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(54) Titre : TRAITEMENT DE CYCLOBENZAPRINE POUR L'AGITATION, LA PSYCHOSE ET LE DECLIN COGNITIF  
DANS LA DEMENCE ET LES ETATS NEURODEGENERATIFS

(54) Title: CYCLOBENZAPRINE TREATMENT FOR AGITATION, PSYCHOSIS AND COGNITIVE DECLINE IN  
DEMENTIA AND NEURODEGENERATIVE CONDITIONS

**(57) Abrégé/Abstract:**

Compositions comprising cyclobenzaprine, and methods for the treatment or prevention of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia and other neurodegenerative conditions.

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**WO 2019/116091 A1****(54) Title:** CYCLOBENZAPRINE TREATMENT FOR AGITATION, PSYCHOSIS AND COGNITIVE DECLINE IN DEMENTIA AND NEURODEGENERATIVE CONDITIONS**(57) Abstract:** Compositions comprising cyclobenzaprine, and methods for the treatment or prevention of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia and other neurodegenerative conditions.

**CYCLOBENZAPRINE TREATMENT FOR AGITATION, PSYCHOSIS AND  
COGNITIVE DECLINE IN DEMENTIA AND NEURODEGENERATIVE  
CONDITIONS**

**FIELD OF THE DISCLOSURE**

5   **[0001]** This application claims priority to and benefit from U.S. Provisional Patent Application 62/597,284, filed December 11, 2017.

10   **[0002]** This application relates to methods for the treatment or prevention of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition, and related pharmaceutical compositions. Of particular interest are pharmaceutical compositions comprising cyclobenzaprine, alone, or in combination with one or more of a cholinesterase inhibitor, an N-methyl-D-aspartate receptor antagonist, an antidepressant, an anti-anxiety agent, an antipsychotic agent, an anticonvulsant or mood stabilizer, an anti-amyloid agent, or an anti-tau agent.

**BACKGROUND OF THE DISCLOSURE**

15   **[0003]** Cyclobenzaprine, or 3-(5H-dibenzola[a,d]cyclohepten-5-ylidene)-N,N-dimethyl-1 propanamine, was first approved by the U.S. Food and Drug Administration in 1977 for the treatment of acute muscle spasms of local origin. (Katz and Dube, 1988). Subsequent studies have shown that it is a potent 5-HT<sub>2A</sub> and α<sub>1A</sub> antagonist which improves restorative sleep in neuropsychiatric disorders and fibromyalgia through antagonism of serotonergic-2A (5-HT<sub>2A</sub>) and alpha-adrenergic-1A (α<sub>1A</sub>) receptors during the sleep period (Moldofsky et al., 2011, Moldofsky et al., 2015).

20   **[0004]** The utility of a very low dose cyclobenzaprine as an agent for improving the quality of sleep, as a sleep deepener, or for treating sleep disturbances has previously been investigated. The very low dosage regimen was viewed as particularly useful in treating sleep disturbances caused by, exacerbated by or associated with fibromyalgia syndrome, prolonged fatigue, chronic fatigue, chronic fatigue syndrome, a sleep disorder, a psychogenic pain disorder, chronic pain syndrome (type II), the administration of a drug, autoimmune disease, stress or anxiety or for treating an illness caused by or exacerbated by sleep disturbances, and symptoms of such illness, generalized

anxiety disorder, and post-traumatic stress disorder (PTSD). See U.S. Pat. App No. US20110124656A1, and U.S. Pat. Nos. 6,395,788 and 6,358,944.

[0005] Dementia, caused by diseases such as Alzheimer's Disease (AD), is a neurological syndrome that affects nearly 47 million people worldwide with the number of cases expected to 5 triple by 2050 (WHO 2017). Neurodegenerative conditions associated with symptoms of dementia are also widely prevalent (Chaves 2010; Weintraub 2005; Diaz-Olavarrieta C. 1999; Williamson 2016). Sleep disruptions associated with blood-brain barrier hyperpermeability and neuroinflammation can contribute to the development of dementia (Kerner and Roose 2016) and amyloid-beta deposition in AD (Macedo 2017). Restoring sleep has been shown 10 to improve the clearance of amyloid-beta protein (Xie et al, 2013).

[0006] Behavioral and psychological symptoms of dementia (BPSD) include agitation, a large group of behaviors which has a reported prevalence of nearly 56% in dementia patients and psychosis, prevalent in 50% of patients. BPSD is also associated with a more rapid rate of cognitive decline and greater impairment in activities of daily living (Kar 2009). Agitation is 15 strongly associated with activation of the stress response system and accompanying disturbances in sleep, both under the neuromodulatory influence of monoaminergic pathways to the prefrontal cortex (PFC). Neurobiological evidence points to abnormalities in prefrontal cortex (PFC) 5-HT<sub>2A</sub> and α<sub>1A</sub> receptors in dementias with agitation, and antagonists of these receptors have been shown to reduce such disruptive agitation (Assal et al., 2004; Esiri, 1996; Wang et al., 20 2009).

[0007] While several second-generation antipsychotics (SGAs) potently antagonize 5-HT<sub>2A</sub> and α<sub>1A</sub> receptors and reduce agitation and associated symptoms in dementia, the SGA class has a high side effect burden and may increase mortality in patients with dementia (Schneider et al., 2006, Greenblatt and Greenblatt 2016, Gareri 2014). Agitation is also known to be associated 25 with various neurodegenerative conditions (Chaves 2010; Weintraub 2005; Diaz-Olavarrieta C. 1999; Williamson 2016). Thus, there is a significant unmet medical need for an efficacious treatment with a safety profile suitable for long-term treatment of agitation and associated symptoms in dementia and/or neurodegenerative conditions.

[0008] International Publication No. WO2013188847, discloses a low dose, sublingual 30 formulation of cyclobenzaprine (TNX-102 SL) that has rapid transmucosal absorption to blood and uniquely reduced production of a long half-life active

metabolite, norcyclobenzaprine, due to bypass of first-pass hepatic metabolism. In the elderly population, at oral doses of 5 mg (IR tablets three times daily [TID]), cyclobenzaprine does not appear to cause excessive drowsiness or impair performance on cognitive tasks.

**[0009]** Clinical studies with TNX-102 SL in amounts up to 5.6 mg, taken sublingually at 5 bedtime for 12 weeks or longer, have demonstrated that TNX-102 SL was well tolerated by patients with fibromyalgia (FM) and post traumatic stress disorder (PTSD) (Clinical Trials NCT02277704, NCT01903265 and NCT02436096). There were no serious or unexpected central nervous system (CNS)-related adverse events. The systemic adverse events reported with TNX-102 SL are consistent with those described in the marketed cyclobenzaprine product 10 labeling.

**[0010]** TNX-102 SL comprises cyclobenzaprine which retains therapeutically important biological activities including 5-HT<sub>2A</sub> and α<sub>1A</sub> receptor antagonism, even in nanomolar concentration ranges (see WO2013188847), and has a high safety and tolerability profile at low doses. The cyclobenzaprine sublingual (SL) formulation described herein confers an additional 15 advantage in the elderly population in which swallowing difficulties are common (Sura et al 2012).

#### **SUMMARY OF THE DISCLOSURE OF THE APPLICATION**

**[0011]** In one aspect the application discloses a method for treating or preventing agitation, psychosis, and/or cognitive decline and associated symptoms thereof in dementia or in a 20 neurodegenerative condition. The symptoms may be a sleep disturbance or a non-sleep disturbance associated with dementia and/or a neurodegenerative condition. The method comprises administering to a subject suffering from or at risk for developing agitation, psychosis, and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition, a pharmaceutical composition comprising a therapeutically effective amount of 25 cyclobenzaprine and a pharmaceutically acceptable carrier. In some embodiments, the composition may be administered at a dose between 0.1 mg to 30 mg cyclobenzaprine/day or at a dose between 0.1 mg to 20 mg cyclobenzaprine/day. In some embodiments, the composition may be administered at a dose less than 10 mg cyclobenzaprine/day or less than 5mg cyclobenzaprine/day. In preferred embodiments, the composition may be administered at a dose 30 of about 5.6 mg cyclobenzaprine/day. In some embodiments, the composition may be administered at a dose of about 2.8 mg cyclobenzaprine/day. The composition may be administered daily

or once daily. In some embodiments, the composition is administered simultaneously as two dosage units of 2.8 mg cyclobenzaprine each. In some embodiments, the composition is administered simultaneously as two dosage units, wherein the combined amount of cyclobenzaprine in the two dosage units is about 5.6 mg.

