



US 20100190669A1

(19) **United States**

(12) **Patent Application Publication**  
**Davies et al.**

(10) **Pub. No.: US 2010/0190669 A1**

(43) **Pub. Date: Jul. 29, 2010**

(54) **METHOD OF LUBRICATING AN ALUMINUM SILICATE COMPOSITE SURFACE WITH A LUBRICANT COMPRISING ASHLESS, SULPHUR, PHOSPHOROUS FREE ANTIWEAR AGENT**

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(21) Appl. No.: **12/598,052**

(22) PCT Filed: **May 15, 2008**

(86) PCT No.: **PCT/US08/63663**

§ 371 (c)(1),  
(2), (4) Date: **Mar. 17, 2010**

**Related U.S. Application Data**

(60) Provisional application No. 60/939,951, filed on May 24, 2007.

**Publication Classification**

(51) **Int. Cl.**  
*C10M 133/46* (2006.01)  
*C10M 169/04* (2006.01)  
*C10M 129/72* (2006.01)  
*C10M 133/16* (2006.01)  
*C10M 133/44* (2006.01)  
*C10M 129/66* (2006.01)  
*C10M 137/08* (2006.01)  
*C10M 137/10* (2006.01)

(52) **U.S. Cl. ....** **508/283**; 508/110; 508/496; 508/555;  
508/287; 508/304; 508/436; 508/370

**ABSTRACT**

The present invention relates to a method of lubricating an aluminium-composite surface by supplying to the aluminium composite surface (typically an internal combustion engine aluminium surface) a lubricating composition comprising an oil of lubricating viscosity and an ashless antiwear agent.

**METHOD OF LUBRICATING AN ALUMINUM SILICATE COMPOSITE SURFACE WITH A LUBRICANT COMPRISING ASHLESS, SULPHUR, PHOSPHOROUS FREE ANTIWEAR AGENT**

**FIELD OF INVENTION**

**[0001]** The invention provides a method of lubricating an aluminium-alloy or aluminium composite surface by supplying to the surface a lubricating composition comprising an oil of lubricating viscosity and an ashless antiwear agent. The invention further provides a lubricating composition suitable for lubricating the aluminium-alloy or aluminium composite surface.

**BACKGROUND OF THE INVENTION**

**[0002]** It is well known for lubricating oils to contain a number of additives used to protect the mechanical devices such as internal combustion engines from wear, soot deposits and acidity build up. A common antiwear additive for engine lubricating oils is zinc dialkyldithiophosphate (ZDDP). It is believed that ZDDP antiwear additives protect the engine by forming a protective film on metal surfaces.

**[0003]** In recent years engine lubricants containing phosphorus compounds and sulphur have been shown to contribute in part to particulate emissions, and emissions of other pollutants. In addition, sulphur and phosphorus tend to poison the catalysts used in catalytic converters, resulting in a reduction in performance of said catalysts.

**[0004]** Other developments in engine technology, such as new engine design, employ non-ferric components or whole engines. Typically non-ferric engines or components thereof, are based on aluminium-alloy, silicates, oxides, or other ceramic materials. However, well known antiwear agents ZDDP, are believed to result in poorer engine wear performance in aluminium-alloy based engine compared with ferric based engines.

**[0005]** In addition, with increasing control of emissions (often associated with contributing to  $\text{NO}_x$  formation,  $\text{SO}_x$  formation, formation of sulphated ash and reducing the efficiency of after-treatment catalytic converters) there a desire towards reduced amounts of sulphur, phosphorus and sulphated ash in engine oils. However, reducing the levels of antiwear additives such as ZDDP, is likely to increase wear and result in other detrimental performance of an engine.

**[0006]** US Patent Application 2006/0025315 discloses a method of lubricating an aluminium alloy surface with a lubricating composition containing an effective friction reducing amount of an oil soluble tri-nuclear organo-molybdenum compound.

**[0007]** Canadian Patent CA 1 183 125 discloses lubricants for gasoline engines containing alkyl-ester tartrates, where the sum of carbon atoms on the alkyl groups is at least 8.

**[0008]** Consequently, it would be desirable to provide an alternative antiwear agent capable of at least one of (i) reducing or preventing phosphorus emissions, (ii) reducing or preventing sulphur emissions, and (iii) wholly or partially replacing ZDDP in lubricating oils. The present invention provides an antiwear agent capable of achieving at least one of (i), (ii) or (iii). In addition it may also be desirable for the antiwear agent to not have a detrimental affect on other com-

ponents of a mechanical device e.g., a seal or to provide lead and/or copper corrosion inhibition.

**SUMMARY OF THE INVENTION**

**[0009]** In one embodiment the invention provides a method of lubricating an aluminium-alloy or aluminium composite surface comprising supplying to the aluminium-alloy or aluminium composite surface a lubricating composition comprising an oil of lubricating viscosity and an ashless, phosphorus-free, sulphur-free antiwear agent.

**[0010]** In one embodiment the aluminium-alloy or aluminium composite surface is an aluminium-silicate surface.

**[0011]** In one embodiment the invention provides a method of lubricating an internal combustion engine comprising an aluminium-alloy or aluminium composite surface, the method comprising supplying to the surface a lubricating composition comprising an oil of lubricating viscosity and an ashless, phosphorus-free, sulphur-free antiwear agent.

**[0012]** In one embodiment the invention provides for the use of a lubricating composition comprising an ashless antiwear agent to lubricate an aluminium-alloy or aluminium composite surface. In one embodiment the invention provides for the use of a lubricating composition comprising an ashless antiwear agent to lubricate an internal combustion engine comprising an aluminium-alloy or aluminium composite surface.

**[0013]** In one embodiment the invention provides a lubricating composition comprising an ashless, phosphorus-free, sulphur-free antiwear agent, and wherein the lubricating composition is characterised as having at least one of (i) a sulphur content of 0.8 wt % or less, (ii) a phosphorus content of 0.2 wt % or less, or (iii) a sulphated ash content of 2 wt % or less.

**[0014]** In one embodiment the invention provides a lubricating composition comprising an ashless, phosphorus-free, sulphur-free antiwear agent, and wherein the lubricating composition is characterised as having (i) a sulphur content of 0.5 wt % or less, (ii) a phosphorus content of 0.1 wt % or less, and (iii) a sulphated ash content of 1.5 wt % or less.

