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(54) Title: PHARMACEUTICAL FORMULATION

(57) Abstract: The present invention relates to a film comprising an alginate salt of a monovalent cation or a mixture of alginate salts containing at least one alginate salt of a monovalent cation, and a triptan or a pharmaceutically acceptable salt thereof. The present invention further relates to methods for manufacturing such a film, and the use of such a film in the treatment of disease in a human patient, in particular migraine with or without aura, cluster headache, or trigeminal neuralgia.



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PHARMACEUTICAL FORMULATION

Field of the Invention

The present invention relates to a film comprising an alginate salt of a monovalent cation or a mixture of alginate salts containing at least one alginate salt of a monovalent cation, and a triptan or a pharmaceutically acceptable salt or solvate thereof. The present invention further relates to methods for manufacturing such a film, and the use of such a film in the treatment of disease, in particular migraine with or without aura, cluster headache, or trigeminal neuralgia.

Background to the Invention

Sumatriptan is a specific vascular 5-hydroxytryptamine-1 (5-HT₁) receptor agonist. It belongs to the more general class of 5-HT₁ receptor agonists based on the monoamine alkaloid tryptamine, which are known as triptans. Other triptans include zolmitriptan, naratriptan, rizatriptan, almotriptan, eletriptan, frovatriptan, donitriptan and avitriptan. The vascular 5-HT₁ receptor is predominantly found in cranial blood vessels and mediates vasoconstriction. Dilation and/or oedema formation in extracranial and intracranial vessels are thought to be the underlying mechanism of migraine. It is also believed that sumatriptan and other triptans may inhibit trigeminal nerve activity, which additionally may contribute to the anti-migraine action of these compounds in humans [1].

Sumatriptan has been marketed in the EU since 1991 and in the USA since 1993 for the treatment of migraine and is today available in several administration forms, including: subcutaneous injection; oral tablets; transdermal skin patches; suppositories; and nasal spray. Absorption, bioavailability and time to effect differ between the different delivery formulations but the pharmacokinetics and metabolism of sumatriptan when it has reached the circulation is similar between all administration forms and has been well described and documented [2]. After intranasal administration, sumatriptan is absorbed into the bloodstream, with a maximum plasma concentration occurring within 1-1.5 hours. After a 20 mg dose, the mean maximum concentration has been reported as 12.9 ng/mL, with mean intranasal bioavailability of 15.8% [3].

Since sumatriptan has been used for the treatment of migraine for many years there is an extensive knowledge regarding its risks. Adverse events are generally of mild or moderate

severity, of short duration, and more common with subcutaneous administration and higher doses of oral and intranasal sumatriptan than with other dose and route combinations [3].

The recommended dosage of sumatriptan for adults is between 10 and 100 mg sumatriptan per migraine attack. One of the most commonly used clinical end-points to
5 determine the success of a migraine treatment is the proportion of patients who are “pain-free at two hours” after taking medication; this analysis may be referred to as the “PF2” assessment [3].

Subcutaneous administration is the most effective route of administration of sumatriptan, with pain reduced from moderate or severe to none within two hours of
10 subcutaneous administration in almost 6 out of 10 people (60%) taking 6 mg sumatriptan, compared to approximately 1 out of 7 (15%) taking placebo [3]. The most effective oral dose is 100 mg and for the nasal spray 20 mg. The suppository formulation of sumatriptan (25 mg) also shows comparatively good efficacy [3].

However, both subcutaneous and rectal administration of sumatriptan is relatively
15 invasive and can be unpleasant for patients. On the other hand, in migraine patients nasal administration of sumatriptan has not been completely successful, with disappointing efficacy compared to rectal administration and even oral tablets [3]. The relatively modest efficacy of nasal sprays in migraine patients could be a function of variability in patient application technique which may also contribute to lower acceptance of this dosage form. An additional
20 aspect of low acceptance of nasal sprays in migraine patients is that some migraine patients report that nasal sprays increase the incidence of vomiting if the patient is already nauseous [4]. Tablet-based oral formulations are also known to be poorly tolerated by nauseous patients.

Finally, nasal sprays may not achieve the plasma concentrations required for effective
25 migraine pain relief. It appears that for the most reliable migraine-relieving effect, plasma levels over 9.44 ng/mL [5] need to be achieved within 60 minutes after dosing [6]. Thus any dosing method of sumatriptan that does not achieve a plasma level of sumatriptan exceeding roughly 10 ng/mL, or only achieves this adequate plasma level after the first 60 minutes from administration, may not exhibit efficacy in the maximum number of patients possible.

30 Therefore, no formulation of sumatriptan is currently available which can be administered in a non-invasive fashion, is compatible with nauseous patients and which

results in acceptable bioavailability and blood plasma concentrations of sumatriptan with low variability between patients.

Summary of the Invention

The present invention is based on the unexpected finding that formulations of triptans
5 such as sumatriptan, or pharmaceutically acceptable salts thereof, in a film suitable for
administration to an oral cavity can provide a desirable balance of properties for use in the
treatment of migraine and related diseases. The properties of a triptan-containing film
compare favourably with those of other triptan-containing formulations, for example
subcutaneous, suppository, oral tablet or nasal spray formulations. In particular, it is a
10 surprising finding of the present invention that when films as herein defined containing
sumatriptan are administered to patients, significantly more of those patients reach the
desirable plasma level of above 10 ng/mL sumatriptan within 60 minutes of treatment than
patients administered the commercially available nasal spray of sumatriptan.

Hence, the invention provides for the first time a film suitable for administration to an
15 oral cavity comprising a triptan such as sumatriptan, or a pharmaceutically acceptable salt or
solvate thereof, and its use in the treatment of patients suffering from migraine and related
diseases.

In one aspect, the present invention provides an film suitable for administration to an
oral cavity comprising:

- 20 (i) an alginate salt of a monovalent cation or a mixture of alginate salts containing
at least one alginate salt of a monovalent cation; and
(ii) a triptan or a pharmaceutically acceptable salt thereof.

In another aspect, the present invention provides a film according to the invention for
use in the treatment of a human patient.

25 In another aspect, the present invention provides a film according to the invention for
use in the treatment of migraine with or without aura, cluster headache, or trigeminal
neuralgia in a human patient.

In a further aspect, the present invention provides a method of treating a disease in a
human patient, wherein said method comprises administration of at least one film according
30 to the invention to the human patient, wherein the disease to be treated is selected from the
group consisting of migraine with or without aura, cluster headache, and trigeminal neuralgia.

In another aspect, the present invention provides the use of a film according to the invention for the manufacture of a medicament for the treatment of a disease in a human patient, wherein the disease to be treated is selected from the group consisting of migraine with or without aura, cluster headache, and trigeminal neuralgia.

- 5 In another aspect, the present invention provides a method of manufacturing a film according to the invention, said method comprising the following steps:
- (a) mixing the triptan or a pharmaceutically acceptable salt thereof and, optionally, at least one buffering component in water;
 - (b) adjusting the pH of the solution to the desired level by addition of an appropriate
10 acid or base, typically a diluted aqueous acid or alkali, and preferably adjusting the pH of the solution to from 3.25 to 12.0;
 - (c) optionally, adding further water and/or one or more plasticizers under further mixing;
 - (d) adding the alginate salt of monovalent cation under suitable conditions to result in
15 the formation of a viscous cast;
 - (e) pouring the cast onto a surface and spreading the cast out to the desired thickness;
 - (f) drying the cast layer, typically at a temperature of from 45 to 70 °C until the residual water content of the film is from 5 to 15% by weight and a solid film is formed; and
 - 20 (g) optionally, cutting the solid film into pieces of the desired size, further optionally placing these pieces into pouches, preferably wherein the pouches are made from PET-lined aluminium, sealing the pouches and further optionally, labelling them.

Brief Description of the Drawings

25 **Figure 1: Differential scanning calorimetry curves for sumatriptan and films containing 2 mg, 10 mg and 20 mg sumatriptan.** A slight peak (indicated with an arrow) on the curve obtained from the 20 mg sumatriptan film, and not present on the curves from the 2 mg and 10 mg films, suggests the presence of sumatriptan in crystal form in the 20 mg film.

30 **Figure 2: Correlation between the added quantity of sumatriptan/batch and the achieved amount of sumatriptan/dose.** The observed correlation is linear.

Figure 3: Plasma concentration curves for subjects treated with a sumatriptan nasal spray. Sumatriptan plasma concentration-time curves for each of 12 persons treated

with the nasal spray are displayed. Each person was treated with 20 mg sumatriptan *via* a nasal spray at time $t = 0$. The grey rectangle indicates the therapeutic target window of 10 ng/mL sumatriptan in plasma within 60 minutes. 6 of the 12 subjects treated with the nasal spray reached plasma levels that are within the therapeutic target window.

5 **Figure 4: Plasma concentration curves for subjects treated with a sumatriptan film administered *via* the oral cavity.** Sumatriptan plasma concentration-time curves for each of 12 persons treated with the nasal spray are displayed. Each person was treated with 2 x 18.5 mg sumatriptan *via* an adhesive alginate film applied to the oral cavity at time $t = 0$. The grey rectangle indicates the therapeutic target window of 10 ng/mL sumatriptan in
10 plasma within 60 minutes. 11 of the 12 subjects treated with the film reached plasma levels that are within the therapeutic target window.

Detailed Description of the Invention

The present invention is concerned with a film, suitable for administration to an oral cavity, which can be used for delivery of a triptan or a pharmaceutically acceptable salt
15 thereof to a human patient. Such a film may also be referred to as an oral dissolvable film (ODF) and/or an oral transmucosal film (OTF). The film is typically an alginate film which is applied by the patient to the mucosa of the oral cavity. The film is bioadhesive and adheres to the surface of the oral cavity upon application. After application, the alginate film begins to dissolve, releasing the triptan active ingredient. The present invention is useful in
20 particular in the treatment of migraine with or without aura, cluster headache, or trigeminal neuralgia. For the avoidance of doubt, all alternative and preferred features relating to the film *per se* apply equally to the use of said film in the treatment of disease in a human patient. The term “oral cavity” is understood to mean the cavity of the mouth, and includes the inner upper and lower lips, all parts of the inner cheek, the sublingual area under the tongue, the
25 tongue itself, as well as the upper and lower gums and the hard and soft palate. The term “oral mucosa” is understood to mean the mucous membrane lining the inside of the mouth, and includes (but does not exclusively refer to) mucosa in the buccal, labial, sublingual, gingival or lip areas, the soft palate and the hard palate.

