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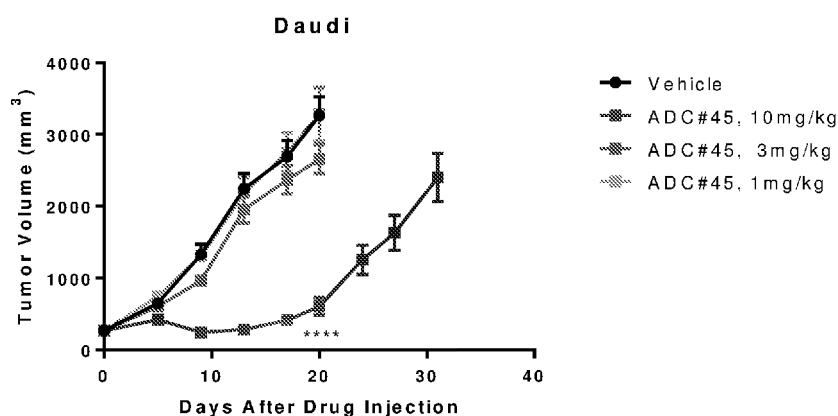
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(54) Title: CD38 ANTIBODY DRUG CONJUGATE

Figure 1A



* P<0.05, one-way ANOVA compared to Vehicle control group.

(57) Abstract: There is disclosed an antibody drug conjugate (ADC) having an IgG antibody that binds to a CD38 target conjugated at a Cys site in the hinge region of an IgG antibody. There is further disclosed a method for treating multiple myeloma comprising providing an effective amount of a CD38 ADC.

CD38 Antibody Drug Conjugate

Cross Reference to Related Application

The present patent application claims priority from United States provisional patent application 62/522,516 filed 20 June 2017 and United States patent provisional patent application 62/553,438 filed 01 September 2017.

Technical Field

The present disclosure provides an antibody drug conjugate (ADC) having an IgG antibody that binds to a CD38 target conjugated at a Cys site in the hinge region of an IgG antibody. The present disclosure further provides a method for treating a multiple myeloma comprising providing an effective amount of a CD38 ADC.

Background

CD38 is a 45 kD type II transmembrane glycoprotein with a long C-terminal extracellular domain and a short N-terminal cytoplasmic domain. The CD38 protein is a bifunctional ectoenzyme that can catalyze the conversion of NAD⁺ into cyclic ADP-ribose (cADPR) and also hydrolyze cADPR into ADP-ribose. During ontogeny, CD38 appears on CD34⁺ committed stem cells and lineage-committed progenitors of lymphoid, erythroid and myeloid cells. CD38 expression persists mostly in the lymphoid lineage with varying expression levels at different stages of T and B cell development.

CD38 is upregulated in many hematopoietic malignancies and in cell lines derived from various hematopoietic malignancies, including non-Hodgkin's lymphoma (NHL), Burkitt's lymphoma (BL), multiple myeloma (MM), B chronic lymphocytic leukemia (B-CLL), B and T acute lymphocytic leukemia (ALL), T cell lymphoma (TCL), acute myeloid leukemia (AML), hairy cell leukemia (HCL), Hodgkin's Lymphoma (HL), and chronic myeloid leukemia (CML). On the other hand, most primitive pluripotent stem cells of the hematopoietic system are CD38⁻. CD38 expression in hematopoietic malignancies and its correlation with disease progression makes CD38 an attractive target for anti-CD38 antibody therapy.

CD38 has been reported to be involved in Ca²⁺ mobilization (Morra et al., 1998, *FASEB J.*, 12: 581-592; Zilber et al., 2000, *Proc. Natl. Acad. Sci. USA*, 97: 2840-2845) and in the signal transduction through tyrosine phosphorylation of numerous signaling molecules, including phospholipase C- γ , ZAP-70, syk, and c-cbl, in lymphoid and myeloid cells or cell lines (Funaro et al., 1993, *Eur. J. Immunol.*, 23: 2407-2411; Morra et al., 1998, *FASEB J.*, 12: 581-592; Funaro et al., 1990, *J Immunol*, 145: 2390-2396; Zubiaur et al., 1997, *J Immunol*, 159: 193-205; Deaglio et al., 2003, *Blood* 102: 2146-2155; Todisco et al., 2000, *Blood*, 95: 535-542; Konopleva et al., 1998,

J. Immunol., 161: 4702-4708; Zilber et al., 2000, *Proc. Natl. Acad. Sci. USA*, 97: 2840-2845; Kitanaka et al., 1997, *J. Immunol.*, 159: 184-192; Kitanaka et al., 1999, *J. Immunol.*, 162: 1952-1958; Mallone et al., 2001, *Int. Immunol.*, 13: 397-409). CD38 was proposed to be an important signaling molecule in the maturation and activation of lymphoid and myeloid cells during their normal development.

Evidence for the function of CD38 comes from CD38^{-/-} knockout mice, which have a defect in their innate immunity and a reduced T-cell dependent humoral response due to a defect in dendritic cell migration (Partida-Sanchez et al., 2004, *Immunity*, 20: 279-291; Partida-Sanchez et al., 2001, *Nat. Med.*, 7: 1209-1216). Nevertheless, it is not clear if the CD38 function in mice is identical to that in humans since the CD38 expression pattern during hematopoiesis differs greatly between human and mouse: a) unlike immature progenitor stem cells in humans, similar progenitor stem cells in mice express a high level of CD38 (Randall et al., 1996, *Blood*, 87:4057-4067; Dagher et al., 1998, *Biol. Blood Marrow Transplant*, 4:69-74), b) while during the human B cell development, high levels of CD38 expression are found in germinal center B cells and plasma cells (Uckun, 1990, *Blood*, 76:1908-1923; Kumagai et al., 1995, *J. Exp. Med.*, 181:1101-1110), in the mouse, the CD38 expression levels in the corresponding cells are low (Oliver et al., 1997, *J. Immunol.*, 158: 108-1115; Ridderstad and Tarlinton 1998, *J. Immunol.*, 160:4688-4695).

Several anti-human CD38 antibodies with different proliferative properties on various tumor cells and cell lines have been described in the literature. For example, a chimeric OKT10 antibody with mouse Fab and human IgG1 Fc mediates antibody-dependent cell-mediated cytotoxicity (ADCC) very efficiently against lymphoma cells in the presence of peripheral blood mononuclear effector cells from either MM patients or normal individuals (Stevenson et al., 1991, *Blood*, 77:1071-1079). A CDR-grafted humanized version of the anti- CD38 antibody AT13/5 has been shown to have potent ADCC activity against CD38-positive cell lines. Human monoclonal anti-CD38 antibodies have been shown to mediate the *in vitro* killing of CD38-positive cell lines by ADCC and/or complement-dependent cytotoxicity (CDC), and to delay the tumor growth in SCID mice bearing MM cell line RPMI-8226 (WO2005/103083 A2). On the other hand, several anti-CD38 antibodies, IB4, SUN-4B7, and OKT10, but not IB6, AT1, or AT2, induced the proliferation of peripheral blood mononuclear cells (PBMC) from normal individuals (Ausiello et al. 2000, *Tissue Antigens*, 56:539-547).

Some of the antibodies of the prior art have been shown to be able to trigger apoptosis in CD38⁺ B cells. However, they can only do so in the presence of stroma cells or stroma-derived cytokines. An agonistic anti-CD38 antibody (IB4) has been reported to prevent apoptosis of human

germinal center (GC) B cells (Zupo et al. 1994, *Eur. J. Immunol.*, 24:1218-1222), and to induce proliferation of KG-1 and HL-60 AML cells (Konopleva et al. 1998, *J. Immunol.*, 161:4702-4708), but induces apoptosis in Jurkat T lymphoblastic cells (Morra et al. 1998, *FASEB J.*, 12:581-592). Another anti-CD38 antibody T16 induced apoptosis of immature lymphoid cells and leukemic lymphoblast cells from an ALL patient (Kumagai et al. 1995, *J. Exp. Med.*, 181:1101-1110), and of leukemic myeloblast cells from AML patients (Todisco et al. 2000, *Blood*, 95:535-542), but T16 induced apoptosis only in the presence of stroma cells or stroma-derived cytokines (IL-7, IL-3, stem cell factor).

Therefore, we believe that antibody drug conjugates (ADCs), targeted with anti-CD38 antibodies, offer the promise and potential of delivering potent anti-tumor activity with the advantage of reduced side effects.

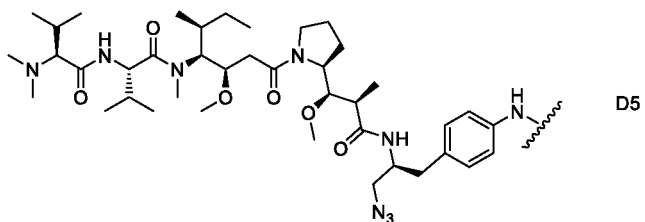
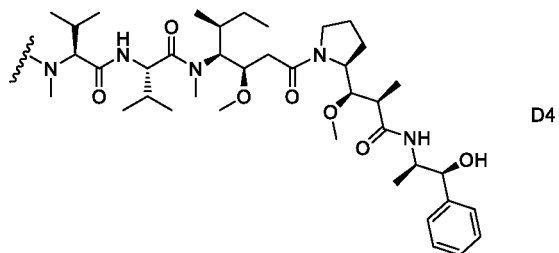
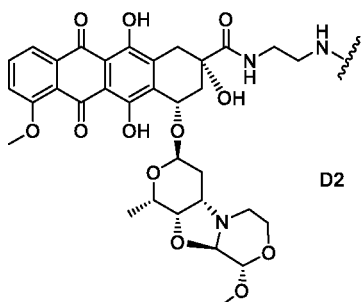
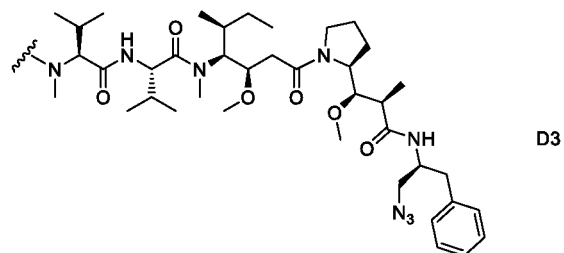
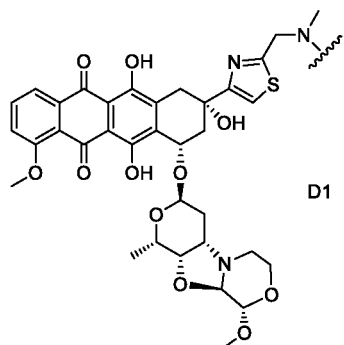
Summary

The present disclosure provides an antibody drug conjugate (ADC) having an IgG antibody that binds to a CD38 target conjugated at Cys sites in the hinge region of an IgG antibody. The present disclosure further provides a method for treating multiple myeloma comprising providing an effective amount of a CD38 ADC.

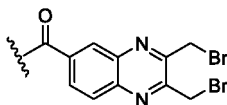
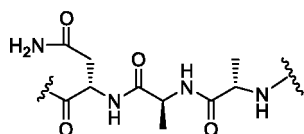
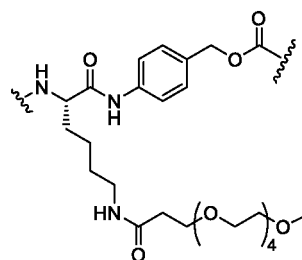
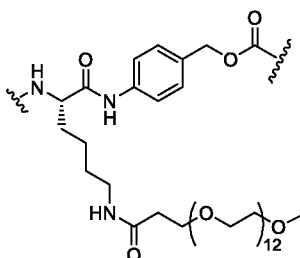
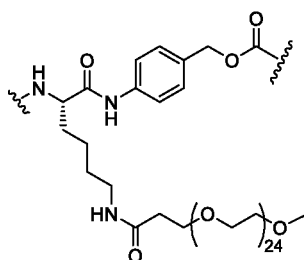
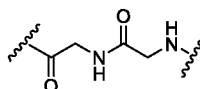
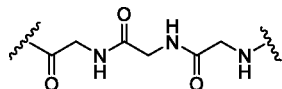
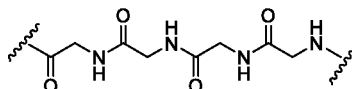
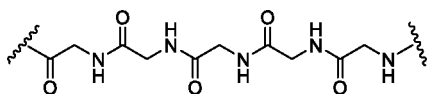
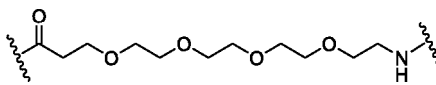
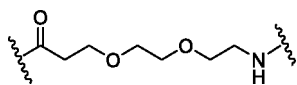
More specifically, the present disclosure provides an anti-CD38 ADC composition comprising:

- (a) an anti-CD38 IgG antibody C38A2 (SEQ ID NOs. 1/2 for heavy/light chain variable regions herein) or C38D8 (SEQ ID NOs. 3/4 for heavy/light chain variable regions herein);
- (b) a drug or toxin moiety that is a tubulin inhibitor or a doxorubicin analog; and
- (c) a conjugation linker moiety wherein the conjugation linker moiety binds to single Cys residue in a hinge region of an IgG antibody, and wherein a heavy chain hinge region of an IgG antibody may be mutated such that the heavy chain hinge region contains only one Cys residue.

Preferably, the drug or toxin moiety is selected from the group consisting of D1, D2, D3, D4, D5, and combinations thereof, wherein the structures of D1, D2, D3, D4 and D5 are:

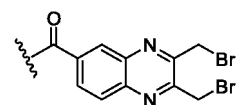


In some embodiments, the conjugation linker moiety comprises a linker moiety and a conjugation moiety. In some embodiments, the conjugation linker moiety comprises one or more of the structures:



wherein the wavy line indicates a point of attachment to the drug or toxin moiety and to the

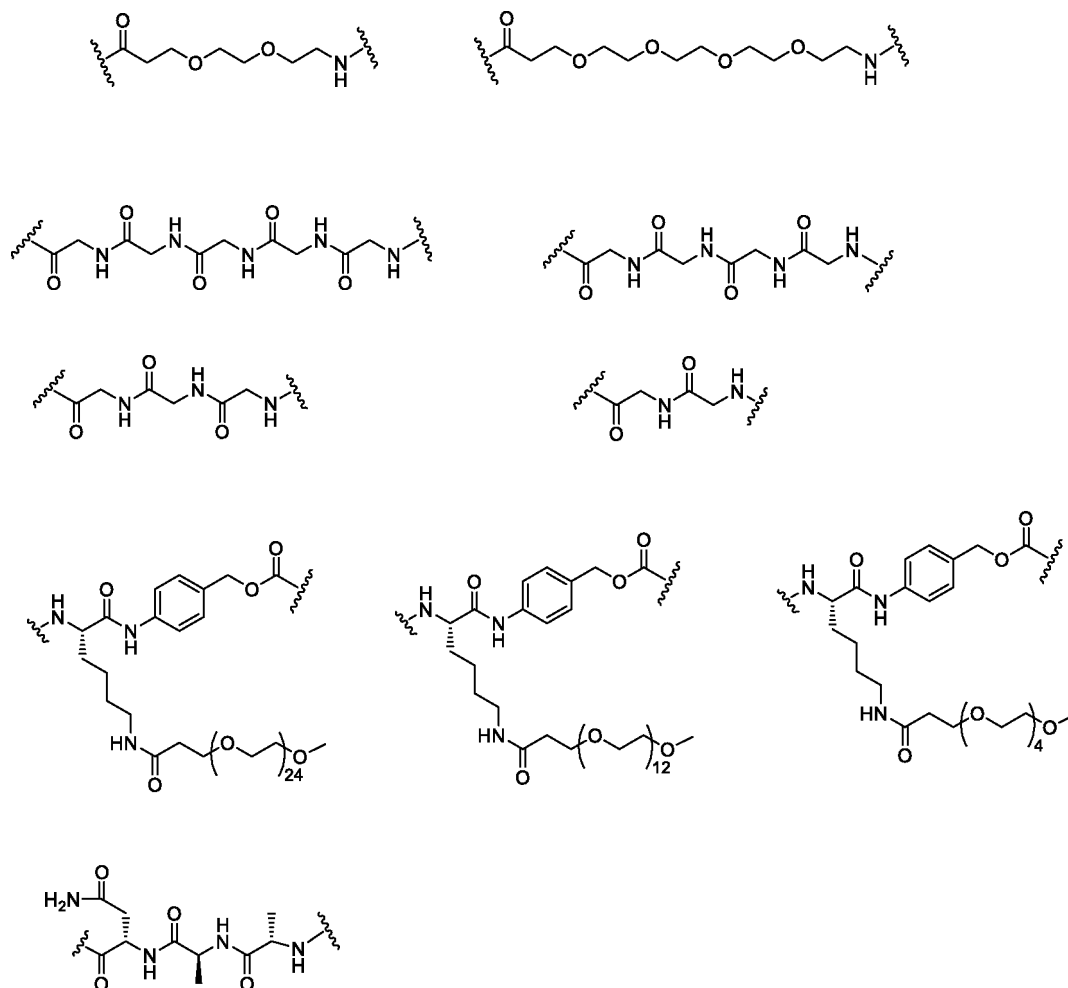
conjugation moiety. In some embodiments, the conjugation moiety is



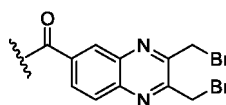
the wavy line indicates the point of attachment to the conjugation linker moiety.

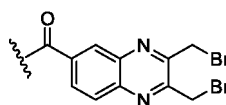
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Preferably, the conjugation linker moiety is selected from the group consisting of:



wherein the wavy line indicates a point of attachment.



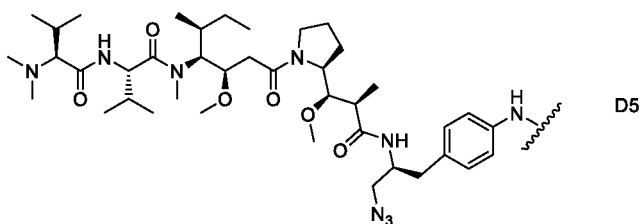
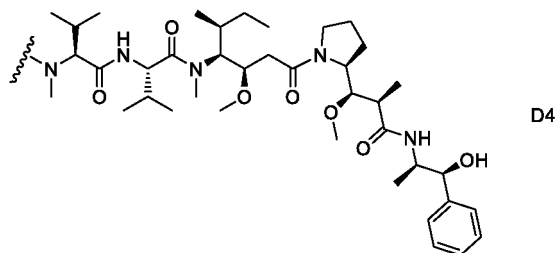
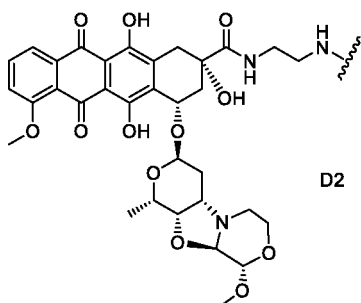
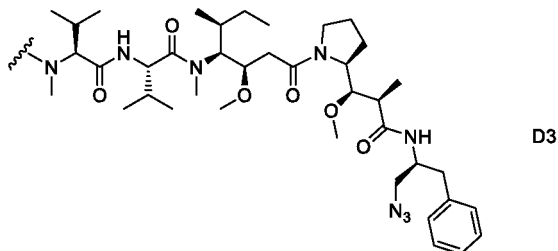
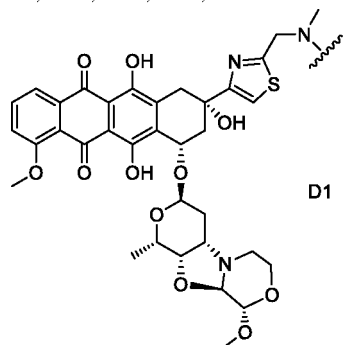
Preferably, the conjugation moiety is , wherein the wavy line indicates the point of attachment.

- 5 In another aspect is provided an antibody drug conjugate (ADC) composition comprising an IgG antibody that binds to CD38, a linker moiety conjugated to one Cys residue in a hinge region of an IgG antibody mutated to have only one Cys residue, and a toxin moiety conjugated to the linker moiety.

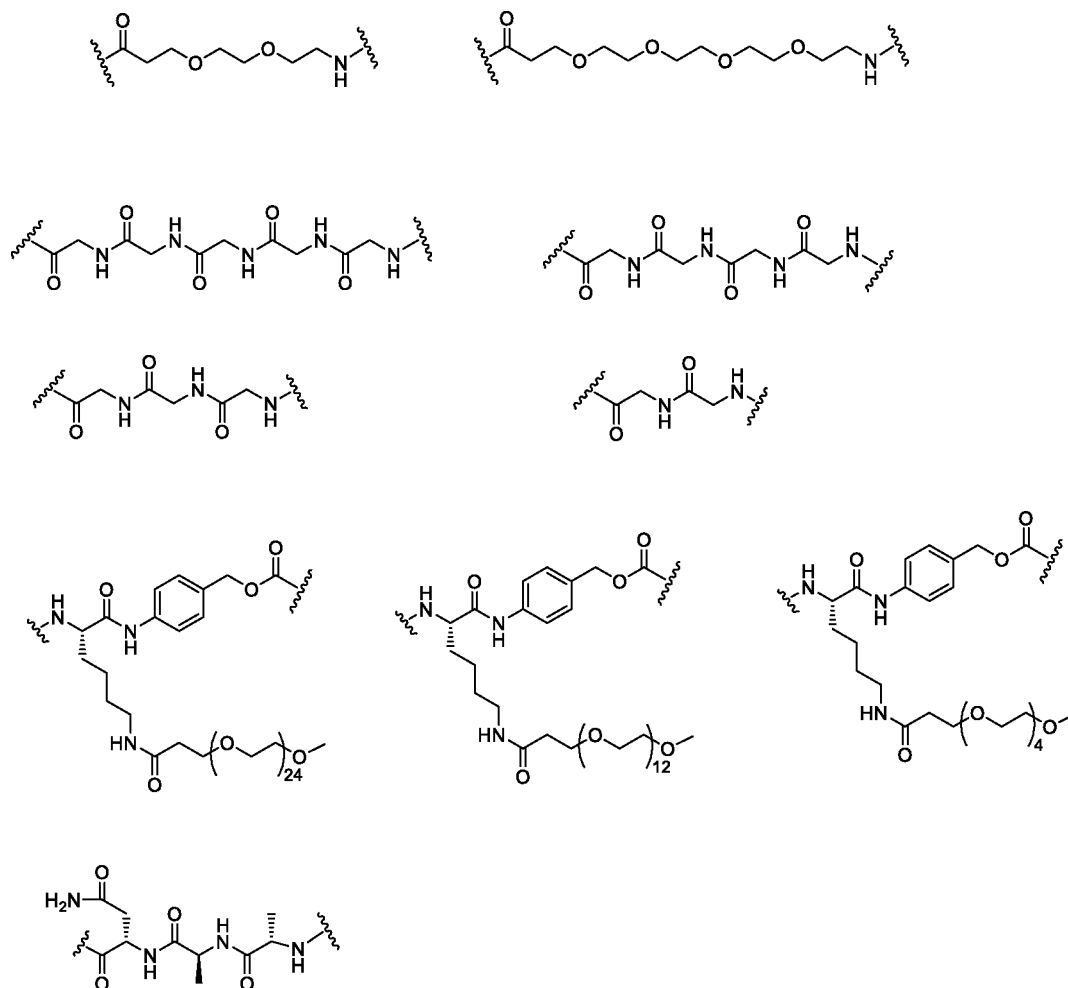
In another aspect is provided an anti-CD38 ADC composition comprising:

- 10 (a) an anti-CD38 IgG antibody C38A2-SV (SEQ ID NOs. 1/3 for heavy/light chain variable regions herein) or C38A2 (SEQ ID NOs. 1/2 for heavy/light chain variable regions herein);
- (b) a drug or toxin moiety that is a tubulin inhibitor or a doxorubicin analog; and
- (c) a conjugation linker moiety, wherein the conjugation linker comprises a linker and a
- 15 antibody, and wherein a heavy chain hinge region of an IgG antibody may be mutated such that the heavy chain hinge region contains only one Cys residue.

