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- (71) **Applicant (for all designated States except US):** **RE-SEARCH IN MOTION LIMITED** [CA/CA]; 295 Phillip Street, Waterloo, Ontario N2L 3W8 (CA).
- (72) **Inventors; and**
- (75) **Inventors/Applicants (for US only):** **BONTU, Chandra Sekhar** [CA/CA]; 450 March Road, Ottawa, Ontario K2K 3K2 (CA). **JIA, Yongkang** [CA/CA]; 450 March Road, Ottawa, Ontario K2K 3K2 (CA). **MOBASHER, Amin** [CA/CA]; 185 Columbia Street West, Waterloo, Ontario N2L 5Z5 (CA).
- (74) **Agent:** **RIDOUT & MAYBEE LLP**; 225 King Street West, 10th Floor, Toronto, Ontario M5V 3M2 (CA).

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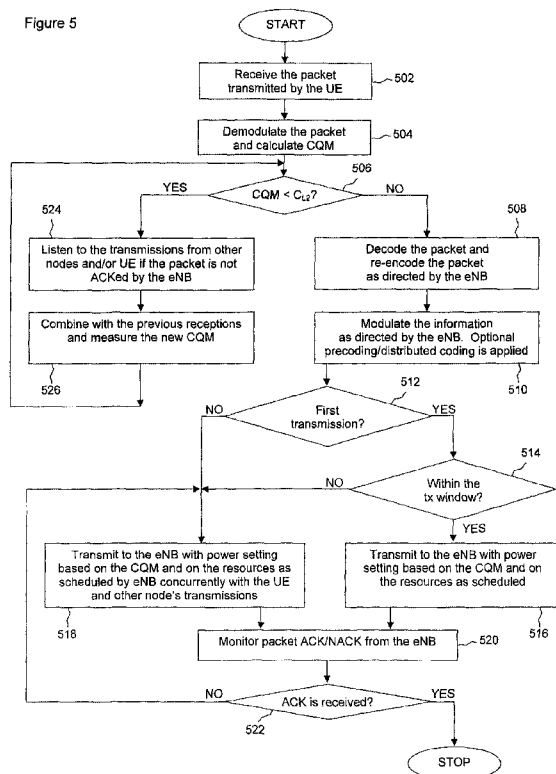
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(54) **Title:** PACKET DELAY OPTIMIZATION IN THE UPLINK OF A MULTI-HOP COOPERATIVE RELAY-ENABLED WIRELESS NETWORK

Figure 5



(57) **Abstract:** A method for communication in a wireless network is provided. The method includes a relay node receiving a data packet and determining whether to transmit the packet without performing error checking.



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PACKET DELAY OPTIMIZATION IN THE UPLINK OF A MULTI-HOP COOPERATIVE RELAY-ENABLED WIRELESS NETWORK

BACKGROUND

5 **[0001]** As used herein, the terms “device,” “user equipment,” and “UE” might in some cases refer to mobile devices such as mobile telephones, personal digital assistants, handheld or laptop computers, Blackberry® devices, and similar devices that have telecommunications capabilities. Such a UE might consist of a device and its associated removable memory module, such as but not limited to a Universal Integrated Circuit Card
10 (UICC) that includes a Subscriber Identity Module (SIM) application, a Universal Subscriber Identity Module (USIM) application, or a Removable User Identity Module (R-UIM) application. Alternatively, such a UE might consist of the device itself without such a module. In other cases, the term “UE” might refer to devices that have similar capabilities but that are not transportable, such as desktop computers, set-top boxes, or network
15 appliances. The term “UE” can also refer to any hardware or software component that can terminate a communication session for a user. Also, the terms “user agent,” “UA,” “user equipment,” “UE,” “user device” and “user node” might be used synonymously herein.

[0002] As telecommunications technology has evolved, more advanced network
20 access equipment has been introduced that can provide services that were not possible previously. This network access equipment might include systems and devices that are improvements of the equivalent equipment in a traditional wireless telecommunications system. Such advanced or next generation equipment may be included in evolving wireless communications standards, such as Long-Term Evolution (LTE) and LTE-
25 Advanced (LTE-A). For example, an LTE or LTE-A system might be an Evolved Universal Terrestrial Radio Access Network (E-UTRAN) and might include an E-UTRAN node B (or eNB), a wireless access point, or a similar component rather than a traditional base station. As used herein, the terms “eNB” or “access node” refer to any component of the wireless network, such as a traditional base station, a wireless access point, or an
30 LTE or LTE-A node B or eNB, that creates a geographical area of reception and transmission coverage allowing a UE or a relay node to access other components in a telecommunications system. An eNB may comprise a plurality of hardware and software.

BRIEF DESCRIPTION OF THE DRAWINGS

[0003] For a more complete understanding of this disclosure, reference is now made to the following brief description, taken in connection with the accompanying drawings and detailed description, wherein like reference numerals represent like parts.

5 **[0004]** Figure 1 is a diagram of an architecture of a cellular network incorporating wireless relay nodes.

[0005] Figure 2 is a diagram of communication links in a portion of a cellular network, according to an embodiment of the disclosure.

10 **[0006]** Figure 3 is a diagram of an ACK/NACK between an eNB and a UE with CQM mapped, according to an embodiment of the disclosure.

[0007] Figure 4 is a timing diagram for a CQM scheme, according to an embodiment of the disclosure.

[0008] Figure 5 is a flowchart of a relay node's state machine for smart relaying, according to an embodiment of the disclosure.

15 **[0009]** Figure 6 is a flowchart of a relay node's state machine for smart relaying, according to an alternative embodiment of the disclosure.

[0010] Figure 7 is a diagram of a generalized relaying procedure for a multi-hop network, according to an embodiment of the disclosure.

20 **[0011]** Figure 8 is a diagram of relay node states based on the CQM, according to an embodiment of the disclosure.

[0012] Figure 9 is a diagram of another example of multi-hop cooperative transmission on the uplink, according to an embodiment of the disclosure.

[0013] Figure 10 is a diagram of a UE transmitter model, according to an embodiment of the disclosure.

25 **[0014]** Figure 11 is a diagram of coordinated transmission from a UE and a plurality of relay nodes, according to an embodiment of the disclosure.

[0015] Figure 12 is a diagram of frequency-time synchronous transmission from one or more relay nodes to an eNB, according to an embodiment of the disclosure.

30 **[0016]** Figure 13 is a diagram of time synchronous transmission from a plurality of relay nodes to an eNB on different frequency-time resources, according to an embodiment of the disclosure.

[0017] Figure 14 is a diagram of an example format of a resource allocation grant for cooperating nodes, according to an embodiment of the disclosure.

[0018] Figure 15 is a diagram of an R-PDCCH format for non-contiguous and contiguous resource grants for relaying, according to an embodiment of the disclosure.

5 [0019] Figure 16 is a diagram of an SC-OFDMA receiver structure with receive diversity, according to an embodiment of the disclosure.

[0020] Figure 17 is a diagram of coordination among relay nodes and a UE with demodulate and forward, according to an embodiment of the disclosure.

10 [0021] Figure 18 is a diagram of an example of the power adjustment function Ψ , according to an embodiment of the disclosure.

[0022] Figure 19 is a diagram of coordination among relay nodes and a UE with demodulate/decode and forward, according to an embodiment of the disclosure.

[0023] Figure 20 is a diagram of a call flow for cooperative HARQ transmission on the uplink, according to an embodiment of the disclosure.

15 [0024] Figure 21 is a diagram of a relay of uplink messages to an eNB, according to an embodiment of the disclosure.

[0025] Figure 22 is a diagram of a relay of downlink messages to an eNB, according to an embodiment of the disclosure.

20 [0026] Figure 23 is a diagram of path loss between a plurality of relay nodes, a UE, and an eNB, according to an embodiment of the disclosure.

[0027] Figure 24 is a diagram of measurement reporting between nodes, according to an embodiment of the disclosure.

[0028] Figure 25 illustrates an example of a system that includes a processing component suitable for implementing one or more embodiments disclosed herein.

25 DETAILED DESCRIPTION

[0029] It should be understood at the outset that although illustrative implementations of one or more embodiments of the present disclosure are provided below, the disclosed systems and/or methods may be implemented using any number of techniques, whether currently known or in existence. The disclosure should in no way be limited to the
30 illustrative implementations, drawings, and techniques illustrated below, including the exemplary designs and implementations illustrated and described herein, but may be

modified within the scope of the appended claims along with their full scope of equivalents.

[0030] Although the present system may be described in the context of a cellular network, one or more aspects of the present disclosure may be employed in other types of networks as well. The embodiments described herein relate to the reduction of packet delay in an uplink transmission of a relay-enabled cellular network. However, these techniques are equally applicable to downlink transmissions. More specifically, in an embodiment, a relay node might transmit a packet received from a UE to an eNB even when an error checking procedure indicates that there might be errors in the packet. The relay node also measures the quality of the packet received from the UE, and the quality of the packet represents the quality of the communication link between the relay node and the UE. In one embodiment, the relay node transmits a channel quality measurement to the eNB, and the eNB uses the channel quality measurement in combining packets received from the relay and from the UE. In another embodiment, the relay node uses the channel quality measurement to adjust the power at which the relay node transmits the packet to the eNB, and packets transmitted from the relay and from the UE are then combined at the eNB or the packets transmitted from the relay and the UE are naturally combined over the air and received by the eNB.

[0031] Relay nodes (RNs) might be introduced into a cellular network to extend signal coverage and/or improve overall system capacity. When an RN is placed in or near a cell edge, a UE at the cell edge can communicate with the RN rather than communicating directly with the eNB for that cell. The RN can amplify or repeat a signal received from the UE and send the modified signal to the eNB and can also receive data from the eNB and deliver the data to the UE.

[0032] Within the Third Generation Partnership Project (3GPP) technical specifications, RNs have been categorized into two basic types, Type 1 and Type 2. A Type 1 or “non-transparent” RN is one that has its own physical cell ID and which therefore appears to a UE just like any other distinct cell transmitted by an eNB. The UE “camps on” the cell transmitted by the RN. In addition to its own physical cell ID, this type of RN typically has its own control signaling enabling it to manage/schedule resources in its own cell. A Type 2 or “transparent” RN is one that shares its physical cell ID with that

of its donor eNB. The UE is therefore unaware that its communications are passing through the RN. This type of RN typically does not have its own control signaling; transmission resources are instead coordinated by the donor eNB using its own control signaling.

5 **[0033]** RN may also be categorized according to the protocol layer at which the relaying takes place. Layer 0 (L0) RNs are those that merely receive the radio frequency (RF) signal and amplify it prior to onward transmission. Layer 1 (L1) RNs are those that perform some form of demodulation and/or forward error correction decoding prior to possible re-encoding and re-modulation and subsequent onward transmission. Layer 2
10 (L2) RNs are typically those that perform the necessary L1 processing to decode the L2 data, and which may also operate or participate in Hybrid Automatic Repeat Request (HARQ) schemes for retransmission of erroneous data prior to onward transmission of the data. The radio resources are coordinated between the eNB and the RNs. The resource scheduling is performed independently by each node with limited coordination
15 from the other nodes. An L2 relay performs MAC functionality partially or fully independently from the eNB. Layer 3 (L3) RNs may be conceptualized as being similar to a “normal” base station or eNB, operating a full suite of L1, L2, and L3 protocols but with a wireless backhaul for conveyance of the data towards the network. A “Layer x” RN is distinguished from a “Type x” RN. For example, a layer 1 RN is not a Type 1 RN; in fact,
20 a Type 1 RN is functionally similar to a Layer 3 RN.

[0034] For the purposes of this disclosure, an RN is distinguished from an eNB or other access node by the fact that an RN requires the presence of at least one eNB or other access node (and the cell associated with that access node) and possibly other RNs to access other components in a telecommunications system, such as a Mobility
25 Management Entity/Serving Gateway (MME/SGW). Additionally, for the purposes of this disclosure, the term “eNB” is not limited to only an “evolved node-B,” but also may refer to any type of access node suitable for communicating with an MME/SGW or another component of an enhanced packet core. Also, when the term “node” is used without a modifier, the node might be either an RN or a UE.

30 **[0035]** Most commonly, a wireless backhaul link is assumed between an RN and its donor eNB. When the backhaul link between an RN and a donor eNB exists within the

same portion of the radio frequency spectrum as the RN-to-UE link and the eNB-to-UE link, such a link is referred to as an “in-band” backhaul. When the backhaul link between an RN and a donor eNB exists within a different portion of the radio frequency spectrum from the RN-to-UE link and the eNB-to-UE link, the link is referred to as an “out-of-band” backhaul.

[0036] The communication link between an eNB and an RN can be referred to as the Un interface. The communication link between an eNB/RN and a UE can be referred to as the Uu interface. In a multi-hop scenario, where a signal passes through multiple RNs before reaching the UE, the communication link between two RNs can be referred to as the Ur interface. Transmission methods are initially described herein for single-hop communication and are later extended to a multi-hop scenario.

[0037] Figure 1 depicts a possible LTE network architecture in which a plurality of UEs 110, RNs 120, and eNBs 130 are employed and in which the aforementioned Uu interfaces 140, Un interfaces 150, and Ur interfaces 160 are shown. Also shown are the S1 control/user plane interfaces 170 between the eNBs 120 and a core network 180 and the X2 control plane (signaling) interfaces 190 between the eNBs 130. As illustrated, the RNs 120 can be used for improving the coverage and/or capacity of a cellular network. When multiple network nodes, such as eNBs 130 or RNs 120, cooperate, the performance can be improved further. RNs 120 placed on top of moving vehicles can be opportunistically used for further improving coverage and capacity of the network by helping the UEs within the moving vehicle and/or the outdoor UEs in the vicinity of the moving vehicle.

[0038] On the uplink (UL) of a typical relay deployment, the signal transmitted by a UE might go through multiple relay nodes before reaching an eNB. Therefore, even though coverage and capacity are improved, packet delay may be affected adversely. For real time applications such as voice calls and video streaming, increased packet delay can lead to quality degradation and loss in frame synchronization. For non-real-time services such as web browsing, an increase in latency can degrade the user’s perception of the speed of access.

[0039] In the existing LTE standards, cooperative communication procedures in multi-hop relaying do not exist. In a typical non-cooperative transmission, only one component,

either an eNB or an RN, communicates with the UE at any given time. Based on the coverage, the RN, the eNB, or both may listen to the UE transmission. If the RN is communicating with the UE and if the RN is not visible to the UE, the UL control signaling such as an acknowledgement/negative acknowledgement (ACK/NACK) might be routed
5 through the eNB. This could introduce packet delay.

[0040] In this disclosure, novel UL HARQ schemes for single-hop/multi-hop cooperative communication links are provided to reduce end-to-end packet delay in relay-enabled cellular networks. The HARQ schemes are suitable when the existence of an RN is transparent to a UE. Even though the methods are described for a case where a
10 UE is not aware of the presence of an RN, the same techniques can also be applied to a case where an RN is visible to a UE. More specifically, cooperative HARQ transmissions to optimize packet delay over the air are provided, different techniques are provided for cooperative transmission and reception from different network nodes to improve system capacity, and link optimization and signaling are provided for the cooperative transmission
15 schemes. The embodiments are described for L2/Type 2 relays, but the embodiments may also be applicable to L0, L1, or L3 Type 1 relays or in general to any multi-hop wireless relay deployment. Also, the discussion herein assumes a 3GPP LTE UL, but the techniques presented herein are equally applicable to any other transmission formats of 3GPP or non-3GPP standards.

[0041] At least three issues related to current relay-based communications are addressed by the embodiments described herein. First, currently there may be some packet delay while an RN performs error checking on a packet. An RN typically ensures that the received packet is error free before relaying the packet to an eNB. Second, cooperative communication among a group of RNs might not be possible currently
20 because of different HARQ requirements at each of the RNs. Third, an RN cannot currently send HARQ-related messages to a UE if the UE is not aware of the presence of the RN.

[0042] The present embodiments address the first issue by providing a procedure whereby an RN sends packets to an eNB even when errors exist in the packets received
30 from a UE. The present embodiments address the second issue by providing a procedure whereby a UE and one or more RNs can send packets to an eNB at the same

time. The present embodiments address the third issue by providing a procedure which enables an end-to-end HARQ mechanism between a UE and an eNB. While the description herein focuses on an RN receiving a packet from a UE and measuring the channel quality based on the packet received from the UE, similar considerations could
5 apply to a situation where an RN receives a packet from another RN or multiple RNs or multiple RNs and the UE and measures the channel quality based on the packet received from the other RN or multiple RNs or multiple RNs and the UE.