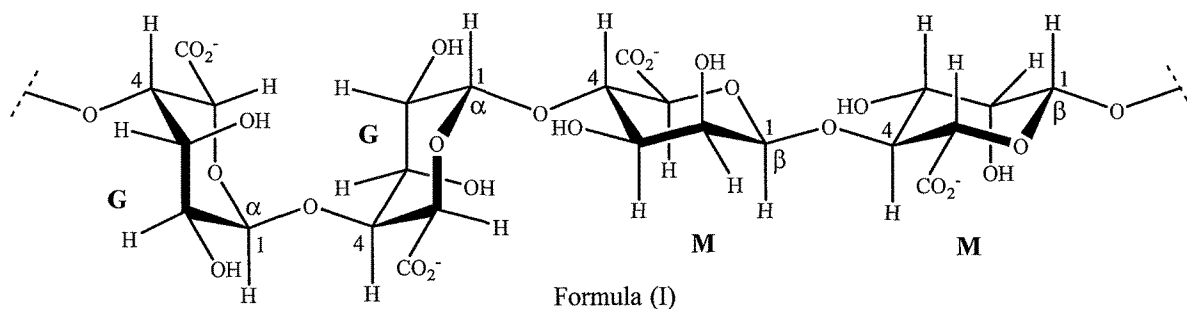
Films of the present invention

30 The present invention provides a film suitable for administration to an oral cavity comprising:

- (i) an alginate salt of a monovalent cation or a mixture of alginate salts containing at least one alginate salt of a monovalent cation; and
- (ii) a triptan or a pharmaceutically acceptable salt thereof.

The function of said alginate salt of a monovalent cation or mixture of alginate salts containing at least one alginate salt of a monovalent cation within the film is to act as a film-forming agent. As used herein, the term “film-forming agent” refers to a chemical or group of chemicals that form a pliable, cohesive and continuous covering when applied to a surface.

Alginate, the salt of alginic acid, is a linear polysaccharide naturally produced by brown seaweeds (*Phaeophyceae*, mainly *Laminaria*). Typically the alginate employed in the present invention comprises from 100 to 3000 monomer residues linked together in a flexible chain. These residues are of two types, namely β -(1,4)-linked D-mannuronic acid (M) residues and α -(1,4)-linked L-guluronic acid (G) residues. Typically, at physiological pH, the carboxylic acid group of each residue in the polymer is ionised. The two residue types are epimers of one another, differing only in their stereochemistry at the C5 position, with D-mannuronic acid residues being enzymatically converted to L-guluronic acid residues after polymerization. However, in the polymer chain the two residue types give rise to very different conformations: any two adjacent D-mannuronic acid residues are 4C_1 -diequatorially linked whilst any two adjacent L-guluronic acid residues are 4C_1 -diaxially linked, as illustrated in Formula (I) below.



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Typically in the alginate polymer, the residues are organised in blocks of identical or strictly alternating residues, e.g. MMMMM..., GGGGG... or GMGMGM.... Different monovalent and polyvalent cations may be present as counter ions to the negatively-charged carboxylate groups of the D-mannuronic acid and L-guluronic acid residues of the alginate polymer. Typically, the film comprises an alginate salt wherein the counter ions of the alginate polymer are monovalent cations. The cations which are the counterions of a single

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alginate polymer molecule may all be the same as one another or may be different to one another. Preferably, the counterions of the alginate polymer are selected from the group consisting of Na^+ , K^+ and NH_4^+ . More preferably, the counterions of the alginate polymer are Na^+ . Alternatively, the film may comprise a mixture of alginate salts containing at least one
5 alginate salt of a monovalent cation. The mixture of alginate salts may comprise an alginate salt of a cation selected from the group consisting of Na^+ , K^+ and NH_4^+ .

Typically, the film comprises an alginate composition which has a dynamic viscosity, as measured on a 10% aqueous solution (w/w) thereof at a temperature of 20 °C with a Brookfield LVF viscometer (obtained from Brookfield Engineering Laboratories, Inc.), using
10 a spindle No. 2 at a shear rate of 20 rpm, of 100-1000 mPa.s, or 200-800 mPa.s, or 300-700 mPa.s.

Preferably, the film comprises an alginate composition having a mean guluronate (G) content of from 50 to 85%, more preferably from 60 to 80%, and most preferably from 65 to 75% by weight. Preferably, the film comprises an alginate composition having a mean
15 maluronate (M) content of from 15 to 50%, more preferably from 20 to 40%, and most preferably from 25 to 35% by weight. Preferably, the film comprises an alginate composition having a mean molecular weight ranging from 30,000 g/mol to 90,000 g/mol, such as from 35,000 g/mol to 85,000 g/mol, or from 40,000 g/mol to 70,000 g/mol, or from 40,000 g/mol to 50,000 g/mol. Preferably, the film comprises an alginate composition having a mean
20 guluronate (G) content of from 50 to 85%, a mean maluronate (M) content of from 15 to 50%, and a mean molecular weight ranging from 30,000 g/mol to 90,000 g/mol. More preferably, the film comprises an alginate composition having a mean guluronate (G) content of from 60 to 80%, a mean maluronate (M) content of from 20 to 40%, and a mean molecular weight ranging from 30,000 g/mol to 90,000 g/mol. Most preferably, the film comprises an
25 alginate composition having a mean guluronate (G) content of from 65 to 75%, a mean maluronate (M) content of from 25 to 35%, and a mean molecular weight ranging from 30,000 g/mol to 90,000 g/mol.

The alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation may be the sole film-forming agent present in
30 the film. Alternatively, the film may comprise one or more further film-forming agents in addition to the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation.

It is preferred that the film comprises Protanal[®] LFR 5/60 or Protanal[®] LF 10/60 (both commercially available sodium alginate products from FMC BioPolymer) as the alginate salt. Protanal[®] LFR 5/60 is a low molecular weight and low viscosity sodium alginate extracted from the stem of *Laminaria hyperborean*. Protanal[®] LF 10/60 is a sodium alginate having a G/M % ratio of 65-75/25-35 and a viscosity of from 20-70 mPas as measured on a 1% aqueous solution thereof at a temperature of 20 °C with a Brookfield LVF viscometer, using a spindle No. 2 at a shear rate of 20 rpm. Protanal[®] LF 10/60 has both a higher mean molecular weight and a higher viscosity than Protanal[®] LFR 5/60.

Without wishing to be bound by any particular theory, a film comprising a higher viscosity alginate salt is believed to have a longer residence time (i.e. dissolving time) after application to the oral cavity *via* adhesion to a mucous membrane of said cavity than a film comprising a lower viscosity alginate salt of a similar thickness. It is contemplated that the viscosity of the alginate composition within the film may be adjusted by mixing any number of alginates having different viscosities. Typically, a film of about 1 mm thickness comprising Protanal[®] LFR 5/60 as the sole alginate component has a residence time of approximately 3-10 minutes after adhesion to a mucous membrane of the oral cavity. In contrast, a film of about 1 mm thickness comprising Protanal[®] LF 10/60 as the sole alginate component has a residence time of approximately 30 minutes after adhesion to a mucous membrane of the oral cavity.

Therefore, if a long residence time of the film within the oral cavity is desired, it is generally preferred that the film comprises Protanal[®] LF 10/60 as the alginate salt. However, compared to films comprising Protanal[®] LFR 5/60 as the alginate salt, films comprising Protanal[®] LF 10/60 as the alginate salt typically exhibit inferior adhesion properties when applied to a mucous membrane of the oral cavity. More generally, it is believed that film-forming agents having longer average chain lengths exhibit poorer adhesion to mucosa than film-forming agents having shorter average chain lengths. Without wishing to be bound by any particular theory, it is believed that better mucoadhesion of a film to the mucous membrane of the oral cavity enables a more efficient delivery of any active ingredients contained within the film to their site of action. Therefore, if a long residence time of the film within the oral cavity is not particularly necessary, it may be preferable to use Protanal[®] LFR 5/60 as the alginate salt.

It is particularly preferred that the film comprises Protanal® LFR 5/60 as the alginate salt.

The film may also comprise a film-forming agent other than the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation. Such other film-forming agents include agents such as poly(vinyl pyrrolidone) (PVP), pullulan, hydroxypropyl methylcellulose (HPMC), other cellulose-based film-forming agents, and so forth. However, if any other film-forming agent is present in the film in addition to the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, then typically the the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation will be present in the film in excess over any other film-forming agent present. Preferably, the ratio (by weight) of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation present in the film to the combined total of all other film-forming agents (such as PVP, pullulan and/or HPMC) present in the film is 1:1 or greater, or 2:1 or greater, or 3:1 or greater, or 4:1 or greater, or 5:1 or greater, or 10:1 or greater, or 20:1 or greater, or 50:1 or greater, or 100:1 or greater, or 500:1 or greater, or 1000:1 or greater, or 10000:1 or greater. Preferably, the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation will constitute at least 50% by weight of the total of the film-forming agents present in the film, more preferably at least 60% by weight, at least 70% by weight, at least 80% by weight, at least 90% by weight, at least 95% by weight, at least 98% by weight, at least 99% by weight, at least 99.5% by weight, at least 99.9% by weight, at least 99.95% by weight, or at least 99.99% by weight of the total of the film-forming agents present in the film.

Preferably, the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation is substantially the only film-forming agent present in the film. More preferably, the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation is the only film-forming agent present in the film. Alternatively, the film preferably does not comprise any, or substantially any, poly(vinyl pyrrolidone). Alternatively, the film preferably does not comprise any, or substantially any, pullulan.

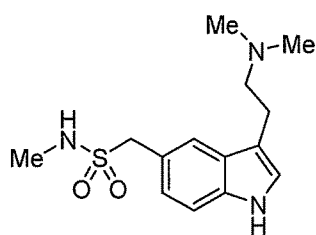
As used herein, a reference to a film that does not comprise “substantially any” of a specified component refers to a film that may contain trace amounts of the specified component, provided that the specified component does not materially affect the essential characteristics of the film. Typically, therefore, a film that does not comprise substantially any of a specified component contains less than 5 wt% of the specified component, preferably less than 1 wt% of the specified component, most preferably less than 0.1 wt% of the specified component.

It is a finding of the present invention that the use of an alginate salt of a monovalent cation or a mixture of alginate salts containing at least one alginate salt of a monovalent cation as the film-forming agent has benefits over the use of alternative film-forming agents, such as PVP, pullulan and/or HPMC. In particular, the use of alginate as the primary film-forming agent ensures that the films of the present invention have superior adhesive properties over films comprising primarily other film-forming agents such as PVP, pullulan and/or HPMC. The films of the present invention are bioadhesive; that is to say that the films of the present invention can firmly adhere to a moist surface (i.e. mucosa) in the oral cavity of a mammal subject before it has fully dissolved. Films in which alginate is not the primary film-forming agent do not generally have this desirable property. A further advantageous finding of the present invention is that the choice of alginate as the primary film-forming agent enables therapeutically effective doses of an active pharmaceutical ingredient (e.g., sumatriptan) to be loaded into the films whilst retaining homogeneity and other desirable physical properties of the films.

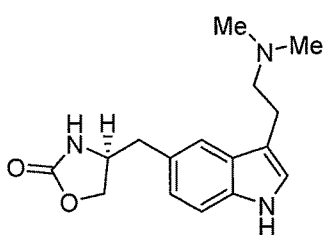
Typically, the film comprises from 25% to 99% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, preferably from 25% to 88% by weight, more preferably from 30% to 86% by weight, still more preferably from 35% to 75% by weight, and most preferably from 35% to 45% by weight.

