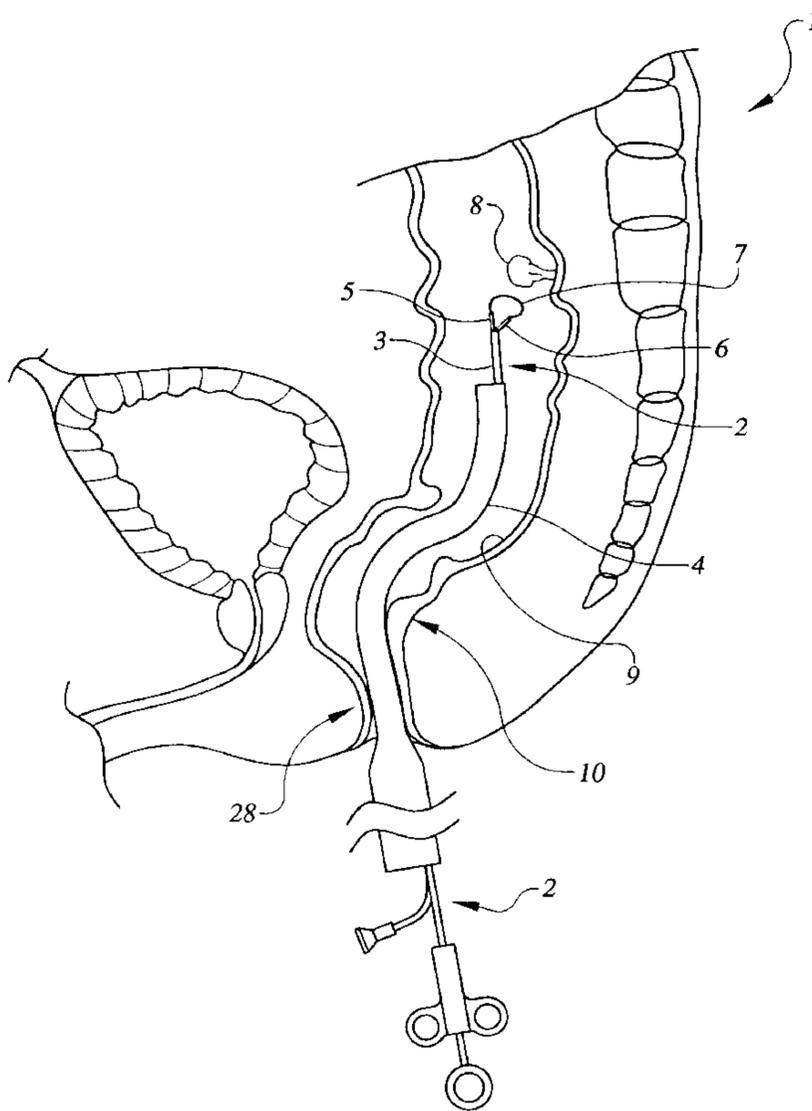




(86) Date de dépôt PCT/PCT Filing Date: 2002/07/26  
 (87) Date publication PCT/PCT Publication Date: 2003/03/27  
 (85) Entrée phase nationale/National Entry: 2004/01/23  
 (86) N° demande PCT/PCT Application No.: US 2002/023833  
 (87) N° publication PCT/PCT Publication No.: 2003/024348  
 (30) Priorités/Priorities: 2001/07/27 (09/917,104) US;  
 2001/07/27 (09/916,356) US

(51) Cl.Int.<sup>7</sup>/Int.Cl.<sup>7</sup> A61B 18/18  
 (71) Demandeur/Applicant:  
 STARION INSTRUMENTS CORPORATION, US  
 (72) Inventeurs/Inventors:  
 MOLLENAUER, KENNETH, US;  
 DE LA TORRE, ROGER A., US  
 (74) Agent: SMART & BIGGAR

(54) Titre : DISPOSITIF ET PROCEDE DE POLYPECTOMIE  
 (54) Title: POLYPECTOMY DEVICE AND METHOD



(57) **Abrégé/Abstract:**

A polypectomy device and method which includes a snare cable (7), a fixed jaw (5) and moveable jaw (6). The snare cable is routed through both the fixed jaw and the moveable jaw. The snare cable forms a hoop for encircling the polyp. Shortening the snare cable positions the jaws about the base of the polyp and further shortening the cable closes the jaws. The fixed jaw includes a heating element (17) which cauterizes the base of the polyp. The closing of the jaws and the heating of the heating element cuts and seals the polyp.

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
27 March 2003 (27.03.2003)

