

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(10) International Publication Number

WO 2015/021236 A2

(43) International Publication Date  
12 February 2015 (12.02.2015)

(51) International Patent Classification:

A61M 5/32 (2006.01)

(21) International Application Number:

PCT/US2014/050066

(22) International Filing Date:

7 August 2014 (07.08.2014)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/863,113 7 August 2013 (07.08.2013) US

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report (Rule 48.2(g))

(54) Title: RETAINER FOR RETRACTABLE NEEDLE ASSEMBLIES AND SYRINGES

(57) Abstract: A retainer 220 for a needle assembly 200 of a retractable syringe 1000 that includes a plunger assembly 400 and a barrel 100 having a mounting member 110. The needle assembly 200 includes a retractable needle 210, a needle hub 230, and a retainer 220. The retractable needle 210 is engageable by the plunger assembly 400 to facilitate retraction thereof. The needle hub 230 is mountable to the mounting member 110 of the barrel 100. The retainer 220 includes a body 225 and at least one retaining member 221 that extends distally from the body 225. The retaining member 221 includes an inwardly projecting lateral projection 224 to engage the retractable needle 210 and thereby prevent inadvertent proximal movement of said retractable needle 210 prior to retraction. A retractable needle assembly 200 includes the retainer 220, and a retractable syringe includes the retractable needle assembly 200 including the retainer 220. A syringe kit 90 includes a retractable syringe, a plurality of retractable needle assemblies 200, and a vial adapter 2000.

## RETAINER FOR RETRACTABLE NEEDLE ASSEMBLIES AND SYRINGES

### TECHNICAL FIELD

**[0001]** THIS INVENTION relates to syringes. More particularly, this invention relates to a retainer for a replaceable, retractable needle of a retractable syringe.

### BACKGROUND OF THE INVENTION

**[0002]** The practice of sharing syringes without adequate sterilization between successive users is a major contributor to the transfer of Human Immunodeficiency Virus (HIV) and Hepatitis with subsequent severe repercussions for the sufferer and at a high cost to society for supporting and providing medical attention to sufferers. Further problems arise for health professionals administering medicines and vaccines to infected individuals, where accidental needle stick injury by a used syringe can lead to infection.

**[0003]** In response to this problem, syringes have been developed which either provide a needle sheathing mechanism or a needle retraction mechanism to prevent re-use and/or needle stick injury. However, many such retractable syringes have highly specialized retractable needle assemblies that are not amenable to replacing needles which have been bent or burred or for allowing a user to select an appropriate needle size. An additional complication is that it is difficult to ensure that retractable needles do not inadvertently move proximally (*i.e.*, retract) into the barrel such as when piercing the skin prior to injection, while not resisting retraction after completion of injection. Retaining systems that achieve this balancing act can be difficult to design, particularly where the sometimes competing interests of costs of manufacture and reliability have to both be satisfied in a commercially-viable retractable syringe. These problems can be even more marked in the context of a retractable syringe having a replaceable, retractable needle assembly.

### BRIEF SUMMARY OF THE INVENTION

**[0004]** The invention is therefore, at least in part, broadly directed to an improved retainer for a needle assembly for a retractable syringe. More particularly, the retainer is suitable for a replaceable, retractable needle assembly.

**[0005]** The invention also broadly relates to an improved needle retention and/or retraction mechanism comprising respective elements of the retractable needle assembly and/or a plunger capable of engaging and retracting a retractable needle.

**[0006]** In a first aspect, the invention provides a retainer for a needle assembly of a retractable syringe that includes a plunger assembly and a barrel having a mounting member. The needle assembly includes a retractable needle, a needle hub, and a retainer. The retractable needle is engageable by the plunger assembly to facilitate retraction thereof. The needle hub is mountable to the mounting member of the barrel. The retainer includes a body and at least one retaining member that extends distally from the body to engage the retractable needle and thereby prevent inadvertent proximal movement of the retractable needle.

**[0007]** In a second aspect, the invention provides a needle assembly for a retractable syringe that includes a plunger and a barrel having a mounting member. The needle assembly includes a needle that is engageable by the plunger, a needle hub that is mountable to the mounting member of the barrel, and a retainer. The retainer includes a body and one or more retaining members that extend or project distally from the body to engage the retractable needle and thereby prevent inadvertent proximal movement of the retractable needle.

**[0008]** In a third aspect, the invention provides a retractable syringe including a needle assembly, a plunger and a barrel having a mounting member. The needle assembly includes a retractable needle that is engageable by the plunger, a needle hub that is mountable to the mounting member of the barrel, and a retainer. The retainer includes a body and one or more retaining members that project distally from the body to engage the retractable needle and thereby prevent inadvertent proximal movement of the retractable needle.

**[0009]** In an embodiment, the retainer may include a body having a circumferential shoulder from which projects or extends one or more retaining members. Each retaining member may include at least one prong that includes at least one tip and at least one lateral projection. The at least one tip and/or at least one lateral projection may facilitate engagement with one or more other components of the needle assembly and/or a needle seal, as will be described in more detail hereinafter.

**[0010]** In an embodiment, the needle assembly is a retractable needle assembly. According to this embodiment, the needle assembly (and needle hub) is removably

mountable to the mounting member. Typically, the mounting member and the needle hub include complementary mating members.

[0011] In one embodiment, the mounting member further includes a male fitment which is receivable by a female fitment of the needle hub (or *vice versa*). Preferably, the mounting member includes a screw-thread which in use is receivable by a complementary screw thread of the needle hub (or *vice versa*). In a particular embodiment, the mounting member may utilize a screw-thread and a male fitment to be received by a corresponding screw-thread and female fitment of the needle hub. This configuration may be similar to that known as a luer connection or, more specifically, a luer lock connection.

[0012] Preferably, the barrel includes a releasing member.

[0013] In one embodiment, the barrel further includes a collar.

[0014] Preferably, the collar includes the releasing member.

[0015] Suitably, the retractable syringe further includes a needle seal. Typically, the needle seal is mounted or located at least partly within the barrel and/or the mounting member. Suitably, the body of the retainer includes a portion that is capable of engaging a needle seal. Accordingly, the needle seal may be a component of the needle assembly, in at least one embodiment, for mounting to a barrel.

[0016] In one embodiment, the plunger includes: a biasing element; a plunger member releasably connected to a control rod; a plunger housing; and a plunger seal mounted to the plunger member; wherein the plunger member, control rod and plunger housing co-operate to maintain the biasing element in an initially energized state prior to retraction.

[0017] Suitably, the plunger includes a needle-engaging portion that is capable of engaging the retractable needle to facilitate retraction of the retractable needle.

[0018] Preferably, the plunger is arranged so that at the end of depression of the plunger to deliver fluid contents of the retractable syringe, the control rod disengages from the plunger housing to facilitate a release of energy from the biasing element to thereby retract the control rod and the plunger member with the retractable needle coupled thereto.

[0019] Suitably, the biasing member is any device which can store energy in a releasable form, such as a spring (*e.g.*, a coil spring, leaf spring *etc.*) elastic or the like.

[0020] Preferably, the biasing element is a spring.

[0021] The retractable syringe may further include one or more locking systems to minimize or prevent re-use of the retractable syringe. In one embodiment, the plunger

housing includes one or more lock elements capable of forming a locking system with the barrel, or a collar mounted to the barrel, at the end of retraction.

**[0022]** In a fourth aspect, the invention provides a retractable syringe kit including a barrel, a plunger and a plurality of retractable needle assemblies according to the second aspect.

**[0023]** In one embodiment of the retractable syringe kit, the plurality of needle assemblies include a 0.5 inch needle, a 1.0 inch needle, and a 1.5 inch needle, though a range of needle lengths and gauges may be utilized and incorporated within the needle assemblies and kits of the present invention.

**[0024]** In a particular embodiment, the retractable syringe kit further includes a vial adapter. In one particular form, the vial adapter includes a housing having a base, an adapter cannula that extends or projects from the base and a connector that is capable of being in fluid communication with fluid contents of a vial and the barrel of the retractable syringe, the adapter housing further including a shroud to protect a user from inadvertent needle-stick injury by the adapter cannula, the shroud including one or more arms that engage a vial closure. The shroud may further include one or more flexion arms that allow the vial adapter to engage any of a variety of different-sized vial closures. In use, the vial adapter facilitates transfer or delivery of fluid between the vial and the retractable syringe barrel to thereby allow fluid reconstitution of a powdered, dried, desiccated or dehydrated solid substance contained within the syringe barrel or within the vial. The vial adapter may further include a conduit tip mountable or mounted to the connector in fluid communication with the adapter cannula, which conduit tip prevents inadvertent activation of the syringe retraction mechanism during fluid transfer.

**[0025]** Preferably, the vial adapter further includes a conduit tip mountable or mounted to the connector in fluid communication with the adapter cannula. In use, the conduit tip prevents inadvertent activation of the syringe retraction mechanism during fluid transfer.

**[0026]** In a fifth aspect, the invention provides a method of assembling a retractable syringe including the step of removably mounting the retractable needle assembly of the second aspect to a barrel of a retractable syringe.

**[0027]** Preferably, the method includes the step of removing a plug from a mounting member of the barrel prior to removably mounting the retractable needle assembly to the barrel.

[0028] In one embodiment, the method includes the step of screw-threadedly mounting a needle hub of the retractable needle assembly to a mounting member of the barrel.

[0029] Throughout this specification, unless otherwise indicated, “comprise”, “comprises” and “comprising” are used inclusively rather than exclusively, so that a stated integer or group of integers may include one or more other non-stated integers or groups of integers.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S)

[0030] Non-limiting embodiments are described herein with reference to the following drawings wherein:

[0031] FIG. 1 is an isometric view of a retractable needle syringe kit according to teachings of this disclosure.

[0032] FIG. 2 is an exploded isometric view of the syringe and vial adapter of the kit of FIG. 1.

[0033] FIG. 3 is a cross-sectional view of an embodiment of a retractable syringe according to teachings of this disclosure.

[0034] FIG. 4 is an exploded isometric view of a barrel assembly of a syringe according to teachings of this disclosure.

[0035] FIG. 5 is an exploded isometric view of a retractable needle assembly and needle cap according to teachings of this disclosure.

[0036] FIG. 6A is a partially cross-sectioned view of a retractable needle and needle-over-mold according to teachings of this disclosure.

[0037] FIG. 6B is a partially cross-sectioned view of the retractable needle and needle-over-mold of FIG. 6A.

[0038] FIG. 7A is a cross-sectional view of a retainer according to teachings of this disclosure.

[0039] FIG. 7B is a cross-sectional view of an alternate embodiment of a retainer according to teachings of this disclosure.

[0040] FIG. 8A is a cross-sectional view of a needle hub according to teachings of this disclosure.

[0041] FIG. 8B is a cross-sectional view of the needle hub.

[0042] FIG. 9A is a fragmentary, partial cross-sectional view of an embodiment of a barrel to which is mounted a plug according to teachings of this disclosure.

**[0043]** FIG. 9B is a cross-sectional view of an embodiment of a retractable needle assembly prior to mounting in a barrel, the barrel being shown in as a fragmentary cross-sectional view.

**[0044]** FIG. 10A is a fragmentary, cross-sectional view of an embodiment of a retractable needle assembly coupled to a needle seal in a barrel according to teachings of this disclosure.

**[0045]** FIG. 10B is a fragmentary, cross-sectional view of the embodiment of FIG. 10A.

**[0046]** FIG. 11 is a cross-sectional view of the retractable syringe of FIG. 3 after administration and just prior to retraction of a retractable needle.

**[0047]** FIG. 12 is a cross-sectional view showing the retractable syringe of FIG 11 after retraction of the retractable needle.

**[0048]** FIG. 13 is a fragmentary, cross-sectional view of an embodiment of conical or tapered, screw-threaded luer adapter according to teachings of this disclosure.

**[0049]** FIG. 14 is a fragmentary, cross-sectional view of a retractable syringe coupled to and in fluid communication with a vial by a vial adapter according to teachings of this disclosure.

#### DETAILED DESCRIPTION OF THE INVENTION

**[0050]** Referring to FIGS. 1 and 2, there is illustrated a syringe kit 90, which includes a syringe 1000, a selection of retractable needle assemblies 200, and a vial adapter 2000. The retractable needle assemblies 200 are contained within respective needle caps 201, which are then sealed with an appropriate cover 202 in order to maintain the sterile nature of the retractable needle assemblies 200. The cover 202 may be of any appropriate design, such as, for example, a removable film. Those of skill in the art will understand that a similar cap and cover arrangement or other structure may be provided to ensure the sterility of the vial adapter 2000.

**[0051]** Also illustrated in FIG. 1 is a vial 3000. Should the syringe 1000 contain a medicament that requires reconstitution or mixing with another component contained in such a vial 3000, the vial adapter 2000 may be utilized with the syringe 1000 and the vial 3000, as will be explained in greater detail below. Following reconstitution or mixing, the vial adapter 2000 and vial 3000 may be separated from the syringe 1000, and a retractable needle assembly 200 having a desired needle size may be attached to the syringe 1000 for administration to a patient.