The film according to the present invention may also contain a residual water content. Typically, the film comprises from 0% to 20% by weight of residual water. More typically, the film comprises from 5% to 15% by weight of residual water. Preferably, the film comprises from 9% to 11% by weight of residual water. Most preferably, the film comprises about 10% by weight of residual water.

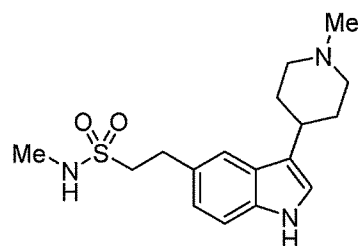
The film according to the present invention also comprises an active pharmaceutical ingredient (API) which is a triptan or a pharmaceutically acceptable salt thereof. The triptan or a pharmaceutically acceptable salt thereof is present in a therapeutically effective amount in the film. Typically, the API is a triptan. Alternatively, the API may be a pharmaceutically acceptable salt of a triptan. The API may be a pharmaceutically acceptable polymorph, co-crystal, hydrate or solvate of a triptan or a pharmaceutically acceptable salt thereof. Typically, the triptan is selected from the group selected from: sumatriptan, zolmitriptan, naratriptan, rizatriptan, almotriptan, eletriptan, frovatriptan, donitriptan and avitriptan. The structures of these triptans are provided in Formulae (II) to (X) below.



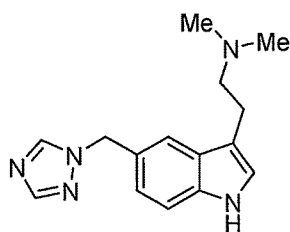
Formula (II): sumatriptan



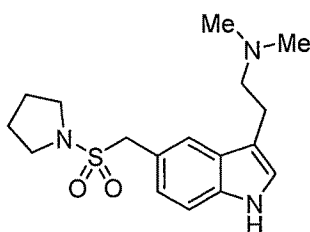
Formula (III): zolmitriptan



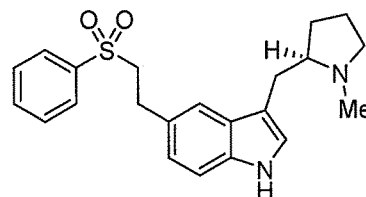
Formula (IV): naratriptan



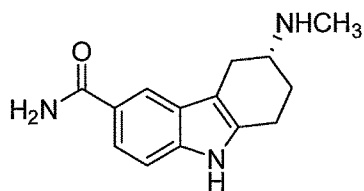
Formula (V): rizatriptan



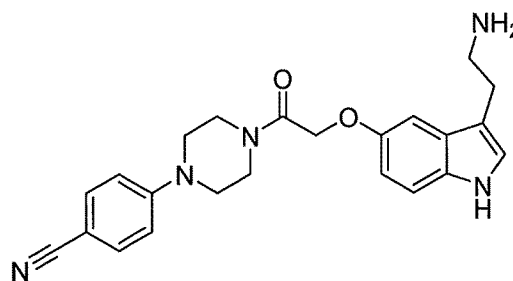
Formula (VI): almotriptan



Formula (VII): eletriptan

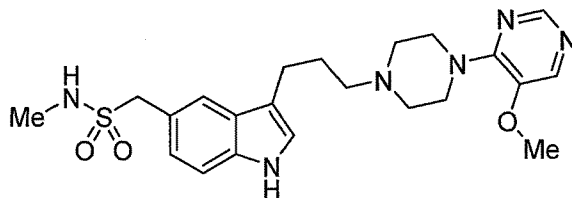


Formula (VIII): frovatriptan



Formula (IX): donitriptan

10



Formula (X): avitriptan

wherein -Me refers to a methyl (i.e. -CH₃) substituent.

Typically, the pharmaceutically acceptable salt of the triptan is selected from the group consisting of succinate, tartrate, citrate, fumarate, malonate, maleate, adipate, dimesylate, sulfate, benzenesulfonate, hydrochloride, and phosphate salts of the triptan. Preferred salt forms of triptans include dicarboxylic acid salts, hydrochloric acid salts, phosphoric acid salts, sulfuric acid salts or benzenesulfonic acid salts of the triptan. Most preferably, the pharmaceutically acceptable salt of the triptan is the phosphoric acid salt of the triptan.

Preferably, the triptan is sumatriptan, zolmitriptan or naratriptan, or a pharmaceutically acceptable salt thereof. Most preferably, the triptan is sumatriptan or a pharmaceutically acceptable salt thereof.

Preferred salt forms of sumatriptan include dicarboxylic acid salts, hydrochloric acid salts, phosphoric acid salts, sulfuric acid salts or benzenesulfonic acid salts of sumatriptan. Most preferably, the pharmaceutically acceptable salt of sumatriptan is the phosphoric acid salt of sumatriptan.

The API may be present within the film in varying amounts. Typically, the film comprises from 0.001% to 75% by weight of the API, preferably from 2% to 50% by weight of the API, more preferably from 4% to 40% by weight of the API, yet more preferably from 11% to 35% by weight of the API, still more preferably from 15% to 35% by weight of the API and most preferably about 30% by weight of the API.

Generally, the triptan or a pharmaceutically acceptable salt thereof is the only API present in the film. However, the film may alternatively comprise one or more further active pharmaceutical ingredients in addition to the triptan or a pharmaceutically acceptable salt thereof. Preferably, the triptan or a pharmaceutically acceptable salt thereof is sumatriptan or a pharmaceutically acceptable salt thereof.

Preferably, the film comprises from 25% to 99% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 0% to 20% by weight of water, and from 0.001% to 75% by weight of the API. More preferably, the film comprises from 30% to 86% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 5% to 15% by weight of water, and from 4% to 40% by weight of the API. Even more preferably, the film comprises from 35% to 75% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 9% to 11% by weight of water, and from 15% to 35%, by weight of the API.

A film according to the present invention may optionally further comprise other components in addition to the API, water and the film-forming agent. Typically, a film according to the present invention further comprises one or more of the following:

- (i) at least one pharmaceutically acceptable solvent;
- (ii) at least one buffering component;
- (iii) at least one excipient; and
- (iv) at least one acidifying agent or basifying agent.

The film may additionally comprise any pharmaceutically acceptable solvent. Such a solvent may be a non-aqueous solvent, or a combination of water and a non-aqueous solvent. Examples of non-aqueous solvents should be non-toxic and include, but are not limited to, ethanol, acetone, benzyl alcohol, diethylene glycol monoethyl ether, glycerine, hexylene glycol, isopropyl alcohol, polyethylene glycols, methoxypolyethylene glycols, diethyl sebacate, dimethyl isosorbide, propylene carbonate, and dimethyl sulfoxide.

The film may additionally comprise any suitable buffering component. A “buffering component”, as defined herein, refers to any chemical entity, which when dissolved in solution, enables said solution to resist changes in its pH following the subsequent addition of either an acid or a base. A suitable buffering component for use in the film of the present invention would be a buffering component which is an effective buffer within a pH range of from 3.25 to 12.0, preferably within a pH range of from 3.5 to 10.0, more preferably within a pH range of from 4.5 to 5.5 and most preferably at a pH of about 5.0. Examples of suitable buffering components include, but are not limited to: phosphates, sulfates, citrates and acetates. Preferably, the buffer is a salt of a monovalent cation, such as sodium, potassium or

ammonium salts. It is particularly preferred that the film additionally comprises a buffering component which is sodium dihydrogen phosphate.

Typically, the film comprises from 0.1% to 10% by weight of the buffering component, preferably 0.2% to 6% by weight, more preferably from 0.3% to 4% by weight, and most preferably from 1.5% to 4% by weight.

The film may additionally comprise any suitable excipient, such as one or more fillers or plasticizers. The film may comprise both a plasticizer and a filler. Alternatively, the film may comprise just one of a plasticizer or a filler. It is preferred that the film comprises a plasticizer. Under some circumstances it may be desirable that the film does not comprise a filler. It is particularly preferred that the film comprises a plasticizer but does not comprise a filler. The film may additionally include a taste-masking agent or a flavouring agent. The taste-masking agent may be a sweetener.

The plasticizer, when present, may be selected from the group consisting of polyethylene glycol, glycerol, sorbitol, xylitol and a combination thereof. Typically, the film comprises a plasticizer which is selected from the group consisting of glycerol, sorbitol and a combination thereof. Preferably, the film comprises both glycerol and sorbitol as plasticizers. The film may comprise from 0% to 40% by weight of each plasticizer present, preferably from 5% to 30% by weight of each plasticizer, more preferably from 5% to 25% by weight of each plasticizer, and most preferably from 10% to 20% by weight of each plasticizer.

The filler, when present, may be e.g. microcrystalline cellulose or titanium dioxide. A suitable amount of filler may be from 0% to 20% by weight, e.g. from 0.1% to 10% by weight, of the total pharmaceutical composition. Preferably, if a filler is present, the filler is titanium dioxide. A preferred amount of titanium dioxide may be from 0.1% to 1% by weight of the total pharmaceutical composition, more preferably from 0.1% to 0.3% by weight of the total pharmaceutical composition.

The film may additionally comprise one or more further excipients. Suitable further excipients which may be included in the film include ethylenediaminetetraacetic acid (EDTA), citric acid, benzalkonium chloride, bisulfite, butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT), dimethyl sulfoxide (DMSO), menthol, oleic acid, Tween and ascorbic acid. Typically, the film may comprise EDTA. A suitable amount of EDTA may be from 0.0001% to 5% by weight of the total pharmaceutical composition, preferably from 0.001% to 2% by weight of the total pharmaceutical composition. Typically, the film

may comprise citric acid. The concentration of citric acid in the film may be from 1 to 40 mM, preferably from 5 to 20 mM. Typically, the film may comprise benzalkonium chloride. A suitable amount of benzalkonium chloride may be from 0.001% to 0.05% by weight of the total pharmaceutical composition, preferably from 0.01% to 0.03% by weight of the total pharmaceutical composition, more preferably about 0.02% by weight of the total pharmaceutical composition. Typically, the film may comprise bisulfite. A suitable amount of bisulfite may be from 0.0001% to 5% by weight of the total pharmaceutical composition, preferably from 0.001% to 2.5% by weight of the total pharmaceutical composition. Typically, the film may comprise BHA. A suitable amount of BHA may be from 0.001% to 0.1% by weight of the total pharmaceutical composition, preferably from 0.02% to 0.04% by weight of the total pharmaceutical composition. Typically, the film may comprise BHT. A suitable amount of BHT may be from 0.001% to 0.05% by weight of the total pharmaceutical composition, preferably from 0.01% to 0.02% by weight of the total pharmaceutical composition. Typically, the film may comprise DMSO. A suitable amount of DMSO may be from 0.01% to 2% by weight of the total pharmaceutical composition, preferably from 0.3% to 0.5% by weight of the total pharmaceutical composition. Typically, the film may comprise menthol. A suitable amount of menthol may be from 0.01% to 1% by weight of the total pharmaceutical composition, preferably about 0.2% by weight of the total pharmaceutical composition. Typically, the film may comprise oleic acid. A suitable amount of oleic acid may be from 0.05% to 0.5% by weight of the total pharmaceutical composition, preferably from 0.2% to 0.3% by weight of the total pharmaceutical composition, more preferably about 0.25% by weight of the total pharmaceutical composition. Typically, the film may comprise Tween. A suitable amount of Tween may be from 0.01% to 0.5% by weight of the total pharmaceutical composition, preferably from 0.1% to 0.15% by weight of the total pharmaceutical composition, more preferably about 0.13% by weight of the total pharmaceutical composition. Typically, the film may comprise ascorbic acid. A suitable amount of ascorbic acid may be from 0.01% to 0.5% by weight of the total pharmaceutical composition, preferably from 0.1% to 0.3% by weight of the total pharmaceutical composition, more preferably about 0.2% by weight of the total pharmaceutical composition.