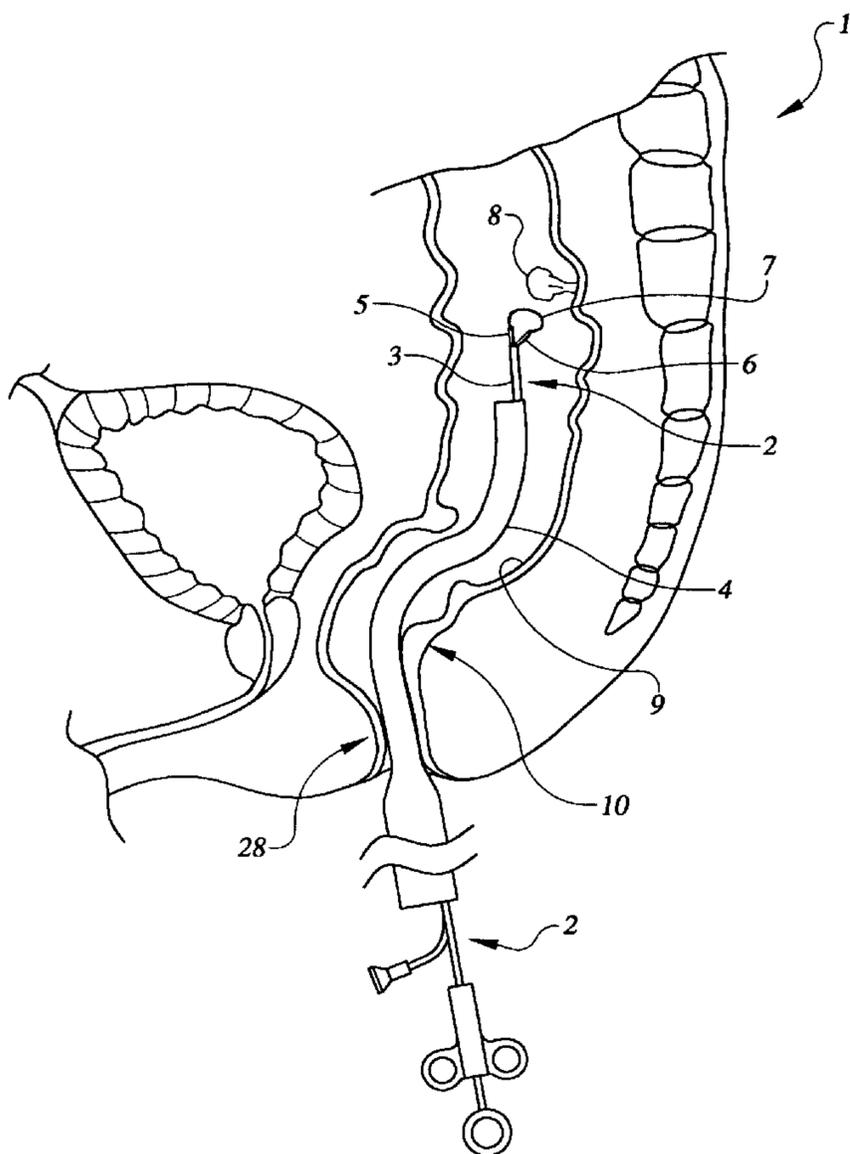
PCT

(10) International Publication Number  
WO 03/024348 A1

- (51) International Patent Classification<sup>7</sup>: A61B 18/18
- (72) Inventors: MOLLENAUER, Kenneth; 20665 Fourth Street, Saratoga, CA 95070 (US). DE LA TORRE, Roger, A.; 20665 Fourth Street, Saratoga, CA 95070 (US).
- (21) International Application Number: PCT/US02/23833
- (74) Agent: CROCKETT, David, K.; Crockett & Crockett, Suite 400, 24012 Calle de la Plata, Laguna Hills, CA 92653 (US).
- (22) International Filing Date: 26 July 2002 (26.07.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/916,356 27 July 2001 (27.07.2001) US  
09/917,104 27 July 2001 (27.07.2001) US
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (71) Applicant: STARION INSTRUMENTS CORPORATION [US/US]; 20665 Fourth Street, Saratoga, CA 95070 (US).
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),

[Continued on next page]

(54) Title: POLYPECTOMY DEVICE AND METHOD



(57) Abstract: A polypectomy device and method which includes a snare cable (7), a fixed jaw (5) and moveable jaw (6). The snare cable is routed through both the fixed jaw and the moveable jaw. The snare cable forms a hoop for encircling the polyp. Shortening the snare cable positions the jaws about the base of the polyp and further shortening the cable closes the jaws. The fixed jaw includes a heating element (17) which cauterizes the base of the polyp. The closing of the jaws and the heating of the heating element cuts and seals the polyp.



WO 03/024348 A1

**WO 03/024348 A1**



Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK,  
TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,  
GW, ML, MR, NE, SN, TD, TG).

— *before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments*

**Published:**

— *with international search report*

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

**POLYPECTOMY DEVICE AND METHOD**Field of the Inventions

The invention relates general to medical devices, and more specifically to devices for performing a polypectomy.

5                   Background of the Inventions

Polyps are defined as any growth or mass protruding from a mucous membrane. Polyps can occur wherever there is mucous membrane, namely, in the nose, ears, mouth, lungs, heart, stomach, intestines, urinary bladder, uterus, and cervix. 10 Most polyps are benign growths that eventually stop growing. But some of these polyps keep growing. Genetic mutations can transform them into cancerous tumors. As these cancerous tumors grow larger, they continue growing and begin to burrow deeper and deeper into the tissue supporting the polyp. In 15 the final stages the cancer invades the blood and lymph systems and the malignant cells of the tumor spread to other organs. The problem is particularly severe, yet readily treated, in the colon. Colorectal cancer is the second leading cause of death due to cancer in the United States. If 20 the cancer is detected and treated in its early stages, it can be cured more than 90% of the time. Thus, early detection and removal of colorectal polyps, whether benign, pre-cancerous, or cancerous, is highly effective in avoiding or treating colorectal cancer.

