

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
30 May 2003 (30.05.2003)

PCT

(10) International Publication Number  
**WO 03/044217 A2**

(51) International Patent Classification<sup>7</sup>: **C12Q**

(21) International Application Number: PCT/US02/37452

(22) International Filing Date:  
20 November 2002 (20.11.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/331,654 20 November 2001 (20.11.2001) US

(71) Applicant: **EXACT SCIENCES CORPORATION**  
[US/US]; 63 Creat Road, Maynard, MA 01754 (US).

(72) Inventor: **WHITNEY, Duncan**; 20 Read Road, Sudbury,  
MA 01776 (US).

(74) Agent: **CHUNG, Christopher**; Testa, Hurwitz &  
Thibeault, LLP, High Street Tower, 125 High Street,  
Boston, MA 02110 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— *without international search report and to be republished upon receipt of that report*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



**WO 03/044217 A2**

(54) Title: AUTOMATED SAMPLE PREPARATION METHODS AND DEVICES

(57) Abstract: The invention provides methods and apparatus for automated sample processing. The invention comprises introducing a sample into a multistep dilution procedure at a step that is chosen based on the concentration of target analyte in the sample.

## AUTOMATED SAMPLE PREPARATION METHODS AND DEVICES

Related Applications

[0001] This application claims the benefit of and priority to U.S.S.N. 60/331,654,  
5 filed November 20, 2001, the disclosure of which is incorporated by reference herein.

Technical Field Of The Invention

[0002] This invention relates generally to methods and apparatus for preparing  
biological samples for analysis. More particularly, the invention relates to methods and  
apparatus for automating nucleic acid sample preparation. The invention is particularly  
10 useful to normalize the concentration of samples for subsequent analysis.

Background Of The Invention

[0003] Nucleic acid samples are used with increasing frequency for the screening and  
diagnosis of disease. Evidence of parasitic, infectious, inherited or sporadic disease may be  
found in nucleic acid samples from different biological sources, including stool. For  
15 example, nucleic acid samples prepared from stool may be analyzed for indications  
suggestive colorectal cancer.

[0004] However, the variable and unpredictable amount of nucleic acid that is  
typically obtained from a biological sample is a significant problem when using biological  
samples (e.g. clinical samples) as sources of nucleic acid for automated diagnostic assays.  
20 Depending on the patient and/or the type of biological sample, the concentration of human  
nucleic acid target obtained from a standardized nucleic acid preparation procedure may vary  
by as much as 10,000-fold from sample to sample. This variability complicates automated  
nucleic acid preparation and analysis.

[0005] Attempts have been made to solve these problems. For example, automated  
25 assays using multiwell plates are typically performed on multiple dilutions of a nucleic acid  
sample in order to ensure that the nucleic acid concentration in at least one of the wells is  
appropriate for the type of assay being performed. However, such approaches produce  
unpredictable results and are wasteful of assay material. Moreover, these approaches require  
the analysis of large numbers of deposits for a single assay. Methods and apparatus available  
30 in the art do not provide a predictable and reproducible solution that minimizes the amount of  
sample handling and reduces the amount of sample analysis required for automated nucleic

acid analysis. Accordingly, there remains a need in the art for methods and apparatus for automated sample preparation, and in particular for automated nucleic acid sample preparation.

### Summary Of The Invention

5 [0006] The present invention generally provides methods and apparatus for automated sample processing. The invention is particularly useful to process multiple samples, either from one source or from many sources, in parallel to produce equally diluted samples for subsequent analysis.

[0007] Methods of the invention are useful for normalizing a plurality of samples. According to the invention, methods involve determining an initial concentration of an  
10 analyte in each of a plurality of samples. Methods of the invention further include introducing a portion of each sample on an apparatus such that the portion of each sample occupies a unique position in the apparatus relating to the analyte's initial concentration. Methods of the invention further include diluting the portion of each sample on the apparatus  
15 such that they are normalized with respect to analyte concentration.

[0008] The invention generally involves introducing or depositing a sample into an automated liquid handling procedure having multiple steps. The automated liquid handling procedure, for example, is a series of dilution steps or stages in a pre-determined pathway. According to the invention, a sample is introduced into the procedure at a sample-specific  
20 dilution stage or point in the pathway. The sample-specific dilution stage or point in the pathway is determined by the properties of the sample. For example, an initial property of the sample (for example, nucleic acid concentration) is preferably determined so that the sample can be introduced or deposited in a dilution point at the appropriate step of the automated dilution procedure. One step in the dilution pathway involves the step of introducing or  
25 depositing a sample at an appropriate dilution stage in order to generate a sample containing a pre-determined dilution concentration at a later, unique and discrete dilution location in the pathway.

[0009] The invention provides methods and apparatus for normalizing samples that previously have been difficult to automate. Efficient and effective solutions to automate the  
30 generation of uniform samples have been limited by the fact that many assays require specific nucleic acid concentrations that involve individualized sample analysis and dilution steps.

For example, detection of somatic mutations from biological samples also invokes the ability to detect a small amount of mutant DNA in the presence of wild-type sequence, or highly heterogeneous DNA. As a result, for analysis of early stage colorectal cancer from stool samples, it is necessary to detect as little as 1% mutant DNA. These limitations are also true  
5 for detection of rare infectious disease, or pathogens. Samples of different starting concentrations are introduced at different dilution stages in the pathway.

**[0010]** Methods and apparatus of the invention are useful for automating the processing of multiple samples. In certain embodiments, methods and apparatus of the invention involve automated processing of multiple samples in an array. Also, certain  
10 embodiments of the invention involve arrays of two or more dimensions. Methods and apparatus of the invention are particularly useful for processing nucleic acid samples for digital amplification reactions. Methods and apparatus of the invention are also useful for the regulation of DNA heterogeneity relative to downstream analytical techniques and the technical sensitivity thereof. In addition, the invention provides the ability to detect rare  
15 DNA targets by enriching for target sequence. For example, if a 1% mutant population can be detected (e.g., using solid-phase mini-sequencing specific for mutant reactions), then it would also be possible to detect a 0.05-0.1% mutant (after a 20 to 10-fold enrichment, respectively). Methods and apparatus of the invention are also useful for genotypic testing, for example, the detection of mutations through retroviral detection testing, such as, HIV  
20 genotyping testing. In other embodiments, methods and apparatus of the invention may be used in connection with forensic testing, in-vitro prenatal diagnostics, the analysis of circulating cells, and any other assays or testing that may involve the dilution of a sample.