**[0015]** In one embodiment the lubricating compositions disclosed herein further comprises a molybdenum compound. Examples of a suitable molybdenum compound include molybdenum dialkyldithiophosphates, molybdenum dithiocarbamates, amine salts of molybdenum compounds, or mixtures thereof.

**[0016]** In different embodiments the lubricating compositions disclosed herein contain 0 ppm to 500 ppm, or 5 ppm to 300 ppm, or 20 ppm to 250 ppm of molybdenum. In certain embodiments a molybdenum compound is present in an amount to provide 0.5 ppm to 2000 ppm, 1 ppm to 700 ppm, or 20 ppm to 250 ppm molybdenum.

**DETAILED DESCRIPTION OF THE INVENTION**

**[0017]** The present invention provides a lubricating composition and a method for lubricating an engine as disclosed above.

**Ashless Antiwear Agent**

**[0018]** In one embodiment the ashless, phosphorus-free, sulphur-free antiwear agent includes imides, di-esters, di-amides, di-imides, ester-amides, ester-imides, imide-amides. In one embodiment the antiwear agent includes imides, di-esters, di-amides, or ester-amides.

[0019] In one embodiment the ashless antiwear agent includes a compound derived from a hydroxycarboxylic acid.

[0020] In one embodiment the ashless antiwear agent is derived from at least one of a hydroxy-carboxylic acid di-ester, a hydroxy-carboxylic acid di-amide, a hydroxy-carboxylic acid di-imide, a hydroxy-carboxylic acid ester-amide, a hydroxy-carboxylic acid ester-imide, and a hydroxy-carboxylic acid imide-amide. In one embodiment the ashless antiwear agent is derived from at least one of the group consisting of a hydroxy-carboxylic acid di-ester, a hydroxy-carboxylic acid di-amide, and a hydroxy-carboxylic acid ester-amide.

[0021] Examples of a suitable a hydroxycarboxylic acid include citric acid, tartaric acid, malic acid (or hydroxy-succinic acid), lactic acid, oxalic acid, glycolic acid, hydroxy-propionic acid, hydroxyglutaric acid, or mixtures thereof. In one embodiment ashless antiwear agent is derived from tartaric acid, citric acid, hydroxy-succinic acid, dihydroxy mono-acids, mono-hydroxy diacids, or mixtures thereof. In one embodiment the ashless antiwear agent includes a compound derived from tartaric acid. In another embodiment it is derived from citric acid.

[0022] US Patent Application 2005/198894 discloses suitable hydroxycarboxylic acid compounds, and methods of preparing the same.

[0023] Canadian Patent 1183125; US Patent Publication numbers 2006/0183647 and US-2006-0079413; U.S. Patent Application No. 60/867,402; and British Patent 2 105 743 A, all disclose examples of suitable tartaric acid derivatives.

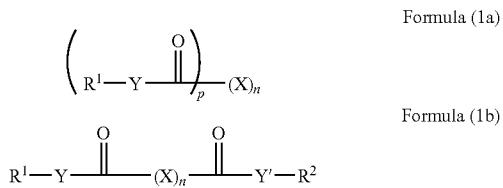
[0024] In one embodiment the di-esters, di-amides, di-imides, ester-amide, ester-imide, imide-amide compounds are derived from a compound of Formula (1a) and/or (1b). In one embodiment the di-esters, di-amides, ester-amide, compounds are derived from a compound of Formula (1a) and/or (1b).

[0025] A detailed description of methods for preparing suitable tartrimes (by reacting tartaric acid with a primary amine) is disclosed in U.S. Pat. No. 4,237,022.

[0026] In one embodiment the ashless antiwear agent includes imide, di-esters, di-amides, ester-amide derivatives of tartaric acid.

[0027] The ashless antiwear agent of the invention, typically a tartrate, may also function as rust and corrosion inhibitors, friction modifiers, antiwear agents and demulsifiers.

[0028] In one embodiment the ashless antiwear agent is represented by a compound of Formula (1 a) and/or (1b):



wherein

[0029] n is 0 to 10, 0 to 6, 0 to 4, 1 to 4, or 1 to 2 for Formula (1b), and 1 to 10, 1 to 4, or 1 to 2 for Formula (1a);

[0030] p is 1 to 5, or 1 to 2, or 1;

[0031] Y and Y' are independently —O—, >NH, >NR<sup>3</sup>, or an imide group formed by taking together both Y and Y' groups in (1b) or two Y groups in (1a) and forming a R<sup>1</sup>—N< group between two >C=O groups;

[0032] X is independently —CH<sub>2</sub>—, >CHR<sup>4</sup> or >CR<sup>4</sup>R<sup>5</sup>, >CHOR<sup>6</sup>, or >C(CO<sub>2</sub>R<sup>6</sup>)<sub>2</sub>, >C(OR<sup>6</sup>)CO<sub>2</sub>R<sup>6</sup>, >C(CH<sup>2</sup>OR<sup>6</sup>)CO<sub>2</sub>R<sup>6</sup>, —CH<sub>3</sub>, —CH<sub>2</sub>R<sup>4</sup> or —CHR<sup>4</sup>R<sup>5</sup>, —CH<sub>2</sub>OR<sup>6</sup>, or —CH(CO<sub>2</sub>R<sup>6</sup>)<sub>2</sub>, =C—R<sup>6</sup>, or mixtures thereof to fulfill the valence of Formula (1a) and/or (1b), with the proviso that =C—R<sup>6</sup> only applies to Formula (1a), the =C referring to three single bonds to the carbon atom;

[0033] R<sup>1</sup> and R<sup>2</sup> are independently hydrocarbyl groups, typically containing 1 to 150, 4 to 30, or 6 to 20, or 10 to 20, or 11 to 18 carbon atoms;

[0034] R<sup>3</sup> is a hydrocarbyl group;

[0035] R<sup>4</sup> and R<sup>5</sup> are independently keto-containing groups (such as acyl groups), ester groups or hydrocarbyl groups; and

[0036] R<sup>6</sup> is independently hydrogen or a hydrocarbyl group, typically containing 1 to 150, or 4 to 30 carbon atoms.

[0037] In one embodiment the compound of Formula (1b) contains an imide group. The imide group is typically formed by taking together the Y and Y' groups and forming a R<sup>1</sup>—N< group between two >C=O groups.

