore
- 9 74, as seen in Fig. 1.
- The connecting plate 12 is then attached to the
- 11 implants 10 and 11 using the set screws 13 and 14. The
- 12 implants 10 and 11 may originally be parallel as shown in
- 13 Fig. 9 or the bores 68 and 74 may be drilled to be non-
- 14 parallel. In either case, when the plate 12 is secured to
- 15 the implants 10 and 11 (shown schematically in Fig. 10), the
- 16 implants 10 and 11 are urged into a non-parallel alignment
- due to the angle of the bores 68 and 74, the loading of the
- 18 plate 12 or both.
- 19 In particular, the set screw 13 is first placed to
- 20 extend through the loop 40 into the bore 32 of implant 10
- 21 and tightened. The second set screw 14 is likewise
- 22 positioned with respect to implant 11. As the set screw 14
- 23 is tightened the bend in the plate 12 biases the implants 11
- 24 and 12 to a non-parallel alignment.
- It is noted that the bores 68 and 74 may also be skewed
- 26 (not in the same horizontal plane) to give the implants

1 greater gripping and purchase with respect to the vertebrae

- 2 50 and 51, such that the implants 10 and 11 are more likely
- 3 to resist forces that try to displace the implants 10 and 11
- 4 during use.
- 5 The reference numeral 101 generally represents a
- 6 modified stabilization apparatus or system that is
- 7 illustrated in Figures 12 and 13. The system 101 which is
- 8 seen installed in a spinal column 103 of a patient in
- 9 association with and at least partly between a pair of
- 10 vertebrae 104 and 105.
- 11 Individual elements of the stabilization system 101 are
- 12 illustrated in Figure 12. The system 101 includes a pair of
- bone receiving and engaging cages or implants 109 and 110, a
- 14 connecting element or bar 111 and a pair of connecting
- 15 members 112 and 113.
- 16 Each of the implants 109 and 110 is cylindrical in
- 17 shape having an annular wall 120. Each wall 120 is porous
- 18 or heavily fenestrated and includes a plurality of pass
- 19 through bores or apertures 121 that are generally radially
- 20 aligned. The exterior of each of the walls 120 also
- 21 includes a rough helical thread 122 that is aligned with a
- 22 central axis of each respective implant 109 and 110 and
- 23 which is designed to help secure each respective implant 109
- 24 and 110 in a desired position thereof.
- Each of the implants 109 and 110 includes a rear end
- 26 124 for closing the rear end and has a front end 125 that

1 opens into an interior bore 126. An interior chamber 127 is

- thus formed between the annular wall 120 and the end cap 125
- 3 that is not entirely enclosed as it opens outwardly through
- 4 the various apertures 121.
- 5 The chamber 127 receives bone fragments 128 that are
- 6 harvested from another part of the patient's body, such as
- 7 the patient's hip. The front end 125 of each implant 109
- 8 and 110 includes a rectangularly shaped recess sized and
- 9 shaped to receive the connecting element, plate or bar 111.
- 10 The recess 131 has a partial rear wall surface 132. The bar
- 11 111 is not linear but has a bend or curve in the range of 2°
- 12 to 10°, preferably about 5°. This same feature may be
- 13 created by a continuous curve or arc located between the
- 14 implants 10 and 11. In this manner, when the connecting bar
- 15 111 is placed in the recess 131 and abuts against the
- 16 surface 132, the two implants 109 and 110 are urged to align
- in a slightly nonparallel relationship to one another,
- preferably so as to toe in or converge at the rear ends 124
- 19 of the implants 109 and 110 opposite the bar 111.
- It is foreseen that the axial deviation of the two
- 21 implants 109 and 110 could also be spread further apart in
- the rear thereof as opposed to where the implants 109 and
- 23 110 join the bar 111, that is diverge or toeout. On the
- other hand, the implants 109 and 110 may be aligned to also
- 25 be skewed relative to one another and/or divergent or
- 26 convergent.