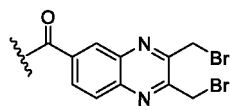
In some embodiments, the drug or toxin moiety is selected from the group consisting of D1, D2, D3, D4, D5, and combinations thereof, wherein the structures of D1, D2, D3, D4 and D5 are:

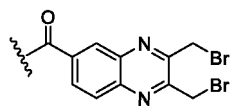


In some embodiments, the linker is selected from the group consisting of:



wherein the wavy line indicates a point of attachment to the conjugation moiety and the drug or toxin moiety.

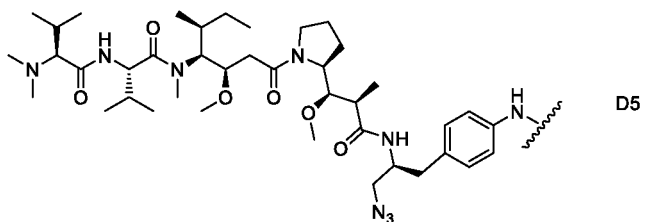
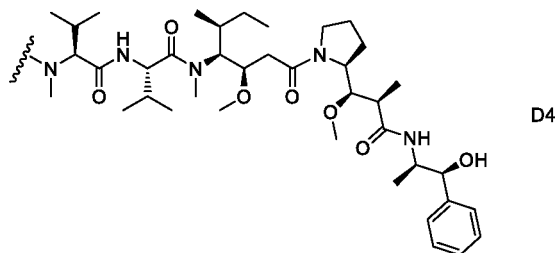
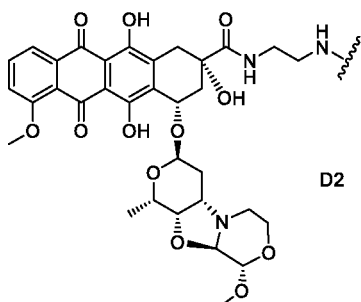
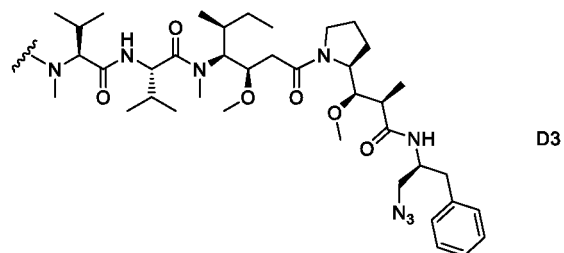
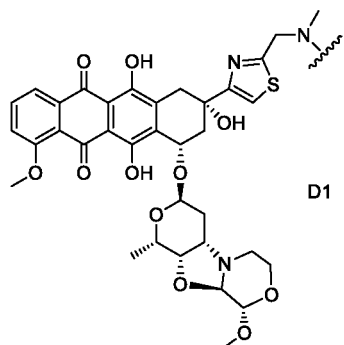


In some embodiments, the conjugation moiety is , wherein the wavy line indicates the point of attachment to the linker.

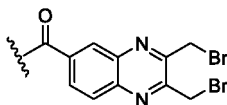
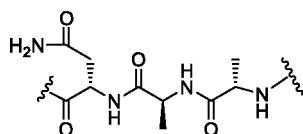
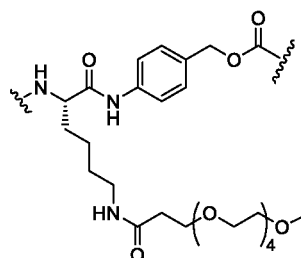
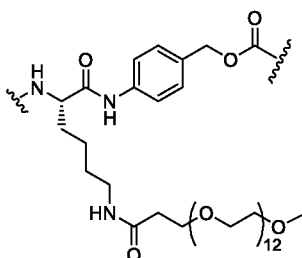
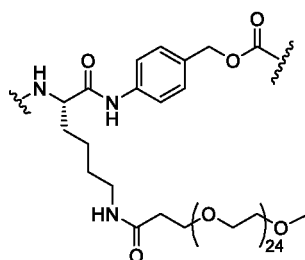
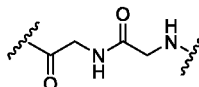
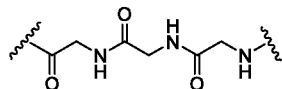
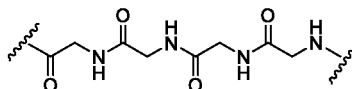
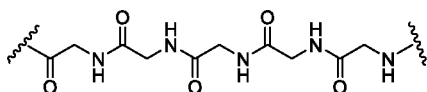
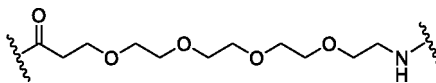
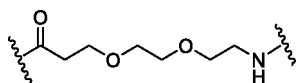
The present disclosure further provides a method for treating multiple myeloma, comprising administering an effective amount of an anti-CD38 ADC composition comprising:

- (a) an anti-CD38 IgG antibody C38A2 (SEQ ID NOs. 1/2 for heavy/light chain variable regions herein) or C38D8 (SEQ ID NOs. 3/4 for heavy/light chain variable regions herein);
- (b) a drug or toxin moiety that is a tubulin inhibitor or a doxorubicin analog; and
- (c) a conjugation linker moiety wherein the conjugation linker moiety binds to single Cys residue in a hinge region of an IgG antibody, and wherein a heavy chain hinge region of an IgG antibody may be mutated such that the heavy chain hinge region contains only one Cys residue.

Preferably, the drug or toxin moiety is selected from the group consisting of D1, D2, D3, D4, D5, and combinations thereof, wherein the structures are:

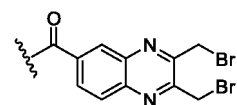


In some embodiments, the conjugation linker moiety comprises a linker moiety and a conjugation moiety. In some embodiments, the conjugation linker moiety comprises one or more of the structures:



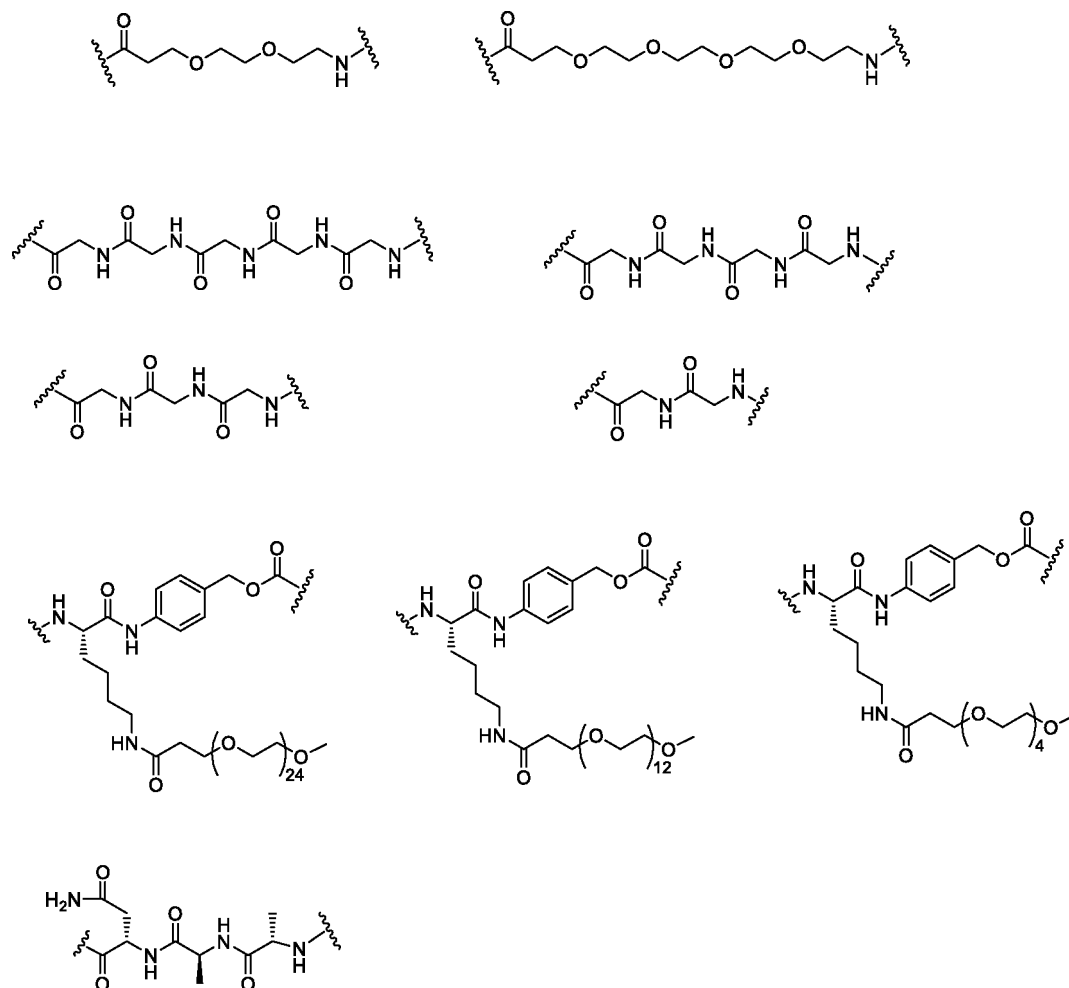
wherein the wavy line indicates a point of attachment to the drug or toxin moiety and to the

conjugation moiety. In some embodiments, the conjugation moiety is

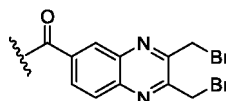


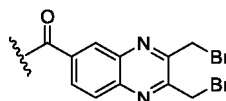
the wavy line indicates the point of attachment to the conjugation linker moiety.

- 5 Preferably, the linker moiety of the conjugation linker moiety is selected from the group consisting of:

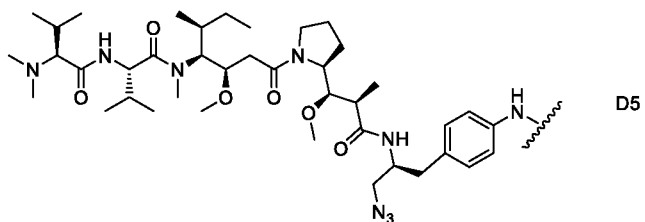
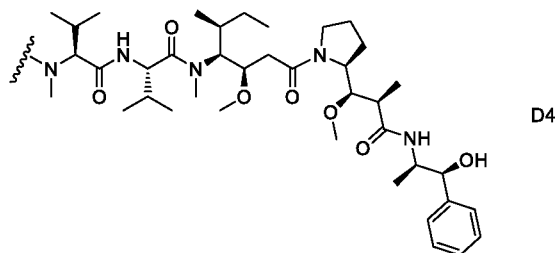
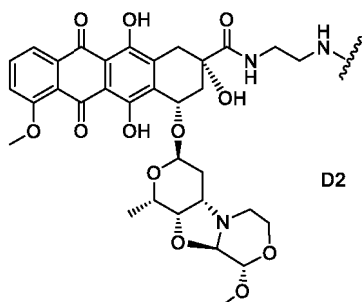
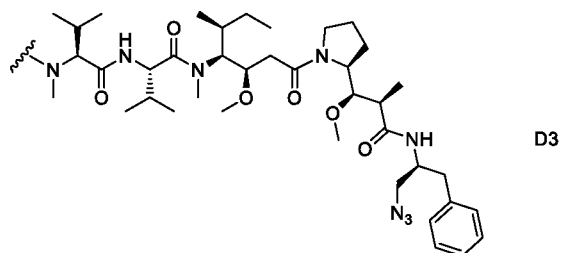
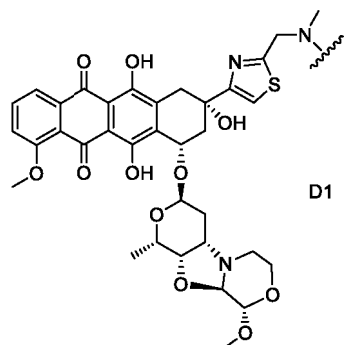


wherein the wavy line indicates a point of attachment.

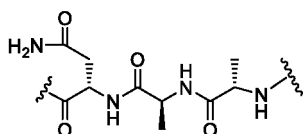
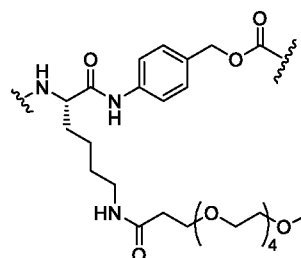
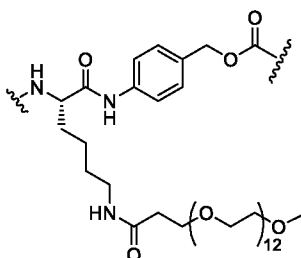
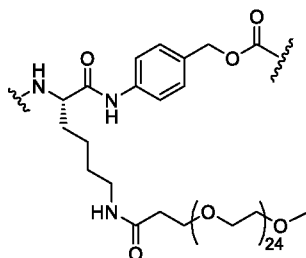
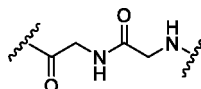
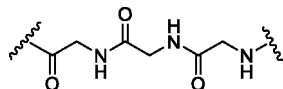
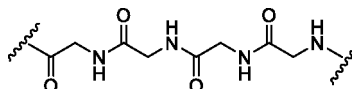
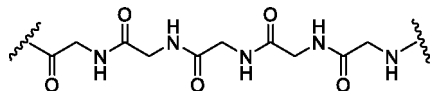
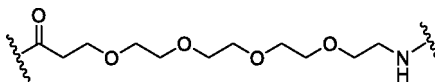


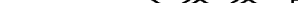
Preferably, the conjugation moiety is , wherein the wavy line indicates the point of attachment.

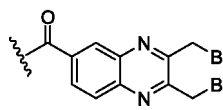
- 5 In another aspect is provided a method for treating multiple myeloma comprising providing a therapeutically effective amount of an anti-CD38 ADC composition comprising:
 - (a) an anti-CD38 IgG antibody C38A2-SV (SEQ ID NOs. 1/3 for heavy/light chain variable regions herein) or C38A2 wild type (SEQ ID NOs. 1/2 for heavy/light chain variable regions herein);
 - 10 (b) a drug or toxin moiety that is a tubulin inhibitor or a doxorubicin analog; and
 - (c) a conjugation linker moiety, wherein the conjugation linker comprises a linker and a conjugation moiety which covalently binds to a single Cys residue in a hinge region of an IgG antibody, and wherein a heavy chain hinge region of an IgG antibody may be mutated such that the heavy chain hinge region contains only one Cys residue.
 - 15 In some embodiments, the drug or toxin moiety is selected from the group consisting of D1, D2, D3, D4, D5, and combinations thereof, wherein the structures are:



In some embodiments, the linker is selected from the group consisting of:



In some embodiments, the conjugation moiety is , wherein the wavy line indicates the point of attachment to the linker.



Preferably, the antibody moiety is a variant of the CD38A2 wild type antibody disclosed and claimed in US Serial Number 15/094,384, filed 08 April 2016, the disclosure of which is incorporated by reference herein. The CD38A2 wild type variable region sequence is disclosed herein as heavy chain SREQ ID NO. 1 and light chain SEQ ID NO. 2. More specifically, the variant sequence alters the second and third amino acids from the N terminus of the light chain variable region. Preferably, the antibody moiety comprises CD38A2-SV (SV variant) having heavy chain SEQ ID NO. 1 and light chain SEQ ID NO. 3. The

Brief Description of the Figures

Figure 1A shows an *in vivo* study of anti-CD38 ADCs on Burkitt lymphoma model. In the study, 10 million of Daudi cells were injected s.c. to Nu Nu mice. ADC #45 was iv injected to tumor bearing mice after the average tumor volume reached 200 mm³.

Figure 1B shows bodyweight measure of mice treated with ADC #45 at three different indicated doses.

Figure 2A shows an *in vivo* study of anti-CD38 ADCs on Burkitt lymphoma model. In the study, 10 million of Ramos cells were s.c injected to Nu Nu mice. ADC #45 was iv injected to
5 tumor bearing mice at the dosages indicated after the average tumor volume reached 200 mm³.

Figure 2B shows bodyweight measure of mice treated with anti-CD38-ADC.

Figure 3 shows an *in vivo* study of anti-CD38 ADCs on Burkitt lymphoma model. In the study, 10 million of Daudi-luc cells were iv injected to NOD-SCID mice. Anti-CD38 antibody (A2) and two anti-CD38 ADCs made with the same A2 antibody were iv injected to tumor bearing mice
10 14 days after injection of tumors

Figure 4 shows an *in vivo* study of anti-CD38 ADCs on Burkitt lymphoma model. In the study, 10 million of Daudi-luc cells were iv injected to NOD-SCID mice. ADC #45 and ADC#41 were iv injected to tumor bearing mice 14 days after injection of tumors.

Figure 5 shows an *in vivo* study of anti-CD38 ADCs on Burkitt lymphoma model. In the
15 study, 10 million of Daudi-luc cells were iv injected to NOD-SCID mice. Anti-CD38 antibody and anti-CD38-ADC were iv injected to tumor bearing mice 14 days after injection of tumors. Mice images were taken once a week.

Figure 6 shows an *in vivo* study of anti-CD38 ADCs on Burkitt lymphoma model. In the study, 10 million of Daudi-luc cells were iv injected to NOD-SCID mice. ADC #45 and ADC #41
20 were iv injected to tumor bearing mice 14 days after injection of tumors. The images of mice were taken once a week.

Figure 7 shows CD38 expressing cancer cell lines, Ramos, Raji and RPMI8226, along with a CD38 negative cell line, PC-3 were plated in 96 well plate and treated with serial diluted ADC#45, ADC#41, and ADC#46, starting at 100 nM. The cells were treated for 3-5 days,
25 depending on the nature of the conjugated payload. At the end of the treatment, the cells were stained with CelltitreGloTM luminescent kit from Promega and the signals were captured by a luminescent plate reader. The activity of the ADCs on tumor cell growth inhibition were expressed as the concentration required for 50% cell growth inhibition, the so called EC50 (in nM). The data indicated that ADC#45, ADC#41, and ADC#46 showed selective inhibition toward cells that
30 expressed CD38 on their surface.

Figure 8. shows the HIC-HPLC overlay of starting anti-CD38 antibody and purified ADC46 conjugate at 280 nm detection.

Figure 9. shows the HIC-HPLC overlay of starting anti-CD38 antibody and purified ADC41 conjugate at 280 nm detection.

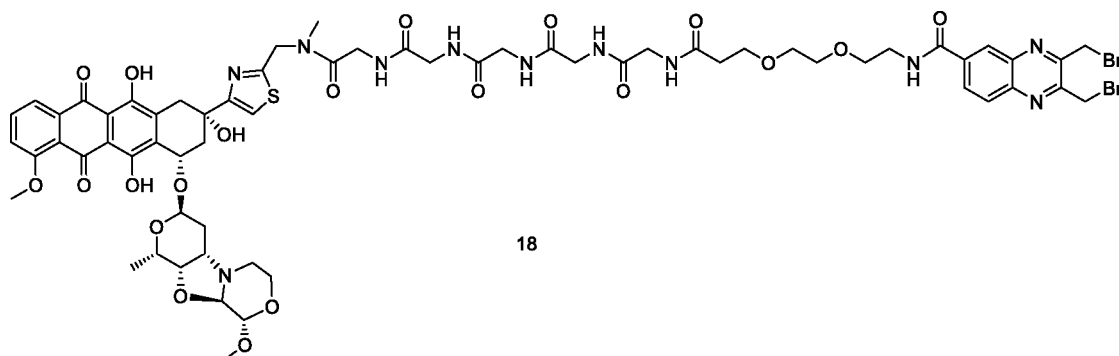
Figure 10A shows an *in vivo* study of anti-CD38 ADC46 on Burkitt lymphoma model. In the study, 10 million of Daudi cells were injected s.c. to Nu Nu mice. ADC 46 was iv injected to tumor bearing mice after the average tumor volume reached 200 mm³.