25                   Polyps may be attached to a mucous membrane by a thin stalk or the polyp may have a broad base. A polyp that is attached by a thin stalk is called a pedunculated polyp and a polyp that is attached by a broad base is called a sessile polyp. Various devices have been proposed for removing 30 polyps, especially pedunculated polyps, from the body. For

example, Avellanet, Polypectomy Snare Instrument, U.S. Patent 6,015,415 (Jan. 18, 2000) teaches a polypectomy device using a snare loop connected to a power source to supply cautery current. The snare loop is used to capture the polyp and  
5 current is applied to the snare loop which cauterizes the polyp as the snare is closed tightly around the polyp.  
McKeating, Polypectomy Instrument, U.S. Patent 5,746,747 (May 5, 1998) teaches a polypectomy device using grasping forceps to hold the polyp and a snare wire for cutting the polyp.  
10 Electrical current is supplied to the snare wire to cut and cauterize the polyp while the grasping forceps hold onto the body of the polyp. Nakao et al., Surgical Cauterization Snare with Ligating Suture, U.S. Patent 5,906,620 (May 25, 1999) teaches using two snare loops. The first loop cauterizes the  
15 polyp while the second loop acts as a suture for ligating the polyp. The second loop is left behind in the colon.

#### Summary

The present polypectomy device and method effectively removes polyps from the body. The device includes a first jaw  
20 and a second jaw. One of the jaws includes a heating element for cauterizing the tissue of the polyp. A snare cable is routed through each of the jaws. The snare cable forms a hoop for capturing the polyp. As the snare cable is pulled proximally it positions the jaws at the base or stalk of the  
25 polyp. As the snare cable is pulled further it closes the jaws. The closing of the jaws works in conjunction with a heating element to cut and seal the tissue between the jaws.

#### Brief Description of The Drawings

Figure 1 is an overview of the polypectomy device  
30 inserted into the colon of a patient.

Figure 2 is an overall side-view of the polypectomy device with a handle, an electrical connector, and a jaw assembly.

Figure 3 is a close-up cross sectional view of the jaw assembly located on the distal end of the polypectomy device.

Figure 4 is an overview of the polypectomy device inserted into a sinus of a patient with the snare wire encircling the base of the polyp.

Figure 5 is a like view with the snare wire pulled proximally to align the jaws of the polypectomy device.

Figure 6 is an overview of the polypectomy device inserted into the uterus of a patient with the snare wire encircling the base of the polyp.

#### Detailed Description of the Inventions

Figure 1 shows an overview of the polypectomy device inserted into the colon of a patient **1**. The polypectomy device **2** includes an elongated flexible catheter **3** extending out of the distal end of an endoscope **4**. The distal end of the catheter includes a fixed jaw **5**, a moveable jaw **6**, and a snare cable **7**. The snare cable may be operated to capture the polyp **8** and as the length of the snare cable is shortened, the polyp is drawn into the jaws and the moveable jaw is pulled toward the fixed jaw. The heating element **17** on the fixed jaw (See Figures 2 and 3) may be operated to necrose the tissue trapped between the jaws. The combination of heat and pressure causes the tissue to divide which severs the polyp from the colon wall **9** of the colon **10**.

Figure 2 shows an overall side-view of the polypectomy device **2**. The proximal end of the device includes a two-wire electrical connector **12**, and a handle **13**. The two-wire

electrical connector connects the catheter 3 to an electrical power source (not shown). The handle includes a cable trigger **14** and a fixed finger hold **15**. The cable trigger may be operated to pull the snare cable 7, which captures the polyp and operates the moveable jaw 6. Closing the jaw assembly and activating the power source to heat the heating element 17 cuts the polyp and seals the tissue.

Figure 3 is a close-up cross sectional view of the distal end of the polypectomy device. The snare cable 7, the fixed jaw 5 and the moveable jaw 6 extend out of the distal end of the catheter body 3. The fixed jaw is attached to the catheter body, and the moveable jaw is operatively connected to the catheter body at a hinge **16**. It should be noted that while we have referred to a fixed jaw and a moveable jaw, both jaws may be moveable. Both jaws may be hinged to the catheter, or otherwise rotatable relative to each other and secured to the catheter.

The fixed jaw 5 includes a heating element **17**, an insulation tube **18**, and a lumen **19**. The moveable jaw 6 includes a hole **20** (through which the snare cable passes) at its distal tip, a hinge 16 at its proximal end, and a silicone pad **21**. A distal outlet **22** is formed by the lumen 19 of the fixed jaw extending completely through the fixed jaw. The fixed jaw and the moveable jaw close together in a scissor-like movement. The surfaces of the fixed jaw and the moveable jaw that fit together are the grasping faces. The silicone pad 21 of the moveable jaw is located along the grasping face of the moveable jaw and the portion of the insulation tube 18 facing the moveable jaw serves as the grasping face of the fixed jaw.

The fixed jaw and the moveable jaw can be composed of stainless steel or other suitable metal, plastic, or ceramic.

The fixed jaw also includes an insulation tube that can be composed of polyamide, fluropolymer, or a PTFE/polyamide blend such as Xylan® (other insulative materials can be used). The insulation tube 18 isolates the heating element 17 from the stainless steel portion of the fixed jaw thereby preventing the heating element from heating the entire fixed jaw.

The heating element is composed of an electrically conductive material, such as nichrome, which is sufficiently resistive to heat up significantly when electricity is passed through it. The heating element can be approximately 1/10,000 nicrome wire having a length of approximately 10 mm. The power supply can generate approximately 3 to 30 watts of power, and the heating element approximately 2 to 7 watts of power. Alternative forms of heating elements may be used, such as ceramic heating elements, RF heating elements, and monopolar and bipolar heating elements. Additionally, heating elements may be placed on either jaw, or on both jaws.

The distal end of the snare cable 7 is fixed to the catheter body 3 and extends along the outside of the moveable jaw 6 through the hole 20 in the distal tip of the moveable jaw (though it may be fixed, at its terminus, to the distal tip of the moveable jaw). The cable then goes into the distal outlet 22 of the fixed jaw 5, through the lumen 19 to the cable trigger 14 located at the proximal end of the device. The lumen 19 of the fixed jaw includes a friction liner 23. The friction liner can be composed of nylon or Teflon®, which reduces the friction created by the snare cable 7 moving through the lumen of the fixed jaw, thereby permitting the snare cable to be operated smoothly and easily.