[0038] In one embodiment the compound of Formula (1a) and/or (1b) has n, X, and R<sup>1</sup>, R<sup>2</sup> and R<sup>6</sup> defined as follows: n is 1 to 2, X is >CHOR<sup>6</sup>; and R<sup>1</sup>, R<sup>2</sup> and R<sup>6</sup> are independently hydrocarbyl groups containing 4 to 30 carbon atoms.

[0039] In one embodiment Y and Y' are both —O—.

[0040] In one embodiment the compound of Formula (1a) and/or (1b) has n, X, Y, Y' and R<sup>1</sup>, R<sup>2</sup> and R<sup>6</sup> defined as follows: n is 1 to 2, X is >CHOR<sup>6</sup>; Y and Y' are both —O—, and R<sup>1</sup>, R<sup>2</sup> and R<sup>6</sup> are independently hydrocarbyl groups containing 4 to 30 carbon atoms.

[0041] The di-esters, di-amides, di-imides, ester-amide, ester-imide, imide-amide compounds of Formula (1a) and/or (1b) may be prepared by reacting a dicarboxylic acid (such as tartaric acid), with an amine or alcohol, optionally in the presence of a known esterification catalyst. The amine or alcohol typically has sufficient carbon atoms to fulfill the requirements of R<sup>1</sup> and/or R<sup>2</sup> as defined in Formula (1a) and/or (1b). Derivatives of the hydroxycarboxylic acid include imides, di-esters, di-amides, di-imides (applicable for tetra-acids and higher), ester-amides, ester-imides (applicable for tri-acids and higher, such as citric acid), imide-amides (applicable for tri-acids and higher, such as citric acid). In one embodiment the antiwear agent includes imides, di-esters, di-amides, or ester-amides.

[0042] In one embodiment R<sup>1</sup> and R<sup>2</sup> are independently linear or branch hydrocarbyl groups. In one embodiment the hydrocarbyl groups are branched. In one embodiment the hydrocarbyl groups are linear. The R<sup>1</sup> and R<sup>2</sup> may be incorporated into Formula (1a) and/or (1b) by either an amine or an alcohol. The alcohol includes both monohydric alcohol and polyhydric alcohol.

[0043] In one embodiment the ashless antiwear agent is derived from a compound of Formula (1b).

[0044] Examples of a suitable branched alcohol include 2-ethylhexanol, isotridecanol, Guerbet alcohols, or mixtures thereof.

[0045] Examples of a monohydric alcohol include methanol, ethanol, propanol, butanol, pentanol, hexanol, heptanol, octanol, nonanol, decanol, undecanol, dodecanol, tridecanol, tetradecanol, pentadecanol, hexadecanol, heptadecanol, octadecanol, nonadecanol, eicosanol, or mixtures thereof. In one embodiment the monohydric alcohol contains 5 to 20 carbon atoms.

[0046] The alcohol includes either a monohydric alcohol or a polyhydric alcohol. Examples of a suitable polyhydric alcohol include ethylene glycol, propylene glycol, 1,3-butylene glycol, 2,3-butylene glycol, 1,5-pentane diol, 1,6-hexane diol, glycerol, sorbitol, pentaerythritol, trimethylolpropane, starch, glucose, sucrose, methylglucoside, or mixtures thereof. In one embodiment the polyhydric alcohol is used in a mixture along with a monohydric alcohol. Typically, in such a combination the monohydric alcohol constitutes at least 60 mole percent, or at least 90 mole percent of the mixture.

[0047] In one embodiment ashless antiwear agent is derived from tartaric acid. The tartaric acid used for preparing the tartrates of the invention can be commercially available (for instance obtained from Sargent Welch), and it is likely to exist in one or more isomeric forms such as d-tartaric acid, l-tartaric acid or mesotartaric acid, often depending on the source (natural) or method of synthesis (e.g. from maleic acid). These derivatives can also be prepared from functional equivalents to the diacid readily apparent to those skilled in the art, such as esters, acid chlorides, or anhydrides.

[0048] When the compound of Formula (1a) and/or (1b) is derived from tartaric acid, resultant tartrates may be solid, semi-solid, or oil depending on the particular alcohol used in preparing the tartrate. For use as additives in oleaginous compositions including lubricating and fuel compositions the tartrates are advantageously soluble and/or stably dispersible in such oleaginous compositions. For example, compositions intended for use in oils are typically oil-soluble and/or stably dispersible in an oil in which they are to be used. The term "oil-soluble" as used in this specification and appended claims does not necessarily mean that all the compositions in question are miscible or soluble in all proportions in all oils. Rather, it is intended to mean that the composition is soluble in an oil (mineral, synthetic, etc.) in which it is intended to function to an extent which permits the solution to exhibit one or more of the desired properties. Similarly, it is not necessary that such "solutions" be true solutions in the strict physical or chemical sense. They may instead be micro-emulsions or colloidal dispersions which, for the purpose of this invention, exhibit properties sufficiently close to those of true solutions to be, for practical purposes, interchangeable with them within the context of this invention.

[0049] The ashless antiwear agent of the may be present at 0.01 wt % to 20 wt %, or 0.05 to 10 wt %, or 0.1 to 5 wt % of the lubricating composition.

#### Oils of Lubricating Viscosity

[0050] The lubricating composition comprises an oil of lubricating viscosity. Such oils include natural and synthetic oils, oil derived from hydrocracking, hydrogenation, and hydrofinishing, unrefined, refined and re-refined oils and mixtures thereof.

[0051] Unrefined oils are those obtained directly from a natural or synthetic source generally without (or with little) further purification treatment.

[0052] Refined oils are similar to the unrefined oils except they have been further treated in one or more purification steps to improve one or more properties. Purification techniques are known in the art and include solvent extraction, secondary distillation, acid or base extraction, filtration, percolation and the like.

[0053] Re-refined oils are also known as reclaimed or reprocessed oils, and are obtained by processes similar to those used to obtain refined oils and often are additionally

processed by techniques directed to removal of spent additives and oil breakdown products.

[0054] Natural oils useful in making the inventive lubricants include animal oils, vegetable oils (e.g., castor oil), mineral lubricating oils such as liquid petroleum oils and solvent-treated or acid-treated mineral lubricating oils of the paraffinic, naphthenic or mixed paraffinic-naphthenic types and oils derived from coal or shale or mixtures thereof.

