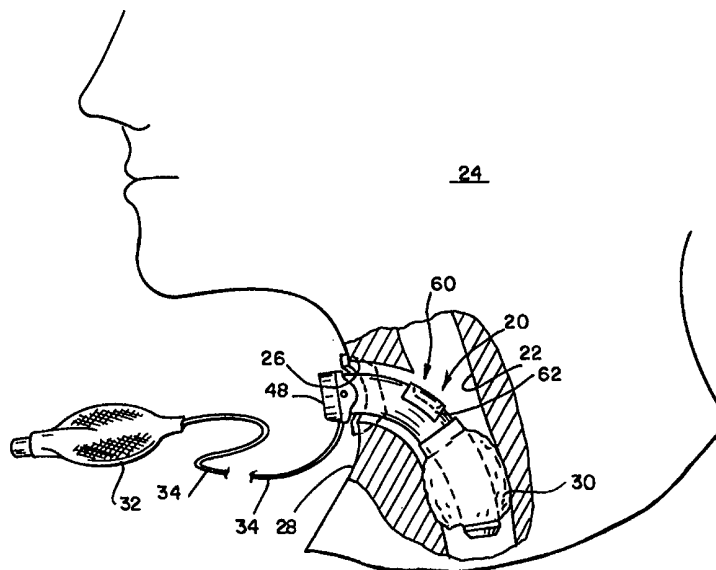




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : A61M</p>	<p>A2</p>	<p>(11) International Publication Number: WO 99/32169 (43) International Publication Date: 1 July 1999 (01.07.99)</p>
<p>(21) International Application Number: PCT/US98/26682 (22) International Filing Date: 16 December 1998 (16.12.98) (30) Priority Data: 08/996,282 22 December 1997 (22.12.97) US (71) Applicant: HANSA MEDICAL PRODUCTS, INC. [US/US]; Suite 200, 7440 North Shadeland Avenue, Indianapolis, IN 46250-2027 (US). (72) Inventor: BLOM, Eric, D.; 2000 West 106th Street, Carmel, IN 46290 (US). (74) Agent: CONARD, Richard, D.; Barnes & Thornburg, 11 South Meridian Street, Indianapolis, IN 46204 (US).</p>		<p>(81) Designated States: AU, BR, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>Without international search report and to be republished upon receipt of that report.</i></p>

(54) Title: VALVED FENESTRATED TRACHEOTOMY TUBE



(57) Abstract

A tracheotomy tube (20) comprises a first port (outside wearer's neck 28) for orienting outside the neck (28) of a wearer, a second port (at opposite end of tube 20 from first port) for orienting within the trachea (22) of the wearer, and a passageway connecting the first and second ports to permit the flow of gases from the first port to the second port on inhalation by the wearer and from the second port to the first port on exhalation by the wearer. The tracheotomy tube (20) further comprises a third port (46) oriented between the first and second ports, and a valve (60, 66, 80, 94) controlling flow through the third port (46). The valve (60, 66, 80, 94) opens to permit flow from the passageway through the third port (46).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

VALVED FENESTRATED TRACHEOTOMY TUBEBackground and Summary of the Invention

This invention relates to improvements in tracheotomy tubes.

5 Various types of tracheotomy tubes are known. Such tubes are available in a number of different materials and designs. Tracheotomy tubes of metal, for example, Tucker metal tracheotomy tubes, are available from, for example, Pilling Weck Incorporated. Tracheotomy tubes constructed from various types of resins, silicones and the like are available from, for example, Shiley Incorporated.

10 According to the invention, a tracheotomy tube comprises a first port for orienting outside the neck of a wearer, a second port for orienting within the trachea of the wearer, and a passageway connecting the first and second ports to permit the flow of gases from the first port to the second port on inhalation by the wearer and from the second port to the first port on exhalation by the wearer. The
15 tracheotomy tube further comprises a third port oriented between the first and second ports, and a valve controlling flow through the third port. The valve opens to permit flow from the passageway through the third port.

According to an illustrative embodiment, the valve comprises a flexible membrane having a slit in it. The slit controls flow through the third port.

20 According to another illustrative embodiment, the valve comprises a flap for covering the third port and a hinge for connecting the flap to the tracheotomy tube.

According to yet another illustrative embodiment, the valve comprises a flexible member for covering the third port. The flexible member and the tracheotomy
25 tube include complementary first and second attachment members, respectively, for attaching the flexible member to the tracheotomy tube. The second attachment member provides an attachment point located within the third port for attachment of the first attachment member to the second attachment member at the attachment point.