**[0011]** In one embodiment of the invention, multiple samples, such as samples obtained from a plurality of patients, are automatically processed to produce uniform samples  
25 for an analytical analysis. According to the invention, each of the samples may have a different initial sample concentrations such that these samples are introduced at various discrete stages in the dilution pathway. In another embodiment of the invention, one or more samples from a single patient are automatically processed to produce uniform samples for multiple analytical analyses.

**[0012]** Configurations contemplated by the invention include a variety of formats, for  
30 example, standard microtiter plate formats (e.g., 96, 384, and 1536 well plates), non-standard

microtiter plates designed specifically for certain assays, and slides or other surfaces for serial deposits of sample at discrete or unique locations. Moreover, formats include standard formats and microfluidic formats. Robotic liquid handlers can currently handle all of these formats and can be adapted to handle non-standard formats based upon a particular assay or assays. The limit on thermal-cyclers (for PCR) is currently 384-well plates. However, the invention contemplates devices adapted for thermal cycling additional formats including microtiter plates. The invention also contemplates automated procedures that involve other microtiter plate formats, including non-standard microtiter plate formats.

[0013] Additional aspects and advantages of the invention are apparent upon consideration of the following detailed description thereof.

#### Brief Description of the Drawings

[0014] FIG. 1 is a schematic diagram showing a serial dilution pathway using a method or algorithm of the invention for samples with similar initial concentrations.

[0015] FIG. 2 is a schematic diagram showing a serial dilution pathway using a method or algorithm of the invention for samples with different initial concentrations.

[0016] FIG. 3 is a schematic diagram showing a parallel array of equally diluted samples from many patients.

[0017] FIG. 4 is a schematic diagram showing a parallel array of equally diluted samples from one patient.

[0018] FIG. 5 is a schematic diagram showing a parallel array of diluted samples and a PCR plate for performing PCR on diluted samples in the array.

#### Detailed Description of the Invention

[0019] The invention provides a method and apparatus for minimizing the steps necessary in a dilution process. The invention also provides a method and apparatus for automating the dilution process. The invention provides a method for determining the step at which a sample is introduced into a sample-specific (or concentration-specific) dilution position in a pre-determined dilution pathway so that the analyte is diluted to a pre-determined target concentration. Specifically, the invention involves determining the sample concentration of an analyte, and based on the concentration of the analyte, subsequently determining which position or point in the dilution pathway the sample should be introduced.

[0020] The analysis of biological samples often requires a pre-determined amount of material (e.g. nucleic acid) for an assay such as a diagnostic assay. Accordingly, automated procedures for producing samples of uniform concentration are useful in order to efficiently process multiple samples. The invention provides methods for reducing the number of manipulations required for an automated dilution procedure. According to the invention, a sample concentration is determined, and this sample concentration is used to determine the appropriate entry position or point at which the sample is introduced into a pre-determined dilution pathway that involves a plurality of consecutive dilution steps between a series of dilution points. For example, a 10,000 fold dilution can be obtained in a pathway involving 4 consecutive 10 fold dilution steps that take the sample from a first position or point in the dilution pathway to a fifth position or point in the dilution pathway. According to the invention, a sample or a portion of sample is introduced to a unique position in the apparatus (slide or microtiter plate, for example) corresponding to the initial concentration of analyte in the sample or portion of sample. Accordingly, methods of the invention involve providing a pre-determined series of dilution stages in a pathway. As such, after the initial concentration of an analyte in a sample is determined, a portion of the sample is introduced to the appropriate sample-specific dilution point or position in the pathway. For example, with a nucleic acid sample, the appropriate sample-specific dilution position or point in the pathway is determined by the nucleic acid concentration of the sample. Thereafter, the sample is serially diluted with each stage along the dilution pathway. According to the invention, the sample is diluted to a discrete, final dilution point in the pathway regardless of the initial concentration of the sample.

[0021] In certain embodiments, the sample is a biological sample. For example, the biological sample is (or may be derived from) stool, urine, saliva, seminal fluid, blood, sputum, cerebrospinal fluid, pancreatic fluid, bile, lymph, pus, and/or aspirate. A biological sample may be a nucleic acid sample that is obtained from one patient or a plurality of nucleic acid samples obtained from a plurality of patients. In related embodiments, the nucleic acid sample includes human or animal DNA. In certain embodiments, the biological sample also includes a cellular material. In other embodiments, a sample may be a non-biological sample. In additional embodiments, methods and apparatus of the invention are useful to automate the dilution of non-human samples. For example viral samples (for

example intact viruses, viral nucleic acids, or viral proteins) can be diluted using methods of the invention.

[0022] Generally, according to the invention, a concentration of an analyte in a sample is determined. For example, in one embodiment of the invention, for methods and apparatus involving a nucleic sample that includes human DNA, the human DNA  
5 concentration can be determined by qPCR. However, the concentration of a sample may be determined by any means known to those skilled in the art, and may vary based upon the sample that is to be diluted.

[0023] Methods and apparatus for normalizing multiple samples include depositing a  
10 portion of samples in parallel across an array of dilution points or positions on an apparatus. Embodiments of the invention contemplate apparatus such as an array configured two or more dimensions. Also, methods and apparatus of the invention have discrete and unique positions on an apparatus, such as a well or a polony. A polony is a deposit introduced, for example, on a slide or other surface. Generally, each deposit or collection of sample forms a  
15 polony or droplet on a slide (or other surface) as a result of the surface tension of the sample or liquid. As such, an apparatus of the invention involve arrays that may be any standard plate having at least one well, such as a microtiter plate. In other embodiments of the invention, apparatus involve an array that includes a slide capable of receiving sample deposits across an array of polonies on the surface of the slide. Furthermore, each of the  
20 polonies occupy a unique position on the apparatus that corresponds to the initial concentration of an analyte in a sample.

[0024] The invention also includes performing a PCR reaction on the sample. In certain embodiments, the PCR reaction is performed on a portion of sample at the final dilution stage in a dilution pathway. Also, in certain embodiments of the invention, the  
25 sample is diluted to about 10 nucleic acid molecules at the final dilution stage. In other embodiments of the invention, the sample is diluted to about 5 nucleic acid molecules at the final dilution stage. In yet another embodiment of the invention, the sample is diluted to about 1 nucleic acid molecule at the final dilution stage.

