

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
12 July 2007 (12.07.2007)

PCT

(10) International Publication Number  
**WO 2007/077173 A1**

(51) International Patent Classification:

C07K 16/40 (2006.01) A61P 35/00 (2006.01)  
C07K 16/46 (2006.01) A61P 19/02 (2006.01)  
A61K 47/48 (2006.01)

(74) Agents: **HAMMANN, Heinz** et al.; Binger Strasse 173, 55216 Ingelheim Am Rhein (DE).

(21) International Application Number:

PCT/EP2006/070185

(22) International Filing Date:

22 December 2006 (22.12.2006)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

06100121.0 5 January 2006 (05.01.2006) EP

(71) Applicant (for all designated States except US): **BOEHRINGER INGELHEIM INTERNATIONAL GMBH** [DE/DE]; Binger Strasse 173, 55216 Ingelheim Am Rhein (DE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **ADOLF, Guenther** [AT/AT]; Stiftgasse 15-17, A-1070 Wien (AT). **OSTERMANN, Elinborg** [AT/AT]; Mauerbachstrasse 56/6, A-1140 Wien (AT). **KALAT, Milena** [AT/AT]; Anton Baumgartnerstrasse 44/c8/1205, A-1230 Wien (AT). **HEIDER, Karl-Heinz** [DE/AT]; Czedikstrasse 33, A-2000 Stockerau (AT).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

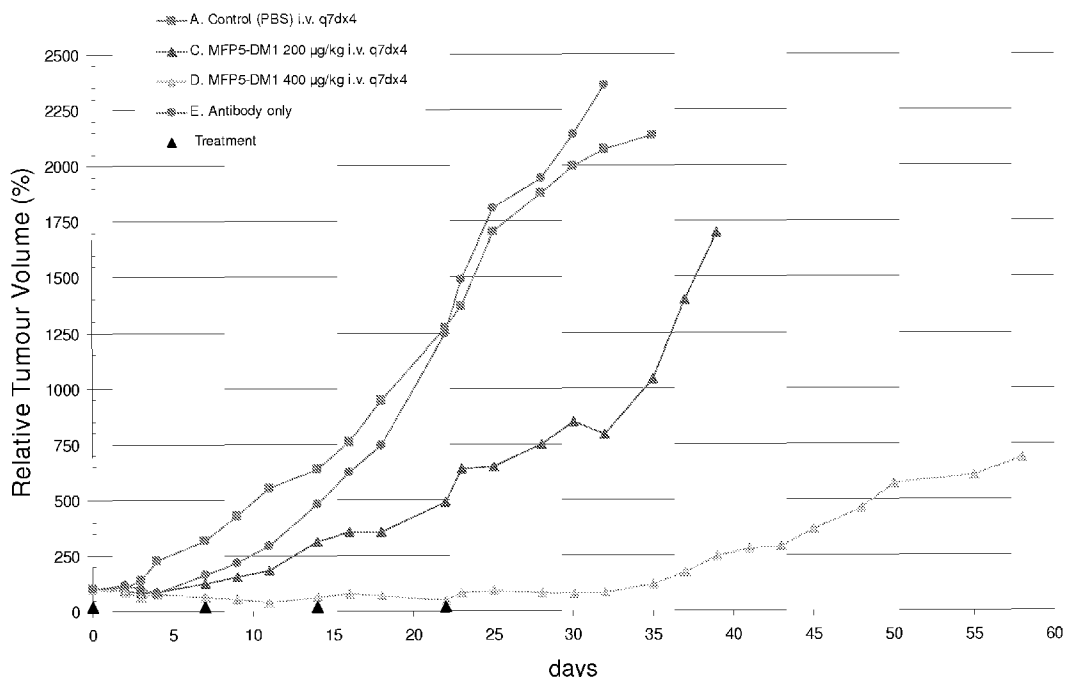
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- with sequence listing part of description published separately in electronic form and available upon request from the International Bureau

[Continued on next page]

(54) Title: ANTIBODY MOLECULES SPECIFIC FOR FIBROBLAST ACTIVATION PROTEIN AND IMMUNOCONJUGATES CONTAINING THEM



(57) Abstract: Anti-FAP- antibodies and immunoconjugates, pharmaceutical compositions containing such conjugates, and their use in cancer therapy.

WO 2007/077173 A1



---

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

Antibody molecules specific for Fibroblast Activation Protein and  
5 immunoconjugates containing them

The invention relates to novel anti-FAP- $\alpha$  antibody molecules and cytotoxic  
immunoconjugates consisting of such antibody and a cytotoxic agent, e.g. a  
10 maytansinoid, pharmaceutical compositions comprising such immunoconjugates,  
and their use in tumour therapy.

There have been numerous attempts to improve the efficacy of antineoplastic drugs  
by conjugating such drugs to antibodies against tumour-associated antigens in  
order to elevate local concentration of the drug by targeted delivery to the tumour.  
15 Many of these approaches have met limited success, and several reasons have been  
discussed in the literature to explain the failure. For anticancer drugs acting  
stoichiometrically, like e.g. doxorubicin or methotrexate, relatively high  
intracellular concentrations are necessary to exert the required cytotoxicity. These  
concentrations are thought to be difficult to achieve with many antibody-drug  
20 conjugates because of (a) insufficient potency of many common anticancer drugs,  
(b) low cell surface concentration of antigen targets, (c) inefficient internalization  
of antigen-antibody complexes into the target cell, and (d) inefficient release of  
free drug from the conjugate inside the target cell (Chari et al., 1992).

Two of the aforementioned drawbacks, namely (a) and (d), were addressed by the  
25 work of Chari and coworkers (Chari et al., 1992; Liu et al., 1996; U.S. Patent  
No. 5,208,020). These authors developed antibody conjugates wherein the antibody  
is linked to a maytansinoid via a disulfide linkage. Maytansines belong to the class  
of Ansa macrolide antibiotics, which derive from *Nocardia sp.*. The maytansine  
ansamitocin P-3, produced by bacterial fermentation, is used as a precursor  
30 molecule to manufacture maytansinoid DM1. Maytansine and derivatives act as  
anti-mitotic agents (inhibitors of tubulin polymerization), similar as vincristine, but

with markedly higher potency than vincristine or other established  
chemotherapeutic agents (DM1 is toxic to cells *in vitro* at  $\sim 10^{-10}$  M concentration).  
In contrast to the high cytotoxicity of free maytansinoid, the antibody conjugate  
has a toxicity which is several orders of magnitude lower on antigen-negative cells  
5 compared to antigen-positive cells. The linkage by disulfide bonding has the  
advantage that these bonds are readily cleaved inside the target cells by  
intracellular glutathione, releasing highly toxic free drug. This approach has been  
applied to antibodies against tumour-associated antigens, for example the C242-  
DM1 conjugate (Liu et al., 1996; Lambert et al., 1998), and HuN901-DM1 (Chari  
10 et al., 2000). However, the application of these conjugates is restricted due to the  
limited expression of the respective target antigens. For example, the antigen  
recognized by N901 (CD56, N-CAM) is predominantly expressed by tumours of  
neuroendocrine origin, the expression of the C242 antigen (CanAg) is mostly  
limited to tumours derived from the GI tract.

15 To improve this approach by applying it to suitable tumour-associated antigens  
with favorable antigen expression pattern, high and specific cell surface antigen  
concentration within the target tissue, and an efficient internalization process that  
transports the antigen complexed-antibody conjugate into the cells, anti-CD44-  
antibody-DM1 immunoconjugates were developed (WO 02/094325).

20 Nevertheless, there is still a need for innovative immunotherapeutics that have an  
inhibitory effect on tumour-associated target antigens having low expression in  
normal tissue and high expression in a great variety of tumours.

Immunohistochemical analyses have shown that Fibroblast Activation Protein (in  
the following, also termed "FAP") displays restricted distribution in normal tissue.  
25 Among non-neoplastic adult lesional tissues, expression of FAP has been observed  
in the activated fibroblasts of healing wounds, in rheumatoid arthritis, and in  
activated hepatic stellate cells during cirrhosis, whereas in normal adult tissue only  
pancreatic islet (A) cells are FAP positive. In contrast, FAP-positive stromal  
fibroblasts are seen in the stroma of over 90% of malignant breast, ovarian,  
30 colorectal, lung, skin, prostate and pancreatic tumours. A proportion of bone and

soft tissue sarcoma tumour cells are also FAP positive (Rettig et al., 1988). Due to its broad expression in many common cancers and its restricted expression pattern in normal tissues, fibroblast activation protein alpha (FAP- $\alpha$ ; in the following referred to as FAP) has been considered to be an attractive antigenic target, however, immunotherapies based on targeting the FAP antigen have not been successful.

The invasive growth of epithelial cancers is associated with a number of characteristic cellular and molecular changes in the supporting stroma. A highly consistent molecular trait of the reactive stroma of many types of epithelial cancer is induction of FAP, a cell surface molecule of reactive stromal fibroblasts (Garin-Chesa et al., 1990). Since the FAP antigen is selectively expressed in the stroma of a range of epithelial carcinomas, independent of location and histological type, the concept to target stroma by targeting FAP has been developed for imaging, diagnosis and treatment of epithelial cancers and certain other conditions. For this purpose, a monoclonal antibody termed F19 (secreted by the hybridoma cell line ATCC Accession No. HB 8269), that specifically binds to FAP was developed and described in US Patents 5,059,523 and WO 93/05804. To further improve this concept, the antibody F19 was humanized; the obtained antibody, that specifically binds to FAP, is described in WO 99/57151 (see below).

While selective accumulation of a murine anti-FAP MAb in tumour stroma tissue was demonstrated with trace-labeled ( $^{131}\text{I}$ -radiolabeled) murine monoclonal MAb F19 in biodistribution imaging studies (Welt, et al., 1994; Tanswell, et al., 2001), the concept of tumour inhibition by stroma targeting has not been successful in cancer therapy: While a phase I study  $^{131}\text{I}$ -radiolabeled anti-FAP MAb sibrotuzumab (BIBH1) demonstrated that administration of repeated infusions of sibrotuzumab is safe and well-tolerated (Scott et al., 2001; Hofheinz et al., 2003), in a phase II study with unlabeled sibrotuzumab that was carried out in patients with advanced metastatic colorectal cancer, ongoing tumour progression was noted in most patients. Therefore, since the minimum requirements were not met, this study was discontinued.

It was an objective of the invention to provide improved immunotherapeutics that are based on anti-FAP antibodies that target malignant tumour cells expressing the FAP antigen. It was a further objective of the invention to provide improved immunotherapeutics that are based on anti-FAP antibodies. Such  
5 immunotherapeutics should target FAP-expressing non-malignant stromal cells in tumours wherein the malignant cells do not express FAP, but nevertheless are efficiently killed by the immunotherapeutic drug.

For solving the problem underlying the invention, it was a prerequisite to first provide animal models suitable for proof-of-concept. The feasibility of animal  
10 experiments, which are crucial for proving the concept of targeting stromal cells and consequently - directly or indirectly - killing tumour cells, is based on the availability of an anti-FAP antibody that reacts with both human and mouse FAP. Such cross-reactivity is a requirement for conducting studies in cancer models based on human tumour xenografts growing in immunodeficient mice, because in  
15 these models, while the tumour cells are of human origin, the stromal cells are derived from the mouse. The results of the experiments of the present invention have shown that anti-FAP antibodies conjugated to a highly cytotoxic maytansinoid very efficiently kill tumours *in vivo*. From the results of the experiments the inventors concluded that tumour killing may, on the one hand, be  
20 caused by targeting FAP to stromal cells due to its property of being a stromal antigen. On the other hand, it could be shown that the anti-FAP antibody maytansinoid conjugates are highly efficient in killing tumour cells in human tumour xenografts expressing FAP, an effect that could, in the chosen experimental setting, be ascribed to FAP's property of being a tumour antigen.

25 The present invention relates to novel anti-FAP antibody molecules and immunoconjugates consisting of any such FAP-specific antibody molecule conjugated to a maytansinoid.

In a first aspect, the present invention provides an anti-FAP- $\alpha$  antibody molecule selected from

- a. a murine monoclonal antibody, defined by
- i. a variable heavy chain comprising the region from aa 20 to 136 of sequence (SEQ ID NO: 1);
  - ii. a variable light chain comprising the region from aa 23 to 129 of sequence (SEQ ID NO:2) and
  - iii. the IgG2a kappa subclass;
- or a fragment or derivative thereof;
- b. a chimeric antibody derived from the murine monoclonal antibody defined in a);
- c. a humanized antibody, derived from the murine monoclonal antibody defined in a); or a fragment or derivative thereof.

The monoclonal murine antibody defined in a) has been designated "MFP5".

In the following, the above-defined anti-FAP antibody molecules of the invention are termed "MFP5 antibodies" or "MFP5 antibody molecules".

- 15 It has been found that the antibody MFP5 reacts both with murine and human FAP. This provides a most advantageous feature of MFP5 antibody molecules/that also have this property, in that such MFP5 antibody molecules can be used to study the impact of stroma targeting, which is important for the therapeutic efficacy of the immunoconjugates of the invention. Since the tumor stroma in mouse xenograft
- 20 models is of murine origin, this feature of crossreactivity is indispensable for conducting animal studies.

In an embodiment of the invention, the chimeric antibody b) is defined by

- i. a variable heavy chain comprising the region from aa 20 to 136 of sequence (SEQ ID NO: 1);
- ii. a variable light chain comprising the region from aa 23 to 129 of  
5 sequence (SEQ ID NO:2) and
- iii. constant heavy and light chains that are of human origin.

The construction and production of chimeric mouse/human antibodies, which represent the “first generation” of humanized antibodies, is well known in the art (Boulianne et al., 1984). The variable regions of the non-human antibody are  
10 typically linked to at least a portion of the immunoglobulin constant region (F<sub>C</sub>) of a human immunoglobulin. Human constant region DNA sequences can be isolated in accordance with well-known procedures from a variety of human cells, preferably from immortalized B cells (see Kabat et al., 1991; *supra*, and WO 87/02671). The antibody molecules may contain all or only a portion of the  
15 constant region as long as they exhibit specific binding to the FAP antigen. The choice of the type and length of the constant region depends on whether effector functions like complement fixation or antibody dependent cellular toxicity are desired, and on the desired pharmacological properties of the antibody protein. The antibody molecule will typically be a tetramer consisting of two light chain/heavy  
20 chain pairs, but may also be dimeric, i.e. consisting of a light chain/heavy chain pair, e.g. a Fab or Fv fragment.

In yet another embodiment, the antibody is a chimeric FAP-specific antibody (designated cMFP5) that has the heavy chain variable region of MFP5 fused to the human heavy chain constant region (IgG1) (SEQ ID NO:3) and the light chain  
25 variable region of MFP5 fused to the human light chain constant region (kappa) (SEQ ID NO:4). In the experiments of the present invention, this antibody was expressed in mammalian cells and used for immunohistochemical staining of stromal cells in human tumour xenografts grown in nude mice.

Other human constant regions for chimerizing MFP5 are available to the person skilled in the art, e.g. IgG2, IgG3, IgG4, IgA, IgE or IgM and kappa or lambda light chain constant regions.

In an embodiment of the invention, the humanized antibody c) is defined by

- 5 i. CDRs contained within the variable heavy chain that comprises the region from aa 20 to 136 of sequence (SEQ ID NO:1) and by
- ii. CDRs contained within the variable light chain that comprises the region from aa 23 to 129 of sequence (SEQ ID NO:2)
- iii. frameworks supporting said CDRs that are from a human  
10 antibody,
- iv. constant heavy and light chains that are from a human antibody.

Humanized forms of non-human (e.g. murine) antibodies are chimeric immunoglobulins, immunoglobulin chains or fragments thereof (such as Fv, Fab, Fab', F(ab')<sub>2</sub> or other antigen-binding subsequences of antibodies) which contain  
15 minimal sequence derived from non-human immunoglobulin. Humanized antibodies include human immunoglobulins (from the recipient antibody) in which residues from a complementarity determining region (CDR) of the recipient antibody are replaced by residues from a CDR of a non-human species (donor antibody) such as mouse, rat or rabbit having the desired specificity, affinity and  
20 capacity. In some instances, Fv framework residues of the human immunoglobulin are replaced by corresponding non-human residues.

In the humanized antibody defined in c), the complementarity determining regions (CDRs) of MFP5 have been grafted into the respective genes of human immunoglobulin heavy and light chains.

25 "Complementarity determining regions" (CDRs) of a monoclonal antibody are understood to be those amino acid sequences involved in specific antigen binding according Kabat et al. (1991), in connection with Chothia and Lesk (1987). From

the sequences of the variable regions as contained in SEQ ID NO:1 and SEQ ID NO:2, the CDR sequence can be routinely determined by searching the Kabat sequence database for sequence features.

Appropriate framework residues of the CDR-grafted antibody may be reverted to murine residues to improve binding affinity. As described above, from methods  
5 pertinent to the art, the expert knows how to obtain the CDRs of MFP5, to choose and obtain appropriate human immunoglobulin genes, to graft the CDRs into these genes, to modify selected framework residues, to express the CDR-grafted antibody in appropriate host cells, e.g. Chinese hamster ovary (CHO) cells, and to  
10 test the resulting recombinant antibodies for binding affinity and specificity (see e.g. literature references above).

