(12) PATENT (11) Application No. AU 199950664 B2 (10) Patent No. 769369 (19) AUSTRALIAN PATENT OFFICE (54) Dispersion compensating fiber International Patent Classification(s) (51)6G02B 006/16 G02B 006/22 (22) Application Date: 1999.08.06 (21)Application No: 199950664 WIPO No: WO00/17684 (87) (30)Priority Data (31) Number (32) Date (33)Country 10-265443 1998.09.18 JP (43)Publication Date: 2000.04.10 Publication Journal Date: 2000.06.01 (43)(44) Accepted Journal Date : 2004.01.22 (71)Applicant(s) Sumitomo Electric Industries, Ltd. Inventor(s) (72)Toshiaki Okuno (74)Agent/Attorney Davies Collison Cave, Level 15,1 Nicholson Street, MELBOURNE VIC 3000 Related Art (56)US 5740297

US 5838867

# **PCT**

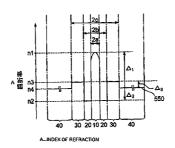
### 世界知的所有権機関 国 際 事 務 局 特許協力条約に基づいて公開された国際出願



(51) 国際特許分類6 (11) 国際公開番号 WO00/17684 G02B 6/16, 6/22 A1 2000年3月30日(30.03.00) (43) 国際公開日 (81) 指定国 AU, CA, CN, JP, KR, US, 欧州特許 (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE) (21) 国際出願番号 PCT/JP99/04278 (22) 国際出願日 1999年8月6日(06.08.99) 添付公開書類 (30) 優先権データ 国際調査報告書 特願平10/265443 1998年9月18日(18.09.98) л (71) 出願人(米国を除くすべての指定国について) 住友電気工業株式会社 (SUMITOMO ELECTRIC INDUSTRIES, LTD.)[JP/JP] 〒541-0041 大阪府大阪市中央区北浜四丁目5番33号 Osaka, (JP) (72) 発明者;および (75) 発明者/出願人 (米国についてのみ) 奥野俊明(OKUNO, Toshiaki)[JP/JP] 〒244-8588 神奈川県横浜市栄区田谷町1番地 住友電気工業株式会社 横浜製作所内 Kanagawa, (JP) (74) 代理人 弁理士 長谷川芳樹, 外(HASEGAWA, Yoshiki et al.) 〒104-0061 東京都中央区銀座二丁目6番12号 大倉本館 創英国際特許法律事務所 Tokyo, (JP)

(54)Title: DISPERSION COMPENSATING FIBER

(54)発明の名称 分散補償ファイバ



### (57) Abstract

A dispersion compensating optical fiber, together with a single mode optical fiber with zero dispersion at a wavelength of about 1.3 microns, can compose an optical transmission line, which provides improved transmission characteristics and efficient dispersion compensation. The total dispersion isope of an optical transmission line is improved while the dispersion of a single mode optical fiber is well compensated for. The dispersion compensating optical fiber has a triply-cladded structure including first, second and third cladding layers applied in sequence over the core. The difference in relative index of refraction between regions as well as their outside diameters are optimized while the dispersion of the single mode optical fiber is compensated for so that an optical transmission system with a desirable total dispersion slope can be realized.

#### ABSTRACT

The dispersion-compensating fiber according to the present invention is an optical fiber having a structure which, when constituting an optical transmission line together with a single-mode optical fiber having a zero-dispersion wavelength near 1.3  $\mu\mathrm{m}$ , is capable of improving transmission characteristics of the whole optical transmission line and allowing the dispersion to be compensated for efficiently and the size thereof to become smaller, and has a structure for improving the total dispersion slope of the optical transmission line while in a state fully compensating for the dispersion of the single-mode optical fiber. This dispersion-compensating fiber has a triple cladding structure having a first cladding, a second cladding, and a third cladding which are successively provided on the outer periphery of a core, and, in a state where the relative refractive index differences in its individual regions and outer diameters thereof are optimized so as to compensate for the dispersion of the single-mode optical fiber, realizes an optical transmission system having a favorable total dispersion slope.

10

15

20

### DESCRIPTION

Dispersion-Compensating Fiber

#### Technical Field

The present invention relates to a dispersion-compensating fiber which is applied to an optical transmission line including a 1.3- $\mu$ m zero-dispersion single-mode optical fiber and improves transmission characteristics of the whole optical transmission line with respect to light in a 1.55- $\mu$ m wavelength band.

# 10 Background Art

5

15

20

25

In optical fiber transmission line networks used for high-speed, large-capacity communications over a long distance, the dispersion (chromatic dispersion) expressed by the sum of the material dispersion (dispersion caused by the wavelength dependence of refractive index inherent in the material of the optical fiber) and structural dispersion (dispersion caused by the wavelength dependence of the group velocity in the propagation mode) in the single-mode optical fiber employed as their transmission medium is a limiting factor for the transmission capacity. Namely, even when light outputted from a light source is assumed to have a single wavelength, it has a spectrum width in the strict sense. When such an optical pulse propagates through a single-mode optical fiber having a predetermined chromatic dispersion characteristic, the pulse form may collapse since the propagation velocity varies among definite

1

spectral components. This dispersion is expressed by a unit (ps/km/nm) of propagation delay time difference per unit spectrumwidth (nm) and unit optical fiber length (km). Also, it has been known that the material dispersion and structural dispersion cancel each other in the single-mode optical fiber, so that the dispersion becomes zero in the vicinity of 1.31  $\mu$ m.

5

10

15

20

25

As means for compensating for the dispersion of the single-mode optical fiber, a dispersion-compensating fiber is used in general. In particular, since the transmission loss of optical fibers becomes the lowest in the 1.55- $\mu$ m wavelength band, it has been desired that optical communications be carried out by use of light in the 1.55- $\mu$ m wavelength band. On the other hand, a number of single-mode optical fibers having a zero-dispersion wavelength in the vicinity of 1.3  $\mu$ m have been laid so far, and hence there are needs for carrying out optical communications in the 1.55- $\mu$ m wavelength band by utilizing such existing single-mode optical fiber transmission line networks. Hence, when a dispersion-compensating fiber having a negative dispersion in the 1.55- $\mu$ m wavelength band is connected to a single-mode optical fiber having a positive dispersion

2

in the 1.55- $\mu$ m wavelength band, the chromatic dispersion of the whole optical transmission line can be compensated

for. For example, Japanese Patent Application Laid-Open No. HEI 8-136758 and Japanese Patent Application Laid-Open No.

HEI 8-313750 disclose dispersion-compensating fibers comprising a double cladding structure. On the other hand, Japanese Patent Application Laid-Open No. HEI 6-11620 discloses a dispersion-compensating fiber comprising a triple cladding structure.

### Disclosure of the Invention

5

10

15

20

25

The inventors have studied the above-mentioned prior art and, as a result, have found problems as follows. Namely, in an optical transmission line constituted by a single-mode optical fiber and a dispersion-compensating fiber for compensating for the chromatic dispersion of the single-mode optical fiber, chromatic dispersion cannot be all prevented from occurring in wavelengths of wavelength band in use, but it occurs at least in a wavelength band deviated from the vicinity of the zero-dispersion wavelength.  ${\tt Consequently, if various\, characteristics\, of\, the\, conventional}$ dispersion-compensating fiber are not sufficiently optimized for compensating for the chromatic dispersion of a single-mode optical fiber having a zero-dispersion wavelength near 1.3  $\mu \mathrm{m}$  (hereinafter referred to as 1.3SMF), various transmission characteristics may be caused to fluctuate among wavelengths in optical communications of wavelength division multiplexing (WDM) system, in which different wavelengths of signal light are multiplexed so as to enable the transmission capacity to further enhance, and the like.

..::..

**...**::

•:•••:

....

•:•••

Here, dispersion slope is given by the gradient of a graph which indicates chromatic dispersion, and is expressed by a unit of  $ps/nm^2/km$ .

In order to overcome such problems as those mentioned 5 above, preferred embodiments according to the present invention seek to provide a dispersion-compensating fiber comprising a structure which compensates for the chromatic dispersion of a 1.3SMF, improves, when applied to an optical transmission system including the 1.3SMF, the total 10 dispersion slope in the optical transmission system as a while in a winder wavelength band, and enables dispersion to be compensated for efficiently and its size to become smaller.

