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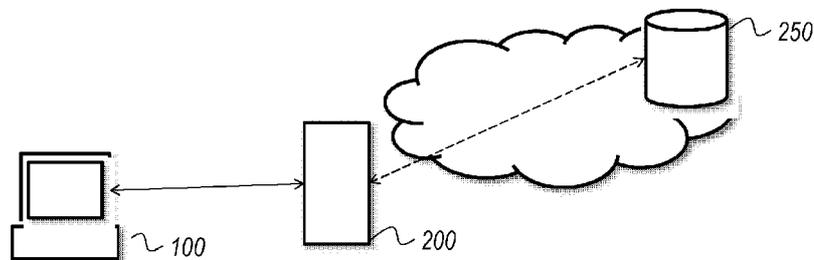
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- (54) **Title:** METHOD AND APPARATUS FOR MONITORING TRANSMISSION CHARACTERISTICS IN A NETWORK

*Figure 1*



- (57) **Abstract:** A method for monitoring transmission characteristics in a network comprising a media client (100), a media server (200), and a data processor (250), the method comprising: establishing (300) a connection with said data processor (250); establishing (310) an end-to-end encrypted channel between said media client (100) and said data processor (250) for exchanging streaming media content over said connection; sending (320) a probing message to said data processor (250) over said channel, said probing message carrying a first timestamp indicating the sending time of said probing message; receiving (330) a response to said probing message from said data processor (250), said response carrying a second timestamp indicating the arrival time of said probing message at said data processor (250); and deriving (350) latency information, including an upstream latency between said media client (100) and said data processor (250), from a difference between said second timestamp and said first timestamp.



## Method and Apparatus for Monitoring Transmission Characteristics in a Network

### Field of the Invention

5

The present invention relates to streaming media networks, and in particular to monitoring transmission characteristics in such networks .

### 10 Background

The architecture used by streaming protocols such as RTMP requires a media server to interact with the media client. Any media processing cloud (hereinafter generically referred  
15 to as "data processor") thus needs to be connected to a number of these servers. In an interactive application with several users, one or many of these media servers can be used, for streaming from the clients to the data processor and back. The whole set of networks providing data transport  
20 between the media client and the data processor will hereinafter be referred to as the "transport network".

Presently, no satisfactory mechanisms exist to characterize the full transport network that is being used for multimedia  
25 streaming, in terms of bandwidth, delay, burstiness, packet loss probability etc. These parameters affect the quality experienced by the end user.

### Summary

30

According to an aspect of the invention, there is provided a method for monitoring transmission characteristics in a network comprising a media client, a media server, and a

data processor, the method comprising the following steps at the media client: establishing a connection with the data processor, the connection comprising a first connection segment between the media client and the media server and a  
5 second connection segment between the media server and the data processor; establishing an end-to-end encrypted channel between the media client and the data processor for exchanging streaming media content, the end-to-end encrypted channel being carried by the connection; sending a probing  
10 message to the data processor over the end-to-end encrypted channel, the probing message carrying a first timestamp indicative of a sending time of the probing message; receiving a response to the probing message from the data processor, the response carrying a second timestamp  
15 indicative of an arrival time of the probing message at the data processor; and deriving latency information, wherein the latency information comprises an upstream latency characteristic of the connection between the media client and the data processor, calculated from a difference between  
20 the second timestamp and the first timestamp.

Embodiments of the present invention are based on the insight of the inventors that in streaming protocols such as RTMP, message types normally used for monitoring or  
25 management purposes may never reach the streaming server or the data processor due to the presence of firewalls, gateways, proxies, and the like. Embodiments of the present invention are further based on the insight that end-to-end tunneling between the media client and the data processor,  
30 which is used for certain dedicated tasks such as invoking remote procedure calls, may advantageously be used for monitoring of the transmission channel characteristics.

Embodiments of the invention further present the advantage of being able to determine latency values for the entire upstream path between the media client and the data processor .

5

Embodiments of the invention further present the advantage of performing latency measurements on the same channel that is actually used for content transfer, and not on a different (out-of-band management) channel.