To obtain a humanized antibody, the antigen binding sites, which are formed by the CDRs of the heavy chain and CDRs of the light chain, are excised from the DNA of cells secreting the rodent (murine) monoclonal antibody and grafted into the  
15 DNA coding for the framework of the human antibody. Since only the antigen-binding site CDRs, rather than the entire variable domain of the rodent antibody, e.g. mouse antibody, are transplanted, the resulting humanized antibody (“second generation” antibody) is less immunogenic than a chimeric antibody.

In the experiments of the invention, two versions of a humanized antibody were  
20 obtained by CDR grafting. These antibodies show an affinity to FAP with  $K_D$  of 30-40 nM, as determined by Surface Plasmon Resonance analysis.

Alternatively to CDR grafting, MFP5 can be humanized by the so-called “resurfacing” technology, whereby the murine frameworks are left unchanged with the exception of surface-exposed residues, as described in US 5,639,641.

25 Nucleic acid molecules coding for the light chain and the heavy chain may be synthesised chemically and enzymatically (PCR amplification) by standard methods. First, suitable oligonucleotides can be synthesized with methods known in the art (e.g. Gait, 1984), which can be used to produce a synthetic gene.

Methods to generate synthetic genes from oligonucleotides are known in the art (e.g. Stemmer et al., 1995; Ye et al., 1992; Hayden et Mandeck, 1988; Frank et al., 1987).

In yet another embodiment, the antibody is a MFP5 antibody, preferably a humanized antibody, recognizing an epitope within the FAP sequence that overlaps with the epitope recognized by MFP5. Overlapping epitopes can, for example, be determined by competitive binding. Competitive binding is determined in ELISA using plates coated with FAP protein or FAP peptides or with FAP positive cells (Cell ELISA) and measuring binding of biotinylated MFP5 antibody in presence of a competitor antibody. In the presence of a competing antibody or antibody-derived fragment, the binding of biotinylated MFP5 is reduced in the case that the antibodies recognise a shared epitope. To identify the MFP5 epitope peptide, fragments or short polypeptides or recombinant proteins derived from the FAP sequence can be synthesised or produced and the binding of MFP5 to said peptides/polypeptides measured in an ELISA assay. Peptides or protein fragments containing the epitope, or DNA molecules encoding such peptides/fragments, respectively, may be used for immunization to obtain antibodies reactive with the same epitope as MFP5.

The nucleic acid molecules encoding the antibody heavy and light chains may be cloned into an expression vector (either both chains in one vector molecule, or each chain into a separate vector molecule), which then is introduced into a host cell. Expression vectors suitable for immunoglobulin expression in prokaryotic or eukaryotic host cells and methods of introduction of vectors into host cells are well-known in the art. In general, the immunoglobulin gene therein is in functional connection with a suitable promoter, like for example a human cytomegalovirus (CMV) promoter, hamster ubiquitin promoter (WO 97/15664), or a simian virus SV40 promoter located upstream of the Ig gene. For termination of transcription, a suitable termination/polyadenylation site like that of the bovine growth hormone or SV40 may be employed. Furthermore, an enhancer sequence may be included, like the CMV or SV40 enhancer. Usually, the expression vector furthermore contains

selection marker genes like the dihydrofolate reductase (DHFR), glutamine synthetase, adenosine deaminase, adenylate deaminase genes, or the neomycin, bleomycin, or puromycin resistance genes. A variety of expression vectors are commercially available from companies such as Stratagene, La Jolla, CA; 5 Invitrogen, Carlsbad, CA; Promega, Madison, WI or BD Biosciences Clontech, Palo Alto, CA. For example, expression vectors pAD-CMV1 (NCBI GenBank Accession No. A32111) or pAD-CMV19 (NCBI GenBank Accession No. A32110) may be used for expression. The host cell preferably is a mammalian host cell, e.g. a COS, CHO, or BHK cell, more preferably a chinese hamster ovary (CHO) cell, 10 e.g. a CHO-DUKX (Urlaub and Chasin, 1980), CHO-DG44 (Urlaub et al., 1983), or CHO-K1 (ATCC CCL-61) cell. The host cell then is cultured in a suitable culture medium under conditions where the antibody is produced, and the antibody is then isolated from the culture according to standard procedures. Procedures for production of antibodies from recombinant DNA in host cells and respective 15 expression vectors are well-known in the art (see e.g. WO 94/11523, WO 97/9351, EP 0 481 790, EP 0 669 986).

An example for a humanized anti-FAP antibody is BIBH1, which was used in some comparative experiments of the invention. It contains an amino acid sequence (variable region of the light chain) as in SEQ ID NO:2 of WO 99/57151, further 20 contains an amino acid sequence (variable region of the heavy chain) as set forth in SEQ ID NO:12 of WO 99/57151 and further contains an amino acid sequence (constant region of the light chain) as set forth in SEQ ID NO:20 of WO 99/57151 and an amino acid sequence (constant region of the heavy chain) as set forth in SEQ ID NO:22 of WO 99/57151.

25 In a further aspect, the MFP5 antibody molecule is an MFP5 antibody fragment. To obtain antibody fragments, e.g. Fab fragments, digestion can be accomplished by means of routine techniques, e.g. using papain. Examples of papain digestion are described in WO 94/29348 and US 4,342,566. Papain digestion of antibodies typically produces two identical antigen binding fragments, so-called Fab 30 fragments, each with a single antigen binding site, and a residual Fc fragment.

Pepsin treatment yields an F(ab')<sub>2</sub> fragment that has two antigen combining sites and is still capable of cross-linking the antigen.

The Fab fragments obtained by digestion of the antibody also contain the constant domains of the light chain and the first constant domain (CH<sub>1</sub>) of the heavy chain.

5 Fab' fragments differ from Fab fragments in that they contain additional residues at the carboxy terminus of the heavy chain CH<sub>1</sub> domain including one or more cysteines from the antibody hinge region. Fab'-SH is the designation herein for Fab' in which the cysteine residue(s) of the constant domains bear a free thiol group. F(ab')<sub>2</sub> antibody fragments originally were produced as pairs of Fab' fragments  
10 which have hinge cysteines between them. Antibody fragments can also be generated by molecular biology methods producing the respective coding DNA fragments.

The MFP5 antibody molecules may contain all or only a portion of the constant  
15 region as long as they exhibit specific binding to the relevant portion of the antigen. The choice of the type and length of the constant region depends on whether effector functions like complement fixation or antibody dependent cellular toxicity are desired, and on the desired pharmacological properties of the antibody protein. The antibody molecule will typically be a tetramer consisting of two light  
20 chain/heavy chain pairs, but may also be dimeric, i.e. consisting of a light chain/heavy chain pair, e.g. a Fab or Fv fragment, or it may be a monomeric single chain antibody (scFv; Johnson and Bird, 1991).

In another embodiment, the MFP5 antibody molecule may be a so-called “antibody-like molecule” (which is considered a derivative of an MFP5 antibody),  
25 which is a polypeptide containing short sequences or fragments of immunoglobulins. In particular, these are polypeptides containing one or more antigen binding regions that are identical or similar to a complementarity determining region (CDR) of an immunoglobulin. Such molecules can also be minibodies or single domain antibodies, e.g. so-called „nanobodies”, which are  
30 micro-scaffolds comprising a CDR2 or CDR3 polypeptide sequence interconnecting fragments of the adjacent framework polypeptide sequences, which

are arranged to form two anti-parallel-strands (described e.g. in WO 03/050531 and by Revets et al., 2005). Other examples for antibody-like molecules (or MFP5 antibody derivatives) are immunoglobulin super family antibodies (IgSF; Srinivasan 2005), camelized antibodies or other CDR containing or CDR grafted molecules or “Domain Antibody” (dAb). dABs are functional binding units of antibodies, corresponding to the variable regions of either the heavy (VH) or light (VL) chains of human antibodies. Domain Antibodies have a molecular weight of approximately 13 kDa, or less than one-tenth the size of a full antibody. A series of large and highly functional libraries of fully human VH and VL dABs has been developed. dABs are also available for “dual targeting”, i.e. dABs that bind, in addition to FAP, to a second target in one molecule. dAb libraries, selection and screening methods, dAb formats for dual targeting and for conferring extended serum half life are described in e.g. US Patent 6,696,245, WO 04/058821, WO 04/003019 and WO 03/002609.

15

In general antibody fragments and derivatives (antibody-like molecules) are well expressed in bacterial, yeast, and mammalian cell systems.

Alternatively, the MFP5 antibody-like molecule may be a so-called “SMIP” (“Small Modular Immunopharmaceutical”). This molecule employs a single polypeptide chain as its binding domain Fv, which is linked to single-chain hinge and effector domains devoid of the constant domain CH1 (WO 02/056910). The molecules can be prepared as monomers or dimers, but they do not assume the dimer-of-dimers structure of traditional antibodies.

25

In a further aspect, the invention relates to an immunoconjugate of formula

$A(LB)_n$  (Formula (I))

wherein

- 5 A is an MFP5 antibody molecule, as defined above;  
L is a linker moiety;  
B a cytotoxic agent; and  
n is a decimal number with  $n = 1$  to 10.

10 In the following, immunoconjugates containing an MFP5 antibody (molecule) are designated "MFP5 (immuno)conjugates".

Immunoconjugates containing the murine monoclonal antibody MFP5 have been shown to be effective in destroying various tumours associated with activated stromal fibroblasts; MFP5 conjugates are therefore useful for the therapy of such tumours. Alternatively, instead of using an MFP5 immunoconjugate, the MFP5  
15 antibody (molecule) may be used as such, i.e. in non-conjugated form.

Compound B, which is toxic to cells, is a cytotoxic agent.

In accordance with the invention, the above-defined MFP5 antibody molecule is chemically coupled to any suitable cytotoxic agent, particularly a cytotoxic agent that induces cytotoxicity (e.g. apoptosis or mitotic arrest) of tumor cells, to form an  
20 immunoconjugate of the invention. As a result of normal pharmacologic clearance mechanisms, an antibody employed in a drug conjugate contacts and binds to target cells only in limited amounts. Therefore, the cytotoxic agent employed in the conjugate must be highly cytotoxic such that sufficient cell killing occurs to elicit a therapeutic effect. As described in US 2004/0241174, examples of such cytotoxic  
25 agents include taxanes (see, e.g. WO 01/38318 and WO 03/097625), DNA-alkylating agents (e.g., CC-1065 analogs), anthracyclines, tubulysin analogs, duocarmycin analogs, doxorubicin, auristatin E, and cytotoxic agents comprising a reactive polyethylene glycol moiety (see, e.g., Sasse et al. 2000; Suzawa et al., 2000; Ichimura et al., 1991; Francisco et al., 2003; US 5,475,092, US 6,340,701,

US 6,372,738, and US 6,436,931, US 2001/0036923, US 2004/0001838, US 2003/0199519 and WO 01/49698).

In a preferred embodiment, the cytotoxic agent is a maytansinoid, i.e. a derivative of maytansine (CAS 35846538).

5 As described in US 2004/02241174, maytansinoids are known in the art to include maytansine, maytansinol, C-3 esters of maytansinol, and other maytansinol analogues and derivatives (see, e.g., US 5,208,020 and US 6,441,163). C-3 esters of maytansinol can be naturally occurring or synthetically derived. Moreover, both naturally occurring and synthetic C-3 maytansinol esters can be classified as a C-3  
10 ester with simple carboxylic acids, or a C-3 ester with derivatives of N-methyl-L-alanine, the latter being more cytotoxic than the former. Synthetic maytansinoid analogues also are known in the art and described in, for example, Kupchan et al., (1978). Methods for generating maytansinol and analogues and derivatives thereof are described in, for example, US 4,151,042.

15

Suitable maytansinoids for use in the immunoconjugates of the invention can be isolated from natural sources, synthetically produced, or semi-synthetically produced using methods known in the art. Moreover, the maytansinoid can be modified in any suitable manner, so long as sufficient cytotoxicity is preserved in  
20 the ultimate conjugate molecule. In this regard, maytansinoids lack suitable functional groups to which antibodies can be linked. A linking moiety desirably is utilized to link the maytansinoid to the antibody to form the conjugate. The linking moiety contains a chemical bond that allows for the activation of maytansinoid cytotoxicity at a particular site. Suitable chemical bonds are well known in the art  
25 and include disulfide bonds, acid labile bonds, photolabile bonds, peptidase labile bonds, thioether bonds formed between sulfhydryl and maleimide groups, and esterase labile bonds. In a preferred embodiment, the linking moiety comprises a disulfide bond or a thioether bond. In accordance with the invention, the linking moiety preferably comprises a reactive chemical group. Particularly preferred  
30 reactive chemical groups are N-succinimidyl esters and N-sulfosuccinimidyl esters.

In a preferred embodiment, the reactive chemical group can be covalently bound to the maytansinoid via disulfide bonding between thiol groups. Thus, a maytansinoid modified as described herein preferably comprises a thiol group. One of ordinary skill in the art will appreciate that a thiol group contains a sulfur atom bonded to a hydrogen atom and is typically also referred to in the art as a sulfhydryl group, which can be denoted as "--SH" or "RSH."

Particularly preferred maytansinoids comprising a linking moiety that contains a reactive chemical group are C-3 esters of maytansinol and its analogs where the linking moiety contains a disulfide bond and the chemical reactive group comprises a N-succinimidyl or N-sulfosuccinimidyl ester. Many positions on maytansinoids can serve as the position to chemically link the linking moiety. For example, the C-3 position having a hydroxyl group, the C-14 position modified with hydroxymethyl, the C-15 position modified with hydroxy and the C-20 position having a hydroxy group are all useful. The linking moiety most preferably is linked to the C-3 position of maytansinol. Most preferably, the maytansinoid used in connection with the immunoconjugate of the invention is N<sup>2'</sup>-deacetyl-N<sup>2'</sup>-(3-mercapto-1-oxopropyl)-maytansine (DM1) or N<sup>2'</sup>-deacetyl-N<sup>2'</sup>-(4-mercapto-4-methyl-1-oxopentyl)-maytansine (DM4).

Linking moieties with other chemical bonds also can be used in the context of the invention, as can other maytansinoids. Specific examples of other chemical bonds include acid labile bonds, thioether bonds, photolabile bonds, peptidase labile bonds and esterase labile bonds. Methods for producing maytansinoids with linking moieties are described in, for example, US 5,208,020, US 5,416,064, and US 6,333,410.

The linking moiety of a maytansinoid typically and preferably is part of a larger linker molecule that is used to join the antibody to the maytansinoid. Any suitable linker molecule can be used in connection with the invention, as long as the linker molecule provides for retention of the cytotoxicity and targeting characteristics of

the maytansinoid and the antibody, respectively. The linker molecule joins the maytansinoid to the antibody through chemical bonds (as described above), such that the maytansinoid and the antibody are chemically coupled (e.g. covalently bonded) to each other. Desirably, the linker molecule chemically couples the  
5 maytansinoid to the antibody through disulfide bonds or thioether bonds. Most preferably, the antibody is chemically coupled to the maytansinoid via disulfide bonds.

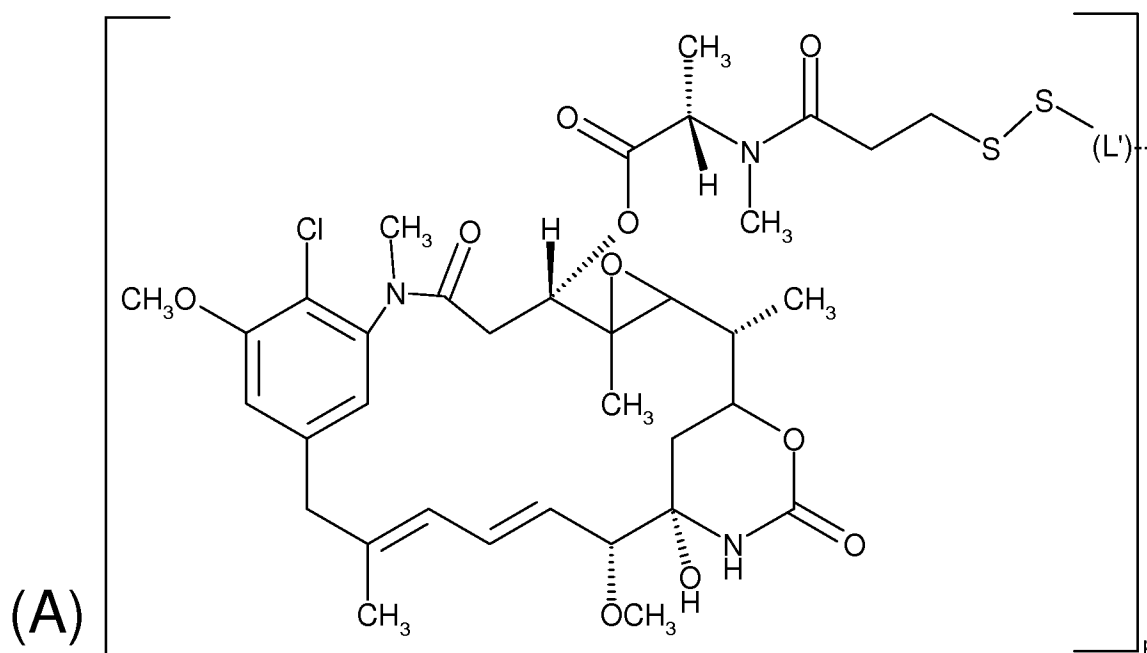
Particularly preferred linker molecules include, for example, N-succinimidyl 3-(2-pyridyldithio)propionate (SPDP) (see, e.g., Carlsson et al., (1978)), N-succinimidyl  
10 4-(2-pyridyldithio)butanoate (SPDB) (see, e.g., US 4,563,304), N-succinimidyl 4-(2-pyridyldithio)pentanoate (SPP) (see, e.g., CAS Registry number 341498-08-6), N-succinimidyl 4-(N-maleimidomethyl)cyclohexane-1-carboxylate (SMCC) (see, e.g., Yoshitake et al., *Eur. J. Biochem.*, 101, 395-399 (1979)), and N-succinimidyl  
15 4-methyl-4-[2-(5-nitro-pyridyl)-dithio]pentanoate (SMNP) (see, e.g., US 4,563,304). Preferred linker molecules for use in the conjugates of the invention are SPP, SMCC, and SPDB.

