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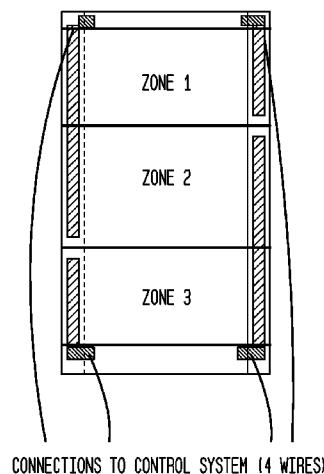
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(54) Title: ELECTROCHROMIC DEVICE WITH MULTIPLE INDEPENDENTLY CONTROLLABLE ZONES AND INTERNAL BUSBARS

FIG. 11

PATTERNED THREE-ZONE



(57) Abstract: In one aspect of the present invention is a substrate comprising multiple, independently controllable electrochromic zones, wherein each of the electrochromic zones share a common, continuous bus bar. In one embodiment, of the electrochromic zones are not completely isolated from each other. In another embodiment, each of the electrochromic zones have the same surface area. In another embodiment, each of the electrochromic zones have a different surface area.

- P4 CUT (CUTS THROUGH ALL FILMS)
- P1 CUT (CUTS THROUGH BOTTOM CONDUCTOR ONLY)
- P3 CUT (CUTS THROUGH TOP CONDUCTOR ONLY)
- WIRE
- BUS BAR
- SOLDER TAB



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GW, KM, ML, MR, NE, SN, TD, TG).

# ELECTROCHROMIC DEVICE WITH MULTIPLE INDEPENDENTLY CONTROLLABLE ZONES AND INTERNAL BUSBARS

## CROSS REFERENCE OF RELATED APPLICATIONS

**[0001]** The present application is a continuation of U.S. Patent Application No. 13/790,167, filed on March 8, 2013, which is a continuation-in-part of U.S. Patent Application No. 13/407,106 filed February 28, 2012, the disclosures of which are hereby incorporated by reference herein.

## BACKGROUND OF THE INVENTION

**[0002]** Electrochromic devices include electrochromic materials that are known to change their optical properties, such as coloration, in response to the application of an electrical potential, thereby making the device more or less transparent or more or less reflective. Typical prior art electrochromic devices include a counter electrode layer, an electrochromic material layer which is deposited substantially parallel to the counter electrode layer, and an ionically conductive layer separating the counter electrode layer from the electrochromic layer respectively. In addition, two transparent conductive layers respectively are substantially parallel to and in contact with the counter electrode layer and the electrochromic layer. Materials for making the counter electrode layer, the electrochromic material layer, the ionically conductive layer and the conductive layers are known and described, for example, in U.S. Patent Application No. 2008/0169185, incorporated by reference herein, and desirably are substantially transparent oxides or nitrides. When an electric potential is applied across the layered structure of the electrochromic device, such as by connecting the respective conductive layers to a low voltage electrical source, ions, such as Li<sup>+</sup> ions stored in the counter electrode layer, flow from the counter electrode layer, through the ion conductor layer and to the

electrochromic layer. In addition, electrons flow from the counter electrode layer, around an external circuit including a low voltage electrical source, to the electrochromic layer so as to maintain charge neutrality in the counter electrode layer and the electrochromic layer. The transfer of ions and electrons to the electrochromic layer causes the optical characteristics of the electrochromic layer, and optionally the counter electrode layer in a complementary EC device, to change, thereby changing the coloration and, thus, the transparency of the electrochromic device.

**[0003]** FIGs. 1A and 1B illustrate plan and cross-sectional views, respectively, of a typical prior art electrochromic device 20. The device 20 includes isolated transparent conductive layer regions 26A and 26B that have been formed on a substrate 34, such as glass. In addition, the device 20 includes a counter electrode layer 28, an ion conductive layer 32, an electrochromic layer 30 and a transparent conductive layer 24, which have been deposited in sequence over the conductive layer regions 26. It is to be understood that the relative positions of the electrochromic and counter electrode layers of the device 20 may be interchanged. Further, the device 20 includes a bus bar 40 which is in contact only with the conductive layer region 26A, and a bus bar 42 which may be formed on the conductive layer region 26B and is in contact with the conductive layer 24. The conductive layer region 26A is physically isolated from the conductive layer region 26B and the bus bar 42, and the conductive layer 24 is physically isolated from the bus bar 40. Although an electrochromic device may have a variety of shapes, such as including curved sides, the illustrative, exemplary device 20 is a rectangular device with the bus bars 40 and 42 extending parallel to each other, adjacent to respective opposing sides 25, 27 of the device 20, and separated from each other by a distance W. Further, the bus

bars 40 and 42 are connected by wires to positive and negative terminals, respectively, of a low voltage electrical source 22 (the wires and the source 22 together constituting an "external circuit").

**[0004]** Referring to FIGs. 1A and 1B, when the source 22 is operated to apply an electrical potential across the bus bars 40, 42, electrons, and thus a current, flows from the bus bar 42, across the transparent conductive layer 24 and into the electrochromic layer 30. In addition, if the ion conductive layer 32 is an imperfect electronic insulator as is the case in many thin film EC devices, a small current, commonly referred to as a leakage current, flows from the bus bar 42, through the conductive layer 24 and the electrochromic layer 30, and into the ion conductive layer 32. Further, ions flow from the counter electrode layer 28, through the ion conductive layer 32, and to the electrochromic layer 30, and a charge balance is maintained by electrons being extracted from the counter electrode layer 28, and then being inserted into the electrochromic layer 30 via the external circuit. As the current flows away from the bus bar 42 across the conductive layer 24 and towards the bus bar 40, voltage is dropped by virtue of the finite sheet resistance of the conductive layer 24, which is typically about 10-20 Ohms/square. In addition, current flowing across the conductive layer 24 is incrementally reduced, as current is drawn through the combination of the layers 30, 32 and 28 ("stack") to produce the electrochromic coloration in the device 20.

**[0005]** Consequently, it is believed that if the device 20 is considered to be formed from successive adjacent segments arranged between the bus bars 40, 42 and extending between the transparent conductor layer 24 and the conductive layer region 26B, the amount of current flowing through the stack at the segment of the conductive layer 24 closest to the bus

bar 40 will be close to zero, as the majority of the current will have passed down through the stack. Assuming that the sheet resistance of the transparent conductive layer 24 is substantially uniform between the bus bars 40 and 42, the voltage drop across the transparent conductive layer 24 extending between the bus bars 40, 42, will be proportional to the current flowing through each successive segment of the device 20. Thus, the rate of voltage drop in the transparent conductive layer with respect to distance away from the bus bar 42 will be at a maximum closest to the bus bar 42 and practically zero close to the bus bar 40. A substantially mirrored image of the current flow occurs with respect to the flow of current from the bus bar 40 across the conductive layer region 26A and toward the bus bar 42, in that the current flow across the device 20 in the conductive layer region 26A increases from the bus bar 40 to the bus bar 42 as a result of contributions from successive segments of the device 20. The difference between the voltage profiles for the conductive layer 24 and the conductive layer region 26A, across the width of the device between the bus bars 40, 42, is the potential difference between the conductive layer 24 and the conductive layer region 26A across the width of the electrochromic device extending between the bus bars 40, 42.

**[0006]** The potential difference determines the maximum rate of current flow through each segment from the counter electrode layer 28 to the electrochromic layer 30 causing the device 20 to transform to a colored state and, thus, causing coloring of the device 20. Current will flow at a rate proportional to the potential difference across the segments of the device, provided there is a ready supply of charge, in the form of lithium ions and electrons, to satisfy the requirements. The net result is that a non-uniform coloration is initially produced, with the regions closest to the bus-bars, where the potential difference between the

transparent conductors is largest, coloring faster than the region in the middle of the device. In an ideal device, which would not have any leakage current, this non-uniformity will even out as the supply of available charge in the counter electrode layer is exhausted, first closest to the bus-bars, and then in the center of the device, as the electrochromic device attains a fully colored state, thereby yielding uniform coloration across the entire area of the device.

