

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
1 May 2003 (01.05.2003)

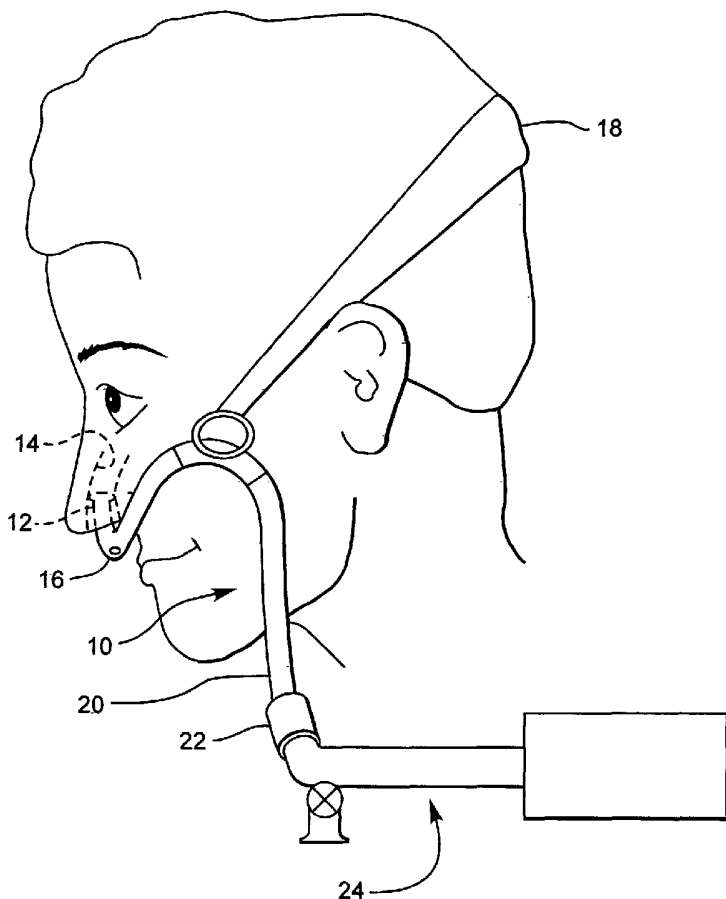
PCT

(10) International Publication Number  
**WO 03/035141 A2**

- (51) International Patent Classification<sup>7</sup>: **A61M** [US/US]; 138 Spartina Avenue, St. Augustine, FL 32080 (US). **STRICKLAND, Roger** [US/US]; 3893 Scapa Road, Waycross, GA 31503 (US).
- (21) International Application Number: PCT/US02/34010
- (22) International Filing Date: 24 October 2002 (24.10.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 10/042,042 25 October 2001 (25.10.2001) US
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- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: NASAL CANNULA



(57) Abstract: A nasal cannula 10 for delivering air to a patient's nare 14. Two delivery tubes 20 and 21 are provided to supply air to a pair of nasal inserts 12 and 13 each of which conform to the shape of the nare 14. Properly placed bleed ports 16 and 17 reduce noise and reduce carbon dioxide retained in the system. The cannula 10 is positioned on the face with the aid of a strap system 18.

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European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *without international search report and to be republished upon receipt of that report*

## NASAL CANNULA

### Field of the Invention

The present invention relates generally to a nasal mask or cannula and  
5 more particularly to a nasal cannula for treating a patient with a positive  
ventilation pressure machine for assisted ventilation.

### Background of the Invention

Positive air pressure (PAP) assisted ventilation systems have been  
10 adopted for the treatment of various disorders. PAP systems are commonly  
used to treat sleep apnea. Variations of PAP systems have been used to  
administer drugs and the like.

In operation the patient's respiration is assisted by an external pump  
which supplies air to the patient under a slight positive pressure. In the  
15 conventional system, air is delivered in response to preset conditions selected  
for each individual patient. In normal operation the patient's inspiratory  
phase is assisted by a pump which delivers an adequate supply of air at a  
slight positive pressure to a mask or cannula that is placed on the face of the  
patient. Full face mask systems which cover both the mouth and the nose are  
20 used. Systems which cover the mouth or nose alone are also common.