[0025] Embodiments of the invention also include the step of analyzing the sample.  
30 In one embodiment, the sample at the final dilution stage in the pathway is analyzed. However, a sample may be isolated and analyzed at any dilution stage in the pathway.

Analyzing the sample may involve performing an assay on the sample. For example, useful assays include enumerated LOH, DNA integrity assay, mutation detection, expression assays, and FISH. However, any assay or analyzing technique may be employed and may be dependent on the target sample. Also, specific assays may detect mutations at a genetic locus selected from the group consisting of p53, ras, APC, DCC, and BAT-26. Methods and apparatus of the invention may also include performing a retroviral detection test or assay. For example, specific tests or assays may be conducted to genotype viruses, for example to detect mutations or variants within an HIV virus or an HPV virus sample.

**[0026]** Also, methods of the invention include the step of labeling a nucleic acid sequence in the nucleic acid sample with a detectable label. Examples of detectable labels include, for example, radioisotopes, fluorescent compounds, antigenic markers and enzymatic markers. Other detectable markers may be used, and are known to those skilled in the art.

**[0027]** Methods of the invention also include a computer programmable method for the automation of sample dilution. Generally, methods of the invention include inputting data corresponding to a programmed algorithm for automated dilution of a sample along a series of dilution points in a pathway. The methods also include inputting data corresponding to a pre-determined initial concentration of at least one sample. Furthermore, the methods include determining an appropriate entry point for introducing the sample along the series of dilution points in the pathway. According to the invention, the appropriate entry point is determined by the initial concentration of the sample. Moreover, the methods include sending instructions to a computer or robotic device capable of introducing a sample into a specific stage in the pathway and diluting a sample along the dilution stage in the pathway. In related embodiments, all information and data is presented in a visual display or in audio. In related embodiments, the methods include input modules for inputting the data, such as the initial concentration of the sample, for use with the computer programmable method. Moreover, in related embodiments, all information and data may be provided to a user over a wired or wireless network.

**[0028]** The invention provides methods and apparatus for processing multiple patient samples in parallel to generate samples of uniform concentration. According to the invention, samples containing analytes having different starting concentrations of material are introduced into a series of dilution points in a pathway (or an automated serial dilution

procedure) at different steps. For example, more concentrated patient samples are introduced earlier in the dilution procedure, and undergo more dilution steps than less concentrated patient samples which are introduced later in the dilution procedure. The appropriate introduction of the patient samples may be determined by a pre-determined algorithm or can  
5 be manipulated by the user.

**[0029]** An internal control can be used to monitor the dilution profile and control for variability in dilution steps between parallel samples. For example, a known amount of a fluorescent or other marker can be introduced at a stage, preferably the first stage, in a dilution pathway. The amount of marker is determined at one or more dilution stage in the  
10 dilution pathway and compared to a reference amount, or to an amount of marker in a parallel sample at the same stage in the dilution pathway. The amount of variation is an indication of the reproducibility of the dilution pathway in parallel samples.

**[0030]** The invention is particularly useful in the context of a series of dilution points in a dilution pathway or an automated dilution procedure that is performed using a two-  
15 dimensional array of sample deposits (e.g. a two-dimensional array of test tubes, a two-dimensional multiwell plate, a two-dimensional array of sample chambers, or other two-dimensional configuration of sample containers). One advantage of the invention is that it can be practiced using standard automation and robotic equipment for standard multiwell plates including 96, 384, and/or 1536 well plates. Also, another advantage of the invention is  
20 that it can be practiced using a slide. For example, deposits along a series of dilution points may be introduced on a slide as colonies. Accordingly, the use of colonies on slides or any other suitable surface provides for distribution of isolated samples without requiring a fixed or substantially fixed dimension or chamber, which is conventionally required in a multiwell-plate.

**[0031]** Methods and apparatus of the invention also include a series of dilution  
25 pathways that involve branched or bifurcated pathways. For example, the use of branched or bifurcated pathways provides for large-scale dilution and distribution of sample for comprehensive analysis and research. Furthermore, methods and apparatus of the invention also include pathways that are individually controlled and addressable. For example, certain  
30 pathways may be excluded based upon certain properties of the sample.

[0032] Figure 1 shows a serial dilution process where the starting samples are introduced in the column A, and are diluted stepwise through a series of two-fold dilutions moving across the array towards column C. Such a dilution procedure can result in large scale dilution over a small number of steps. For example, a 12 step dilution procedure wherein each step involves a two-fold dilution results in a dilution of  $2^{12}$  (or 4096-fold) and a sample with an initial DNA concentration of 50,000 pg/100  $\mu$ l is diluted to 12 pg/100 $\mu$ ls. One of ordinary skill in the art will understand that each dilution step can involve a different dilution factor (e.g. 5 fold dilution, 10 fold dilution, or other dilution factor) provided the dilution factor is reasonable given the size of the sample wells at each step. Accordingly, an appropriate dilution scheme can be designed for any application.

[0033] A generally applicable equation to calculate the dilution is as follows:

$$C_f = N^{(x)}; \quad \text{where } C_f \text{ is final concentration}$$

N = dilution factor per transfer from column to column

x = number of dilutions (dependent on input row).

[0034] The example shown in Figure 1 illustrates a dilution procedure where the initial concentrations of the different sample are the same. However, in many situations the initial concentrations of biological samples vary significantly from one sample to the next. According to the invention, samples with different concentrations are introduced into a dilution algorithm at different steps in the serial dilution process. For example, Figure 2 shows a dilution procedure where five samples with different initial concentrations are introduced into a dilution procedure at five different stages, resulting in final concentrations that are identical. In this example, other samples with an initial concentration of P1 are introduced in column A. Similarly, other samples with an initial concentration of P2, P3, P4, and P5 are introduced in columns B, C, D, E and F respectfully. The advantage of this method is that a uniform automated dilution procedure is performed across the two-dimensional array, but individualized dilutions are obtained by introducing individual samples at different steps in the dilution procedure.

[0035] Accordingly, Figure 2 shows a serial dilution process where the starting samples are introduced in one of the column A through F, and are diluted stepwise through a series of dilutions moving across the array towards column F. Although not shown in the array, a person skilled in the art understands that further dilutions may continue stepwise in

hypothetical columns G, H and so on (not shown) based upon the characteristics of the sample.