**[0007]** After a voltage is initially applied across the bus bars 40, 42 of the electrochromic device 20, the current flowing through the device 20 will drop towards zero, and thus the voltage drops across each of the transparent conductive layers will also approach zero. Whether the voltage between the conductive layer 24 and the conductive layer region 26A, across the width of the electrochromic device 20 extending between the bus bars 40, 42, will become equal or substantially equal to a constant, such as about the applied voltage, in the fully colored state, thereby ultimately yielding a relatively uniform coloration in the electrochromic device 20, however, depends in part upon the width of the conductive layer 24 and the conductive layer region 26A of the electrochromic device 20 extending between the bus bars 40, 42 across which the current flows and the magnitude of the leakage current through the device.

**[0008]** In large sized electrochromic devices having a construction similar to that of the device 20, where the current flows a relatively large distance, such as in excess of about 40 inches, across the conductive layers of the electrochromic device between the opposing bus bars, non-uniform coloration of the device may persist even at full coloration, because a large and non-uniform voltage drop occurs through the stack across the width of the conductive layers extending from the opposing bus bars. This non-

uniform voltage drop is caused by the effects of leakage current through the device, which is typically present in electrochromic devices because of the thin-film construction of the layers of the stack. Leakage current flows through the stack, such that a potential difference variation is created across the width of the electrochromic device extending between the bus bars. If the leakage current is significantly large, the potential difference variation becomes sufficiently large to cause a non-uniform coloration in the electrochromic device that may be visible to the naked eye. The non-uniform coloration in the electrochromic device typically results in a lighter area near a region midway between the opposing bus bars ("middle region"), than at regions of the electrochromic device near the bus bars. In other words, the middle region of the electrochromic device does not experience the same color change, or the same amount of darkening or consistency of darkening, as those regions closer to the bus bars at the sides of the electrochromic device.

**[0009]** It is has been observed that when electrochromic devices constructed similar to the device 20 are operated at normal operating voltages, such as between around 2.5V and 4.0V, the leakage current is on the order of 50-500mA/m<sup>2</sup>, such that non-uniform coloration across the electrochromic device may become visible to a naked eye when the distance between the opposing bus bars is at least about 30 inches. For typical leakage current levels, color non-uniformity is not readily apparent to the naked eye when the electrochromic device is in the fully colored state and has bus-bar separations less than about 30 inches.

**[0010]** Referring to FIG. 1A, it is highly desirable to position the bus bars 40, 42 very close to the sides 25, 27 of the device 20 to maximize the region of the device 20, which is between the bus bars 40, 42 and, thus, in which

coloration can be controlled. Also, by positioning the bus bars near the sides of the device 20, the bus bars, which typically have a thickness of not more than about 0.25 inches, are not visible or are minimally visible, such that the device is aesthetically pleasing when installed in a typical window frame. Large sized electrochromic devices, in which the distance between the bus bars, which typically are at opposing sides of the device, is in excess of about 40 inches, are desirable for many applications, such as a window of an office building or a glass windshield of a car. Thus, in the operation of such large sized electrochromic devices, non-uniform coloration may occur due to the effects of leakage currents, as discussed above, which is not desirable.

**[0011]** Also, it has been observed that, in large sized electrochromic devices similar to the device 20, the regions of the device adjacent to the opposing bus bars change color or darken more quickly than at a middle region between the bus bars. Further, it has been observed that these same large sized electrochromic devices may change transmission state (or color) more slowly than electrochromic devices having smaller distances between opposing bus bars. This phenomenon is largely due to the current draw in the larger device being larger, and therefore leading to a larger voltage drop in the transparent conductor layers, thereby reducing the net potential applied to the stack relative to an electrochromic device having a smaller width between opposing bus bars. Also, the slower change in coloration is based, in part, on the application of a voltage to the electrochromic device which is below a maximum level, such as 3V, to avoid overdriving of the electrochromic device at the portions near the bus bars, which may cause damage to the layers of the stack.

**[0012]** For example, for a prior art electrochromic device similar to the device 20 having opposing bus bars separated

by about six inches, the typical time for the device to change from a full transmission state (fully clear) to a colored state where only five percent of light is transmitted through the device is about 100 seconds, whereas for an electrochromic device similar to the device 20 having bus bars separated by about thirty inches the typical time for obtaining the same coloration change may be about as much as 400 seconds.

**[0013]** US Publication No. 2011/0260961 discloses a three-bus electrochromic device, wherein the two zones formed are not independently controllable.

**[0014]** US Publication No. 2009/0323160 discloses a zoned electrochromic device comprising an area between two adjacent dynamic electrochromic zones which electrically isolates the two adjacent dynamic electrochromic zones. In other words, this publication discloses zones which are completely isolated.

#### SUMMARY OF THE INVENTION

**[0015]** In one aspect of the present invention is a substrate comprising multiple, independently controllable electrochromic zones, wherein each of the electrochromic zones share a common, continuous bus bar. In one embodiment, of the electrochromic zones are not completely isolated from each other. In another embodiment, each of the electrochromic zones have the same surface area. In another embodiment, each of the electrochromic zones have a different surface area. In some embodiments, the substrate is a laminate. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0016]** In another embodiment, the substrate comprises three bus bars. In another embodiment, the three bus bars are spaced such that a interior bus bar is sandwiched between a

first end bus bar and a second end bus bar. In another embodiment, a first electrochromic zone is defined by the space between the interior bus bar and the first end bus bar and a second electrochromic zone is defined by the space between the interior bus bar and the second end bus bar. In another embodiment, the electrochromic zones are formed from a single electrochromic coating on the substrate. In another embodiment, the three bus bars are substantially parallel to each other. In another embodiment, the three bus bars run substantially the length of the substrate and each of the three bus bars are approximately the same size. In some embodiments, the substrate is a laminate. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0017]** In another embodiment, the electrochromic zones are formed from a single electrochromic coating on the substrate, wherein the single electrochromic coating is cut to form individual electrochromic zones. In another embodiment, the substrate comprises a first bus bar and a second bus bar, wherein the first bus bar runs continuously over each electrochromic zone. In another embodiment, the second bus bar is formed from a single bus bar and cut to form individual bus bar segments, wherein each bus bar segment corresponds to an electrochromic zone.

**[0018]** In yet another embodiment, both bus bars are cut into multiple segments, but in different places, to create multiple electrochromic zones. This is believed to be especially useful in creating a 3-zone device by cutting each bus bar into two segments. But this is just not limited to 3-zone devices (e.g. can be applied to two zone devices or devices having more than three zones). In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide. In some

embodiments, the electrochromic zones are deposited on a substrate which a laminate.

**[0019]** In another embodiment, the substrate is selected from the group consisting of glass, plastic, and a laminate of two of the same or different materials. In another embodiment, the substrate is a window pane or window assembly. In another embodiment, the substrate is a part of an insulated glass unit.

**[0020]** In another embodiment, each of the multiple electrochromic zones comprise: a first electrode comprising one of an electrochromic layer or a counter electrode layer, a second electrode comprising other of the electrochromic layer or the counter electrode layer, an ion-conductor layer for conducting ions between the first and second electrodes, a first conductive layer, and a second conductive layer, the first and second electrodes and the ion-conductor layer being sandwiched between the first and second conductive layers.

**[0021]** In another aspect of the present invention is a method of forming a substrate having multiple electrochromic zones comprising: (1) depositing an electrochromic coating on the substrate, and (2) depositing multiple bus bars on the electrochromic coating so as to form multiple electrochromic zones from the electrochromic coating, wherein the formed multiple electrochromic zones share at least one common continuous bus bar. In one embodiment, the method comprises depositing at least three bus bars such that the spacing of the at least three bus bars forms at least two electrochromic zones. In another embodiment, the electrochromic coating is cut to form two electrochromic zones.

**[0022]** In another aspect of the present invention, is a method of controlling a multi-zone electrochromic device.

**[0023]** In another aspect of the present invention, is a method of installing a multi-zone electrochromic device, or

an IGU comprising a multi-zone electrochromic device, in a vehicle or building.