In use, when the patient exhales, the higher pressure in the mask or  
cannula system is used to open an exhaust valve. Thus the patient respiration  
is assisted on the inhalation phase by positive pressure while the expiration  
phase takes place at approximately atmospheric pressure.

25 In instances where the patient compliance is affected by the comfort of  
the mask it is now widely accepted that "nose only" cannula devices are  
preferred. Examples of current devices can be seen in US Patent 5,477,852 to  
Landis; US Patent 5,533,506 to Wood; US Patent 5,269,296 to Landis; US  
Patent 5,687,715 to Landis; US Patent 5,724,965 to Handke.

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### Summary of the Invention

In contrast to prior air nasal masks, the present system includes a pair  
of nasal inserts which are fed bilaterally from a pair of delivery tubes which  
includes both a left and a right leg. If the patient occludes one leg on one side

of the mask, the complimentary side is sufficient to provide all of the air required by the patient.

Air is introduced into the system through a Y-shaped adapter or coupler. The shape of the coupler cooperates with other elements to minimize noise.

A pair of bleed ports are placed in the cannula body near the patient's nose. These bleed ports reduce the amount of carbon dioxide retained by the system. The two complex ports are placed in the cannula body to reduce noise and to reduce carbon dioxide build up in the system. Example calculations show how the size, shape and location of each of these ports cooperate to reduce the inhaled carbon dioxide concentration.

An additional feature relates directly to the shape of the nasal inserts. The nasal inserts are sufficiently long and compliant that they may be inserted into the nose until they adopt a location where the cross-section of the nare and the cross-section of the insert are essentially equal. The compliance of the material used to manufacture the device is sufficient to provide an extremely comfortable fit in the nares.

#### Brief Description of the Drawing

Throughout the figures of the drawing like reference numerals indicate identical structure wherein:

Fig. 1 is a schematic representation of the nasal cannula on the head of a user;

Fig. 2 is a projection of the nasal cannula in isolation;

Fig. 3 is cross section of a portion of the nasal cannula showing the bleed ports;

Fig. 4 is cross section of a portion of the nasal cannula showing the bleed ports;

Fig. 5 is cross section of a portion of the nasal cannula showing the bleed ports;

Fig. 6 is cross section of a portion of the nasal cannula showing the bleed ports; and,

Fig. 7 is diagram of volumes and dimensions related to the calculation of the size and location of the bleed ports.

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### Detailed Description

Turning to Fig. 1 there is shown a nasal cannula 10 which shows a nasal insert 12 placed into a patient's nare 14. Directly beneath each nasal insert is a bleed port as seen at reference numeral 16. The complete nasal  
5 cannula is held on the patient's face with a strap system 18 which may be of any convenient and conventional construction. The left and right delivery tubes typified by delivery tube 20 terminate in a coupler 22. This Y-shaped coupler 22 is connected to a conventional positive pressure ventilation machine 24.

10 In operation, the positive pressure ventilation machine 24 supplies air under pressure to the nasal cannula 10 and releases exhaled air to the atmosphere. Conventional machines have a valve that is overpressure during exhalation to exhaust air. In some instances the system may also warm and humidify the delivered air. In some instances the machines are used to deliver  
15 medications.

The left delivery tube and the right delivery tube 20 should lie close to the face of the patient and the coupler 22 should be positioned in the vicinity of the neck as seen in the figure.

20 Fig. 2 shows the nasal cannula 10 in perspective view. The entire cannula 10 may be molded out of a polymeric material such as silicone rubber or urethane. Portions of the nasal cannula may be locally reinforced to increase rigidity. For example it may be useful to reinforce the structure at the location where the strap system 18 meets the cannula device 10.

25 In the figure a portion of the strap system 18 is shown coupled to delivery tube 20. The various changes in section depicted in the figure add stiffness or rigidity to the device. The optimal shape and cross section is not known and some experimentation may be required to optimize the device. However the softness and compliance of the elastomer is an important factor in patient comfort. The y-shaped connector 22 is adapted for connection to the  
30 PAP system 24.

