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— *of inventorship (Rule 4.17(iv))*

Published:

— *with international search report (Art. 21(3))*

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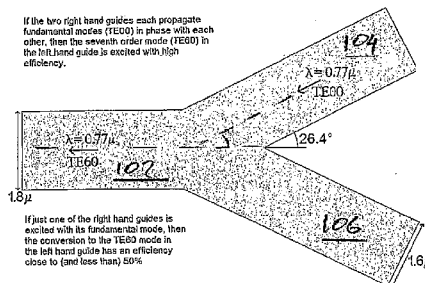
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- (54) Title:** NON-LINEAR OPTICAL DEVICE

FIG. 1



- (57) Abstract:** A non-linear optical device (100) comprises a frequency-conversion waveguide and first (102) and second (104) input waveguides. The longitudinal axes of the input waveguides are inclined to that of the frequency-conversion waveguide such a first transverse mode is excited in the latter at the input frequency in operation of the device. The frequency-conversion waveguide supports a second transverse mode at an output frequency of the device, such that the phase velocity of the second transverse mode at the output frequency is substantially equal to that of the first transverse mode at the input frequency, thus providing phase-matching by balancing the effects of chromatic and modal dispersion. The invention provides phase-matching for frequency-conversion within a waveguide where birefringent phase-matching is not possible, for example where the waveguide is a semiconductor waveguide.

Non-linear optical device

The invention relates to non-linear optical devices having a frequency-conversion function.

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Non-linear optical effects involving frequency conversion (e.g. optical parametric amplification, frequency up/down-conversion etc) generally require phase-matching of at least two optical fields for efficient operation. For example in the case of second harmonic generation, the refractive index n_{ω} of a non-linear medium for a fundamental field of frequency ω is required to be substantially the same as the refractive index $n_{2\omega}$ for the second harmonic field at a frequency 2ω so that that the phase velocities of the fundamental and second harmonic fields are substantially the same. In bulk optical systems, phase-matching is typically achieved by exploiting the birefringence of a non-linear optical crystal.

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Confinement of the optical fields within a non-linear optical waveguide provides a longer interaction length and hence higher conversion efficiency than is the case when using a non-linear medium in bulk form. However in certain cases, for example where the non-linear optical waveguide is a semiconductor waveguide, birefringent phase-matching is not possible. Some of these cases are important; for example semiconductor waveguides are of interest in the area of integrated photonics and have the advantage that they may be fabricated using well-established semiconductor processing techniques.

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The present invention provides a non-linear optical device for converting optical radiation of a first frequency into optical radiation of a second frequency, said device comprising a first optical waveguide having a waveguide core comprising optically non-linear material, and means for exciting a first transverse mode of said optical waveguide at the first frequency, wherein the optical waveguide is arranged to support a second transverse mode

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at the second frequency such that the phase velocities of the first and second transverse modes at the first and second frequencies respectively are substantially equal.

- 5 The invention thus provides a non-linear optical device in which phase-matching of optical radiation at first ω_1 and second ω_2 frequencies is achieved by arranging for these frequencies to propagate in certain transverse modes within a non-linear optical waveguide, the order of the transverse modes being such that their phase velocities are substantially the same as a result of
- 10 balancing the effects of chromatic and modal dispersion. For example, if $\omega_1 > \omega_2$ (as would be the case for parametric down-conversion for example) then a TE_{00} rectangular waveguide mode of frequency ω_1 would have a lower phase velocity than that of a TE_{00} mode of frequency ω_2 . However if the optical waveguide supports a transverse mode of an appropriate order at the frequency
- 15 ω_2 then modal dispersion can counteract chromatic dispersion to achieve phase-matching and hence efficient frequency-conversion.

The means for exciting the first transverse mode of the first optical waveguide may comprise a second optical waveguide coupled to the first optical

20 waveguide and means for exciting the fundamental mode of the second optical waveguide at the first frequency, wherein the longitudinal axes of the first and second optical waveguides lie in the same plane and intersect at an angle which provides for fundamental mode radiation at the first frequency in the second optical waveguide to excite said first transverse mode of the first optical

25 waveguide. The angle at which the longitudinal axes of the first and second waveguides intersect dictates the order of the first transverse mode which is excited in the first waveguide and may be chosen appropriately for a particular device providing conversion from one frequency to another.

- 30 The efficiency with which optical radiation of the first frequency may be coupled from the second waveguide into the first waveguide is around 50%.