[0036] Also, after a sample is introduced or deposited in the appropriate well (in the case of an apparatus containing a substantially fixed dimension, such as a microtiter plate) or a polony (in the case of an apparatus, such as a slide), after the sample is diluted in the stepwise automated serial dilution process, the final dilution sample or final dilution deposit in each of the rows (P1 through P5) have substantially the same concentration. As a result, as provided in Example 2, the concentration of the sample in the initial P1 is greater than the initial P2, which is greater than the initial P3, and so on until the initial P5. However, the concentrations of the sample in the final P1 through P5 are substantially equivalent.

[0037] Accordingly, using the formula:  $n = \text{Log}_2 C_{\text{initial}}/C_{\text{final}}$ , where  $n$  = number of dilutions,  $C_{\text{initial}}$  = initial concentration and  $C_{\text{final}}$  = final concentration: the user of this method or apparatus can determine the number of dilutions needed to achieve a desired end concentration.

[0038] One of ordinary skill in the art will understand that the sequential steps in the dilution procedure do not need to be adjacent in the two-dimensional array. For example, a first dilution step can be performed in column 5, a second dilution step in column 2, and a third in column 8. However, it would typically be more convenient to assign sequential dilution steps to sequential columns across a two-dimensional array.

[0039] One of ordinary skill in the art will also understand that the two-dimensional array is described as having columns and rows for convenience and clarity of description. The invention can be practiced by performing the dilution steps in any of the directions across an array of sample wells. For example, the invention can also be practiced by assigning different dilution steps to different rows as opposed to different columns in a two-dimensional array.

[0040] In a preferred embodiment of the invention, the sample-specific dilution steps are not significantly different in order to provide multiple entry points for different samples with initial concentrations that are not significantly different. More preferably, the sample-specific dilution steps are chosen to correspond to the range of different initial concentrations that are typically obtained for a given sample preparation.

[0041] In one embodiment of the invention, automated dilution procedures are useful to generate a massively parallel array of equally diluted samples from patient samples of different concentrations. This is illustrated in Figure 3 where diluted samples are transferred to an array of samples (e.g. another multiwell plate) for diagnostic analysis. In this example, the different samples are all assayed using the same diagnostic test (e.g. looking for the same marker). However, in alternative embodiments, a diagnostic assay can be performed directly on the final sample step in the dilution procedure (e.g. on the column containing the final dilution step in the dilution array).

[0042] In another embodiment of the invention, automated dilution procedures are useful to generate a massively parallel array of equally diluted samples from a single patient, as illustrated in Figure 4. In this example, the samples are tested using different assays (e.g. for different diagnostic markers).

[0043] In another embodiment of the invention, automated dilution methods and apparatus are useful for generating samples for later analysis. For example, as represented in Figure 5, samples are introduced based upon the sample's concentration in a deposit in the appropriate columns on the dilution plate, and thereafter, the samples are diluted stepwise through a series of dilutions moving across the array. Generally, if the final dilution sample or deposit (the last column on the right, for example) is to be analyzed, then there must be sufficient volume in this sample or deposit to run multiple PCR reactions. Also, in certain embodiments, as shown in Figure 5, real-time quantitative PCR analysis may be performed on a sample or samples having a known sample concentration at a certain discrete, dilution point by means known to those skilled in the art, such as, for example, performing a TaqMan® analysis. In other embodiments, a sample or samples having a certain dilution concentration may be evaluated for gene expression analysis, genotyping, viral or pathogen detection/quantitation, and/or mutation screening. One of ordinary skill in the art will understand that a detection assay can be performed at any one or more points or stages in the dilution pathway. In one embodiment, the last two to five dilution points or stages are assayed.

[0044] Also, in certain embodiments, a PCR reaction may be performed on a sample or samples having a certain sample concentration. The number of PCR's required generally is dependent on the mutant enrichment and analytical sensitivity, which accordingly, leads to a

probability of detecting the mutant population. The following table provides a representative demonstration of the relationship between the number of PCRs required, the degree of mutant enrichment and the analytical sensitivity and probability of detecting the mutant population.

5 [0045] Table A: Nominal Mutant Reactions Detectable Per 100 PCRs

Starting Mutant Heterogeneity <sup>(1)</sup>	Mutant % <sup>(2)</sup> (Nominal number of mutant PCR's per 100 total PCR's)			
	1 Copy/PCR <sup>(3)</sup>	5 Copy/PCR	10 Copy/PCR	50 Copy/PCR
10%	100% (10)	20% (50)	10% (100)	2% (100)
5%	100% (5)	20% (25)	10% (50)	2% (100)
1%	100% (1)	20% (5)	10% (10)	2% (50)
0.5%	100% ( $<1$ ) <sup>(4)</sup>	20% (2.5)	10% (5)	2% (25)
0.1%	100% ( $<1$ )	20% ( $<1$ )	10% (1)	2% (5)

(1) Percentage of mutant molecules (copies) to total molecules (mutant + wild-type).

(2) Equivalent to the required analytical sensitivity for detection of mutant in a heterogenous population of DNA.

(3) Equivalent to 1 molecule/PCR. This means mutant % (in the cases where mutant molecules are present) is 100%.

(4) Must run > 100 PCR's in order to detect mutant.

[0046] Table A shows nominal mutant reactions detectable per 100 PCRs. The actual enrichment and mutant detectability can vary (positive or negative) due to the Poisson distribution of molecules in starting samples, and as a result of distributions during manipulations (e.g., pipetting, dilutions, preparations of PCR reactions, variable PCR efficiency, etc.). As Table A indicates, the starting mutant heterogeneity is the percentage of mutant molecules (copies) to total molecules (mutant and wild-type). The mutant percentage is equivalent to the required analytical sensitivity for detection of mutant in a heterogeneous population of DNA. Also, the 1 Copy/PCR is equivalent to 1 molecule per PCR, which also is equivalent to a mutant percentage (in the cases where mutant molecules are present) of 100%. Also, if the nominal number of mutant PCR's per 100 total PCR's is less than about 1, then this is an indication that over 100 PCRs must be conducted in order to detect a mutant.