In accordance with one aspect of the present invention, there is provided a dispersion -compensating fiber for compensating for a dispersion of a single-mode optical fiber having a predetermined length and a zero-dispersion wavelength near 1.3  $\mu m$ , the dispersion-compensating fiber comprising:

a core region extending along a predetermined reference axis and having an outer diameter 2a; and a cladding region which is a region provided on the outer periphery of the core region, the cladding region

- 4A -

comprising:

a first cladding provided on the outer periphery of the core and having an outer diameter 2b;

a second cladding provided on the outer periphery of

5 the first cladding and having an outer diameter 2c; and

a third cladding provided on the outer periphery of the  $\!\!\!$  second cladding,

wherein a refractive index  $n_1$  of the core, a refractive index  $n_2$  of the first cladding, a refractive index  $n_3$  of the 10 second cladding, and a refractive index  $n_4$  of the third cladding satisfy

 $n_1 > n_3 > n_4 > n_2;$ 

wherein respective relative refractive index differences  $\Delta_1$  and  $\Delta_2$  of the core and the first cladding with respect to the third cladding satisfy

 $1\% \leq \Delta_1 \leq 3\%$ , and

 $\Delta_2 \leq -0.3\%;$ 

wherein the ratio of the outer diameter of the core
with respect to the outer diameter of the second cladding
20 satisfies

 $2a/2c \leq 0.3$ ; and

.....

wherein, when the single-mode optical fiber with the predetermined length and the dispersion-compensating fiber

....

•:•••

having a length sufficient for compensating for the dispersion of the single-mode optical fiber are optically connected to each other to constitute an optical transmission system, the dispersion-compensating fiber 5 causes a total dispersion slope in the optical transmission system to have an absolute value of 0.024 ps/nm²/km or less with respect to light having a shortest wavelength and light having a longest wavelength in signal wavelengths within a wavelength band in use, and

% wherein the dispersion-compensating fiber, with respect to light at a wavelength of 1.55  $\mu m,\ has:$ 

a chromatic dispersion of -80 ps/nm/km or less;

an effective area of 16  $\mu \mbox{m}^2$  or more; and

a bending loss of 0.01 dB/m or less at a diameter of 40 mm.

In accordance with a further aspect of the present invention, there is provided a dispersion-compensating fiber for compensating for a dispersion of a single-mode optical fiber having a predetermined length and a zero-dispersion wavelength near 1.3  $\mu m$ , the dispersion-compensating fiber 20 comprising:

a core region extending along a predetermined reference axis and having an outer diameter 2a; and

a cladding region which is a region provided on the

outer periphery of the core region, the cladding region comprising:

- a first cladding provided on the outer periphery of the core and having an outer diameter 2b;
- a second cladding provided on the outer periphery of the first cladding and having an outer diameter 2c; and
  - a third cladding provided on the outer periphery of the second cladding,

wherein a refractive index  $n_1$  of the core, a refractive 10 index  $n_2$  of the first cladding, a refractive index  $n_3$  of the second cladding, and a refractive index  $\ensuremath{n_4}$  of the third cladding satisfy

 $n_1 > n_3 > n_4 > n_2;$ 

wherein respective relative refractive 15 differences  $\Delta_1$  and  $\Delta_2$  of the core and the first cladding with respect to the third cladding satisfy

index

 $1\% \leq \Delta_1 \leq 3\%$ , and

 $\Delta_2 \leq -0.3\%;$ 

....

.....

wherein the ratio of the outer diameter of the core 20 with respect to the outer diameter of the second cladding satisfies

 $2a/2c \leq 0.3$ ; and

wherein, when the single-mode optical fiber with the

-10-

predetermined length and the dispersion-compensating fiber

wherein the dispersion-compensating fiber, with respect to light at a wavelength of 1.55  $\mu \boldsymbol{m},$  has:

a chromatic dispersion of -80 ps/nm/km or less; an effective area of 12  $\mu m^2$  or more; and

15~ a bending loss of  $10^{-5}~\mathrm{dB/m}$  or less at a diameter of  $40~\mathrm{mm}\,.$ 

Preferably, the total dispersion slope in the optical transmission system is caused to have an absolute value of 0.012 ps/nm²/km or less with respect to light having the shortest wavelength and light having the longest wavelength in signal wavelengths within the wavelength band in use.

In accordance with a further aspect of the present invention, there is provided a dispersion-compensating fiber for compensating for a dispersion of a single-mode optical fiber having a predetermined length and a zero-dispersion

 - 4E -

wavelength near a 1.3  $\mu m$  wavelength band, the dispersion-compensating fiber comprising:

- a core region extending along a predetermined reference axis and having an outer diameter 2a; and
- a cladding region which is a region provided on the outer periphery of the core region, the cladding region comprising:
  - a first cladding provided on the outer periphery of the core and having an outer diameter 2b,
- 10 a second cladding provided on the outer periphery of the first cladding and having an outer diameter 2c; and
  - a third cladding provided on the outer periphery of the second cladding;

wherein a refractive index  $n_1$  of the core, a refractive 15 index  $n_2$  of the first cladding, a refractive index  $n_3$  of the second cladding, and a refractive index  $n_4$  of the third cladding satisfy

 $n_1 > n_3 > n_4 > n_2;$ 

....

•:•••

••••••

wherein respective relative refractive index 20 differences  $\Delta_1$  and  $\Delta_2$  of the core and the first cladding with respect to the third cladding satisfy

 $1\% \leq \Delta_1 \leq 3\%$ , and

 $\Delta_2 \leq -0.3\%;$ 

-12-

- 4F -

wherein the ratio of the outer diameter of the core with respect to the outer diameter of the second cladding satisfies

 $2a/2c \leq 0.3$ ; and

5 wherein the dispersion-compensating fiber has a length sufficient for compensating for the dispersion of the single-mode optical fiber with the predetermined length, and wherein the dispersion-compensating fiber, with respect

to light at a wavelength of 1.55  $\mu m$ , has:

a chromatic dispersion of -80 ps/nm/km or less;

an effective area of 16  $\mu m^2$  or more; and

a bending loss of 0.01 dB/m or less at a diameter of 40 mm.

In accordance with a still further aspect of the

present invention, there is provided a dispersion- 15 compensating fiber for compensating for a dispersion a single-mode optical fiber having a predetermined length and a zero-dispersion wavelength near a 1.3  $\mu m$  wavelength band, the dispersion-compensating fiber comprising:

a core region extending along a predetermined reference

20 axis and having an outer diameter 2a; and

a cladding region which is a region provided on the outer periphery of the core region, the cladding region comprising:

....

:···:

••••••

....

....:

- 4G -

a first cladding provided on the outer periphery of the core and having an outer diameter 2b

a second cladding provided on the outer periphery of the first cladding and having an outer diameter 2c; and

5 a third cladding provided on the outer periphery of the second cladding;

wherein a refractive index  $n_1$  of the core, a refractive index  $n_2$  of the first cladding, a refractive index  $n_3$  of the second cladding, and a refractive index  $n_4$  of the third lo cladding satisfy

 $n_1 > n_3 > n_4 > n_2;$ 

wherein respective relative refractive index differences  $\Delta_1$  and  $\Delta_2$  of the core and the first cladding with respect to the third cladding satisfy

15 1%  $\leq \Delta_1 \leq 3$ %, and

 $\Delta_2 \leq -0.3\%$ ;

....

:··:

•••••••

••••••

•:•••:

wherein the ratio of the outer diameter of the core with respect to the outer diameter of the second cladding satisfies

20  $2a/2c \le 0.3$ ; and

wherein the dispersion-compensating fiber has a length sufficient for compensating for the dispersion of the single-mode optical fiber with the predetermined length, and

- 5 -

wherein the dispersion-compensating fiber, with respect to light at a wavelength of 1.55  $\mu \boldsymbol{m},$  has:

a chromatic dispersion of -80 ps/nm/km or less; an effective area of 12  $\mu m^2$  or more; and

5 a bending loss of  $10^{-5}~\mathrm{dB/m}$  or less at a diameter of 40 mm.

Preferably, the dispersion-compensating fiber has a length  $L_{\text{DCF}}$  which is set so as to satisfy the following condition with respect to light having a wavelength  $\lambda m$  in signal wavelengths within the wavelength band in use:

 $|D_{\text{SMF}}(\lambda m) \cdot L_{\text{SMF}} + D_{\text{DCF}}(\lambda m) \cdot L_{\text{DCF}}| \ \le \ 200 \ ps/nm$  where

....

 $\label{eq:Dsmf} D_{\text{SMF}}(\lambda m) \text{ is the dispersion of the 1.3SMF at the}$  wavelength  $\lambda m;$ 

L<sub>SMF</sub> is the length of the 1.3SMF;

 $D_{DCF}(\lambda m)$  is the dispersion of the

dispersion-compensating fiber at the wavelength  $\lambda m$ ; and  $L_{DCF}$  is the length of the dispersion-compensating fiber.