**[0052]** An embodiment of syringe 1000 according to teachings of the invention is illustrated in FIGS. 2-4. The syringe 1000 includes a barrel 100 with a mounting member 110 to which a retractable needle assembly 200 may be coupled, a needle seal 300 and a plunger assembly 400, which is slidably, axially moveable within barrel 100. For the purposes of this disclosure, the term “distal” will refer to the direction or end of the syringe 1000 and the components of the syringe toward the retractable needle assembly 200. Conversely, for the purposes of this disclosure, the term “proximal” will refer to the direction or end of the syringe 1000 and the components of the syringe toward the end of the barrel 100 which receives the plunger assembly 400.

**[0053]** Referring to FIG. 4, the barrel 100 is an elongated tubular structure having an internal wall 102. A collar 105 is disposed at the proximal end 104 of barrel 100. The collar 105 includes a releasing member 106, the significance of which will be explained below. The mounting member 110 is disposed at the distal end 101 of the barrel 100. The mounting member 110 is typically glued or otherwise firmly affixed to the barrel 100.

**[0054]** The needle seal 300 is disposed within at least one of the mounting member 110 and the internal wall 102 of the barrel 100. As may be seen more clearly in the enlarged views of FIGS. 9A-10B, the needle seal 300 includes a body 310 having a retaining rim 311, a needle aperture 320 and a peripheral sealing rim 330 that sealingly bears against the internal wall 102 of barrel 100. The retaining rim 311 engages a seat 113 along a recessed element 114 of the mounting member 110. The needle seal 300 may additionally be provided with a peripheral engagement surface 314 that engages a proximal surface 115 of the recessed element 114 of the mounting member 110. The needle seal 300 additionally includes an inner sealing rib 312, which projects into the needle aperture 320 of the needle seal 300 to seal against a peripheral sealing surface 215 of needle body 212 in assembly.

**[0055]** The internal wall 102 along with the needle seal 300 defines a fluid chamber 103 within the barrel 100. As shown in FIGS. 2 and 4, a mounting member cap or plug 500 that engages and closes the mounting member 110 may be provided in order to seal and maintain the sterility of the fluid chamber 103 within the barrel 100 when the plunger assembly 400 is disposed within the barrel 100 as described below. The mounting member cap or plug 500 is removably coupled to the mounting member 110, and includes sealing structure for maintenance of the contents and/or the sterility of the fluid chamber 103. In the embodiment illustrated in FIG. 4, the mounting member cap or plug 500 includes portion 501 that is disposed within the interior of the mounting member 110, one or more sealing rings 502 that

are disposed within the needle aperture 320 and seal against the needle seal 300, and a protruding portion 503 that may be grasped by a user to remove the mounting member cap or plug 500 from the mounting member 110. In use, the mounting member cap or plug 500 is removed in order to couple the removable needle assembly 200 to the mounting member 110, or to engage the vial adapter 2000, as explained in greater detail below.

**[0056]** Returning again to FIG. 3, the plunger assembly 400 includes a plunger rod 410, a plunger housing 420, a control rod 440 and a plunger seal 430 coupled to plunger rod 410. The plunger seal 430 may be of any appropriate material, and is slidably and sealingly disposed within the barrel 100 in an assembled syringe 1000. The plunger rod 410 and plunger seal 430 typically include complementary engaging structures. For example, in the illustrated embodiment, the plunger rod 410 includes a “male” seal connector 412 that screw-threadedly engages complementary “female” plunger connector 432 of a plunger seal 430. Those of skill will appreciate that this orientation may be reversed. Indeed, other types of connections (*i.e.*, other than screw-threaded) may be used. It is also contemplated that plunger seal 430 could be integrally formed with plunger rod 410.

**[0057]** The plunger housing 420 is generally cylindrical with a skirt 421 at its proximal end. The plunger housing 420 also includes an internal tab 425, here, at its proximal end and internal to the skirt 421.

**[0058]** The control rod 440 and the plunger rod 410 are at least partially disposed within the plunger housing 420. The control rod 440 includes a cantilevered arm 444 and a shaft 442, which supports an actuation button 443 operable by a user.

**[0059]** The control rod 440 is releasably coupled at its distal end to the plunger rod 410 by way of a releasable connection 441. In order to facilitate a retracting operation following administration of medication within the syringe 1000, the releasable connection 441 of plunger rod 410 and the control rod 440 disposes biasing element 450 in an energized position. In this embodiment, the biasing element 450 is spring. Accordingly, for the purposes of this disclosure, the terms “biasing element” and “spring” will both be utilized in conjunction with the reference numeral 450. Before retraction, a ridge 445 on the arm 444 of control rod 440 releasably engages the internal tab 425 inside the skirt 421 of the plunger housing 420 to retain the spring 450 in an initially compressed state. In this way, the spring 450 is compressed between an inner shoulder 423 of plunger housing 420 and annular ledge 411 of plunger control rod 440. In this context, “initially compressed” means that spring 450 is in a compressed (*i.e.*, energized) state before use of retractable syringe 1000.

**[0060]** Turning now to FIG. 5, the retractable needle assembly 200 is illustrated in exploded form. The retractable needle assembly 200 includes a retractable needle 210, a retainer 220 and a needle hub 230. The retractable needle 210 is received within the needle retainer 220 and the needle hub 230, the needle retainer 220 likewise being at least partially received within the needle hub 230.

**[0061]** Retractable needle 210 is illustrated in greater detail in FIGS. 6A and 6B, which illustrate cross-sections of the retractable needle 210 taken at 90° from one another. The retractable needle 210 includes a cannula 211 having a hollow interior through which fluid may be passed, and a needle body 212 that supports the cannula 211. The needle body 212 is typically a molded polymeric structure, sometimes referenced as a needle-over-mold, with the cannula 211 disposed within an internal channel 2111. The illustrated retractable needle 210 is generally of a type described in WO 2013/067588. In this embodiment, the needle body 212 is an elongated structure with the cannula 211 extending from its distal end 2121. An aperture or window 214 is spaced from a proximal end 2122 of the needle body 212, extending transversely through needle body 212 relative to a longitudinal axis of cannula 211. The window 214 provides fluid communication between the hollow interior 2111 of the cannula 211 and, when assembled to the barrel 100, the fluid chamber 103 of barrel 100 to permit administration of a medicament. While a proximal end of the cannula 211 is illustrated as extending slightly into the window 214, it will be appreciated that the proximal end of the cannula 211 could alternately be disposed within the internal channel 2123 of the needle body 212 spaced from the window 214, or disposed essentially flush with the window 214, so long as fluid communication is still established with the hollow interior 2111 of the cannula 211 by way of the window 214. Those of skill in the art will appreciate that the window 214 may improve access of fluid contents to the proximal end of the cannula 211, thereby minimizing wastage of fluid contents through failure to enter cannula 211. Further, by locating the proximal end of the cannula 211 in a position distal in syringe 1000 with reduced visibility to a user, the user is less inclined to persist with attempts to remove air bubbles which typically collect at a proximal end of the cannula 211, particularly when such action is unlikely to remove the air bubbles, and, rather, wastes the fluid contents of the syringe 1000.

**[0062]** The needle body 212 additionally includes various structures and surfaces that engage with other structures during assembly and operation. For example, one or more peripheral flanges 216 may be spaced from the distal end of the retractable needle 210,

presenting abutment surfaces 2161 and 2162 that engage with the retainer 220 and the needle hub 230, respectively. Similarly, a peripheral sealing surface 215 may be provided adjacent or spaced distally from the window 214 for sealing with the needle seal 300. The needle body 212 additionally presents an enlarged coupling member 213 at its proximal end. The coupling member 213 is sized and positioned such that it may be engaged by a complementary mating portion 431 of the plunger seal 430 following administration of a medicament from the syringe 1000. The mating of the complementary mating portion 431 of the plunger seal 430 with the coupling member 213 of the needle body 212 may be, for example, a snap-lock relationship to enable retraction of the retractable needle 210 with a retraction of the plunger rod 410, as will be described in more detail below.

**[0063]** Referring now to FIG. 7A, there is illustrated an enlarged cross-sectional view of the retainer 220. The retainer 220 generally includes a body 225 having a circumferential shoulder 228 and a neck 226 that presents a passageway 227 through which the retractable needle 210 may be received. In order to removably retain the retractable needle 210 in position within the retractable needle assembly 200, at least one retaining member 221 extends distally from the body 225. The retaining member 221 includes a prong 222, which is cantilevered from the body 225 and includes a distally disposed tip 223. The distally disposed tip 223, along with the circumferential shoulder 228, may be utilized in assembly of the retainer 220 and retractable needle 210 to the needle hub 230. The retaining member 221 additionally presents a lateral projection 224 that is disposed toward the passageway 227 through the retainer 220. In assembly, the lateral projection 224 is disposed subjacent the peripheral flange 216 of the needle body 212 when the retractable needle 210 is assembled into the retainer 220. The lower edge of the lateral projection 224 may be rounded or chamfered to facilitate an axial assembly of the retractable needle 210 through and within the retainer 220. The retaining member 221, including the lateral projections 224, is resilient, and flex to allow the passage of the peripheral flange 216 through the retaining member 221.

**[0064]** In the embodiment illustrated in FIG. 7A, a single retaining member 221 is provided. In an alternate embodiment illustrated in FIGS. 5 and 7B, however, a pair of retaining members 221A, 221B is provided. As with the embodiment of FIG. 7A, the retaining members 221A, 221B include prongs 222A, 222B, which are cantilevered from the body 225 and include respective distally disposed tips 223A, 223B. The retaining members 221A, 221B additionally present lateral projections 224A, 224B that are disposed toward the passageway 227 through the retainer 220. In assembly, the lateral projections 224A, 224B

are disposed subjacent the peripheral flange 216 of the needle body 212 when the retractable needle 210 is assembled into the retainer 220. One or more of the lower edges of the lateral projections 224A, 224B may be rounded or chamfered to facilitate an axial assembly of the retractable needle 210 through and within the retainer 220. The retaining members 221A, 221B, including the lateral projections 224A, 224B are resilient, and flex to allow the passage of the peripheral flange 216 through the retaining member 221A, 221B.

**[0065]** Those of skill in the art will appreciate that the number, location, and dimensions of the retaining members 221, 221A, 221B are ideally selected based on the forces needed, or needed to be overcome, for retention and retraction, and may also be influenced by, for example, needle length and/or gauge. It will be noted that the same references numbers are utilized with respect to the embodiments of FIGS. 7A and 7B, with the exception of "A" and "B" suffixes where a pair of retaining members is provided. Accordingly, while the references numbers used throughout this disclosure may not include these suffixes, it is to be understood that the reference applies equally to retainers 220 that include one, two, or more retaining members 221.

**[0066]** The needle hub 230 is illustrated in FIGS. 8A and 8B, which illustrate cross-sections of the needle hub 230 taken at 90° from one another. The needle hub 230 includes a body 234 from which a skirt 232 depends, the skirt 232 ending in a base 233. An internal channel 235 extending through the body 234 receives the retractable needle 210 in assembly of the retractable needle assembly 200. A peripheral flange 236 extends from at least one of the body 234 or skirt 232 of the needle hub 230, and the body 234 may include a recess 237. The recess 237 may be annularly continuous or discontinuous.

**[0067]** Referring again to FIGS. 5 and 9B, in assembly of the retractable needle assembly 200, the retractable needle 210 is inserted into the retainer 220, the retaining member 221 of the retainer 220 flexing to allow the peripheral flange 216 of the retractable needle 210 to pass the lateral projection 224. It will be appreciated that the retaining member 221 is sufficiently resilient to return to substantially their original position with the later projection 224 now subjacent the peripheral flange 216 of the retractable needle 210. The subassembly of the retractable needle 210 and retainer 220 is inserted into the needle hub 230 with a portion of the needle body 212 toward its distal end 2121 being disposed within the internal channel 235 of the needle hub 230, as shown, for example, in FIG. 9B. The retainer 220 is partly housed inside of the needle hub 230. In the assembled state, the distally disposed tip 223 of the retaining member 221 is disposed substantially subjacent the body 234 of the

needle hub 230 within a recess 237; the circumferential shoulder 228 of the retainer 220 is disposed subjacent a proximal surface of the skirt 232 of the needle hub 230. When further assembled into the syringe 1000, as illustrated in FIGS. 10A and 10B, the neck 226 of the retainer 220 is housed within the needle seal 300 and the circumferential shoulder 228 of retainer 220 sits between base 233 of needle hub 230 and retaining rim 311 of needle seal 300. It will be appreciated that FIGS. 10A and 10B illustrate cross-sections taken at 90° from one another of the distal end of the syringe 1000 with the attached with retractable needle assembly 200.

**[0068]** The needle hub 230 may be coupled to the mounting member 110 to removably couple the retractable needle assembly 200 to the barrel 100. In the illustrated embodiment, the needle hub 230 further includes coupling structure 231 that is complementary to coupling structure 112 of the mounting member 110. In the illustrated embodiment, the coupling structure 231 of the needle hub 230 is a screw threaded “male” portion that engages a complementary coupling structure 112 in the form of a “female” screw thread on mounting member 110, although the needle hub 230 and the mounting member 110 may be coupled together by any appropriate coupling structure. For example, the retractable needle assembly 200 may be screw-threadedly mounted to barrel wherein the coupling structure 231 of the needle hub 230 may be a “female” screw thread which engages a complementary coupling structure 112 in the form of a “male” screw thread on mounting member 110. Alternately, the coupling structure 231 may include a peripheral rib that engages a coupling structure 112 in the form of a peripheral recess in the mounting member 110 to provide a snap fit.