The choice of linker depends on the therapeutic situation – while administration of  
20 the conjugates with cleavable linkers has the benefits, in particular at lower dosages, of the so-called “bystander effect”, i.e. the cytolytic effect of the toxin on surrounding cells that are not directly targeted by the antibody part of the immunoconjugates, the linker system containing an uncleavable linker (e.g. the thioester linker SMCC) offers the possibility of an antibody drug conjugate with  
25 lower toxicity and a larger therapeutic window.

By way of example, the MFP5 antibody maytansinoid conjugate of the invention may be prepared from a maytansinoid of formula



In an embodiment, the immunoconjugate of the invention has the formula



(Formula III)

5

wherein

A is an MFP5 antibody molecule; as defined above,

(L') is an optional linker moiety;

p is a decimal number with  $p = 1$  to  $10$ .

Preferably, p is 2 to 4, more preferably about 2.5 to 3.5.

10

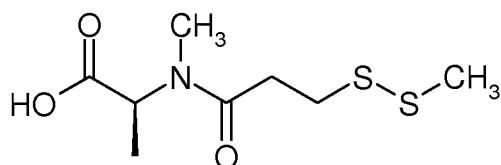
As mentioned above, methods for preparing such maytansinoids are known in the art (see in particular US 5,208,020, Example 1); they have also been described in WO 02/094325 for generating an anti-CD44 antibody maytansinoid conjugate.

Conveniently, in a first step the maytansinoid C-3 ester ansamitocin P3 may be produced by bacterial fermentation of microorganisms belonging to the genus

15

*Nocardia* or *Actinosynnema*, e.g. ATCC 31565, ATCC 31281 (US 4,356,265;

US 4,450,234; WO 01/77360). Ansamitocin P3 may be extracted from the culture using organic solvents like ethyl acetate or toluene, and further purified by adsorption chromatography using e.g. silica gel. It may then be reduced to maytansinol using  $\text{LiAlH}_4$  (US 4,360,462) or, as suggested more recently,  $\text{LiAl}(\text{OMe})_3\text{H}$  or other  $\text{LiAl}$  or  $\text{NaAl}$  hydrides (WO 02/16368). The maytansinol may then be esterified at the C-3 position with N-methyl-L-alanine or N-methyl-L-cysteine derivatives to yield a disulfide-containing maytansinoid (US 5,208,020; US 5,416,064; US 6,333,410), for example using dicyclohexylcarbodiimide(DCC) and catalytic amounts of zinc chloride (US 4,137,230; US 4,260,609). In a preferred embodiment, the maytansinol is esterified with the compound N-methyl-N-(3-methyldithiopropanoyl)-L-alanine of formula



to yield the maytansinoid of Formula (II) with with  $\text{R}_1 = \text{SR}_4$ ,  $\text{R}_4 = \text{CH}_3$ ,  $\text{R}_2 = \text{Cl}$ ,  $\text{R}_3 = \text{CH}_3$ , and  $m = 2$ .

The free thiol group may then be released by cleavage of the disulfide bond with dithiothreitol (DTT), to yield e.g. DM1.

The immunoconjugate of the invention can be formed using any method, e.g. using the methods described for generating the anti-CD44 maytansinoid conjugates (WO 02/094325). Upon intracellular cleavage, the free maytansinoid is released from the conjugate  $\text{A}(\text{LB})_n$ . The free drug released from the immunoconjugate of the invention  $\text{A}(\text{LB})_n$  may have the formula B-X, wherein X is an atom or a chemical group, depending on the nature of the cleaving reaction. Preferably, X is a hydrogen atom, as for example when the linker moiety is just a covalent bond between two sulfur atoms, or a hydroxyl group. The cleavage site may also be

within the linker moiety if the linker moiety is a chemical group, generating free drug of formula B-L'-X upon cleavage, wherein X is an atom or a chemical group, depending on the nature of the cleaving reaction. Preferably, X is a hydrogen atom or a hydroxyl group.

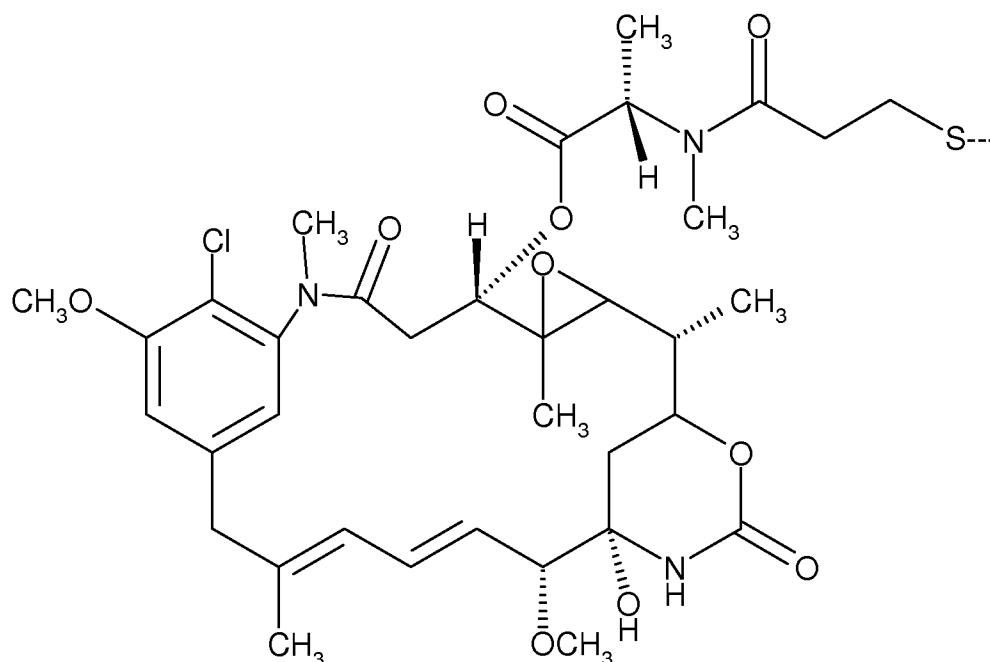
- 5 In a preferred embodiment, the immunoconjugate of formula (I) is less toxic than the toxic compound B, B-X or B-L'-X released upon intracellular cleavage. Methods of testing cytotoxicity in vitro are known in the art (Goldmacher et al., 1985; Goldmacher et al., 1986; see also US 5,208,020, Example 2). Preferably, the immunoconjugate (I) is 10 times or more, more preferably 100 times or more, or  
10 even 1000 times or more less toxic than the free drug released upon cleavage.

Preferably, the MFP5 antibody molecule maytansinoid conjugates are those that are joined via a disulfide bond, as discussed above, that are capable of deliver maytansinoid molecules. Such cell binding conjugates are prepared by known methods such as modifying monoclonal antibodies with succinimidyl pyridyl-  
15 dithiopropionate (SPDP) or pentanoate (SPP) (Carlsson et al, 1978). The resulting thiopyridyl group is then displaced by treatment with thiol-containing maytansinoids to produce disulfide linked conjugates. Alternatively, in the case of the aryl dithiomaytansinoids, the formation of the antibody conjugate is effected by direct displacement of the aryl-thiol of the maytansinoid by sulfhydryl groups  
20 previously introduced into antibody molecules. Conjugates containing 1 to 10 maytansinoid drugs linked via a disulfide bridge are readily prepared by either method. In this context, it is understood that the decimal number n in the formula A(LB)<sub>n</sub> is an average number as not all conjugate molecules of a given preparation may have the identical integer of LB residues attached to the antibody molecule.

25

The maytansinoid is preferably linked to the MFP5 antibody by a disulfide moiety and has the formula

Formula (IV)



wherein the link to the antibody is through the sulfur atom shown in formula IV to  
 a second sulfur atom present in the antibody molecule. To create such a sulfur atom  
 5 available for bonding, an antibody molecule may be modified by introduction of a  
 suitable linker as outlined above. Preferably, the maytansinoid is linked to the  
 antibody molecule through a  $-S-CH_2CH_2-CO-$ , a  $-S-CH_2CH_2CH_2CH_2-CO-$ , or  
 a  $-S-CH(CH_3)CH_2CH_2-CO-$  group. The sulfur atom in such a linker group forms  
 the disulfide bond with the maytansinoid, while the carbonyl function may be  
 10 bonded to an amino function present on the side chain of an amino acid residue of  
 the antibody molecule.

That way, one or more maytansinoid residues may be linked to an antibody  
 molecule. Preferably, 2 to 4 maytansinoid residues are linked to an antibody  
 molecule.

In a further embodiment, the present invention relates to a method for producing an immunoconjugate of formula (I) comprising the steps:

- (a) introducing free or protected thiol groups into an MFP5 antibody molecule;
- (b) reacting the antibody molecule of step (a) with maytansinoid, and
- 5 (c) recovering the resulting MFP5 immunoconjugate.

In a further embodiment, the present invention relates to a pharmaceutical composition comprising an MFP5 antibody molecule of the invention or an immunoconjugate of the invention of formula (I), preferably together with a pharmaceutically acceptable carrier, excipient, or diluent.

- 10 Suitable pharmaceutically acceptable carriers, diluents, and excipients are well known and can be determined by those of skill in the art as the clinical situation warrants. Examples of suitable carriers, diluents and/or excipients include:
- (1) Dulbecco's phosphate buffered saline, pH about 7.4, containing about 1 mg/ml to 25 mg/ml human serum albumin, (2) 0.9% saline (0.9% w/v NaCl), and
  - 15 (3) 5% (w/v) dextrose. An example for a pharmaceutical composition useful for the immunoconjugate of the invention is described in US 2004/0241174.

The pharmaceutical compositions of the invention may be used for all kinds of clinical or non-clinical applications wherein a maytansinoid is to be targeted to cells expressing FAP, in particular to human tumour cells or human stromal

20 fibroblasts.

The pharmaceutical compositions containing an antibody molecule and/or immunoconjugate of the invention and a pharmaceutically acceptable carrier are useful for treating tumour diseases that are associated with activated stromal fibroblasts and/or tumours that express FAP. In particular, the pharmaceutical

25 composition of the invention is useful for the treatment of tumour diseases selected from the group consisting of colorectal cancers, non-small cell lung cancers, breast cancers, head and neck cancer, ovarian cancers, lung cancers, invasive bladder

cancers, pancreatic cancers and metastatic cancers of the brain, head and neck squamous cell carcinoma (SCC), esophagus SCC, lung SCC, skin SCC, melanoma, breast adenocarcinoma (AC), lung AC, cervix SCC, pancreas AC, colon AC, or stomach AC, thyroid cancers, prostate cancer, osteosarcoma (OS) or soft tissue sarcoma. In addition benign tumours expressing FAP, e.g. desmoid tumours can be treated with the immunoconjugate of the invention.

In a further embodiment, the present invention relates to a method of treatment of cancer comprising applying a pharmaceutical composition as described before to a patient. In particular, this aspect of the invention relates to a method of treatment of cancer in a patient in need thereof, comprising administering to the patient a therapeutically effective amount of an immunoconjugate as described above, or a pharmaceutical composition as described above, for the cancer indications defined above.

For clinical treatment of cancer, the immunoconjugate of formula (I) according to the invention can be supplied in the form of a solution that is tested for sterility and for endotoxin levels. Examples of suitable protocols of immunoconjugate administration are as follows: Conjugates may be given weekly for 1 to 6 weeks either as an i.v. bolus, or as a continuous infusion for 5 days. Bolus doses can be given in 50 to 100 ml of normal saline to which 5 to 10 ml of human serum albumin has been added. Continuous infusions can be given in 250 to 500 ml of normal saline, to which 25 to 50 ml of human serum albumin has been added, per 24 hour period. Dosages will generally be 10 mg to 400 mg/m<sup>2</sup> of body surface area per application. The dose applied to the patient per administration has to be high enough to be effective, but must be below the dose limiting toxicity (DLT). In general, a sufficiently well tolerated dose below DLT will be considered maximum tolerated dose (MTD). The expert knows how to determine the MTD (Lambert et al., 1998). For weekly administrations, the MTD can be expected to be in the range of 100 to 200 mg/m<sup>2</sup>. Alternatively, intervals between applications may be longer, e.g. two to four weeks, preferably three weeks. In this case, the MTD can be expected to be in the range of 200 to 300 mg/m<sup>2</sup>. Alternatively, application may be

in 5 daily doses, followed by a break of several weeks after which treatment may be repeated. In this case, the MTD per administration can be expected to be lower than  $100 \text{ mg/m}^2$ . For example, conjugates can be administered as a single i.v. infusion with a rate of 3 mg/min every 21 days. Up to 7 cycles of treatment were applied. It is to be understood that the applied doses may well be out of the ranges given above if the clinical situation requires. For example, if the MTD is found to be higher than indicated, single administration may be at a higher dose than  $400 \text{ mg/m}^2$ , or weekly may be at more than  $200 \text{ mg/m}^2$ . The amount of applied conjugate also depends on the type of linker; for cleavable linkers, as mentioned above, the higher bystander effect achieved by the released toxin may allow for lower dosages than when using a non-cleavable conjugate.

Dose, route of administration, application scheme, repetition and duration of treatment will in general depend on the nature of the disease (type, grade, and stage of the tumour etc.) and the patient (constitution, age, gender etc.), and will be determined by the medical expert responsible for the treatment. Besides treatment of solid tumours, therapeutic application according to the invention may be advantageous as an adjuvant to surgical intervention, to treat minimal residual disease.

The antibodies and immunoconjugates of the invention may also be used for the therapy of diseases in which expression of FAP is causally involved, e.g. for the the therapy of rheumatoid arthritis.

In a further embodiment, the invention relates to the use of an antibody of the invention and/or an immunoconjugate of formula (I) for the preparation of a pharmaceutical composition for the treatment of cancer and rheumatoid arthritis.

25

## Brief description of the Figures:

- 5 Figure 1: Comparison of binding affinity of anti-FAP antibodies and their DM1 conjugates in Cell ELISA on FAP-positive fibrosarcoma cells.
- Figure 2: Efficacy of MFP5-DM1 treatment in nude mice xenografted with human pancreatic tumours.
- Figure 3: Efficacy of MFP5-DM1 treatment in nude mice xenografted with human lung tumours.
- 10 Figure 4: Efficacy of MFP5-DM1 treatment in nude mice xenografted with human head and neck tumours.
- Figure 5A: Comparison of efficacy MFP5 maytansinoid conjugates with 3 different linkers in treatment of nude mice xenografted with human pancreatic cancer.
- 15 Figure 5B: Comparison of efficacy MFP5 maytansinoid conjugates with 3 different linkers in treatment of nude mice xenografted with human lung cancer.
- Figure 5C: Comparison of efficacy MFP5 maytansinoid conjugates with three different linkers in treatment of nude mice xenografted with human head and neck tumours.
- 20 Figure 6: Expression of FAP in thyroid cancers

## Example 1

### Generating of anti-FAP antibody maytansinoid immunoconjugates

#### 1.1. Generation of antibodies

##### 1.1.1. Anti-FAP antibody BIBH1:

- 5 The humanized antibody BIBH1 (also designated sibrotuzumab), derived from the monoclonal antibody F19, is obtained as described in WO 99/57151. This antibody reacts with human FAP, but does not react with mouse FAP.

##### 1.1.2. Anti-FAP monoclonal antibody MFP5:

- The hybridoma cell line MFP5 secreting the murine monoclonal MFP5 is  
10 generated as described in WO 95/33771 for VFF18, except that FAP  $-/-$  knock out mice (Niedermeyer et al. 2000) strain C57BL/6 are used for immunisation due to the high homology of murine and human FAP. The antigen used for immunization is a CD8-murine FAP fusion protein (SEQ ID NO: 5; Niedermeyer 1998). Cross-reactivity of the secreted antibody MFP5 to human FAP  
15 is verified by using a cell ELISA assay (see Example 2) on the recombinant human fibrosarcoma cell line HT1080 (HT1080; ATCC CCL 121), clone v1.33, expressing human FAP (obtained by transfecting HT1080 cells with cDNA encoding human FAP. The results are shown in Example 2, Figure 1.

- The antibody is purified from cell culture supernatant on a protein A sepharose  
20 column.