The film may additionally comprise an acidifying agent or a basifying agent. An “acidifying agent”, as defined herein, refers to a chemical compound that alone or in combination with other compounds can be used to acidify a pharmaceutical composition. A “basifying agent”, as defined herein, refers to a chemical compound that alone or in

combination with other compounds can be used to basify a pharmaceutical composition. Preferably, the film may additionally comprise an acidifying agent. Typically, the film comprises an acidifying agent which is an acid having a pK_a of 9.5 or less, more preferably having a pK_a of 7.0 or less, and most preferably having a pK_a of 5.0 or less. Examples of suitable acidifying agents include, but are not limited to: acetic acid, dehydro acetic acid, ascorbic acid, benzoic acid, boric acid, citric acid, edetic acid, hydrochloric acid, isostearic acid, lactic acid, nitric acid, oleic acid, phosphoric acid, sorbic acid, stearic acid, sulfuric acid, tartaric acid, and undecylenic acid. Preferably, the film comprises an acidifying agent which is phosphoric acid.

10 A film according to the present invention is produced *via* the drying of a film-forming solution (*vide infra*). Typically, a sufficient amount of acidifying agent or basifying agent is added to adjust the pH of the film-forming solution (before this is dried to form the film) to a pH of from 3.25 to 12.0, preferably a pH of from 3.5 to 10.0, more preferably a pH of from 4.5 to 5.5 and most preferably a pH of about 5.0.

15 Preferably, the film according to the present invention comprises from 25% to 99% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 0% to 20% by weight of water, from 0.001% to 75% by weight of the API, from 0.1% to 10% by weight of the buffering component, from 0% to 40% by weight of glycerol, from 0% to 40% by weight of sorbitol, and an acidifying agent. More preferably, the film according to the present invention
20 comprises from 35% to 75% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 9% to 11% by weight of water, and from 15% to 35% by weight of the API, from 1.5% to 4% by weight of the buffering component, from 10% to 20% by weight of glycerol, from 10% to
25 20% by weight of sorbitol, and an acidifying agent.

Alternatively, the film according to the present invention consists of from 25% to 99% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 0% to 20% by weight of water, from 0.001% to 75% by weight of the API, from 0.1% to 10% by weight of the buffering component, from 0% to 40% by weight of glycerol, from 0% to 40% by weight of sorbitol, and an acidifying agent. Alternatively, the film according to the present invention
30 consists of from 35% to 75% by weight of the alginate salt of a monovalent cation or the

mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 9% to 11% by weight of water, and from 15% to 35% by weight of the API, from 1.5% to 4% by weight of the buffering component, from 10% to 20% by weight of glycerol, from 10% to 20% by weight of sorbitol, and an acidifying agent.

5 A film according to the invention preferably has a thickness before drying of 200 to 2000 μm , more preferably from 300 to 1750 μm , even more preferably from 400 to 1500 μm , and most preferably about 1000 μm .

A film according to the invention preferably has a surface area on each of its two largest faces of from 0.1 to 20 cm^2 , more preferably from 0.5 to 15 cm^2 , even more preferably
10 from 1 to 10 cm^2 and most preferably from 2 to 6 cm^2 . Preferably, the surface area of each of the two largest faces of the film is about 3 cm^2 .

The skilled person, having regard for the desired time of dissolution for a given application, will be able to select a suitable film thickness and surface area by simply preparing films of a range of different thicknesses and surface areas and testing the resultant
15 films to measure the dissolution time.

The mechanical properties of a film according to the invention are very satisfactory. In particular, the film is flexible (i.e. it permits bending and folding without breaking), and has a high tensile strength. Importantly, the film of the present invention is not a gel, since the alginate polymer strands are not cross-linked with one another. The film of the invention
20 is bioadhesive; that is to say that the film comprises a natural polymeric material (alginate) which can act as an adhesive. The film is adhesive to moist surfaces, such as mucosa. In particular, the film is adhesive to mucosa of the oral cavity, such as mucosa in the buccal, labial, sublingual, gingival or lip areas, the soft palate and the hard palate.

The film according to the invention may be provided with printed text matter or
25 printed images thereon, e.g. a brand name, a trade mark, a dosage indication or a symbol.

Administration and uses of the films in treatment

In general, films of the present invention are administered to a human patients so as to deliver to the patient a therapeutically effective amount of the active pharmaceutical ingredient (API), preferably sumatriptan or a pharmaceutically acceptable salt thereof,
30 contained therein.

As used herein, the term “therapeutically effective amount” refers to an amount of the API which is sufficient to reduce or ameliorate the severity, duration, progression, or onset of a disorder being treated, prevent the advancement of a disorder being treated, cause the regression of, prevent the recurrence, development, onset or progression of a symptom
5 associated with a disorder being treated, or enhance or improve the prophylactic or therapeutic effect(s) of another therapy. The precise amount of API administered to a patient will depend on the type and severity of the disease or condition and on the characteristics of the patient, such as general health, age, sex, body weight and tolerance to drugs. It will also depend on the degree, severity and type of the disorder being treated. The skilled artisan will
10 be able to determine appropriate dosages depending on these and other factors.

As used herein, the terms “treat”, “treatment” and “treating” refer to the reduction or amelioration of the progression, severity and/or duration of a disorder being treated, or the amelioration of one or more symptoms (preferably, one or more discernible symptoms) of a disorder being treated resulting from the administration of a film according to the invention to
15 a patient.

Typically, a film according to the present invention is provided for use in the treatment of a human patient. Preferably, the film according to the invention is provided for use in the treatment of migraine with or without aura, cluster headache, or trigeminal neuralgia in a human patient. More preferably, said film is provided for use in the treatment
20 of migraine with or without aura in a human patient.

Typically, the human patient to be treated may also show signs of nausea in addition to suffering from one or more of migraine with or without aura, cluster headache and trigeminal neuralgia. The observed nausea in the human patient may be an acute bout of nausea, or alternatively may be symptomatic of a more chronic condition, such as any type of
25 cancer. Therefore, it is preferred that the film according to the invention is for use in the treatment of migraine with or without aura, cluster headache, or trigeminal neuralgia in a human patient who (a) has been diagnosed with one or more types of cancer, and/or (b) is additionally receiving treatment for one or more types of cancer, and/or (c) is suffering from dysphagia from any cause.

30 Examples of cancers that a patient may be diagnosed with and/or receiving treatment for include, but are not limited to: Acute Lymphoblastic Leukaemia (ALL), Acute Myeloid Leukaemia (AML), Adrenocortical Carcinoma, Adrenal Cortex Cancer, AIDS-Related

Cancers, AIDS-Related Lymphoma, Anal Cancer, Appendix Cancer, Astrocytomas, Atypical Teratoid/Rhabdoid Tumour, Basal Cell Carcinoma, Bile Duct Cancer, Bladder Cancer, Bone Cancer (including Ewing Sarcoma and Osteosarcoma and Malignant Fibrous Histiocytoma), Brain Tumours, Breast Cancer, Bronchial Tumours, Burkitt Lymphoma, Carcinoid Tumour, 5 Cardiac Tumours, Cervical Cancer, Cholangiocarcinoma, Chordoma, Chronic Lymphocytic Leukaemia (CLL), Chronic Myelogenous Leukaemia (CML), Chronic Myeloproliferative Neoplasms, Colorectal Cancer, Craniopharyngioma, Cutaneous T-Cell Lymphoma, Ductal Carcinoma In Situ (DCIS), Embryonal Tumours, Endometrial Cancer, Ependymoma, Esophageal Cancer, Esthesioneuroblastoma, Extracranial Germ Cell Tumour, Extragonadal 10 Germ Cell Tumour, Eye Cancer, Fallopian Tube Cancer, Gall Bladder Cancer, Gastrointestinal Carcinoid Tumours, Gastrointestinal Stromal Tumours (GIST), Gestational Trophoblastic Disease, Gliomas, Hairy Cell Leukaemia, Head and Neck Cancer, Hodgkin Lymphoma, Hypopharyngeal Cancer, Intraocular Melanoma, Kaposi Sarcoma, Kidney Cancer, Langerhans Cell Histiocytosis, Laryngeal Cancer, Leukaemia, Lip and Oral Cavity 15 Cancer, Liver Cancer, Lung Cancer, Lymphoma, Melanoma, Merkel Cell Carcinoma, Malignant Mesothelioma, Multiple Endocrine Neoplasia Syndromes, Multiple Myeloma/Plasma Cell Neoplasms, Mycosis Fungoides, Myelodysplastic Syndromes, Myelodysplastic/Myeloproliferative Neoplasms, Chronic Myeloproliferative Neoplasms, Nasal Cavity and Paranasal Sinus Cancer, Nasopharyngeal Cancer, Neuroblastoma, Non- 20 Hodgkin Lymphoma, Non-Small Cell Lung Cancer, Oral Cancer, Ovarian Cancer, Pancreatic Cancer, Pancreatic Neuroendocrine Tumours (Islet Cell Tumours), Papillomatosis, Paraganglioma, Paranasal Sinus and Nasal Cavity Cancer, Parathyroid Cancer, Penile Cancer, Pharyngeal Cancer, Pheochromocytoma, Pituitary Tumour, Plasma Cell Neoplasm/Multiple Myeloma, Pleuropulmonary Blastoma, Primary Central Nervous System (CNS) Lymphoma, 25 Primary Peritoneal Cancer, Prostate Cancer, Retinoblastoma, Rhabdomyosarcoma, Salivary Gland Tumours, Sarcoma, Sézary Syndrome, Skin Cancer, Small Cell Lung Cancer, Small Intestine Cancer, Soft Tissue Sarcoma, Squamous Cell Carcinoma, Stomach Cancer, Testicular Cancer, Throat Cancer, Thymoma and Thymic Carcinoma, Thyroid Cancer, Urethral Cancer, Uterine Cancer, Endometrial and Uterine Sarcoma, Vaginal Cancer, 30 Vascular Tumours, Vulvar Cancer, Waldenström Macroglobulinemia and Wilms Tumour.