5 [0012] In some embodiments, the method may further include administering sequentially or concurrently one or more of an agent selected from the group consisting of cholinesterase inhibitor, an N-methyl-D-aspartate (NMDA) receptor antagonist, an antidepressant, an anti-anxiety agent, an antipsychotic agent, an anticonvulsant or mood stabilizer, an anti-amyloid agent, and an anti-tau agent. In some embodiments, the cholinesterase inhibitor is donepezil, 10 rivastigmine, galantamine, or tacrine. In some embodiments, the NMDA receptor antagonist is amantadine or memantine. In some embodiments, the antidepressant is citalopram, fluoxetine, paroxetine, or sertraline. In some embodiments, the anti-anxiety agent is lorazepam, oxazepam, or buspirone. In some embodiments, the antipsychotic agent is quetiapine, trazodone, promazine, aripiprazole, ziprasidone, olanzapine, or risperidone. In 15 some embodiments, the anticonvulsant or mood stabilizer is carbamazepine, divalproex, or dextromethorphan. In some embodiments, the anti-amyloid agent is bapineuzumab, solanezumab, or verubecestat. In some embodiments, the anti-amyloid agent and/or anti-tau agent is one or more of the agents as described by Cummings et al. (Cummings, 2017). In some 20 embodiments, the method may further entail administering sequentially or concurrently, a somatic treatment to the subject.

[0013] In some embodiments, the pharmaceutical composition of the application is formulated for sublingual, buccal, oral, suppository, intravenous, intramuscular, subcutaneous, inhalational, intranasal, thin film, transdermal, parenteral, rectal, or vaginal administration. In some embodiments, the pharmaceutical composition is administered in combination with 25 psychotherapeutic, behavioral or environmental intervention. In some embodiments, the pharmaceutical composition is administered sublingually, buccally, orally, in a suppository, intravenously, intramuscularly, subcutaneously, inhalationally, intranasally, in a thin film, transdermally, parenterally, rectally, or vaginally.

[0014] In another aspect, the application discloses a pharmaceutical composition comprising a 30 therapeutically effective amount of cyclobenzaprine in combination with one or more agents selected from the group consisting of a cholinesterase inhibitor, an N-methyl-D-aspartate (NMDA) receptor antagonist, an antidepressant, an anti-anxiety agent, an antipsychotic

agent, or an anticonvulsant or mood stabilizer, an anti-amyloid agent, and an anti-tau agent. The amount of cyclobenzaprine in the pharmaceutical composition may be any of the following: between 0.1 mg to 30 mg; between 0.1 mg to 20 mg; less than 10 mg; less than 5 mg; about 5.6 mg; or about 2.8 mg. The pharmaceutical composition may be administered 5 daily or once daily.

[0015] In yet another aspect, the application discloses a method for selecting an effective dose of cyclobenzaprine to be administered to a subject suffering from or at risk for developing agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition. The method comprises obtaining a genetic sample from said 10 subject, using said sample to determine the CYP3A, CYP1A2, CYP3A4, or CYP2D6 genotype of said subject, and selecting a therapeutically effective dose of cyclobenzaprine based on that genotype. The CYP3A, CYP1A2, CYP3A4 or CYP2D6 genotype may be determined, for example, by using a gene chip or a PCR technique to identify the alleles of one 15 or more of the genes. Different CYP alleles metabolize cyclobenzaprine at different rates. For individuals having a cytochrome allele known to metabolize cyclobenzaprine more quickly, a higher dose of cyclobenzaprine shall preferably be administered. For individuals having an isoform known to metabolize cyclobenzaprine more slowly, a lower dose of cyclobenzaprine should preferably be administered.

### **DETAILED DESCRIPTION**

20 [0016] Unless otherwise defined herein, scientific and technical terms used in this application shall have the meanings that are commonly understood by those of ordinary skill in the art. In case of conflict, the present specification, including definitions, will control.

25 [0017] Throughout this specification and embodiments, the word "comprise," or variations such as "comprises" or "comprising," will be understood to imply the inclusion of a stated integer or group of integers but not the exclusion of any other integer or group of integers.

[0018] The term "including" or "includes" is used to mean "including but not limited to." "Including" and "including but not limited to" are used interchangeably.

**[0019]** Any example(s) following the term “e.g.” or “for example” is not meant to be exhaustive or limiting.

**[0020]** Unless otherwise required by context, singular terms shall include pluralities and plural terms shall include the singular.

5 **[0021]** The articles "a", "an" and "the" are used herein to refer to one or to more than one (i.e., to at least one) of the grammatical object of the article.

**[0022]** Notwithstanding that the disclosed numerical ranges and parameters are approximations, the numerical values set forth in the specific examples are reported as precisely as possible. Any numerical value, however, inherently contains certain errors 10 necessarily resulting from the standard deviation found in their respective testing measurements. Moreover, all ranges disclosed herein are to be understood to encompass any and all subranges subsumed therein. For example, a stated range of “1 to 10” should be considered to include any and all subranges between (and inclusive of) the minimum value of 1 and the maximum value of 10; that is, all subranges beginning with a minimum value 15 of 1 or more, e.g., 1 to 6.1, and ending with a maximum value of 10 or less, e.g., 5.5 to 10.

**[0023]** Where aspects or embodiments are described in terms of a Markush group or other grouping of alternatives, the present application encompasses not only the entire group listed as a whole, but each member of the group individually and all possible subgroups of the main group, and also the main group absent one or more of the group members. The 20 present application also envisages the explicit exclusion of one or more of any of the group members in the embodied disclosure.

**[0024]** Exemplary methods and materials are described herein, although methods and materials similar or equivalent to those described herein can also be used in the practice or testing of the various aspects and embodiments. The materials, methods, and examples are 25 illustrative only and not intended to be limiting.

### Definitions

**[0025]** In order that the disclosure may be more readily understood, certain terms are first defined. These definitions should be read in light of the remainder of the disclosure as understood by a person of ordinary skill in the art. Unless defined otherwise, all technical

and scientific terms used herein have the same meaning as commonly understood by a person of ordinary skill in the art. Additional definitions are set forth throughout the detailed description.

[0026] In one aspect the application discloses a method for treating or preventing agitation, 5 psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition.

[0027] The method comprises administering to a subject in need or at risk thereof, a pharmaceutical composition comprising a therapeutically effective amount of cyclobenzaprine and a pharmaceutically acceptable carrier. The symptom may be a sleep disturbance or a non- 10 sleep disturbance.

[0028] As used herein, the term “treat” and its cognates refer to a full or partial amelioration or modulation of agitation, psychosis and/or cognitive decline or at least one discernible symptom thereof in dementia or in a neurodegenerative condition. In some embodiments, “treat” refers to an improvement or amelioration of agitation behaviors as measured in the Cohen Mansfield 15 Agitation Inventory (CMAI) consisting of a diverse group of agitated behaviors, each rated on a multi-point scale of frequency. The CMAI agitation score of a subject may be measured before and after treatment. An improved score is indicative of successful “treatment”. (See, for example, Mansfield, 1991). In certain embodiments, “treat” refers to an improvement or amelioration of agitation behaviors as measured in the Modified Alzheimer’s Disease 20 Cooperative Study Clinical Global Impression of Change Agitation Domain (mADCS-CGIC- Agitation) Score. The standard ADCS-CGIC rating was modified to better assess aspects relevant to studying agitation in Alzheimer’s disease (Drye et al., 2012). The mADCS-CGIC- 25 Agitation rating contains questions related to agitation and an assessment of the Clinician’s Impression of Change focused specifically on agitation. In certain embodiments, “treat” and its cognates refers to slowing the progression or reversing the progression of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition relative to an untreated control. In some embodiments, “treat” and its cognates refers to inhibiting or reducing the progression of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition. In some 30 embodiments, “treat” and its cognates refers to reducing the severity of agitation, psychosis and/or cognitive decline in the subject. In some embodiments, “treat” refers to an improvement in cognition as measured on the Alzheimer’s Disease Assessment Scale-Cog (ADAS-Cog),

and/or Mini-mental state exam (MMSE). The cognition scores of a subject may be measured before and after treatment. An improved score is indicative of successful “treatment”. (See, for example, Fleisher 2007 and Folstein 1975).

**[0029]** As used herein, “prevent” and its cognates refer to delaying the onset or delaying the time of reoccurrence of or reducing the risk of developing agitation, psychosis and/or cognitive decline or an associated symptom thereof in dementia or in a neurodegenerative condition, relative to an untreated control. As used herein, “delaying the time of the reoccurrence” and its cognates refer to delaying the recurrence of agitation, psychosis and/or cognitive decline or an associated symptom thereof in dementia or in a neurodegenerative condition, in an individual susceptible to developing agitation, psychosis and/or cognitive decline or associated symptom thereof in dementia or in a neurodegenerative condition or who has in the past developed such agitation, psychosis and/or cognitive decline or associated symptom relative to an untreated control.

