



(86) Date de dépôt PCT/PCT Filing Date: 2010/05/11
(87) Date publication PCT/PCT Publication Date: 2010/11/18
(85) Entrée phase nationale/National Entry: 2011/11/10
(86) N° demande PCT/PCT Application No.: EP 2010/056396
(87) N° publication PCT/PCT Publication No.: 2010/130701
(30) Priorités/Priorities: 2009/05/11 (EP09159929.0);
2009/05/11 (EP09159925.8)

(51) Cl.Int./Int.Cl. *A61K 35/74* (2006.01),
A23L 1/29 (2006.01), *A23L 1/30* (2006.01),
A61P 37/04 (2006.01)
(71) Demandeur/Applicant:
NESTEC S.A., CH
(72) Inventeurs/Inventors:
MERCENIER, ANNICK, CH;
NUTTEN, SOPHIE, CH;
PRIOULT, GUENOLEE, CH
(74) Agent: BORDEN LADNER GERVAIS LLP

(54) Titre : FORMULE NUTRITIONNELLE SPECIALISEE CONTENANT DES PROBIOTIQUES, POUR DES PATIENTS
ADULTES PRESENTANT UNE INTOLERANCE GASTRO-INTESTINALE
(54) Title: SPECIALIZED NUTRITIONAL FORMULA FOR ADULT PATIENTS WITH GASTROINTESTINAL
INTOLERANCE CONTAINING PROBIOTICS

(57) **Abrégé/Abstract:**

The present invention relates to the field of nutrition for adults. In particular, the present invention relates to the nutritional compositions intended for adults with an impaired function of the gastro-intestinal tract. One embodiment of the present invention relates to a nutritional composition to be administered to adults with an impaired function of the gastrointestinal tract comprising probiotic micro-organisms. The probiotic micro-organisms may be non-replicating, for example bioactive heat treated probiotic micro-organisms.



(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
18 November 2010 (18.11.2010)

PCT

(10) International Publication Number
WO 2010/130701 A1

(51) International Patent Classification:

A61K 35/74 (2006.01) *A23L 1/29* (2006.01)
A23L 1/30 (2006.01) *A61P 37/04* (2006.01)

(21) International Application Number:

PCT/EP2010/056396

(22) International Filing Date:

11 May 2010 (11.05.2010)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

09159925.8 11 May 2009 (11.05.2009) EP
09159929.0 11 May 2009 (11.05.2009) EP

(71) Applicant (*for all designated States except US*):
NESTEC S.A. [CH/CH]; Avenue Nestlé 55, CH-1800
Vevey (CH).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **MERCENIER, An-**
nick [BE/CH]; Ch. de Rente 10, CH-1030 Bussigny
(CH). **NUTTEN, Sophie** [FR/CH]; Av. de l'Avant poste,
3, CH-1005 Lausanne (CH). **PRIOULT, Guénolée** [FR/
CH]; Av. de Béthusy 15, CH-1005 Lausanne (CH).

(74) Agent: **MARQUARDT, Ulf**; Avenue Nestlé 55,
CH-1800 Vevey (CH).

(81) Designated States (*unless otherwise indicated, for every
kind of national protection available*): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ,
CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO,
DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT,
HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP,
KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD,
ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI,
NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD,
SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR,
TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (*unless otherwise indicated, for every
kind of regional protection available*): ARIPO (BW, GH,
GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG,
ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ,
TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK,
EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU,
LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK,
SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,
GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments (Rule 48.2(h))
- with (an) indication(s) in relation to deposited biological
material furnished under Rule 13bis separately from the
description (Rules 13bis.4(d)(i) and 48.2(a)(viii))

(54) Title: SPECIALIZED NUTRITIONAL FORMULA FOR ADULT PATIENTS WITH GASTROINTESTINAL INTOLER-
ANCE CONTAINING PROBIOTICS

(57) Abstract: The present invention relates to the field of nutrition for adults. In particular, the present invention relates to the nutritional compositions intended for adults with an impaired function of the gastro-intestinal tract. One embodiment of the present invention relates to a nutritional composition to be administered to adults with an impaired function of the gastrointestinal tract comprising probiotic micro-organisms. The probiotic micro-organisms may be non-replicating, for example bioactive heat treated probiotic micro-organisms.



WO 2010/130701 A1

Specialized nutritional formula for adult patients with
gastrointestinal intolerance containing probiotics

The present invention relates to the field of nutrition for
5 adults. In particular, the present invention relates to the
nutritional compositions intended for adults with an impaired
function of the gastro-intestinal tract. One embodiment of the
present invention relates to a nutritional composition to be
administered to adults with an impaired function of the
10 gastrointestinal tract comprising probiotic micro-organisms.
The probiotic micro-organisms may be non-replicating, for
example bioactive heat treated probiotic micro-organisms.

Adults have specific nutritional needs based on their age, on
their sex or on their level of physical activity, for example.
15 A balanced diet may usually fulfill those needs and provides
the body with all required nutrients.

However, some adults suffer from an impaired function of the
gastrointestinal (GI) tract. As a consequence, one may not
always want or be able to supply the body with sufficient
20 amounts of nutrients.

Such adults typically suffer from reduced appetite, volume
intolerance, constipation or diarrhea, for example, which
either does not allow sufficient food intake or does not allow
that the required nutrients are properly absorbed from the
25 ingested food.

Specialized nutritional compositions have been developed for
such cases so that the special requirements of such patients
can still be met, even under unfavorable circumstances.

Typically, in cases of insufficient food intake the macronutrients in the food compositions are processed to make them easier to absorb.

It would nevertheless be desirable to also support the gut
5 function, so that the digestive system works more effectively.

Malnutrition due to an impaired GI-tract function often weakens the immune system

It would also be desirable if the composition had an anti-inflammatory effect.

10 Consequently, it would be desirable to provide patients not only with nutritional support but also with support for the immune system, with a natural composition that allows to decrease the degree of inflammation in case of illness or recovery from illness and/or with means that allow a better
15 functioning of the gut.

Hence, there is a need in the art for a nutritional composition that allows to provide adult patients with specialized nutrition according to their specific needs while decreasing inflammation, boosting the immune system and/or
20 improving digestion. It would be preferred if this was achieved by using natural ingredients that are safe to administer without side effects and that are easy to incorporate into nutritional supplements using industrial processing.

25 The present inventors have addressed this need. It was hence the objective of the present invention to improve the state of the art and to provide a nutritional composition to be administered to adults that satisfies the needs expressed above.

The present inventors were surprised that they could achieve this object by the subject matter of the independent claim. The dependant claims further develop the idea of the present invention.

- 5 Accordingly, the present inventors propose to provide specific oral nutritional compositions to be administered to adults suffering from an impaired function of the GI-tract comprising probiotics.

Adults are to be understood for the purpose of the present
10 invention as humans of at least 18 years..

Probiotics were found to be able to provide their health benefits in the framework of liquid nutritional compositions.

As liquid nutritional compositions to be administered to specific patients usually have a shelf life that exceeds the
15 shelf life of yoghurt drinks comprising probiotics, probiotics were usually not added to such nutritional compositions, because of uncertainties that the viability of the probiotics can be ensured during an extended shelf life.

The present inventors were now able to show that even non-
20 replicating probiotics can provide the health benefits of probiotics and may even have improved benefits.

Hence one embodiment of the present invention is a composition to be administered to adults that provides complete nutrition to a patient and comprises probiotic micro-organisms.

25 The adults may suffer from an impaired gastro-intestinal tract function, for example.

A composition provides complete nutrition if a patient obtains all required nutrients from this composition and no additional food sources are required.

5 The composition of the present invention may be provided as nutritional composition to be administered orally or as a nutritional composition to be administered enterally via tube feeding.

10 The nutritional composition of the present invention provides a moderately high calorie, very high protein, 100% whey, enzymatically hydrolyzed, complete elemental medical food for GI-compromised patients.

The composition is designed to meet the needs of patients who may experience oxidative stress, inflammation and/or feeding tolerance.

15 The peptides present in the composition may be completely or partially whey-based to facilitate gastric emptying. As a completely whey based protein source enzymatically hydrolyzed whey protein may be used.

20 One embodiment of the present invention is a composition having a caloric density in the range of 0.9-1.4 kcal/ml, an osmolality in the range of 380-400 mOsm/kg water, and comprising a protein source accounting for about 23-27 % of the calories of the composition, a carbohydrate source accounting for about 34-38 % of the calories of the
25 composition, a lipid source accounting for about 37-41 % of the calories of the composition.

The very high protein content supports the body's demand during stress.

Accordingly, the composition may have an NPC:N ratio in the range of 70:1 to 80:1. The nonprotein kcalorie to nitrogen ratio (NPC:N) is calculated by calculating the grams of nitrogen supplied per day (1 g N = 6.25g protein) and by
5 dividing the total nonprotein kcalories by grams of nitrogen

Typically, nutritional supplements with a NPC:N Ratio in the range of 80:1 is used for the most severely stressed patients, a NPC:N Ratio in the range of 100:1 is used for severely stressed patients and a NPC:N Ratio in the range of 150:1 is
10 used for unstressed patients.

The composition in accordance with the present invention may comprise a lipid source with a n6 : n3 fatty acid ratio in the range of 1.5:1 to 2:1, and/or with an MCT : LCT ratio in the range of 55:45 to 45:55.

15 A large quantity of n-3 fatty acids helps to modulate the inflammatory response. A balanced peptide profile and MCT oil promote absorption and GI tolerance. High MCT:LCT ratios are chosen to decrease the potential for fat malabsorption.

The composition of the present invention may contain fibres to
20 help promote a healthy gut microbiota. Pea fibre, oligofructose, inulin, and combinations thereof may be used. may be used. The fibres may be present in an amount of 5 to 6 g/L. In one embodiment a combination of oligofructose and inulin is used.

25 Typically, the composition of the present invention comprises about 79-83% free water. Free water is essential to meet minimum fluid requirements. Typically, patients with an impaired GI function do not drink enough.

The compositions of the present invention may be used for the nutritional management of Oncology patients, IBD (Inflammatory Bowel Disease), Short-Bowel Syndrome, Sepsis, Critical Illness, Gastrointestinal Impairment, Gastrointestinal
5 Fistula, Infections, Nausea, Vomiting, Pre- and Post Surgery conditions, Pressure Ulcers, Wound Management, Venous Stasis Ulcers, Ventilator Dependency, Burns, Critical Care conditions, Crohn's Disease, Diarrhea, Fat Malabsorption, Gluten Intolerance, Hypermetabolism, Impaired Digestion and
10 Absorption, Lactose Intolerance, Limited Gut Function, Metabolic Stress States, Respiratory Disease, Transitional Feeding (Following TPN), Early Post-Operative Feeding, Bowel Resection, Pancreatitis, or combinations thereof.

The composition may comprise in part or only non-replicating
15 probiotic micro-organisms.

The inventors were surprised to see that, e.g., in terms of an immune boosting effect and/or in terms of an anti-inflammatory effect non-replicating probiotic microorganisms may even be more effective than replicating probiotic microorganisms.

20 This is surprising since probiotics are often defined as "live micro-organisms that when administered in adequate amounts confer health benefits to the host" (FAO/WHO Guidelines). The vast majority of published literature deals with live probiotics. In addition, several studies investigated the
25 health benefits delivered by non-replicating bacteria and most of them indicated that inactivation of probiotics, e.g. by heat treatment, leads to a loss of their purported health benefit (Rachmilewitz, D., et al., 2004, Gastroenterology 126:520-528; Castagliuolo, et al., 2005, FEMS
30 Immunol.Med.Microbiol. 43:197-204; Gill, H. S. and K. J. Rutherford, 2001, Br.J.Nutr. 86:285-289; Kaila, M., et al., 1995, Arch.Dis.Child 72:51-53.). Some studies showed that

killed probiotics may retain some health effects (Rachmilewitz, D., et al., 2004, Gastroenterology 126:520-528; Gill, H. S. and K. J. Rutherfurd, 2001, Br.J.Nutr. 86:285-289), but clearly, living probiotics were regarded in the art so far
5 as more performing.

The composition according to the present invention may comprise probiotic micro-organisms in any effective amount, for example in an amount corresponding to about 10^6 to 10^{12} cfu/g dry weight.