More preferably, the length  $L_{DCF}$  of the dispersion-compensating fiber is set so as to satisfy the following condition with respect to all signal wavelengths  $\lambda_{all}$  of light within the wavelength band in use:

 $|D_{\text{SMF}}(\,\lambda_{\,\text{all}})\cdot L_{\text{SMF}}\,+\,D_{\text{DCF}}(\,\lambda_{\,\text{all}})\cdot L_{\text{DCF}}|\,\,\leqq\,\,200\,\,\,\text{ps/nm}$  where

 $D_{\text{SMF}}(\,\lambda_{\,\text{all}}) \,\,\text{is the dispersion of the 1.3SMF at all the}$  wavelengths  $\lambda_{\,\text{all}}$  in use;

 $L_{\text{SMF}}$  is the length of the 1.3SMF;

10

15

20

25

 $D_{DCF}(\lambda_{all})$  is the dispersion of the dispersion-compensating fiber at all the wavelengths  $\lambda_{all}$  in use; and

 $L_{\text{DCF}}$  is the length of the dispersion-compensating fiber.

Thus, the dispersion-compensating fiber comprising a core region having a single core and a cladding region, provided on the outer periphery of the core region, having three claddings (a triple cladding structure) can improve the total dispersion slope in the optical transmission system to which the dispersion-compensating fiber is applied, if the refractive index and outer diameter of each part thereof

are set so as to satisfy such conditions as those mentioned above. Also, when the dispersion-compensating fiber is wound like a coil so as to constitute a module, for example, it is possible to select a chromatic dispersion having an absolute value which is large enough to enable the dispersion to be compensated for efficiently and the apparatus to become smaller.

5

10

15

20

25

In particular, when a module employing a double cladding structure realizing an improvement in total dispersion slope is to be made smaller, the shortening of the optical fiber and the reduction of the bending loss occurring upon being wound like a coil cannot be achieved at the same time. Such a problem can be overcome when various characteristics of the optical fiber having the triple cladding structure as mentioned above are optimized.

For example, in the dispersion-compensating fiber having a triple cladding structure, the length of the dispersion-compensating fiber necessary for dispersion compensation can be made shorter as the absolute value of the dispersion having a negative value is greater, whereby the dispersion compensation can be made more efficient. In particular, when the relative refractive index difference  $\Delta_1$  of the core with respect to the third cladding is set within the range of 1% or more but 3% or less, dispersion can be compensated for at a high efficiency.

Though the dispersion increases as the value of  $\Delta_1$ 

is enhanced, it is necessary that, for example, the amount of  $\text{GeO}_2$  added to the core be increased in order to enhance the value of  $\Delta_1$ . On the other hand, an increase in the amount of addition of  $\text{GeO}_2$  causes the transmission loss to increase. Therefore, it is preferred that, within the range of condition concerning  $\Delta_1$ , the range of  $\Delta_1$  be appropriately selected, in view of various conditions such as use, equipment, and the like, such that the value of  $\Delta_1$  is lowered (e.g., 1%  $\leq \Delta_1 \leq 2$ %) in the case where a lower transmission loss is required and that the value of  $\Delta_1$  is enhanced (e.g., 2%  $\leq \Delta_1 \leq 3$ %) in the case where a higher dispersion compensation efficiency due to a higher dispersion is required.

10

15

•:•••

••••••

Here, in the dispersion-compensating fiber according to the present invention, the relative refractive index difference  $\Delta_3$  of the second cladding with respect to the third cladding preferably satisfies the condition of 0% <  $\Delta_3 \leq$  0.1%. Also, the ratio of the outer diameter 2b of the first cladding to the outer diameter 2a of the core preferably satisfies the condition of 2.5  $\leq$  2b/2a  $\leq$  3.5. In addition, with respect to light having a wavelength of 1.55  $\mu$ m, the dispersion-compensating fiber according to the present invention preferably has a bending loss of 0.1 dB/m or less at a diameter of 60 mm, a polarization mode dispersion of 0.5 ps · km<sup>-1/2</sup> or less, and a transmission loss of 1 dB/km or less.

In practice, there is a case where such a dispersion-compensating fiber is employed as a small-size

8

module wound like a coil. In this case, in particular, lowering the bending loss enables the apparatus to reduce its size and suppress its resultant increase in transmission loss.

When an optical transmission system is constituted by a 1.3SMF and the dispersion-compensating fiber having a length sufficient for compensating for the dispersion of the 1.3SMF as mentioned in the foregoing, this optical transmission system yields a total dispersion slope of -0.024 ps/nm²/km or more but 0.024 ps/nm²/km or less, preferably -0.012 ps/nm²/km or more but 0.012 ps/nm²/km or less, with respect to respective light components having the shortest wavelength  $\lambda_{\rm S}$  and the longest wavelength  $\lambda_{\rm L}$  in signal wavelengths within the wavelength band in use.

- 9A -

## Brief Description of the Drawings

Preferred embodiments according to the present invention will now be described, by way of example only with reference to the accompanying drawings, in which:

- Fig. 1A is a view showing a cross-sectional structure of a dispersion-compensating fiber according to an embodiment of the present invention, whereas Fig. 1B is a chart showing the refractive index profile of the dispersion-compensating fiber shown in Fig. 1A;
- Fig. 2 is a view showing an example of an optical transmission system to which the dispersion-compensating fiber according to an embodiment of the present invention is applied;
- Fig. 3 is a chart showing the refractive index profile

  15 of a double cladding structure as a comparative example;

Fig. 4A is a graph showing the dependence of dispersion

-20-

compensation ratio on core's outer diameter in a first embodiment of the dispersion-compensating fiber (triple cladding structure) according to an embodiment of the present invention, whereas Fig. 4B is a graph showing the dependence of dispersion compensation ratio on core's outer diameter in the dispersion-compensating fiber (double cladding structure) as the comparative example;

5

10

15

::": <sub>20</sub>

25

Fig. 5 is a table showing permissible ranges of core outer diameter in the dispersion-compensating fiber according to an embodiment of the present invention;

Fig. 6 is a graph showing relationships between effective area and  $\Delta_1$  concerning the dispersion-compensating fiber (triple cladding structure) according to an embodiment of the present invention and the comparative example (double cladding structure); and

Fig. 7 is a graph showing relationships between bending loss at a diameter of 40 mm and  $\Delta_1$  concerning the dispersion-compensating fiber (triple cladding structure) according to an embodiment of the present invention and the comparative example (double cladding structure).

# Best Modes for Carrying Out the Invention

In the following, embodiments of the dispersion-compensating fiber according to the present invention will be explained with reference to Figs. 1A, 1B, 2, 3, 4A, 4B, and 5 to 7. Here, constituents identical to each other in the drawings will be referred to with numerals

10

or letters identical to each other without repeating their overlapping explanations. Also, ratios of dimensions in the drawings do not always match those explained.

5

10

15

20

25

Fig. 1A is a view showing a cross-sectional structure of a dispersion-compensating fiber according to the present invention. This dispersion-compensating fiber 100 comprises a core region having a core 1 with an outer diameter 2a, and a cladding region 5 of a triple cladding structure constituted by a first cladding 2, provided on the outer periphery of the core 1, having an outer diameter 2b, a second cladding 3, provided on the outer periphery of the first cladding 2, having an outer diameter 2c, and a third cladding 4 provided on the outer periphery of the second cladding 3. Fig. 1B is a chart showing a refractive index profile 550 of the dispersion-compensating fiber 100 shown in Fig. 1A in a diametric direction thereof (direction indicated by the line L in the chart). Here, the abscissa of the refractive index profile 550 shown in Fig. 1B corresponds to individual parts along the line L in Fig. 1A on a cross section perpendicular to the center axis of the core 1. Hence, in the refractive index profile 550 in Fig. 1B, areas 10, 20, 30, and 40 indicate the refractive indices of individual parts on the line L of the core 1, first cladding 2, second cladding 3, and third cladding 4, respectively.

The dispersion-compensating fiber 100 is an optical waveguide mainly composed of  $SiO_2$  (silica glass), and its

characteristics are controlled by the outer diameter and refractive index of each region constituting the dispersion-compensating fiber 100, and the like. Here,  $\Delta$  in the refractive index profile 550 shown in Fig. 1B indicates the relative refractive index difference of each region with reference to the refractive index of the third cladding 4, and is defined as follows:

$$\Delta_1 = (n_1 - n_4)/n_4$$

$$\Delta_2 = (n_2 - n_4)/n_4$$

10 
$$\Delta_3 = (n_3 - n_4)/n_4$$

5

15

20

Here,  $n_1$ ,  $n_2$ ,  $n_3$ , and  $n_4$  are refractive indices of the corel, first cladding 2, second cladding 3, and third cladding 4, respectively. Also, the relative refractive index difference  $\Delta$  in this specification is expressed in terms of percent, and the refractive indices of individual regions in each defining expression may be arranged in any order. Consequently, a negative value of  $\Delta$  indicates that the refractive index of its corresponding region is lower than the refractive index of the third cladding 4.

