

(19) World Intellectual Property Organization
International Bureau



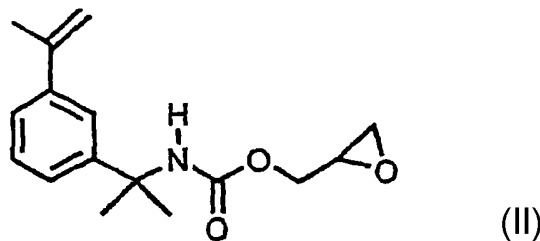
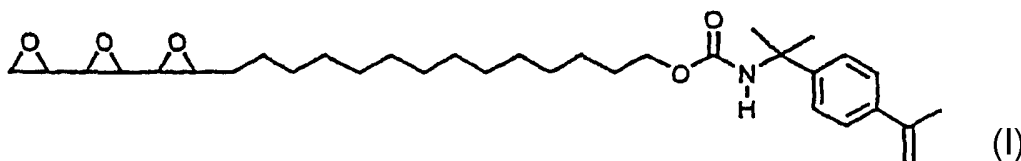
(43) International Publication Date
10 April 2003 (10.04.2003)

PCT

(10) International Publication Number
WO 03/029234 A1

- (51) International Patent Classification⁷: C07D 303/16, C08L 63/00
- (21) International Application Number: PCT/US02/20652
- (22) International Filing Date: 1 July 2002 (01.07.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
09/966,449 28 September 2001 (28.09.2001) US
- (71) Applicant: NATIONAL STARCH AND CHEMICAL INVESTMENT HOLDING CORPORATION [US/US]; P.O. Box 7663, Wilmington, DE 19803-7663 (US).
- (72) Inventors: MUSA, Osama, M.; 24 Meadowbrock Drive, Hillsborough, NJ 08844 (US). KUDER, Harry, Richard; 1317 Pico Street, Fullerton, CA 92833-2339 (US).
- (74) Agents: GENNARO, Jane, E. et al.; National Starch and Chemical, 10 Finderne Avenue, Bridgewater, NJ 08807 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR).
- Published:
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: EPOXY COMPOUNDS CONTAINING STYRENIC OR CINNAMYL FUNCTIONALITY



(57) Abstract: A curable composition comprises a compound having at least one epoxy group and at least one styrenic or cinnamyl group per molecule, a curing agent, a filler, and an epoxy compound. This invention relates also to a compound containing at least one epoxy group and at least one styrenic group selected from the group consisting of (formula I) and (formula II).

WO 03/029234 A1

EPOXY COMPOUNDS CONTAINING
STYRENIC OR CINNAMYL FUNCTIONALITY

FIELD OF THE INVENTION

[0001] This invention relates to compounds that contain both epoxy and styrenic or cinnamyl functionality and that can be used in curable compositions.

BACKGROUND OF THE INVENTION

[0002] Curable compositions are used in the fabrication and assembly of semiconductor packages and microelectronic devices, such as in the bonding of integrated circuit chips to lead frames or other substrates, in the bonding of circuit packages or assemblies to printed wire boards, or in encapsulants or coating materials. There are a number of electron donor/electron acceptor adhesive systems that are used in the industry, but not all these give as full performance as is needed for all uses. The compounds disclosed in this specification add to the spectrum of performance materials for use within the semiconductor fabrication industry.

SUMMARY OF THE INVENTION

[0003] In one embodiment, this invention relates to compounds that contain both epoxy and styrenic or cinnamyl functionality. In another embodiment, this invention is a curable composition, such as an adhesive, coating, or encapsulant composition, containing such compounds.

DETAILED DESCRIPTION OF THE INVENTION

[0004] In one embodiment, this invention is a compound having at least one epoxy group and one styrenic or cinnamyl group per molecule. In another embodiment, this invention is a curable composition, such as an adhesive, coating, or encapsulant, containing the compound with both epoxy and styrenic or cinnamyl functionality. The composition can be a paste, prepared by blending or milling, or can be a film, prepared by standard film making techniques known to those skilled in the art. The curable composition will include optionally a curing agent, and optionally a filler.