The fixed jaw 5 is formed as a cylindrical tube and the moveable jaw 6 is formed as a concave semicylindrical body that mates with the fixed jaw. The fixed jaw and the moveable

jaw are fashioned similar to a curling iron for hair, which includes a cylindrical heated tube and a moveable semicylindrical jaw that fit together to curl hair. In the curling iron art the fixed jaw is called the barrel and the moveable jaw is called the clip, and they function in a similar manner as the fixed jaw and the moveable jaw in this device. Thus, by analogy, the fixed jaw may be referred to as a barrel, and the moveable jaw may be referred to as a clip.

The outer most layer of the catheter body is an insulated catheter jacket **24**. Inside the catheter body are the electrical wire **25**, the braided conductor **26**, the lumen, and the snare cable **7**. The electrical wire is connected to the distal end of the heating element **17**, which is located on the grasping face of the fixed jaw, and supplies the electrical current from the electric source to the heating element. The current flows from the electric power source into the two-wire electrical connector **12** through the electrical wire **25** into the heating element **17**. The current then flows from the heating element through the conductive crimp **27**, located at the proximal end of the heating element, into the braided conductor **26** and back to the two-wire connector to complete the circuit. The braided conductor may be replaced with a wire if space permits.

In use, an endoscope is inserted into the patient's body to the area where the polyp is located. The endoscope is used to view inside the patient's body and look for polyps. If a polyp is found the surgeon inserts the polypectomy catheter into the working lumen of the endoscope. The surgeon then moves the distal end of the catheter toward the polyp and extends the snare cable to form a hoop which enables the surgeon to capture the polyp. The surgeon manually rotates the catheter such that the snare cable hoop can be properly

oriented and placed over the polyp. The manual rotation of the catheter is accomplished by rotation of the handle.

Once the polyp is encompassed by the snare cable, the surgeon activates the cable trigger thereby drawing the snare cable proximally relative to the catheter and closing the loop about the polyp. As the surgeon pulls the snare cable, the fixed jaw and the moveable jaw are pulled into place on either side of the polyp. As the surgeon further shortens the snare cable, it operates the moveable jaw to draw it toward the fixed jaw, thereby pulling the jaws together. The electrical power source is activated, which heats the heating element located on the fixed jaw. Therefore, as the jaws are held closed, the heating element and the jaws work together to both cut and seal the tissue. The power source may be activated by a footswitch (not shown), or it may be operated by a switch or button located on the catheter or the power source. It may also be activated by a limit switch which is activated by the proximal movement of the cable trigger.

As discussed above, polyps form in mucous membrane, and mucous membranes exist in many areas of the body. Polyps can form in a patient's colon 10. One way of looking for polyps in a patient's colon is a colonoscopy. During a colonoscopy the surgeon inserts an endoscope 4 into the patient's colon through the patient's anus 28 (See Figure 1). If a polyp 8 is found, the surgeon inserts the polypectomy device 2 into the working lumen of the endoscope and follows the procedure discussed above.

Similarly, as shown in Figure 4, polyps formed in the nasal sinuses can be removed with the device. A like procedure is followed. An endoscope 4 is inserted into the patient's nasal sinuses 29, through the patient's nostril 30. Once a polyp 8 is located, the polypectomy device 2 is inserted into

the endoscope. The snare cable 7 extends to form a hoop which enables the surgeon to capture the polyp. Once the polyp is encompassed by the snare cable, the surgeon activates the cable trigger 14 thereby drawing the snare cable proximally.

5 As shown in Figure 5, as the surgeon pulls the snare cable proximally, the fixed jaw 5 and the moveable jaw 6 are pulled into place on either side of the polyp 8. As the surgeon further pulls the snare cable, it operates the moveable jaw to draw it toward the fixed jaw, thereby mating the jaws

10 together, or at least bring the jaws into apposition with the polyp stalk caught between them. With the activation of the moveable jaw, the electrical power source is activated, which heats the heating element located on the fixed jaw. The power source is activated by a footswitch (not shown). Thus, as the

15 jaws are held closed, the heating element and the jaws work together to both cut and seal the tissue.

As illustrated in Figure 6, polyps in a patient's uterus can be removed with the device. Again, a like procedure is followed. An endoscope 4 is inserted into the patient's

20 uterus **31** through the vagina **32**. If a polyp 8 is found, the surgeon inserts the polypectomy device 2 into the working lumen of the endoscope and follows the procedure discussed above.

Similar procedures can be performed in other parts of the

25 body where polyps or other abnormal tissue growth occur, and the examples of colon polyps, sinus polyps, and uterine polyps illustrate the procedure which can readily be adapted to other conditions. Thus, while the preferred embodiments of the devices and methods have been described in reference to the

30 environment in which they were developed, they are merely illustrative of the principles of the inventions. Other embodiments and configurations may be devised without

departing from the spirit of the inventions and the scope of the appended claims.

I claim:

1. A device for removing polyps comprising:

a catheter having a proximal end and a distal end;

5 a cable trigger operatively connected to the proximal end  
of the catheter;

a pair of jaws extending out of the distal end of the  
catheter;

a snare cable routed through the pair of jaws and  
operatively connected to the cable trigger; and

10 a heating element disposed on at least one of the jaws  
and located between the pair of jaws.