[0055] Synthetic lubricating oils are useful and include hydrocarbon oils such as polymerised and interpolymerised olefins (e.g., polybutylenes, polypropylenes, propyleneisobutylene copolymers); poly(1-hexenes), poly(1-octenes), poly(1-decenes), and mixtures thereof; alkyl-benzenes (e.g. dodecylbenzenes, tetradecylbenzenes, dinonylbenzenes, di-(2-ethylhexyl)-benzenes); polyphenyls (e.g., biphenyls, terphenyls, alkylated polyphenyls); alkylated diphenyl ethers and alkylated diphenyl sulphides and the derivatives, analogs and homologs thereof or mixtures thereof.

[0056] Other synthetic lubricating oils include polyol esters (such as Prolube®3970), diesters, liquid esters of phosphorus-containing acids (e.g., tricresyl phosphate, triethyl phosphate, and the diethyl ester of decane phosphonic acid), or polymeric tetrahydrofurans. Synthetic oils may be produced by Fischer-Tropsch reactions and typically may be hydroisomerised Fischer-Tropsch hydrocarbons or waxes. In one embodiment oils may be prepared by a Fischer-Tropsch gas-to-liquid synthetic procedure as well as other gas-to-liquid oils.

[0057] Oils of lubricating viscosity may also be defined as specified in the American Petroleum Institute (API) Base Oil Interchangeability Guidelines. The five base oil groups are as follows: Group I (sulphur content >0.03 wt %, and/or <90 wt % saturates, viscosity index 80-120); Group II (sulphur content  $\leq$ 0.03 wt %, and  $\geq$ 90 wt % saturates, viscosity index 80-120); Group III (sulphur content  $\leq$ 0.03 wt %, and  $\geq$ 90 wt % saturates, viscosity index  $\geq$ 120); Group IV (all polyalphaolefins (PAOs)); and Group V (all others not included in Groups I, II, III, or IV). The oil of lubricating viscosity comprises an API Group I, Group II, Group III, Group IV, Group V oil or mixtures thereof. Often the oil of lubricating viscosity is an API Group I, Group II, Group III, Group IV oil or mixtures thereof. Alternatively the oil of lubricating viscosity is often an API Group II, Group III or Group IV oil or mixtures thereof.

[0058] The amount of the oil of lubricating viscosity present is typically the balance remaining after subtracting from 100 wt % the sum of the amount of the ashless antiwear agent and the other performance additives.

[0059] The lubricating composition may be in the form of a concentrate and/or a fully formulated lubricant. If the ashless antiwear agent is in the form of a concentrate (which may be combined with additional oil to form, in whole or in part, a finished lubricant), the ratio of the components of the invention to the oil of lubricating viscosity and/or to diluent oil include the ranges of 1:99 to 99:1 by weight, or 80:20 to 10:90 by weight.

#### Other Performance Additives

[0060] The composition optionally comprises other performance additives. The other performance additives comprise at least one of metal deactivators, viscosity modifiers, detergents, friction modifiers, antiwear agents (other than the ashless antiwear agent of the invention), corrosion inhibitors,

dispersants, dispersant viscosity modifiers, extreme pressure agents, antioxidants, foam inhibitors, demulsifiers, pour point depressants, seal swelling agents and mixtures thereof. Typically, fully-formulated lubricating oil will contain one or more of these performance additives.

[0061] In one embodiment the lubricating composition comprises the ashless antiwear agent and further comprises at least one of a viscosity modifier, an antioxidant, an overbased detergent, a succinimide dispersant, or mixtures thereof.

[0062] In one embodiment the lubricating composition comprising the ashless antiwear agent further comprises a phosphorus-containing antiwear agent.

[0063] In one embodiment the lubricating composition comprising the ashless antiwear agent further comprises a molybdenum compound.

#### Detergents

[0064] The lubricant composition optionally further comprises other known neutral or overbased detergents. Suitable detergent substrates include phenates, sulphur containing phenates, sulphonates, salixarates, salicylates, carboxylic acid, phosphorus acid, mono- and/or di-thiophosphoric acid, alkyl phenol, sulphur coupled alkyl phenol compounds, or saligenins. Various overbased detergents and their methods of preparation are described in greater detail in numerous patent publications, including WO2004/096957 and references cited therein. The detergent substrate is typically salted with a metal such as calcium, magnesium, potassium, sodium, or mixtures thereof. In one embodiment the lubricating composition further includes an overbased detergent. Typically the overbased detergent includes phenates, sulphur containing phenates, sulphonates, salixarates, salicylates, or mixtures thereof.

[0065] The detergent may be present at 0 wt % to 10 wt %, or 0.1 wt % to 8 wt %, or 1 wt % to 4 wt %, or greater than 4 to 8 wt %.

#### Dispersants

[0066] Dispersants are often known as ashless-type dispersants because, prior to mixing in a lubricating oil composition, they do not contain ash-forming metals and they do not normally contribute any ash forming metals when added to a lubricant and polymeric dispersants. Ashless type dispersants are characterised by a polar group attached to a relatively high molecular weight hydrocarbon chain. Typical ashless dispersants include N-substituted long chain alkenyl succinimides. Examples of N-substituted long chain alkenyl succinimides include polyisobutylene succinimide with number average molecular weight of the polyisobutylene substituent in the range 350 to 5000, or 500 to 3000. Succinimide dispersants and their preparation are disclosed, for instance in U.S. Pat. No. 3,172,892 or U.S. Pat. No. 4,234,435. Succinimide dispersants are typically the imide formed from a polyamine, typically a poly(ethyleneamine).

[0067] In one embodiment the invention further comprises at least one polyisobutylene succinimide dispersant derived from polyisobutylene with number average molecular weight in the range 350 to 5000, or 500 to 3000. The polyisobutylene succinimide may be used alone or in combination with other dispersants.

[0068] In one embodiment the invention further comprises at least one dispersant derived from polyisobutylene succinic anhydride, an amine and zinc oxide to form a polyisobutylene

succinimide complex with zinc. The polyisobutylene succinimide complex with zinc may be used alone or in combination.

[0069] Another class of ashless dispersant is Mannich bases. Mannich dispersants are the reaction products of alkyl phenols with aldehydes (especially formaldehyde) and amines (especially polyalkylene polyamines). The alkyl group typically contains at least 30 carbon atoms.

[0070] The dispersants may also be post-treated by conventional methods by a reaction with any of a variety of agents. Among these are boron, urea, thiourea, dimercaptotriadiazoles, carbon disulphide, aldehydes, ketones, carboxylic acids, hydrocarbon-substituted succinic anhydrides, maleic anhydride, nitriles, epoxides, and phosphorus compounds.