Nasal insert 12 and nasal insert 13 are tubes connected to and extending from the delivery tube 20 and delivery tube 21 respectively. The most distal portion of the insert 12 terminates in a flange 26. It is expected that each flange 26 will be quite soft. The flange 26 is designed to readily conform  
35 to the patient's nare. In use the patient will direct the inserts into the nose and

the inserts 12 and 13 will move in the nare until the cross section of the nare matches the cross section of the flange. The anatomy of the nose will deform the shape of the insert and its flange to achieve a comfortable seal. It is expected that only one or two sizes will be required to fit a large population  
5 as most patients have similarly sized nares.

The bleed port typified by port 16 is a tube extending from the delivery tube 20 into the insert 12. Each tube has a characteristic height or length. These bleed ports serve several functions. If the bleed ports 16 and 17 are appropriately placed and sized they can reduce the accumulation of carbon  
10 dioxide. If they are properly configured, sized and located they can also be used to decrease "whistling" or other acoustic effects. One objective of the bleed ports is to decrease the amount of carbon dioxide in the cannula during inhalation to a targeted value between 0.2 to 0.7 percent. A preferred value is below approximately 0.5 percent. Applicant believes that low carbon  
15 dioxide concentrations in the system will prevent build up of carbon dioxide in the patient.

Fig. 3 is a composite and schematic view that shows the air flow in the nasal cannula 10 during normal inhalation. Inspired air seen as arrows 30 and 32 depict flow under pressure from the air source 24. A small flow depicted  
20 by vortex 34 enters the space between insert 12 and insert 13. In normal operation each side of the system carries one half of the required flow.

Fig. 4 is a composite and schematic view that depicts an occlusion 23 or pinch off in the delivery tube 20. In use, when one of the delivery tubes such as delivery tube 20 is closed off by patient movement or the like, there is still  
25 sufficient air supplied through the alternate delivery tube 21 as indicated by the airflow 31 depicted in the figure. In this instance the full amount of air enters the patient through inserts 12 and 13 of the system. In the figure the height or length of the bleed port is shown as "h2" and this represents a minimum height bleed port 16. It is expected that the minimum height is  
30 twice the delivery tube thickness at the location of the bleed port.

Fig. 5 is a composite and schematic view that shows the idealized airflow in the nasal cannula 10. There are three distinct exit paths. Path 50 and path 51 depict flow back to the exhaust valve of the ventilator 24. This flow overpowers the exhaust valve and most of this flow leaves the system. Path  
35 52 and 53 show exhaust directly through the bleed ports 16 and 17

respectively. The paths identified by path arrows 54 and 55 reflect complex scavenging of the volume between the inserts. This flow dilutes the air in the volume and helps to reduce carbon dioxide.

Fig. 6 is a composite and schematic view that shows airflow during the respiratory pause. In this phase the patient is neither inhaling or exhaling. During this portion of operation the air from the PAP ventilator 24 exits through bleed ports 16 and 17 as indicated by path arrows 60 and 61. In this figure "tall" bleed ports are depicted. The height value  $h_1$  is less than about 10 times the wall thickness at the location of the bleed port. The diameter of the bleed ports (BPD) is established to reduce the accumulation of carbon dioxide. The height of the bleed ports " $h_1$  or  $h_2$ " is established to reduce the incidence of parasitic acoustic effects. The relationship between the bleed port diameter (BPD) and the height ( $h_1$ ) is optimized between a value of 0.1 and 0.5. that is when  $0.1 \leq (BPD/h_1) \leq 0.5$ . It is preferred to use two bleed ports located near the inserts but other numbers and locations of bleed ports are within the scope of the invention.

#### Example

It is now recognized that simple ventilation systems which retain a large volume of exhaled gas within the air inlet track result in increased carbon dioxide concentration in the patient's blood. An increase in dissolved carbon dioxide results in the acidification of the blood and increases respiration drive and usually increases respiration rate. In the system the dead space of the device is balanced with both the size and location of the bleed port structures to prevent build-up of the concentration of carbon dioxide in the inhaled air. When the size and location of the ports is optimized, a significant reduction in carbon dioxide gas concentration is achieved.