**[0024]** In one aspect of the present invention is a substrate comprising multiple independently controllable electrochromic zones and at least two bus bars, wherein each bus bar is segmented, and wherein at least two adjacent electrochromic zones share a continuous portion of at least one of the bus bars. In some embodiments, the substrate is a laminate. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0025]** In another aspect of the present invention is substrate comprising at least three independently controllable electrochromic zones, the electrochromic zones being arranged sequentially, and wherein no more than any two adjacent electrochromic zones share a continuous portion of at least one of the bus bars. In some embodiments, the substrate comprises three independently controllable electrochromic zones. In some embodiments, adjacent first and second zones share a common portion of a first segmented bus bar and wherein adjacent second and third zones share a common portion of a second segmented bus bar. In some embodiments, each of the electrochromic zones have different surface areas. In some embodiments, each of the electrochromic zones are not completely isolated from each other. In some embodiments, the electrochromic zones are formed from a single electrochromic coating on the substrate. In some embodiments, the first and second segmented bus bars are substantially parallel to each other. In some embodiments, collective portions of the first segmented bus bar and collective portions of the second segmented bus bar run substantially the length of the substrate. In some embodiments, the substrate is a laminate. In some

embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0026]** In some embodiments, the substrate comprises four independently controllable electrochromic zones. In some embodiments, adjacent first and second zones share a common portion of a first segmented bus bar and adjacent third and fourth zones share a common portion of a second segmented bus bar. In some embodiments, the substrate is a laminate. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0027]** In another aspect of the present invention is a method of forming a substrate having at least two independently controllable electrochromic zones comprising: (1) depositing an electrochromic coating on a substrate; and (2) depositing two bus bars on the electrochromic coating, wherein at least one of the bus bars are discontinuous, each having at least two portions. In some embodiments, the substrate is a laminate. In some embodiments, the substrate comprises three electrochromic zones. In some embodiments, first and second zones share a common portion of a first segmented bus bar and wherein adjacent second and third zones share a common portion of a second segmented bus bar. In some embodiments, each of the electrochromic zones have different surface areas. In some embodiments, each of the electrochromic zones are not completely isolated from each other. In some embodiments, the electrochromic zones are formed from a single electrochromic coating on the substrate. In some embodiments, the substrate comprises four electrochromic zones. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0028]** In another aspect of the present invention is an electrochromic device comprising a substrate wherein the substrate comprises multiple independently controllable

electrochromic zones and at least two bus bars, wherein each bus bar is segmented, and wherein at least two adjacent electrochromic zones share a continuous portion of at least one of the bus bars. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0029]** In another aspect of the present invention is an electrochromic device comprising a substrate wherein the substrate comprises at least three independently controllable electrochromic zones, the electrochromic zones being arranged sequentially, and wherein no more than any two adjacent electrochromic zones share a continuous portion of at least one of the bus bars. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0030]** In another aspect of the present invention is an insulated glazing unit comprising an electrochromic device wherein the electrochromic devices comprises a substrate wherein the substrate comprises multiple independently controllable electrochromic zones and at least two bus bars, wherein each bus bar is segmented, and wherein at least two adjacent electrochromic zones share a continuous portion of at least one of the bus bars. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0031]** In another aspect of the present invention is an insulated glazing unit comprising an electrochromic device wherein the electrochromic device comprises a substrate wherein the substrate comprises at least three independently controllable electrochromic zones, the electrochromic zones being arranged sequentially, and wherein no more than any two adjacent electrochromic zones share a continuous portion of at least one of the bus bars. In some embodiments, the

electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

BRIEF DESCRIPTION OF THE DRAWINGS

**[0032]** FIG. 1A is a top plan view of a prior art electrochromic device.

**[0033]** FIG. 1B is a view of the electrochromic device of FIG. 1A at cross-sectional line 1B-1B.

**[0034]** FIG. 2 is a cross-sectional view of a multi-zone electrochromic device.

**[0035]** FIG. 3A is a top plan view of a multi-zone electrochromic device.

**[0036]** FIG. 3B is a cross-sectional view of a multi-zone electrochromic device.

**[0037]** FIG. 4A depicts an electrochromic device having two zones and three wires.

**[0038]** FIG. 4B depicts an electrochromic device having three zones and four wires.

**[0039]** FIG. 5 depicts a three zone electrochromic device, and associated wiring, where one of the zones has a non-rectangular shape.

**[0040]** FIG. 6 depicts a two zone electrochromic device, and associated wiring, where the electrochromic device comprises a segmented bus bar.

**[0041]** FIG. 7 depicts a 2-pane, 3-busbar electrochromic device, showing the three electrical connections with applied voltages and currents.

**[0042]** FIG. 8 depicts a 3-pane, 4-busbar electrochromic device, showing the four electrical connections with applied voltages and currents.

**[0043]** FIG. 9 depicts a 2-pane, isolation-zoned electrochromic device, showing the three electrical connections with applied voltages and currents.

**[0044]** FIG. 10 depicts a 3-pane, isolation-zoned electrochromic device, showing the four electrical connections with applied voltages and currents.

**[0045]** FIG. 11 provides a more detailed illustration of the 3-pane, isolation-zoned device, showing bus bar segments and laser isolating processes.

#### DETAILED DESCRIPTION

**[0046]** In one aspect of the present invention is a substrate comprising multiple, independently controllable electrochromic zones, wherein each of the electrochromic zones share a common, continuous bus bar. In one embodiment, each of the electrochromic zones are not completely isolated from each other. In some embodiments, each of the electrochromic zones may have the same or different sizes and/or surface areas. In other embodiments, each of the electrochromic zones may have the same or different shapes (including curved or arcuate shapes).

**[0047]** Generally, the multi-zone EC devices of the present invention fall into two categories: (1) those comprising two bus bars at the opposing sides or edges of an EC device and additional bus bars positioned in an interior spaced between the opposing side or edge bus bars; and (2) those where electrochromic zones are formed from a single electrochromic coating on a substrate, wherein the single electrochromic coating is cut to form individual electrochromic zones. Each of these types of multi-zone EC devices, and their respective processes of fabrication, are discussed herein.

**[0048]** It is believed that multi-zone electrochromic devices according to the present invention provide many advantages over conventional dynamic IGUs, such as permitting optimized harvesting of natural daylight through one or more dynamic zones, while being able to maximize solar-control advantages in the other dynamic zones of the window.

Different dynamic zones can be created at any arbitrary distance from the edge of a window in order to satisfy diverse design goals and requirements.

**[0049]** In one aspect of the present invention is a multi-zone electrochromic device where, in addition to the bus bars disposed at the opposing sides of an EC device, additional bus bars are positioned in an interior space between the opposing side bus bars. In one embodiment, an interior bus bar is positioned between a first end opposing bus bar and a second end opposing bus bar. Of course, the present invention is not limited to embodiments where multi-zone EC devices comprise three bus bars and hence two zones. Multi-zone EC devices comprising four or more bus bars (having three or more zones, respectively) are equally contemplated.

**[0050]** For example, referring to FIG. 2, a multi-zone electrochromic device 200 includes two independently operable and controllable zones, namely 200A and 200B (or electrochromic device zones). An exemplary multi-zone EC device 200 may include a central bus bar 242 and bus bars 240A and 240B at the opposing sides or edges of the respective zones ("outside bus bars" or "first and second opposing end bus bars"). The interior bus bar 242 is common to both of zones 200A and 200B of the multi-zone EC device 200. Accordingly, a first electrochromic zone 200A is defined by the space between the interior bus bar 242 and the first end bus bar 240A and a second electrochromic zone 200B is defined by the space between the interior bus bar 242 and the second end bus bar 240B.

**[0051]** In this particular embodiment of FIG. 2, the interior bus bar is positioned at a central location relative to the first and second end opposing bus bars. However, the interior bus bar may be present at any position between the first and second end opposing bus bars. The bus bars 242 and 240A and 240B may be separated by the same or different

distances. In some embodiments, the bus bar 242 is in a central region of the device and is positioned equidistant from each of bus bars 240A and 240B. In other embodiments, the bus bar 242 is positioned between the bus bars 240A and 240B, but the distance between 242 and 240A is different than the distance between 242 and 240B.