[0071] The dispersant may be present at 0 wt % to 20 wt %, or 0.1 wt % to 15 wt %, or 0.1 wt % to 10 wt %, or 1 wt % to 6 wt %, or 7 wt % to 12 wt % of the lubricating composition.

#### Antioxidants

[0072] Antioxidant compounds are known and include for example, sulphurised olefins, alkylated diphenylamines (typically di-nonyl diphenylamine, octyl diphenylamine, di-octyl diphenylamine), hindered phenols, molybdenum compounds (such as molybdenum dithiocarbamates), or mixtures thereof. Antioxidant compounds may be used alone or in combination. The antioxidant may be present in ranges 0 wt % to 20 wt %, or 0.1 wt % to 10 wt %, or 1 wt % to 5 wt %, of the lubricating composition.

[0073] The hindered phenol antioxidant often contains a secondary butyl and/or a tertiary butyl group as a sterically hindering group. The phenol group is often further substituted with a hydrocarbyl group and/or a bridging group linking to a second aromatic group. Examples of suitable hindered phenol antioxidants include 2,6-di-tert-butylphenol, 4-methyl-2,6-di-tert-butylphenol, 4-ethyl-2,6-di-tert-butylphenol, 4-propyl-2,6-di-tert-butylphenol or 4-butyl-2,6-di-tert-butylphenol, or 4-dodecyl-2,6-di-tert-butylphenol. In one embodiment the hindered phenol antioxidant is an ester and may include, e.g., Irganox<sup>TM</sup> L-135 from Ciba. A more detailed description of suitable ester-containing hindered phenol antioxidant chemistry is found in U.S. Pat. No. 6,559,105.

[0074] Suitable examples of molybdenum dithiocarbamates which may be used as an antioxidant include commercial materials sold under the trade names such as Molyvan 822<sup>TM</sup> and Molyvan<sup>TM</sup> A from R. T. Vanderbilt Co., Ltd., and Adeka Sakura-Lube<sup>TM</sup> S-100, S-165 and S-600 from Asahi Denka Kogyo K. K and mixtures thereof.

#### Viscosity Modifiers

[0075] Viscosity modifiers include hydrogenated copolymers of styrene-butadiene, ethylene-propylene copolymers, polyisobutenes, hydrogenated styrene-isoprene polymers, hydrogenated isoprene polymers, polymethacrylates, polyacrylates, polyalkyl styrenes, hydrogenated alkenyl aryl conjugated diene copolymers, polyolefins, esters of maleic anhydride-styrene copolymers.

#### Dispersant Viscosity Modifiers

[0076] Dispersant viscosity modifiers (often referred to as DVM), include functionalised polyolefins, for example, ethylene-propylene copolymers that have been functionalized with the reaction product of maleic anhydride and an amine;

polymethacrylates functionalised with an amine, or esterified maleic anhydride-styrene copolymers reacted with an amine. [0077] The total amount of viscosity modifier and/or dispersant viscosity modifier may be 0 wt % to 20 wt %, or 0.1 wt % to 15 wt %, or 0.1 wt % to 10 wt %, of the lubricating composition

#### Antiwear Agents

[0078] The lubricant composition optionally further comprises at least one other antiwear agent other than the ashless antiwear agent of the invention. Examples of suitable antiwear agents include phosphate esters, sulphurised olefins, sulphur-containing anti-wear additives including metal dihydrocarbyldithiophosphates (such as zinc dialkylldithiophosphates or molybdenum dialkylldithiophosphates), thiocarbamate-containing compounds including, thiocarbamate esters, alkylene-coupled thiocarbamates, and bis(S-alkylldithio carbamyl) disulphides.

[0079] The dithiocarbamate-containing compounds may be prepared by reacting a dithiocarbamate acid or salt with an unsaturated compound. The dithiocarbamate containing compounds may also be prepared by simultaneously reacting an amine, carbon disulphide and an unsaturated compound. Generally, the reaction occurs at a temperature of 25° C. to 125° C. U.S. Pat. Nos. 4,758,362 and 4,997,969 describe dithiocarbamate compounds and methods of making them.

[0080] Examples of suitable olefins that may be sulphurised to form the sulphurised olefin include propylene, butylene, isobutylene, pentene, hexane, heptene, octane, nonene, decene, undecene, dodecene, undecene, tridecene, tetradecene, pentadecene, hexadecene, heptadecene, octadecene, nonadecene, eicosene or mixtures thereof. In one embodiment, hexadecene, heptadecene, octadecene, nonadecene, eicosene or mixtures thereof and their dimers, trimers and tetramers are especially useful olefins. Alternatively, the olefin may be a Diels-Alder adduct of a diene such as 1,3-butadiene and an unsaturated ester, such as butylacrylate.

[0081] Another class of sulphurised olefin includes fatty acids and their esters. The fatty acids are often obtained from vegetable oil or animal oil and typically contain 4 to 22 carbon atoms. Examples of suitable fatty acids and their esters include triglycerides, oleic acid, linoleic acid, palmitoleic acid or mixtures thereof. Often, the fatty acids are obtained from lard oil, tall oil, peanut oil, soybean oil, cottonseed oil, sunflower seed oil or mixtures thereof. In one embodiment fatty acids and/or ester are mixed with olefins such as  $\alpha$ -olefins.

[0082] In an alternative embodiment, the ashless antiwear agent (which may also be described as a friction modifier) may be a monoester of a polyol and an aliphatic carboxylic acid, often an acid containing 12 to 24 carbon atoms. Often the monoester of a polyol and an aliphatic carboxylic acid is in the form of a mixture with a sunflower oil or the like, which may be present in the ashless antiwear agent mixture include 5 to 95, or in other embodiments 10 to 90, or 20 to 85, or 20 to 80 weight percent of said mixture. The aliphatic carboxylic acids (especially a monocarboxylic acid) which form the esters are those acids typically containing 12 to 24 or 14 to 20 carbon atoms. Examples of carboxylic acids include dodecanoic acid, stearic acid, lauric acid, behenic acid, and oleic acid.

