(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 27 January 2005 (27.01.2005)

PCT

(10) International Publication Number WO 2005/006990 A3

(51) International Patent Classification⁷: A61B 17/00

(21) International Application Number:

PCT/US2004/022643

(22) International Filing Date: 14 July 2004 (14.07.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

60/486,992 14 July 2003 (14.07.2003) US

(71) Applicant (for all designated States except US): NMT MEDICAL, INC. [US/US]; 27 Wormwood Street, Boston, MA 02210 (US).

- (72) Inventor; and
- (75) Inventor/Applicant (for US only): CHANDUSZKO, Andrzej, J. [US/US]; 311 N. Carriage Lane, Chandler, AZ 85224 (US).
- (74) Agents: CAVANAUGH, David, L. et al.; Wilmer Cutler Pickering Hale and Dorr LLP, 60 State Street, Boston, MA 02109 (US).

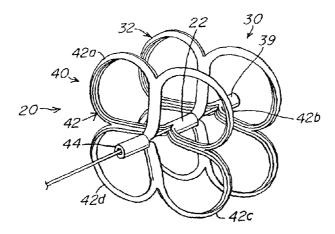
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: TUBULAR PATENT FORAMEN OVALE (PFO) CLOSURE DEVICE WITH CATCH SYSTEM



(57) Abstract: The present invention provides a device for occluding an anatomical aperture, such as an atrial septal defect (ASD) or a patent foramen ovale (PFO). The occluder (20) includes two sides connected by a central tube. The occluder is formed from a tube (25), which is cut to produce struts (32) in each side. Upon the application of force, the struts deform into loops. The loops may be of various shapes, sizes, and configurations, and, in at least some embodiments, the loops have rounded peripheries. In some embodiments, at least one of the sides includes a tissue scaffold. The occluder further includes a catch system (131) that maintains its deployed state *in vivo*. When the occluder is deployed *in vivo*, the two sides are disposed on opposite sides of the septal tissue surrounding the aperture and the catch system is deployed so that the occluder exerts a compressive force on the septal tissue and closes the aperture.



WO 2005/006990 A3



(88) Date of publication of the international search report: 2 June 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

International Application No

US2004/022643

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61B17/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ IPC 7 & A61B \end{tabular}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
Х	WO 01/49185 A (FREUDENTHAL FRANZ; PFM PRODUKTE FUER DIE MEDIZIN (DE); SIEGNER GEORG) 12 July 2001 (2001-07-12)	1,2,7, 9-16, 20-23,25		
Υ	page 13, line 7 - line 21	3-6,8, 17-19		
	page 15, line 3 - line 11 page 17, line 5 - page 18, line 16			
Α		24,26		
Х	US 6 261 309 B1 (URBANSKI JEFFREY) 17 July 2001 (2001-07-17)	1-3		
Υ	column 5, line 46 - line 56; figure 5	3		
X	US 6 344 048 B1 (CHIN YEM ET AL) 5 February 2002 (2002-02-05) column 8, line 41 - line 56	39-42		
	- /			

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
 Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed 	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search 21 February 2005	Date of mailing of the international search report
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Angeli, M

4

International Application No
P IS2004/022643

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the relevant passages	nelevant to Claim No.
Υ	US 6 312 446 B1 (BUSCEMI PAUL J ET AL) 6 November 2001 (2001-11-06) column 6, line 54 - column 7, line 20	4-6,8, 17-19
4	US 5 078 736 A (BEHL ROBERT S) 7 January 1992 (1992-01-07) column 6, line 60 - column 7, line 15	1
4	US 6 355 052 B1 (NEUSS MALTE ET AL) 12 March 2002 (2002-03-12) column 7, line 64 - column 8, line 12	41,42

national application No. PCT/US2004/022643

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: 33-38 because they relate to subject matter not required to be searched by this Authority, namely: Rule 39.1(iv) PCT - Method for treatment of the human or animal body by
surgery
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box III Observations where unity of invention is lacking (Continuation of Item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. X No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-32

An occluder for a defect comprising slits, wherein adjacent slits are offset.

2. claims: 39-42

An occluder comprising struts formed by slits, whereas said struts are joined by different processes.

International Application No
I US2004/022643

Patent document cited in search report	Publication date	1	Patent family member(s)	Publication date
WO 0149185 A	12-07-2001	DE AU WO EP US 20	10000137 A1 2512901 A 0149185 A1 1246570 A1 003171774 A1	12-07-2001 16-07-2001 12-07-2001 09-10-2002 11-09-2003
US 6261309 B	17-07-2001	US	6183496 B1	06-02-2001
US 6344048 B	05-02-2002	US 20 US 20 AU CA EP	5928260 A 904193206 A1 905021077 A1 902042628 A1 8290598 A 2294707 A1 0996370 A1 9902093 A1	27-07-1999 30-09-2004 27-01-2005 11-04-2002 08-02-1999 21-01-1999 03-05-2000 24-07-2001 21-01-1999
US 6312446 B	1 06-11-2001	US US US	6117159 A 5853422 A 6024756 A	12-09-2000 29-12-1998 15-02-2000
US 5078736 A	07-01-1992	WO	9116864 A1	14-11-1991
US 6355052 B	1 12-03-2002	WO EP	19604817 A1 9728744 A1 0888083 A1 00505668 T	14-08-1997 14-08-1997 07-01-1999 16-05-2000