1 The connecting bar 111 is bent on one outer wing 135

- 2 thereof to conform to the curvature of the vertebrae 104 and
- 3 105, as shown in Fig. 12. The wing 135 extends outwardly
- 4 further than the opposite side of the bar 111 and is
- 5 normally located on the left hand side of the patient. The
- 6 wing 135 is so located, as surgeons normally enter from the
- 7 front, but on the left side, so that the patient left hand
- 8 location allows the surgeon better access.
- 9 Located in the wing 135 is a threaded bore 136 that
- 10 receives a mating screw 137. The screw 137 is also received
- 11 through one of a series of apertures 139 and 140 in each of
- 12 the connecting members 112 and 113.
- The connecting member 112 and 113 are L-shaped and each
- 14 have a second set of threaded apertures 142 and 143 spaced
- 15 from the wing 135 and positioned opposite the bones 104 and
- 16 105 respectfully as shown in Figure 13. The bone screws 145
- 17 and 146 are of the type having a thread 147 on the body for
- 18 taping into bone and a second thread 148 on the head that is
- 19 mated with the bores 142 and 143 respectfully.
- The modified apparatus 101 is installed and functions
- 21 in a similar manner to the apparatus 1 of the previous
- 22 embodiment with the principal exception that the connecting
- 23 members 112 and 113 are secured to the adjacent vertebrae
- 24 104 and 105 so as to secure the apparatus 101 directly to
- 25 the vertebrae 104 and 105.
- 26 Illustrated in Figures 14, 15 and 16 is a second

1 modified embodiment of a spinal stabilization apparatus in

- 2 accordance with the invention, generally identified by the
- 3 reference numeral 201 and used in conjunction with a spine
- 4 202.
- 5 The apparatus 201 includes a first pair of implants 205
- 6 and 206 joined by a first connecting member 207 and a second
- 7 pair of implants 208 and 209 joined by a second connecting
- 8, member 210. The implants 205, 206, 208 and 209 are similar
- 9 to the implants of the previous embodiments in that each
- 10 contains bone and has windows 212 or similar openings
- 11 extending between an interior chamber holding the bone and
- 12 an exterior.
- The implants 205, 206, 208 and 209 are different in
- 14 comparison to those of the previous embodiment in the shape
- and method of implantation thereof. The implants 205, 206,
- 16 208 and 209 illustrate implant types that are placed between
- bones 220, 221 and 222 by striking or pushing, sometimes
- 18 referred to as tap-in type herein, as opposed to being
- 19 secured by screwing into previously formed bores.
- 20 Consequently, the implants 205, 206, 208 and 209 have a
- 21 rectangular cross section as opposed to circular or near
- 22 circular cross section.
- The implants 205, 206, 208 and 209 illustrate several
- 24 different types. In particular the implants 205 and 206 are
- 25 each generally rectangular when viewed from the side (see
- 26 Fig. 15), but have different heights with implant 205 being

larger than implant 206. The implants 205 and 206 are used

- 2 to support opposite sides of a bone 221 that has
- 3 deteriorated or been damaged on the side requiring the
- 4 larger implant 205 to level the opposite sides of the bone
- 5 221.
- The implants 208 and 209 have a trapezoidal
- 7 configuration when viewed from the side (see Fig. 16) to
- 8 operably space the front of the bones 222 and 223 more than
- 9 the rear thereof.
- The connector plates 207 and 210 are similar to the
- 11 connector plate 12 of the first embodiment and join the
- 12 implants 205 and 206 as well as the implants 208 and 209
- 13 respectively with the one difference being that the plates
- 14 207 and 210 each include a centrally located threaded bore
- 15 230 that receives a threaded screw 231. Each of the
- 16 connector plates 207 and 210 are joined to respective
- 17 implants 205, 206, 208 and 209 by set screws 238.
- An elongate strip 241 operably extends vertically along
- 19 the front of the spine 202 and joins the connecting plates
- 20 207 and 210. The strip 241 has a series of oval shaped
- 21 apertures 244 that receive screws 231 so as to secure the
- 22 strip 241 to each plate 207 and 210 and so as to further
- 23 stabilize the apparatus 201 and spine 202.
- The apparatus 201 is installed in a somewhat different
- 25 manner than that of the previous embodiments. Instead of
- 26 forming bores to receive the implants, any pad between bones

221, 222 and 223 is removed and the implants 205, 206, 208
and 209 are driven into place by tapping or the like. The
connecting plates 207 and 210 are then joined to respective
implants 205, 206, 208 and 209 by set screws 238, as in the
previous embodiments, with the plates 207 and 210 bent to a
selected angle. The strip 241 is then joined to each
connecting plate 207 and 210 by screws 231.

While the implants have mainly been described as cages

8, for receiving bone to enhance bone growth into the cages and 9 to fuse the vertebrae, it is foreseen that other types of 10 implants may be used for this purpose. For example, carbon 11 fiber implants, implants of porous tantalum and other 12 structures of stainless steel, tungsten and other body 13 friendly materials, either coated with bone growth enhancing 14 medium or simply porous so as to support and encourage bone 15 growth into and through the implants, may be utilized in 16 accordance with the invention. 17

It is to be understood that while certain forms of the
present invention have been illustrated and described
herein, it is not to be limited to the specific forms or
arrangement of parts described and shown.