**[0030]** As used herein, the term “agitation” refers to agitation and symptoms of agitation in dementia and/or a neurodegenerative condition and associated symptoms thereof including personality changes, general emotional distress (rapid changes in mood, irritability, and outbursts), anxiety, depression, delusions (firmly held belief in things that are not real), hallucinations (seeing, hearing or feeling things that are not there), excessive motor activity (e.g., pacing, constant movement, rocking, gesturing, pointing fingers, restlessness, performing repetitious mannerisms), checking and rechecking doors or appliances, tearing tissues, uncharacteristic cursing or threatening language. The behavior associated with agitation could be persistent or frequently recurrent for a minimum of two weeks and represents a change from the patient’s usual behavior. Further symptoms associated with agitation in dementia and/or a neurodegenerative condition include but are not limited to: delirium, psychosis, cognitive decline, sleep disturbances, insomnia, sundowning, aggression, combativeness, lability of mood, anger, pain, akathisia, compulsions, obsessivity, and urinary incontinence. Other symptoms associated with agitation in dementia and/or a neurodegenerative condition include but are not limited to: verbal aggression (e.g. yelling, speaking in an excessively loud voice, using profanity, screaming, shouting); physical aggression (e.g. grabbing, shoving, pushing, resisting, hitting others, kicking objects or people, scratching, biting, throwing objects, hitting self, slamming doors, tearing things, and destroying property); and significant impairment in one or more of the following: interpersonal relationships, other aspects of social functioning, ability to perform or participate in daily living

activities. (Alexopoulos et al. 1998; Gareri 2014; Rose et al. 2015; Shneider et al. 2005, Alzheimer's Association 2004). All other symptoms as defined by the International Psychogeriatric Association Agitation Definition Work Group (Cummings 2014) are included herein. These symptoms are commonly measured by techniques known in the art to the ordinary skilled clinician.

5 [0031] As used herein, the term "sleep disturbance" refers to symptoms including difficulty falling asleep, early morning awakening, nightmares, and sleep of poor quality. The quality of sleep ("sleep disturbance") may be determined, *inter alia*, by asking the patient if he/she awakened tired or nonrefreshed "never," "seldom," "often or usually," or "always." Replies of "often or" 10 "usually" or "always" may be scored as positive and other replies as negative. Patients' reports of well-being or relief from "zombie" or "spacey" feelings, feelings of being "run down," and having difficulty concentrating during waking hours are indications of better quality of sleep or deep, refreshing sleep. A rating scale commonly used to assess sleep quality is the Functional Outcomes of Sleep Questionnaire (FOSQ) is described in Weaver et al., (1997).

15 [0032] As used herein, the term "sundowning" refers to neuropsychiatric symptoms and behavioral disturbances occurring in subjects with dementia and/or a neurodegenerative condition at and/or after the time of sunset. Sundowning is associated with disruptions in circadian rhythm. It includes one or more of: anxiety, agitation, aggression, pacing, wandering, resistance, screaming, yelling, visual and auditory hallucinations, sleep disturbances, and confusion. (See for 20 example, Canavelli et al., 2016; Shih, et al, 2017)

25 [0033] As used herein, the term "dementia" refers to a wide range of symptoms associated with a long-term and gradual decline in memory or other thinking skills severe enough to reduce a person's ability to perform everyday activities. It may be associated with inflammation in the brain and parts thereof. Dementia can be associated with one or more of the following: Alzheimer's Disease (AD), Parkinson's Disease (PD), vascular dementia, dementia with Lewy Bodies, mixed dementia, frontotemporal dementia, Creutzfeldt-Jakob Disease (CJD), normal pressure hydrocephalus, Huntington's disease (HD), Wernicke-Korsakoff Syndrome, head injuries, alcoholism, viral or bacterial infection, drug side effects, pneumonia, dehydration, poor nutrition, bladder infections, diabetes, and asthma. A 30 subject at risk of developing dementia includes a subject with mild cognitive impairment. Dementia refers to a condition as defined by DSM-5 guidelines wherein dementia is

associated with modest or substantial decline in cognitive function and is referred to as mild or major neurocognitive disorder (Sachdev 2015).

**[0034]** As used herein, the term “neurodegenerative condition” and its cognates refers to diseases which affect the neurons in the human central or peripheral nervous system. The neurodegeneration condition can be associated with abnormal protein aggregation and accumulation, and/or inclusion body formation (Ross and Poirier, 2004; Chaves 2010). For example, the proteins accumulated can be alpha-synuclein, amyloid-beta tau protein. In some embodiments, neurodegenerative conditions may include a condition in which inflammatory cytokines as associated with the pathogenesis of the condition, for example, multiple sclerosis and traumatic brain injury. Neurodegenerative conditions may also include: PD, AD, HD, Amyotrophic lateral sclerosis (ALS), motor neuron disease, schizophrenia, multiple system atrophy, synucleopathies, lewy body dementia, and frontotemporal dementia. See, for example, Chaves 2010; Weintraub 2005; Diaz-Olavarrieta C. 1999; Williamson 2016.

**[0035]** A "patient", "subject", or "individual" are used interchangeably and preferably refer to a human.

**[0036]** As used herein, the term “cyclobenzaprine” refers to cyclobenzaprine or a metabolite thereof, prodrugs of cyclobenzaprine or a metabolite thereof. Metabolites of cyclobenzaprine useful according to the methods of this application are metabolites that have substantially the same or better activity than cyclobenzaprine in alleviating agitation in dementia and/or a neurodegenerative condition or associated symptoms thereof. Cyclobenzaprine metabolites that may be useful according to this application include CBP 10,11-trans-dihydrodiol, N-desmethyl-2-hydroxycyclobenzaprine, 3-hydroxycyclobenzaprine, N-desmethylcyclobenzaprine, cyclobenzaprine N-oxide, or a chiral isomer of these metabolites. A prodrug of cyclobenzaprine is a derivative of cyclobenzaprine that is metabolized in vivo into the active agent. Prodrugs useful according to this application are those that have substantially the same or better activity than cyclobenzaprine in treating or preventing agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition. Methods for making prodrugs are readily known in the art (e.g., Balant, et al 1990; Bund-gaard, H et al. 1991).

**[0037]** As used herein, the term “therapeutically effective amount” of cyclobenzaprine refers to the amount of the compound that treats or prevents, as defined herein, agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition. A physician can readily determine when symptoms are treated or prevented, for example through clinical observation of a subject, or through reporting of symptoms by the subject or its caregiver during the course of treatment. One skilled in the art can readily determine the amount of a cyclobenzaprine to be administered, by taking into account factors such as the size, weight, age and sex of the subject, the extent of disease penetration or persistence and severity of symptoms, and the route of administration. Generally, a therapeutically effective amount of cyclobenzaprine administered to a subject is between 0.1 mg to 30 mg/day, between 1 to 20 mg/day, less than 10 mg/day, less than 5 mg/day, about 5.6 mg/day, or about 2.8 mg/day. Higher or lower doses are also contemplated.

**[0038]** As used herein, the term “about” refers to a value or parameter that includes (and describes) embodiments that are directed to that value or parameter per se. For example, description referring to “about X” includes description of “. As used herein, the term “about” permits a variation of  $\pm 10\%$  within the range of the significant digit.

**[0039]** As used herein, the term “agent” refers to a biological or chemical substance or compound that can be used to treat or prevent a condition in the subject. In some embodiments, the agent is an antibody. The condition can be a symptom related to dementia and/or a neurodegenerative condition, including, but not limited to anxiety, psychosis, cognitive decline, mood fluctuations, agitation, convulsions, abnormal neurochemistry that contributes to the pathogenesis of dementia and/or neurodegeneration, protein aggregation and accumulation that contribute to the pathogenesis of dementia and/or the neurodegeneration, e.g., accumulation of amyloid plaques and abnormal tau deposits (see Cummings, 2017).

**[0040]** As used herein, the term “somatic treatments” refers to intervention administered to the subject including but not limited to electroconvulsive therapy, magnetic therapy, transcranial magnetic stimulation, transcranial direct stimulation, cranial electric stimulation, vagus nerve stimulation, epidural electric stimulation, or deep brain stimulation. (See Rosa and Lisanby, 2012).

**[0041]** In some embodiments, the cyclobenzaprine is administered at doses that minimize or lessen any side effects observed at higher doses. These doses include doses of about 5.6 mg/day,

less than 5 mg/day, or about 2.8 mg/day. Even lower doses are also contemplated. Generally, cyclobenzaprine therapy can be carried out indefinitely to treat or prevent the agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition and frequency and/or amount of dosage may be changed as needed. The period of 5 treatment should be as long as necessary to treat or prevent agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition. In some embodiments, the cyclobenzaprine administered at night-time and at an appropriate dose. The dose may be gradually increased or decreased.