[0047] Methods and apparatus of the invention are particularly useful for nucleic acid sample preparations. According to the invention, a patient sample may be introduced into a

dilution procedure at an appropriate dilution step based on the concentration of patient (e.g. human) nucleic acid in the sample. The amount of patient nucleic acid in the sample can be determined according to known methods in the art. If the sample is prepared from patient cells (e.g. blood), the amount of nucleic acid in the sample is indicative of the amount of patient nucleic acid in the sample and can be measured for example by UV absorbance at 260nm or by using standard dye based quantitation methods. In contrast, if the sample is prepared from a stool sample, the amount of patient nucleic acid is much less than the amount of bacterial nucleic acid. Accordingly, the amount of patient nucleic acid is determined by quantitative PCR (qPCR) using human specific (or more generally, target specific) oligonucleotide probes. Alternatively, the patient nucleic acid can be purified by removing the bacterial nucleic acid using standard techniques known to those skilled in the art. Purified patient nucleic acid can be quantified as described above or by any other means known to those skilled in the art.

**[0048]** According to the invention, diluted samples can be assayed or analyzed by numerous techniques including techniques known in the art, including DNA sequencing, solid-phase minisequencing, primer extension reactions, allele-specific oligonucleotide (ASO) hybridization probes, oligonucleotide ligation assays (OLA), allele-specific PCR (ASP), mass spectrometry (MS) including MALDI and ESI ionization techniques, enzyme-linked immunoassays (ELISA), microarrays, and protein truncation tests (PTT).

**[0049]** Other aspects and advantages of the invention are apparent upon consideration of the disclosure herein.

Example:

**[0050]** Automated Sample Dilution for Digital PCR:

**[0051]** Digital PCR (DIG-PCR) is based on a dilution of a starting DNA solution to the point that single molecules are isolated and then amplified using PCR. However, the dilution process is typically laborious. However, an alternative approach provided by the invention is to automate the dilution process using commercially available robotic fluid handlers and standard microtiter plate formats which provides for greater flexibility for downstream analysis techniques. More importantly, the need for very high numbers of analysis “wells” is eliminated due to the normalization of patient to patient DNA variability

at the analysis stage. This is due to the increased number of productive wells (i.e., resulting in positive PCR results) using this invention.

**[0052]** The challenges of reproducibly generating high degrees of dilution for digital PCR using common robotic liquid handlers involve the following factors:

- 5 relying on serial dilutions to insure accuracy and reproducibility;
- allowing for a high degree of variability of initial DNA concentration to be expected from sample to sample;
- targeting final concentrations in the range of 5-50 pg DNA (in the range of 1 to 5 cell equivalents of target sequence);
- 10 conforming to standard instrumentation (robotic liquid handlers) and consumables (microtiter-plate) formats for direct applicability.

**[0053]** According to one embodiment of the invention, samples are introduced robotically into the columns of a microtiter-plate and a robotic liquid handler is programmed to serially dilute samples as they are transferred from one well to the next in a row, adding an equivalent volume of fresh buffer at each transfer (effecting a 2x dilution at each transfer). In a standard microtiter-plate format (8x12 wells) this allows for a dilution of up to 8 samples in parallel. In one example, assuming an initial concentration of 50,000pg/100 $\mu$ l, starting in well A1 and proceeding to well A12 results in a final concentration of 12pg/100  $\mu$ l, according to the equation:

20

$$C_{\text{final}} = C_{\text{initial}} / (2)^n, \quad \text{where } n = \text{number of dilutions.}$$

**[0054]** The volume of diluted DNA solution left in the last well of a row is sufficient to feed many parallel PCR reactions, in concert with the original DIG-PCR concept of analyzing the resultant "homogenous" or enriched DNA populations. In an example where a final quantity of 250ul of diluted DNA is left in the last well, this would be sufficient to run 50 PCR reactions assuming 5ul of DNA solution per reaction (e.g. 50ul total reaction volume), or greater number of reactions assuming a scaled-down PCR reaction volume.

**[0055]** According to one aspect of the invention, a sample dilution procedure does not require that the sample be diluted to a single molecule. In a preferred embodiment, a sample is diluted to a point where about 5 molecules are present. For example, a mutation can be

30

detected in this sample provided that the detection assay is sufficiently sensitive to detect a mutation that is present in 20% of the molecules. Accordingly, a preferred sample dilution is determined by the sensitivity of the diagnostic detection assay that is to be performed on the diluted sample.

5 [0056] The invention can be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The foregoing embodiments are therefore to be considered in all respects illustrative rather than limiting on the invention described herein. Scope of the invention is thus indicated by the appended claims rather than by the foregoing description, and all changes which come within the meaning and range of equivalency of the  
10 claims are therefore intended to be embraced therein.

**CLAIMS**

- 1 1. A method for normalizing a sample, the method comprising the steps of:  
2 determining an initial concentration of an analyte in each of a plurality of samples;  
3 introducing a portion of each sample on an apparatus such that the portion of each  
4 sample occupies a unique position on the apparatus related to the analyte's initial  
5 concentration; and,  
6 diluting the plurality of samples such that the plurality of samples are normalized with  
7 respect to analyte concentration.
- 1 2. The method of claim 1 wherein the portions of each sample are introduced on the  
2 apparatus robotically.
- 1 3. The method of claim 1 wherein the sample is a biological sample.
- 1 4. The method of claim 3 wherein the biological sample is selected from the group  
2 consisting of stool, urine, saliva, seminal fluid, blood, sputum, cerebrospinal fluid, pancreatic  
3 fluid, bile, lymph, pus, and aspirate.
- 1 5. The method of claim 1 wherein the analyte is a nucleic acid.
- 1 6. The method of claim 5 wherein the nucleic acid is human DNA.
- 1 7. The method of claim 6 wherein the human DNA concentration is determined by  
2 qPCR.
- 1 8. The method of claim 1 wherein the apparatus is an array.
- 1 9. The method of claim 1 wherein the unique position is a well or a polony.
- 1 10. The method of claim 8 wherein the array is a microtiter plate.
- 1 11. The method of claim 10 wherein the microtiter plate comprises a plurality of wells.
- 1 12. The method of claim 8 wherein the array is a slide.

1 13. The method of claim 12 wherein the portions of each sample is deposited as discrete  
2 colonies on the slide.

1 14. The method of claim 1 wherein a PCR reaction is performed on the portion of the  
2 sample.

1 15. The method of claim 14 wherein the portion of the sample is located at the final  
2 dilution stage in the pathway.

1 16. The method of claim 15 wherein the sample is diluted to about 10 nucleic acid  
2 molecules at the final dilution stage.