[0043] Figure 2 depicts a single-hop scenario, where an independent HARQ mechanism is allowed over Un and Uu. As depicted in Figure 2, the UE 110 transmits
10 information to the eNB 130 at time t_1 over the communication link Uu using the resources granted by the eNB 130. Since the eNB's resource grant to the UE 110 may be received by the RN 120, the RN 120 may be able to listen to the UE's transmission. The RN 120 may relay the received information to the eNB 130 at time t_2 if the packet is successfully decoded. If the received packet is not successfully received, the RN 120 may request
15 retransmission from the UE 110. Since it is assumed here that the RN 120 is not visible to the UE 110, the retransmission request may be sent through the eNB 130. If the eNB 130 receives the retransmission request or a NACK from the RN 120, and further, if the eNB 130 does not successfully decode the packet received from the UE 110, then the eNB 130 may request a retransmission from the UE 110 at time t_3 . On the other hand, if
20 the eNB 130 receives a relayed packet from the RN 120 successfully, the eNB 130 may attempt to decode the packet again by combining the information received from the RN 120 with the earlier transmission received from the UE 110 at time t_1 . The UE 110 keeps transmitting to the RN 120 and the eNB 130 until the transmission is acknowledged (ACKed) by the eNB 130. To improve the reception quality of the ACK/NACK feedback
25 signaling, the RN 120 may cooperatively transmit the ACK/NACK to the UE 110. If the RN 120 successfully decodes the packet, but the eNB 130 does not receive the packet successfully, the RN 120 may cooperate with a subsequent retransmission from the UE 110 at time t_4 , as depicted in Figure 2.

[0044] In the cooperative HARQ described above, packet delay may increase when
30 the channel conditions over the communication links Uu and/or Un are not good. One possible solution for reducing this packet delay is to have the RN relay packets to the

eNB even when errors may exist in the packets. That is, the packets could be transmitted even when the cyclic redundancy check (CRC) fails. This will reduce packet delay, but the cooperative decoding gain at the eNB may be affected, since an invalid packet relayed by the RN may corrupt the combined packet decoding at the eNB. This is more probable in the case of adverse channel conditions over the communication link Uu. It would be advantageous if the cooperative transmissions from the RN(s) and/or the UE could be combined at the eNB in a manner that is proportional to the quality of reception at one or more of the RNs.

[0045] In an embodiment, an eNB is made aware of a relayed packet's previous reception quality over the Uu at the RN. This channel quality measure can be used to adjust the relative emphasis (or "weight") given to the direct UE-to-eNB signals and to the signals forwarded by the RN when the signals are combined at the eNB. For example, if the reception quality of the signal from the RN is known to be poor, it may be preferable for the signal from the RN to have less influence during the overall packet decoding.

[0046] In an embodiment, an RN calculates a channel quality measurement (CQM) measured during its packet decoding/demodulation. In order to appropriately effect the combining at the eNB of the signals transmitted from UE and from the RN, the CQM may be used in two different ways. In a first CQM scheme, the RN measures a CQM over the link Uu and appends the CQM to the packet transmission forwarded to the eNB. Based on this CQM, the eNB can appropriately scale the received information from the UE and from the RN and may subsequently combine them. In a second CQM scheme, a transmission from an RN can be properly power controlled according to the CQM observed over Uu. Both of these schemes may be represented as shown in Figure 3. The difference concerns the stage at which the CQM is applied. It is assumed here that the RN can transmit the received information from the UE to the eNB for the first time before the eNB sends an ACK/NACK to the UE.

[0047] The first CQM scheme is more suited to a scenario in which the Un transmissions are made on different time and/or frequency resources than the direct UE-to-eNB Uu transmissions. In this case, the Un transmissions and the Uu transmissions may be received independently at the eNB and may then be weighted and explicitly

combined by the eNB according to (at least in part) the signaled CQM report received from the RN.

[0048] Conversely, the second CQM scheme is more suited to a scenario in which the Un transmissions are made on the same time and frequency resources as the Uu transmissions. In this case, the signals are combined naturally “over-the-air”, or as part of the eNB’s normal reception/equalization process. Any relative scaling of the Un and Uu signals occurs prior to the signals being sent to the eNB. For example, the scaling might be performed at the RN transmitter.

[0049] The second CQM scheme is also possible in a scenario where the Un transmissions are made on different time and/or frequency resources. In this case, the independent transmissions from the RN and the UE are weighted according to the CQM at the transmit side and are combined at the receiver without scaling.

[0050] As an example, an RN that receives a packet consisting of 100 bits of data may receive 90 of the bits correctly and may fail to correctly receive 10 of the bits. Currently, the RN may not send such a packet to the eNB. Instead, the RN may wait for a retransmission of the packet, combine the retransmission with the original transmission, and send the combined transmissions to the eNB if the error bits are successfully corrected by the retransmission. If the error bits are not successfully corrected by the retransmission, the RN may wait for another retransmission and try again to correct the error bits. This procedure may continue until the error bits have been corrected, and the RN might then send the packet to the eNB.

[0051] Under the present embodiments, the RN would send the packet with only 90 out of 100 correct bits to the eNB. The RN would also measure the CQM and modify a transmission to the eNB based on the CQM, either by appending the CQM to the transmission or by controlling the power of the transmission based on the CQM. The eNB may then be able to use the packet received directly from the UE to correct the 10 error bits in the packet received from the RN.

[0052] A more detailed description of the smart relaying function with the above mentioned cooperative HARQ is now provided. Figure 4 shows the packet transmission and ACK/NACK signaling timing from each node. The case depicted corresponds to a synchronized HARQ transmission from the UE. “D” represents the retransmission time.

"D_c" represents the time taken by the RN to demodulate/decode the original transmission received the UE, re-encode/re-modulate, and become ready to transmit to the eNB (if the CQM is acceptable).

[0053] The RN listens to the UE's transmission at subframe 410 and measures a CQM while detecting a packet. When the measured CQM is found to be unacceptable, the RN may listen to the retransmission at subframe 420 from the UE or other cooperating nodes (in the case of a NACK at subframe 430 from the eNB on the previous transmission). The packet received by the RN in the retransmission is combined with the previous transmissions and the CQM is calculated again. If the CQM is acceptable, then the packet is transmitted/relayed to the eNB. It is assumed that the eNB grants appropriate resources for the RN's first relay transmission. The resource grant for the RN's first relay transmission can be an accumulated grant for the purpose of transmitting the packet from multiple UEs. The packet relaying from different UEs can be scheduled by the RN and/or the eNB based on the packet deadlines. (When radio resources are scheduled or granted for a UE, normally the quality of service (QoS), such as packet delay or packet error, is taken into account. Each incoming packet is assigned a delay deadline by the scheduler. The "packet deadline" is the time before which the packet has to be transmitted to meet the QoS requirements.)

[0054] The transmission from the RN to the eNB might be appended with the observed CQM. Alternatively, the power of the transmission from the RN to the eNB might be controlled according to the CQM. Assuming a Type 2 relay, the modulation and coding scheme for the UE's first transmission is decided based on the link quality between the UE and the eNB. The modulation and coding scheme for transmission from the RN to the eNB for the first relaying on Un is decided by the quality feedback communicated to the RN by the eNB. If the CQM at the RN is of acceptable quality, the next retransmission will be from both the RN and the UE concurrently in the same subframe, as shown at subframes 420 and 440. A half duplex RN may not listen to the UE for retransmissions while relaying the previously received packet (with acceptable CQM) to the eNB. A full duplex RN may listen to all the retransmissions from the UE and keep decoding the packet based on the previous transmission and the current transmission.

[0055] Figure 5 shows the state diagram of a half duplex RN for UL transmission. At block 502, an RN receives a packet transmitted by a UE. At block 504, the RN demodulates the packet received from the UE and calculates a CQM over the packet during the demodulation process. At block 506, the RN compares the measured CQM to a predefined threshold, C_{L2} . If the CQM is not acceptable, that is, if the CQM is less than C_{L2} , the RN proceeds to block 524. If the CQM is acceptable, that is, if the CQM is not less than C_{L2} , the RN may proceed with packet decoding at block 508. At block 508, the signal is decoded and re-encoded as directed by the eNB in the resource grant. The packet encoding may be different from the encoding applied by the UE in its original transmission.

[0056] At block 510, the RN re-modulates the signal with the modulation scheme suggested in the resource grant received from the eNB and transmits the packet over the granted physical resources. The resource grant is set in the physical downlink control channel, which might be either the relay physical downlink control channel (R-PDCCH) or the PDCCH based on whether relay transmissions concurrent with UE transmissions use independent concurrent transmission or cooperative concurrent transmission. The R-PDCCH is relevant when the first relay transmission or any subsequent relay transmission is sent using different resources compared to the UE's retransmission (i.e., concurrent transmission using different resources). The PDCCH is relevant for all subsequent transmissions after the first relay transmission when the same resources are used as the UE's retransmission (i.e., concurrent transmission using the same resources). The RN may optionally apply spatial encoding across its transmit antennas.

[0057] At block 512, if this is the first relay transmission, the RN checks its packet scheduling queue and proceeds to block 514. At block 514, the RN checks whether the packet transmission can be performed before a scheduled deadline. This ensures that the eNB can send packet ACK/NACK back to the UE within the HARQ retransmission window (this condition is only valid for synchronous HARQ). Further details on this portion of the procedure are provided below. If the RN can respect the packet deadline constraints, the packet, at block 516, may be relayed to the eNB with the power settings depending on the derived CQM. Alternatively, the CQM can be appended to the MAC payload.

[0058] Returning to block 512, if the packet relay transmission is not the first one, then the RN may proceed to block 518 and transmit the packet concurrently along with the UE's retransmission. The transmission is either power controlled according to the CQM or appended with the CQM. Various modes of concurrent transmissions are possible as described below. Also, if it is determined at block 514 that the transmission is not within the transmission window, the flow proceeds to block 518. As illustrated, at block 518, the packet is retransmitted to the eNB concurrently with the UE transmission (i.e., the RN holds this retransmission until the next opportunity for UE retransmission. At that time, the RN relays the packets on the physical resources as indicated by the R-PDCCH or PDCCH). At block 520, after relaying the packet, the RN may monitor the packet ACK/NACK from the eNB. At block 522, if the eNB sends an ACK, the packet is believed to be successfully delivered and the procedure ends. Otherwise, the RN readies for a retransmission and returns to block 518.

[0059] Returning to block 506, if the packet reception quality from the UE is below the predefined threshold, C_{L2} , then the RN may decide not to relay the packet to the eNB. Instead, the RN may proceed to block 524, where it listens to the subsequent retransmissions from the other cooperating nodes. If the eNB ACKs the packet, then the RN exits this state. At block 526, when packet retransmissions from other nodes are received, the RN may try to decode the packet by combining the current transmission with the previously received transmissions of the same packet. During the signal demodulation process, the RN may re-compute the CQM and return to block 506.

[0060] The packet delay can be further optimized when the RN selectively performs demodulation and decoding based on the CQM scheme. When the first CQM scheme is used, the RN merely demodulates and forwards. That is, the RN demodulates the received information, takes the hard decision of the coded bits, and then re-modulates and relays the received information. When the second CQM scheme is used, the RN demodulates, decodes, and forwards. That is, the RN demodulates and decodes the received information, takes the hard decision of the information bits, and then re-encodes, re-modulates, and relays the received information.

[0061] This selective relaying scheme is depicted in Figure 6. This scheme is similar to that of Figure 5, except for the inclusion of an additional step. At block 607, the RN

further compares the CQM measured over the received packet with yet another threshold C_{L1} , where $C_{L1} > C_{L2}$. If the CQM is not less than C_{L1} , that is, if the channel quality is high, the RN does not decode the packet. Instead, the RN proceeds to block 510, where it re-modulates the information and forwards the information to the eNB after setting the transmission power of the packet according to the CQM (or the CQM is appended to the packet). If, at block 607, the CQM is less than C_{L1} , that is, if the channel quality is between the two thresholds, the RN decodes the packet at block 508. The RN then proceeds to block 510. As shown in Figure 6, the additional step at block 607 further decreases the packet delay and the RN power consumption by not performing decoding when the channel quality is high.

[0062] The above described smart relaying can be easily extended to multi-hop communication links. A two-hop relaying scenario with coordination among the nodes is shown in Figure 7. The UE's transmission on the granted resources is received by the RNs 120 and the eNB 130. Subsequently, RN-0 120a and RN-1 120b send information to the eNB 130 concurrently either using the same resources or different resources. The eNB 130 can combine the original information transmission from the UE 110 and the relayed information from the RNs 120. There is one ACK/NACK signal based on the combined signal reception quality from the eNB 130 to the UE 110. The ACK/NACK signaling can also be sent by the RNs 120 in synchronization with the eNB's downlink transmission to improve the ACK/NACK reception quality at the UE 110.

[0063] If the CQM observed by RN-0 120a is not good, RN-0 120a may decide not to transmit the information, and instead may choose to listen to RN-1's transmission to the eNB 130. In the subsequent retransmission, RN-0 120a will transmit to the eNB 130 along with RN-1 120b and the UE. The information transmitted by RN-0 120a is based on the decoded information from the present and the previous reception of the same information from different nodes. This is shown in Figure 8.

[0064] Another example of a multi-hop relay scenario is depicted in Figure 9. In this scenario, there are four relays 120 involved in UL transmissions to the eNB 130. RN-1 120b and RN-2 120c are close to the UE 110 and may receive the signal from the UE 110 with acceptable CQM during the UE's initial transmission. During the scheduled transmission to the eNB 130, only RN-1 120b and RN-2 120c may cooperatively transmit

the information to the eNB 130. However, RN-0 120a and RN-3 120d, with unacceptable CQM during the UE's initial transmission, may listen to the transmissions from the other nodes. These received signals are combined with the initial signal reception to improve the overall CQM. For the next transmission (i.e., the first retransmission from the UE 110) all four RNs 120 can transmit the information concurrently with the UE 110 if the received signal CQM is acceptable.

[0065] Coordinated transmission on the uplink will now be considered. In the ensuing description, it is assumed that single carrier orthogonal frequency division multiple access (SC-OFDMA) is used for UL transmissions. It is also assumed that the eNB, the RNs, and the UE have J_B , J_R , and J_U transmit/receive antennas, respectively. Furthermore, it is assumed that there are L RNs coordinating the UL transmissions with the UE. The ensuing formulations can be easily extended to any arbitrary number of transmit antennas at the eNB, UE, and RNs and to transmission techniques other than SC-OFDMA.

[0066] A block of k information bits, $\mathbf{a} = \{a_0, a_1, \dots, a_{k-1}\}$, are to be transmitted from the UE to the eNB. As mentioned above, the eNB may receive the information from both the RNs and the UE if the eNB determines that the signal reception quality can be significantly improved. In such a scenario, the eNB sends information to the RNs which are cooperating with the UE beforehand. The RNs can get the resource scheduling information by listening to the PDCCH or R-PDCCH based on whether (1) it is a concurrent transmission with the UE's retransmissions using the same resources or (2) it is the first transmission or a concurrent transmission with the UE's retransmission using different resources, respectively.

[0067] At the UE, the information bits, $\mathbf{a} = \{a_0, a_1, \dots, a_{k-1}\}$, are encoded by the turbo encoder to create P different versions of the code words of n bits, $\mathbf{b}_i$, $i = 0, 1, \dots, P-1$, where k/n is the code rate. The coded information bits of the i^{th} code word, $\mathbf{b}_i = \{b_i^0, b_i^1, \dots, b_i^{n-1}\}$ are scrambled and converted into a block of n_s M -ary modulation symbols, $\mathbf{s}_i$, where $n_s = \frac{n}{\log_2 M}$. An n_s -point discrete Fourier transform (DFT) is

employed on the symbol vector to result in frequency domain sample vector $\mathbf{S}_i$. The resulting sample vector is optionally pre-coded and resource mapped to the allocated resource elements (REs) within an uplink SC-OFDMA subframe. The time domain

baseband signal for the J^{th} antenna and τ^{th} SC-OFDMA symbol, $c_r^J(t)$, is generated as shown in Figure 10.

[0068] The M -ary modulation scheme and the coding scheme used for the initial information transmission by the UE are in accordance with the resource grant sent by the eNB. Further, the eNB may also send a separate resource grant to all the cooperating RNs giving specific instructions to conditionally relay the initial information received from the UE in a specific LTE subframe using pre-assigned over-the-air resources. As noted above, the resource grant for an RN's transmission may be a soft grant, i.e., a cumulative grant for multiple UEs, which are assisted by the RN. The RN may schedule a particular UE's data transmission using these resources based on the required packet delay budget.

[0069] The RNs may relay the initially received information from the UE to the eNB after v subframes concurrently. As shown in Figure 11, the eNB is effectively getting information from $N_A = LJ_R$ antennas in the $(n + v)^{th}$ subframe, if all the L RNs cooperatively transmit. After the first transmission, all the subsequent retransmissions from the RNs and the UE may be concurrent. For the retransmission, the eNB is effectively getting information from $N_A = J_U + LJ_R$ antennas.