However, if the means for exciting the first transverse mode of the first optical waveguide further comprises a third optical waveguide coupled to the first optical waveguide and means for exciting the fundamental mode of the third optical waveguide at the first frequency, the longitudinal axes of the first and third optical waveguides lying in the same plane and intersecting at an angle which provides for fundamental mode radiation at the first frequency in the third optical waveguide to excite said first transverse mode of the first optical waveguide, the total efficiency with which light of the first frequency is coupled from the second and third waveguides into the first waveguide is significantly greater than 50%.

A convenient arrangement for exciting the fundamental modes of the second and third waveguides comprises a multimode interference (MMI) splitter. A single input at the first frequency (e.g. from a semiconductor laser) may be divided into first and second portions by the MMI splitter (e.g. a 1-to-2 way MMI splitter); the first and second portions output from the MMI splitter may then be used to excite the fundamental modes of the second and third waveguides.

MMI devices for lossless splitting of an optical input are described in detail elsewhere, for example European Patent 0 563 084.

A device of the invention could be a parametric frequency up- or down-converter, the first frequency being the pump frequency. Alternatively the device could be an optical parametric amplifier or it could provide second harmonic generation, the first frequency being the pump frequency.

The first optical waveguide may be a semiconductor optical waveguide, for example a waveguide of the GaAs/AlGaAs material system.

Embodiments of the invention are described below with reference to the accompanying drawings in which:

Figure 1 illustrates a parametric down-conversion device of the invention;

Figures 2A & 2B illustrates transverse intensity distributions of transverse optical modes at input and output frequencies within the Figure 1 device; and

Figure 3 illustrates a device of the invention incorporating an multimode interference (MMI) splitter as part of an arrangement for generating a desired transverse mode at an input frequency.

Figure 1 shows a parametric down-conversion device 100 of the invention having a frequency-conversion waveguide 102 of width $1.8\text{ }\mu\text{m}$ for frequency-conversion and input waveguides 104, 106 each having a width of $1.6\text{ }\mu\text{m}$. The waveguides 102, 104, 106 are semiconductor ridge waveguides of the GaAs/AlGaAs material system. The longitudinal axes of the input waveguides 104, 106 each intersect that of waveguide 102 at 26.4° . In use of the device 100, input light of wavelength $0.77\text{ }\mu\text{m}$ is input to each of the input waveguides 104, 106 to excite the fundamental modes of these waveguides. On coupling into the non-linear waveguide 102, light from the input waveguides 104, 106 excites the TE_{60} transverse mode of waveguide 102. By parametric down-conversion, output light having a wavelength $1.54\text{ }\mu\text{m}$ is generated within the waveguide 102. Phase-matching is achieved within the waveguide 102 because the TE_{60} transverse mode at the input wavelength ($0.77\text{ }\mu\text{m}$) has substantially the same phase velocity as the fundamental TE_{00} transverse mode at the output wavelength ($1.54\text{ }\mu\text{m}$). Non-linear frequency conversion can therefore take place within the waveguide 102.

Figure 2A shows the transverse intensity distribution of the output field ($1.54\text{ }\mu\text{m}$), which is in the TE_{00} (fundamental) transverse mode of the waveguide

102. Figure 2B shows the transverse intensity distribution of the input field ($0.77\ \mu\text{m}$), which is in the TE_{60} transverse mode of the waveguide 102.

In other embodiments of the invention, the angle at which the longitudinal axes of the input waveguides intersect that of the frequency-converting waveguide may be arranged to achieve excitation of any desired transverse mode of the frequency-converting waveguide. The particular transverse mode excited is chosen such that the phase velocity of the mode at the input frequency is substantially equal to that of some other supported mode of the waveguide at a desired output frequency, thus achieving phase-matching by balancing the effects of chromatic and modal dispersion within the frequency-conversion waveguide.

Figure 3 shows another example device 200 of the invention comprising a frequency-conversion waveguide 202 and input waveguides 204, 206 coupled to the waveguide 202 as shown in Figure 1. The device 200 comprises a 1x2 multimode interference (MMI) splitter 208 arranged for substantially lossless splitting of light input to the MMI splitter 208 via an input guide 210. Output from the MMI splitter 208 is passed to the input waveguides 204, 206 and thence to the frequency-conversion waveguide 202.

The device 100 of Figure 1 may be used a source of entangled photon pairs if the output photons ($1.54\ \mu\text{m}$ wavelength) have parallel or orthogonal polarisation states. Entangled photon pairs are useful in the area of quantum information, for example in the field of quantum cryptography.