Generally speaking if the bleed ports are to be small, they need to be located near the nasal inserts. This effect is more important if the dead space within the cannula is large. For this reason, it should be recognized that there is a relationship between dead space, bleed port location and size which can cooperate together to provide a nasal cannula with superior performance. Although it appears that the optimized ports are restrictive due to their length and size, it has also been determined that they can be shaped to minimize

parasitic acoustic effects such as "whistling" which is exhibited by current generations of current nasal cannula.

Turning to Fig. 7 the immediate dead space is the sum of all the cylinders shown in the figure as cylinders 40; 42; 44; 46; and 48. The dead space is used in calculations to determine a suitable bleed port size (BPD). The immediate dead space volume is defined as the volume of the nasal inserts and the delivery tube between the inserts. Simple geometry can be used to estimate the volume of the space through the cylinders depicted in Fig. 7. As the bleed ports 16 and 17 move laterally along the delivery tubes the volume of cylinder 40 increases by the distance between the inserts. Thus for the bleed port to pass the volume of the immediate dead space into the atmosphere within one second requires a computed area (BPD) related to the square root of the sum of the distance between the bleed ports. Thus for a given expiration time taken as one second and a desired concentration of carbon dioxide taken at 0.5 percent one can compute the size of the bleed port or any given distance or for any given bleed port the optimal distance between them. Based on these criteria the bleed port's diameter is related to the square root of the cross sectional area (X), the Immediate Deadspace (IDS) and the maximum system flow rate (F) as follows:

$$(BPD) \text{ Bleed Port Diameter} = 2 \sqrt{\frac{X * IDS}{\pi * F}} \text{ A first level approximation}$$

identifies that for a given bleed port of area (BPD), the separation distance of the bleed ports is inversely related to the square of the cannula cross sectional area (X) (see Fig. 7) and directly related to the maximum system flow rate (F) as follows:

$$\text{Bleed port separation distance} \propto \frac{A * F}{X^2}$$

It should be noted that if the ports are very far apart they need to be large to reach the carbon dioxide reduction goal, but such large bleed ports reduce the pressure in the system by such a large margin that it no longer effectively provides the positive pressure assistance.

Representative prototype devices have been fabricated with a diameter (X) of between 3/8 and 5/8 inches and other dimensions may be approximately scaled from figure 2.



Various modification and additions to the invention may be made without departing from the scope of the invention.

What is Claimed Is:

1. A nasal cannula comprising:
  - 5 first and second nasal inserts for insertion into a patient's nares;  
a left and right delivery tube coupled to both of said nasal inserts,  
whereby each nasal insert communicates with both the left delivery tube and  
right delivery tube;  
a coupler located remote from said nasal inserts for coupling said  
10 cannula to a source of respiration gas.
2. The nasal cannula of claim 1 further comprising:
  - a tubular bleed port having an internal lumen;  
said tubular bleed port located directly in line with said nasal insert for  
15 preferentially intercepting expired gas during an exhalation.
3. The nasal cannula of claim 2 wherein:
  - said tubular bleed ports are located and sized to reduce the carbon  
dioxide content of inspired air to a value below approximately 0.5% carbon  
20 dioxide.
4. The nasal cannula of claim 2 wherein:
  - said tubular bleed ports have a BDP/L ratio of between 0.1 and 0.5.
- 25 5. The nasal cannula of claim 1 wherein:
  - said nasal inserts have a compliant flange at their distal ends to  
conform to the nare of a patient.
6. The nasal cannula of claim 5 wherein:
  - 30 the length of the nasal insert is sufficiently long to allow the insert to  
move in the nasal passage until the cross section area of the nare and the nasal  
insert match.
7. The nasal cannula of claim 2 wherein:
  - 35 said tubular bleed port has a diameter size (BPD) which determined by