[0070] Assuming that the UE transmitted a packet during the n^{th} subframe, the RNs may relay the demodulated/decoded and re-encoded/modulated packet during the $(n + v)^{th}$ subframe in different ways, depending on the CQM scheme. Under the first CQM scheme, in one scenario, the RNs may use the same frequency-time resources (subcarriers) in the $(n + v)^{th}$ subframe to relay the information. This is shown in Figure 12. Under the second CQM scheme, the RNs may transmit the information in the $(n + v)^{th}$ subframe using different frequency-time resources, as depicted in Figure 13.

[0071] The packet relayed by the RNs may use space-frequency (or space-time) or distributed coding to give cooperative gain at the eNB. The modulation and coding scheme (MCS) selection may be different under the two CQM schemes. For the first CQM scheme, the symbol vector $S_i = \{S_i^0, S_i^1, \dots, S_i^{n_s-1}\}$ may be space-frequency block coded (SFBC) to form N_A frequency domain sample vectors, $\{S_i(0), S_i(1), \dots, S_i(N_A - 1)\}$. ($S_i(\dots)$ is a symbol vector of length n_f resulting in an STC code rate of n_g/n_f . The overall

information rate is $k/n_t \log_2 M$.) The SFBC encoder may assume $N_A = LJ_R$ or $N_A = J_U + LJ_R$ transmit antennas, forming N_A SFBC encoded words. The eNB and the cooperating RNs transmit the N_A symbol vector to the UE concurrently. The M -ary modulation and the coding scheme used in this transmission are in accordance with the eNB's resource grant. The eNB may decide the MCS for the relay transmission based on the signal reception quality of the concurrent transmissions from the RNs or the RNs and UE.

[0072] For the second CQM scheme, the RNs may be instructed to use different code words, $\mathbf{b}_i$, so that the eNB can achieve the best performance by performing incremental redundancy (IR) combining. The M -ary modulation and the coding scheme used in this transmission may be signaled to the RNs by the eNB during the resource grant. Normally, the MCS may be determined by the eNB by observing the signal reception quality of the concurrent transmission from the UE and the RNs over the air interface.

[0073] In the second CQM scheme, where concurrent transmissions use different frequency-time resources, there are at least two different ways to decide the MCS for the cooperative transmission from multiple nodes. Since the transmissions from different nodes use different frequency-time resources, the MCS can be selected individually for each communication link. Alternatively, one MCS can be selected based on the average packet detection performance resulting from the concurrent transmissions.

[0074] When the modulation and coding scheme is selected based on the average receive quality, there are some advantages such as efficient control channel bandwidth utilization (assuming that the frequency-time resources used by different nodes are sequential). That is, one MCS can be indicated in a common resource grant. To further reduce the control channel bandwidth, the resource grants for each cooperating node may be sequential.

[0075] Since it is assumed that the cooperative HARQ described herein is completely transparent to the UE, the eNB can send one resource grant for the UE's retransmission and another resource grant to all other cooperating nodes (RN's). If the resource grant to the RN's is sent using the "Resource allocation type 2" as defined in 3GPP TS 36.213, the resource grant can carry only the starting point of the allocation, i.e., the resource block (RB) start for each node, and need not include the length of the allocation for all the cooperating RN's. Furthermore, if the cooperating nodes are pre-ordered, then the

resource assignment need not consist of the node identity. This optimization can also be used for the case when different MCSs are used for each node's transmissions, but the MCS may need to be signaled for each node.

[0076] Figure 14 depicts the advantage of this contiguous resource allocation for the cooperating nodes. It can be seen that, in the previous non-contiguous resource allocation procedure 1410, a starting point and a length need to be specified for each separate allocation. In the contiguous resource allocation embodiment 1420 described herein, only the starting point needs to be specified, thus reducing the signaling overhead for resource grants.

[0077] Another advantage with this type of contiguous resource allocation is that the complexity of the resource scheduler at the eNB may decrease. When the resource grant is sent to the RNs in the R-PDCCH, one R-PDCCH can be sent to all the cooperating RNs. This can be efficiently done by assigning a cooperating group Radio Network Temporary Identifier (CG-RNTI) for the cooperating set of the network nodes. Figure 15 illustrates the structure of the R-PDCCH for the two schemes: non-contiguous and contiguous resource allocation for an RN's relay transmissions.

[0078] As mentioned above, in an embodiment, a transmission from an intermediate node, such as an RN, to an eNB can include a measurement related to the reliability of information received from the UE. This measurement is referred to herein as the Channel Quality Measurement (CQM). CQM is dependent on the type of receiver at the RN. In the following example, it is assumed that the UE transmits information using J_U transmit antennas and the RN receives information using J_R antennas. One possible receiver structure is depicted in Figure 16. It is assumed that the information symbols are SFBC coded at the UE across the transmit antennas. As shown, the received SC-OFDMA symbol received over the j^{th} receive antenna, r_j , is converted into the frequency domain and multiplied with the frequency domain channel equalizer coefficients before being SFBC decoded.

[0079] In the following example, it is assumed that the RN estimates the transmitted symbols s , $\hat{s}$ by minimizing the mean square error $E[\|\hat{s} - s\|^2]$, where $E[...]$ is the expectation operator. $\hat{s}$ is the soft estimate of the transmitted M -ary symbols, which are estimated as follows:

$$\hat{s} = \mathfrak{F}^{-1} \left(\sum_{j=0}^{J_R-1} \mathbf{W}_j \mathbf{R}_j \right) \quad (1)$$

where $\mathfrak{F}$ represents the Fourier transform operation, $\mathbf{W}_j$ represents the coefficients of the frequency domain equalizer for the j^{th} receive antenna, and $\mathbf{R}_j$ represents the received SC-OFDMA symbol over the j^{th} receive antenna.

- 5 **[0080]** In one example, an RN may estimate the CQM as the post-detected SINR over symbol l , c_l , as follows:

$$c_l = \frac{1}{|\tilde{s}_l - \hat{s}_l|^2} \quad (2)$$

where $\tilde{s}_l = Q[\hat{s}_l]$. $Q[...]$ is the quantization function which maps the soft symbol to the M -ary QAM constellation points.

- 10 **[0081]** An RN may divide the packet into n_s / σ segments, where each segment consists of σ demodulated symbols. An RN may calculate the mean squared error over each segment, c_m , as follows:

$$c_m = \frac{\sigma}{\sum_{l=0}^{\sigma-1} \|\tilde{s}_{l+m\sigma} - \hat{s}_{l+m\sigma}\|^2} \quad \text{for } m = 0, \dots, \frac{n_s}{\sigma} - 1 \quad (3)$$

- 15 and the overall CQM of the packet is calculated as follows:

$$c = \frac{n_s}{\sum_{l=0}^{n_s-1} \|\tilde{s}_{l+m\sigma} - \hat{s}_{l+m\sigma}\|^2}$$

- [0082]** c_m in equation (3) is equivalent to CQM defined in equation (2) averaged over σ demodulated symbols. Here it is assumed that n_s is divisible by σ . If $\sigma = n_s$, then the CQM is derived for the whole packet. In general, the RN may append n_s / σ CQM values, $\{c_m, m = 0, \dots, n_s / \sigma - 1\}$ to the relayed packet. Alternatively, each segment of the packet is individually power controlled based on the CQM corresponding to that segment.
- 20

[0083] Based on the channel quality metric, an RN may take various decisions. The case where CQM c is greater than C_{L1} (the larger of the two thresholds described with respect to Figure 6) can be referred to as Case 0. In Case 0, the RN transmits the

estimated M -ary symbols with transmit power adjusted based on c_m . Alternatively, the relayed packet is appended with the CQM values, $\{c_m, m = 0, \dots, n_s / \sigma - 1\}$. The case where CQM c is not greater than C_{L1} but is greater than C_{L2} (the smaller of the two thresholds described with respect to Figure 6) can be referred to as Case 1. In Case 1, the RN decodes the estimated coded bits and re-encodes/modulates and power controls the transmission based on the log-likelihood ratios (LLRs)/soft bits obtained during the decoding process. The case where CQM is not greater than C_{L2} can be referred to as Case 2. In Case 2, the RN does not transmit the received information bits. Instead, the RN may listen to the transmission from the other nodes at time t_2 .

[0084] In Case 0, as shown in Figure 17, the RN may not decode the packet. Instead, the RN quantizes the estimated symbols and modulates the carrier and synchronously transmits to the eNB along with the other cooperating nodes. The power of the transmitted packet (in part or whole) is set as $\psi(c_m)$ relative to the transmit power of the other cooperating nodes and the relative path loss differences between the eNB and the RN and between the eNB and the UE, where $\psi(\dots)$ may be a monotonically increasing function, as shown in Figure 18. The illustration in figure 17 corresponds to a case where σ is set to n_s .

[0085] The UE and the RN(s) may concurrently transmit spatially encoded symbols using the same radio resources to the eNB as shown in Figure 12. Optionally, the UE and the RN may concurrently transmit the encoded symbols to the eNB with a random transmission delay (i.e., the symbols transmitted by each antenna of each node are not spatially encoded in this case). The random transmission delay should preferably not be more than the cyclic prefix minus the expected maximum channel dispersion. The advantage of including randomly delayed signals from different nodes is to exploit the frequency selectivity at the receive end.

[0086] In Case 1, the RN may demodulate and decode the received signal, encode and modulate the detected bits again, map the symbols onto the UL OFDMA subframe of the eNB's choice, and forward the symbols to the eNB. The eNB may indicate to the RN the subframe and exact REs the RN should use to transmit the information on the UL. Further, as shown in Figure 19, each RN demodulates the received information from the UE to get an estimate of the transmitted symbols, $\hat{\mathfrak{z}}_i$, and decodes the data to obtain the

information bit, $\tilde{a}$, and then re-encodes and modulates the carrier. The forward error correction (FEC) encoding employed by the RN is indicated by the eNB. As indicated in Figure 19, the codeword received by the RN is $\{b_i\}$ and the transmitted code word (based on the decoded information bits) by the RN is $b_{(l+v)\%P}$, where $x\%y = \text{mod}(x,y)$. The carrier modulated by the re-encoded information is relayed to the eNB. The transmission is power controlled according to the decoder quality metric, c_m . This will provide performance gains if the channel conditions between the RN and the eNB are not good. This is one way to get soft combining gain from the concurrent transmissions from the eNB and the RNs. It should be noted that the eNB may set v to '0' if the concurrent transmission scheme depicted in Figure 12 is used (i.e., the concurrent transmission using the same frequency-time resources). If the IR combining gain is required at the eNB, a different $v \neq 0$ is signaled to the RN. That is, the transmission scheme depicted in Figure 13 is used. Alternatively, v could be a pre-defined number or could be defined by a formula, in which cases there would be no need for signaling. Various combinations are possible based on the eNB's receiver capabilities.

[0087] Adaptation of the link parameters will now be considered. Figure 20 shows an embodiment of a call flow between the coordinating nodes: an eNB, a plurality of RNs, and a UE. Each message is sent with proper transmit power and modulation and coding scheme. At event 2010, the UE sends *resource request* to the eNB whenever there is a need to send data. This message is also received by the RNs. The RNs may relay the message to the eNB. The signal relaying over the UL is performed as shown in Figure 21.

[0088] It is assumed that the resource request is sent by the UE over the random access channel. Similarly, the RNs may relay the resource request message over the random access channel (identifying the UE). In this case, the eNB does not need to specifically assign resources for the relays. This method is easily extendable to a case where the resource request is sent over the physical uplink shared channel (PUSCH).

[0089] At event 2020, the eNB sends *resource grant* to the UE in the PDCCH. This message may also be relayed to the UE by the RNs concurrently with the eNB transmission. The signal relaying over the downlink is performed as shown in Figure 22. As shown, the resource grant is broadcasted to the RNs in advance in the R-PDCCH.

Subsequently, the message is sent to the UE concurrently over the same subframe. If this transmission is sent to the UE transparently (i.e., the UE is not aware of the presence of the relay nodes assisting the network), the PDCCH may be sent from the eNB and the RNs using the same physical resources.

5 [0090] At event 2030, the eNB sends a separate *resource grant* to the RNs in the R-PDCCH. These granted resources are for the RNs to relay the received packet from the UE to the eNB, if the RN is confident of the receive quality of the packet. As described in the above discussion on coordinated transmission on the uplink, one R-PDCCH is transmitted addressing cooperating group of RNs (CG-RNTI) to assign the resources for
10 all the cooperating RNs.

[0091] At event 2040, the UE transmits the data packet to the eNB using the resources granted by the eNB. The transmit power of the data packet in the subframe i , $P_{UE}(i)$, is set such that the path loss between the UE and eNB is fully or partially compensated:

$$P_{UE}(i) = \min \{ P_{UEMAX}, P_0 + 10 \log_{10} (M_{RBUE}) + \alpha L_0 + \Delta_{TF}(i) + f(i) \} \quad (4)$$

where P_0 is the average receive power per RE advertised by the eNB (further relayed by the RNs),

L_0 is the path loss between the eNB and the UE (as depicted in Figure 23),

α is the fractional compensation parameter advertised by the eNB (cell specific),

20 $\Delta_{TF}(i)$ is the UE-specific power adjustment controlled by eNB,

$f(i)$ is the UE-specific closed loop power control parameter (the eNB sends this adjustment in the PDCCH),

P_{UEMAX} is the maximum transmit power of the UE, and

M_{RBUE} represents the number of RBs assigned to the UE in the i^{th} subframe.

25 [0092] The received power at the eNB can be expressed as:

$$P_{eNB_{RX}}(i) = P_{UE}(i) - L_0 \quad (5)$$

[0093] At event 2050, RN- j may relay the received packets from the UE to the eNB during the $(i + v)^{th}$ subframe, if the CQM of the received packets is acceptable. The power of the transmission is adjusted such that the relayed packet does not corrupt the
30 original transmission from the UE:

$$P_{RN-j}(i+v) = \min \left\{ P_{RNMAX}, P_0 + 10 \log_{10} (M_{REBN}) + \alpha L_j + \Delta_{TF}(i+v) + f(i+v) \right\} \quad (6)$$

where L_j is the path loss between the eNB and RN- j (as depicted in Figure 23),

$\Delta_{TF}(i)$ is the RN-specific power adjustment controlled by the eNB,

$f(i)$ is the RN-specific closed loop power control parameter (the eNB sends this adjustment in the PDCCH),

P_{RNMAX} is the maximum transmit power of the RN, and

M_{REBN} represents the number RBs assigned to the RN transmission during the $(i+v)^{th}$ subframe.

[0094] Under the first CQM scheme, the RNs may cooperatively transmit over the same frequency-time resources. In the case of multiple RNs transmitting the information concurrently using the same frequency-time resources, the transmit power is set as follows:

$$P_{RN-j}(i+v) = \min \left\{ P_{RNMAX}, P_m - L_m + L_j + \psi_j(CQM) \right\} \quad (7)$$

[0095] When the CQM of the received packet is excellent, $\psi_j(CQM)$ is set to '0'. As shown in Figure 18, the function $\psi_j(CQM)$ is a monotonically increasing function with CQM. When the CQM is low, $\psi_j(CQM)$ becomes a negative value, resulting in a reduction of transmit power. P_m represents the transmit power of the node m that has the best CQM over the packet reception from the UE. Here "node" refers to any of the cooperating RNs.

[0096] Under the second CQM scheme, the RNs may cooperatively transmit over different frequency-time resources. When the RNs and the UE are concurrently transmitting over different resources, the transmit power settings of the UE and the RNs are set according to equations (4) and (6), respectively. Further, the RNs may send an indication that the packet is not being transmitted if the CQM of the received packet from the UE is not acceptable. This helps the eNB to prepare an ACK/NACK based on the reception from the UE's initial transmission.

[0097] For this relayed transmission from the cooperating RNs, the eNB may grant resources to the RNs in advance. These resources are in general granted for relaying the data/control packets such that the RNs can use these resources for forwarding the data/control packets from the UEs whom they are cooperating with. Therefore, these

resource grants may not be UE-specific. The eNB may also indicate a time before which the packet has to be transmitted to the eNB. If an RN cannot relay the packet before this time, the RN need not transmit the packet. It is the RN's responsibility to send the first transmission before the deadline.

5 **[0098]** At event 2060, the eNB sends an ACK/NACK after checking the CRC of the received packet. This message may also be received by the RNs and may be concurrently relayed to the UE. At event 2070, if the CRC of the received packet did not pass, then the eNB sends a resource grant to the UE again, specifying the MCS and power adjustment. The MCS of the retransmission can be different from that of the
10 previous transmissions. The resource grant at event 2070 is required only for an asynchronous HARQ scheme. For a synchronous HARQ scheme, the UE retransmits the packets periodically at a predefined interval. For example, for LTE, the UE retransmits the packet every 8th subframe in the event of a NACK.

[0099] At event 2080, the UE and the RNs concurrently transmit the packet to the eNB
15 with the adjusted power setting and MCS. The transmit power settings of the various nodes are dependent on the type of concurrent transmission configured by the eNB. When the RNs and the UE are concurrently transmitting over the same frequency-time resources, the transmit power settings are set according to equation (7).