**[0069]** By way of further example, a so-called luer coupling may be provided, as illustrate, for example, in FIG. 13. In this embodiment, luer mount 750 comprises screw thread 710 and conical or tapered male fitment 720, and needle channel 730. Luer adapter 700 is screw-threadedly mounted to a luer mount 750 fitted to a barrel 100, with engagement between the male fitment 720 of the luer mount 750 and a corresponding female fitment 740 of the luer adapter 700, and associated engagement between the corresponding screw-threads 710. The terms “male fitment” and “female fitment” may be used interchangeably to describe corresponding parts and, accordingly, the male or female aspects may be interchanged between the respective components. This configuration may be similar to that known as a luer connection or, more specifically, a luer lock connection.

**[0070]** In assembly of the retractable needle assembly 200 with the syringe 1000, as illustrated in FIGS. 10A and 10B, the enlarged coupling member 213 of the retractable needle

210 is inserted through the needle aperture 320 of the needle seal 300 so that the window 214 of the retractable needle 210 is disposed within the interior of the barrel 100, opening a passageway from the interior of the barrel to the internal channel 2111 of the cannula 211. Further, the peripheral sealing surface 215 of the retractable needle 210 is disposed and seals against the inner sealing rib 312 of the needle aperture 320 of the needle seal 300 to seal the distal end of the fluid chamber 103.

**[0071]** As explained above, when the retractable needle assembly 200 is assembled with the syringe 1000, the retaining member 221 is oriented to project distally with tip 223 being received by recess 237 in needle hub 230. The lateral projection 224 bears against the abutment surface 2161 of abutment 216 in needle body 212 to prevent inadvertent or undesired proximal movement of retractable needle 210. Abutment 216 also bears against needle hub 230, which prevents inadvertent or undesired distal movement of the retractable needle 210. This retaining function can withstand significant force, but nevertheless allow spring-driven retraction of retractable needle 210, as will be described in more detail below.

**[0072]** Returning now to FIG. 9A, in order to maintain a medicament within the barrel 100, a plug 500 may be sealingly disposed in a distal end 101 of the barrel 100, that is, within the distal end of the mounting member 110 prior to attachment of the retractable needle assembly 200. It will be noted that this embodiment of the plug 500 differs somewhat from the embodiment illustrated in FIG. 4. Those of skill in the art will note that the cap or plug 500 of this embodiment includes a nipple 520 that engages and seals the needle aperture 320 of the needle seal 300, retaining the contents and/or interior of the barrel 100 in a sterile environment. As with the coupling of the retractable needle assembly 200, the plug 500 may be removably coupled to the mounting member 110 by any appropriate coupling structure. It will be appreciated, however, that the coupling structure will preferably be the same as or similar to the cooperating structures 231, 112 between the needle hub 230 and the mounting member 110. For example, in the illustrated embodiment, the plug 500 includes a peripheral thread 510 that engages the recessed thread of the mounting member coupling structure 112. As described above with regard to the needle hub 230 and the mounting member 110, an alternate coupling structure, such as a peripheral ridge that engages an internal recess (not shown) may be provided. At the time of use, the cap or plug 500 is decoupled as indicated by the arrow and removed by the user.

**[0073]** According to an aspect of this disclosure, in some uses of the syringe 1000, the barrel 100 may be provided prefilled with fluid contents or with a dehydrated, dried,

desiccated, powdered or other reconstitutable solid substance. In embodiments where barrel 100 is prefilled with fluid contents, the cap or plug 500 is removed, as illustrated by the arrow in FIG. 9A, and the retractable needle assembly 200 is then mounted by way of coupling structure 231 of the needle hub 230 engaging complementary coupling structure 112 of the mounting member 110, as illustrated in FIGS. 9B and FIGS. 10A and 10B. The air may be cleared, and the fluid contents may be delivered through the cannula 211 once the retractable needle assembly 200 has been coupled to the barrel 100.

**[0074]** In embodiments where barrel 100 of syringe 1000 is provided with a dehydrated, dried, desiccated, powdered or other reconstitutable solid substance, however, the solid substance must be reconstituted by adding fluid to the solid substance. In order to facilitate this reconstitution, the vial adapter 2000 may be utilized in conjunction with the syringe 1000 and a vial 3000 containing the fluid for reconstitution. For the purposes of this explanation, the vial 3000 includes a closure 3100 having a crimped metal cap 3300 that retains a rubber seal 3200 that seals the vial 3000.

**[0075]** An exemplary vial adapter 2000 is illustrated in FIG. 14 in conjunction with the syringe 1000 and a vial 3000. In this embodiment, vial adapter 2000 comprises an adapter housing 2100 having a vial-engaging base 2110, and a syringe-engaging connector 2120.

**[0076]** The vial-engaging base 2110 includes a plurality of flexion arms 2140A, 2140B disposed and adapted to engage the crimped metal cap 3300 of the vial 3000. The flexion arms 2140A, 2140B may be adapted to snap engage any of a variety of different vial closures. The vial-engaging base 2110 is further provided with an adapter cannula 2300. The adapter cannula 2300 projects from the vial-engaging base 2110 of the housing 2100 to provide a sharpened distal tip 2310 adapted to penetrate the rubber seal 3200 of the vial 3000. In order to couple the adapter 2000 to the vial 3000, the vial-engaging base 2110 of the adapter 2000 may be moved into position adjacent the vial 3000. The distal tip 2310 of the adapter cannula 2300 may then be advanced to penetrate the rubber seal 3200 of the vial 3000, the flexion arms 2140A, 2140B surrounding and engaging the vial closure 3100. In order to further protect a user from inadvertent needle-stick injury by distal tip 2310 of adapter cannula 2300, the flexion arms 2140A, 2140B may include a shroud 2200, which extends to substantially surround adapter cannula 2300.

**[0077]** The syringe-engaging connector 2120 includes at least one abutment surface 2130, 2135 adapted to abut one or more surfaces of the mounting member 110 of the syringe 1000. The illustrated embodiment includes a channel structure 2130 that is adapted to be

disposed within the mounting member 110, and an abutment flange 2135 adapted to be disposed adjacent a distal surface of the mounting member 110.

**[0078]** The syringe-engaging connector 2120 of the vial adapter 2000 further includes a proximally disposed connector 2400 including conduit tip 2500 that is in fluid communication with adapter cannula 2300 by way of aligned bores 2320 and 2510. After removal of cap or plug 500 from the mounting member 110, the conduit tip 2500 is inserted through into the needle aperture 320 of the needle seal 300 to establish fluid communication between the fluid chamber 103 of the retractable syringe 1000 and vial 3000. In order to provide secure coupling, the abutment surfaces 2130, 2135 are moved into abutment with the mounting member 110. With the vial adapter 2000 in position with the syringe 1000, the inner sealing rib 312 of the needle seal 300 seals against the conduit tip 2500 to provide a sterile fluid connection between the fluid chamber 103 of the syringe 1000 and the vial 3000 by way of the aligned bores 2320, 2510 of the adapter cannula 2300 and the conduit tip 2500. The connector 2400 and conduit 2500 may be sized and disposed that advancing the plunger seal 430 to the connector 2400 and conduit 2500 will not result in the inadvertent actuation of the retraction mechanism, as described below.

**[0079]** It will be appreciated by those of skill in the art that the disclosed vial adapter 2000 may simplify and improve reliability associated with this reconstitution step. The vial adapter 2000 may be maintained in a sterile environment by any suitable arrangement, ensuring the sterility of reconstitution and medication administration. For example, the vial adapter 2000 may be provided with a container similar to the needle cap 201 and cover 202 discussed above.

**[0080]** Following reconstitution, the vial adapter 2000 may be removed from the vial 3000, and the retractable needle assembly 200 positioned on the syringe 1000 for administration of the medicament from the syringe 1000. Thus, the retractable needle assembly 200 may be coupled to the syringe 1000 directly after removal of the cap or plug 500 in the cases of a liquid medicament, or following reconstitution, as, for example, explained with respect to the utilization of the vial adapter 2000. At this point, a user may choose any of a variety of different size or gauge retractable needles, typically provided by way of a kit 90 which may also include the vial adapter 2000 discussed above.

**[0081]** As will be appreciated with further reference to the operation of the plunger below, once the retractable needle assembly 200 is assembled with the syringe 1000, the conduit tip 2500 may prevent inadvertent activation of plunger assembly 400 by preventing

depression of plunger assembly 400 to a point where arm 444 is engaged by releasing member 106. If this engagement inadvertently occurs, it would allow plunger rod 410 and plunger housing 420 prematurely disengage to release compressed spring 450.

**[0082]** In order to deliver medication from the syringe 1000, the plunger assembly 400 is adapted to be moved axially towards distal end 101 of barrel 100. The operation of this delivery method is described in greater detail in PCT Application WO2011/057335, which is incorporated herein by reference. At or near the end of plunger assembly 400 depression, complementary mating portion 431 of plunger seal 430 receives and snap-lock engages coupling member 213 of needle body 212. This effectively couples retractable needle 210 to plunger rod 410, as shown in FIG. 11 and FIG. 12. It will also be appreciated that while this embodiment describes male-female engagement between coupling member 213 and complementary mating portion 431, the reverse arrangement is also contemplated.

**[0083]** Following delivery of the medicament, the compressible nature of the plunger seal 430 and needle seal 300 permits continued axial movement of plunger assembly 400. This movement of the plunger housing 420 toward distal end 101 of barrel 100 continues until the release member 106 of the collar 105 bears against and laterally moves the arm 444 of the control rod 440 (in the direction of the arrow), so that the ridge 445 moves out of engagement with the internal tab 425 inside the skirt 421 of the plunger housing 420. This triggers the release of the control rod 440 from the plunger housing 420, allowing the initially compressed spring 450 to expand to deenergize and forcibly bear against annular ledge 411 of the control rod 440, retracting the control rod 440 and the connected plunger rod 410 from the position illustrated in FIG. 11 to the position illustrated in FIG. 12. Retraction of the plunger rod 410 occurs with sufficient force to dislodge the needle body 212 of the retractable needle assembly 200 from the retainer 220 to thereby retract the plunger rod 410, the retractable needle 210 and the control rod 440 releasably connected to the plunger rod 410. The needle seal 300, the needle hub 230 and the retainer 220 remain stationary at the distal end 101 of the barrel 100.

**[0084]** It is noted that dislodgement of the retractable needle 210 from the retainer 220 is substantially axial and involves no twisting, rotation or other torsional force(s) to disengage the needle body 212 from the retainer 220. Furthermore, no “secondary” elements are required, such as an ejector that acts to disengage needle body 212 from retainer 220, thereby providing a more simplified retaining mechanism both in terms of ease and cost of manufacture and also in terms of reliability of operation.

**[0085]** The rate of retraction is controlled by a user relaxing pressure (such as by way of thumb pressure) against the actuation button 443 of the control rod 440. At the end of the plunger assembly 400 depression to complete injection of the fluid contents of the syringe 1000, the plunger housing 420 may be locked to the collar 105 at the proximal end 104 of the barrel 100, to thereby prevent withdrawal of the plunger housing 420 from the barrel 100, as illustrated in FIG. 12, and as described in WO2011/057335. This also facilitates ease of removal of the control rod 440 from the plunger rod 410, disconnecting the releasable connection 441. The releasable connection 441 may be similar to the frangible connection between the control rod and the plunger member, as likewise described in WO2011/057335, or the releasable connection 441 may be a releasable "ball in socket" type connection between the control rod 440 and the plunger rod 410. Once removed from the plunger rod 410, the control rod 440 may then be discarded as "clean" waste, leaving the syringe 1000 with the plunger housing 420 and the plunger rod 410 remaining inside the barrel 100 for a more compact medical waste disposal.

**[0086]** In light of the foregoing it will be appreciated that the present invention provides a relatively simple, robust and inexpensive syringe that is automatically disabled with little or no assistance from the user to thereby prevent, or at least minimize the likelihood of, re-use of the syringe or needle-stick injury to the user. More particularly, the retainer disclosed herein achieves the "balancing act" of providing sufficient retaining function to prevent inadvertent proximal and distal movement of the retractable needle while not preventing spring-driven retraction of the needle at the appropriate time.

**[0087]** Furthermore, the retractable needle assembly allows a user to select a needle of appropriate size of gauge or needle length and/or to replace a needle that becomes bent or burred. Another advantage of the retractable syringe described herein is that it can accommodate and fully encapsulate on retraction, needles of varying length up to 3 inches in length, more preferably up to 1.5 inches (~3.8 cm) in length, thereby providing great flexibility to the user.

**[0088]** Throughout the specification, the aim has been to describe the preferred embodiments of the invention without limiting the invention to any one embodiment or specific collection of features. Various changes and modifications may be made to the embodiments described and illustrated without departing from the present invention.

**[0089]** The disclosure of each patent and scientific document, computer program and algorithm referred to in this specification is incorporated by reference in its entirety.

**[0090]** All references, including publications, patent applications, and patents, cited herein are hereby incorporated by reference to the same extent as if each reference were individually and specifically indicated to be incorporated by reference and were set forth in its entirety herein.