[0083] Polyols include diols, triols, and alcohols with higher numbers of alcoholic OH groups. Polyhydric alcohols include ethylene glycols, including di-, tri- and tetraethylene

glycols; propylene glycols, including di-, tri- and tetrapropylene glycols; glycerol; butane diol; hexane diol; sorbitol; arabinol; mannitol; sucrose; fructose; glucose; cyclohexane diol; erythritol; and pentaerythrityls, including di- and tri-pentaerythrityls. Often the polyol is diethyl-ene glycol, triethylene glycol, glycerol, sorbitol, pentaerythrityl or dipentaerythrityl. The commercial material known as glycerol monooleate is believed to include about 60±5 percent by weight of the chemical species "glycerol monooleate," along with 35±5 percent glycerol dioleate, and less than about 5 percent trioleate and oleic acid. The amounts of the monoesters, described below, are the amounts of the commercial grade material.

[0084] The antiwear agent may be present in ranges including 0 wt % to 15 wt %, or 0 wt % to 10 wt %, or 0.05 wt % to 5 wt %, or 0.1 wt % to 3 wt % of the lubricating composition.

[0085] In one embodiment the lubricating composition is free of zinc dihydrocarbyl dithiophosphate. In one embodiment the lubricating composition further includes zinc dihydrocarbyl dithiophosphate.

#### Extreme Pressure Agents

[0086] Extreme Pressure (EP) agents that are soluble in the oil include sulphur- and chlorosulphur-containing EP agents, chlorinated hydrocarbon EP agents and phosphorus EP agents. Examples of such EP agents include chlorinated wax; organic sulphides and polysulphides such as dibenzyl disulphide, bis(chlorobenzyl) disulphide, dibutyl tetrasulphide, sulphurised methyl ester of oleic acid, sulphurised alkylphenol, sulphurised dipentene, sulphurised terpene, and sulphurised Diels-Alder adducts; phosphosulphurised hydrocarbons such as the reaction product of phosphorus sulphide with turpentine or methyl oleate; phosphorus esters such as the dihydrocarbon and trihydrocarbon phosphites, e.g., dibutyl phosphite, diheptyl phosphite, dicyclohexyl phosphite, pentylphenyl phosphite; dipentylphenyl phosphite, tridecyl phosphite, distearyl phosphite and polypropylene substituted phenol phosphite; metal thiocarbamates such as zinc dihydrocarbyldithiocarbamate and barium heptylphenol diacid; amine salts of alkyl and dialkylphosphoric acids, including, for example, the amine salt of the reaction product of a dialkylldithiophosphoric acid with propylene oxide; and mixtures thereof.

#### Friction Modifiers

[0087] In one embodiment the further comprises a friction modifier, or mixtures thereof. Typically the friction modifier may be present in ranges including 0 wt % to 10 wt %, or 0.05 wt % to 8 wt %, or 0.1 wt % to 4 wt %.

[0088] Examples of suitable friction modifiers include long chain fatty acid derivatives of long chain fatty acid derivatives of amines, esters, or epoxides; fatty imidazolines (that is to say, long chain fatty amides, long chain fatty esters, long chain fatty epoxide derivatives, long chain fatty imidazolines); and amine salts of alkylphosphoric acids.

[0089] Friction modifiers may also encompass materials such as sulphurised fatty compounds and olefins, molybdenum dialkylldithiophosphates, molybdenum dithiocarbamates, sunflower oil or monoester of a polyol and an aliphatic carboxylic acid (all these friction modifiers have been described as antioxidants or antiwear agents).

[0090] In one embodiment the friction modifier friction modifier is selected from the group consisting of long chain

fatty amides, long chain fatty esters, long chain fatty epoxide derivatives, long chain fatty imidazolines, and amine salts of alkylphosphoric acids; fatty alkyl tartrates such as C12-14 tartrates; fatty alkyl tartrimes (such as tridecyl tartramide, oleyl tartramide, or 2-ethylhexyl tartramide); and fatty alkyl tartramides

[0091] In one embodiment the friction modifier is a long chain fatty acid ester (previously described above as an ashless antiwear agent). In another embodiment the long chain fatty acid ester is a mono-ester and in another embodiment the long chain fatty acid ester is a (tri)glyceride.

#### Other Additives

[0092] Other performance additives such as corrosion inhibitors include those described in paragraphs 5 to 8 of US Application US05/038319 (filed on Oct. 25, 2004 McAtee and Boyer as named inventors), octylamine octanoate, condensation products of dodecenyl succinic acid or anhydride and a fatty acid such as oleic acid with a polyamine. In one embodiment the corrosion inhibitors include the Synalox® corrosion inhibitor. The Synalox® corrosion inhibitor is typically a homopolymer or copolymer of propylene oxide. The Synalox® corrosion inhibitor is described in more detail in a product brochure with Form No. 118-01453-0702 AMS, published by The Dow Chemical Company. The product brochure is entitled "SYNALOX Lubricants, High-Performance Polyglycols for Demanding Applications."

[0093] Metal deactivators including derivatives of benzotriazoles (typically tolyltriazole), dimercaptothiadiazole derivatives, 1,2,4-triazoles, benzimidazoles, 2-alkyldithiobenzimidazoles, or 2-alkyldithiobenzothiazoles; foam inhibitors including copolymers of ethyl acrylate and 2-ethylhexylacrylate and optionally vinyl acetate; demulsifiers including trialkyl phosphates, polyethylene glycols, polyethylene oxides, polypropylene oxides and (ethylene oxide-propylene oxide) polymers; pour point depressants including esters of maleic anhydride-styrene, polymethacrylates, polyacrylates or polyacrylamides.

#### INDUSTRIAL APPLICATION

[0094] The lubricating composition may be utilised in a range aluminium-alloy or aluminium composite surfaces typically found in mechanical devices. The mechanical devices include an internal combustion engine, a gearbox, an automatic transmission, a hydraulic device or a turbine. Typically the lubricating composition may be an engine oil, a gear oil, an automatic transmission oil, a hydraulic fluid, a turbine oil, a metal working fluid or a circulating oil. In one embodiment the mechanical device is an internal combustion engine.

[0095] As used herein, the terms "aluminium-alloy" and "aluminium composite" are used interchangeably to describe a surface comprising aluminium and another component intermixed or reacted on a microscopic or nearly microscopic level, regardless of the detailed structure thereof. This would include any conventional alloys with metals other than aluminium as well as composite or alloy-like structures with non-metallic elements or compounds such as with ceramic-like materials. The aluminium-alloy or aluminium composite thus includes aluminium silicates, aluminium oxides, or other ceramic materials. In one embodiment the aluminium-alloy is an aluminium-silicate surface.