##### 1.1.3. Chimeric antibody cMFP5:

- mRNA is extracted from the hybridoma cell line MFP5 which secretes the antibody MFP5. In subsequent RT-PCR reactions, the variable light chain DNA and variable heavy chain DNA is amplified using specific primers homologous to the known  
25 leader sequences (forward primers) and to the constant region (backward primers) of murine heavy and light chain immunoglobulins (Jones and Bendig, 1991). The

variable chain sequences are then cloned accordingly into a mammalian expression vector containing the human kappa light chain and the human IgG1 heavy chain constant region sequences. The chimeric MFP5 antibody is then expressed transiently in HEK 293 cells and purified on a protein A sepharose column.

5 1.2. Generation of antibody-DM1 conjugates:

The murine monoclonal antibody MFP5 and the humanised recombinant antibody BIBH1 are linked to the maytansinoid DM1 as described by Chari et al., 1992; Liu et al., 1996; US 5,208,020. The conjugates are designated BIBH1-DM1 and MFP5-DM1, respectively. (In the following Examples, if not otherwise indicated,  
10 the designation “MFP5-DM1” stands for MFP5-SPP-DM1, i.e. the disulfide-linked immunoconjugate.

Fractions of antibody DM1 conjugate samples are assayed for the number of DM1 molecules linked per antibody molecule. (Linked DM1 molecules are determined by measuring the absorbance at both 252 nm and 280 nm). DM1/MAb ratio in the  
15 pooled solution is found to be 2.6 – 3.25 and the yield of conjugated antibody is at least 50 % based on starting antibody.

Example 2

Analysis of in vitro binding of BIBH1-DM1 and MFP5-DM1 compared to the  
20 binding of the parental antibodies

The binding of BIBH1 and MFP5 antibodies and the respective antibody conjugates BIBH1-DM1 and MFP5-DM1 to antigen positive and negative HT1080 cells is determined in a cell-ELISA assay. Binding to human FAP is monitored on the recombinant HT1080 v1.33 cell line and binding to murine FAP on the  
25 recombinant cell line HT1080 clone 13.6. For generation of HT1080 13.6, murine FAP cDNA is cloned (Niedermeyer et al. 1998) and ligated into the expression plasmid pZeoSV2(+) (Invitrogen). Stable clones are generated as described for

HT1080 v1.33 and clones positive for murine FAP are screened with the murine FAP specific monoclonal antibody 3D11 (Niedermeyer et al., 2000). For the cell ELISA 100  $\mu$ l of cell suspensions at various cell densities are seeded per well of a 96-well microtiter plate and the cells are incubated overnight in an incubator (37°C, 5% CO<sub>2</sub>). On the next day, cells are fixed with 100 % ethanol. Dilutions of the antibodies BIBH1 and MFP5 and of the conjugates BIBH1-DM1 and MFP5-DM1 are added in duplicates and incubated for two hours. After washing three times with PBS/Tween, diluted rabbit anti-human-IgG-peroxidase conjugate is added for detection of the BIBH1 antibodies and for detection of the MFP5 antibodies a diluted goat anti-mouse-Ig-peroxidase conjugate is added. After incubation for two hours at RT plates are washed again three times with PBS/Tween and bound anti-FAP-antibodies detected by addition of TMB staining solution. As shown in Figure 1, the conjugation with DM1 does not alter the binding affinity of the antibodies BIBH1 and MFP5. Furthermore, Figure 1 shows that MFP5, but not BIBH1, binds to both human and murine FAP:

A: Binding to HT1080 v1.33 expressing human FAP. The binding affinity of the antibodies is retained after conjugation to DM1.

B: Binding to HT1080 13.6 expressing murine FAP. Only the murine specific antibody MFP5 binds.

C: Negative control. The parental cell line HT1080 shows no endogenous FAP expression.

### Example 3

In vitro cytotoxicity assay

100  $\mu$ l of cell suspensions of HT1080 and the FAP positive clone HT1080 v1.33 at various cell densities are seeded per well of a 96-well microtiter plate and the cells are incubated overnight in an incubator (37°C, 5% CO<sub>2</sub>). On the next day dilutions

of the cytotoxic conjugate MFP5-DM1 are added in duplicates and the cells are incubated for further three days. Remaining cells are stained by MTS and the signal read in a microtiter plate spectrophotometer. The concentration resulting in 50 % cytotoxic effect (EC50) is calculated using the graphing software GraphPad Prism (GraphPad Software, Inc., San Diego, CA, USA) using a sigmoidal curve fit.

MFP5-DM1 is highly effective in killing the antigen-positive cell line HT1080 v1.33, with EC50 values of about 0.04 nM. The antigen-negative cell line HT1080 is effected by the conjugate only at much higher concentrations with an EC50 of about 59 nM.

10

#### Example 4

*In vivo* anti-tumour efficacy of MFP5-DM1 immunoconjugate against human kidney tumour xenografts expressing human FAP

*In vivo* anti-tumour efficacy of MFP5-DM1 is tested in a nude mouse model applying the recombinant human kidney tumour HEK293 FAP (human embryonic kidney cells, ATCC, Cat. No. CRL-1573, transfected with human FAP cDNA as described by Park et al., 1999). The cells are cultured in RPMI 1640 medium containing 10% fetal calf serum and supplements.  $1 \times 10^7$  tumour cells (100  $\mu$ l) are added to 100  $\mu$ l matrigel and transplanted subcutaneously into the right flank of 6 week old female NMRI-nu/nu mice. Treatment starts when the tumours reach an average size of 291  $\text{mm}^3$ . Treatment consists of i.v. injections of MFP5-DM1 at a dose level of 300  $\mu$ g DM1/kg given daily on five consecutive days (one cycle). PBS-treated animals serve as tumour growth control. Tumour growth is monitored by measuring tumour size. A tumour response is rated as complete response when the tumour completely disappeared at any time after start of treatment.

The average tumour volume of both groups during the observation period is measured. In the group treated with MFP5-DM1, the T/C (median tumor volume of treated animals divided by the median tumor volume of control animals) on day 42

is markedly decreased; a number of animals shows complete tumour regression. The obtained results show that the MFP5-DM1 displays excellent anti-tumour activity against human tumours expressing FAP.

5 Example 5

*In vivo* anti-tumour efficacy of MFP5-DM1 immunoconjugate against human sarcoma tumour xenografts expressing FAP

A. *In vivo* anti-tumour efficacy of MFP5-DM1 immunoconjugate against HT1080 human fibrosarcoma tumours expressing human FAP

10 *In vivo* anti-tumour efficacy of MFP5-DM1 is tested in a nude mouse xenograft model applying the FAP-expressing human tumour cell line HT1080 v1.33 (human fibrosarcoma). The cells are cultured in RPMI 1640 medium containing 10% fetal calf serum and supplements.  $5 \times 10^6$  tumour cells are transplanted subcutaneously into the right flank of 6 week old female NMRI-nu/nu mice. Treatment starts when  
15 the tumours reach an average size of 29 to 38 mm<sup>3</sup>. Treatment consists of i.v. injections of MFP5-DM1 given daily on 5 consecutive days. 2 different doses of MFP5-DM1 are tested in parallel in groups of 6 mice: 30 µg DM1/kg and 300 µg DM1/kg. PBS-treated animals serve as tumour growth control. Tumour growth is monitored by measuring tumour size.

20 A tumour response is rated as complete response when the tumour completely disappeared at any time after start of treatment.

The obtained results show that MFP5-DM1 induces excellent anti-tumour responses in HT1080 v1.33 xenografted nude mice.

B. *In vivo* anti-tumour efficacy of MFP5-DM1 immunoconjugate against human  
25 malignant fibrous histiocytoma expressing human FAP

*In vivo* anti-tumour efficacy of MFP5-DM1 is tested in a nude mouse xenograft model applying the antigen-positive human tumour MFSH (MFSH cell line: human

malignant fibrous histiocytoma (Takeya et al. 1995; Iwasaki et al. 1992).

The cells are cultured in RPMI 1640 medium containing 10% fetal calf serum and supplements.  $1 \times 10^7$  tumour cells are transplanted subcutaneously with matrigel into the right flank of 6 week old female NMRI-nu/nu mice. For therapy experiments  
5 tumours are maintained via passaging of tumour fragments. Treatment started when the tumours reached an average size of 116 -131 mm<sup>3</sup>. Treatment consists of i.v. injections of MFP5-DM1 given weekly for four weeks. 3 different dose levels of MFP5-DM1 are tested in parallel: 100 µg DM1/kg, 200 µg DM1/kg, and 400 µg DM1/kg. PBS treated animals serve as tumour growth control. One group is treated  
10 with unconjugated antibody MFP5 at a dose level corresponding to the antibody amount at the highest dose level of MFP5-DM1 immunoconjugate. Tumour growth is monitored by measuring tumour size.

These results show that MFP5-DM1 conjugate induces anti-tumour responses in MSFH xenografted nude mice when given once a week over a period of four  
15 weeks, with dose-dependent response. Unconjugated antibody shows no antitumour effect in this experiment.

C. *In vivo* anti-tumour efficacy of MFP5-DM1 immunoconjugate against a human osteosarcoma tumour xenograft expressing human FAP

*In vivo* anti-tumour efficacy of MFP5-DM1 is tested in a nude mouse xenograft  
20 model applying a tumour derived from a human osteosarcoma. For therapy experiments tumours are maintained via passaging of tumour fragments.

Passaged tumours are transplanted subcutaneously into the right flank of 6 week old female NMRI-nu/nu mice. Treatment starts when the tumours reach an average size of 75 – 95 mm<sup>3</sup>. Mice are randomised into three different treatment groups  
25 (three different dose levels, 8 mice per group):

Treatment consists of i.v. injections of MFP5-DM1 given daily on five consecutive days, starting at day 1. Three different dose levels of MFP5-DM1 are tested: 30 µg DM1/kg, 100 µg DM1/kg and 200 µg DM1/kg. Control animals are either

untreated (PBS) or treated with unconjugated antibody MFP5 at a dose level corresponding to the antibody amount at the highest dose level of MFP5-DM1 immunoconjugate. Tumour growth is monitored by measuring tumour size.

The obtained results show that MFP5-DM1 induces anti-tumour responses in osteosarcoma-xenografted nude mice when given daily on five consecutive days, with dose-dependent response. Unconjugated antibody shows no antitumour effect in this experiment.

#### Example 6

*In vivo* anti-tumour efficacy of MFP5-DM1 immunoconjugate against human tumour xenografts not expressing human FAP

*In vivo* anti-tumour efficacy of MFP5-DM1 is tested in nude mice inoculated with human tumour cells not expressing FAP, derived from human pancreatic cancer (Example 5A), human non-small-cell lung cancer (Example 5B) and human head and neck cancer (Example 5C). Expression of FAP on stromal fibroblasts of mouse origin is verified by standard immunohistochemistry using chimeric MFP5.

For therapy experiments tumours are maintained via passaging of tumour fragments for the human pancreatic and lung cancer.

A: Human pancreatic cancer xenograft model in nude mice:

Passaged tumours are transplanted subcutaneously into the right flank of 6 week old female NMRI-nu/nu mice. Treatment started when the tumours reached a median size of 85 – 108 mm<sup>3</sup>.

Mice are randomised into the following treatment groups (8 mice per group):

- Group 1: Control (PBS)
- Group 2: MFP5-DM1 (400 µg DM1/kg/d)
- Group 3: MFP5-DM1 (200 µg DM1/kg/d)

Group 4: MFP5-DM1 (100 µg DM1/kg/d)

Group 5: MFP5 control (28 mg/kg/d)

Treatment consists of i.v. injections of MFP5-DM1 given once a week (four consecutive weeks), starting at day 0. 3 different dose levels of MFP5-DM1 are tested: 7 mg/kg MFP5 corresponding to 100 µg DM1/kg, 14 mg/kg MFP5 corresponding to 200 µg DM1/kg and 28 mg/kg MFP5 corresponding to 400 µg DM1/kg. Control animals are either untreated (PBS) or treated with unconjugated antibody (MFP5 control antibody, 28 mg/kg). Tumour growth is monitored by measuring tumour size. The relative tumour volume of each group during the observation period is shown in Figure 2 (the relative tumour volumes per group are shown, the treatment groups are indicated; in this figure, BIA 12 designates the MFP5 conjugate, and BIA 13 designates the unconjugated antibody MFP5).

Tumours treated either with control antibody or at the low dose level of 7 mg/kg MFP5-DM1 show similar growth as untreated tumours with T/C (treatment vs. control) on day 31 of 86% for the control antibody and 71% for the low dose. In the groups treated with 14 mg/kg/d MFP5-DM1 and 28 mg/kg/d MFP5-DM1, dose-dependent efficacy is observed with T/C on day 31 of 22% for the group 3 treated with 14 mg/kg/d MFP5-DM1 and T/C of 6% on day 31 for the high dose group 4 treated with 28 mg/kg/d MFP5-DM1.

These results show that MFP5-DM1 induces excellent anti-tumour responses in mice xenografted with a human pancreatic carcinoma when given once a week over a period of four weeks, with dose-dependent response. Unconjugated antibody shows no antitumour effect in this experiment.

B: Human non-small-cell lung cancer xenograft model in nude mice

Passaged tumours are transplanted subcutaneously into the right flank of 6 week old female NMRI-nu/nu mice. Treatment started when the tumours reached a median size of 92 - 120 mm<sup>3</sup>.

Mice are randomised into the following treatment groups (8 mice per group):

- Group 1: Control (PBS)
- Group 2: MFP5-DM1 (400 µg DM1/kg)
- Group 3: MFP5-DM1 (200 µg DM1/kg)
- Group 4: MFP5-DM1 (100 µg DM1/kg)
- 5 Group 5: MFP5 (28 mg/kg)

Treatment consists of i.v. injections of MFP5-DM1 given once a week (four consecutive weeks), starting at day 0. Three different dose levels of MFP5-DM1 are tested in parallel: 7 mg/kg/d MFP5 DM1 conjugate corresponding to 100 µg DM1/kg, 14 mg/kg MFP5 DM1 conjugate corresponding to 200 µg DM1/kg and  
10 28 mg/kg MFP5-DM1 conjugate corresponding to 400 µg DM1/kg. Control animals are either untreated (PBS) or treated with unconjugated antibody (MFP5 control antibody, 28 mg/kg). Tumour growth is monitored by measuring tumour size. The relative tumour volume of each group during the observation period is shown in Figure 3 (the relative tumour volumes per group are shown, the treatment  
15 groups are indicated. In this figure, BIA 12 designates the MFP5 conjugate, and BIA 13 designates the unconjugated antibody MFP5). Tumours treated with control antibody showed similar growth as untreated tumours with T/C rates on day 21 of 107%. In the groups treated with 7, 14 or 28 mg/kg/d MFP5-DM1, dose-dependent efficacy is observed with T/C rates on day 21 of 7.6 % for group 2  
20 treated with 28 mg/kg/d MFP5-DM1, 29% for group 3 treated with 14 mg/kg/d MFP5-DM1 and 54% for group 4 treated with 7 mg/kg/d MFP5-DM1.

These results show that MFP5-DM1 induces excellent anti-tumour responses against human lung tumours in xenografted nude mice when given once a week over a period of four weeks, with dose-dependent response. Unconjugated antibody  
25 shows no antitumour effect in this experiment. Tumour sections from treated animals stained with hematoxylin show drastic reduction of tumour cells.

C: Human head and neck cancer xenograft model in nude mice:

*In vivo* anti-tumour efficacy of MFP5-DM1 is tested in a nude mouse xenograft model applying the human tumour cell line FaDu (human head and neck cancer). The cells are cultured in RPMI 1640 medium containing 10% fetal calf serum and supplements.  $1 \times 10^6$  tumours cells are transplanted subcutaneously into the right flank of 6 - 8 week old female NMRI-nu/nu mice. Treatment started when the tumours reached a median size of 72 - 78 mm<sup>3</sup>.

Mice are randomised into the following treatment groups (6 mice per group):

- Group 1: Control (PBS)
- Group 2: MFP5-DM1 (200 µg DM1/kg/d)
- 10 Group 3: MFP5-DM1 (400 µg DM1/kg/d)
- Group 4: MFP5 control (17 mg/kg/d)

Treatment consists of i.v. injections of MFP5-DM1 given once a week (four consecutive weeks), starting at day 0. 2 different dose levels of MFP5-DM1 are tested: 8.5 mg/kg MFP5 corresponding to 200 µg DM1/kg and 17 mg/kg MFP5 corresponding to 400 µg DM1/kg. Control animals are either untreated (PBS) or treated with unconjugated antibody (MFP5 control antibody, 17 mg/kg). Tumour growth is monitored by measuring tumour size. The relative tumour volume of each group during the observation period is shown in Figure 4 (the relative tumour volumes per group are shown, the treatment groups are indicated).

20 Tumours treated with control antibody show similar growth as untreated tumours. In the groups treated with 8.5 mg/kg/d MFP5-DM1 and 17 mg/kg/d MFP5-DM1, dose-dependent efficacy is observed with T/C on day 32 of 40% for the group 2 treated with 8.5 mg/kg/d MFP5-DM1 and T/C of 10 % on day 32 for the high dose group 3 treated with 17 mg/kg/d MFP5-DM1.

25 These results show that MFP5-DM1 induces excellent anti-tumour responses in mice xenografted with a human head and neck cancer when given once a week over a period of four weeks, with dose-dependent response. Unconjugated antibody shows no antitumour effect in this experiment.

