



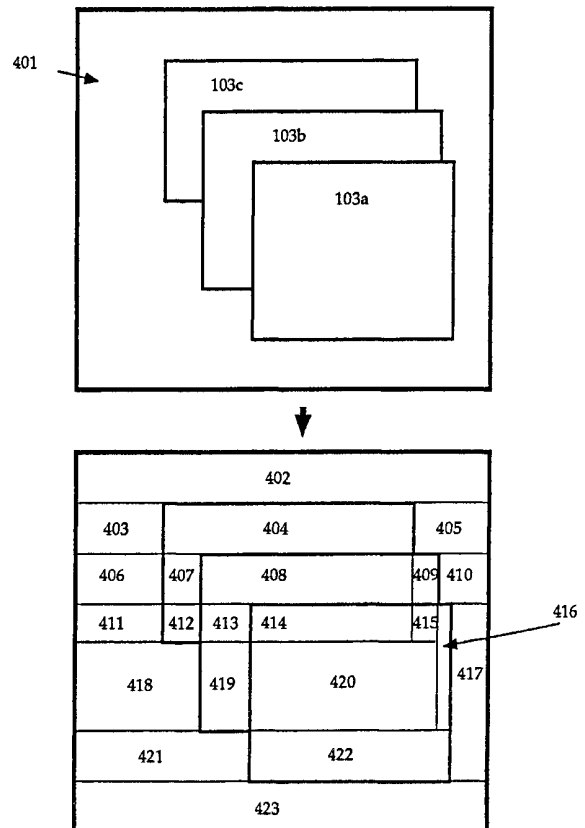
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(54) Title: RENDERING TRANSLUCENT LAYERS

(57) Abstract

A system and method of rendering overlapping layers in a computer display, such as a windowing system, employs front-to-back assembly of the displayed image. An arbitrary number of overlapping elements, such as windows, can be presented, without requiring temporary storage space or additional off-screen buffers. The front-to-back assembly technique minimizes the number of memory transfers performed in connection with rendering an image, and avoids unnecessary reading and processing of pixels that will not contribute to the final image. Special effects such as semi-transparency, shadows, and irregular shapes can be accommodated and processed in an efficient manner.



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RENDERING TRANSLUCENT LAYERS

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BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to computer-implemented display systems, and more particularly to a system and method of rendering translucent and complex-shaped overlapping layers in a display system.

2. Description of Background Art

Many existing display systems are capable of compositing two or more display elements to generate a final image. In such systems, display elements often include overlapping layers, such as for example in a windowing system for a graphical user interface wherein on-screen elements, such as windows, may be moved around and placed on top of one another.

Rendering and displaying an image having two or more overlapping layers presents certain problems, particularly in determining how to render that portion of the image where the layers overlap. When the overlapping layers are opaque, the graphics system need only determine which layer is on "top", and display the relevant portion of that layer in the final image; portions of underlying layers that are obscured may be ignored. However, when overlapping layers are translucent, more complex processing may be called for, as some interaction among picture elements (pixels) in each overlapping layer may take place. Accordingly, some calculation may be required to overlay the image elements in order to derive a final image.

Compositing techniques for performing these calculations are known in the art. See, for example, T. Porter et al., in "Compositing Digital Images", in *Proceedings of SIGGRAPH '84*, 1984, pp. 253-59. Generally, however, such techniques are directed toward compositing only two layers at a time. When more than two layers are to be composited, a number of separate operations must be performed in order to generate the final image. This is generally accomplished by compositing image elements in a bottom-up approach, successively combining each new layer with the results of the compositing operations performed for the layers below.

This step-by-step compositing approach has several disadvantages. If the image is constructed in the frame buffer, on-screen flicker may result as the system writes to the frame buffer several times in succession. Alternatively, the image may be constructed in an off-screen buffer, thus avoiding on-screen flicker; however, such a technique requires additional memory to be allocated for the buffer, and also requires additional memory reads and writes as the final image is transferred to the frame buffer.

In addition, step-by-step generation of the final image may result in poor performance due to the large number of arithmetic operations that must be performed. Writing data to a frame buffer is particularly slow on many computers; therefore, conventional systems which write several layers to the frame buffer in succession face a particularly severe performance penalty.

Finally, such a technique often results in unnecessary generation of some portions of image elements that may later be obscured by other image elements.

Conventionally, arbitrarily shaped windows and layers are accomplished by dividing a window into rectangular areas and/or blocking out some portions of a rectangle to make a rectangular layer appear to be arbitrarily shaped. Such techniques often result in additional processing time for areas of the windows that may have no effect on the final image.

What is needed is a system and method for rendering translucent layers, which avoids the above-referenced deficiencies and allows compositing of multiple layers without causing screen flicker and without requiring additional off-screen

buffers. What is further needed is a rendering system and method that improves the performance of prior art systems when generating images having multiple overlapping translucent layers. What is further needed is a rendering system and method that avoids unnecessary generation of image element portions that will be obscured by other image elements in the final image.

SUMMARY OF THE INVENTION

In accordance with the present invention, there is provided a rendering system and method that facilitates efficient compositing of translucent and complex-shaped overlapping layers. An alpha channel is provided for each layer, in order to allow transparency characteristics to be specified on a pixel-by-pixel basis within the layer. Rendering is performed in front-to-back sequence, so that the invention is able to avoid unnecessary computations on layer portions that do not contribute to the final image. In addition, in one embodiment, compositing is made more efficient by first subdividing the image area into rectangles, wherein the set of overlapping layers (and their ordering) for all points within a given rectangle is constant. Compositing operations can then be performed on each rectangle separately, so as to avoid complex and time-consuming bounds-checking operations inside the innermost loop of the processing operation.

The present invention renders two or more overlapping layers, each having an alpha channel, into a destination bitmap. The destination bitmap may be the frame buffer of a video system, for example. For each pixel in the destination bitmap, the present invention processes the layers having a corresponding pixel in front-to-back sequence, accumulating color and alpha values. Once the accumulated alpha channel reaches full opacity, the present invention stops working on that pixel; any pixels lying beneath the stopping point would be completely obscured (since full opacity has been reached) and need not be processed.

The present invention thus avoids reading pixel data that corresponds to pixels that do not contribute to the final image. This economy in image processing

results in improved performance of the graphics system. In addition, if the destination bitmap is the frame buffer, flickering effects are reduced because each pixel in the destination bitmap is written at most once. The present invention also enables easy implementation of visual effects such as fading in or fading out of windows, as well as shadows, glow effects, and the like.

Additional performance enhancement is achieved, in one embodiment, by tagging the topmost layer that has changed since the last update. If the front-to-back compositing operation stops before reaching the tagged layer, it is not necessary to write the result to the output bitmap (the frame buffer), since the output bitmap already contains the same data, from the last update. The present invention can thus avoid unnecessary write operations in such a situation, and thereby improve system performance, particularly when output is being written to the frame buffer.

In one embodiment, additional optimization is achieved by initially subdividing the destination bitmap into a number of tiles, each tile containing the same stack of layers to be rendered. By performing such subdivision, the present invention is able to make determinations as to which layers may contribute to the final image on a tile-by-tile basis rather than on a pixel-by-pixel basis. This simplifies the bounds-checking process, and minimizes the number of calculations that need be made in the innermost loop of the process, thus improving overall performance.

The present invention can be implemented, for example, in a windowing system to provide efficient rendering of overlapping translucent windows in an improved graphical user interface.

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BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of the overall architecture of an embodiment of the present invention.

Fig. 2 is a diagram showing an example of the output of the present invention.

Fig. 3 is a diagram showing top-down processing of a pixel according to the present invention.

Fig. 4 is a diagram showing an example of tile subdivision according to one embodiment of the present invention.

5 Fig. 5 is a diagram showing an example of tile subdivision according to one embodiment of the present invention.

Fig. 6 is a flowchart showing the operation of one embodiment of the present invention.

10 Fig. 7 is a flowchart showing color and alpha merging according to one embodiment of the present invention.

Fig. 8 is a flowchart showing tile subdivision according to one embodiment of the present invention.

Fig. 9 is a flowchart showing a method of joining tiles according to one embodiment of the present invention.

15 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to Fig. 1, there is shown a block diagram of the overall architecture of one embodiment of the present invention. In this embodiment, system 100 is implemented on a conventional personal computer having a central processing unit and/or graphics processor 101 and a display 105 such as a cathode ray tube (CRT) or liquid crystal display (LCD) device. The steps of the present invention may be stored as software in an operating system or application software for execution by processor 101 in the computer, as is known in the art. For example, system 100 may be implemented on a Macintosh® computer, from Apple Corporation, running the MacOS operating system, and the software may form part of a Window Server module within the operating system.

25 Layer data 103 represent source images to be composited for display on display 105. For example, layer 103 may include bitmap representations of windows, graphical user interface (GUI) elements, photographs, drawings, animations, and the like. In one embodiment, each layer 103 may be of any

arbitrary shape and size, and may contain translucent, transparent, and opaque regions, in any combination desired. In one embodiment, each layer 103 contains a plurality of data structures 106-108 for storing color (RGB) values, alpha values, and fade values, as appropriate. Any number of layers 103 may be provided for
5 processing by system 100.

Graphics processor 101 may be implemented as a conventional central processing unit (CPU) of the computer, or it may be a dedicated processor for graphics operations. Accumulator 102, in one embodiment, is a register or memory location for temporary storage of values being calculated. As will be described
10 below, more than one accumulator 102 may be provided, and in one embodiment the present invention is able to process several pixels in parallel by employing multiple accumulators 102.

Frame buffer 104 is an area of memory, such as random access memory (RAM), that is reserved for storage of display data, as is known in the art. In one
15 embodiment, frame buffer 104 is connected to display 105 so that display 105 outputs the contents of frame buffer 104 after processor 101 has written results to frame buffer 104. In other embodiments, processor 101 may write images to other areas of memory (not shown), or to disk (not shown), or to some other storage device, rather than to frame buffer 104.

Referring now to Fig. 2, there is shown an example of the output of the
20 present invention. Three layers 103 are provided as input to system 100 for compositing. Output image 204, as may be shown on display 105, contains all three layers 103 overlapping one another. As can be seen from the Figure, layers 103 can be of any arbitrary shape, and may contain opaque, transparent, and/or
25 translucent regions, in any combination. In one embodiment, all layers are provided as rectangular regions, so that arbitrarily-shaped images can be represented as rectangular layers having both transparent and non-transparent regions. Compositing of transparent layers or areas is a trivial operation, as the underlying image is unaffected by the presence of an overlapping transparent area.