[0005] These compounds can be the main component in the curable composition or can be added as an adhesion promoter to one or more other curable resins. When used as an adhesion promoter, the amount used in the curable composition will be an effective amount to promote adhesion and, in general, an effective amount will range from 0.005 to 20.0 percent by weight of the formulation.

[0006] Examples of other curable resins for use as the main component in the curable compositions include epoxies, vinyl ethers, thiole-nes, compounds derived from cinnamyl and styrenic starting compounds, fumarates, maleates, acrylates, and maleimides.

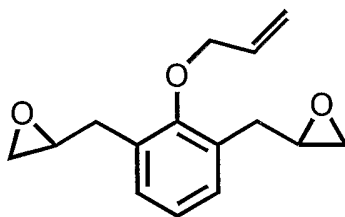
[0007] Suitable curing agents are thermal initiators and photoinitiators present in an effective amount to cure the composition. In general, those amounts will range from 0.5% to 30%, preferably 1% to 20%, by weight of the total organic material (that is, excluding any inorganic fillers) in the composition. Preferred thermal initiators include peroxides, such as butyl peroctoates and dicumyl peroxide, and azo compounds, such as 2,2'-

azobis(2-methyl-propanenitrile) and 2,2'-azobis(2-methyl-butanenitrile). A preferred series of photoinitiators is one sold under the trademark Irgacure by Ciba Specialty Chemicals. In some formulations, both thermal initiation and photoinitiation may be desirable: the curing process can be started either by irradiation, followed by heat, or can be started by heat, followed by irradiation.

[0008] In general, the curable compositions will cure within a temperature range of 70°C to 250°C, and curing will be effected within a range of ten seconds to three hours. The actual cure profile will vary with the components and can be determined without undue experimentation by the practitioner.

[0009] The curable compositions may also comprise nonconductive or thermally or electrically conductive fillers. Suitable conductive fillers are carbon black, graphite, gold, silver, copper, platinum, palladium, nickel, aluminum, silicon carbide, boron nitride, diamond, and alumina. Suitable nonconductive fillers are particles of vermiculite, mica, wollastonite, calcium carbonate, titania, sand, glass, fused silica, fumed silica, barium sulfate, and halogenated ethylene polymers, such as tetrafluoroethylene, trifluoroethylene, vinylidene fluoride, vinyl fluoride, vinylidene chloride, and vinyl chloride. If present, fillers generally will be in amounts of 20% to 90% by weight of the formulation.

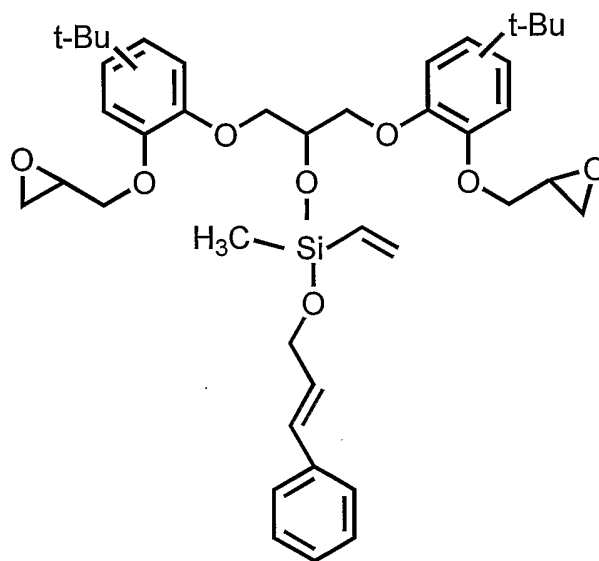
[0010] In another embodiment, this invention is a curable composition comprising the compound having at least one epoxy group and at least one styrenic or cinnamyl group per molecule and an epoxy resin. In a preferred embodiment, the epoxy resin has the structure



[0011] The following Examples disclose representative compounds containing at least one epoxy group and one styrenic or cinnamyl group per molecule and the synthetic procedures for making those compounds. Also disclosed is the performance of samples in curable compositions.