**[0091]** The use of the terms “a” and “an” and “the” and “at least one” and similar referents in the context of describing the invention (especially in the context of the following claims) are to be construed to cover both the singular and the plural, unless otherwise indicated herein or clearly contradicted by context. The use of the term “at least one” followed by a list of one or more items (for example, “at least one of A and B”) is to be construed to mean one item selected from the listed items (A or B) or any combination of two or more of the listed items (A and B), unless otherwise indicated herein or clearly contradicted by context. The terms “comprising,” “having,” “including,” and “containing” are to be construed as open-ended terms (i.e., meaning “including, but not limited to,”) unless otherwise noted. Recitation of ranges of values herein are merely intended to serve as a shorthand method of referring individually to each separate value falling within the range, unless otherwise indicated herein, and each separate value is incorporated into the specification as if it were individually recited herein. All methods described herein can be performed in any suitable order unless otherwise indicated herein or otherwise clearly contradicted by context. The use of any and all examples, or exemplary language (e.g., “such as”) provided herein, is intended merely to better illuminate the invention and does not pose a limitation on the scope of the invention unless otherwise claimed. No language in the specification should be construed as indicating any non-claimed element as essential to the practice of the invention.

**[0092]** Preferred embodiments of this invention are described herein, including the best mode known to the inventors for carrying out the invention. Variations of those preferred embodiments may become apparent to those of ordinary skill in the art upon reading the foregoing description. The inventors expect skilled artisans to employ such variations as appropriate, and the inventors intend for the invention to be practiced otherwise than as specifically described herein. Accordingly, this invention includes all modifications and equivalents of the subject matter recited in the claims appended hereto as permitted by applicable law. Moreover, any combination of the above-described elements in all possible variations thereof is encompassed by the invention unless otherwise indicated herein or otherwise clearly contradicted by context.

## CLAIM(S):

1. A retainer 220 for a needle assembly 200 of a retractable syringe 1000 that includes a plunger assembly 400 and a barrel 100 having a mounting member 110, said needle assembly 200 including a retractable needle 210 that is engageable by said plunger assembly 400 to facilitate retraction thereof, a needle hub 230 that is mountable to the mounting member 110 of the barrel 100, and said retainer 220, said retainer 220 comprising: a body 225 and at least one retaining member 221 that extends distally from the body 225, the at least one retaining member 221 including an inwardly projecting lateral projection 224 to engage the retractable needle 210 and thereby prevent inadvertent proximal movement of said retractable needle 210 prior to retraction.
2. The retainer 220 of claim 1 wherein the body 225 further includes an outwardly projecting circumferential shoulder 228, the at least one retaining member 221 extending from the circumferential shoulder 228.
3. The retainer 220 of claim 1 further including a central passageway 227 adapted to receive the retractable needle 210.
4. The retainer 220 of claim 1 wherein the at least one retaining member 221 includes a prong 222 from which the lateral projection 224 projects.
5. The retainer 220 of claim 4 wherein at least one of the prongs 222 or the lateral projection 224 is resilient.
6. The retainer 220 of claim 1 including at least two retaining members 221A, 221B.
7. The retainer 220 of claim 1 wherein each retaining member 221A, 221B includes at least one prong having at least one tip 223A, 223B and at least one lateral projection 224A, 224B.
8. The retainer 220 of claim 7, wherein the at least one tip and/or at least one lateral projection are adapted to engage with at least one of the needle hub and the retractable needle.

9. A retractable needle assembly 200 for a retractable syringe 1000 that includes a plunger assembly 400 and a barrel 100 having a mounting member 110, said retractable needle assembly 200 comprising: a retractable needle 210 engageable by said plunger assembly 400; a needle hub 230 that is mountable to the mounting member 110 of the barrel 100; and a retainer 220 according to any of claims 1-8, the at least one retaining member 221 engaging the retractable needle 210 and thereby preventing inadvertent proximal movement of said retractable needle 210.

10. The retractable needle assembly 200 of claim 9 wherein the needle hub 230 includes a luer mount.

11. The retractable needle assembly 200 of claim 10 wherein the luer mount comprises a conical or tapered luer.

12. The retractable needle assembly 200 of claim 9 wherein the body 225 of the retainer 220 includes an outwardly projecting circumferential shoulder 228, and the needle hub 230 includes a proximally projecting skirt 232, the outwardly projecting circumferential shoulder 228 being disposed subjacent the proximally projecting skirt.

13. The retractable needle assembly 200 of claim 9 wherein the retractable needle 210 is at least partially disposed within the retainer 220 and the needle hub 230, and the retainer 220 is at least partially disposed within the needle hub 230.

14. The retractable needle assembly 200 of claim 13 wherein the needle hub 230 includes a proximally projecting skirt 232 having a peripheral surface that is threaded.

15. The retractable needle assembly 200 of claim 9 wherein a proximal end of the retractable needle 210 includes a coupling member 213 complimentary to a plunger seal 430 of the plunger assembly 400.

16. The retractable needle assembly 200 of claim 9 wherein each retaining member 221A, 221B includes at least one prong having at least one tip 223A, 223B and at least one lateral projection 224A, 224B, and the needle hub 230 includes at least one recess 237, the at least one tip 223A, 223B being disposed within the at least one recess 237.

17. A retractable syringe comprising a retractable needle assembly 200 according to claim 9, a plunger assembly 400, and a barrel 100 having a mounting member 110 at a distal end 101, the plunger assembly 400 being disposed at least partially disposed within the barrel 100 and being actuatable from a proximal end 104 of the barrel 100, the retractable needle assembly 200 being coupled to the mounting member 110.

18. The retractable syringe of claim 17 wherein the needle hub 230 and the mounting member 110 include complementary mounting structures.

19. The retractable syringe of claim 17 wherein the body 225 of the retainer 220 includes an outwardly projecting circumferential shoulder 228, and the needle hub 230 includes a proximally projecting skirt 232, the outwardly projecting circumferential shoulder 228 being disposed between the proximally projecting skirt 232 and a distal end of the mounting member 110.

20. The retractable syringe of claim 17 wherein the retractable needle 210 is at least partially disposed within the retainer 220 and the needle hub 230, and the retainer 220 is at least partially disposed within the needle hub 230.

21. The retractable syringe of claim 17 wherein the plunger assembly 400 includes a plunger seal 430, an actuating button 443 actuatable from a proximal end 104 of the barrel 100, and a energized biasing element 450, the plunger seal 430 and a proximal end of the retractable needle 210 including complimentary coupling structures whereby the retractable needle 210 is engaged by the plunger seal 430 when the actuating button 443 is depressed, and the retractable needle 210 is retracted when the biasing element 450 is deenergized.

22. The retractable syringe of claim 17 wherein each retaining member 221A, 221B includes at least one prong having at least one tip 223A, 223B and at least one lateral projection 224A, 224B, and the needle hub 230 includes at least one recess 237, the at least one tip 223A, 223B being disposed within the at least one recess 237.

23. A retractable syringe kit 90 comprising a barrel 100, a plunger assembly 400 and a plurality of retractable needle assemblies 200 of any of claims 9-16.

24. The retractable syringe kit 90 of claim 23, which further including a vial adapter 2000.

25. The retractable syringe kit 90 of claim 24, wherein the vial adapter 2000 includes a housing 2100 having a base 2110, an adapter cannula 2300 that extends or projects from the base 2110 and a connector 2120 that is capable of being in fluid communication with fluid contents of a vial 3000 and the barrel 100 of said retractable syringe, the adapter housing 2100 further includes a shroud 2200 to protect a user from inadvertent needle-stick injury by said adapter cannula 2300, said shroud 2200 including one or more arms 2140A, 2140B that engage a vial closure 3100.

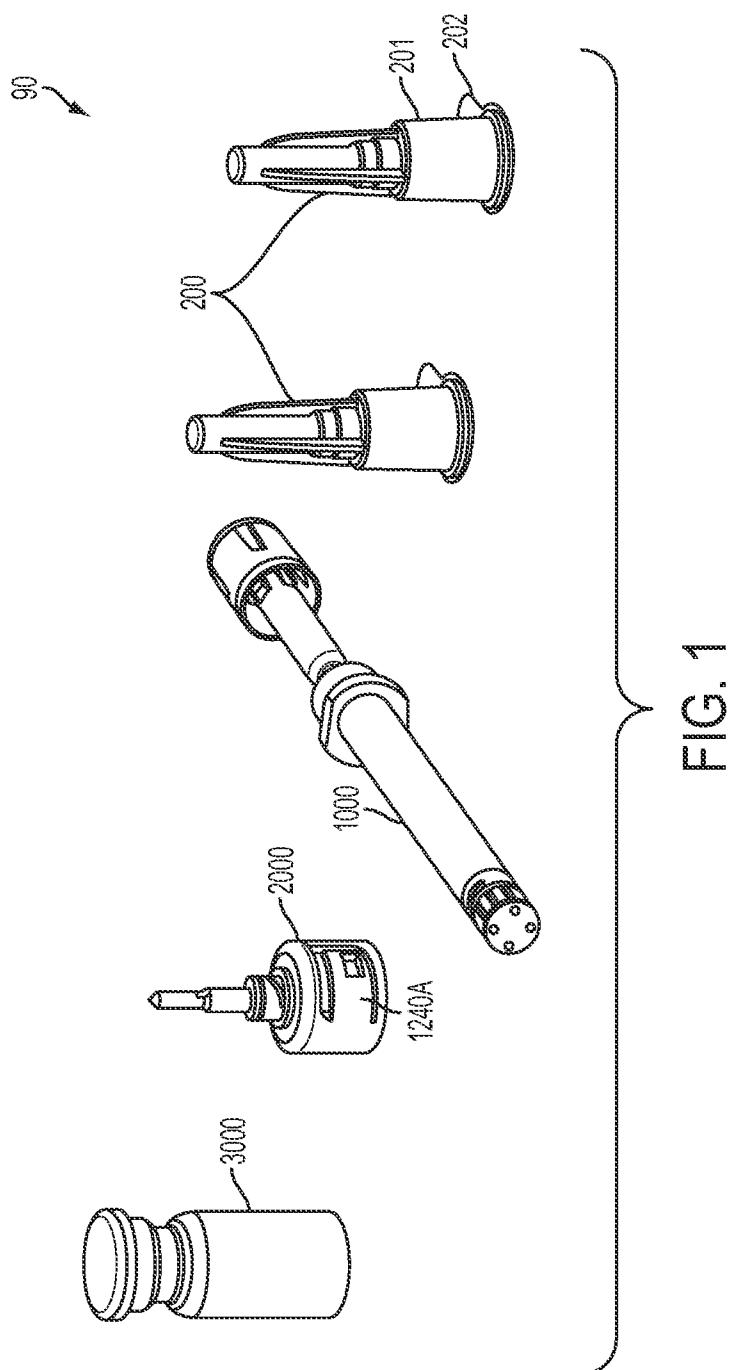
26. The retractable syringe kit 90 of claim 25 wherein the shroud further includes one or more flexion arms that allow the vial adapter to engage any of a variety of different-sized vial closures.

27. The kit 90 of claim 23, wherein the vial adapter 2000 further includes a conduit tip 2500 mountable or mounted to the connector 2120 in fluid communication with the adapter cannula 2300 which conduit tip 2500 prevents inadvertent activation of a syringe retraction mechanism during fluid transfer.

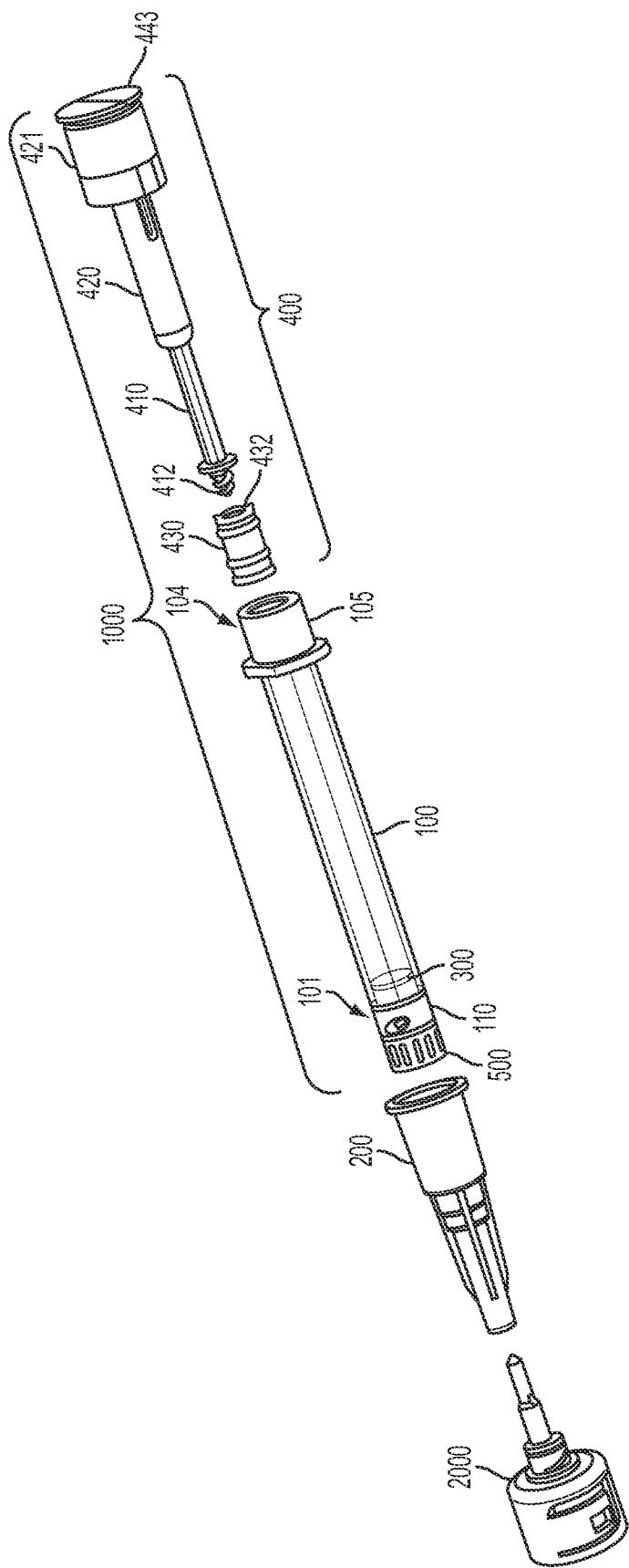
28. A method of operating a retractable syringe including the step of removably mounting a retractable needle assembly 200 according to any of claims 9-16 to a barrel 100 of a retractable syringe.

