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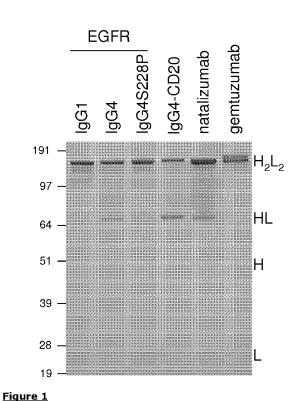
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[Continued on next page]

(54) Title: METHODS FOR ASSISSING THE RISK OF ADVERSE EVENTS UPON TREATMENT WITH IGG4 ANTIBODIES



(57) Abstract: The invention relates to methods and kits for assessing the risk, for an individual, of developing an adverse event upon treatment with a therapeutic antibody which is capable of Fab-arm exchange, said method comprising the steps of: a) providing a sample from an individual who is a candidate for treatment with said therapeutic antibody, b) assaying said sample for the presence of circulating IgG4 antibodies that binds an antigen known or suspected to be associated with a causative agent of said adverse event, and c) assessing, on the basis of the outcome of the assay of step b), the risk that the individual will develop said adverse event upon treatment with the therapeutic antibody, wherein the risk of development of said adverse event increases with increased level of said circulating IgG4 antibodies.

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# METHODS FOR ASSESSING THE RISK OF ADVERSE EVENTS UPON TREATMENT WITH IGG4 ANTIBODIES

#### FIELD OF THE INVENTION

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The present invention relates to methods and kits for assessing the risk of developing adverse events upon treatment with a therapeutic antibody which is capable of Fab-arm exchange, in particular therapeutic antibodies of the IgG4 isotype.

#### **BACKGROUND OF THE INVENTION**

For the design of antibody-based therapeutics the choice of the antibody backbone has largely been governed by the distinct structural and functional properties of the individual immunoglobulin (sub)classes. IgG4 antibodies differ functionally from the other IgG subclasses in their anti-inflammatory activity, making them the preferred subclass for applications where recruitment of immune effector functions is unnecessary (e.g. if only targeted delivery of therapeutic conjugates is required) or even undesired (e.g. if only receptor blocking without cell depletion is desired).

IgG4 antibodies are capable of exchanging Fab arms by swapping a heavy chain and attached light chain (half molecule) with a heavy-light chain pair from another molecule, resulting in bispecific antibodies (1-4). This process, termed "Fab-arm exchange" herein, has been shown to occur under reducing conditions in vitro and in vivo in mice (4). The ability of IgG4 antibodies to undergo Fab-arm exchange has been accredited to the instable core-hinge sequence in combination with sequence determinants in the IgG4 CH3 domain (4). Replacement of core-hinge residue Ser228 by Pro (S228P) results in a partial stabilization of an IgG4 molecule in vitro and in vivo (1-4).

Natalizumab (Tysabri®), directed to the  $\alpha 4$  subunit of  $\alpha 4\beta 1$  (VLA-4) and  $\alpha 4\beta 7$  integrins, and gemtuzumab (Mylotarg®), specific for CD33, are two humanized IgG4 antibodies currently approved for human use. Natalizumab is effective in the treatment of multiple sclerosis (MS) and gemtuzumab, conjugated to a cytotoxic calicheamicin derivative, is used to treat Acute Myeloid Leukemia (AML). Development of another humanized IgG4-based therapeutic, TGN1412 (CD28-specific), was discontinued after causing unforeseen adverse events in healthy individuals. Natalizumab has also been associated with adverse events, in particular progressive multifocal leuko-encephalopathy, a central nervous system (CNS) infection with the JC polyoma virus.

Thus, while antibody-based therapy has significantly improved treatment and prognosis of a number of diseases, including chronic diseases, safety remains an important concern. There is therefore a need for improved methods of determining the risk of adverse events in connection with antibody-based treatment.

#### 5 **SUMMARY OF THE INVENTION**

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It has now been found that in human patients undergoing therapy with an IgG4 antibody, there is Fab-arm exchange between the administered therapeutic antibody and endogenous circulating IgG4 antibodies of the patient. This results in the formation of a significant population of bispecific antibodies in the blood of the patient, consisting of bispecific antibodies which have a first specificity corresponding to the specificity of the administered therapeutic antibody and a second specificity, differing form the first specificity, directed against a different antigen.

If said second specificity is directed to an antigen which can mediate an adverse event, for example a viral antigen which can mediate a viral infection, then the formed bispecific antibody can potentially function as an undesired targeting vehicle which could target the antigen towards susceptible cells or tissues. For example, a bispecific antibody having a first specificity for a molecule found on a tissue which is susceptible to a viral infection, and a second specificity for the virus, could, upon contact with the virus, efficiently target the virus to the susceptible tissue, potentially resulting in a much higher rate of infection than if such a bispecific antibody was not present in the blood circulation of the patient. Seropositive individuals who have antibodies, specifically IgG4 antibodies, that binds an antigen that can mediate an adverse event, e.g. a virus, can obtain such bispecific antibodies upon treatment with the therapeutic antibody and therefore, such individuals are more at risk of developing the adverse event, e.g. the viral infection, than seronegative individuals, who cannot generate such bispecific antibodies upon treatment with the therapeutic antibody.

Accordingly, individuals who are seropositive for an antigen that can mediate an adverse event are more at risk of developing the adverse event upon treatment with a therapeutic IgG4 antibody than individuals who are seronegative. The determination of whether the individual is seropositive or seronegative for the antigen is therefore indicative of the risk of developing the adverse event upon treatment with an IgG4 antibody, or other antibody capable of undergoing Fab-arm exchange.

Thus, in a first aspect, it is an object of the present invention to provide a method of assessing the risk, for an individual, of developing an adverse event upon treatment

with a therapeutic antibody which is capable of Fab-arm exchange, said method comprising the steps of:

a) providing a sample from an individual who is a candidate for treatment with said therapeutic antibody,

b) assaying said sample for the presence of circulating IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of said adverse event, and

c) assessing, on the basis of the outcome of the assay of step b), the risk that the individual will develop said adverse event upon treatment with the therapeutic antibody, wherein the risk of development of said adverse event increases with increased level of said circulating IgG4 antibodies.

In a particular embodiment, the individual is a candidate for treatment with a therapeutic IgG4 antibody that binds VLA4, e.g. natalizumab, and the sample of said individual is tested for the presence of IgG4 antibodies that bind the JC virus in order to assess the risk that the individual will develop progressive multifocal leukoencephalopathy upon treatment with the anti-VLA4 antibody.

In a further main aspect, the invention relates to a method of assessing, for an individual who has been treated with a therapeutic antibody which is capable of Fab-arm exchange, the risk of developing an adverse event, said method comprising the steps of:

- a) providing a sample from said individual,
- b) assaying said sample for
  - the presence of circulating IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of said adverse event,

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- the presence of bispecific antibodies having a first specificity corresponding to the specificity of the therapeutic antibody and a second specificity directed against an antigen known or suspected to be associated with a causative agent of said adverse event, and
- c) assessing the risk of development an adverse event on the basis of the outcome of the assay of step b), wherein the presence of said bispecific antibodies indicates an increased risk of development of an adverse event.

In an even further aspect, the invention relates to a kit comprising

- a) one or more of the materials required for performing the method of the invention, and
- 35 b) instructions describing or referring to the method of the invention.

In a yet further aspect, the invention relates to a kit comprising:

- a) an anti-human IgG4 antibody, and
- b) an antigen known or suspected to be associated with a causative agent of an adverse event, preferably an antigen of an infectious agent, more preferably a virus particle or an antigen of a virus, such as a JC virus particle or an antigen of a JC virus.

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#### **BRIEF DESCRIPTION OF THE DRAWINGS**

**Figure 1** Loss of half-molecules under non-reducing conditions in core-hinge stabilized IgG4 antibody therapeutics. (Therapeutic) IgG4 and control molecules were analyzed on a non-reducing SDS-polyacrylamide gel. The molecular sizes of intact antibodies (H2L2), half-molecules (HL), heavy-chains (H) and light-chains (L) are indicated.

**Figure 2** Core-hinge stabilization protects IgG4 antibody therapeutics from Fabarm exchange *in vitro*. (**a-h**) Mixtures of IgG4-CD20/IgG4-EGFR (**a,e**), IgG4-CD20/IgG4S228P-EGFR (**b,f**), IgG4-CD20/natalizumab (**c,g**) and IgG4-CD20/gemtuzumab (**d,h**) were incubated for 24 hours in the absence (**a-d**) or presence (**e-h**) of 0.5 mM GSH. Antibody mixtures were subsequently deglycosylated with peptide *N*-glycosidase F, and analyzed by ESI-TOF mass spectrometry. Deconvoluted ESI-TOF spectra are shown. (**i-I**) Additionally, bispecificity was directly visualized by ELISA, for the mixtures of IgG4-CD20/IgG4-EGFR (**i**) and IgG4-CD20/ IgG4S228P-EGFR (**j**), and flow cytometry, for the mixtures of IgG4-CD20/natalizumab (**k**) and IgG4-CD20/gemtuzumab (**I**). Antibody mixtures incubated in the absence (open black symbols) or presence of 0.5 mM GSH (closed black symbols) or 5 mM GSH (closed gray symbols) are indicated. Binding of gemtuzumab alone was used as control for CD33 expression (crosses).

**Figure 3** Core-hinge stabilization protects IgG4 antibody therapeutics from Fabarm exchange *in vivo*. Groups (n=4) of SCID mice were injected with antibody mixtures (300 μg of each) of IgG4-CD20/IgG4-EGFR (closed circles), IgG4-CD20/IgG1-EGFR, IgG4-CD20/IgG4S228P-EGFR, IgG4-CD20/natalizumab (closed squares) and IgG4-CD20/gemtuzumab. The generation of bispecific antibodies was followed over time and quantified by ELISA and flow cytometry (see legend **Figure 2**). Bispecific antibodies were quantified using an *in vitro* exchanged antibody mixture as reference. Data points represent mean ± SEM values of four mice, measured at least twice in separate experiments. No bispecific antibodies could be detected in the IgG4-CD20/IgG1-EGFR, IgG4-CD20/IgG4S228P-EGFR and IgG4-CD20/gemtuzumab mixtures. The detection limit of the assays is indicated (dotted line) and represents serum levels of 2000 ng/ml.