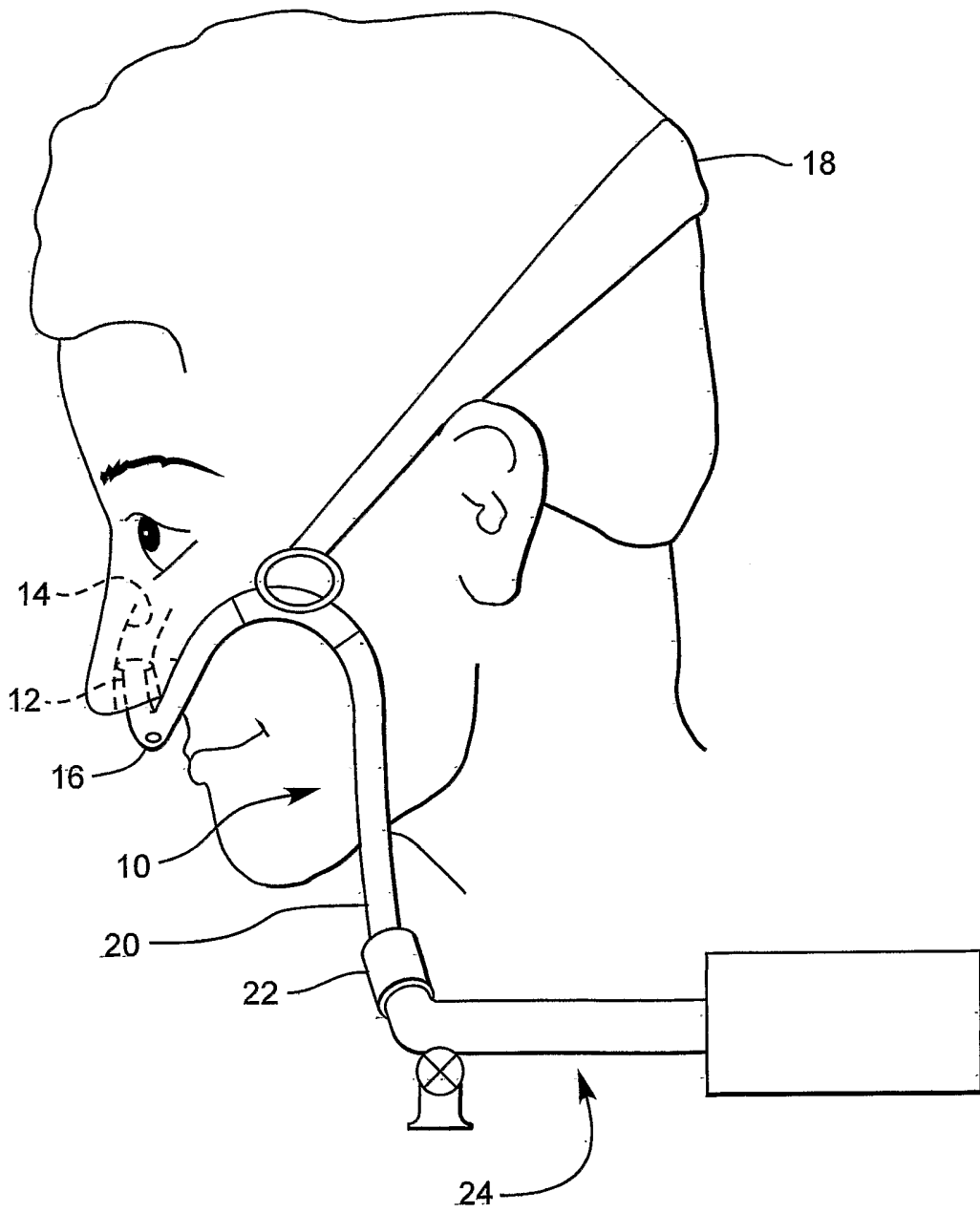
$$\text{(BPD) Bleed Port Diameter} = 2 \sqrt{\frac{X * IDS}{\pi * F}}$$

8. The nasal cannula of claim 2 wherein:

5 said tubular bleed ports of claim 2 are two in number and they have a separation distance (L) which is approximated by:

$$\text{(L) bleed port separation distance is approximately } \cup \frac{A * F}{X^2}.$$