10

In an embodiment of the method according to the present invention, the response further carries a third timestamp indicative of a sending time of the response, and the method further comprises determining a fourth timestamp indicative of a time of arrival of the response; wherein the latency information further comprises a downstream latency characteristic of the connection between the data processor and the media client, calculated from a difference between the fourth timestamp and the third timestamp.

20

This embodiment of the invention further presents the advantage of being able to determine latency values for the entire downstream path between the data processor and the media client.

25

In an embodiment of the method according to the present invention, the second timestamp and the third timestamp are expressed in the response as a single value.

30

This embodiment is based on the insight that, when the response is known to have been sent by the data processor substantially immediately after receipt of the probing

message, a single timestamp suffices for determining the latency for both the upstream and the downstream path.

In an embodiment, the method according to the present  
5 invention further comprises repeating the sending of the probing message, the receiving of the response, and the deriving of latency information at regular intervals; and deriving jitter information from the repeatedly derived latency information.

10

This embodiment has the advantage of providing jitter information derived from the collected latency information.

In an embodiment of the method according to the present  
15 invention, the jitter information comprises a coefficient of variance .

In an embodiment, the method according to the present  
invention further comprises sending a request to the media  
20 server to modify a stream characteristic when the jitter information is indicative of jitter exceeding a predetermined level.

This embodiment is based on the insight of the inventors  
25 that jitter is a parameter that greatly affects the quality of experience for a streaming media service. Accordingly, this embodiment provides the advantage of adapting streaming parameters when the jitter reaches a level that is expected to negatively affect the end users' experience.

30

In an embodiment of the method according to the present invention, the stream characteristic to be modified

comprises one of a frame rate, an image resolution, and a compression ratio.

In an embodiment of the method according to the present  
5 invention, the exchanging of streaming media content takes place by means of an RTMP protocol.

According to an aspect of the invention, there is provided a computer program configured to cause a processor to execute  
10 a method as described above.

According to an aspect of the invention, there is provided an apparatus for receiving a media stream over a network, the apparatus comprising: a protocol engine configured to  
15 establish a connection with a data processor, the connection comprising a first connection segment between the apparatus and a media server and a second connection segment between the media server and the data processor; an encryption agent configured to establish an end-to-end encrypted channel  
20 between the apparatus and the data processor for exchanging streaming media content, the end-to-end encrypted channel being carried by the connection; a clock; a probing agent, operatively coupled to the clock and the encryption agent, the probing agent being configured to send a probing message  
25 to the data processor over the end-to-end encrypted channel, the probing message carrying a first timestamp indicative of a sending time of the message, and to receive a response to the probing message from the data processor, the response carrying a second timestamp indicative of an arrival time of  
30 the probing message at the data processor; and a latency derivation agent, operatively coupled to the probing agent, the latency derivation agent being configured to calculate an upstream latency characteristic of the connection between

the media client and the data processor from a difference between the second timestamp and the first timestamp.

In an embodiment of the apparatus according to the present invention, the response carries a third timestamp indicative of a sending time of the response by the data processor, and the latency derivation agent is further configured to determine a fourth timestamp indicative of receiving time of the response and to calculate a downstream latency characteristic of the connection between the data processor and the media client from a difference between the fourth timestamp and the third timestamp.

In an embodiment of the apparatus according to the present invention, the second timestamp and the third timestamp are expressed in the response as a single value.

In an embodiment of the apparatus according to the present invention, the latency derivation agent is further configured to derive jitter information from repeatedly derived latency information.

In an embodiment of the apparatus according to the present invention, the jitter information comprises a coefficient of variance.

In an embodiment of the apparatus according to the present invention, the protocol engine is further configured to transmit a request to the media server to modify a stream characteristic when the jitter information is indicative of jitter exceeding a predetermined level.

The advantages of the program and apparatus according to  
embodiments of the present invention correspond, *mutatis*  
*mutandis*, to the advantages expressed above for the  
corresponding methods according to embodiments of the  
5 present invention.