[0042] In some embodiments of the application, cyclobenzaprine is administered in combination 10 with one or more of an agent which may further alleviate agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition. The agents may be administered sequentially or concurrently with the cyclobenzaprine. The agents include one or more of cholinesterase inhibitor, an N-methyl-D-aspartate (NMDA) receptor antagonist, an antidepressant, an anti-anxiety agent, an antipsychotic agent, an anticonvulsant 15 or mood stabilizer, an anti-amyloid agent, and an anti-tau agent. Exemplary cholinesterase inhibitors include, but are not limited to donepezil, rivastigmine, galantamine, or tacrine. Exemplary N-methyl-D-aspartate receptor antagonists include, but are not limited to, amantadine or memantine. Exemplary N- antidepressants include, but are not limited to, citalopram, fluoxetine, paroxetine, or sertraline. Exemplary anti-anxiety agents include, but 20 are not limited to, lorazepam, oxazepam, or buspirone. Exemplary antipsychotic agents include, but are not limited to, quetiapine, trazodone, promazine, aripiprazole, ziprasidone, olanzapine, or risperidone. Exemplary anticonvulsant or mood stabilizers include, but are not limited to, carbamazepine, divalproex, or dextromethorphan. Exemplary anti-amyloid agents include, but are not limited to, bapineuzumab, solanezumab, or verubecestat. In some embodiments, 25 the subject is administered about 1.0 mg/day lorazepam to treat breakthrough symptoms of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition sequentially or concurrently with the cyclobenzaprine compositions of the disclosure.

[0043] In another aspect, the application discloses a pharmaceutical composition. The 30 pharmaceutical composition comprises a therapeutically effective amount of cyclobenzaprine in combination with one or more agents selected from the group consisting of a cholinesterase inhibitor, an N-methyl-D-aspartate (NMDA) receptor antagonist, an antidepressant, an anti-

anxiety agent, an antipsychotic agent, an anticonvulsant or mood stabilizer, an anti-amyloid agent, and an anti-tau agent. Generally, the amount of cyclobenzaprine in the pharmaceutical composition is between 0.1 mg to 30 mg, or between 1 mg and 20 mg. Higher or lower doses are also contemplated. In some embodiments, the amount of cyclobenzaprine is less than 10 mg, less  
5 than 5 mg, about 5.6 mg, or about 2.8 mg. Even lower amounts are also contemplated. In some embodiments, cyclobenzaprine is combined with at least one of an agent which may further alleviate the symptoms of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition. The agent may be administered sequentially or concurrently with the cyclobenzaprine compositions of this invention.

10 **[0044]** Any suitable route of administration may be employed for providing the subject with the compositions of this application. For example, sublingual, buccal, oral, rectal, vaginal, suppository, parenteral, transdermal, intranasal, inhalational, thin film and the like may be employed as appropriate. The term parenteral as used herein includes subcutaneous, intracutaneous, intravenous, intramuscular, intra-articular, intrasynovial, intrasternal, intrathecal,  
15 intraleisional and intracranial administration or other infusion techniques. Dosage forms useful in this application may include tablets, such as scored tablets, coated tablets, or orally dissolving tablets; thin films, powders, caplets, capsules (e.g. hard gelatin capsules), troches, dragees, dispersions, suspensions, solutions, patches and the like, including sustained release, extended release, slow release, modified release formulations well known in the art. In preferred  
20 embodiments, the dosage form is a sublingual tablet, a sublingual film, a liquid, sublingual powder, or a sublingual spray solution.

25 **[0045]** As used herein, the term "pharmaceutically acceptable carrier" refers to any diluent or excipient that is compatible with the other ingredients of the formulation, and which is not deleterious to the subject. The pharmaceutically acceptable carrier can be selected on the basis of the desired route of administration, in accordance with standard pharmaceutical practices.

30 **[0046]** Pharmaceutical compositions of the application for parenteral administration can take the form of an aqueous or nonaqueous solution, dispersion, suspension or emulsion. In preparing pharmaceutical compositions of the application for parenteral administration, cyclobenzaprine can be mixed with a suitable pharmaceutically acceptable carrier such as water, oil (particularly a vegetable oil), ethanol, saline solutions (e.g., normal saline), aqueous dextrose (glucose) and related sugar solutions, glycerol, or glycols such as propylene glycol or polyethylene glycol. Pharmaceutical compositions of the application for parenteral administration preferably contain a

water-soluble salt of cyclobenzaprine. Stabilizing agents, antioxidanting agents and preservatives can also be added to the pharmaceutical compositions for parenteral administration. Suitable antioxidanting agents include sulfite, ascorbic acid, citric acid and its salts, and sodium EDTA. Suitable preservatives include benzalkonium chloride, methyl- or propyl-paraben, and

5 chlorbutanol.

**[0047]** In preparing pharmaceutical compositions of the application for sublingual administration, cyclobenzaprine can be combined with one or more solid or liquid inactive ingredients to form tablets, capsules, pills, powders, granules, sprays or other suitable sublingual dosage forms. For example, cyclobenzaprine can be combined with at least one

10 pharmaceutically acceptable carrier such as a solvent, filler, binder, humectant, disintegrating agent, solution retarder, absorption accelerator, wetting agent absorbent or lubricating agent. In one embodiment, cyclobenzaprine is combined with carboxymethylcellulose calcium, magnesium stearate, mannitol or starch, and is formed into tablets by conventional tabletting methods. Pharmaceutical compositions suitable for use in the present application are described

15 in, for example, WO2013188847.

**[0048]** Pharmaceutical compositions of the application can be formulated so as to provide sublingual absorption including sublingual tablets, sublingual thin film formulations, sublingual powders, sublingual spray solutions to provide faster absorption than the oral/GI route and to bypass first-pass hepatic metabolism of cyclobenzaprine by cytochrome P-450 3A4 as a

20 CYP3A substrate. Preferably, a controlled-release pharmaceutical composition of the application is capable of releasing cyclobenzaprine into a subject at a desired rate, so as to maintain a substantially constant or desired pharmacological activity for a given period of time, reduce or remove the effect of food on absorption, and to provide elimination of the drug and metabolites from the body with a reduced terminal elimination phase. As used herein, a

25 "controlled-release component" is a compound such as a lipid or mixture of lipids, liposome and/or microsphere that induces the controlled-release of cyclobenzaprine into the subject upon exposure to a certain physiological compound or condition. For example, the controlled-release component can be biodegradable, activated by exposure to a certain pH or temperature, by exposure to an aqueous environment, or by exposure to enzymes. An example of a controlled-

30 release component which is activated by exposure to a certain temperature is a sol-gel. In this embodiment, cyclobenzaprine is incorporated into a sol-gel matrix that is a solid at room temperature. This sol-gel matrix is implanted into a subject having a body temperature high

enough to induce gel formation of the sol-gel matrix, thereby releasing the active ingredient into the subject.

5 [0049] Formulation of controlled-release pharmaceutical compositions of the application is within the skill in the art. Controlled release formulations suitable for use in the present application are described in, for example, U.S. Pat. No. 5,674,533 (liquid dosage forms), U.S. Pat. No. 5,591,767 (liquid reservoir transdermal patch), U.S. Pat. No. 5,120,548 (device comprising swellable polymers), U.S. Pat. No. 5,073,543 (ganglioside-liposome vehicle), U.S. Pat. No. 5,639,476 (stable solid formulation coated with a hydrophobic acrylic polymer).

10 [0050] Biodegradable microparticles can also be used to formulate controlled-release pharmaceutical compositions suitable for use in the present application, for example as described in U.S. Pat. Nos. 5,354,566 and 5,733,566.

15 [0051] The composition of this application may be administered by nasal aerosol or inhalation. Such compositions are prepared according to techniques well-known in the art of pharmaceutical formulation and may be prepared as solutions in saline, employing benzyl alcohol or other suitable preservatives, absorption promoters to enhance bioavailability, fluorocarbons, and/or other solubilizing or dispersing agents known in the art.

20 [0052] The therapeutically effective dose of the composition for the prevention or treatment of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition will vary with the type of affliction, the severity of the patient's affliction and the route of administration. The daily dose and dose frequency will also vary according to the age, weight and response of the individual patient. However, the preferred dosage will not equal or exceed 18 mgs per day. In a preferred embodiment, the composition is given in one daily dose at bed time or up to several hours before bedtime to facilitate the achievement of deep, refreshing sleep. Bedtime may be any hour of the day at which a person engages in the most extensive period of sleep.

25 [0053] Any of the methods of treatment described above may be combined with psychotherapeutic behavioral, or environmental intervention to improve the outcome of the treatment. Of particular use is intervention directed at managing agitation including (1) identifying

the behavior, (2) understanding the cause of the behavior, and (3) adapting the caregiving environment to remedy the situation. Correctly identifying what has triggered the agitated behavior can often help in selecting the best behavioral intervention. The intervention includes education and support for family and caregivers, structured routines reassurance and socialization, 5 supervision and environmental safety. (See, Alexopoulos et al, 1998).

[0054] As used herein, the term "genetic sample" refers to a deoxyribonucleic acid (DNA) sample obtained from a subject. For example, the sample could be collected from tissues or fluids including but not limited to blood, hair, skin, saliva, or cheek swab. A skilled technician could then isolate DNA from the sample using methods well-known in the art and identify the genetic 10 variations of the cytochrome P450 (CYP) genes present in the subject.