**[0052]** In embodiments having more than two zones, the additional interior bus bars may be positioned at any location between the first and second end opposing bus bars. For example, the additional interior bus bars comprising a device having more than two zones may be placed at equidistant intervals between the first and second end opposing bus bars. It is believed that this may result in a device having multiple zones, where each zone has about the same surface area. Alternatively, the additional interior bus bars comprising a device having more than two zones may be placed at different distances between the first and second opposing end bus bars, resulting in zones having different surface areas.

**[0053]** The multi-zone device is fabricated on a single substrate (e.g. glass or plastic). In some embodiments, the multi-zone electrochromic device is produced from a single continuous EC device (i.e. a single continuous stack of thin films deposited on a glass substrate). In other embodiments, two EC devices are independently deposited on a glass substrate, which each individually deposited EC device has a single bus bar at a side or edge and whereby an interior bus bar is deposited and shared between both devices. For example, a first device 20 is disposed adjacent to and in mirror image to a second device 20, such that the bus bars 42 of the respective first and second devices 20 contact each other. The compositional layers comprising an EC device and their method of formation or deposition are disclosed in US Patent Nos. 8,004,744, 7,830,585, 7,593,154, 7,372,610, the

disclosures of each are hereby incorporated by reference herein in their entirety. For example, techniques for forming the layers of an electrochromic zone or an electrochromic device in a well-known manner generally comprise physical vapor deposition, sputtering, pyrolytic-coating techniques, wet-chemical techniques, such as a sol gel process, spin-coating techniques, and vacuum-coating techniques.

**[0054]** In some embodiments, the glass substrate is coated with a bottom transparent conductor. This conductor is then cut with a P1 process to isolate different regions of the coatings, as shown in FIGs. 3A and 3B. Next, the electrochromic films are coated over the top, followed by the upper transparent conductive film. Those skilled in the art will recognize that additional optical coatings or functional coatings, such as anti-reflective coatings and reflective or tinted coatings for color-matching, or barrier coatings such as those which prevent migration of moisture from the environment or sodium ions from the glass may additionally be included above or below either of the top or bottom transparent conductors.

**[0055]** At the end of the process, a last laser process makes the depicted P3 cuts (through the top conductor but not the bottom conductor) and the depicted P4 cuts (through both conductors) to finish isolating the films into the desired zones. Bus bars are applied to the glass, followed by any additional process required (e.g., heating steps to fire the bus bars or films). Lasers that are suitable for producing the cuts or ablation lines include solid-state lasers, such as Nd:YAG at a wavelength of 1064 nm, and excimer lasers, such as ArF and KrF excimer lasers respectively emitting at 248 nm and 193 nm. Other solid-state and excimer lasers are also suitable.

**[0056]** Once deposition of the films comprising the EC stack/EC device and once the bus bars are deposited on the stack, the single pane of glass is fabricated into a laminate or insulated-glass unit. Methods of creating a laminate comprising an EC device are disclosed in US Patent Publication No. 20110267672, the disclosure of which is hereby incorporated by reference herein in its entirety. As part of this fabrication process, wires will be attached to the solder tab portion of each bus bar (see, for example, copending application US Serial No 61/490,291, the disclosure of which is incorporated by reference herein).

**[0057]** Because the two (or more) electrochromic zones are not completely independent, but share a common bus bar, it is not possible to simply connect two channels of a standard electrochromic control system to the glass. A typical electrochromic control system will have a bridge-type output, in which the output voltage is varied between +5V and -5V using only positive voltages, by varying which connection is at ground potential. For example, applying ground (0V) to the negative wire and 3V to the positive wire yields a positive 3V to an electrochromic pane, but reversing the two and applying 3V to the negative wire and ground (0V) to the positive wire yields -3V to the pane.

**[0058]** The solution for multi-zone EC devices having three zones is to add up the required voltage for each pane and determine the correct potential to apply to each wire (see, e.g., FIG. 4A). If one skilled in the art were to apply 4V to the first wire, 2V on the second, and 0V on the third, the result would be +2V on the first pane and -2V on the second pane. In this way, the two panes can be completely independently controlled. Generally, the control system must be capable of applying up to twice the voltage required of a single-zone controller, however. Similar logic applies to

other multi-zone EC devices having more than two zones, such as four bus bar, three zone devices.

**[0059]** Another example is a 3-zone (4-busbar) device in which it is desired to tint the first two panes at +3V, and clear the third at -2V. If the polarity of the bus bars in sequence is +/-/+/-, we can apply 3V, 0V, 3V, and 5V with respect to ground. The difference between the first two is 3-0=3; between the second and third is 3-0-3; and between the third and fourth is 3-5=-2. Note that for the purpose of driving the electrochromic device, the absolute potential with respect to ground is less important, as opposed to the difference between potentials at adjacent bus bars. As such 4V, 1V, 4V and 6V, respectively, could have been applied to achieve the same result.

**[0060]** Current monitoring in each sub-pane is also believed to be more complicated in standard, single zone EC devices. In the 2-zone, 3-busbar case, the two outside wires may be monitored to determine the current in each sub-pane, whereas the middle wire carries the sum of the two currents. The 3-zone, 4-busbar case is more complicated yet. Here, the first wire carries the current of the first zone and the fourth wire carries the current of the third zone (see, e.g., 4B). But to determine the current flowing in the second (middle) zone, it is necessary to calculate the difference in current between either the first and second wires, or between the third and fourth wires.

**[0061]** Referring to FIG. 7, for this 2-pane, 3-busbar IGU, Pane 1 has an applied voltage given by  $(V_1 - V_2)$  and current  $I_1$ , while Pane 2 has applied voltage of  $V_3 - V_2$  and current  $I_3$ .

**[0062]** With reference to FIG. 8, for this 3-pane, 4-busbar IGU, Pane 1 still has applied voltage given by  $(V_1 - V_2)$  and current  $I_1$ . Pane 2 still can measure applied voltage as  $(V_3 - V_2)$  but current is given by either  $(I_2 - I_1)$  or  $(I_4 - I_3)$ . Pane 3 voltage is  $(V_3 - V_4)$ , with current  $(-I_4)$ .

**[0063]** Since multi-zone electrochromic devices have fully independent control of each zone, it is possible for the zones to be different in size or shape. For example, and with reference to FIG. 2, while each of zones 200A and 200B are depicted as having a generally rectangular shape, the subject matter disclosed herein provides that a plurality of zones, each having a selected shape, may be used. Further still, while multi-pane IGU 200 is depicted as having a generally rectangular shape, the subject matter disclosed herein provides that a multi-pane IGU of any selected size and shape can be used.

**[0064]** As a further non-limiting example, FIG. 5 shows a 4-busbar, 3-zone device in which the zones are different sizes (difference surface areas), and where one of the three zones is not rectangular in shape. For such devices, it is necessary to determine the appropriate voltage and current protocols to manage each individual sub-pane, and then the voltage may be controlled and current monitored as described above in order to manage each sub-pane with complete autonomy.

**[0065]** Because it is believed that the center bus bar(s) carry(ies) twice the current of the edge bus bars, it is possible to reduce the thickness or width of the edge bus bars to achieve half the conductivity of the center bus bar. Alternatively, all bus bars may be made consistently large enough to carry the full current.

**[0066]** In another aspect of the present invention, the electrochromic zones are formed from a single electrochromic coating on the substrate, wherein the single electrochromic coating is cut to form individual electrochromic zones. In some embodiments, the substrate comprises a first bus bar and a second bus bar, wherein the first bus bar runs continuously over each electrochromic zone. The second bus bar is segmented wherein each bus bar segment corresponds to an

electrochromic zone. Each zone may be of a different size or shape, so long as it can be designed in such a way that a single bus bar traverses all zones along one side.

**[0067]** The segmented second bus bar may be formed from a single bus bar (applied just as the first bus bar is applied) and cut to form individual bus bar segments. In an alternate embodiment, the segmented second bus is applied in segments or applied as a single bus bar that has one or more gaps.