#### **Brief Description of the Figures**

Some embodiments of apparatus and/or methods in accordance  
10 with embodiments of the present invention are now described,  
by way of example only, and with reference to the  
accompanying drawings, in which:

Figure 1 presents an overview of an exemplary network in  
15 which the present invention can be deployed;

Figure 2 presents a block diagram of an apparatus according  
to an embodiment of the present invention; and

20 Figure 3 provides a flow chart of a method according to an  
embodiment of the present invention.

#### **Detailed Description of Embodiments**

25 Figure 1 illustrates an exemplary network in which the  
present invention can be deployed. The figure includes an  
apparatus **100**, acting as a media client, and connected over  
a first network segment to a media server **200**, which acts as  
a front-end for a service provider **250**, terminating the  
30 relevant protocol messages towards the media client **100** and  
towards the data processor **250**. The term "data processor" as  
used herein refers to the hardware infrastructure used for  
storing and streaming content, which may consist of one or

more servers. This infrastructure may be distributed "in the cloud". Without loss of generality, only one media client 100 and one media server 200 are illustrated in Figure 1.

5 Throughout the present application, transmission in the direction from the media client 100 to the media server 200 or the data processor 250 will be referred to as "upstream" communication. Transmission in the direction from the media server 200 or the data processor 250 to the media client 100  
10 will be referred to as "downstream" communication.

The connection between the media client 100 and the data processor 250 comprises a first segment (between the apparatus 100 and a media server 200) and a second segment  
15 (between the media server 200 and the data processor 250). Each segment may in fact consist of several physical links, interconnected by bridges and/or routers, which are not relevant for the present invention.

20 The RTMP protocol defines certain control messages to be interchanged between client and server ("Ping" messages), that can be used to infer the status of the upstream or downstream link. This message interchange is not satisfactory for characterizing the transport network,  
25 because the messages are not fully described in the RTMP standard and because they only cover the first segment.

Furthermore, the first segment may comprise network elements such as firewalls, proxies, and gateways, which restrict  
30 certain kinds of traffic, including control or monitoring traffic, between the media client 100 and the media server 200.

Restrictions of this type are advantageously overcome by embodiments of the present invention, by using end-to-end encrypted communications between the media client 100 and the data processor 250 as explained in more detail below.

5

An apparatus 100 according to an embodiment of the present invention will now be described in more detail with reference to the block diagram shown in Figure 2. The apparatus 100 is generally suited for receiving a media stream over a network, and will hereinafter be referred to as media client 100. Known examples of such media clients include computing devices equipped with an Adobe® Flash® player or a Microsoft® Silverlight® player.

15 The media client 100 comprises a protocol engine 110 configured to establish 310 a connection with a data processor 250. The protocol engine 110 includes the necessary hardware and software to provide a network connection, from the physical layer up to the protocol that is responsible for carrying out the content streaming. At 20 the lowest layers, the protocol engine 110 typically includes a physical layer interface according to a standard for wired communication (e.g., IEEE 802.3, xDSL, GPON, ...) or wireless (mobile or non-mobile) communication (e.g. IEEE 25 802.11, IEEE 802.15, IEEE 802.16, 3G), with corresponding data link logic. The network layer is preferably governed by the Internet Protocol (IP). The transport layer is preferably governed by the Transport Control Protocol (TCP) and/or User Datagram Protocol (UDP). The streaming protocol 30 is preferably Real-Time Messaging Protocol (RTMP), as defined in the RTMP Specification released by Adobe (<http://www.adobe.com/devnet/rtmp/>). Details of these

protocols and the implementation of the appropriate hardware and software are known to the person skilled in the art.

The media client **100** further comprises an encryption agent  
5 **120** configured to establish **320** an end-to-end encrypted  
channel over the connection between the media client **100** and  
the data processor **250**, for exchanging streaming media  
content. The encrypted channel may be established using the  
RTMPS protocol, which consists of RTMP over a secure SSL  
10 connection using HTTPS .