The dispersion-compensating fiber according to the present invention is configured such that the respective refractive indices of the above-mentioned individual regions 1 to 4 satisfy the following condition (1):

$$n_1 > n_3 > n_4 > n_2$$
 (1)

As a consequence, the respective relative refractive index differences of the individual regions with respect to the

third cladding 4 satisfy the following condition (la):

$$\Delta_1 > \Delta_3 > 0\% > \Delta_2 \tag{1a}$$

5

10

15

20

25,

(Conditions (1) and (1a) are equivalent to each other.) Namely, they are configured such that  $\Delta_1$  and  $\Delta_3$  have positive values,  $\Delta_2$  has a negative value, and  $\Delta_1$  has the largest value.

An optical fiber with a triple cladding structure having such a refractive index profile is constructed, for example, as follows. The outermost third cladding 4 is formed from  $\mathrm{SiO}_2$ . By contrast, the core 1 and the second cladding 3, which are mainly composed of  $SiO_2$ , are doped with respective predetermined amounts of  $GeO_2$ , such that their refractive indices are controlled so as to become higher than the refractive index of  $SiO_2$ . On the other hand, the first cladding 2 mainly composed of  $\mathrm{SiO}_2$  is doped with a predetermined amount of F (fluorine), such that its refractive index is controlled so as to become lower than that of  $SiO_2$ . Here, the configuration mentioned above is just an example of specific methods and configurations of refractive index control by means of such impurity doping, which is not restrictive. For example, the third cladding 4 may not be pure  $SiO_2$ , but may be doped with a predetermined amount of a refractive index adjusting agent such as F.

Their respective relative refractive index differences further satisfy the following conditions (2) and (3):

$$1\% \leq \Delta_1 \leq 3\% \tag{2}$$

$$\Delta_2 \leq -0.3\% \tag{3}.$$

Also, their respective outer diameters satisfy the following condition (4):

$$2a/2c \le 0.3$$
 (4).

When the refractive index and outer diameter of each region are set as mentioned above, a dispersion-compensating fiber capable of sufficiently improving a dispersion slope is obtained. Also, when the following conditions (5) and (6) are satisfied, a further preferable dispersion-compensating fiber is obtained.

$$0\% < \Delta_3 \le 0.1\% \tag{5}$$

$$2.5 \le 2b/2a \le 3.5$$
 (6).

15

20

25

Here, as for the range of  $1\% \le \Delta_1 \le 3\%$  of condition (2) concerning the relative refractive index difference  $\Delta_1$  of the core 1 with respect to the third cladding 4, if the value of  $\Delta_1$  is increased, then the absolute value of the dispersion having a negative value can be enhanced, whereby the dispersion compensation can be made more efficient. In order to increase the value of  $\Delta_1$ , on the other hand, it is necessary that, for example, the amount of  $GeO_2$  added to the core 1 be increased so as to enhance the refractive index  $n_1$  thereof. In this case, however, transmission loss would increase due to the increase in Rayleigh scattering caused by  $GeO_2$  being added, and the like. Since the upper limit value of  $\Delta_1$  is set to 3%, the dispersion-compensating fiber according to the present invention can suppress the

transmission loss thereof to 1 dB/km or less, while compensating for the chromatic dispersion of the single-mode optical fiber.

5

10

15

20

25

Also, while polarization mode dispersion (PMD) enhances along with increases in out-of-roundness which represents the deviation of the form of the core 1 from a perfect circle, the polarization mode dispersion caused by the deviation from the perfect circle also increases as the refractive index of the core 1 is greater, i.e., the value of  $\Delta_1$  is greater. Since the upper limit of  $\Delta_1$  is suppressed to 3% or less, the dispersion-compensating fiber according to the present invention can suppress its polarization mode dispersion to 0.5 ps · km<sup>-1/2</sup> or less when the out-of-roundness is 0.5% or less, for example.

Fig. 2 is a view showing a configurational example of an optical transmission system to which the dispersion-compensating fiber according to the present invention is applied. In this optical transmission system, one end (entrance end) of a 1.3SMF 200 is optically connected to a transmitter 400 by way of an optical fiber transmission line 300 such as a single-mode optical fiber, whereas the other end (exit end) thereof is optically connected to one end (entrance end) of the dispersion-compensating fiber 100 according to the present invention. On the other hand, the other end (exit end) of the dispersion-compensating fiber 100 is optically connected to a receiver 500 by way of an

optical fiber transmission line 300 such as a single-mode optical fiber.

Here, the total dispersion and total dispersion slope in the optical transmission system to which the dispersion-compensating fiber 100 is applied are defined as follows. Namely, the total dispersion of the optical transmission system constituted, as shown in Fig. 2, by the 1.3SMF 200 with a length of  $L_{\text{SMF}}$  having a dispersion  $D_{\text{SMF}}$  (17 ps/nm/km at a wavelength of 1.55  $\mu$ m) and a dispersion slope  $S_{\text{SMF}}$  (0.06 ps/nm²/km) and the dispersion-compensating fiber 100 with a length of  $L_{\text{DCF}}$  having a dispersion  $D_{\text{DCF}}$  and a dispersion slope  $S_{\text{DCF}}$  is given by:

 $D_{SMF} \cdot L_{SMF} + D_{DCF} \cdot L_{DCF}$ .

5

10

15

20

25

Also, the total dispersion slope in the optical transmission system is given by:

(S<sub>SMF</sub> · L<sub>SMF</sub> + S<sub>DCF</sub> · L<sub>DCF</sub>)/L<sub>SMF</sub>.

Here, since the length of the dispersion-compensating fiber 100 is much shorter than that of the transmission line portion constituted by the dispersion-compensating fiber 100 and the 1.3SMF 200, its contribution to the total dispersion and total dispersion slope in the optical transmission system is negligible.

The dispersion-compensating fiber according to the present invention has such characteristics that, in the optical transmission system to which it is applied together with the 1.3SMF, the value of total dispersion slope becomes

-0.024 ps/nm²/km or more but 0.024 ps/nm²/km or less under the condition where its dispersion is sufficiently compensated for with respect to light having a wavelength of 1.55  $\mu$ m, i.e., under the condition where the total dispersion becomes zero.

5

10

15

20

25

The basis for this numerical range of total dispersion slope is as follows. Namely, in the case of WDM transmission with a signal bandwidth of 30 nm and a transmission length of 300 km, a difference of an accumulated chromatic dispersion becomes 216 ps/nm between both ends of the signal band (the shortest and longest wavelengths in the signal band) when the total dispersion slope is 0.024 ps/nm²/km. The limit of permissible difference of an accumulated chromatic dispersion in such optical transmission is described, for example, in "Optical Fiber Communication (OFC'96) Technical digest Postdeadline paper PD 19 (1996)"; and, according to its description, the limit of permissible dispersion width is about 200 ps/nm when the bandwidth is 32.4 nm in the optical transmission over 150 km of a single-mode optical fiber. In view of this, for carrying out wide-band, high-speed WDM transmission in an optical fiber transmission line having a length of 150 km or more, it is necessary that the total dispersion slope of the optical transmission line be -0.024 ps/nm<sup>2</sup>/km or more but 0.024 ps/nm<sup>2</sup>/km or less.

Further, when a dispersion-compensating fiber which is adapted to yield a total dispersion slope value of -0.012

ps/nm²/km or more but 0.012 ps/nm²/km or less is employed, an optical transmission system capable of higher-speed optical transmission can be realized. Namely, the total dispersion slope value is needed to be made further smaller in high-speed optical transmission at 20 Gbits/sec or at 30 Gbits/sec. According to the description in "Optical Fiber Communication (OFC'96) Technical digest Postdeadline paper PD 19 (1996)," optical transmission over a distance up to about 500 to 600 km is possible when the total dispersion slope is -0.012 ps/nm²/km or more but 0.012 ps/nm²/km or less.