10 The probiotic micro-organisms may be non-replicating probiotic micro-organisms.

"Non-replicating" probiotic micro-organisms include probiotic bacteria which have been heat treated. This includes micro-organisms that are inactivated, dead, non-viable and/or
15 present as fragments such as DNA, metabolites, cytoplasmic compounds, and/or cell wall materials.

"Non-replicating" means that no viable cells and/or colony forming units can be detected by classical plating methods. Such classical plating methods are summarized in the
20 microbiology book: James Monroe Jay, Martin J. Loessner, David A. Golden. 2005. Modern food microbiology. 7th edition, Springer Science, New York, N.Y. 790 p. Typically, the absence of viable cells can be shown as follows: no visible colony on agar plates or no increasing turbidity in liquid growth medium
25 after inoculation with different concentrations of bacterial preparations ('non replicating' samples) and incubation under appropriate conditions (aerobic and/or anaerobic atmosphere for at least 24h).

Probiotics are defined for the purpose of the present
30 invention as "Microbial cell preparations or components of

microbial cells with a beneficial effect on the health or well-being of the host." (Salminen S, Ouwehand A, Benno Y. et al "Probiotics: how should they be defined" Trends Food Sci. Technol. 1999:10 107-10).

5 The possibility to use non-replicating probiotic micro-organisms offers several advantages. In severely immunocompromised patients, the use of live probiotics may be limited in exceptional cases due to a potential risk to develop bacteremia. Non-replicating probiotics may be used
10 without any problem.

Additionally, the provision of non-replicating probiotic micro-organisms allows the hot reconstitution while retaining health benefit.

The compositions of the present invention comprise probiotic
15 micro-organisms and/or non-replicating probiotic micro-organisms in an amount sufficient to at least partially produce a health benefit. An amount adequate to accomplish this is defined as "a therapeutically effective dose". Amounts effective for this purpose will depend on a number of factors
20 known to those of skill in the art such as the weight and general health state of the patient, and on the effect of the food matrix.

In prophylactic applications, compositions according to the invention are administered to a consumer susceptible to or
25 otherwise at risk of a disorder in an amount that is sufficient to at least partially reduce the risk of developing that disorder. Such an amount is defined to be "a prophylactic effective dose". Again, the precise amounts depend on a number of factors such as the patient's state of health and weight,
30 and on the effect of the food matrix.

Those skilled in the art will be able to adjust the therapeutically effective dose and/or the prophylactic effective dose appropriately.

In general the composition of the present invention contains
5 probiotic micro-organisms and/or non-replicating probiotic micro-organisms in a therapeutically effective dose and/or in a prophylactic effective dose.

Typically, the therapeutically effective dose and/or the prophylactic effective dose is in the range of about 0,005 mg
10 - 1000 mg probiotic micro-organisms and/or non-replicating, probiotic micro-organisms per daily dose.

In terms of numerical amounts, the "short-time high temperature" treated non-replicating micro-organisms may be present in the composition in an amount corresponding to
15 between 10^4 and 10^{12} equivalent cfu/g of the dry composition. Obviously, non-replicating micro-organisms do not form colonies, consequently, this term is to be understood as the amount of non replicating micro-organisms that is obtained from 10^4 and 10^{12} cfu/g replicating bacteria. This includes
20 micro-organisms that are inactivated, non-viable or dead or present as fragments such as DNA or cell wall or cytoplasmic compounds. In other words, the quantity of micro-organisms which the composition contains is expressed in terms of the colony forming ability (cfu) of that quantity of micro-
25 organisms as if all the micro-organisms were alive irrespective of whether they are, in fact, non replicating, such as inactivated or dead, fragmented or a mixture of any or all of these states.

Preferably the non-replicating micro-organisms are present in
30 an amount equivalent to between 10^4 to 10^9 cfu/g of dry

composition, even more preferably in an amount equivalent to between 10^5 and 10^9 cfu/g of dry composition.

The probiotics may be rendered non-replicating by any method that is known in the art.

- 5 The technologies available today to render probiotic strains non-replicating are usually heat-treatment, γ -irradiation, UV light or the use of chemical agents (formalin, paraformaldehyde).

- 10 It would be preferred to use a technique to render probiotics non-replicating that is relatively easy to apply under industrial circumstances in the food industry.

- 15 Most products on the market today that contain probiotics are heat treated during their production. It would hence be convenient, to be able to heat treat probiotics either together with the produced product or at least in a similar way, while the probiotics retain or improve their beneficial properties or even gain a new beneficial property for the consumer.

- 20 However, inactivation of probiotic micro-organisms by heat treatments is associated in the literature generally with an at least partial loss of probiotic activity.

- 25 The present inventors have now surprisingly found, that rendering probiotic micro-organisms non-replicating, e.g., by heat treatment, does not result in the loss of probiotic health benefits, but - to the contrary - may enhance existing health benefits and even generate new health benefits.

Hence, one embodiment of the present invention is a composition wherein the non-replicating probiotic micro-organisms were rendered non-replicating by a heat-treatment.

Such a heat treatment may be carried out at at least 71.5 °C
5 for at least 1 second.

Long-term heat treatments or short-term heat treatments may be used.

In industrial scales today usually short term heat treatments, such as UHT-like heat treatments are preferred. This kind of
10 heat treatment reduces bacterial loads, and reduces the processing time, thereby reducing the spoiling of nutrients.

The inventors demonstrate for the first time that probiotics micro-organisms, heat treated at high temperatures for short times exhibit anti-inflammatory immune profiles regardless of
15 their initial properties. In particular either a new anti-inflammatory profile is developed or an existing anti-inflammatory profile is enhanced by this heat treatment.

It is therefore now possible to generate non replicating probiotic micro-organisms with anti-inflammatory immune
20 profiles by using specific heat treatment parameters that correspond to typical industrially applicable heat treatments, even if live counterparts are not anti-inflammatory strains.

Hence, for example, the heat treatment may be a high temperature treatment at about 71.5-150 °C for about 1-120
25 seconds. The high temperature treatment may be a high temperature/short time (HTST) treatment or a ultra-high temperature (UHT) treatment.

The probiotic micro-organisms may be subjected to a high temperature treatment at about 71.5-150 °C for a short term of about 1-120 seconds.

More preferred the micro-organisms may be subjected to a high temperature treatment at about 90 - 140°C, for example 90°- 120°C, for a short term of about 1-30 seconds.

This high temperature treatment renders the micro-organisms at least in part non-replicating.

The high temperature treatment may be carried out at normal atmospheric pressure but may be also carried out under high pressure. Typical pressure ranges are from 1 to 50 bar, preferably from 1-10 bar, even more preferred from 2 to 5 bar. Obviously, it is preferred if the probiotics are heat treated in a medium that is either liquid or solid, when the heat is applied. An ideal pressure to be applied will therefore depend on the nature of the composition which the micro-organisms are provided in and on the temperature used.

The high temperature treatment may be carried out in the temperature range of about 71.5-150 °C, preferably of about 90-120 °C, even more preferred of about 120-140 °C.

The high temperature treatment may be carried out for a short term of about 1-120 seconds, preferably, of about 1-30 seconds, even more preferred for about 5-15 seconds.

This given time frame refers to the time the probiotic micro-organisms are subjected to the given temperature. Note, that depending on the nature and amount of the composition the micro-organisms are provided in and depending on the architecture of the heating apparatus used, the time of heat application may differ.

Typically, however, the composition of the present invention and/or the micro-organisms are treated by a high temperature short time (HTST) treatment, flash pasteurization or a ultra high temperature (UHT) treatment.

5 A UHT treatment is Ultra-high temperature processing or a ultra-heat treatment (both abbreviated UHT) involving the at least partial sterilization of a composition by heating it for a short time, around 1-10 seconds, at a temperature exceeding 135°C (275°F), which is the temperature required to kill
10 bacterial spores in milk. For example, processing milk in this way using temperatures exceeding 135° C permits a decrease of bacterial load in the necessary holding time (to 2-5 s) enabling a continuous flow operation.

There are two main types of UHT systems: the direct and
15 indirect systems. In the direct system, products are treated by steam injection or steam infusion, whereas in the indirect system, products are heat treated using plate heat exchanger, tubular heat exchanger or scraped surface heat exchanger. Combinations of UHT systems may be applied at any step or at
20 multiple steps in the process of product preparation.

A HTST treatment is defined as follows (High Temperature/Short Time): Pasteurization method designed to achieve a 5-log reduction, killing 99,9999% of the number of viable micro-organisms in milk. This is considered adequate for destroying
25 almost all yeasts, molds and common spoilage bacteria and also ensure adequate destruction of common pathogenic heat resistant organisms. In the HTST process milk is heated to 71.7oC (161oF) for 15-20 seconds.

Flash pasteurization is a method of heat pasteurization of
30 perishable beverages like fruit and vegetable juices, beer and dairy products. It is done prior to filling into containers in

order to kill spoilage micro-organisms, to make the products safer and extend their shelf life. The liquid moves in controlled continuous flow while subjected to temperatures of 71.5°C (160°F) to 74°C (165°F) for about 15 to 30 seconds.

- 5 For the purpose of the present invention the term "short time high temperature treatment" shall include high-temperature short time (HTST) treatments, UHT treatments, and flash pasteurization, for example.

10 Since such a heat treatment provides non-replicating probiotics with an improved anti-inflammatory profile, the composition of the present invention may be for use in the prevention or treatment of inflammatory disorders.

The inflammatory disorders that can be treated or prevented by the composition of the present invention are not particularly
15 limited. For example, they may be selected from the group consisting of acute inflammations such as sepsis; burns; and chronic inflammation, such as inflammatory bowel disease, e.g., Crohn's disease, ulcerative colitis, pouchitis; necrotizing enterocolitis; skin inflammation, such as UV or
20 chemical-induced skin inflammation, eczema, reactive skin; irritable bowel syndrome; eye inflammation; allergy, asthma; and combinations thereof.

If long term heat treatments are used to render the probiotic micro-organisms non-replicating, such a heat treatment may be
25 carried out in the temperature range of about 70-150 °C for about 3 minutes - 2 hours, preferably in the range of 80-140°C from 5 minutes - 40 minutes.

While the prior art generally teaches that bacteria rendered non-replicating by long-term heat-treatments are usually less
30 efficient than live cells in terms of exerting their probiotic

properties, the present inventors were able to demonstrate that heat-treated probiotics are superior in stimulating the immune system compared to their live counterparts.

5 The present invention relates also to an composition comprising probiotic micro-organisms that were rendered non-replicating by a heat treatment at at least about 70 °C for at least about 3 minutes.

10 The immune boosting effects of non-replicating probiotics were confirmed by *in vitro* immunoprofiling. The *in vitro* model used uses cytokine profiling from human Peripheral Blood Mononuclear Cells (PBMCs) and is well accepted in the art as standard model for tests of immunomodulating compounds (Schultz et al., 2003, Journal of Dairy Research 70, 165-173; Taylor et al., 2006, Clinical and Experimental Allergy, 15 36, 1227-1235; Kekkonen et al., 2008, World Journal of Gastroenterology, 14, 1192-1203)

The *in vitro* PBMC assay has been used by several authors/research teams for example to classify probiotics according to their immune profile, i.e. their anti- or pro-inflammatory characteristics (Kekkonen et al., 2008, World 20 Journal of Gastroenterology, 14, 1192-1203). For example, this assay has been shown to allow prediction of an anti-inflammatory effect of probiotic candidates in mouse models of intestinal colitis (Foligne, B., et al., 2007, World 25 J.Gastroenterol. 13:236-243). Moreover, this assay is regularly used as read-out in clinical trials and was shown to lead to results coherent with the clinical outcomes (Schultz et al., 2003, Journal of Dairy Research 70, 165-173; Taylor et al., 2006, Clinical and Experimental Allergy, 36, 1227-1235).

30 Allergic diseases have steadily increased over the past decades and they are currently considered as epidemics by WHO.

In a general way, allergy is considered to result from an imbalance between the Th1 and Th2 responses of the immune system leading to a strong bias towards the production of Th2 mediators. Therefore, allergy can be mitigated, down-regulated
5 or prevented by restoring an appropriate balance between the Th1 and Th2 arms of the immune system. This implies the necessity to reduce the Th2 responses or to enhance, at least transiently, the Th1 responses. The latter would be characteristic of an immune boost response, often accompanied
10 by for example higher levels of IFN γ , TNF- α and IL-12. (Kekkonen et al., 2008, World Journal of Gastroenterology, 14, 1192-1203; Viljanen M. et al., 2005, Allergy, 60, 494-500)

The composition of the present invention allows it hence to treat or prevent disorders that are related to a compromised
15 immune defence.