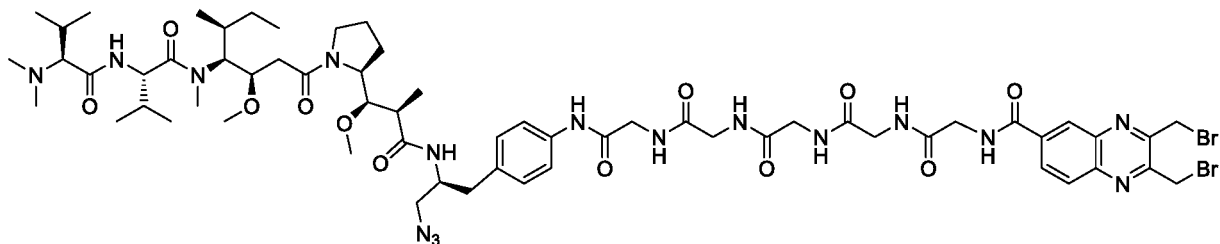
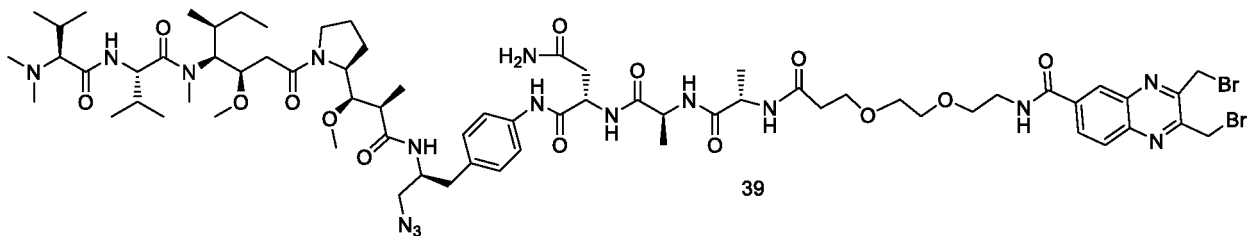
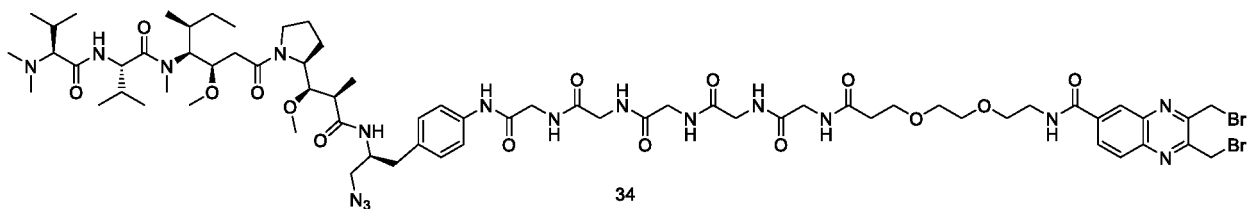
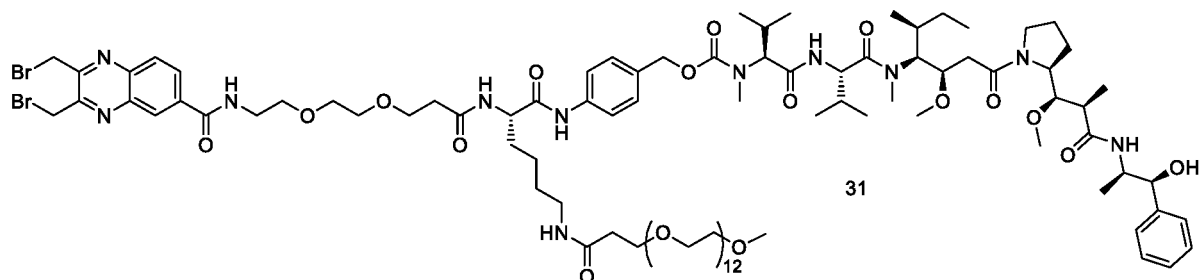
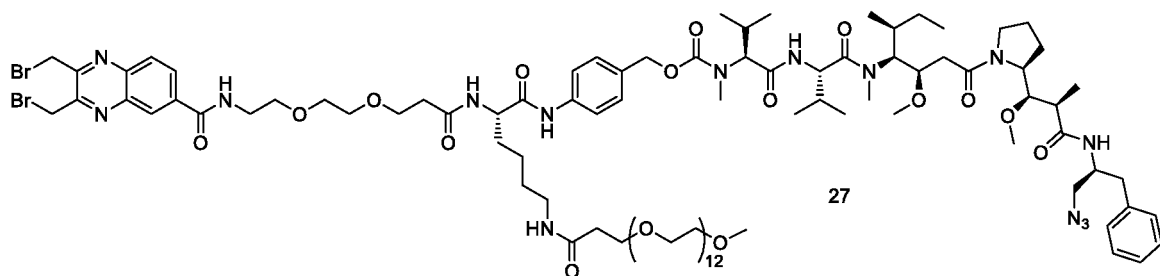
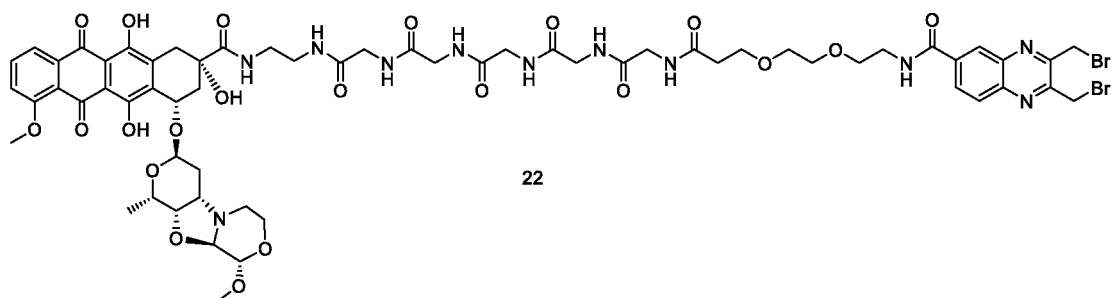
Figure 10B shows bodyweight measure of mice treated with ADC 46 at three different indicated doses.

Detailed Description

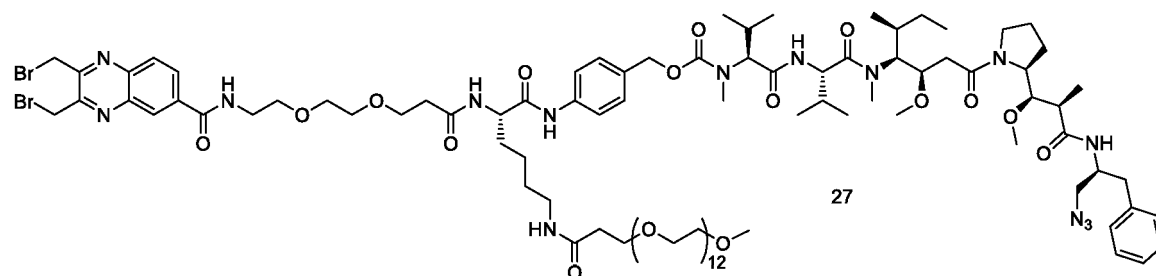
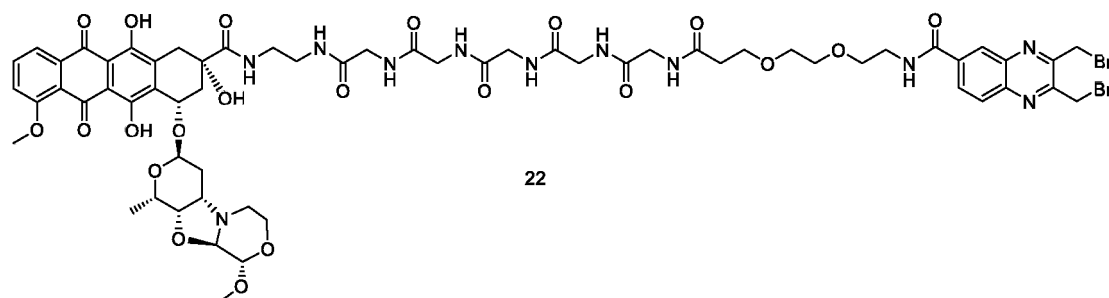
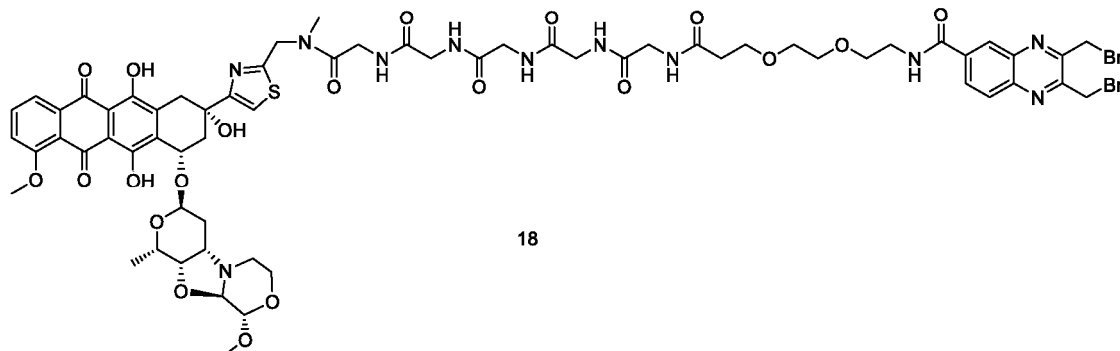
The present disclosure provides antibody drug conjugates containing a novel human anti-CD38 antibody (A2) (described in United States Patent application 2016/0297888 serial number 15/094,384 filed 08 April 2016, the disclosure of which is incorporated by reference herein) with toxin moieties described herein including a tubulin inhibitor or a DNA damaging agent, such as doxorubicin analogs. The ADC conjugates retained binding affinity and showed potent cell killing in a variety of CD38 positive cell lines and *in vivo*.

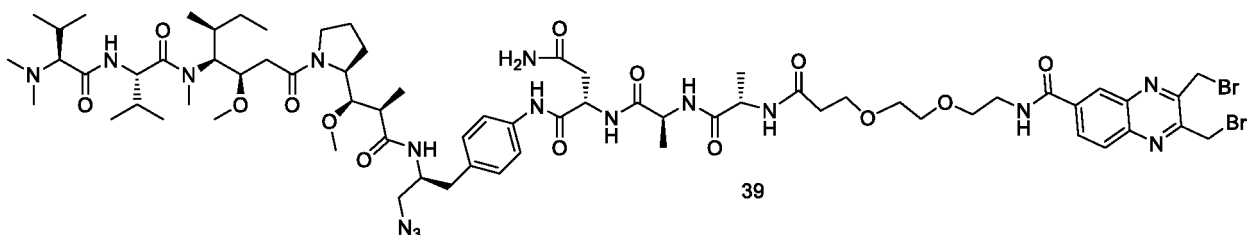
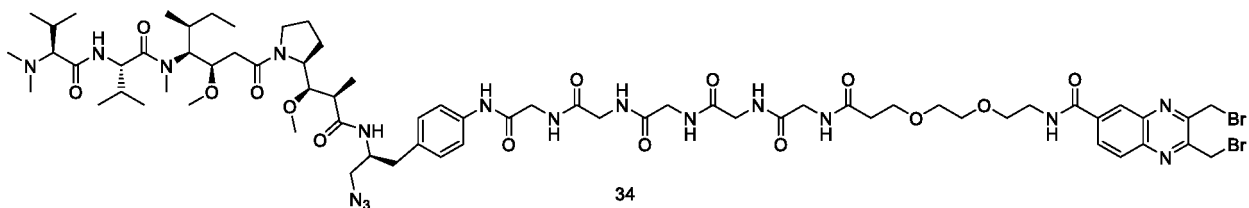
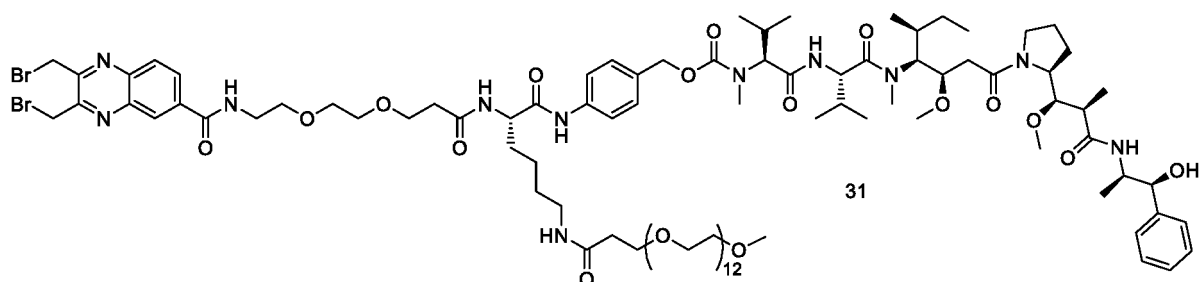
The present disclosure provides an antibody drug conjugate (ADC) composition comprising an IgG antibody that binds to CD38, a conjugation linker moiety that binds to single Cys residue in the hinge region of an IgG antibody, wherein the hinge region may be mutated such that the heavy chain hinge region contains only one Cys residue and not two, and a toxin moiety selected from the group consisting of derivatives of anthracyclines and Dolastatins. Preferably, the toxin moiety is a tubulin inhibitor or a doxorubicin analog. Preferably, the antibody is an IgG antibody called human C38A2 (heavy/light SEQ ID NOs 3/4 in US patent application 2016/0297888 or SEQ ID NOs. 1/2 for heavy/light chain variable regions herein) family or is a C38D8 (heavy/light SEQ ID NOs 21/22 in US patent application 2016/0297888 or SEQ ID NOs. 3/4 for heavy/light chain variable regions herein). Preferably, the conjugated toxin with linker structure is selected from the group consisting of:



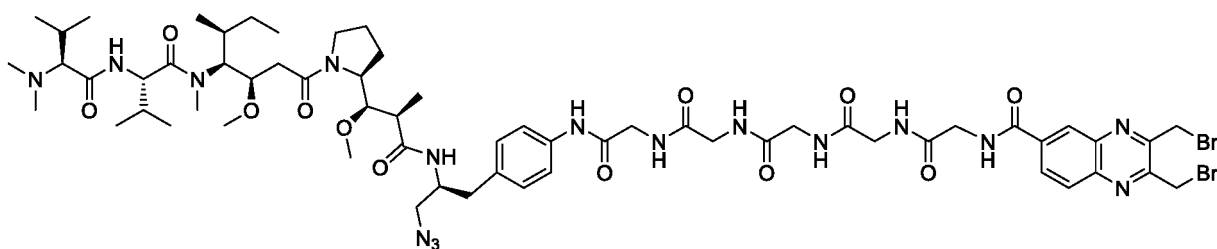


The present disclosure provides a method for treating multiple myeloma, comprising administering an effective amount of an antibody drug conjugate (ADC) composition comprising an IgG antibody that binds to CD38, a conjugation linker moiety that binds to Cys residues in the hinge region of an IgG antibody and to a toxin moiety. By “binds to Cys residues” it is meant that the conjugation linker moiety may be covalently bound to the sulfur atoms of Cys residues in the hinge region of the IgG antibody. Preferably, the toxin moiety is a tubulin inhibitor or a doxorubicin analog. Preferably, the antibody is an IgG antibody called human C38A2 (heavy/light SEQ ID NOs 3/4 in US patent application 2016/0297888 or SEQ ID NOs. 1/2 for heavy/light chain variable regions herein) family or is a C38D8 (heavy/light SEQ ID NOs 21/22 in US patent application 2016/0297888 or SEQ ID NOs. 3/4 for heavy/light chain variable regions herein). One of skill will recognize that toxin moieties as disclosed herein, conjugated to a linker and a conjugation moiety as disclosed herein, represent intermediate toxin linker conjugates, which, when covalently bound (conjugated to) the IgG antibody as disclosed herein, are ADCs as disclosed herein. Preferably, the conjugated toxin with linker structure is selected from the group consisting of (with each compound number indicated):



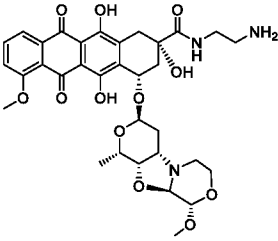
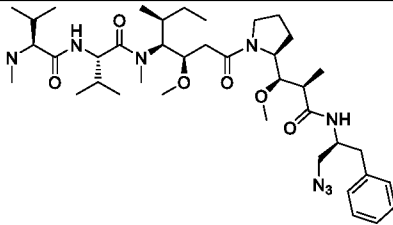
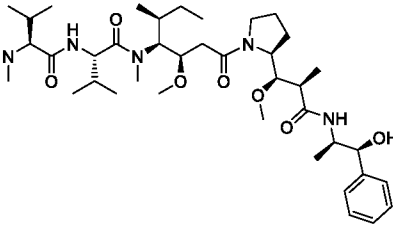
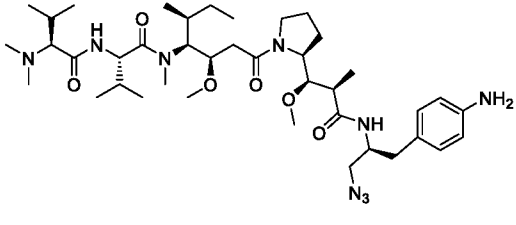
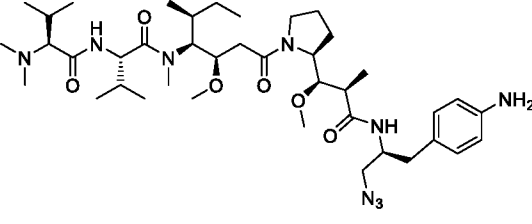


and

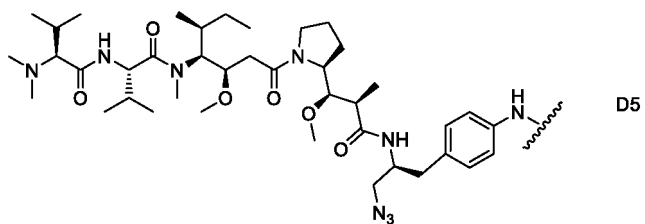
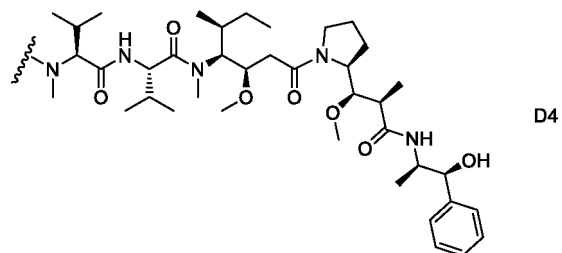
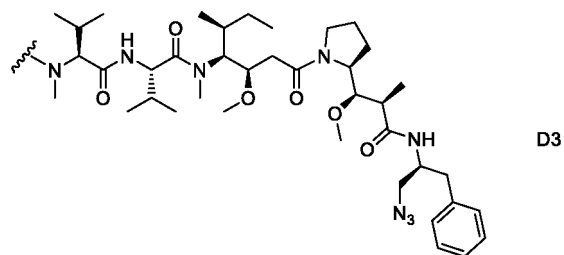
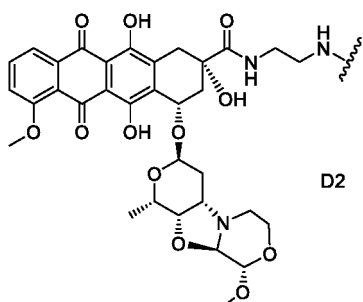
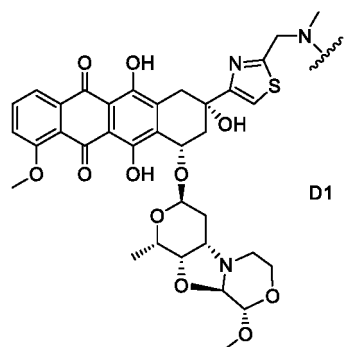


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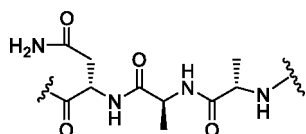
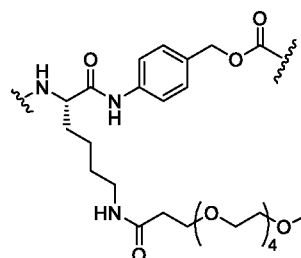
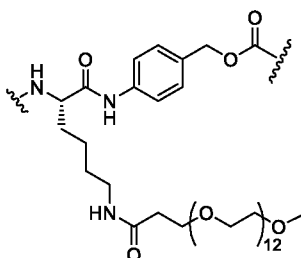
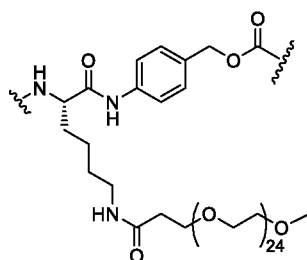
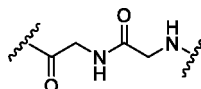
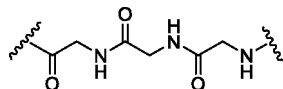
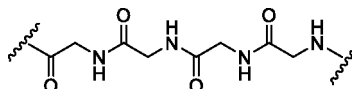
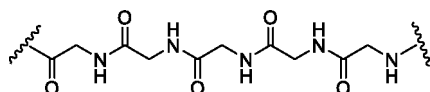
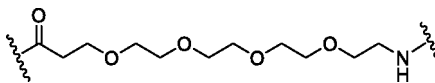
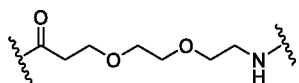
Entry	Cytotoxic agent (D)	Linker (L2)	Conjugation method (L1)
D1		-C(=O), Gly, Ser, Thr, beta-Ala, -(CH ₂ CH ₂ O) _n -, or combinations thereof, wherein n is an integer from 1 to 24.	

D2		-C(=O), Gly, Ser, Thr, beta-Ala, - (CH ₂ CH ₂ O) _n -, and combinations thereof, wherein n is an integer from 1 to 24.	
D3		-C(=O), - (CH ₂ CH ₂ O) _n -, Val, Phe, Lys, PAB, or combinations thereof, wherein n is an integer from 1 to 24	
D3		-C(=O), - (CH ₂ CH ₂ O) _n -, Val, Phe, Lys, PAB, or combinations thereof, wherein n is an integer from 1 to 24	
D4		-C(=O), Gly, Ser, Thr, beta-Ala, - (CH ₂ CH ₂ O) _n -, or combinations thereof, wherein n is an integer from 1 to 24	
D5		-C(=O), - (CH ₂ CH ₂ O) _n -, PAB, Val-Cit-PAB, Val-Ala-PAB, Ala-Ala-Asn-PAB, or combinations thereof, wherein n is an integer from 1 to 24	

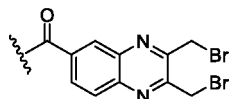
Toxin moieties (D): D1 and D2 are anthracycline derivatives. D3, D4, and D5 are tubulin inhibitors.



Linker moieties (L2):



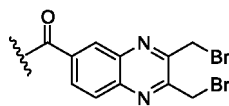
Conjugation method (L1)



The wavy line indicates the point of attachment to the linker.