[00100] If the transmit power of the UE is set to its maximum power (i.e., power
20 saturation), then the RN transmit power is set by selecting the nodes which have the best CQM as the reference power level, and equation (7) is used to set the transmit power level of the j^{th} node. Otherwise, the transmit power of the RNs is set with respect to the transmit power of the UE, again using equation (7).

[00101] When the RNs and the UE are concurrently transmitting over different
25 resources, the transmit power settings of the UE and the RNs are set according to equations (4) and (6), respectively.

[00102] As described in the previous paragraphs, for the first CQM scheme, an RN's transmit power is set based on another RN's and/or the UE's transmit power levels and the corresponding CQM. Therefore, the RNs need to know the other cooperating node's
30 transmit power level. Furthermore, each RN should know whether the UE is operating at its maximum transmit power level. Therefore, there is a need for RNs and the eNB to

exchange measurement reports as shown in Figure 24. The measurement report from an RN may include the path loss measurement with respect to the other nodes (including the other RNs), the maximum transmit power level, and/or the maximum power level of the UEs attached to that node. The measurement report from the eNB may include power
5 adjustment parameters and power control parameters.

[00103] The cooperating RNs on the uplink are decided by the quality of the sounding reference signal/random access channel (SRS/RACH) reception by the nodes. Similarly, the cooperating RNs on the downlink are decided by the quality of the common reference signal (CRS) reception by the RNs. Furthermore, node cooperation also depends on the
10 availability of radio resources at a particular node (i.e., system load).

[00104] In summary, a novel HARQ mechanism for uplink cooperative multi-hop communication is provided to optimize over-the-air packet delay. The mechanism is equally applicable to synchronous and asynchronous HARQ transmission schemes. More specifically, smart/opportunistic relaying using half-duplex relays is provided to
15 enable cooperative communication advantages at the eNB. Also, efficient over-the-air cooperation mechanisms are provided. In addition, the cooperative HARQ mechanism is enabled using a newly defined CQM. Higher layer signaling is also provided to enable the proper transmit power control at the cooperating nodes for maximizing performance.

[00105] The description provided herein is in general applicable to both the L2 (Type 2) and L3 (Type 1) relay architectures as being discussed in 3GPP/LTE. Furthermore, the
20 presented techniques are easily applicable to in-band/out-of-band relays (i.e., Type 1a and Type 1b). Similarly, the techniques are equally applicable to any wireless network enabled with fixed/nomadic/mobile relay nodes.

[00106] Further, the cooperative relaying techniques presented herein may be related
25 to coordinated multi-point (COMP) transmission and reception techniques as defined in 3GPP TS 36.814. Cooperative communication from multiple nodes can be achieved in different ways. In this disclosure, at least six cooperative scenarios are addressed. In a first scenario, more than one node may concurrently transmit the same information packet to a destination node. In a second scenario, space-time/space-frequency may be
30 applied (i.e., transmissions from different nodes use the same frequency-time resources) across different transmissions to achieve transmission diversity gain at the destination

node. In a third scenario, any type of distributed coding (for example, distributed trellis coding or algebraic coding) may be applied across different transmissions to achieve coding gain at the destination node. In a fourth scenario, all the cooperating nodes may transmit information over different frequency-time resources using different FEC coding
5 employing the same or different MCS. In a fifth scenario, all the cooperating nodes may transmit different parts of the same information packet to obtain improved throughput at the destination node. In a sixth scenario, all the cooperating nodes' transmissions are coordinated such that inter-node interference is reduced.

[00107] The UEs, RNs, eNBs, and other components described above might include a
10 processing component that is capable of executing instructions related to the actions described above. Figure 25 illustrates an example of a system 2500 that includes a processing component 2510 suitable for implementing one or more embodiments disclosed herein. In addition to the processor 2510 (which may be referred to as a central processor unit or CPU), the system 2500 might include network connectivity devices
15 2520, random access memory (RAM) 2530, read only memory (ROM) 2540, secondary storage 2550, and input/output (I/O) devices 2560. These components might communicate with one another via a bus 2570. In some cases, some of these components may not be present or may be combined in various combinations with one another or with other components not shown. These components might be located in a
20 single physical entity or in more than one physical entity. Any actions described herein as being taken by the processor 2510 might be taken by the processor 2510 alone or by the processor 2510 in conjunction with one or more components shown or not shown in the drawing, such as a digital signal processor (DSP) 2502. Although the DSP 2502 is shown as a separate component, the DSP 2502 might be incorporated into the processor
25 2510.

[00108] The processor 2510 executes instructions, codes, computer programs, or scripts that it might access from the network connectivity devices 2520, RAM 2530, ROM 2540, or secondary storage 2550 (which might include various disk-based systems such as hard disk, floppy disk, SIM (subscriber identity module) card, or optical disk, or other
30 storage device). An application or other computer usable program code may be stored on any of these devices, or on some other storage device. While only one CPU 2510 is

shown, multiple processors may be present. Thus, while instructions may be discussed as being executed by a processor, the instructions may be executed simultaneously, serially, or otherwise by one or multiple processors. The processor 2510 may be implemented as one or more CPU chips.

5 **[00109]** The network connectivity devices 2520 may take the form of modems, modem banks, Ethernet devices, universal serial bus (USB) interface devices, serial interfaces, token ring devices, fiber distributed data interface (FDDI) devices, wireless local area network (WLAN) devices, radio transceiver devices such as code division multiple access (CDMA) devices, global system for mobile communications (GSM) radio transceiver
10 devices, worldwide interoperability for microwave access (WiMAX) devices, and/or other well-known devices for connecting to networks. These network connectivity devices 2520 may enable the processor 2510 to communicate with the Internet or one or more telecommunications networks or other networks from which the processor 2510 might receive information or to which the processor 2510 might output information. The network
15 connectivity devices 2520 might also include one or more transceiver components 2525 capable of transmitting and/or receiving data wirelessly.

[00110] The RAM 2530 might be used to store volatile data and perhaps to store instructions that are executed by the processor 2510. The ROM 2540 is a non-volatile memory device that typically has a smaller memory capacity than the memory capacity of
20 the secondary storage 2550. ROM 2540 might be used to store instructions and perhaps data that are read during execution of the instructions. Access to both RAM 2530 and ROM 2540 is typically faster than to secondary storage 2550. The secondary storage 2550 is typically comprised of one or more disk drives or tape drives and might be used for non-volatile storage of data or as an over-flow data storage device if RAM 2530 is not
25 large enough to hold all working data. Secondary storage 2550 or may be used to store programs that are loaded into RAM 2530 when such programs are selected for execution.

[00111] The I/O devices 2560 may include liquid crystal displays (LCDs), touch screen displays, keyboards, keypads, switches, dials, mice, track balls, voice recognizers, card readers, paper tape readers, printers, video monitors, or other well-known input/output
30 devices. Also, the transceiver 2525 might be considered to be a component of the I/O

devices 2560 instead of or in addition to being a component of the network connectivity devices 2520.

[00112] In an embodiment, a method for communication in a wireless network is provided. The method includes a relay node receiving a data packet and determining
5 whether to transmit the packet without performing error checking.

[00113] The relay node might measure a CQM based on the received packet and might modify the transmission of the packet to an access node based on the CQM. If the CQM is not below a first threshold, the relay node might demodulate, decode, re-encode, and re-modulate the packet. If the CQM is not below a first threshold but is below a second
10 threshold, the second threshold being greater than the first threshold, the relay node might demodulate, decode, re-encode, and re-modulate the packet, and, if the CQM is not below the first threshold and is not below the second threshold, the relay node might demodulate and re-modulate the packet but might not decode and re-encode the packet. If the relay node has previously attempted to transmit the packet or if the transmission of
15 the packet cannot occur at a scheduled subframe that is earlier than a packet transmission deadline as specified by the access node, the relay node might transmit the packet concurrently with an earliest retransmission of the same packet from a UE or with an earliest transmission of the same packet from another relay node, if the packet reception is not acknowledged by the access node. The concurrent transmissions from
20 the UE and/or the relay node might be spatially encoded and might be transmitted using the same radio resources to the access node. The concurrent transmissions from the UE and/or the relay node might use different code words and might be transmitted using different radio resources so that the access node can achieve the best performance by performing IR combining. The concurrent transmissions from the UE and/or the relay
25 node might use same code words and might be transmitted using different radio resources so that the access node can achieve the best performance by performing maximal ratio combining.

[00114] In another embodiment, a relay node in a wireless network is provided. The relay node includes a processor configured such that the relay node receives a data
30 packet and determines whether to transmit the packet without performing error checking.

[00115] The relay node might measure a CQM based on the received packet and might modify the transmission of the packet to an access node based on the CQM. The relay node might append the CQM to the transmission of the packet to the access node, and the access node might combine the packet received from the relay node with at least one
5 other instance of the packet received from another node, the combination of the at least two instances of the packet being weighted by the access node based on the CQM. The relay node might control the power of the transmission of the packet to the access node based on the CQM, and the packet received from the relay node and at least one other
10 instance of the packet received from another node might be combined at the access node. The relay node might control the power of the transmission of the packet to the access node based on the CQM, and the packet received from the relay node and at least one other instance of the packet received from another node might be combined naturally over-the-air. If the CQM is below a first threshold, the relay node might not send the packet, might listen for another transmission of the same packet from another node if
15 the packet is not acknowledged by the access node, might combine a newly received packet with the previously received packet, might calculate another CQM, and might compare the newly calculated CQM to the first threshold. If the CQM is not below a first threshold, the relay node might demodulate, decode, re-encode, and re-modulate the packet. If the CQM is not below a first threshold but is below a second threshold, the
20 second threshold being greater than the first threshold, the relay node might demodulate, decode, re-encode, and re-modulate the packet, and, if the CQM is not below the first threshold and is not below the second threshold, the relay node might demodulate and re-modulate the packet but might not decode and re-encode the packet. If the relay node has not previously attempted to transmit the packet and if the transmission of the packet
25 can occur at a scheduled subframe, the relay node might transmit the packet as scheduled. If the relay node has previously attempted to transmit the packet or if the transmission of the packet cannot occur at a scheduled subframe, the relay node might transmit the packet concurrently with an earliest retransmission of the same packet from a UE or with an earliest transmission of the same packet from a different relay node, if the
30 packet is not acknowledged by the access node. The concurrent transmissions might use the same frequency-time resources or different frequency-time resources. The

concurrent transmissions might have a random transmission delay that is not more than the cyclic prefix minus the expected maximum channel dispersion, if the same frequency-time resources are used for concurrent transmissions from different nodes. The concurrent transmissions from the relay nodes might be spatially encoded with the transmission from the UE and might be transmitted using the same radio resources to the access node, the spatial encoding rules might be pre-assigned by the access node. The concurrent transmissions from the relay nodes might use different code words and might be transmitted using different radio resources so that the access node can achieve the best performance by performing IR combining, and the code words to be transmitted might be pre-assigned by the access node. The concurrent transmissions from the relay nodes might use same code words and might be transmitted using different radio resources so that the access node can achieve the best performance by performing maximal ratio combining, and the code word to be transmitted might be pre-assigned by the access node. If the relay node has not previously attempted to transmit the packet and if the transmission of the packet can occur at a scheduled subframe, the relay node might transmit the packet as scheduled. If the relay node has previously attempted to transmit the packet or if the transmission of the packet cannot occur at a scheduled subframe, the relay node might transmit the packet concurrently with an earliest retransmission of the same packet from a UE or with an earliest transmission of the same packet from a different relay node, if the packet is acknowledged by the access node. The error checking procedure might be a cyclic redundancy check. The CQM of the m^{th} part of the packet might be calculated during the symbol modulation according to the equation:

$$c_m = \frac{\sigma}{\sum_{l=0}^{\sigma-1} \|\tilde{s}_{l+m\sigma} - \hat{s}_{l+m\sigma}\|^2} \quad \text{for } m = 0, \dots, \frac{n_s}{\sigma} - 1$$

and the overall CQM of the packet might be calculated according to the equation:

$$C = \frac{n_s}{\sum_{l=0}^{n_s-1} \|\tilde{s}_{l+m\sigma} - \hat{s}_{l+m\sigma}\|^2}$$

where

$$\hat{s} = \mathfrak{F}^{-1} \left(\sum_{j=0}^{J_R-1} \mathbf{W}_j \mathbf{R}_j \right)$$

and where $\mathfrak{F}$ represents the Fourier transform operation, $\mathbf{W}_j$ represents the coefficients of the frequency domain equalizer for the j^{th} receive antenna, and $\mathbf{R}_j$ represents the received SC-OFDMA symbol over the j^{th} receive antenna, and where $\hat{\mathbf{s}}_i = Q[\mathbf{s}_i]$, where $Q(\dots)$ is the quantization function which maps the soft symbol to the M -ary QAM constellation points.

The CQM of the m^{th} part of the packet, c_m , might be calculated during the bit decoding based on the log-likelihood ratios. The access node might send the relay node and another node contiguous resource allocations for transmissions of the packet to the access node, each of the contiguous resource allocations having a specified starting point, and the relay node and the other node might use the resource allocations to transmit the packet concurrently. The contiguous resource allocations might be assigned to the relay node and the other node by means of a cooperating group radio network temporary identifier. If different frequency-time resources are used for concurrent transmission from different nodes, the power of the transmission of the packet might be controlled according to the equation:

$$P_{RN-j}(i + v) = \min \left\{ P_{RNMAX}, P_0 + 10 \log_{10} (M_{RB_{RN}}) + \alpha L_j + \Delta_{TF}(i + v) + f(i + v) \right\}$$

where L_j is the path loss between the access node and RN- j ,

$\Delta_{TF}(i)$ is the RN-specific power adjustment controlled by the access node,

$f(i)$ is the RN-specific closed loop power control parameter sent by the access node in the PDCCH,

P_{RNMAX} is the maximum transmit power of the relay node, and

$M_{RB_{RN}}$ represents the number of resource blocks assigned to the relay node transmission during the $(i + v)^{\text{th}}$ subframe.

If the same frequency-time resources are used for concurrent transmission from different nodes, the power of the transmission of the packet might be controlled according to the equation:

$$P_{RN-j}(i+v) = \min \{P_{RNMAX}, P_m - L_m + L_j + \psi_j(CQM)\}$$

wherein, when the CQM of the received packet is excellent, $\psi_j(CQM)$ is set to '0', where the function $\psi_j(CQM)$ is a monotonically increasing function with CQM, and wherein, when the CQM is low, $\psi_j(CQM)$ becomes a negative value, resulting in a reduction of transmit power, and wherein, if a UE is not part of the concurrent transmission, P_m represents the transmit power of the node m that has the best CQM over the packet reception from the UE, and wherein, if a UE is part of the concurrent transmission, P_m represents the transmit power of the UE.

[00116] In another embodiment, an access node in a wireless network is provided. The access node includes a processor configured such that the access node combines a data packet received from a relay node with at least one other instance of the packet received from another node, the combination of the at least two instances of the packet being weighted by the access node based on a CQM associated with the packet.

[00117] The following documents are hereby incorporated by reference in their entirety: 3GPP TS 36.211, 3GPP TS 36.213, and 3GPP TS 36.814.

[00118] While several embodiments have been provided in the present disclosure, it should be understood that the disclosed systems and methods may be embodied in many other specific forms without departing from the spirit or scope of the present disclosure. The present examples are to be considered as illustrative and not restrictive, and the intention is not to be limited to the details given herein. For example, the various elements or components may be combined or integrated in another system or certain features may be omitted, or not implemented.

[00119] Also, techniques, systems, subsystems and methods described and illustrated in the various embodiments as discrete or separate may be combined or integrated with other systems, modules, techniques, or methods without departing from the scope of the present disclosure. Other items shown or discussed as coupled or directly coupled or

communicating with each other may be indirectly coupled or communicating through some interface, device, or intermediate component, whether electrically, mechanically, or otherwise. Other examples of changes, substitutions, and alterations are ascertainable by one skilled in the art and could be made without departing from the spirit and scope disclosed herein.

5

CLAIMS

What is claimed is:

1. A method for communication in a wireless network, comprising:
a relay node receiving a data packet and determining whether to transmit the
5 packet without performing error checking.
2. The method of claim 1, wherein the relay node measures a channel quality
measurement (CQM) based on the received packet, and wherein the relay node modifies
the transmission of the packet to an access node based on the CQM.
10
3. The method of claim 2, wherein the relay node appends the CQM to the
transmission of the packet to the access node, and wherein the access node combines
the packet received from the relay node with at least one other instance of the packet
received from another node, the combination of the at least two instances of the packet
15 being weighted by the access node based on the CQM.
4. The method of claim 2, wherein the relay node controls the power of the
transmission of the packet to the access node based on the CQM, and wherein the
packet received from the relay node and at least one other instance of the packet
20 received from another node are combined at the access node.
5. The method of claim 2, wherein the relay node controls the power of the
transmission of the packet to the access node based on the CQM, and wherein the
packet received from the relay node and at least one other instance of the packet
25 received from another node are combined naturally over-the-air.
6. The method of claim 2, wherein, if the CQM is below a first threshold, the relay
node does not send the packet, the relay node listens for another transmission of the
same packet from another node or multiple nodes if the packet is not acknowledged by
30 the access node, the relay node combines a newly received packet with the previously

received packet, the relay node calculates another CQM, and the relay node compares the newly calculated CQM to the first threshold.