Alternatively, the patient to be treated may be an elderly patient or a child. Both of these groups of patients are typically receiving more medication than the average, may be more susceptible to conditions which preclude the effective administration of oral tablets (e.g.

nausea), and may not be able to self-medicate effectively (e.g. may find it difficult to administer the correct dose using a nasal spray).

Typically, the film is administered to the oral cavity of the patient. The film is preferably applied to an oral mucosa in the buccal or labial or sublingual areas or to the soft palate. The film is typically applied by the patient themselves.

The film is bioadhesive and adheres to the surface of the oral cavity upon application. After application, the alginate film begins to dissolve, releasing the active pharmaceutical ingredient. Typically, the film fully dissolves in a time period of from 0.1 to 60 minutes or more after application to the mucosa of the oral cavity. Preferably, the film fully dissolves in a time period of from 0.5 to 30 minutes, more preferably from 1 to 20 minutes, still more preferably from 3 to 10 minutes, and most preferably from 3 to 5 minutes after application to the mucosa of the oral cavity.

Without wishing to be bound by any particular theory, it is believed that as the film dissolves within the oral cavity, the active pharmaceutical ingredient which is concomitantly released may enter the bloodstream by one or both of two different routes: (a) *via* absorption across the oral mucosa directly into the bloodstream (the “oral transmucosal route”); and (b) *via* swallowing into the stomach and subsequent absorption across the epithelium of the intestines into the bloodstream. When the API is sumatriptan or a pharmaceutically acceptable salt thereof, typically a peak plasma concentration of between 5 and 50 ng/mL sumatriptan may be achieved, preferably between 10 and 30 ng/mL sumatriptan, and more preferably between 15 and 25 ng/mL. Preferably, the peak plasma concentration of sumatriptan in a patient exceeds 9.44 ng/mL, which is the target threshold plasma concentration of sumatriptan for relief of the symptoms of migraine with or without aura in a patient. This peak plasma concentration may be achieved within 180 minutes from adhesion of the film to the mucosa of the oral cavity, preferably within 120 minutes from adhesion, and most preferably within 75 minutes or 60 minutes from adhesion.

Typically, a single film is applied to the patient, generally to the mucosa of the oral cavity, at a given time. However, in some cases it may be desirable to apply two films simultaneously to achieve the correct dose for an individual patient. When the API is sumatriptan, the recommended dosage for adults is between 10 and 100 mg sumatriptan per migraine attack with the goal being efficacy that matches or exceeds that of current therapies as measured by the ‘pain-free at two hours’ (PF2) assessment [3]. In some cases it may be

desirable to apply more than two films simultaneously to achieve the correct dose for an individual patient, for example, three, four, five, six, seven, eight, nine, ten or more.

The present invention also therefore provides a method of treating a disease in a human patient, wherein said method comprises administration of at least one film according to the invention to the oral cavity of the human patient, optionally wherein the disease to be treated is selected from the group consisting of migraine with or without aura, cluster headache, and trigeminal neuralgia, and preferably wherein the disease to be treated is migraine with or without aura.

The present invention also provides the use of a film according to the invention for the manufacture of a medicament for the treatment of a disease in a human patient, optionally wherein the disease to be treated is selected from the group consisting of migraine with or without aura, cluster headache, and trigeminal neuralgia, and preferably wherein the disease to be treated is migraine with or without aura.

The present invention also provides a product comprising one or more films according to the invention, and packaging. Each of the films may individually be wrapped within a pouch, or multiple films may be wrapped together within the same pouch. Optionally, said pouch is made from PET-lined aluminium. The product may further comprise instructions for use of the film. These instructions may contain information on the recommended frequency or timing of use of the film by a patient, how to use remove the film from its pouch or packaging, how to adhere the film to a mucous membrane, and where within the oral cavity to adhere the film to a mucous membrane.

Any film or films of the present invention may also be used in combination with one or more other drugs or pharmaceutical compositions in the treatment of disease or conditions for which the films of the present invention and/or the other drugs or pharmaceutical compositions may have utility.

The one or more other drugs or pharmaceutical compositions may be administered to the patient by any one or more of the following routes: oral, systemic (e.g. transdermal, intranasal, transmucosal or by suppository), or parenteral (e.g. intramuscular, intravenous or subcutaneous). Compositions of the one or more other drugs or pharmaceutical compositions can take the form of tablets, pills, capsules, semisolids, powders, sustained release formulations, solutions, suspensions, elixirs, aerosols, transdermal patches, bioadhesive films, or any other appropriate compositions. The choice of formulation depends on various factors

such as the mode of drug administration (e.g. for oral administration, formulations in the form of tablets, pills or capsules are preferred) and the bioavailability of the drug substance.

Manufacture of the films

The films according to the invention may be manufactured by preparing a film-forming solution by addition and mixing of the constituent components of the film, distributing this solution onto a solid surface, and permitting the solution to dry on the surface to form a film. To distribute a solution or composition onto a solid surface the solution or composition may simply be poured onto and/or spread evenly over the surface, e.g. by use of a draw-down blade or similar equipment.

10 An exemplary method includes the process steps of:

- (a) mixing the API and, optionally, at least one buffering component in water;
- (b) adjusting the pH of the solution to the desired level by addition of an appropriate acid or base, typically a diluted aqueous acid or alkali, and preferably adjusting the pH of the solution to from 3.25 to 12.0, more preferably from 3.5 to 10.0, even more preferably from 4.5 to 5.5, and most preferably adjusting the pH of the solution to about 5.0;
- (c) optionally, adding further water and/or one or more plasticizers and/or one or more fillers under further mixing;
- (d) adding the alginate salt of monovalent cation under suitable conditions to result in the formation of a viscous cast, e.g. by mixing for about 30 minutes or until a lump free dispersion is achieved;
- (e) pouring the cast onto a surface, e.g. a plate, preferably a glass plate, and spreading the cast out to the desired thickness, typically by means of an applicator;
- (f) drying the cast layer, typically at a temperature of from 45 to 70 °C, and preferably from 55 to 60 °C, until the residual water content of the film is from 0 to 20% by weight, preferably from 5 to 15% by weight, and more preferably from 9 to 11% by weight, and a solid film is formed; and
- (g) optionally, cutting the solid film into pieces of the desired size, further optionally placing these pieces into pouches, preferably wherein the pouches are made from PET-lined aluminium, sealing the pouches and further optionally, labelling them.

In a particularly preferred example of this method, after the viscous cast is poured onto a surface, it is first spread out to a thickness of about 2 mm by means of an applicator with a slit height of about 2 mm, and is then subsequently spread out to a thickness of about 1 mm by means of an applicator with a slit height of about 1 mm.

5 Without wishing to be bound by any particular theory, it is believed that when only a one-step coating process is used, an unacceptably high variation was observed in the thickness of the resultant films produced. However, a lower dose weight variation was achieved using the two-step coating procedure, which appears to result in a more evenly distributed layer of cast/mass on the surface of the plate.

10 Typically, the alginate salt(s) are added to the API-containing water solution. Alternatively, the API and the alginate salt(s) are both dissolved together in solution. Alternatively, the API may be added to the alginate solution so as to give an emulsion or suspension of the API in the alginate solution. Alternatively, the film-forming composition of the invention may comprise both dissolved and non-dissolved active ingredients. For
15 example, a film-forming composition may comprise a combination of active ingredient dissolved in the alginate solution and active ingredient suspended in the solution.

Additional API may be applied to the surface of the film before or after drying, e.g. as an aerosol spray onto a dry or wet film. An active ingredient may also be applied as a powder onto the surface of the film. A flavouring agent may additionally be applied in such a
20 way.

The publications, patent publications and other patent documents cited herein are entirely incorporated by reference. Herein, any reference to a term in the singular also encompasses its plural. Where the term “comprising”, “comprise” or “comprises” is used, said term may substituted by “consisting of”, “consist of” or “consists of” respectively, or by
25 “consisting essentially of”, “consist essentially of” or “consists essentially of” respectively. Any reference to a numerical range or single numerical value also includes values that are about that range or single value. Any reference to a triptan also encompasses a physiologically acceptable salt thereof unless otherwise indicated. In particular, any reference to sumatriptan also encompasses a physiologically acceptable salt thereof. Any
30 reference to alginate encompasses any physiologically acceptable salt thereof unless otherwise indicated. Unless otherwise indicated, any % value is based on the relative weight of the component or components in question.

Examples

The following are Examples that illustrate the present invention. However, these Examples are in no way intended to limit the scope of the invention.

Example 1: Preparation of sumatriptan-containing films

5 Batch formulae comprising sumatriptan as the API for each individual dose strength of sumatriptan oral films are listed in Table 1. Calculations are based on yields of 1000 doses/batch (dose size = 3 cm²).

10 *Table 1. Batch formulae for production of sumatriptan films containing different dose strengths of the API. q.s. = quantum satis.*

Component	Batch formulae for target dose strengths of sumatriptan films						Function
	2 mg /dose	3 mg /dose	5 mg /dose	6 mg /dose	10 mg /dose	20 mg /dose	
Sumatriptan (g)	2.0	3.2	5.3	6.4	11.0	22.0	API
Sodium dihydrogen phosphate (g)	1.34	1.34	1.34	1.34	1.34	1.34	Buffering component
Water (mL)	197	197	197	197	197	197	Solvent
Sorbitol (g)	7	7	7	7	7	7	Plasticizer
Glycerol (g)	7	7	7	7	7	7	Plasticizer
Sodium alginate (g)	26.7	26.7	26.7	26.7	26.7	26.7	Film-forming polymer
Phosphoric acid (diluted)	q.s. to pH 5.0	q.s. to pH 5.0	q.s. to pH 5.0	q.s. to pH 5.0	q.s. to pH 5.0	q.s. to pH 5.0	pH adjustment

The films were produced according to the following procedure:

- 15 • The majority of the purified water was added to a vessel and sodium dihydrogen phosphate monohydrate was dissolved during mixing. The sumatriptan was then added under mixing.
- The pH of the solution was adjusted to 5.0 by addition of a requisite quantity of diluted phosphoric acid. Sumatriptan was gradually dissolved during the titration and fully dissolved at pH 5.
- 20 • The batch volume was increased to the correct total amount by addition of the remainder of the purified water.
- The glycerol and sorbitol liquid, partially dehydrated, were added under mixing.

- The sodium alginate was added under mixing (in a food processor) for about 30 minutes or until a lump free dispersion was achieved, resulting in a viscous cast.
- The cast was poured onto a glass plate and spreading out to a thickness of 1 mm by means of an applicator.
- 5 • The cast layer was dried in a drying cabinet heated to approximately 55-60 °C until a residual water content of from 9 to 11% by weight was achieved and a solid film was formed.
- The solid film was cut into pieces measuring 15 x 20 mm with a knife.
- The resulting films were placed individually into aluminium pouches, sealed with a
10 heat sealer and labelled.