## Example 7

Comparison of MFP5-maytansinoid immunoconjugates containing three different linkers in their *in vivo* anti-tumour efficacy against human tumour xenografts not  
5 expressing human FAP.

To elucidate the mechanism of action of an MFP5 maytansinoid conjugate, additional conjugates of MFP5 are generated using the same maytansinoid, DM1, but instead of being coupled by SPP (disulfide linkage), the toxin is coupled to the antibody via the “non-cleavable” thioester linker SMCC, as well as a modified  
10 maytansinoid, DM4, coupled via the cleavable disulfide-containing SPDB linker. (Recent studies have shown that an SPDB-DM4 conjugate, but not an SMCC-DM1 conjugate of the same antibody, generate metabolites that potently kill bystander cells.)

15 For the experiments in this Example, MFP5-SPDB-DM4 and MFP5-SMCC-DM1 are generated and characterized *in vitro* and *in vivo*, as described above for MFP5-DM1, the conjugate containing a disulfide bond. Whereas the SPDB linker used in MFP5-DM4, similar to the SPP linker used in MFP5-DM1, contains a cleavable disulfide bond, a non-cleavable thioether bond is present in the SMCC linker.

20 In proliferation assays, both MFP5-DM4 and MFP5-SMCC-DM1 show similarly high potency and selectivity as MFP5-DM1 ( $EC_{50} = 29 \text{ pM}$  and  $22 \text{ pM}$ , respectively, on FAP-expressing HT1080 cells;  $> 1 \text{ }\mu\text{M}$  on FAP $\alpha$ -negative parental cells).

In the pancreas adenocarcinoma model, a single dose of MFP5-DM1 or MFP5-  
25 DM4, equivalent to  $800 \text{ }\mu\text{g}$  maytansinoid/kg, respectively, results in regression of tumors, including complete regression in 3 out of 8 treated animals (Fig. 5A).

Fig. 5A shows the growth kinetics of the xenografted human pancreatic tumors during treatment. Mice are treated *i.v.* with citrate buffer (closed square); mAbFAP5-DM1 (open square), mAbFAP5-DM4 (closed circle), mAbFAP5-  
30 SMCC-DM1 (closed circle). Tumor sizes are represented as the median of eight

mice. Arrows indicate the treatment. As can be seen Fig. 5A, the remaining tumors eventually resume growth, but at a slow rate that was maintained until the end of the experiment on day 75 post-treatment. In contrast, the uncleavable MFP5-SMCC-DM1 conjugate at the same dose level does not show any significant efficacy.

In the lung carcinoma model (Fig. 5B), the conjugates are dosed at 400  $\mu$ g maytansinoid per kg administered once weekly for three weeks. Fig. 5B shows the growth kinetics of xenografted human lung tumors during treatment. Mice were treated i.v. with citrate buffer (closed square); mAbFAP5-DM1 (open square), mAbFAP5-DM4 (closed circle), mAbFAP5-SMCC-DM1 (closed circle). Tumor sizes are represented as the median of eight mice. Arrows indicate the treatment. Again, it can be observed that MFP5-DM1 as well as the MFP5-DM4 conjugate induce tumor regressions, including complete regressions in 4/8 and 4/8 treated animals, respectively, and the growth rate of residual tumors is reduced until the end of the experiment on day 62. Regrowth of 2 tumors is observed in both groups.

An additional xenograft experiment is performed, using the head and neck carcinoma cell line FaDu. Subcutaneous FaDu xenografts in nude mice induce a less prominent stromal reaction in the host in comparison to the other tumor models, however FAP $\alpha$  expression is consistently upregulated in the tumor stromal fibroblasts. Following a single administration of conjugates at a dose of 600  $\mu$ g maytansinoid/kg, MFP5-DM1 and the MFP5-DM4 conjugate, but not the MFP5-SMCC-DM1 conjugate, show significant efficacy, and complete tumor regressions are observed in 1/8 and 2/8 of the treated animals, respectively. Fig. 5C shows the growth kinetics of xenografted human head and neck tumors during treatment. Mice are treated i.v. with citrate buffer (closed square); mAbFAP5-DM1 (open square), mAbFAP5-DM4 (closed circle), mAbFAP5-SMCC-DM1 (closed circle) and unconjugated antibody (open diamond). Tumor sizes are represented as the median of eight mice. Arrows indicate the treatment. In all experiments, treatment

is well tolerated and weight gain of the animals is similar to that in the control group. The reason for this finding may be due to the fact that the cleavable linkers have a higher bystander effect potential (whereas the uncleavable linker normally is less toxic and therefore offers a larger therapeutic window).

- 5 The experiments in the three tumor xenograft models described in this Example demonstrate, at the given dose and the conditions of this experiment, that MFP5 conjugates containing SPP-DM1 or SPDB-DM4 are highly efficacious in delaying tumor growth or inducing tumor regression, whereas the SMCC-DM1 conjugate is essentially devoid of efficacy. These results thus further substantiate the bystander
- 10 killing hypothesis; however, it is possible that bystander effects (malignant cells, endothelial cells) and direct effects on stromal fibroblasts synergise to achieve the anti tumor responses observed in this experiment.

#### Example 8

- 15 Humanisation of MFP5

To establish a structural model of the MFP5 VL domain, a structural template is chosen from the Protein Data Bank (PDB) of Brookhaven National Laboratory. The VL domain from the murine monoclonal antibody entry "1FOR" is chosen with with 83% sequence identity / 88% similarity and 2.8 Å resolution. For the

20 MFP5 VH domain, the mouse monoclonal antibody structure "2C1P" with 71% sequence identity and 81 % similarity is chosen as the main modeling template. To determine the structure of the H-CDR3 as separate model, the murine monoclonal antibody structure "1MAM" is chosen for the loop graft. The best fit for human consensus framework is of the type human Vk and human VH3, 15 such structures

25 are available in the PDB. For establishing a structure model of the human Vk3 domain, the human antibody structure "1DNO" is chosen. For modeling a human VH1 domain, the PDB entry "1VGE" is chosen and in addition the structure of "1WT5" is used to model the N-terminus and the PDB entry "1FVC" for the CDR H3 loop. Loop grafting is performed by embedding the murine MFP5 CDR regions

into human antibody frameworks and the following humanized chains constructs are synthesized:

SEQ ID NO:6: heavy chain (hVH01); SEQ ID NO:7: light chain, version 1 (hVK03-version1); SEQ ID NO:8: light chain, version 2 (hVK03-version2).

5

The humanized variable regions are cloned into immunoglobulin expression vectors (pcDNA3.1 Invitrogen, containing human IgG1 heavy and human kappa light chain constant regions respectively) and transiently co-transfected in the combinations of hVH01+ hVK03-version1 and hVH01+ hVK03-version2, both combinations are transiently expressed in the HEK 293 freestyle expression system (Invitrogen) and purified on protein A columns.

Affinity of the obtained humanized anti-FAP antibodies to FAP is determined by surface plasmon resonance (Biacore), resulting in KD values of 30 – 40 nM. Approximately 200 resonance units anti-mouse IgG antibody are immobilized using the amine coupling kit on a CM5-biosensor-chip in a Biacore 2000 (all materials Biacore AB, Uppsala, Sweden). MFP5 is bound to the sensor chip by application of a solution with 5.2 µg/ml for 3 minutes. Association and dissociation of recombinant human, FAP is measured for 5 minutes at concentrations from 3.7 – 300 nM. Running buffer is HBS-EP (Biacore) supplemented with 1.25 % CM-Dextran (Fluka) and 0.025 % bovine serum albumine (Serva) at a flow of 20 µl/min. Surface regeneration is performed using 50 mM HCl for 30 sec. Affinity parameters are calculated using the separate cure fit algorithm of the BIAevaluation software, version 4.1 (Biacore).

## 25 Example 9

### Expression of FAP in thyroid cancers

The mRNA expression levels of FAP $\alpha$  in 38 thyroid cancer samples from patients without lymph node metastasis (LN-; including 3 anaplastic carcinomas,

6 follicular carcinomas, 2 medullary carcinomas and 37 papillary carcinomas) and  
10 thyroid cancer samples from patients with lymph node metastasis (LN+;  
including 9 papillary carcinomas and one anaplastic cancer) are determined by  
means of oligonucleotide chip technology, and visualized. (As a control set,  
5 29 normal thyroid tissue samples were used). Fig. 6 shows that FAP $\alpha$  is  
overexpressed in cancer samples, it is significantly over-expressed in tumors from  
patients with lymph node metastasis.

Box- and whisker plots are generated with the statistical computing package R  
based on normalized gene expression data extracted from the BioExpress<sup>TM</sup>  
10 database (Gene Logic Inc., Gaithersburg, MD, USA). The bold center line in the  
box indicates the median, its left and right boundaries showing the first and third  
quartile of the data. Whiskers extend to the most extreme data point which is no  
more than 1.5 times the interquartile range. The human sample collection has been  
described by the originator of the BioExpress<sup>TM</sup> database. The respective  
15 hybridizations are performed on Affymetrix HG-U133A/B oligonucleotide chips  
(Affymetrix Inc., Santa Clara, CA, USA).

## References

- Boulianne G. L., Hozumi N. and Shulman, M .J., (1984). Production of functional chimeric mouse/human antibody. *Nature* 312: 643.
- 5 Carlsson et al., *Biochem. J.* 173: 723-737 (1978).
- Catty D. Antibodies. *Oxford IR Press* 1988.
- Chari RVJ, Martell BA, Gross JL, Cook SB, Shah SA, Blättler WA, McKenzie SJ, Goldmacher VS. Immunoconjugates containing novel maytansinoids: promising anticancer drugs. *Cancer Research* 52: 127-31, 1992.
- 10 Chari RVJ, Derr SM, Steeves RM, Widdison WC: Dose-response of the anti-tumour effect of HUN901-DM1 against human small cell lung cancer xenografts. *Proceedings of the American Association of Cancer Research* (2000) 41, (April 1-5), Abs. 4405.
- Chothia and Lesk., *J. Mol. Biol.* 196: 901-917 (1987).
- 15 Emini, EA, Hughes, J, Perlow, D, and Boger, J, (1985). *J. Virol.* 55, 836-839.
- Francisco et al., *Blood.* 2003 Aug 15;102(4):1458-65.
- Frank, et al., *Methods Enzymol.* 154: 221-249 (1987).
- Gait,M.J., Oligonucleotide Synthesis. A Practical Approach. *IRL Press*, Oxford, UK (1984).
- 20 Garin-Chesa P., Old L. J., and Rettig W. J., (1990), Cell surface glycoprotein of reactive stromal fibroblasts as a potential antibody target in human epithelial cancers. *Proc. Natl. Acad. Sci.* 87: 7235.
- Goldmacher et al., *J Immunol* 135: 3648-3651, (1985).

Goldmacher et al., *J Cell Biol* 102: 1312-1319, (1986).

Hayden and Mandecki. Gene synthesis by serial cloning of oligonucleotides.  
*DNA* 7(8): 571-7 (1988).

Heider, K.-H., Hofmann, M., Horst, E., van den Berg, F., Ponta, H., Herrlich, P.,  
5 and Pals, S.T. A human homologue of the rat metastasis-associated variant of  
CD44 is expressed in colorectal carcinomas and adenomatous polyps.  
*J. Cell Biol.* 120: 227-233 (1993).

Hofheinz R, Al-Batran SE, Hartmann F, Hartung G, Jäger D, Renner C,  
Tanswell P, Kunz U, Amelsberg A, Kuthan H, Stehle G: Stromal antigen  
10 targeting by a humanised monoclonal antibody: An early phase II trial of  
sibrotuzumab in patients with metastatic colorectal cancer, *Onkologie* 26:  
44-48, (2003).

Ichimura et al., *J. Antibiot.* (Tokyo), 44, 1045-53 (1991).

Iwasaki H et al. *Cancer* 1992, 15: 437-447.

15 Jameson, BA and Wolf, H. (1988), "The antigenic index: a novel algorithm for  
predicting antigenic determinants." *Comput. Appl. Biosci.* 4, 181-186.

Johnson S, Bird R E. Construction of single-chain derivatives of monoclonal  
antibodies and their production in *Escherichia coli*. *Methods Enzymol.* 203:  
88-98 (1991).

20 Jones ST, Bendig MM: Rapid PCR-cloning of full length mouse immunoglobulin  
variable regions, *Biotechnology* 9, 88-89, 1991).

Kabat E. A., Wu T. T., Perry H. M., Gottesman K. S. and Foeller C. Sequences of  
Proteins of Immunological Interest (5th Ed.). NIH Publication No. 91-3242.  
U.S. Department of Health and Human Services, Public Health Service,  
25 National Institutes of Health, Bethesda, MD (1991).

Koopman, G., Heider, K.-H., Horts, E., Adolf, G. R., van den Berg, F., Ponta, H.,

- Herrlich, P., Pals, S. T. Activated human lymphocytes and aggressive Non-Hodgkin's lymphomas express a homologue of the rat metastasis-associated variant of CD44. *J. Exp. Med.* 177: 897-904 (1993).
- 5 Kreitman R J Hansen H J, Jones A L, FitzGerald D J P, Goldenberg D M, Pastan I. *Pseudomonas* exotoxin-based immunotoxins containing the antibody LL2 or LL2-Fab' induce regression of subcutaneous human B-cell lymphoma in mice. *Cancer Res.* 53: 819-825 (1993).
- Kupchan et al., *J. Med. Chem.*, 21, 31-37 (1978).
- Kyte, J. and Doolittle, RF., (1982), *J. Mol. Biol.* 157, 105-132.
- 10 Lambert JM, Derr SM, Cook S, Braman G, Widdison W, Chari RVJ. Pharmacokinetics, in vivo stability, and toxicity of the Tumour-activated prodrug, C242-DM1, a novel colorectal cancer agent. *Proceedings of the American Association of Cancer Research* (1998) 39: Abs 3550.
- 15 Liu C, Tadayoni BM, Bourret LA, Mattocks KM, Derr SM, Widdison WC, Kedersha NL, Ariniello PD, Goldmacher VS, Lambert JM, Blättler WA, Chari RVJ. Eradication of large colon tumour xenografts by targeted delivery of maytansinoids. *Proc Natl Acad Sci USA* 93: 8618-23, (1996).
- 20 Niedermeyer J, Enenkel B, Park J, Lenter MC, Rettig WJ, Klaus Damm, Schnapp A: Mouse Fibroblast Activation Protein. Conserved Fap gene organization and biochemical function as a serine protease, *Eur. J. Biochem.* 254, 650-654, (1998).
- 25 Niedermeyer J, Kriz M, Hilberg F, Garin-Chesa P, Bamberger U, Lenter MC, Park J, Viertel B, Püschner H, Mauz M, Rettig WJ, Schnapp A: Targeted Disruption of Mouse Fibroblast Activation Protein. *Molecular and Cellular Biology* 20: 1089-1094, (2000).
- Park J, Lenter MC, Zimmermann RN, Garin-Chesa P, Old LJ, Rettig WJ: Fibroblast Activation Protein, a dual specificity serine protease expressed in

reactive human tumour stromal fibroblasts. *JBC*, 274, 36505-36512, (1999).

Rettig WR, Garin-Chesa P, Beresford R, Oettgen HF, Melamed MR, Old LJ:  
Cell-surface glycoproteins of human sarcomas: Differential expression in  
normal and malignant tissues and cultured cells, *PNAS* 85, 3110-3114, (1988).

- 5 Revets H, De Baetselier P, Muyldermans S. (2005 Jan.). Nanobodies as novel  
agents for cancer therapy. *Expert Opin Biol Ther.* 5(1): 111-24.

Sasse et al., *J. Antibiot.* (Tokyo), 53, 879-85 (2000).

- Scott AW, Wiseman G, Welt S, Lee F-T, Hopkins W, Mitchell P, Adjei A, Divgi  
C, Larson S, Hoffman E, Tanswell P, Bette P, Amelsberg A, Rettig W:  
10 A phase I dose-escalation study of BIBH1 in patients with advanced or  
metastatic fibroblast activation protein positive cancer. *Proc AM Soc Clin  
Oncol* 20: 258a, (2001).

Stemmer et al. Single-step assembly of a gene and entire plasmid from large  
numbers of oligodeoxyribonucleotides, *Gene* 164(1): 49-53 (1995).

- 15 Suzawa et al., *Bioorg. Med. Chem.*, 8, 2175-84 (2000).

Takeya M et al. *Lab Invest* 1995, 72:679-688.

- Tanswell P, Garin-Chesa P, Rettig WJ, Welt S, Divgi CR, Casper ES, Finn RD,  
Larson SM, Old LJ, Scott AM: Population pharmacokinetics of antifibroblast  
activation protein monoclonal antibody F19 in cancer patients. *Br. J. Clin.*  
20 *Pharmacol* 51: 177-180, (2001).

Urlaub et al., *Cell* 33: 405-412 (1983).

- Welt S, Divgi CR, Scott AM, Garin-Chesa P, Finn RD, Graham M, Carswell EA,  
Cohen A, Larson SM, Old LJ, Rettig WJ: Antibody targeting in metastatic  
colon cancer: A phase I study of monoclonal antibody F19 against a cell-  
25 surface protein of reactive tumour stromal fibroblasts. *J. Clin. Oncology* 12:  
1193-1203, (1994).