5

10

15

20

Here, in order to constitute the optical transmission system as mentioned above, the length  $L_{\text{DCF}}$  of the dispersion-compensating fiber is set so as to satisfy the following condition with respect to light having a wavelength  $\lambda m$  in signal wavelengths within the wavelength band in use:

 $|\,D_{\text{SMF}}(\,\lambda\,m)\,\cdot L_{\text{SMF}}\,+\,D_{\text{DCF}}(\,\lambda\,m)\,\cdot L_{\text{DCF}}\,|\,\,\leqq\,200\,\,ps/nm$  where

 $\label{eq:Dsmf} D_{\text{SMF}}(\,\lambda m) \mbox{ is the dispersion of the 1.3SMF at the}$  wavelength  $\lambda m;$ 

L<sub>SMF</sub> is the length of the 1.3SMF;

 $D_{DCF}(\lambda m)$  is the dispersion of the

dispersion-compensating fiber at the wavelength  $\lambda m;$  and  $L_{\text{DCF}} \text{ is the length of the dispersion-compensating fiber.}$ 

More preferably, the length LDCF of the

dispersion-compensating fiber is set so as to satisfy the following condition with respect to all signal wavelengths

 $\lambda_{all}$  of light within the wavelength band in use:  $|D_{SMF}(\,\lambda_{all})\cdot L_{SMF}\,+\,D_{DCF}(\,\lambda_{all})\cdot L_{DCF}|\,\leq\,200~ps/nm$  where

 $D_{\text{SMF}}(\,\lambda_{\,\text{all}})$  is the dispersion of the 1.3SMF at all the wavelengths  $\lambda_{\,\text{all}}$  in use;

 $L_{\text{SMF}}$  is the length of the 1.3SMF;

5

10

15

20

25

 $D_{DCF}(\,\lambda_{all}) \mbox{ is the dispersion of the}$  dispersion-compensating fiber at all the wavelengths  $\lambda_{all}$  in use; and

 $L_{ extsf{DCF}}$  is the length of the dispersion-compensating fiber.

The dispersion compensation ratio, which is employed as an index for evaluating the dispersion-compensating fiber together with the above-mentioned total dispersion slope value, will now be explained. In this specification, the dispersion compensation ratio is defined as the value obtained when the ratio of the dispersion slope of the dispersion-compensating fiber to the dispersion slope of the single-mode optical fiber at a wavelength of 1.55  $\mu\rm m$  is divided by the ratio of the dispersion of the dispersion-compensating fiber to the dispersion of the single-mode optical fiber at a wavelength of 1.55  $\mu\rm m$ , and represents, when an optical transmission system is constituted by the single-mode optical fiber and the dispersion-compensating fiber, the correlation between the

total dispersion and total dispersion slope in the optical transmission system. Namely, letting  $D_{\text{SMF}}$  be the dispersion

of the single-mode optical fiber,  $S_{\text{SMF}}$  be the dispersion slope thereof,  $D_{\text{DCF}}$  be the dispersion of the dispersion-compensating fiber, and  $S_{\text{DCF}}$  be the dispersion slope thereof, the dispersion compensation ratio DSCR is given by:

 $DSCR = (S_{DCF}/D_{DCF})/(S_{SMF}/D_{SMF}).$ 

5

10

15

20

25

For example, in an optical transmission system having a dispersion compensation ratio of 100%, the ratio in length between the single-mode optical fiber and the dispersion-compensating fiber is set such that its total dispersion becomes zero, whereby the total dispersion slope value becomes 0 ps/nm<sup>2</sup>/km simultaneously, thus completely compensating for the total dispersion and total dispersion slope at the same time. In an optical transmission system having a dispersion compensation ratio of 50%, for example, on the other hand, the total dispersion slope can be compensated for by only 50% even if the ratio in length between the single-mode optical fiber and the dispersion-compensating fiber is set such that the total dispersion becomes zero. In this case, if the dispersion slope of the single-mode optical fiber is 0.06 ps/nm<sup>2</sup>/km, then the total dispersion slope after the compensation becomes 0.03 ps/nm<sup>2</sup>/km. In an optical transmission system having a dispersion compensation ratio of 150%, for example, by contrast, the total dispersion slope can be compensated for in excess by 50% even if the ratio in length between the single-mode optical fiber and the

dispersion-compensating fiber is set such that the total dispersion becomes zero. In this case, if the dispersion slope of the single-mode optical fiber is  $0.06~\mathrm{ps/nm^2/km}$ , then the total dispersion slope after the compensation becomes  $-0.03~\mathrm{ps/nm^2/km}$ .

5

10

15

20

25

With regard to the optical transmission system to which the dispersion-compensating fiber according to the present invention is applied, the condition for the total dispersion slope to become -0.024 ps/nm²/km or more but 0.024 ps/nm²/km or less corresponds to, for example, the state where the dispersion compensation ratio is about 60% or more but 140% or less in the case where the dispersion-compensating fiber is connected to the single-mode optical fiber whose dispersion slope is 0.06 ps/nm²/km. Similarly, the condition for the total dispersion slope of -0.012 ps/nm²/km or more but 0.012 ps/nm²/km or less corresponds to the state where the dispersion compensation ratio is about 80% or more but 120% or less.

The configuration of such an optical transmission system is not limited to that mentioned above. For example, though the dispersion-compensating fiber 100 is disposed downstream from the single-mode optical fiber 200 in Fig. 2, it may be located upstream from the single-mode optical fiber 200. Also, the optical transmission line of the optical transmission system shown in Fig. 2 may be an optical transmission line network capable of bidirectional

communications. As the optical fiber transmission line 300, not only a single-mode optical fiber but also other modes of optical fibers may be employed as required. If necessary, repeaters and the like can be installed on the transmission line.

Specific embodiments of the dispersion-compensating fiber according to the present invention and their characteristics will now be explained. Here, all of various characteristics such as dispersion and dispersion slope in each of the embodiments set forth in the following are based on results of calculations employing a finite-element method.

(First Embodiment)

5

10

15

20

25

The cross-sectional structure of the dispersion-compensating fiber according to the first embodiment and its refractive index profile are similar to the cross-sectional structure shown in Fig. 1A and the refractive index profile shown in Fig. 1B, respectively; and, in the dispersion-compensating fiber according to the first embodiment, the relative refractive index difference  $\Delta_1$  of the core 1 with respect to the third cladding 4, the relative refractive index difference  $\Delta_2$  of the first cladding 2 with respect to the third cladding 4, the relative refractive index difference  $\Delta_3$  of the second cladding 3 with respect to the third cladding 4, the ratio 2a/2c of the outer diameter 2a of the core 1 to the outer diameter 2c of the second cladding 3, and the ratio 2b/2c of the outer diameter 2b of the first

cladding 2 to the outer diameter 2c of the second cladding 3 are set to 1.803%, -0.334%, 0.084%, 0.1, and 0.3, respectively. These numerical values satisfy the above-mentioned individual conditions (1), (2), (3), (4), (5), and (6).

5

10

15

20

25

For comparison with the dispersion-compensating fiber according to the first embodiment, calculations were also carried out with respect to a dispersion-compensating fiber having a double cladding structure. Fig. 3 shows the refractive index profile 560 of the dispersion-compensating fiber of double cladding structure, which is a comparative example, and, the dispersion-compensating fiber of the comparative example corresponds to a structure in which the second cladding 3 (corresponding to the area 30) is excluded from the triple cladding structure shown in Fig. 1A (i.e., 2d = 2b). Consequently, in the refractive index profile 560 of Fig. 3, areas 561, 562, and 563 indicate the refractive indices of the core portion, first cladding portion, and second cladding portion corresponding to the individual parts on the line L of the core 1, first cladding 2, and third cladding 4 shown in Fig. 1A, respectively. Hence, the dispersion-compensating fiber as the comparative example comprises the core portion having a refractive index n<sub>1</sub> and an outer diameter 2a, the first cladding portion, provided on the outer periphery of the core portion, having a refractive index  $n_2$  ( $< n_1$ ) and an outer diameter 2d; and the second cladding

portion, provided on the outer periphery of the first cladding portion, having a refractive index  $n_3$  (> $n_2$ , and < $n_1$ ); whereas the relative refractive index difference  $\Delta_1$  of the core portion with respect to the above-mentioned second cladding portion is 1.803%, the relative refractive index difference  $\Delta_2$  of the first cladding portion with respect to the above-mentioned second cladding portion is -0.334%, and the ratio 2a/2d of the outer diameter 2a of the core portion to the outer diameter 2d of the first cladding portion is 10 0.35.