Consequently, the disorders linked to a compromised immune defence that can be treated or prevented by the composition of the present invention are not particularly limited.

For example, they may be selected from the group consisting of
20 infections, in particular bacterial, viral, fungal and/or parasite infections; phagocyte deficiencies; low to severe immunodepression levels such as those induced by stress or immunodepressive drugs, chemotherapy or radiotherapy; natural states of less immunocompetent immune systems such as those of
25 the neonates; allergies; and combinations thereof.

The composition described in the present invention allows it also to enhance a patient's response to vaccines, in particular to oral vaccines.

Any amount of non-replicating micro-organisms will be
30 effective. However, it is generally preferred, if at least 90

%, preferably, at least 95 %, more preferably at least 98 %, most preferably at least 99 %, ideally at least 99.9 %, most ideally all of the probiotics are non-replicating.

In one embodiment of the present invention all micro-organisms
5 are non-replicating.

Consequently, in the composition of the present invention at least 90 %, preferably, at least 95 %, more preferably at least 98 %, most preferably at least 99 %, ideally at least 99.9 %, most ideally all of the probiotics may be non-
10 replicating.

All probiotic micro-organisms may be used for the purpose of the present invention.

For example, the probiotic micro-organisms may be selected from the group consisting of bifidobacteria, lactobacilli, propionibacteria, or combinations thereof, for example
15 *Bifidobacterium longum*, *Bifidobacterium lactis*,
Bifidobacterium animalis, *Bifidobacterium breve*,
Bifidobacterium infantis, *Bifidobacterium adolescentis*,
Lactobacillus acidophilus, *Lactobacillus casei*, *Lactobacillus*
20 *paracasei*, *Lactobacillus salivarius*, *Lactobacillus reuteri*,
Lactobacillus rhamnosus, *Lactobacillus johnsonii*,
Lactobacillus plantarum, *Lactobacillus fermentum*, *Lactococcus lactis*,
Streptococcus thermophilus, *Lactococcus lactis*,
Lactococcus diacetylactis, *Lactococcus cremoris*, *Lactobacillus*
25 *bulgaricus*, *Lactobacillus helveticus*, *Lactobacillus delbrueckii*, *Escherichia coli* and/or mixtures thereof.

The composition in accordance with the present invention may, for example comprise probiotic micro-organisms selected from the group consisting of *Bifidobacterium longum* NCC 3001,
30 *Bifidobacterium longum* NCC 2705, *Bifidobacterium breve* NCC

- 2950, *Bifidobacterium lactis* NCC 2818, *Lactobacillus johnsonii* Lal, *Lactobacillus paracasei* NCC 2461, *Lactobacillus rhamnosus* NCC 4007, *Lactobacillus reuteri* DSM17983, *Lactobacillus reuteri* ATCC55730, *Streptococcus thermophilus* NCC 2019,
- 5 *Streptococcus thermophilus* NCC 2059, *Lactobacillus casei* NCC 4006, *Lactobacillus acidophilus* NCC 3009, *Lactobacillus casei* ACA-DC 6002 (NCC 1825), *Escherichia coli* Nissle, *Lactobacillus bulgaricus* NCC 15, *Lactococcus lactis* NCC 2287, or combinations thereof.
- 10 All these strains were either deposited under the Budapest treaty and/or are commercially available.

The strains have been deposited under the Budapest treaty as follows:

- | | | |
|----|---|--------------|
| | <i>Bifidobacterium longum</i> NCC 3001: | ATCC BAA-999 |
| 15 | <i>Bifidobacterium longum</i> NCC 2705: | CNCM I-2618 |
| | <i>Bifidobacterium breve</i> NCC 2950 | CNCM I-3865 |
| | <i>Bifidobacterium lactis</i> NCC 2818: | CNCM I-3446 |
| | <i>Lactobacillus paracasei</i> NCC 2461: | CNCM I-2116 |
| | <i>Lactobacillus rhamnosus</i> NCC 4007: | CGMCC 1.3724 |
| 20 | <i>Streptococcus thermophilus</i> NCC 2019: | CNCM I-1422 |
| | <i>Streptococcus thermophilus</i> NCC 2059: | CNCM I-4153 |
| | <i>Lactococcus lactis</i> NCC 2287: | CNCM I-4154 |
| | <i>Lactobacillus casei</i> NCC 4006: | CNCM I-1518 |

Lactobacillus casei NCC 1825: ACA-DC 6002

Lactobacillus acidophilus NCC 3009: ATCC 700396

Lactobacillus bulgaricus NCC 15: CNCM I-1198

Lactobacillus johnsonii La1 CNCM I-1225

5 Lactobacillus reuteri DSM17983 DSM17983

Lactobacillus reuteri ATCC55730 ATCC55730

Escherichia coli Nissle 1917: DSM 6601

Those skilled in the art will understand that they can freely
combine all features of the present invention described
10 herein, without departing from the scope of the invention as
disclosed.

Further advantages and features of the present invention are
apparent from the following Examples and Figures.

Figures 1 A and B show the enhancement of the anti-
15 inflammatory immune profiles of probiotics treated with
"short-time high temperatures".

Figure 2 shows non anti-inflammatory probiotic strains that
become anti-inflammatory, i.e. that exhibit pronounced anti-
inflammatory immune profiles *in vitro* after being treated with
20 "short-time high temperatures".

Figures 3 A and B show probiotic strains in use in
commercially available products that exhibit enhanced or new
anti-inflammatory immune profiles *in vitro* after being treated
with "short-time high temperatures".

Figures 4 A and B show dairy starter strains (i.e. Lcl starter strains) that exhibits enhanced or new anti-inflammatory immune profiles *in vitro* upon heat treatment at high temperatures.

- 5 Figure 5 shows a non anti-inflammatory probiotic strain that exhibits anti-inflammatory immune profiles *in vitro* after being treated with HTST treatments.

Figure 6: Principal Component Analysis on PBMC data (IL-12p40, IFN- γ , TNF- α , IL-10) generated with probiotic and dairy starter
10 strains in their live and heat treated (140°C for 15 second) forms. Each dot represents one strain either live or heat treated identified by its NCC number or name.

Figure 7 shows IL-12p40 / IL-10 ratios of live and heat treated (85°C, 20min) strains. Overall, heat treatment at
15 85°C for 20 min leads to an increase of IL-12p40 / IL-10 ratios as opposed to "short-time high temperature" treatments of the present invention (Figures 1, 2, 3, 4 and 5).

Figure 8 shows the enhancement of *in vitro* cytokine secretion from human PBMCs stimulated with heat treated bacteria.

- 20 Figure 9 shows the percentage of diarrhea intensity observed in OVA-sensitized mice challenged with saline (negative control), OVA-sensitized mice challenged with OVA (positive control) and OVA-sensitized mice challenged with OVA and treated with heat-treated or live *Bifidobacterium breve*
25 NCC2950. Results are displayed as the percentage of diarrhea intensity (Mean $\pm$ SEM calculated from 4 independent experiments) with 100 % of diarrhea intensity corresponding to the symptoms developed in the positive control (sensitized and challenged by the allergen) group.

Example 1:

Methodology

Bacterial preparations:

The health benefits delivered by live probiotics on the host
5 immune system are generally considered to be strain specific.
Probiotics inducing high levels of IL-10 and/or inducing low
levels of pro-inflammatory cytokines *in vitro* (PBMC assay)
have been shown to be potent anti-inflammatory strains *in vivo*
(Foligné, B., et al., 2007, World J.Gastroenterol. 13:236-
10 243).

Several probiotic strains were used to investigate the anti-
inflammatory properties of heat treated probiotics. These were
Bifidobacterium longum NCC 3001, *Bifidobacterium longum* NCC
2705, *Bifidobacterium breve* NCC 2950, *Bifidobacterium lactis*
15 NCC 2818, *Lactobacillus paracasei* NCC 2461, *Lactobacillus*
rhamnosus NCC 4007, *Lactobacillus casei* NCC 4006,
Lactobacillus acidophilus NCC 3009, *Lactobacillus casei* ACA-DC
6002 (NCC 1825), and *Escherichia coli* Nissle. Several starter
culture strains including some strains commercially used to
20 produce Nestlé Lc1 fermented products were also tested:
Streptococcus thermophilus NCC 2019, *Streptococcus*
thermophilus NCC 2059, *Lactobacillus bulgaricus* NCC 15 and
Lactococcus lactis NCC 2287.

Bacterial cells were cultivated in conditions optimized for
25 each strain in 5-15L bioreactors. All typical bacterial growth
media are usable. Such media are known to those skilled in the
art. When pH was adjusted to 5.5, 30% base solution (either
NaOH or Ca(OH)₂) was added continuously. When adequate,
anaerobic conditions were maintained by gassing headspace with
30 CO₂. *E. coli* was cultivated under standard aerobic conditions.

Bacterial cells were collected by centrifugation (5,000 x g, 4°C) and re-suspended in phosphate buffer saline (PBS) in adequate volumes in order to reach a final concentration of around 10^9 - 10^{10} cfu/ml. Part of the preparation was frozen at
5 -80°C with 15% glycerol. Another part of the cells was heat treated by:

- Ultra High Temperature: 140°C for 15 sec; by indirect steam injection.
- High Temperature Short Time (HTST): 74°C, 90°C and 120°C
10 for 15 sec by indirect steam injection
- Long Time Low Temperature (85°C, 20 min) in water bath

Upon heat treatment, samples were kept frozen at -80°C until use.

In vitro immunoprofiling of bacterial preparations:

- 15 The immune profiles of live and heat treated bacterial preparations (i.e. the capacity to induce secretion of specific cytokines from human blood cells *in vitro*) were assessed. Human peripheral blood mononuclear cells (PBMCs) were isolated from blood filters. After separation by cell
20 density gradient, mononuclear cells were collected and washed twice with Hank's balanced salt solution. Cells were then resuspended in Iscove's Modified Dulbecco's Medium (IMDM, Sigma) supplemented with 10% foetal calf serum (Bioconcept, Paris, france), 1% L-glutamine (Sigma), 1%
25 penicillin/streptomycin (Sigma) and 0.1% gentamycin (Sigma). PBMCs (7×10^5 cells/well) were then incubated with live and heat treated bacteria (equivalent 7×10^6 cfu/well) in 48 well plates for 36h. The effects of live and heat treated bacteria were tested on PBMCs from 8 individual donors splitted into two

separated experiments. After 36h incubation, culture plates were frozen and kept at -20°C until cytokine measurement. Cytokine profiling was performed in parallel (i.e. in the same experiment on the same batch of PBMCs) for live bacteria and
 5 their heat-treated counterparts.

Levels of cytokines (IFN- γ , IL-12p40, TNF- α and IL-10) in cell culture supernatants after 36h incubation were determined by ELISA (R&D DuoSet Human IL-10, BD OptEIA Human IL12p40, BD OptEIA Human TNF α , BD OptEIA Human IFN- γ) following
 10 manufacturer's instructions. IFN- γ , IL-12p40 and TNF- α are pro-inflammatory cytokines, whereas IL-10 is a potent anti-inflammatory mediator. Results are expressed as means (pg/ml) +/- SEM of 4 individual donors and are representative of two individual experiments performed with 4 donors each. The ratio
 15 IL-12p40 / IL-10 is calculated for each strain as a predictive value of *in vivo* anti-inflammatory effect (Foligné, B., et al., 2007, World J.Gastroenterol. 13:236-243).

Numerical cytokine values (pg/ml) determined by ELISA (see above) for each strain were transferred into BioNumerics v5.10
 20 software (Applied Maths, Sint-Martens-Latem, Belgium). A Principal Component Analysis (PCA, dimensioning technique) was performed on this set of data. Subtraction of the averages over the characters and division by the variances over the characters were included in this analysis.