29. The method of claim 28 further including coupling a vial adapter 2000 to a mounting member 110 of the retractable syringe and a vial 3000, reconstituting contents of the retractable syringe, decoupling the vial adapter 2000 from the mounting member 110, and then mounting the retractable needle assembly 200 to the retractable syringe.

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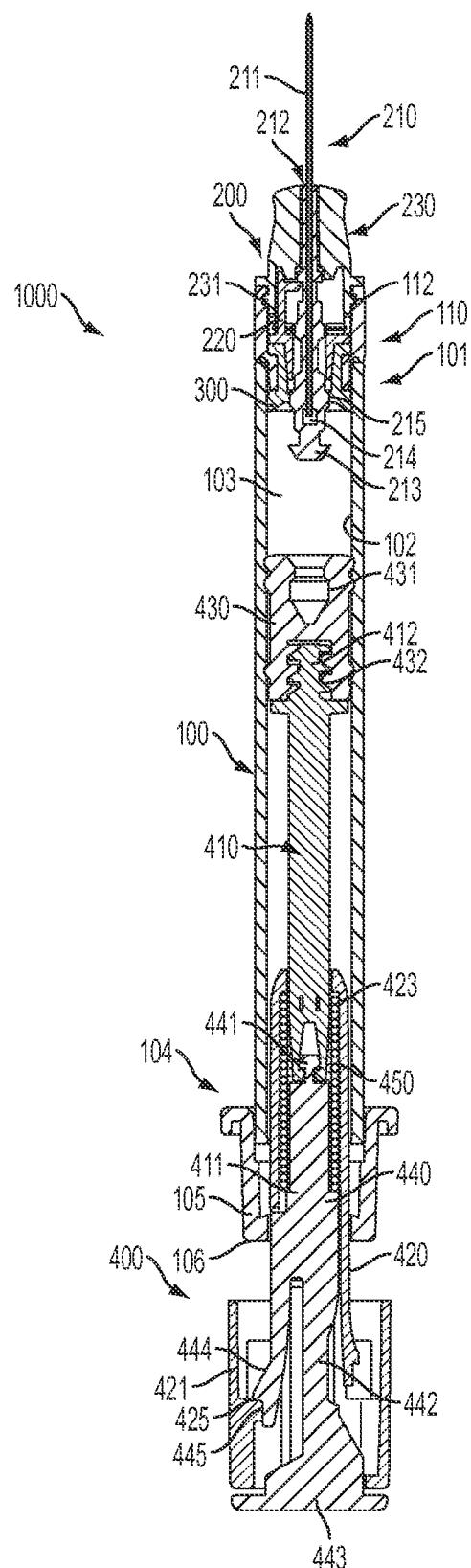
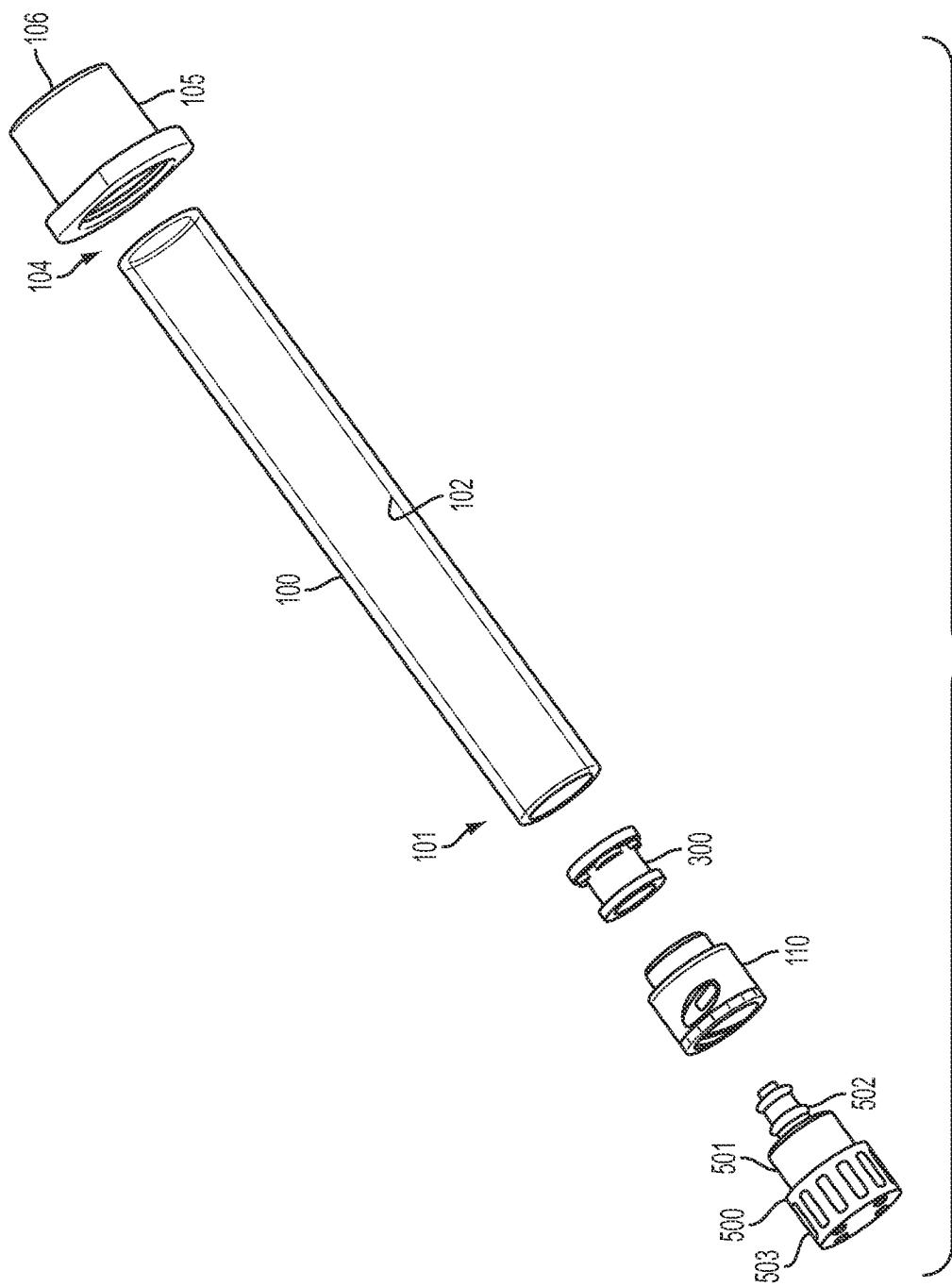


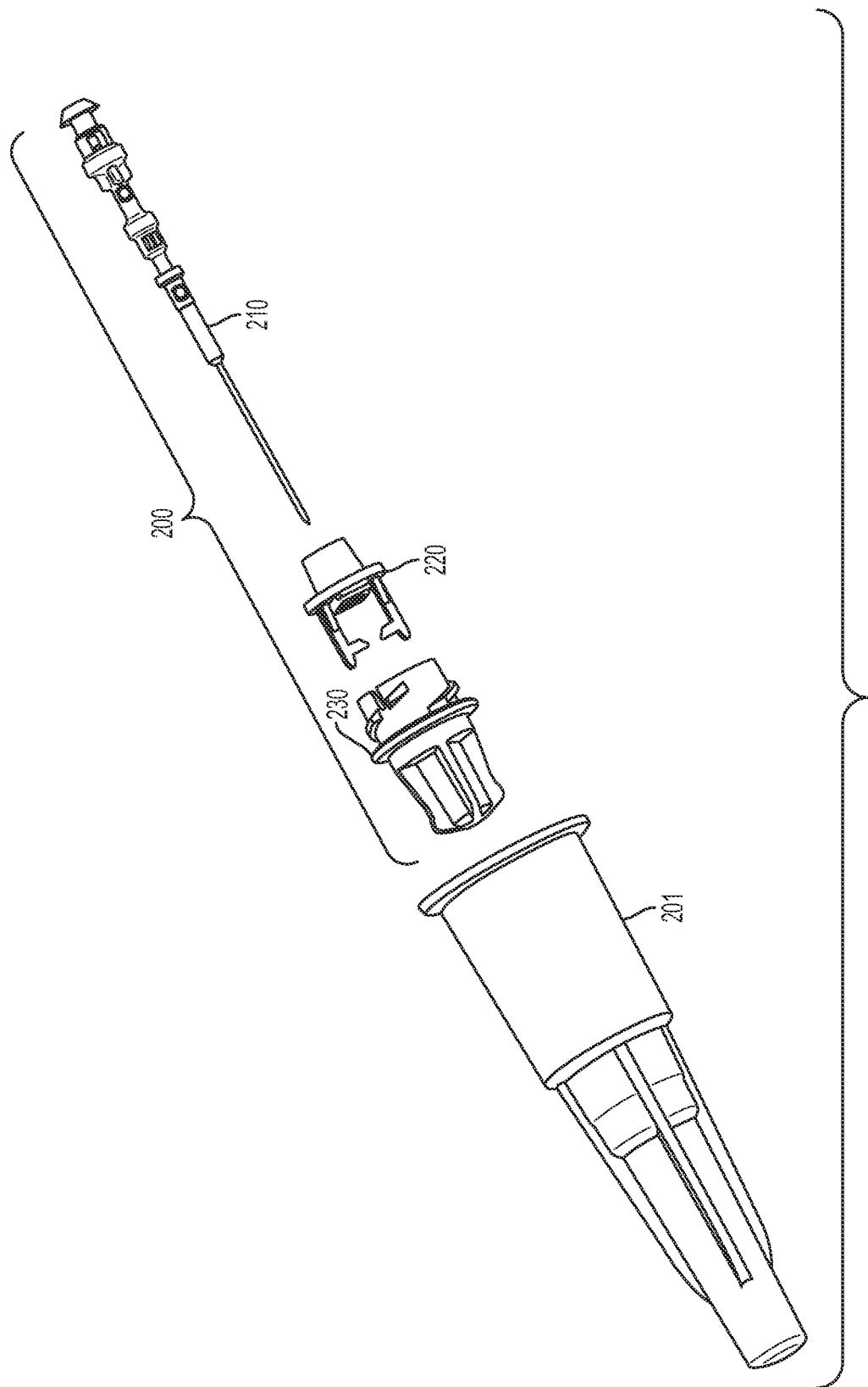
FIG. 3

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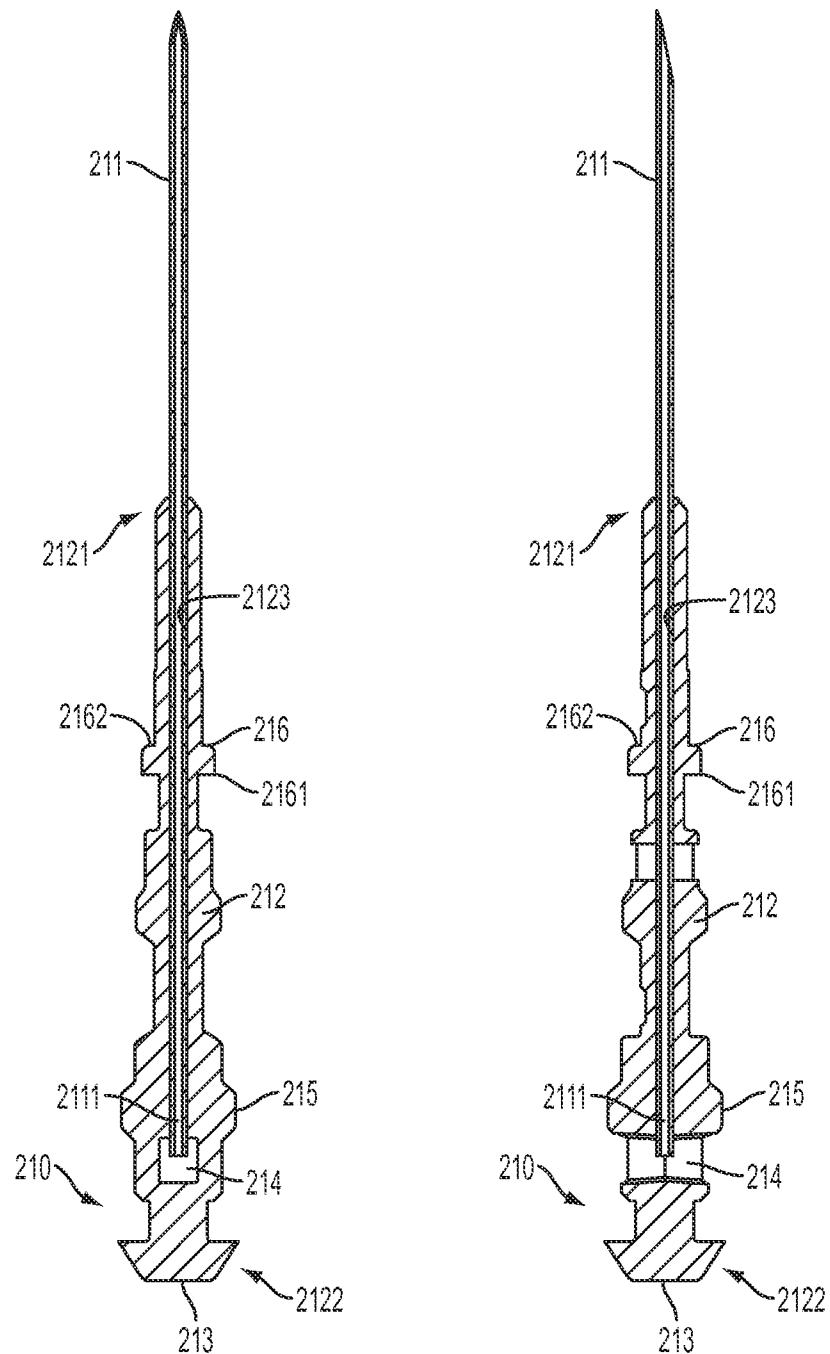