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Claims

1. A non-linear optical device for converting optical radiation of a first frequency into optical radiation of a second frequency, said device comprising a first optical waveguide having a waveguide core comprising optically non-linear material, and means for exciting a first transverse mode of said optical waveguide at the first frequency, wherein the optical waveguide is arranged to support a second transverse mode at the second frequency such that the phase velocities of the first and second transverse modes at the first and second frequencies respectively are substantially equal.
2. A device according to claim 1 wherein said means comprises a second optical waveguide coupled to the first optical waveguide and means for exciting the fundamental mode of the second optical waveguide at the first frequency, wherein the longitudinal axes of the first and second optical waveguides lie in the same plane and intersect at an angle which provides for fundamental mode radiation at the first frequency in the second optical waveguide to excite said first transverse mode of the first optical waveguide.
3. A device according to claim 2 wherein said means further comprises a third optical waveguide coupled to the first optical waveguide and means for exciting the fundamental mode of the third optical waveguide at the first frequency, wherein the longitudinal axes of the first and third optical waveguides lie in the same plane and intersect at an angle which provides for fundamental mode radiation at the first frequency in the second optical waveguide to excite said first transverse mode of the first optical waveguide.
4. A device according to claim 3 wherein the means for exciting the fundamental modes of the second and third optical waveguides comprises a

- multimode interference device arranged to divide input radiation at the first frequency into first and second output portions and means arranged to apply the first and second portions to the second and third optical waveguides respectively to excite the fundamental modes thereof.
5. A device according to any preceding claim wherein the device is arranged to provide parametric down-conversion of optical radiation of the first frequency.
 6. A device according to any of claims 1 to 4 arranged to provide optical parametric amplification.
 7. A device according to any of claims 1 to 4 arranged to provide parametric up-conversion of optical radiation of the first frequency.
 8. A device according to any of claims 1 to 4 arranged to provide second harmonic generation.
 9. A device according to any preceding claim wherein the first optical waveguide is a semiconductor optical waveguide.
 10. A device according to claim 9 wherein the first optical waveguide has a GaAs or AlGaAs core and AlGaAs cladding layers.
 11. A source of entangled photon pairs comprising a device according to claim 6 or claim 7.
 12. A non-linear optical device substantially as described above with reference to Figure 1 or to Figure 3.