7. The method of claim 2, wherein, if the CQM is not below a first threshold, the relay
5 node demodulates, decodes, re-encodes, and re-modulates the packet.

8. The method of claim 2, wherein, if the CQM is not below a first threshold but is
below a second threshold, the second threshold being greater than the first threshold, the
relay node demodulates, decodes, re-encodes, and re-modulates the packet, and
10 wherein, if the CQM is not below the first threshold and is not below the second threshold,
the relay node demodulates and re-modulates the packet but does not decode and re-
encode the packet.

9. The method of claim 7, wherein, if the relay node has not previously attempted to
15 transmit the packet and if the transmission of the packet can occur at a scheduled
subframe that is earlier than a packet transmission deadline as specified by the access
node, the relay node transmits the packet as scheduled.

10. The method of claim 7, wherein, if the relay node has previously attempted to
20 transmit the packet or if the transmission of the packet cannot occur at a scheduled
subframe that is earlier than a packet transmission deadline as specified by the access
node, the relay node transmits the packet concurrently with an earliest retransmission of
the same packet from a user equipment (UE) or with an earliest transmission of the same
packet from another relay node, if the packet reception is not acknowledged by the
25 access node.

11. The method of claim 10, wherein the concurrent transmissions use one of:
the same frequency-time resources; and
different frequency-time resources.

12. The method of claim 11, wherein the concurrent transmissions have a random transmission delay that is not more than the cyclic prefix minus the expected maximum channel dispersion if the same frequency-time resources are used for the concurrent transmissions from different nodes.

5

13. The method of claim 8, wherein, if the relay node has not previously attempted to transmit the packet and if the transmission of the packet can occur at a scheduled subframe that is earlier than a packet transmission deadline as specified by the access node, the relay node transmits the packet as scheduled.

10

14. The method of claim 8, wherein, if the relay node has previously attempted to transmit the packet or if the transmission of the packet cannot occur at a scheduled subframe that is earlier than a packet transmission deadline as specified by the access node, the relay node transmits the packet concurrently with an earliest retransmission of the same packet from a user equipment (UE) or with an earliest transmission of the same packet from another relay node if the packet reception is acknowledged by the access node.

15

15. The method of claim 14, wherein the concurrent transmissions use one of:
the same frequency-time resources; and
different frequency-time resources.

20

16. The method of claim 15, wherein the concurrent transmissions have a random transmission delay that is not more than the cyclic prefix minus the expected maximum channel dispersion, if the same frequency-time resources are used for the concurrent transmission from different nodes.

25

17. The method of claim 1, wherein the error checking procedure is a cyclic redundancy check.

30

18. The method of claim 2, wherein the CQM for the m^{th} part of the packet is calculated during the symbol demodulation according to the equation:

$$c_m = \frac{\sigma}{\sum_{l=0}^{\sigma-1} \|\tilde{s}_{l+m\sigma} - \hat{s}_{l+m\sigma}\|^2} \quad \text{for } m = 0, \dots, \frac{n_s}{\sigma} - 1$$

and the overall CQM of the packet is calculated according to the equation:

$$c = \frac{n_s}{\sum_{l=0}^{n_s-1} \|\tilde{s}_{l+m\sigma} - \hat{s}_{l+m\sigma}\|^2}$$

where

$$\hat{s} = \mathfrak{S}^{-1} \left(\sum_{j=0}^{J_R-1} W_j R_j \right)$$

and where $\mathfrak{S}$ represents the Fourier transform operation, W_i represents the coefficients of the frequency domain equalizer for the j^{th} receive antenna, and R_j represents the received SC-OFDMA symbol over the j^{th} receive antenna, and where $\tilde{s}_i = Q[\mathfrak{s}_i]$, where $Q(\dots)$ is the quantization function which maps the soft symbol to the M -ary QAM constellation points.

19. The method of claim 2, wherein the CQM for the m^{th} part of the packet, c_m , is calculated during the decoding process based on the log-likelihood ratios.

20. The method of claim 2, wherein the access node sends the relay node and another node contiguous resource allocations for transmissions of the packet to the access node, each of the contiguous resource allocations having a specified starting point, and wherein the relay node and the other node use the resource allocations to transmit the packet concurrently.

21. The method of claim 20, wherein the contiguous resource allocations are assigned to the relay node and the other node by means of a cooperating group radio network temporary identifier.

22. The method of claim 4, wherein, if different frequency-time resources are used for concurrent transmission from different nodes, the power of the transmission of the packet is controlled according to the equation:

$$P_{RN-j}(i+v) = \min \left\{ P_{RNMAX}, P_0 + 10 \log_{10} (M_{RB_{RN}}) + \alpha L_j + \Delta_{TF}(i+v) + f(i+v) \right\}$$

where L_j is the path loss between the access node and RN- j ,

$\Delta_{TF}(i)$ is the RN-specific power adjustment controlled by the access node,

$f(i)$ is the RN-specific closed loop power control parameter sent by the access node in the PDCCH,

P_{RNMAX} is the maximum transmit power of the relay node, and

$M_{RB_{RN}}$ represents the number of resource blocks assigned to the relay node transmission during the $(i+v)^{th}$ subframe.

23. The method of claim 4, wherein, if the same frequency-time resources are used for concurrent transmission from different nodes, the power of the transmission of the packet is controlled according to the equation:

$$P_{RN-j}(i+v) = \min \left\{ P_{RNMAX}, P_m - L_m + L_j + \psi_j(CQM) \right\}$$

wherein, when the CQM of the received packet is excellent, $\psi_j(CQM)$ is set to '0', where the function $\psi_j(CQM)$ is a monotonically increasing function with CQM, and wherein, when the CQM is low, $\psi_j(CQM)$ becomes a negative value, resulting in a reduction of transmit power, and wherein, if a user equipment (UE) is not part of the concurrent transmission, P_m represents the transmit power of the node m that has the best CQM over the packet reception from the UE, and wherein, if a UE is part of the concurrent transmission, P_m represents the transmit power of the UE.

24. A relay node in a wireless network, comprising:

a processor configured such that the relay node receives a data packet and determines whether to transmit the packet without performing error checking.

25. An access node in a wireless network, comprising:

a processor configured such that the access node combines a data packet received from a relay node with at least one other instance of the packet received from another node, the combination of the at least two instances of the packet being weighted by the access node based on a channel quality measurement (CQM) associated with the packet.

26. The access node of claim 25, wherein the access node receives the packet from the relay node after the relay node determines to transmit the packet without performing error checking.

27. The access node of claim 25, wherein the CQM is appended to the packet.

28. The access node of claim 25, wherein the packet transmission is power controlled by the measured CQM.

29. The access node of claim 25, wherein the access node grants the nodes resources to send the packet in a first transmission, the resource grants for the first transmission being soft and being intended for transmitting data from multiple nodes, and wherein, along with the grants, the access node also specifies a time before which the packet is to be transmitted.

30. The access node of claim 25, wherein the access node pre-defines the space time coding or the transmit delay employed across the transmit antennas of at least one cooperating relay node and at least one cooperating user equipment.

31. The access node of claim 25, wherein the access node pre-defines the code words transmitted by at least one cooperating relay node and at least one cooperating user equipment.

32. The access node of claim 25, wherein the access node grants the nodes resources to send the packet in a first retransmission in case of asynchronous Hybrid Automatic Repeat Request (HARQ), and wherein the access node instructs the nodes to use all the assigned resources.

5

33. The access node of claim 25, wherein the access node grants the nodes resources to send the packet for a first retransmission in case of asynchronous HARQ, and wherein the resource grants for the nodes are orthogonal and contiguous.

10 34. The access node of claim 25, wherein the access node sends the nodes contiguous resource allocations for transmissions of the packet to the access node, each of the contiguous resource allocations having a specified starting point, and wherein the nodes use the resource allocations to transmit the packet concurrently, and wherein the contiguous resource allocations are assigned to the nodes by means of a cooperating
15 group radio network temporary identifier.

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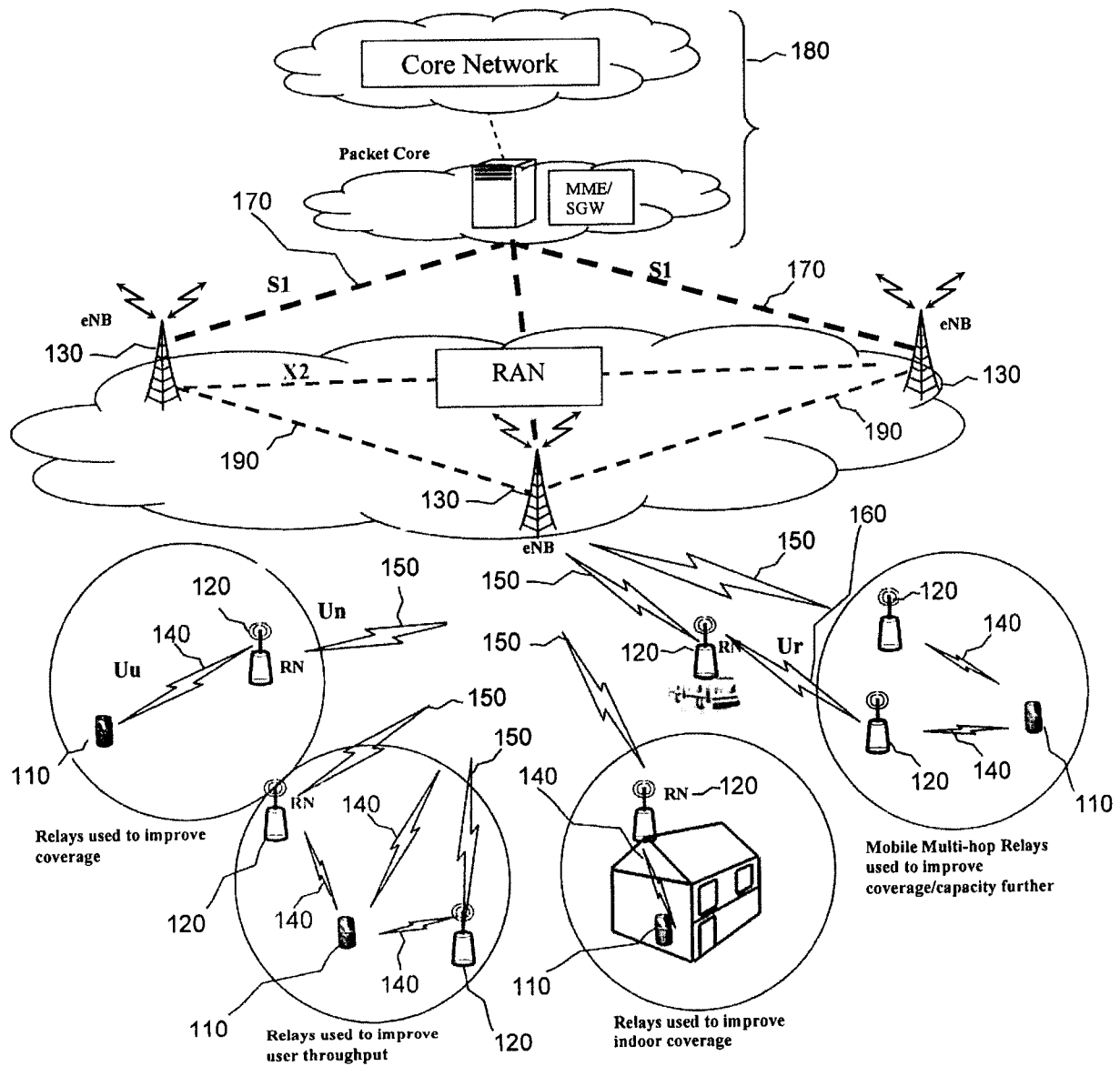


Figure 1

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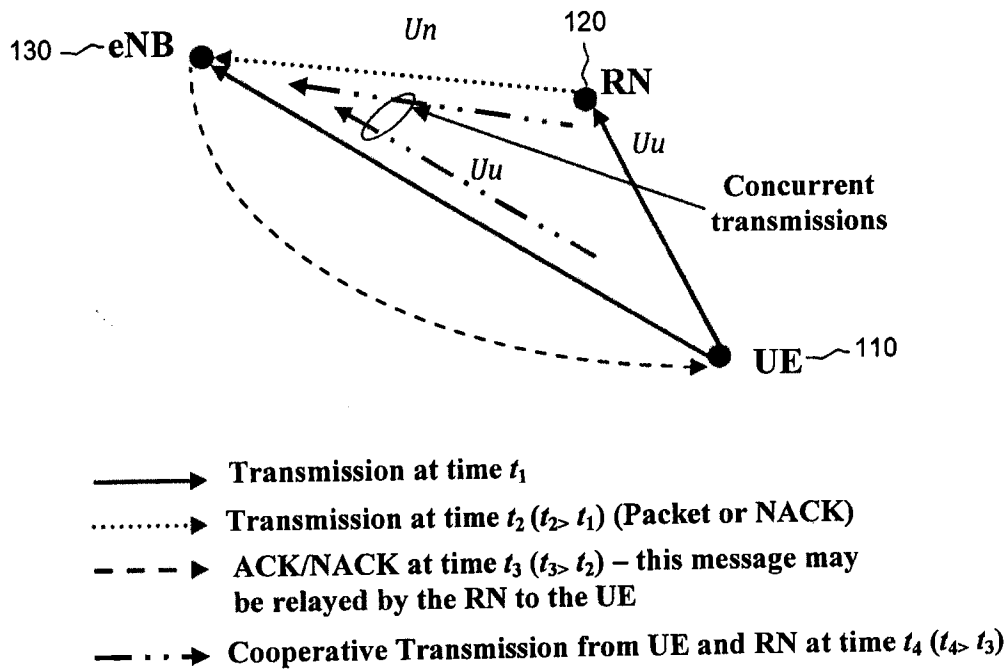