25 Results

Anti-inflammatory profiles generated by Ultra High Temperature (UHT) / High Temperature Short Time (HTST)-like treatments

The probiotic strains under investigation were submitted to a series of heat treatments (Ultra High Temperature (UHT), High

Temperature Short Time (HTST) and 85°C for 20 min) and their immune profiles were compared to those of live cells *in vitro*. Live micro-organisms (probiotics and/or dairy starter cultures) induced different levels of cytokine production when incubated with human PBMC (Figures 1, 2, 3, 4 and 5). Heat treatment of these micro-organisms modified the levels of cytokines produced by PBMC in a temperature dependent manner. "Short-time high temperature" treatments (120°C or 140°C for 15'') generated non replicating bacteria with anti-inflammatory immune profiles (Figures 1, 2, 3 and 4). Indeed, UHT-like treated strains (140°C, 15 sec) induced less pro-inflammatory cytokines (TNF- α , IFN- γ , IL-12p40) while maintaining or inducing additional IL-10 production (compared to live counterparts). The resulting IL-12p40 / IL-10 ratios were lower for any UHT-like treated strains compared to live cells (Figures 1, 2, 3 and 4). This observation was also valid for bacteria treated by HTST-like treatments, i.e. submitted to 120°C for 15 sec (Figures 1, 2, 3 and 4), or 74°C and 90°C for 15 sec (Figure 5). Heat treatments (UHT-like or HTST-like treatments) had a similar effect on *in vitro* immune profiles of probiotic strains (Figures 1, 2, 3 and 5) and dairy starter cultures (Figure 4). Principal Component Analysis on PBMC data generated with live and heat treated (140°C, 15'') probiotic and dairy starter strains revealed that live strains are spread all along the x axis, illustrating that strains exhibit very different immune profiles *in vitro*, from low (left side) to high (right side) inducers of pro-inflammatory cytokines. Heat treated strains cluster on the left side of the graph, showing that pro-inflammatory cytokines are much less induced by heat treated strains (Figure 6). By contrast, bacteria heat treated at 85°C for 20 min induced more pro-inflammatory cytokines and less IL-10 than live cells resulting in higher IL-12p40 / IL-10 ratios (Figure 7).

Anti-inflammatory profiles are enhanced or generated by UHT-like and HTST-like treatments.

UHT and HTST treated strains exhibit anti-inflammatory profiles regardless of their respective initial immune profiles (live cells). Probiotic strains known to be anti-inflammatory *in vivo* and exhibiting anti-inflammatory profiles *in vitro* (*B. longum* NCC 3001, *B. longum* NCC 2705, *B. breve* NCC 2950, *B. lactis* NCC 2818) were shown to exhibit enhanced anti-inflammatory profiles *in vitro* after "short-time high temperature" treatments. As shown in Figure 1, the IL-12p40 / IL-10 ratios of UHT-like treated *Bifidobacterium* strains were lower than those from the live counterparts, thus showing improved anti-inflammatory profiles of UHT-like treated samples. More strikingly, the generation of anti-inflammatory profiles by UHT-like and HTST-like treatments was also confirmed for non anti-inflammatory live strains. Both live *L. rhamnosus* NCC 4007 and *L. paracasei* NCC 2461 exhibit high IL-12p40 / IL-10 ratios *in vitro* (Figures 2 and 5). The two live strains were shown to be not protective against TNBS-induced colitis in mice. The IL-12p40 / IL-10 ratios induced by *L. rhamnosus* NCC 4007 and *L. paracasei* NCC 2461 were dramatically reduced after "short-time high temperature" treatments (UHT or HTST) reaching levels as low as those obtained with *Bifidobacterium* strains. These low IL-12p40 / IL-10 ratios are due to low levels of IL-12p40 production combined with no change (*L. rhamnosus* NCC 4007) or a dramatic induction of IL-10 secretion (*L. paracasei* NCC 2461) (Figure 2).

As a consequence:

- Anti-inflammatory profiles of live micro-organisms can be enhanced by UHT-like and HTST-like heat treatments (for instance *B. longum* NCC 2705, *B. longum* NCC 3001, *B. breve* NCC 2950, *B. lactis* NCC 2818)

- Anti-inflammatory profiles can be generated from non anti-inflammatory live micro-organisms (for example *L. rhamnosus* NCC 4007, *L. paracasei* NCC 2461, dairy starters *S. thermophilus* NCC 2019) by UHT-like and HTST-like heat treatments.

- Anti-inflammatory profiles were also demonstrated for strains isolated from commercially available products (Figures 3 A & B) including a probiotic *E. coli* strain.

The impact of UHT/HTST-like treatments was similar for all tested probiotics and dairy starters, for example lactobacilli, bifidobacteria and streptococci.

UHT/HTST-like treatments were applied to several lactobacilli, bifidobacteria and streptococci exhibiting different *in vitro* immune profiles. All the strains induced less pro-inflammatory cytokines after UHT/HTST-like treatments than their live counterparts (Figures 1, 2, 3, 4, 5 and 6) demonstrating that the effect of UHT/HTST-like treatments on the immune properties of the resulting non replicating bacteria can be generalized to all probiotics, in particular to lactobacilli and bifidobacteria and specific *E. coli* strains and to all dairy starter cultures in particular to streptococci, lactococci and lactobacilli.

Example 2:

Methodology

Bacterial preparations:

Five probiotic strains were used to investigate the immune boosting properties of non-replicating probiotics: 3 bifidobacteria (*B. longum* NCC3001, *B. lactis* NCC2818, *B. breve*

NCC2950) and 2 lactobacilli (*L. paracasei* NCC2461, *L. rhamnosus* NCC4007).

Bacterial cells were grown on MRS in batch fermentation at 37°C for 16-18h without pH control. Bacterial cells were spun down
5 (5,000 x g, 4°C) and resuspended in phosphate buffer saline prior to be diluted in saline water in order to reach a final concentration of around 10E10 cfu/ml. *B. longum* NCC3001, *B. lactis* NCC2818, *L. paracasei* NCC2461, *L. rhamnosus* NCC4007 were heat treated at 85°C for 20 min in a water bath. *B. breve*
10 NCC2950 was heat treated at 90°C for 30 minutes in a water bath. Heat treated bacterial suspensions were aliquoted and kept frozen at -80°C until use. Live bacteria were stored at -80°C in PBS-glycerol 15% until use.

In vitro immunoprofiling of bacterial preparations

15 The immune profiles of live and heat treated bacterial preparations (i.e. the capacity to induce secretion of specific cytokines from human blood cells *in vitro*) were assessed. Human peripheral blood mononuclear cells (PBMCs) were isolated from blood filters. After separation by cell
20 density gradient, mononuclear cells were collected and washed twice with Hank's balanced salt solution. Cells were then resuspended in Iscove's Modified Dulbecco's Medium (IMDM, Sigma) supplemented with 10% foetal calf serum (Bioconcept, Paris, france), 1% L-glutamine (Sigma), 1%
25 penicillin/streptomycin (Sigma) and 0.1% gentamycin (Sigma). PBMCs (7×10^5 cells/well) were then incubated with live and heat treated bacteria (equivalent 7×10^6 cfu/well) in 48 well plates for 36h. The effects of live and heat treated bacteria were tested on PBMCs from 8 individual donors splitted into two
30 separate experiments. After 36h incubation, culture plates were frozen and kept at -20°C until cytokine measurement. Cytokine profiling was performed in parallel (i.e. in the same

experiment on the same batch of PBMCs) for live bacteria and their heat-treated counterparts.

Levels of cytokines (IFN- γ , IL-12p40, TNF- α and IL-10) in cell culture supernatants after 36h incubation were determined by
5 ELISA (R&D DuoSet Human IL-10, BD OptEIA Human IL12p40, BD OptEIA Human TNF, BD OptEIA Human IFN- γ) following manufacturer's instructions. IFN- γ , IL-12p40 and TNF- α are pro-inflammatory cytokines, whereas IL-10 is a potent anti-inflammatory mediator. Results are expressed as means (pg/ml)
10 +/- SEM of 4 individual donors and are representative of two individual experiments performed with 4 donors each.

In vivo effect of live and heat treated Bifidobacterium breve NCC2950 in prevention of allergic diarrhea

A mouse model of allergic diarrhea was used to test the Th1
15 promoting effect of *B. breve* NCC2950 (Brandt E.B et al. JCI 2003; 112(11): 1666-1667). Following sensitization (2 intraperitoneal injections of Ovalbumin (OVA) and aluminium potassium sulphate at an interval of 14 days; days 0 and 14) male Balb/c mice were orally challenged with OVA for 6 times
20 (days 27, 29, 32, 34, 36, 39) resulting in transient clinical symptoms (diarrhea) and changes of immune parameters (plasma concentration of total IgE, OVA specific IgE, mouse mast cell protease 1, i.e MMCP-1). *Bifidobacterium breve* NCC2950 live or heat treated at 90°C for 30min, was administered by gavage 4
25 days prior to OVA sensitization (days -3, -2, -1, 0 and days 11, 12, 13 and 14) and during the challenge period (days 23 to 39). A daily bacterial dose of around 10^9 colony forming units (cfu) or equivalent cfu/mouse was used.

Results

Induction of secretion of 'pro-inflammatory' cytokines after heat treatment

The ability of heat treated bacterial strains to stimulate cytokine secretion by human peripheral blood mononuclear cells (PBMCs) was assessed *in vitro*. The immune profiles based on four cytokines upon stimulation of PBMCs by heat treated bacteria were compared to that induced by live bacterial cells in the same *in vitro* assay.

The heat treated preparations were plated and assessed for the absence of any viable counts. Heat treated bacterial preparations did not produce colonies after plating.

Live probiotics induced different and strain dependent levels of cytokine production when incubated with human PBMCs (Figure 8). Heat treatment of probiotics modified the levels of cytokines produced by PBMCs as compared to their live counterparts. Heat treated bacteria induced more pro-inflammatory cytokines (TNF- α , IFN- γ , IL-12p40) than their live counterparts do. By contrast heat treated bacteria induced similar or lower amounts of IL-10 compared to live cells (Figure 8). These data show that heat treated bacteria are more able to stimulate the immune system than their live counterparts and therefore are more able to boost weakened immune defences. In other words the *in vitro* data illustrate an enhanced immune boost effect of bacterial strains after heat treatment.

In order to illustrate the enhanced effect of heat-treated *B. breve* NCC2950 (compared to live cells) on the immune system, both live and heat treated *B. breve* NCC2950 (strain A) were tested in an animal model of allergic diarrhea.

As compared to the positive control group, the intensity of diarrhea was significantly and consistently decreased after treatment with heat treated *B. breve* NCC2950 ($41.1 \% \pm 4.8$) whereas the intensity of diarrhea was lowered by only $20 \pm$
 5 28.3% after treatment with live *B. breve* NCC2950. These results demonstrate that heat-treated *B. breve* NCC2950 exhibits an enhanced protective effect against allergic diarrhea than its live counterpart (Figure 9).

As a consequence, the ability of probiotics to enhance the
 10 immune defences was shown to be improved after heat treatment.