2. A polypectomy device comprising:

a catheter having a proximal end and a distal end;

15 a cable trigger operatively connected to the proximal end  
of the catheter;

a first jaw extending out of the distal end of the  
catheter;

20 a second jaw extending from the distal end of the  
catheter, the second jaw being rotatable relative to  
the first jaw;

a snare cable operatively connected to the second jaw and  
running from the second jaw to the first jaw and then  
to the cable trigger; and

25 a heating element disposed on at least one of the jaws,  
said heating element located between the first jaw and  
the second jaw.

3. A device for removing polyps comprising:

a catheter having a proximal end and a distal end;

a handle operatively connected to the proximal end of the catheter, the handle having a cable trigger;

5 an electrical connector operatively connected to the proximal end of the catheter;

a first jaw extending out of the distal end of the catheter;

10 a second jaw extending from the distal end of the catheter, the second jaw being rotatable relative to the first jaw;

a snare cable routed from the first jaw to the second jaw and operatively connected to the cable trigger; and

15 a heating element disposed on the first jaw operatively connected to the electrical connector, the heating element being disposed between the first jaw and the second jaw.

4. A device for removing polyps comprising:

a catheter having a proximal end and a distal end;

20 a handle operatively connected to the proximal end of the catheter, the handle having a cable trigger;

an electrical connector operatively connected to the proximal end of the catheter;

25 a first jaw extending out of the distal end of the catheter;

a second jaw extending from the distal end of the catheter, the second jaw being rotatable relative to the first jaw;

5 a snare cable routed from the first jaw to the second jaw, the snare cable being operatively connected to the cable trigger; and

10 a heating element disposed on the first jaw operatively connected to the electrical connector, the heating element being disposed between the first jaw and the second jaw.

5. A polypectomy device comprising:

a catheter having a lumen, a proximal end and a distal end;

15 a handle operatively connected to the proximal end of the catheter, the handle having a cable trigger;

an electrical connector operatively connected to the proximal end of the catheter;

20 a first jaw extending out of the distal end of the catheter, the first jaw being fixed to the catheter, wherein the lumen of the catheter extends through the center of the first jaw;

25 a second jaw extending from the distal end of the catheter, the second jaw being rotatable relative to the first jaw, the second jaw having a distal tip and a hole located at the distal tip;

a snare cable routed out of the lumen of the first jaw and through the hole of the second jaw, the snare cable having a distal end and a proximal end, wherein the

distal end is fixed to the catheter and the proximal end is operatively connected to the cable trigger; and

5 a heating element disposed on the first jaw operatively connected to the electrical connector, the heating element being disposed between the first jaw and the second jaw.

6. A polypectomy device comprising:

a catheter having a proximal end and a distal end;

10 a first jaw having a first grasping face, said first jaw extending distally from the distal end of the catheter, said first jaw having an aperture extending there through;

15 a second jaw having a second grasping face, said second jaw extending distally from the distal end of the catheter, said second jaw being rotatable relative to the first jaw between open and closed positions relative to the first jaw to bring the first grasping face and the second grasping face into apposition to each other;

20 a snare cable having a proximal end and a distal end, said snare cable extending from the proximal end of the catheter to the distal end of the catheter, wherein the distal end of the snare cable engages the first jaw and the second jaw such that jaws may be moved toward a closed position by pulling proximally on the proximal end of the snare cable;

25

a heating element positioned between the first grasping face and the second grasping face; and

a power source for selectively supplying heating power to the heating element.

7. A method for removing polyps from the body of a patient, said method comprising:

5 providing a polypectomy device comprising:

a catheter having a proximal end and a distal end;

10 a first jaw having a first grasping face, said first jaw extending distally from the distal end of the catheter, said first jaw having an aperture extending there through;