*Fig. 1*



*Fig. 2*

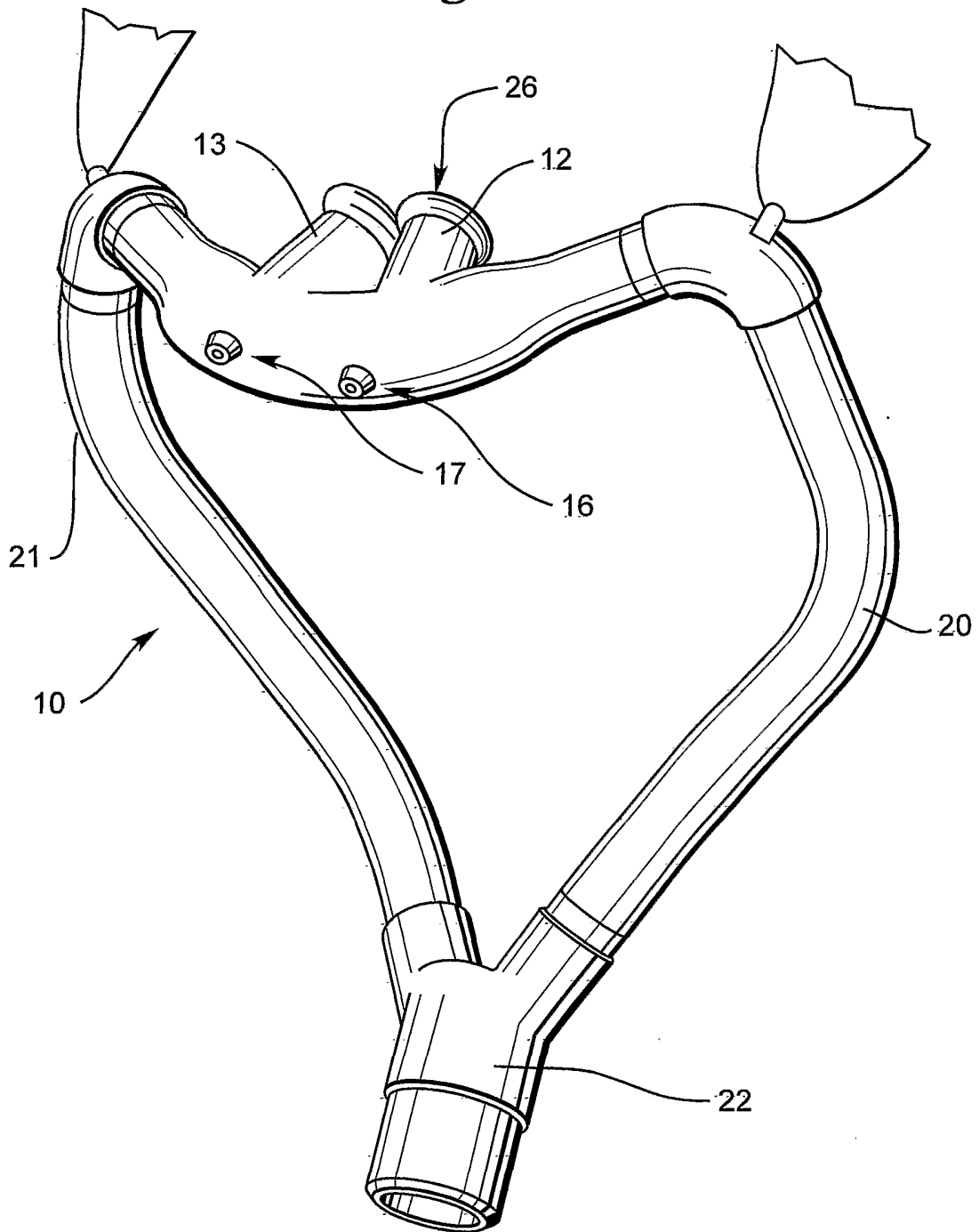


Fig. 3