**Figure 4** Patient information.

**Figure 5** Natalizumab exchanges Fab arms with patients' IgG4 during treatment (a) MS patients received three monthly doses of 300 mg natalizumab (black arrows). Plasma samples (grey arrows) were drawn before (T0) and after treatment (T2-T6; see also Figure 4) for analysis. Bispecific antibodies were measured in the absence (b) or presence (c) of an excess of exogenous natalizumab. Statistical significance was determined by paired Student's t-test (\*\*\* p < 0.001).

**Figure 6** Detection of Fab-arm exchanged natalizumab containing lambda lightchains. Mixtures of natalizumab/IgG4-637 (closed squares) and natalizumab/pooled human immunoglobulin (containing ~3% IgG4; Sanquin) (closed circles) were incubated for 24 hours in the presence of 0.5 mM GSH. Fab-arm exchanged natalizumab was measured by flow cytometry by using PE-conjugated anti-human lambda light-chain for detection. Natalizumab only (open squares) was included as control. Representative results are shown.

**Figure 7** Analysis of patient plasma by size-exclusion chromatography. (a,b) Plasma samples from two representative natalizumab-treated MS patients was fractionated by size-exclusion chromatography (solid line). Bispecific antibodies were subsequently measured in individual fractions by flow cytometry (solid circles). Additionally, IgG4 levels were quantified in the individual fractions by ELISA (crosses). The analysis showed that bispecific IgG4 eluted within the monomeric IgG fractions.

#### DETAILED DESCRIPTION OF THE INVENTION

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The term "immunoglobulin" refers to a class of structurally related glycoproteins consisting of two pairs of polypeptide chains, one pair of light (L) low molecular weight chains and one pair of heavy (H) chains, all four inter-connected by disulfide bonds. The structure of immunoglobulins has been well characterized. See for instance Fundamental Immunology Ch. 7 (Paul, W., ed., 2nd ed. Raven Press, N.Y. (1989)). Briefly, each heavy chain typically is comprised of a heavy chain variable region (abbreviated herein as  $V_H$  or VH) and a heavy chain constant region. The heavy chain constant region typically is comprised of a light chain variable region (abbreviated herein as  $V_L$  or VL) and a light chain constant region. The light chain constant region typically is comprised of one domain,  $C_L$ . The  $V_H$  and  $V_L$  regions may be further subdivided into regions of hypervariability (or hypervariable regions which may be hypervariable in sequence and/or form of structurally defined loops), also termed complementarity determining regions (CDRs), interspersed with regions that are more conserved, termed framework regions (FRs). Each  $V_H$  and  $V_L$  is typically composed of three CDRs and four FRs, arranged from amino-

terminus to carboxy-terminus in the following order: FR1, CDR1, FR2, CDR2, FR3, CDR3, FR4 (see also Chothia and Lesk J. Mol. Biol.  $\underline{196}$ , 901-917 (1987)). Typically, the numbering of amino acid residues in this region is performed by the method described in Kabat et al., Sequences of Proteins of Immunological Interest, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991) (phrases such as variable domain residue numbering as in Kabat or according to Kabat herein refer to this numbering system for heavy chain variable domains or light chain variable domains). Using this numbering system, the actual linear amino acid sequence of a peptide may contain fewer or additional amino acids corresponding to a shortening of, or insertion into, a FR or CDR of the variable domain. For example, a heavy chain variable domain may include a single amino acid insert (residue 52a according to Kabat) after residue 52 of  $V_H$  CDR2 and inserted residues (for instance residues 82a, 82b, and 82c, etc. according to Kabat) after heavy chain FR residue 82. The Kabat numbering of residues may be determined for a given antibody by alignment at regions of homology of the sequence of the antibody with a "standard" Kabat numbered sequence.

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The term "antibody" (Ab) in the context of the present invention refers to an immunoglobulin molecule, a fragment of an immunoglobulin molecule, or a derivative of either thereof, which has the ability to specifically bind to an antigen under typical physiological conditions with a half life of significant periods of time, such as at least about 30 minutes, at least about 45 minutes, at least about one hour, at least about two hours, at least about four hours, at least about 8 hours, at least about 12 hours, about 24 hours or more, about 48 hours or more, about 3, 4, 5, 6, 7 or more days, etc., or any other relevant functionally-defined period (such as a time sufficient to induce, promote, enhance, and/or modulate a physiological response associated with antibody binding to the antigen and/or time sufficient for the antibody to recruit an Fc-mediated effector activity). The variable regions of the heavy and light chains of the immunoglobulin molecule contain a binding domain that interacts with an antigen. The constant regions of the antibodies (Abs) may mediate the binding of the immunoglobulin to host tissues or factors, including various cells of the immune system (such as effector cells) and components of the complement system such as C1q, the first component in the classical pathway of complement activation. An antibody may also be a bispecific antibody, diabody, or similar molecule (see for instance PNAS USA 90(14), 6444-8 (1993) for a description of diabodies).

As indicated above, the term antibody herein, unless otherwise stated or clearly contradicted by context, includes fragments of an antibody that retain the ability to specifically bind to the antigen. It has been shown that the antigen-binding function of

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an antibody may be performed by fragments of a full-length antibody. Examples of binding fragments encompassed within the term "antibody" include (i) a Fab' or Fab fragment, a monovalent fragment consisting of the  $V_L,\ V_H,\ C_L$  and  $C_H1$  domains, or a monovalent antibody as described in WO2007059782 (Genmab); (ii) F(ab')<sub>2</sub> fragments, bivalent fragments comprising two Fab fragments linked by a disulfide bridge at the hinge region; (iii) a Fd fragment consisting essentially of the V<sub>H</sub> and C<sub>H</sub>1 domains; (iv) a Fv fragment consisting essentially of the  $V_L$  and  $V_H$  domains of a single arm of an antibody, (v) a dAb fragment (Ward et al., Nature 341, 544-546 (1989)), which consists essentially of a V<sub>H</sub> domain and also called domain antibodies (Holt et al; Trends Biotechnol. 2003 Nov; 21(11):484-90); (vi) camelid or nanobodies (Revets et al; Expert Opin Biol Ther. 2005 Jan; 5(1):111-24) and (vii) an isolated complementarity determining region (CDR). Furthermore, although the two domains of the Fv fragment,  $V_L$  and  $V_H$ , are coded for by separate genes, they may be joined, using recombinant methods, by a synthetic linker that enables them to be made as a single protein chain in which the  $V_L$  and  $V_H$  regions pair to form monovalent molecules (known as single chain antibodies or single chain Fv (scFv), see for instance Bird et al., Science 242, 423-426 (1988) and Huston et al., PNAS USA <u>85</u>, 5879-5883 (1988)). Such single chain antibodies are encompassed within the term antibody unless otherwise noted or clearly indicated by context. Although such fragments are generally included within the meaning of antibody, they collectively and each independently are unique features of the present invention, exhibiting different biological properties and utility. These and other useful antibody fragments in the context of the present invention are discussed further herein. It also should be understood that the term antibody, unless specified otherwise, also includes polyclonal antibodies, monoclonal antibodies (mAbs), antibody-like polypeptides, such as chimeric antibodies and humanized antibodies, and antibody fragments retaining the ability to specifically bind to the antigen (antigen-binding fragments) provided by any known technique, such as enzymatic cleavage, peptide synthesis, and recombinant techniques.

As used herein, "isotype" refers to the immunoglobulin class (for instance IgG1, IgG2, IgG3, IgG4, IgD, IgA, IgE, or IgM) that is encoded by heavy chain constant region genes.

As used herein, the term "binding" in the context of the binding of an antibody to a predetermined antigen typically is a binding with an affinity corresponding to a  $\rm K_D$  of about  $10^{-7}$  M or less, such as about  $10^{-8}$  M or less, such as about  $10^{-9}$  M or less, about  $10^{-10}$  M or less, or about  $10^{-11}$  M or even less when determined by for instance surface plasmon resonance (SPR) technology in a BIAcore 3000 instrument using the antigen as

the ligand and the antibody as the analyte, and binds to the predetermined antigen with an affinity corresponding to a  $K_D$  that is at least ten-fold lower, such as at least 100 fold lower, for instance at least 1,000 fold lower, such as at least 10,000 fold lower, for instance at least 100,000 fold lower than its affinity for binding to a non-specific antigen (e.g., BSA, casein) other than the predetermined antigen or a closely-related antigen. The amount with which the affinity is lower is dependent on the  $K_D$  of the antibody, so that when the  $K_D$  of the antibody is very low (that is, the antibody is highly specific), then the amount with which the affinity for the antigen is lower than the affinity for a non-specific antigen may be at least 10,000 fold.

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The term  $k_d$  (sec<sup>-1</sup>), as used herein, refers to the dissociation rate constant of a particular antibody-antigen interaction. Said value is also referred to as the  $k_{off}$  value.

The term  $k_a$  ( $M^{-1}$  x sec<sup>-1</sup>), as used herein, refers to the association rate constant of a particular antibody-antigen interaction.

The term "  $K_D$ " (M), as used herein, refers to the dissociation equilibrium constant of a particular antibody-antigen interaction.

The term  $K_A''$  ( $M^{-1}$ ), as used herein, refers to the association equilibrium constant of a particular antibody-antigen interaction and is obtained by dividing the  $k_a$  by the  $k_d$ .

The term "human antibody", as used herein, is intended to include antibodies having variable and constant regions derived from human germline immunoglobulin sequences. The human antibodies of the invention may include amino acid residues not encoded by human germline immunoglobulin sequences (e.g., mutations introduced by random or site-specific mutagenesis in vitro or by somatic mutation in vivo). However, the term "human antibody", as used herein, is not intended to include antibodies in which CDR sequences derived from the germline of another mammalian species, such as a mouse, have been grafted onto human framework sequences.

The term "bispecific antibody" is intended to include any antibody, which has two different binding specificities, i.e. the antibody binds two different epitopes, which may be located on the same target antigen or, more typically, on different target antigens.

"Treatment" refers to the administration of an effective amount of a therapeutically active compound with the purpose of easing, ameliorating, arresting or eradicating (curing) symptoms or disease states.

An "effective amount" refers to an amount effective, at dosages and for periods of time necessary, to achieve a desired therapeutic result. A therapeutically effective amount of an antibody may vary according to factors such as the disease state, age, sex, and weight of the individual, and the ability of the antibody to elicit a desired response in the individual. A therapeutically effective amount is also one in which any

toxic or detrimental effects of the antibody or antibody portion are outweighed by the therapeutically beneficial effects.

The term "individual" when used herein refers to a human being.