[0096] In one embodiment the internal combustion engine may be a diesel fuelled engine, a gasoline fuelled engine, a

natural gas fuelled engine or a mixed gasoline/alcohol fuelled engine. In one embodiment the internal combustion engine may be a diesel fuelled engine and in another embodiment a gasoline fuelled engine.

[0097] The internal combustion engine may be a 2-stroke or 4-stroke engine. Suitable internal combustion engines include marine diesel engines, aviation piston engines, low-load diesel engines, and automobile and truck engines.

[0098] The lubricant composition for an internal combustion engine may be suitable for any engine lubricant irrespective of the sulphur, phosphorus or sulphated ash (ASTM D-874) content. The sulphur content of the engine oil lubricant may be 1 wt % or less, or 0.8 wt % or less, or 0.5 wt % or less, or 0.3 wt % or less. In one embodiment the sulphur content may be in the range of 0.001 wt % to 0.5 wt %, or 0.01 wt % to 0.3 wt %. The phosphorus content may be 0.2 wt % or less, or 0.1 wt % or less, or 0.085 wt % or less, or even 0.06 wt % or less, 0.055 wt % or less, or 0.05 wt % or less. In one embodiment the phosphorus content may be 100 ppm to 1000 ppm, or 325 ppm to 700 ppm. The total sulphated ash content may be 2 wt % or less, or 1.5 wt % or less, or 1.1 wt % or less, or 1 wt % or less, or 0.8 wt % or less, or 0.5 wt % or less. In one embodiment the sulphated ash content may be 0.05 wt % to 0.9 wt %, or 0.1 wt % or 0.2 wt % to 0.45 wt %.

[0099] In one embodiment the lubricating composition is an engine oil,

[0100] wherein the lubricating composition is characterised as having (i) a sulphur content of 0.5 wt % or less, (ii) a phosphorus content of 0.1 wt % or less, and (iii) a sulphated ash content of 1.5 wt % or less. In another embodiment the sulphur content is 0.4 wt % or less, the phosphorus content is 0.08 wt % or less, and the sulphated ash is 1 wt % or less. In yet another embodiment the sulphur content is 0.3 wt % or less, the phosphorus content is 0.05 wt % or less, and the sulphated ash is 0.8 wt % or less.

[0101] In one embodiment the lubricating composition is suitable for a 2-stroke or a 4-stroke marine diesel internal combustion engine. In one embodiment the marine diesel combustion engine is a 2-stroke engine. The ashless antiwear agent of the invention may be added to a marine diesel lubricating composition at 0.01 to 20 wt %, or 0.05 to 10 wt %, or 0.1 to 5 wt %.

[0102] The following examples provide illustrations of the invention. These examples are non-exhaustive and are not intended to limit the scope of the invention.

#### EXAMPLES

##### Example 1

[0103] A lubricating composition is prepared containing 1 wt % of di-2-ethylhexyl tartrate, 0.6 wt % of other antiwear agents, 7.9 wt % of dispersants, 1.5 wt % of detergents, 3.6 wt % of antioxidants, 6.1 wt % of viscosity modifier, 0.1 wt % of corrosion inhibitor, and 0.1 wt % of friction modifier. The lubricating composition has a sulphated ash content of 0.6 wt %, a phosphorus content of about 570 ppm, and a sulphur content of 0.17 wt %.

##### Comparative Example 1

[0104] is similar to Example 1 except the composition does not contain the di-2-ethylhexyl tartrate.

[0105] Example 1 and Comparative Example 1 are evaluated by employing the lubricating compositions in an internal combustion engine fitted with an aluminium silicate liner and

a steel top ring. The engine is then run under varying loads and speeds and wear analysis is conducted when the engine is running at 5000 rpm. Data obtained from the wear analysis (wear rate of nanometers per hour) of the aluminium silicate liner is as follows:

Example	Wear Rate (nm/h)
Example 1	0.5
Comparative Example 1	2.1

[0106] Overall the results indicate that lubricating an aluminium-alloy surface with a lubricating composition as disclosed herein is capable of at least one of (i) reducing or preventing phosphorus emissions, (ii) reducing or preventing sulphur emissions, and (iii) wholly or partially replacing ZDDP in lubricating oils, and (iv) having no detrimental affect on other components of the internal combustion engine.

[0107] It is known that some of the materials described above may interact in the final formulation, so that the components of the final formulation may be different from those that are initially added. The products formed thereby, including the products formed upon employing lubricant composition of the present invention in its intended use, may not be susceptible of easy description. Nevertheless, all such modifications and reaction products are included within the scope of the present invention; the present invention encompasses lubricant composition prepared by admixing the components described above.

[0108] Each of the documents referred to above is incorporated herein by reference. Except in the Examples, or where otherwise explicitly indicated, all numerical quantities in this description specifying amounts of materials, reaction conditions, molecular weights, number of carbon atoms, and the like, are to be understood as modified by the word "about." Unless otherwise indicated, each chemical or composition referred to herein should be interpreted as being a commercial grade material which may contain the isomers, by-products, derivatives, and other such materials which are normally understood to be present in the commercial grade. However, the amount of each chemical component is presented inclusive of any solvent or diluent oil, which may be customarily present in the commercial material, unless otherwise indicated. It is to be understood that the upper and lower amount, range, and ratio limits set forth herein may be independently combined. Similarly, the ranges and amounts for each element of the invention may be used together with ranges or amounts for any of the other elements.

[0109] As used herein, the term "hydrocarbyl substituent" or "hydrocarbyl group" is used in its ordinary sense, which is well-known to those skilled in the art. Specifically, it refers to a group having a carbon atom directly attached to the remainder of the molecule and having predominantly hydrocarbon character.

Examples of hydrocarbyl groups include:

[0110] (i) hydrocarbon substituents, that is, aliphatic (e.g., alkyl or alkenyl), alicyclic (e.g., cyclo alkyl, cycloalkenyl) substituents, and aromatic-, aliphatic-, and alicyclic-substituted aromatic substituents, as well as cyclic substituents wherein the ring is completed through another portion of the molecule (e.g., two substituents together form a ring);

[0111] (ii) substituted hydrocarbon substituents, that is, substituents containing non-hydrocarbon groups which, in the context of this invention, do not alter the predominantly hydrocarbon nature of the substituent (e.g., halo (especially chloro and fluoro), hydroxy, alkoxy, mercapto, alkylmercapto, nitro, nitroso, and sulphonyo);

[0112] (iii) hetero substituents, that is, substituents which, while having a predominantly hydrocarbon character, in the context of this invention, contain other than carbon in a ring or chain otherwise composed of carbon atoms.