EXAMPLES

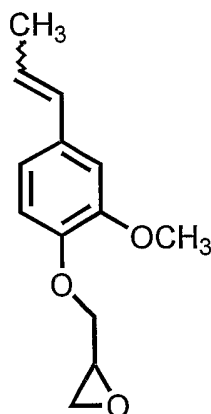
[0012] EXAMPLE 1.



in which t-Bu stands for a tertiary butyl group. One mole equivalent of EPICLON EXA-7120 (alcohol) is mixed into triethylamine in dry toluene at 0°C, after which is added one mole equivalent of vinyl silane dissolved in toluene. The mixture is allowed to react for four hours at room temperature. The solvent is evaporated to give a product that is mixed into triethylamine in

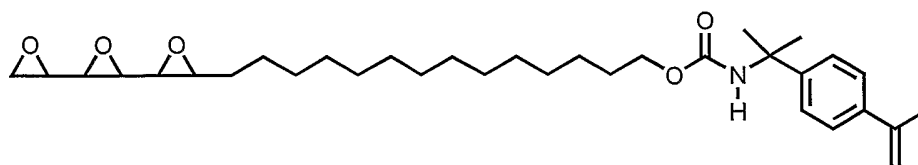
dry toluene at 0°C. Cinnamyl alcohol in toluene is added and the reaction mixture reacted for four hours at room temperature to give the final product.

[0013] EXAMPLE 2.



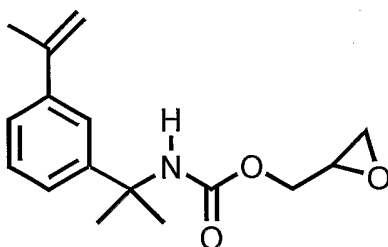
To a three-necked flask equipped with a mechanical stirrer, condenser and inlet/outlet tube was added isoeugenol (20 g, 0.122 mole) and methyl ethyl ketone (100 mL). The flask contents were placed under nitrogen gas, and epichlorohydrin was added to the flask through a syringe. Stirring was initiated, and potassium carbonate (33.72 g, 0.244 mole) was added. The reaction was heated at 50°C for 11 hours, after which the reaction mixture was allowed to cool to room temperature. The reaction product was vacuum filtered and the filtrate washed with 5% NaOH and 10% Na₂SO₄. The organic layer was dried over MgSO₄ and evaporation of the solvent gave the product in 89% yield.

[0014] EXAMPLE 3.



3-Isopropenyl- α,α -dimethylbenzyl isocyanate (*m*-TMI, 1.91 g, 0.00592 mole) was solvated in 50 mL THF in a 500 mL three-necked flask equipped with a mechanical stirrer, addition funnel and nitrogen inlet/outlet tube. The reaction was placed under nitrogen, and 0.01 equiv. dibutyltin dilaurate (catalyst) was added with stirring as the solution was heated to 80°C. The addition funnel was charged with Kraton liquid polymer L-207 (39.05 g, 0.00592 mole) dissolved in 50 mL THF. This solution was added to the isocyanate solution over ten minutes, and the resulting mixture heated for an additional 30 hours at 80°C. After the reaction was allowed to cool to room temperature, the mixture was washed with distilled water three times. The organic layer was isolated and dried over MgSO₄, filtered, and the solvent removed in *vacuo* to give the product in 97% yield.

[0015] EXAMPLE 4.