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CLAIMS

What is claimed and desired to be secured by Letters Patent is as follows:

- 1. An apparatus for stabilizing between adjacent vertebrae of a spine by promotion of bone fusion between the adjacent vertebrae; said apparatus comprising:
 - a) a pair of implants adapted to be received between adjacent vertebrae; each of said implants adapted to promote bone growth between the adjacent vertebrae;
 - b) a connector joined to each of said implants; and
 - c) fasteners securing said connector to each of said implants.
- 2. The apparatus according to Claim 1 wherein:
 - a) said connector is a substantially rigid elongate bar.
- 3. The apparatus according to Claim 2 wherein:
 - a) each of said implants has a recess located at said front end thereof; said bar being received in both of said recesses.

4. The apparatus according to Claim 3 wherein:

- a) said bar is bent at an angle between 2° and 10°, such that when said bar is received in said recess, said implants are biased so that the central axes thereof are nonparallel.
- 5. The apparatus according to Claim 1 wherein:
 - a) said connector is a plate operably joined to the front end of each of said implants.
- 6. The apparatus according to Claim 5 wherein:
 - a) said plate is bent intermedially and is secured to said implants such that said implants are biased in such a manner that central axes associated with said implants are nonparallel when assembled.
- 7. The apparatus according to Claim 1 wherein:
 - a) each implant has a front end that has a pass through bore that is threaded; and
 - b) said fasteners comprise set screws operably joining said connector to said implants and being received in said threaded bore.

8. The apparatus according to Claim 1 including:

- a) a vertebral connecting member; said connecting number being connected at a first portion location therealong to said implants and having a second spaced portion whereat said connecting member is adapted to be secured to one of the two adjacent vertebrae.
- 9. The apparatus according to Claim 8 wherein:
 - a) said connecting member is a first
 member; and including
 - b) a second connecting member operably secured to said implants and adapted to be secured to a second of the two adjacent vertebrae.
- 10. The apparatus according to Claim 9 wherein:
 - a) said first and second members are Lshaped and include apertures therealong
 to receive a series of bone screws to
 secure said members to said vertebrae.

11. An apparatus for stabilizing intervertebrally by promotion of bone fusion between two adjacent vertebrae of a spine; said apparatus comprising:

- a) a pair of implants adapted to be received between the adjacent vertebrae; each of said implants having an interior chamber for receiving bone fragments; and each of said implants having a plurality of radially positioned and wall penetrating apertures adapted to allow bore fragments in said bores to join and fuse with bore in the adjacent vertebrae;
- b) a connecting member operably joined to each of said implants;
- c) fasteners operably securing said connecting member to each of said implants.
- 12. A method of stabilizing and promoting bone fusion between two adjacent vertebrae comprising the steps of:
 - a) selecting a pair of implants with each implant adapted to promote bone growth;
 - b) forming a pair of implant receiving bores between the two adjacent vertebrae

with each of the implant receiving bores sized to snugly receive a respective one of said implants;

- c) placing said implants in respective bores; and
- d) joining a front end of each implant with a connector.
- 13. The method according to Claim 12 including the step of:
 - a) prior to the step of forming said

 implant receiving bores, biasing apart

 said two adjacent vertebrae to the

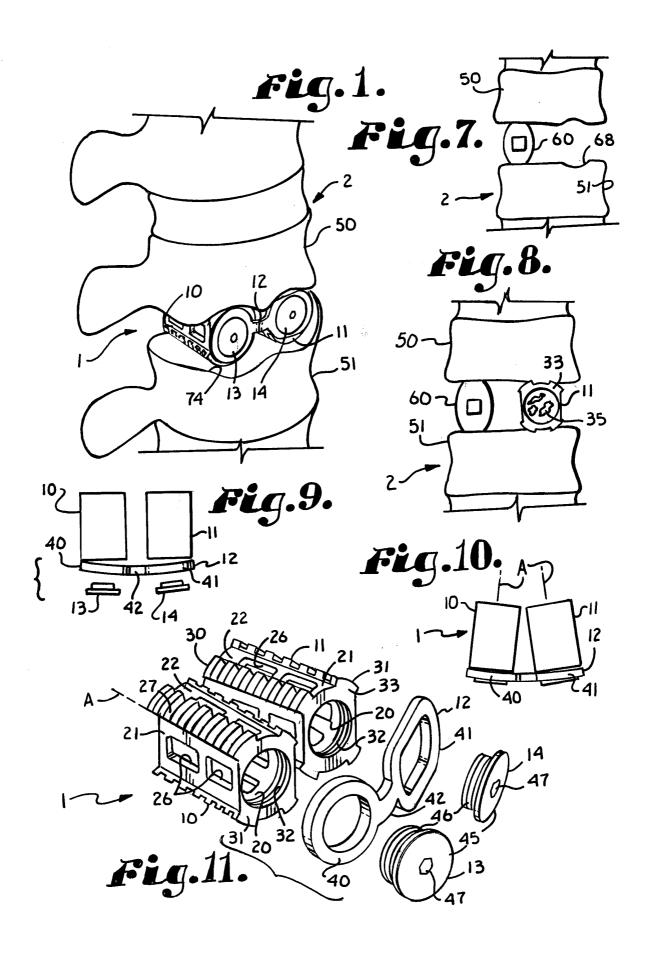
 extent allowed by connecting ligaments.
- 14. The method according to Claim 13 wherein said biasing is performed by:
 - a) inserting a non-circular plug between said vertebrae in a first alignment and then rotating said plug to space said vertebrae.
- 15. The method according to Claim 12 including:
 - a) forming said implant receiving bores such that the central axes thereof are non-parallel.