When used herein the term "therapeutic antibody which is capable of Fab-arm exchange" refer to a therapeutic antibody which is capable of undergoing Fab-arm exchange (half-molecule exchange) in vivo in humans. A typical example of such an antibody is an antibody of the IgG4 isotype. However, alternatively, it may be an antibody of another isotype which has been modified so that it is capable of undergoing Fab-arm exchange. For example, it has been shown that an IgG1 antibody which has been modified in the CH3 region, e.g. an IgG1 antibody in which the CH3 region has been replaced by a CH3 of IgG4, can undergo Fab-arm exchange. The ability to undergo Fab arm exchange can be tested in vivo or in vitro under reducing conditions (4).

#### Methods of the invention

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In a first main aspect, the invention relates to a method of assessing the risk, for an individual, of developing a particular adverse event upon treatment with a therapeutic antibody which is capable of Fab-arm exchange, said method comprising the steps of:

- a) providing a sample from an individual who is a candidate for treatment with said therapeutic antibody,
- b) assaying said sample for the presence of circulating IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of said adverse event, and
- c) assessing, on the basis of the outcome of the assay of step b), the risk that the individual will develop said adverse event upon treatment with the therapeutic antibody, wherein the risk of development of said adverse event increases with increased level of said circulating IgG4 antibodies.

The individual for whom the risk of an adverse event is being assessed in the method of the invention may be any individual who is a candidate for treatment with a particular therapeutic antibody capable of Fab-arm exchange.

In one embodiment, the individual is an immunocompromised individual.

The adverse event for which the risk is assessed may be any adverse, i.e. undesired, event that may occur in connection with the antibody treatment. In one embodiment, the adverse event is an infectious disease, such as a viral, bacterial, fungal

or parasitic disease. For example, the viral disease may be a disease caused by a JC virus, such as progressive multifocal leukoencephalopathy or a disease caused by dengue virus, such as dengue hemorrhagic fever or dengue shock syndrome.

In one embodiment of the method of the invention, the therapeutic antibody which is capable of Fab exchange is an IgG4 antibody, e.g. a humanized, chimeric or human IgG4 antibody.

In one embodiment, the adverse event is an infectious disease and the therapeutic antibody is an antibody that binds a molecule which is present on cells or tissues that are susceptible of being infected by said infectious agent or a molecule which is present on cells that are capable of transporting said infectious agent to a susceptible tissue, i.e. a tissue which upon infection will result in the adverse event.

In one embodiment, the therapeutic antibody binds a molecule selected from the group consisting of: an integrin subunit, such as VLA-4, low density lipoprotein (LDL) receptors,  $\alpha\nu\beta1$ ,  $\alpha\nu\beta3$ ,  $\alpha\mu\beta2$ ,  $\alpha\nu\beta5$ , CAR (coxsackie and adenovirus receptors), CD21, heperan sulfate, Hve A, Hve B, Hve C, TNFSF14, HVEM, Prr1, Prr2, Nectin-1, Nectin-2,  $\beta1$ ,  $\beta2$ Microglobulin/ MHC I,  $\alpha3\beta1$ ,  $\alpha1$ Ib $\beta3$ , sialic acid residues, gangliosides, CD46, moesin, erythrocyte P antigen, alpha 2-6 sialic acid residue, serotonergic receptors (5HT2aR), alpha 2-6 sialic acid residue, CD44, CD155 (PVR), ICAM-1,  $\alpha2\beta1$  (VLA-2),  $\alpha\nu\beta3$ ,  $\alpha5\beta1$ ,  $\alpha\nu\beta3$ ,  $\alpha\nu\beta6$ , decay accelerating factor, EGF receptor,  $\alpha\kappa\beta2$ ,  $\alpha2\beta1$ ,  $\alpha4\beta7$ , CD4, CCR5, CXCR4, galactosylceramide, CCR3, phosphate permease, acetylcholine receptor, phospholipids, NCAM, NGFR, phosphatidyl serine, laminin receptors, HLA H2-K, H2-D, lactate dehydrgenase Ia, CEA, EGFR, CD105, CD33, CD15, FcgRI and FcgRII.

In further embodiments, the adverse event is caused by a virus and the circulating IgG4 antibodies tested for in step b) bind an antigen of said virus, wherein the virus and the molecule bound by the therapeutic antibody are selected from the combinations shown in Table 1.

#### 30 Table 1:

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Adverse event caused by :	Therapeutic antibody binds:
Adenovirus	avβ1, avβ3, aMβ2 or avβ5,
	CAR (coxsackie and adenovirus receptors)
Epstein Bar Virus (EBV)	CD21
Herpes Simplex Virus (HSV)	Heperan sulfate, ανβ3, Hve A, Hve B, Hve C
	TNFSF14, HVEM, Prr1, Prr2, Nectin-1 or Nectin-2
Human Cytomegalovirus	β1, ανβ3, Heperan sulfate or β2Microglobulin/ MHC I
Human Herpes Virus	α3β1 or α2β1

Sin Nombre Virus	aIIbβ3 or avβ3
Prospect Hill Virus	β1
Influenza virus	Sialic acid residues
Sendai virus	Gangliosides
Measles virus	CD46 or Moesin
B19	Erythrocyte P antigen
JC virus	alpha 2-6 sialic acid residue or serotonergic receptors (5HT2aR)
BK human polyomavirus	alpha 2-6 sialic acid residue or gangliosides
Polio virus	CD44 or CD155 (PVR)
Rhinovirus	ICAM-1
Echovirus	a2β1 (VLA-2) or avβ3
Foot-and-mooth disease virus	a5β1 or avβ3
Coxsackievirus	ανβ3, ανβ6, decay accelerating factor or CAR
Vaccinia virus	EGF receptor
Reovirus	β1, sialic acid residues or EGF receptor
Rotavirus	$\alpha x \beta 2$ , $\alpha 2 \beta 1$ , $\alpha v \beta 1$ , $\alpha 4 \beta 7$ , $\alpha v \beta 3$ , gangliosides or sialic acid residues
Human Immunodeficiency	
Virus	CD4, CCR5, CXCR4, galactosylceramide or CCR3
Gross leukemia virus	Phosphate permease
Rabies virus	acetylcholine receptor, gangliosides, phospholipids, NCAM or NGFR
Vesicular stomatitis virus	phosphatidyl serime
Sindbis virus	Laminin receptors
Semliki Forest virus	HLA H2-K, H2-D or lactate dehydrgenase Ia
Adenovirus	CEA, EGFR or CD105
Dengue	CD33, CD15, FcgRI or FcgRII

In one embodiment of the method of the invention, the sample that is provided in step a) is a blood sample, such as a serum sample.

In step b) of the method of the invention, the sample is assayed for the presence of circulating IgG4 antibodies, i.e. IgG4 antibodies circulating in the body of the individual from who that sample was taken, that bind an antigen known or suspected to be associated with a causative agent of said adverse event. The assay can be qualitative (absence/presence) or quantitative detection.

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The assay in step b) detects IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of said adverse event. For example, if the adverse event is an infectious disease, the causative agent is an infectious agent, and the antigen may be an antigen of said infectious agent, for example, a surface-exposed antigen of an infectious agent, e.g. a viral envelope protein or a cell-surface exposed molecule of a bacterial or fungal cell.

The assay performed in step b) may be carried out using any standard method known in the art. For example, the assay may be an ELISA, wherein the antigen, e.g. a viral envelope protein, is coated on a solid support, and detection is performed using an anti-human-IgG4 antibody conjugated to a detectable label. In an alternative set up, the solid support is coated with anti-human-IgG4 antibody and the relevant circulating IgG4 antibodies are being detected using a conjugated antigen, e.g. a conjugated envelope protein.

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In one particular embodiment of the method of the invention, the adverse event is progressive multifocal leukoencephalopathy and the antigen is an antigen of the JC virus. In a further embodiment, the adverse event is progressive multifocal leukoencephalopathy, the antigen is an antigen of the JC virus and the therapeutic antibody is antibody that binds VLA4, such as natalizumab. In further embodiments hereof, the individual is a patient suffering from multiple sclerosis, Crohn's disease or rheumatoid arthritis.

Assays for JC virus have for example been described in: Lundstig, A. and Dillner J. Serological diagnosis of human polyomavirus infection. *Adv Exp Med Biol.* 577:96-101 (2006) and Stolt et al. Seroepidemiology of the human polyomaviruses. *J. Gen. Virol.* 84:1499-1504 (2003)

In another particular embodiment, the adverse event is a disease caused by dengue virus, such as dengue hemorrhagic fever or dengue shock syndrome, and the antigen is an antigen of dengue virus. In a further embodiment hereof, therapeutic antibody is an antibody that binds Fc gamma RI, Fc gamma RII, beta2 microglobulin, CD15 or CD33.

Step c) of the method of the invention comprises an assessment, on the basis of the outcome of the assay of step b), of the risk that the individual will develop the adverse event upon treatment with the therapeutic antibody.

For example, if the individual has circulating IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of an adverse event, e.g. anti-viral IgG4 antibodies, then bispecific antibodies will be generated upon treatment of said individual with the therapeutic antibody capable of Fab-arm exchange. As explained above, said bispecific antibodies may then target viral particles to a target tissue, thus increasing the infectivity of the virus, i.e. increasing the risk that the individual will

develop the viral disease upon contact with the virus. Thus, the presence of circulating anti-viral IgG4 antibodies is indicative of a higher risk of viral disease upon treatment.

In some embodiments, the assessment in step c) may involve a comparison of the result of the assay in step b) with a cut-off value indicative of increased risk for development of the adverse event. For example, in some embodiments, a level of circulating IgG4 antibodies below a certain limit may not be correlated to higher risk and in such cases, an individual might not be considered to be a higher risk of developing the adverse event.

The assessment in step c) may lead to a decision as to whether said individual who is candidate for treatment with the therapeutic antibody should indeed be treated with the antibody or whether alternative medication should be used or additional precautionary measures should be taken to prevent or treat the adverse event.

In embodiments of the method of the invention wherein the adverse event is an infectious disease, it may sometimes be useful, as a further step, also to determine the presence of said infectious agent in a sample from said individual.

