(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2013/106746 A3

(43) International Publication Date 18 July 2013 (18.07.2013)

(51) International Patent Classification: *A61F 2/01* (2006.01)

(21) International Application Number:

PCT/US2013/021285

(22) International Filing Date:

11 January 2013 (11.01.2013)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/586,661 13 January 2012 (13.01.2012)

US

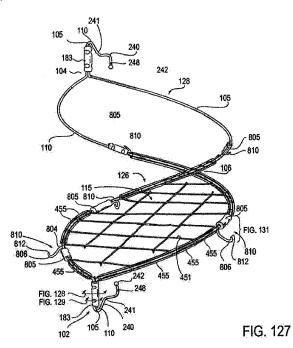
- (71) Applicant: VOLCANO CORPORATION [US/US]; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US).
- (72) Inventors: JOHNSON, Eric; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US). FOGARTY, Thomas, J.; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US). ARKO, Frank; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US). ELKINS, Jeff; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US). SEERY, Martin; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US). SRINIVASAN, Murli; 3661 Valley Center Drive, Suite

200, San Diego, CA 92130 (US). **LUCATERO, Sylvester**; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US). **LAROYA, Gilbert**; 3661 Valley Center Drive, Suite 200, San Diego, CA 92130 (US).

- (74) Agents: GLENN, W., Benjamin et al.; Shay Glenn LLP, 2755 Campus Drive, Suite 210, San Mateo, CA 94403 (US)
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK,

[Continued on next page]

(54) Title: ENDOLUMINAL FILTER WITH FIXATION



(57) Abstract: An endoluminal filter including a first support member (105) and a second support member (110) attached to the first support member to form a crossover (106). A material capture structure (115) extends between the first and second support members, the crossover and the first end (102) or the second end (104) of the first support member. The filter includes at least three tissue anchors (805) attached to one support member and at least two tissue anchors (805) attached to the other support member.

EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

 before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

Published:

— with international search report (Art. 21(3))

(88) Date of publication of the international search report:

19 September 2013

INTERNATIONAL SEARCH REPORT

International application No PCT/US2013/021285

| | | | ., | | | | |
|---|--|------------------------|-----------------------|--|--|--|--|
| A. CLASSIFICATION OF SUBJECT MATTER INV. A61F2/01 ADD. | | | | | | | |
| According to International Patent Classification (IPC) or to both national classification and IPC | | | | | | | |
| B. FIELDS SEARCHED | | | | | | | |
| Minimum documentation searched (classification system followed by classification symbols) A61F | | | | | | | |
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched | | | | | | | |
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, WPI Data | | | | | | | |
| C. DOCUMENTS CONSIDERED TO BE RELEVANT | | | | | | | |
| Category* | Citation of document, with indication, where appropriate, of the relevant passages | | Relevant to claim No. | | | | |
| X | US 2008/147111 A1 (JOHNSON ERIC [US] ET AL) 19 June 2008 (2008-06-19) paragraph [0177]; figures 88,101 | | 1-21 | | | | |
| Α | US 5 375 612 A (COTTENCEAU JEAN-PHILIPPE [FR] ET AL) 27 December 1994 (1994-12-27) figure 1 | | 1 | | | | |
| Α | US 2002/193827 A1 (MCGUCKIN JAMES E [US] ET AL MCGUCKIN JR JAMES E [US] ET AL) 19 December 2002 (2002-12-19) figure 10a | | 1-21 | | | | |
| A | US 2010/318115 A1 (CHANDUSZKO ANDRZEJ J [US] ET AL) 16 December 2010 (2010-12-16) figure 10 | | 1-21 | | | | |
| Furth | ner documents are listed in the continuation of Box C. | X See patent family ar | inex. | | | | |
| * Special pategories of cited documents: "T" later document published after the international filling date or priority | | | | | | | |
| "A" document defining the general state of the art which is not considered date and not in conflict with the application but cited to understand the principle or theory underlying the invention | | | | | | | |
| "E" earlier application or patent but published on or after the international "X" document of particular relevance: the claimed invention cannot be | | | | | | | |
| "L" document which may throw doubts on priority claim(s) or which is step when the document is taken alone | | | | | | | |
| oited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combination | | | | | | | |
| means being obvious to a person skilled in the art "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family | | | | | | | |
| Date of the actual completion of the international search Date of mailing of the international search report | | | | | | | |
| 2: | 3 April 2013 | 22/07/2013 | | | | | |
| Name and mailing address of the ISA/ Authorized officer | | | | | | | |
| European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016 | | Franz, Volker | | | | | |

International application No. PCT/US2013/021285

INTERNATIONAL SEARCH REPORT

| Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet) | | | | | | |
|---|--|--|--|--|--|--|
| This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons: | | | | | | |
| Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: | | | | | | |
| Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically: | | | | | | |
| 3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a). | | | | | | |
| Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet) | | | | | | |
| This International Searching Authority found multiple inventions in this international application, as follows: | | | | | | |
| see additional sheet | | | | | | |
| As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims. | | | | | | |
| 2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees. | | | | | | |
| 3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.: | | | | | | |
| 4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-21 | | | | | | |
| The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee. The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation. No protest accompanied the payment of additional search fees. | | | | | | |

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/US2013/021285

| Patent document cited in search report | Publication date | Patent family member(s) | | Publication date |
|--|---------------------|---|--|--|
| US 2008147111 / | 1 19-06-2008 | AU 200834726 CA 271124 CN 10196516 EP 223774 JP 201150911 US 200814711 US 201301298 WO 200908896 | 2 A1 51 A 1 A1 7 A 1 A1 81 A1 | 16-07-2009 16-07-2009 02-02-2011 13-10-2010 24-03-2011 19-06-2008 10-01-2013 16-07-2009 |
| US 5375612 | 27-12-1994 | AT 14695 CA 209359 DE 6930700 DE 6930700 EP 056539 ES 209559 FR 268938 US 537561 | 9 A1 1 D1 1 T2 5 A1 2 T3 8 A1 | 15-01-1997 08-10-1993 13-02-1997 17-04-1997 13-10-1993 16-02-1997 08-10-1993 27-12-1994 |
| US 2002193827 | 1 19-12-2002 | CA 271722 US 200219382 | | 27-12-2002 19-12-2002 |
| US 2010318115 / | 1 16-12-2010 | AU 201027889 CA 276920 CN 10247002 EP 245911 JP 201350078 US 201031811 WO 201101470 | 08 A1 08 A 09 A1 07 A 05 A1 | 23-02-2012 03-02-2011 23-05-2012 06-06-2012 10-01-2013 16-12-2010 03-02-2011 |

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-21

Endoluminal filter comprising two connected support members, a retrieval feature, and five anchors attached to it. The group of claims is directed towards solving the problem how to better anchor a filter in a vessel which can be retrieved from both directions from the vessel.

_

2. claims: 22-50

Endoluminal filter comprising two connected support members forming two loops, a retrieval feature, an overtube over the first loop and overtube elements placed over the overtube, such that a material capture structure can be threaded between overtube and alternating ones of overtube segments. The group of claims is directed towards solving the problem how to better attach a material capture structure on a filter which can be retrieved from both directions from the vessel. Manufacturing methods are also claimed.

3. claims: 51-71

Endoluminal filter with an apical hub, a plurality of legs and an anchor on each of the legs. The group of claims is directed towards solving the problem how to provide a structurally simple filter.