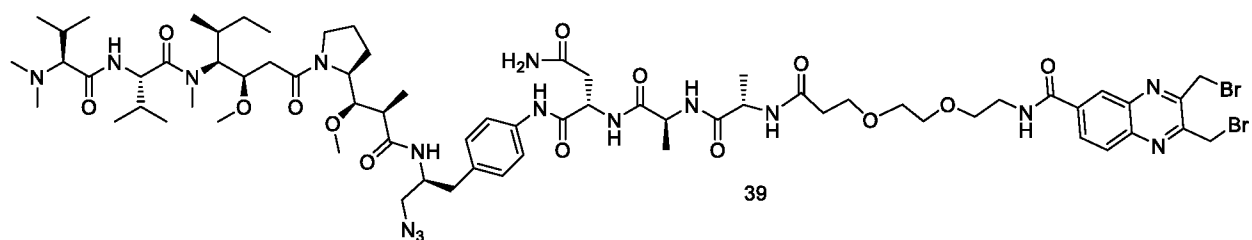
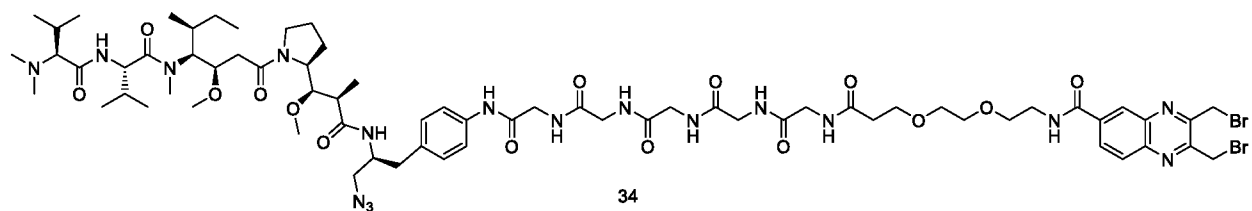
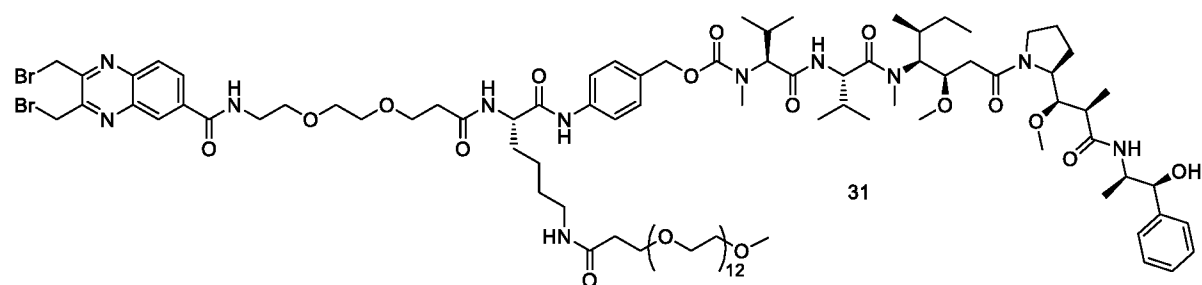
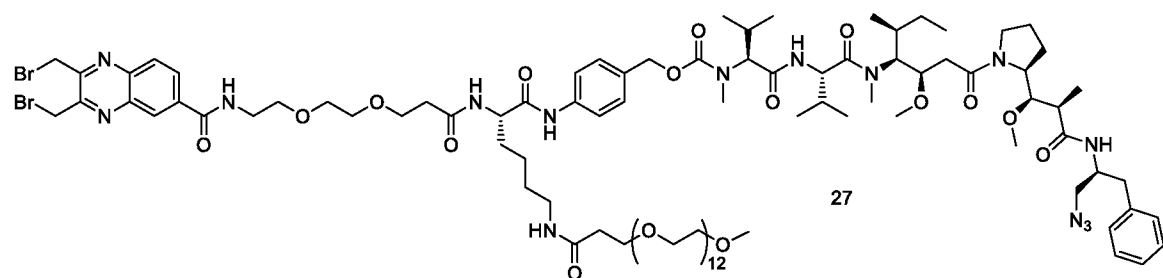
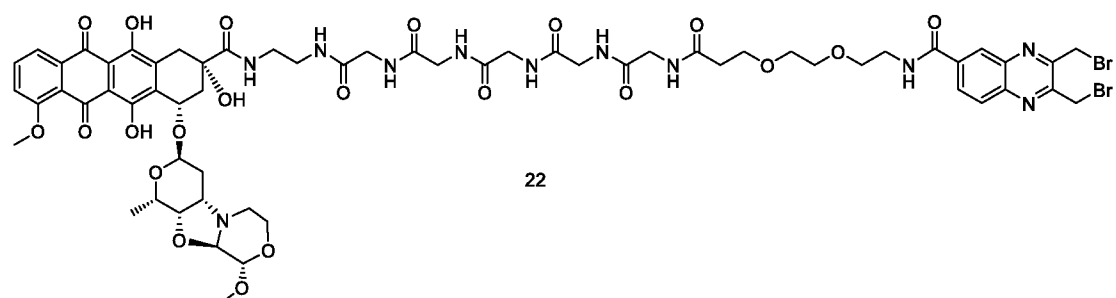
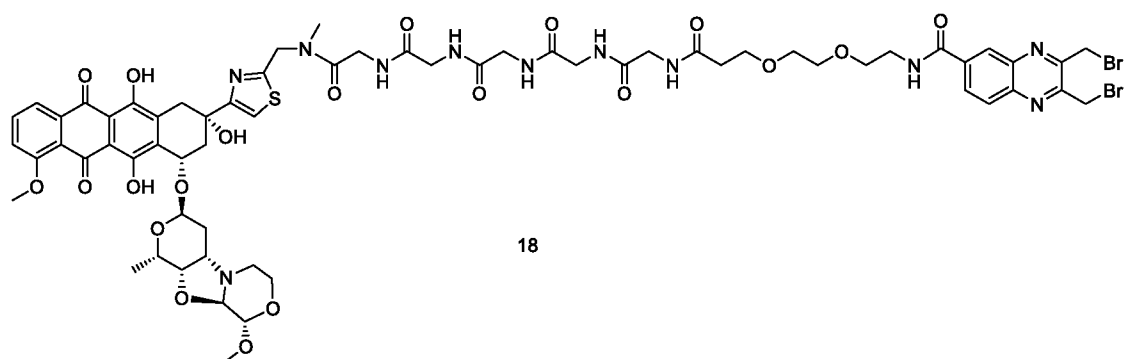
- 5 In some embodiments, the drug linker conjugate comprises a linker L2 and a conjugation moiety, wherein the linker L2 is covalently bound to the conjugation moiety; the conjugation moiety is capable of reacting with free cysteine thiol groups in the hinge region of an IgG antibody. In some

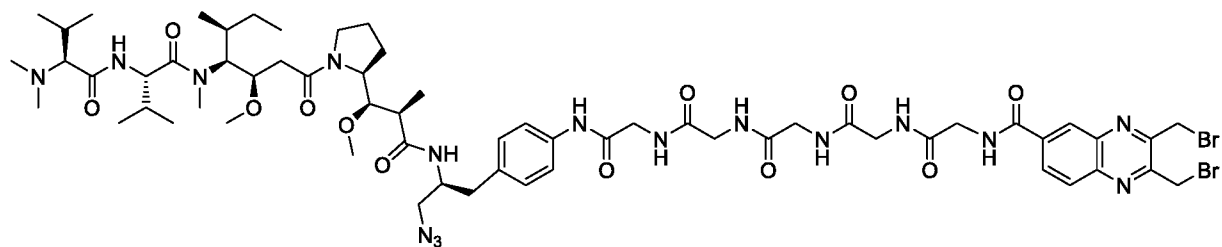
embodiments, the conjugation moiety has the structure



(“conjugation method L1”).

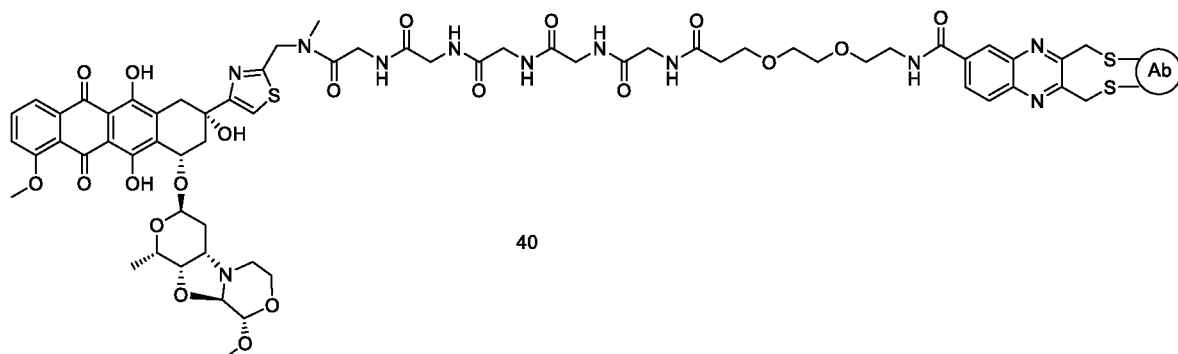
- 10 Examples of drug linker conjugates:



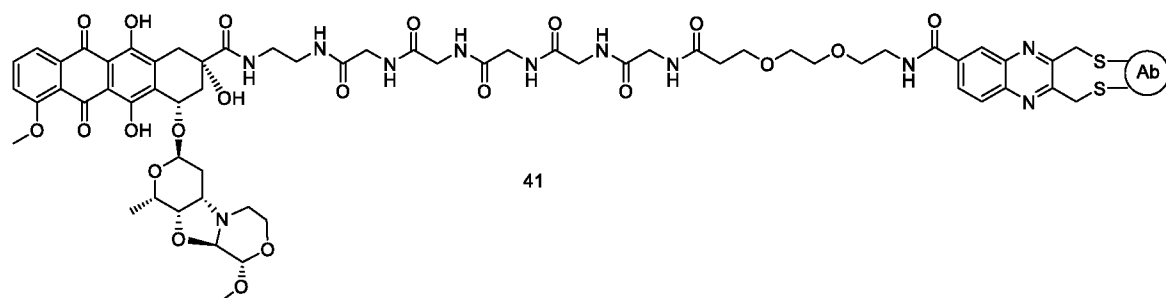


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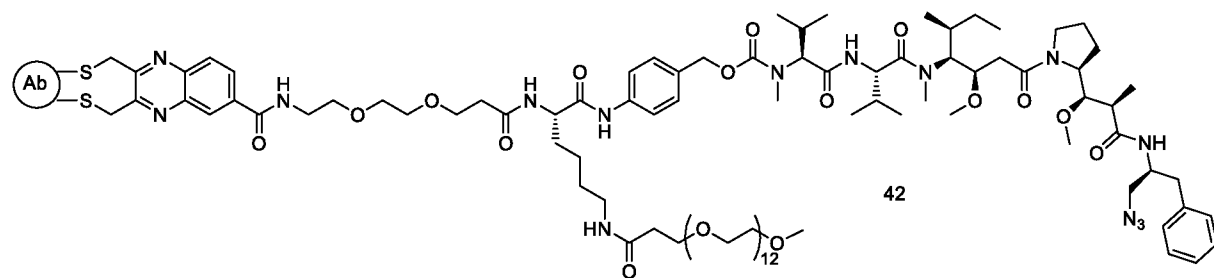
Examples of anti-CD 38 ADCs



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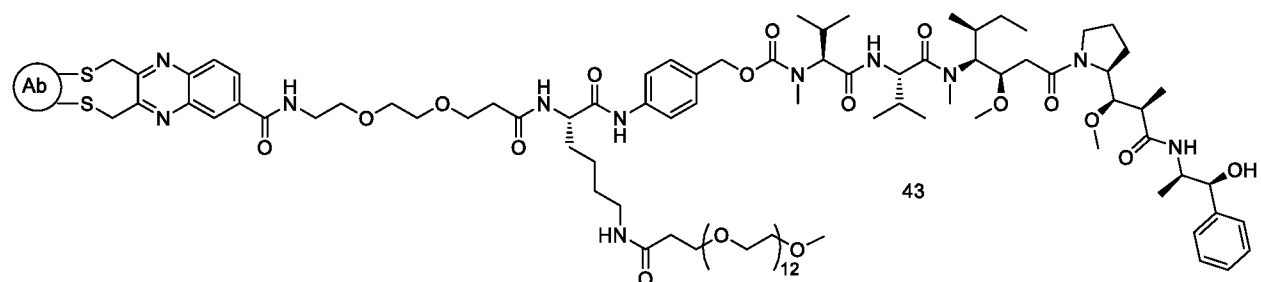


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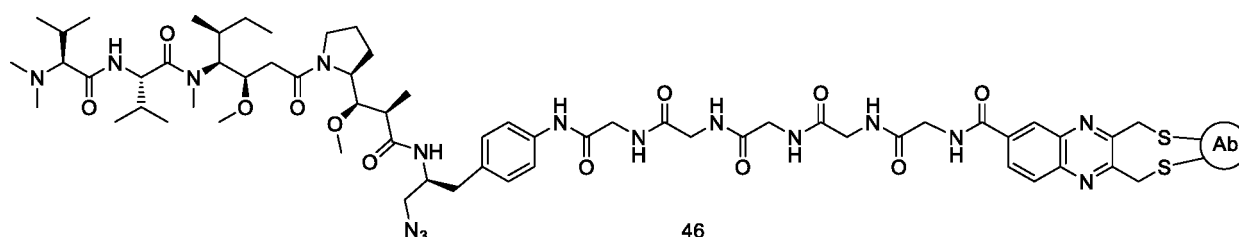
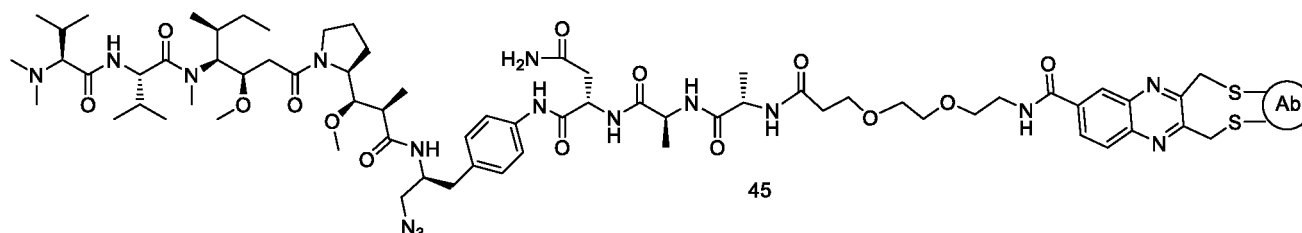
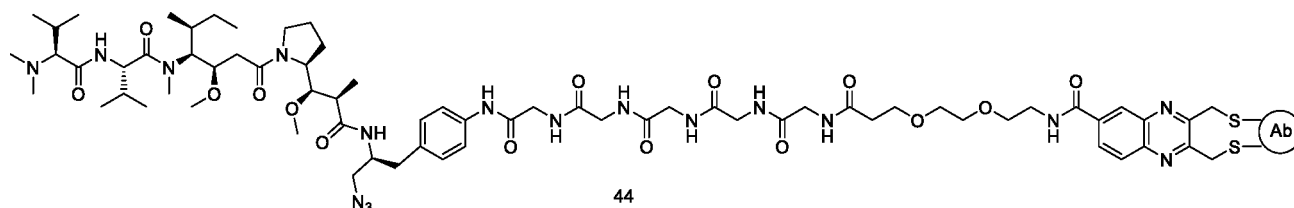


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Definitions

As used herein, common organic abbreviations are defined as follows:

	Ac	Acetyl
	ACN	Acetonitrile
10	Ala	Alanine
	Asn	Asparagine
	aq.	Aqueous
	BOC or Boc	tert-Butoxycarbonyl
	°C	Temperature in degrees Centigrade
15	Cit	Citrulline
	DCM	dichloromethane
	DIEA	Diisopropylethylamine
	DMF	<i>N,N'</i> -Dimethylformamide
	EDC	1-Ethyl-3-(3-dimethylaminopropyl)carbodiimide
20	Et	Ethyl
	EtOAc	Ethyl acetate
	Eq	Equivalents
	Fmoc	9-Fluorenylmethoxycarbonyl
	g	Gram(s)
25	h	Hour (hours)
	HATU	2-(1 <i>H</i> -7-azabenzotriazol-1-yl)-1,1,3,3-tetramethyl uronium

hexafluorophosphate

HOBT N-Hydroxybenzotriazole

HPLC High-performance liquid chromatography

LC/MS Liquid chromatography-mass spectrometry

5 Me Methyl

mg milligrams

MeOH Methanol

mL Milliliter(s)

 $\mu\text{L} / \mu\text{L}$ Microliter(s)

10 mol moles

mmol millimoles

 $\mu\text{mol}/\text{umol}$ micromoles

MS mass spectrometry

NHS N-Hydroxysuccinimide

15 PAB p-aminobenzyl

Pip piperidine

RP-HPLC reverse phase HPLC

rt room temperature

t-Bu tert-Butyl

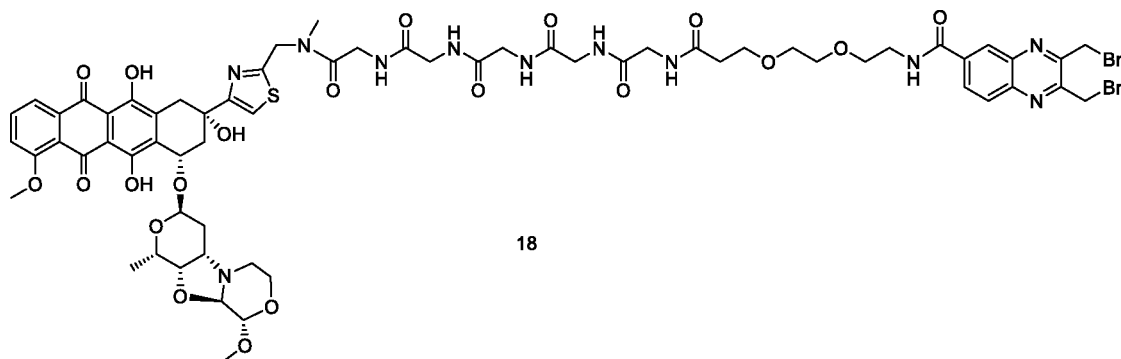
20 Tert, t tertiary

TFA Trifluoroacetic acid

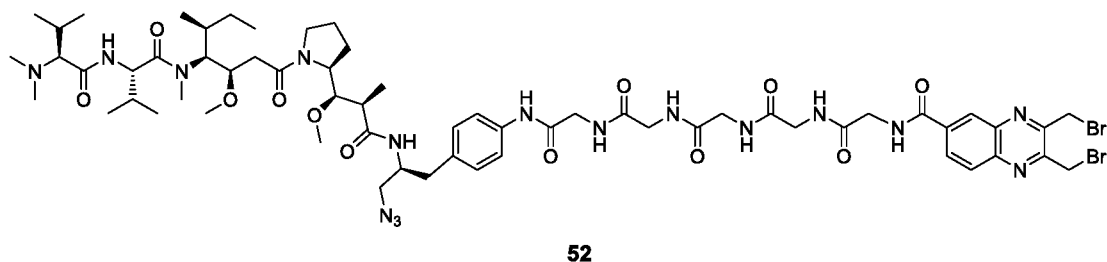
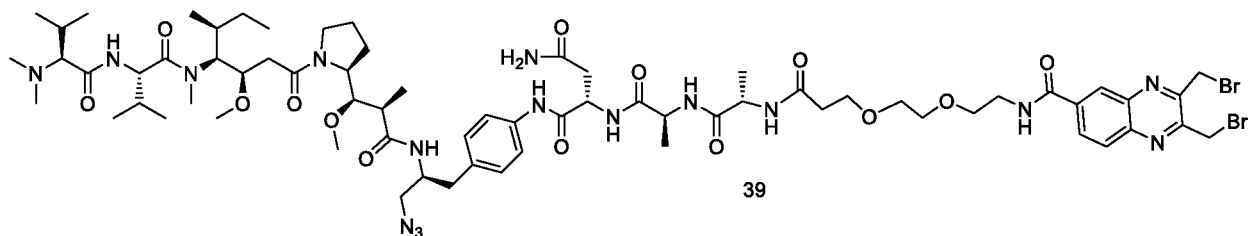
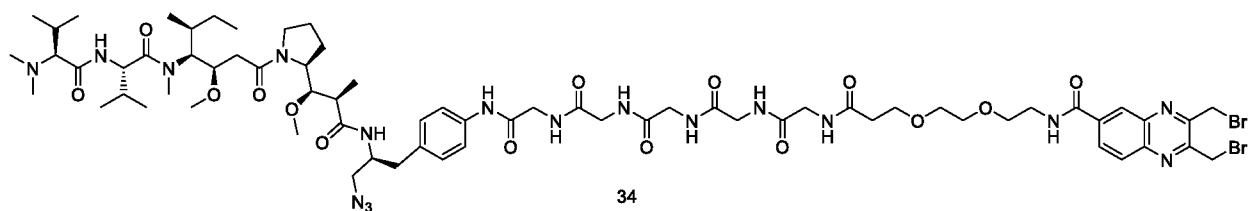
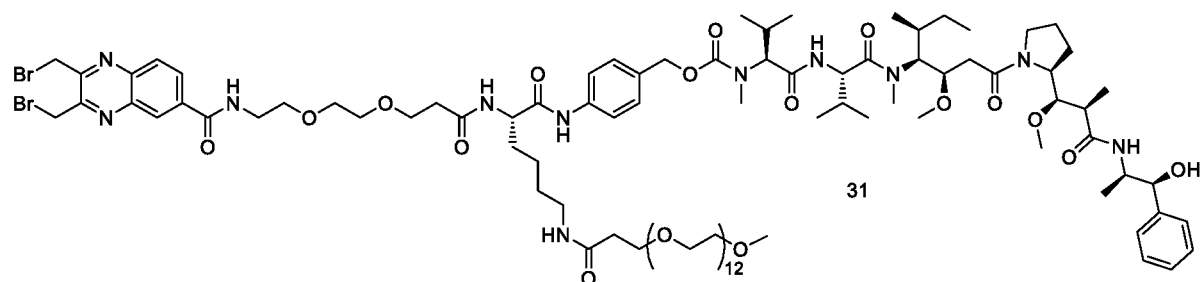
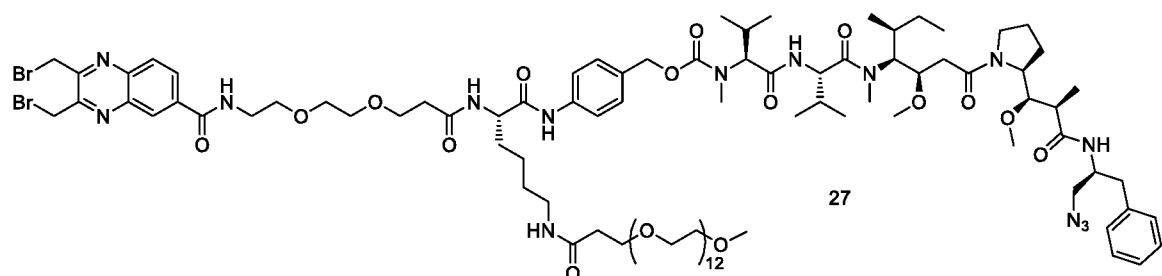
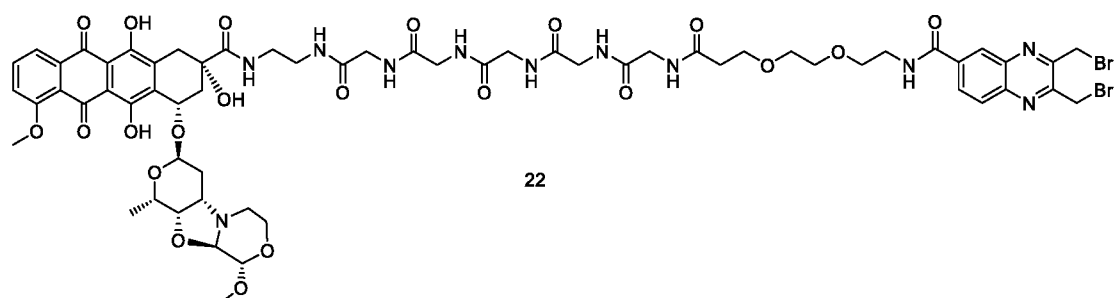
THF Tetrahydrofuran