In a further main aspect, the invention relates to a method of assessing, for an individual who has been treated with a therapeutic antibody which is capable of Fab-arm exchange, the risk of developing an adverse event, said method comprising the steps of:

- a) providing a sample from said individual,
- b) assaying said sample for the presence of circulating IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of said adverse event,

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- assaying said sample for the presence of bispecific antibodies having a first specificity corresponding to the specificity of the therapeutic antibody and a second specificity directed against an antigen known or suspected to be associated with a causative agent of said adverse event
- c) assessing the risk of development an adverse event on the basis of the outcome of the assay of step b), wherein the presence of said bispecific antibodies indicates an increased risk of development of an adverse event.

This method may comprise one or more of the additional features described above.

In this method, the individual has been treated with said therapeutic antibody, and thus, if the individual had circulating IgG4 antibodies that bind said antigen, the

individual will in his circulation presumably have obtained or obtain bispecific antibodies derived from the therapeutic antibody. Assaying a sample from such an individual for circulating IgG4 antibodies that bind said antigen may still be useful e.g. to assess whether additional precautionary measures should be taken to prevent or treat the adverse event. Instead of assaying the sample for circulating IgG4 antibodies that bind said antigen, it is also possible to assay directly for the presence of bispecific antibodies having a first specificity corresponding to the specificity of the therapeutic antibody and a second specificity directed against an antigen known or suspected to be associated with a causative agent of said adverse event. This may e.g. be done using ELISA assays analogous to those described in Example 3 herein.

#### Kits of the invention

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In a further main aspect, the invention relates to a kit comprising:

- a) one or more of the materials required for performing the method of the invention as described herein, and
- b) instructions describing or referring to the method of the invention.

In one embodiment, said kit comprises an anti-human IgG4 antibody, optionally coated on a solid support or conjugated to a directly or indirectly detectable label.

In another embodiment, said kit comprises the antigen known or suspected to be associated with a causative agent of the adverse event, optionally coated on a solid support or conjugated to a directly or indirectly detectable label.

In a further embodiment, said kit comprises an anti-human IgG4 antibody, optionally coated on a solid support or conjugated to a directly or indirectly detectable label, and the antigen known or suspected to be associated with a causative agent of the adverse event, optionally coated on a solid support or conjugated to a directly or indirectly detectable label.

In a further main aspect, the invention relates to a kit comprising:

- a) an anti-human IgG4 antibody, and
- b) the antigen known or suspected to be associated with a causative agent of the adverse event

In a preferred embodiment, one of a) and b) is conjugated to a directly or indirectly detectable label. In a further preferred embodiment, one of a) and b) is conjugated to a directly or indirectly detectable label, and the other is coated to solid support.

Preferably, the antigen is an antigen of an infectious agent, more preferably a virus particle or an antigen of a virus, such as a JC virus particle or an antigen of a JC

virus. In one embodiment, the kit further comprises instructions describing or referring to the method of the invention as described herein.

Reagents included in the kits of the invention may include, for example, fluorescent tags, enzymatic tags, or other detectable tags. The reagents may also include secondary or tertiary antibodies or reagents for enzymatic reactions, wherein the enzymatic reactions produce a product that may be visualized.

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In kits, an antibody is often provided in a lyophilized form in a container, either alone or in conjunction with additional antibodies specific for a target cell or peptide. Typically, a carrier (e.g., an inert diluent) and/or components thereof, such as a Tris, phosphate, or carbonate buffer, stabilizers, preservatives, biocides, biocides, inert proteins, e.g., serum albumin, or the like, also are included (often in a separate container for mixing) as well as additional reagents (also often in separate container(s)).

In certain kits, a secondary antibody is also included. The second antibody is typically conjugated to a label. In one example, reagent of the kit such as an antigen or antibody, may be added to nitrocellulose, or other solid support which is capable of immobilizing cells, cell particles, or soluble proteins. The support may then be washed with suitable buffers followed by treatment with the detectably labeled antigen or antibody. The solid phase support may then be washed with the buffer a second time to remove unbound antigen or antibody. The amount of bound label on the solid support may then be detected by known method steps.

Linked enzymes that react with an exposed substrate may be used to generate a chemical moiety which may be detected, for example, by spectrophotometric, fluorometric or by visual means, in the context of a antigen/antibody conjugate and/or fusion protein. Enzymes which may be used include malate dehydrogenase, staphylococcal nuclease, delta-5-steroid isomerase, yeast alcohol dehydrogenase, alphaglycerophosphate dehydrogenase, triose phosphate isomerase, horseradish peroxidase, alkaline phosphatase, asparaginase, glucose oxidase, beta-galactosidase, ribonuclease, urease, catalase, glucose-6-phosphate dehydrogenase, glucoamylase, and acetylcholinesterase. It is also possible to label antigen or antibody with a fluorescent compound. When the fluorescent labeled antibody is exposed to light of the proper wave length, its presence may be detected due to fluorescence. Among the most commonly used fluorescent labeling compounds are fluorescein isothiocyanate, rhodamine, phycoerythrin, phycocyanin, allophycocyanin, o-phthaldehyde, and fluorescamine.

The antigen or antibodies included within the kit of the invention, may also be detectably labeled using fluorescence-emitting metals such as <sup>152</sup>Eu, or others of the

lanthanide series. These metals may be attached to an antibody, for example, using such metal chelating groups as diethylenetriaminepentaacetic acid (DTPA) or ethylenediaminetetraacetic acid (EDTA).

Antigen or antibodies included within the kit may also be detectably labeled by coupling to a chemiluminescent compound. The presence of the chemiluminescently labeled antigen or antibody is then determined by detecting the presence of luminescence that arises during the course of a chemical reaction. Examples of particularly useful chemiluminescent labeling compounds are luminol, isoluminol, theromatic acridinium ester, imidazole, acridinium salt, and oxalate ester.

Likewise, a bioluminescent compound may be used to label an antigen or antibody. Bioluminescence is a type of chemiluminescence found in biological systems in which a catalytic protein increases the efficiency of the chemiluminescent reaction. The presence of a bioluminescent protein is determined by detecting the presence of luminescence. Important bioluminescent compounds for purposes of labeling are luciferin, luciferase, and aequorin.

Detection of a labeled peptide or antibody, antibody fragment or derivative may be accomplished by a scintillation counter, for example, if the detectable label is a radioactive gamma emitter, or by a fluorometer, for example, if the label is a fluorescent material. In the case of an enzyme label, the detection may be accomplished by colorimetric methods which employ a substrate for the enzyme. Detection may also be accomplished by visual comparison of the extent of enzymatic reaction of a substrate in comparison with similarly prepared standards.

These methods and kits may be used to screen any suitable material. Examples of materials that may be screened include, for example, blood, serum, lymph, urine, inflammatory exudate, cerebrospinal fluid, amniotic fluid, a tissue extract or homogenate, and the like. However, the present invention is not limited to assays using only these samples, it being possible for one of ordinary skill in the art to determine suitable conditions which allow the use of other samples.

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#### 10 **EXAMPLES**

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#### Example 1 Materials and experimental procedures

#### Patient samples

Plasma samples from MS patients starting natalizumab treatment were drawn under informed consent. Patients received natalizumab (at a dose of 300 mg) by intravenous infusion every 4 weeks. Blood samples were obtained before the start of therapy (T0; n=16) and at different time-points after subsequent infusions (T2-T6; see Figures 4 and 5). Sample drawing was done 4 weeks after the last infusion, just prior to the next infusion.

#### Cell lines

Jurkat (human T-cell leukemia) and HL-60 (human acute myelogenous leukemia) cells were obtained from the American Type Culture Collection (ATCC) and National Institute of Health Science (NIHS), respectively. Both cell lines were cultured in RPMI-1640 medium (Lonza) supplemented with 10% heat-inactivated fetal bovine serum (Hyclone), 50 IU/ml penicillin and 50 µg/ml streptomycin. HEK-293F cells (Invitrogen) were cultured in Freestyle medium (Invitrogen). CHO-K1SV cells (Lonza) were cultured in HAM's F12 (Invitrogen), supplemented with 10% fetal bovine serum (Bodinco).

#### Commercial antibodies

Natalizumab (Tysabri®, humanized IgG4 $\kappa$ ), a monoclonal antibody directed to the a4 subunit of a4 $\beta$ 1 and a4 $\beta$ 7 integrins, and gemtuzumab (Mylotarg®, humanized IgG4 $\kappa$  conjugated to a calicheamicin derivative), a monoclonal antibody against CD33, were obtained from Biogen Idec/Elan Pharmaceuticals and Wyeth Pharmaceuticals, respectively. Pooled human immunoglobulin (Immunoglobulin I.V.; IVIG) was obtained from Sanquin and contained  $\sim$ 3% IgG4 (of total IgG).

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#### Cloning and production of antibodies

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Construction of expression vectors for IgG1-EGFR, IgG4-EGFR, IgG1-CD20 and IgG4-CD20 has been described previously (4). In short, VH and VL coding regions of EGFR-specific HuMab 2F8 and CD20-specific HuMab 7D8, were cloned in expression vector pConG1f (Lonza) for the production of IgG1 heavy chain, and in pConKappa for the production of light chain. This yielded the vectors pConG1f2F8, pConG1f7D8, pConKappa2F8 and pConKappa7D8. For the production of IgG4 heavy chains, the VH regions of pConG1f2F8 and pConG1f7D8 were removed from these vectors by a HindIII/ApaI digestion and inserted into HindIII/ApaI digested pTomG4 vector, resulting in pTomG42F8 and pTomG47D8, respectively. Site directed mutagenesis was used to introduce the S228P (EU numbering) mutation in the hinge of IgG4 using pTomG42F8 as a template. A Quickchange site-directed mutagenesis kit (Stratagene) was used to create the pTomG42F8CPPCNew vector.

All IgG1 and IgG4 antibodies were produced under serum-free conditions (Freestyle medium) by cotransfecting relevant heavy and light chain expression vectors in HEK-293F cells using 293fectin according to the manufacturer's instructions (Invitrogen). The IgG4S228P-EGFR was produced by cotransfecting relevant heavy and light chain expression vectors in CHO-K1SV cells using Lipofectin (Invitrogen) according to the manufacturer's instructions.

The following vectors were co-expressed: 1) pConG1f2F8 and pConKappa2F8 to produce IgG1-EGFR, 2) pTomG42F8 and pConKappa2F8 to produce IgG4-EGFR, 3) pTomG42F8CPPCNew and pConKappa2F8 to produce IgG4S228P-EGFR, and 4) pTomG47D8 and pConKappa7D8 to produce IgG4-CD20.