The media client **100** further comprises a clock **130**, which  
produces timestamps with sufficient accuracy to obtain  
useful information about the latency of messages travelling  
15 over the network. A probing agent **140**, operatively coupled  
to the clock **130** and the encryption agent **110**, is configured  
to send **330** probing messages to the data processor **250** over  
the end-to-end encrypted channel. The probing message  
carries a first timestamp, generated by the clock **130**,  
20 indicative of the time at which the message was sent. The  
probing agent **140** is further configured to receive a  
response to the probing message from the data processor **250**.  
The response carries a second timestamp, which is used to  
make latency determinations.

25  
The second timestamp, generated by the data processor **250** in  
accordance with embodiments of the present invention, is  
indicative of the arrival time of the probing message at the  
data processor **250**. A latency derivation agent **150**,  
30 operatively coupled to the probing agent **140**, is configured  
to calculate **350** an upstream latency characteristic of the  
connection between the media client and the data processor

from a difference between the second timestamp and the first timestamp .

If the response is transmitted back to the media client 100  
5 immediately after being received by the data processor 250,  
the second timestamp is *de facto* also indicative of the  
sending time of the response. If this is not the case, a  
separate third timestamp may be provided pertaining to the  
sending time of the response. The latency derivation agent  
10 150 may be further configured to calculate 350 a downstream  
latency characteristic of the connection between the data  
processor 250 and the media client 100 from a difference  
between the arrival time of the response and the second  
timestamp (or the separately provided third timestamp  
15 pertaining to the sending of the response) .

A method according to an embodiment of the invention will  
now be described with reference to the flow chart shown in  
Figure 3. The method is applied in a network as exemplified  
20 in Figure 1, comprising a media client 100, a media server  
200, and a data processor 250. Figure 3 comprises three  
columns, indicating the actions of the respective entities  
that participate in the method. Unless otherwise indicated,  
actions will be described hereinafter from the point of view  
25 of the media client 100.

In a first step, a connection is established between the  
media client 100 and the data processor 250. The connection  
comprises a first segment between the media client 100 and  
30 the media server 200 and a second connection segment between  
the media server 200 and the data processor 250.

Accordingly, the establishment of the connection requires an  
action 300 at the client 100, an action 301 at the server

200, and an action 302 at the data processor 250. The connection may be established according to the RTMP protocol .

5 Once the connection is established, an end-to-end encrypted channel (or a bi-directional security association) is set up between the client 100 and the data processor 250. Although this channel is physically supported by both segments of the  
10 aforementioned connection, the server 200 does not participate in the client - data processor interaction. Setting up the channel requires an action 310 at the client 100 and an action 315 at the data processor 250. The encrypted channel may be set up according to the RTMPS protocol .

15

Content may now be streamed between the data processor 250 and the client 100, unidirectionally or bidirectionally, over the established channel.

20 In order to monitor the characteristics of the path between the data processor 250 and the client 100, the media client 100 according to embodiments of the present invention sends 320 a probing message to the data processor 250 over the end-to-end encrypted channel. Unlike traditional "ping"  
25 messages, this probing message carries a timestamp, and it is sent over the end-to-end channel (i.e., it is transparently forwarded by nodes operating on lower layers) . The first timestamp is substantially indicative of the time at which the probing message was sent. The message is  
30 received 321 by the data processor 250, which thus already obtains some information about the latency governing transmissions on the upstream path from the media client 100 to the data processor 250. The latency may be determined by

calculating the difference between the time at which the probing message was sent (first timestamp) and the time at which it was received. The data processor 250 replies to the probing message by sending 329 a response message containing  
5 a second timestamp, which is substantially indicative of the time at which the probing message was received, and optionally also of the time at which the response message was sent. If the sending of the response 329 does not immediately follow the receiving of the probing message 321,  
10 a separate third timestamp may be provided in the response pertaining to the transmission of the response.

When the media client 100 receives 330 a response to the probing message from the data processor 250, the former  
15 obtains some information about the latency governing transmissions on the upstream path from the media client 100 to the data processor 250, which may be determined by calculating the difference between the second timestamp pertaining to the arrival 321 of the probing frame at the  
20 data processor 250 and the first timestamp. The media client 100 may optionally also derive information on the latency governing transmissions on the downstream path from the data processor 250 to the media client 100, by calculating the difference between the time at which the response was  
25 received 330 at the media client 100 (that moment may be considered as a "fourth timestamp", determined at the media client 100 in step 340) and the third timestamp pertaining to the departure 329 of the response from the data processor 250 (note again that the "third timestamp" may be a virtual  
30 timestamp coinciding in fact with the "second timestamp").