In one embodiment, each layer 103 contains a plurality of pixels, each pixel
30 having a color value. The color value of each pixel represents a color according to a

conventional color-encoding scheme (such as a red-green-blue, or RGB color encoding scheme). In one embodiment, each pixel also has an alpha value representing a relative degree of transparency (or opacity) for that pixel. Such a technique facilitates full control over the transparency of each layer on a pixel-by-pixel basis, permitting translucency effects as well as arbitrarily-shaped images.

As shown in the example of Fig. 2, the present invention is able to generate output that combines several translucent and complex-shaped windows. Thus, the invention can be employed to enable an on-screen windowing system containing a graphically rich user interface in which overlapping elements or windows are translucent, so that the user can see underlying elements.

Referring now to Fig. 3, there is shown an example of top-down processing of a pixel according to the present invention. In one embodiment, the present invention processes each pixel of the image separately. In another embodiment, several pixels may be processed in parallel using a number of accumulators, as will be described below.

For each pixel 301 in the image, one or more layers 103 may contribute to the color value of pixel 301. Generally, layers 103 that may contribute to the color value are those layers 103 which contain image data corresponding to the physical coordinates associated with the image pixel being processed. Before processing a pixel 301, accumulator 102 is reset. Then, for each contributing pixel 301 in one of layers 103, the present invention accumulates the color and alpha values of the contributing pixel 301 to derive a final value for the image pixel.

As is known in the art, each layer 103 has a relative "vertical" position. The vertical position of a layer 103 is a conceptual representation of the order in which the layers are stacked on one another. Thus, if the final image portrays layer B as a window that partially obscures a portion of layer A, layer B would be considered "on top" of layer A.

In one embodiment, the invention reads pixel data from layers 103 in top-down order, with the topmost layer 103 being accumulated first. As each pixel's data is read, it is merged with the current value of accumulator 102 using a compositing method as described in more detail below. In one embodiment, the

invention accumulates alpha values as well as color values. If the accumulated alpha value indicates full opacity before all layers 103 have been processed, processing for the current pixel may be terminated, since any layers 103 beneath the current layer would be completely obscured by the layers 103 that have already
5 been processed. In this way, the present invention is able to avoid reading and processing pixel data that does not contribute to the final image.

Once the invention has read all layers 103 that may contribute to pixel 301, or once full opacity has been reached, the value in accumulator 102 is output. In one embodiment, the value is written directly to frame buffer 104. Since the
10 accumulation of color values occurs in accumulator 102, only one write to frame buffer 104 is required, so that excessive flickering of the on-screen display (due to multiple writes to frame buffer 104) is avoided. Furthermore, since accumulation occurs in accumulator 102, no off-screen buffer for temporary storage of an image is required, as accumulator 102 output can be written directly to frame buffer 104.

15 Referring now to Fig. 6, there is shown a flowchart of the operation of the invention according to one embodiment. The steps shown in Fig. 6 may be performed for each pixel in the final image for which multiple layers have corresponding pixels. Processor 101 initializes 602 accumulator 102 with initial color and alpha values. In one embodiment, accumulator 102 is implemented as a
20 register in processor 101. In another embodiment, two or more accumulators 102 are provided, so that the steps of Fig. 6 are performed concurrently for a number of pixels.

Processor 101 selects 603 a layer 103 from among those layers having a pixel corresponding to the position of the pixel being rendered. In one embodiment the
25 topmost layer 103 is selected, so as to implement top-down processing, though in other embodiments, any layer 103 may be selected.

Processor 101 identifies a pixel in layer 103 having a position corresponding to the image pixel being rendered, and merges 604 the color value of the identified pixel with the current value of accumulator 102. In one embodiment, processor 101
30 also merges the alpha value of the identified pixel with an alpha value stored in accumulator 102.

Processor 101 then determines 605 whether any remaining layers can contribute to the color value of the image pixel being rendered. In one embodiment, where top-down processing is being used, this determination is made by checking whether the accumulated alpha value indicates that full opacity has been reached. If full opacity has been reached, no underlying pixels can contribute to the final color value of the image pixel. If no remaining layers can contribute, the accumulated color value in accumulator 102 is output 606. In one embodiment, the value is output to frame buffer 104 for display on display 105, though output to other memory locations or storage devices may instead be performed.

10 If in step 605, accumulator 102 does not indicate full opacity, or some other determination is made that additional layers 103 need be processed, system determines 607 whether any additional layers exist that have pixels corresponding to the image pixel being rendered. If not, the accumulated color value in accumulator 102 is output 606.

15 If in step 607, additional layers 103 remain, processor 101 selects 608 another layer 103 from among those layers having a pixel corresponding to the position of the image pixel being rendered. In one embodiment the topmost remaining layer 103 is selected, so as to implement top-down processing, though in other embodiments, any remaining layer 103 may be selected. Processor 101 then returns to step 604.

20 Steps 604, 605, and 607 are repeated until either full opacity has been reached, or some other determination is made that any remaining layers 103 cannot contribute to the color value of the image pixel being processed, or no layers 103 remain.

25 The steps of Fig. 6 may be repeated for each pixel in the image, as needed. Pixels may be processed in any order.

In one embodiment, in step 603 processor 101 loops through each successive layer (starting with the top layer) until it finds a layer containing a non-transparent pixel for the pixel position being rendered. If the first non-transparent pixel is found to be fully opaque, the result can be immediately written to the frame buffer

without performing any merging operations. If the pixel is not fully opaque, blending and merging operations continue with step 604.

In one embodiment, processor 101 merges color and alpha values in step 604 according to known compositing techniques, such as those described in T. Porter et al., in "Compositing Digital Images", in *Proceedings of SIGGRAPH '84*, 1984, pp. 253-59. Referring now to Fig. 7, there is shown a method of merging color and alpha values. Opacity and color values are initialized 701 by setting values to zero. A first layer is selected 702. If full opacity has been reached (indicated by an opacity value reaching a predetermined threshold, such as 1.0), the process ends 10 708.

As long as full opacity has not been reached, and additional layers exist, steps 703 through 707 are repeated. In 704, the fade value for the pixel is determined, using the equation:

$$\gamma = \delta_n \circ \alpha_n(x, y) \circ (1 - \alpha) \quad (\text{Eq. 1})$$

15 where:

δ_n is the overall fade value for layer n;

$\alpha_n(x, y)$ is the opacity (alpha) value of the current pixel in layer n; and

α is the accumulated opacity (alpha) value.

In 705, the color values for the pixel are adjusted by the fade value, and 20 added to the accumulator, using the equations:

$$r = \gamma \circ r_n(x, y) + r \quad (\text{Eq. 2})$$

$$g = \gamma \circ g_n(x, y) + g \quad (\text{Eq. 3})$$

$$b = \gamma \circ b_n(x, y) + b \quad (\text{Eq. 4})$$

where:

25 $r_n(x, y)$, $g_n(x, y)$, $b_n(x, y)$ are color values of the current pixel in layer n; and

r , g , b are the accumulated color values.

In 706, the fade value for the pixel is added to the opacity accumulator, by:

$$\alpha = \gamma + \alpha \quad (\text{Eq. 5})$$

30 In 707, the next layer is selected (n is incremented).

In the embodiment represented by Fig. 7, the invention stores input layer values without pre-multiplication, and stores accumulated values in pre-multiplied form. This technique reduces the number of operations that need be performed in the loop, since the final color values are already in multiplied form.

5 The fade value δ_n scales the opacity of the layer as a whole. The use of the fade value is not essential to practicing the present invention; however, it facilitates effects such as fade-out or translucent dragging of layers. Fade values are known in some applications, such as PhotoShop, from Adobe Corporation.

The above description merely portrays one possible technique for merging
10 color and alpha values in accumulator 102. Other compositing techniques may be used, as will be apparent to one skilled in the art. In one embodiment, each layer could be associated with a different compositing method, if appropriate.

It has been found that the above-described technique is able to generate an
15 image pixel using at most $N+1$ read/write operations (N reads, 1 write), where N is the number of layers that can contribute to the pixel. Prior art systems generally require $3(N-1)$ read/write operations ($2(N-1)$ reads, $(N-1)$ writes). For example: if four layers A, B, C, and D are available, the present invention would perform the following read/write operations:

- Read A
- 20 • Read B
- Read C
- Read D
- Write result

Thus, where $N = 4$, at most $N+1 = 5$ operations are performed. By ac-
25 cumulating the result in accumulator 102, the total number of read/write operations are significantly reduced. In addition, the pixel-by-pixel top-down approach of the present invention further reduce the number of operations to be performed.

For example, one prior art technique known as the "Painter's Algorithm",
30 and described in Foley et al., Computer Graphics: Principles and Practice, 1990, at p. 673, would composite the four layers as follows:

- Read C
- Read D
- Write result of C+D
- Read B
- 5 • Read result of C+D
- Write result of B+C+D
- Read A
- Read result of B+C+D
- Write result of A+B+C+D

10 Thus, where $N=4$, the prior art technique performs $3(N-1) = 9$ read/write operations. In addition, all nine read/write operations are performed even if some of the underlying layers may not contribute to the final result; whereas the present invention is able to reduce the number of operations when some layers may not contribute.

15 In addition to reducing the number of read/write operations, the present invention also reduces the number of computation operations involved, as can be seen from the above example.

In one embodiment, further optimization may be achieved as follows. System 100 can tag the topmost layer 103 that has been changed since the last time
 20 data has been written to frame buffer 104. If, in performing the front-to-back processing method described above, processor 101 stops before reaching the tagged layer 103, it is not necessary to write the new image pixel to frame buffer 104, since frame buffer 104 will already contain the same value. Thus, in a system where access to video memory is relatively slow, significant performance improvements
 25 can be attained by avoiding unnecessary writes to frame buffer 104.

In one embodiment, the invention subdivides the image area in a pre-processing step, before beginning the method described above in connection with Fig. 6. Referring now to Fig. 4, there is shown an example of image subdivision according to this embodiment.

30 Image 401 contains three layers 103a, 103b, and 103c to be composited. The image area can thus be subdivided into tiles 402 through 423. For each tile, a given

set of layers 103 is able to affect pixels within the tile. Thus, in the example of Fig.