All IgG1, IgG4 and IgG4S228P antibodies were purified by Protein A affinity chromatography (rProtein A FF, GE Healthcare), dialysed overnight to PBS and filtered-sterilized over  $0.2~\mu\text{M}$  dead-end filters. Concentration of purified IgGs was determined by nephelometry and absorbance at 280 nm. Purified proteins were analyzed by SDS-PAGE (see below), mass spectrometry and glycoanalysis.

#### Cloning and production of IgG4-637

Construction of expression vectors for IgG4-637 has been described previously<sup>1</sup>. In short, VH and VL coding regions of acetylcholine receptor (AChR)-specific Fab 637<sup>2</sup> were cloned in expression vector pIgG1<sup>3</sup> to yield pIgG1-637. The VH and VL coding sequences were subsequently cloned into pTomG4, for the production of IgG4 heavy chain, and pConLam2 (Lonza), for the production of light chain, respectively. This yielded the vectors pTomG4MG and pConLamMG that were co-expressed in CHO-K1SV

cells to produce IgG4-637. Stable clones were selected after selection with 50  $\mu$ M MSX. IgG4-637 was purified and analyzed as described in main text.

#### **ESI-TOF**

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Mixtures of natalizumab or gemtuzumab and IgG4-CD20 (200  $\mu$ g/ml of each) were incubated for 24 hrs in the absence or presence of GSH (see below) and evaluated by electrospray ionization time-of-flight (ESI-TOF) mass spectrometry. Fifty  $\mu$ l samples containing the antibody mixtures were deglycosylated overnight with 1  $\mu$ l H-glycosidase F (Roche Diagnostics). Samples were desalted on an Acquity UPLCTM (Waters) with a BEH C8, 1.7  $\mu$ m, 2.1x50 mm column at 60°C. Five  $\mu$ l was injected and eluted with a gradient from 5% to 95% acetronitril (LC-MS grade; Biosolve) in de-ionized water (Millipore). The gradient contained 0.05% formic acid as organic modifier (Fluka). ESI-TOF mass spectra were recorded on-line on a microTOFTM mass spectrometer (Bruker) operating in the positive ion mode. In each analysis, a 500-5000 m/z scale was internally calibrated with ES tuning mix (Agilent Technologies). Mass spectra were deconvoluted using the Maximum Entropy algorithm, provided in DataAnalysisTM software v3.3 (Bruker).

#### **Trypsin Digestion**

Each antibody sample (1 mg) was denatured in 400µl RapigestTM (Waters, Milford, MA) 0.1% containing 50 mM ammonium bicarbonate (Fluka BioChemika, Buchs, Switzerland) pH 8.0. Subsequently the samples were reduced by adding 3µl dithiotreitol (DTT) 1.0 M and incubated for 30 min at 60°C. The denatured and reduced samples were alkylated with a 7 µl aliquot of iodoacetamide (IAA) 1.0M (Sigma- Aldrich, Saint Louis, MO) and incubated for 45 min at room temperature in the dark. In order to terminate the alkylation reaction, 3µl DTT 1.0M was added. The digestion was performed overnight using trypsin (Promega, Madison, WI) at an enzyme/protein ratio of 1:50 (w/w). The digestion was derminated by adding trifluoroacetic acid (TFA)( Fluka BioChemika, Buchs, Switzerland) to a concentration of approximately 0.5% v/v. The samples were incubated for 45 minutes at 37°C. Subsequently, the acid treated samples were centrifuged at 13,000 rpm for 10 min and the supernatant was carefully transferred to the UPLC vial.

#### **UPLC Separation of Tryptic Peptides**

The tryptic peptides were separated on a Aquity UPLC  $2.1 \times 150$  mm BEH C18 column, particle size  $1.7\mu m$  (Waters, Milford, MA) using a linear gradient from 4 to 37% B over 116 min. Solvent A was 0.05% formic acid (FA) in water, and solvent B was 0.05% FA in 100% Acetonitrile (Biosolve, Valkenswaard, The Netherlands). Before sample injection, the UPLC column was equilibrated with 4% solvent B. The column

temperature was maintained at  $60^{\circ}$ C. The flow rate was 0.3 ml/min, and a total of  $16\mu g$  of antibody digest was injected onto the column for analysis.

### Mass Spectrometry Analysis of Tryptic Peptides

The UPLC was directly coupled to a Bruker MicrOTOF (Bruker Daltonics, Bremen, Germany) equipped with an electrospray ionization source. Prior to analysis a 600-2700 m/z scale was calibrated with ES Tuning Mix (Agilent Technologies, Santa Clara, CA) in the positive ion mode. The spray source was set at 5000V.

#### **SDS-PAGE**

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All antibodies were analysed on SDS-PAGE (4-12% Bis-Tris; Invitrogen) under non-reducing conditions at neutral pH according to the manufacturer's instructions. The gels were stained with Coomasie (Invitrogen) and digitally imaged using the GeneGenius (Synoptics).

#### GSH-mediated Fab arm exchange in vitro

As described previously (4), combinations of antibodies were mixed and incubated with reduced glutathione (GSH; Sigma) at a final concentration of 50  $\mu$ g/ml per antibody. The final concentration of GSH was 0.5 mM. The mixtures were incubated at 37°C for 24 hours and samples were drawn in PBS-TB (PBS/0.05% Tween-20/1% BSA), in which (bi)specific IgG concentrations were measured.

### Fab arm exchange in vivo

Female SCID mice (6-8 week old) were obtained from Charles River Laboratories (Maastricht, The Netherlands) and housed in a barrier unit of the Central Laboratory Animal Facility (Utrecht, The Netherlands). The mice were kept in filter-top cages with water and food provided ad libitum. All experiments were approved by the Utrecht University animal ethics committee.

Mixtures of antibodies (300  $\mu$ g each per mouse) were administered to mice (n=4) and blood samples were drawn from the saphenal vein at 3 hrs, 24 hours, 48 hours and 72 hours after administration. Blood was collected in heparin-containing vials, which were kept on ice, and centrifuged (5 minutes at 10,000 g) to separate the plasma from cells. Plasma was transferred to a new vial and stored at -20°C for determination of bispecific antibody levels.

#### Binding assay for the detection of CD20/EGFR bispecific antibodies

The presence of CD20/EGFR bispecific antibodies was determined using a sandwich ELISA as described previously (4). In short, ELISA plates (Greiner bio-one) were coated overnight with 2  $\mu$ g/ml of recombinant EGFR (extracellular domain) in PBS at 4°C. The plates were washed and incubated with serial diluted plasma samples (in PBS-TB) for 90 minutes at room temperature (RT) under shaking conditions (300 rpm).

Next, the plates were washed and incubated with 2  $\mu$ g/ml of mouse anti-idiotype monoclonal antibody 2F2 SAB1.1 (directed against HumAb-CD20; Genmab) diluted in PBS-TB for 75 minutes at RT. Bound bispecific antibodies were detected with HRP-labeled goat-anti-mouse IgG (Jackson ImmunoResearch) and ABTS substrate (Roche Diagnostics). The color development reaction was stopped by addition of an equal volume of oxalic acid (Riedel de Haen) and absorbance was measured at 405 nm. Bispecific antibodies in plasma samples were quantified by non-linear regression curve-fitting (GraphPad) using an in vitro exchanged antibody mixture as reference (with the assumption that the maximal expected concentration of bispecific IgG4 was 50% of total IgG4 concentration).

### Binding assay for the detection of Fab arm exchanged natalizumab and gemtuzumab

To determine the presence of natalizumab or gemtuzumab half-molecules as part of bispecific antibodies, samples were serial diluted in FACS buffer (PBS/1% BSA/0.05% (w/v) NaN3) and incubated with Jurkat cells (VLA-4+) or HL-60 cells (CD33+) for 30 minutes at 4°C. To detect CD20/VLA-4 or CD20/CD33 bispecific antibodies, cells were washed with ice-cold FACS buffer and incubated with 2  $\mu$ g/ml of mouse anti-idiotype monoclonal antibody 2F2 SAB1.1 diluted in FACS buffer for 30 minutes at 4°C. Bound bispecific antibodies were detected using phycoerythrin (PE)-conjugated goat-antimouse IgG (Jackson ImmunoResearch). Bispecific antibodies in plasma samples were quantified by non-linear regression curve-fitting (GraphPad) using an in vitro exchanged antibody mixture as reference (with the assumption that the maximal expected concentration of bispecific IgG4 was 50% of total IgG4 concentration).

Alternatively, to detect Fab-arm exchanged natalizumab in patient samples, bound bispecific antibodies were visualized using PE-conjugated anti-human lambda light chain (Southern Biotech). Samples were analyzed by flow-cytometry on a FACSCaliber (BD Biosciences).

#### Statistical analysis

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Data analysis was performed using GraphPad Prism for Windows, version 4.03 (GraphPad). Data sets were compared by using two-tailed paired Student t tests. Statistical significance was accepted when P < 0.05.

#### Size-exclusion chromatography

Samples (600  $\mu$ I) were heat-inactivated (30 minutes 56°C) and applied to a Superdex 200 XK 26/60 column (Amersham Biosciences), which was connected to a FPLC system (Amersham Biosciences). The column was first equilibrated in PBS followed by calibration with pooled human immunoglobulin (Sanquin) to determine the retention volumes of monomeric, dimeric and aggregated IgG. Fractions of 1 ml were collected

and bispecific antibodies and IgG4 concentrations were determined by flow cytometry (see main text) and ELISA (see below), respectively.

#### Quantitative IgG4 ELISA

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IgG4 antibody concentrations in (fractionated) plasma samples were determined by sandwich ELISA. In short, ELISA plates were coated overnight with 1  $\mu$ g/ml of mouse anti-human IgG4 (MH164-4; Sanquin) in PBS at 4°C. The plates were washed and incubated with diluted plasma samples (in PBS-TB) for 60 minutes at room temperature (RT) under shaking conditions (300 rpm). Bound antibodies were detected by HRP-labelled mouse anti-human IgG4 (MH164-4) and ABTS substrate (Roche Diagnostics). The color development reaction was stopped by addition of an equal volume of oxalic acid (Riedel de Haen) and absorbance was measured at 405 nm. IgG4 was quantified by non-linear regression curve-fitting (GraphPad) using purified human IgG4 (The Binding Site) as reference.