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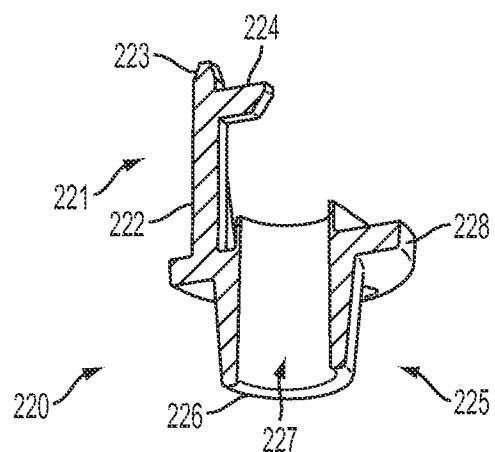


FIG. 7A

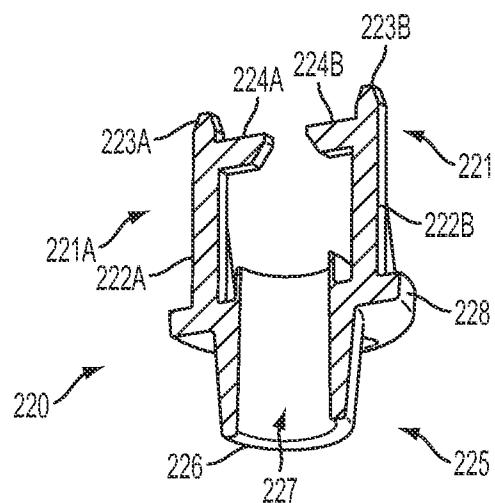


FIG. 7B

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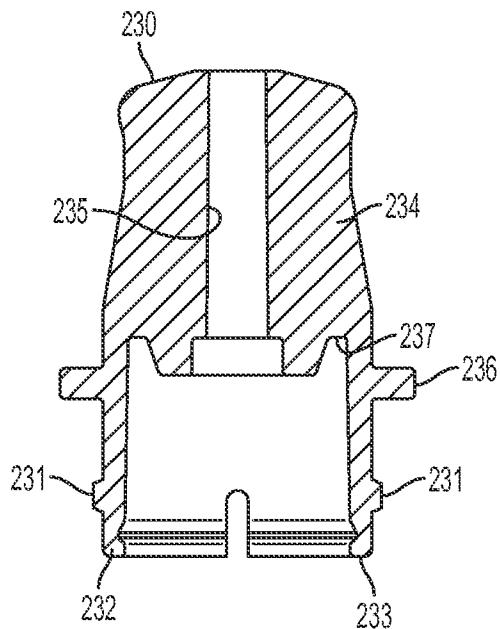


FIG. 8A

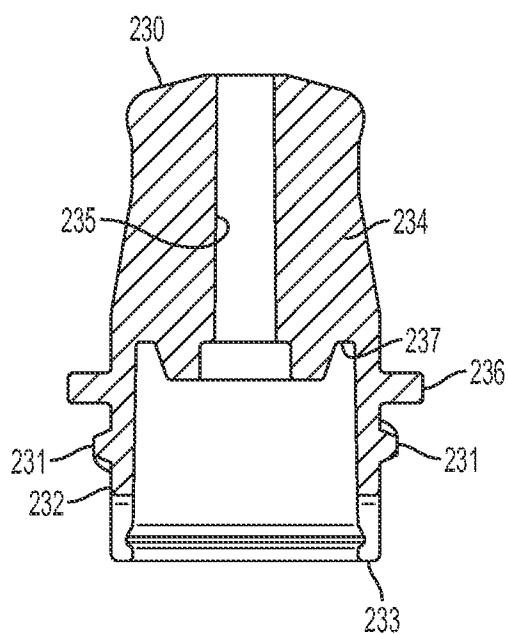
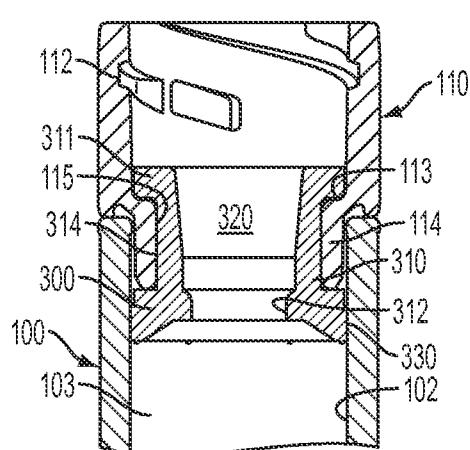
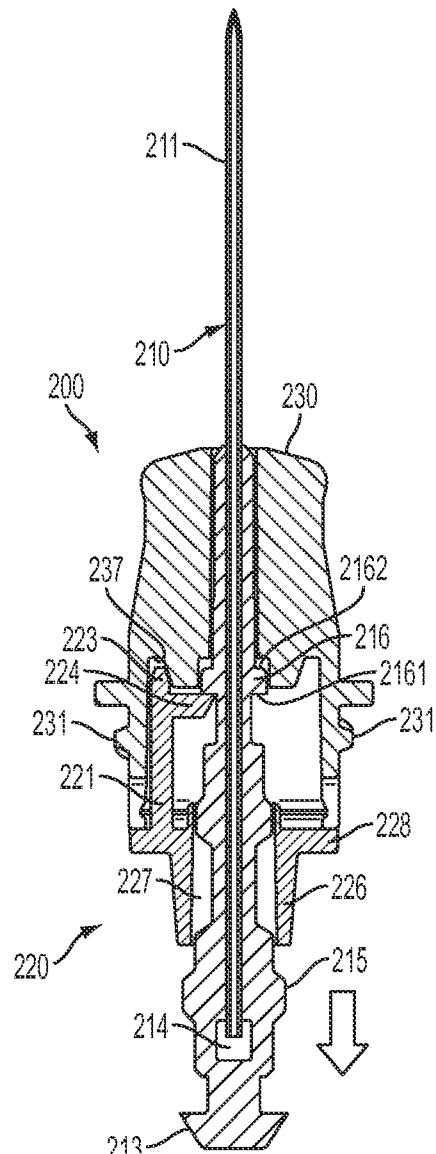
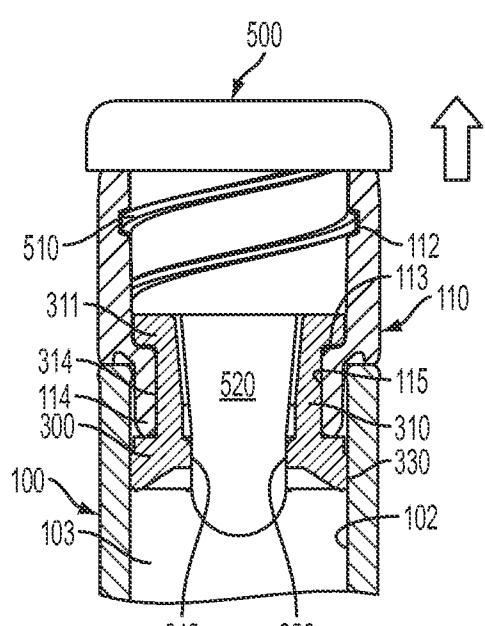


FIG. 8B

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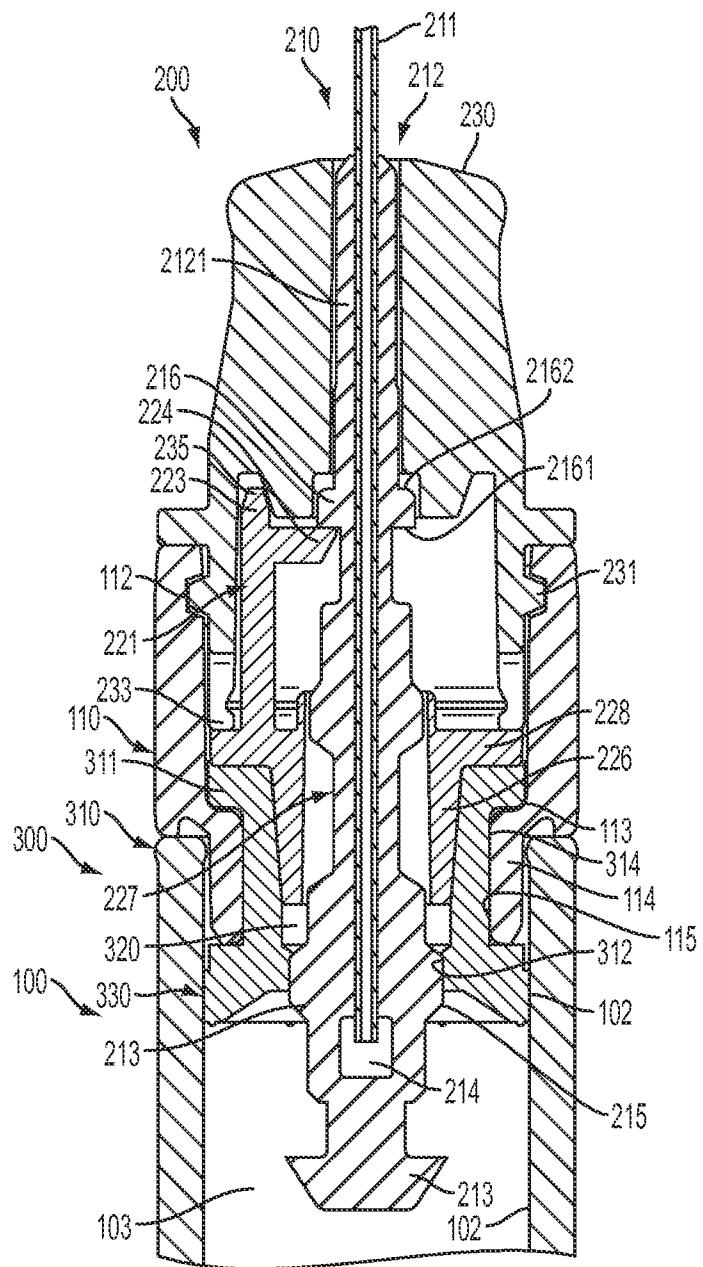


FIG. 10A

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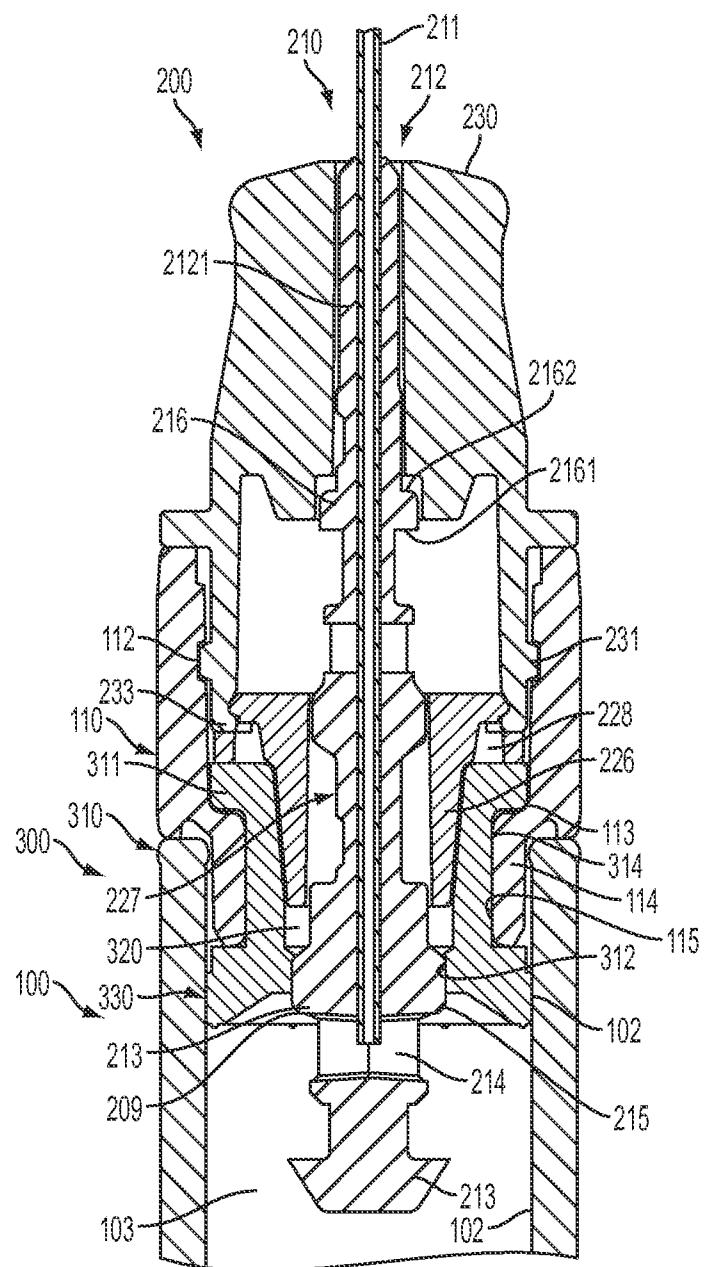