30 According to illustrative embodiments, the tracheotomy tube further comprises an inflatable cuff between the second and third ports, and a second passageway for introducing an inflating fluid into the cuff. The cuff can be inflated *in*

situ in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

The invention may best be understood by referring to the following detailed description and accompanying drawings which illustrate the invention. In the
5 drawings:

Brief Description of the Drawings

Fig. 1 illustrates a fragmentary side elevational view of a device constructed according to the invention oriented in the trachea of a wearer;

10 Fig. 2 illustrates a fragmentary side elevational view of another device constructed according to the invention oriented in the trachea of a wearer;

Fig. 3 illustrates a partly exploded perspective view of the device illustrated in Fig. 1;

15 Fig. 4 illustrates a fragmentary sectional view of the device illustrated in Figs. 1-2, taken generally along section lines 3-3 of Figs. 1-2;

Fig. 5 illustrates a fragmentary exploded perspective view of another device constructed according to the invention;

Fig. 6 illustrates a fragmentary sectional view of the device illustrated in Fig. 5, taken generally along section lines 6-6 of Fig. 5;

20 Fig. 7 illustrates a fragmentary sectional view of the device illustrated in Figs. 5-6, taken generally along section lines 7-7 of Figs. 5-6;

Fig. 8 illustrates a fragmentary exploded perspective view of another device constructed according to the invention;

25 Fig. 9 illustrates a fragmentary sectional view of the device illustrated in Fig. 8, taken generally along section lines 9-9 of Fig. 8; and,

Fig. 10 illustrates a fragmentary sectional view of another device constructed according to the invention.

Detailed Description of the Drawings

30 Valved tracheotomy tubes 20 (also frequently referred to as outer cannulae) constructed according to the invention are illustrated in Figs. 1-2 installed in the trachea 22 of a wearer 24. A tracheostoma 26 formed in the front of the wearer

24's neck 28 provides an airway into the trachea 22 entering below the wearer 24's larynx. Tracheotomy tubes are typically available in cuffed (Fig. 1) or cuffless (Fig. 2) varieties. Tube 20 illustrated in Fig. 1 has a cuff 30 and a reservoir 32 for indicating when the cuff 30 is inflated, and connecting conduit 34 for inflating the cuff 30 to seal the cuff 30 against the wall of the wearer's trachea 22. Cuffs such as cuff 30 are useful in a number of different situations, for example, to prevent material which enters the trachea 22 above cuff 30 from getting into the wearer 24's lungs, and to prevent leakage of gases upward past cuff 30 for a wearer on a ventilator. Tracheotomy tubes typically also are provided with some type of mechanism for attachment of the tracheotomy tube to the neck of a wearer. For example, and with reference to Figs. 1-2, the illustrated tracheotomy tube 20 includes a pivotally mounted front plate 36 having a slot 38 adjacent each of its sides 40 to permit attachment of a belt or strap to the sides 40 of front plate 36 and passage of the belt or strap around the neck 28 of the wearer 24.

Where a cuffed tracheotomy tube 20 is worn, a mechanism must be provided for the passage of exhaled gases from the wearer 24's lungs upward past the cuff 30 and out of the tracheotomy tube 20 so that speech may be produced. One way that this has been done is by the provisions of fenestrations 46 above the cuff 30. Exhaled gases flowing upward through the tracheotomy tube 20 can be channeled through the fenestrations 46 by the wearer 24 occluding the port 48 of the tube 20, for example, with a fingertip.

One problem which has been encountered with fenestrated tracheotomy tubes is that the tissue of the trachea 22 adjacent the fenestrations 46 can be irritated by the edges 50 of the fenestrations 46. It must be remembered that the trachea 22 and the tracheotomy tube 20 move relative to each other. The amount of this relative movement may be relatively small, or it may be considerable, depending on the particular wearer 24's circumstances. For example, if the wearer 24 is on a ventilator, there will typically be considerable relative movement between the tracheotomy tube 20 and the wearer's trachea 22. The contact between the edges 50 of the fenestrations 46 and the tissue of the wearer 24's trachea 22 frequently causes direct irritation of the wearer 24's trachea 22 or the formation of so-called granulation tissue. The granulation tissue frequently forms into the fenestrations 46. The presence of

granulation tissue in the lumen of the tracheotomy tube 20 can block passage of airflow for speech and respiration through the fenestrations 46, can create difficulty for the wearer and others, such as health care providers, in removal of the tracheotomy tube 20 and insertion of a new tracheotomy tube 20, and can create difficulty in the
5 removal and reinsertion of an inner cannula which typically resides within the tracheotomy tube 20. Of course, it would be helpful to reduce this distress to the wearer 24. Immediate improvement would be available if granulation tissue were greatly reduced or eliminated.