4:

- tiles 402, 403, 405, 406, 410, 411, 417, 418, 421, and 423 are rendered without accessing any layers (background only);
- tiles 404, 407, and 412 are rendered using data from layer 103c;
- tiles 408 and 413 are rendered using data from layers 103b and 103c;
- tiles 409 and 419 are rendered using data from layer 103b;
- tile 414 is rendered using data from layers 103a, 103b, and 103c;
- tiles 415 and 420 are rendered using data from layers 103a and 103b; and
- tiles 416 and 422 are rendered using data from layers 103a.

Thus, each tile contains the same stack of layers to be combined in rendering the final image. In this way, most of the decision steps as to which layers may contribute to the values for a given pixel may be performed outside the innermost loop, since such decisions are constant for all pixels within a given tile. This simplifies the bounds-checking processes and improves performance, since it reduces the number of times such decision steps are performed.

Referring now to Fig. 5, there is shown an example of the subdivision technique of one embodiment of the present invention. Tile 502 represents a previously subdivided tile, or the entire image. Layer 501 is superimposed on tile 502, resulting in subdivision of tile 502 into new tiles 503 through 507. Generalizing, this tile subdivision operation is performed as follows: for each layer 501 that has at least one side cutting through tile 502, tile 502 is subdivided by extending the top and bottom boundaries of layer 501 to the left and right edges of tile 502. Resulting tiles 503 through 507 are stored in a tile list for later retrieval by processor 101 when processing individual pixels. Some of new tiles 502 through 507 may have zero width or height, such as when layer 501 is not completely inside tile 502. If so, such degenerated rectangles are not stored in the tile list. Tile subdivision is described in more detail below, in connection with Fig. 8.

Other techniques of tile subdivision may be performed that may produce other results. It has been found that, for pixel traversal algorithms that are oriented

along horizontal scan-lines, subdivision resulting in a minimal number of vertical cuts is advantageous, whereas the number of horizontal cuts is not as important.

In one embodiment, the tile list is sorted in y-order before actual compositing begins. By performing this step, the system is able to execute the update
5 in a more orderly fashion, and reduce visible "tearing" that can occur when a number of updates are requested in quick succession.

In one embodiment, after initial tile subdivision is performed, the tile list is traversed and adjacent tiles are joined if possible, so as to reduce the tile count for the compositing phase. Thus, in the example of Fig. 4, tiles 402, 403, 405, 406, 410,
10 411, 417, 418, 421, and 423 could be merged into one tile; tiles 404, 407, and 412 could be merged into a second tile; and so on. Joining tiles is described in more detail below, in connection with Fig. 9.

In one embodiment, certain tiles can be marked "frozen", indicating that they should not be further subdivided even when other layers intersect the tile.
15 This technique serves to avoid further splitting when a tile has been created that contains a part of a completely opaque layer, since all layers that lie below the opaque one cannot be visible, so that further subdivision is not needed.

When tile subdivision is combined with the method described above in connection with Fig. 6, all pixels within a particular tile may be processed before
20 proceeding to the next tile. In this manner, determinations as to which layers may contribute to output values may be performed at the tile level, rather than at the pixel level, thus reducing the number of operations to be performed. In addition, in one embodiment, special case algorithms may be developed for certain combinations of layers to be composited, and applied to tiles containing those
25 combinations.

In one embodiment, as described above, several pixels may be processed simultaneously. For example, in one embodiment, eight pixels are processed in parallel, using a processor 101 that is capable of accumulating eight values simultaneously, such as AltiVec or MMX-enabled processors. Parallel processing
30 may also be advantageous when the invention is implemented on systems having a

large number of execution units, such as the IA-64 architecture from Intel corporation.

Referring now to Fig. 8, there is shown a flowchart depicting tile subdivision according to one embodiment of the present invention. The system starts with a
5 single tile, which represents the screen area, and then adds layers top-down by calling
ing

```
10 cutTiles(struct TileInfo *tile, int x,int y, int w,int h,  
struct Layer *layer, BOOL opaque);
```

which extends the tile list as needed. The opaque flag is used to freeze tiles, as described above.

The cutTiles routine may be implemented as shown in the flowchart of Fig.
15 8. The steps of Fig. 8 are repeated for each existing tile in the image, so that processor 101 begins by selected 802 the next tile, if any. If the tile is frozen 803, or if the tile is completely outside 804 the area specified in the call to cutTiles, processor 101 determines 810 any more tiles exist, and proceeds 802 to the next tile. If no more tiles exist, the process ends 811.

20 If the tile is completely inside 805 the specified area, a new layer is created 806 corresponding to the tile, and is designated frozen if the area is opaque.

If the tile is neither completely inside or completely outside the specified area, there is overlap. Tile overlap is determined 807, and a new tile is created 808 accordingly, to represent the overlapping region. Coordinate values are assigned
25 809 to the new tile.

Once the tile has been processed, processor 101 determines 810 whether additional tiles exist, and if so, returns to 802 to select the next tile.

As described above, in one embodiment, after initial tile subdivision is
30 performed, the tile list is traversed and adjacent tiles are joined if possible, so as to reduce the tile count for the compositing phase. Referring now to Fig. 9, there is

shown a flowchart depicting a method of joining tiles according to one embodiment.

Processor 101 selects a tile 901 to be processed. If in 902, processor 101 determines that the tile is adjacent to another tile *t* having the same set of layers, the tile edges are adjusted 903 to include the area of tile *t*, and tile *t* is deleted 904. If more tiles are to be processed 905, processor 101 returns to 902.

In one embodiment, the above-described invention is used to implement a windowing system capable of displaying overlapping translucent windows in a graphical user interface. Each window is represented as one or more layers, as shown in Fig. 2. Color and alpha values associated with a window are composited as described above for overlapping tiles. When an application draws into the layer bitmap corresponding to its backing store, and requests an update on the screen, the present invention updates the screen by reassembling the corresponding portion of the frame buffer using the compositing techniques described above. Opening, closing, resizing, or moving a window triggers the tile subdivision method described above (if it is being used).

In such a windowing system, fade values as described above can be used to implement fading in and out of windows when they are opened or closed. This is accomplished by gradually changing the fade value of the layer corresponding to the window being opened or closed.

A windowing system using the above-described techniques may also implement an event routing scheme that differs from those associated with conventional overlapping windowing systems in which the topmost window at the cursor location is normally the target of a cursor-driven event. In the present system, for example, when a user performs a mouse click, the mouse-click event is sent to a particular window which may not necessarily be the topmost window at the cursor location. In one embodiment, the topmost non-transparent window at the cursor location is the target of the mouse event. In another embodiment, some layers may be designated as not accepting events at all; for example, a layer that contains the shadow of a window should not accept any events. As will be clear to

one skilled in the art, alternative event routing schemes could also be used in connection with a windowing system as implemented according to the present invention.

5 From the above description, it will be apparent that the invention disclosed herein provides a novel and advantageous system and method of rendering translucent and complex-shaped layers in a display system. The foregoing discussion discloses and describes merely exemplary methods and embodiments of the present invention. As will be understood by those familiar with the art, the
10 invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. Accordingly, the disclosure of the present invention is intended to be illustrative, but not limiting, of the scope of the invention, which is set forth in the following claims.

What is Claimed is:

- 1 1. A computer-implemented method for combining at least two overlapping
2 layers to render an image, the image containing a plurality of image pixels, each
3 overlapping layer containing a plurality of layer pixels, each layer pixel
4 corresponding to one of the image pixels, the method comprising:
- 5 a) for at least one image pixel:
- 6 a.1) initializing an accumulator color value;
- 7 a.2) selecting one of the overlapping layers having a layer
8 pixel corresponding to the image pixel, the layer pixel
9 having a color value;
- 10 a.3) compositing the color value of the layer pixel with the
11 accumulator color value;
- 12 a.4) storing the result of a.3) in the accumulator;
- 13 a.5) determining whether layer pixels for any remaining
14 overlapping layers should be processed;
- 15 a.6) responsive to a.5) indicating that layer pixels for any
16 remaining overlapping layers should be processed,
17 repeating a.2) to a.6); and
- 18 a.7) outputting the accumulator color value.
- 1 2. The method of claim 1, wherein each layer pixel has an opacity value, and
2 wherein:
- 3 a.1) further comprises initializing an accumulator opacity value;
- 4 a.3) further comprises compositing the opacity value of the layer pixel with
5 the accumulator opacity value; and
- 6 a.5) comprises determining whether the accumulator opacity value indicates
7 full opacity.
- 1 3. The method of claim 1, wherein a.2) comprises selecting a topmost
2 remaining overlapping layer having a layer pixel corresponding to the image pixel.

1 4. The method of claim 1, wherein a.7) comprises outputting the ac-
2 cumulator value to a frame buffer.

1 5. The method of claim 1, further comprising:
2 b) displaying the image.

1 6. The method of claim 1, further comprising:
2 b) repeating a) for each image pixel having at least one corre-
3 sponding layer pixel.

1 7. The method of claim 1, wherein a) comprises performing a.1) through a.7)
2 for at least two image pixels concurrently.

1 8. The method of claim 1, further comprising:
2 b) concurrently with a), for a second image pixel:
3 b.1) initializing a second accumulator color value;
4 b.2) selecting one of the overlapping layers having a second
5 layer pixel corresponding to the second image pixel, the
6 second layer pixel having a color value;
7 b.3) compositing the color value of the second layer pixel
8 with the second accumulator color value;
9 b.4) storing the result of b.3) in the second accumulator;
10 b.5) determining whether layer pixels for any remaining
11 overlapping layers should be processed;
12 b.6) responsive to b.5) indicating that layer pixels for any
13 remaining overlapping layers should be processed,
14 repeating b.2) to b.6); and
15 b.7) outputting the second accumulator color value.

1 9. The method of claim 1, wherein at least one of the overlapping layers is
2 non-rectangular.

1 10. The method of claim 1, wherein at least one pixel of at least one of the
2 overlapping layers is transparent, and wherein a.3) comprises:

- 3 a.3.1) responsive to the layer pixel being transparent, retaining the
4 accumulator color value; and
5 a.3.2) responsive to the layer pixel not being transparent, compositing
6 the color value of the layer pixel with the accumulator color value.

1 11. The method of claim 1, further comprising, prior to a):
2 subdividing the image into a plurality of tiles, each tile containing a subset
3 of the image pixels, each tile associated with at least a subset of the
4 overlapping layers;

5 and wherein a.2) comprises selecting one of the overlapping layers be-
6 longing to the subset associated with the tile containing the image pixel.