Figure 2

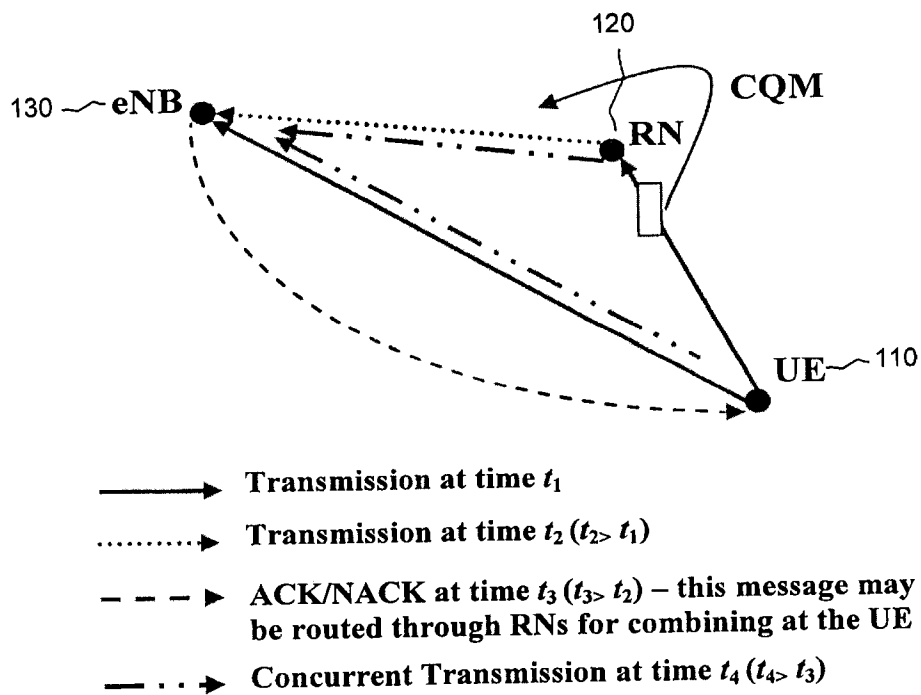


Figure 3

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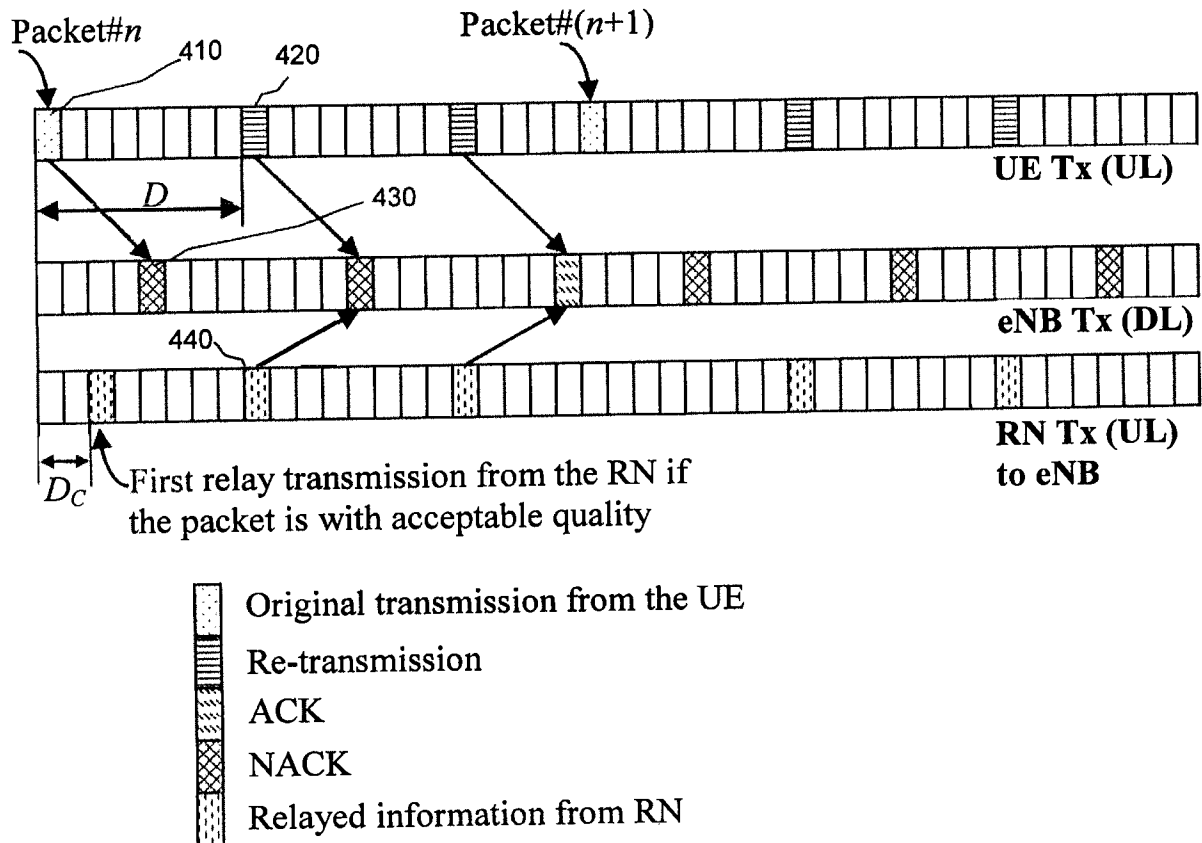


Figure 4

Figure 5

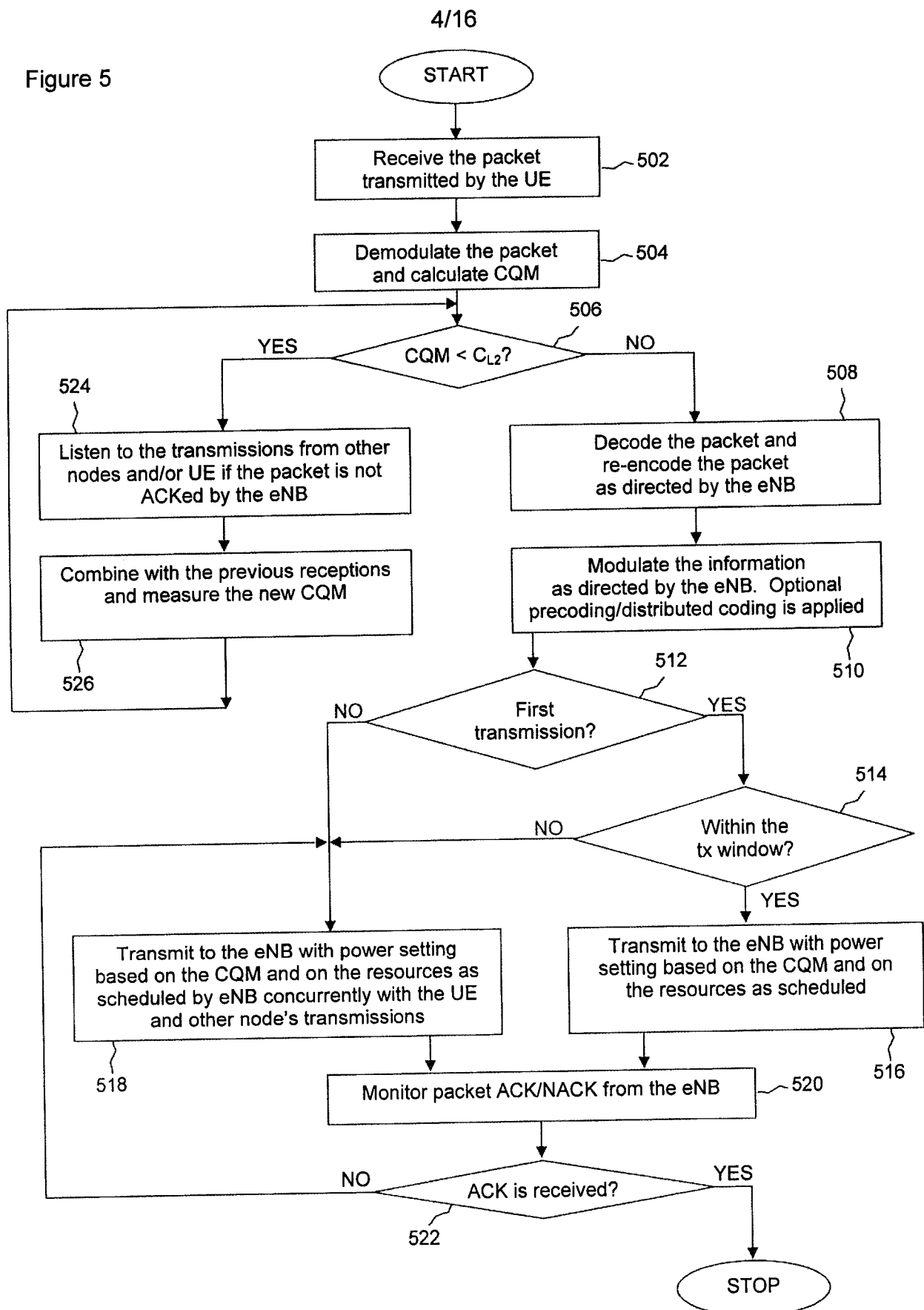
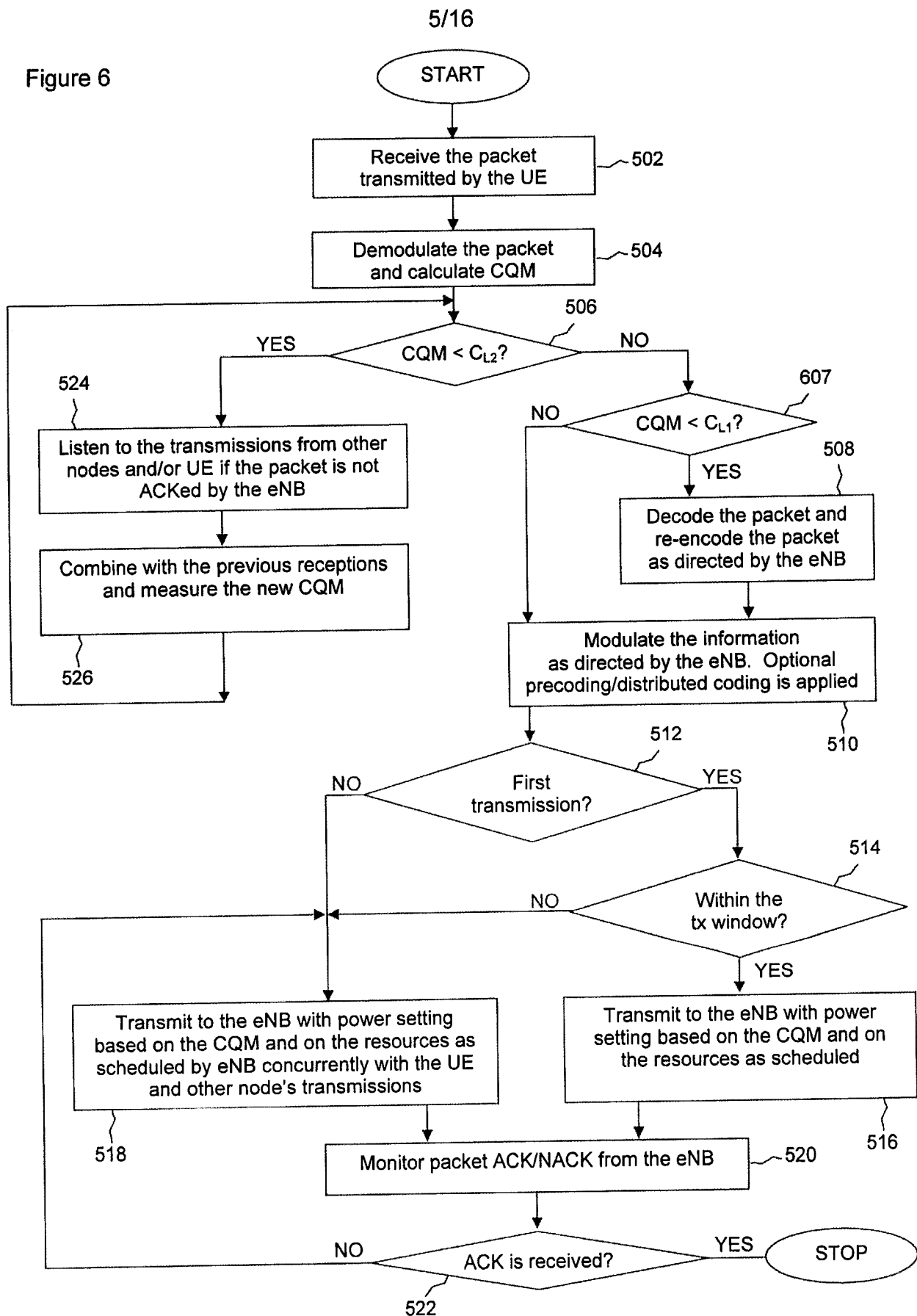


Figure 6



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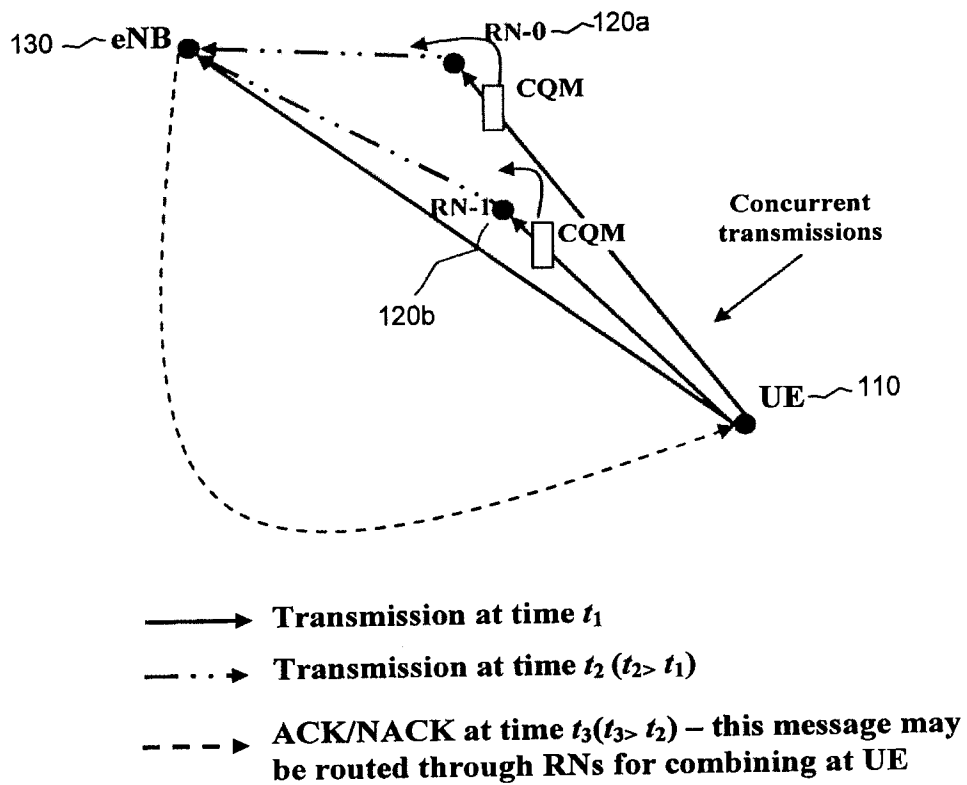


Figure 7

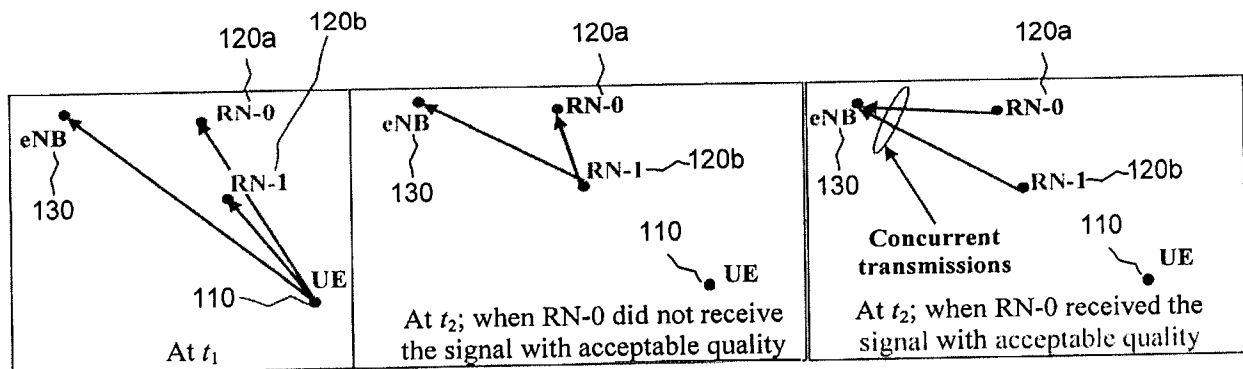


Figure 8

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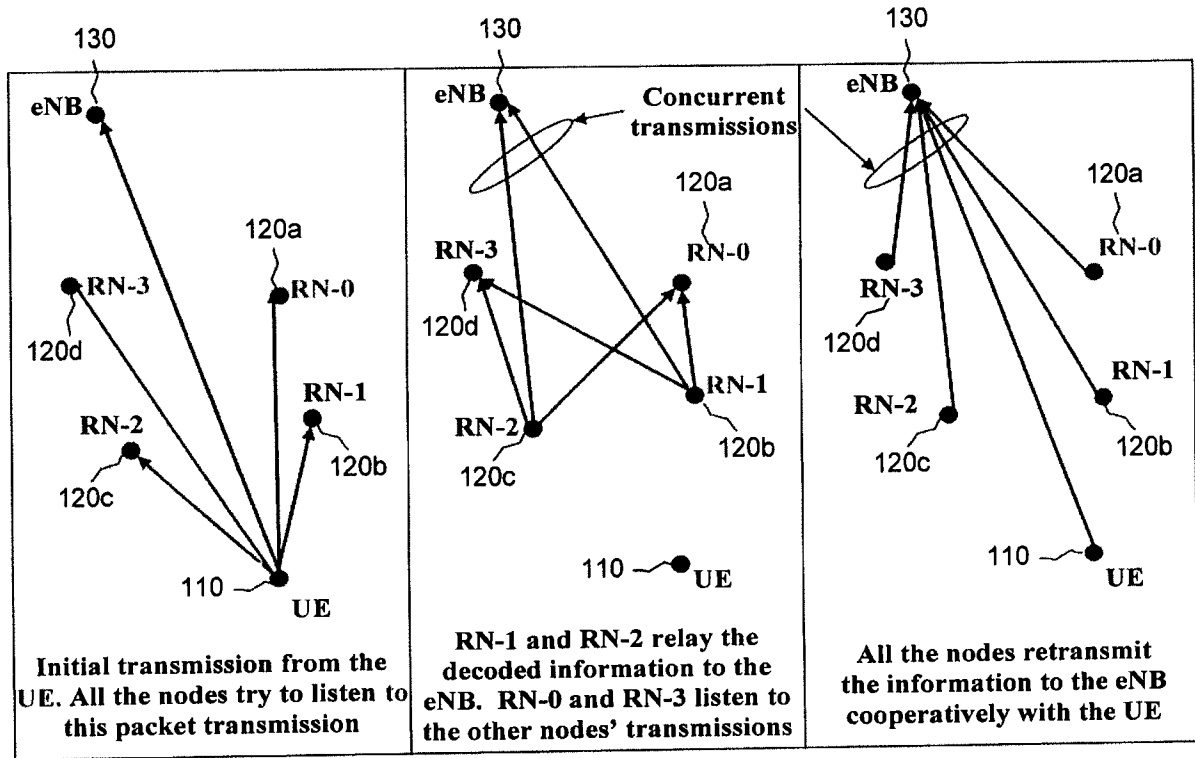