According to the invention, the fenestration 46 is closed by a valve
10 which is opened by the wearer 24, for example, by occluding the port 48, for example, whenever the wearer 24 wishes to speak. The valve is made sufficiently sensitive that it readily opens under the pressure the wearer 24 typically exerts when the wearer 24 wishes to speak, and closes whenever the pressure drops below that required for speech as the wearer 24 removes his or her finger from port 48 and reestablishes
15 airflow between the wearer 24's lungs and port 48. The valve 60 illustrated in Figs. 1-4 is a slit type valve provided in a membrane 62 of, for example, silicone sheeting. Membrane 62 is attached by any suitable means, such as an appropriate adhesive, to the outer sidewall 64 of tracheotomy tube 20 around fenestration 46. Speech pressure on valve 60 from inside tracheotomy tube 20 opens valve 60, permitting exhaled gases
20 to flow upward through the trachea 22 for the creation of speech.

In another embodiment 66 of the valve illustrated in Figs. 5-7, the valve 66 is a flap type valve attached by a so-called living hinge 68 to its own valve seat 70. The entire valve 66 including the valve flap, the valve seat and the hinge by which the flap is attached to the seat is formed together, for example, from a molded silicone or
25 the like, and is inserted in assembled configuration into the fenestration 46. The illustrated valve 66 is provided with a circumferential groove 72 and the edge 50 of the fenestration 46 with a complementary bead 74 for engaging the groove 72 to aid in the retention of the valve 66 in the tube 20. Of course, this attachment mechanism can be supplemented by a suitable adhesive or the like. The flap 78 of valve 66 assumes the
30 position illustrated in broken lines in Fig. 7 to permit the flow of gases through fenestration 46 and the formation of speech whenever the user occludes port 48. See Figs. 1-2.

In another embodiment of the valve illustrated in Figs. 8-9, the valve 80 is a so-called umbrella type valve attached by a stem 82 to a support 84 which extends across the fenestration 46. The stem 82 is formed with a head 86 which is captured in a hole 88 provided for this purpose in support 84. The umbrella 90 of valve 80
5 assumes the position illustrated in broken lines in Fig. 9 to permit the flow of gases through fenestration 46 and the formation of speech whenever the user occludes port 48. The umbrella 90 and stem 82 illustratively can be molded as a single component from silicone or the like.

In another embodiment of the valve illustrated in Fig. 10, the valve 94 is
10 a flap type valve attached by a living hinge 96 to its own perimetral support 98. The entire valve 94 including the valve flap, the hinge and the perimetral support 98 is formed together, for example, from a molded silicone or the like, and is inserted in assembled configuration into the fenestration 46. The illustrated valve 94 is provided with a circumferential groove 72 and the edge 50 of the fenestration 46 with a
15 complementary bead 74 for engaging the groove 72 to aid in the retention of the valve 94 in the tube 20. Again, this attachment mechanism can be supplemented by a suitable adhesive or the like. The flap 100 of valve 94 assumes the position 100' illustrated in broken lines in Fig. 10 to permit the flow of gases through fenestration 46 out of tube 20 into the trachea 22 of the wearer 24, and assumes the position 100''
20 illustrated in broken lines in Fig. 10 to permit the flow of gases through fenestration 46 into tube 20 from the trachea 22 of the wearer 24.

It will be appreciated from the drawings that the valves 60, 66, 80 all lie virtually flat against the outer side of the wall 64 of the tracheotomy tube 20 or are recessed within the wall 64. This further reduces the likelihood of irritation of the
25 tissue of the trachea 22 of the wearer 24. It will also be appreciated from the drawings that the valves 60, 66, 80 provide essentially no obstruction to the passage of, for example, the inner cannula or other medical instruments, through the lumen 94 of the tracheotomy tube 20. This facilitates inserting and removing the inner cannula or such other medical instruments and the like through the lumen 94 during treatment of the
30 wearer 24, and reduces the likelihood of damage to the valve 60, 66, 80 caused by such insertion and removal.