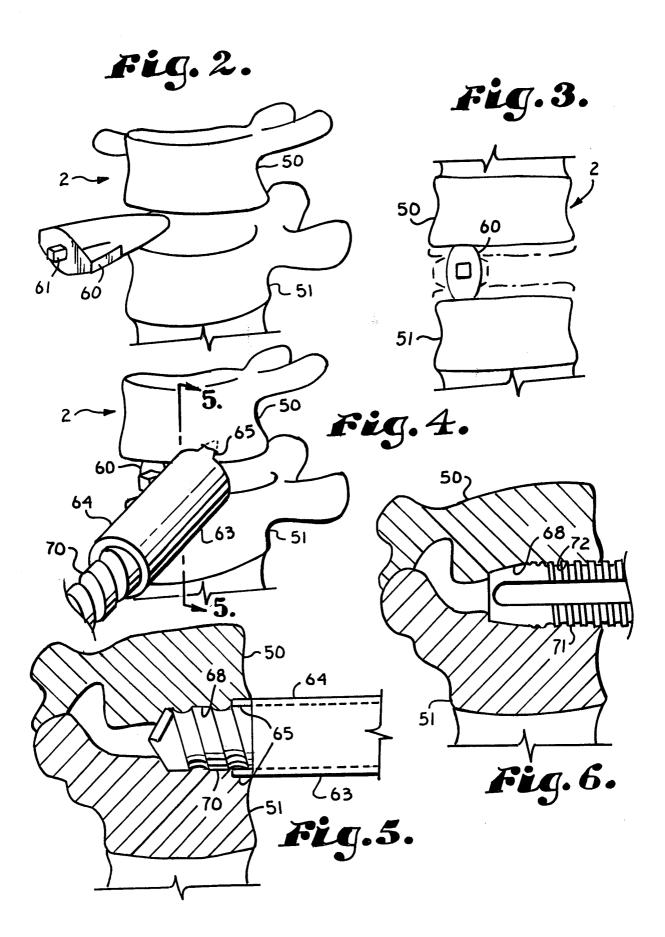
16. The method according to Claim 15 wherein:

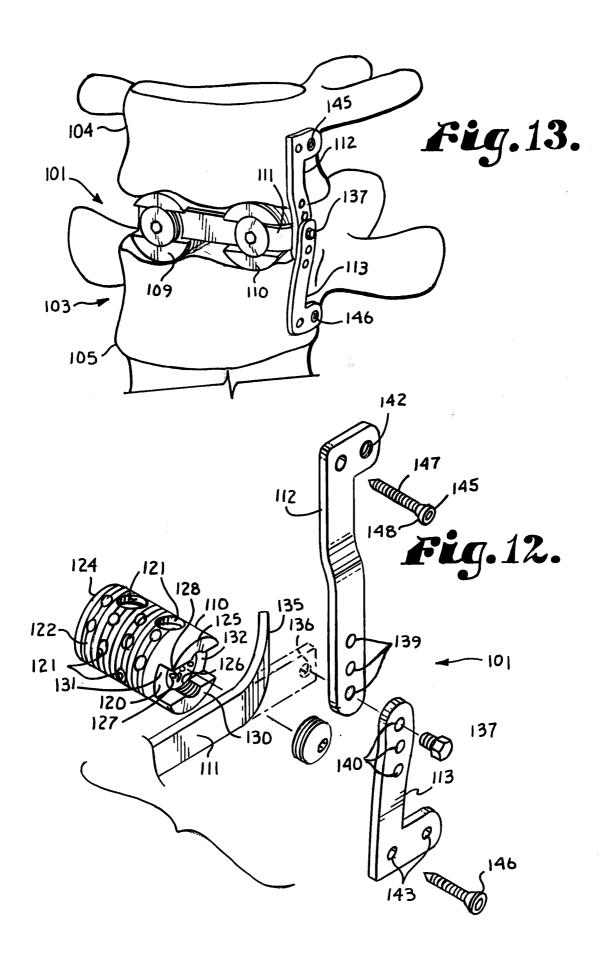
- a) said implant receiving bores diverge from front to rear.
- 17. The method according to Claim 12 including the step of:
 - a) joining said implants to said connector in such a manner that central axes of each of said implants is in a non-parallel configuration relative to each other and held in such configuration by said connector.
- 18. The method according to Claim 12 including the step of:
 - a) selecting a connecting member and securing said member to said implants and to one of said adjacent vertebrae by a fastener.