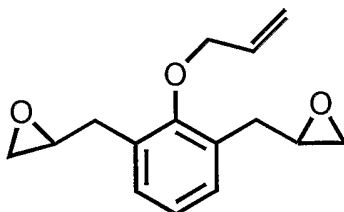


3-Isopropenyl- α,α -dimethylbenzyl isocyanate (*m*-TMI, 80.75 g, 0.40 mole) was solvated in 100 mL toluene in a 500 mL three-necked flask equipped with a mechanical stirrer, addition funnel and nitrogen inlet/outlet. The reaction was placed under nitrogen, and 0.01 equiv. dibutyltin dilaurate (catalyst) was added with stirring as the solution was heated to 60°C. The addition funnel was charged with glycidol (29.65 g, 0.40 mole) dissolved in 50 mL toluene. This solution was added to the isocyanate solution over 10 minutes, and the resulting mixture heated for an additional 30 hours at 60°C. After the reaction was allowed to cool to room temperature, the mixture was

washed with distilled water three times. The organic layer was isolated and dried over MgSO_4 , filtered, and the solvent removed in *vacuo* to give the product in 95% yield.

[0016] EXAMPLE 5. Performance. A curable composition was prepared comprising a bismaleimide, a compound with cinnamyl functionality, curing agents, and 75% by weight silver. To this composition was added an epoxy at 1 weight percent, or a compound from Example 2, 3, or 4, at 1 weight percent, or a blend of an epoxy at 1 weight percent and one of the compounds from the examples at 1 weight percent. These compositions were tested for adhesive strength as die attach adhesives.

[0017] The epoxy used in this formulation has the structure:



[0018] The adhesive was dispensed on a copper leadframe (die pad, 650 X 650 mil), a silicon die (500 X 500 mil) placed onto the adhesive, and the adhesive cured in an oven at 175°C for 30 minutes. Ten assemblies for each adhesive composition were prepared. The die was sheared from the leadframe at 90 degrees with a Dage 2400-PC Die Shear Tester at 250°C and the results recorded in Kilogram force. The results were pooled and averaged and are set out in the following table.

[0019]

FORMULATION	Die Shear Strength at 250°C in KgF
Control	5.9
Control with epoxy	11.5
Control with cmpd from Ex 2	8.3
Control with cmpd from Ex 3	9.3
Control with cmpd from Ex 4	12.8
Control with blend of epoxy and cmpd from Ex 2	37.9
Control with blend of Epoxy and cmpd from Ex 3	38.9
Control with blend of Epoxy and cmpd from Ex 4	30.9

[0020] A second set of cured assemblies was subjected further to 85°C/85% relative humidity for 48 hours, after which each die was sheared from the leadframe at 260°C as before, the results pooled and averaged and set out in the following table.

[0021]

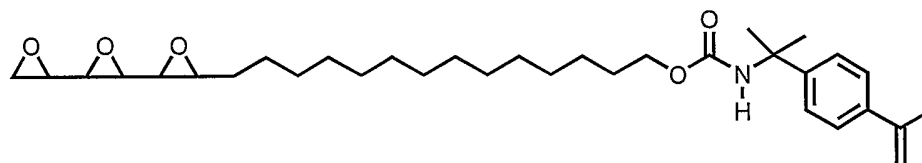
FORMULATION	Die Shear Strength at 260°C in KgF
Control	5.4
Control with epoxy	11.4
Control with cmpd from Ex 2	3.9
Control with cmpd from Ex 3	8.8
Control with cmpd from Ex 4	3.6
Control with blend of Epoxy and cmpd from Ex 2	23.8
Control with blend of Epoxy and cmpd from Ex 3	36.2
Control with blend of Epoxy and cmpd from Ex 4	23.3

[0022] The results show that the compounds from the Examples perform comparably to the added epoxy under the above conditions, and the combination of the epoxy and the compounds from the Examples give

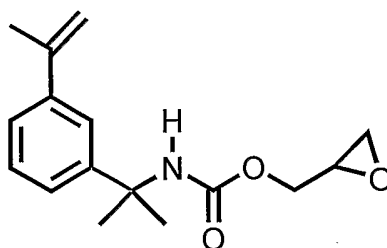
superior results in die shear strength to either the epoxy or a compound from the Examples when used alone.