Example 3:

The following formulation may be prepared

	Formulation A
kcal/mL	1.2
Caloric Distribution (% of kcal)	Protein: 25% Carbohydrate: 36% Fat: 39%
Protein Source	enzymatically hydrolyzed whey protein
NPC:N Ratio	76:1
MCT:LCT Ratio	50:50
n6:n3 Ratio	1.8:1
Osmolality (mOsm/kg water)	390
Free Water	81%
EPA/DHA	2.4 g/L
Supplemental L-Arginine	12.5 g/L
Dietary Nucleotides	1.2 g/L
Fiber Content (oligofructose, inulin):	5.2 g/L
Probiotics	10^9 cfu UHT treated Lactobacillus johnsonii La1/ mL

PCT

Print Out (Original in Electronic Form)
(This sheet is not part of and does not count as a sheet of the international application)

0-1	Form PCT/RO/134 (SAFE) Indications Relating to Deposited Microorganism(s) or Other Biological Material (PCT Rule 13bis)	
0-1-1	Prepared Using	PCT Online Filing Version 3.5.000.204 MT/FOP 20020701/0.20.5.9
0-2	International Application No.	
0-3	Applicant's or agent's file reference	NO 10861
1	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
1-1	page	19
1-2	line	4
1-3	Identification of deposit	
1-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
1-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
1-3-3	Date of deposit	02 July 1992 (02.07.1992)
1-3-4	Accession Number	CNCM I-1225
1-5	Designated States for Which Indications are Made	all designations
2	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
2-1	page	18
2-2	line	15
2-3	Identification of deposit	
2-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
2-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
2-3-3	Date of deposit	02 March 2001 (02.03.2001)
2-3-4	Accession Number	CNCM I-2618
2-5	Designated States for Which Indications are Made	all designations

PCT

Print Out (Original in Electronic Form)
(This sheet is not part of and does not count as a sheet of the international application)

3	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
3-1	page	18
3-2	line	16
3-3	Identification of deposit	
3-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
3-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
3-3-3	Date of deposit	10 January 2008 (10.01.2008)
3-3-4	Accession Number	CNCM I-3865
3-5	Designated States for Which Indications are Made	all designations
4	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
4-1	page	18
4-2	line	17
4-3	Identification of deposit	
4-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
4-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
4-3-3	Date of deposit	09 September 2005 (09.09.2005)
4-3-4	Accession Number	CNCM I-3446
4-5	Designated States for Which Indications are Made	all designations
5	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
5-1	page	18
5-2	line	18
5-3	Identification of deposit	
5-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
5-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
5-3-3	Date of deposit	12 February 1999 (12.02.1999)
5-3-4	Accession Number	CNCM I-2116
5-5	Designated States for Which Indications are Made	all designations

PCT

Print Out (Original in Electronic Form)
(This sheet is not part of and does not count as a sheet of the international application)

6	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
6-1	page	18
6-2	line	19
6-3	Identification of deposit	
6-3-1	Name of depositary institution	CGCCM Centre Général Chinois de Cultures Microbiologiques
6-3-2	Address of depositary institution	Chine - Comité pour la collection de cultures de micro-organismes, P.O. Box 2714, Beijing 100080
6-3-3	Date of deposit	05 November 2004 (05.11.2004)
6-3-4	Accession Number	CGCCM 1.3724
6-5	Designated States for Which Indications are Made	all designations
7	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
7-1	page	18
7-2	line	20
7-3	Identification of deposit	
7-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
7-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
7-3-3	Date of deposit	10 June 1994 (10.06.1994)
7-3-4	Accession Number	CNCM I-1422
7-5	Designated States for Which Indications are Made	all designations
8	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
8-1	page	18
8-2	line	21
8-3	Identification of deposit	
8-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
8-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
8-3-3	Date of deposit	29 May 2009 (29.05.2009)
8-3-4	Accession Number	CNCM I-4153
8-5	Designated States for Which Indications are Made	all designations

PCT

Print Out (Original in Electronic Form)
(This sheet is not part of and does not count as a sheet of the international application)

9	The indications made below relate to the deposited microorganism(s) or other biological material referred to in the description on:	
9-1	page	18
9-2	line	22
9-3	Identification of deposit	
9-3-1	Name of depositary institution	CNCM Collection nationale de cultures de micro-organismes
9-3-2	Address of depositary institution	Institut Pasteur, 28, rue du Dr Roux, 75724 Paris Cedex 15, France
9-3-3	Date of deposit	29 May 2009 (29.05.2009)
9-3-4	Accession Number	CNCM I-4154
9-5	Designated States for Which Indications are Made	all designations

FOR RECEIVING OFFICE USE ONLY

0-4	This form was received with the international application: (yes or no)	
0-4-1	Authorized officer	

FOR INTERNATIONAL BUREAU USE ONLY

0-5	This form was received by the international Bureau on:	
0-5-1	Authorized officer	

Claims:

1. Composition to be administered to adults suffering from an impaired gastro-intestinal tract function that provides complete nutrition to a patient and comprises probiotic micro-organisms.
2. Composition in accordance with claim 1 having a caloric density in the range of 0.9-1.4 kcal/ml, an osmolality in the range of 380-400 mOsm/kg water, and comprising a protein source accounting for about 23-27 % of the calories of the composition, a carbohydrate source accounting for about 34-38 % of the calories of the composition, a lipid source accounting for about 37-41 % of the calories of the composition, and having a NPC:N ratio in the range of 70:1 to 80:1.
3. Composition in accordance with one of the preceding claims comprising a lipid source with a n6 : n3 fatty acid ratio in the range of 1.5:1 to 2:1, and/or an MCT : LCT ratio in the range of 55:45 to 45:55.
4. Composition in accordance with one of the preceding claims, comprising about 79-83% free water.
5. Composition in accordance with one of the preceding claims, wherein the probiotic micro-organisms comprise non-replicating probiotic micro-organisms.
6. Composition in accordance with one of the preceding claims comprising probiotic micro-organisms in an amount corresponding to about 10^6 to 10^{12} cfu.
7. Composition in accordance with one of claims 5-6 wherein the non-replicating probiotic micro-organisms were rendered non-replicating by a heat-treatment, preferably by a high temperature treatment at at least 71.5 °C for at least 1 second.
8. Composition in accordance with claim 7, wherein the heat treatment is a high temperature treatment at about 71.5-

150 °C for about 1-120 seconds, and preferably is a high temperature/short time (HTST) treatment or a ultra-high temperature (UHT) treatment.

9. Composition in accordance with claim 8 for use in the prevention or treatment of inflammatory disorders.

10. Composition in accordance with claim 7, wherein the heat treatment is carried out in the temperature range of about 70-150 °C for about 3 minutes - 2 hours, preferably in the range of 80-140°C from 5 minutes - 40 minutes.

11. Composition in accordance with claim 10 for use in the prevention or treatment disorders related to a compromised immune defence.

12. Composition in accordance with one of the preceding claims wherein at least 90 %, preferably, at least 95 %, more preferably at least 98 %, most preferably at least 99 %, ideally at least 99.9 %, most ideally all of the probiotics are non-replicating.

13. Composition in accordance with one of the preceding claims wherein the probiotic micro-organisms are selected from the group consisting of bifidobacteria, lactobacilli, propionibacteria, or combinations thereof, for example *Bifidobacterium longum*, *Bifidobacterium lactis*, *Bifidobacterium animalis*, *Bifidobacterium breve*, *Bifidobacterium infantis*, *Bifidobacterium adolescentis*, *Lactobacillus acidophilus*, *Lactobacillus casei*, *Lactobacillus paracasei*, *Lactobacillus salivarius*, *Lactobacillus reuteri*, *Lactobacillus rhamnosus*, *Lactobacillus johnsonii*, *Lactobacillus plantarum*, *Lactobacillus fermentum*, *Lactococcus lactis*, *Streptococcus thermophilus*, *Lactococcus lactis*, *Lactococcus diacetylactis*, *Lactococcus cremoris*, *Lactobacillus bulgaricus*, *Lactobacillus helveticus*, *Lactobacillus delbrueckii*, *Escherichia coli* and/or mixtures thereof.

14. Composition in accordance with one of the preceding claims wherein the probiotic micro-organisms are selected from the group consisting of *Bifidobacterium longum* NCC 3001, *Bifidobacterium longum* NCC 2705, *Bifidobacterium*
5 *breve* NCC 2950, *Bifidobacterium lactis* NCC 2818, *Lactobacillus johnsonii* La1, *Lactobacillus paracasei* NCC 2461, *Lactobacillus rhamnosus* NCC 4007, *Lactobacillus reuteri* DSM17983, *Lactobacillus reuteri* ATCC55730, *Streptococcus thermophilus* NCC 2019, *Streptococcus*
10 *thermophilus* NCC 2059, *Lactobacillus casei* NCC 4006, *Lactobacillus acidophilus* NCC 3009, *Lactobacillus casei* ACA-DC 6002 (NCC 1825), *Escherichia coli* Nissle, *Lactobacillus bulgaricus* NCC 15, *Lactococcus lactis* NCC 2287, or combinations thereof.

15. Composition in accordance with one of the preceding claims containing about 0,005 mg - 1000 mg non-replicating micro-organisms per daily dose.