[0055] In another aspect of this application, a pharmacogenomic test to measure the cytochrome CYP3A4, CYP1A2, CYP3A, and CYP2D6 genotype of a subject suffering from or at risk of developing agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition, may be used to predict the metabolism 15 of cyclobenzaprine by those subjects and thus this preferred dose to be used. Thus, one aspect of the disclosure of this application provides a method for obtaining a genetic sample from said subject, using said sample to determine the CYP3A, CYP1A2, CYP3A4, or CYP2D6 genotype of said subject, and selecting a therapeutically effective dose of cyclobenzaprine based on that genotype. The CYP3A, CYP1A2, CYP3A4 or CYP2D6 genotype may be 20 determined, for example, by using a gene chip or a PCR technique to identify the alleles of one or more of the genes. Different CYP alleles metabolize cyclobenzaprine at different rates. For individuals having a cytochrome allele known to metabolize cyclobenzaprine more quickly, a higher dose of cyclobenzaprine shall preferably be administered. For individuals having an isoform known to metabolize cyclobenzaprine more slowly, a lower dose of 25 cyclobenzaprine should preferably be administered. The genetic test can be sold as a kit with the product to physicians/lab testing services.

[0056] In order that this application to be more fully understood, the following examples are set forth. These examples are for the purpose of illustration only and are not to be construed as limiting the scope of the application in any way. The practice of the application is illustrated by 30 the following non-limiting examples.

Examples**Example 1. Cyclobenzaprine sublingual formulation TNX-102 SL**

[0057] One sublingual formulation (TNX-102 SL) of the disclosure of this application contains a eutectic complex of cyclobenzaprine hydrochloride (the active ingredient) and D-mannitol. It 5 also contains potassium salt, dibasic. Table 1 shows the composition of the TNX-102 SL tablet.

Table 1: TNX-102 SL Sublingual Tablet Composition

Ingredient	Quality Standard	Function	Composition	
			mg per Tablet	Percent
Cyclobenzaprine hydrochloride	USP	Active ingredient	2.80 <sup>c</sup>	7.37%
Mannitol <sup>a</sup>	USP, Ph. Eur., JP	Diluent	2.50	6.58%
Dye D&C Yellow 10 Lake	FDA approved per 21CFR	Colorant	0.023	0.06%
Mannitol/corn starch (Pearlitol <sup>®</sup> Flash) <sup>b</sup>	DMF No. 23720.	Diluent	27.977	73.62%
Crospovidone	USP, Ph. Eur., JP	Disintegrant	2.00	5.26%
Colloidal silica	USP, Ph. Eur., JP	Glidant	0.50	1.32%
Sodium stearyl fumarate	NF, Ph. Eur., JP	Lubricant	1.00	2.63%
Potassium phosphate, dibasic	USP, Ph. Eur.	pH control	1.20	3.16%
Total			38.00	100.00%

<sup>a</sup> Mannitol: about 0.7 mg of the 2.5 mg total amount is a component of the eutectic and the rest is diluent.

<sup>b</sup> Pearlitol<sup>®</sup> Flash is the trade name for an excipient containing about 80% mannitol and 20% corn starch.

<sup>c</sup> Calculated as the HCl salt

10

**Example 2. Determining safety of the TNX-102 SL formulation in subjects**

[0058] To determine the safety of the TNX-102 SL formulation for nighttime administration in human subjects, a total of 10 clinical studies using TNX-102 SL have been completed to date: five Phase 1 studies in healthy volunteers (data not shown), two Phase 2 studies in patients with 15 FM and PTSD; one Phase 3 study in patients with FM; and two open-label extension studies in patients with FM and PTSD. Of the five completed clinical studies in patients with FM and PTSD, a total of 641 patients have received at least one dose of TNX-102 SL administered once daily at bedtime (the “Combined TNX102 SL Safety Population”): 197 PTSD patients and 444

FM patients. Among the PTSD patients, 50 received 5.6 mg (2 sublingual tablets) and the remainder received 2.8 mg (1 sublingual tablet) of TNX-102 SL. See Table 2.

Table 2: Patient Exposure in the Completed TNX-102 SL Clinical Studies

Dose levels	TNX-CY-P201 (AtEase) Placebo- Controlled	TNX-CY-P202 Open-Label Extension (patients)	TNX-CY- F202 (BESTFIT)	TNX-CY-F203 Open-Label Extension	TNX-CY- F301 (AFFIRM)
<b>Placebo</b>	94	-	101	-	256
<b>TNX-102 SL 2.8mg</b>	93	149 <sup>a</sup>	103	158 <sup>b</sup>	262
<b>TNX-102 SL 5.6mg</b>	50	-	-	-	-
<b>Patients per Study</b>	237	149	204	158	518
<b>Patients Exposed to TNX-102 SL (i.e., the Combined TNX-102 SL Safety Population)<sup>c</sup></b>					
				<b>Total</b>	<b>641</b>
				<b>≥ 50 years</b>	
				<b>&lt; 50 years</b>	<b>241</b>
<b>Total Placebo Patients in the Placebo-Controlled Studies (i.e., the Combined Placebo Safety Population)</b>					
				<b>Total</b>	<b>451</b>
				<b>≥ 50 years</b>	
				<b>&lt; 50 years</b>	<b>182</b>

<sup>a</sup> 54 patients were in the placebo group and 35 patients were in the 5.6 mg group from the P201 lead-in study.

<sup>b</sup> 79 patients were in the placebo group from the F202 lead-in study.

<sup>c</sup> Received at least one dose of TNX-102 SL 2.8 mg or 5.6 mg in either a placebo-controlled study or an open-label extension.

### Results

#### 10 Combined TNX-102 SL Safety Population

**[0059]** Overall, TNX-102 SL was well-tolerated in both FM and PTSD patients. The most common adverse events ( $\geq 5\%$  incidence) that were considered associated with TNX-102 SL administration were oral hypoaesthesia and oral paraesthesia, and systemic effects such as somnolence and fatigue. All the reported systemic effects were consistent with the side-effect profile of cyclobenzaprine but generally less frequent than those reported in marketed orally ingested formulations such as the immediate release FLEXERIL® and the extended release AMRIX®. No new drug-drug interactions have been identified to date.

[0060] Local administration site conditions were not unexpected and are likely a result of the local anesthetic properties of tricyclic molecules due to blockade of sodium channels (Pancrazio et al, 1998). Other than the typically mild and transient local administration site conditions of oral numbness, tingling or burning sensation, bitter taste, and occasional reports of mild to moderate 5 oral irritation that are expected with sublingual TNX-102 SL, the overall adverse event profile at both the 2.8 and 5.6 mg daily doses has been benign.

[0061] We also evaluated the safety profile of the blinded safety data (N=71) from the ongoing placebo-controlled, Phase 3 study (TNX-CY-P301) of TNX-102 SL 5.6 mg in patients with 10 military-related PTSD. As of 31 August 2017, the safety profile of those patients is comparable to the adverse event profile observed in the Phase 2 PTSD study (TNX-CY-P201). There were no unexpected systemic adverse events, including no serious central nervous system-related adverse events, and the most common events ( $\geq 5\%$  incidence, listed in order of decreasing incidence) were oral hypoesthesia, somnolence and dry mouth.

#### Incidence of Adverse Events by Age Group

[0062] Patients who received at least one dose of TNX-102 SL ranged from 21 to 75 years of 15 age. As summarized in Table 2, of the 641 patients who received at least one dose of TNX-102 SL, 241 were  $\geq 50$  and 400 were  $< 50$  years of age; the majority received the 2.8 mg dose and only three patients  $\geq 50$  years of age received the 5.6 mg dose (age range: 54 to 59 years). No treatment-related, age group-specific safety signals were identified.

#### Anticholinergic Events

[0063] Overall, adverse events identified in the Combined TNX-102 SL Safety Population as 20 associated with TNX-102 SL (occurred in  $>2$  patients and  $>$  placebo group in either the Combined TNX102 SL Safety Population, or an age-group subset) that in part may be due to anticholinergic activity are somnolence (5.8%)/sedation (2.2%), fatigue (5.0%), and constipation (2.2%) (note: somnolence and sedation appeared to represent similar adverse events).

[0064] The safety data from the AtEase study suggested somnolence and sedation were dose-related, but constipation and fatigue were not. Nearly all of these events in either cohort (TNX-102 SL 2.8 mg or TNX-102 SL 5.6 mg) were mild or moderate in severity. Given that plasma concentrations of cyclobenzaprine after TNX-102 SL administration peak between 4 to 5 hours

post-dose, the dosing regimen of TNX-102 SL (once daily at bedtime) possibly minimizes daytime effects of somnolence, sedation, and fatigue. Among the potentially anticholinergic adverse events that are considered TNX-102 SL related, only constipation and fatigue had a comparatively higher incidence (approximately 2.8-fold and 1.7-fold higher, respectively) in the 5 older population (3.7% and 7.1%, respectively) compared with the younger population; however, this relatively increased incidence in the older age group was also observed in the placebo group.