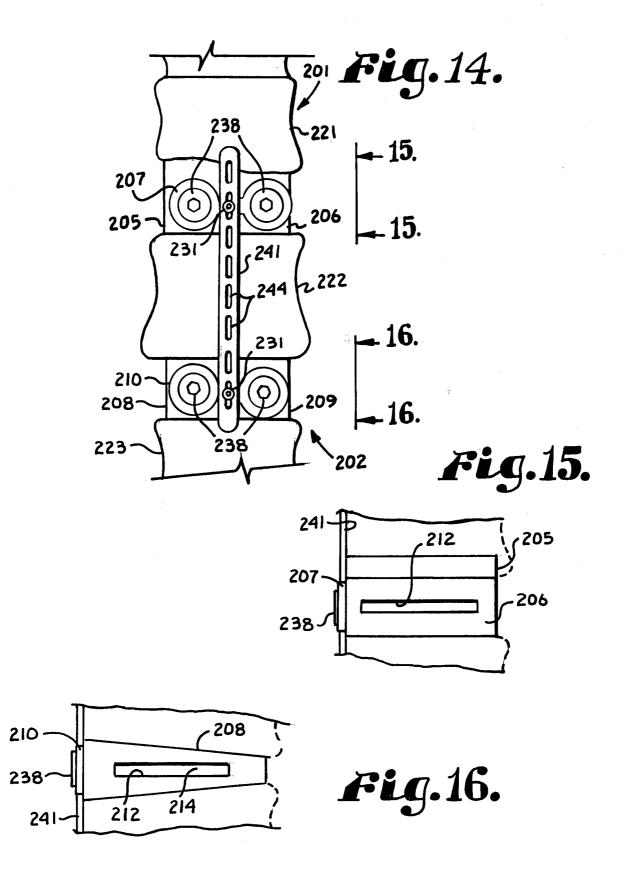
19. The method according to Claim 18 wherein:

- a) said member is a first member and including the step of selecting a second member and then securing said second member to said implants and to the second of said adjacent vertebrae.
- 20. In an apparatus for promoting fusion between adjacent vertebrae including a pair of intervertebral implants; the improvement comprising:
 - a) joining said implants with a connecting member.
- 21. The apparatus according to Claim 20 wherein:
 - a) said connecting member is bent such that said implants are urged to a non parallel alignment relative to each other.









INTERNATIONAL SEARCH REPORT

Form PCT/ISA/210 (second sheet)(July 1992)*

International application No. PCT/US99/15714

IPC(6) US CL According t	SSIFICATION OF SUBJECT MATTER :A61B 17/56 :606/61 o International Patent Classification (IPC) or to both na DS SEARCHED	tional classification and IPC	
	ocumentation searched (classification system followed b 606/61, 60, 72, 73; 623/17	oy classification symbols)	
Documentat	ion searched other than minimum documentation to the e	xtent that such documents are included	in the fields searched
Electronic d	lata base consulted during the international search (name	e of data base and, where practicable,	search terms used)
C. DOC	UMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appr	opriate, of the relevant passages	Relevant to claim No.
X	US 5,489,308 A (KUSLICH et al.) 06 I and 28.	February 1996, Figs. 1, 26,	1-3, 5, 7, 11-14
X	US 5,055,104 A (RAY) 08 October 199	92, Fig. 7.	1-3, 7, 11-14
X 	US 5,683,391 A (BOYD) 04 November	1997, Fig. 3.	1-4, 7, 11-13, 15- 21
Y			5, 6, 14
		:	
Furth	ner documents are listed in the continuation of Box C.	See patent family annex.	
Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance		T" later document published after the integrated date and not in conflict with the applic principle or theory underlying the investment.	ation but cited to understand the
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