Val Valine

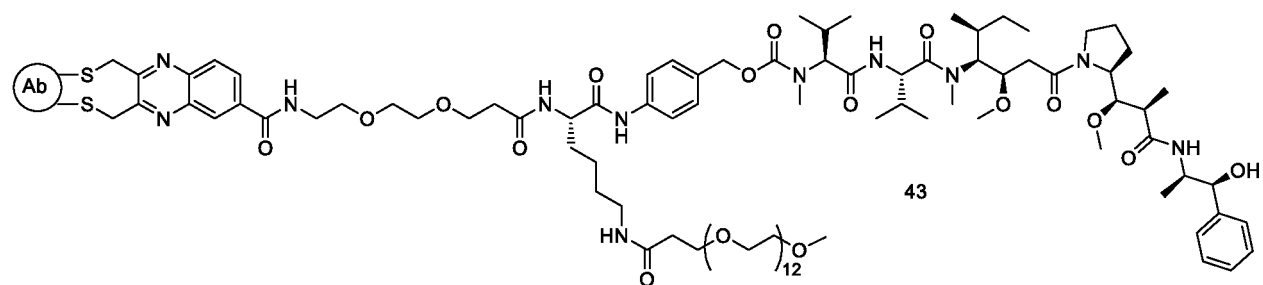
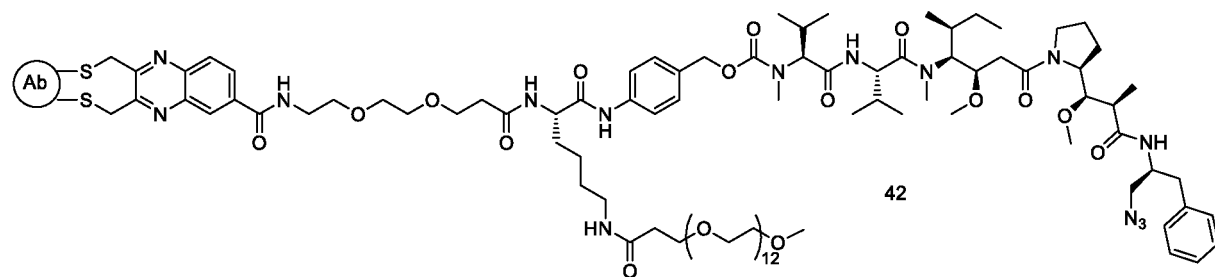
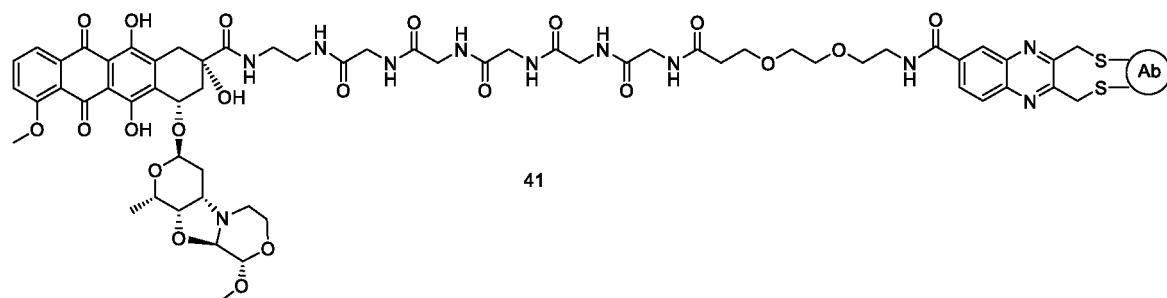
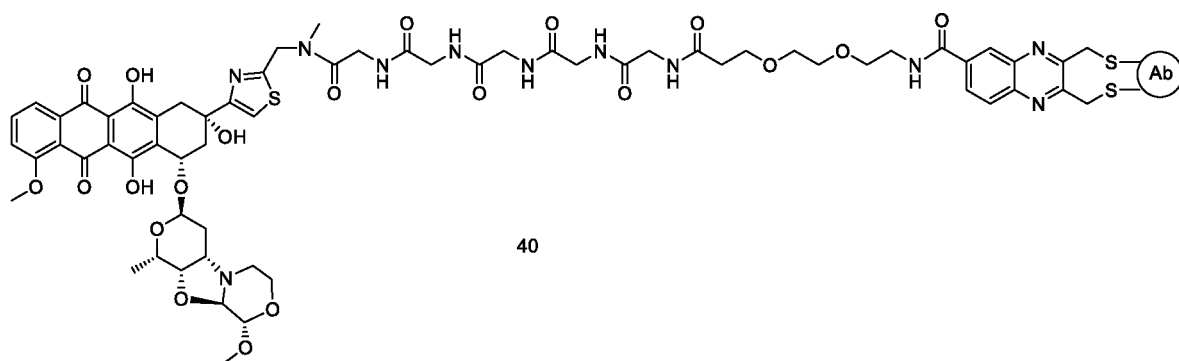
Examples of drug linker conjugates:



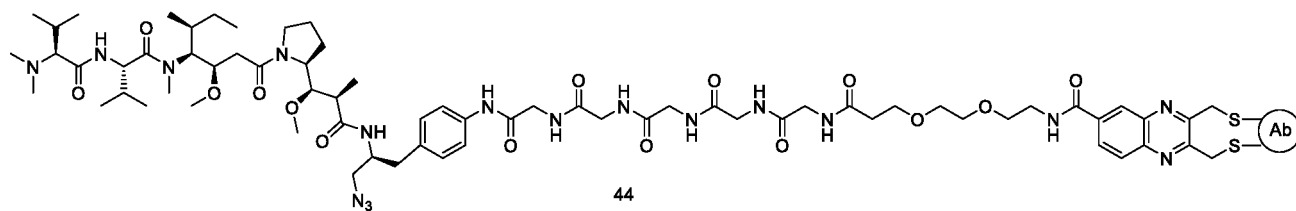
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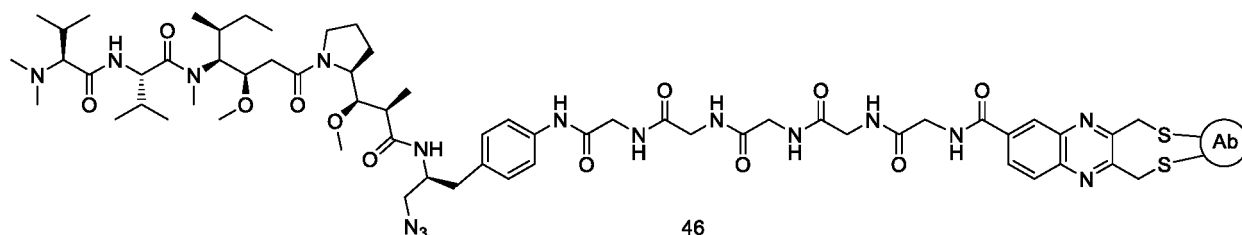
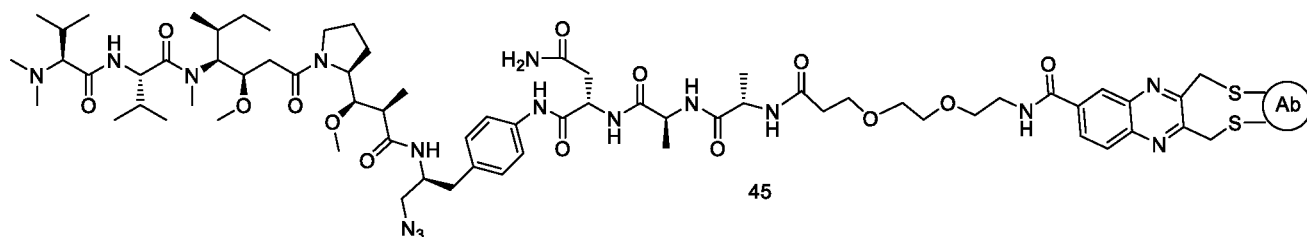


Examples of anti-CD38 ADCs (the antibody component is called “Ab”):



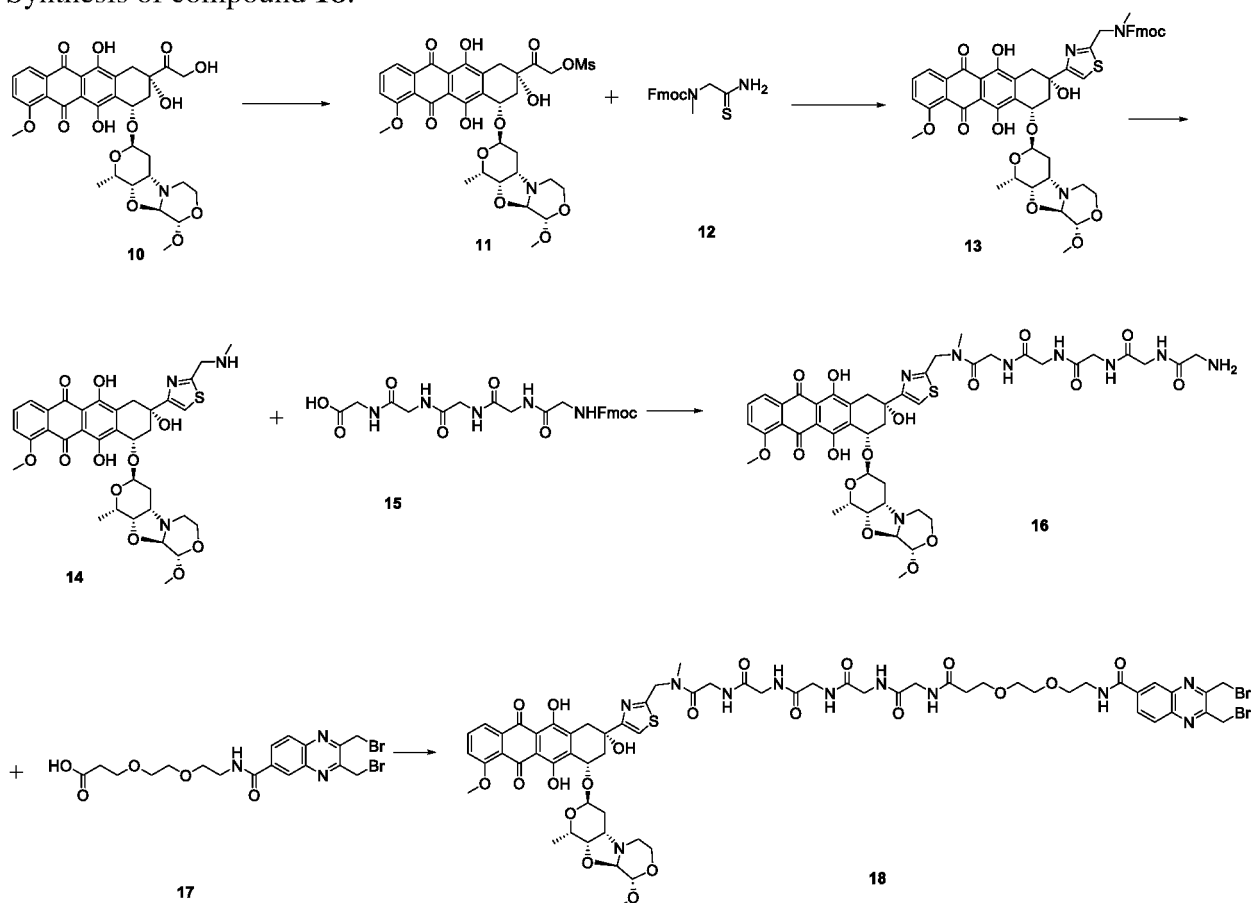
5





Synthesis Examples 1

5 Synthesis of compound 18:



Synthesis of compound 11:

Compound **10** (30 mg, 46.8 μ mol) was dissolved in 3 mL of anhydrous DCM under nitrogen. Then DIEA (24 μ L, 140 μ mol) was added and the reaction mixture was cooled with ice bath. Then methane sulfonyl chloride (7.2 μ L, 93.6 μ mol) was added and the mixture was stirred for 30 min. The reaction was diluted with 3 mL of DCM and washed with 4 mL of water, dried

over anhydrous Na_2SO_4 and evaporated to give compound **11** as a red solid (33 mg, 98%). MS $m/z=720.5$ (M+H)

Synthesis of compound **13**:

Compound **11** (20 mg, 27.8 μmol) was dissolved in 3 mL of anhydrous ethanol under nitrogen. Then thioamide **12** (45 mg, 139 μmol) was added and the mixture was heated at 40 °C for 24 h. The mixture was purified by HPLC to give compound **13** as a red solid (15 mg, 59%). MS $m/z=932.6$ (M+H)

Synthesis of compound **14**:

Compound **13** (15 mg, 16.1 μmol) was dissolved in 2 mL of anhydrous DMF under nitrogen. Then 60 μL of piperidine was added and the mixture was stirred at ambient temperature for 10 min. The mixture was purified by HPLC to give compound **14** as a red solid (6.9 mg, 60%). MS $m/z=710.4$ (M+H)

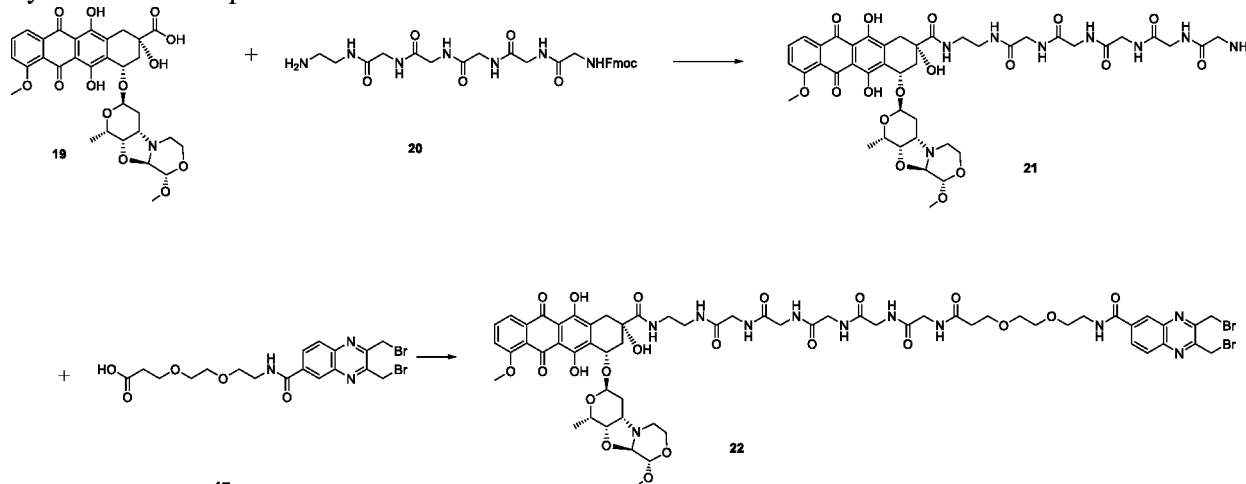
Synthesis of compound **16**:

Compound **15** (8.9 mg, 16.9 μmol) was dissolved in 2 mL of DMF, then HATU (6.4 mg, 16.8 μmol) and DIEA (9 μL , 51.8 μmol) was added. After 2 min, compound **14** (10 mg, 14.1 μmol) was added and the mixture was stirred at ambient temperature for 1 h. To the mixture was added 40 μL of DBU and stirred for 10 min. Then the mixture was purified by HPLC to give compound **16** as a red solid (12.2 mg, 87%). MS $m/z=995.4$ (M+H)

Synthesis of compound **18**:

Compound **17** (12.5 mg, 24.2 μmol) was dissolved in 2 mL of DCM, then DIC (1.6 mg, 12.7 μmol) was added. After 10 min, compound **16** (12 mg, 12.1 μmol) dissolved in 0.5 mL of DMF was added and the mixture was stirred at ambient temperature for 10 min. Then the mixture was purified by HPLC to give compound **18** as a red solid (12.8 mg, 71%). MS $m/z=1494.4$ (M+H)

Synthesis of compound **22**:



Synthesis of compound **21**:

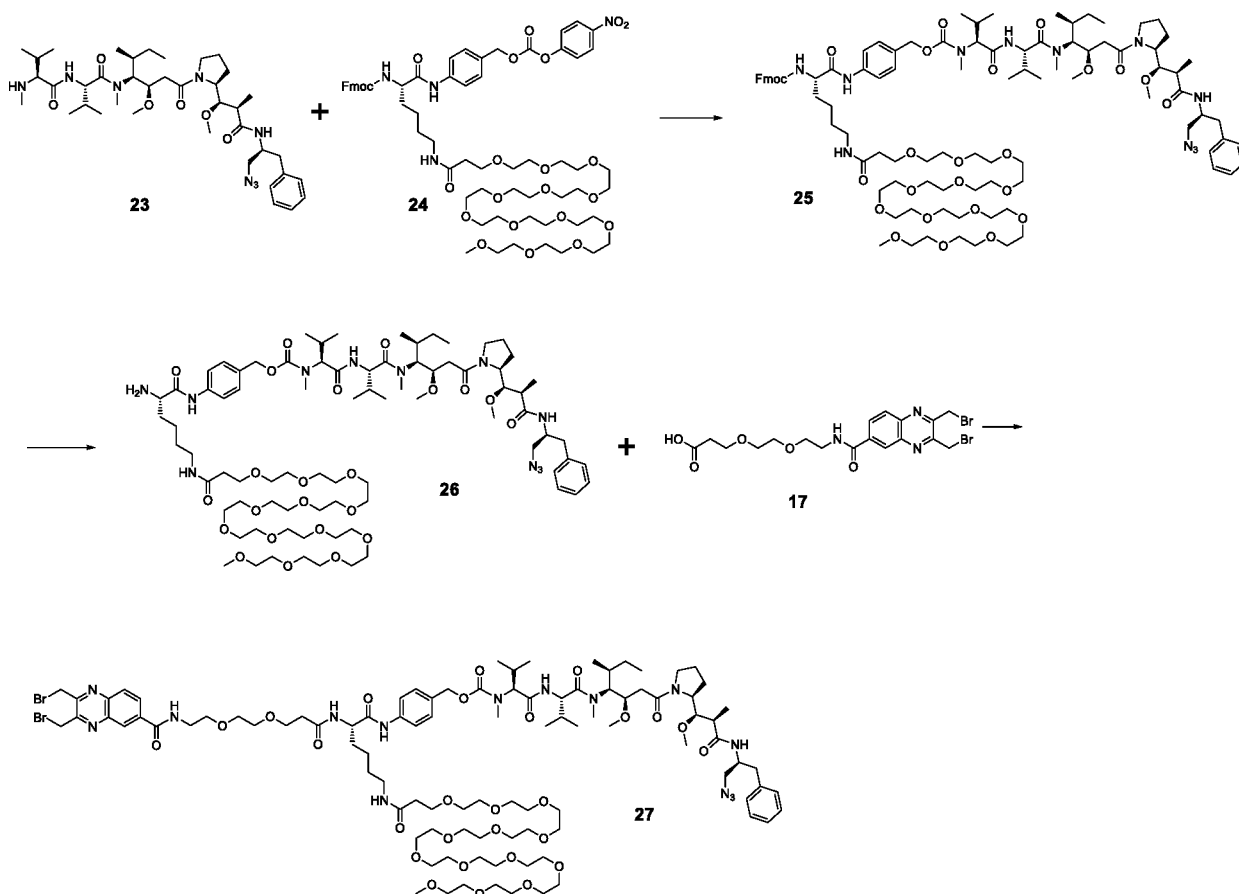
To a solution of acid **19** (51 mg, 81 μmol) in 6 mL of DCM, add N-hydroxysuccinimide (46 mg, 400 μmol), and EDC (100 mg, 523 μmol). After 30 min, the mixture was washed with water (2x6 mL), dried over Na_2SO_4 and evaporated. The residue was dissolved in 2 mL of DMF. Then

amine **20** (55 mg, 81 μ mol, as TFA salt) was added, followed by DIEA (50 μ L). The mixture was stirred for 1h. Then piperidine (40 μ L) was added and stirred for 20 min. The mixture was purified by HPLC to give compound **21** (34 mg, 44%) as a red solid; MS m/z 955.2 (M+H).