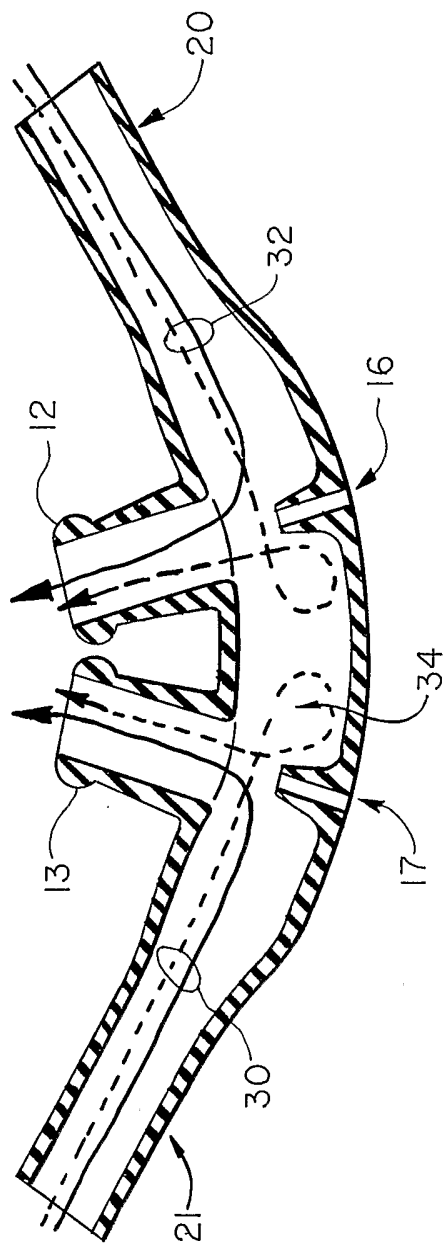


Fig. 4

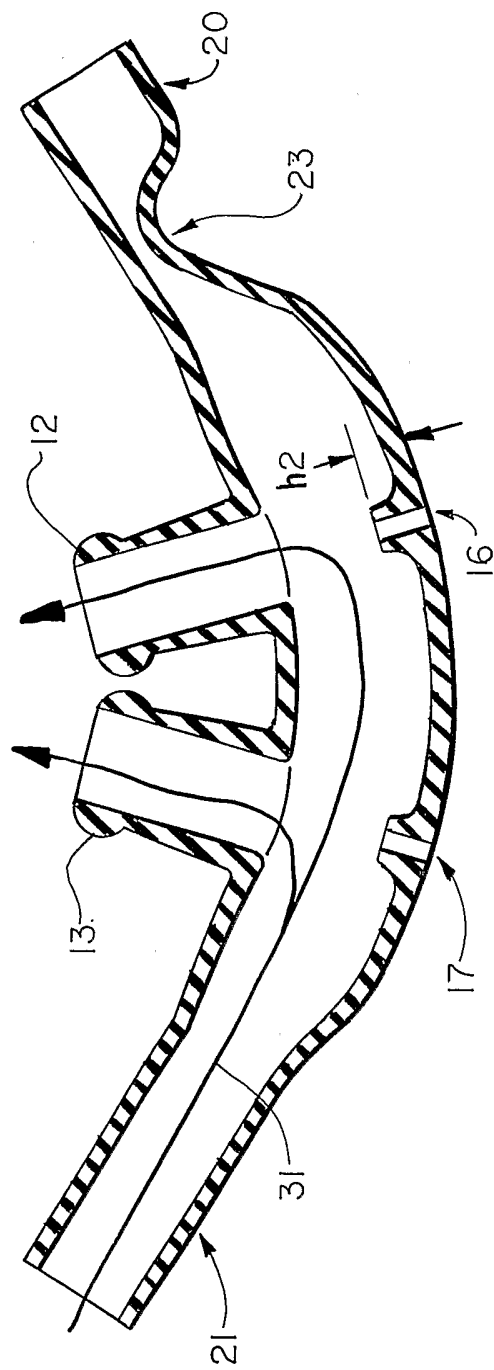


Fig. 5