FIG. 1

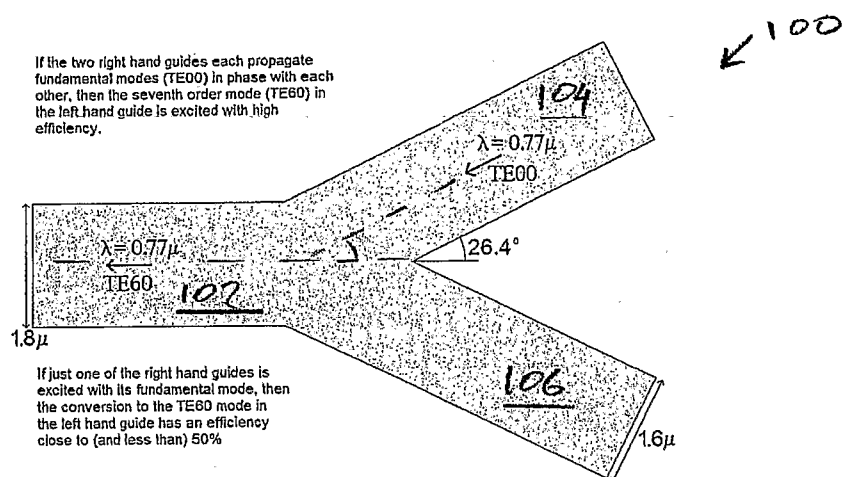


FIG. 2A

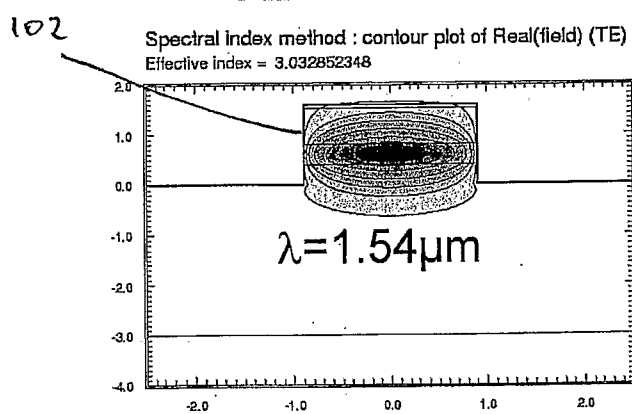


FIG. 2B

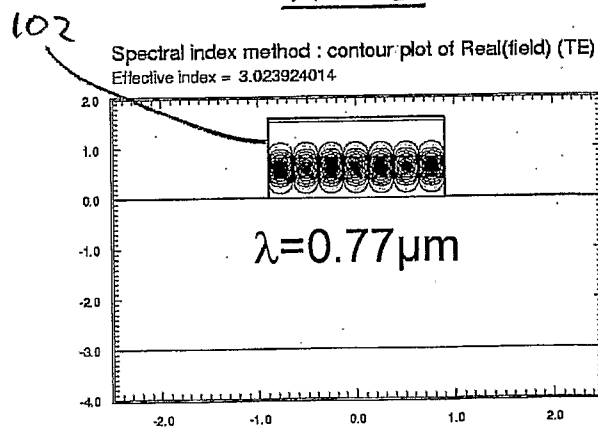
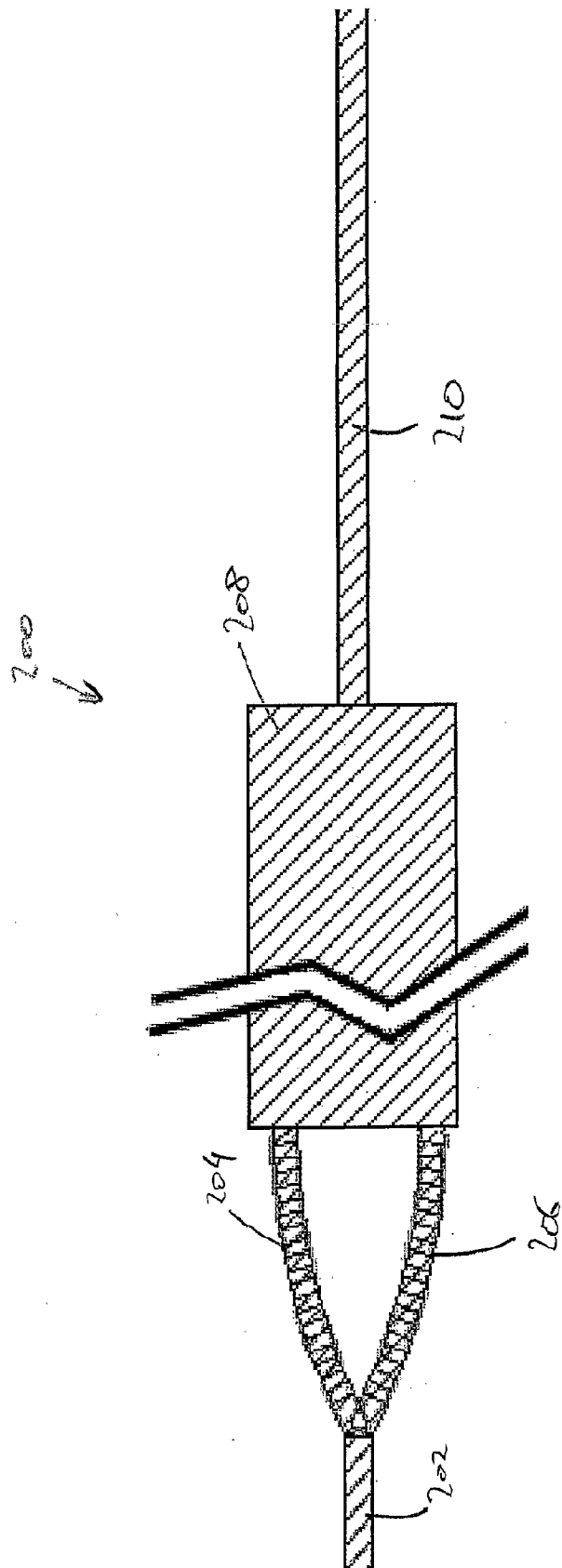


FIG. 3



INTERNATIONAL SEARCH REPORT

International application No
PCT/GB2009/002745

A. CLASSIFICATION OF SUBJECT MATTER

INV. G02F1/35 G02F1/39 G02F1/377 H04L9/08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G02F H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC, COMPENDEX

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	"Modal dispersion phase matching over 7 mm length in overdamped polymeric channel waveguides" APPLIED PHYSICS LETTERS, AIP, AMERICAN INSTITUTE OF PHYSICS, MELVILLE, NY, US, vol. 69, no. 27, 30 December 1996 (1996-12-30), pages 4139-4141, XP012016922 ISSN: 0003-6951 the whole document ----- -/--	1,5-12

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

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Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

International application No

PCT/GB2009/002745

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	MALIS OANA ET AL: "Improvement of second-harmonic generation in quantum-cascade lasers with true phase matching" APPLIED PHYSICS LETTERS, AIP, AMERICAN INSTITUTE OF PHYSICS, MELVILLE, NY, US, vol. 84, no. 15, 12 April 2004 (2004-04-12), pages 2721-2723, XP012061044 ISSN: 0003-6951 the whole document	1,5-12
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