1 17. The method of claim 15 wherein the sample is diluted to about 5 nucleic acid  
2 molecules at the final dilution stage.

1 18. The method of claim 15 wherein the sample is diluted to about 1 nucleic acid  
2 molecule at the final dilution stage.

1 19. The method of claim 1 further comprising the step of analyzing the portion of a  
2 sample.

1 20. The method of claim 19 wherein the sample is located at the final dilution stage in the  
2 pathway.

1 21. The method of claim 5 further comprising the step of labeling a nucleic acid sequence  
2 in the nucleic acid sample with a detectable label.

1 22. The method of claim 21 wherein the detectable label is selected from the group  
2 consisting of radioisotopes, fluorescent compounds, antigenic markers and enzymatic  
3 markers.

1 23. The method of claim 3 wherein the biological sample comprises a cellular material.

1 24. The method of claim 19 wherein the analyzing step comprises performing an assay  
2 selected from the group consisting of enumerated LOH, DNA integrity assay, mutation  
3 detection, expression assays, and FISH.

- 1 25. The method of claim 24 wherein the assay detects mutations at a genetic locus  
2 selected from the group consisting of p53, ras, APC, DCC, and BAT-26.
- 1 26. The method of claim 19 wherein the analyzing step comprises performing a viral  
2 detection assay.
- 1 27. The method of claim 26 wherein the viral assay comprises a HIV virus or a HPV  
2 virus.
- 1 28. A computer programmable method for the automation of sample dilution, the method  
2 comprising the steps of:  
3 inputting data corresponding to a programmed algorithm for automated dilution of a  
4 sample along a series of dilution points in a pathway;  
5 inputting data corresponding to a pre-determined initial concentration of at least one  
6 sample;  
7 determining an appropriate entry point for introducing the sample along the series of  
8 dilution points in the pathway; wherein the appropriate entry point is determined by  
9 the initial concentration of the sample; and,  
10 sending instructions to an automated device capable of diluting the sample along the  
11 series of dilution points in the pathway.
- 1 29. The method of claim 28 wherein the appropriate entry point for introducing a sample  
2 is determined for a plurality of samples.
- 1 30. A method for automating sample dilution, the method comprising the step of  
2 introducing a sample into an automated dilution procedure involving a plurality of dilution  
3 steps, wherein the sample is introduced at a dilution step that is determined by the sample  
4 concentration.
- 1 31. The method of claim 30 wherein a plurality of samples are introduced into the  
2 automated dilution procedure, each sample being introduced at a step that is determined by its  
3 concentration.
- 1 32. A method for normalizing a sample, the method comprising the steps of:

- 2 providing a pre-determined series of dilution stages in a pathway;  
3 determining an initial concentration of an analyte in a sample;  
4 introducing a portion of the sample in a unique position on an apparatus, wherein the  
5 unique position is determined by the initial concentration of the analyte; and,  
6 diluting the sample such that the final concentration of the analyte in the sample is  
7 normalized.
- 1 33. The method of claim 32 wherein the step of diluting is performed serially.