Claims:

1. A tracheotomy tube comprising a first port for orienting outside the neck of a wearer, a second port for orienting within the trachea of the wearer and a passageway connecting the first and second ports to permit the flow of gases from the first port to the second on inhalation by the wearer and from the second port to the first on exhalation by the wearer, a third port between the first and second ports, and a valve controlling flow through the third port, the valve opening to permit flow from the passageway through the third port.
2. The tracheotomy tube of claim 1 further comprising an inflatable cuff between the second and third ports and second passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.
3. The tracheotomy tube of claim 1 wherein the valve comprises a flexible membrane having a slit in it.
4. The tracheotomy tube of claim 3 further comprising an inflatable cuff between the second and third ports and second passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.
5. The tracheotomy tube of claim 1 wherein the valve comprises a flap for covering the third port and a hinge for connecting the flap to the tracheotomy tube.
6. The tracheotomy tube of claim 5 further comprising an inflatable cuff between the second and third ports and second passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.
7. The tracheotomy tube of claim 1 wherein the valve comprises a flexible member for covering the third port, the flexible member and the tracheotomy tube including complementary first and second attachment members, respectively, for attaching the flexible member to the tracheotomy tube, the second attachment member providing an attachment point located within the third port for attachment of the first attachment member to the second attachment member at the attachment point.

-7-

8. The tracheotomy tube of claim 7 further comprising an inflatable cuff between the second and third ports and second passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