[0065] The following adverse events that may be considered anticholinergic did not occur at an increased incidence in the Combined TNX-102 SL Safety Population compared with the placebo group: dizziness, lethargy, memory impairment, confusional state, disorientation, worsening of 10 balance disorder, dry mouth, dry throat, urinary tract infection, and vertigo. Other potential anticholinergic adverse events, including hallucinations, blurry vision, diplopia, delirium, nasal dryness, dry eye, difficulty urinating, decreased sweating and decreased saliva, were not reported in the Combined TNX-102 SL Safety Population.

#### Other Adverse Events of Concern

[0066] No other adverse events of particular concern, including syncope, dysphagia, contusions, falls, aggression/aggressive behavior (not reported), agitation (not reported), hypotension (not reported), blood pressure decreased (not reported) or any cardiac or hepatic events, appeared to be 15 TNX-102 SL related in either age group.

#### Dose-Related Adverse Events

[0067] The only clinical safety and efficacy study that evaluated two different doses of TNX-102 SL (i.e., 2.8 mg and 5.6 mg) is the AtEase Study/P201 conducted in patients with military-related PTSD (see Table 2). In the AtEase Study, safety data is available for 50 PTSD patients who received at least one dose of TNX-102 SL 5.6 mg. The 5.6 mg dose was well tolerated as 20 demonstrated by a higher completion rate than in the placebo group (83.6% vs 72.8%, respectively), and a lower incidence of study discontinuations due to adverse events than the placebo group (0.0% vs 3.2%, respectively). The safety profile of the 5.6 mg dose was comparable to that of the 2.8 mg dose with the following adverse events demonstrating a possible dose-relationship based on the numerical difference: somnolence/sedation, headache, and possibly glossodynia. Importantly, there was no evidence of an increased risk for suicidal 25 ideation or behaviors associated with TNX-102 SL treatment at either dose.

**Example 3. Safety Profile of Oral Cyclobenzaprine Marketed Products**

[0068] To evaluate the safety profile of oral cyclobenzaprine marketed products and potential concern with drug interaction with marketed Alzheimer's disease medications, an extensive search was conducted using public database and literatures, including PubMed, NIH Drug

5 Reaction Navigator, Medscape Drug Interaction Checker, Drugs.com and U.S. Food and Drug Administration (FDA) Adverse Event Reporting System (FAERS). Two types of oral cyclobenzaprine formulations are available in the market – cyclobenzaprine IR (5 mg or 10 mg TID; i.e., FLEXERIL) and cyclobenzaprine ER (15 mg BID or QD or 30 mg QD; i.e., AMRIX). Both formulations are administered at doses that are typically at least 2- to 3- fold higher than  
10 TNX-102 SL 5.6 mg administered sublingually once-a-day at bedtime, proposed for the treatment of agitation in dementia.

[0069] Of note, these safety data are based on administration of doses higher than the cyclobenzaprine 5.6 mg daily dose preferred in this application, and thus would be expected to be associated with increased side effects. The adverse events most commonly reported with both

15 formulations (FLEXERIL and AMRIX) include drowsiness/somnolence, dry mouth, dizziness, and fatigue, as well as constipation, nausea, and dyspepsia, which were frequently reported in patients who particularly received the cyclobenzaprine ER formulation (AMRIX Package Insert, 2016; FLEXERIL Package Insert, 2013). There was a low incidence of adverse events of particular concern in the elderly and/or events considered anticholinergic in patients who received  
20 FLEXERIL 10 mg reported in clinical studies or in the post-market. Confusion and blurred vision were reported in 1% to 3% of patients. The following were reported in <1% of patients: cardiovascular events (tachycardia, arrhythmia, vasodilation, palpitation, and hypotension), nervous system and psychiatric adverse events (such as seizures, agitation, vertigo, disorientation, agitation, hallucinations, and diplopia) and urinary retention (AMRIX Package Insert, 2016;  
25 FLEXERIL Package Insert, 2013). Notably, in a post-marketing surveillance performed in 1980 (N=6,311) after two decades of experience and more than 100,000,000 prescriptions of cyclobenzaprine 10 mg, the incidence of hallucinations was found to be 0.2%, mostly in elderly patients, with events mostly reversible and likely dose-related, as none were reported among patients who took 5 mg TID (FLEXERIL® OTC Switch NDA 21079 FDA Safety Review: July  
30 20, 1999).

[0070] These findings indicate that the preferred TNX-102 SL formulation will have minimal side effects in the elderly population with agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition.

5 **Example 4. Studies of Cyclobenzaprine in the Elderly**

[0071] The effects of cyclobenzaprine IR 5 mg upon psychomotor function were investigated in healthy elderly volunteers (62 to 80 years of age, N=17) in a crossover study of cyclobenzaprine IR 5 mg TID, diphenhydramine 50 mg TID, and placebo (Lines et al., 1997). Each treatment was administered as 10 doses over 4 days. In this patient population, there was no evidence of 10 drowsiness or impaired cognitive test performance. Of note, less sedative and cognitive impairment was observed in this elderly population than compared with a younger population in a prior study. Consistent with this finding, results from a psychomotor function study performed by the manufacturer (Merck; FLEXERIL® OTC Switch NDA 21079 FDA Safety Review: July 20, 1999) also demonstrated that there was no consistent pattern of impairment of psychomotor 15 function as measured by computerized test batteries, including assessments of driving-related skills in elderly patients ( $\geq$  65 years of age, N=32), with the performance being similar to the younger population (21 to 49 years of age).

*Precautions Regarding Use of Anticholinergics and Muscle Relaxants in the Elderly*

[0072] There are recommendations of potentially inappropriate medication use in older adults 20 (e.g., the Beers Criteria; American Geriatric Society, 2015). Drugs with anticholinergic activity that are muscle relaxants are listed as potentially inappropriate, primarily due to effects on cognitive function and adverse events secondary to nervous system effects, such as falls. Cyclobenzaprine (IR 5 to 10 mg TID and ER 15 mg BID/QD to 30 mg QD) was given a score of 25 2 on a scale of to 3 in an anticholinergic burden scale (Rudolph et al., 2008), primarily due to its anticholinergic activity, as well as its long half-life. It should be noted that these recommendations are based on higher doses than the TNX-102 SL dose proposed in this application studying a target population with caregivers. The most commonly reported anticholinergic adverse event associated with the administration of cyclobenzaprine is dose-related dry mouth; this is considered tolerable and of minimal clinical importance considering the 30 potential clinical benefit of TNX-102 SL. Other potential anticholinergic effects in patients who

received cyclobenzaprine IR 10 mg were all reported at a low incidence: tachycardia (<1%), urinary retention (<1%), confusion (1% to 3%) and blurred vision (1% to 3%). Data are not available for cyclobenzaprine 5 mg IR. Overall, adverse central nervous system effects and poorer cognitive and functional outcomes in drugs associated with high anticholinergic burden

5 scores have generally not been observed with cyclobenzaprine (i.e., incidence of <1% to 3%).

#### Drug-Drug Interactions

**[0073]** For the treatment of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition, most patients will likely be taking one of the four currently prescribed drugs for dementia (donepezil, rivastigmine, galantamine, and memantine). Three of these drugs (donepezil, rivastigmine, and galantamine) have anticholinesterase activity as the mechanism of action. As such, their product labeling recommends avoiding the co-administration of anticholinergics due to opposing activities. Cyclobenzaprine is not specifically mentioned and a search of drug-drug interactions between cyclobenzaprine and these four drugs in PubMed, NIH Drug Reaction Navigator, Medscape Drug

10 Interaction Checker, and Drugs.com did not identify any reports of interactions.

15

**[0074]** In addition, a preliminary search of the FDA Adverse Event Reporting System (FAERS) was conducted to identify all reported AEs during the first quarter of 2017 for which AD drugs and cyclobenzaprine were both reported to be suspected drugs. The following product active ingredient were used to retrieve AD drug-related events from the FAERS database as either the primary or secondary suspect drug: “DONEPEZIL”, “RIVASTIGMINE”, “GALANTAMINE”, “MEMANTINE”. These events were then filtered to select events with “CYCLOBENZAPRINE” as any source of suspicion. Of the 296 unique cases retrieved using the above search criteria and filters, only one case was identified for which both cyclobenzaprine and an AD drug (galantamine) were listed as suspect drugs. As the patient was on numerous

20 medications, neither drugs were the primary suspect. Additional details from this case is presented as follows. A 77-years old female patient (case ID: 13227232) who was on multiple medications was identified. The reported adverse events included balance disorder, cognitive disorder, constipation, fall, hypotension, multiple drug therapy, orthostatic hypotension, sedation and toxicity to various agents. The patient had morphine sulfate as primary suspect for the reported

25 adverse events, and cyclobenzaprine and galantamine in addition to another 23 drugs as secondary suspects.