Synthesis of compound **22**:

- 5 Compound **17** (12.5 mg, 24.2 μ mol) was dissolved in 2 mL of DCM, then DIC (1.6 mg, 12.7 μ mol) was added. After 10 min, compound **21** (11.5 mg, 12.1 μ mol) dissolved in 0.5 mL of DMF was added and the mixture was stirred at ambient temperature for 10 min. Then the mixture was purified by HPLC to give compound **22** as a red solid (7.0 mg, 42%). MS m/z =1453.6 (M+H)

Synthesis of compound **27**:



10

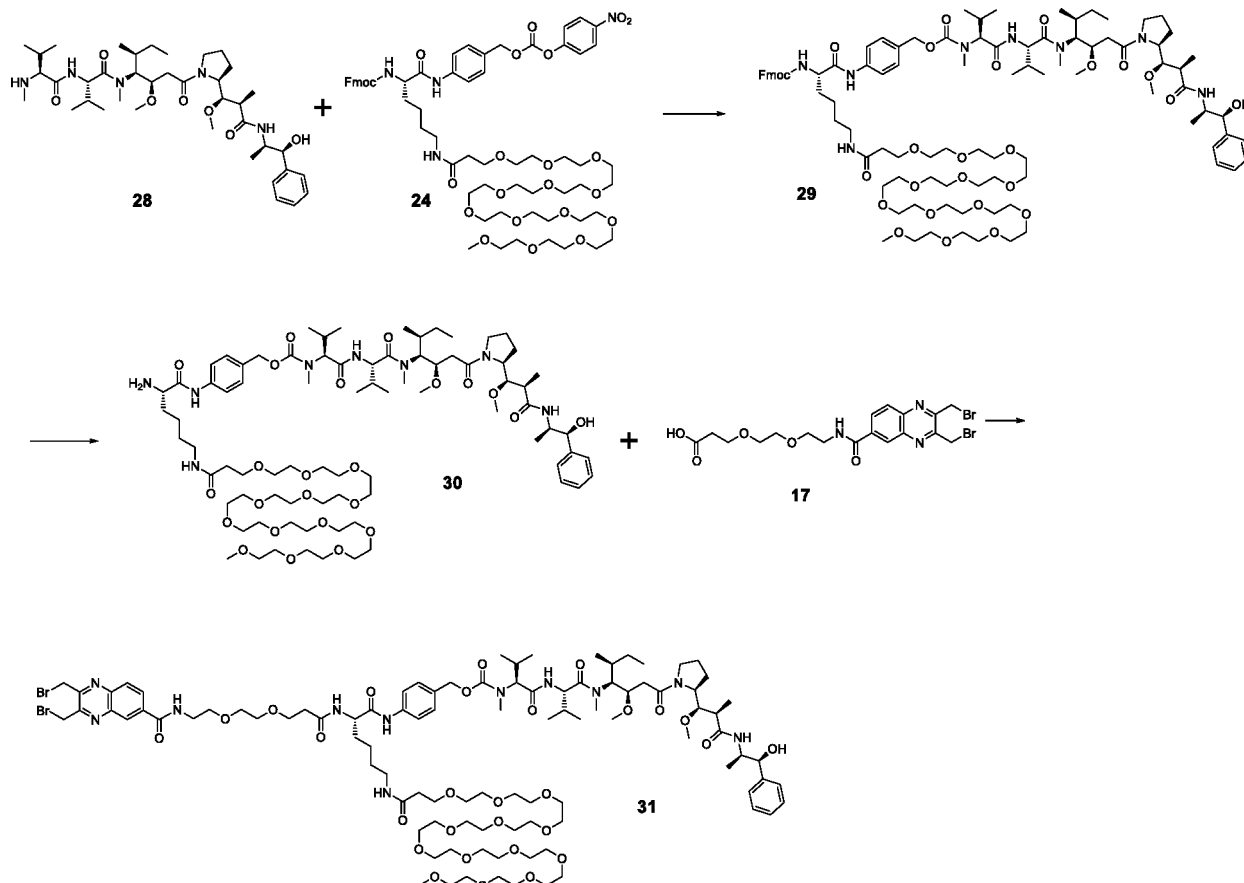
Synthesis of compound **26**:

- To a solution of compound **23** as TFA salt (84.2 mg, 96.3 μ mol) in 2 mL of DMF was added compound **24** (120.6 mg, 96.2 μ mol), DIEA (50 μ L), HOBt (13 mg, 96.3 μ mol). After 24 h, the reaction was completed and then piperidine (60 μ L) was added and stirred for 10 min. The mixture was purified by HPLC to give compound **26** (134 mg, 80%) as a white solid; MS m/z 1635.3 (M+H).
- 15

Synthesis of compound **27**:

Compound **17** (25 mg, 48.4 μmol) was dissolved in 2 mL of DCM, then DIC (3.2 mg, 25.4 μmol) was added. After 10 min, compound **26** (39.5 mg, 24.2 μmol) dissolved in 0.5 mL of DMF was added and the mixture was stirred at ambient temperature for 10 min. Then the mixture was purified by HPLC to give compound **27** as a white solid (32.0 mg, 62%). MS $m/z=2134.1$ (M+H)

5 Synthesis of compound **31**:



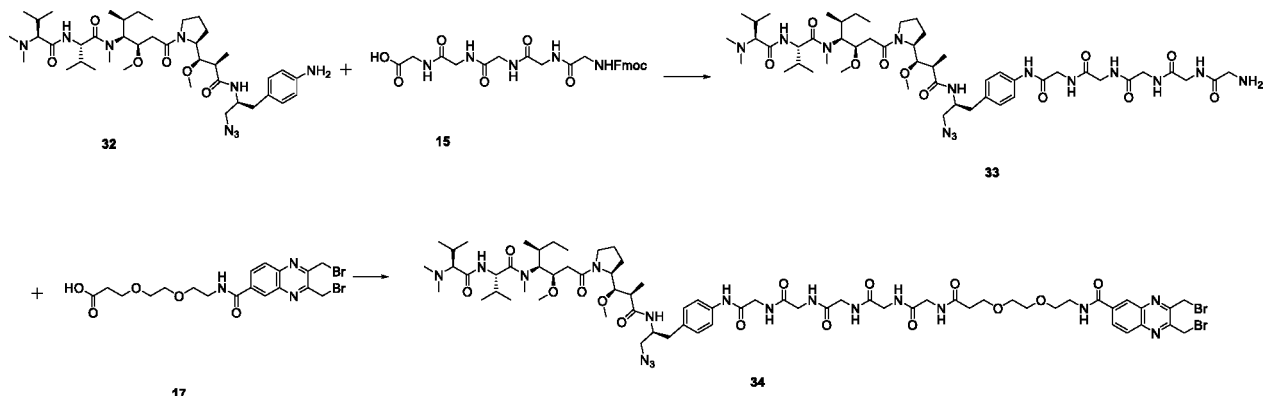
Synthesis of compound **30**:

To a solution of compound **28** as TFA salt (30 mg, 36 μmol) in 1 mL of DMF was added compound **24** (45 mg, 36 μmol), DIEA (20 μL), HOBt (5 mg, 37 μmol). After 24 h, the reaction was completed and then piperidine (20 μL) was added and stirred for 30 min. The mixture was purified by HPLC to give compound **30** (46 mg, 79%) as a white solid; MS m/z 1635.3 (M+H).

Synthesis of compound **31**:

Compound **17** (30 mg, 57.1 μmol) was dissolved in 2 mL of DCM, then DIC (3.6 mg, 28.6 μmol) was added. After 10 min, compound **30** (46 mg, 28.6 μmol) dissolved in 0.5 mL of DMF was added and the mixture was stirred at ambient temperature for 10 min. Then the mixture was purified by HPLC to give compound **31** as a white solid (44.8 mg, 59%). MS $m/z=2109.2$ (M+H).

Synthesis of compound **34**:



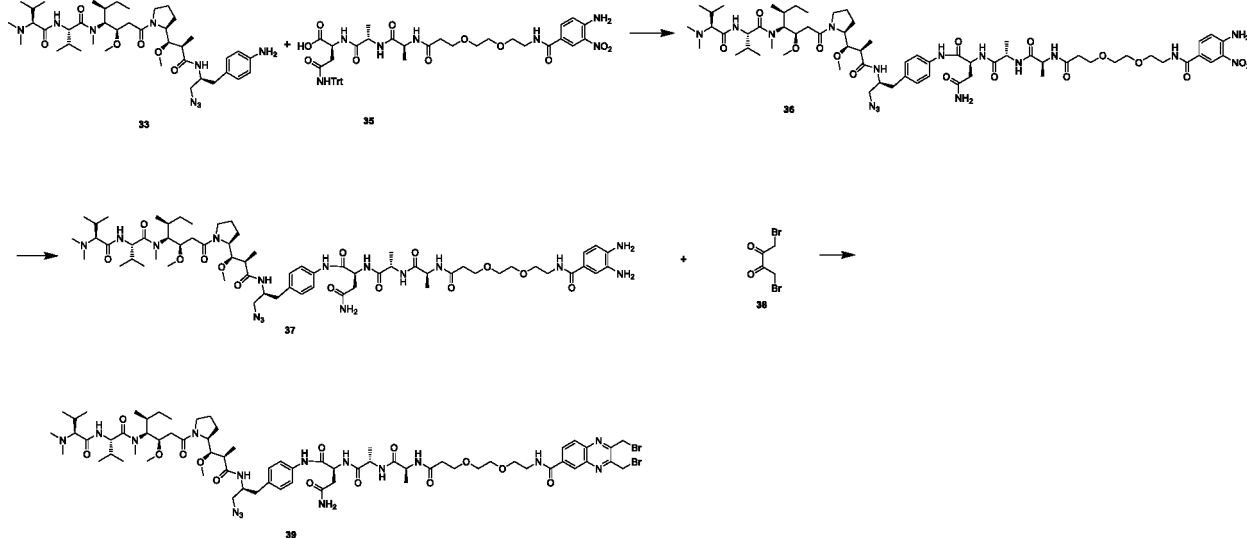
Synthesis of compound **33**:

Compound **15** (17 mg, 33 μ mol) was dissolved in 2 mL of DMF, then HATU (12.5 mg, 32.9 μ mol) and DIEA (23 μ L) was added. After 2 min, compound **32** as TFA salt (24 mg, 27.6 μ mol) was added and the mixture was stirred at ambient temperature for 1h. To the mixture was added 40 μ L of DBU and stirred for 10 min. Then the mixture was purified by HPLC to give compound **33** as a white solid (30.2 mg, 85%). MS m/z =1057.8 (M+H)

Synthesis of compound **34**:

Compound **17** (12.5 mg, 24.2 μ mol) was dissolved in 2 mL of DCM, then DIC (1.6 mg, 12.7 μ mol) was added. After 10 min, compound **33** (12.8 mg, 12.1 μ mol) dissolved in 0.5 mL of DMF was added and the mixture was stirred at ambient temperature for 10 min. Then the mixture was purified by HPLC to give compound **34** as a white solid (14.5 mg, 77%). MS m/z =1556.8 (M+H).

Synthesis of compound **39**:



Synthesis of compound **36**:

To a round bottom flask add compound **33** as TFA salt (88.6 mg, 0.1 mmol), compound **35** (84 mg, 0.1 mmol), HOAt (41 mg, 0.3 mmol), DCM (5 mL), DIEA (104 μ L), and DIC (25 mg, 0.2

mmol). After 16 h of stirring dilute the reaction mixture with 5 mL of DCM, then wash it with 5 mL of water, dry over Na_2SO_4 , evaporate solvent under vacuum to give crude glassy solid which was used in the next step. Dissolve the obtained solid in mixture consisting of 2 mL of DCM, 2 mL of TFA and 0.2 mL of triisopropylsilane and stir for 1 h. Evaporate the solvent under vacuum and purify by HPLC to give compound **36** (81 mg, 60%), MS m/z 1351.5 (M+H).

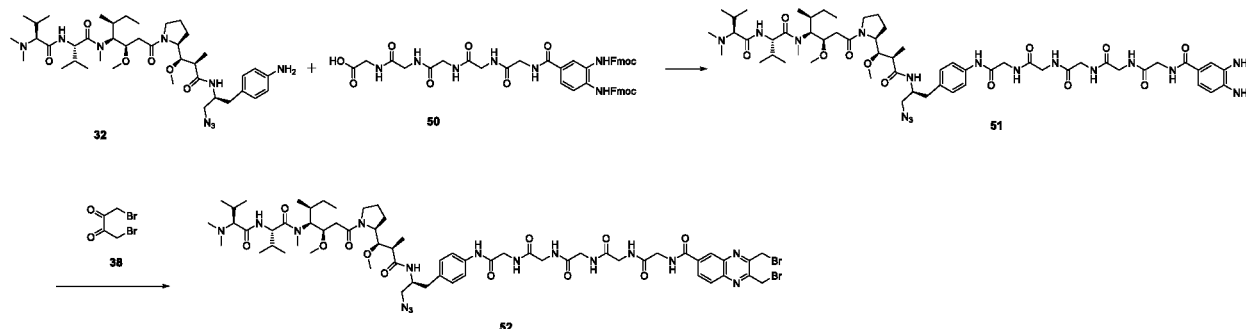
Synthesis of compound **37**:

To a round bottom flask add compound **36** (81 mg, 0.06 mmol), 2 mL of ACN, 1 mL of water and 1 mL of sat. NaHCO_3 aq. Then add $\text{Na}_2\text{S}_2\text{O}_4$ (42 mg, 0.24 mmol) and continue stirring for 20 min. Purify the mixture by HPLC to give compound **37** (55 mg, 70%), MS m/z 1321.7 (M+H).

Synthesis of compound **39**:

Compound **37** (53 mg, 0.04 mmol) was dissolved in 2 mL of ACN and 1,4-dibromo-2,3-butanedione (**38**) (29 mg, 0.12 mmol) was added. After stirring for 20 min, the reaction was purified by HPLC to give compound **39** (40 mg, 65%), MS m/z 1527.6 (M+H).

Synthesis of compound **52**:



Synthesis of compound **51**:

To a solution of amine **32** (875 mg, 1.13 mmol) and acid **50** (1000 mg, 1.13 mmol) in 10 mL of DMF, was added Oxima-pure (160 mg, 1.13 mmol), followed by DIC (428 mg, 2.74 mmol). After 2 h, the coupling was completed and then 1 mL of piperidine was added and stirred for 20 min. The mixture was purified by HPLC to give compound **51** TFA salt (1020 mg, 69%) as a white solid; MS m/z 1191.7 (M+H).

Synthesis of compound **52**:

Compound **51** TFA salt (200 mg, 141 μmol) was dissolved in 2 mL of ACN and 1 mL of water. Then a solution of 1,4-dibromo-2,3-butanedione **38** (69 mg, 282 μmol) in 1 mL of ACN was added. After stirring for 15 min, the reaction was purified by HPLC to give compound **52** as a white solid (166 mg, 84%). MS m/z =1397.6 (M+H)

ADC Preparation Example 1

Preparation of ADC46

Affinity purified anti-CD38 antibody was buffer exchanged into 50 mM sodium phosphate buffer, pH 7.0-7.2 with 4 mM EDTA at a concentration of 5-10 mg/mL. To a portion of this antibody stock was added a freshly prepared 10 mM water solution of tris(2-carboxyethyl)phosphine) (TCEP) in up to 20-fold molar excess. The resulting mixture was incubated at 4-8 °C overnight. The excess TCEP was removed by gel-filtration chromatography or several rounds of centrifugal filtration. UV-Vis quantification of recovered, reduced antibody material was followed by confirmation of sufficient free thiol-to-antibody ratio. Briefly, a 1 mM aliquot of freshly prepared (5,5'-dithiobis-(2-nitrobenzoic acid) in 50 mM sodium phosphate, pH 7.0-7.2, 4 mM EDTA was mixed with an equal volume of purified antibody solution. The resulting absorbance at 412 nm was measured and the reduced cysteine content was determined using the extinction coefficient of 14,150 M⁻¹cm⁻¹.

To initiate conjugation of compound **52** to anti-CD38 antibody, L014-077 was first dissolved in a 3:2 acetonitrile/water mixture at a concentration of 5 mM. An aliquot of this freshly prepared toxin-linker solution was then added to a portion of the reduced, purified anti-CD38 antibody intermediate in 4.5-5 fold molar excess. After thorough mixing and incubation at ambient temperature for ≥1 h, the crude conjugation reaction was analyzed by HIC-HPLC chromatography to confirm reaction completion (disappearance of starting antibody peak) at 280 nm wavelength detection. Purification of ADC46 was then carried out by gel-filtration chromatography using an AKTA system equipped with a Superdex 200 pg column (GE Healthcare) equilibrated with PBS. The drug-to-antibody ratio (DAR) was calculated based on UV-VIS and HIC-HPLC. Figure 8 shows a representative HIC-HPLC comparison of starting anti-CD38 antibody and purified ADC46. Confirmation of low percent (<5%) high molecular weight (HMW) aggregates for the resulting ADC46 was determined using analytical SEC-HPLC.

Preparation of ADC41

Reduction and analysis of anti-CD38 antibody for ADC41 was conducted in a manner identical to the procedure used to generate ADC46. To initiate final drug-linker conjugation to antibody, Compound **22** was first dissolved in a 2:3 acetonitrile/water mixture at a concentration of 5 mM. Propylene glycol (PG) was then added to an aliquot of the reduced, purified anti-CD38 antibody to give a final concentration of 10-30% (v/v) PG before addition of the freshly prepared compound **22** solution in 4.5-5-fold molar excess. Subsequent analysis and purification of ADC41 was carried out in a manner identical to the procedure for ADC46. Figure 9 shows a representative HIC-HPLC comparison of starting anti-CD38 antibody and purified ADC41.

Assay Example 1

Upon receipt, animals were housed 5 mice per cage in a room with a controlled environment. Animals were provided rodent chow and water ad libitum. Acclimation of the mice to laboratory conditions was at least 72 hours prior to the start of cell administration and dosing. During the acclimation period, the animals' health status was determined. Only animals that are
5 observed to be healthy prior to study initiation were used.

This example provides an *in vivo* experiment comparing treatment of mice with control (PBS), anti-CD38 IgG1 antibody (STI-0602 and STI-0607) and an ADC variant of both antibodies. The procedure first does a tumor cell inoculation & establishment of tumors:

- a. U87 cells were cultured with 10% FBS U87 medium (EMEM) and harvested with 0.05%
10 trypsin. Cells were washed 2 times with serum-free EMEM, counted, and resuspended at 5×10^6 cells in 0.2 mL or, 25×10^6 cells/mL in a 1:1 mix of serum-free EMEM and matrigel and injected subcutaneously into the upper right flank of each mouse.
- b. Tumor growth was monitored by tumor volume measurement using a digital caliper starting Day 6-9 after inoculation, 2 times per week thereafter and prior to study termination.
- 15 c. Tumors were measured with digital calipers. The length (the longest dimension) and the width (the distance perpendicular to and in the same plane as the length) were measured. The formula for calculating tumor volume was $TV \text{ (mm}^3\text{)} = \frac{1}{2} \times L \times W^2$.

Treatments:

- a. Once tumors were staged to the desired volume (average from 200 to 300 mm³), animals were
20 randomized and mice with very large or small tumors culled. Mice were divided into 8 groups of 10 mice each, randomized by tumor volume.
- b. Mice were treated with either vehicle or Test Article according to Figure 4. Mice received a total of 5 doses.
- c. Tumor responses were monitored and study terminated once clear treatment trends are
25 established and/or when tumor load in vehicle-treated mice reaches IACUC protocol limits (2000 mm³).

Assay Example 2

This example is an *in vivo* experiment comparing two disclosed CD38 ADCs *in vivo* with mice. In the *in vivo* study, 10 million of Daudi-fluc cells were injected iv into NOD-SCID mice. 4
30 days after tumor established in mice, anti-CD38 antibody and ADCs were injected to mice by IV. The inhibition of tumor growth by antibody or ADCs was monitored by the luminescence intensity change of the tumor (Figures 3, 4, 5 and 6).

ADC#45 and ADC# 41 were tested. Both ADC's use the same A2 antibody. The Daudi and Ramos cell line was obtained from ATCC. The cells were cultured in RPMI 1640 1X medium with 10% FBS and at 37 °C in a 5% carbon dioxide humidified environment. Cells were cultured for a period of 2 weeks and were passaged 3 times before harvest. Prior to injection, Daudi or Ramos cells were resuspended in a 1:1 ratio of HBSS (Hank's balanced salt solution) and Matrigel, and 10 million cells per 0.2 ml were injected subcutaneously into the upper right flank of each mouse.

The Daudi-luc cells were cultured in RPMI 1640 1X medium with 10% FBS and 0.2ug/ml puromycin at 37 °C in a 5% carbon dioxide humidified environment. Cells cultured for a period of 2 weeks and were passaged 3 times before harvest. Prior to injection, Daudi-luc cells were resuspended in HBSS. 10 million cells per 0.2 ml were injected intravenously in to the tail vein of each mouse.

Female NOD SCID mice aged 6 weeks (Charles River) were used for Daudi subcutaneous xenografts and Daudi-luc intravenous xenografts. Female Nu/Nu mice aged 6 weeks (Charles River) were used for Ramos subcutaneous xenografts in the studies. Upon receipt, mice were housed 5 mice per cage in a room with a controlled environment. Rodent chow and water was provided *ad libitum*. Mice were acclimated to laboratory conditions for 72 hours before the start of dosing. The animals' health status was monitored during the acclimation period. Each cage was identified by group number and study number, and mice were identified individually by ear tags.

The study design and dosing regimens are shown in the following table.

Tumor Models	Group	# of Animal	Treatment	Dose / frequency	Volume/route
Daudi subcutaneous xenograft in NOD SCID mice	1	7	PBS	0 mg/kg, single	0.2 ml/iv
	2	7	ADC#45	10 mg/kg, single	0.2 ml/iv
	3	7	ADC#45	3 mg/kg, single	0.2 ml/iv
	4	7	ADC#45	1 mg/kg, single	0.2 ml/iv
Ramos subcutaneous xenograft in Nu/Nu mice	1	7	PBS	0 mg/kg, single	0.2 ml/iv
	2	7	ADC#45	10 mg/kg, single	0.2 ml/iv
	3	7	ADC#45	3 mg/kg, single	0.2 ml/iv
	4	7	ADC#45	1 mg/kg, single	0.2 ml/iv
Daudi-luc intravenous model in NOD SCID mice	1	8	PBS	0 mg/kg, single	0.2 ml/iv
	2	8	Ab	3 mg/kg, single	0.2 ml/iv
	3	8	ADC#45	3 mg/kg, single	0.2 ml/iv
	4	8	ADC#41	3 mg/kg, single	0.2 ml/iv

Tumor growth was monitored by measurement of tumor width and length using a digital caliper starting day 5-7 after inoculation, and followed twice per week until tumor volume reached ~100-250 mm³. Tumor volume was calculated using the formula: Volume (mm³) = [Length (mm) x Width (mm)²] / 2.

Once tumors were staged to the desired volume, animals were randomized, and mice with very large or small tumors were culled. Mice were divided into groups with animal numbers per group as indicated in study design. Mice were then treated intravenously (0.2 ml/animal) with either PBS, Ab, ADC#45, or ADC#41. Tumor growth, animal health and body weight were monitored after treatment. Testing animals were sacrificed when the average subcutaneous tumor load for the group exceeded 2000 mm³, animal body-weight loss exceed 20%, or at the end of the study.