1 12. The method of claim 11, further comprising:
2 b) repeating a) for each image pixel in a selected tile.

1 13. The method of claim 12, further comprising:
2 c) repeating a) and b) for each tile in the plurality of tiles.

1 14. The method of claim 1, wherein each layer comprises a window, and
2 wherein the image comprises a display for a windowing system.

1 15. A computer-implemented method for combining at least two
2 overlapping layers to render an image, the image containing a plurality of image
3 pixels, each overlapping layer containing a plurality of layer pixels, each layer pixel
4 corresponding to one of the image pixels, the method comprising:

- 5 a) for at least one image pixel:
6 a.1) initializing an accumulator color value;

- 7 a.2) selecting a topmost remaining overlapping layer having
- 8 a layer pixel corresponding to the image pixel, the layer
- 9 pixel having a color value;
- 10 a.3) compositing the color value of the layer pixel with the
- 11 accumulator color value;
- 12 a.4) storing the result of a.3) in the accumulator;
- 13 a.5) determining whether layer pixels for any remaining
- 14 overlapping layers should be processed;
- 15 a.6) responsive to a.5) indicating that layer pixels for any
- 16 remaining overlapping layers should be processed,
- 17 repeating a.2) to a.6);
- 18 a.7) retrieving a tag indicating a topmost changed layer;
- 19 a.8) determining whether a.2) has been performed for the
- 20 indicated topmost changed layer; and
- 21 a.9) responsive to a.2) having been performed for the
- 22 indicated topmost changed layer, outputting the
- 23 accumulator color value.

1 16. The method of claim 15, wherein each layer pixel has an opacity value,
 2 and wherein:

- 3 a.1) further comprises initializing an accumulator opacity value;
- 4 a.3) further comprises compositing the opacity value of the layer pixel with
- 5 the accumulator opacity value; and
- 6 a.5) comprises determining whether the accumulator opacity value indicates
- 7 full opacity.

1 17. A system for combining at least two overlapping layers to render an
 2 image, the image containing a plurality of image pixels, each overlapping layer
 3 containing a plurality of layer pixels, each layer pixel corresponding to one of the
 4 image pixels, the system comprising:

5 an accumulator, for initializing an accumulator color value for at least one
6 image pixel;
7 a layer selector, for successively selecting each of at least a subset of the
8 overlapping layers having a layer pixel corresponding to the
9 image pixel, the layer pixel having a color value;
10 a compositor coupled to the layer selector and to the accumulator, for, for
11 each successively selected layer, compositing the color value of the
12 layer pixel with the accumulator color value and storing the result
13 in the accumulator; and
14 an output device, coupled to the accumulator, for outputting the ac-
15 cumulator color value.

1 18. The system of claim 17, wherein each layer pixel has an opacity value,
2 and wherein:
3 the accumulator further initializes an accumulator opacity value;
4 the compositor further composites the opacity value of the layer pixel with
5 the accumulator opacity value and stores the result in the
6 accumulator; and
7 the subset of overlapping layers selected by the layer selector is determined
8 responsive to a comparison of the accumulator opacity value with
9 a full opacity value.

1 19. The system of claim 17, wherein the layer selector successively selects
2 layers by selecting a topmost remaining overlapping layer having a layer pixel
3 corresponding to the image pixel.

1 20. The system of claim 17, wherein the output device outputs the ac-
2 cumulator value to a frame buffer.

1 21. The system of claim 17, further comprising a display device, coupled to
2 the output device, for displaying the image.

5 responsive to the layer pixel not being transparent, composites the color
6 value of the layer pixel with the accumulator color value.

1 27. The system of claim 17, further comprising:
2 a tile subdividing module, coupled to the layer selector, for subdividing the
3 image into a plurality of tiles, each tile containing a subset of the
4 image pixels, each tile associated with at least a subset of the
5 overlapping layers;

6 and wherein the layer selector selects one of the overlapping layers be-
7 longing to the subset associated with the tile containing the image pixel.

1 28. The system of claim 27, wherein each of the layer selector, compositor,
2 accumulator, and output device operates on each image pixel in a selected tile.

1 29. The system of claim 28, wherein each of the layer selector, compositor,
2 accumulator, and output device operates on each image pixel in each tile in the
3 plurality of tiles.

1 30. The system of claim 15, wherein each layer comprises a window, and
2 wherein the image comprises a display for a windowing system.

1 31. A system for combining at least two overlapping layers to render an
2 image, the image containing a plurality of image pixels, each overlapping layer
3 containing a plurality of layer pixels, each layer pixel corresponding to one of the
4 image pixels, the system comprising:
5 an accumulator, for initializing an accumulator color value for at least one
6 image pixel;
7 a layer selector, for successively selecting each of at least a subset of the
8 overlapping layers having a layer pixel corresponding to the
9 image pixel, the layer pixel having a color value;

10 a compositor coupled to the layer selector and to the accumulator, for, for
11 each successively selected layer, compositing the color value of the
12 layer pixel with the accumulator color value and storing the result
13 in the accumulator;
14 a tag processing module, coupled to the layer selector, for retrieving a tag
15 indicating a topmost changed layer and for determining whether
16 the layer selector has operated on the indicated topmost changed
17 layer; and
18 an output device, coupled to the accumulator, for responsive to the tag
19 processing module indicating that the layer selector has operated
20 on the indicated topmost changed layer, outputting the
21 accumulator color value.

1 32. The system of claim 31, wherein each layer pixel has an opacity value,
2 and wherein:

3 the accumulator further initializes an accumulator opacity value;
4 the compositor further composites the opacity value of the layer pixel with
5 the accumulator opacity value and stores the result in the
6 accumulator; and
7 the subset of overlapping layers selected by the layer selector is determined
8 responsive to a comparison of the accumulator opacity value with
9 a full opacity value.

1 33. A computer program product comprising a computer-usable medium
2 having computer-readable code embodied therein for combining at least two over-
3 lapping layers to render an image, the image containing a plurality of image pixels,
4 each overlapping layer containing a plurality of layer pixels, each layer pixel
5 corresponding to one of the image pixels, the computer program product
6 comprising:
7 computer-readable program code devices configured to cause a computer to,
8 for at least one image pixel:

9 initialize an accumulator color value;
10 select one of the overlapping layers having a layer pixel cor-
11 responding to the image pixel, the layer pixel having a
12 color value;
13 composite the color value of the layer pixel with the accumulator
14 color value;
15 store the result of the compositing in the accumulator;
16 determine whether layer pixels for any remaining overlapping
17 layers should be processed;
18 responsive to the determination indicating that layer pixels for
19 any remaining overlapping layers should be processed,
20 repeat the initializing, selecting, compositing, storing,
21 and determining steps; and
22 output the accumulator color value.

1 34. The computer program product of claim 33, wherein each layer pixel has
2 an opacity value, and wherein:

3 the computer-readable program code devices configured to cause a com-
4 puter to initialize further comprise computer-readable program
5 code devices configured to cause a computer to initialize an
6 accumulator opacity value;

7 the computer-readable program code devices configured to cause a com-
8 puter to composite further comprise computer-readable program
9 code devices configured to cause a computer to composite the
10 opacity value of the layer pixel with the accumulator opacity
11 value; and

12 the computer-readable program code devices configured to cause a com-
13 puter to determine whether layer pixels for any remaining
14 overlapping layers should be processed comprise computer-
15 readable program code devices configured to cause a computer to

16 determine whether the accumulator opacity value indicates full
17 opacity.

1 35. The computer program product of claim 33, wherein the computer-
2 readable program code devices configured to cause a computer to select one of the
3 overlapping layers comprise computer-readable program code devices configured
4 to cause a computer to select a topmost remaining overlapping layer having a layer
5 pixel corresponding to the image pixel.

1 36. The computer program product of claim 33, wherein the computer-
2 readable program code devices configured to cause a computer to output the
3 accumulator color value comprise computer-readable program code devices
4 configured to cause a computer to output the accumulator value to a frame buffer.

1 37. The computer program product of claim 33, further comprising:
2 computer-readable program code devices configured to cause a computer to
3 display the image.

1 38. The computer program product of claim 33, further comprising:
2 computer-readable program code devices configured to cause a computer to
3 repeat the initializing, selecting, compositing, storing,
4 determining, and outputting for each image pixel having at least
5 one corresponding layer pixel.

1 39. The computer program product of claim 33, wherein the computer-
2 readable program code devices are configured to cause a computer to perform the
3 initializing, selecting, compositing, storing, and outputting for at least two image
4 pixels concurrently.

1 40. The computer program product of claim 33, further comprising:

2 computer-readable program code devices configured to cause a computer to
3 concurrently with the selecting, compositing, storing, and
4 outputting for the first image pixel, for a second image pixel:
5 initialize a second accumulator color value;
6 select one of the overlapping layers having a second layer pixel
7 corresponding to the second image pixel, the second
8 layer pixel having a color value;
9 composite the color value of the second layer pixel with the
10 second accumulator color value;
11 store the result of the compositing in the second accumulator;
12 determine whether layer pixels for any remaining overlapping
13 layers should be processed;
14 responsive to the determination indicating that layer pixels for
15 any remaining overlapping layers should be processed,
16 repeat the initializing, selecting, compositing, storing,
17 and determining steps; and
18 output the second accumulator color value.

1 41. The computer program product of claim 33, wherein at least one of the
2 overlapping layers is non-rectangular.

1 42. The computer program product of claim 33, wherein at least one pixel of
2 at least one of the overlapping layers is transparent, and wherein the computer-
3 readable program code devices configured to cause a computer to composite the
4 color value of the layer pixel with the accumulator color value comprise computer-
5 readable program code devices configured to cause a computer to:
6 responsive to the layer pixel being transparent, retain the accumulator color
7 value; and
8 responsive to the layer pixel not being transparent, composite the color
9 value of the layer pixel with the accumulator color value.

1 43. The computer program product of claim 33, further comprising:
2 computer-readable program code devices configured to cause a computer to
3 subdivide the image into a plurality of tiles, each tile containing a
4 subset of the image pixels, each tile associated with at least a
5 subset of the overlapping layers;

6 and wherein the computer-readable program code devices configured to
7 cause a computer to select one of the overlapping layers comprise computer-
8 readable program code devices configured to cause a computer to select one of the
9 overlapping layers belonging to the subset associated with the tile containing the
10 image pixel.