By combining the latency values of the upstream and downstream paths, a round-trip latency can be determined.

Steps **320-340** may be repeated, preferably at regular intervals, for example 25 times per second (mimicking the frame rate of a video stream, to determine the channel characteristics experienced by such a stream) , to determine jitter values **360** for the upstream path, the downstream path, or the round-trip path. Jitter values for the upstream path may be determined in the same manner at the data processor **250**.

10

Preferably, the jitter value is calculated as a coefficient of variance (CV) , i.e. a standard deviation of the latency normalized to the average value, according to known methods. The CV provides an intuitive measure of the quality (in terms of jitter) of a transmission channel.

15

If the jitter exceeds a predetermined level, e.g. if the CV exceeds unity, the channel is considered highly affected by jitter. An assessment **370** of the jitter with respect to a predetermined threshold is preferably carried out, and used to trigger particular actions to improve the quality of the content streaming process. These actions preferably comprise transmitting a request **380** to the media server **200** to modify certain streaming parameters. Parameters to be modified may advantageously include frame rate, image resolution, and compression ratio. Even a choice for another codec, or the same coded with different operational parameters, could be requested in these cases.

20

25

Although certain features and advantages have only been described with respect to the apparatus according to embodiments of the present invention or with respect to the methods according to embodiments of the present invention,

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this is done for reasons of conciseness, and it will be understood that the features are interchangeable between the methods and the corresponding apparatus to obtain the same advantages .

5

The functions of the various elements shown in the Figures, including any functional blocks labeled as "processors", "agents", or "engines", may be provided through the use of dedicated hardware as well as hardware capable of executing software in association with appropriate software. When provided by a processor, the functions may be provided by a single dedicated processor, by a single shared processor, or by a plurality of individual processors, some of which may be shared. Moreover, explicit use of the term "processor" or "controller" should not be construed to refer exclusively to hardware capable of executing software, and may implicitly include, without limitation, digital signal processor (DSP) hardware, network processor, application specific integrated circuit (ASIC), field programmable gate array (FPGA), read only memory (ROM) for storing software, random access memory (RAM), and non volatile storage. Other hardware, conventional and/or custom, may also be included. Similarly, any switches shown in the Figures are conceptual only. Their function may be carried out through the operation of program logic, through dedicated logic, through the interaction of program control and dedicated logic, or even manually, the particular technique being selectable by the implementer as more specifically understood from the context.

30 A person of skill in the art would readily recognize that steps of various above-described methods can be performed by programmed computers. Herein, some embodiments are also intended to cover program storage devices, e.g., digital

data storage media, which are machine or computer readable and encode machine-executable or computer-executable programs of instructions, wherein said instructions perform some or all of the steps of said above-described methods.

5 The program storage devices may be, e.g., digital memories, magnetic storage media such as a magnetic disks and magnetic tapes, hard drives, or optically readable digital data storage media. The embodiments are also intended to cover computers programmed to perform said steps of the above-  
10 described methods.

**Claims**

1. A method for monitoring transmission characteristics in a network comprising a media client (100), a media server  
5 (200), and a data processor (250), the method comprising the following steps at said media client:

- establishing (300) a connection with said data  
processor (250), said connection comprising a first  
connection segment between said media client (100) and  
10 said media server (200) and a second connection segment  
between said media server (200) and said data processor  
(250) ;

- establishing (310) an end-to-end encrypted channel  
between said media client (100) and said data processor  
15 (250) for exchanging streaming media content, said end-  
to-end encrypted channel being carried by said  
connection;

- sending (320) a probing message to said data processor  
(250) over said end-to-end encrypted channel, said  
20 probing message carrying a first timestamp indicative  
of a sending time of said probing message;

- receiving (330) a response to said probing message from  
said data processor (250), said response carrying a  
second timestamp indicative of an arrival time of said  
25 probing message at said data processor; and

- deriving (350) latency information, wherein said  
latency information comprises an upstream latency  
characteristic of said connection between said media  
client (100) and said data processor (250), calculated  
30 from a difference between said second timestamp and  
said first timestamp.