Figure 9

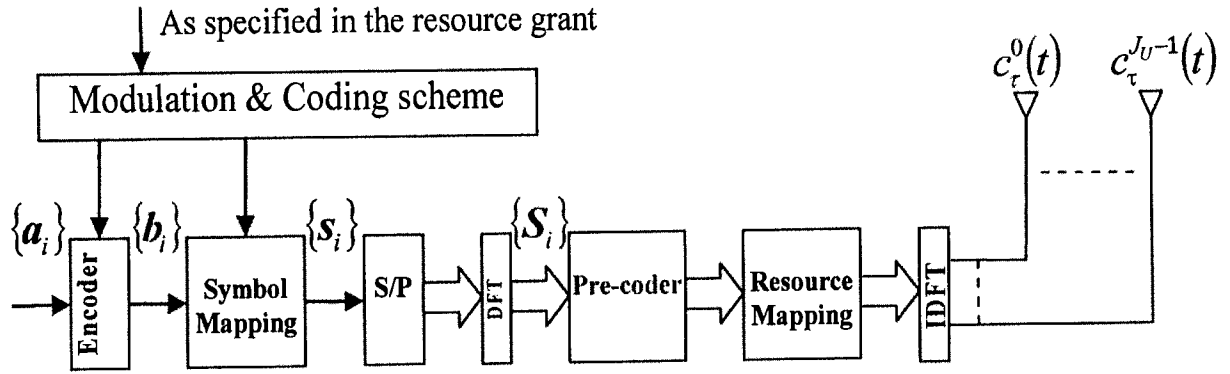


Figure 10

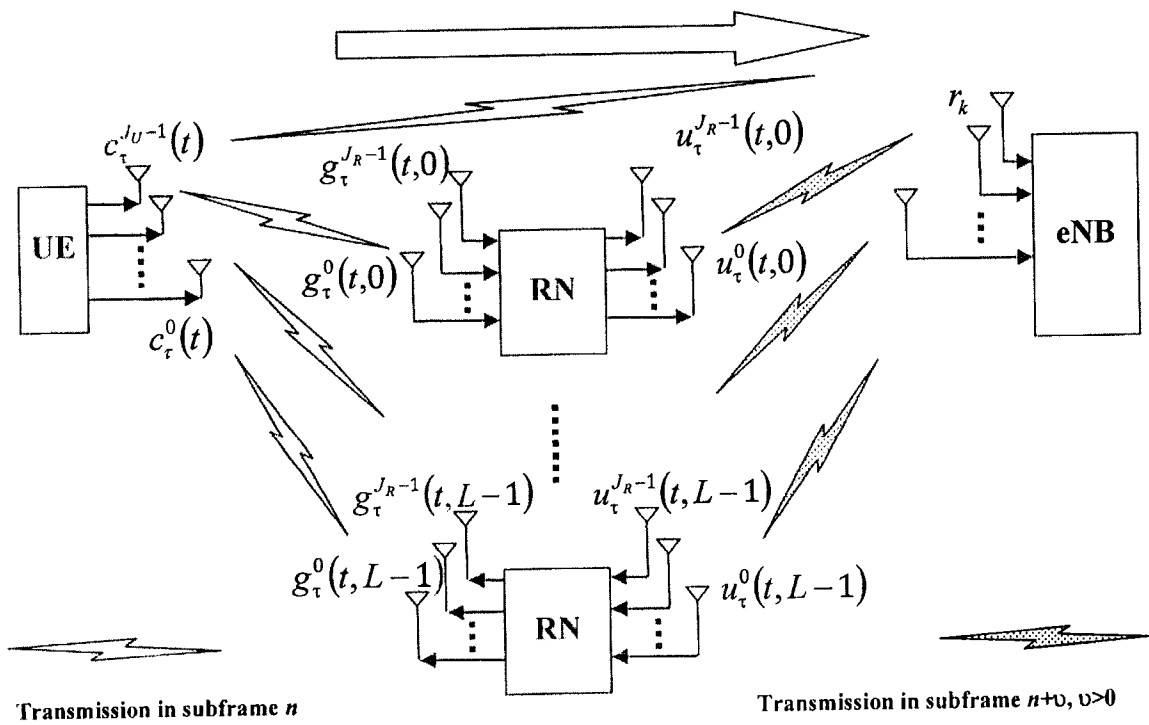


Figure 11

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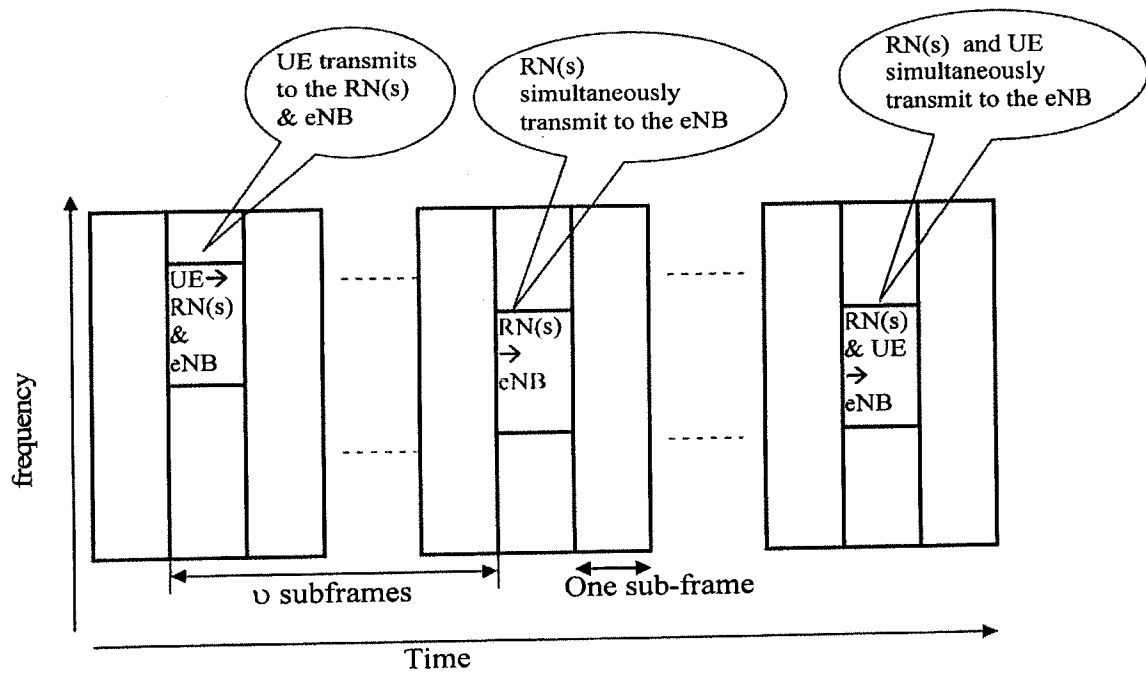


Figure 12

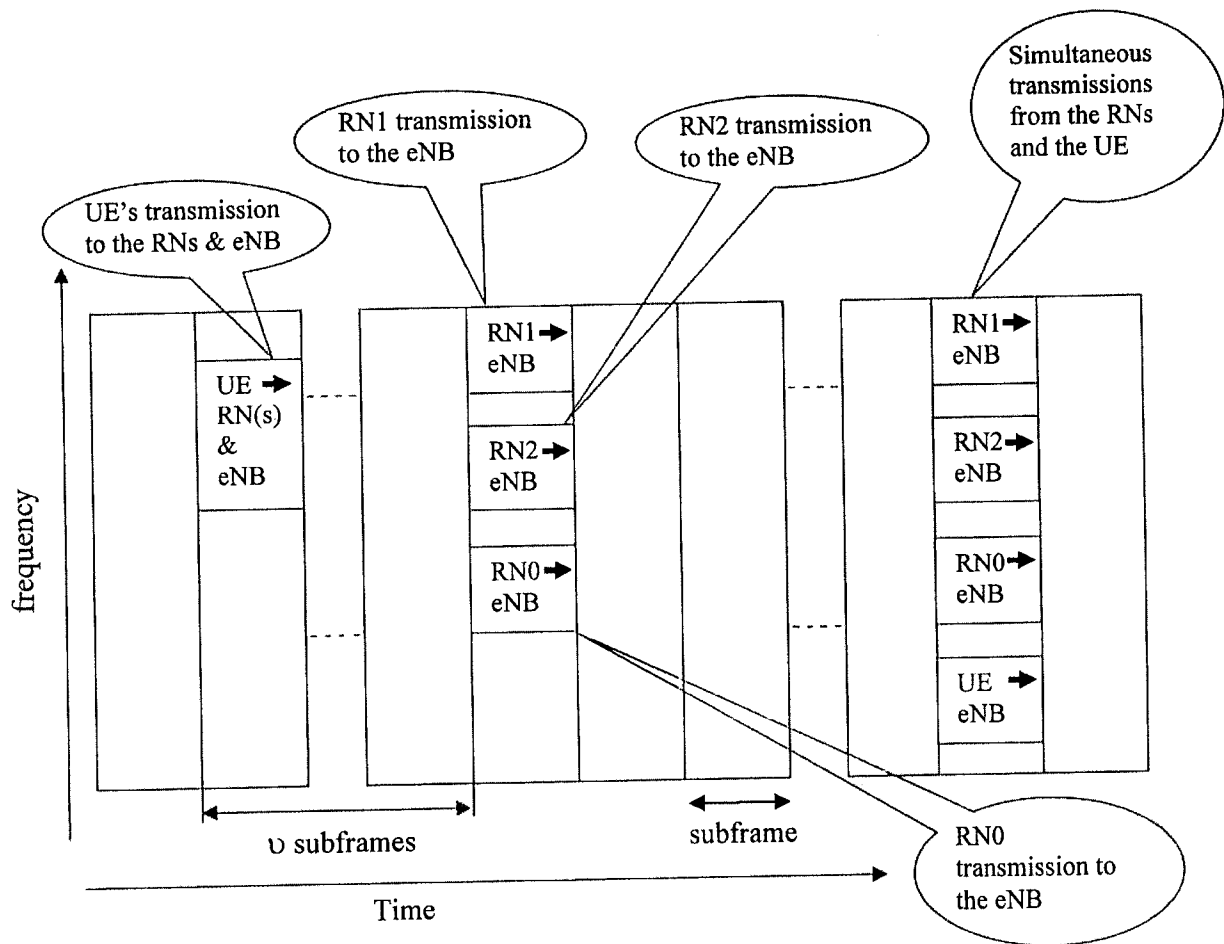


Figure 13

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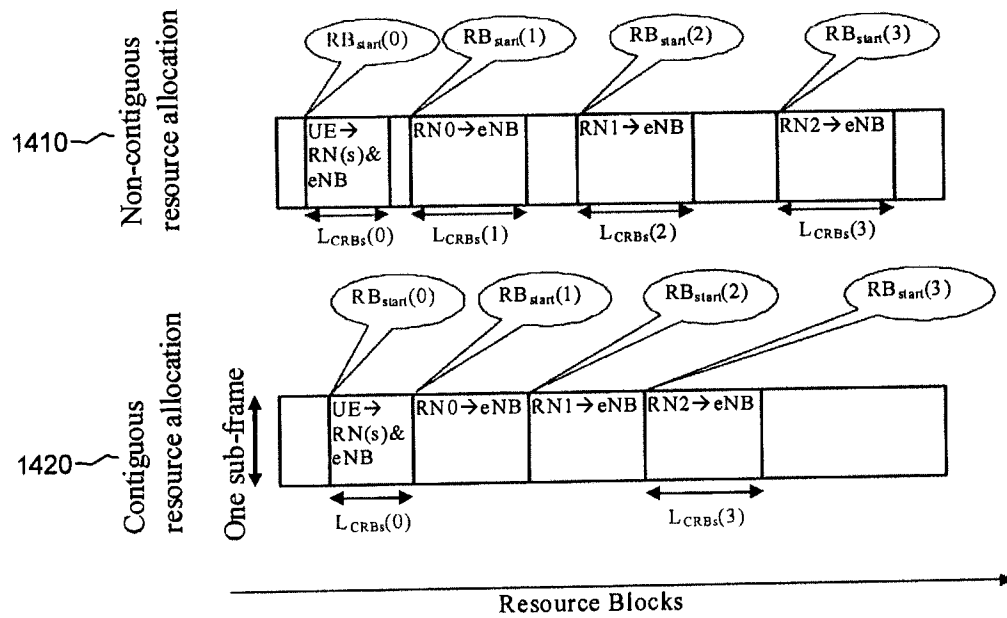


Figure 14

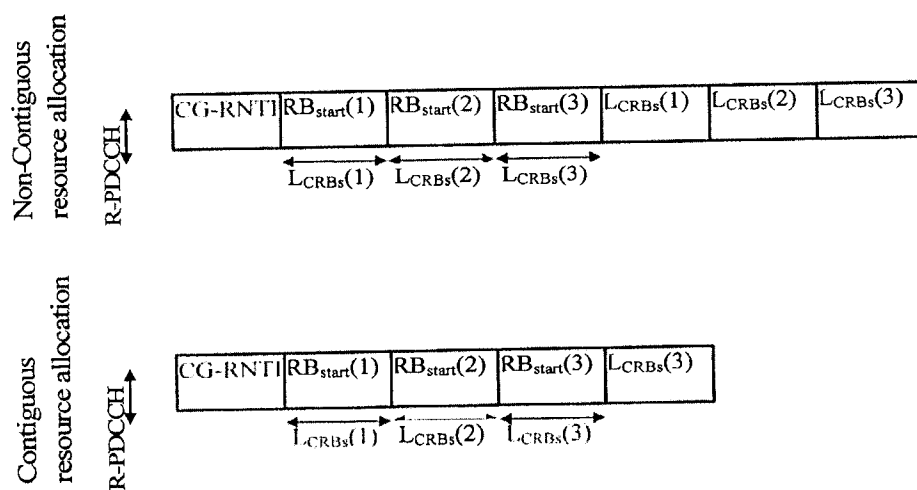


Figure 15

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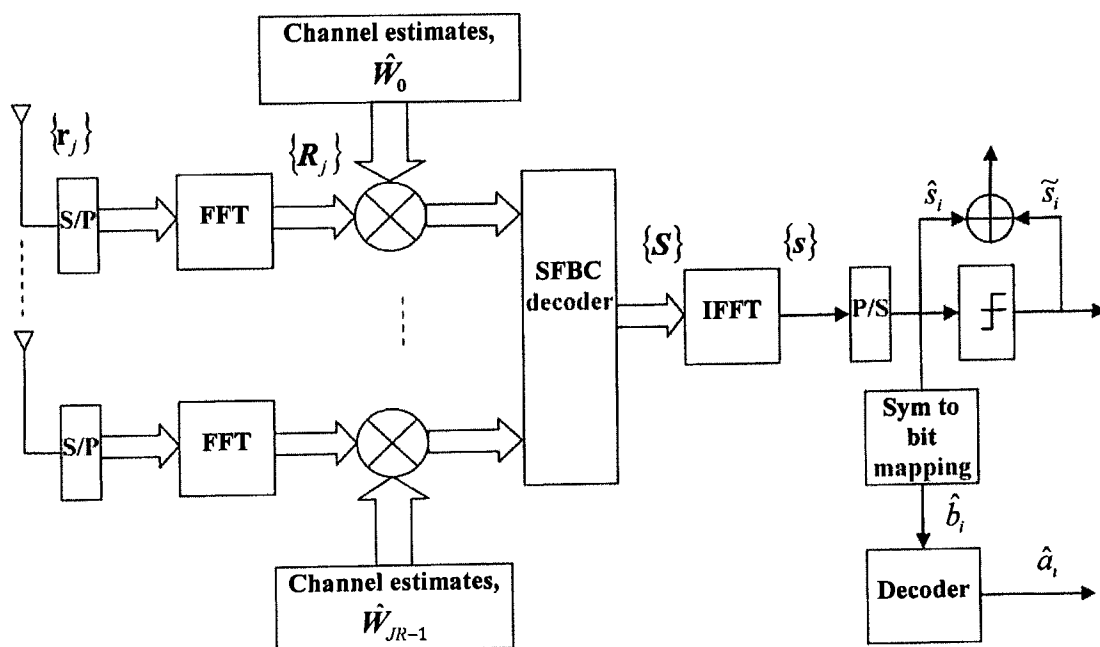