1 44. The computer program product of claim 43, further comprising:
2 computer-readable program code devices configured to cause a computer to
3 repeat the initializing, selecting, compositing, storing, and
4 outputting for each image pixel in a selected tile.

1 45. The computer program product of claim 44, further comprising:
2 computer-readable program code devices configured to cause a computer to
3 repeat the initializing, selecting, compositing, storing, and
4 outputting for each image pixel in each tile in the plurality of tiles.

1 46. The computer program product of claim 33, wherein each layer
2 comprises a window, and wherein the image comprises a display for a windowing
3 system.

1 47. A computer program product comprising a computer-usable medium
2 having computer-readable code embodied therein for combining at least two
3 overlapping layers to render an image, the image containing a plurality of image
4 pixels, each overlapping layer containing a plurality of layer pixels, each layer pixel

5 corresponding to one of the image pixels, the computer program product
6 comprising:
7 computer-readable program code devices configured to cause a computer to,
8 for at least one image pixel:
9 initialize an accumulator color value;
10 select a topmost remaining overlapping layer having a layer pixel
11 corresponding to the image pixel, the layer pixel having
12 a color value;
13 composite the color value of the layer pixel with the accumulator
14 color value;
15 store the result of the compositing in the accumulator;
16 determine whether layer pixels for any remaining overlapping
17 layers should be processed;
18 responsive to the determination indicating that layer pixels for
19 any remaining overlapping layers should be processed,
20 repeat the initializing, selecting, compositing, storing,
21 and determining steps;
22 retrieve a tag indicating a topmost changed layer;
23 determine whether the selection has been performed for the
24 indicated topmost changed layer; and
25 responsive to the selection having been performed for the
26 indicated topmost changed layer, output the accu-
27 mulator color value.

1 48. The computer program product of claim 47, wherein each layer pixel has
2 an opacity value, and wherein:
3 the computer-readable program code devices configured to cause a com-
4 puter to initialize an accumulator color value further comprise
5 computer-readable program code devices configured to cause a
6 computer to initialize an accumulator opacity value;

7 the computer-readable program code devices configured to cause a com-
8 puter to composite the color value of the layer pixel with the
9 accumulator color value further comprise computer-readable
10 program code devices configured to cause a computer to
11 composite the opacity value of the layer pixel with the
12 accumulator opacity value; and
13 the computer-readable program code devices configured to cause a com-
14 puter to determine whether the selection has been performed for
15 the indicated topmost changed layer comprise computer-readable
16 program code devices configured to cause a computer to
17 determine whether the accumulator opacity value indicates full
18 opacity.

1 49. A system for combining at least two overlapping layers to render an
2 image, the image containing a plurality of image pixels, each overlapping layer
3 containing a plurality of layer pixels, each layer pixel corresponding to one of the
4 image pixels, the system comprising:
5 accumulating means for initializing an accumulator color value for at least
6 one image pixel;
7 layer selecting means, for successively selecting each of at least a subset of
8 the overlapping layers having a layer pixel corresponding to the
9 image pixel, the layer pixel having a color value;
10 compositing means, coupled to the layer selecting means and to the
11 accumulating means, for, for each successively selected layer,
12 compositing the color value of the layer pixel with the ac-
13 cumulator color value and storing the result in the accumulating
14 means; and
15 output means, coupled to the accumulating means, for outputting the
16 accumulator color value.

1 50. The system of claim 49, wherein each layer pixel has an opacity value,
2 and wherein:
3 the accumulating means further initializes an accumulator opacity value;
4 the compositing means further composites the opacity value of the layer
5 pixel with the accumulator opacity value and stores the result in
6 the accumulating means; and
7 the subset of overlapping layers selected by the layer selecting means is
8 determined responsive to a comparison of the accumulator opac-
9 ity value with a full opacity value.

1 51. The system of claim 49, wherein the layer selecting means successively
2 selects layers by selecting a topmost remaining overlapping layer having a layer
3 pixel corresponding to the image pixel.

1 52. The system of claim 49, wherein the output means outputs the ac-
2 cumulator value to a frame buffer.

1 53. The system of claim 49, further comprising display means, coupled to
2 the output means, for displaying the image.

1 54. The system of claim 49, wherein each of the layer selecting means,
2 compositing means, accumulating means, and output means operates on each
3 image pixel having at least one corresponding layer pixel.

1 55. The system of claim 49, wherein each of the layer selecting means,
2 compositing means, accumulating means, and output means operates on at least
3 two image pixels concurrently.

1 56. The system of claim 49, further comprising a second accumulating
2 means, coupled to the compositing means, for initializing a second accumulator
3 color value for a second image pixel, and wherein:

4 the layer selecting means, concurrently with successively selecting each of at
5 least a subset of the overlapping layers having a layer pixel
6 corresponding to the first image pixel, selects one of the
7 overlapping layers having a second layer pixel corresponding to
8 the second image pixel, the second layer pixel having a color
9 value;
10 the compositing means, concurrently with compositing the first color value
11 of the layer pixel with the accumulator color value, composites the
12 color value of the second layer pixel with the second accumulator
13 color value and stores the result in the second accumulating
14 means; and
15 the output means outputs the second accumulator color value.

1 57. The system of claim 49, wherein at least one of the overlapping layers is
2 non-rectangular.

1 58. The system of claim 49, wherein at least one pixel of at least one of the
2 overlapping layers is transparent, and wherein the compositing means:
3 responsive to the layer pixel being transparent, retains the accumulator color
4 value; and
5 responsive to the layer pixel not being transparent, composites the color
6 value of the layer pixel with the accumulator color value.

1 59. The system of claim 49, further comprising:
2 tile subdividing means, coupled to the layer selecting means, for subdivi-
3 viding the image into a plurality of tiles, each tile containing a
4 subset of the image pixels, each tile associated with at least a
5 subset of the overlapping layers;

6 and wherein the layer selecting means selects one of the overlapping layers
7 belonging to the subset associated with the tile containing the image pixel.

1 60. The system of claim 59, wherein each of the layer selecting means,
2 compositing means, accumulating means, and output means operates on each
3 image pixel in a selected tile.

1 61. The system of claim 60, wherein each of the layer selecting means,
2 compositing means, accumulating means, and output means operates on each
3 image pixel in each tile in the plurality of tiles.

1 62. The system of claim 49, wherein each layer comprises a window, and
2 wherein the image comprises a display for a windowing system.

1 63. A system for combining at least two overlapping layers to render an
2 image, the image containing a plurality of image pixels, each overlapping layer
3 containing a plurality of layer pixels, each layer pixel corresponding to one of the
4 image pixels, the system comprising:
5 accumulating means, for initializing an accumulator color value for at least
6 one image pixel;
7 layer selecting means, for successively selecting each of at least a subset of
8 the overlapping layers having a layer pixel corresponding to the
9 image pixel, the layer pixel having a color value;
10 compositing means coupled to the layer selecting means and to the ac-
11 cumulating means, for, for each successively selected layer,
12 compositing the color value of the layer pixel with the ac-
13 cumulator color value and storing the result in the accumulating
14 means;
15 tag processing means, coupled to the layer selecting means, for retrieving a
16 tag indicating a topmost changed layer and for determining
17 whether the layer selecting means has operated on the indicated
18 topmost changed layer; and

19 output means, coupled to the accumulating means, for responsive to the tag
20 processing means indicating that the layer selecting has operated
21 on the indicated topmost changed layer, outputting the
22 accumulator color value.

1 64. The system of claim 63, wherein each layer pixel has an opacity value,
2 and wherein:
3 the accumulating means further initializes an accumulator opacity value;
4 the compositing means further composites the opacity value of the layer
5 pixel with the accumulator opacity value and stores the result in
6 the accumulating means; and
7 the subset of overlapping layers selected by the layer selecting means is
8 determined responsive to a comparison of the accumulator opac-
9 ity value with a full opacity value.