Fig. 4A is a graph showing the dependence of dispersion compensation ratio on core's outer diameter concerning the dispersion-compensating fiber of triple cladding structure, whereas Fig. 4B is a graph showing the dependence of 15 dispersion compensation ratio on core's outer diameter concerning the dispersion-compensating fiber of double cladding structure. In these graphs, dotted lines PO to P4 indicate dispersion compensation ratios in the case where connection to a single-mode optical fiber having a 20 dispersion slope of 0.06 ps/nm²/km at a wavelength of 1.55  $\mu m$ is assumed. Namely, dotted line PO indicates the case where the dispersion compensation ratio is 100%, and corresponds to the state where the dispersion is completely compensated for and the total dispersion slope is 0  $ps/nm^2/km$ . Dotted 25 lines P1 and P2 indicate the cases where the dispersion compensation ratio is about 60% and 140%, respectively, and correspond to the states where the total dispersion slope is 0.024 and -0.024

30

ps/nm²/km, respectively. Dotted lines P3 and P4 indicate the cases where the dispersion compensation ratio is about 80% and 120%, respectively, and correspond to the states where the total dispersion slope is 0.012 and -0.012 ps/nm²/km, respectively.

5

10

15

20

25

In the dispersion-compensating fiber of double cladding structure (comparative example) shown in Fig. 4B, the permissible range width of core outer diameter 2a is about 0.14  $\mu \mathrm{m}$  in the dispersion compensation ratio of 80% to 120% and is about 0.22  $\mu m$  in the dispersion compensation ratio of 60% to 140%. In the dispersion-compensating fiber of triple cladding structure according to the present invention shown in Fig. 4A, on the other hand, the permissible range width of core outer diameter 2a is about 0.24  $\mu m$  in the dispersion compensation ratio of 80% to 120%, and is about 0.40  $\mu\mathrm{m}$  in the dispersion compensation ratio of 60% to 150%, whereby it can be seen that the permissible range of dispersion compensation ratio, i.e., the width of range of core outer diameter 2a in which the total dispersion slope can reside within the permissible range thereof, is much wider than that in the dispersion-compensating fiber of double cladding structure.

Fig. 5 shows a table of examples of calculations in which parameters concerning refractive index and outer diameters are changed under the conditions of dispersion < -80 ps/nm/km at a wavelength of 1.55  $\mu$ m and bending loss

 $<10^{-3}\,\mathrm{dB/m}$  at a diameter of 60 mm. In particular, the table of Fig. 5 shows the permissible range of core's outer diameter and its width when the dispersion compensation ratio is 80% to 120%.

5

10

15

20

25

For reducing the size of a module constructed when the dispersion-compensating fiber is wound like a coil, two points, i.e., (a) that the absolute value of the dispersion having a negative value is large and (b) that the bending loss is small, are important in addition to the dispersion compensation ratio and the total dispersion slope. Black points D1 and D2 in Figs. 4A and 4B indicate points where the dispersion is -80 ps/nm/km and -100 ps/nm/km, respectively; and the area on the left side (smaller core outer diameter side) from each point is the range where the dispersion is not greater than the corresponding value whereas the absolute value becomes greater. On the other hand, white points B1 and B2 in Figs. 4A and 4B indicate points where the bending loss at a diameter of 60 mm is  $10^{-3}$ dB/m and  $10^{-6}$  dB/m, respectively; and the area on the right side (greater core outer diameter side) from each point is the range where the bending loss is not greater than the corresponding value. Here, each of the dispersion and bending loss is expressed as a value for light having a wavelength of 1.55  $\mu \mathrm{m}$ .

As for these conditions, the permissible range width of outer diameter 2a of core in the dispersion-compensating

fiber of triple cladding structure shown in Fig. 4A is about 0.26  $\mu$ m when restricted by D1 and B1, and is about 0.06  $\mu$ m when restricted by D2 and B2 which constitute a severer condition. In the dispersion-compensating fiber of double cladding structure shown in Fig. 4B, by contrast, no permissible core's outer diameter range exists even when restricted by D1 and B1 which constitute the mildest condition.

5

10

15

20

25

Thus, the superiority of the dispersion-compensating fiber of triple cladding structure designed so as to satisfy the above-mentioned respective conditions concerning refractive index and outer diameter is clear. In particular, as for any of the condition for the dispersion compensation ratio and total dispersion slope concerning the compensation for dispersion and dispersion slope and the condition for the dispersion and bending loss concerning the forming of a smaller-size module, the permissible range width of core's outer diameter can be made very wide in the dispersion-compensating fiber according to the present invention. This means, in particular, that the permissible range of errors in core's outer diameter at the time of fiber making is wide, whereby it is possible to facilitate the fiber making step and improve the yield thereof.

(Second Embodiment)

As with the first embodiment, the second embodiment has the structure and refractive index profile shown in Figs.

1A and 1B. In the dispersion-compensating fiber according to the second embodiment, the relative refractive index difference  $\Delta_1$  of the core 1 with respect to the third cladding 4, the relative refractive index difference  $\Delta_2$  of the first cladding 2 with respect to the third cladding 4, and the relative refractive index difference  $\Delta_3$  of the second cladding 3 with respect to the third cladding 4 are set to 1.8%, -0.35%, and 0.08%, respectively. Also, the outer diameter 2a of the core 1, the outer diameter 2b of the first cladding 2, and the outer diameter 2c of the second cladding 3 are set to 2.96  $\mu\mathrm{m}$ , 7.4  $\mu\mathrm{m}$ , and 29.6  $\mu\mathrm{m}$ , respectively. In this case, the ratio 2a/2c of the outer diameter 2a of the core 1 to the outer diameter 2c of the second cladding 3 is 0.1, and the ratio 2b/2c of the outer diameter 2b of the first cladding 2 to the outer diameter 2c of the second cladding 3 is 0.25. These values satisfy the above-mentioned conditions (1), (2), (3), (4), (5), and (6).

10

15

20

Various characteristics of the dispersion-compensating fiber at a wavelength of 1.55  $\mu \rm m$  determined under the following conditions:

dispersion: -121 ps/nm/km

dispersion slope: -0.425 ps/nm²/km

4.98  $\mu$ m

effective area ( $A_{eff}$ ): 19.7  $\mu m^2$ 

bending loss at a diameter of 40 mm:  $2.4 \times 10^{-3} \; dB/m$  bending loss at a diameter of 60 mm:  $5.9 \times 10^{-6} \; dB/m$ .

mode field diameter (MFD):

Also, the effective cutoff wavelength was 1.45  $\mu m$ . In the above-mentioned characteristics, the absolute value of dispersion is sufficiently large, and, as for the values of dispersion and dispersion slope, the dispersion compensation ratio becomes substantially 100% with respect to a single-mode optical fiber having a dispersion of 17 ps/nm/km and a dispersion slope of 0.06 ps/nm²/km, for example. Also, the value of bending loss is sufficiently suppressed.

5

10

15

20

25

On the other hand, since the amount of addition of Ge in the core is large in the dispersion-compensating fiber, while its effective area is small, its nonlinear property is so high that transmission characteristics are often adversely affected thereby. Therefore, it has been desired to enhance the effective area in order to suppress the nonlinear property. Hence, in such a dispersion-compensating fiber, it is necessary to achieve a large effective area and a small bending loss at the same time. Fig. 6 shows the relationship between the relative refractive index difference  $\Delta_{\text{1}} \text{ of the core } 1 \text{ with respect}$ to the outermost cladding (the third cladding in the case of the triple cladding structure, and the second cladding in the case of the double cladding structure) and the effective area  $A_{\text{eff}}$  (dependence of the effective area  $A_{\text{eff}}$  on the relative refractive index difference  $\Delta_1$ ) for each of the dispersion-compensating fiber of double cladding structure indicated by C200 (solid line) and the

dispersion-compensating fiber of triple cladding structure indicated by C100 (dotted line). Also, Fig. 7 shows the relationship between the relative refractive index difference  $\Delta_1$  of the core 1 with respect to the outermost cladding (the third cladding in the case of the triple cladding structure, and the second cladding in the case of the double cladding structure) and the bending loss at a diameter of 40 mm (dependence of the bending loss at a diameter of 40 mm on the relative refractive index difference  $\Delta_1$ ) for each of the dispersion-compensating fiber of double cladding structure indicated by C200 (solid line) and the dispersion-compensating fiber of triple cladding structure indicated by C100 (dotted line).

5

10

15

20

25

Though the bending loss can be made smaller as the value of  $\Delta_1$  is greater, the effective area  $A_{\rm eff}$  decreases in this case; and, for increasing the value of  $\Delta_1$ , it is necessary to enhance the amount of addition of  ${\rm GeO}_2$ , for example, whereby Rayleigh scattering increases, thus enhancing transmission loss. Therefore, preferable conditions concerning the effective area and bending loss have been hard to achieve at the same time. By contrast, as can also be seen from Figs. 6 and 7, the dispersion-compensating fiber (triple cladding structure) according to the present invention can yield a greater effective area  $A_{\rm eff}$  and smaller bending loss as compared with the dispersion-compensating fiber based on the double cladding, thus being able to achieve these

conditions at the same time.