FIG. 10B

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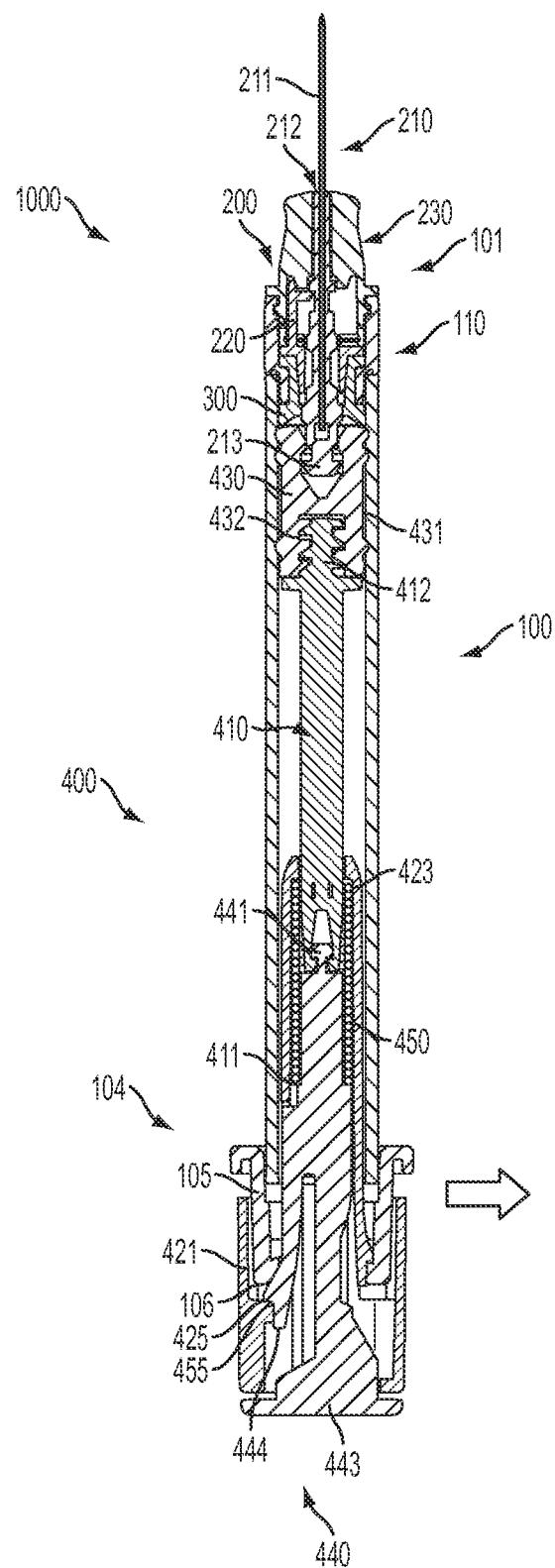


FIG. 11

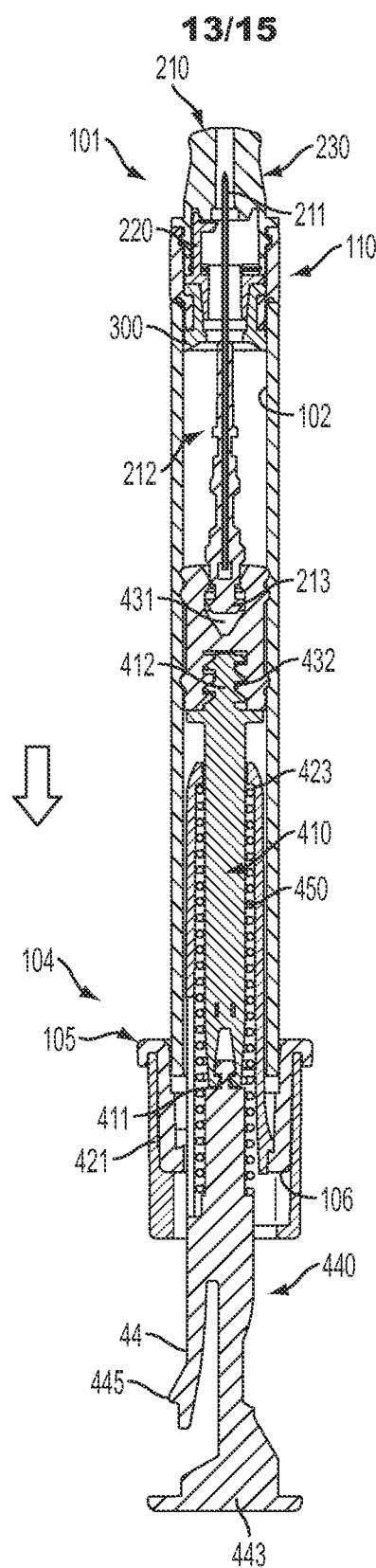


FIG. 12

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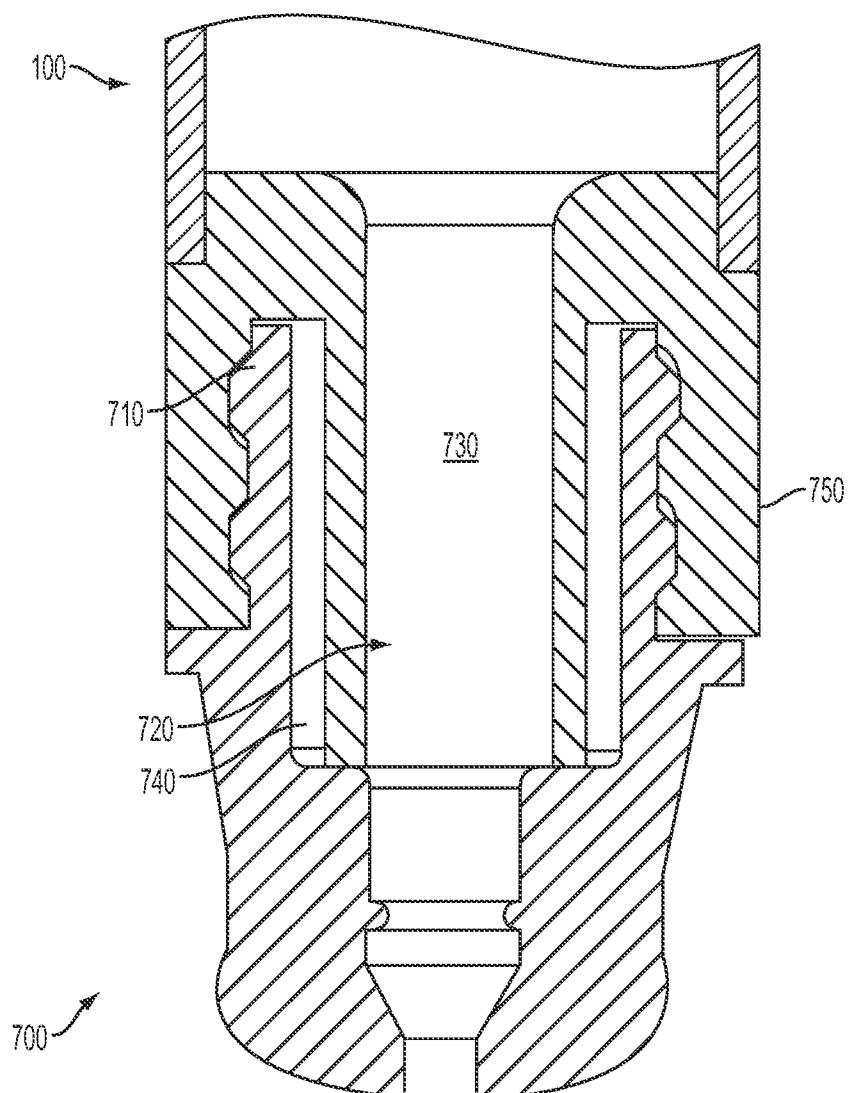


FIG. 13

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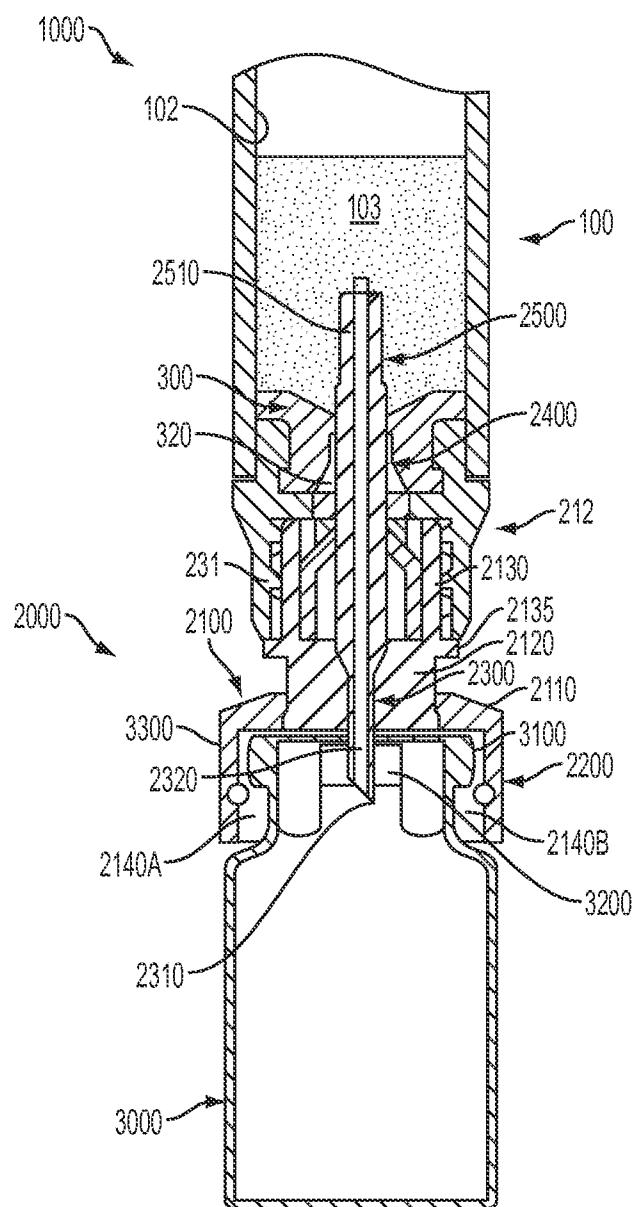


FIG. 14

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(10) International Publication Number

WO 2015/021236 A3

(43) International Publication Date  
12 February 2015 (12.02.2015)

(51) International Patent Classification:  
*A61M 5/32 (2006.01)*

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(21) International Application Number:

PCT/US2014/050066

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(22) International Filing Date:

7 August 2014 (07.08.2014)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/863,113 7 August 2013 (07.08.2013) US

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(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

(72) Inventor; and

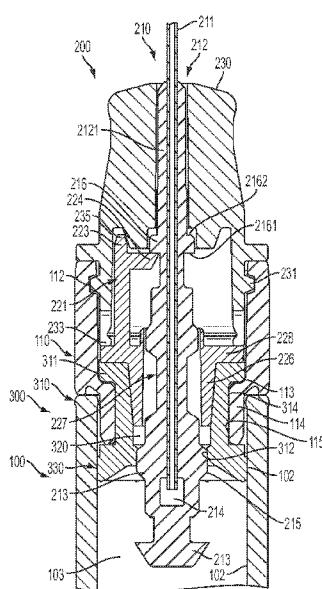
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[Continued on next page]

(54) Title: RETAINER FOR RETRACTABLE NEEDLE ASSEMBLIES AND SYRINGES

(57) Abstract: A retainer 220 for a needle assembly 200 of a retractable syringe 1000 that includes a plunger assembly 400 and a barrel 100 having a mounting member 110. The needle assembly 200 includes a retractable needle 210, a needle hub 230, and a retainer 220. The retractable needle 210 is engageable by the plunger assembly 400 to facilitate retraction thereof. The needle hub 230 is mountable to the mounting member 110 of the barrel 100. The retainer 220 includes a body 225 and at least one retaining member 221 that extends distally from the body 225. The retaining member 221 includes an inwardly projecting lateral projection 224 to engage the retractable needle 210 and thereby prevent inadvertent proximal movement of said retractable needle 210 prior to retraction. A retractable needle assembly 200 includes the retainer 220, and a retractable syringe includes the retractable needle assembly 200 including the retainer 220. A syringe kit 90 includes a retractable syringe, a plurality of retractable needle assemblies 200, and a vial adapter 2000.