After manufacture, each batch was evaluated with respect to the following criteria:

Property	Control
15 1. Cast texture:	lump free, homogenous viscous cast (visual inspection) free of bubble prior to coating (visual inspection)
2. Residual moisture*:	9-11% (in process control)
3. Film appearance**:	- translucent and colour homogenous (visual inspection) - smooth and flat surface structure (visual inspection) 20 - pliable and flexible (visual inspection)
4. Dose weight homogeneity:	weighing of doses within film batches
5. Sumatriptan content***:	target dose strength within $\pm 10\%$ (RPC-HPLC analysis)

* *Residual moisture*: IR-induced water vaporization combined with real-time weight
25 measurement was used. Percent of change in weight at start until no further change was observed as the measure of residual moisture.

** Some film batches were inspected and analysed with respect to surface structure and film homogeneity with electron microscopy and differential scanning calorimetry.

*** *Sumatriptan content and homogeneity*: HPLC-RPC separation with detection at 220 and
30 282 nm was used. Amount sumatriptan/dose was calculated using a sumatriptan standard curve.

Below is an assessment of the film batches with reference to the set criteria.

1. Cast texture

Lump free, homogenous (yellowish) viscous casts could be prepared with each individual batch formula/protocol. (Viscosity increases with sumatriptan content.)

Sumatriptan was fully dissolved in the liquid phase when pH was adjusted to 5. Since
5 sumatriptan in itself has buffering capacity, the amount of phosphoric acid needed for pH adjustment was adjusted to each individual batch formula/protocol so as not to exceed the set batch volume.

Air bubbles, which were generated during preparation of the casts and which give inhomogeneous films containing air bubbles, were removed by leaving the cast overnight
10 (over 15 hours) at room temperature for passive de-aeration prior to coating.

3. Film appearance

All films prepared were translucent, smooth, had flat surface structures and flexible properties when dried to a water content of 9-11%. The 2 mg films were colourless to slightly yellow. The colour intensity of the films increases slightly with increased
15 sumatriptan content, but were all films remained translucent and homogenous.

The films had a smooth and flat surface. They were all pliable, flexible and easy to handle.

Electron micrographs of films show that the produced films are homogenous. The 20 mg films are homogenous, but do contain small spots, which most likely are small crystals
20 of sumatriptan. Thermal analysis of a 20 mg sumatriptan film with differential scanning calorimetry (DSC) suggests the presence of sumatriptan in crystal form in the 20 mg film as indicated by a slight peak at 170 °C (see Fig. 1).

4. Dose weight homogeneity

Preliminary dose homogeneity assessments suggested that unacceptably high
25 variation was observed in films coated in one step with a ZUA applicator (Zehntner GmbH, Switzerland). This was thought to be caused by a non-continuous supply of cast/mass during the coating step. A lower dose weight variation was achieved by using a two-step coating procedure:

- Step 1: coating using a 2.0 mm slit height on the ZUA applicator

- Step 2: distribution of the 2.0 mm cast layer by coating with a 1.0 mm slit height on the ZUA applicator.

This process appears to result in an evenly distributed layer of cast/mass and may be a prerequisite for a more continuous supply of cast/mass during the second coating step.

- 5 Homogeneity data (i.e. mg sumatriptan/mg film) for films produced using the two-step procedure show very good consistency both within batches but also between batches for each individual dose strength.

5. Sumatriptan content

- 10 A linear correlation was found between the added quantity of sumatriptan/batch and the achieved amount of sumatriptan/dose. This is illustrated in Fig. 2. The results are based on results from initial batches from each individual batch formula/protocol.

- 15 The quantity of sumatriptan needed for each individual dose strength (2, 3, 5, 6, 10 and 20 mg) were adjusted until films with target dose strengths were obtained. Three batches of each individual batch formula/protocol were made on separate occasions to verify the reproducibility. Results from reproducibility studies for each dose strength are given in the tables below. (RSD% = relative standard deviation, expressed as a percentage.)

Table 2. Weight, dose and homogeneity data from three batches of 2 mg sumatriptan films.

Sumatriptan 2 mg Tech batch #10 (2.0 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	38.4	2.0	0.053
Standard deviation	0.4	0.1	0.003
RSD%	1.0	5	5.6
Number of samples analyzed	6		

Sumatriptan 2 mg Tech batch #11 (2.0 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	38.8	1.9	0.050
Standard deviation	0.8	0.03	0.002
RSD%	2.1	1.6	4
Number of samples analyzed	8		

Sumatriptan 2 mg Tech batch #12 (2.0 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	38.0	2.0	0.052
Standard deviation	0.7	0.03	0.001
RSD%	1.8	1.5	1.9
Number of samples analyzed	8		

Table 3. Summary of results for three batches of 2 mg sumatriptan films.

Variation within sumatriptan 2 mg batches #10-12			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	38.4	2.0	0.051
Standard deviation	0.8	0.07	0.002
RSD%	2.1	3.5	3.9
Number of samples analyzed	22		

5 Table 4. Weight, dose and homogeneity data from three batches of 3 mg sumatriptan films.

Sumatriptan 3 mg Tech batch #2 (3.2 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	43.4	3.1	0.072
Standard deviation	2.2	0.1	0.001
RSD%	5.1	3.2	1.4
Number of samples analyzed	8		

Sumatriptan 3 mg Tech batch #3 (3.1 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	39.7	2.8	0.071
Standard deviation	0.5	0.1	0.001
RSD%	1.3	3.6	1.4
Number of samples analyzed	8		

Sumatriptan 3 mg Tech batch #4 (3.3 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	41.1	3.0	0.072
Standard deviation	1.6	0.1	0.001
RSD%	3.9	3.3	1.4
Number of samples analyzed	8		

Table 5. Summary of results for three batches of 3 mg sumatriptan films.

Variation within sumatriptan 3 mg batches #2-4			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	41.4	3.0	0.072
Standard deviation	2.1	0.15	0.001
RSD%	5.1	5.0	1.4
Number of samples analyzed	24		

Table 6. Weight, dose and homogeneity data from three batches of 5 mg sumatriptan films.

Sumatriptan 5 mg Tech batch #2 (5.3 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	43.6	4.9	0.113
Standard deviation	1.9	0.2	0.007
RSD%	4.4	4.1	6.2
Number of samples analyzed	8		

Sumatriptan 5 mg Tech batch #3 (5.3 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	46.2	5.0	0.107
Standard deviation	1.3	0.2	0.001
RSD%	2.8	4.0	0.9
Number of samples analyzed	8		

5

Sumatriptan 5 mg Tech batch #5 (5.3 g Sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	45.6	5.4	0.119
Standard deviation	1.8	0.2	0.001
RSD%	3.9	3.7	0.8
Number of samples analyzed	8		

Table 7. Summary of results for three batches of 5 mg sumatriptan films.

Variation within sumatriptan 5 mg batches #2, 3 and 5			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	45.1	5.1	0.113
Standard deviation	2.0	0.3	0.006
RSD%	4.4	5.9	5.3
Number of samples analyzed	24		

Table 8. Weight, dose and homogeneity data from two batches of 6 mg sumatriptan films.

Sumatriptan 6 mg Tech batch #1 (6.4 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	43.6	4.9	0.113
Standard deviation	1.9	0.2	0.007
RSD%	4.3	4.1	6.2
Number of samples analyzed	8		

Sumatriptan 6 mg Tech batch #2 (6.4 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	46.2	5.0	0.107
Standard deviation	1.3	0.2	0.001
RSD%	2.8	4.0	0.9
Number of samples analyzed	8		

Table 9. Summary of results for two batches of 6 mg sumatriptan films.

Variation within sumatriptan 6 mg batches #1 and 2			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	42.6	5.8	0.137
Standard deviation	1.7	0.3	0.004
RSD%	3.9	5.2	2.9
Number of samples analyzed	16		

5

Table 10. Weight, dose and homogeneity data from three batches of 10 mg sumatriptan films.

Sumatriptan 10 mg Tech batch #7 (10.5 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	47.5	9.4	0.199
Standard deviation	0.9	0.15	0.002
RSD%	1.9	1.6	1.0
Number of samples analyzed	8		

Sumatriptan 10 mg Tech batch #8 (11 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	49.0	10.2	0.208
Standard deviation	2.2	0.4	0.002
RSD%	4.4	3.9	1.0
Number of samples analyzed	9		

Sumatriptan 10 mg Tech batch #9 (11 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	49.0	9.8	0.199
Standard deviation	0.8	0.2	0.001
RSD%	1.6	2.0	0.5
Number of samples analyzed	10		

Table 11. Summary of results for three batches of 10 mg sumatriptan films.

Variation within sumatriptan 10 mg batches #7-9			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	48.6	9.8	0.202
Standard deviation	1.5	0.4	0.005
RSD%	3.0	4.1	2.5
Number of samples analyzed	27		

Table 12. Weight, dose and homogeneity data from three batches of 20 mg sumatriptan films.

Sumatriptan 20 mg Tech batch #5 (21 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	63.4	18.5	0.291
Standard deviation	0.8	0.16	0.002
RSD%	1.3	0.9	0.7
Number of samples analyzed	8		

5

Sumatriptan 20 mg Tech batch #6 (21 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	64.3	19.8	0.309
Standard deviation	1.6	0.5	0.002
RSD%	2.5	2.5	0.6
Number of samples analyzed	10		

Sumatriptan 20 mg Tech batch #7 (22 g sumatriptan in batch)			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	64.2	19.6	0.305
Standard deviation	1.3	1.2	0.016
RSD%	2.0	6.1	5.2
Number of samples analyzed	10		

Table 13. Summary of results for three batches of 20 mg sumatriptan films.

Variation within sumatriptan 20 mg batches #5-7			
	Weight (mg)	Dose (mg/dose)	Homogeneity (mg sumatriptan/mg film)
Average	64.0	19.2	0.301
Standard deviation	1.3	0.95	0.012
RSD%	2.0	4.9	4.0
Number of samples analyzed	28		

Example 2: Comparison of sumatriptan alginate films with sumatriptan nasal spray in human subjects

5 Administration of the market-leading sumatriptan nasal spray (Imigran, 20 mg, GlaxoSmithKline) or sumatriptan oral films according to the present invention (2 x 18.5 mg, which were manufactured using a similar procedure to that specified in Example 1 above) to 12 healthy volunteers in a cross-over design, followed by serial blood collections and determination of sumatriptan plasma concentration, showed sumatriptan to be present in the
10 plasma of all subjects. The 18.5 mg films used in the study had desirable physical characteristics, and were homogenous with no signs of sumatriptan crystal formation. The total weight of each 18.5 mg sumatriptan film was 64 mg. Thus, each of these films comprised 28.9% by weight of sumatriptan as active pharmaceutical ingredient.