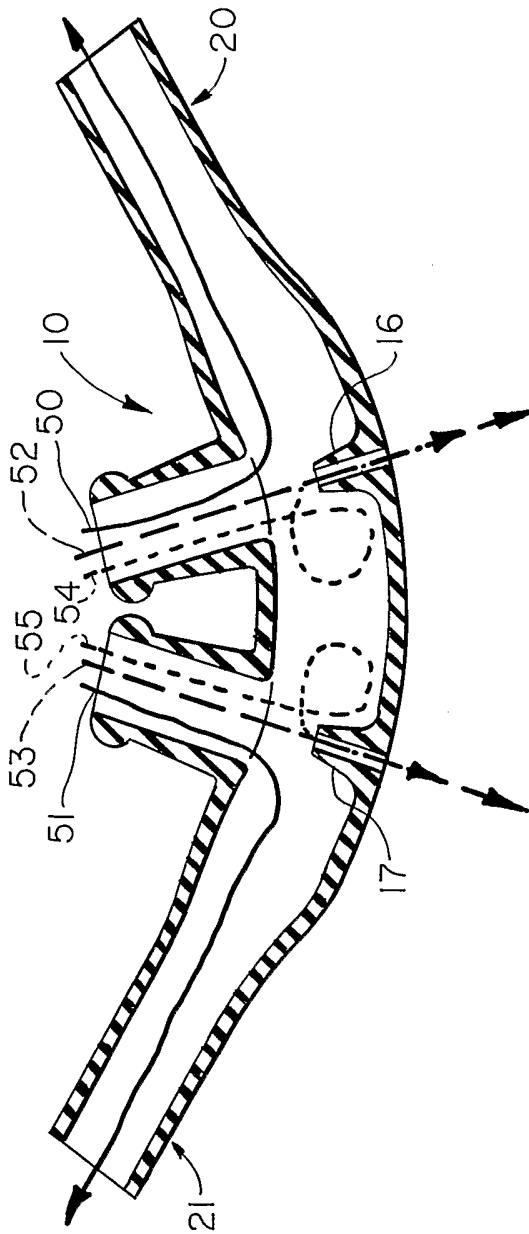


Fig. 6

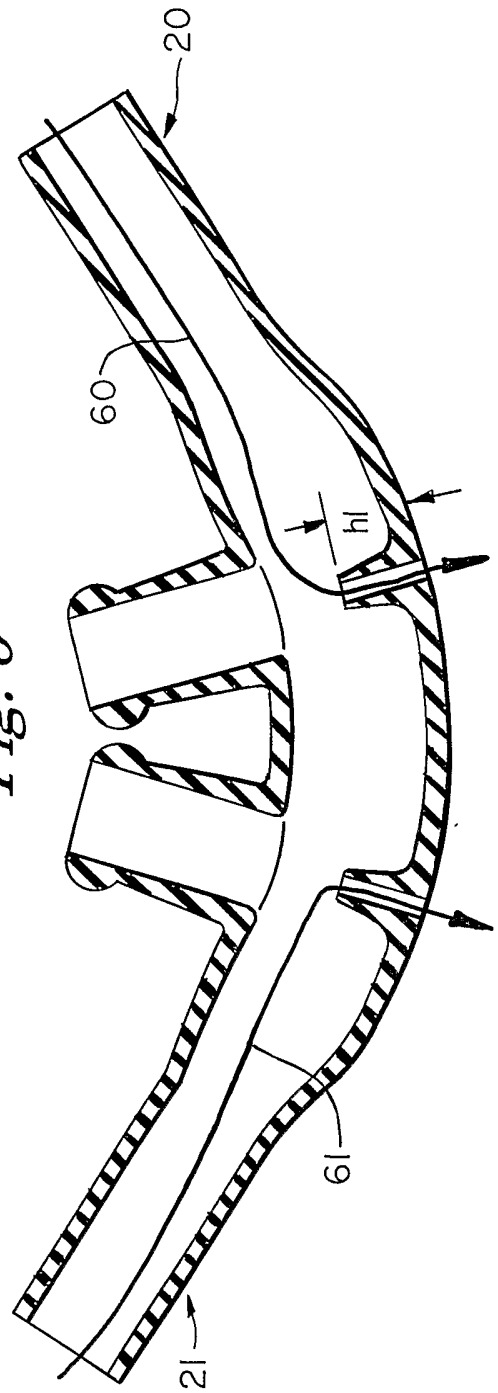


Fig. 7