Worrell et al., *Anti-Cancer Drug Design* 1: 179-184 (1986).

Ye et al., Gene synthesis and expression in *E. coli* for pump, a human matrix metalloproteinase. *Biochem Biophys Res Commun* 186(1):143-9 (1992).

Yoshitake et al., *Eur. J. Biochem.*, 101, 395-399 (1979).

## Claims

1. An anti-FAP- $\alpha$  antibody molecule, or a fragment or derivative thereof,  
selected from
  - 5 a. a murine monoclonal antibody, defined by
    - i. a variable heavy chain comprising the region from aa 20 to  
136 of sequence (SEQ ID NO: 1);
    - ii. a variable light chain comprising the region from aa 23 to  
129 of sequence (SEQ ID NO:2) and
    - 10 iii. the IgG2a kappa subclass;or a fragment or derivative thereof;
  - b. a chimeric antibody derived from the murine monoclonal antibody  
defined in a);
  - 15 c. a humanized antibody, derived from the murine monoclonal  
antibody defined in a).
2. The antibody molecule of claim 1, wherein said chimeric antibody b) is  
defined by
  - 20 i. a variable heavy chain comprising the region from aa 20 to 136 of  
sequence (SEQ ID NO: 1);
  - ii. a variable light chain comprising the region from aa 23 to 129 of  
sequence (SEQ ID NO:2) and
  - iii. constant heavy and light chains that are of human origin.

3. The antibody molecule of claim 2, wherein said chimeric antibody has the heavy variable chain region comprising the region from aa 20 to 136 (SEQ ID NO:1) fused to the human heavy chain constant region (SEQ ID NO:3) and the light chain variable region comprising the region from aa 23 to 129  
5 of sequence (SEQ ID NO:2) fused to the human light chain constant region (SEQ ID NO:4).
4. The antibody molecule of claim 1, wherein said humanized antibody c) is defined by
  - 10 i. CDRs contained within the variable heavy chain that comprises the region from aa 20 to 136 of sequence (SEQ ID NO:1) and by
  - ii. CDRs contained within the variable light chain that comprises the region from aa 23 to 129 of sequence (SEQ ID NO:2)
  - iii. frameworks supporting said CDRs that are from a human antibody,
  - iv. constant heavy and light chains that are from a human antibody.
- 15 5. An antibody molecule of any one of claims 1 to 4 which reacts both with murine and human FAP- $\alpha$ .
6. The antibody of any one of claims 1 to 5 which recognizes an epitope within the FAP sequence that overlaps with the epitope recognized by the murine monoclonal antibody defined in  
20 claim 1 a).
7. The antibody of claim 6 which is a humanized antibody.

8. An immunoconjugate of formula



wherein

5 A is an anti-FAP- $\alpha$  antibody, or a fragment or derivative thereof, as defined in any one of claims 1 to 7;

L is a linker moiety;

B a cytotoxic agent; and

n is a decimal number with  $n = 1$  to 10.

10 9. The immunoconjugate of claim 8 wherein said linker moiety has a chemical bond capable of being cleaved inside a cell.

10. The immunoconjugate of claim 8 or 9, wherein said cytotoxic agent B is a maytansinoid.

11. The immunoconjugate of claim 10, wherein the maytansinoid is DM1.

15 12. The immunoconjugate of claim 10, wherein the maytansinoid is DM4.

13. The immunoconjugate of claim 8, wherein the linker is selected from N-succinimidyl 3-(2-pyridyldithio)propionate (SPDP), N-succinimidyl 4-(2-pyridyldithio)pentanoate (SPP), N-succinimidyl 4-(N-maleimidomethyl)cyclohexane-1-carboxylate (SMCC).

20 14. Method of producing of an immunoconjugate of claims 8 to 13, said methods comprising the steps:

(a) introducing one or more free or protected thiol groups into an antibody molecule defined in claim 1;

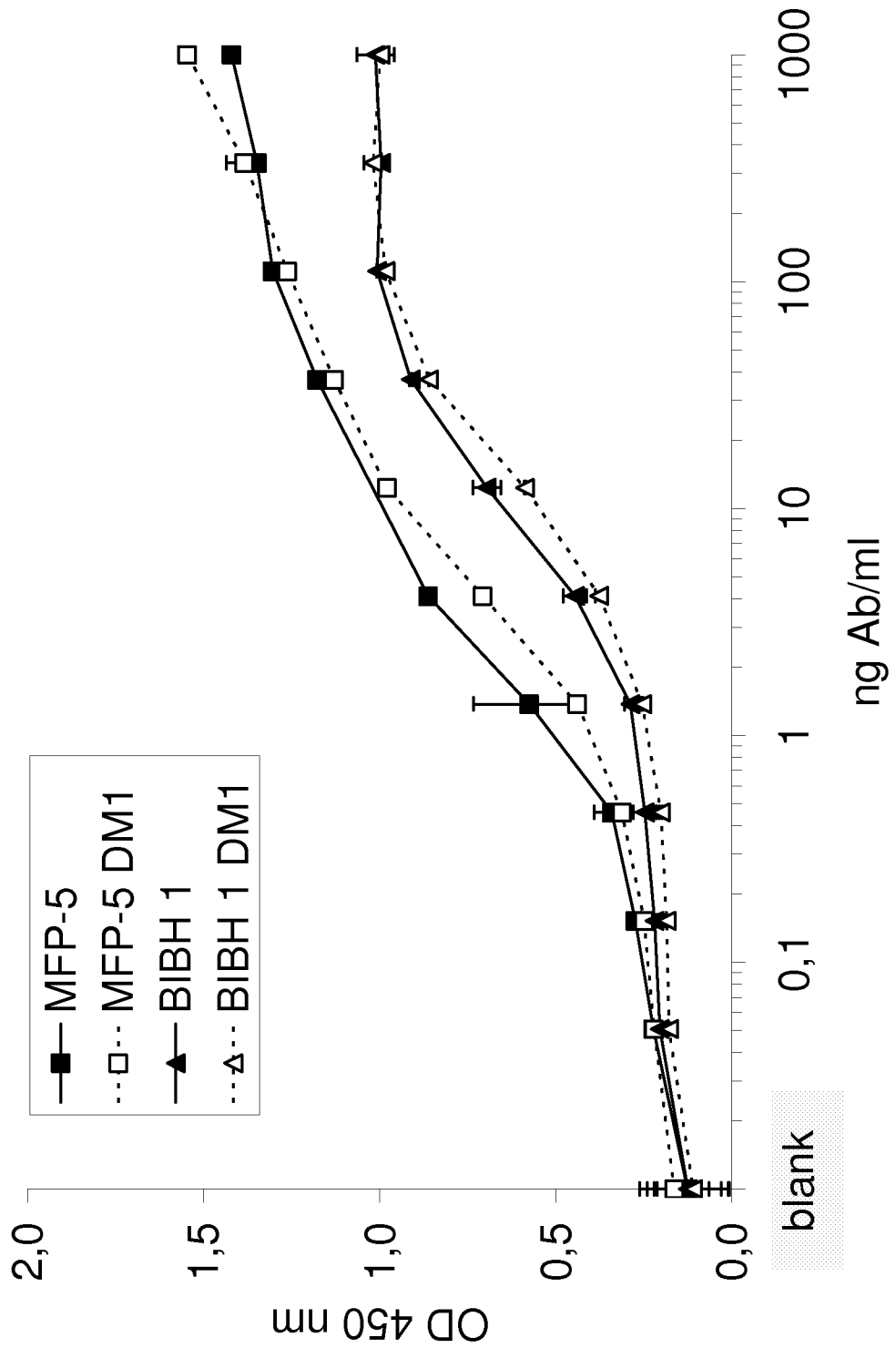
25 (b) reacting the antibody molecule obtained in step (a) with a maytansinoid;

(c) recovering the resulting antibody maytansinoid conjugate.

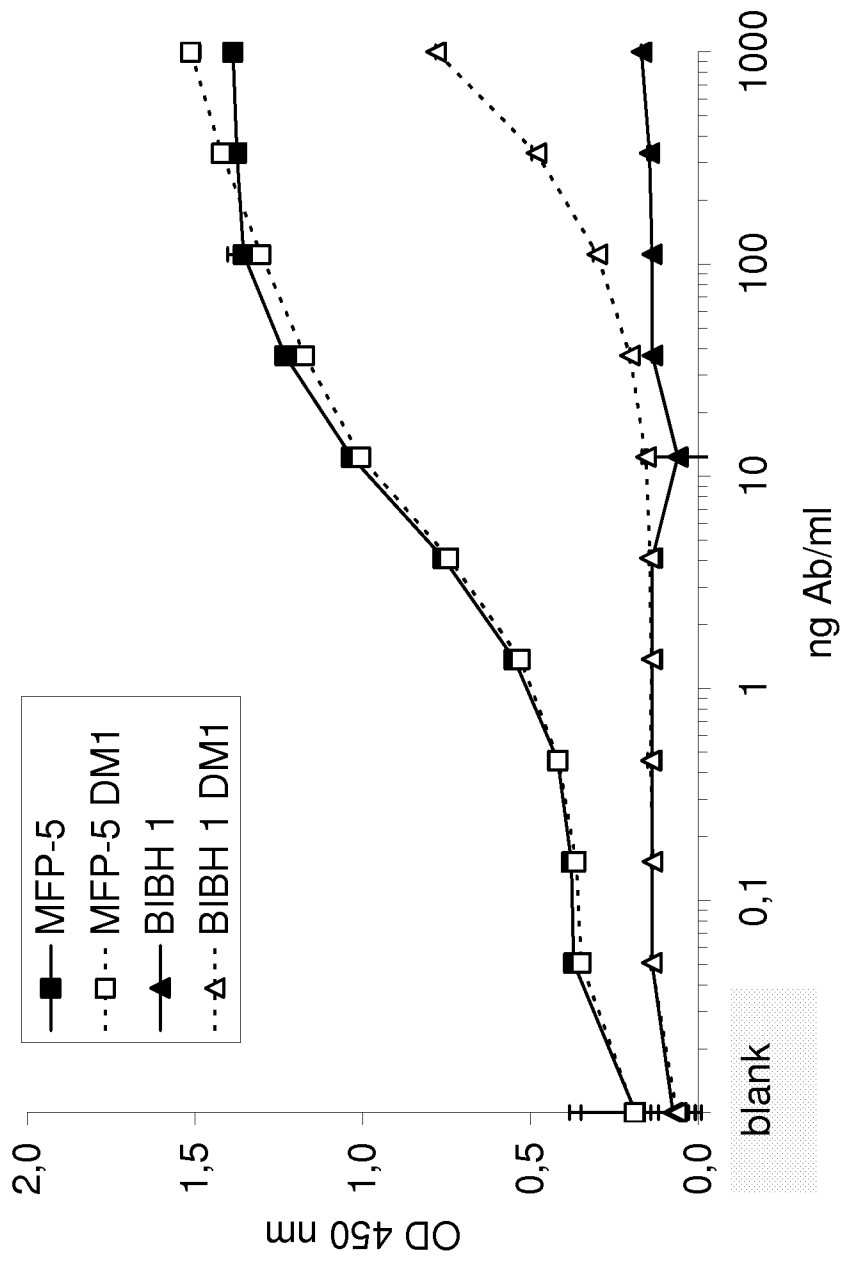
15. Use of an immunoconjugate of claims 8 to 13 for the preparation of a pharmaceutical composition for the treatment of cancer.
16. The use of claim 15, wherein the cancer is selected from colorectal cancers, non-small cell lung cancers, breast cancers, head and neck cancer, ovarian  
5 cancers, lung cancers, invasive bladder cancers, pancreatic cancers, metastatic cancers of the brain, thyroid cancers, head and neck squamous cell carcinoma, esophagus squamous cell carcinoma, lung squamous cell carcinoma, skin squamous cell carcinoma, melanoma, breast adenocarcinoma, lung adenocarcinoma, cervix squamous cell carcinoma,  
10 pancreas squamous cell carcinoma, colon squamous cell carcinoma, or stomach squamous cell carcinoma, prostate cancer, osteosarcoma or soft tissue sarcoma and benign tumours expressing FAP.
17. A pharmaceutical composition comprising an immunoconjugate of claims 8 to 13 and a pharmaceutically acceptable carrier, diluent, or excipient.
- 15 18. The pharmaceutical composition of claim 17 for the treatment of cancer.
19. The pharmaceutical composition of claim 18, wherein said cancer is selected from colorectal cancers, non-small cell lung cancers, breast cancers, head and neck cancer, ovarian cancers, lung cancers, invasive bladder cancers,  
20 pancreatic cancers, metastatic cancers of the brain, thyroid cancers, head and neck squamous cell carcinoma, esophagus squamous cell carcinoma, lung squamous cell carcinoma, skin squamous cell carcinoma, melanoma, breast adenocarcinoma, lung adenocarcinoma, cervix squamous cell carcinoma, pancreas squamous cell carcinoma, colon squamous cell carcinoma, or stomach squamous cell carcinoma, prostate cancer, osteosarcoma or soft  
25 tissue sarcoma and benign tumours expressing FAP.
20. The pharmaceutical composition of claim 17 for the treatment of rheumatoid arthritis.

21. A method for the treatment of cancer comprising administering to a patient in need thereof a therapeutically effective amount of an immunoconjugate of claims 8 to 13 or of a pharmaceutical composition defined in claim 18.
22. The method of claim 21, wherein the cancer is selected from colorectal cancers, non-small cell lung cancers, breast cancers, head and neck cancer, ovarian cancers, lung cancers, invasive bladder cancers, pancreatic cancers, metastatic cancers of the brain, head and neck squamous cell carcinoma, esophagus squamous cell carcinoma, lung squamous cell carcinoma, skin squamous cell carcinoma, melanoma, breast adenocarcinoma, lung adenocarcinoma, cervix squamous cell carcinoma, pancreas squamous cell carcinoma, colon squamous cell carcinoma, or stomach squamous cell carcinoma, prostate cancer, osteosarcoma or soft tissue sarcoma and benign tumours expressing FAP.
23. A method for the treatment of rheumatoid arthritis comprising administering to a patient in need thereof a therapeutically effective amount of an immunoconjugate of claims 8 to 13 or of a pharmaceutical composition defined in claim 17.