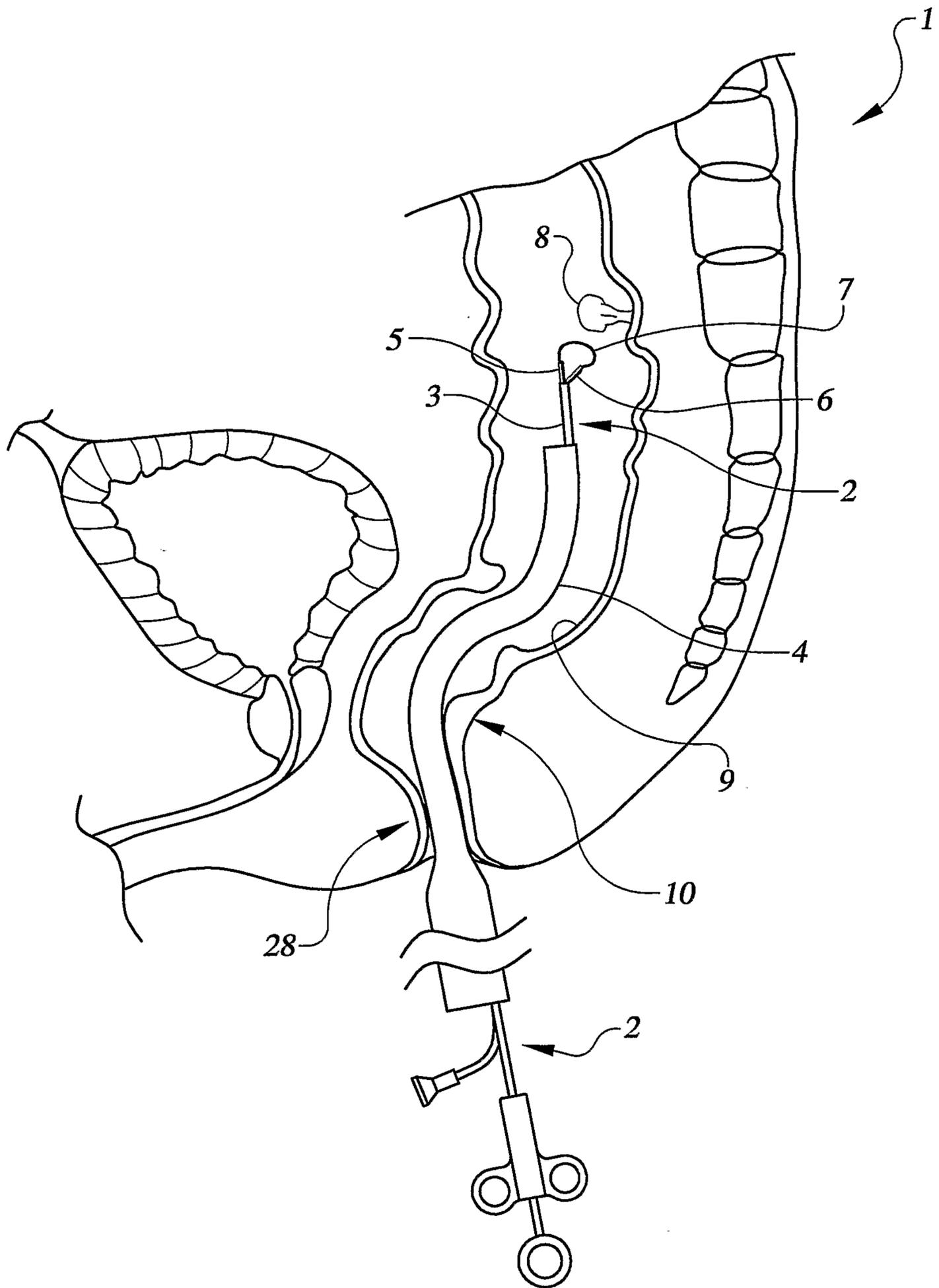
15 a second jaw having a second grasping face, said second jaw extending distally from the distal end of the catheter, said second jaw being rotatable relative to the first jaw between open and closed positions relative to the first jaw to bring the first grasping face and the second grasping face into apposition to each other;

20 a snare cable having a proximal end and a distal end, said snare cable extending from the proximal end of the catheter to the distal end of the catheter, wherein the distal end of the snare cable engages the first jaw and the second jaw such that jaws may be moved toward a closed position by pulling proximally on the proximal end of the snare cable;

25 a heating element positioned between the first grasping face and the second grasping face; and

a power source for selectively supplying heating power to the heating element;



