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- (71) Applicant: **NUANCE COMMUNICATIONS, INC.** [US/US]; One Wayside Road, Burlington, MA 01803 (US).
- (72) Inventors: **GANDRABUR, Simona**; C/o Nuance Communications, Inc., One Wayside Road, Burlington, MA 01803 (US). **LAVALLEE, Jean-francois**; c/o Nuance Communications, Inc., One Wayside Road, Burlington, MA 01803 (US). **TREMBLAY, Real**; c/o Nuance Communications, Inc., One Wayside Road, Burlington, MA 01803 (US).
- (74) Agent: **DANNENBERG, Ross**; Banner & Witcoff, Ltd., 1100 13th Street NW, Suite 1200, Washington, District of Columbia 20005 (US).
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(54) Title: SEMANTIC RE-RANKING OF NLU RESULTS IN CONVERSATIONAL DIALOGUE APPLICATIONS

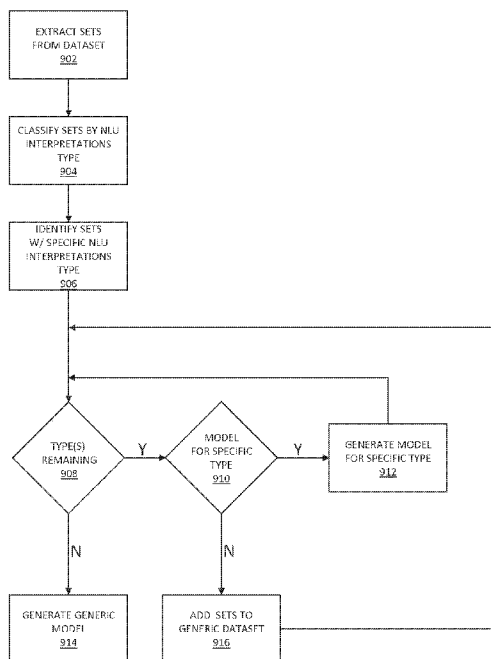


FIG. 9

(57) Abstract: Multiple natural language understanding (NLU) interpretation selection models may be generated. The NLU interpretation selection models may include a generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type and one or more specialized NLU interpretation selection models, each of which may be specific to a particular set of NLU interpretations type. The specialized NLU interpretation selection model(s) may be utilized to process natural language input data comprising data corresponding to their respective sets of NLU interpretations type(s). The generic NLU interpretation selection model may be utilized to process natural language input data comprising data that does not correspond to the sets of NLU interpretations type(s) associated with the specialized NLU interpretation selection model(s).

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## SEMANTIC RE-RANKING OF NLU RESULTS IN CONVERSATIONAL DIALOGUE APPLICATIONS

### CROSS-REFERENCE TO RELATED APPLICATIONS

- [01] This application claims priority to U.S. Patent Application Serial No. 14/314,248, filed June 25, 2014, and entitled “SEMANTIC RE-RANKING OF NLU RESULTS IN CONVERSATIONAL DIALOGUE APPLICATIONS,” which is a continuation-in-part of and claims priority to U.S. Patent Application Serial No. 13/793,854, filed March 11, 2013, and entitled “Semantic Re-Ranking of NLU Results in Conversational Dialogue Applications,” the disclosures of each of which are incorporated by reference herein in their entireties and made part hereof.

### FIELD

- [02] This application generally relates to natural language processing applications, and more specifically, to identifying and resolving anaphora that occur in conversational dialogue applications.

### BACKGROUND

- [03] Natural Language Processing (NLP) and Natural Language Understanding (NLU) involve using computer processing to extract meaningful information from natural language inputs such as human generated speech and text. One recent application of such technology is processing speech and/or text queries in multi-modal conversational dialog applications such as for mobile devices like smartphones.
- [04] FIG. 1 shows some example screen shots of one such conversational dialogue application for a mobile device, Dragon Go!, which processes speech query inputs and obtains simultaneous search results from a variety of top websites and content sources. Such conversational dialogue applications require adding a natural language understanding component to an existing web search algorithm in order to extract semantic meaning from the input queries. This can involve using approximate string matching to discover semantic template structures. One or more semantic meanings can be assigned to each semantic template. Parsing rules and classifier training samples can

be generated and used to train NLU models that determine query interpretations (sometimes referred to as query intents).

[05] In a typical conversational dialog application, there are several interconnected components:

- the dialogue manager (DM), which decides what the next action should be after each user input,
- the automatic speech recognition engine (ASR), which translates spoken utterances into sequences of text words,
- the natural language understanding engine (NLU), which maps the words into semantic interpretations, or concepts, and
- the client, typically the component which resides on a mobile device or embedded platform and deals with visual displays and touch input.

#### SUMMARY

[06] The following presents a simplified summary in order to provide a basic understanding of some aspects of the disclosure. This summary is not an extensive overview of the disclosure. It is intended neither to identify key or critical elements of the disclosure nor to delineate the scope of the disclosure. The following summary merely presents some concepts of the disclosure in a simplified form as a prelude to the description below.

[07] Aspects of the disclosure are directed to a human-machine dialogue arrangement. In some embodiments, the arrangement may include multiple computer-implemented dialogue components, which may be configured to intercommunicate and use context to narrow down understanding, recognition, and/or reasoning errors. A user client may deliver output prompts to a human user and may receive dialogue inputs including speech inputs from the human user. An automatic speech recognition (ASR) engine may process the speech inputs to determine corresponding sequences of representative text words. A natural language understanding (NLU) engine may process the text words to determine corresponding semantic interpretations. A dialogue manager (DM) may generate output prompts and/or respond to the semantic interpretations so as to manage a dialogue process with the human user. The dialogue components may share context

information with each other using a common context sharing mechanism such that the operation of each dialogue component reflects available context information.

[08] In some embodiments, the context sharing mechanism may be based on key value pairs including a key element characterizing a specific context type and a value element characterizing a specific context value. The context information may include dialog context information reflecting context of the dialogue manager within the dialogue process. For example, the dialogue context information may include one or more of:

- a belief state reflecting collective knowledge accumulated during the dialogue process,
- an expectation agenda reflecting new information expected by the dialogue manager,
- a dialogue focus reflecting information most recently prompted by the dialogue manager, and
- one or more selected items reflecting user dialogue choices needed by the dialogue manager.

[09] In some embodiments, the context information may include client context information, for example, reflecting context of the user client within the dialogue process and/or NLU context information reflecting context of the NLU engine within the dialogue process.

[10] Aspects of the disclosure are directed to a human-machine dialogue arrangement with multiple computer-implemented dialogue components that may perform a semantic re-ranking of NLU results in conversational applications using dialogue context and world knowledge. A user client may deliver output prompts to a human user and may receive dialogue inputs from the human user including speech inputs. An automatic speech recognition (ASR) engine may process the speech inputs to determine corresponding sequences of representative text words. A natural language understanding (NLU) engine may process the text words to determine corresponding NLU-ranked semantic interpretations. A semantic re-ranking module may re-rank the NLU-ranked semantic interpretations based on at least one of dialog context information and world knowledge information. A dialogue manager may respond to the re-ranked semantic interpretations

and may generate output prompts so as to manage a dialogue process with the human user.

- [11] In some embodiments, the semantic re-ranking module may re-rank the NLU-ranked semantic interpretations using dialog context information characterized by a context sharing mechanism using key value pairs including a key element characterizing a specific context type and a value element characterizing a specific context value. Additionally or alternatively, the semantic re-ranking module may re-rank the NLU-ranked semantic interpretations using dialogue context information including one or more of: a belief state reflecting collective knowledge accumulated during the dialogue process, an expectation agenda reflecting new information expected by the dialogue manager, a dialogue focus reflecting information most recently prompted by the dialogue manager, and one or more selected items reflecting user dialogue choices needed by the dialogue manager.
- [12] In some embodiments, the semantic re-ranking module may re-rank the NLU-ranked semantic interpretations using dialog context information that includes NLU context information reflecting context of the NLU engine within the dialogue process. The semantic re-ranking module may re-rank the NLU-ranked semantic interpretations using semantic feature confidence scoring. For example, in some embodiments, the semantic feature confidence scoring may be combined in a decision tree to re-rank the NLU-ranked semantic interpretations.
- [13] Aspects of the disclosure are directed to an automatic conversational system having multiple computer-implemented dialogue components for conducting an automated dialogue process with a human user. In some embodiments, the system may detect and/or resolve anaphora based on linguistic cues, dialogue context, and/or general knowledge. A user client may deliver dialogue output prompts to the human user and may receive dialogue input responses from the human user including speech inputs. An automatic speech recognition engine may process the speech inputs to determine corresponding sequences of representative text words. A natural language understanding (NLU) processing arrangement may process the dialogue input responses and the text words to determine corresponding semantic interpretations. In some embodiments, the NLU processing arrangement may include an anaphora processor that may be

configured to access one or more information sources characterizing dialogue context, linguistic features, and/or NLU features to identify unresolved anaphora in the text words that need resolution in order to determine a semantic interpretation. A dialogue manager may manage the dialogue process with the human user based on the semantic interpretations.

- [14] In some embodiments, the anaphora processor may further resolve an identified unresolved anaphora by associating it with a previous concept occurring in the text words. For example, the anaphora processor may favor recent actions in the dialogue process, use one or more dialogue scope rules, semantic distance relations, semantic coherence relations, and/or concept default values to resolve an identified unresolved anaphora.
- [15] In some embodiments, the system may utilize a client-server architecture, for example, where the user client resides on a mobile device.
- [16] In accordance with one or more embodiments, multiple NLU interpretation selection models may be generated. The NLU interpretation selection models may include a generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type (e.g., a name/meaning pair type), a specialized NLU interpretation selection model specific to a first set of NLU interpretations type, and a specialized NLU interpretation selection model specific to a second set of NLU interpretations type. The second set of NLU interpretations type may be different from the first set of NLU interpretations type. The specialized NLU interpretation selection model specific to the first set of NLU interpretations type may be utilized to process natural language input data comprising data corresponding to the first set of NLU interpretations type, and the specialized NLU interpretation selection model specific to the second set of NLU interpretations type may be utilized to process natural language input data comprising data corresponding to the second set of NLU interpretations type. The generic NLU interpretation selection model may be utilized to process natural language input data comprising data corresponding to neither the first set of NLU interpretations type nor the second set of NLU interpretations type.

- [17] In some embodiments, the term N-best of potential semantic interpretations type may be used. Additionally or alternatively, the type(s) used might not correspond directly to the N-Best but may instead correspond to the input utilized by the semantic re-ranking model. In some embodiments, the whole N-Best may be used as input. In some embodiments, the N interpretation may score the N-Best one-by-one. Additionally or alternatively, the re-ranker model may work on pairs of interpretations taken from the N-Best. One common type for semantic interpretations is the value of a semantic slot used to identify the action to be taken by the application. In some embodiments, this common scheme may be enriched by grouping some values with one or more common characteristics together. In some embodiments, this common scheme may be enriched with information about the other semantic slots of the interpretations (e.g., those that do not control action taken by the application).
- [18] In some embodiments, a plurality of sets of NLU interpretations types may be extracted from a dataset comprising natural language input data. Each set of NLU interpretations type of the plurality of sets of NLU interpretations types may be classified as corresponding to a set of NLU interpretations type. A group of sets of NLU interpretations type classified as corresponding to the first set of NLU interpretations type may be identified from amongst the plurality of sets of NLU interpretations types, a group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type may be identified from amongst the plurality of sets of NLU interpretations types, and multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type may be identified from amongst the plurality of sets of NLU interpretations types.
- [19] In some embodiments, a determination to generate the specialized NLU interpretation selection model specific to the first set of NLU interpretations type may be made based on a number of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type. Similarly, a determination to generate the specialized NLU interpretation selection model specific to the second set of NLU interpretations type may be made based on a number of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type. Responsive to determining to generate the specialized NLU interpretation selection model specific to the set of NLU

interpretations type, the specialized NLU interpretation selection model specific to the set of NLU interpretations type may be generated by executing a machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type. Similarly, responsive to determining to generate the specialized NLU interpretation selection model specific to the second set of NLU interpretations type, the specialized NLU interpretation selection model specific to the second set of NLU interpretations type may be generated by executing the machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the multiple groups of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type. In some embodiments, the determination to generate a specialized NLU interpretation selection model for a set of NLU interpretations type may be based on variability in the natural language data classified as part of the set of NLU interpretations type (e.g., a higher variability may indicate that more training data should be obtained for the type before a specialized NLU interpretation selection model is generated for the set of NLU interpretations type).