**Published:**

- *with international search report (Art. 21(3))*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))*

**(88) Date of publication of the international search report:**

14 May 2015

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2014/050066

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. A61M5/32  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2011/057335 A1 (UNITRACT SYRINGE PTY LTD [AU]; THORLEY CRAIG STEPHEN [AU]; KAAL JOSEPH) 19 May 2011 (2011-05-19) page 5, line 14 - page 9, line 16; figures 1-7 ----- X WO 2011/057334 A1 (UNITRACT SYRINGE PTY LTD [AU]; THORLEY CRAIG STEPHEN [AU]; KAAL JOSEPH) 19 May 2011 (2011-05-19) Y page 4, line 30 - page 6, line 30; figures 1-10 ----- A WO 2011/075760 A1 (UNITRACT SYRINGE PTY LTD [AU]; KAAL JOSEPH HERMES [AU]; SOKOLOV RICHAR) 30 June 2011 (2011-06-30) the whole document ----- -/-	1-23,28 1-11, 14-18, 20-23,28 12,13, 19,20 1-23,28

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance  
"E" earlier application or patent but published on or after the international filing date  
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
"O" document referring to an oral disclosure, use, exhibition or other means  
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
21 January 2015	31/03/2015

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## INTERNATIONAL SEARCH REPORT

International application No
PCT/US2014/050066

## C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6 096 005 A (BOTICH MICHAEL J [US] ET AL) 1 August 2000 (2000-08-01) the whole document -----	1-23,28
A	US 5 407 431 A (BOTICH MICHAEL J [US] ET AL) 18 April 1995 (1995-04-18) the whole document -----	1-23,28
X	US 4 874 382 A (LINDEMANN PEER [US] ET AL) 17 October 1989 (1989-10-17)	1,9,17, 28
A	column 4, line 15 - column 11, line 4; figures 1-22 -----	2-8, 10-16, 18-23
Y	WO 2006/108243 A2 (UNITRACT SYRINGE PTY LTD [AU]; JUDD DAMIEN [AU]; KAAL JOSEPH HERMES [A]) 19 October 2006 (2006-10-19) page 7, line 28 - page 8, line 4; figure 7 -----	12,13, 19,20
1		

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2014/050066

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-23, 28

#### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-23, 28

A retainer, a retractable needle assembly and a retractable syringe having a particular needle retaining member

1.1. claims: 10, 11

A luer lock for mounting the needle

1.2. claim: 14

A thread at the proximal end of the needle hub for mounting it to the syringe

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2. claims: 24-27, 29

A retractable syringe kit having a vial adapter

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2014/050066

Patent document cited in search report	Publication date	Patent family member(s)			Publication date
WO 2011057335	A1	19-05-2011	AU 2010317660 A1 CA 2779731 A1 CN 102725014 A EP 2498844 A1 JP 2013510597 A NZ 600456 A TW 201125610 A US 2013060191 A1 WO 2011057335 A1		21-06-2012 19-05-2011 10-10-2012 19-09-2012 28-03-2013 27-06-2014 01-08-2011 07-03-2013 19-05-2011
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**(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)**

**(19) World Intellectual Property Organization**  
International Bureau



WIPO | PCT

**(43) International Publication Date  
12 February 2015 (12.02.2015)**

**(10) International Publication Number**

WO 2015/021236 A4

(51) **International Patent Classification:**  
*A61M 5/32* (2006.01)

(21) **International Application Number:**  
PCT/US2014/050066

(22) **International Filing Date:**  
7 August 2014 (07.08.2014)

(25) **Filing Language:** English

(26) **Publication Language:** English

(30) **Priority Data:**  
61/863,113 7 August 2013 (07.08.2013) US

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(81) **Designated States (unless otherwise indicated, for every kind of national protection available):** AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

[Continued on next page]

**(54) Title:** RETAINER FOR RETRACTABLE NEEDLE ASSEMBLIES AND SYRINGES

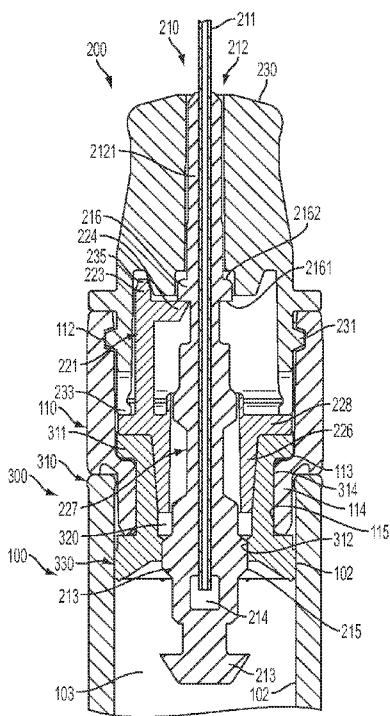


FIG. 10A

**(57) Abstract:** A retainer 220 for a needle assembly 200 of a retractable syringe 1000 that includes a plunger assembly 400 and a barrel 100 having a mounting member 110. The needle assembly 200 includes a retractable needle 210, a needle hub 230, and a retainer 220. The retractable needle 210 is engageable by the plunger assembly 400 to facilitate retraction thereof. The needle hub 230 is mountable to the mounting member 110 of the barrel 100. The retainer 220 includes a body 225 and at least one retaining member 221 that extends distally from the body 225. The retaining member 221 includes an inwardly projecting lateral projection 224 to engage the retractable needle 210 and thereby prevent inadvertent proximal movement of said retractable needle 210 prior to retraction. A retractable needle assembly 200 includes the retainer 220, and a retractable syringe includes the retractable needle assembly 200 including the retainer 220. A syringe kit 90 includes a retractable syringe, a plurality of retractable needle assemblies 200, and a vial adapter 2000.



**(84) Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report (Art. 21(3))
- with amended claims (Art. 19(1))

**(88) Date of publication of the international search report:** 14 May 2015

**Date of publication of the amended claims:** 17 September 2015

AMENDED CLAIMS  
received by the International Bureau on 30 MAY 2015 (30.05.2015)

CLAIM(S):

We claim:

2. The retractable needle assembly 200 of claim 9 wherein the body 225 of retainer 220 further includes an outwardly projecting circumferential shoulder 228, the at least one retaining member 221 extending from the circumferential shoulder 228.

3. The retractable needle assembly 200 of claim 9 wherein the retainer 220 further includes a central passageway 227 adapted to receive the retractable needle 210.

4. The retractable needle assembly 200 of claim 9 wherein the at least one retaining member 221 of the retainer 220 includes a prong 222 from which the lateral projection 224 projects.

5. The retractable needle assembly 200 of claim 9 wherein at least one of the prongs 222 or the lateral projection 224 is resilient.

6. The retractable needle assembly 200 of claim 9 wherein the retainer 220 includes at least two retaining members 221A, 221B.

7. The retractable needle assembly 200 of claim 9 wherein each retaining member 221A, 221B includes at least one prong having at least one tip 223A, 223B and at least one lateral projection 224A, 224B.

8. The retractable needle assembly 200 of claim 7 wherein the at least one tip and/or at least one lateral projection are adapted to engage with at least one of the needle hub and the retractable needle.

9. A retractable needle assembly 200 for a retractable syringe 1000 that includes a plunger assembly 400 and a barrel 100 having a mounting member 110, said retractable needle assembly 200 comprising:

a retractable needle 210 engageable by said plunger assembly 400;

a needle hub 230 including a proximally projecting skirt 232, the needle hub 230 mountable to the mounting member 110 of the barrel 100; and

a retainer 220 including a body 225, the body 225 including an outwardly projecting circumferential shoulder 228, and at least one retaining member 221 that extends distally from the

body 225, the at least one retaining member 221 including an inwardly projecting lateral projection 224, wherein the outwardly projecting circumferential shoulder 228 is disposed subjacent the proximally projecting skirt 232 and the at least one retaining member 221 is engaged with the retractable needle 210 to prevent inadvertent proximal movement of said retractable needle 210.

10. The retractable needle assembly 200 of claim 9 wherein the needle hub 230 includes a luer mount.

11. The retractable needle assembly 200 of claim 10 wherein the luer mount comprises a conical or tapered luer.

13. The retractable needle assembly 200 of claim 9 wherein the retractable needle 210 is at least partially disposed within the retainer 220 and the needle hub 230, and the retainer 220 is at least partially disposed within the needle hub 230.

14. The retractable needle assembly 200 of claim 13 wherein the proximally projecting skirt 232 has a peripheral surface that is threaded.

15. The retractable needle assembly 200 of claim 9 wherein a proximal end of the retractable needle 210 includes a coupling member 213 complimentary to a plunger seal 430 of the plunger assembly 400.

16. The retractable needle assembly 200 of claim 7 wherein the needle hub 230 includes at least one recess 237, the at least one tip 223A, 223B being disposed within the at least one recess 237.

17. A retractable syringe comprising a retractable needle assembly 200 according to claim 9, a plunger assembly 400, and a barrel 100 having a mounting member 110 at a distal end 101, the plunger assembly 400 being disposed at least partially disposed within the barrel 100 and being actuatable from a proximal end 104 of the barrel 100, the retractable needle assembly 200 being coupled to the mounting member 110.

18. The retractable syringe of claim 17 wherein the needle hub 230 and the mounting member 110 include complementary mounting structures.

19. The retractable syringe of claim 17 wherein the body 225 of the retainer 220 includes an outwardly projecting circumferential shoulder 228, and the needle hub 230 includes a proximally projecting skirt 232, the outwardly projecting circumferential shoulder 228 being disposed between the proximally projecting skirt 232 and a distal end of the mounting member 110.

20. The retractable syringe of claim 17 wherein the retractable needle 210 is at least partially disposed within the retainer 220 and the needle hub 230, and the retainer 220 is at least partially disposed within the needle hub 230.

21. The retractable syringe of claim 17 wherein the plunger assembly 400 includes a plunger seal 430, an actuating button 443 actuatable from a proximal end 104 of the barrel 100, and a energized biasing element 450, the plunger seal 430 and a proximal end of the retractable needle 210 including complimentary coupling structures whereby the retractable needle 210 is engaged by the plunger seal 430 when the actuating button 443 is depressed, and the retractable needle 210 is retracted when the biasing element 450 is deenergized.

23. A retractable syringe kit 90 comprising a barrel 100, a plunger assembly 400 and a plurality of retractable needle assemblies 200 of any of claims 2-16.

24. The retractable syringe kit 90 of claim 23, which further including a vial adapter 2000.

25. The retractable syringe kit 90 of claim 24, wherein the vial adapter 2000 includes a housing 2100 having a base 2110, an adapter cannula 2300 that extends or projects from the base 2110 and a connector 2120 that is capable of being in fluid communication with fluid contents of a vial 3000 and the barrel 100 of said retractable syringe, the adapter housing 2100 further includes a shroud 2200 to protect a user from inadvertent needle-stick injury by said adapter cannula 2300, said shroud 2200 including one or more arms 2140A, 2140B that engage a vial closure 3100.

26. The retractable syringe kit 90 of claim 25 wherein the shroud further includes one or more flexion arms that allow the vial adapter to engage any of a variety of different-sized vial closures.

27. The kit 90 of claim 23, wherein the vial adapter 2000 further includes a conduit tip 2500 mountable or mounted to the connector 2120 in fluid communication with the adapter cannula

2300 which conduit tip 2500 prevents inadvertent activation of a syringe retraction mechanism during fluid transfer.

28. A method of operating a retractable syringe including the step of removably mounting a retractable needle assembly 200 according to any of claims 2-16 to a barrel 100 of a retractable syringe.

29. The method of claim 28 further including coupling a vial adapter 2000 to a mounting member 110 of the retractable syringe and a vial 3000, reconstituting contents of the retractable syringe, decoupling the vial adapter 2000 from the mounting member 110, and then mounting the retractable needle assembly 200 to the retractable syringe.

## 摘要

一种用于可收缩式注射器 1000 的针头组件 200 的保持器 220，可收缩式注射器 1000 包括活塞组件 400 和具有安装构件 110 的圆管 100。所述针头组件 200 包括可收缩的针 210、针头插座 230 和保持器 220。可收缩针头 210 可与所述活塞组件 400 接合以促进其收缩。针头插座 230 可安装到圆管 100 的所述安装构件 110。保持器 220 包括主体 225 和从所述主体 225 向远端延伸的至少一个保持构件 221。所述保持构件 221 包括向内突起的侧突 224，以接合所述可收缩针头 210 并由此防止所述可收缩针头 210 在收缩之前意外地向近端移动。一种可收缩针头组件 200 包括保持器 220，并且可收缩式注射器包括具有保持器 220 的可收缩针头组件 200。一种注射器套件 90 包括可收缩式注射器、多个可收缩针头组件 200 和药瓶适配器 2000。