5

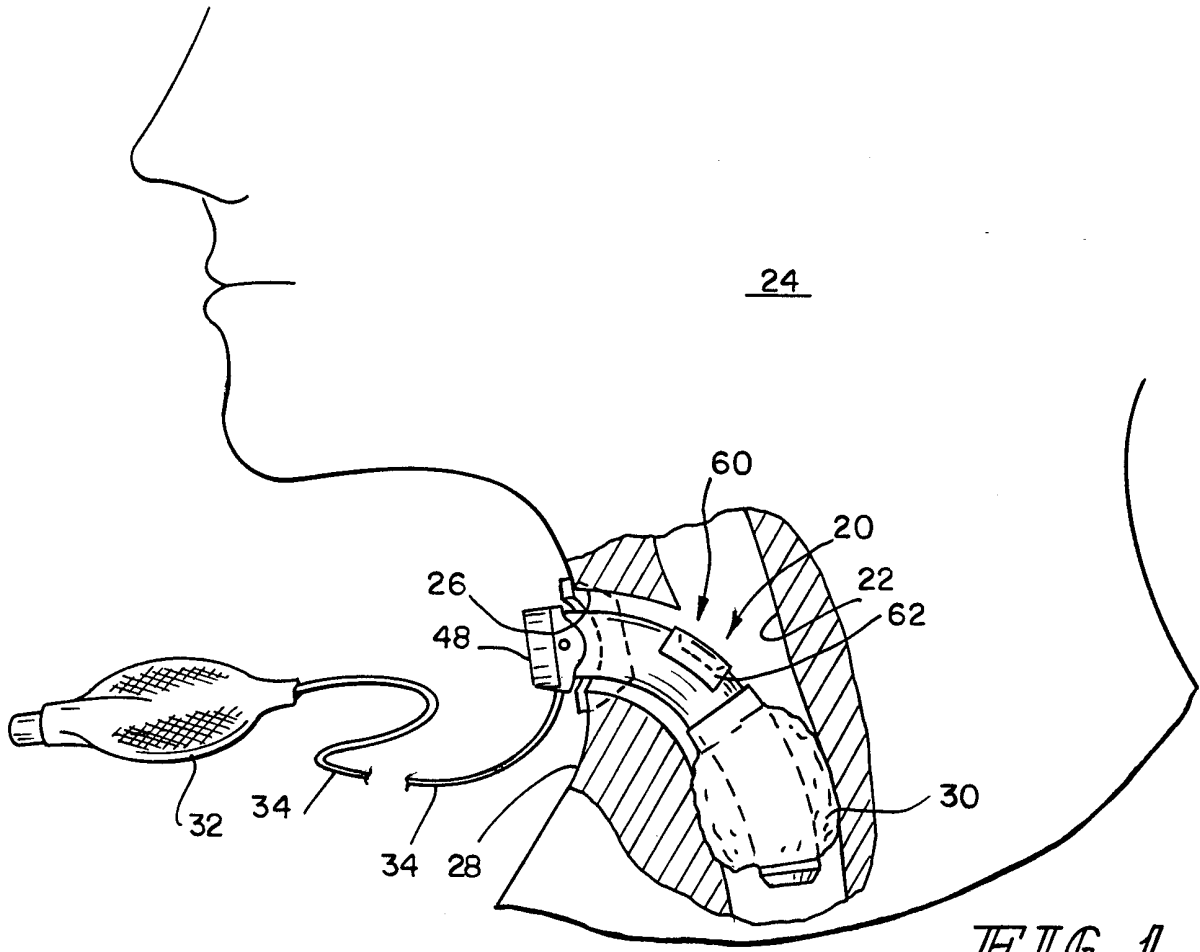


FIG. 1

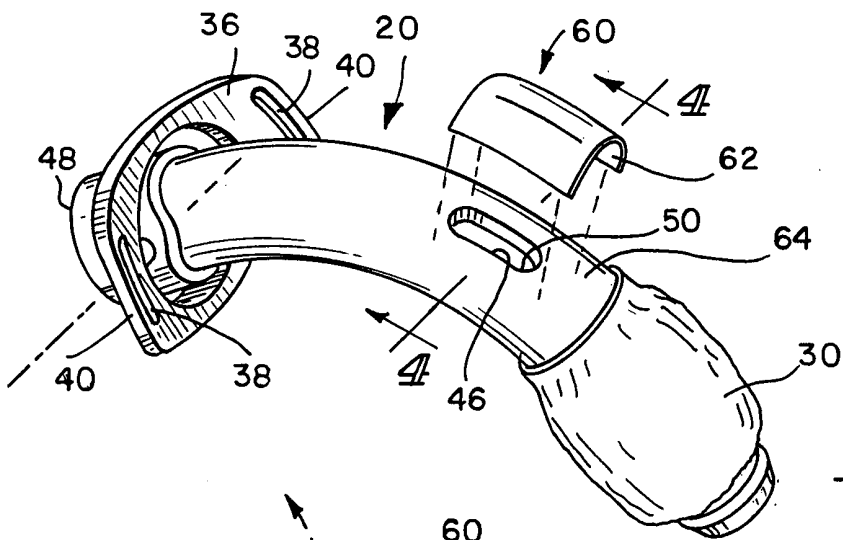


FIG. 3