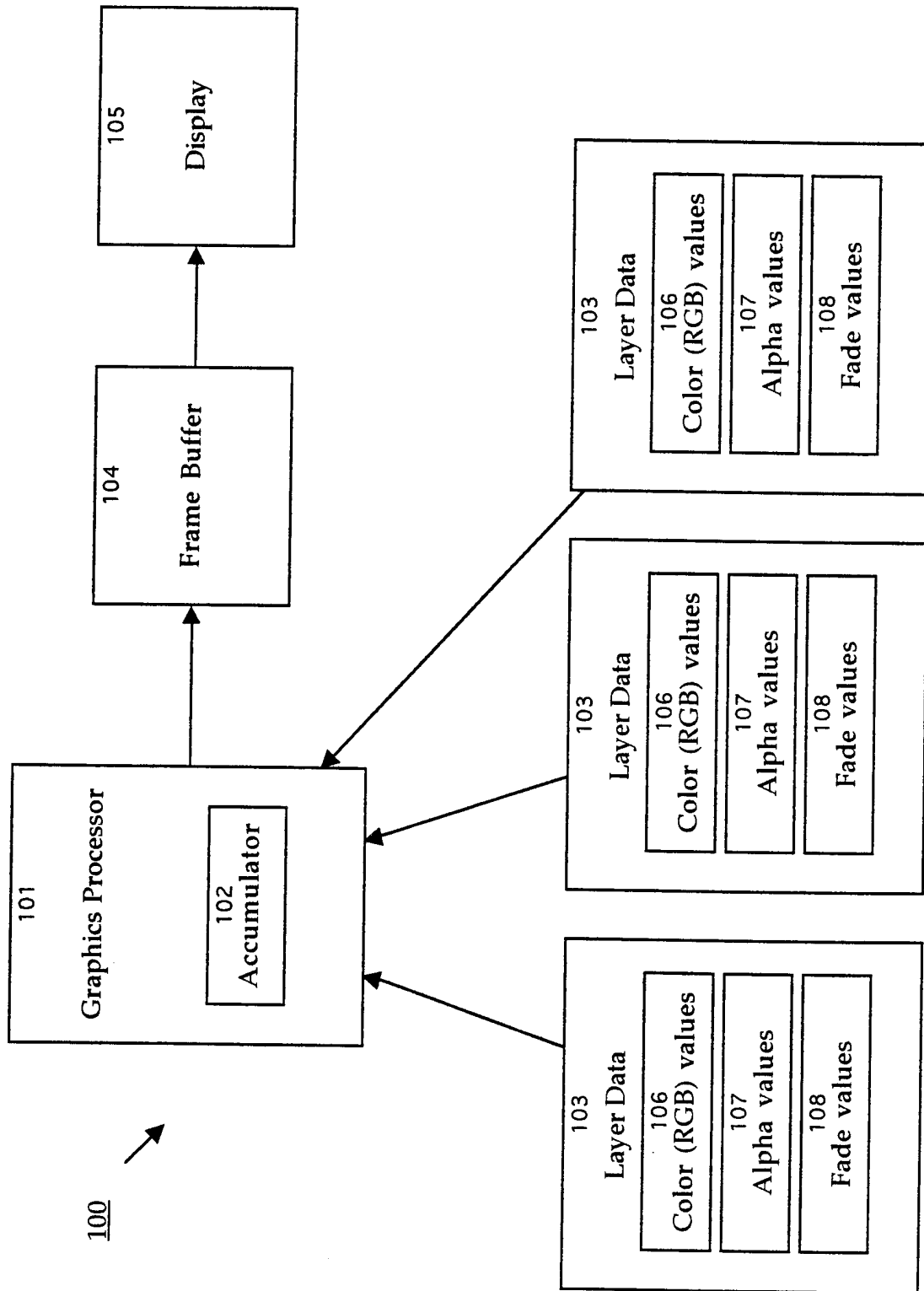


FIG. 1

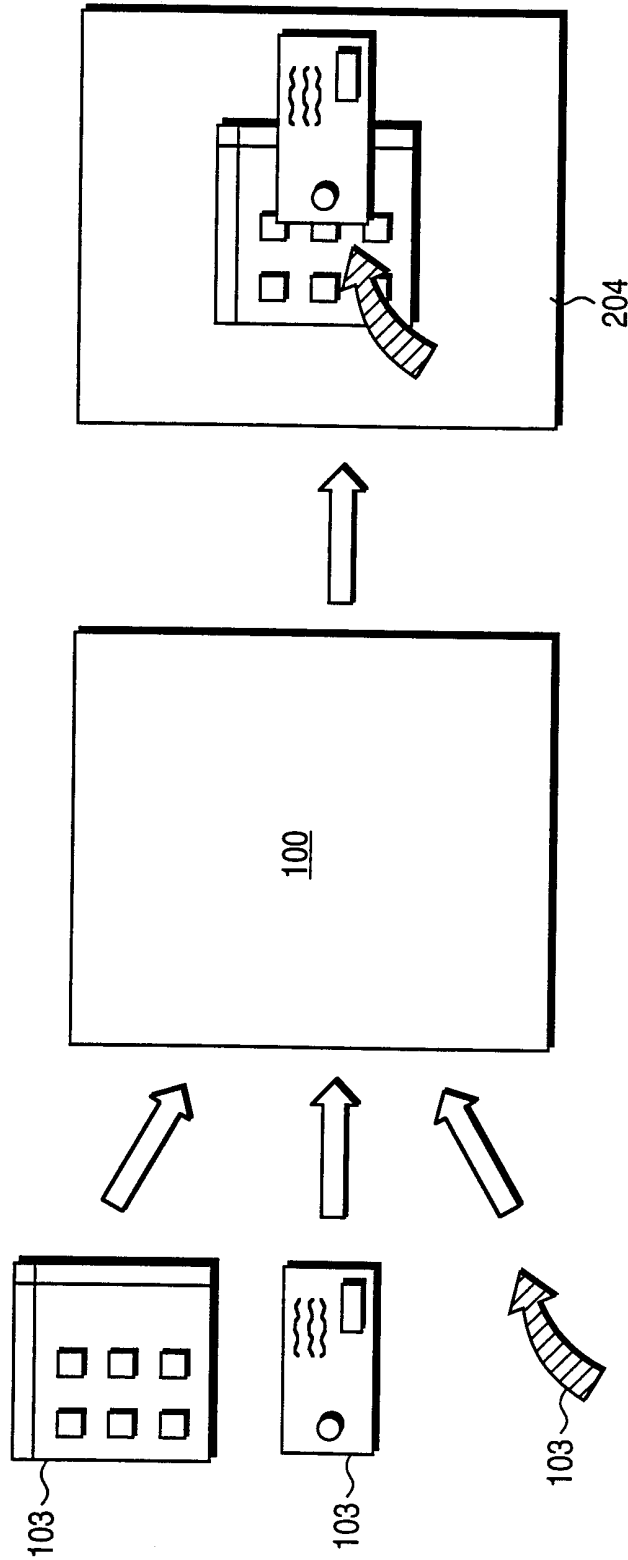


FIG. 2

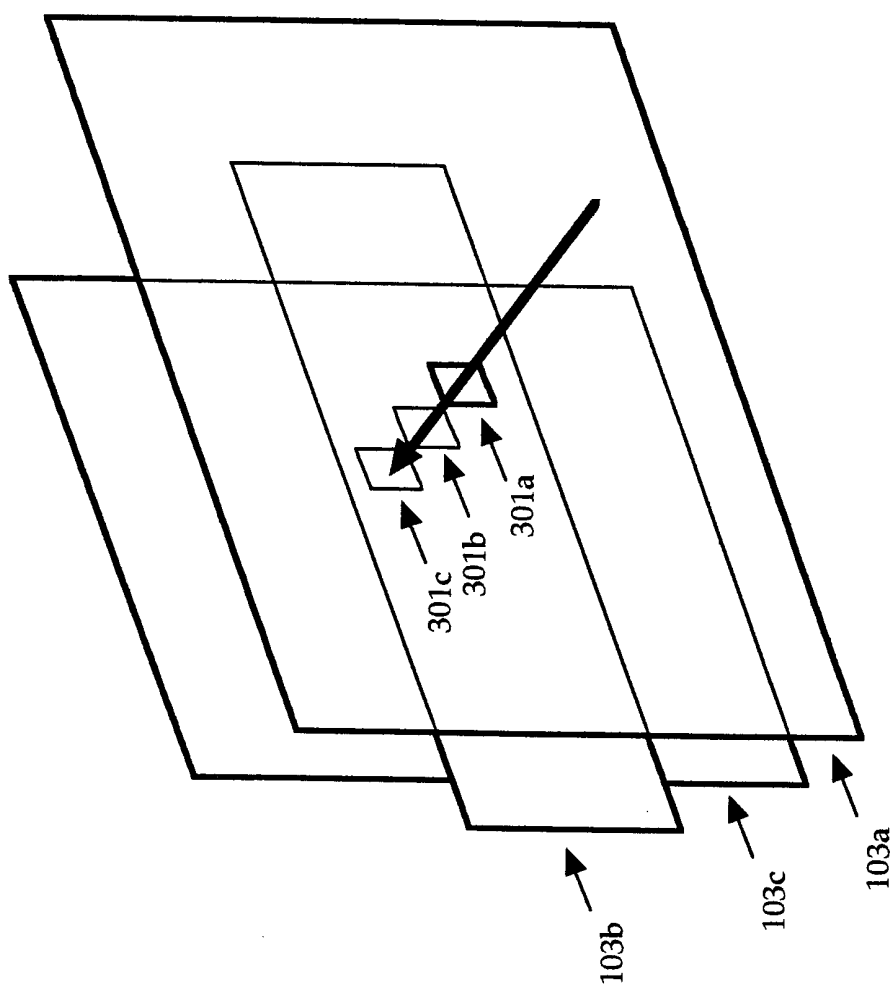


FIG. 3