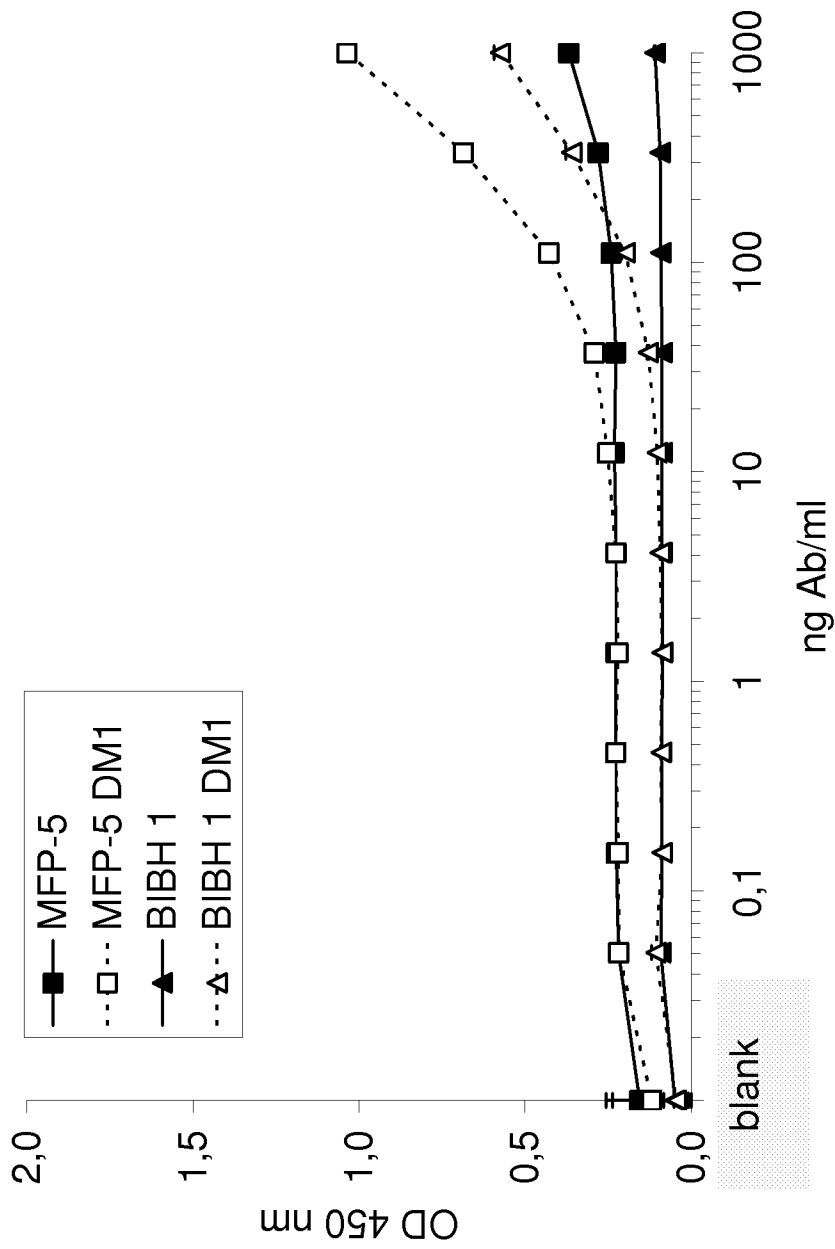
1 / 10  
Fig. 1A



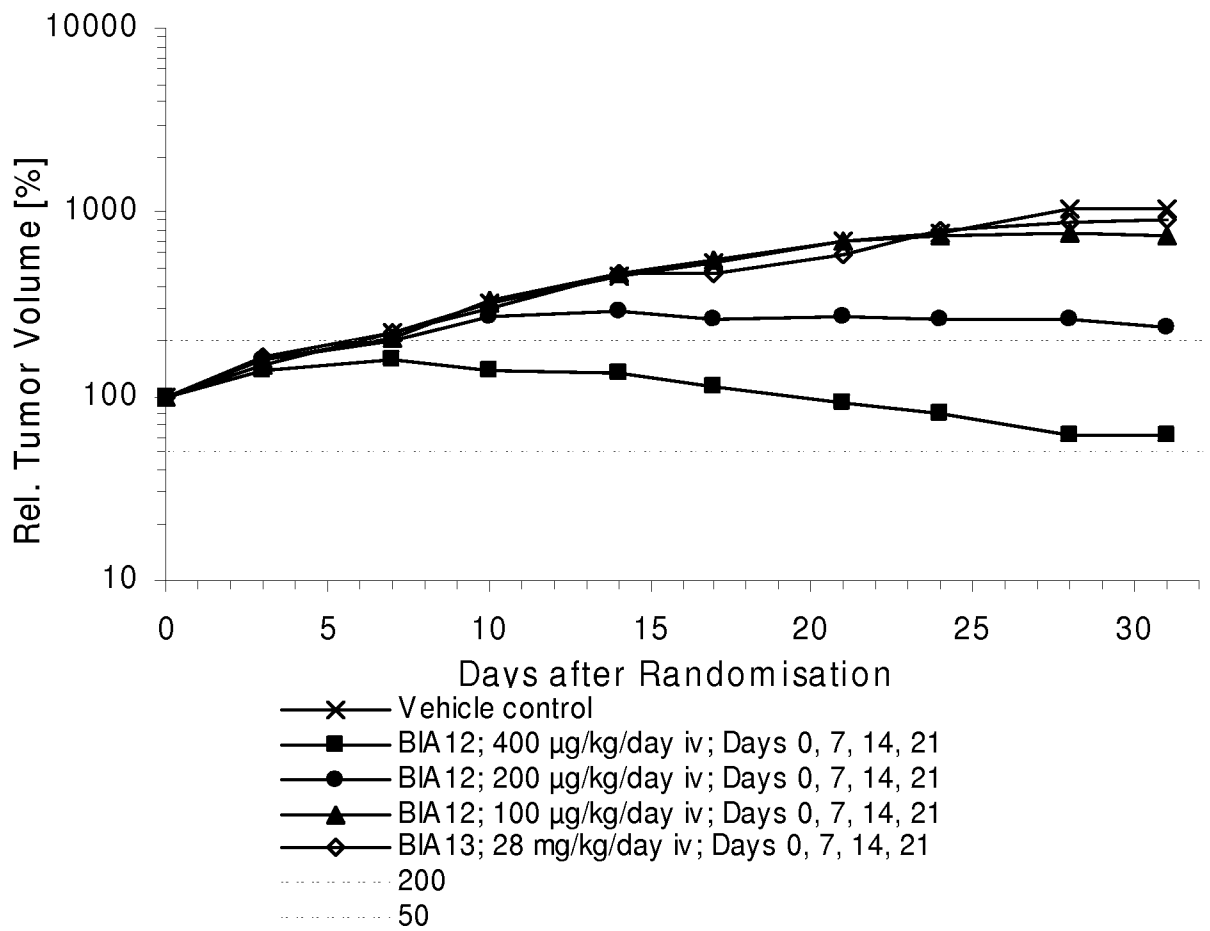
2 / 10  
Fig. 1B



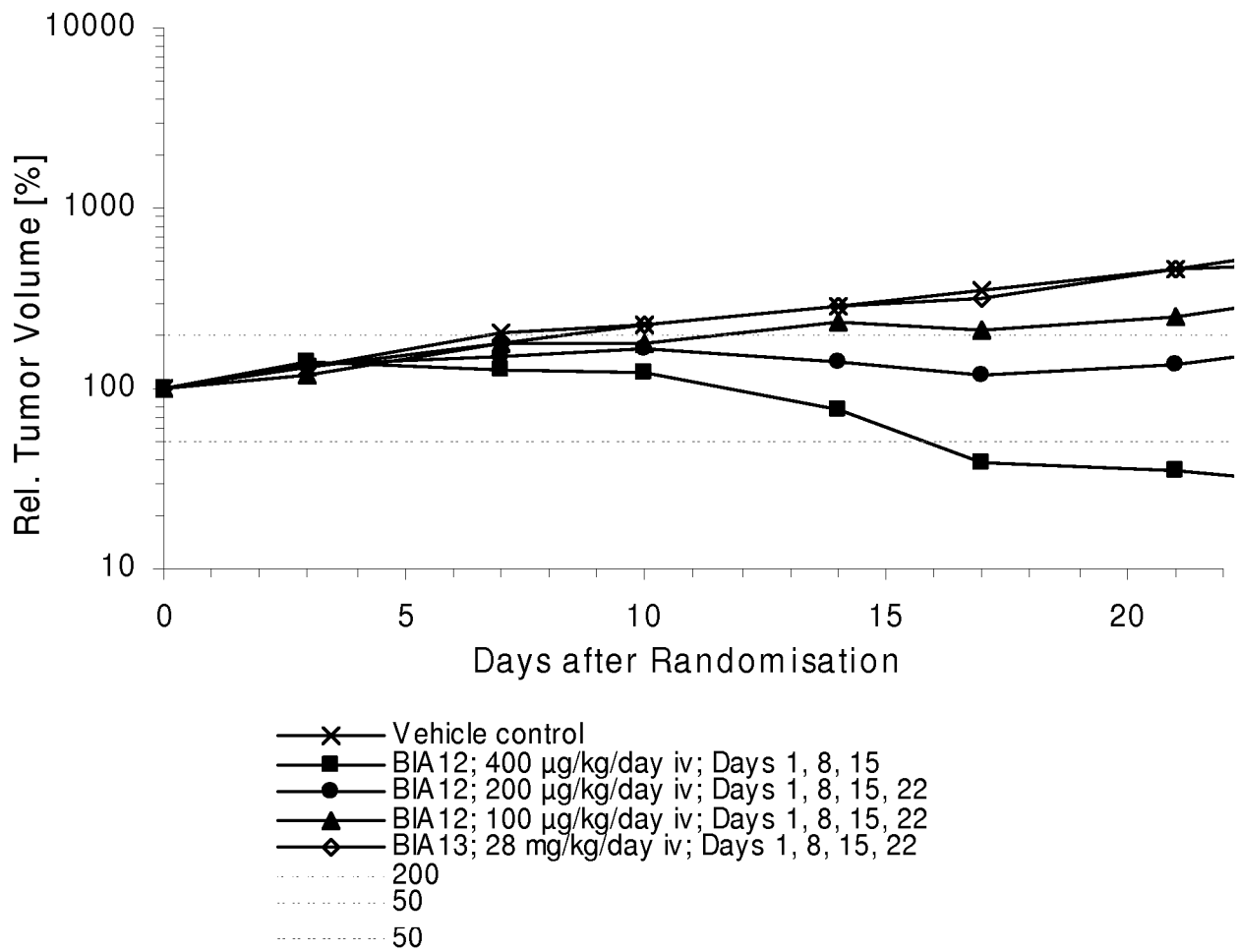
3 / 10  
Fig. 1C



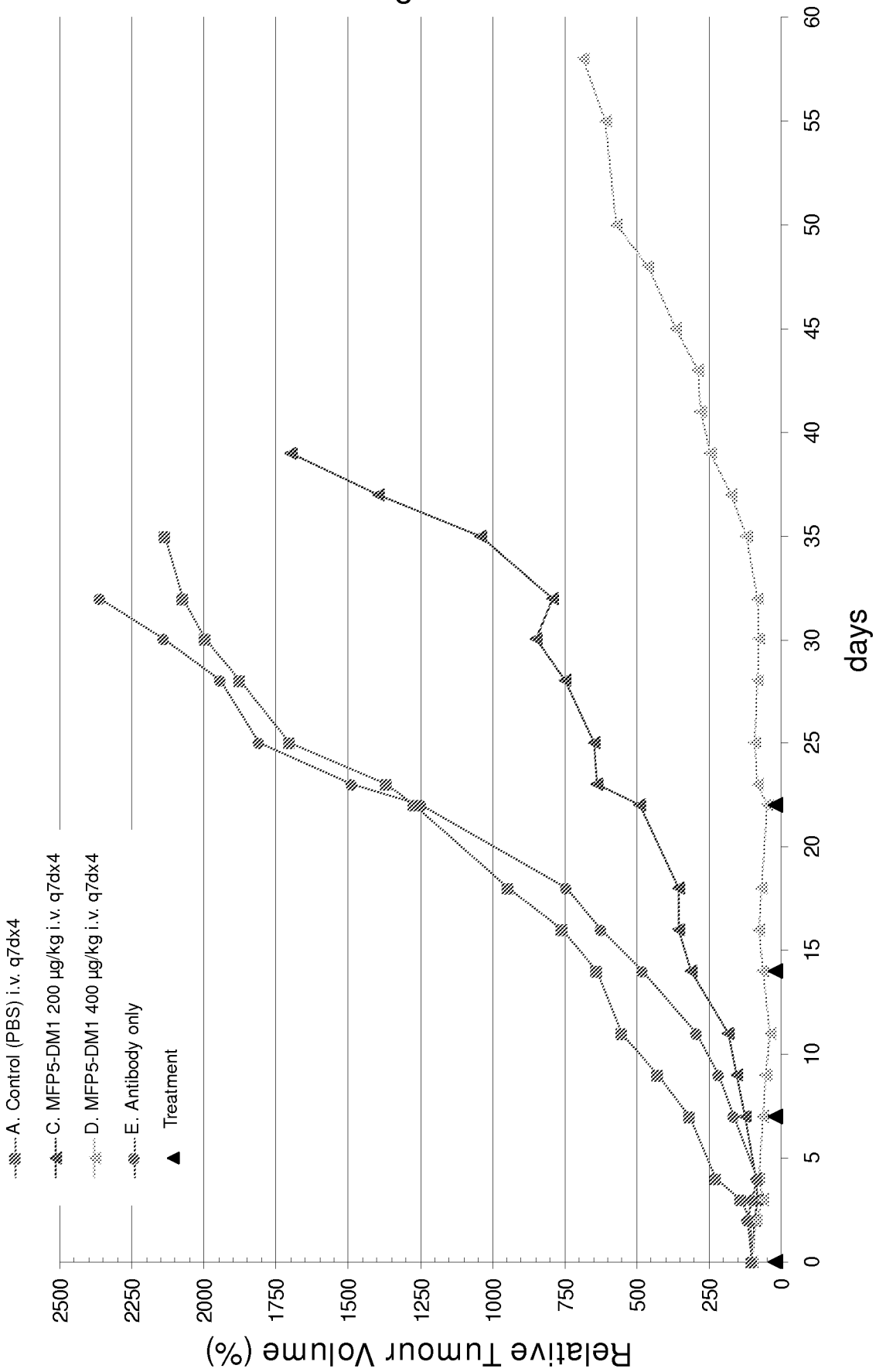
4 / 10  
Fig. 2



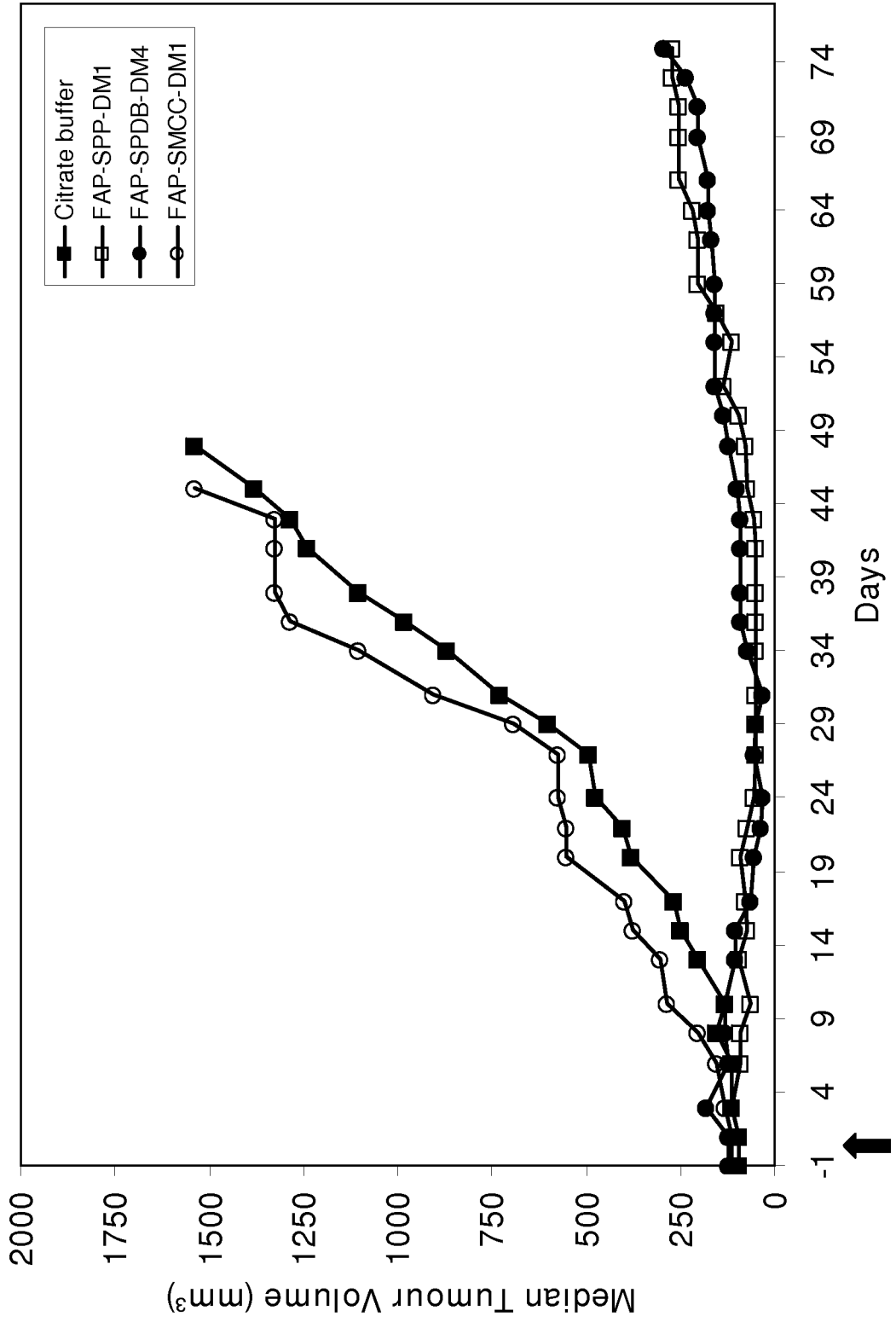
5 / 10  
Fig. 3



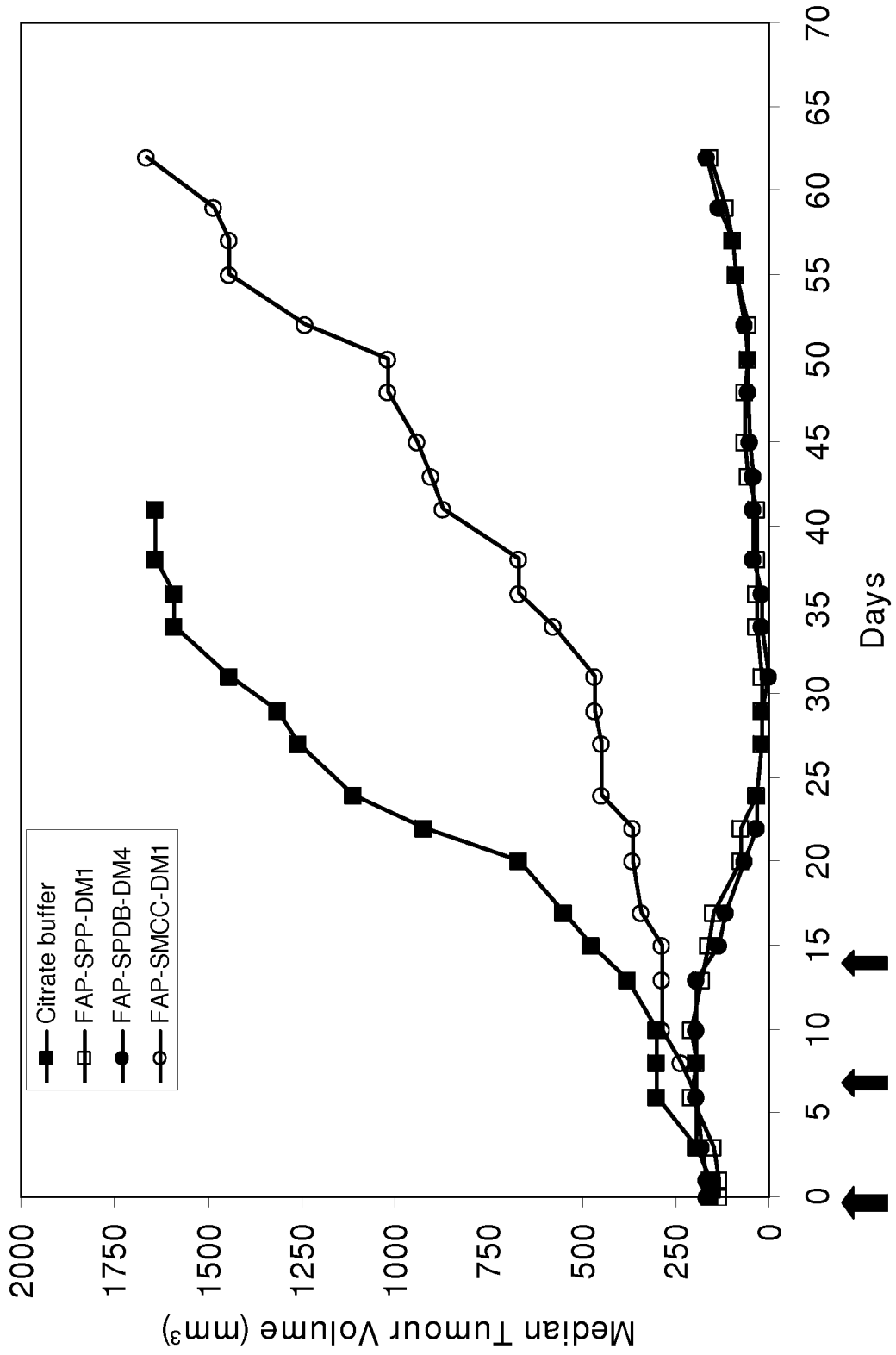
6 / 10  
Fig. 4



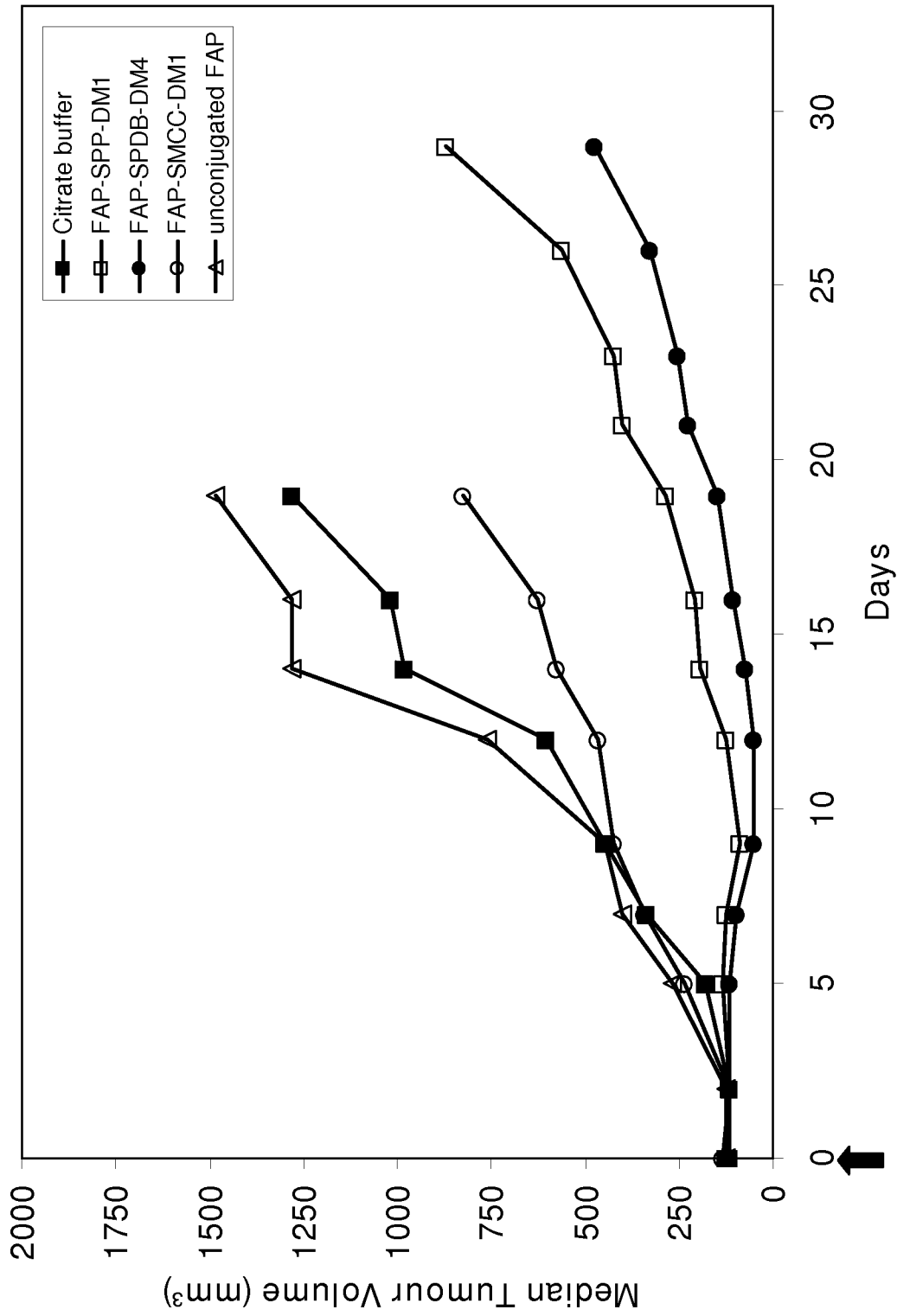
7 / 10  
Fig. 5 A



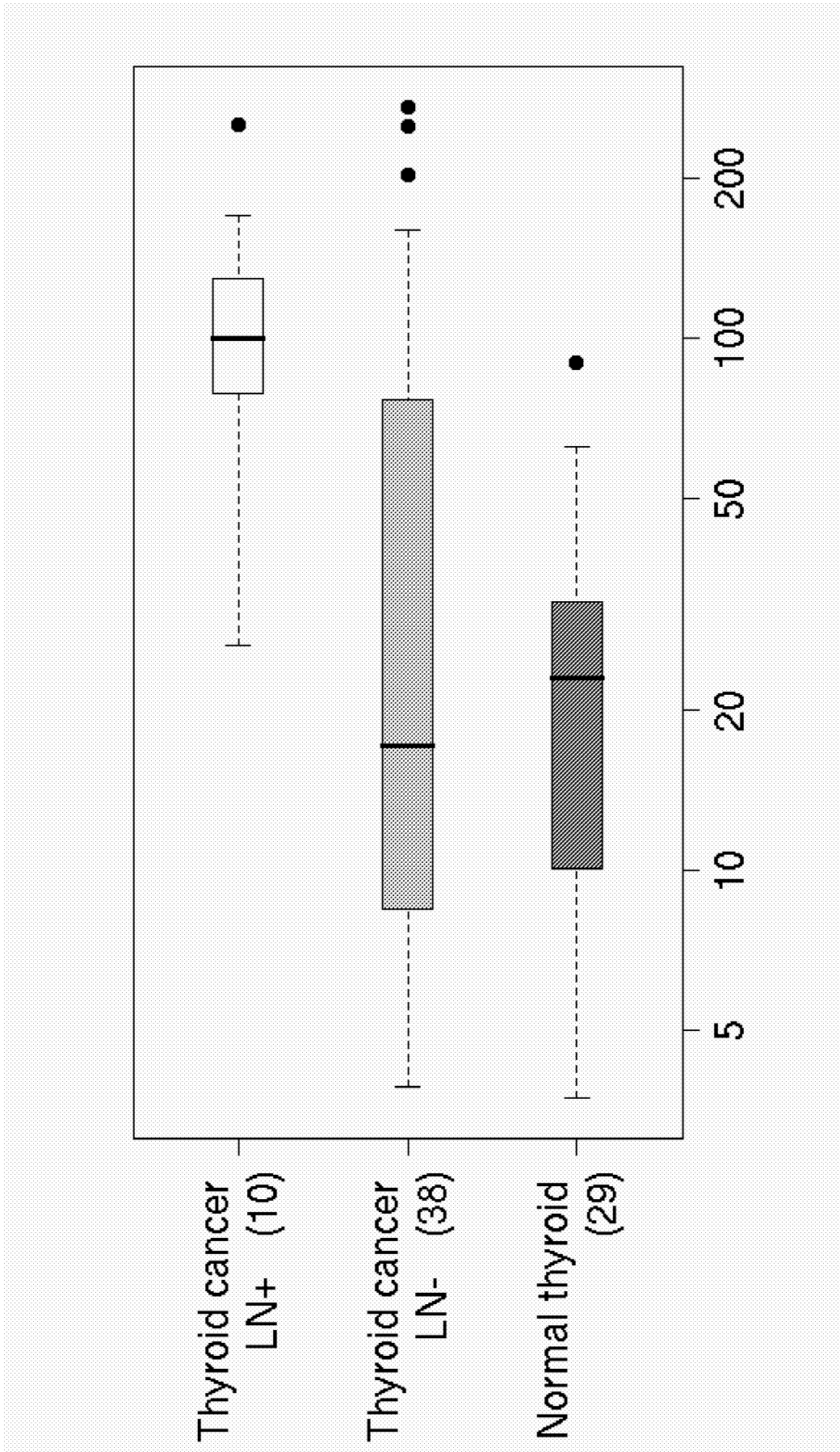
8 / 10  
Fig. 5 B



9 / 10  
Fig. 5 C



10 / 10  
Fig. 6





## INTERNATIONAL SEARCH REPORT

International application No

PCT/EP2006/070185

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>BROCKS B ET AL: "SPECIES-CROSSREACTIVE SCFV AGAINST THE TUMOR STROMA MARKER FIBROBLAST ACTIVATION PROTEIN SELECTED BY PHAGE DISPLAY FROM AN IMMUNIZED FAP-/- KNOCK-OUT MOUSE"</p> <p>MOLECULAR MEDICINE, BLACKWELL SCIENCE, CAMBRIDGE, MA, US, vol. 7, no. 7, July 2001 (2001-07), pages 461-469, XP009046804 ISSN: 1076-1551 page 463, right-hand column, paragraph 6 page 468, right-hand column, last paragraph page 469, lines 1-4</p>	1-7
X	<p>US 2004/241174 A1 (AMPHLETT GODFREY ET AL) 2 December 2004 (2004-12-02) cited in the application paragraphs [0003] - [0006], [0008], [0015], [0016], [0018] - [0022], [0043]; claims 4,8,45,60,83,89</p>	8-22
Y	<p>EP 1 258 255 A (BOEHRINGER INGELHEIM INTERNATIONAL GMBH) 20 November 2002 (2002-11-20) page 4, lines 1-10; claim 1 page 5, paragraphs 17,19 page 4, paragraph 9 page 11, paragraphs 41,42,44,46</p>	8-22
X	<p>WO 01/68708 A (BOEHRINGER INGELHEIM PHARMA KG; PARK, JOHN-EDWARD; GARIN-CHESEA, PILAR;) 20 September 2001 (2001-09-20) cited in the application</p>	1-7
Y	<p>page 37, line 30; example 1B page 51, lines 1-10 page 17, line 21 - page 18, line 5; claim 58</p>	8-22
X	<p>STRAND V ET AL: "Effects of administration of an anti-CD5 plus immunoconjugate in rheumatoid arthritis. Results of two phase II studies. The CD5 Plus Rheumatoid Arthritis Investigators Group."</p> <p>ARTHRITIS AND RHEUMATISM. MAY 1993, vol. 36, no. 5, May 1993 (1993-05), pages 620-630, XP008066623 ISSN: 0004-3591 page 621, left-hand column, paragraphs 6,7 page 627, right-hand column, paragraph 2 - page 628, right-hand column, paragraph 2</p>	23

-/--

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2006/070185

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WELT ET AL: "Antibody targeting in metastatic colon cancer: a phase I study of monoclonal antibody F19 against a cell-surface protein of reactive tumor stromal fibroblasts"            JOURNAL OF CLINICAL ONCOLOGY, GRUNE AND STRATTON, NEW YORK, NY, US,            vol. 12, no. 6, June 1994 (1994-06), pages 1193-1203, XP002088696            ISSN: 0732-183X</p>	1-7
Y	<p>page 1194, right-hand column, paragraph 4            - page 1195, left-hand column, paragraph 2            page 1202, right-hand column, paragraph 2</p>	8-22
X	<p>EP 0 953 639 A (BOEHRINGER INGELHEIM INT [DE]) 3 November 1999 (1999-11-03)            paragraphs [0061], [0062], [0068], [0069]; claims 1-24, 35-38, 47-55</p>	1-22
Y	<p>HOFHEINZ R-D ET AL: "STROMAL ANTIGEN TARGETING BY A HUMANISED MONOCLONAL ANTIBODY: AN EARLY PHASE II TRIAL OF SIBROTUZUMAB IN PATIENTS WITH METASTATIC COLORECTAL CANCER"            ONKOLOGIE, KARGER, FREIBURG, DE,            vol. 26, no. 1, 2003, pages 44-48,            XP008049689            ISSN: 0378-584X            page 46, left-hand column, paragraph 1 - right-hand column, paragraph 3</p>	17-22