## Industrial Applicability

5

10

15

20

As mentioned in the foregoing, since the dispersion-compensating fiber according to the present invention employs a triple cladding structure, while parameters concerning the refractive index and outer diameter of each part thereof are optimized, so as to compensate for the dispersion with respect to a 1.3SMF, it can compensate for the dispersion of the 1.3SMF and, at the same time, can sufficiently compensate for the dispersion slope of the whole optical transmission system as well.

Also, since parameters such as the relative refractive index difference  $\Delta_1$  of the core and the like are set such that the absolute value of dispersion becomes sufficiently large, the length of the dispersion-compensating fiber necessary for dispersion compensation can be shortened, and the bending loss can be made sufficiently small, whereby, when the dispersion-compensating fiber is wound like a coil so as to construct a module, the latter can be made smaller, and an effective compensation for dispersion and dispersion slope can be realized.

- 32 -

Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

The reference to any prior art in this specification is not, and should not be taken as, an acknowledgement or any 10 form of suggestion that that prior art forms part of the common general knowledge in Australia.

The claims defining the invention are as follows:

1. A dispersion-compensating fiber for compensating for a dispersion of a single-mode optical fiber having a predetermined length and a zero-dispersion wavelength near

5 1.3  $\mu m$ , said dispersion-compensating fiber comprising:

a core region extending along a predetermined reference axis and having an outer diameter 2a; and

a cladding region which is a region provided on the outer periphery of said core region, said cladding region 10 comprising:

a first cladding provided on the outer periphery of said core and having an outer diameter 2b;

a second cladding provided on the outer periphery of said first cladding and having an outer diameter 2c; and

5 a third cladding provided on the outer periphery of said second cladding,

wherein a refractive index  $n_1$  of said core, a refractive index  $n_2$  of said first cladding, a refractive index  $n_3$  of said second cladding, and a refractive index  $n_4$  of said third 20 cladding satisfy

 $n_1 > n_3 > n_4 > n_2;$ 

·····•

.....

wherein respective relative refractive index differences  $\Delta_1$  and  $\Delta_2$  of said core and said first cladding

-44-

- 34 -

with respect to said third cladding satisfy

 $1\% \leq \Delta_1 \leq 3\%$ , and

 $\Delta_2 \leq -0.3\%;$ 

wherein the ratio of the outer diameter of said core

5 with respect to the outer diameter of said second cladding
satisfies

 $2a/2c \leq 0.3$ ; and

 wherein, when said single-mode optical fiber with the predetermined length and said dispersion-compensating fiber 10 having a length sufficient for compensating for the dispersion of said single-mode optical fiber are optically connected to each other to constitute an optical transmission system, said dispersion-compensating fiber causes a total dispersion slope in said optical transmission 15 system to have an absolute value of 0.024 ps/nm²/km or less with respect to light having a shortest wavelength and light having a longest wavelength in signal wavelengths within a wavelength band in use, and

wherein said dispersion-compensating fiber, with

20  $\,$  respect to light at a wavelength of 1.55  $\mu m,$  has:

a chromatic dispersion of -80 ps/nm/km or less;

an effective area of 16  $\mu m^2$  or more; and

a bending loss of 0.01 dB/m or less at a diameter of 40

mm.

- 2. A dispersion-compensating fiber for compensating for a dispersion of a single-mode optical fiber having a predetermined length and a zero-dispersion wavelength near
- 5 1.3  $\mu m$ , said dispersion-compensating fiber comprising:
  - a core region extending along a predetermined reference axis and having an outer diameter 2a; and
- a cladding region which is a region provided on the outer periphery of said core region, said cladding region 10 comprising:
  - a first cladding provided on the outer periphery of said core and having an outer diameter 2b;
  - a second cladding provided on the outer periphery of said first cladding and having an outer diameter 2c; and
- 15 a third cladding provided on the outer periphery of said second cladding,

wherein a refractive index  $n_1$  of said core, a refractive index  $n_2$  of said first cladding, a refractive index  $n_3$  of said second cladding, and a refractive index  $n_4$  of said third

 $n_1 > n_3 > n_4 > n_2;$ 

20 cladding satisfy

wherein respective relative refractive index differences  $\Delta_1$  and  $\Delta_2$  of said core and said first cladding with respect to said third cladding satisfy

·····:

- 36 -

 $1\% \leq \Delta_1 \leq 3\%$ , and

 $\Delta_2 \leq -0.3\%$ ;

wherein the ratio of the outer diameter of said core with respect to the outer diameter of said second cladding 5 satisfies

 $2a/2c \leq 0.3$ ; and

...... :.....

•••••••

::::

••••••

wherein, when said single-mode optical fiber with the predetermined length and said dispersion-compensating fiber having a length sufficient for compensating for the dispersion of said single-mode optical fiber are optically connected to each other to constitute an optical transmission system, said dispersion-compensating fiber causes a total dispersion slope in said optical transmission system to have an absolute value of 0.024 ps/nm²/km or less with respect to light having a shortest wavelength and light having a longest wavelength in signal wavelengths within a wavelength band in use, and

wherein said dispersion-compensating fiber, with respect to light at a wavelength of 1.55  $\mu m$ , has:

20 a chromatic dispersion of -80 ps/nm/km or less; an effective area of 12  $\mu m^2$  or more; and

a bending loss of  $10^{-5}\ dB/m$  or less at a diameter of  $40\ mm$  .

\*\*\*\*\*

.....

- 3. A dispersion-compensating fiber according to claim 1 or claim 2, wherein the total dispersion slope in said optical transmission system is caused to have an absolute value of 0.012 ps/nm²/km or less with respect to light having 5 the shortest wavelength and light having the longest wavelength in signal wavelengths within the wavelength band in use.
- 4. A dispersion-compensating fiber for compensating for a dispersion of a single-mode optical fiber having a 10 predetermined length and a zero-dispersion wavelength near a 1.3  $\mu m$  wavelength band, said dispersion-compensating fiber comprising:
  - a core region extending along a predetermined reference axis and having an outer diameter 2a; and
- a cladding region which is a region provided on the outer periphery of said core region, said cladding region comprising:
  - a first cladding provided on the outer periphery of said core and having an outer diameter 2b,
- a second cladding provided on the outer periphery of said first cladding and having an outer diameter 2c; and
  - a third cladding provided on the outer periphery of said second cladding;

wherein a refractive index  $n_1$  of said core, a refractive

- 38 -

index  $n_2$  of said first cladding, a refractive index  $n_3$  of said second cladding, and a refractive index  $n_4$  of said third cladding satisfy

 $n_1 > n_3 > n_4 > n_2;$ 

wherein respective relative refractive index differences  $\Delta_1$  and  $\Delta_2$  of said core and said first cladding with respect to said third cladding satisfy

 $1\% \leq \Delta_1 \leq 3\%$ , and

 $\Delta_2 \leq -0.3\%$ ;

wherein the ratio of the outer diameter of said core with respect to the outer diameter of said second cladding satisfies

 $2a/2c \leq 0.3$ ; and

•:•••

::::: :::::

-:---:

wherein said dispersion-compensating fiber has a length sufficient for compensating for the dispersion of said single-mode optical fiber with the predetermined length, and wherein said dispersion-compensating fiber, with respect to light at a wavelength of 1.55 µm, has:

- a chromatic dispersion of -80 ps/nm/km or less;
- an effective area of 16  $\mu m^2$  or more; and a bending loss of 0.01 dB/m or less at a diameter of 40  $$mm_{\odot}$$ 
  - 5. A dispersion-compensating fiber for compensating

for a dispersion a single-mode optical fiber having a predetermined length and a zero-dispersion wavelength near a 1.3  $\mu m$  wavelength band, said dispersion-compensating fiber comprising:

- 5 a core region extending along a predetermined reference axis and having an outer diameter 2a; and
  - a cladding region which is a region provided on the outer periphery of said core region, said cladding region comprising:
- 10 a first cladding provided on the outer periphery of said core and having an outer diameter 2b
  - a second cladding provided on the outer periphery of said first cladding and having an outer diameter 2c; and
- a third cladding provided on the outer periphery of 15 said second cladding;

wherein a refractive index  $n_1$  of said core, a refractive index  $n_2$  of said first cladding, a refractive index  $n_3$  of said second cladding, and a refractive index  $n_4$  of said third cladding satisfy

 $20 n_1 > n_3 > n_4 > n_2;$ 

..::••

•••••••

....:

wherein respective relative refractive index differences  $\Delta_1$  and  $\Delta_2$  of said core and said first cladding with respect to said third cladding satisfy