WHAT IS CLAIMED.

1. A compound containing at least one epoxy group and at least one styrenic group selected from the group consisting of

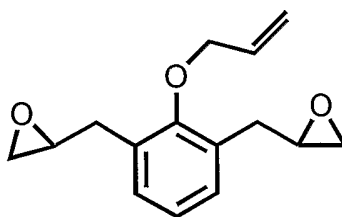


and



2. A curable composition comprising a compound having at least one epoxy group and at least one styrenic or one cinnamyl group per molecule, a curing agent, a filler, and an epoxy compound.

3. The curable composition according to claim 2 in which the epoxy compound has the structure



INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/20652

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C07D303/16 C08L63/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) IPC 7 C07D C08L		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ, CHEM ABS Data		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2001/020071 A1 (HARRISON EDWARD S ET AL) 6 September 2001 (2001-09-06) column 2 -column 3; examples ---	1-3
A	EP 0 878 472 A (NAT STARCH CHEM INVEST) 18 November 1998 (1998-11-18) page 3 -page 5; examples ---	1-3
A	WO 94 15986 A (INDSPEC CHEMICAL CORP ;DURAIRAJ RAJ B (US); TACKIE MICHAEL N (US)) 21 July 1994 (1994-07-21) examples ---	1-3
A	EP 0 638 547 A (CIBA GEIGY AG) 15 February 1995 (1995-02-15) examples ---	1-3
	-/--	
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
° Special categories of cited documents :		
A document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed		*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report	
23 October 2002	07/11/2002	
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Fazzi, R	

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/20652

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	"Heat curable epoxy resin composition, useful for paints and adhesive agents, comprises polyepoxy compound and curable agent obtained by reacting amine, dicarboxylic acid dihydrazide and organic polyisocyanate" DERWENT, XP002170754 the whole document ---	1-3
A	"Curable resin composition - composition comprising an epoxy group-containing resin which contains at least a silicone type block copolymer and a polyfunctional amine compound" WPI WORLD PATENT INF, XP002907396 the whole document -----	1-3

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/20652

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 2001020071	A1	06-09-2001	AU 5150100 A EP 1196485 A1 WO 0071614 A1	12-12-2000 17-04-2002 30-11-2000
EP 0878472	A	18-11-1998	US 5962547 A AU 711786 B2 AU 6283298 A AU 700835 B2 AU 6599598 A CA 2237533 A1 CA 2237881 A1 DE 878472 T1 EP 0878471 A1 EP 0878472 A1 ES 2126545 T1 JP 3026557 B2 JP 11071364 A TW 416965 B	05-10-1999 21-10-1999 19-11-1998 14-01-1999 19-11-1998 16-11-1998 16-11-1998 06-05-1999 18-11-1998 18-11-1998 01-04-1999 27-03-2000 16-03-1999 01-01-2001
WO 9415986	A	21-07-1994	US 5300618 A AT 200684 T AU 6124194 A DE 69427119 D1 DE 69427119 T2 EP 0679165 A1 ES 2155851 T3 PT 679165 T WO 9415986 A2	05-04-1994 15-05-2001 15-08-1994 23-05-2001 02-08-2001 02-11-1995 01-06-2001 30-08-2001 21-07-1994
EP 0638547	A	15-02-1995	AT 195510 T AU 669635 B2 AU 6896894 A CA 2129569 A1 DE 59409479 D1 EP 0638547 A1 ES 2150977 T3 JP 7076565 A US 5495029 A US 5658712 A	15-09-2000 13-06-1996 16-02-1995 10-02-1995 21-09-2000 15-02-1995 16-12-2000 20-03-1995 27-02-1996 19-08-1997