Figure 1A

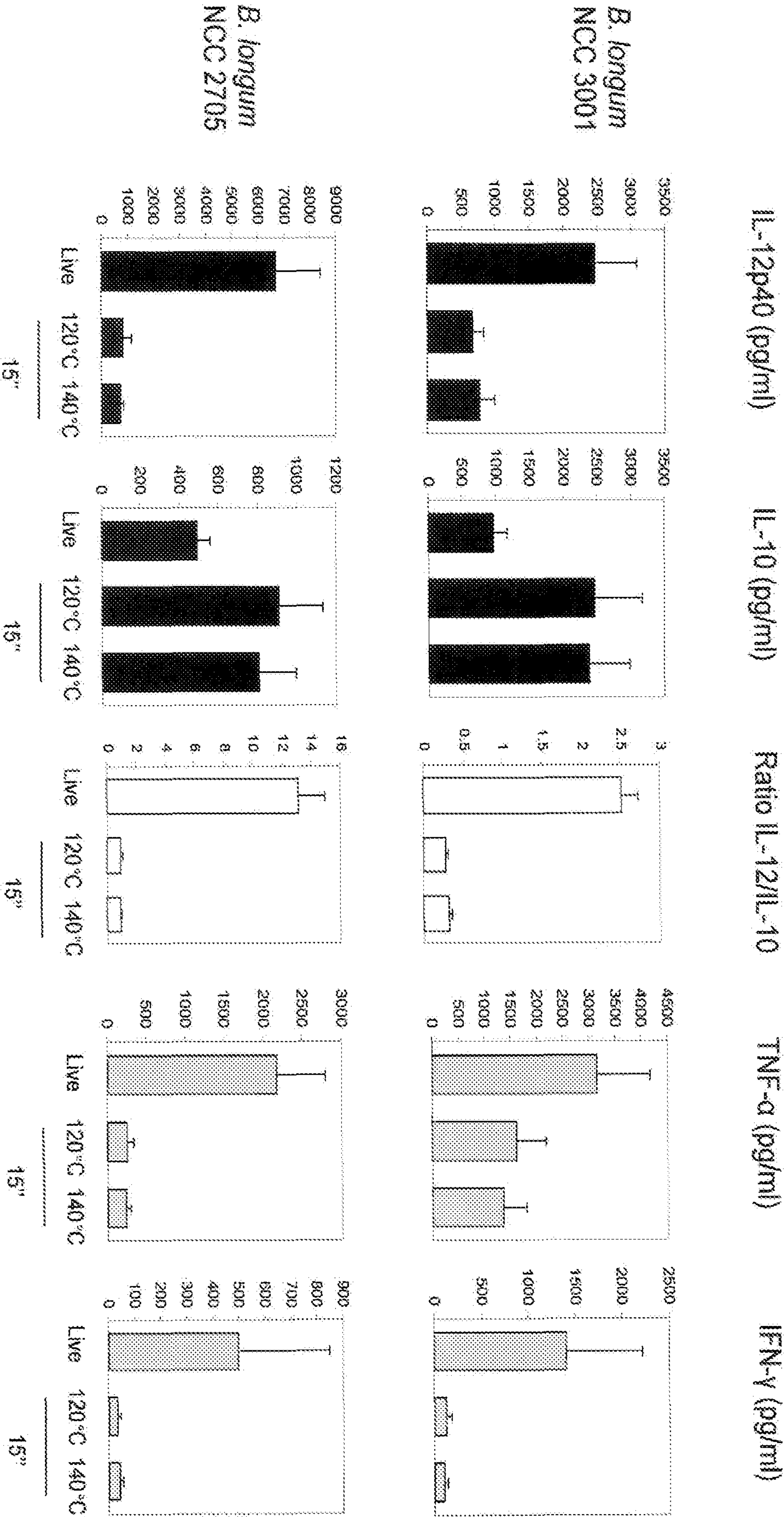


Figure 1B

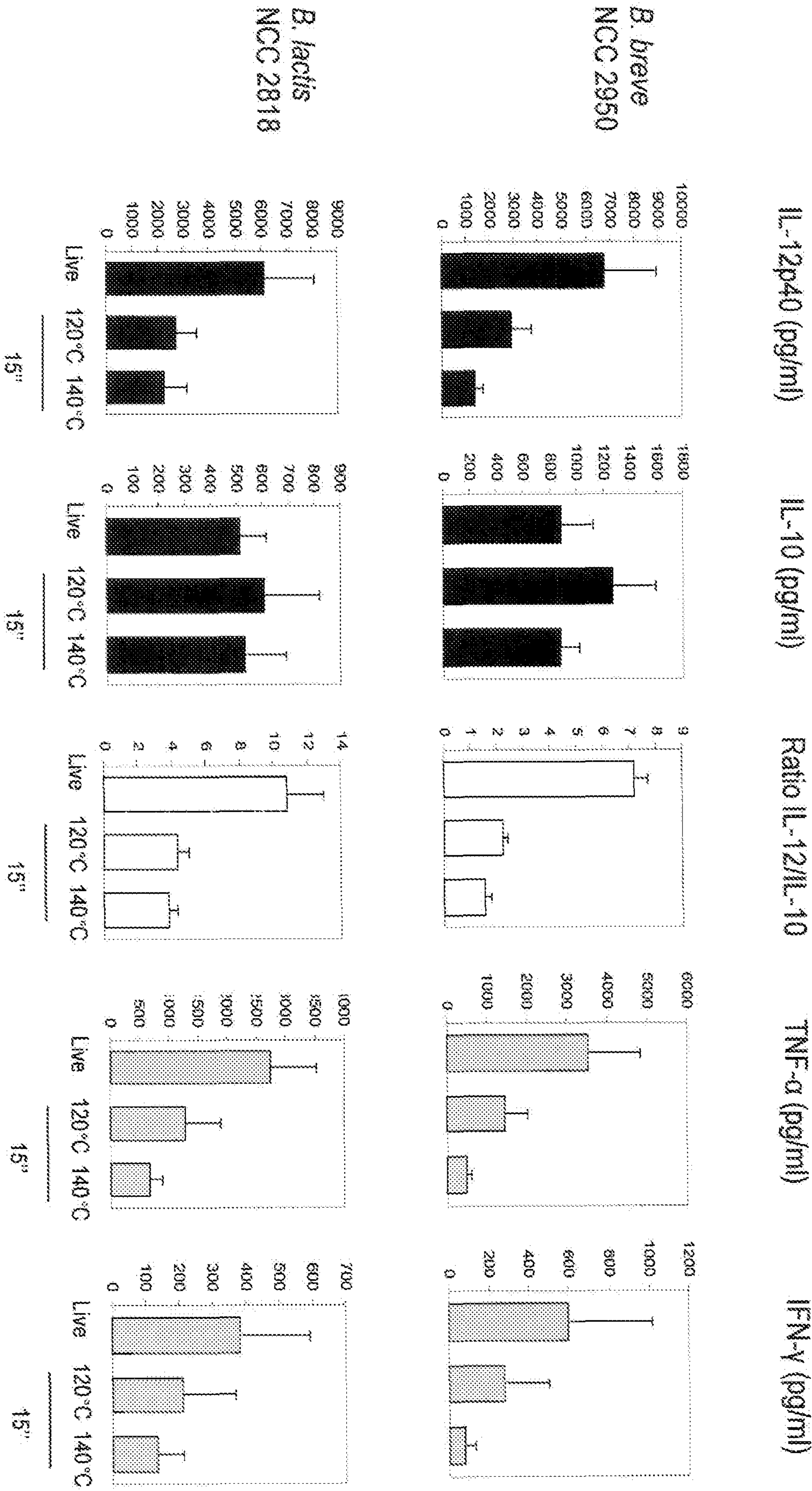


Figure 2

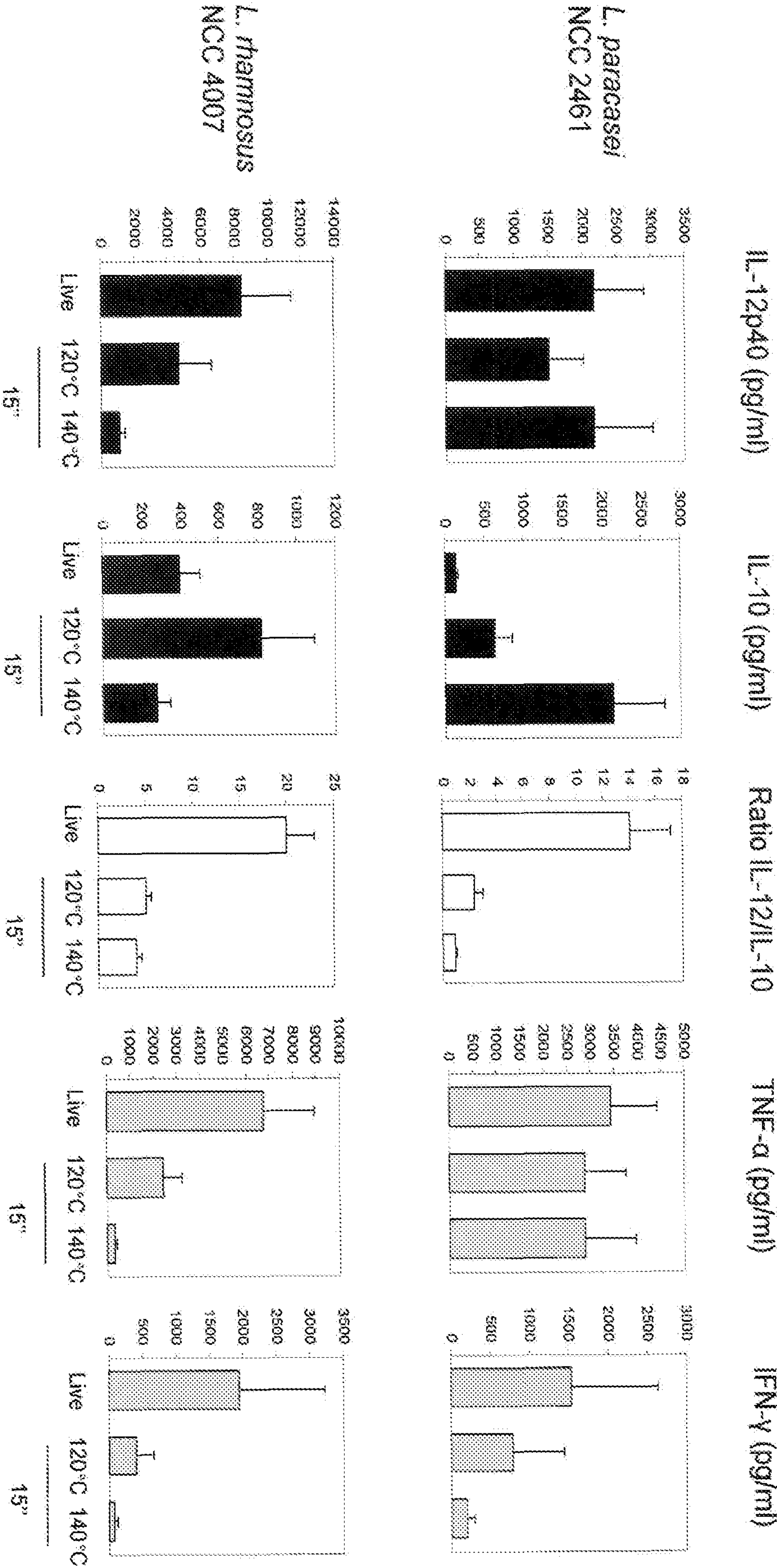


Figure 3A

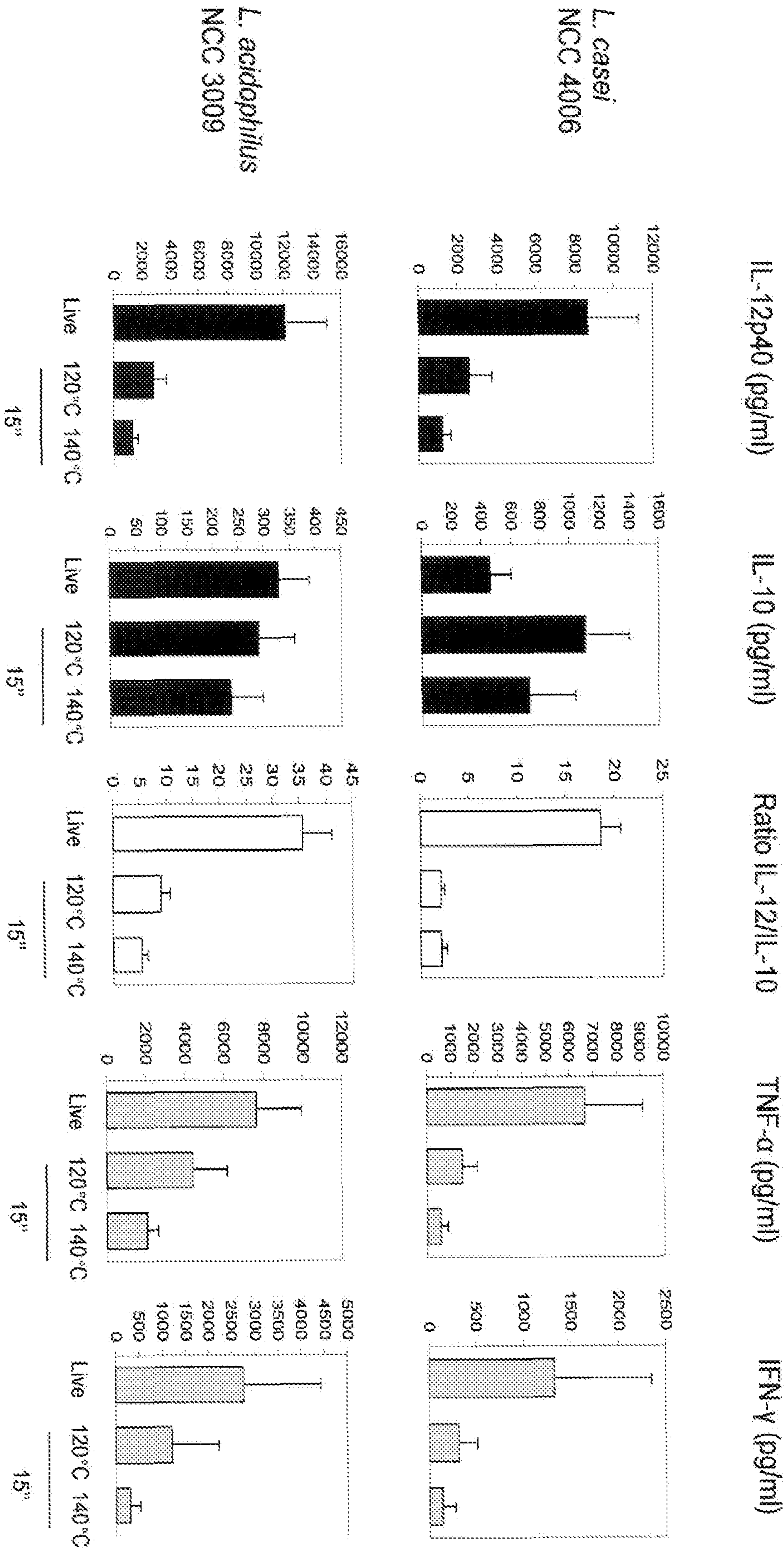