- [20] In some embodiments, for each set of NLU interpretations type of the sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, a determination not to generate a specialized NLU interpretation selection model specific to the set of NLU interpretations type may be made based on a number of sets of NLU interpretations types classified as corresponding to the set of NLU interpretations type. For each set of NLU interpretations type of the typesets of NLU interpretations types different from both the

first set of NLU interpretations type and the second set of NLU interpretations type, responsive to determining not to generate the specialized NLU interpretation selection model specific to the set of NLU interpretations type, each NLU interpretations type corresponding to the set of NLU interpretations type may be added to a common dataset to form a dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type. The generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type may be generated by executing a machine learning algorithm on the dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type.

- [21] In some embodiments, the natural language input data comprising data corresponding to the first set of NLU interpretations type may be parsed to identify the data corresponding to the first set of NLU interpretations type. Similarly, the natural language input data comprising data corresponding to the second set of NLU interpretations type may be parsed to identify the data corresponding to the second set of NLU interpretations type. Responsive to identifying the data corresponding to the first set of NLU interpretations type, the specialized NLU interpretation selection model specific to the first set of NLU interpretations type may be identified for utilization to process the natural language input data comprising the data corresponding to the first set of NLU interpretations type. Similarly, responsive to identifying the data corresponding to the second set of NLU interpretations type, the specialized NLU interpretation selection model specific to the second set of NLU interpretations type may be identified for utilization to process the

natural language input data comprising the data corresponding to the second set of NLU interpretations type.

[22] In some embodiments, the natural language input data comprising data corresponding to neither the first set of NLU interpretations type nor the second set of NLU interpretations type may be parsed to identify the data corresponding to neither the first set of NLU interpretations type nor the second set of NLU interpretations type. Responsive to identifying the data corresponding to neither the first set of NLU interpretations type nor the second set of NLU interpretations type, the generic NLU interpretation selection model may be identified for utilization to process the natural language input data comprising data corresponding to neither the first set of NLU interpretations type nor the second set of NLU interpretations type.

[23] Other details and features will be described in the sections that follow.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[24] The present disclosure is pointed out with particularity in the appended claims. Features of the disclosure will become more apparent upon a review of this disclosure in its entirety, including the drawing figures provided herewith.

[25] Some features herein are illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings, in which like reference numerals refer to similar elements, and wherein:

[26] FIG. 1 depicts example screen shots of a conversational dialog application for a mobile device;

[27] FIG. 2 depicts an example multi-modal conversational dialog application arrangement that shares context information between components in accordance with one or more example embodiments;

[28] FIG. 3 depicts an illustrative method, including various example functional steps performed by a context-sharing conversational dialog application, in accordance with one or more example embodiments;

- [29] FIG. 4 depicts an example of an automated conversational dialogue system for performing a semantic re-ranking of NLU results using dialogue context and world knowledge in accordance with one or more example embodiments;
- [30] FIG. 5 depicts an illustrative method, including various example functional steps performed by an automated conversational dialog application performing a semantic re-ranking of NLU results using dialogue context and world knowledge, in accordance with one or more example embodiments;
- [31] FIG. 6 depicts an example of an automated conversational dialogue system for identifying and resolving anaphora in accordance with one or more example embodiments;
- [32] FIG. 7 depicts an illustrative method, including various example functional steps performed by an automated conversational dialog application identifying and resolving anaphora, in accordance with one or more example embodiments;
- [33] FIG. 8 depicts an illustrative method for generating and utilizing NLU interpretation selection models in accordance with one or more example embodiments;
- [34] FIG. 9 depicts an illustrative method for generating NLU interpretation selection models in accordance with one or more example embodiments; and
- [35] FIG. 10 depicts an illustrative method for utilizing NLU interpretation selection models in accordance with one or more example embodiments.

#### DETAILED DESCRIPTION

- [36] In the following description of various illustrative embodiments, reference is made to the accompanying drawings, which form a part hereof, and in which is shown, by way of illustration, various embodiments in which aspects of the disclosure may be practiced. It is to be understood that other embodiments may be utilized, and structural and functional modifications may be made, without departing from the scope of the present disclosure.
- [37] It is noted that various connections between elements are discussed in the following description. It is noted that these connections are general and, unless specified

otherwise, may be direct or indirect, wired or wireless, and that the specification is not intended to be limiting in this respect.

### **Dialogue Context Sharing**

- [38] In traditional conversational dialog applications, all of the components function in a context-less mode: each user input is recognized and understood in isolation, and deciding what the next step should be is done by taking into account only the current state of a given component and the last user input. But human reasoning and natural language understanding rely heavily on using dialogue context information such as conversation history, visual cues, user profile, world knowledge, etc. In accordance with aspects of the disclosure, a conversational dialogue arrangement is provided, which allows the various system components to keep track of dialogue context and share such information with other system components.
- [39] FIG. 2 depicts an example multi-modal conversational dialog application arrangement that shares context information between components in accordance with one or more example embodiments, and FIG. 3 depicts an illustrative method, including various example functional steps performed by a context-sharing conversational dialog application, in accordance with one or more example embodiments. A user client **201** may deliver output prompts to a human user, step **301**, and may receive natural language dialogue inputs, including speech inputs, from the human user, step **302**. An automatic speech recognition (ASR) engine **202** may process the speech inputs to determine corresponding sequences of representative text words, step **303**. A natural language understanding (NLU) engine **203** may process the text words to determine corresponding semantic interpretations, step **304**. A dialogue manager (DM) **204** may generate the output prompts and respond to the semantic interpretations so as to manage a dialogue process with the human user, step **305**. Context sharing module **205** may provide a common context sharing mechanism so that each of the dialogue components—user client **201**, ASR engine **202**, NLU engine **203**, and dialogue manager **204**—may share context information with each other so that the operation of each dialogue component reflects available context information.

[40] For example, the context sharing module **205** may manage dialogue context information of the dialogue manager **204** based on maintaining a dialogue *belief state* that represents the collective knowledge accumulated from the user input throughout the dialogue. An *expectation agenda* may represent what new pieces of information the dialogue manager **204** still expects to collect at any given point in the dialogue process. The *dialogue focus* may represent what specific information the dialogue manager **204** just explicitly requested from the user, and similarly the dialogue manager **204** may also track the currently *selected items*, which typically may be candidate values among which the user needs to choose for disambiguation, for selecting a given specific option (one itinerary, one reservation hour, etc.), and for choosing one of multiple possible next actions (“book now”, “modify reservation”, “cancel”, etc.).

[41] Based on such an approach, a dialogue context protocol may be defined, for example, as:

- BELIEF = list of pairs of concepts (key, values) collected throughout the dialogue where the key is a name that identifies a specific kind of concept and the values are the corresponding concept values. For example “I want to book a meeting on May first” would yield a BELIEF = { (DATE, “2012/05/01”), (INTENTION = “new\_meeting”) }.
- FOCUS = the concept key. For example, following a question of the system “What time would you like the meeting at?”, the focus may be START\_TIME.
- EXPECTATION = list of concept keys the system may expect to receive. For instance, in the example above, while FOCUS is START\_TIME, EXPECTATION may contain DURATION, END\_TIME, PARTICIPANTS, LOCATION, ....
- SELECTED\_ITEMS: a list of key-value pairs of currently selected concept candidates among which the user needs to pick. Thus a dialogue prompt: “do you mean Debbie Sanders or Debbie Xanders?” would yield to SELECTED\_ITEMS { (CONTACT, Debbie Sanders), (CONTACT, Debbie Xanders) }.

- [42] Communicating this dialogue context information back to the NLU engine **203** may enable the NLU engine **203** to weight focus and expectation concepts more heavily. And communicating such dialogue context information back to the ASR engine **202** may allow for smart dynamic optimization of the recognition vocabulary, and communicating the dialogue context information back to the user client **201** may help determine part of the current visual display on that device.
- [43] Similarly, the context sharing module **205** may also manage visual/client context information of the user client **201**. One specific example of visual context would be when the user looks at a specific day of her calendar application on the visual display of the user client **201** and says: “Book a meeting at 1pm,” she probably means to book it for the date currently in view in the calendar application.
- [44] The user client **201** may also communicate touch input information via the context sharing module **205** to the dialogue manager **204** by sending the semantic interpretations corresponding to the equivalent natural language command. For instance, clicking on a link to “Book now” may translate into INTENTION:confirmBooking. In addition, the user client **201** may send contextual information by prefixing each such semantic key-value input pairs by the keyword CONTEXT. In that case, the dialogue manager **204** may treat this information as “contextual” and may consider it for default values, but not as explicit user input.
- [45] The context sharing module **205** may also manage NLU / general knowledge context with regards to the NLU engine **203**. For example, when a person says: “Book a flight to London,” it may be safe to assume that the destination is not London, Ontario, that the user most probably means London, UK. Moreover, depending on the user’s current location and/or other information in a user profile, it might even be reasonable to propose what specific London airport is most likely. The NLU engine **203** may access knowledge databases and return contextual information about concepts that have not been explicitly mentioned in the user’s current sentence, and may communicate context by defining complex hierarchical concepts and concept properties (or attributes) associated to a concept.

### *Semantic Re-Ranking*

- [46] Conventional ASR and NLU engines process natural language user inputs in isolation, one input at a time. Each engine typically produces a set of output candidates. Each ASR candidate can have multiple semantic interpretations—language is ambiguous and a given sequence of words can mean many different things. A semantic interpretation can be thought of as a set of (possibly hierarchical) semantic slots, each corresponding to a concept in the natural language input. The ASR recognition candidates are ranked in terms of acoustic and language model match. In the special case of a natural language input from the user in the form of text from a keyboard, the ASR engine can be bypassed, which is equivalent to a 1-best high accuracy ASR output. The ASR and NLU semantic interpretations typically are ranked by various heuristics ranging from parsing accuracy to semantic model probabilities.
- [47] But both the ASR engine and the NLU engine have no notion of conversation history. Their combined semantic interpretation candidates are ranked based on local features only. However, sometimes, knowing what question was asked in the dialogue process (the *focus*), what information is already known (the *belief state*), and what other pieces of information can be still expected from the user (the *expectation agenda*) can influence the likelihood of one interpretation candidate over another. Moreover, having some notion of world knowledge may help make a better informed decision of which of the interpretation candidates is actually correct; for example, knowing that the scheduling of a 13 minute meeting is much less probable than the scheduling of a 30 minute meeting.
- [48] This suggests that it would be useful to perform a re-ranking of the N-best semantic interpretations using dialogue context and world knowledge to order all likely interpretations of an utterance by their adequacy in representing the user intent. Thus, in accordance with aspects of the disclosure, a human-machine dialogue arrangement with multiple computer-implemented dialogue components that performs a semantic re-ranking of NLU results in conversational applications using dialogue context and world knowledge is provided.
- [49] FIG. 4 depicts an example of an automated conversational dialogue system for performing a semantic re-ranking of NLU results using dialogue context and world knowledge in accordance with one or more example embodiments, and FIG. 5 depicts

an illustrative method, including various example functional steps performed by an automated conversational dialog application performing a semantic re-ranking of NLU results using dialogue context and world knowledge, in accordance with one or more example embodiments. A user client **401** may deliver output prompts to a human user, step **501**, and may receive dialogue inputs from the human user, including speech inputs, step **502**. An automatic speech recognition (ASR) engine **402** may process the speech inputs to determine corresponding sequences of representative text words, step **503**. A natural language understanding (NLU) engine **403** may process the text words to determine corresponding NLU-ranked semantic interpretations, step **504**. A semantic re-ranking module **404** may re-rank the NLU-ranked semantic interpretations based on at least one of dialogue context information **407** and world knowledge information **408**, step **505**. A dialogue manager **405** may respond to the re-ranked semantic interpretations and may generate the output prompts so as to manage a dialogue process with the human user, step **506**.