**FIG. 1**

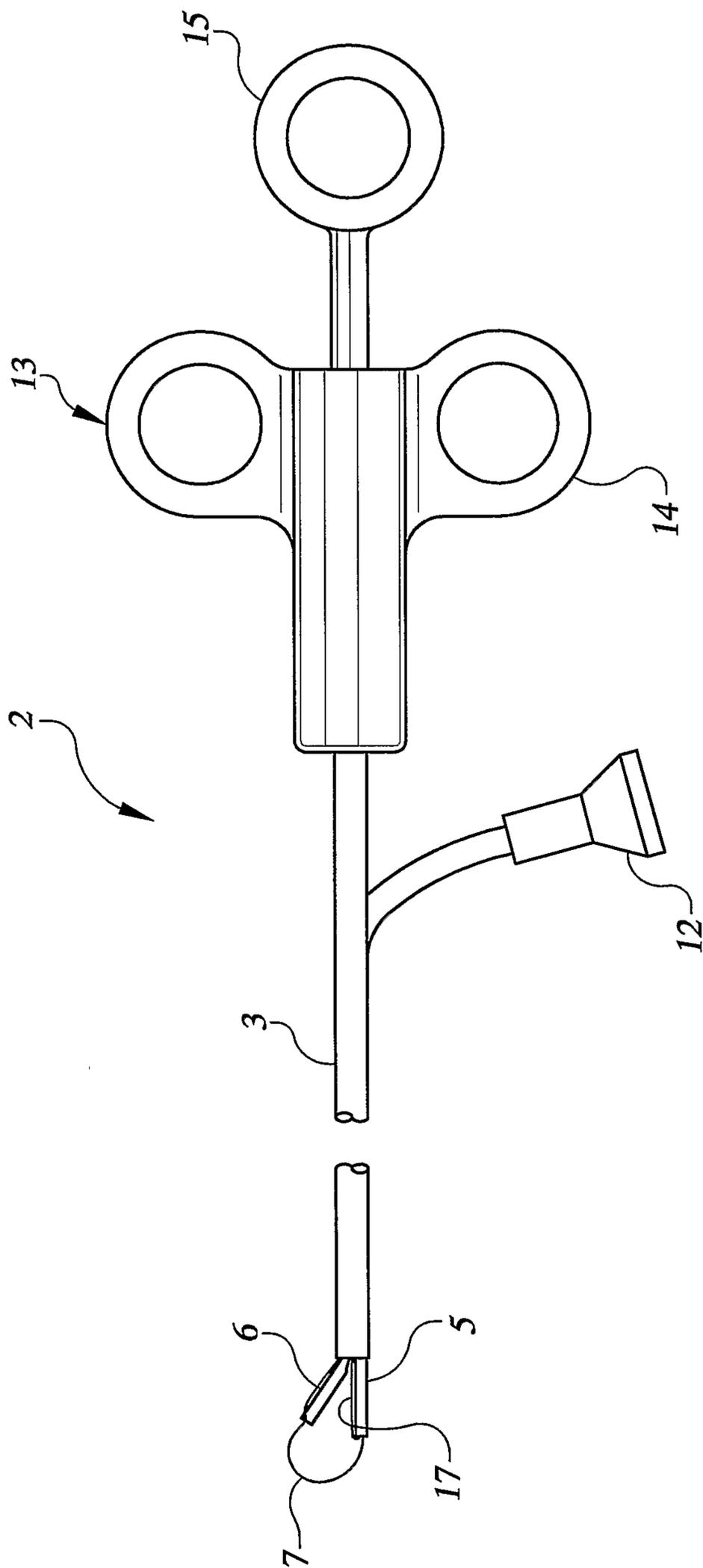
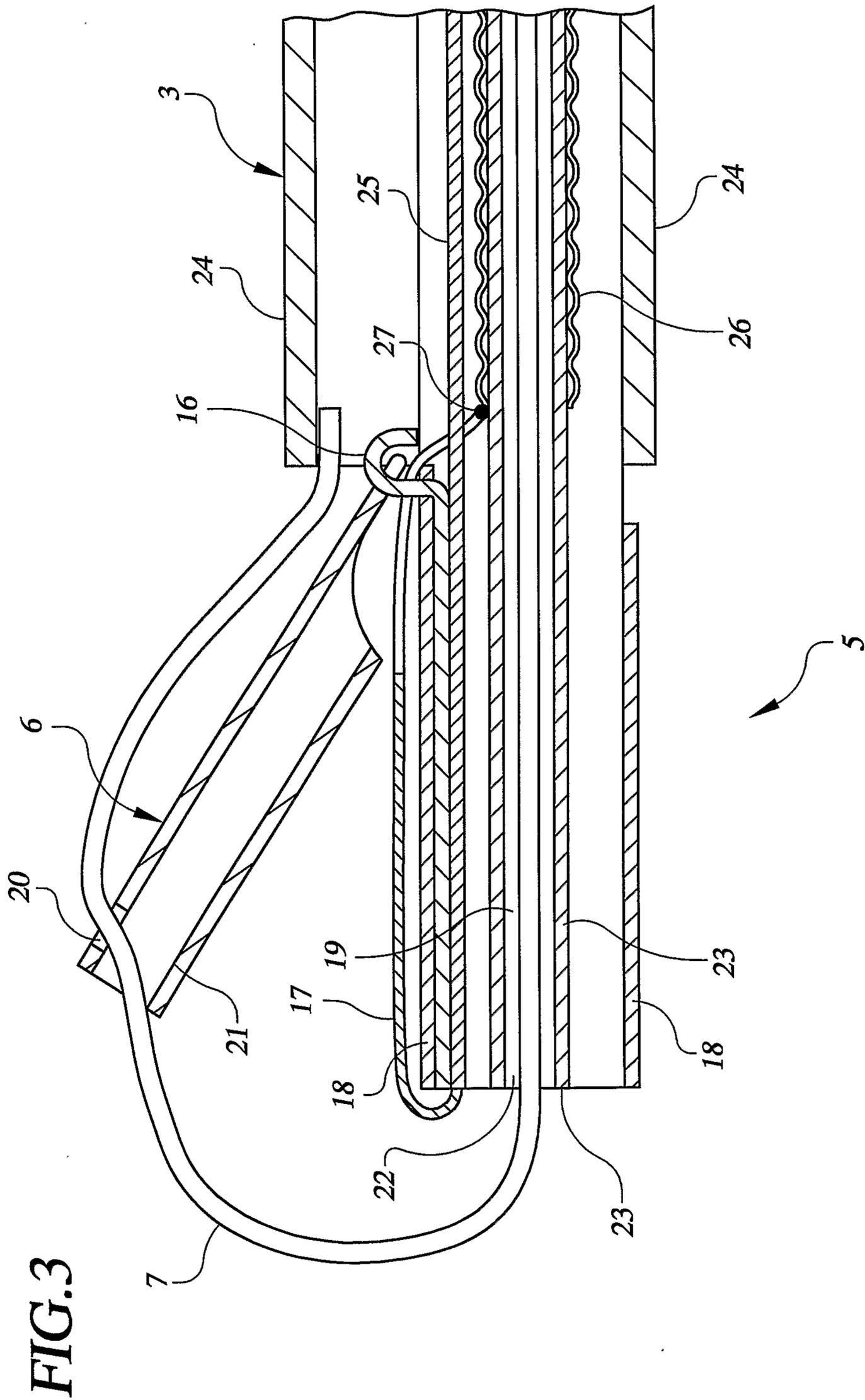
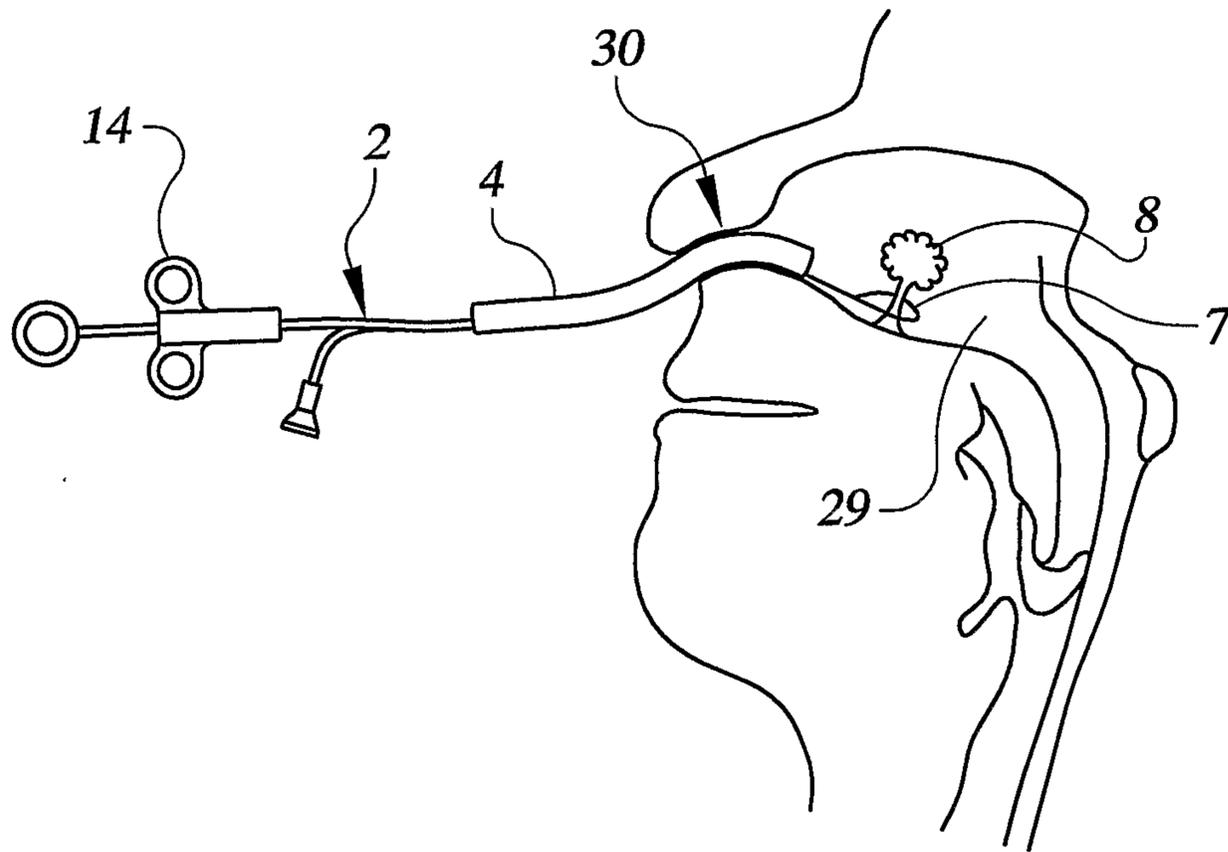