Absorption curves of sumatriptan following either nasal spray or oral film
15 administration are shown in Figures 3 and 4, respectively. Figure 3 shows individual plasma curves for the patients administering the nasal spray (20 mg); the graph also indicates the therapeutic target window of 10 ng/mL plasma within 60 minutes. The results in Figure 3 indicate that 6 of the 12 subjects treated with the nasal spray reached plasma levels that are within the therapeutic target window. Figure 4 shows individual plasma curves for the
20 patients administering the OFs (2 x 18.5 mg); this graph too indicates the therapeutic target window of 10 ng/mL plasma within 60 minutes. The results in Figure 4 indicate that 11 of the 12 subjects treated with the films reached plasma levels that are within the therapeutic target window.

These results therefore indicate that treatment with the films of the present invention
25 led to significantly more patients reaching the target plasma concentration level of sumatriptan within 60 minutes than treatment with the current market-leading nasal spray.

Obtaining a sumatriptan plasma concentration above 10 ng/mL plasma within 60 minutes of treatment is strongly supported to lead to the clinical end point of effective migraine relief.

Further, in the time interval between 30 and 60 minutes after administration, the coefficient of variation in the sumatriptan plasma levels of the patients treated with the sumatriptan films was c. 3-5% lower than the coefficient of variation in the sumatriptan plasma levels of the patients treated with the sumatriptan nasal spray.

Assessment of the side effects after the two treatments indicated no difference between patients treated with the nasal spray and those treated with the films.

The results also indicate that the use of alginate as film-forming agent enables the loading of a therapeutically effective amount of sumatriptan (as API) into a homogeneous film for oral use, without compromising the physical properties of the film.

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CLAIMS

1. A film suitable for administration to an oral cavity comprising:
 - (i) an alginate salt of a monovalent cation or a mixture of alginate salts containing at least one alginate salt of a monovalent cation; and
 - (ii) a triptan or a pharmaceutically acceptable salt thereof.
2. The film according to claim 1, wherein the triptan or a pharmaceutically acceptable salt thereof is sumatriptan, zolmatriptan or naratriptan, or a pharmaceutically acceptable salt thereof.
3. The film according to claim 1 or claim 2, wherein the triptan or a pharmaceutically acceptable salt thereof is sumatriptan or a pharmaceutically acceptable salt thereof.
4. The film according to any one of claims 1 to 3, wherein the alginate salt of a monovalent cation is selected from the group consisting of a sodium alginate, a potassium alginate and an ammonium alginate.
5. The film according to any one of claims 1 to 4, wherein the alginate salt of a monovalent cation comprises from 25 to 35% by weight of β -D-mannuronate and/or from 65 to 75% by weight of α -L-guluronate.
6. The film according to any one of claims 1 to 5, wherein the alginate salt of a monovalent cation has a mean molecular weight of from 30,000 g/mol to 90,000 g/mol.
7. The film according to any one of claims 4 to 6, wherein the alginate salt of a monovalent cation is a sodium alginate.
8. The film according to any one of claims 1 to 7, wherein the film comprises from 25% to 99% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 0% to 20% by weight of water, and from 0.001% to 75% by weight of the API.

9. The film according to any one of claims 1 to 8, wherein the film comprises from 30% to 86% by weight of the alginate salt of a monovalent cation or the mixture of alginate salts containing at least one alginate salt of a monovalent cation, from 5% to 15% by weight of water, and from 4% to 40% by weight of the API.
- 5
10. The film according to any one of claims 1 to 9, wherein the film further comprises:
a buffering component which is sodium dihydrogen phosphate;
at least one plasticizer which is selected from the group consisting of sorbitol, glycerol, and a combination thereof, preferably both sorbitol and glycerol; and
10 an acidifying agent which is aqueous phosphoric acid.
11. The film according to any one of claims 8 to 10, wherein the film further comprises from 0.1% to 10% by weight of sodium hydrogen phosphate, from 0% to 40% by weight of sorbitol, and from 0% to 40% by weight of glycerol.
- 15
12. A film according to any one of claims 1 to 11 for use in the treatment of a human patient.
13. A film according to any one of claims 1 to 12 for use in the treatment of migraine
20 with or without aura, cluster headache, or trigeminal neuralgia in a human patient.
14. The film for use according to claim 12 or claim 13, wherein the human patient suffers from nausea and/or dysphagia.
- 25
15. The film for use according to any one of claims 12 to 14, wherein the human patient is additionally receiving treatment for one or more types of cancer.
16. A method of treating a disease in a human patient, wherein said method comprises administration of at least one film according to any one of claims 1 to 11 to a human
30 patient as defined in any one of claims 12 to 15 for treating a disease as defined in claim 13.

17. Use of a film according to any one of claims 1 to 11 for the manufacture of a medicament for the treatment of a disease as defined in claim 13 in a human patient as defined in any one of claims 12 to 15.
- 5 18. A film for use according to any one of claims 12 to 15, a method according to claim 16 or the use according to claim 17, wherein the film is administered to the oral cavity of the human patient.
19. A method of manufacturing a film according to any one of claims 1 to 11, said
10 method comprising the following steps:
- (a) mixing the triptan or a pharmaceutically acceptable salt thereof and, optionally, at least one buffering component in water;
 - (b) adjusting the pH of the solution to the desired level by addition of an appropriate acid or base, typically a diluted aqueous acid or alkali, and
15 preferably adjusting the pH of the solution to from 3.25 to 12.0;
 - (c) optionally, adding further water and/or one or more plasticizers under further mixing;
 - (d) adding the alginate salt of monovalent cation under suitable conditions to result in the formation of a viscous cast;
 - 20 (e) pouring the cast onto a surface and spreading the cast out to the desired thickness;
 - (f) drying the cast layer, typically at a temperature of from 45 to 70 °C until the residual water content of the film is from 5 to 15% by weight and a solid film is formed; and
 - 25 (g) optionally, cutting the solid film into pieces of the desired size, further optionally placing these pieces into pouches, preferably wherein the pouches are made from PET-lined aluminium, sealing the pouches and further optionally, labelling them.
- 30 20. The method of claim 19, wherein after the viscous cast is poured onto a surface, it is first spread out to a thickness of about 2 mm by means of an applicator with a slit height of about 2 mm, and is then subsequently spread out to a thickness of about 1 mm by means of an applicator with a slit height of about 1 mm.

Fig. 1

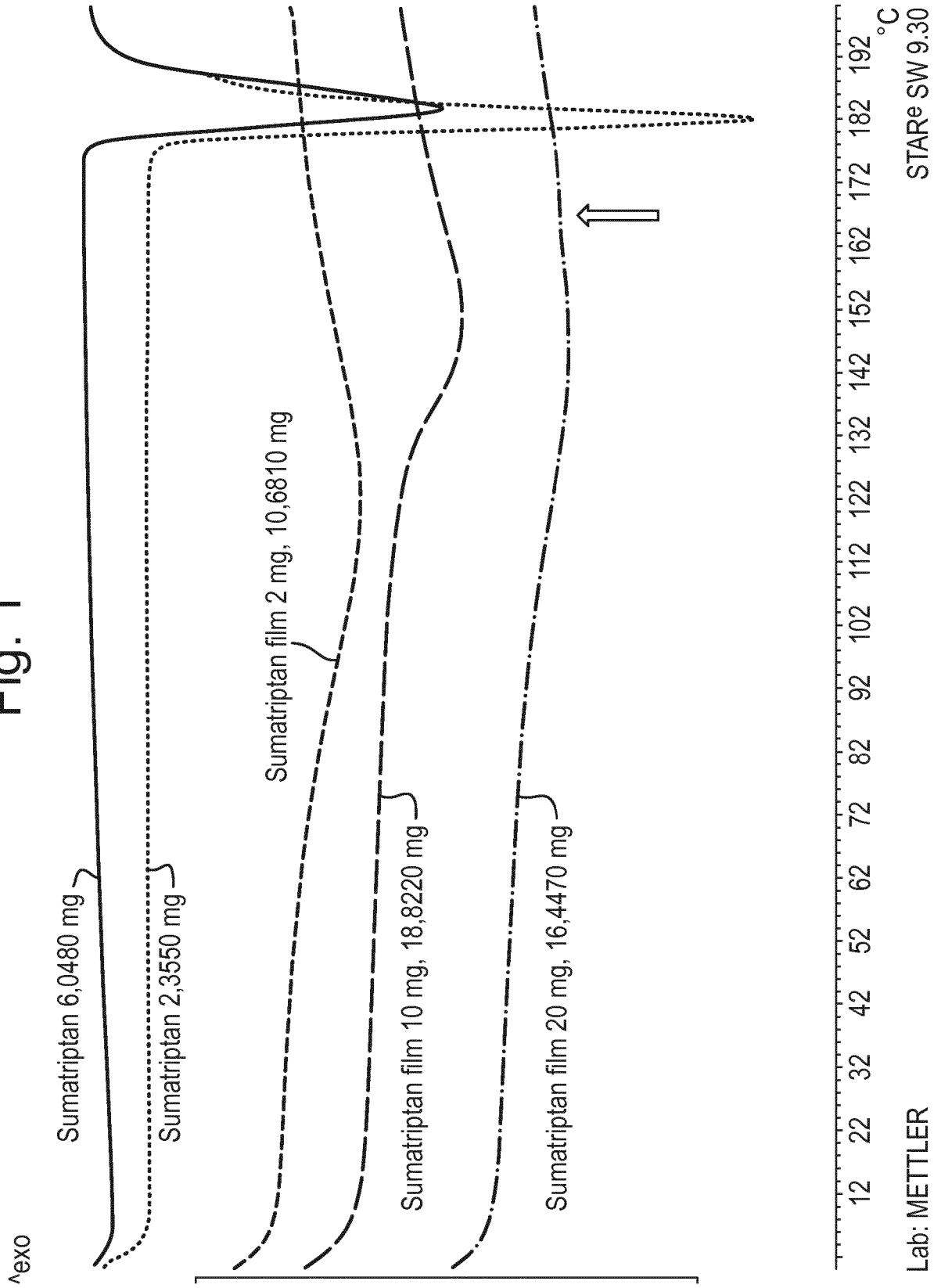
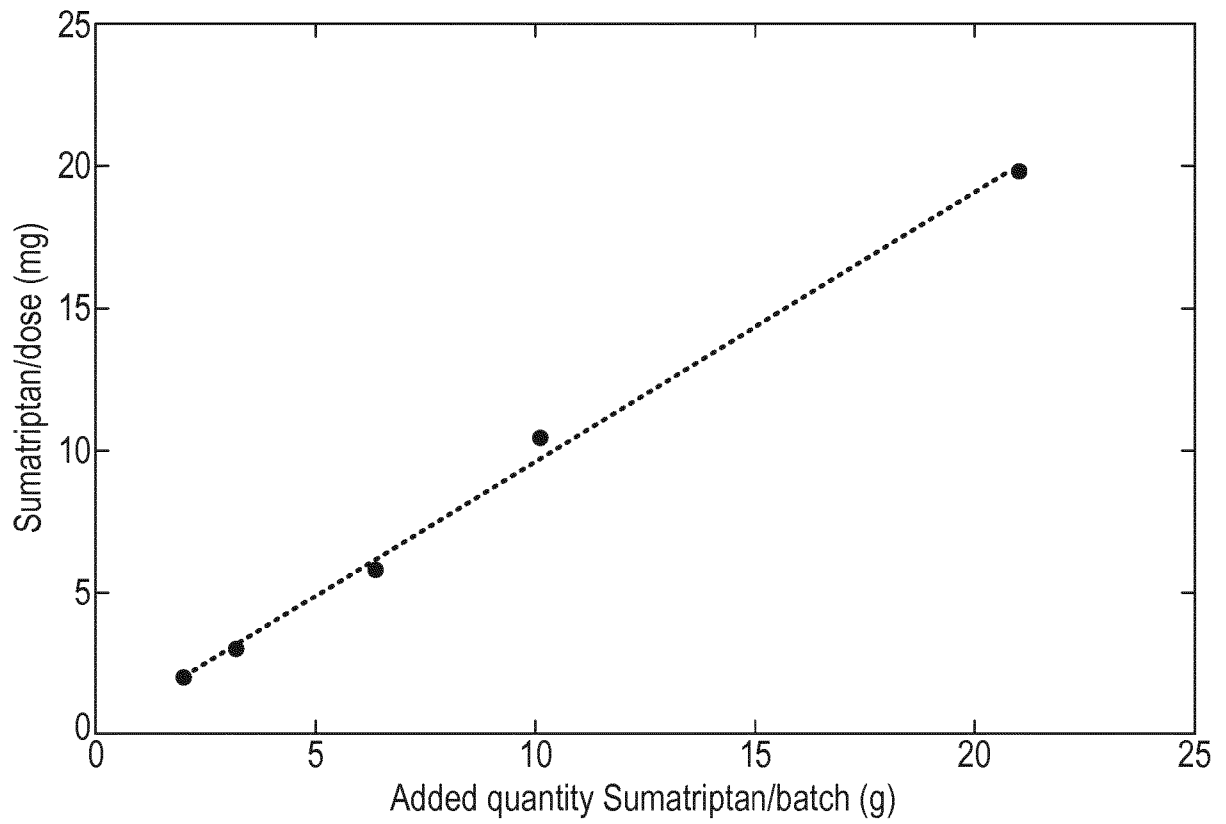