[0113] Heteroatoms include sulphur, oxygen, nitrogen, and encompass substituents as pyridyl, furyl, thieryl and imidazolyl. In general, no more than two, preferably no more than one, non-hydrocarbon substituent will be present for every ten carbon atoms in the hydrocarbyl group; typically, there will be no non-hydrocarbon substituents in the hydrocarbyl group.

[0114] As will be evident, the antiwear agent described herein may be an oil-soluble derivative of a molecule containing a first carboxy group and at least one additional—OH, —NHR, or —O moiety separated from the carbon of said first carboxy group by a chain of 2 or 3 atoms, where R is hydrogen or alkyl, e.g., C1-6 alkyl.

[0115] While the invention has been explained in relation to its preferred embodiments, it is to be understood that various modifications thereof will become apparent to those skilled in the art upon reading the specification. Therefore, it is to be understood that the invention disclosed herein is intended to cover such modifications as fall within the scope of the appended claims.

#### 1-20. (canceled)

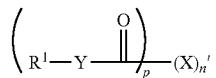
21. A method of lubricating an internal combustion engine comprising an aluminium composite surface, the method comprising supplying to the aluminium composite surface a lubricating composition comprising an oil of lubricating viscosity and an ashless, phosphorus-free, sulphur-free antiwear agent.

22. The method of claim 1, wherein the aluminium composite surface is an aluminium-silicate surface.

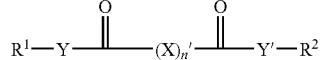
23. The method of claim 1, wherein the ashless antiwear agent is derived from at least one of a hydroxy-carboxylic acid di-ester, a hydroxy-carboxylic acid di-amide, a hydroxy-carboxylic acid imide, a hydroxy-carboxylic acid di-imide, a hydroxy-carboxylic acid ester-amide, a hydroxy-carboxylic acid ester-imide, and a hydroxy-carboxylic acid imide-amide.

24. The method of claim 1, wherein the ashless antiwear agent is represented by a compound of Formula (1a) and/or (1b):

Formula (1a)



Formula (1b)



wherein

n' is 0 to 10, 01 to 6, 0 to 4, 1 to 4, or 1 to 2 for Formula (1b),

and 1 to 10, 1 to 4, or 1 to 2 for Formula (1a);

p is 1 to 5, or 1 to 2, or 1;

Y and Y' are independently —O—, >NH, >NR<sup>3</sup>, or an imide group formed by taking together both Y and Y' groups in (1b) or two Y groups in (1a) and forming a R<sup>1</sup>—N< group between two >C=O groups;

X is independently —CH<sub>2</sub>—, >CHR<sup>4</sup> or >CR<sup>4</sup>R<sup>5</sup>, >CHOR<sup>6</sup>, or >C(CO<sub>2</sub>R<sup>6</sup>)<sub>2</sub>, >C(OR<sup>6</sup>)CO<sub>2</sub>R<sup>6</sup>, >C(CH<sup>2</sup>OR<sup>6</sup>)CO<sub>2</sub>R<sup>6</sup>, —CH<sub>3</sub>, —CH<sub>2</sub>R<sup>4</sup> or CHR<sup>4</sup>R<sup>5</sup>, —CH<sub>2</sub>OR<sup>6</sup>, or —CH(CO<sub>2</sub>R<sup>6</sup>)<sub>2</sub>, —C—R<sup>6</sup>, or mixtures thereof to fulfill the valence of Formula (1a) and/or (1b), with the proviso that —C—R<sup>6</sup> only applies to Formula (1a);

R<sup>1</sup> and R<sup>2</sup> are independently hydrocarbyl groups, typically containing 1 to 150, 4 to 30, 6 to 20, 10 to 20, or 11 to 18 carbon atoms;

R<sup>3</sup> is a hydrocarbyl group;

R<sup>4</sup> and R<sup>5</sup> are independently keto-containing groups (such as acyl groups), ester groups or hydrocarbyl groups; and R<sup>6</sup> is independently hydrogen or a hydrocarbyl group, typically containing 1 to 150 carbon atoms.

25. The method of claim 24, wherein Y and Y' are both —O—.

26. The method of claim 21 wherein the ashless antiwear agent is derived from tartaric acid or citric acid.

27. The method of claim 21, wherein the ashless antiwear agent is present at 0.05 to 10 wt % of the lubricating composition.

28. The method of claim 21, wherein the ashless antiwear agent is present at 0.1 to 5 wt % of the lubricating composition.

29. The method of claim 21, wherein the lubricating composition is further characterised as having at least one of (i) a sulphur content of 0.8 wt % or less, (ii) a phosphorus content of 0.2 wt % or less, or (iii) a sulphated ash content of 2 wt % or less.

30. The method of claim 21, wherein the lubricating composition is characterised as having (i) a sulphur content of 0.5 wt % or less, (ii) a phosphorus content of 0.1 wt % or less, and (iii) a sulphated ash content of 1.5 wt % or less.

31. The method of claim 21, wherein the lubricating composition further comprises at least one of a friction modifier, a viscosity modifier, an antioxidant, an overbased detergent, a succinimide dispersant, or mixtures thereof.

32. The method of claim 31, wherein the friction modifier is selected from the group consisting of long chain fatty amides, long chain fatty esters, long chain fatty epoxide derivatives, long chain fatty imidazolines, and amine salts of alkylphosphoric acids.

33. The method of claim 21, wherein the lubricating composition further comprises a phosphorus-containing antiwear agent.

34. The method of claim 21, wherein the lubricating composition further comprises further comprising a molybdenum compound, wherein the molybdenum compound is selected from the group consisting of molybdenum dialkyldithiophosphates, molybdenum dithiocarbamates, amine salts of molybdenum compounds, and mixtures thereof.

35. The method of claim 34, wherein the molybdenum compound is present in an amount to provide 0.5 ppm to 2000 ppm of molybdenum.

36. The method of claim 21, wherein the lubricating composition further comprises an overbased detergent, wherein the overbased detergent is selected from the group consisting of non-sulphur-containing phenates, sulphur-containing phenates, sulphonates, salixarates, salicylates, and mixtures thereof.

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