Tumor volume was measured twice weekly throughout the experimental period. TGI (tumor growth inhibition %) was calculated using the formula: $TGI = [(Last\ Volume\ Measurement\ of\ PBS\ Group - Volume\ of\ Treatment\ group\ on\ the\ same\ day\ as\ the\ PBS\ control) / (Last\ Volume\ Measurement\ of\ PBS\ Group)] \times 100$. The body weight of each mouse was measured twice weekly by electric balance.

Raw data of individual body weight and tumor volume were calculated. Group average and standard deviation were calculated, and statistical analyses (one-way ANOVA with Dunnett's multiple comparison test; GraphPad Prism 6.0) was carried out. All treatment groups were compared with the PBS group. $P < 0.05$ was considered statistically significant.

ADC#45 at 10 mg/kg significantly inhibited Daudi tumor growth compared to PBS treated control group. Although the tumor regained growth after 3 weeks, the single 10 mg/kg treatment significantly delayed tumor growth. In this case, multiple treatment may be tested to achieve sustained tumor inhibition. While a single dose of ADC#45 at 3 mg/kg or 1 mg/kg did not significantly inhibited tumor growth. However, although the difference was not significant, a single dose of ADC#45 did show slightly inhibition of tumor growth compared to PBS treated control group. Dose response was observed in this study, where higher dose showed better tumor growth inhibition (Figure 1A). There was no body weight loss in the testing animals with a single dose of intravenously administrated ADC#45 at 10 mg/kg or lower dose (Figure 1B).

Similarly, ADC#45 at 10 mg/kg significantly inhibited Ramos tumor growth compared to PBS treated control group and had sustained tumor inhibition effect for up to 60 days. A single dose of ADC#45 at 3 mg/kg or 1 mg/kg did not significantly inhibit tumor growth. However, although the difference was not significant, a single dose of ADC#45 at 3 mg/kg or 1 mg/kg did show slightly inhibition of tumor growth compared to PBS treated control group. Dose response was observed in this study, where higher dose showed better tumor growth inhibition (Figure 2A). There was no body weight loss in the testing animals with a single dose of intravenously administrated ADC#45 at 10 mg/kg or lower dose (Figure 2B).

ADC#45 at a single dose of 3 mg/kg completely inhibited tumor growth with 100% survival up to Day 48 after treatment. ADC#41 at a single dose of 3 mg/kg significantly inhibited tumor growth compared to PBS control group, and significantly prolonged survival in mice. (Figure 3 and 4).

5 ADC#45 and ADC#41, at 10 mg/kg single dose, significantly inhibited tumor growth, while at 3 mg/kg, or 1 mg/kg, both did not show significant tumor inhibition in Daudi and Ramos subcutaneously injected xenograft tumor model in mice. ADC#45 at 3 mg/kg single dose completely inhibited tumor growth with a 100% survival up to 48 days in Daudi-luc intravenously injected tumor model in female NOD SCID mice. ADC#41 at 3 mg/kg single dose significantly
10 inhibited tumor growth, and prolonged survival in Daudi-luc intravenously injected tumor model in female NOD SCID mice. Dose response was observed for ADC#45 and ADC#41 in this study. ADC#45 showed better tumor growth inhibition effect than ADC#41 with the same (10 mg/kg, or 3 mg/kg) dose regime. No treatment-related body weight loss was observed during the study for all treatment groups.

15

Sequence Listing

Binder	Heavy chain variable domain region	Light chain variable domain region
A2 wt	QVQLVESGGGLVKPGGSLRLSCA ASGFTFSDDYMSWIRQAPGKGLE WVASVSNGRPTTYADSVRGRFT ISRDNAKNSLYLQMNSLRAEDTA VYYCAREDWGGEFTDWGRGTLV TVSS SEQ ID NO. 1	QAGLTQPPSASGTSGQRVTISCSGS SSNIGINFVYQHLPGTAPKLLIY KNNQRPSGVPDRFSGSKSGNSASL AISGLRSEDEADYYCAAWDDSLSG YVFGSGTKVTVL SEQ ID NO. 2
A2-SV	QVQLVESGGGLVKPGGSLRLSCA ASGFTFSDDYMSWIRQAPGKGLE WVASVSNGRPTTYADSVRGRFT ISRDNAKNSLYLQMNSLRAEDTA VYYCAREDWGGEFTDWGRGTLV TVSS SEQ ID NO. 1	QSVLTQPPSASGTSGQRVTISCSGSSNIGI NFVYQHLPGTAPKLLIYKNNQRPSGVP DRFSGSKSGNSASLAISGLRSEDEADYYCA AWDDSLSGYVFGSGTKVTVL SEQ ID NO. 3

We claim:

1. An antibody drug conjugate (ADC) composition comprising an IgG antibody that binds to CD38, a linker moiety conjugated to one Cys residue in a hinge region of an IgG antibody mutated to have only one Cys residue, and a toxin moiety conjugated to the linker moiety.

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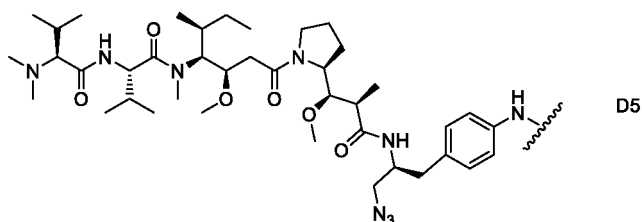
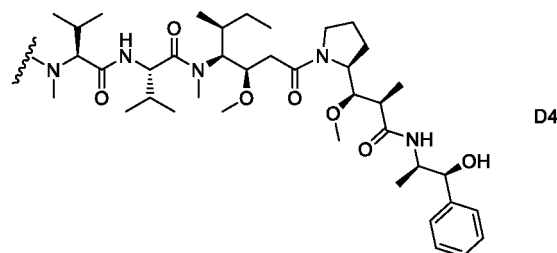
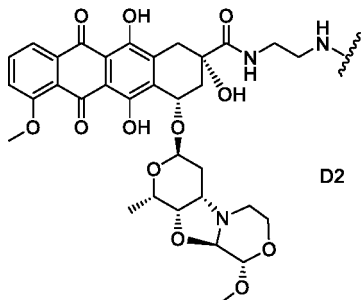
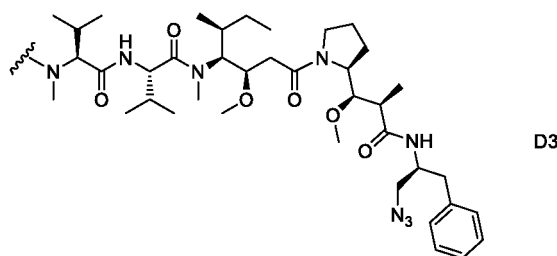
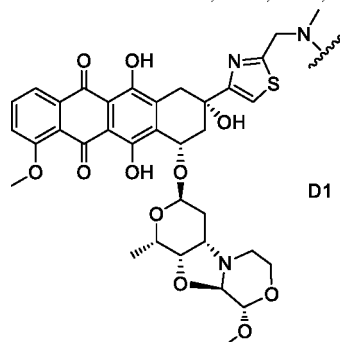
2. An anti-CD38 ADC composition comprising:

(a) an anti-CD38 IgG antibody C38A2-SV (SEQ ID NOs. 1/3 for heavy/light chain variable regions herein) or C38A2 (SEQ ID NOs. 1/2 for heavy/light chain variable regions herein);

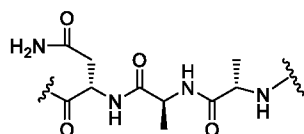
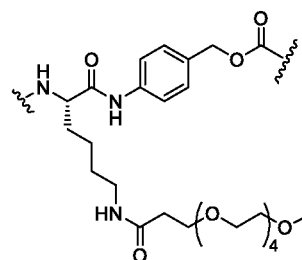
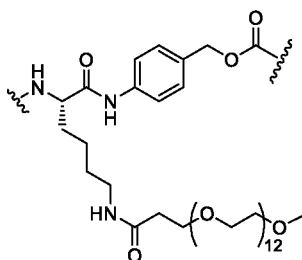
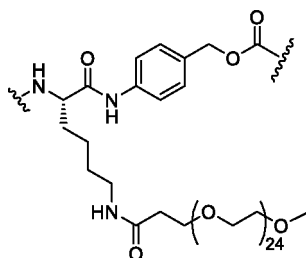
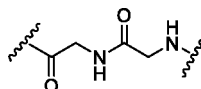
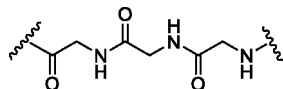
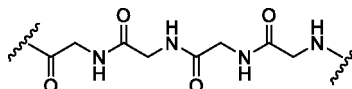
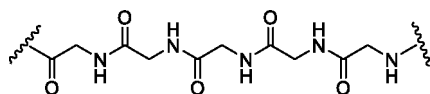
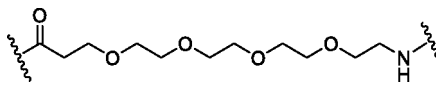
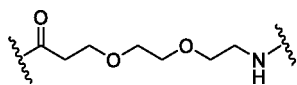
(b) a drug or toxin moiety that is a tubulin inhibitor or a doxorubicin analog; and

10 (c) a conjugation linker moiety, wherein the conjugation linker comprises a linker and a conjugation moiety which covalently binds to single Cys residue in a hinge region of an IgG antibody, and wherein a heavy chain hinge region of an IgG antibody may be mutated such that the heavy chain hinge region contains only one Cys residue.

15 3. The anti-CD38 ADC composition of claim 2, wherein the drug or toxin moiety is selected from the group consisting of D1, D2, D3, D4, D5, and combinations thereof, wherein the structures of D1, D2, D3, D4 and D5 are:

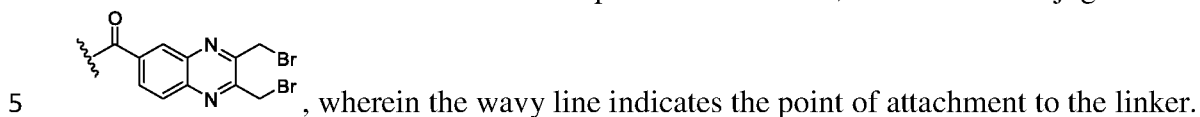


4. The anti-CD38 ADC composition of claim 2, wherein linker is selected from the group consisting of:



wherein the wavy line indicates a point of attachment to the conjugation moiety and the drug or toxin moiety.

5. The anti-CD38 ADC composition of claim 2, wherein the conjugation moiety is



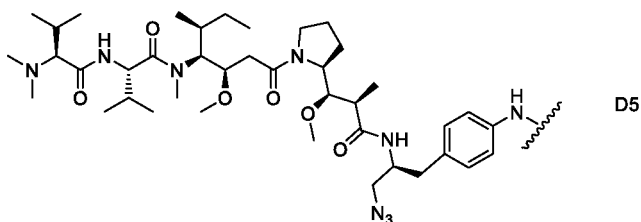
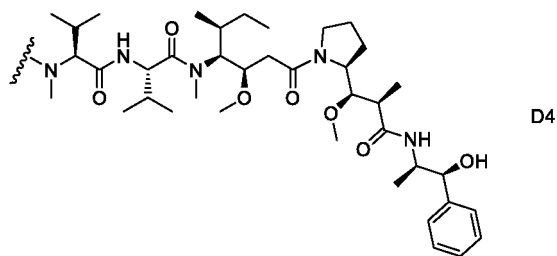
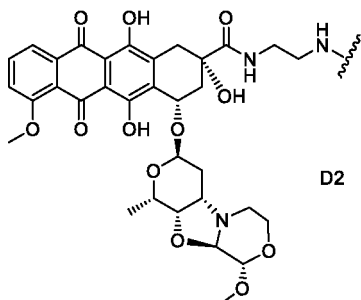
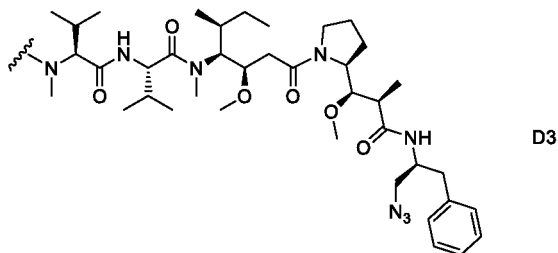
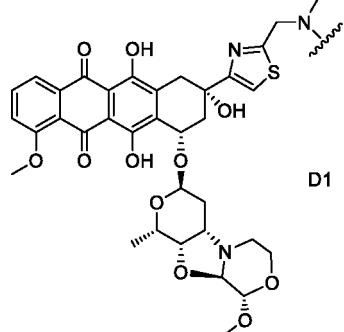
6. A method for treating multiple myeloma comprising providing a therapeutically effective amount of an anti-CD38 ADC composition comprising:

(a) an anti-CD38 IgG antibody C38A2-SV (SEQ ID NOs. 1/3 for heavy/light chain variable regions herein) or C38A2 wild type (SEQ ID NOs. 1/2 for heavy/light chain variable regions herein);

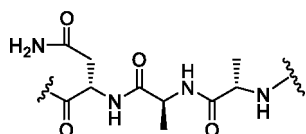
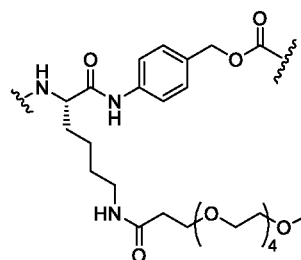
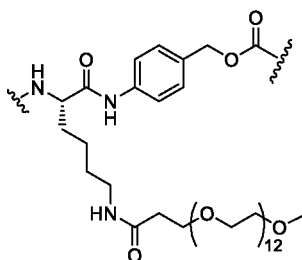
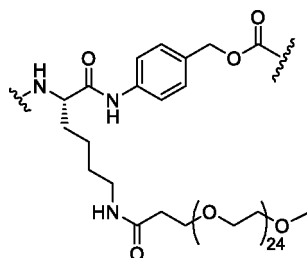
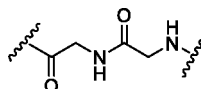
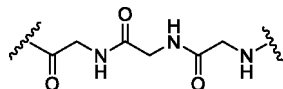
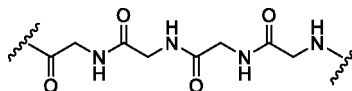
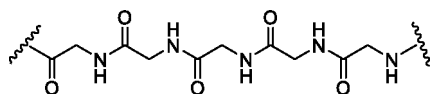
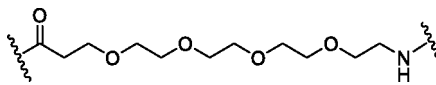
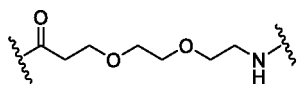
(b) a drug or toxin moiety that is a tubulin inhibitor or a doxorubicin analog; and

(c) a conjugation linker moiety, wherein the conjugation linker moiety comprises a linker and a conjugation moiety which covalently binds to single Cys residue in a hinge region of an IgG antibody, and wherein a heavy chain hinge region of an IgG antibody may be mutated such that the heavy chain hinge region contains only one Cys residue.

7. The method for treating multiple myeloma of claim 6, wherein the drug or toxin moiety is selected from the group consisting of D1, D2, D3, D4, D5, and combinations thereof, wherein the structures are:



5 8. The method for treating multiple myeloma of claim 6, wherein the linker is selected from the group consisting of:



wherein the wavy line indicates a point of attachment to the conjugation moiety and the drug or toxin moiety.

9. The method for treating multiple myeloma of claim 6, wherein the conjugation

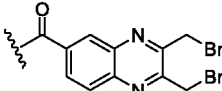
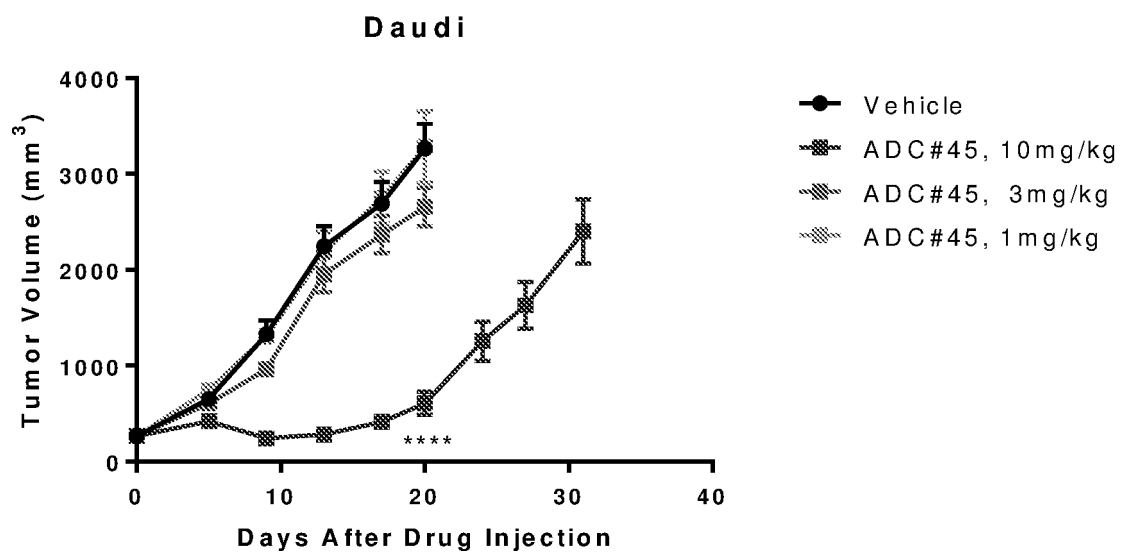
5 moiety is , wherein the wavy line indicates the point of attachment to the linker.

Figure 1A



* $P < 0.05$, one-way ANOVA compared to Vehicle control group.