Fig. 2



3/4

Fig. 3

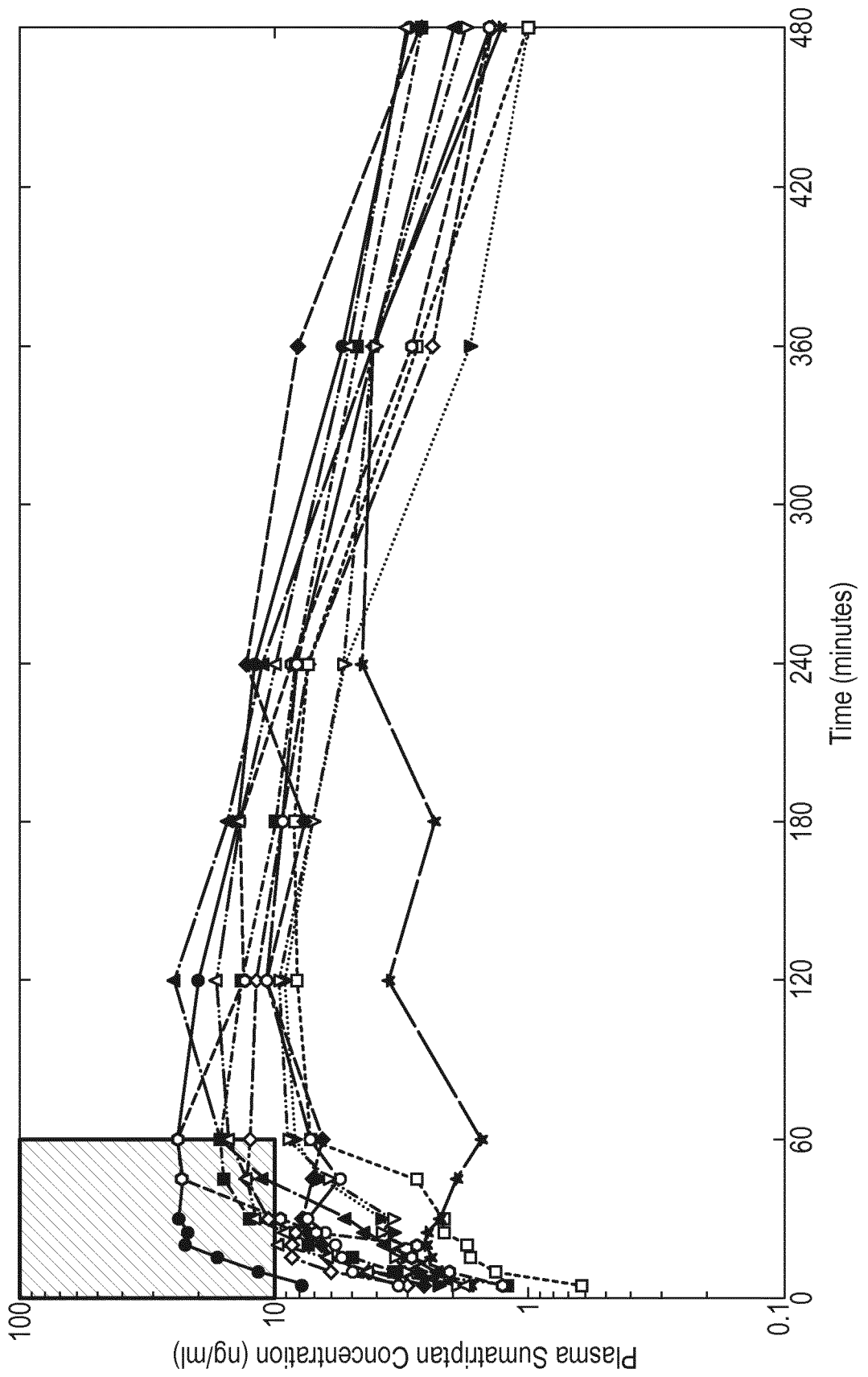
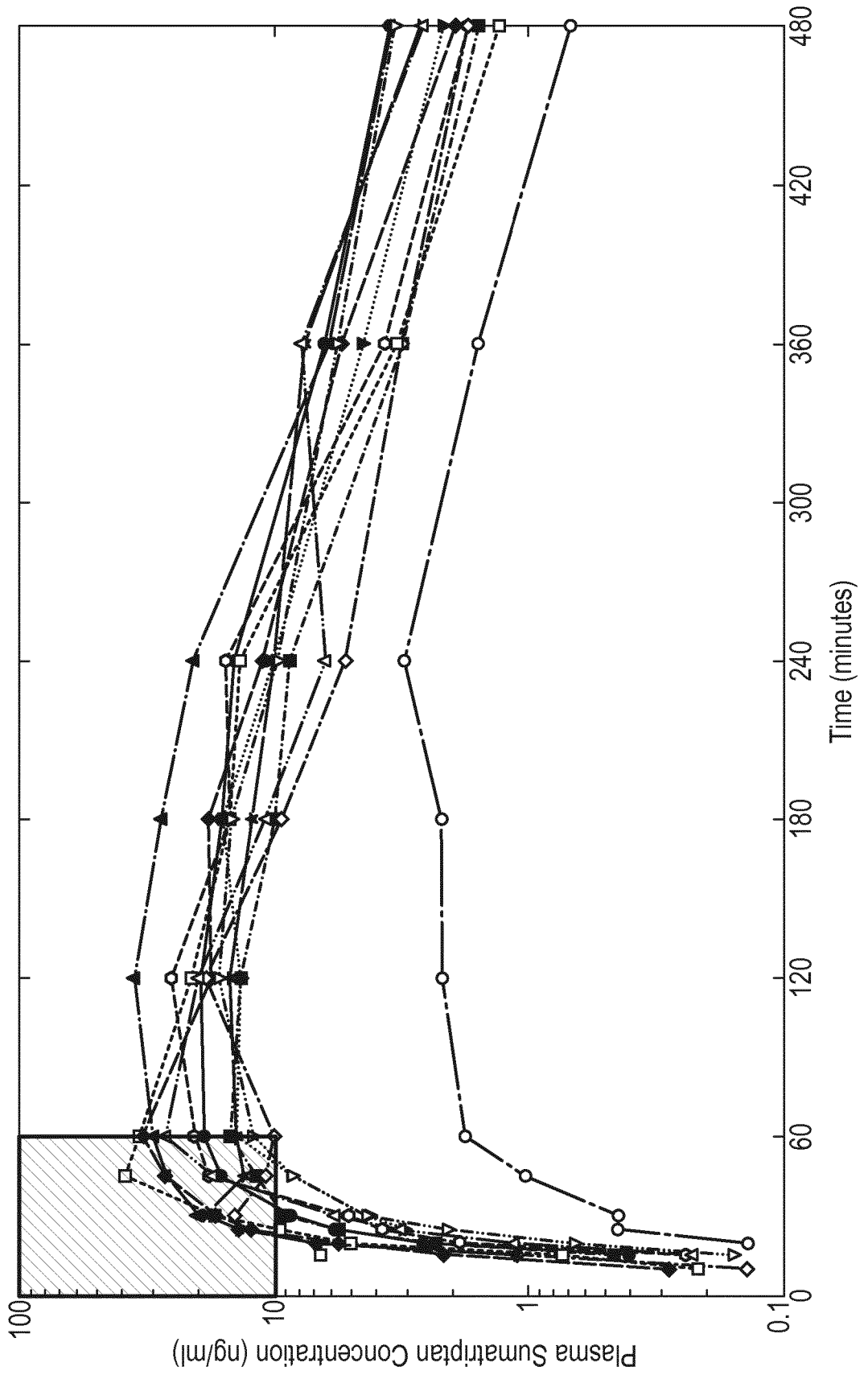


Fig. 4



INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2017/079217

A. CLASSIFICATION OF SUBJECT MATTER
 INV. A61K9/06 A61K31/4045
 ADD.
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
 Minimum documentation searched (classification system followed by classification symbols)
 A61K
 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 EPO-Internal, BIOSIS, EMBASE, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2010/112050 A1 (RYOO JE PHIL [US] ET AL) 6 May 2010 (2010-05-06)	1-4,7-9, 12-14, 16-18
Y	paragraph [0018] - paragraph [0019] claims 1-39	1-20
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Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
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Date of the actual completion of the international search 30 January 2018	Date of mailing of the international search report 12/02/2018
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Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Sindel, Ulrike
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INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2017/079217

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Information on patent family members

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