 $1\% \leq \Delta_1 \leq 3\%$ , and

- 40 -

 $\Delta_2 \leq -0.3\%;$ 

wherein the ratio of the outer diameter of said core with respect to the outer diameter of said second cladding satisfies

5  $2a/2c \le 0.3$ ; and

wherein said dispersion-compensating fiber has a length sufficient for compensating for the dispersion of said single-mode optical fiber with the predetermined length, and wherein said dispersion-compensating fiber, with

- 10 respect to light at a wavelength of 1.55  $\mu\text{m},\ \text{has:}$ 
  - a chromatic dispersion of -80 ps/nm/km or less;
  - an effective area of 12  $\mu \boldsymbol{m}^2$  or more; and
  - a bending loss of  $10^{-5}$  dB/m or less at a diameter of 40 mm.
- 5 6. A dispersion-compensating fiber according to any one of claims 1 to 5, wherein said dispersion-compensating fiber has a length  $L_{DCF}$  satisfying, with respect to light having a wavelength  $\lambda m$  in signal wavelengths within the wavelength band in use, the following condition:
- 20  $\left|\,D_{\text{SMF}}\left(\lambda m\right) \cdot L_{\text{SMF}} \;+\; D_{\text{DCF}}\left(\lambda m\right) \cdot L_{\text{DCF}}\,\right| \;\leqq\; 200 \text{ ps/nm}$  where

::::: :::::

 $D_{SMF}$   $(\lambda m)$  is the dispersion of the single-mode optical fiber at the wavelength  $\lambda m;$ 

 $L_{\text{SMF}}$  is the length of the single-mode optical fiber;

 $D_{DCF}$   $(\lambda m)$  is the dispersion of the dispersion-compensating fiber at the wavelength  $\lambda m;$  and

 $\ensuremath{L_{\text{DCF}}}$  is the length of the dispersion-compensating fiber.

- 7. A dispersion-compensating fiber according to claim 6, wherein the length  $L_{DCF}$  of said dispersion-compensating fiber satisfies, with respect to all signal wavelengths  $\lambda_{all}$  of light within the wavelength band in use, the following condition:
- 10  $\left| D_{SMF} \left( \lambda_{all} \right) \cdot L_{SMF} + D_{DCF} \left( \lambda_{all} \right) \cdot L_{DCF} \right| \ \leqq \ 200 \ ps/nm$  where

 $D_{SMF}$   $(\lambda_{all})$  is the dispersion of the single-mode optical fiber at all the wavelengths  $\lambda_{all}$  in use;

 $L_{\text{SMF}}$  is the length of the single-mode optical fiber;

15  $D_{DCF}$   $(\lambda_{all})$  is the dispersion of the dispersion-compensating fiber at all the wavelengths  $\lambda_{all}$  in use; and

 $\ensuremath{L_{\text{DCF}}}$  is the length of the dispersion-compensating fiber.

8. A dispersion-compensating fiber according to claim 1 or 2, wherein a relative refractive index difference  $\Delta_3$  of 20 said second cladding with respect to said third cladding satisfies the following condition:

0% < Δ<sub>3</sub> ≦ 0.1%.

::::·

·....

**:.:**::

••••••

•:•••

-:---

9. A dispersion-compensating fiber according to claim

## $2.5 \le 2b/2a \le 3.5$ .

- 5 10. A dispersion-compensating fiber according to claim 1 or 2, wherein, with respect to light having a wavelength of 1.55  $\mu m$ , said dispersion-compensating fiber has a bending loss of 0.1 dB/m or less at a diameter of 60 mm, a polarization mode dispersion of 0.5 ps·km<sup>-1/2</sup> or less, and a 10 transmission loss of 1 dB/km or less.
  - 11. An optical transmission system comprising:
  - a single-mode optical fiber having a predetermined length and a zero-dispersion wavelength near 1.3  $\mu m;$  and
- a dispersion-compensating fiber according to any one of 15 claims 1, 2, 4 or 5;

wherein said optical transmission system has a total dispersion slope whose absolute value is 0.024 ps/nm²/km or less with respect to light having a shortest wavelength and light having a longest wavelength in signal wavelengths within a wavelength band in use.

12. An optical transmission system according to claim 11, wherein the absolute value of total dispersion slope in said optical transmission system is  $0.012 \text{ ps/nm}^2/\text{km}$  or less

....

- 43 -

with respect to light having the shortest wavelength and light having the longest wavelength in signal wavelengths within the wavelength band in use.

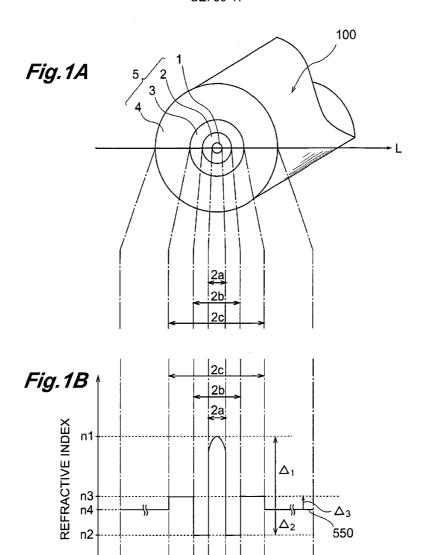
- 13. A dispersion-compensating fiber substantially as
  5 hereinbefore described with reference to the accompanying
  Figs. 1A, 1B, 2, 4A, 5, 6 and 7 and/or Examples.
  - 14. An optical transmission system substantially as hereinbefore described with reference to the accompanying Figs. 1A, 1B, 2, 4A, 5, 6 and 7 and/or Examples.

10

DATED this 13th day of November, 2003.

## Sumitomo Electric Industries, Ltd

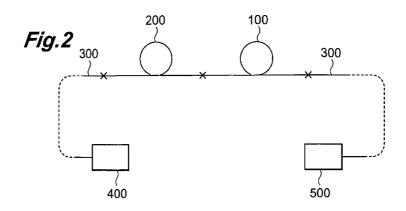
by DAVIES COLLISON CAVE
15 Patent Attorneys for the Applicant

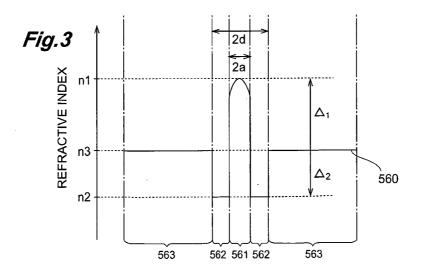


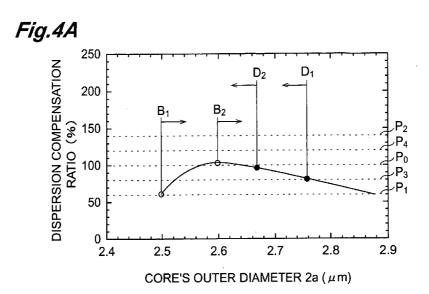
30 20 10 20 30

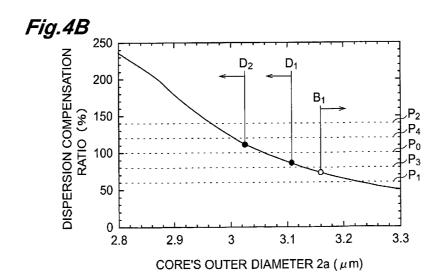
40

40









## Fig. 5

Permissible range width (Max-Min)	0.14	0.07	0.01	0.02	0.06	0.16	0.11	0.02	0.26	0.18	0.06	0.11	0.03
Maximum core's outer diameter Max [ $\mu$ m]	2.83	2.93	2.73	2.74	2.86	2.85	2.81	2.74	2.76	2.7	2.6	2.64	2.57
Minimum core Min [ $\mu$ m]	2.69	2.86	2.72	2.72	2.8	2.69	2.7	2.72	2.5	2.52	2.54	2.53	2.54
2b/2c	0.3	0.5	0.7	0.8	0.43	0.45	0.5	0.7	0.3	0.5	0.6	0.7	8.0
2a/2c	0.1	0.15	0.2	0.25	0.15	0.15	0.15	.0.2	0.1	0.15	0.15	0.2	0.5
$\Delta_3$	0.05	0.05	0.05	0.05	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
$\Delta_2$	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3
Δ'	1.64	1.64	1.64	1.64	1.64	1.64	1.64	1.64	1.80	1.80	1.80	1.80	1.80
Sample No.	-	2	3	4	5	9	7	8	6	10	11	12	13