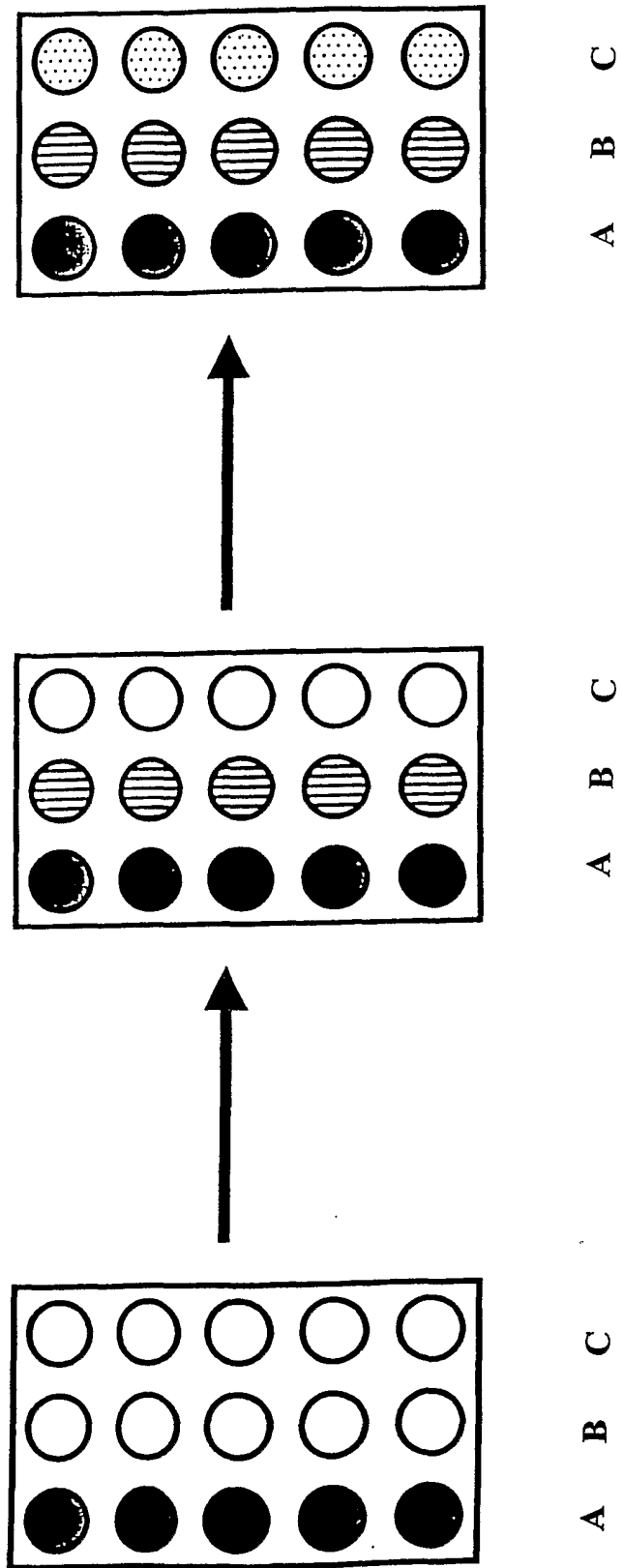


Fig. 1

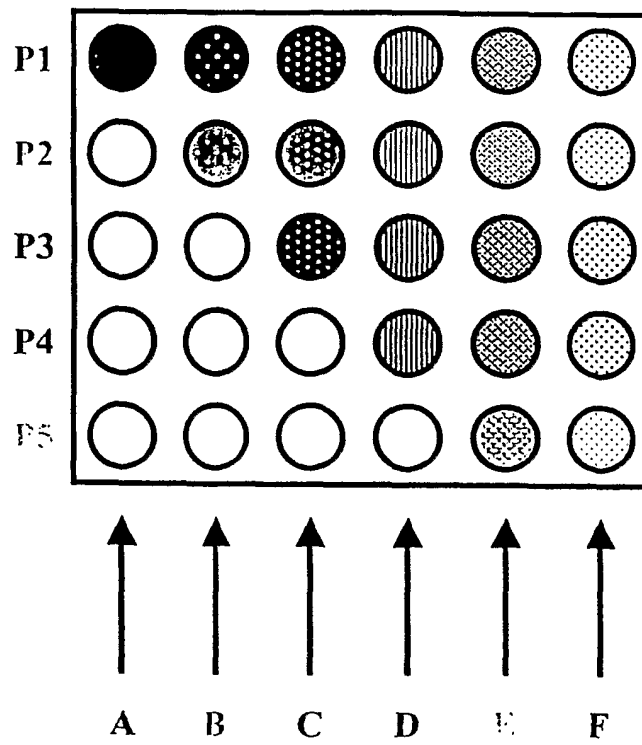


Fig. 2

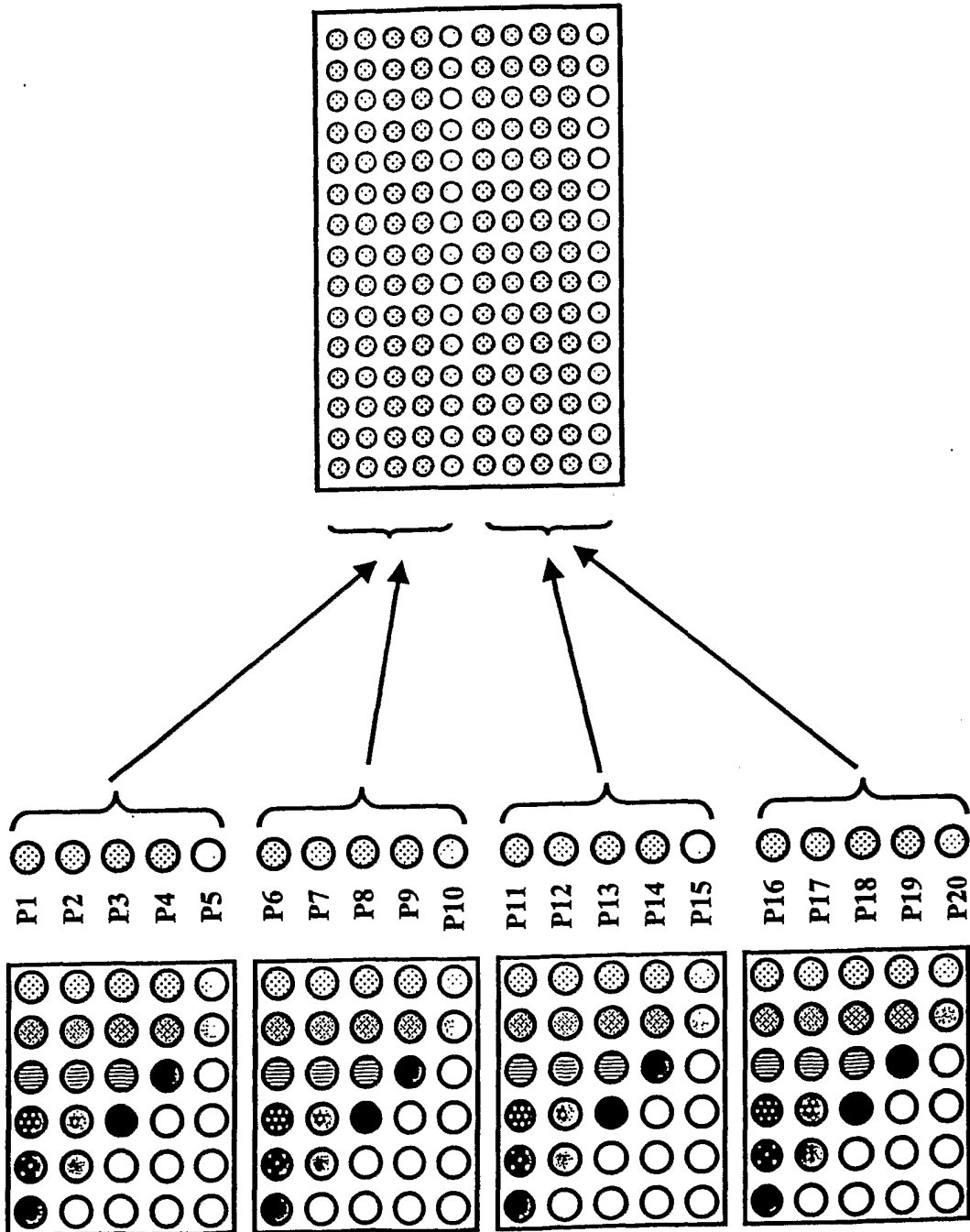