#### 15 Example 2 Analysis of natalizumab and gemtuzumab

To determine the type of core-hinge samples were of natalizumab and gemtuzumab were analyzed by non-reducing SDS-PAGE and compared to a matched set (IgG1, IgG4 and IgG4S228P) of a human monoclonal antibody (HuMab) directed against the epidermal growth factor receptor (EGFR), HuMab 2F8, and a human IgG4 directed against CD20, HumAb 7D8. Whereas the IgG1 showed intact antibodies under nonreducing conditions, the IgG4 molecules revealed substantial amounts of half-molecules in addition to intact antibodies (Fig. 1). The S228P mutation (IgG4S228P) stabilized the IgG4 molecule as demonstrated by the loss of half-molecules. Analysis of natalizumab revealed the presence of half-molecules indicative of a wild-type IgG4 core-hinge. Gemtuzumab, however, showed no half-molecules, indicating a stabilized core-hinge, and additionally displayed two intact antibody bands, most likely representing the calicheamicin-conjugated and the naked antibody molecules (which are formulated as a 1:1 mixture as described in the Mylotarg® product information sheet). To confirm the hinge region amino acid sequences for natalizumab and gemtuzumab, samples were digested with CNBr and trypsin, reduced with DTT and analysed using on-line LC/ES-MS. A tryptic peptide with a mass of 944.08 Da was detected for natalizumab, corresponding to the theoretic mass ([M+3H]3+= 943.8 Da) of the wild-type IgG4 peptide 219-YGPPCPSCPAPEFLGGPSVFLFPPKPK-248 (SEQ ID NO:1). For gemtuzumab a tryptic peptide of 947.42 Da was detected, corresponding to the theoretic mass ([M+3H]3+= 947.1 Da) of the hinge-stabilized IgG4 peptide 219-YGPPCPAPEFLGGPSVFLFPPKPK-

248 (SEQ ID NO:2). The sequences of both peptides were confirmed by MS/MS analysis (data not shown).

#### Example 3 Fab-arm exchange in vitro

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To study the effect of core-hinge stabilization alone on the exchange of Fab-arms in vitro, IgG4-EGFR, IgG4S228P-EGFR, natalizumab or gemtuzumab were mixed with IgG4-CD20 in equal amounts and incubated for 24 hrs at 37°C in the presence or absence of 0.5 mM reduced glutathione (GSH). After deglycosylation of the mixtures, the resulting antibodies were analysed using electrospray ionization time-of-flight (ESI-TOF) mass-spectrometry. The molecular masses (of the main species without terminal lysines) of IgG4-CD20 (145.52 kDa), IgG4-EGFR (145.91 kDa), IgG4S228P-EGFR (145.93 kDa), natalizumab (145.93 kDa) and gemtuzumab (144.98 kDa) remained unchanged in the absence of GSH (Fig. 2a-d). In the presence of GSH, peaks with intermediate masses (145.71 kDa and 145.72 kDa) appeared in the mixture containing IgG4-EGFR and natalizumab, respectively, corresponding to the expected masses of CD20/EGFR and CD20/a4 integrin bispecific antibodies (Fig. 2e and 2g). No novel peaks appeared in the presence of GSH in the mixtures containing gemtuzumab or IgG4S228P-EGFR (Fig. 2f and 2h), suggesting that IgG4 core-hinge stabilization prevents Fab-arm exchange in vitro. Additionally, to directly demonstrate the presence of Fab-arm exchanged antibodies, bispecificity was evaluated using binding assay in which mixed bivalent antibody molecules were detected by capture on recombinant EGFR immobilized on ELISA plates, cell-surface expressed VLA-4 (α4β1 integrin) or CD33 and detection with an anti-idiotype antibody recognizing IgG4-CD20 (Fig. 2i-l). In agreement with the mass-spectrometry results, bispecific antibodies could only be detected in the wild-type IgG4 control mixture and the mixture containing natalizumab. As described previously, in vitro Fab-arm exchange required the presence of 0.5 mM GSH (4). Increasing the GSH concentration to 5 mM was able to bypass the disulfide bonds in the stabilizedhinge (Fig. 2j and 2l), but only at the expense of antibody integrity (data not shown). The occurrence of Fab arm exchange by natalizumab is consistent with its wild-type core hinge sequence, and in addition also indicates that no other IgG4 stabilizing mutations are contained in this therapeutic antibody.

#### Example 4 Fab-arm exchange in vivo

To study Fab-arm exchange in vivo, we injected equal mixtures of IgG4-CD20 with IgG1-EGFR, IgG4-EGFR, IgG4S228P-EGFR, natalizumab or gemtuzumab into immunodeficient mice. Blood samples were drawn at different time-points and bispecific

antibodies were quantified using in vitro exchanged mixtures (IgG4-EGFR/IgG4-CD20 or natalizumab/IgG4-CD20) as reference standards (Fig. 3). Bispecific antibodies appeared in the blood of mice injected with mixtures containing wild-type IgG4 molecules (IgG4-EGFR and natalizumab), but not hinge-stabilized IgG4 (IgG4S228P-EGFR and gemtuzumab) or IgG1 molecules (IgG1-EGFR). Thus, core-hinge stabilization prevented IgG4 Fab-arm exchange in vivo, although we can not rule out that low-level exchange below the level of detection (<0.5% in 72 hrs) of hinge-stabilized IgG4 does occur.

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Fab-arm exchange was further studied in a therapeutic setting by investigating the dynamics of bispecific antibody formation in humans. For this, blood samples from MS patients (Fig. 4) starting natalizumab treatment (300 mg every 4 weeks) were drawn before the first infusion and at time points after subsequent infusions (Fig. 5a). To detect Fab-arm exchange of natalizumab with the heterogeneous, polyclonal IgG4 pool in these patients, we exploited the characteristic that part of human plasma IgG4 is paired with a lambda light-chain, whereas natalizumab contains a kappa light-chain. Thus using a lambda light-chain-specific detecting reagent, binding of Fab-arm exchanged natalizumab to VLA-4 on Jurkat cells was evaluated (Fig. 6). The presence of Fab-arm exchanged natalizumab could easily be demonstrated in 15 out of 16 patients, however, differences in kinetics are observed (Fig. 5b and Fig. 7). Two patients, who tested positive after 5 infusions (T5), were still negative in an earlier sample after 2 infusions (T2). For the one remaining patient, that was also negative after 2 infusions, no followup sample was available. The observed reactivity in all samples could be blocked by addition of an excess of exogenous natalizumab, thus confirming VLA-4 specificity (Fig. 5c). To exclude the possibility that aggregates caused the observed reactivity, two representative plasma samples were separated on size-exclusion chromatography and fractions tested for bispecific reactivity which, indeed, eluted at the expected position for monomeric IgG (**Fig. 7**).

#### **CLAIMS**

1. A method of assessing the risk, for an individual, of developing an adverse event upon treatment with a therapeutic antibody which is capable of Fab-arm exchange, said method comprising the steps of:

- a) providing a sample from an individual who is a candidate for treatment with said therapeutic antibody,
- b) assaying said sample for the presence of circulating IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of said adverse event, and
- c) assessing, on the basis of the outcome of the assay of step b), the risk that the individual will develop said adverse event upon treatment with the therapeutic antibody, wherein the risk of development of said adverse event increases with increased level of said circulating IgG4 antibodies.
- 2. The method of claim 1, wherein the adverse event is an infectious disease and the antigen is an antigen of an infectious agent.
- 3. The method of any one of claims 1 or 2, wherein the therapeutic antibody is an IgG4 antibody.
- 4. The method of any one of claims 2 or 3, wherein the therapeutic antibody is an antibody that binds a molecule which is present on cells or tissues that are susceptible of being infected by said infectious agent or a molecule which is present on cells that are capable of transporting said infectious agent to a susceptible tissue.
- 5. The method of any one of the preceding claims, wherein the therapeutic antibody is an antibody that binds a molecule selected from the group consisting of: an integrin subunit, such as VLA-4, CD4, intercellular adhesion molecule 1 (ICAM-1), Fc gamma RI, Fc gamma RII, beta2 microglobulin, CD15, CD33 and low density lipoprotein (LDL) receptors.
- 6. The method of any of the preceding claims, wherein the adverse event is a viral disease and the antigen is a viral antigen.
- 7. The method of claim 6, wherein the adverse event is progressive multifocal leukoencephalopathy and the antigen is an antigen of the JC virus.

8. The method of any one claims 6 or 7, wherein the therapeutic antibody binds VLA4.

- 9. The method of claim 8, wherein the therapeutic antibody is natalizumab.
- 10. The method of any one of claims 7 to 9, wherein the individual is a patient suffering from multiple sclerosis or Crohn's disease.
- 11. The method of claim 6, wherein the adverse event is a disease caused by dengue virus, such as dengue hemorrhagic fever or dengue shock syndrome, and the antigen is an antigen of dengue virus.
- 12. The method of claim 11, wherein the therapeutic antibody binds Fc gamma RI, Fc gamma RII, beta2 microglobulin, CD15 or CD33.
- 13. The method of any one of the preceding claims, wherein the individual is an immunocompromised individual.
- 14. The method of any one of the preceding claims, wherein the sample is a blood sample, such as a serum sample.
- 15. The method of any of the preceding claims, wherein the assay in step b) comprises a qualitative detection of the presence of said circulating IgG4 antibodies.
- 16. The method of any of the preceding claims, wherein the assay in step b) comprises a quantitative detection of the presence of said circulating IgG4 antibodies.
- 17. The method of claim 16, wherein the assessment in step c) comprises comparison of the result of the assay in step b) with a cut-off value indicative of increased risk for development of the adverse event.
- 18. The method of any of claims 2 to 17, further determining the presence of said infectious agent in a sample from said individual.

19. A method of assessing, for an individual who has been treated with a therapeutic antibody which is capable of Fab-arm exchange, the risk of developing an adverse event, said method comprising the steps of:

- a) providing a sample from said individual,
- b) assaying said sample for
  - 1. the presence of circulating IgG4 antibodies that bind an antigen known or suspected to be associated with a causative agent of said adverse event,

or

- the presence of bispecific antibodies having a first specificity corresponding to the specificity of the therapeutic antibody and a second specificity directed against an antigen known or suspected to be associated with a causative agent of said adverse event, and
- c) assessing the risk of development an adverse event on the basis of the outcome of the assay of step b), wherein the presence of said bispecific antibodies indicates an increased risk of development of an adverse event.
- 20. The method of claim 19, comprising one or more of the features defined in claims 2-18.