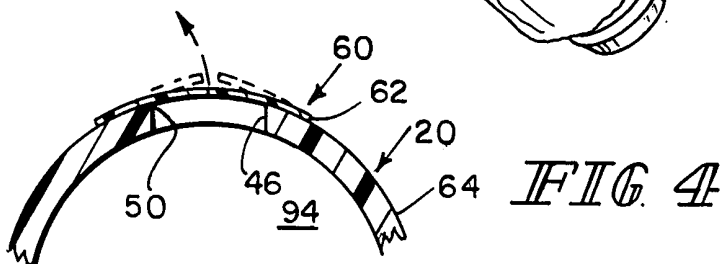


FIG. 4

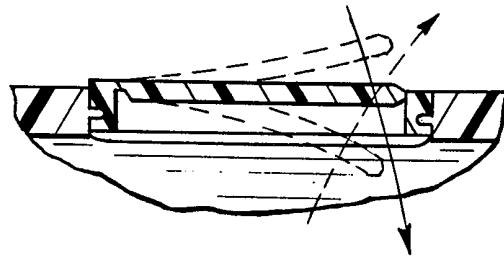
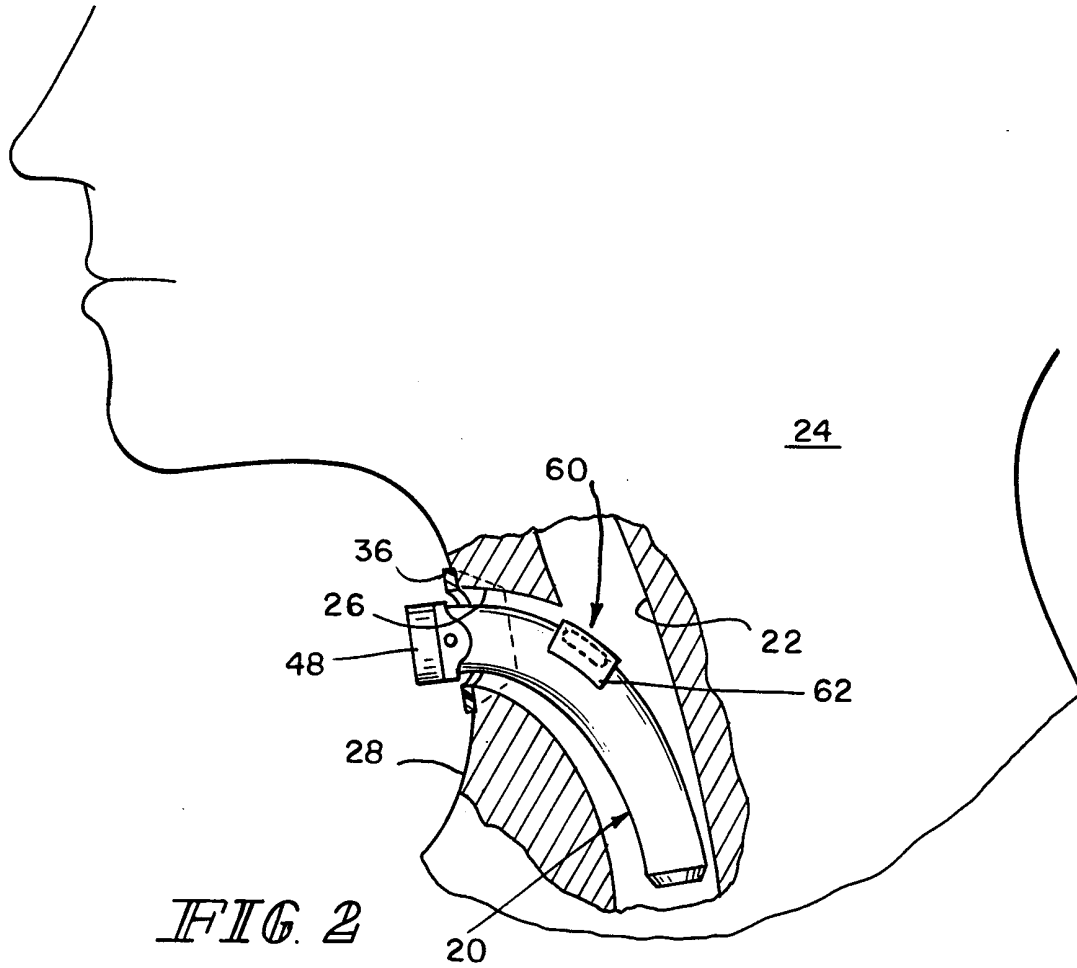