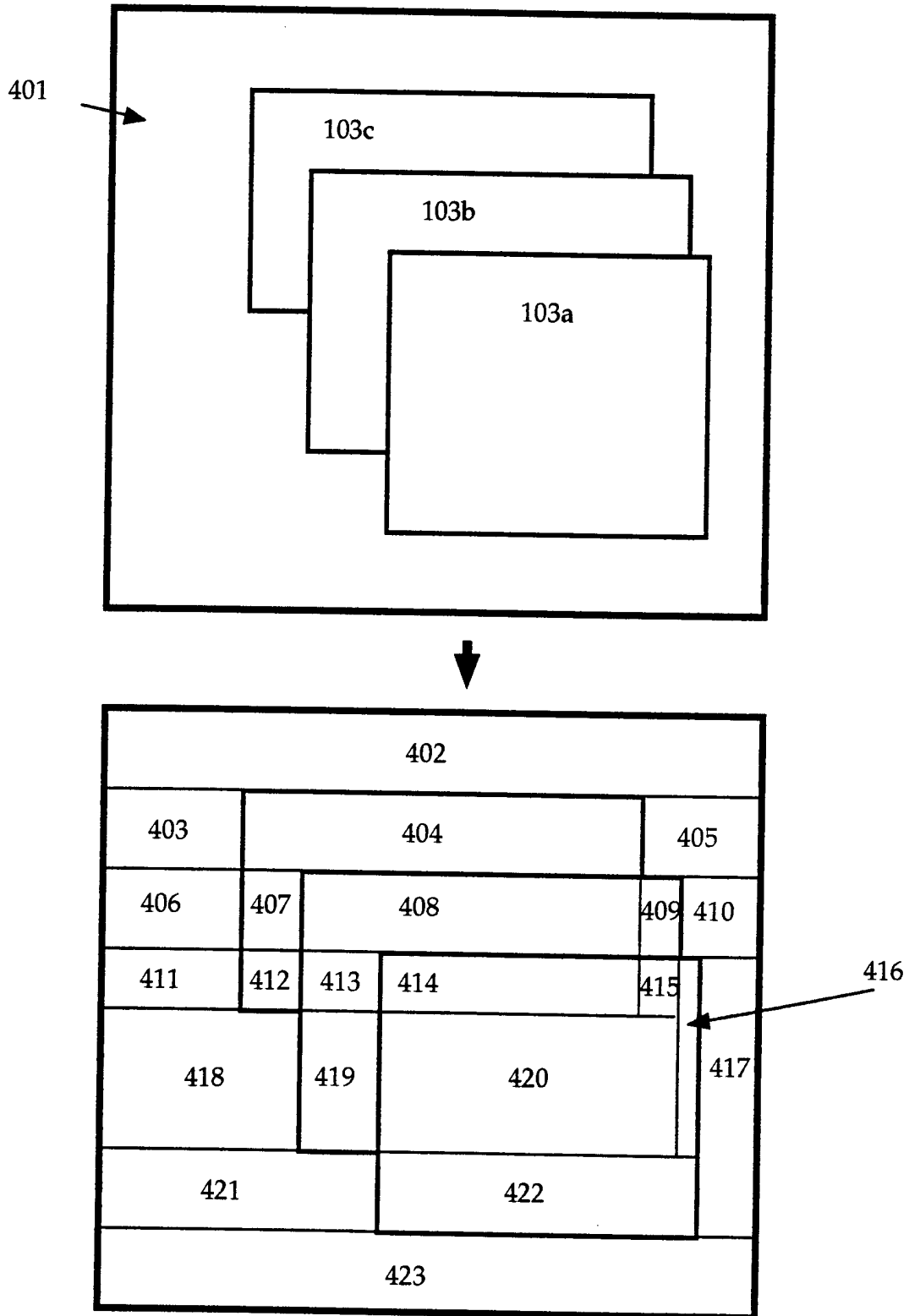


FIG. 4

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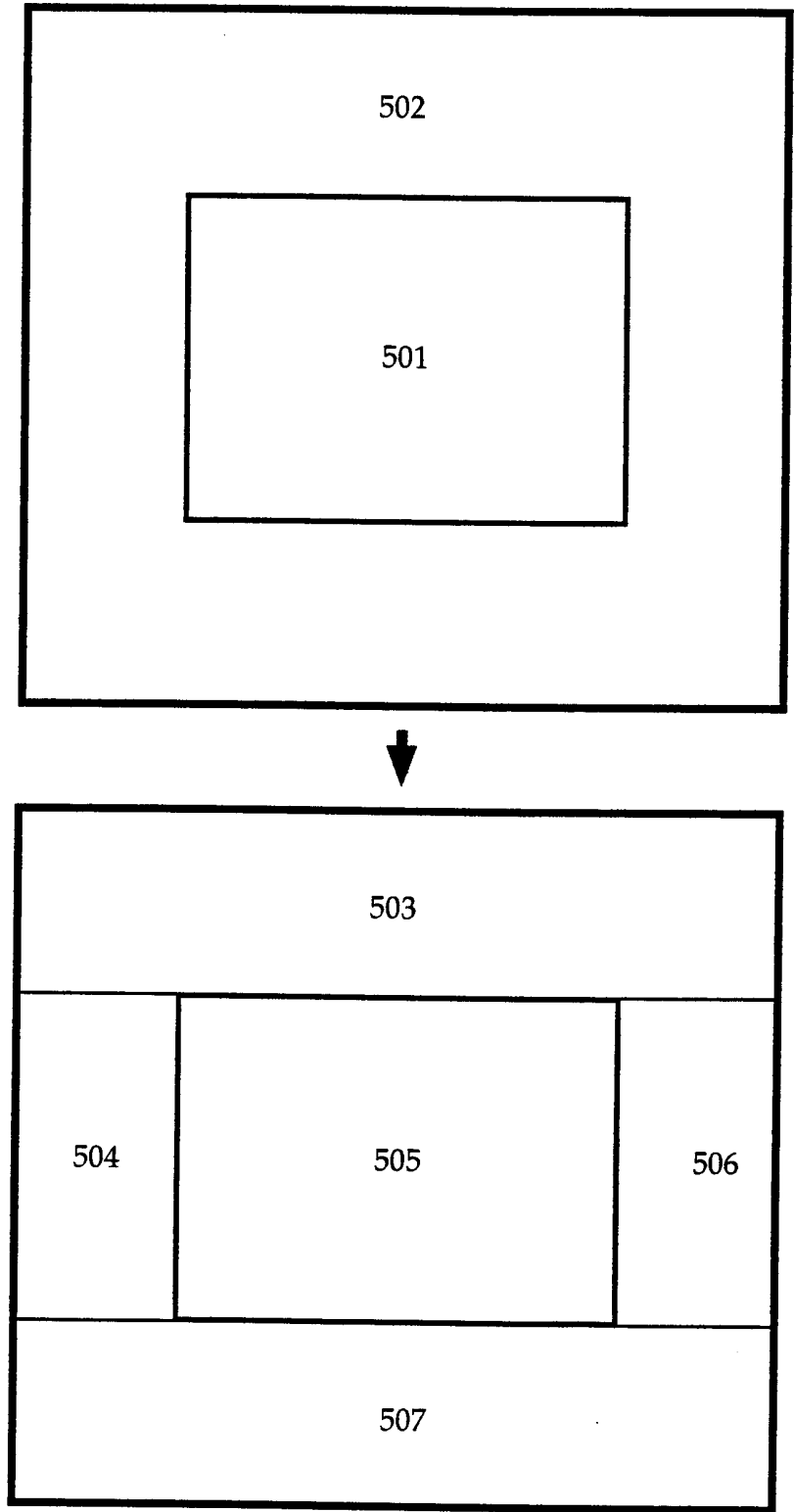