**[0068]** Most of the processing (e.g. laser processing/cutting) is identical to a normal 2-busbar device. With reference to FIG. 6, however, there is an extra P4 cut which severs the films completely between the two operating zones, preventing any current flow between them. In addition, one of the bus bars is segmented. Electrically, this unit works just like a 3-busbar 2-zone device discussed previously, with one busbar connected to the bottom conductor of both zones, and two separate busbars connected to the top conductor of each zone. The control hardware and logic is identical to the 3-busbar case. Of course, the same logic applies to devices having more than two zones. In some embodiments, the substrate is a laminate. In some embodiments, the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.

**[0069]** With reference to FIG. 9, for this 2-pane device having a segmented bus bar, Pane 1 has an applied voltage given by  $(V_1 - V_2)$  and current  $I_1$ , while Pane 2 has applied voltage of  $V_3 - V_2$  and current  $I_3$ .

**[0070]** In another embodiment, the P4 laser process described above is used to cut the films into three regions, with bus bar segments along each edge bridging the pairs of adjacent zones. This embodiment, it is believed, has the advantage of permitting all connections to be made near corners instead of along edges, which can allow at least some more space for making connections. In addition, it is

electrically comparable to the 4-busbar, 3-zone device, with the three zones connected electrically in series. In some embodiments, this permits fewer different controls/wiring configurations than if one bus bar spans all zones, with three separate segments on the opposite side.

**[0071]** In some embodiments, photochromic or thermochromic materials may be used in place or in addition to the electrochromic materials disclosed herein. For example, some zones may comprise electrochromic materials while other zones may comprise at least one of an electrochromic, photochromic, or thermochromic material. Suitable photochromic materials include, but are not limited to, triarylmethanes, stilbenes, azastilbenes, nitrones, fulgides, spriopyrans, naphthopyrans, sprio-oxazines, and quinones. Suitable thermochromic materials include, but are not limited to, liquid crystals and leuco dyes. Both photochromic and thermochromic materials can be formed on the substrate in a well-known manner. No bus bars would be needed for photochromic or thermochromic dynamic zones because light and heat respectively modulate the properties of the materials. One exemplary embodiment using photochromic and/or thermochromic dynamic zones could be a window having at least one electrochromic dynamic zone towards the top of the window that is actively controlled for daylighting and at least one photochromic dynamic zone towards the bottom of the window that self darkens when under direct light, and at least a second electrochromic zone posited in another region of the device.

**[0072]** Further, it should be understood that one exemplary embodiment of the subject matter disclosed herein can comprise a window, such as an architectural window, having a single pane, or lite, that comprises a plurality of independently controlled dynamic zones. Another exemplary embodiment of the subject matter disclosed herein comprises

an IGU comprising multiple zones of electrochromic window on one pane and clear glass on the other pane. Yet another exemplary embodiment of the subject matter disclosed herein comprises an IGU comprising multiple zones of electrochromic window on one pane and a low-E, tinted, or reflective glass on the other pane. Still another exemplary embodiment of the subject matter disclosed herein comprises an IGU comprising multiple zones of electrochromic window on one pane of the IGU and a patterned or special glass on the other pane in which the patterning or features may match, compliment, and/or contrast the areas of dynamic zones on the first pane. It should be understood that the foregoing exemplary embodiments can be configured so that the lite comprising the plurality of dynamic zones is a clear lite, a low-E lite, a reflective, and/or partially reflective lite.

**[0073]** Those of ordinary skill in the art will recognize that any of the control, power, or wiring systems (including wireless control) described in copending application US 13/354,863 may be adapted for use with a multi-zone electrochromic device as described herein.

**[0074]** Although the foregoing disclosed subject matter has been described in some detail for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced that are within the scope of the appended claims. Accordingly, the present embodiments are to be considered as illustrative and not restrictive, and the subject matter disclosed herein is not to be limited to the details given herein, but may be modified within the scope and equivalents of the appended claims.

It is claimed:

1. A substrate comprising multiple independently controllable electrochromic zones and at least two bus bars, wherein each bus bar is segmented, and wherein at least two adjacent electrochromic zones share a continuous portion of at least one of the bus bars.
2. A substrate comprising at least three independently controllable electrochromic zones, the electrochromic zones being arranged sequentially, and wherein no more than any two adjacent electrochromic zones share a continuous portion of at least one of the bus bars.
3. The substrate of claim 2, wherein the substrate comprises three independently controllable electrochromic zones.
4. The substrate of claim 3, wherein adjacent first and second zones share a common portion of a first segmented bus bar and wherein adjacent second and third zones share a common portion of a second segmented bus bar.
5. The substrate of claim 2, wherein each of the electrochromic zones have different surface areas.
6. The substrate of claim 2, wherein each of the electrochromic zones are not completely isolated from each other.
7. The substrate of claim 2, wherein the electrochromic zones are formed from a single electrochromic coating on the substrate.
8. The substrate of claim 4, wherein the first and second segmented bus bars are substantially parallel to each other.
9. The substrate of claim 4, wherein collective portions of the first segmented bus bar and collective portions of the second segmented bus bar run substantially the length of the substrate.

10. The substrate of claim 2, wherein the substrate comprises four independently controllable electrochromic zones.

11. The substrate of claim 10, wherein adjacent first and second zones share a common portion of a first segmented bus bar and adjacent third and fourth zones share a common portion of a second segmented bus bar.

12. The substrate of claim 2, wherein the substrate is a laminate.

13. A method of forming a substrate having at least two independently controllable electrochromic zones comprising: (1) depositing an electrochromic coating on a substrate; and (2) depositing two bus bars on the electrochromic coating, wherein at least one of the bus bars are discontinuous, each having at least two portions.

14. The method of claim 13, wherein the substrate comprises three electrochromic zones.

15. The method of claim 14, wherein adjacent first and second zones share a common portion of a first segmented bus bar and wherein adjacent second and third zones share a common portion of a second segmented bus bar.

16. The method of claim 14, wherein each of the electrochromic zones have different surface areas.

17. The method of claim 14, wherein each of the electrochromic zones are not completely isolated from each other.

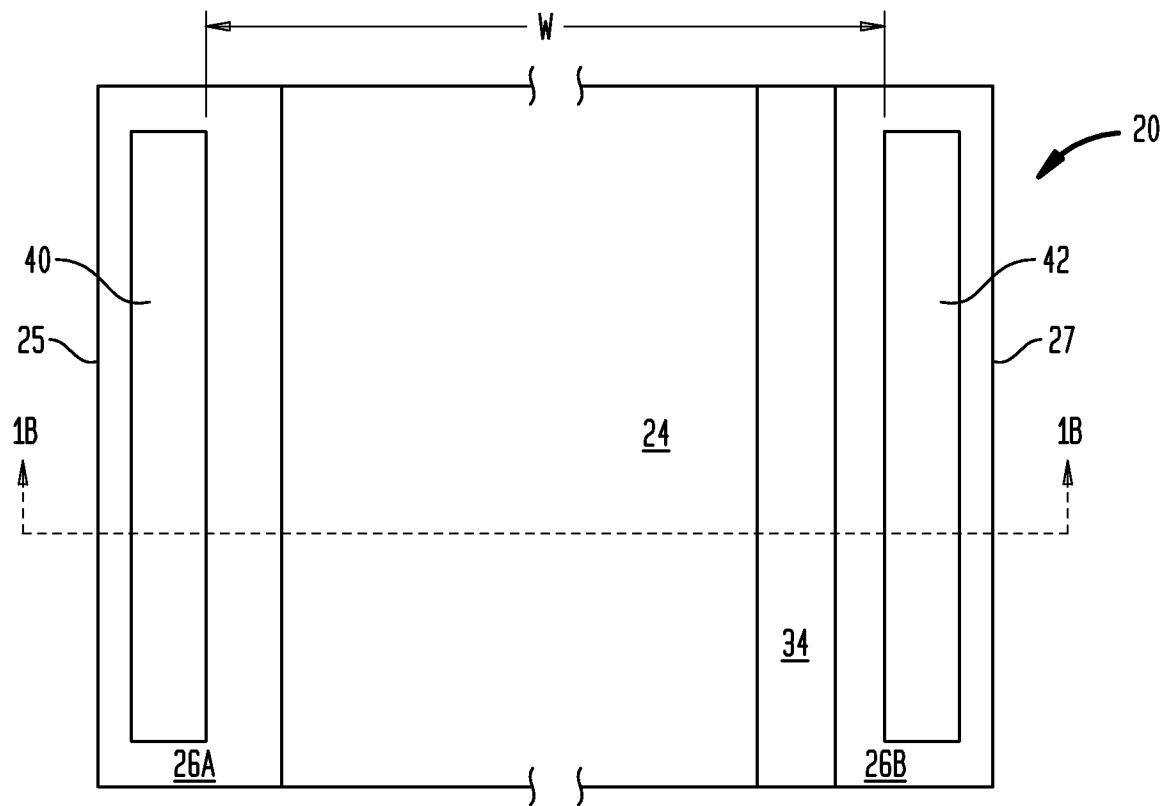
18. The method of claim 14, wherein the electrochromic zones are formed from a single electrochromic coating on the substrate.