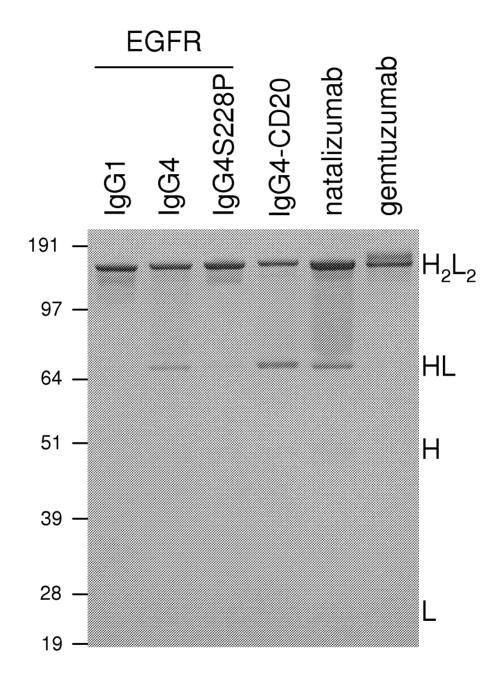
#### 21. A kit comprising

- a) one or more of the materials required for performing the method of any one of the preceding claims, and
- b) instructions describing or referring to the method of any one of the preceding claims.

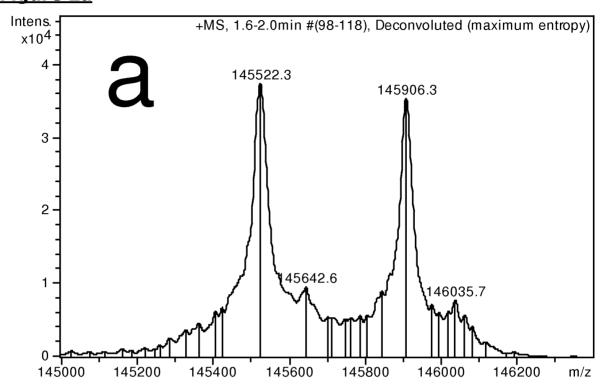
### 22. A kit comprising:

- a) an anti-human IgG4 antibody, and
- b) an antigen known or suspected to be associated with a causative agent of an adverse event, preferably an antigen of an infectious agent, more preferably a virus particle or an antigen of a virus, such as a JC virus particle or an antigen of a JC virus.
- 23. The kit of claim 22, further comprising instructions describing or referring to the method of any one of claims 1 to 20.

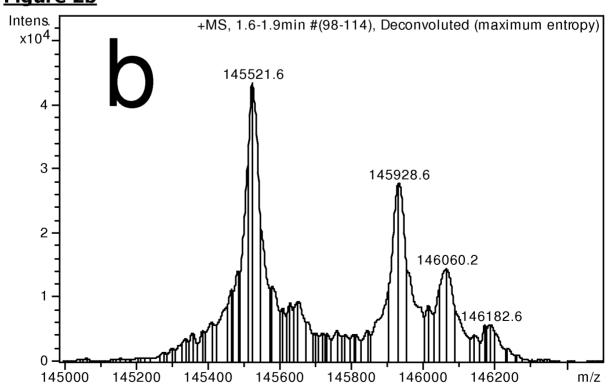
Figure 1



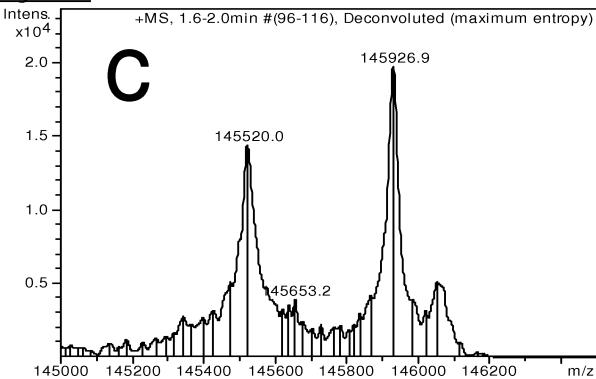
### Figure 2a



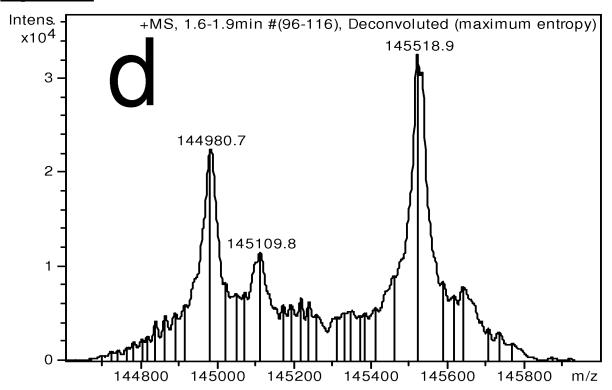
### Figure 2b



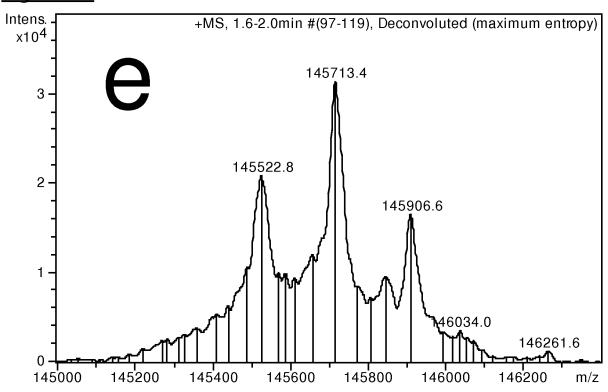
### Figure 2c



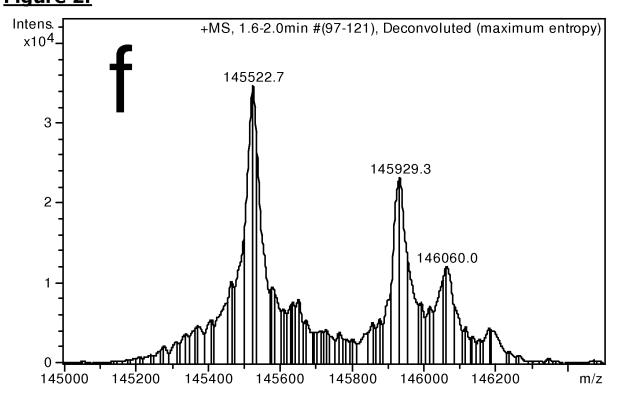
### Figure 2d



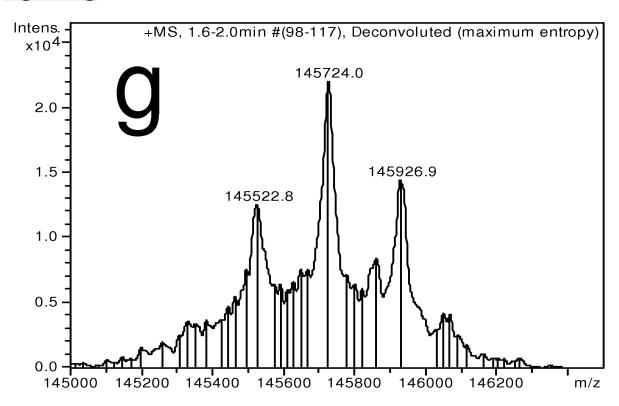
### Figure 2e



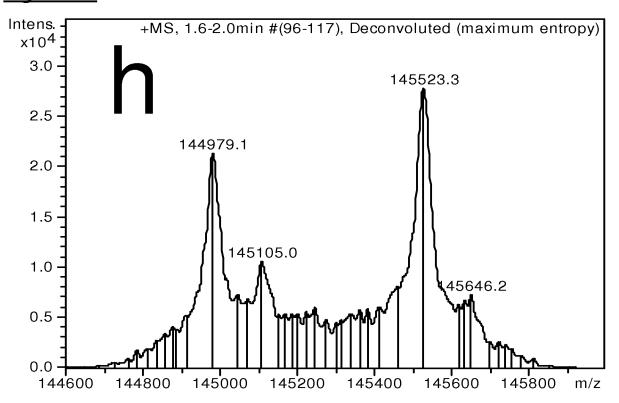
### Figure 2f



### Figure 2q



### Figure 2h



<u>Figure 2i</u>

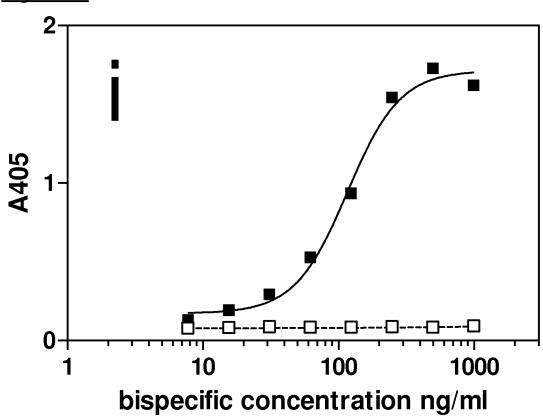
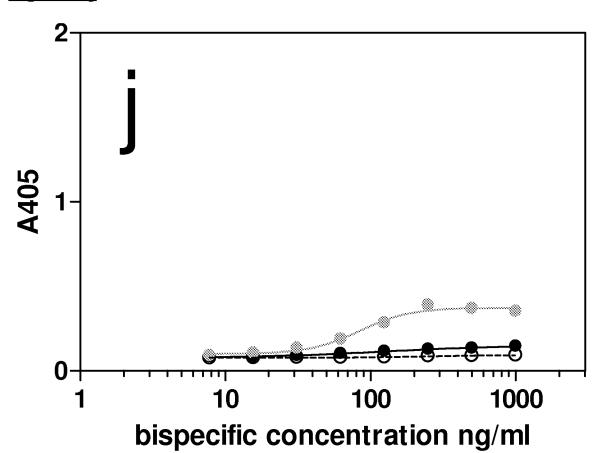
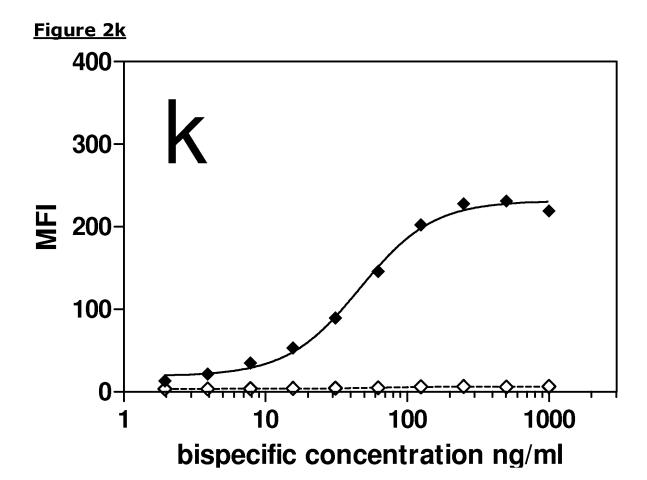