A	<p>EWERT S ET AL: "Stability improvement of antibodies for extracellular and intracellular applications: CDR grafting to stable frameworks and structure-based framework engineering"            METHODS : A COMPANION TO METHODS IN ENZYMOLOGY, ACADEMIC PRESS INC., NEW YORK, NY, US,            vol. 34, no. 2, October 2004 (2004-10), pages 184-199, XP004526805            ISSN: 1046-2023</p>	
Y	<p>WO 02/083171 A (BOEHRINGER INGELHEIM INTERNATIONAL GMBH; BOEHRINGER INGELHEIM PHARMACE) 24 October 2002 (2002-10-24)            page 22, lines 11-14; claims 13,62; examples 1,2A</p>	8-22
A	<p>WO 2005/071073 A (POINT THERAPEUTICS, INC; MCLEAN, PAUL, A; JONES, BARRY; MILLER, GLENN,) 4 August 2005 (2005-08-04)</p>	

-/--

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2006/070185

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2005/060999 A (GENENTECH, INC; BRUNETTA, PAUL G) 7 July 2005 (2005-07-07) -----	
A	US 2005/123536 A1 (LAW CHE-LEUNG ET AL) 9 June 2005 (2005-06-09) -----	

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP2006/070185

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Although claims 21-23 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.  Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2006/070185

Patent document cited in search report	Publication date	Patent family member(s)	Publication date	
US 2004241174	A1	02-12-2004	US 2007009539 A1	11-01-2007
			US 2007009540 A1	11-01-2007
			US 2007009541 A1	11-01-2007
EP 1258255	A	20-11-2002	BG 108366 A	30-09-2004
			BR 0209862 A	08-06-2004
			CA 2443438 A1	28-11-2002
			CN 1509187 A	30-06-2004
			CZ 20033477 A3	12-05-2004
			EE 200300568 A	15-04-2004
			WO 02094325 A2	28-11-2002
			HR 20030932 A2	30-04-2004
			HU 0400046 A2	28-04-2004
			JP 2004529963 T	30-09-2004
			MX PA03010432 A	02-04-2004
			NO 20035108 A	17-11-2003
			NZ 530167 A	28-10-2005
			PL 365480 A1	10-01-2005
			SK 15582003 A3	06-04-2004
			YU 91503 A	25-05-2006
			ZA 200307364 A	20-04-2004
WO 0168708	A	20-09-2001	AU 5632501 A	24-09-2001
			CA 2401252 A1	20-09-2001
			EP 1268550 A2	02-01-2003
			JP 2003530092 T	14-10-2003
EP 0953639	A	03-11-1999	AU 760305 B2	15-05-2003
			AU 4032299 A	23-11-1999
			BG 104828 A	28-09-2001
			BR 9910577 A	11-09-2001
			CA 2327586 A1	11-11-1999
			CN 1303430 A	11-07-2001
			EA 5401 B1	24-02-2005
			EE 200000642 A	15-04-2002
			WO 9957151 A2	11-11-1999
			HU 0101501 A2	28-08-2001
			ID 26555 A	18-01-2001
			JP 2002513556 T	14-05-2002
			NO 20005412 A	06-12-2000
			NZ 508456 A	26-03-2004
			PL 358087 A1	09-08-2004
			SK 16192000 A3	09-04-2001
			TR 200003181 T2	20-04-2001
			UA 73276 C2	17-09-2001
			ZA 200005506 A	14-05-2002
WO 02083171	A	24-10-2002	AR 033157 A1	03-12-2003
WO 2005071073	A	04-08-2005	NONE	
WO 2005060999	A	07-07-2005	AU 2004305560 A1	07-07-2005
			BR PI0417105 A	06-02-2007
			CA 2549122 A1	07-07-2005
			CN 1917901 A	21-02-2007
			EP 1696955 A2	06-09-2006
			KR 20060109494 A	20-10-2006
			MX PA06006864 A	23-08-2006

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2006/070185

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2005123536	A1	09-06-2005	NONE