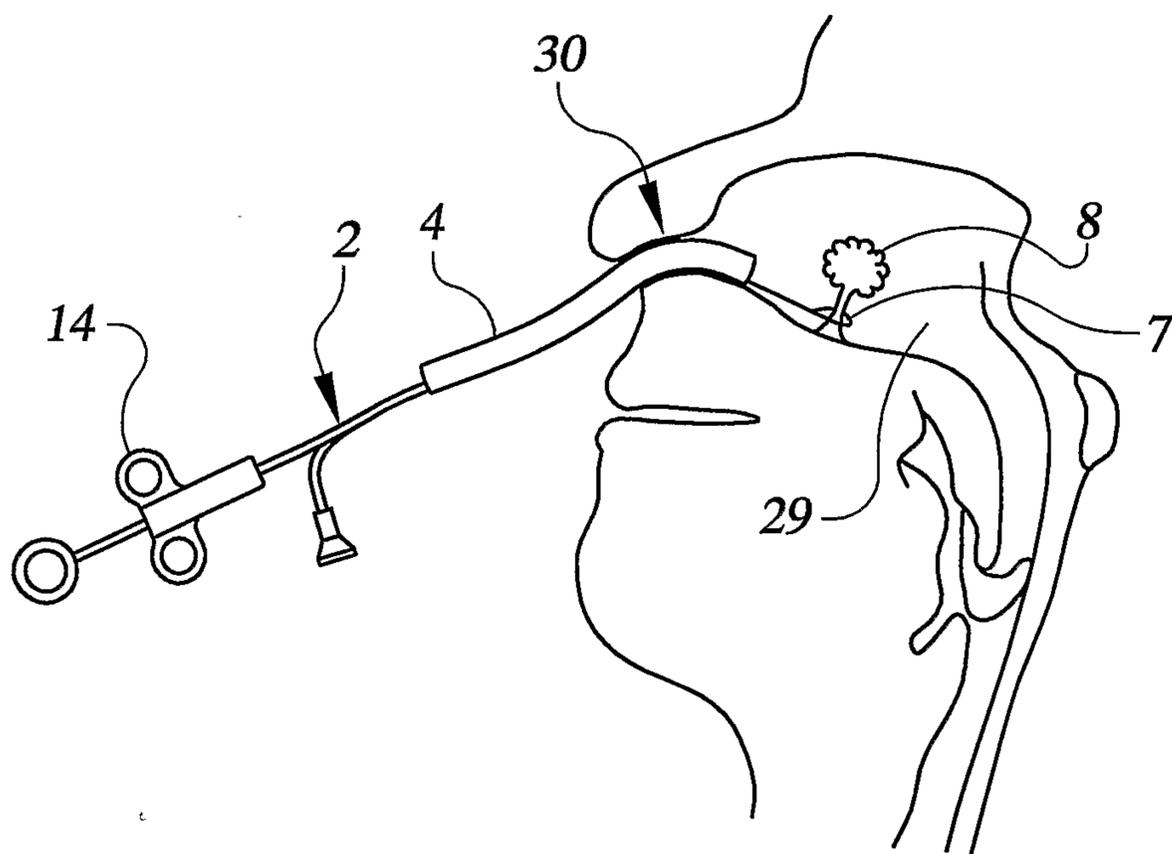
FIG. 2



**FIG. 4**



**FIG. 5**



**FIG. 6**