Figure 16

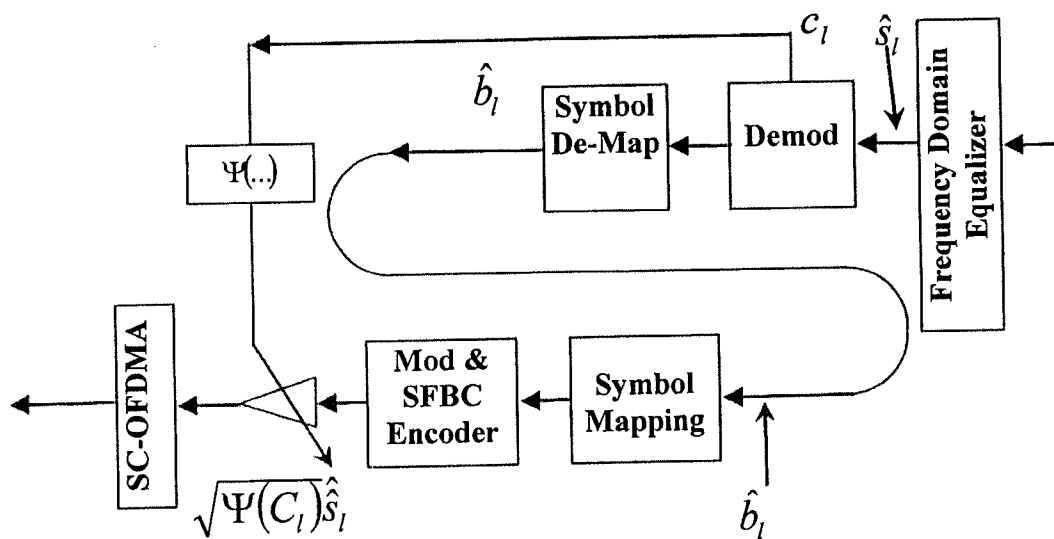


Figure 17

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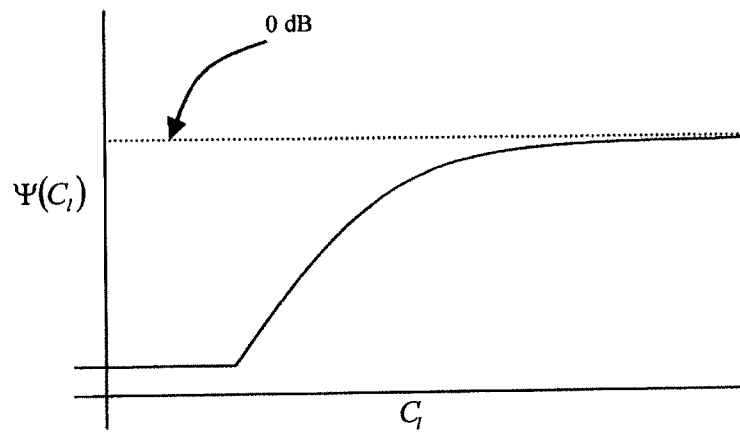


Figure 18

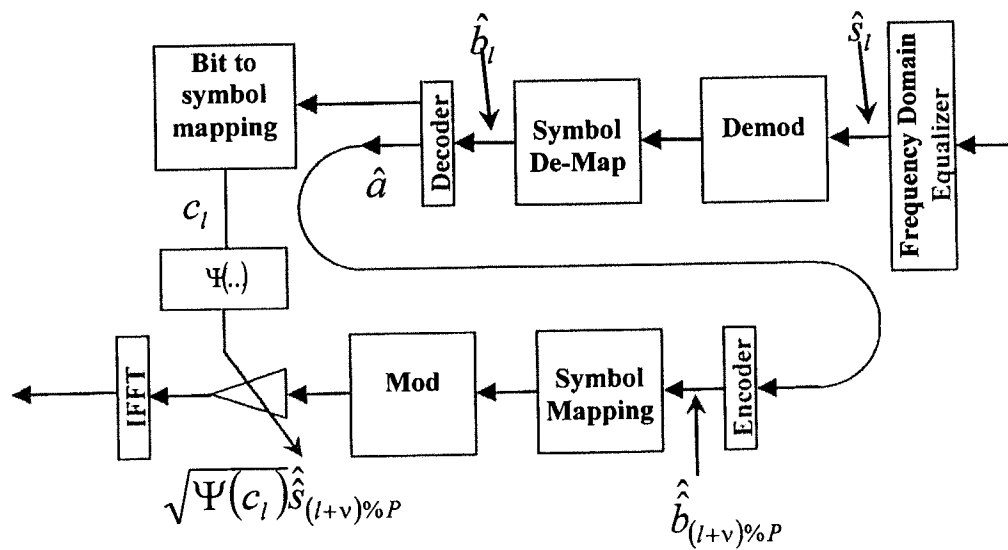


Figure 19

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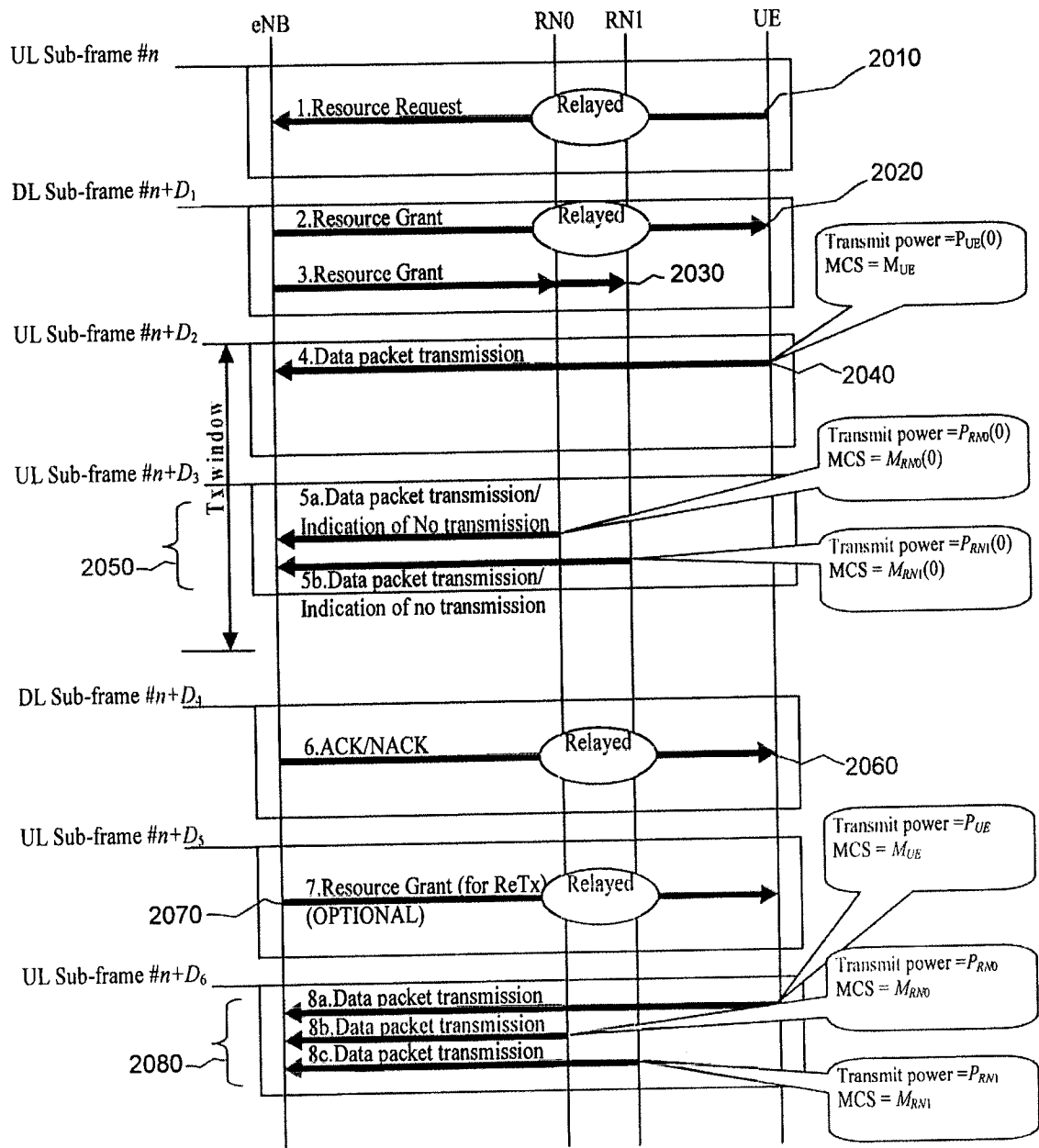


Figure 20

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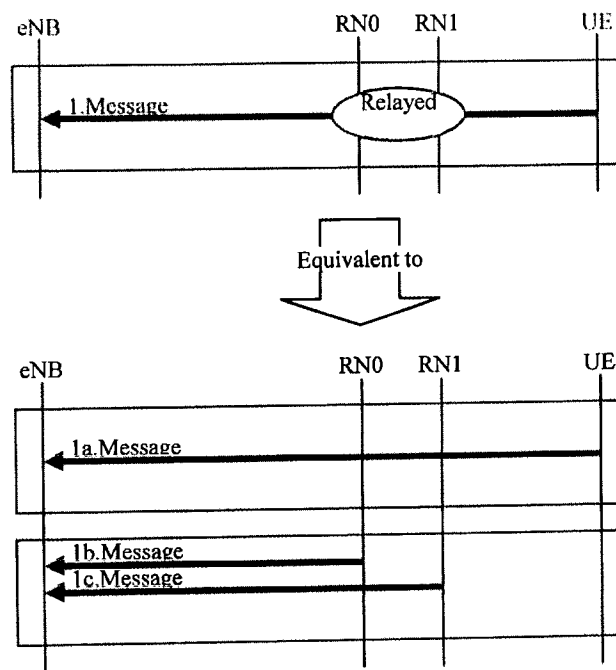


Figure 21

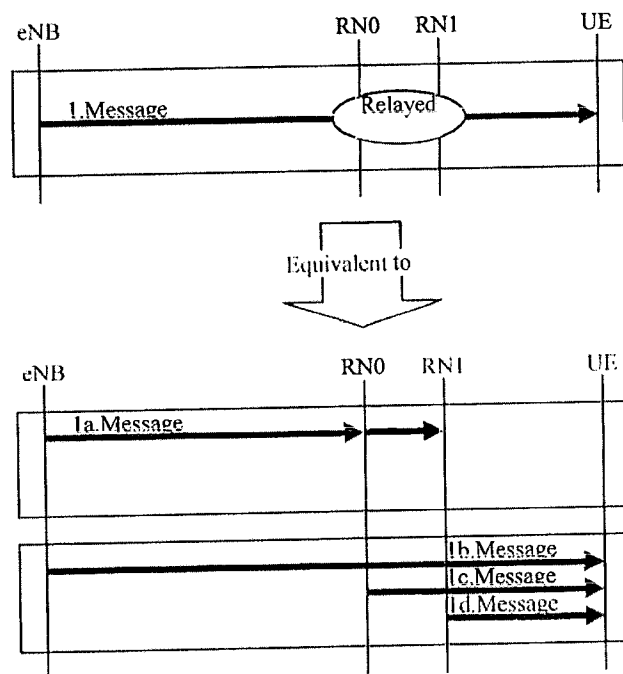


Figure 22

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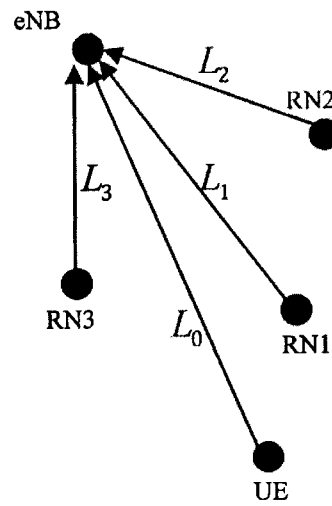


Figure 23

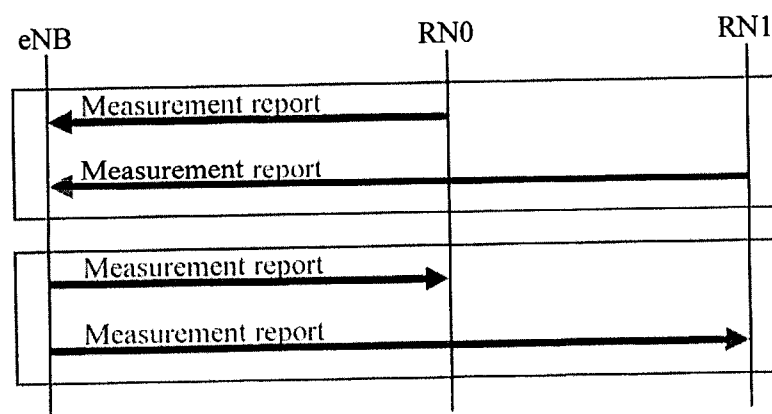


Figure 24

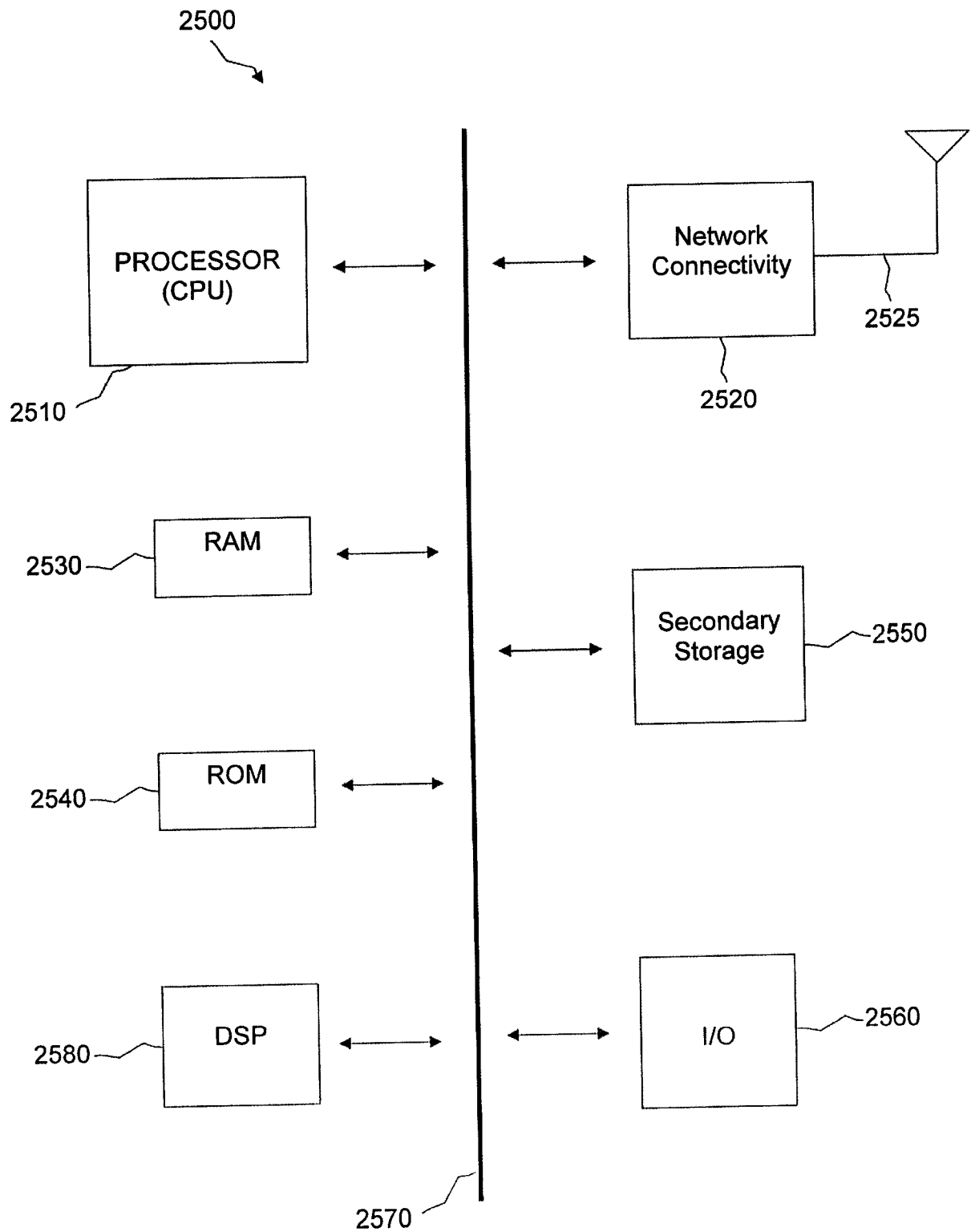


Figure 25