FIG. 5

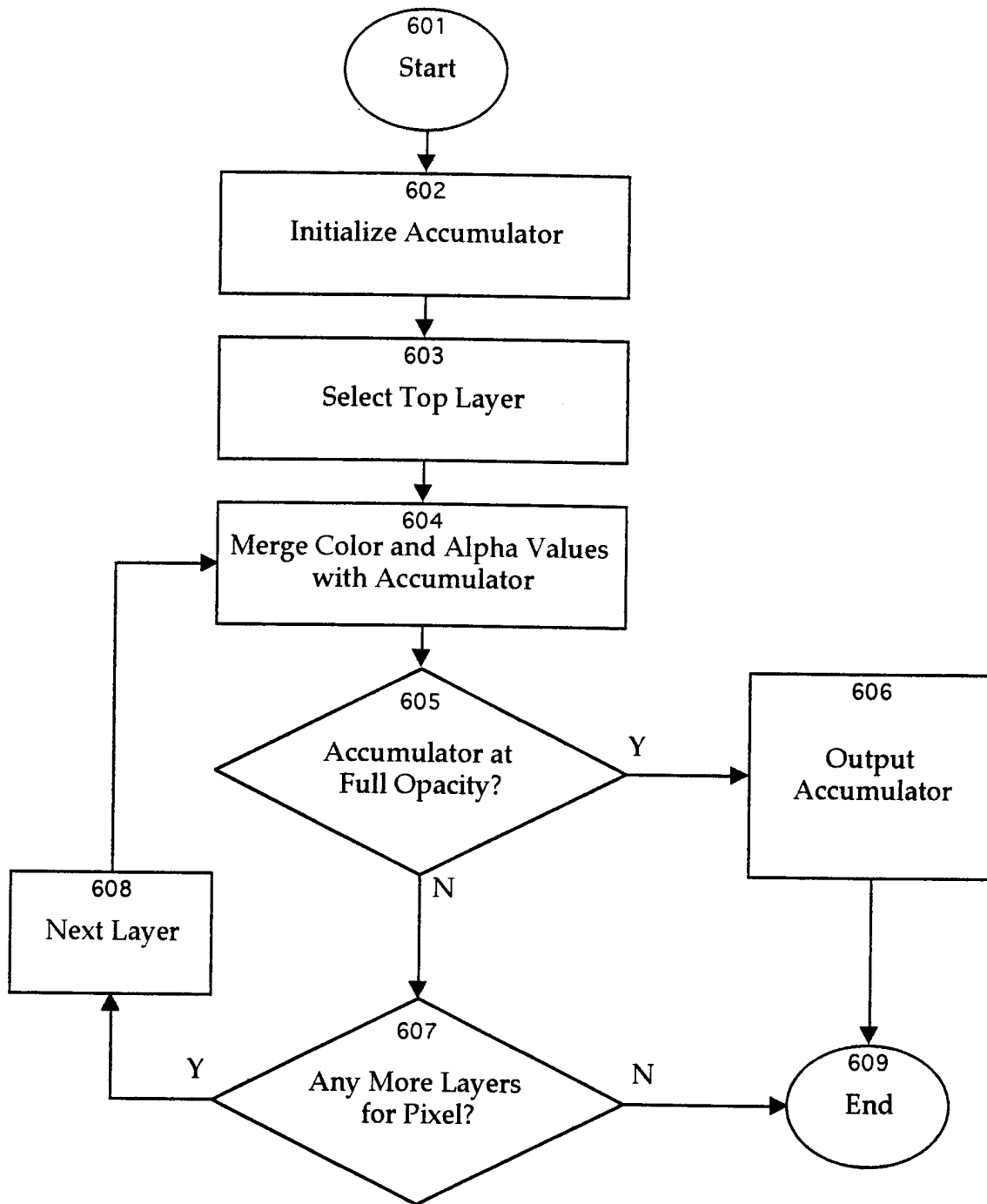


FIG. 6

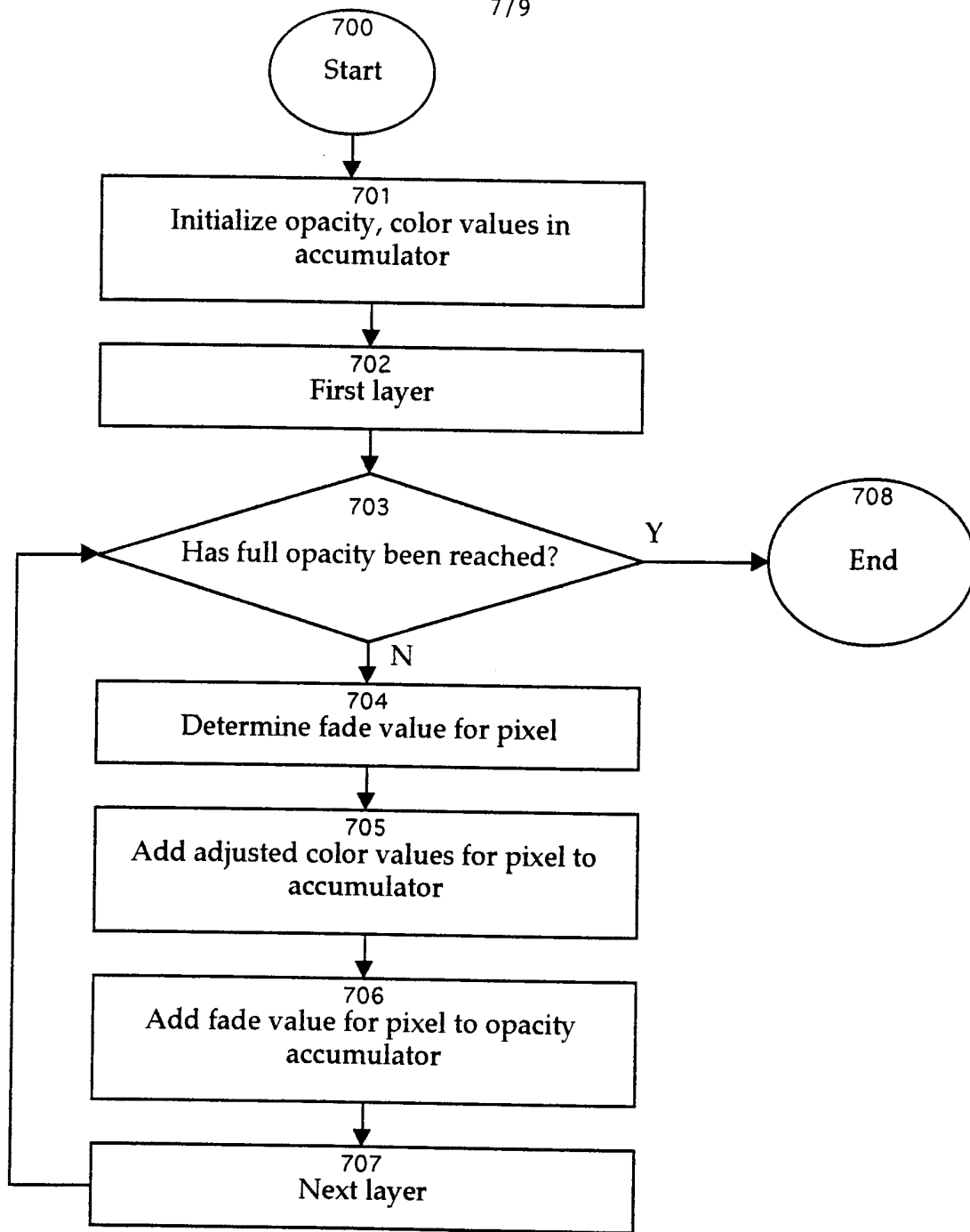


FIG. 7

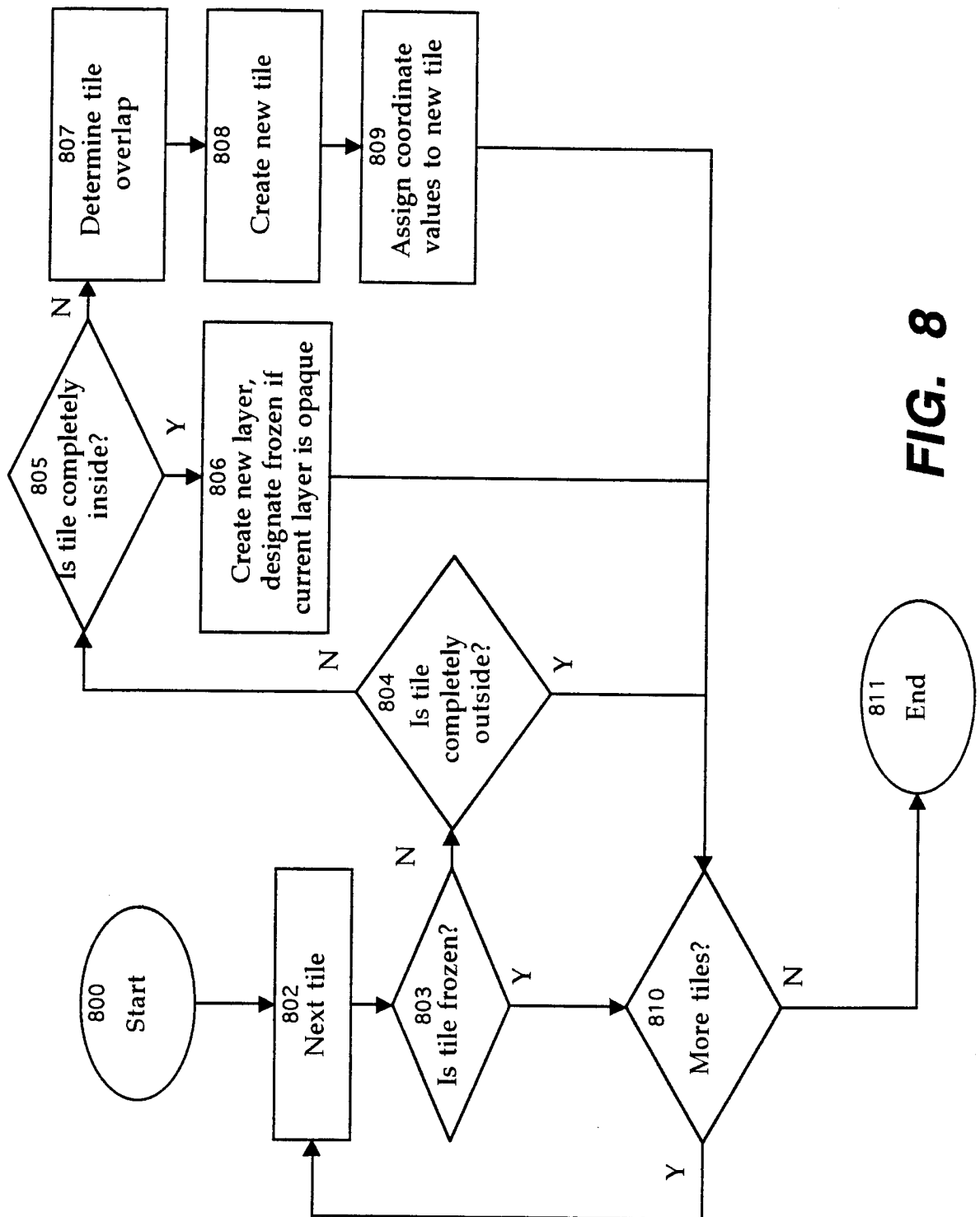


FIG. 8

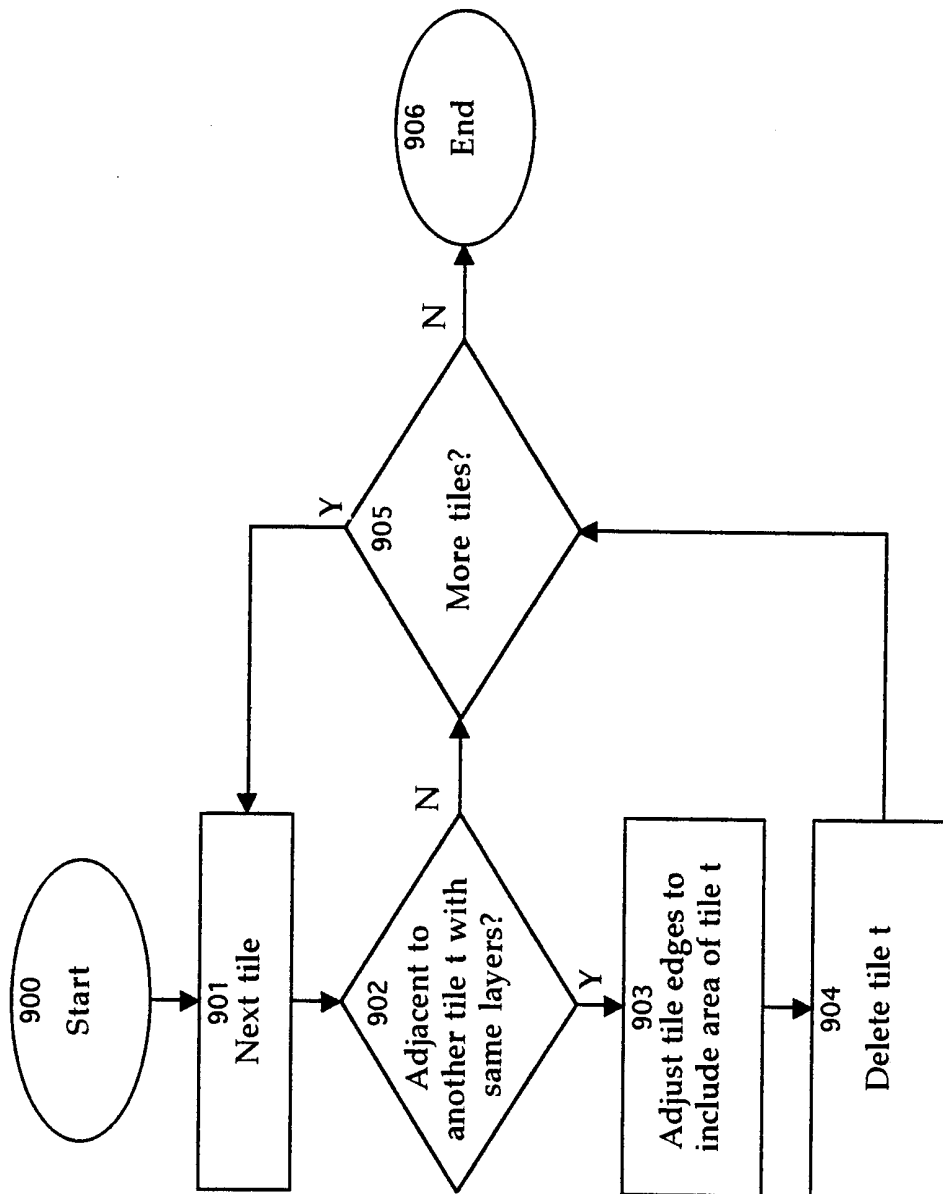


FIG. 9

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/12432

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G06T11/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G06T G09G G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, INSPEC, IBM-TDB, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 428 724 A (SILVERBROOK KIA) 27 June 1995 (1995-06-27) abstract; claims 1-3,10; figures 2-6 ---	1-64
Y	US 5 638 499 A (NEMIROVSKY MARIO D ET AL) 10 June 1997 (1997-06-10) abstract; claims 1,5; figures 15-23 ---	1-64
A	EP 0 775 971 A (CANON INFORMATION SYST RES ;CANON KK (JP)) 28 May 1997 (1997-05-28) abstract; claim 6; figures 2,14,16; table 5 page 11, line 53 -page 16, last line ---	1-64
A	US 4 780 709 A (RANDALL MARTIN) 25 October 1988 (1988-10-25) abstract; figures 1,2 column 1, line 55 -column 2, line 5 ---	1-64
	-/--	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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