19. The method of claim 13, wherein the substrate comprises four electrochromic zones.

20. An electrochromic device comprising the substrate of claim 2.

21. The electrochromic device of claim 20, wherein the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.
22. An electrochromic device comprising the substrate of claim 3.
23. The electrochromic device of claim 22, wherein the electrochromic zones comprise at least one thin film comprising a mixed tungsten-nickel oxide.
24. An insulated glazing unit comprising the electrochromic device of claim 20.
25. An insulated glazing unit comprising the electrochromic device of claim 22.

**FIG. 1A**  
(PRIOR ART)



**FIG. 1B**  
(PRIOR ART)

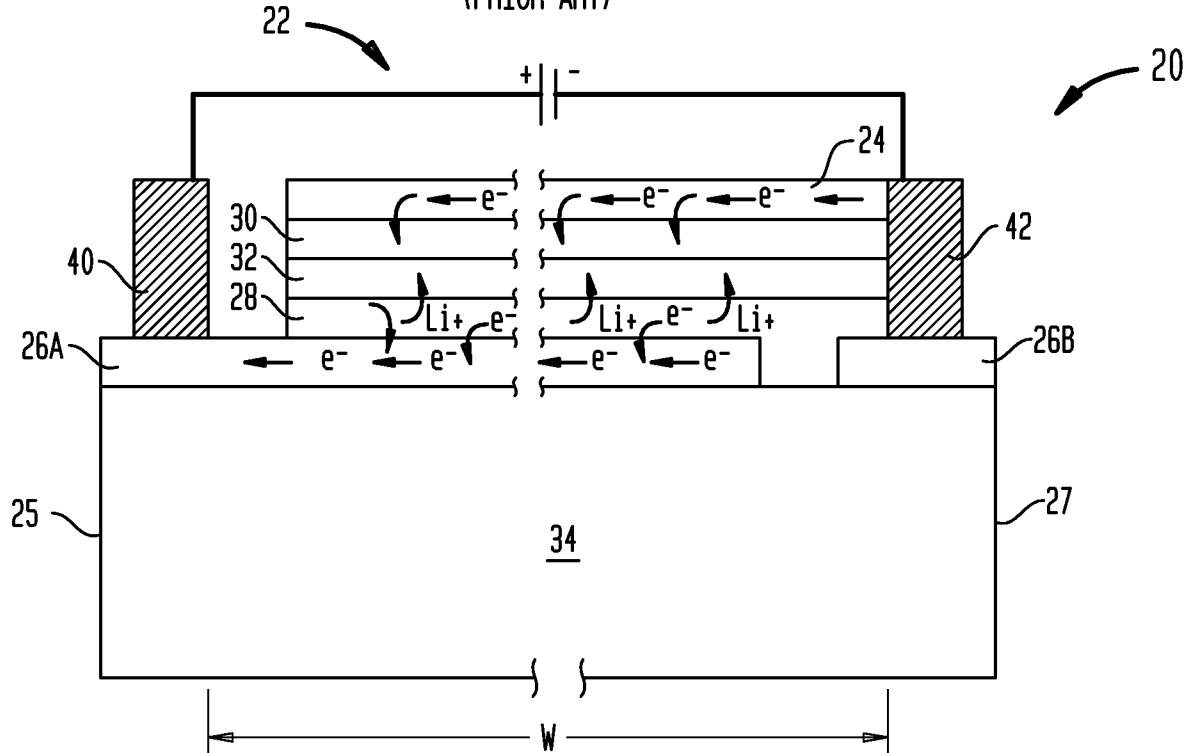


FIG. 2

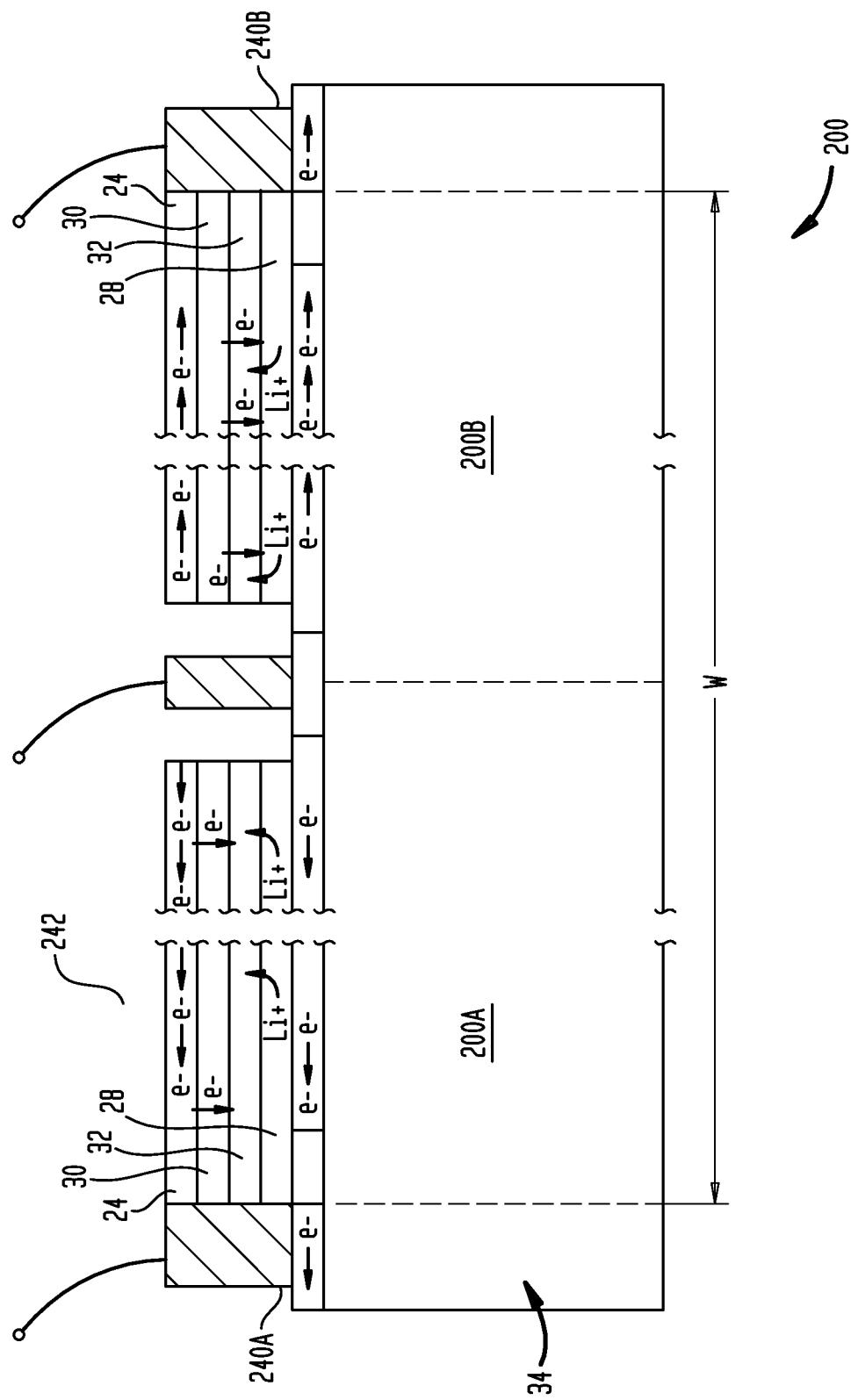
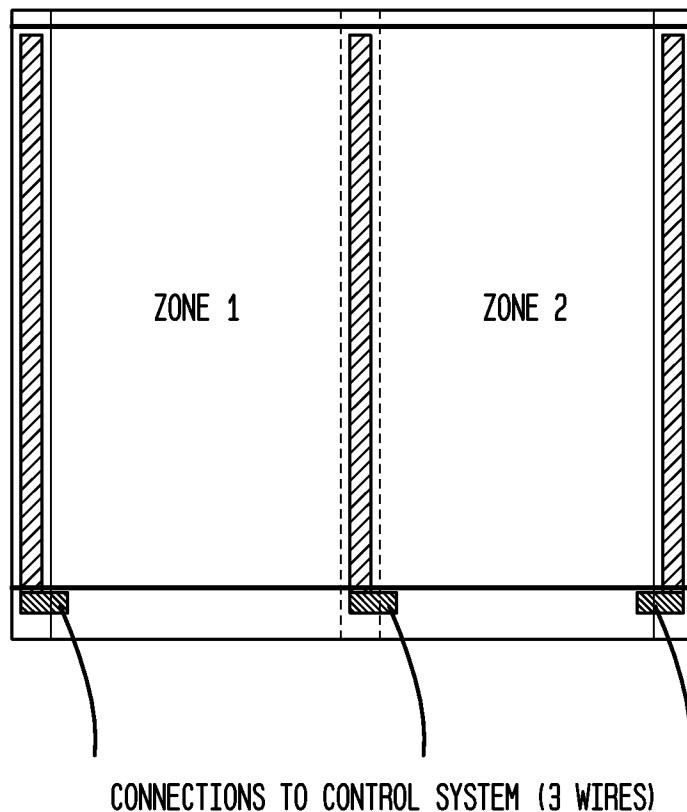