Figure 1B

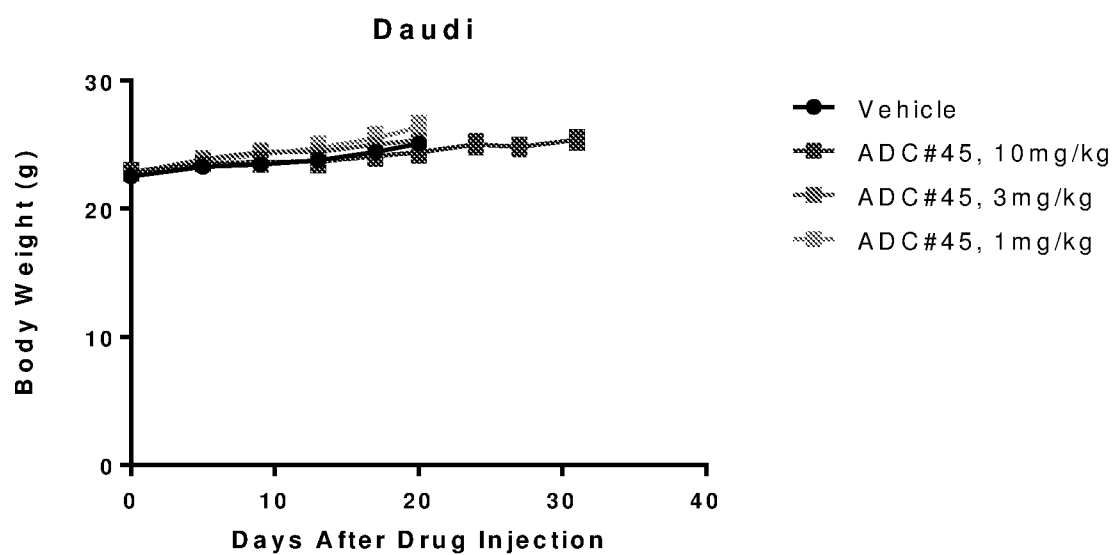


Figure 2A

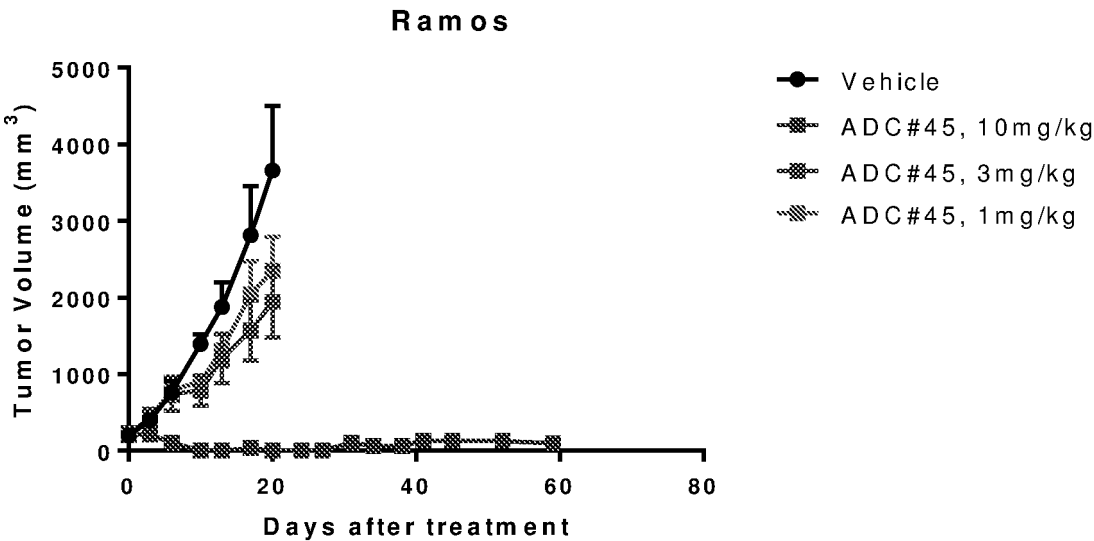


Figure 2B

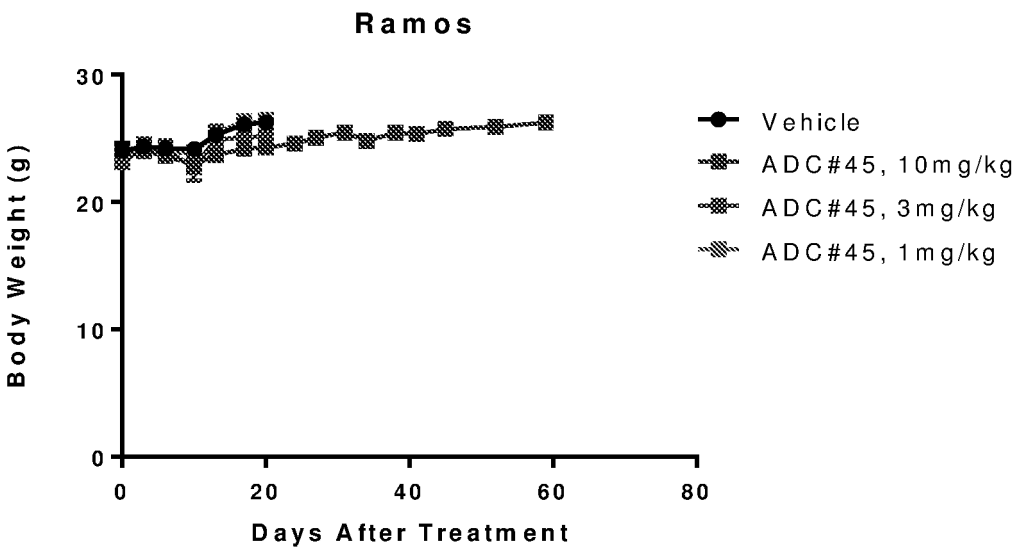


Figure 3

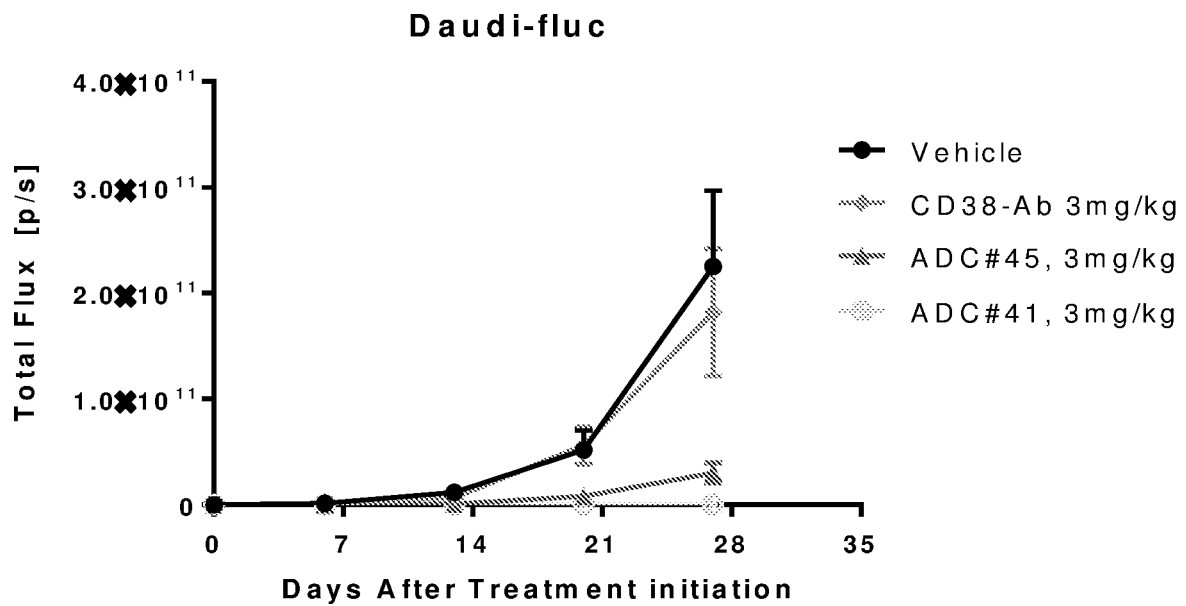


Figure 4

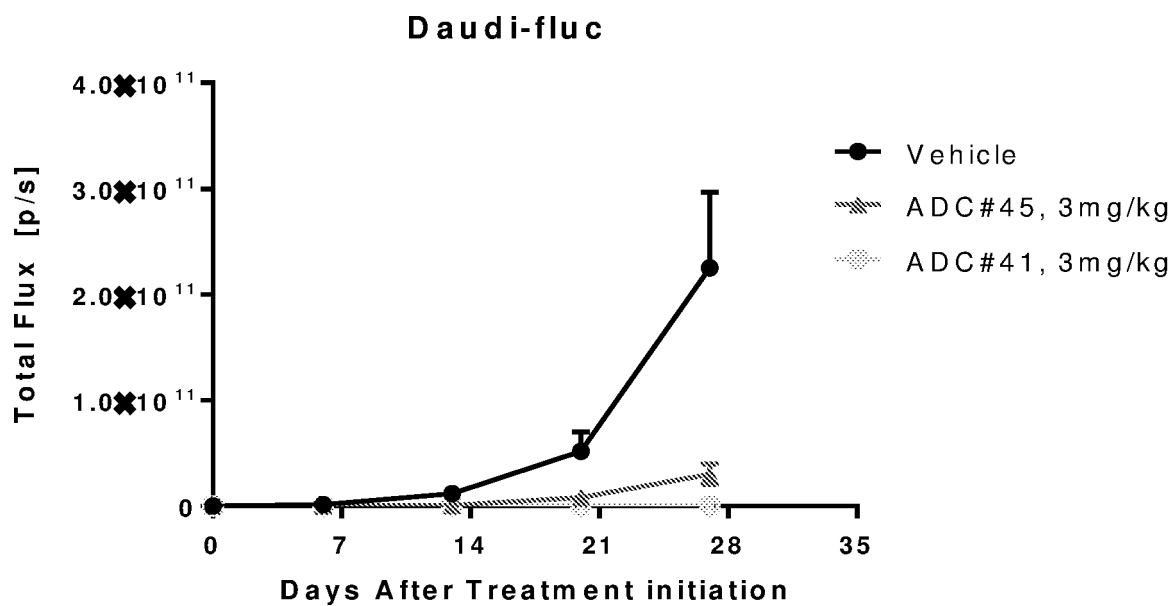


Figure 5

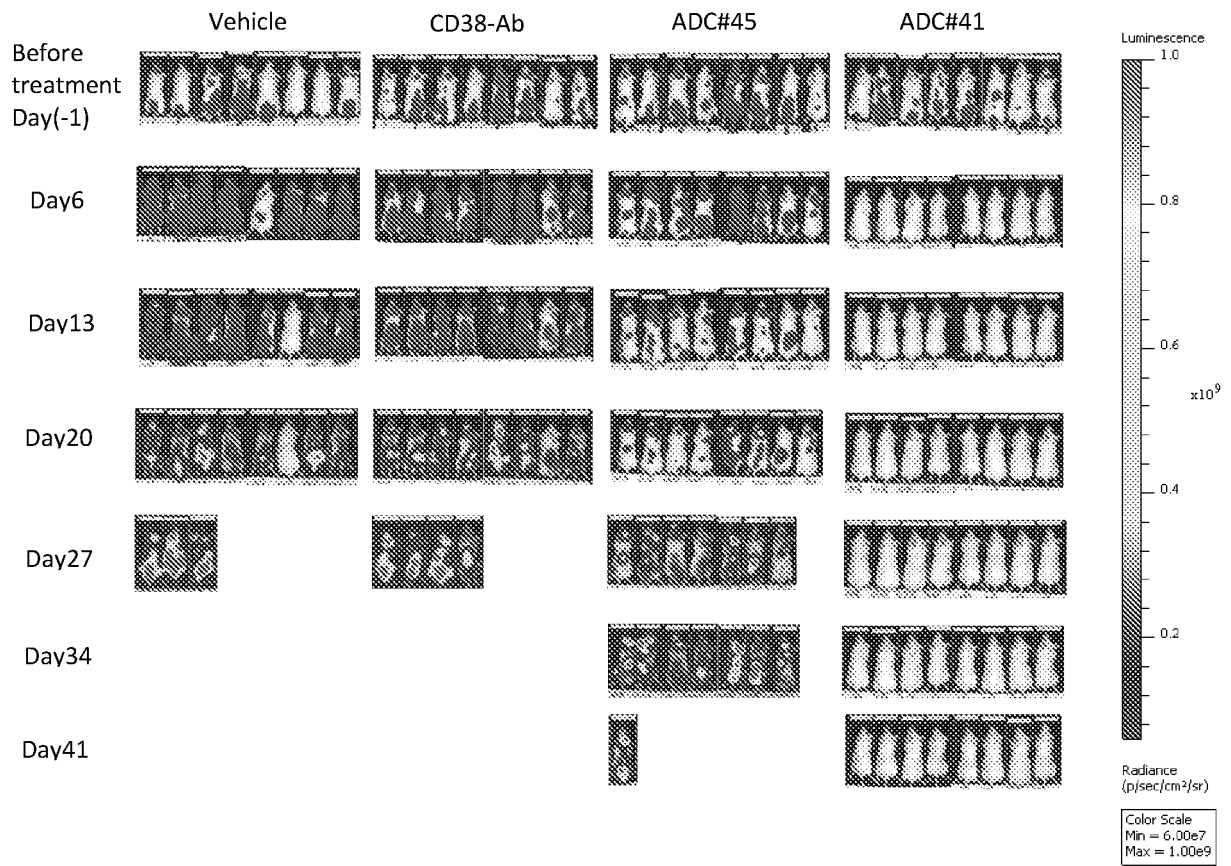


Figure 6

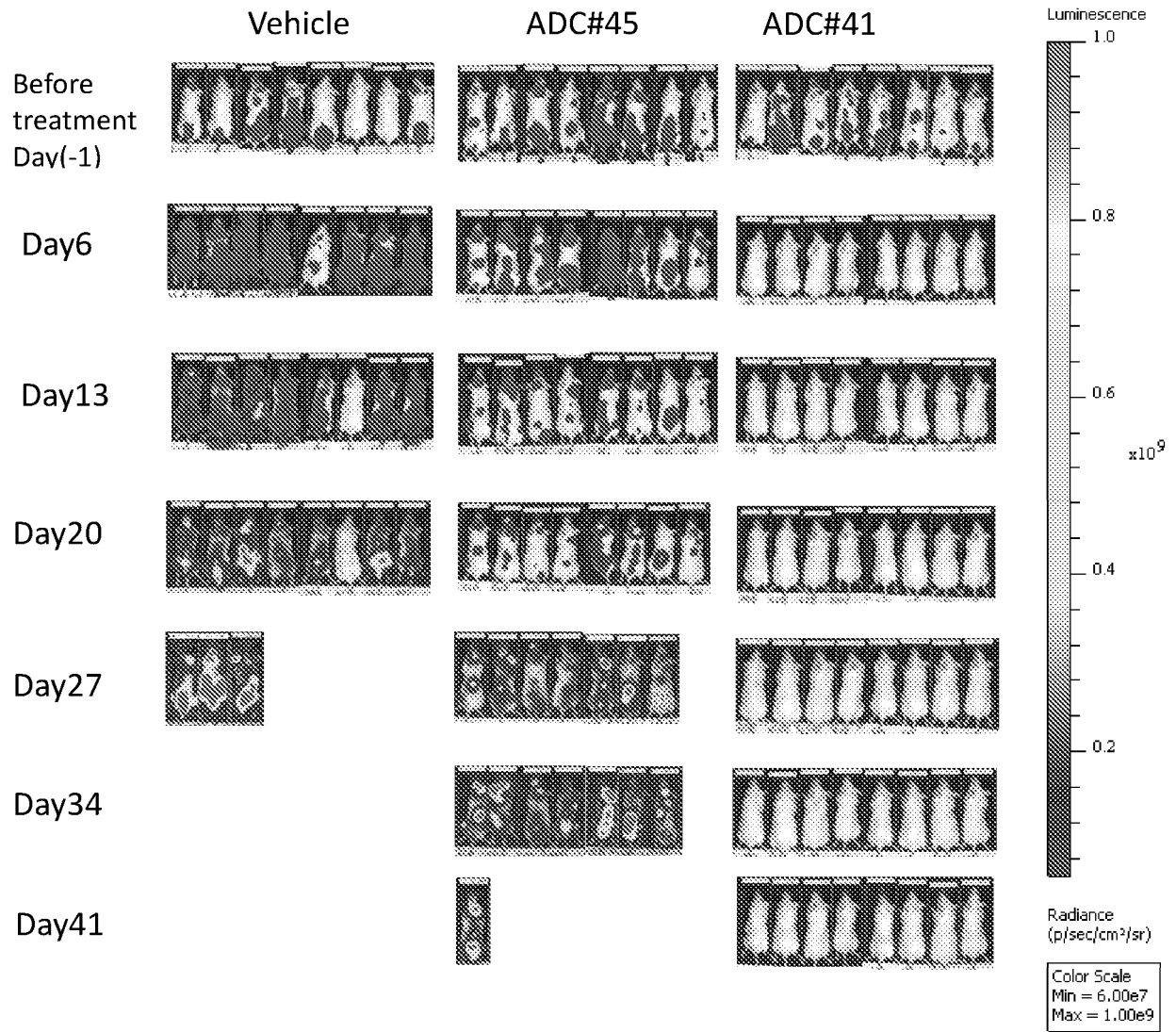


Figure 7

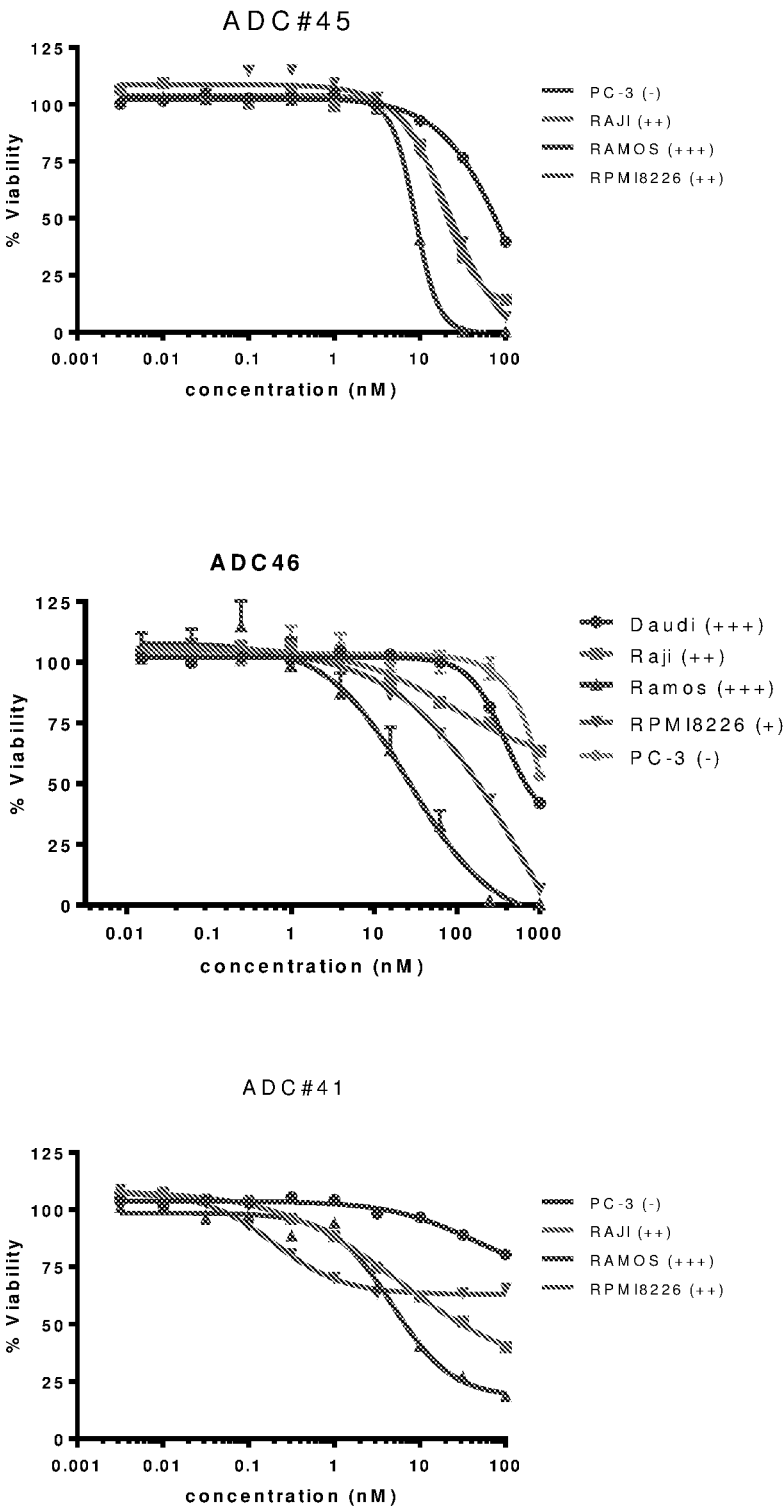


Figure 8

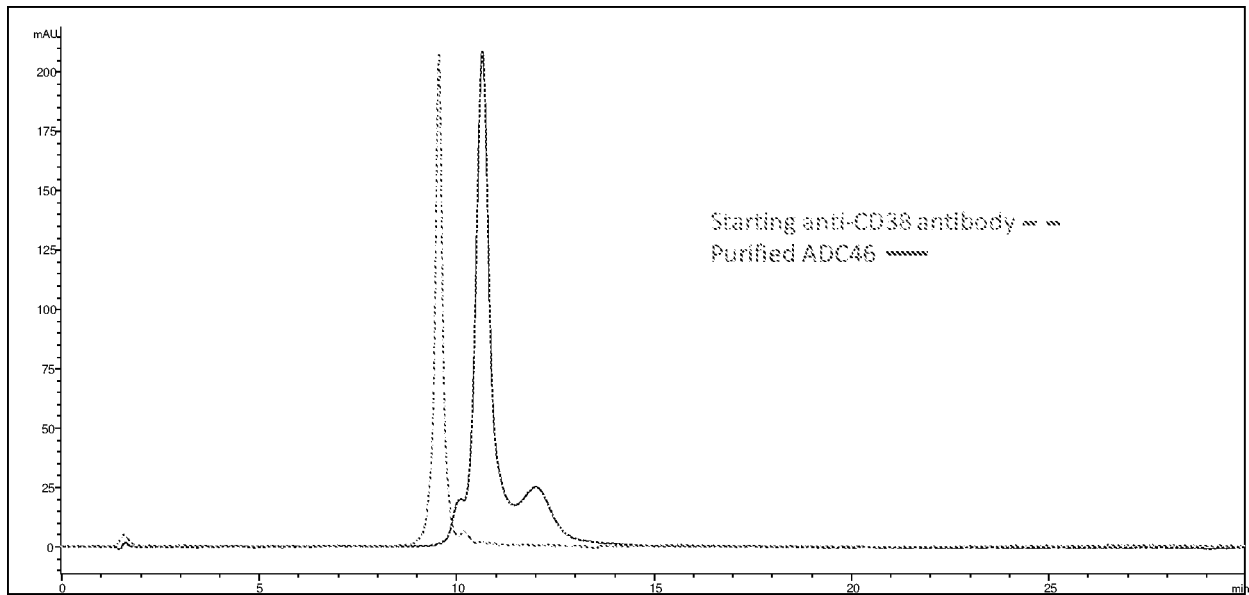


Figure 9.

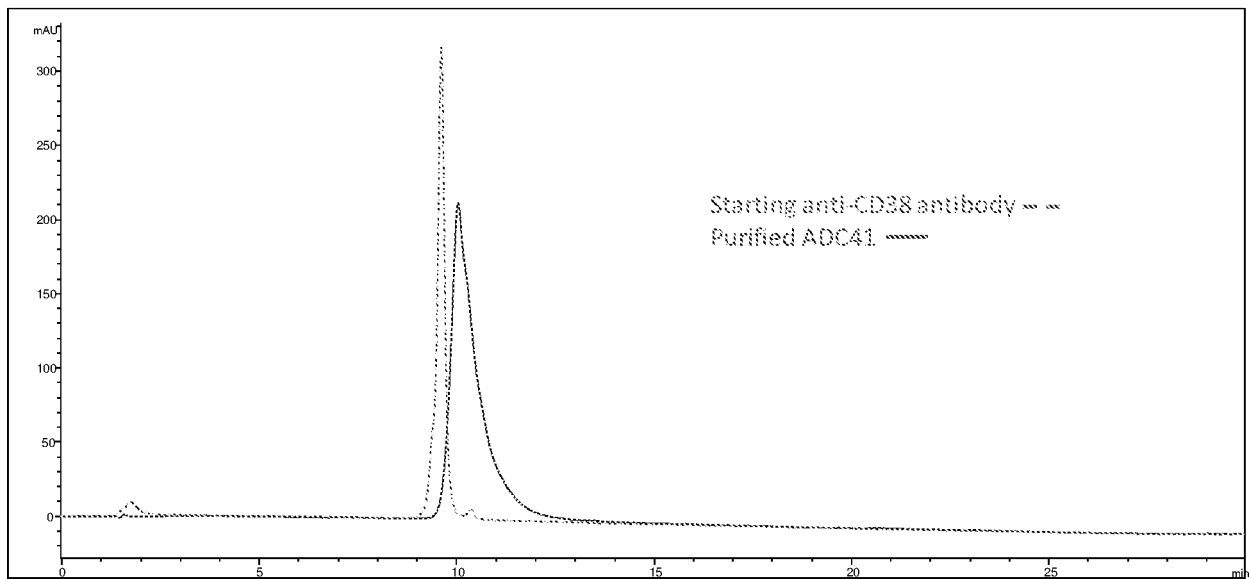


Figure 10A

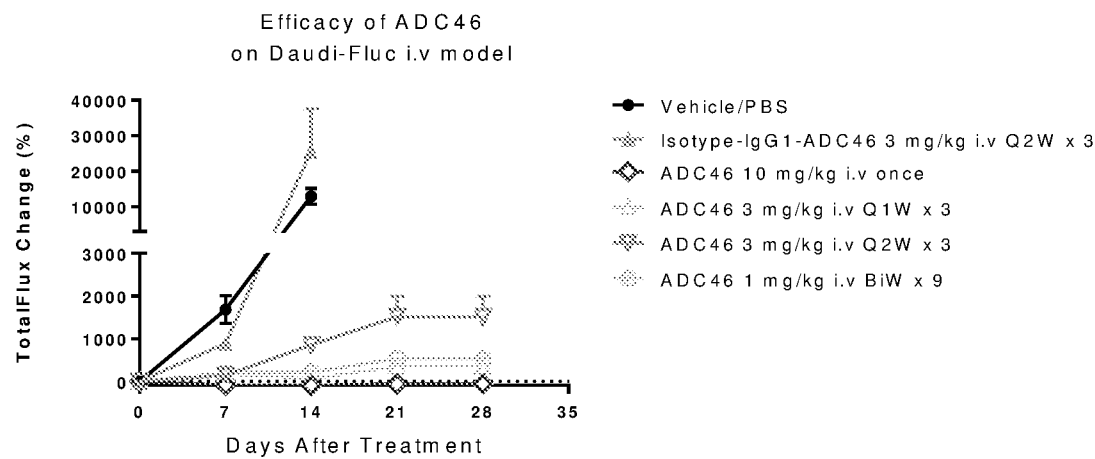


Figure 10B