Fig. 3

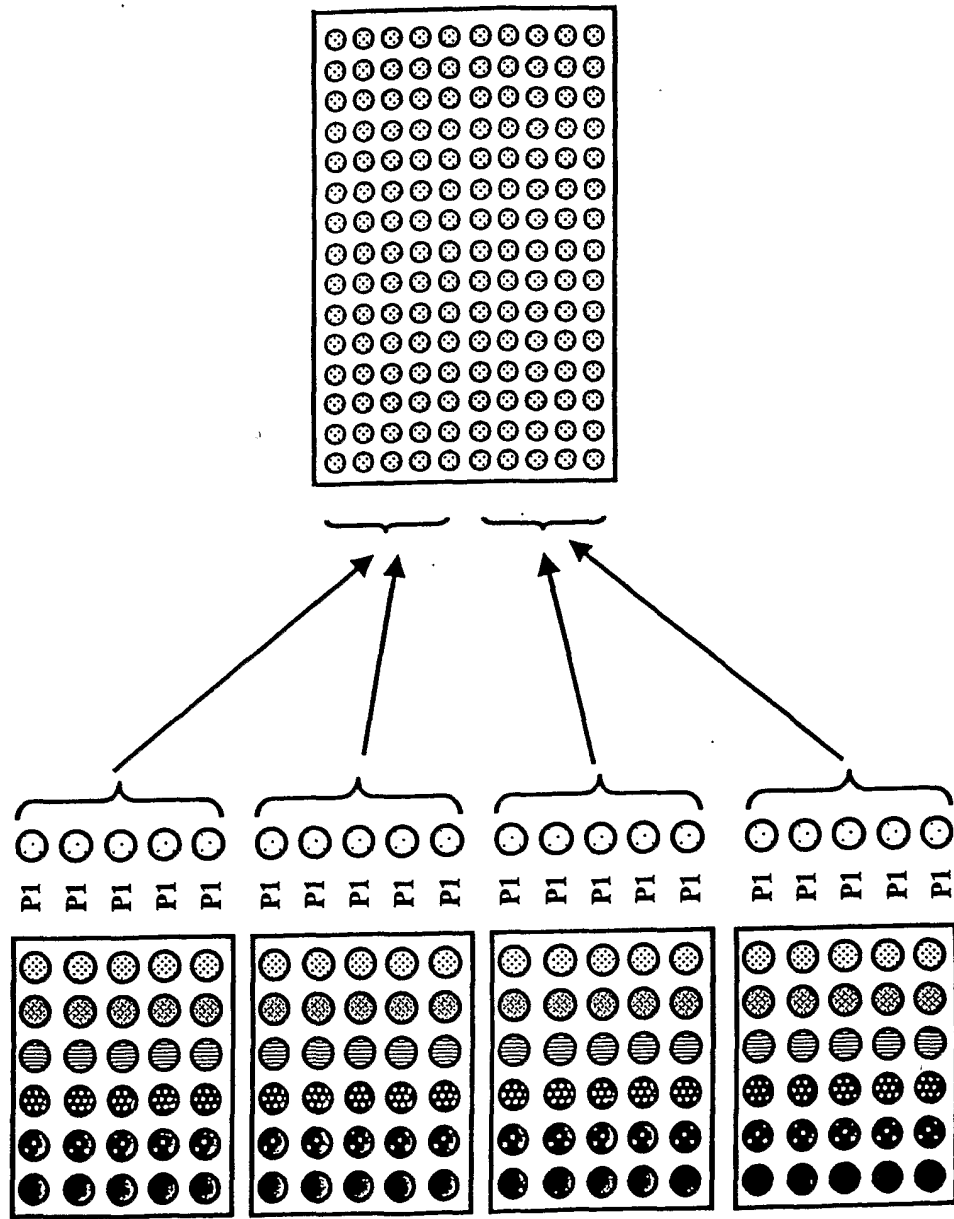
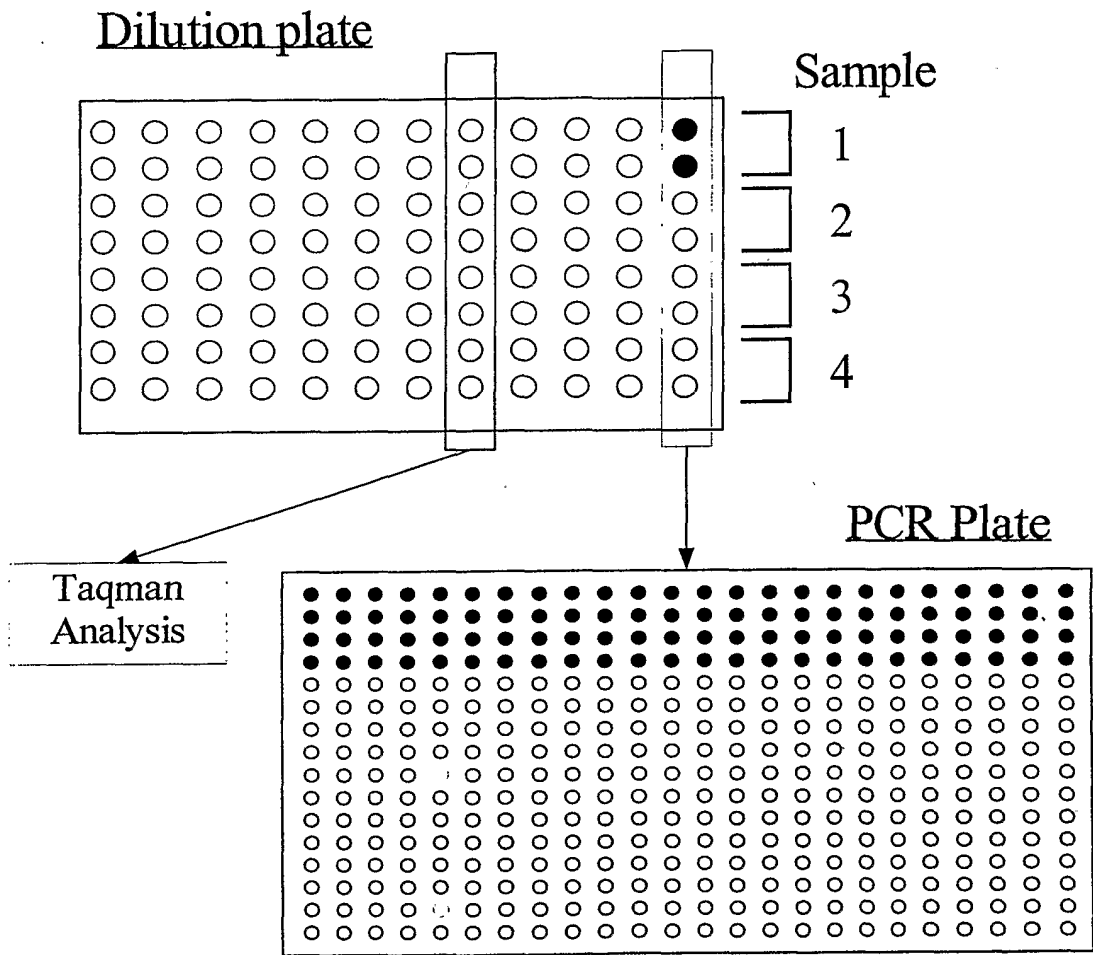


Fig. 4



**Fig. 5**