Guidance for Cyclobenzaprine Use in the Elderly

[0075] Pharmacokinetics data have demonstrated that the plasma concentration of cyclobenzaprine is increased in the elderly compared to younger subjects (up to 1.7-fold or 1.4-fold higher for the IR and ER formulations, respectively. Thus, for cyclobenzaprine IR, it is recommended to initiate treatment with a 5 mg dose with the option to titrate slowly upward. It is also noted in the product labeling for cyclobenzaprine IR, “given that the elderly may be more at risk for central nervous adverse events such as hallucinations and confusion, cardiac events resulting in falls or other sequelae, drug-drug and drug-disease interactions, it is recommended that it only be used if clearly needed” (FLEXERIL Package Insert, 2013). However, the administration of extended release cyclobenzaprine (AMRIX 30 mg or 15 mg capsules) is not recommended in the elderly since its dosing flexibility is more limited due to its once-a-day dosing regimen (AMRIX Package Insert, 2016).

Conclusions

[0076] To date, the safety profile of TNX-102 SL and the other marketed cyclobenzaprine products, particularly at the lower dose typical for the elderly (e.g., cyclobenzaprine 5 mg IR TID which is more than 2-fold higher than the preferred dose of TNX-102 SL for agitation in dementia), is favorable and well tolerated with a very low incidence of systemic anticholinergic effects. As summarized above, the safety profile of cyclobenzaprine at daily doses up to 30 mg is mostly mild to moderate and well tolerated. In addition, the unique formulation of TNX-102 SL enabling rapid transmucosal absorption, bypass of first-pass hepatic metabolism, and reduced production of a long half-life active metabolite, norcyclobenzaprine, minimizes daytime effects when dosed once daily at bedtime.

[0077] The most commonly reported adverse events with marketed cyclobenzaprine products include drowsiness/somnolence, dry mouth, dizziness, and fatigue whereas the most commonly reported drug-related events with TNX-102 SL are local administration site conditions such as oral hypoesthesia and paraesthesia, and systemic effects of somnolence/sedation and headache. Dizziness, which could be of particular concern for the elderly, has not been reported at an increased incidence in the TNX-102 SL treated groups compared with placebo groups.

[0078] Analysis of anticholinergic events incidence and other events of particular concern for the elderly by age group among TNX-102 SL-treated patients demonstrated that only constipation

and fatigue were considered TNX-102 SL-related, and had comparatively higher incidences in patients  $\geq$  50 years of age compared to patients  $<$  50 years of age, albeit at relatively low rates (approximately 2.8-fold and 1.7-fold higher, respectively).

[0079] Of relevance for the treatment of agitation in dementia, no drug-drug interactions with cyclobenzaprine and the currently approved dementia drugs were identified, thereby allowing the patients to continue with their standard of care.

[0080] The preferred dose of TNX-102 SL for the treatment of agitation, psychosis and/or cognitive decline and associated symptoms thereof in dementia or in a neurodegenerative condition is about 5.6 mg (2 x about 2.8 mg tablets), which is more than 2-fold lower than the lowest recommended cyclobenzaprine dosing regimens (e.g., cyclobenzaprine IR 5 to 10 mg TID or cyclobenzaprine ER 15 BID/QD to 30 mg QD). The safety data from the marketed cyclobenzaprine drug products and the TNX-102 SL clinical studies described herein support a favorable safety profile of once daily dosing of TNX-102 SL 5.6 mg to be used at bedtime in the dementia and/or a neurodegenerative condition patient population over the age of 50 years.

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**Example 5. Efficacy, Safety and Tolerability of TNX-102 SL for the Treatment of Agitation, Psychosis and/or Cognitive Decline and Associated Symptoms thereof in Dementia or in a Neurodegenerative Condition**

[0081] A randomized double-blind placebo-controlled fixed dose study of TNX-102-SL for the treatment of agitation in Alzheimer's Disease (AAD) is conducted over 8 weeks. The effects of TNX-102-SL 5.6 mg (2 x 2.8 mg tablets) are studied in subjects ranging from 50 to 90 years of age diagnosed with probable Alzheimer's Disease, who experience clinically significant, moderate or severe agitation as defined by the International Psychogeriatric Association Agitation Definition Work Group (Cummings et al, 2015). The subjects have a Clinical Global Impression of Severity (CGIS) score greater than or equal to 4 (moderately ill) at Screening and Baseline. The subjects may be using medication for the treatment of Alzheimer's Disease (e.g., donepezil, rivastigmine, galantamine, memantine) provided the dose is stable for at least 3 months prior to randomization in the study. TNX-102-SL (2 x 2.8 mg tablets taken sublingually each day at bedtime) is compared to placebo during an 8-week evaluation period.

Efficacy Endpoints

[0082] The Primary Efficacy Endpoint is the mean change from Baseline in the Cohen Mansfield Agitation Inventory (CMAI) after 8 weeks of treatment (measured at baseline and at each visit). The key Secondary Efficacy Endpoints include (1) Modified Alzheimer's Disease

5 Cooperative Study Clinical Global Impression of Change Agitation Domain Score (mADCS-CGIC- Agitation) after 8 weeks of treatment, (2) Mean change from baseline in the CMAI total score after 4 weeks of treatment, (3) Mean change from baseline in the CMAI total score after 2 weeks of treatment, (4) Mean change from baseline in the CMAI Physical/Aggressive subscale score after 8 weeks of treatment, (5) Mean change from baseline in the CMAI Physical/Non-  
10 Aggressive subscale score after 8 weeks of treatment, (6) Mean change from baseline in the CMAI Verbal/Aggressive subscale score after 8 weeks of treatment, (7) Mean change from baseline in the Alzheimer's Disease Assessment Scale—Cognition (ADAS-Cog) score after 8 weeks of treatment . A sequential test procedure may be applied to the above secondary endpoints to adjust for multiplicity and to control for overall type I error.

15 [0083] Exploratory Efficacy Endpoints include (1) Change from baseline in the Neuropsychiatric Inventory (NPI) Agitation/Aggression Domain Score after 8 weeks of treatment, (2) Change from baseline in the NPI Irritability/Lability Domain Score after 8 weeks of treatment, (3) Change from baseline in the NPI Caregiver Distress Score after 8 weeks of treatment, (4) CGIS Agitation Domain Score after 8 weeks of treatment, (5) Zarit Burden  
20 Interview (ZBI), (6) ADCS-CGIC-Overall score after 8 weeks of treatment, (7) CGIS-Agitation, change from baseline to Week 8, (8) Proportion of patients using rescue medication (recorded in the Medication Administration Record or caregiver diary), (9) Patient Global Impression of Change (PGIC-rated by caregiver) score after 8 weeks of treatment, (10), Change from baseline in the Sleep Disorders Inventory (SDI) score after 8 weeks of treatment, (11) Change from  
25 baseline activity level and change from baseline sleep parameters as monitored by the ActiGraph device after 8 weeks of treatment, and (12) Change from baseline in activities of daily living as assessed by the Alzheimer's Disease Cooperative Study Activities of Daily Living Inventory 19 items (ADCS-ADL<sub>19</sub>).

Safety

30 [0084] Safety is assessed by Adverse events (AE) and serious AEs (SAEs) throughout the entire duration of the study, may include detailed assessment of AEs involving the oral cavity, changes

from baseline in clinical laboratory test results, changes from baseline in vital signs including but not limited to body temperature and weight, changes from baseline in electrocardiogram (ECG) parameters, changes from baseline in the Columbia–Suicide Severity Rating Scale (C-SSRS) score), changes from baseline in the Mini Mental State Examination (MMSE) score, changes 5 from baseline in the ADAS-Cog score, changes from baseline in the Delirium Rating Scale-Revised-98 (DRS-R-98) score (Trzepacz 2001), and Protocol-defined anticholinergic adverse events of special interest including orthostatic blood pressure changes of > 20 mmHg (systolic) or > 10 mmHg (diastolic), acute cognitive changes consistent with a DSM-5 diagnosis of delirium, 10 clinically relevant cognitive deterioration, confusion, falls, hallucinations, hypohidrosis, and fever.