2. The method according to claim 1, wherein said response further carries a third timestamp indicative of a sending time of said response, said method further comprising determining (340) a fourth timestamp indicative of a time of arrival of said response; wherein said latency information further comprises a downstream latency characteristic of said connection between said data processor (250) and said media client (100), calculated from a difference between said fourth timestamp and said third timestamp.

10

3. The method according to claim 2, wherein said second timestamp and said third timestamp are expressed in said response as a single value.

15

4. The method according to any of the preceding claims, further comprising:

- repeating said sending of said probing message, said receiving of said response, and said deriving of latency information at regular intervals; and

20

- deriving (360) jitter information from said repeatedly derived latency information.

5. The method according to claim 4, wherein said jitter information comprises a coefficient of variance.

25

6. The method according to claim 4 or claim 5, further comprising sending (380) a request to said media server (200) to modify (390) a stream characteristic when said jitter information is indicative of jitter exceeding (370) a predetermined level.

30

7. The method according to claim 6, wherein said stream characteristic to be modified (390) comprises one of a frame rate, an image resolution, and a compression ratio.

5 8. The method according to any of the preceding claims, wherein said exchanging of streaming media content takes place by means of an RTMP protocol.

9. A computer program configured to cause a processor to  
10 execute the method of any of the preceding claims.

10. An apparatus (100) for receiving a media stream over a network, the apparatus comprising:

- 15 - a protocol engine (110) configured to establish (310) a connection with a data processor (250), said connection comprising a first connection segment between said apparatus (100) and a media server (200) and a second connection segment between said media server (200) and said data processor (250);
- 20 - an encryption agent (120) configured to establish (320) an end-to-end encrypted channel between said apparatus (100) and said data processor (250) for exchanging streaming media content, said end-to-end encrypted channel being carried by said connection;
- 25 - a clock (130) ;
- a probing agent (140), operatively coupled to said clock (130) and said encryption agent (110), said probing agent (140) being configured to send (330) a probing message to said data processor (250) over said  
30 end-to-end encrypted channel, said probing message carrying a first timestamp indicative of a sending time of said message, and to receive a response to said probing message from said data processor (250), said

response carrying a second timestamp indicative of an arrival time of said probing message at said data processor; and

- 5 - a latency derivation agent (150), operatively coupled to said probing agent (140), said latency derivation agent (150) being configured to calculate (350) an upstream latency characteristic of said connection between said media client (100) and said data processor (250) from a difference between said second timestamp and said first timestamp.
- 10

11. The apparatus according to claim 10, said response carrying a third timestamp indicative of a sending time of said response by said data processor, wherein said latency derivation agent (150) is further configured to determine a fourth timestamp indicative of receiving time of said response and to calculate (350) a downstream latency characteristic of said connection between said data processor (250) and said media client (100) from a difference between said fourth timestamp and said third timestamp .

15

20

12. The apparatus according to claim 11, wherein said second timestamp and said third timestamp are expressed in said response as a single value.