Figure 3B

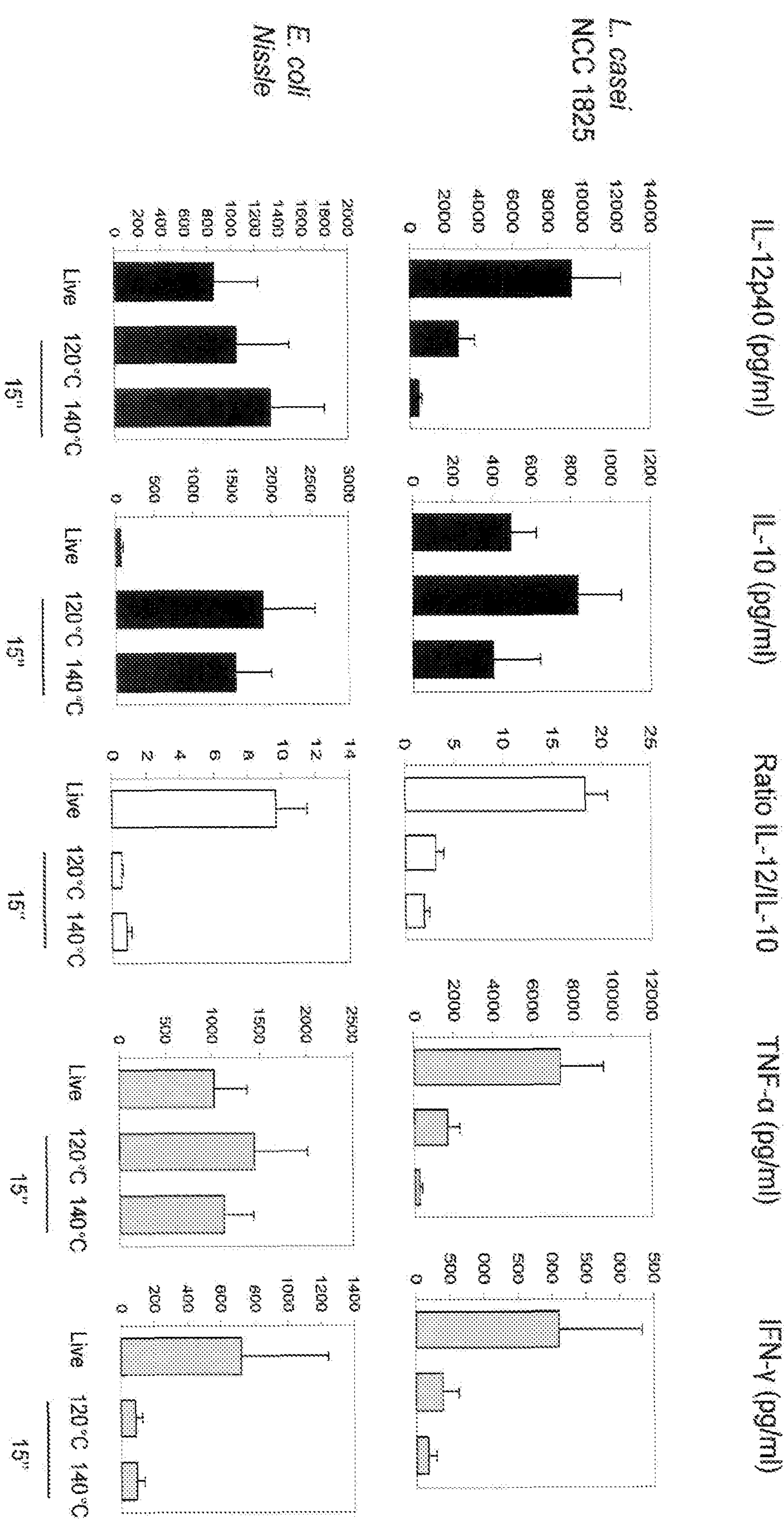


Figure 4A

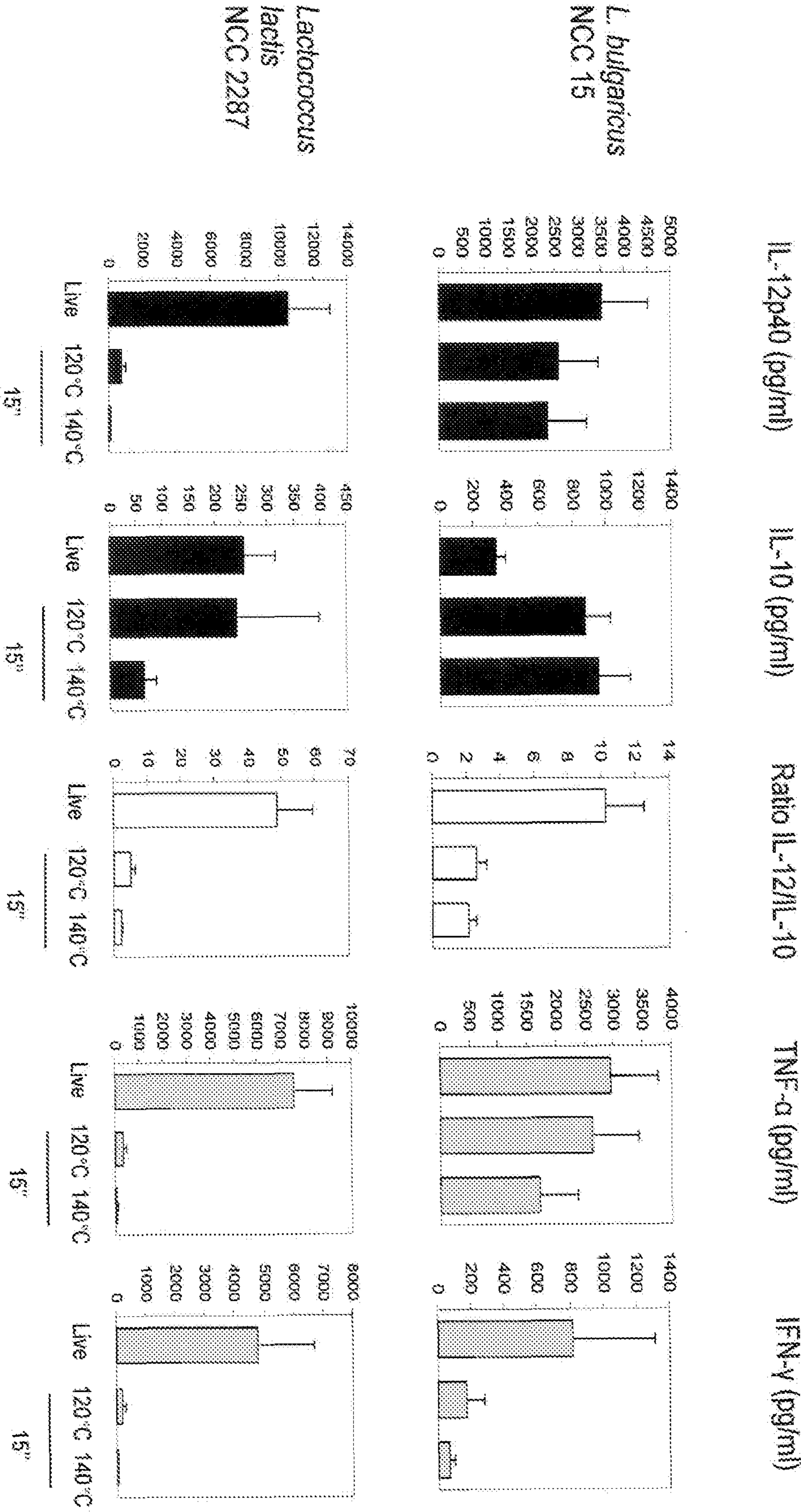


Figure 4B

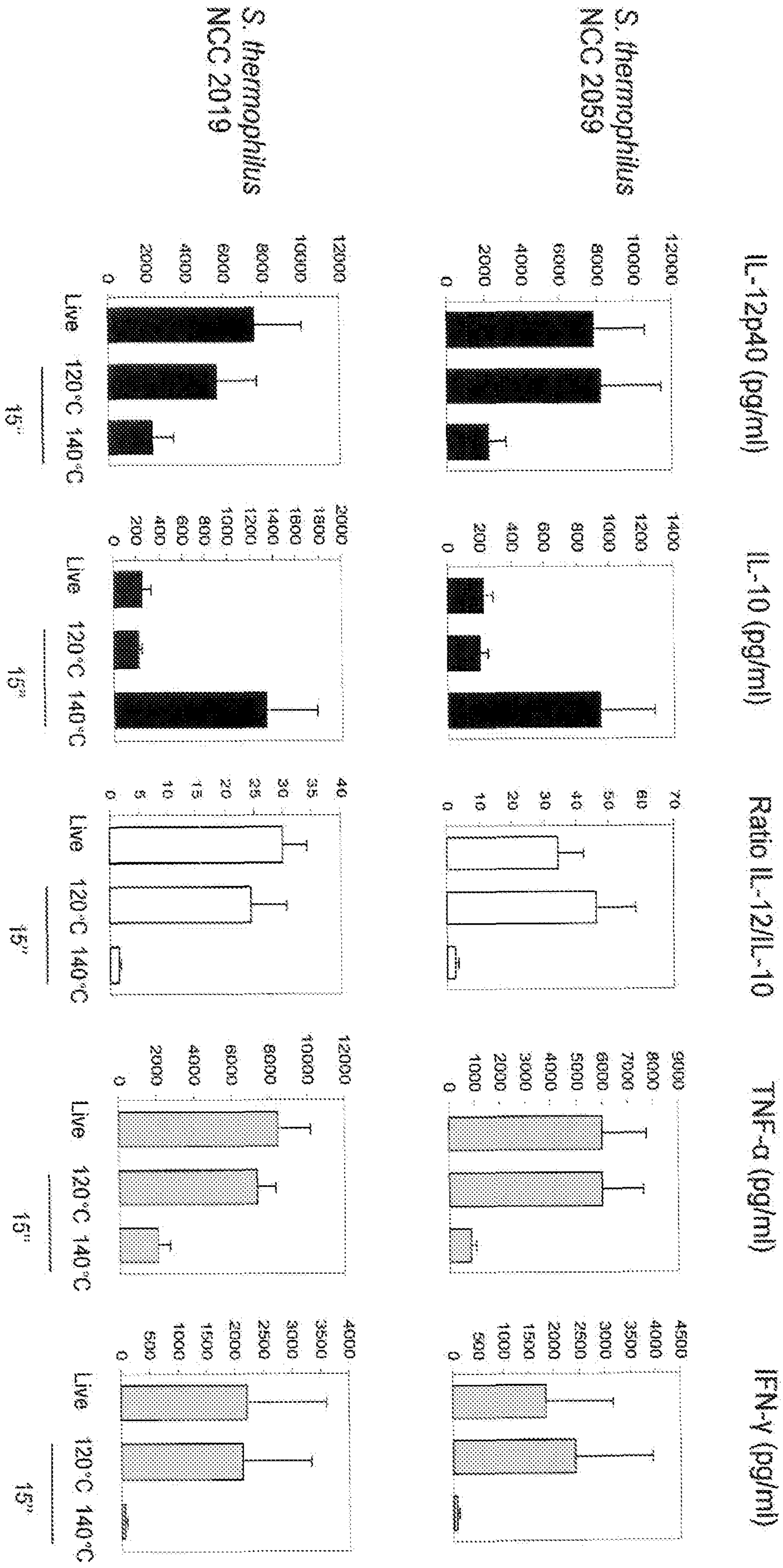


Figure 5