FIG. 10

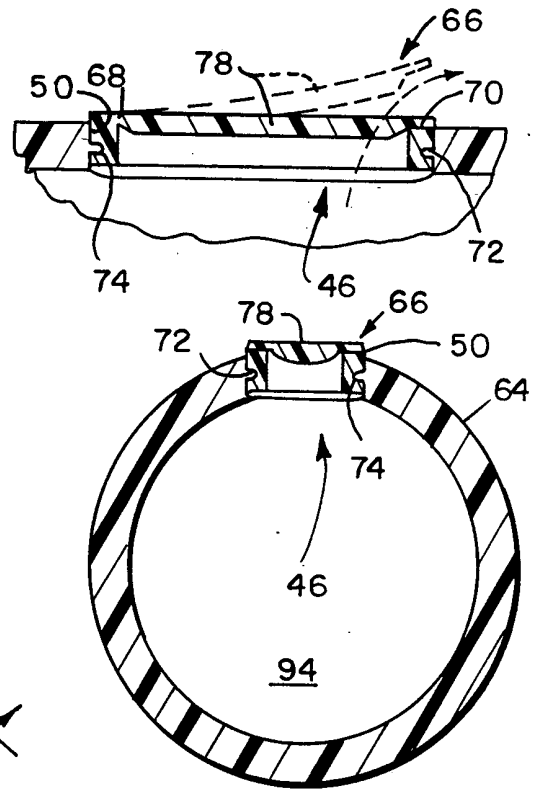
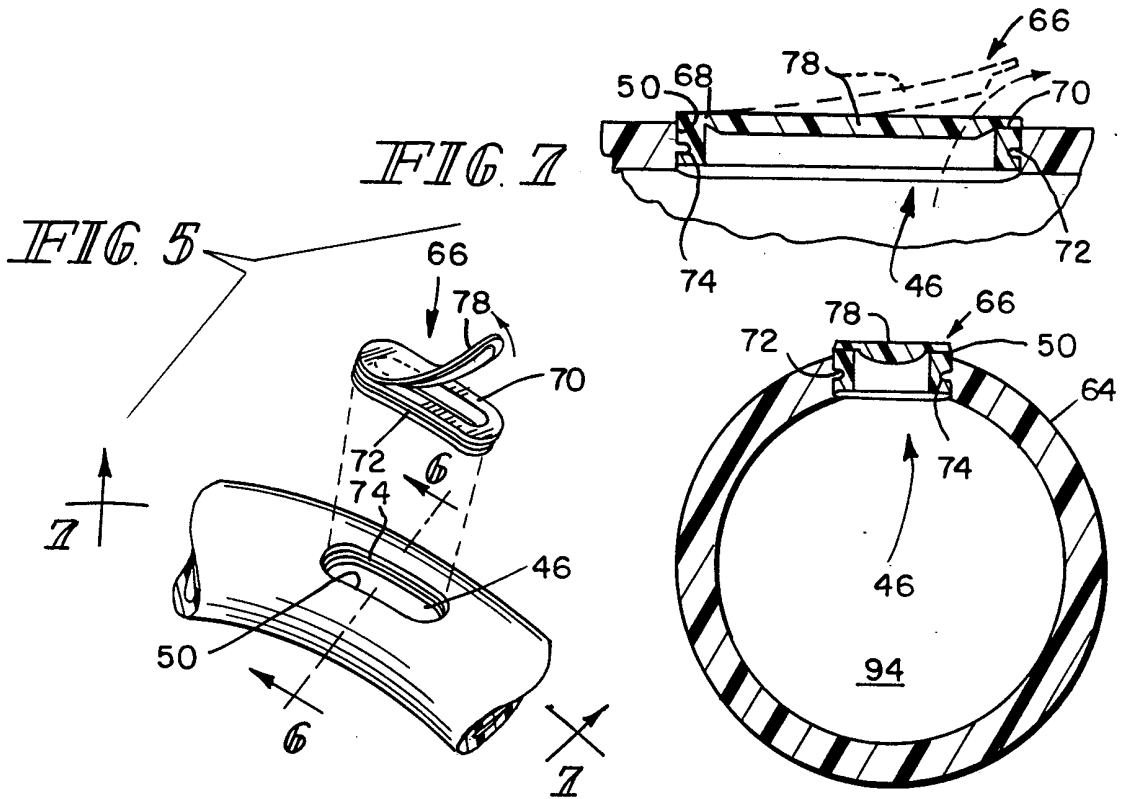


FIG. 6

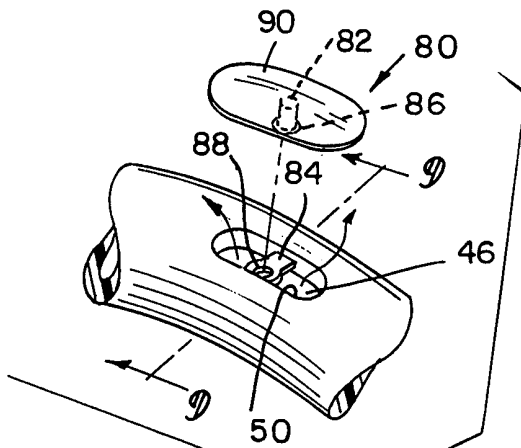


FIG. 8

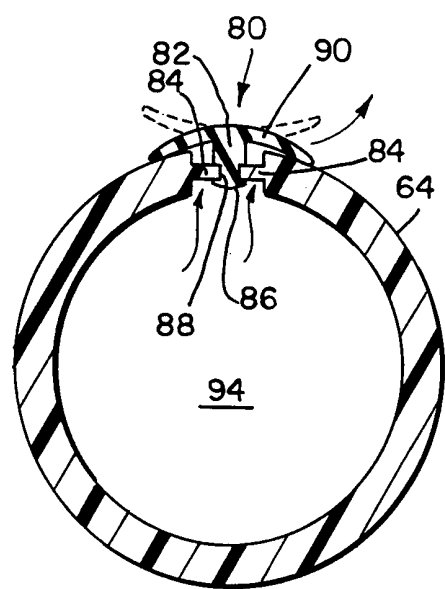


FIG. 9