25

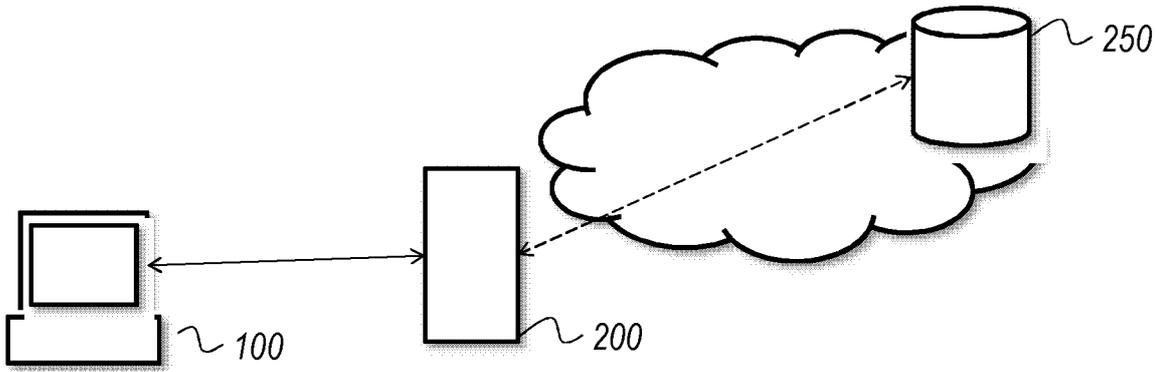
13. The apparatus according to any of claims 10-12, wherein said latency derivation agent (150) is further configured to derive (360) jitter information from repeatedly derived latency information.

30

14. The apparatus according to claim 13, wherein said jitter information comprises a coefficient of variance.

15. The apparatus according to claim 13 or claim 14, wherein  
said protocol engine (110) is further configured to transmit  
a request to said media server (200) to modify (390) a  
5 stream characteristic when said jitter information is  
indicative of jitter exceeding (370) a predetermined level.