*Pharmacokinetic Endpoint*

**[0085]** Blood levels of cyclobenzaprine and norcyclobenzaprine after 3 and 8 weeks of daily treatment are determined.

*Pharmacogenomic Endpoints*

15 **[0086]** Potential genetic determinants of treatment response are examined by studying functional variants of several genes in relation to treatment outcome. Agitation and other neurobehavioral disturbances in Alzheimer's disease have long been known to have associations with dysregulation of monoaminergic neurotransmission. Genetic variants of several genes involved in monoaminergic pathways have been associated with agitation in dementia including 20 serotonin and dopamine (Pritchard et al., 2007; Proitsi et al., 2012). Genetic variants of the 5-HT2A receptor and the 5-HT2C receptor have been associated with therapeutic responses to citalopram treatment of agitation in Alzheimer's disease (Peters, et al., 2016). In the present study, we examine potential genetic determinants of treatment response by studying functional variants of several genes in relation to treatment outcome. The genetic variants include but are not limited 25 to: the 5-HT2A serotonin receptor (*HTR2A-T102C*), the 5-HT2C serotonin receptor (*HTR2C-Cys23Ser*), the serotonin transporter (*5HTT-LPR*), brain-derived neurotropic factor (*BDNF-Val66-Met*), and apolipoprotein E ( $\epsilon$ 2,  $\epsilon$ 3,  $\epsilon$ 4 variants).

**[0087]** A single blood sample is obtained at Baseline (Visit 2) from patients who have signed a separate informed consent form for analysis of potential genetic variants and relevant biomarkers.

*Statistical Methods*

[0088] All subjects who receive at least 1 dose of study drug are analyzed in the safety assessment. All randomized subjects for whom at least a baseline and one post-baseline CMAI are assessed are analyzed in the Modified Intent-to-Treat Population (mITT) assessment. All 5 randomized subjects who receive at least one dose of TBX-102 SL and from whom an evaluable pharmacokinetic (PK) blood sample is obtained are analyzed in the PK assessment.

*Efficacy Analysis (mITT Population) – Endpoints*

[0089] The primary efficacy endpoint is the change from Baseline to Week 8 in the composite CMAI scores. The primary efficacy analysis is performed using a mixed model repeated 10 measures (MMRM) approach, with comparisons being made between the patients treated with TNX-102 SL and patients treated with concurrent placebo. The model includes all patients in the mITT population, and the dependent variable is the observed change from baseline in the total CMAI score at each post-randomization visit. Covariates in the model include the fixed categorical effects of treatment, site, location type (nursing home or community), visit, and 15 treatment-by-visit interaction, as well as the continuous fixed covariates of baseline CMAI score and baseline score-by-visit interaction.

[0090] Continuous secondary efficacy endpoints analyses are performed using the MMRM methodology, and the analyses are based on the mITT population. Significance tests of treatment differences are tested at the two-sided 0.05 level and the corresponding 95% confidence intervals 20 are calculated. To adjust for multiplicity and to control for overall type I error, a sequential test procedure is applied to the secondary efficacy endpoints.

*Safety Analyses (SAFETY Population)*

[0091] Adverse events are coded using the latest version of the Medical Dictionary for Regulatory Activities (MedDRA) and are summarized overall and by preferred term and system 25 organ class. Adverse events are also summarized by severity and relationship to study drug. Serious AEs and AEs leading to discontinuation of study drug are also summarized. Actual values and changes from Baseline for clinical laboratory test results, vital sign measurements, ADAS-Cog, DRS-R-98, and MMSE scores are summarized at endpoint using descriptive statistics (n, mean, SD, median, minimum, and maximum). Examination of the oral cavity is

conducted to assess the safety of sublingual administration and pregnancy tests are conducted for females of childbearing potential.

Sample Size Estimation

5 [0092] Approximately 160 subjects total in a 1:1 randomization, that is 80:80 subjects for TNX-102 SL 5.6 mg and placebo groups are enrolled in the study. Determination of effect size for design of definitive confirmatory studies are enabled by a sample size of 80 patients per arm.

Blood sample collection

[0093] Blood samples are collected at Baseline, and weeks 3 and 8 for population pharmacokinetic and pharmacogenomics analyses.

10 Expected Results

[0094] TNX-102 SL 5.6 mg (2 x 2.8 mg tablets) is safe, well-tolerated, and efficacious for the treatment of AAD over the 8-week study period.

**Example 6. Long-Term Safety and Tolerability of TNX-102 SL for the Treatment of AAD**

15 [0095] The long-term safety and tolerability of TNX-102 SL is assessed in an open-label, multicenter, fixed-dose study for up to 44 weeks for the treatment of agitation in subjects with Alzheimer's disease (n=160; 50-90 years; males and females with AAD). Subjects who have safely completed the double-blind study (see Example 5) without significant adverse events that are related to study drug are eligible for the open-label extension study. Subjects who continue to 20 meet criteria for probable Alzheimer's disease and have a reliable caregiver willing to comply with study procedures are retained in the study. Patients who have developed significant medical conditions that, in the opinion of the investigator or medical monitor, may interfere with safety assessments are excluded from the study. At the baseline visit, all subjects receive open-label TNX-102 SL 5.6 mg at bedtime.

25 [0096] The primary efficacy endpoints to measure the assessment of long-term efficacy of TNX-102 SL is mean change from Baseline in the CMAI. Among key Secondary Efficacy Endpoints are assessment of the NPI Agitation / Aggression domain, and mADCS-CGIC-

Agitation. Safety and tolerability of TNX-102 SL is assessed by reported adverse events (AEs), physical and neurological examinations, vital signs (including orthostatic blood pressure), clinical laboratory assessments, resting 12-lead ECGs, S-STS, and MMSE. Daytime somnolence is assessed by the MTRSS. A focused examination of the oral cavity is conducted periodically to 5 assess safety of sublingual administration of study drug. Pregnancy tests are conducted for females of childbearing potential.

[0097] Exploratory Efficacy Endpoints evaluated include (1) Change from baseline in the Neuropsychiatric Inventory (NPI) Agitation/Aggression Domain Score, (2) Change from baseline in the NPI Irritability/Lability Domain Score, (3) Change from baseline in the NPI 10 Caregiver Distress Score, (4) CGIS Agitation Domain Score, (5) Zarit Burden Interview (ZBI), (6) ADCS-CGIC-Overall score, (7) CGIS-Agitation, change from baseline to Week 44, (8) Proportion of patients using rescue medication (recorded in the Medication Administration Record or caregiver diary), (9) Patient Global Impression of Change (PGIC-rated by caregiver) score after 44 weeks of treatment, (10), Change from baseline in the Sleep Disorders Inventory 15 (SDI) score after 44 weeks of treatment, (11) Change from baseline activity level and change from baseline sleep parameters as monitored by the ActiGraph device after 44 weeks of treatment, and (12) Change from baseline in activities of daily living as assessed by the Alzheimer's Disease Cooperative Study Activities of Daily Living Inventory 19 items (ADCS-ADL<sub>19</sub>).

20 Expected Results

[0098] TNX-102 SL 5.6 mg (2 x 2.8 mg tablets) is safe, well-tolerated, and efficacious for the treatment of AAD over the 44-week study period.

[0099] The present application may be embodied in other specific forms without departing from the spirit or essential attributes thereof and, accordingly, reference should be made to the 25 appended claims, rather than to the foregoing specification, as indication the scope of the application.

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CLAIMS:

1. Use of a pharmaceutical composition comprising a eutectic of cyclobenzaprine HCl and mannitol, and a pharmaceutically acceptable carrier, for treating or preventing one or more agitation associated symptoms of dementia, the agitation associated symptoms being selected from the group consisting of rapid changes in mood, rapid changes in irritability, rapid changes in outbursts, delusions, hallucinations, checking and rechecking doors or appliances, tearing tissues, uncharacteristic cursing or threatening language, delirium, aggression, verbal aggression, physical aggression, combativeness, lability of mood, anger, akathisia, compulsions, obsessivity, impairment of interpersonal relationships, impairment of social functioning, impairment to perform or participate in daily living activities, and urinary incontinence, the composition providing 5.6 mg or less per day of the cyclobenzaprine HCl to a subject in need or at risk thereof.
- 15 2. The use of claim 1, wherein the pharmaceutical composition is for administration daily.
3. The use of claim 1 or 2, wherein the composition comprises cyclobenzaprine HCl in an amount of:
  - (a) less than 5 mg;
  - 20 (b) 5.6 mg; or
  - (c) 2.8 mg.
4. The use of any one of claims 1-3, wherein the composition is for administration simultaneously as two dosage units, wherein each dosage unit comprises 2.8 mg of cyclobenzaprine HCl or wherein the combined amount in the two dosage units is 5.6 mg of cyclobenzaprine HCl.
- 25 5. The use of any one of claims 1-4, wherein the composition is for administration once daily.
- 30 6. The use of any one of claims 1-5, wherein the pharmaceutical composition is in a dosage form selected from a tablet, a scored tablet, a coated tablet, an orally dissolving tablet, a

suppository, a thin film, a powder, a caplet, a capsule, a troche, a dragee, a dispersion, a suspension, a solution, or a patch.

7. The use of any one of claims 1-6, wherein the pharmaceutical composition is for administration sublingually, buccally, orally, intravenously, intramuscularly, subcutaneously, inhalationally, intranasally, transdermally, parenterally, rectally, or vaginally.
- 5