[50] The semantic re-ranking module **404** may re-rank the N-best NLU-ranked semantic interpretations. Dialogue context information **407** may be characterized by a context sharing mechanism using key value pairs including a key element characterizing a specific context type and a value element characterizing a specific context value, thereby reflecting context of the NLU engine within the dialogue process. In some embodiments, the dialogue context information **407** may include one or more of:

- a belief state reflecting collective knowledge accumulated during the dialogue process,
- an expectation agenda reflecting new information expected by the dialogue manager **405**,
- a dialogue focus, reflecting information most recently prompted by the dialogue manager **405**, and
- one or more selected items reflecting user dialogue choices needed by the dialogue manager **405**.

[51] Conventional approaches to semantic re-ranking are based on a pipeline of ad hoc rules. The tuning of those rules for specific applications can be very difficult since the impacts of modifying a rule are difficult to predict and some rules seem more adapted to a given application than to another. Thus, in some embodiments, semantic re-ranking module 404 may use a machine learning approach to learn a statistical re-ranking model on annotated examples with the semantic slots that a 1-best output should contain. A default re-ranking model may be included with the semantic re-ranking module 404 but an application developer may also produce a custom or adapted model using an offline training tool. The application developer may also define rules that would have precedence on the statistical re-ranking model to fix specific cases.

[52] In some embodiments, for each semantic interpretation returned by the NLU engine, a set of robust, application independent and language independent confidence features may be computed, including, for example:

- **Internalization status:** Categorizing the relevancy of the semantic interpretation to the application domain. Interpretations that are out of vocabulary or not matching may be filtered.
- **Parsing confidence:** Confidence of the NLU in the interpretation parsing.
- **Focus weight:** Categorizing the interpretation on how well it fits the expectation of the application.
- **Parsed word ratio:** The ratio of words attributed to a semantic slot in the utterance.
- **Slot internalization ratio:** The ratio of slots relevant to the dialog application in the current context.
- **Internalized word ratio:** The ratio of words attributed to a semantic slot relevant to the dialog application in the current context.
- **Raw Score:** Score attributed to the ASR result on which the interpretation is based.

- **ASR index:** Position of the ASR result on which the interpretation is based in the list of all ASR results
- **Slot in focus count:** Number of slots in the interpretation that are expected by the dialog application.
- **Parsing score:** Score attributed by the NLU ranker to the interpretation.
- **Average prior:** Average of the semantic slot prior value.
- **Correction Score:** Ratio of corrected slots.
- **Correction slot count:** Number of slots that have been corrected.
- **Slot count:** The number of slots in the interpretation
- **Ratio of slots in focus:** Ratio of slots expected by the application.
- **RAW score cluster:** Cluster raw score in groups (e.g., 5 groups) based on their normalized value.
- **Average interpretation similarity:** The average similarity of the interpretation to other interpretations of the N-best list. The similarity between two different interpretations may be measured by the F1-score. A good interpretation tends to be generated several times with some variation.

[53] These features can be characterized by a multi-dimensional feature vector to which a polynomial transformation may be applied to produce a prediction target that reflects the adequacy of a given semantic interpretation based on its similarity to the annotation measured by the F1-score of their respective list of associated semantic slots.

[54] Once the confidence feature criteria are included for each semantic interpretation, two types of re-ranking decisions may be implemented: confidence score computation and heuristic weighting. Heuristic weighting may be based on a neural net model that computes feature weights and processes a weighted sum of the features. Confidence score features may be combined in a decision tree and a new ranking may be obtained of

the semantic interpretations (e.g., with the most likely one ranked first). Specifically, parsing of the decision tree in effect answers in a series of questions about the confidence features that are used to compute a confidence-based re-ranking score. At each node in the decision tree, a question about one feature or one combination of features may be answered to produce a new semantic ranking score for the complete sentence. The re-ranked semantic interpretations may then be returned to the dialogue manager.

### Anaphora Resolution

- [55] A dialogue reference to a previously discussed concept is called an *anaphora*, and a sentence containing such references is called *anaphoric*. The mechanisms by which such references are solved are referred to as *anaphora resolution*. For example, suppose a person is placing an order for pizza delivery, and at some points says “make it extra-large.” One could assume that *it* refers to the pizza size. Yet it could also be the size of a drink and only the conversational context can help resolve this ambiguity. If the customer had said “make it all dressed,” one would use the common world knowledge, knowing that only pizzas have the property of being all-dressed, to deduce that the sentence refers to pizza.
- [56] In accordance with aspects of the disclosure, a generic application-independent algorithm is provided that allows automated conversational dialogue applications to detect and resolve anaphora based on linguistic cues, dialogue context, and/or general knowledge.
- [57] FIG. 6 depicts an example of an automated conversational dialogue system for identifying and resolving anaphora in accordance with one or more example embodiments, and FIG. 7 depicts an illustrative method, including various example functional steps performed by an automated conversational dialog application identifying and resolving anaphora, in accordance with one or more example embodiments. A user client **601** may deliver output prompts to a human user, step **701**, and may receive natural language dialogue inputs, including speech inputs from the human user, step **702**. An automatic speech recognition (ASR) engine **602** may process the speech inputs to determine corresponding sequences of representative text

words, step **703**. A natural language understanding (NLU) engine **603** may process the text words to determine corresponding semantic interpretations, step **704**. The NLU engine **603** may include an anaphora processor **604** that may access different information sources **606** characterizing dialogue context, linguistic features, and NLU features to identify and resolve anaphora in the text words needing resolution, step **705**, in order to determine a semantic interpretation. A dialogue manager (DM) **605** may generate the output prompts and may respond to the semantic interpretations so as to manage a dialogue process with the human user, step **706**.

[58] Among the different information sources **606** accessed by the anaphora processor **604** to flag zero or more concepts as anaphoric are NLU features that reflect when the anaphora processor **604** learns that certain wordings project to concepts (slots) being carried over from context. For example, when a sentence starts with “how about...”, the previous user intent will apply to the current query, and so the anaphora processor **604** may generate an INTENTION=“context” concept. If a sentence contains a personal pronoun (“*call her*”), the person is somebody mentioned in the past conversation history and the anaphora processor **604** may generate a PERSON=“context” concept. So, whenever the output of the NLU engine **603** contains concepts whose value is “context,” this may be a reliable indication that the particular concept needs to be mapped by the anaphora processor **604** to a mention earlier in the conversation. The NLU predictions are meant to be more generic: “her” might refer to a MEETING\_PARTICIPANT, yet the anaphora processor **604** may map it to PERSON: context. “It” could mean anything and the anaphora processor **604** may map that to CONCEPT:context.

[59] The information sources **606** that the anaphora processor **604** accesses may also include dialogue context data. Elliptic input queries may be detected when slots that are mandatory at a given point in the dialogue are missing. For example, if the recognized sentence lacks a slot for INTENTION but there are other action parameters (date, time, person, etc.), that would be evidence of context carry-over. The information sources **606** may also include linguistic features such as missing verbs (“How about tomorrow?”), elliptic sentences (“not that”), presence of pronouns (“with her”), presence of definite articles (“the pizza”).

[60] Any anaphora identified by the anaphora processor **604** may also need to be resolved. The dialogue manager **605** may keep track of the dialogue history, record each step in the dialogue (user input, system prompt) along with the set of current selected items (or search results) at each step and the current belief state (the collected values that define the query). At each new user input, the anaphora processor **604** may iterate through the dialogue history, starting from the previous user query and working back towards further back interactions, and may compute a correlation measure optimized over data examples. The anaphora processor **604** may base this correlation measure on various features such as:

- Dialogue history. For example, how far back in the conversation history are the “missing” concept slots being found?
- Dialogue scope/task configuration. Independent stand-alone tasks may be configured as boundaries for context carry-over. For example, given an application that can schedule meetings, make restaurant reservations, place calls, send emails, etc., some of these tasks may be marked as “incompatible” so that no carry over is allowed.
- Semantic/ontology distance. Typically there may be a hierarchy of “is a” relations in a given ontology (a MEETING\_PARTICIPANT *is a* PERSON). When the NLU engine **603** outputs a context slot, the anaphora processor **604** may look in the dialogue history for any concept of the same type, or of a more general type, linked through a “is a” relation.
- Semantic/ontology coherence. The system may represent “has a” relations in the ontology (“PIZZA *has a* SIZE and PIZZA *has a* TOPPINGSTYPE). In each anaphoric sentence, the anaphora processor **604** may replace the context concept with its resolution candidate and may compute how “semantically compatible” the sentence is (e.g., a sentence “make the pizza all dressed” may have a higher semantic coherence than “make the drink all dressed”).
- Default values. Sometimes the anaphora processor **604** may resolve missing concepts not from the dialogue history, but from default values. Deciding when a concept has a default value and when it is *probable enough* or more

probable than a matching value may be computed by the anaphora processor **604** as a combination of all of the above measures.

- [61] When the resolution probability is high enough (e.g., above a configurable threshold), the anaphora processor **604** may accept the resolution.

**Specialized NLU Interpretation Selection Models**

- [62] As indicated above, conventional approaches to semantic re-ranking are based on a pipeline of ad hoc rules, which may be referred to as an NLU interpretation model. The tuning of such a model for specific applications can be very difficult since the impacts of modifying a rule are difficult to predict and some rules seem more adapted to a given application than to another. Additionally, if a model is tuned based on a dataset that includes a disproportionate amount of data related to a specific application, the model can become biased to the application, which may result in poor performance when interpreting data that is unrelated to the application.
- [63] As indicated above, a dialogue context protocol may be defined to include one or more sets of NLU interpretations types (e.g., a BELIEF that comprises one or more name/meaning or name/value pairs). In accordance with one or more embodiments, multiple NLU interpretation selection models may be generated. The NLU interpretation selection models may include a generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type and one or more specialized NLU interpretation selection models, each of which may be specific to a particular set of NLU interpretations type. The specialized NLU interpretation selection model(s) may be utilized to process natural language input data comprising data corresponding to their respective sets of NLU interpretations type(s). The generic NLU interpretation selection model may be utilized to process natural language input data comprising data that does not correspond to the sets of NLU interpretations type(s) associated with the specialized NLU interpretation selection model(s).
- [64] FIG. 8 depicts an illustrative method for generating and utilizing NLU interpretation selection models in accordance with one or more example embodiments. Referring to FIG. 8, at step **802**, multiple NLU interpretation selection models may be generated. For example, as will be described in greater detail below, a plurality of sets of NLU

interpretations types may be identified within a dataset comprising natural language input data (e.g., training, validation, and/or test data). A determination may be made (e.g., based on a number of sets of NLU interpretations types in the dataset that correspond to a given set of NLU interpretations type) to generate one or more specialized NLU interpretation selection models, each of which may be specific to a particular set of NLU interpretations type. For example, a specialized NLU interpretation selection model specific to a first set of NLU interpretations type may be generated, and a specialized NLU interpretation selection model specific to a second set of NLU interpretations type may also be generated. The second set of NLU interpretations type may be different from the first set of NLU interpretations type. A generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type may also be generated, for example, based on sets of NLU interpretations types in the dataset that correspond to neither the first set of NLU interpretations type nor the second set of NLU interpretations type (e.g., sets of NLU interpretations types with a lower number of occurrences in the dataset than the number of occurrences of sets of NLU interpretations types in the dataset that correspond to the first set of NLU interpretations type and/or the number of occurrences of sets of NLU interpretations types in the dataset that correspond to the second set of NLU interpretations type).

- [65] At step **804**, the specialized NLU interpretation selection model specific to the first set of NLU interpretations type may be utilized to process natural language input data comprising data corresponding to the first set of NLU interpretations type. For example, as will be described in greater detail below, natural language input data may be parsed to identify sets of NLU interpretations types within the data, each of which may be categorized as corresponding to a set of NLU interpretations type. The specialized NLU interpretation selection model specific to the first set of NLU interpretations type may be utilized to process a portion of the natural language input data comprising sets of NLU interpretations types that correspond to the first set of NLU interpretations type. Similarly, at step **806**, the specialized NLU interpretation selection model specific to the second set of NLU interpretations type may be utilized to process natural language input data comprising data corresponding to the second set of NLU interpretations type. For example, as described with respect to step **804**, natural language input data may be

parsed to identify sets of NLU interpretations types within the data, each of which may be categorized as corresponding to a set of NLU interpretations type. The specialized NLU interpretation selection model specific to the second set of NLU interpretations type may be utilized to process a portion of the natural language input data comprising sets of NLU interpretations types that correspond to the second set of NLU interpretations type. At step **808**, the generic NLU interpretation selection model may be utilized to process natural language input data comprising data corresponding to neither the first set of NLU interpretations type nor the second set of NLU interpretations type. For example, the generic NLU interpretation selection model may be utilized to process portions of the natural language input data that comprise data including sets of NLU interpretations types categorized as corresponding to neither the first set of NLU interpretations type nor the second set of NLU interpretations type.

- [66] FIG. 9 depicts an illustrative method for generating NLU interpretation selection models in accordance with one or more example embodiments. Referring to FIG. 9, at step **902**, a plurality of sets of NLU interpretations types may be extracted from a dataset comprising natural language input data. For example, a plurality of sets of NLU interpretations types may be extracted from a dataset comprising natural language input data (e.g., training, validation, and/or test data). At step **904**, each set of NLU interpretations types of the plurality of sets of NLU interpretations types may be classified as corresponding to a set of NLU interpretations type (e.g., based on a possible associated application and/or interpretation). For example, a group of sets of NLU interpretations types may be classified as corresponding to a first set of NLU interpretations type, a group of sets of NLU interpretations types may be classified as corresponding to a second set of NLU interpretations type, and one or more other sets of NLU interpretations types may be classified as corresponding to one or more other sets of NLU interpretations types (e.g., sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type). At step **906**, each of the groups of sets of NLU interpretations types may be identified (e.g., based on their classified sets of NLU interpretations types). For example, the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type may be identified from amongst the plurality of sets of NLU interpretations types in the dataset, the group of sets of NLU interpretations types

classified as corresponding to the second set of NLU interpretations type may be identified from amongst the plurality of sets of NLU interpretations types in the dataset, and multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type may be identified from amongst the plurality of sets of NLU interpretations types in the dataset.

- [67] At step **908**, a determination may be made regarding whether any groups of sets of NLU interpretations types remain to be processed. For example, a determination may be made that groups of sets of NLU interpretations types remain to be processed (e.g., the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, and the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type). At step **910**, a determination may be made whether to generate a specialized NLU interpretation selection model specific to a set of NLU interpretations type that remains to be processed. For example, a determination may be made to generate a specialized NLU interpretation selection model specific to the first set of NLU interpretations type (e.g., based on a number of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type). At step **912**, responsive to determining to generate the specialized NLU interpretation selection model specific to the first set of NLU interpretations type, the specialized NLU interpretation selection model specific to the first set of NLU interpretations type may be generated by executing a machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type. The method may then return to step **908**, and a determination may be made regarding whether any groups of sets of NLU

interpretations types remain to be processed. For example, a determination may be made that groups of sets of NLU interpretations types remain to be processed (e.g., the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type and the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type).

[68] At step **910**, a determination may be made whether to generate a specialized NLU interpretation selection model specific to a set of NLU interpretations type that remains to be processed. For example, a determination may be made to generate a specialized NLU interpretation selection model specific to the second set of NLU interpretations type (e.g., based on a number of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type). At step **912**, responsive to determining to generate the specialized NLU interpretation selection model specific to the second set of NLU interpretations type, the specialized NLU interpretation selection model specific to the second set of NLU interpretations type may be generated by executing a machine learning algorithm (e.g., the same machine learning algorithm or a different machine learning algorithm) on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the multiple groups of set of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type. The method may then return to step **908**, and a determination may be made regarding whether any groups of sets of NLU interpretations types remain to be processed. For example, a determination may be made that groups of sets of NLU interpretations types remain to be processed (e.g., the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type).

[69] At step **910**, a determination may be made whether to generate a specialized NLU interpretation selection model specific to a set of NLU interpretations type that remains

to be processed. For example, a determination may be made not to generate a specialized NLU interpretation selection model specific to a set of NLU interpretations type corresponding to a group of the multiple groups of sets of NLU interpretations types classified as corresponding to set of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type (e.g., based on a number of sets of NLU interpretations types classified as corresponding to the set of NLU interpretations type). At step **916**, responsive to determining not to generate a specialized NLU interpretation selection model specific to the set of NLU interpretations type corresponding to the group of the multiple groups of sets of NLU interpretations types classified as corresponding to set of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, each NLU interpretations type corresponding to the set of NLU interpretations type may be added to a common dataset to form a dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type (e.g., a generic dataset). The method may then return to step **908**, and a determination may be made regarding whether any groups of sets of NLU interpretations types remain to be processed. For example, a determination may be made that groups of sets of NLU interpretations types remain to be processed (e.g., any remaining groups of the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type).

- [70] Steps **910**, **916**, and **908** may be repeated for each group of the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, until a determination is made, at step **908**, that no more groups of sets of NLU interpretations types remain to be processed, at which point the method may proceed to step **914**. At step **914**, a generic NLU interpretation selection model that

is not specialized for a specific set of NLU interpretations type may be generated by executing a machine learning algorithm (e.g., the same machine learning algorithm or a different machine learning algorithm) on the generic dataset (e.g., the dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type).

- [71] FIG. 10 depicts an illustrative method for utilizing NLU interpretation selection models in accordance with one or more example embodiments. Referring to FIG. 10, at step **1002**, natural language input data may be received. For example, natural language input data comprising data corresponding to the first set of NLU interpretations type, data corresponding to the second set of NLU interpretations type, and data that corresponds to neither the first set of NLU interpretations type nor the second set of NLU interpretations type may be received. At step **1004**, the received natural language input data may be parsed to identify sets of NLU interpretations types, each of which may be categorized as corresponding to a specific set of NLU interpretations type (e.g., the first set of NLU interpretations type, the second set of NLU interpretations type, or a set of NLU interpretations type other than the first set of NLU interpretations type and the second set of NLU interpretations type). For example, the natural language input data comprising data corresponding to the first set of NLU interpretations type, data corresponding to the second set of NLU interpretations type, and data that corresponds to neither the first set of NLU interpretations type nor the second set of NLU interpretations type may be parsed to identify sets of NLU interpretations types, each of which may be categorized as corresponding to a specific set of NLU interpretations type (e.g., sets of NLU interpretations types within the data corresponding to the first set of NLU interpretations type may be identified and categorized as corresponding to the first set of NLU interpretations type, sets of NLU interpretations types within the data corresponding to the second set of NLU interpretations type may be identified and

categorized as corresponding to the second set of NLU interpretations type, and sets of NLU interpretations types within the data that corresponds to the neither the first set of NLU interpretations type nor the second set of NLU interpretations type may be identified and categorized as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type).

- [72] Data may be identified for processing (e.g., the data corresponding to the first set of NLU interpretations type, the data corresponding to the second set of NLU interpretations type, or the data that corresponds to neither the first set of NLU interpretations type nor the second set of NLU interpretations type), and, at step **1006**, a determination may be made as to whether a specialized NLU interpretation selection model exists for the data identified for processing. For example, the data corresponding to the first set of NLU interpretations type may be identified for processing; at step **1006**, a determination may be made that a specialized NLU interpretation selection model exists for the data corresponding to the first set of NLU interpretations type (e.g., the specialized NLU interpretation selection model specific to the first set of NLU interpretations type); at step **1008**, the specialized NLU interpretation selection model (e.g., the specialized NLU interpretation selection model specific to the first set of NLU interpretations type) may be identified for processing the data corresponding to the first set of NLU interpretations type; and, at step **1010**, the specialized NLU interpretation selection model (e.g., the specialized NLU interpretation selection model specific to the first set of NLU interpretations type) may be utilized to process the data corresponding to the first set of NLU interpretations type.
- [73] Additionally or alternatively, the data corresponding to the second set of NLU interpretations type may be identified for processing; at step **1006**, a determination may be made that a specialized NLU interpretation selection model exists for the data corresponding to the second set of NLU interpretations type (e.g., the specialized NLU interpretation selection model specific to the second set of NLU interpretations type); at step **1008**, the specialized NLU interpretation selection model (e.g., the specialized NLU interpretation selection model specific to the second set of NLU interpretations type) may be identified for processing the data corresponding to the

second set of NLU interpretations type; and, at step **1010**, the specialized NLU interpretation selection model (e.g., the specialized NLU interpretation selection model specific to the second set of NLU interpretations type) may be utilized to process the data corresponding to the second set of NLU interpretations type. Additionally or alternatively, the data that corresponds to neither the first set of NLU interpretations type nor the second set of NLU interpretations type may be identified for processing; at step **1006**, a determination may be made that a specialized NLU interpretation selection model does not exist for the data that corresponds to neither the first set of NLU interpretations type nor the second set of NLU interpretations type; at step **1012**, the generic NLU interpretation selection model may be identified for processing the data that corresponds to neither the first set of NLU interpretations type nor the second set of NLU interpretations type; and, at step **1014**, the generic NLU interpretation selection model may be utilized to process the data that corresponds to neither the first set of NLU interpretations type nor the second set of NLU interpretations type.

- [74] One or more embodiments may be implemented in any conventional computer programming language. For example, embodiments may be implemented in a procedural programming language (e.g., “C”) or an object-oriented programming language (e.g., “C++”, Python). Some embodiments may be implemented as pre-programmed hardware elements, other related components, or as a combination of hardware and software components.
- [75] Embodiments can be implemented as a computer program product for use with a computer system. Such implementations may include a series of computer instructions fixed either on a tangible medium, such as a computer readable medium (e.g., a diskette, CD-ROM, ROM, or fixed disk) or transmittable to a computer system, via a modem or other interface device, such as a communications adapter connected to a network over a medium. The medium may be either a tangible medium (e.g., optical or analog communications lines) or a medium implemented with wireless techniques (e.g., microwave, infrared or other transmission techniques). The series of computer instructions may embody all or part of the functionality previously described herein with respect to the system. Such computer instructions may be written in a number of programming languages for use with one or more

computer architectures or operating systems. Furthermore, such instructions may be stored in any memory device, such as semiconductor, magnetic, optical, or other memory devices, and may be transmitted using any communications technology, such as optical, infrared, microwave, or other transmission technologies. Such a computer program product may be distributed as a removable medium with accompanying printed or electronic documentation (e.g., shrink wrapped software), preloaded with a computer system (e.g., on system ROM or fixed disk), or distributed from a server or electronic bulletin board over a network (e.g., the Internet or World Wide Web). Some embodiments may be implemented as a combination of both software (e.g., a computer program product) and hardware. Still other embodiments may be implemented as entirely hardware, or entirely software (e.g., a computer program product).

- [76] A described “process” is the performance of a described function in a computer using computer hardware (such as a processor, domain-programmable gate array, or other electronic combinatorial logic, or similar device), which may be operating under control of software or firmware or a combination of any of these or operating outside control of any of the foregoing. All or part of the described function may be performed by active or passive electronic components, such as transistors or resistors. Use of the term “process” does not necessarily imply a schedulable entity, although, in some embodiments, a process may be implemented by such a schedulable entity. Furthermore, unless the context otherwise requires, a “process” may be implemented using more than one processor or more than one (single- or multi-processor) computer and it may be an instance of a computer program or an instance of a subset of the instructions of a computer program.
- [77] One or more aspects of the disclosure may be embodied in computer-usable data or computer-executable instructions, such as in one or more program modules, executed by one or more computers or other devices to perform the operations described herein. Generally, program modules include routines, programs, objects, components, data structures, and the like that perform particular tasks or implement particular abstract data types when executed by one or more processors in a computer or other data processing device. The computer-executable instructions may be stored on a computer-readable medium such as a hard disk, optical disk, removable storage media, solid-state memory,

RAM, and the like. The functionality of the program modules may be combined or distributed as desired in various embodiments. In addition, the functionality may be embodied in whole or in part in firmware or hardware equivalents, such as integrated circuits, application-specific integrated circuits (ASICs), field programmable gate arrays (FPGA), and the like. Particular data structures may be used to more effectively implement one or more aspects of the disclosure, and such data structures are contemplated to be within the scope of computer executable instructions and computer-usable data described herein.

- [78] Various aspects described herein may be embodied as a method, an apparatus, or as one or more computer-readable media storing computer-executable instructions. Accordingly, those aspects may take the form of an entirely hardware embodiment, an entirely software embodiment, an entirely firmware embodiment, or an embodiment combining software, hardware, and firmware aspects in any combination. In addition, various signals representing data or events as described herein may be transferred between a source and a destination in the form of light or electromagnetic waves traveling through signal-conducting media such as metal wires, optical fibers, or wireless transmission media (e.g., air or space). In general, the one or more computer-readable media may comprise one or more non-transitory computer-readable media.
- [79] As described herein, the various methods and acts may be operative across one or more computing devices and one or more networks. The functionality may be distributed in any manner, or may be located in a single computing device (e.g., a server, a client computer, or the like).
- [80] Aspects of the disclosure have been described in terms of illustrative embodiments thereof. Numerous other embodiments, modifications, and variations within the scope and spirit of the appended claims will occur to persons of ordinary skill in the art from a review of this disclosure. For example, one or more of the steps depicted in the illustrative figures may be performed in other than the recited order, and one or more depicted steps may be optional in accordance with aspects of the disclosure.

What is claimed is:

1. A method, comprising:

generating, by at least one processor of a computing platform comprising the at least one processor and a memory, a plurality of natural language understanding (NLU) interpretation selection models that includes a generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type, a first specialized NLU interpretation selection model specific to a first set of NLU interpretations type, and a second specialized NLU interpretation selection model, the second specialized NLU interpretation selection model being specific to a second set of NLU interpretations type, the second set of NLU interpretations type being different from the first set of NLU interpretations type;

processing, by the at least one processor, natural language input data comprising data corresponding to the first set of NLU interpretations type using the first specialized NLU interpretation selection model;

processing, by the at least one processor, natural language input data comprising data corresponding to the second set of NLU interpretations type using the second specialized NLU interpretation selection model; and

processing, by the at least one processor, natural language input data comprising data corresponding to neither of the first set of NLU interpretations type and the second set of NLU interpretations type using the generic NLU interpretation selection model.

2. The method of claim 1, comprising:

extracting, by the at least one processor, a plurality of sets of NLU interpretations types from a dataset comprising natural language input data; and

classifying, by that least one processor, each set of NLU interpretations type of the plurality of sets of NLU interpretations types as corresponding to a set of NLU interpretations types.

3. The method of claim 2, comprising:

identifying, by the at least one processor and from amongst the plurality of sets of NLU interpretations types, a group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type;

identifying, by the at least one processor and from amongst the plurality of sets of NLU interpretations types, a group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type; and

identifying, by the at least one processor and from amongst the plurality of sets of NLU interpretations types, multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type.

4. The method of claim 3, comprising:

determining, by the at least one processor and based on a number of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, to generate the first specialized NLU interpretation selection model; and

determining, by the at least one processor and based on a number of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, to generate the second specialized NLU interpretation selection model.

5. The method of claim 4, comprising:

responsive to determining to generate the first specialized NLU interpretation selection model, generating the first specialized NLU interpretation selection model, wherein generating the first specialized NLU interpretation selection model comprises executing, by the at least one processor, a machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type; and

responsive to determining to generate the second specialized NLU interpretation selection model, generating the second specialized NLU interpretation selection model, wherein generating the second specialized NLU interpretation selection model comprises executing, by the at least one processor, the machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU

interpretations types classified as corresponding to the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type.

6. The method of claim 3, comprising:

determining, by the at least one processor, for each set of NLU interpretations type of the sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, and based on a number of sets of NLU interpretations types classified as corresponding to the set of NLU interpretations type, not to generate a specialized NLU interpretation selection model specific to the set of NLU interpretations type; and

for each set of NLU interpretations type of the sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, responsive to determining not to generate the specialized NLU interpretation selection model specific to the set of NLU interpretations type, adding each NLU interpretations type corresponding to the set of NLU interpretations type to a common dataset to form a dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type.

7. The method of claim 6, comprising generating the generic NLU interpretation selection model, wherein generating the generic NLU interpretation selection model comprises executing, by the at least one processor, a machine learning algorithm on the dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU

interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type.

8. The method of claim 1, comprising:

parsing, by the at least one processor, the natural language input data comprising data corresponding to the first set of NLU interpretations type to identify the data corresponding to the first set of NLU interpretations type; and

parsing, by the at least one processor, the natural language input data comprising data corresponding to the second set of NLU interpretations type to identify the data corresponding to the second set of NLU interpretations type.

9. The method of claim 8, comprising:

responsive to identifying the data corresponding to the first set of NLU interpretations type, identifying, by the at least one processor, the first specialized NLU interpretation selection model for utilization, by the at least one processor, to process the natural language input data comprising the data corresponding to the first set of NLU interpretations type; and

responsive to identifying the data corresponding to the second set of NLU interpretations type, identifying, by the at least one processor, the second specialized NLU interpretation selection model for utilization, by the at least one processor, to process the natural language input data comprising the data corresponding to the second set of NLU interpretations type.

10. The method of claim 1, comprising:

parsing, by the at least one processor, the natural language input data comprising data corresponding to neither of the first set of NLU interpretations type and the second set of NLU interpretations type to identify the data corresponding to neither of the first set of NLU interpretations type and the second set of NLU interpretations type; and

responsive to identifying the data corresponding to neither of the first set of NLU interpretations type and the second set of NLU interpretations type, identifying, by the at least one processor, the generic NLU interpretation selection model for utilization, by the

at least one processor, to process the natural language input data comprising data corresponding to neither of the first set of NLU interpretations type and the second set of NLU interpretations type.

11. An apparatus, comprising:
  - at least one processor; and
  - a memory storing instructions that when executed by the at least one processor cause the apparatus to:
    - generate a generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type;
    - generate a first specialized NLU interpretation selection model specific to a first set of NLU interpretations type;
    - generate a second specialized NLU interpretation selection model, the second specialized NLU interpretation selection model being specific to a second set of NLU interpretations type, the second set of NLU interpretations type being different from the first set of NLU interpretations type;
    - process natural language input data comprising data corresponding to the first set of NLU interpretations type using the first specialized NLU interpretation selection model;
    - process natural language input data comprising data corresponding to the second set of NLU interpretations type using the second specialized NLU interpretation selection model; and
    - process natural language input data comprising data corresponding to neither of the first set of NLU interpretations type and the second set of NLU interpretations type using the generic NLU interpretation selection model.
12. The apparatus of claim 11, wherein the instructions, when executed by the at least one processor, cause the apparatus to:
  - extract a plurality of sets of NLU interpretations types from a dataset comprising natural language input data;
  - classify each set of NLU interpretations types of the plurality of sets of NLU interpretations types as corresponding to a set of NLU interpretations type;

identify, from amongst the plurality of sets of NLU interpretations types, a group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type;

identify, from amongst the plurality of sets of NLU interpretations types, a group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type; and

identify, from amongst the plurality of sets of NLU interpretations types, multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type.

13. The apparatus of claim 12, wherein the instructions, when executed by the at least one processor, cause the apparatus to:

determine, based on a number of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, to generate the first specialized NLU interpretation selection model;

determine, based on a number of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, to generate the second specialized NLU interpretation selection model;

responsive to determining to generate the first specialized NLU interpretation selection model, generate the first specialized NLU interpretation selection model by executing a machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type; and

responsive to determining to generate the second specialized NLU interpretation selection model, generate the second specialized NLU interpretation selection model by executing the machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as

corresponding to the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type.

14. The apparatus of claim 12, wherein the instructions, when executed by the at least one processor, cause the apparatus to:

determine, for each set of NLU interpretations type of the sets of NLU interpretations types different from both the first NLU interpretations type and the second NLU interpretations type, and based on a number of sets of NLU interpretations types classified as corresponding to the NLU interpretations type, not to generate a specialized NLU interpretation selection model specific to the set of NLU interpretations type;

for each set of NLU interpretations type of the sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, responsive to determining not to generate the specialized NLU interpretation selection model specific to the set of NLU interpretations type, add each NLU interpretations type corresponding to the set of NLU interpretations type to a common dataset to form a dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type; and

generate the generic NLU interpretation selection model by executing a machine learning algorithm on the dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU

interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type.

15. The apparatus of claim 11, wherein the instructions, when executed by the at least one processor, cause the apparatus to:
  - parse the natural language input data comprising data corresponding to the first set of NLU interpretations type to identify the data corresponding to the first set of NLU interpretations type;
  - parse the natural language input data comprising data corresponding to the second set of NLU interpretations type to identify the data corresponding to the second set of NLU interpretations type;
  - responsive to identifying the data corresponding to the first set of NLU interpretations type, identify the first specialized NLU interpretation selection model for utilization, by the at least one processor, to process the natural language input data comprising the data corresponding to the first set of NLU interpretations type; and
  - responsive to identifying the data corresponding to the second set of NLU interpretations type, identify the second specialized NLU interpretation selection model for utilization, by the at least one processor, to process the natural language input data comprising the data corresponding to the second set of NLU interpretations type.
  
16. One or more non-transitory computer-readable media having instructions stored thereon that when executed by one or more computers cause the one or more computers to:
  - generate a generic NLU interpretation selection model that is not specialized for a specific set of NLU interpretations type;
  - generate a first specialized NLU interpretation selection model specific to a first set of NLU interpretations type;
  - generate a second specialized NLU interpretation selection model, the second specialized NLU interpretation selection model being specific to a second set of NLU interpretations type, the second set of NLU interpretations type being different from the first set of NLU interpretations type;
  - process natural language input data comprising data corresponding to the first set of NLU interpretations type using the first specialized NLU interpretation selection model;

process natural language input data comprising data corresponding to the second set of NLU interpretations type using the second specialized NLU interpretation selection model; and

process natural language input data comprising data corresponding to neither of the first set of NLU interpretations type and the second set of NLU interpretations type using the generic NLU interpretation selection model.

17. The one or more non-transitory computer-readable media of claim 16, wherein the instructions, when executed by the one or more computers, cause the one or more computers to:

extract a plurality of sets of NLU interpretations types from a dataset comprising natural language input data;

classify each set of NLU interpretations types of the plurality of sets of NLU interpretations types as corresponding to a set of NLU interpretations type;

identify, from amongst the plurality of sets of NLU interpretations types, a group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type;

identify, from amongst the plurality of sets of NLU interpretations types, a group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type; and

identify, from amongst the plurality of sets of NLU interpretations types, multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type.

18. The one or more non-transitory computer-readable media of claim 17, wherein the instructions, when executed by the one or more computers, cause the one or more computers to:

determine, based on a number of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, to generate the first specialized NLU interpretation selection model;

determine, based on a number of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, to generate the second specialized NLU interpretation selection model;

responsive to determining to generate the first specialized NLU interpretation selection model, generate the first specialized NLU interpretation selection model by executing a machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type; and

responsive to determining to generate the second specialized NLU interpretation selection model, generate the second specialized NLU interpretation selection model by executing the machine learning algorithm on a dataset comprising natural language input data that includes the group of sets of NLU interpretations types classified as corresponding to the second NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type.

19. The one or more non-transitory computer-readable media of claim 17, wherein the instructions, when executed by the one or more computers, cause the one or more computers to:

determine, for each set of NLU interpretations type of the sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, and based on a number of sets of NLU interpretations types classified as corresponding to the NLU interpretations type, not to generate a specialized NLU interpretation selection model specific to the set of NLU interpretations type;

for each set of NLU interpretations type of the set of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, responsive to determining not to generate the specialized NLU interpretation selection model specific to the set of NLU interpretations type, add each NLU interpretations type corresponding to the set of NLU interpretations type to a common dataset to form a dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type; and

generate the generic NLU interpretation selection model by executing a machine learning algorithm on the dataset comprising natural language input data that includes the multiple groups of sets of NLU interpretations types classified as corresponding to sets of NLU interpretations types different from both the first set of NLU interpretations type and the second set of NLU interpretations type, does not include the group of sets of NLU interpretations types classified as corresponding to the first set of NLU interpretations type, and does not include the group of sets of NLU interpretations types classified as corresponding to the second set of NLU interpretations type.

20. The one or more non-transitory computer-readable media of claim 16, wherein the instructions, when executed by the one or more computers, cause the one or more computers to:

parse the natural language input data comprising data corresponding to the first set of NLU interpretations type to identify the data corresponding to the first set of NLU interpretations type;

parse the natural language input data comprising data corresponding to the second set of NLU interpretations type to identify the data corresponding to the second set of NLU interpretations type;

responsive to identifying the data corresponding to the first set of NLU interpretations type, identify the first specialized NLU interpretation selection model for

utilization, by the one or more computers, to process the natural language input data comprising the data corresponding to the first set of NLU interpretations type; and

responsive to identifying the data corresponding to the second set of NLU interpretations type, identify the second specialized NLU interpretation selection model for utilization, by the one or more computers, to process the natural language input data comprising the data corresponding to the second set of NLU interpretations type.

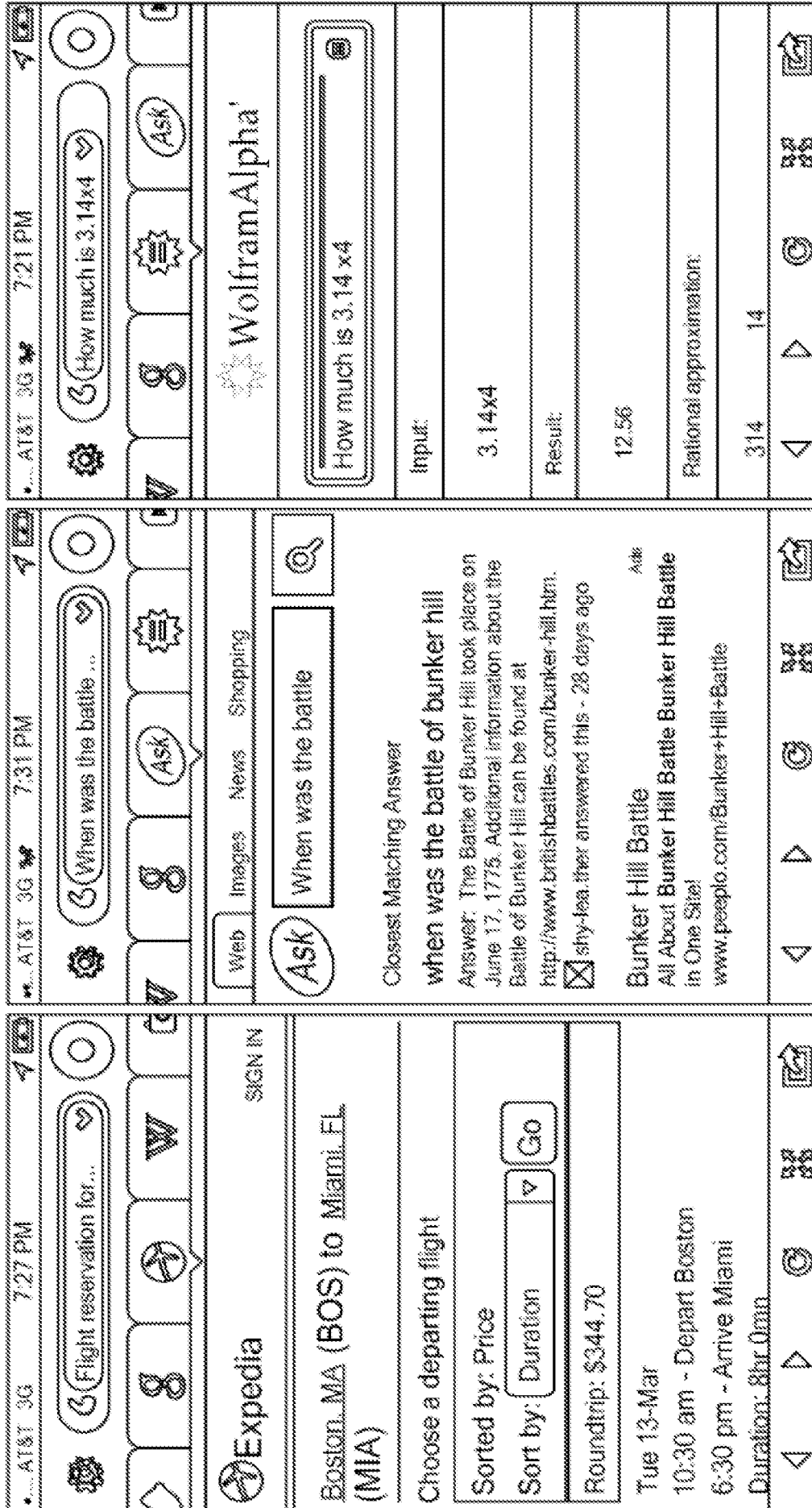


FIG. 1

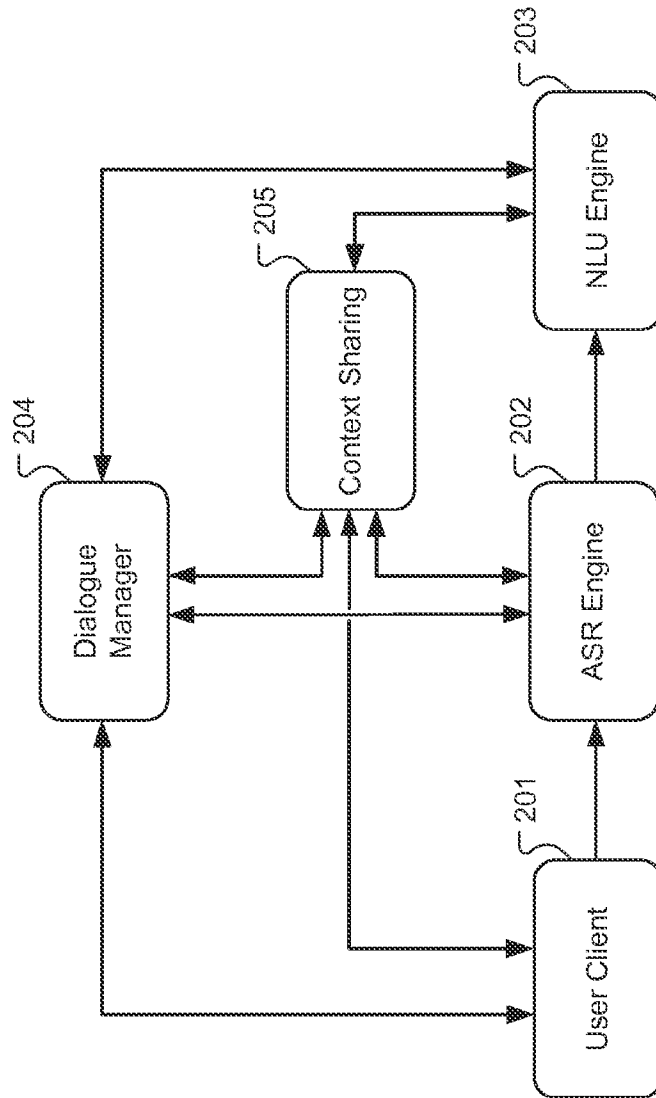
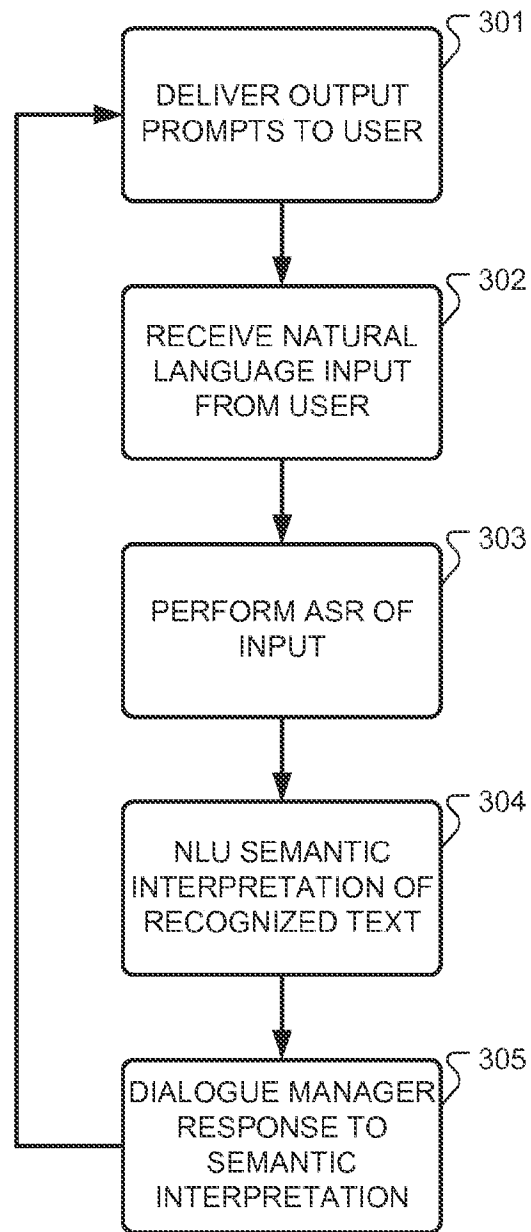


Fig. 2

**Fig. 3**

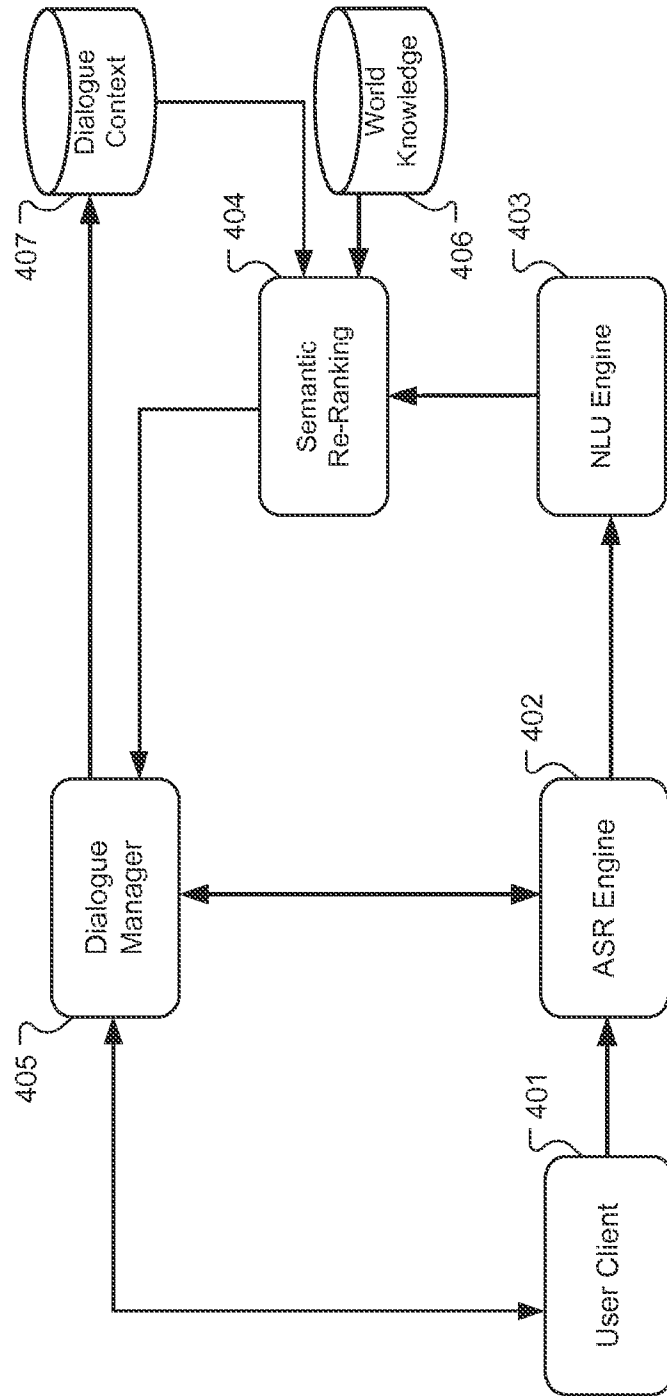
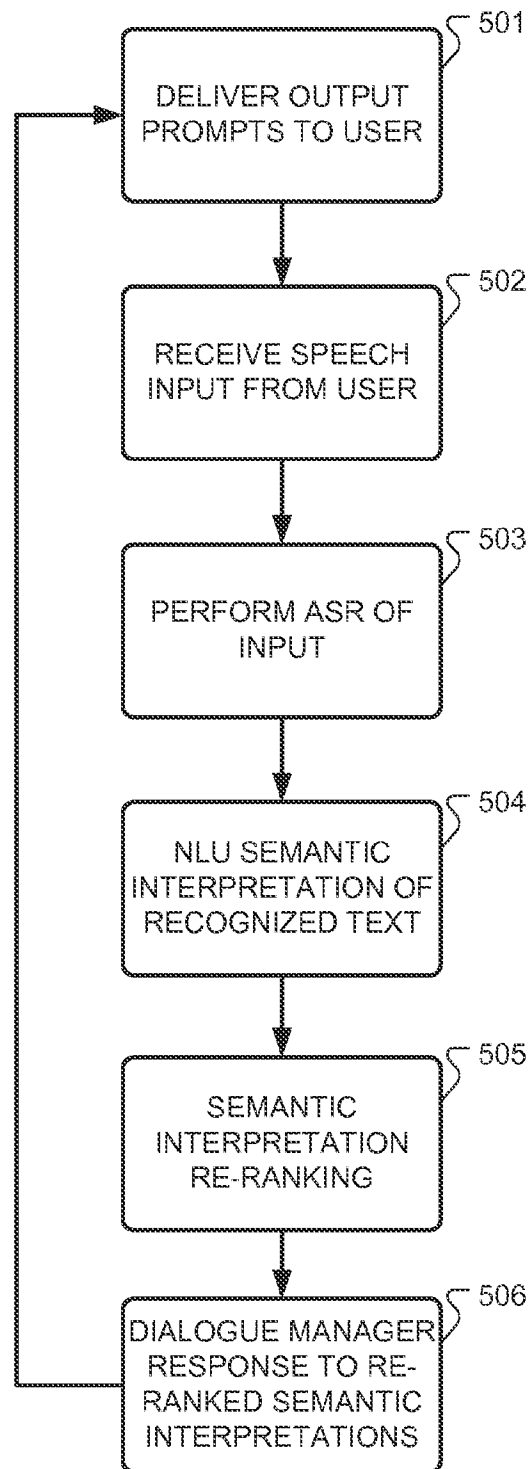


Fig. 4

**Fig. 5**

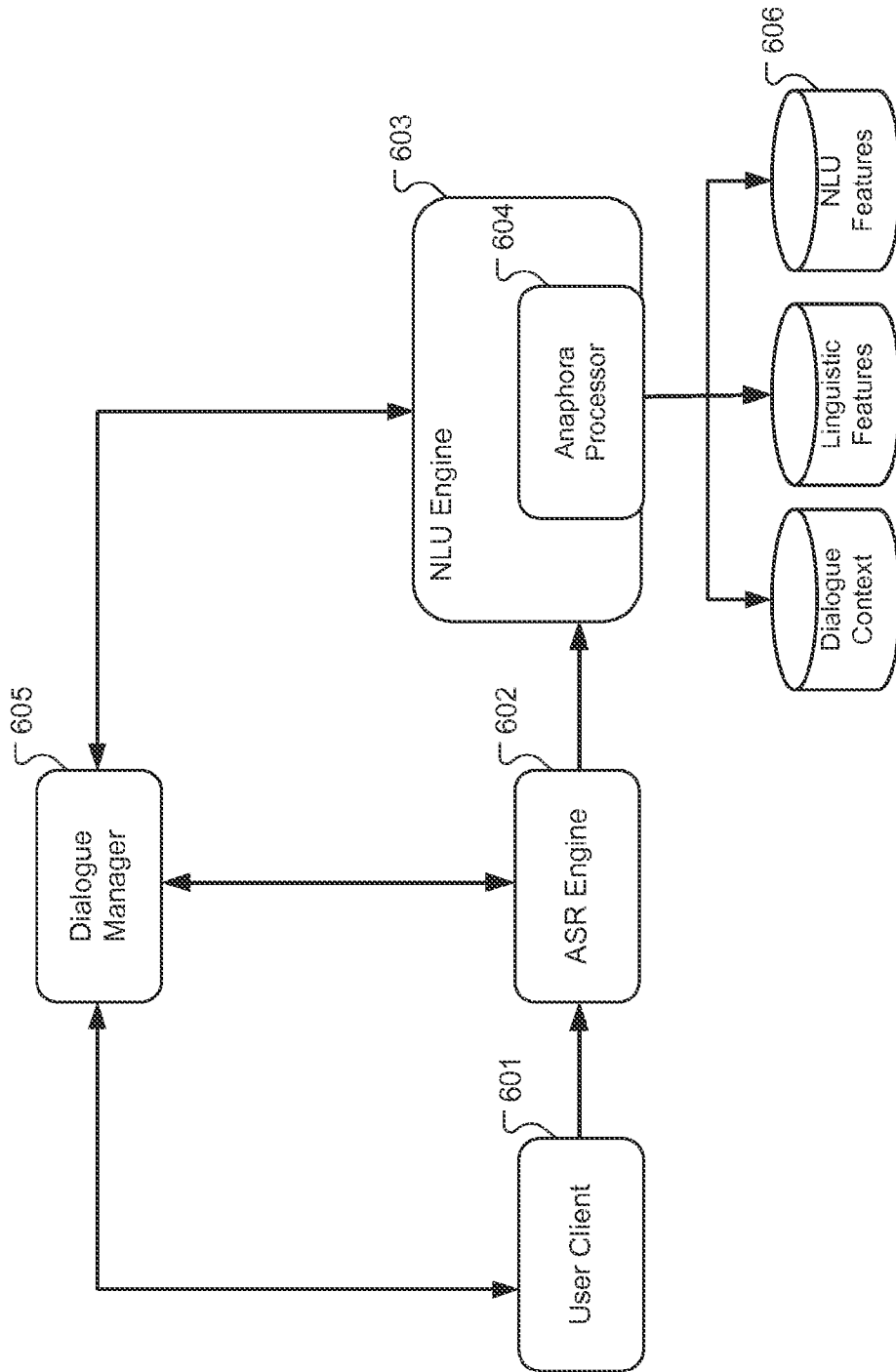
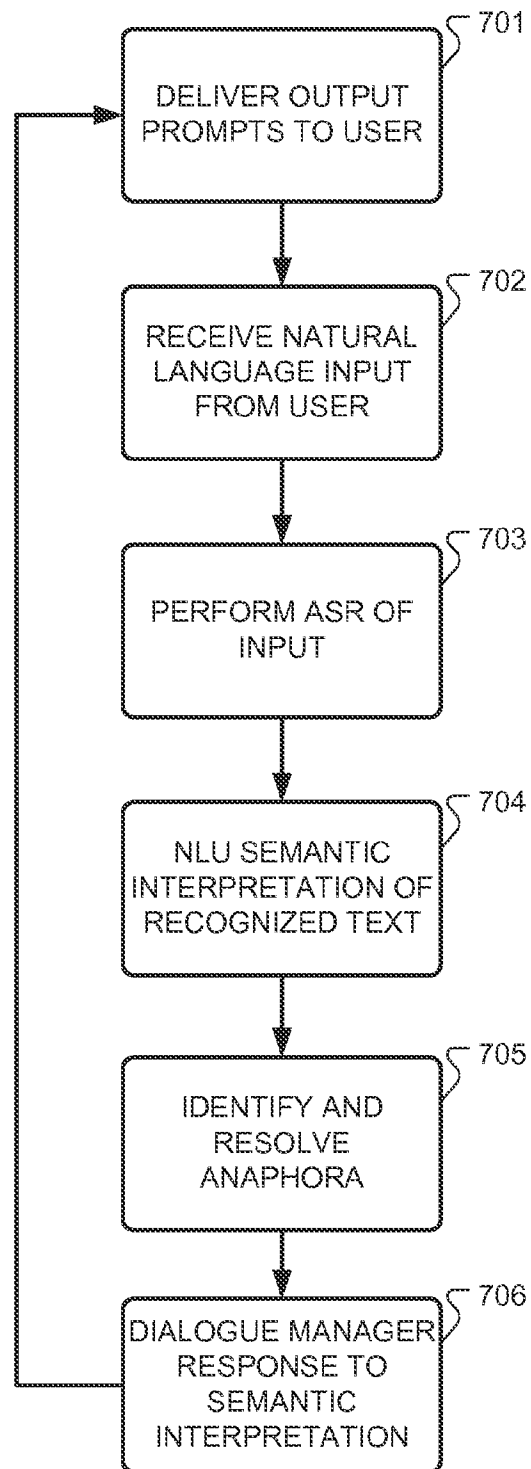


Fig. 6

**Fig. 7**

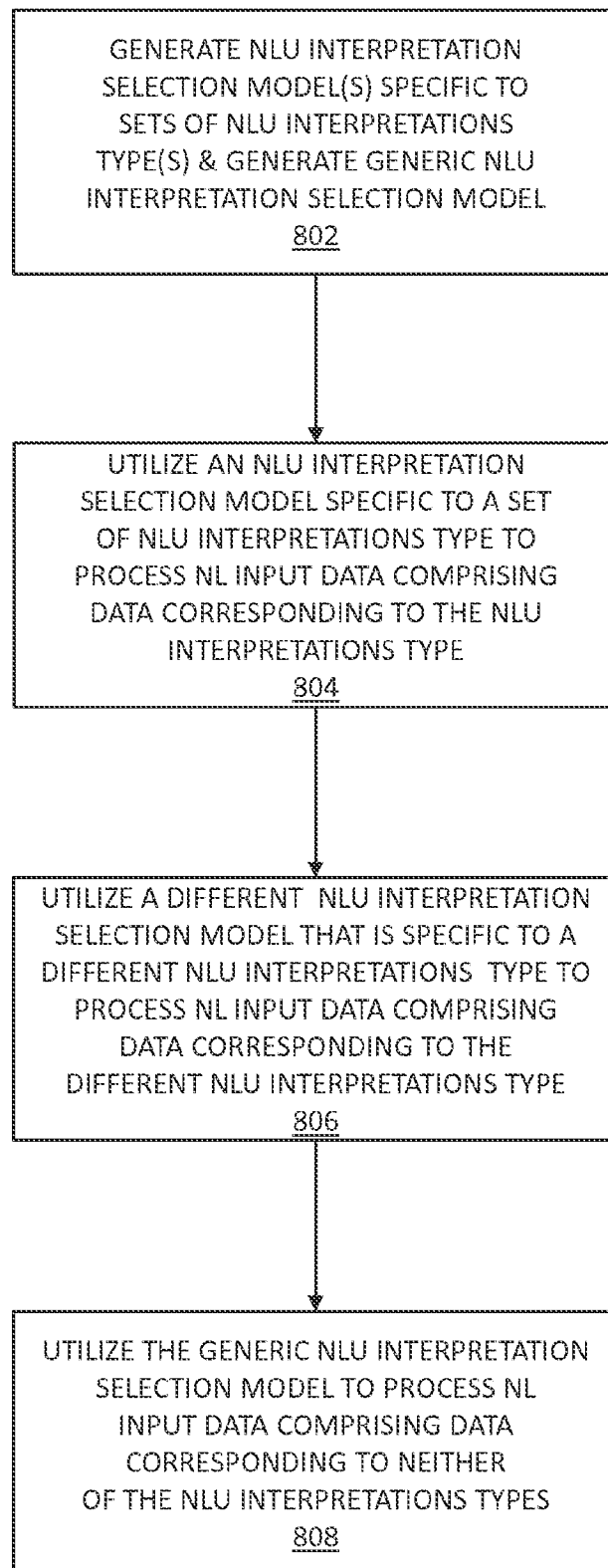


FIG. 8

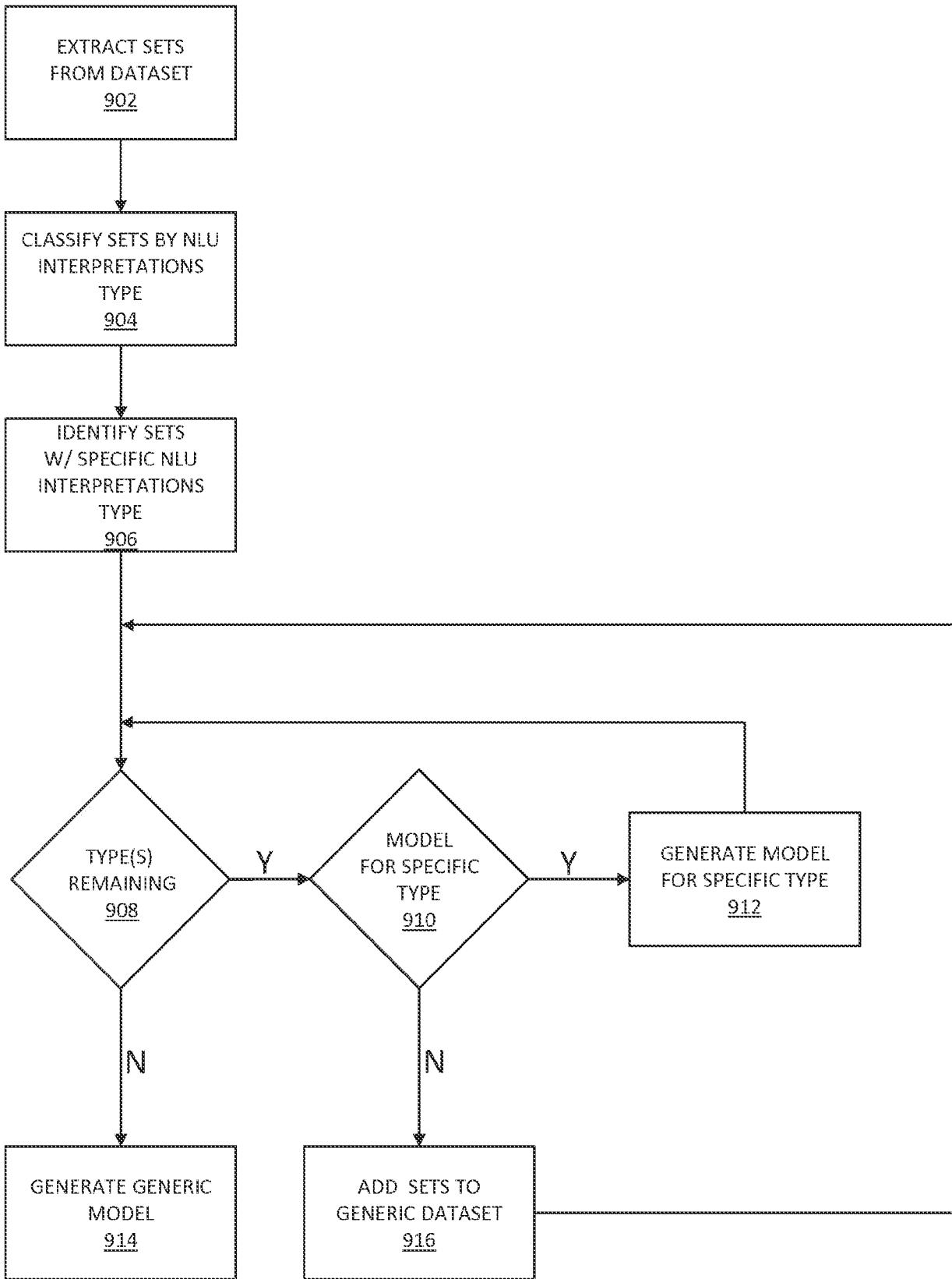


FIG. 9

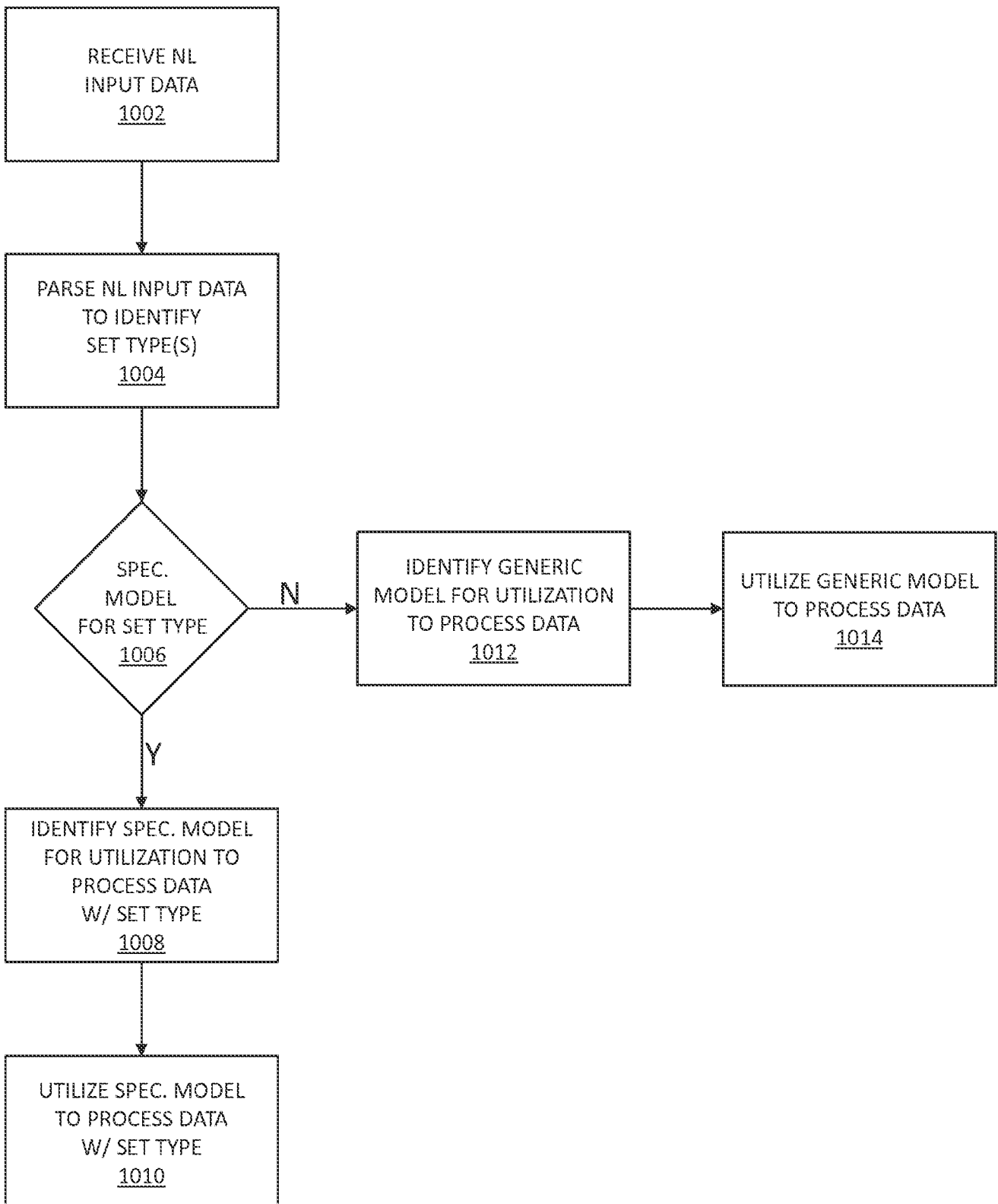


FIG. 10

INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2015/037318

A. CLASSIFICATION OF SUBJECT MATTER  
INV. G06F17/27  
ADD.  
  
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
Minimum documentation searched (classification system followed by classification symbols)  
G06F G10L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO-Internal, COMPENDEX, INSPEC, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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X	US 6 963 831 B1 (EPSTEIN MARK E [US]) 8 November 2005 (2005-11-08) column 3, line 14 - line 47 column 7, line 61 - line 38 column 9, line 18 - line 27 column 9, line 60 - column 11, line 42 column 12, line 8 - line 37 ----- -/--	1-20

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

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Date of the actual completion of the international search  
  
7 October 2015

Date of mailing of the international search report  
  
19/10/2015

Name and mailing address of the ISA/  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040,  
Fax: (+31-70) 340-3016

Authorized officer  
  
Deltorn, Jean-Marc

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2015/037318

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
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