**Figure 1**



**Figure 2**

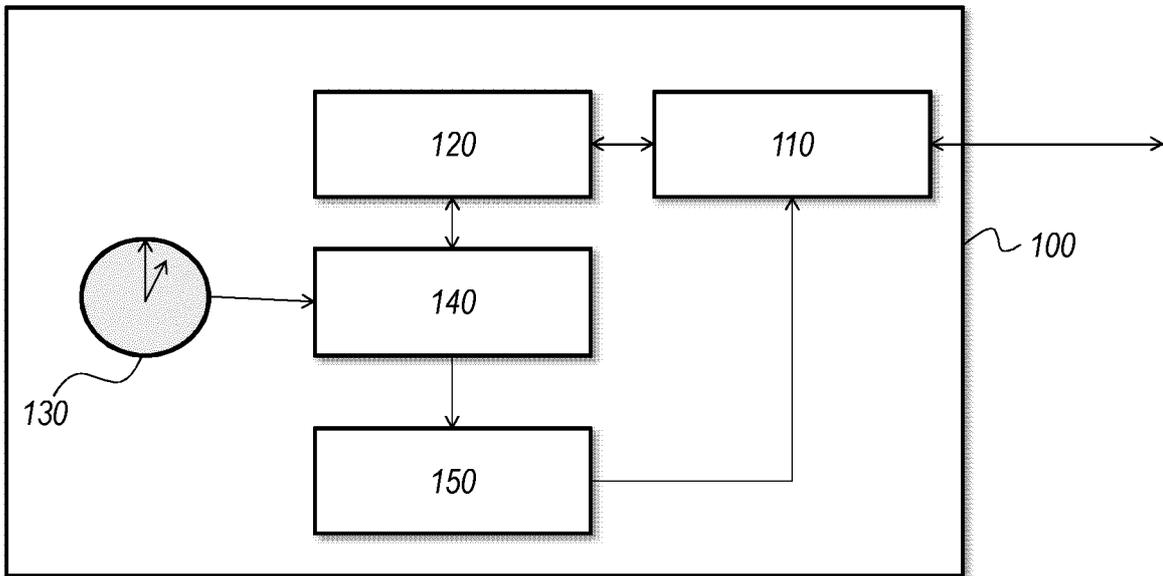
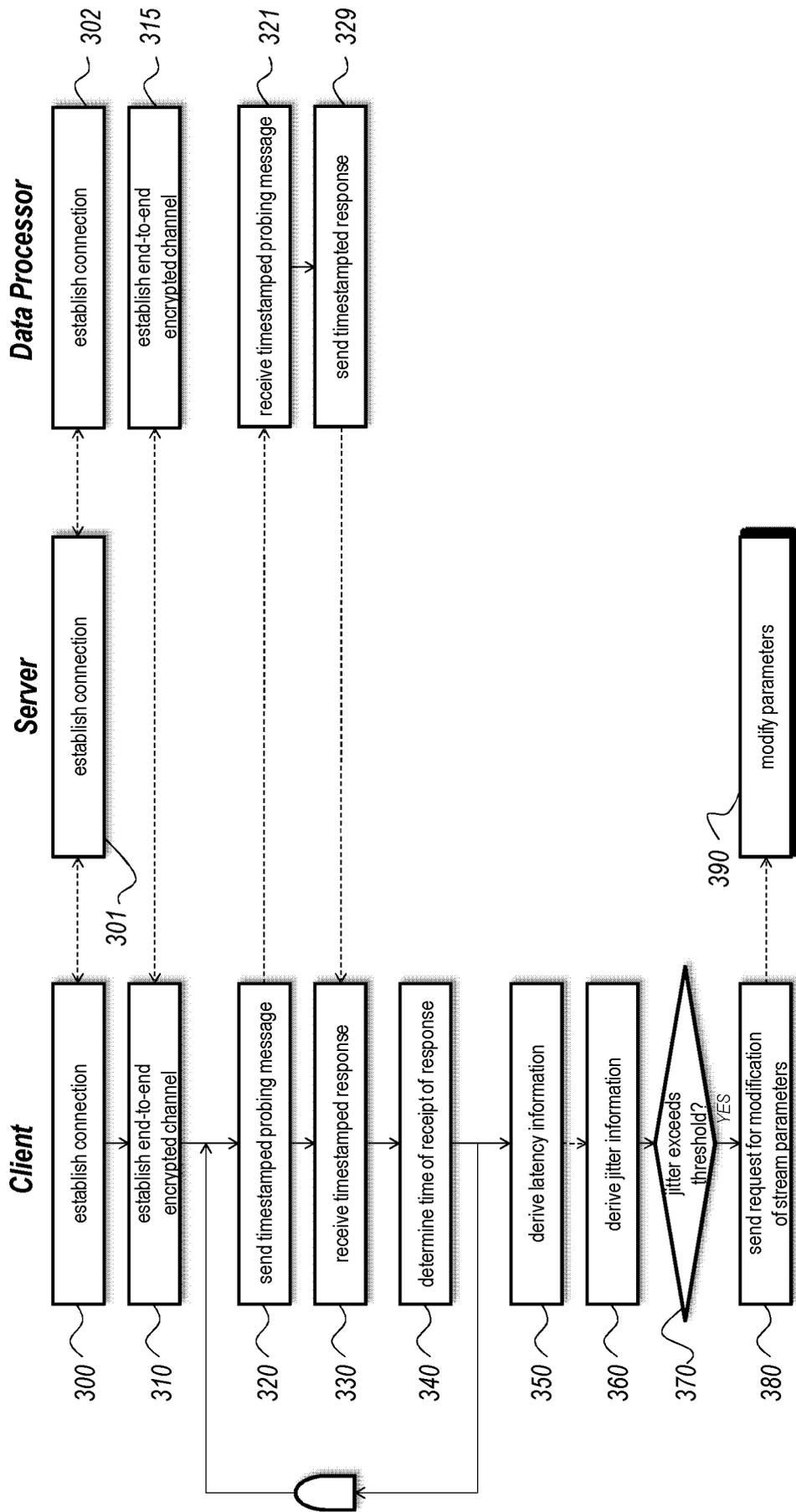


Figure 3



INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2012/074611

A. CLASSIFICATION OF SUBJECT MATTER  
INV. H04L12/26  
ADD.  
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
Minimum documentation searched (classification system followed by classification symbols)  
H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO-Internal , WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2010/002685 AI (SHAHAM ELI EZER [IL] ET AL) 7 January 2010 (2010-01-07) the whole document -----	1-15
A	US 2003/105604 AI (ASH LESLIE E [US] ET AL) 5 June 2003 (2003-06-05) the whole document -----	1-15

Further documents are listed in the continuation of Box C.

See patent family annex.

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Date of the actual completion of the international search <b>28 January 2013</b>	Date of mailing of the international search report <b>04/02/2013</b>
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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2012/074611

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