FIG. 3A

## TWO-ZONE 3-BUSBAR DEVICE



CONNECTIONS TO CONTROL SYSTEM (3 WIRES)

- P4 CUT (CUTS THROUGH ALL FILMS)
- P1 CUT (CUTS THROUGH BOTTOM CONDUCTOR ONLY)
- P2 CUT (CUTS THROUGH TOP CONDUCTOR ONLY)
- WIRE
- BUS BAR
- SOLDER TAB

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FIG. 3B

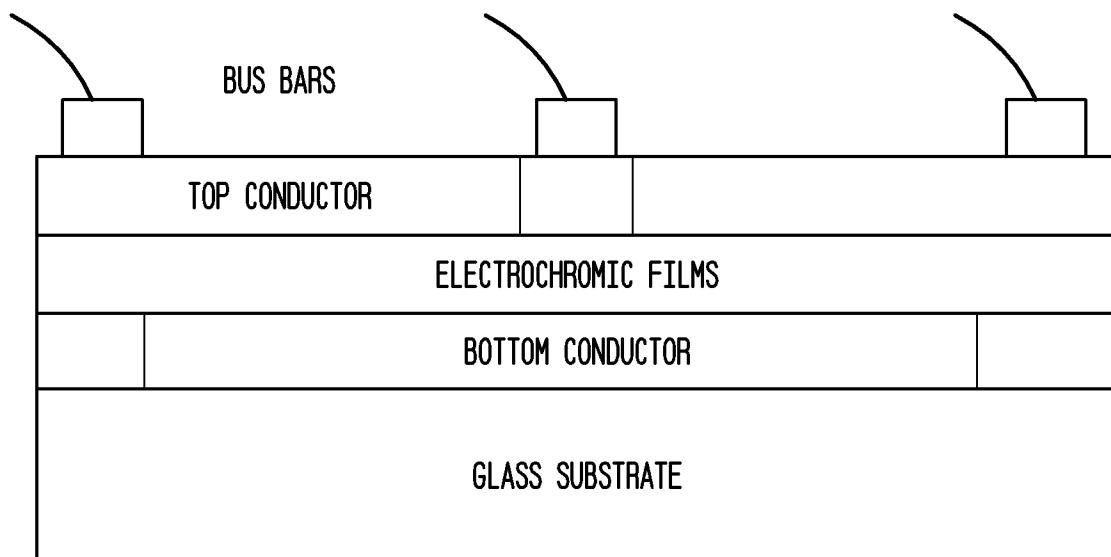


FIG. 4A

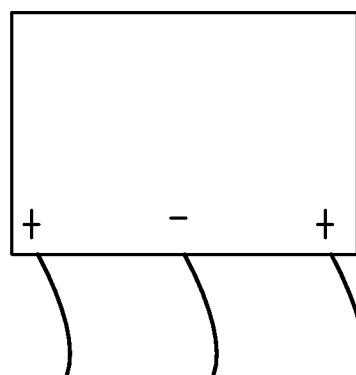


FIG. 4B

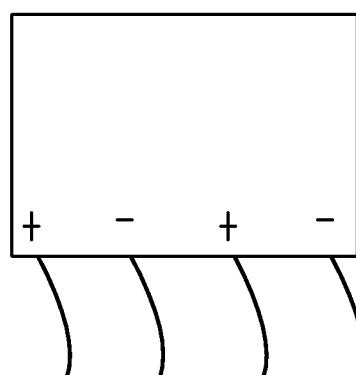
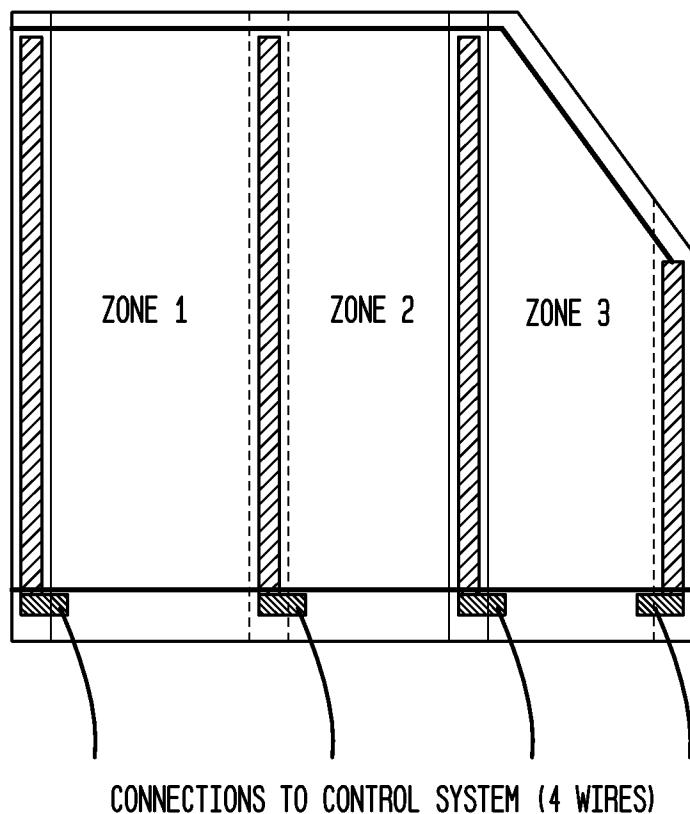


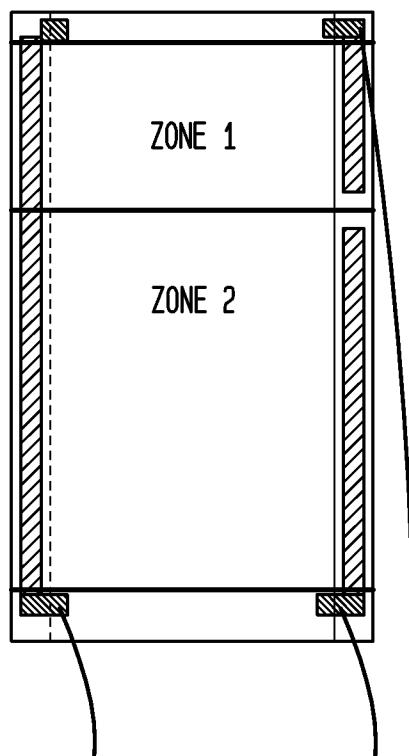
FIG. 5

UNEQUAL 3-ZONE,  
NON-RECTANGULAR 4-BUSBAR DEVICE

- P4 CUT (CUTS THROUGH ALL FILMS)
- P1 CUT (CUTS THROUGH BOTTOM CONDUCTOR ONLY)
- P2 CUT (CUTS THROUGH TOP CONDUCTOR ONLY)
- WIRE
- BUS BAR
- SOLDER TAB

FIG. 6

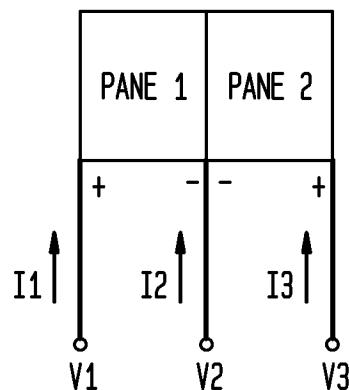
## PATTERNEDE TWO-ZONE



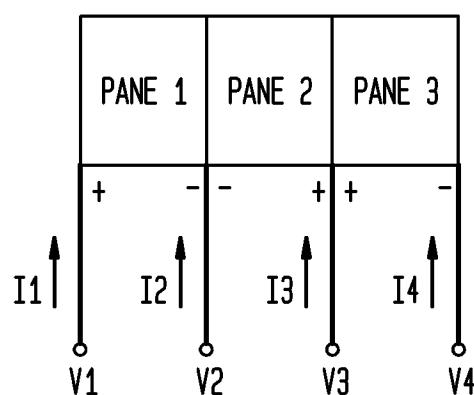
- P4 CUT (CUTS THROUGH ALL FILMS)
- P1 CUT (CUTS THROUGH BOTTOM CONDUCTOR ONLY)
- - - P2 CUT (CUTS THROUGH TOP CONDUCTOR ONLY)
- WIRE
- BUS BAR
- SOLDER TAB

**FIG. 7**

2-PANE, 3-BUSBAR ELECTROCHROMIC DEVICE

**FIG. 8**

3-PANE, 4-BUSBAR ELECTROCHROMIC DEVICE



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FIG. 9

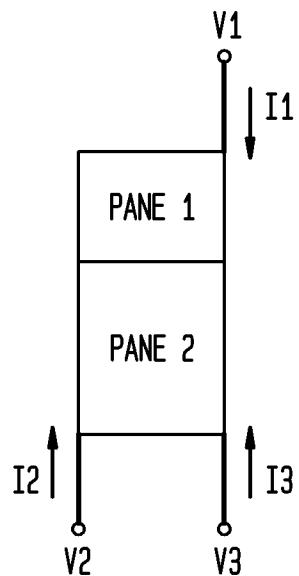
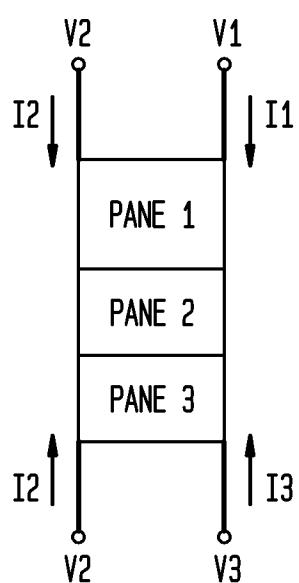
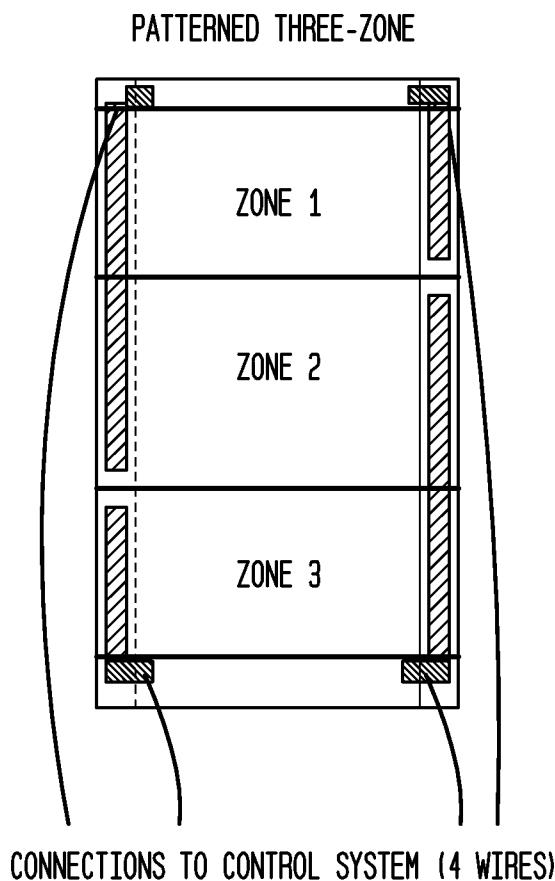


FIG. 10



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FIG. 11



- P4 CUT (CUTS THROUGH ALL FILMS)
- P1 CUT (CUTS THROUGH BOTTOM CONDUCTOR ONLY)
- - - P3 CUT (CUTS THROUGH TOP CONDUCTOR ONLY)
- WIRE
- BUS BAR
- SOLDER TAB

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2013/051479

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. G02F1/153 G02F1/163  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
G02F H01M B64C B32B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EP0-Internal, INSPEC, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2012/019889 A1 (LAMINE DRISS [FR] ET AL) 26 January 2012 (2012-01-26)	1-4,6,7, 9-12, 20-25
Y	paragraphs [0005], [0006], [0011] paragraph [0065] - paragraph [0076]; figures 1-7 paragraph [0085] - paragraph [0087]; figure 11 -----	5
X	WO 03/012541 A2 (PPG IND OHIO INC [US]) 13 February 2003 (2003-02-13)	1
Y	page 14, line 21 - page 18, line 28; figures 8-9 -----	5
		-/-

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
17 January 2014	28/01/2014
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Cossu, Alessandro

**INTERNATIONAL SEARCH REPORT**

International application No

PCT/US2013/051479

**C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 317 248 B1 (AGRAWAL ANOOP [US] ET AL) 13 November 2001 (2001-11-13)  column 1, lines 9-12 column 7, lines 5-11 column 13, lines 50-58 column 28, lines 46-58; figure 20A column 31, line 33 - line 51 column 34, line 24 - column 35, line 33; figures 24, 25B column 41, lines 9-60; examples 4C, 9,10,11  ----- US 2011/260961 A1 (BURDIS MARK SAMUEL [US]) 27 October 2011 (2011-10-27) cited in the application paragraph [0033] - paragraph [0071]; figures 3A-3B, 4A-4B paragraph [0072] - paragraph [0081]; figures 7A-7C,10  ----- US 2003/227663 A1 (AGRAWAL ANOOP [US] ET AL) 11 December 2003 (2003-12-11) paragraph [0075] - paragraph [0076]; figures 5A, 5B  -----	1-4, 6-12,20, 22  13-19  13-19

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2013/051479

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.

The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.

No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-12, 20-25

A substrate comprising multiple independently controllable electrochromic zones and at least two bus bars, wherein each bus bar is segmented, and wherein at least two adjacent electrochromic zones share a continuous portion of at least one of the bus bars.

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2. claims: 13-19

A method of forming a substrate having at least two independently controllable electrochromic zones comprising:

- (1) depositing an electrochromic coating on a substrate; and
- (2) depositing two bus bars on the electrochromic coating, wherein at least one of the bus bars are discontinuous, each having at least two portions.

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**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No

PCT/US2013/051479

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
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			US	2002044331 A1		18-04-2002
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			US	2011260961 A1		27-10-2011
			WO	2011133294 A1		27-10-2011
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			AU	5945101 A		12-11-2001
			EP	1297380 A1		02-04-2003
			US	2003227663 A1		11-12-2003
			WO	0184230 A1		08-11-2001