Figure 2j





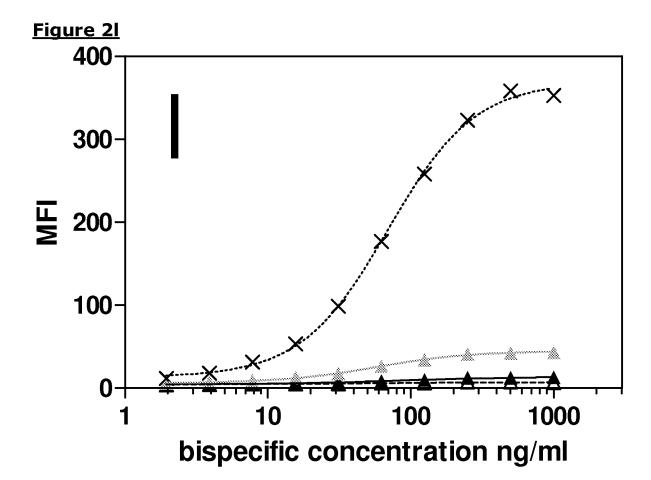
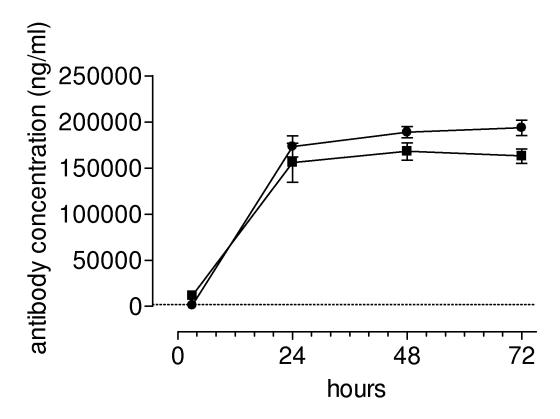


Figure 3



Fi	Sample Timepoints 5	91 51	415,83	40,54 78,31 477,98			35,29 425,75 155,63****		34,59 545,51 <sup>8,b</sup> 369,32	51,73	37,26 424,67	22,48 456,12	379,34	592,24	29,19 268,34 283,37	649,91≅	23,15 331,87 416,45	325.26
	EDSS***			6.0	0	0	3.0	رۍ	ري م	4.0		ហ				ហ	7.0 2	3.0
	Age*	ļ	19	32	19	9	16	ස	41	48	23	12	41	32	æ	45	23	37
	Sex		ட	Σ	ட	ட	ட	ட	ட	ட	ட	ட	Σ	ட	≥	ட	ட	ш
	Patient ID		<del>, -</del>	2	m	ঘ	ស	9	7	00	ത	0	<del>.</del>	12	<del>.</del>	4	15	5

\* age at onset of MS

\*\* expanded disability status scale

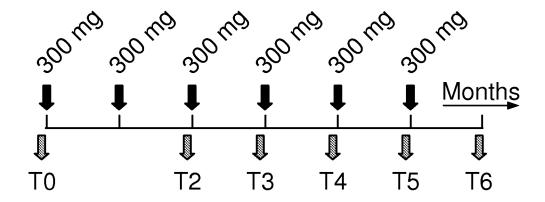
\*\*\* data represent MFI values as measured by flow cytometry at sample dilutions of 1:100

\*\*\*\* sample was drawn B weeks after last infusion and excluded from analysis

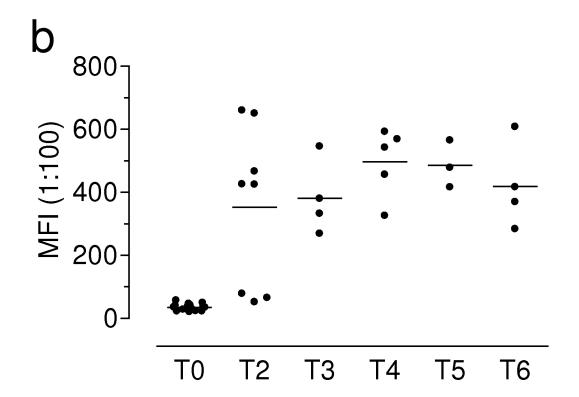
 $^{\$}$  patient received 5 daily doses of 1000 mg methylprednisolon iv 6 weeks prior to sample date a,b sample used for size-exclusion chromatography

### Figure 5A

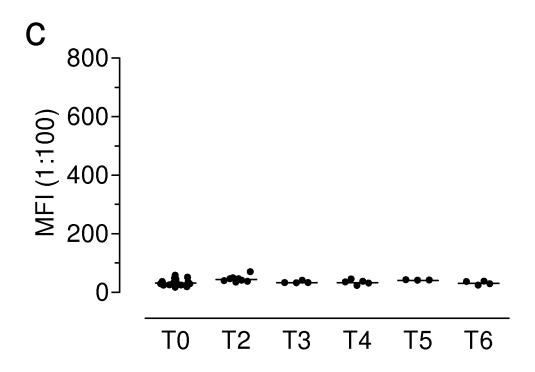
a



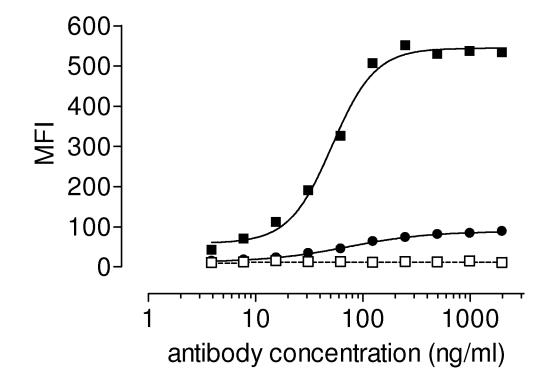
### Figure 5b



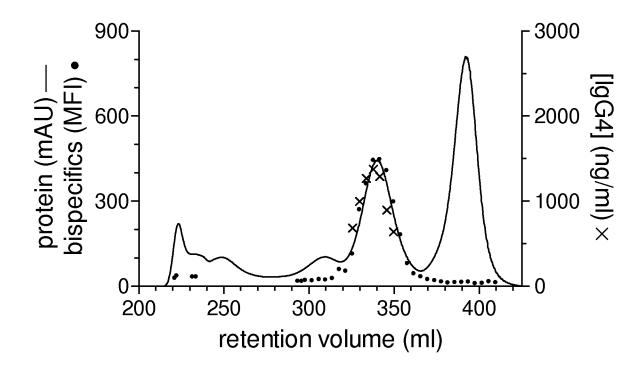
## Figure 5C



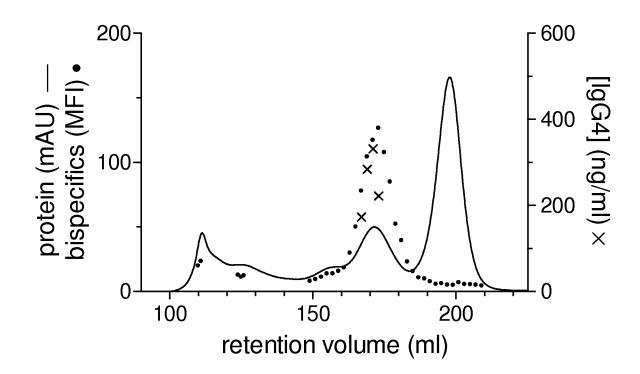
## Figure 6



### Figure 7A



### Figure 7B



### INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2009/052044

A. CLAS	SSIFICAT	TION O	F SUBJECT	MATTER
INV.	G01	N33/	68	

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  $601\,\mbox{N}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, EMBASE

T. 5 0 0 0 mil	ENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	KOLFSCHOTEN MARIJN VAN DER NEUT ET AL: "Anti-inflammatory activity of human IgG4 antibodies by dynamic Fab arm exchange" SCIENCE, AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE, US, WASHINGTON, DC, vol. 317, no. 5844, 1 September 2007 (2007-09-01), pages 1554-1557, XP009104480 ISSN: 0036-8075 cited in the application abstract; figures 1-3	1-23

Further documents are listed in the continuation of Box C.	X See patent family annex.
Special categories of cited documents:      A* document defining the general state of the art which is not considered to be of particular relevance      E* earlier document but published on or after the international filing date      L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)      O* document referring to an oral disclosure, use, exhibition or other means      P* document published prior to the international filing date but later than the priority date claimed	<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>
Date of the actual completion of the international search  14 April 2009	Date of mailing of the international search report  27/04/2009
Name and mailing address of the ISA/  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31-70) 340-2040,  Fax: (+31-70) 340-3016	Authorized officer  Rosin, Oliver

### **INTERNATIONAL SEARCH REPORT**

International application No
PCT/EP2009/052044

C(Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/EP200	
Category*			
	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
X	BURTON DENNIS R ET AL: "Immunology. Square-dancing antibodies." SCIENCE (NEW YORK, N.Y.) 14 SEP 2007, vol. 317, no. 5844, 14 September 2007 (2007-09-14), pages 1507-1508, XP002523477 ISSN: 1095-9203 figure 1		1-23
X	AALBERSE R C ET AL: "IgG4 breaking the rules" IMMUNOLOGY, BLACKWELL PUBLISHING, OXFORD, GB, vol. 105, no. 1, 1 January 2002 (2002-01-01), pages 9-19, XP002987706 ISSN: 0019-2805 abstract		1-23
P,X	WO 2008/145142 A (GENMAB AS [DK]; VAN DE WINKEL JAN [NL]; VINK TOM [NL]; SCHUURMAN JANIN) 4 December 2008 (2008-12-04) p2 penultimate par	·	1–23
Ρ,Χ	WO 2008/119353 A (GENMAB AS [DK]; SCHUURMAN JANINE [NL]; VINK TOM [NL]; WINKEL JAN VAN D) 9 October 2008 (2008-10-09) abstract		1-23
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### INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/EP2009/052044

Patent document cited in search report		Publication date	Patent family member(s)	Publication date	
WO 2008145142	Α	04-12-2008	NONE		
WO 2008119353	Α	09-10-2008	NONE		