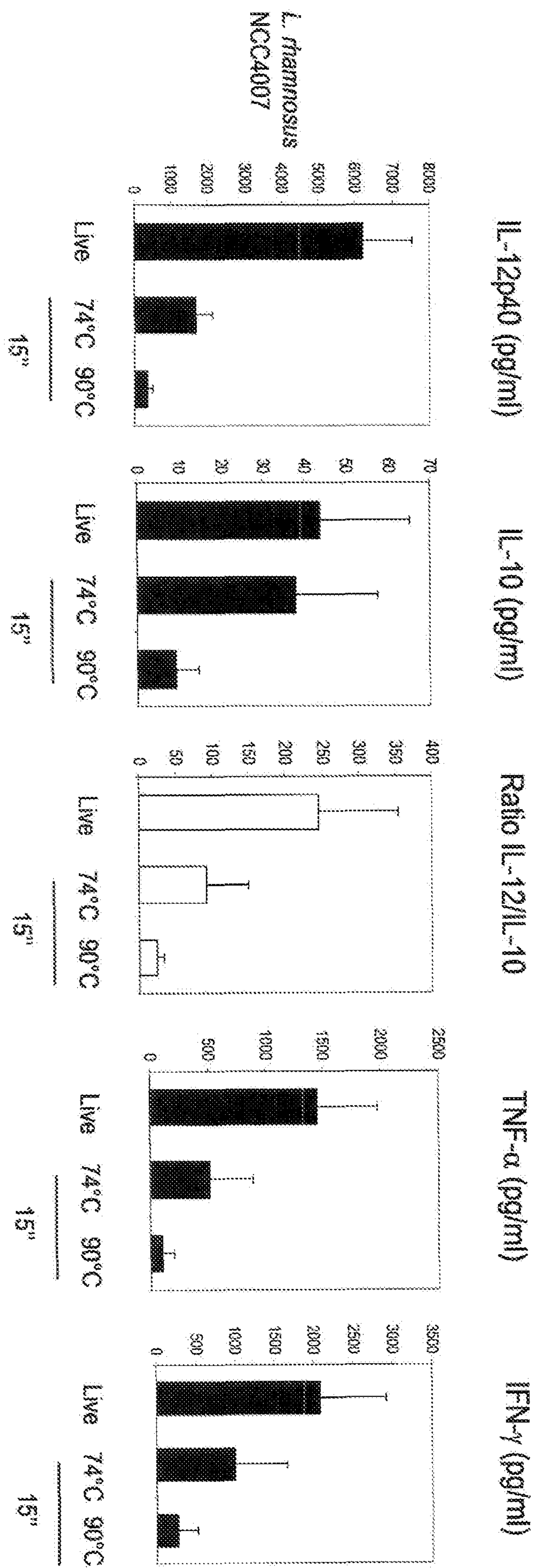


Figure 6

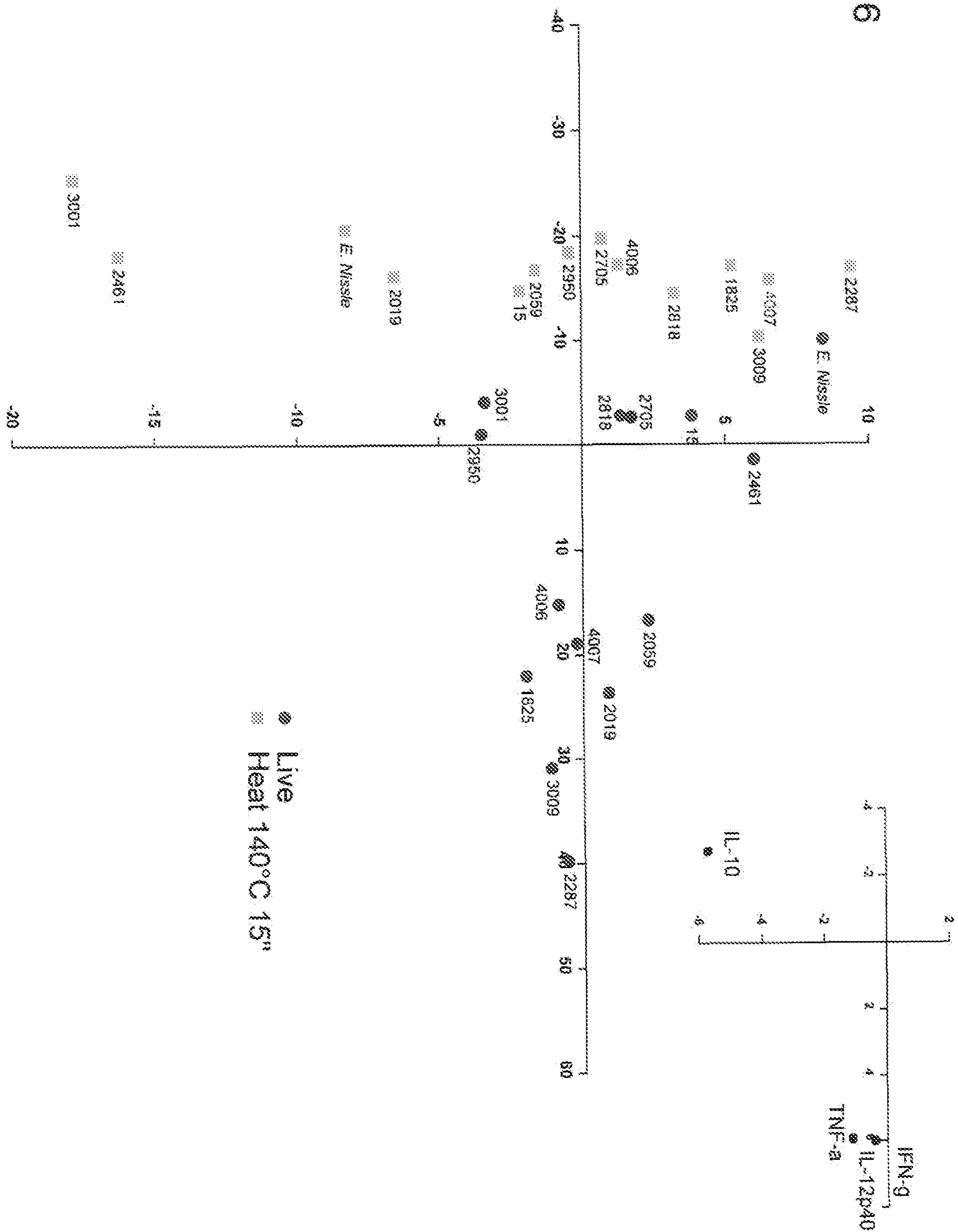


Figure 7

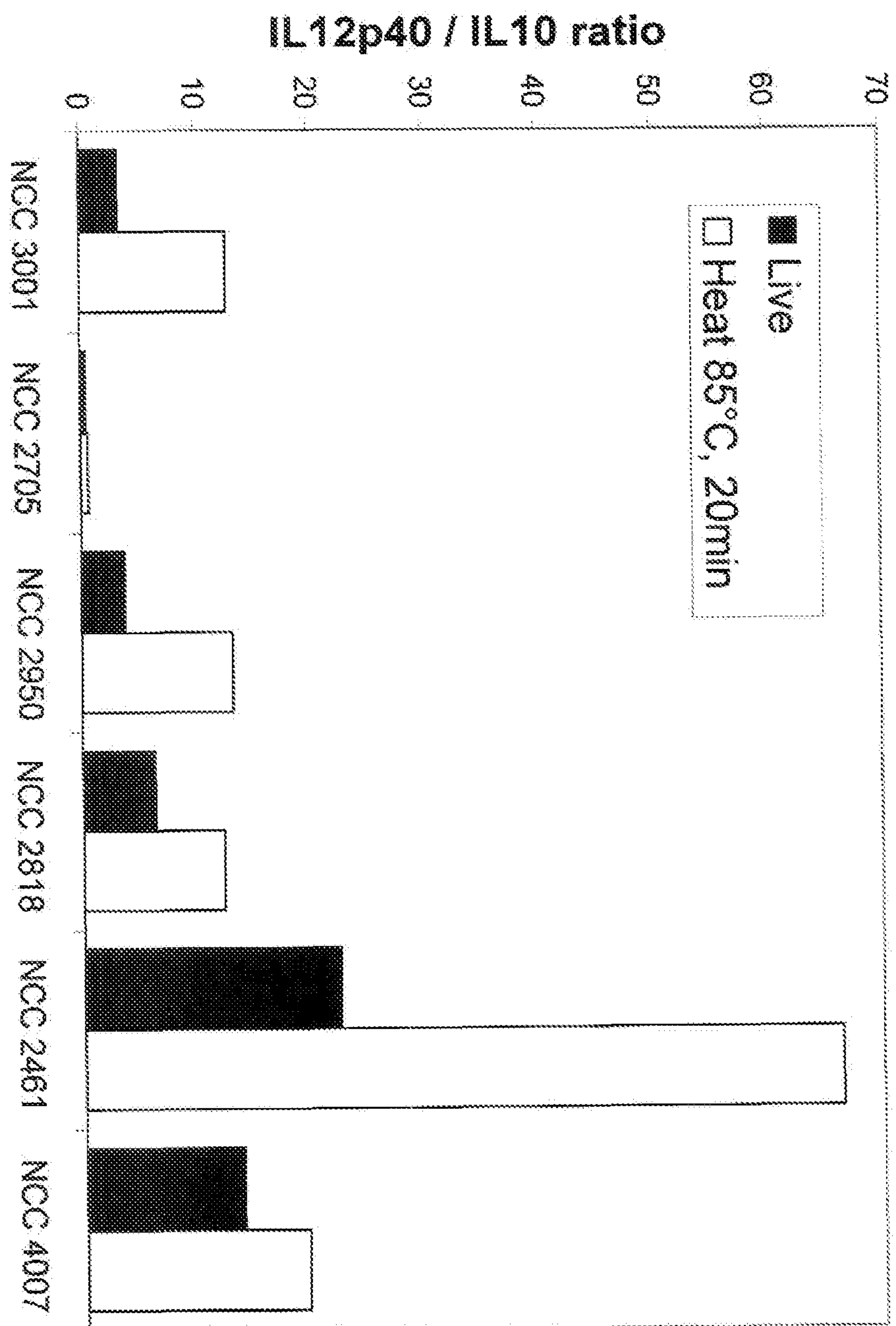


Figure 8:

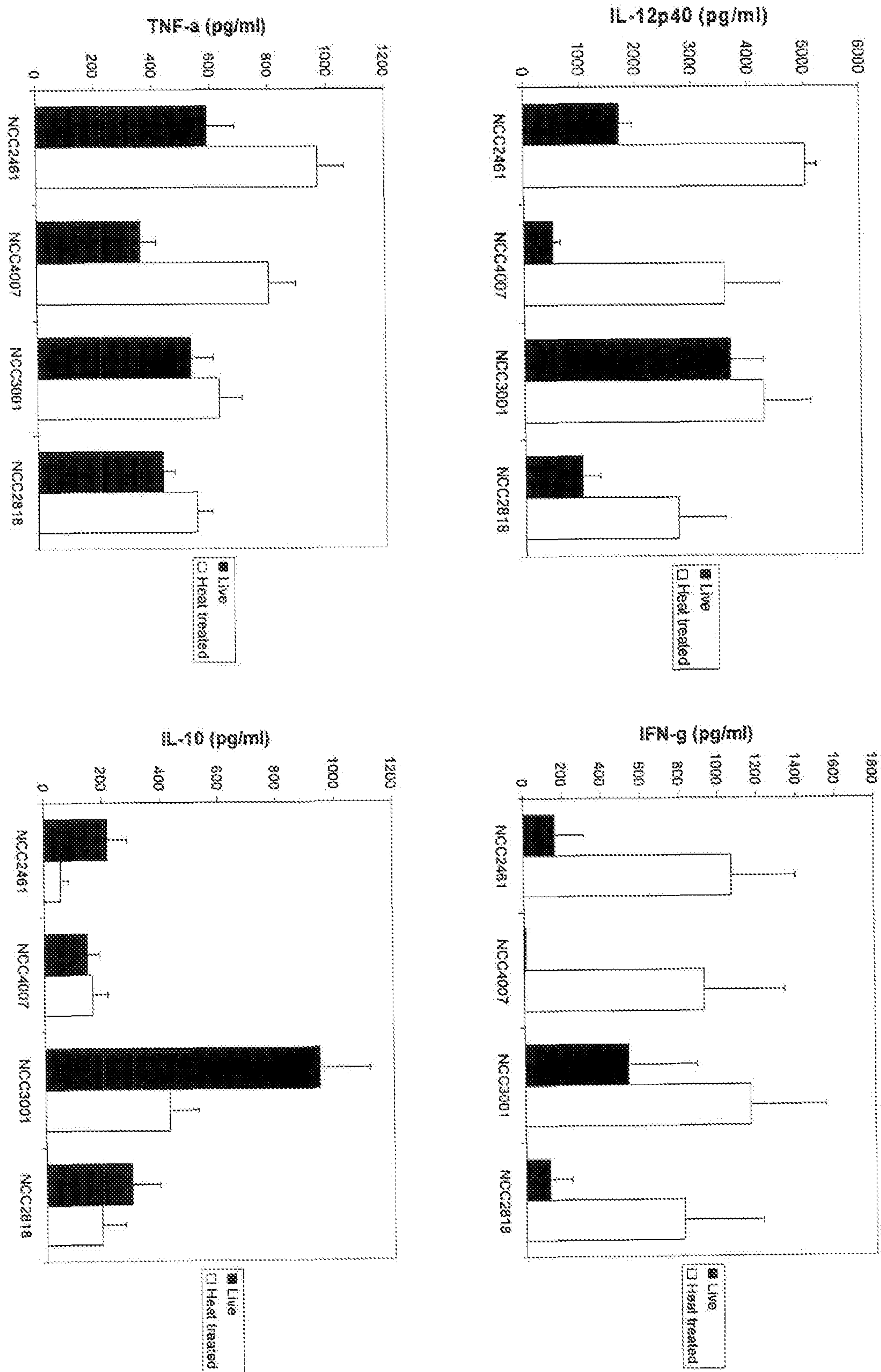


Figure 9:

