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(54) **Title:** FLUORINATED ORGANIC SILICON COATING MATERIAL

(57) **Abstract:** Disclosed are perfluoropolyether silicon compounds, coatings containing the perfluoropolyether silicon compounds, methods of making the perfluoropolyether silicon compounds, and methods of making perfluoropolyether silicon coatings.

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FLUORINATED ORGANIC SILICON COATING MATERIAL

RELATED APPLICATION

This application claims priority to U.S. Provisional Patent Application 60/683,624 filed on May 23, 2005, which is hereby incorporated by reference.

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TECHNICAL FIELD

The subject invention generally relates to perfluoropolyether silicon compounds, coatings containing the perfluoropolyether silicon compounds, methods of making the perfluoropolyether silicon compounds, and methods of making perfluoropolyether silicon coatings.

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BACKGROUND

Polymerizable amphiphilic molecules and hydrolysable alkyl silanes are employed to form thin films on various surfaces. Thin films have numerous and diverse useful purposes. For example, a thin film may be formed on a lens for scratch resistance or on a metal for corrosion protection.

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Oil repellent coatings and water repellent coatings may be provided to certain substrates by applying to a substrate fluorinated silanes. The applied fluorinated silanes are often cured by heating with a catalyst to chemically affix the fluorinated silanes to the substrates. In some instances, durability of the oil repellent coatings and water repellent coatings is an issue.

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One problem with applying fluorinated silanes to substrates is that the fluorinated silanes may not have a long shelf life. Another problem is that fluorinated silanes often require high-shear mixing before coating on a substrate. Many fluorinated silane compositions have a high solids content, which results in thick coatings. This can be a problem when thin coatings are desired.

SUMMARY

The following presents a simplified summary of the invention in order to provide a basic understanding of some aspects of the invention. This summary is not an extensive overview of the invention. It is intended to neither identify key or critical elements of the invention nor delineate the scope of the invention. Rather, the sole purpose of this summary is to present some concepts of the invention in a simplified form as a prelude to the more detailed description that is presented hereinafter.

The subject invention provides perfluoropolyether silicon compounds, convenient and simple methods of making the perfluoropolyether silicon compounds, hydrophobic coatings containing the perfluoropolyether silicon compounds, and efficient methods of making perfluoropolyether silicon coatings.

To the accomplishment of the foregoing and related ends, the invention comprises the features hereinafter fully described and particularly pointed out in the claims. The following description and the annexed drawings set forth in detail certain illustrative aspects and implementations of the invention. These are indicative, however, of but a few of the various ways in which the principles of the invention may be employed. Other objects, advantages and novel features of the invention will become apparent from the following detailed description of the invention when considered in conjunction with the drawings.

BRIEF SUMMARY OF THE DRAWINGS

Figure 1 is an IR spectrum of an alcohol perfluoroether in accordance with an aspect of the invention.

Figure 2 is an NMR spectrum of an alcohol perfluoroether in accordance with an aspect of the invention.

Figure 3 is an IR spectrum of a hydrocarbylized perfluoroether in accordance with an aspect of the invention.

Figure 4 is an NMR spectrum of a hydrocarbylized perfluoroether in

accordance with an aspect of the invention.

Figure 5 is an IR spectrum of a perfluoropolyether silicon compound in accordance with an aspect of the invention.

5 Figure 6 is an NMR spectrum of a perfluoropolyether silicon compound in accordance with an aspect of the invention.

Figure 7 is an IR spectrum of another perfluoropolyether silicon compound in accordance with an aspect of the invention.

Figure 8 is an NMR spectrum of another perfluoropolyether silicon compound in accordance with an aspect of the invention.

10 Figure 9 is an IR spectrum of yet another perfluoropolyether silicon compound in accordance with an aspect of the invention.

Figure 10 is an NMR spectrum of yet another perfluoropolyether silicon compound in accordance with an aspect of the invention.

15 Figure 11 is an IR spectrum of still yet another perfluoropolyether silicon compound in accordance with an aspect of the invention.

Figure 12 is an NMR spectrum of still yet another perfluoropolyether silicon compound in accordance with an aspect of the invention.

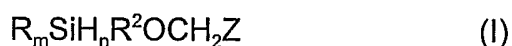
Figure 13 is an IR spectrum of a hydrocarbylized perfluoroether in accordance with an aspect of the invention.

20 Figure 14 is an NMR spectrum of a hydrocarbylized perfluoroether in accordance with an aspect of the invention.

DETAILED DESCRIPTION

25 One end of a perfluoroether that is branched or unbranched is functionalized, then reacted with a hydrocarbon containing compound such as an allyl compound, then subject to hydrosilation with a silane to form a perfluoropolyether silicon compound. The perfluoropolyether silicon compound can be employed as a glass coating, such as an anti-scratch coating for eyeglasses.

In one embodiment, the perfluoropolyether silicon compounds are represented by Formula I:



5 where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon atoms, halogens, hydroxy, and acetoxy; R² is alkyl containing from about 2 to about 10 carbon atoms; Z is fluorinated alkyl ether containing from about 2 to about 2,000 carbon atoms; and m is from about 1 to about 3, n is from 0 to about 2, and m + n equal 3. Halogens include fluorine, chlorine, bromine and iodine.

10 In another embodiment, each R is independently an alkyl, hydroxyalkyl, alkoxy, all of which contain from about 2 to about 10 carbon atoms; R² is alkyl containing from about 2 to about 5 carbon atoms; Z is fluorinated alkyl ether containing from about 5 to about 1,500 carbon atoms; and m is from about 2 to about 3, n is from 0 to about 1, and m + n equal 3. The fluorinated alkyl ether may be branched or

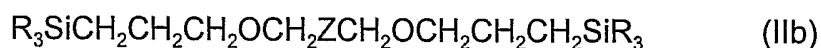
15 unbranched. Dimer compounds of Formula I are also possible perfluoropolyether silicon compounds (R_mSiH_nR²OCH₂ZCH₂OR²SiH_nR_m).

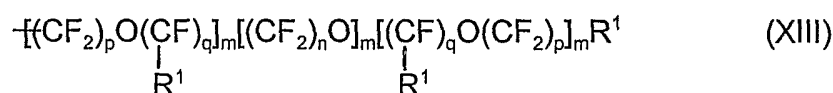
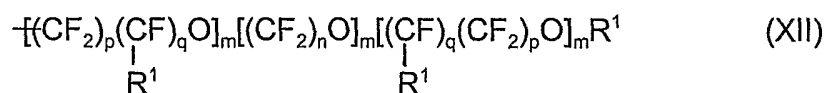
In another embodiment, the perfluoropolyether silicon compounds are represented by Formula IIa:



20 where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon atoms, halogens, hydroxy, and acetoxy; Z is fluorinated alkyl ether containing from about 2 to about 2,000 carbon atoms. In another embodiment, each R is independently an alkyl, hydroxyalkyl, alkoxy, all of which contain from about 2 to

25 about 10 carbon atoms; and Z is fluorinated alkyl ether containing from about 10 to about 1,500 carbon atoms. The fluorinated alkyl ether may be branched or unbranched. The perfluoropolyether silicon compounds may also be dimer compounds of Formula IIa, such as those represented by Formula IIb:





5 wherein each R¹ is independently any of CF₃, C₂F₅, C₃F₇, CF(CF₃)₂, and similar groups such as similar fluoro-carbon groups and fluoro-hydrocarbon groups; each m is independently from about 2 to about 300; each n is independently from about 1 to about 5; each p is independently from about 0 to about 5; and each q is independently from about 0 to about 5. In another embodiment, each
 10 m is independently from about 5 to about 100; each n is independently from about 2 to about 4; each p is independently from about 1 to about 4; and each q is independently from about 1 to about 4. In any of the formulae above, occasional substitution of a fluorine atom with a hydrogen atom that does not affect the overall perfluoro nature of the fluorinated alkyl ether portion is
 15 acceptable.

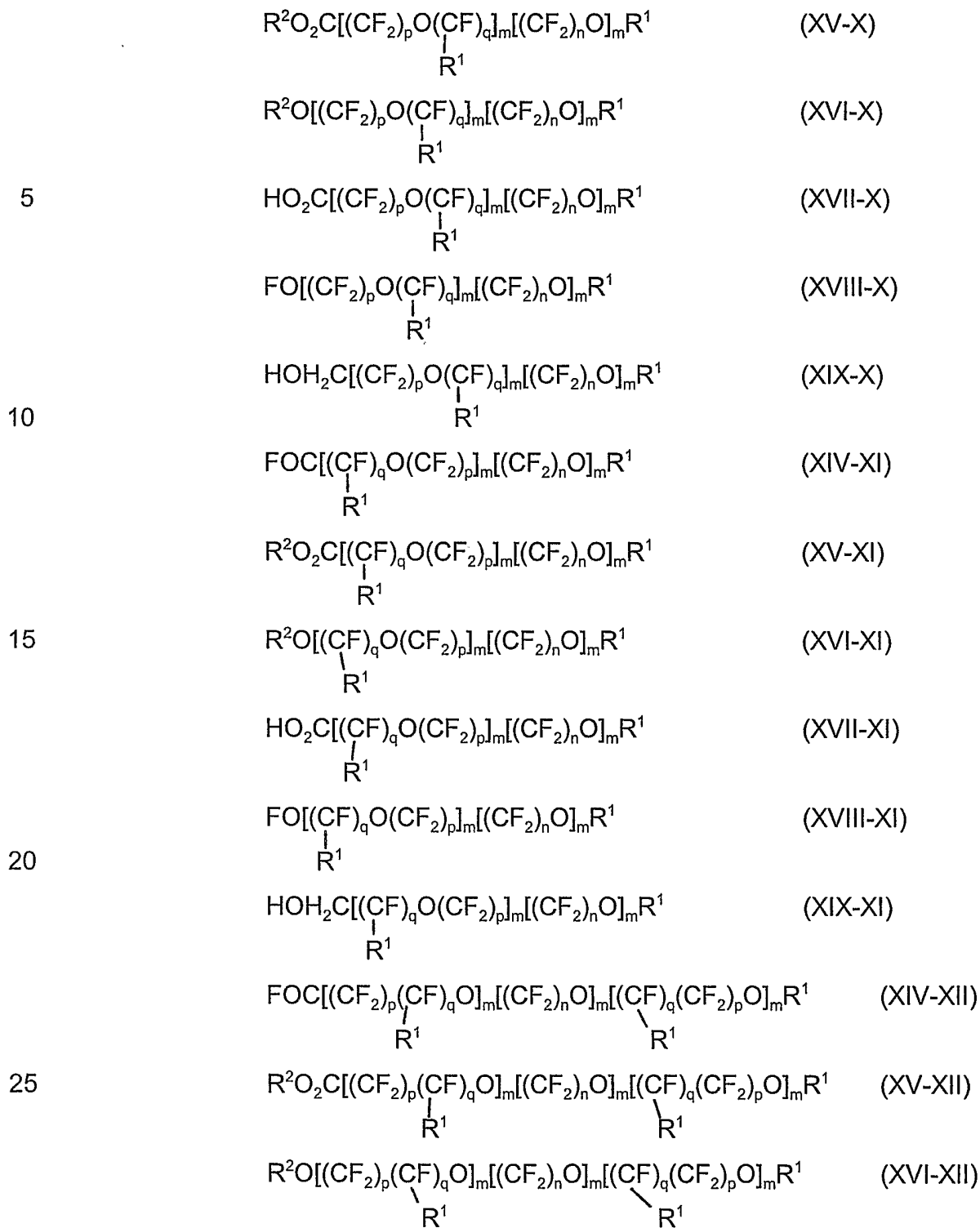
In one embodiment, the perfluoropolyether silicon compounds do not contain an amide moiety (-CONH-) within the perfluoropolyether ligand of the silicon atom. Since an amide moiety with the perfluoropolyether ligand of the silicon atom may, in many instances, lead to a compound with thermal instability,
 20 the perfluoropolyether silicon compounds of the invention have excellent high temperature stability.

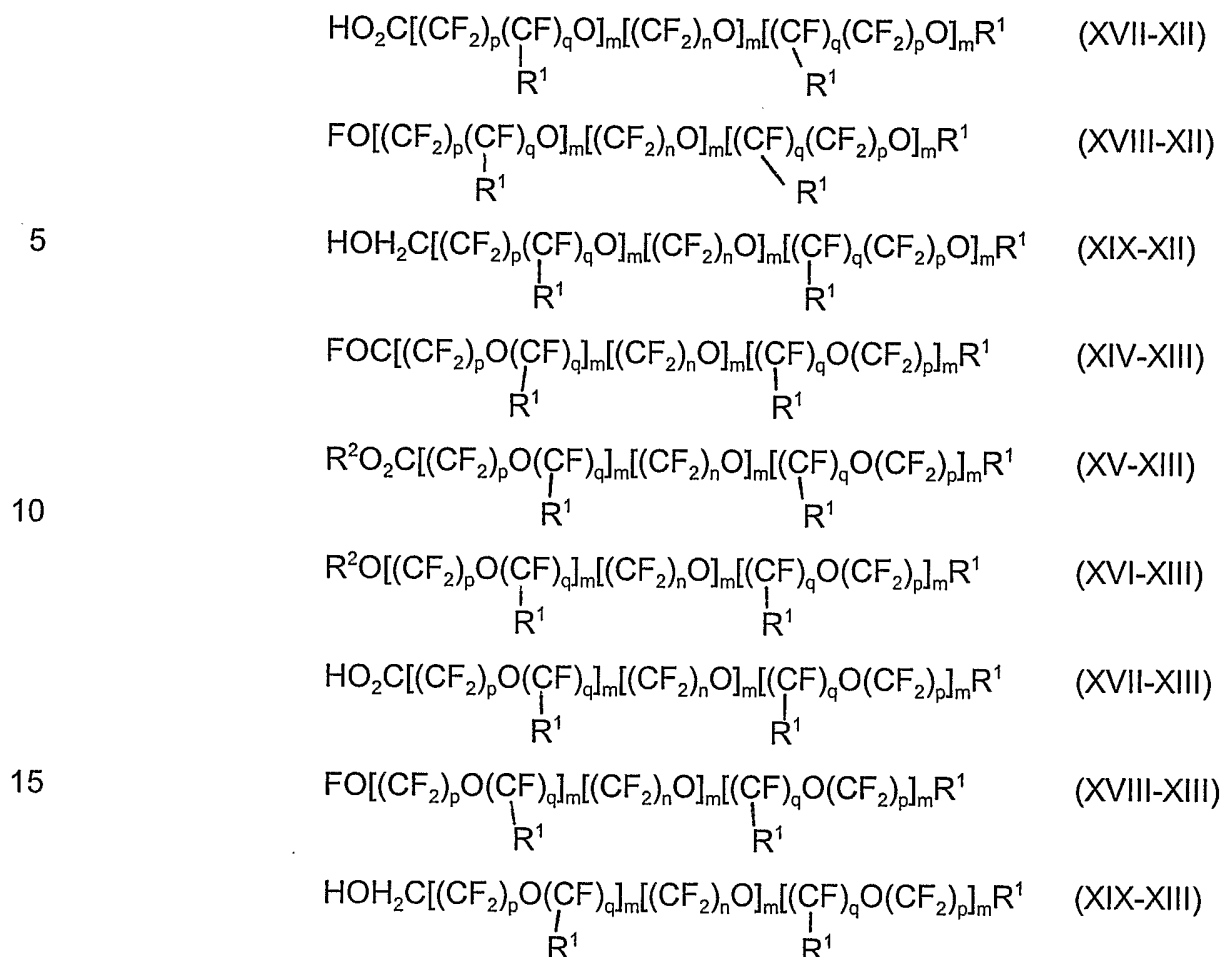
Generally speaking, the perfluoropolyether silicon compounds can be made by hydrosilating a hydrocarbylized perfluoroether. An example of a hydrocarbylized perfluoroether is a KRYTOX allyl ether available from DuPont.
 25 Alternatively, the perfluoropolyether silicon compounds can be made by hydrocarbylating a functionalized perfluoropolyether to provide a hydrocarbylized perfluoroether, which is then subject to hydrosilation to form the perfluoropolyether silicon compound.

The perfluoroethers that are functionalized, then reacted with a

	$\text{HO}_2\text{C}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m\text{R}^1$ R^1	(XVII-V)
	$\text{FO}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m\text{R}^1$ R^1	(XVIII-V)
5	$\text{HOH}_2\text{C}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m\text{R}^1$ R^1	(XIX-V)
	$\text{FOC}[(\text{CF}_2)_p\text{O}(\text{CF})_q]_m\text{R}^1$ R^1	(XIV-VI)
10	$\text{R}^2\text{O}_2\text{C}[(\text{CF}_2)_p\text{O}(\text{CF})_q]_m\text{R}^1$ R^1	(XV-VI)
	$\text{R}^2\text{O}[(\text{CF}_2)_p\text{O}(\text{CF})_q]_m\text{R}^1$ R^1	(XVI-VI)
	$\text{HO}_2\text{C}[(\text{CF}_2)_p\text{O}(\text{CF})_q]_m\text{R}^1$ R^1	(XVII-VI)
15	$\text{FO}[(\text{CF}_2)_p\text{O}(\text{CF})_q]_m\text{R}^1$ R^1	(XVIII-VI)
	$\text{HOH}_2\text{C}[(\text{CF}_2)_p\text{O}(\text{CF})_q]_m\text{R}^1$ R^1	(XIX-VI)
20	$\text{FOC}[(\text{CF})_q\text{O}(\text{CF}_2)_p]_m\text{R}^1$ R^1	(XIV-VII)
	$\text{R}^2\text{O}_2\text{C}[(\text{CF})_q\text{O}(\text{CF}_2)_p]_m\text{R}^1$ R^1	(XV-VII)
	$\text{R}^2\text{O}[(\text{CF})_q\text{O}(\text{CF}_2)_p]_m\text{R}^1$ R^1	(XVI-VII)
25	$\text{HO}_2\text{C}[(\text{CF})_q\text{O}(\text{CF}_2)_p]_m\text{R}^1$ R^1	(XVII-VII)
	$\text{FO}[(\text{CF})_q\text{O}(\text{CF}_2)_p]_m\text{R}^1$ R^1	(XVIII-VII)

	$\text{HOH}_2\text{C}[(\text{CF})_q\text{O}(\text{CF}_2)_p]_m\text{R}^1$ R^1	(XIX-VII)
	$\text{FOC}[(\text{CF}_2)_p(\text{CF})_q\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XIV-VIII)
5	$\text{R}^2\text{O}_2\text{C}[(\text{CF}_2)_p(\text{CF})_q\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XV-VIII)
	$\text{R}^2\text{O}[(\text{CF}_2)_p(\text{CF})_q\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XVI-VIII)
10	$\text{HO}_2\text{C}[(\text{CF}_2)_p(\text{CF})_q\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XVII-VIII)
	$\text{FO}[(\text{CF}_2)_p(\text{CF})_q\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XVIII-VIII)
	$\text{HOH}_2\text{C}[(\text{CF}_2)_p(\text{CF})_q\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XIX-VIII)
15	$\text{FOC}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XIV-IX)
	$\text{R}^2\text{O}_2\text{C}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XV-IX)
20	$\text{R}^2\text{O}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XVI-IX)
	$\text{HO}_2\text{C}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XVII-IX)
	$\text{FO}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XVIII-IX)
25	$\text{HOH}_2\text{C}[(\text{CF})_q(\text{CF}_2)_p\text{O}]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XIX-IX)
	$\text{FOC}[(\text{CF}_2)_p\text{O}(\text{CF})_q]_m[(\text{CF}_2)_n\text{O}]_m\text{R}^1$ R^1	(XIV-X)

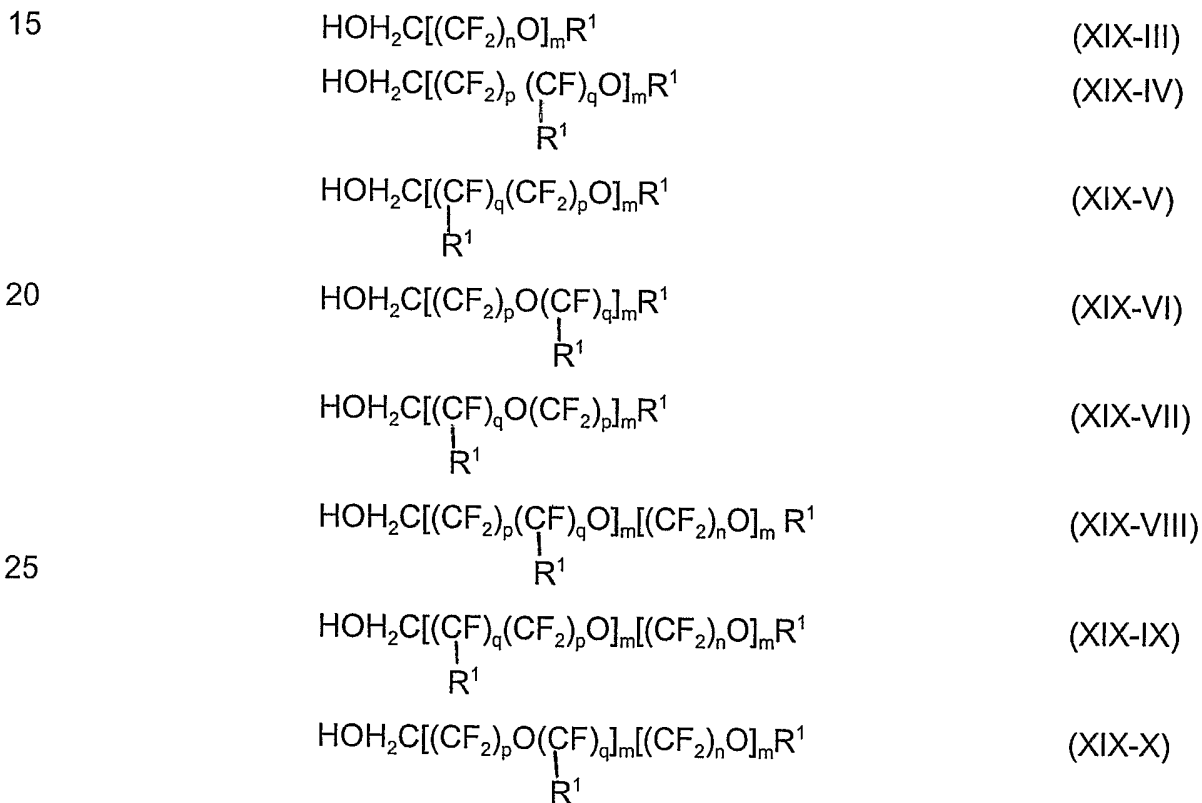


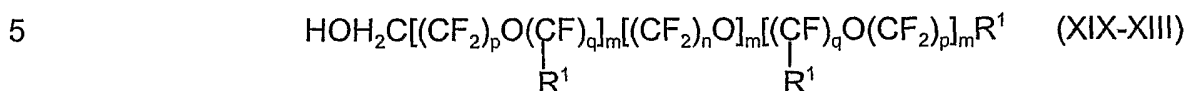
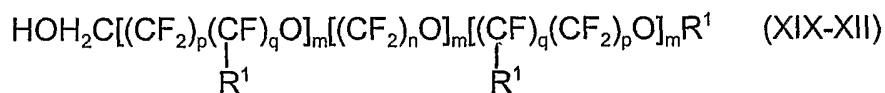
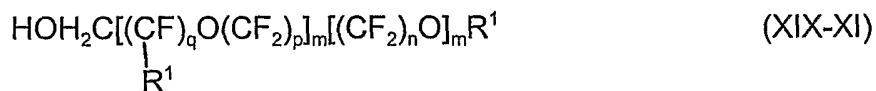


wherein each R¹ is independently any of CF₃, C₂F₅, C₃F₇, CF(CF₃)₂, and similar groups such as similar fluoro-carbon groups and fluoro-hydrocarbon groups; R² is as described above; each m is independently from about 2 to about 300; and each n is independently from about 1 to about 5. In another embodiment, each m is independently from about 5 to about 100; and each n is independently from about 2 to about 4. Each of the six types of end groups (FOC-, R²O₂C-, R²O-, HO₂C-, HOH₂C-, and FO-) on the left side of each chemical formula may be applied to each of Formulae (III)-(XIII) to provide additional examples of perfluoroethers. The occasional substitution of a fluorine atom with a hydrogen atom in the perfluoroether starting materials that does not affect the overall perfluoro nature of the perfluoroether is acceptable.

Some perfluoroethers are commercially available, for example, from duPont under the trade designation KRYTOX perfluoroethers; from Ausimont/Montedison/Solvay under the trade designations FOMBLIN fluids, FLUOROLINK fluids, and GALDEN fluids; from Daikin Industries under the trade designation DEMNUM fluids and greases. It is believed that KRYTOX perfluoroethers have the chemical formula of $CF_3CF_2CF_2O-[CF_2CF_2O]_n-CF_2CF_2COOH$ mono acid; that FOMBLIN fluids have the chemical formula of $HOOC-CF_2O-[CF_2CF_2O]_n-[CF_2O]_mCF_2COOH$ diacid; and that DEMNUM fluids have the chemical formula of $CF_3CF_2CF_2O-[CF_2CF_2O]_n-CF_2CF_2COOH$ mono acid, wherein m and n are defined as above.

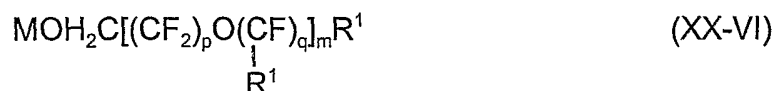
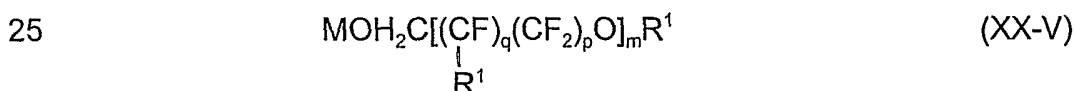
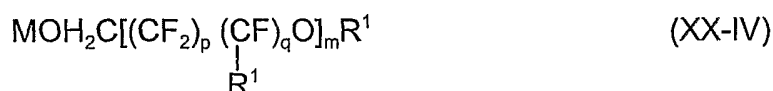
Preferably, regardless of the specific perfluoroether starting material employed, the starting material is treated using known organic synthesis techniques to form the an alcohol perfluoroether, such as the following:





wherein R¹, m, and n are as defined above. Again, it is understood that any of Formulae (III)-(XIII) can be treated to provide the corresponding alcohol perfluoroether (the compounds of Formulae (III)-(XIII) having a CH₂OH group on the left side of the formulae).

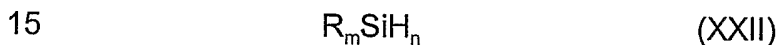
The perfluoroethers and preferably the alcohol perfluoroethers may be functionalized by combining a given perfluoroether with an alcohol, such as a lower alkyl alcohol (C1-C5) such as methanol, ethanol, isopropanol, propanol, butanol, isobutanol, t-butanol, pentanol, isopentanol, amylalcohol, a metal lower alkyl alcoholate, such as an alkali metal alcoholate such as sodium methylate, sodium ethylate, and sodium isopropylate, or a metal fluoride (alkali metal, alkaline earth metal, or transition metal). When a metal lower alkyl alcoholate is used, the corresponding alcohol is formed (corresponding to the alcoholate) as a byproduct and the resulting functionalized perfluoroether is a metal alcoholate perfluoroether. For example, the metal alcoholate perfluoroether of Formulae (XIX-III)-(XIX-VIII) have the following formula:



wherein X is a reactive group such as halogen or hydroxy, and R⁴ is hydrogen, alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon atoms, halogens, hydroxy, and acetoxy.

Some hydrocarbylized perfluoroethers are commercially available, for example, from DuPont under the trade designation KRYTOX allyl ethers. Moreover, the synthesis of such compounds is described in U.S. Patent 6,753,301, which is hereby incorporated by reference. Methods of making and processing allyl ethers is also described in Howell et al, New derivatives of polyhexafluoropropylene oxide from the corresponding alcohol, *Journal of Fluorine Chemistry*, 126 (2005) 281-288, which is hereby incorporated by reference.

The hydrocarbylized perfluoroether is subject to hydrosilation by contact with a silane compound, preferably in the presence of a catalyst, to form a perfluoropolyether silicon compound. Examples of the silane compounds are represented by Formula (XXII):



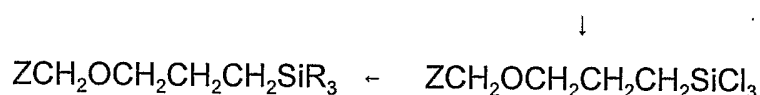
where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon atoms, halogens, hydroxy, and acetoxy; and m is from about 2 to about 3, n is from 1 to about 2, and m + n equal 4. In another embodiment, each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon atoms; and m is about 3, and n is about 1. In this sense, triorgano silanes can be employed as the silane compound.

Examples of silane compounds include dialkoxyalkyl silanes such as diisopropenoxymethylsilane, dimethoxymethylsilane, diethoxymethylsilane, dipropoxymethylsilane, and dibutoxymethylsilane; trialkoxy silanes such as triisopropenoxysilane trimethoxysilane triethoxysilane tripropoxysilane tributoxysilane; dihalosilanes and trihalosilanes such as trichlorosilane, alkyldichlorosilane. Hundreds of additional examples are not listed for brevity.

Any suitable catalyst can be employed to promote the hydrosilation reaction. Examples of hydrosilation catalysts include platinum containing catalysts such as platinum black, platinum supported on silica, platinum supported on carbon, chloroplatinic acid such as H_2PtCl_6 , alcohol solutions of chloroplatinic acid, platinum/olefin complexes, platinum/alkenylsiloxane complexes, platinum/beta-diketone complexes, platinum/phosphine complexes and the like; palladium containing catalysts such as palladium on carbon, palladium chloride and the like; nickel containing catalysts; rhodium catalysts, such as rhodium chloride and rhodium chloride/di(n-butyl)sulfide complex and the like; chromium catalysts; other precious metal catalysts, and the like.

The hydrosilation reaction can be carried out using methods known in the art, such as Speier, Homogenous catalysis of hydrosilation by transition metals, *Advances in Organometallic Chemistry*, vol. 17, pp 407-447, 1979, which is hereby incorporated by reference.

One example of a specific reaction scheme is as follows.



where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon atoms, halogens, hydroxy, and acetoxy; Z is fluorinated alkyl ether containing from about 2 to about 2,000 carbon atoms.

An advantage associated with the perfluoropolyether silicon compounds is that the methods of making the perfluoropolyether silicon compounds have relatively high yields of final product. In one embodiment, the methods of making the perfluoropolyether silicon compounds have a % yield of about 90% or more. In another embodiment, the methods of making the perfluoropolyether silicon compounds have a % yield of about 95% or more. In yet another embodiment, the methods of making the perfluoropolyether silicon compounds

have a % yield of about 97% or more.

Once made, the perfluoropolyether silicon compounds are stored in a container, ampoule, placed in a crucible, or incorporated on and/or into a porous carrier to form a composite that facilitates the coating process. The porous carrier composite may be stored in an air tight or otherwise protected container. The porous carrier may function and/or look like a sponge.

In order to facilitate storing and/or loading the perfluoropolyether silicon compounds to a container, ampoule, crucible, or porous carrier, the perfluoropolyether silicon compounds may be optionally combined with a solvent. It is desirable that the perfluoropolyether silicon compounds are substantially uniformly distributed throughout the porous carrier.

Solvents to which the perfluoropolyether silicon compounds may be combined are generally non-polar organic solvents. Such solvents typically include alcohols such as isopropanol; alkanes such as cyclohexane and methyl cyclohexane; aromatics such as toluene, trifluorotoluene; alkylsilanes, alkyl or fluoralkyl substituted cyclohexanes; ethers; perfluorinated liquids such as perfluorohexanes; and other hydrocarbon containing liquids. Examples of perfluorinated liquids include those under the trade designation Fluorinert™ and Novec™ available from 3M. When combining the perfluoropolyether silicon compounds with one or more solvents, heat may be optionally applied to facilitate formation of a uniform mixture.

A coating catalyst and/or a quencher may be combined with the perfluoropolyether silicon compound or mixture of perfluoropolyether silicon compounds and solvent to facilitate the coating process. Coating catalysts include metal chlorides such as zinc chloride and aluminum chloride, and mineral acids while quenchers include zinc powders and amines. Each is present in the perfluoropolyether silicon compound or mixture of perfluoropolyether silicon compounds and solvent in an amount from about 0.01% to about 1% by weight.

The container, ampoule, crucible, or porous carrier containing the mixture

of perfluoropolyether silicon compounds and solvent may be treated to remove the solvent or substantially all of the solvent by any suitable means. For example, evaporation or vacuum distillation may be employed. After solvent is removed, heat is applied until a constant weight is achieved. In this instance, heating at a temperature from about 40 to about 100° C. is useful. In most instances, the perfluoropolyether silicon compounds solidifies, becomes semi-solid, or becomes a low viscosity liquid and is retained in the container, ampoule, crucible, or pores of the porous carrier.

The container, ampoule, crucible, or porous carrier may be made of any material inert to the perfluoropolyether silicon compounds, such as porcelain, glass, pyrex, metals, metal oxides, and ceramics. Specific examples of materials that may form the porous carrier include one or more of alumina, aluminum silicate, aluminum, brass, bronze, chromium, copper, gold, iron, magnesium, nickel, palladium, platinum, silicon carbide, silver, stainless steel, tin, titanium, tungsten, zinc, zirconium, Hastelloy®, Kovar®, Invar, Monel®, Inconel®, and various other alloys.

Examples of porous carriers include those under the trade designation Mott Porous Metal, available from Mott Corporation; those under the trade designation Kellundite available from Filtros Ltd.; and those under the trade designations Metal Foam, Porous Metal Media and Sinterflo®, available from Provair Advanced Materials Inc. methods of using a porous carrier are described in U.S. Patent 6,881,445, which is hereby incorporated by reference.

Coating techniques involve exposing the substrate to the perfluoropolyether silicon compounds in the container, ampoule, crucible, or on the porous carrier in a chamber or closed environment under at least one of reduced pressure, elevated temperature, irradiation, and power. Preferably, reduced pressure and/or elevated temperatures are employed. The reduced pressure, elevated temperatures, irradiation, and/or power imposed induce vaporization or sublimation of the perfluoropolyether silicon compounds into the

chamber atmosphere and subsequent self assembly and/or self-polymerization on the substrate surface in a uniform and continuous fashion thereby forming the hydrophobic coating. Alternatively, the substrate is exposed to the perfluoropolyether silicon compounds by dipping, immersing, wipe-on techniques (for example using a cloth), coating using a blade, and the like.

5 In one embodiment, the substrate is exposed to the perfluoropolyether silicon compounds under a pressure from about 0.000001 to about 760 torr (specifically including no applied vacuum). In another embodiment, the substrate is exposed to the perfluoropolyether silicon compounds under a pressure from
10 about 0.00001 to about 200 torr. In yet another embodiment, the substrate is exposed to the perfluoropolyether silicon compounds under a pressure from about 0.0001 to about 100 torr.

In one embodiment, the perfluoropolyether silicon compounds are heated to a temperature from about 20 to about 400° C. In another embodiment, the perfluoropolyether silicon compounds are heated to a temperature from about 40
15 to about 350° C. In yet another embodiment, the perfluoropolyether silicon compounds are heated to a temperature from about 50 to about 300° C. Only the perfluoropolyether silicon compounds need to be at the temperature described above to induce coating formation. The substrate is at about the
20 same or at a different temperature as the perfluoropolyether silicon compounds in the chamber. The perfluoropolyether silicon compounds are at about the same or at a different temperature as the atmosphere of the chamber. The substrate is at about the same or at a different temperature as the atmosphere of the chamber. In one embodiment, each of the substrate, perfluoropolyether
25 silicon compounds, and atmosphere is at a temperature from about 20 to about 400° C.

General examples of coating forming techniques include dipping (in a coating solution); wet application (spraying, wiping, printing, stamping); vapor deposition; vacuum deposition; vacuum coating; box coating; sputter coating;

vapor deposition or chemical vapor deposition (CVD) such as low pressure chemical vapor deposition (LPCVD), plasma enhanced chemical vapor deposition (PECVD), high temperature chemical vapor deposition (HTCVD); and sputtering. Such techniques are known in the art and not described for brevity sake.

5

Vapor deposition/chemical vapor deposition techniques and processes have been widely disclosed in literature, for example: *Thin Solid Films*, 1994, 252, 32-37; *Vacuum technology* by Ruth A. 3rd edition, Elsevier Publication, 1990, 311-319; *Appl. Phys. Lett.* 1992, 60, 1866-1868; *Polymer Preprints*, 1993, 34,427-428; U.S. Patents 6,265,026; 6,171,652; 6,051,321; 5,372,851; and 5,084,302, which are hereby incorporated by reference for their teachings in forming coatings or depositing organic compounds on substrates.

10

In another embodiment, a thin film can be formed using one or more perfluoropolyether silicon compounds in solution and contacting the substrate surface by immersion or wipe-on with a wet cloth at ambient conditions of the coating solution. Diluting the perfluoropolyether silicon compounds in an inert solvent such as perfluorohexane at a concentration from about 0.001% to about 5% by weight makes the coating solution. The coating solution may alternatively contain from about 0.01% to about 1% by weight of one or more perfluoropolyether silicon compounds. Excess polymer is removed by wiping the surface with a clean tissue paper and then air cured to get the highly cross-linked network of the thin film polymer on the substrate surface.

15

20

The perfluoropolyether silicon compounds and/or film formed therefrom has reactive hydroxyl groups, which become involved in chemical bonding (hydrogen and/or covalent) to the substrate. As the substrate surface reacts with moisture (airborne water molecules), making covalent bonds to the surface, similar to self-assembly of layers, thus providing permanent transparent uniform thin coating, which has excellent hydrophobic/oleophobic properties.

25

The perfluoropolyether silicon compounds, methods and composites of

the subject invention are advantageous for providing a thin hydrophobic film or coating on substrates. The perfluoropolyether silicon compounds, methods and composites of the subject invention are also advantageous for providing one or more of the types of films/coating on a substrate: a protective film, an
5 anti-corrosion coating, a wear resistant coating, an anti-smudge film (meaning the substrate surface stays clean).

Substrates include those with porous and non-porous surfaces such as glasses, ceramics, porcelains, fiberglass, metals, and organic materials including thermosets such as polycarbonate, and thermoplastics, and ceramic tile.
10 Additional organic materials include polystyrene and its mixed polymers, polyolefins, in particular polyethylene and polypropylene, polyacrylic compounds, polyvinyl compounds, for example polyvinyl chloride and polyvinyl acetate, polyesters and rubber, and also filaments made of viscose and cellulose ethers, cellulose esters, polyamides, polyurethanes, polyesters, for example polyglycol terephthalates, and polyacrylonitrile.
15

Glasses specifically include lenses, such as eyewear lenses, microscope slides, decorative glass pieces, plastic sheets, mirror glass, papers, ceramic or marble tile, vehicle/automobile windows, shower doors, building windows and doors, binocular lenses, microscope lenses, telescope lenses, camera lenses,
20 video lenses, television screens, computer screens, LCDs, mirrors, prisms, and the like.

The coatings formed on the substrate generally have a uniform thickness over the substrate, within that portion of the substrate (the hydrophobic coating is uniformly thick where the hydrophobic coating is formed). In one embodiment,
25 the thickness of the coatings are independently from about 0.1 nm to about 250 nm. In another embodiment, the thickness of the coatings are independently from about 1 nm to about 200 nm. In yet another embodiment, the thickness of the coatings are independently is from about 2 nm to about 100 nm. In still yet another embodiment, the thickness of the coatings are independently from about

5 nm to about 20 nm. In another embodiment, the thickness of the coatings are independently about 10 nm or less. The thickness of the coatings may be controlled by adjusting the deposition parameters.

5 Another advantage associated with the perfluoropolyether silicon compounds, methods and composites of the subject invention is the environmental benefits provided, since methods of making and using the perfluoropolyether silicon compounds are substantially free of environmental pollution. That is, the methods of making the perfluoropolyether silicon compounds involve reactions that can be performed without a solvent (and/or
10 with small amounts of organic solvents) and hence keep the environment clean by minimizing waste generated thereby.

The following examples illustrate the subject invention. Unless otherwise indicated in the following examples and elsewhere in the specification and claims, all parts and percentages are by weight, all temperatures are in degrees
15 Centigrade, and pressure is at or near atmospheric pressure.

Example 1

KRYTOX fluids are commercially available from E. I. du Pont in various molecular weight ranges (600-20000); for example KRYTOX 157FS (L), (M) and (H), was converted into an acid chloride by the treatment with PCl_5 followed by
20 reacting with methanol to obtain the corresponding methyl ester. The methyl ester was subjected to reduction into alcohol by sodium borohydride in 2-propanol according to method published in Journal of Fluorine Chemistry 126 (2005) 281-288 by Howell. The preceding alcohol was then taken as the starting material to make the fluorinated organic silicon compound.

25 To a dry 100 mL three necked round flask was charged 0.9 g (0.036 mol) sodium hydride in a glove box under argon atmosphere and then flask is moved into fume-hood, equipped with stirring bar, thermometer, and a refluxed condenser. 25 g (0.0169 mol) KRYTOX alcohol KDP-4599 average mwt.1460 and then 50 mL anhydrous THF was added during stirring while continue to keep

positive argon atmosphere during the entire course of reaction. This reaction mixture was heated to boil and continue to heat until no more hydrogen gas evolved. After cooling, then 3.2 mL (0.037 mol) of allyl bromide was added slowly into the reaction mixture and allowed to heat for 5 hours. Reaction was cooled and worked up by decomposing it with water. Perfluorohexane / FC-72 a 3M fluorinert solvent is added to separate the organic phase. After washing with water, dried over sodium sulphate and solvent distilled off. Yield (98%) of double bond containing fluorinated organic polymer compound or so called KYTOX allyl ether KDP 4599 with a average molecular weight 1460 amu. This was subjected to IR and NMR to obtain the percent conversion.

IR (NaCl, cm^{-1}) Vmax: alcohol Figure 1 and allyl ether Figure 2.

^1H NMR (300 MHz, $\text{C}_6\text{D}_6/\text{C}_6\text{F}_6$): alcohol Figure 3 and allyl ether Figure 4.

Example 2

Example 1 was repeated except that a commercial di-functional alcohol under trade name FOMBLIN and FLUOROLINK were obtained from Solvay Solexis in various molecular weight range e.g. Zdol-2000, Zdol 4000, FLK D 2000, FLK D 4000, FLK E10H and FLK E all having true functionality or impurity with mono-functionality were treated with sodium hydride same way as Example 1 to converted into corresponding allyl ether.

Example 3

Another commercial starting material available from Daikin with a trade name DEMNUM SH can be converted into allyl ether derivative following the same reaction conditions used in Example 1 to give an allyl ether of this class of compound.

Example 4

By taking the allyl ether compounds from the above Examples 1, 2, 3 can be subjected into hydrosilation reaction with a specific silane compound.

A pressure reactor vessel was charged with 488 g of KRYTOX allyl ether KDP-4599 ca. mwt.1465 under nitrogen, 0.8 mL catalyst (0.097mmol) hydrogen

hexachloroplatinate, and 110 mL trichlorosilane. Reaction vessel was closed under nitrogen and heated for 6 hrs at 165° C. During this time NMR showed no signal for the olefin proton. This was purified by distillation to give pure fluorinated organic silicone material in more than 95% yield.

5 IR: Figure 5; NMR: Figure 6

Example 5

A pressure reactor vessel was charged with 75 g of KRYTOX allyl ether KDP-4599 ca. mwt. 4000 (see Figure 13 for IR and Figure 14 NMR) under nitrogen, 0.1 mL catalyst (0.012 mmol) hydrogen hexachloroplatinate, and 22
10 mL trichlorosilane. Reaction vessel was closed under nitrogen and heated for 8 hrs at 175° C. During this time NMR revealed no signal for the olefin proton. This was purified by distillation to give pure material in more than 95% yield.

IR: Figure 7; NMR: Figure 8

Example 6

15 Compound from Example 4 was treated with methanol to convert into trimethoxy fluorinated organic coating material. 60 g material from Example 4, 20 mL anhydrous methanol was added in a flask and heated for one hour under argon. To this was added additional 2 more 20 mL portions of anhydrous methanol at which point no more hydrogen chloride gas evolved. This was
20 washed several times with anhydrous methanol until acid free, which afforded a clear to pale yellow viscous oil. Yield 100%.

IR: Figure 9; NMR: Figure 10

Example 7

25 Compound from Example 5 was treated with methanol to convert into trimethoxy fluorinated organic coating material. 12 g material from Example 5, 10 mL anhydrous methanol was added in a flask and heated for one hour under argon. To this was added additional 2 more 20 mL portions of anhydrous methanol at which point no more hydrogen chloride gas evolved. This was washed several times with anhydrous methanol until acid free, which afforded a

clear to pale yellow viscous oil. Yield 100%.

IR: Figure 11; NMR: Figure 12

Example 8, wet coating method:

5 A coating composition was prepared by mixing 0.05 - 0.1% by weight of
 the fluorinated organic material prepared in the Example 5 or 6 in
 perfluorohexane FC-72, FC 77 or HFE 7200 all from 3M in combination with or
 without iso-propanol. This was tested on bare glass coupons or AR coated
 lenses, which has last layer of silica and were obtained from Pentax Vision, MN.
 The coating solution was impregnated in sealed pouch for easy application onto
 10 wet wipe cloth. The coating was formed using a dip method of application.
 Results are summarized in the Table 1.

Table 1

	Contact Angle After				
	24 hrs	1 month	3 months	5 months	7 months
15	110	110	110	110	110

The coating was formed using a wipe on method of application. Results are summarized in the Table 2.

Table 2

	Contact Angle/Slipperiness Angle After				
	24 hrs	1 month	3 months	5 months	7 months
20	111/8	110/8	110/8	110/8	110/8

Example 9

Polymer from Example 4 was charged onto porous carrier and a glass
 (lens) substrate coated as described in U.S. Patent 6,881,445. Results are
 25 summarized in the Table 3.

Table 3

	No Vacuum	Low vacuum	Low vacuum
Number of lenses	8	50	300
Contact angle	110	110	110

Example 10

Polymer from Example 5 was charged onto porous carrier and substrate coated as described in U.S. Patent 6,881,445. Results are summarized in the Table 4.

		Table 4			
5	time depo./ Temp °C	Contact angle of water	Contact angle of olive oil	Abrasion no rub/500 rub	FC-77 wash before/after
	5/220	100	88	100/65	100/99
	10/220	105	75	105/98	105/105
10	10/250	108	75	108/100	108/108
	10/280	110	72	110/110	110/110
	10/300	112	70	112/112	112/112

Comparative Example 1

15 Lenses were coated in box coater Satis MC 380H with SATIN pill. Results are summarized in the Table 5.

		Table 5			
Thickness value	5 nm	7 nm	10 nm	12 nm	
Contact angle	100	105	110	111	
Slip angle	14	11	5	4.5	

20 Lenses were coated with the coating of Example 6 in box coater Satis MC 380H. Results are summarized in the Table 6.

		Table 6				
Abrasion	0	5000 strk	10000 strk	15000 strk	20000 stoke	
Contact angle						
25 water	110	110	109	108	105	
oil	72	72	72	72	72	
Slide angle	4.5	4.5	5	4.5	5	
Ink test*	>10	>10	>10	>10	>10	
Surf. tension	19	19	19	19	19	

Transmiss.	100	100	100	100	100
Resid. Color	0	0	0	0	0

* 1 worst, 10 or higher is best

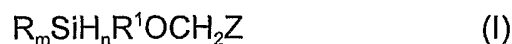
5 With respect to any figure or numerical range for a given characteristic, a figure or a parameter from one range may be combined with another figure or a parameter from a different range for the same characteristic to generate a numerical range.

10 While the invention has been explained in relation to certain embodiments, it is to be understood that various modifications thereof will become apparent to those skilled in the art upon reading the specification. Therefore, it is to be understood that the invention disclosed herein is intended to cover such modifications as fall within the scope of the appended claims.

CLAIMS

What is claimed is:

1. A compound represented by formula (I):



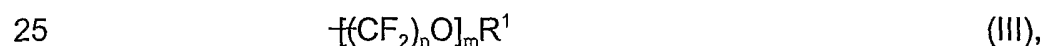
5 where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon atoms, halogens, hydroxy, and acetoxy; R¹ is an alkyl containing from about 2 to about 10 carbon atoms; Z is fluorinated alkyl ether containing from about 2 to about 2,000 carbon atoms; and m is from about 1 to about 3, n is from 0 to about
10 2, and m + n equal 3.

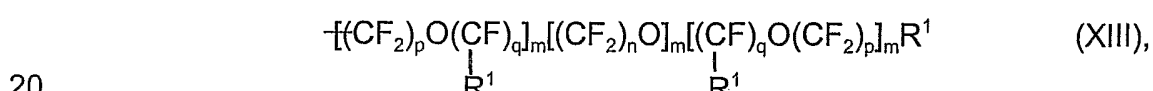
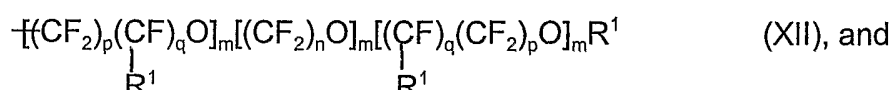
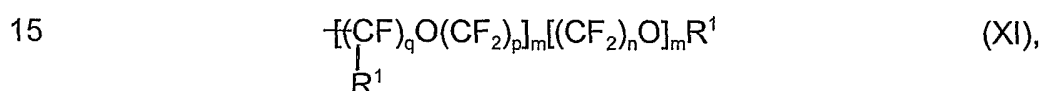
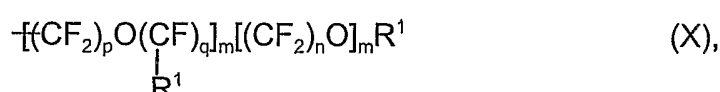
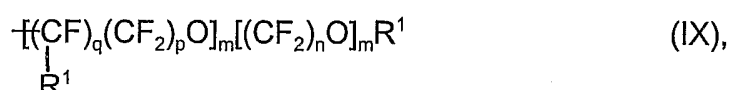
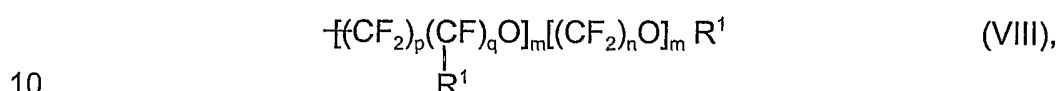
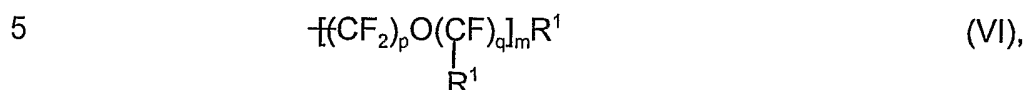
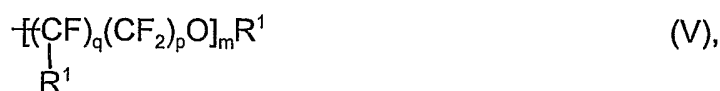
2. The compound of claim 1, wherein R¹ is a propylene group.

3. The compound of claim 1, where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which
15 contain from about 1 to about 10 carbon atoms, halogens, hydroxy, and acetoxy; R¹ is an alkyl containing from about 2 to about 5 carbon atoms; Z is fluorinated alkyl ether containing from about 5 to about 1,500 carbon atoms; and m is from about 2 to about 3, n is from 0 to about 1, and m + n equal 3.

4. The compound of claim 2, where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which
20 contain from about 1 to about 10 carbon atoms, halogens, hydroxy, and acetoxy; Z is fluorinated alkyl ether containing from about 5 to about 1,500 carbon atoms; and m is from about 2 to about 3, n is from 0 to about 1, and m + n equal 3.

5. The compound of claim 1, wherein Z comprises at least one selected from the group consisting of:





wherein each R¹ is independently any of CF₃, C₂F₅, C₃F₇, CF(CF₃)₂, and similar groups such as similar fluoro-carbon groups and fluoro-hydrocarbon groups; each m is independently from about 2 to about 300; each n is independently from about 1 to about 5; each p is independently from about 0 to about 5; and each q is independently from about 0 to about 5.

6. The compound of claim 5, wherein each m is independently from about 5 to about 100; each n is independently from about 2 to about 4; each p is independently from about 1 to about 4; and each q is independently from about 1

to about 4.

7. The compound of claim 1, with the proviso that Z does not comprise an amide moiety.

5 8. A method of making a compound of claim 1 comprising:
contacting a hydrocarbylized perfluoroether with a silane compound
in the presence of a hydrosilation catalyst to form the compound of claim 1.

9. The method of claim 8, wherein the hydrocarbylized perfluoroether is made by contacting a functionalized perfluoroether with a hydrocarbon containing compound.

10 10. The method of claim 8, wherein the functionalized perfluoroether comprises at least one selected from the group consisting of an metal alcoholate perfluoroether and an alcohol perfluoroether and the hydrocarbon containing compound comprises at least one selected from the group consisting of an allyl compound and a styrene compound.

15 11. The method of claim 8, wherein the functionalized perfluoroether is made from a perfluoroether having an end group comprising at least one selected from the group consisting of FOC-, R²O₂C-, R²O-, HO₂C-, HOH₂C-, and FO-.

20 12. The method of claim 8, wherein the silane compound is represented by Formula (XXII):



where each R is independently an alkyl, hydroxyalkyl, alkoxy, alkyl ether, aryl, aryloxy, substituted aryl, all of which contain from about 1 to about 20 carbon

atoms, halogens, hydroxy, and acetoxy; and m is from about 2 to about 3, n is from 1 to about 2, and m + n equal 4.

5 13. The method of claim 8, wherein the silane compound is at least one selected from the group consisting of dialkoxyalkyl silanes, trialkoxy silanes, dihalosilanes, and trihalosilanes.

 14. The method of claim 8, wherein the hydrosilation catalyst comprises at least one selected from the group consisting of the platinum containing catalysts, palladium containing catalysts, nickel containing catalysts, rhodium catalysts, and chromium catalysts.

10 15. A method of making a compound of claim 2 comprising:
 contacting a hydrocarbylized perfluoroether with a silane compound in the presence of a hydrosilation catalyst to form the compound of claim 2.

 16. A substrate having a coating thereon, the coating comprising a compound of claim 1.

15 17. The substrate having a coating thereon of claim 16, wherein the substrate comprises at least one selected from the group consisting of glass, ceramic, porcelain, fiberglass, metal, an organic thermoset, and an organic thermoplastic.

20 18. A method of making a coating on a substrate, comprising contacting a substrate with a compound of claim 1.

 19. The method of making a coating of claim 18, further comprising applying heat or decreasing pressure while contacting the substrate with the

compound.

20. The method of making a coating of claim 18, wherein a solution comprises the compound and an inert solvent.

IR Polymer Chain CH₂OH amu 2000

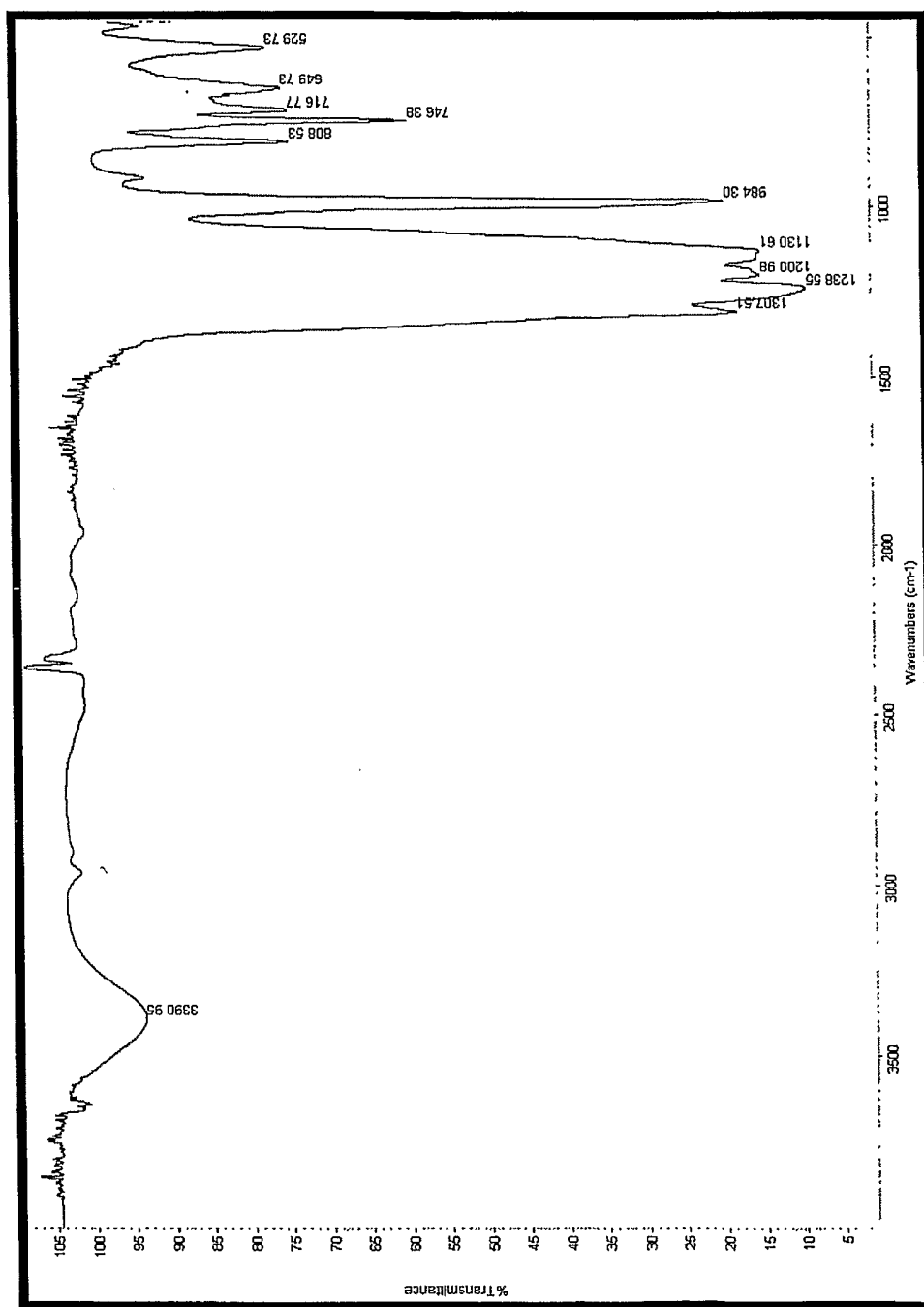


FIG. 1

IR Polymer Chain CH₂OCH₂CH=CH₂ amu 2000

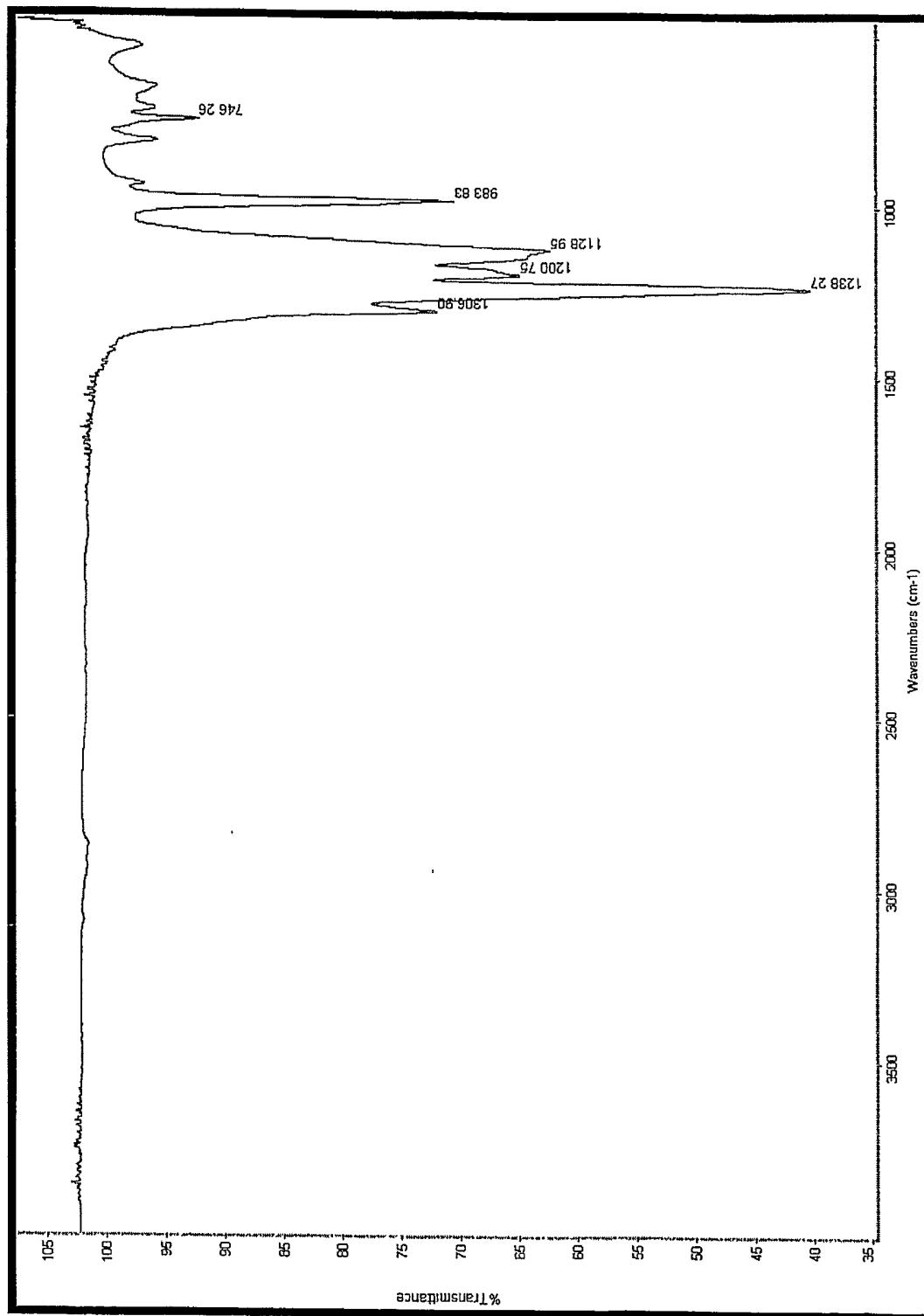


FIG. 2

Polymer Chain CH₂OH amu 2000 NMR

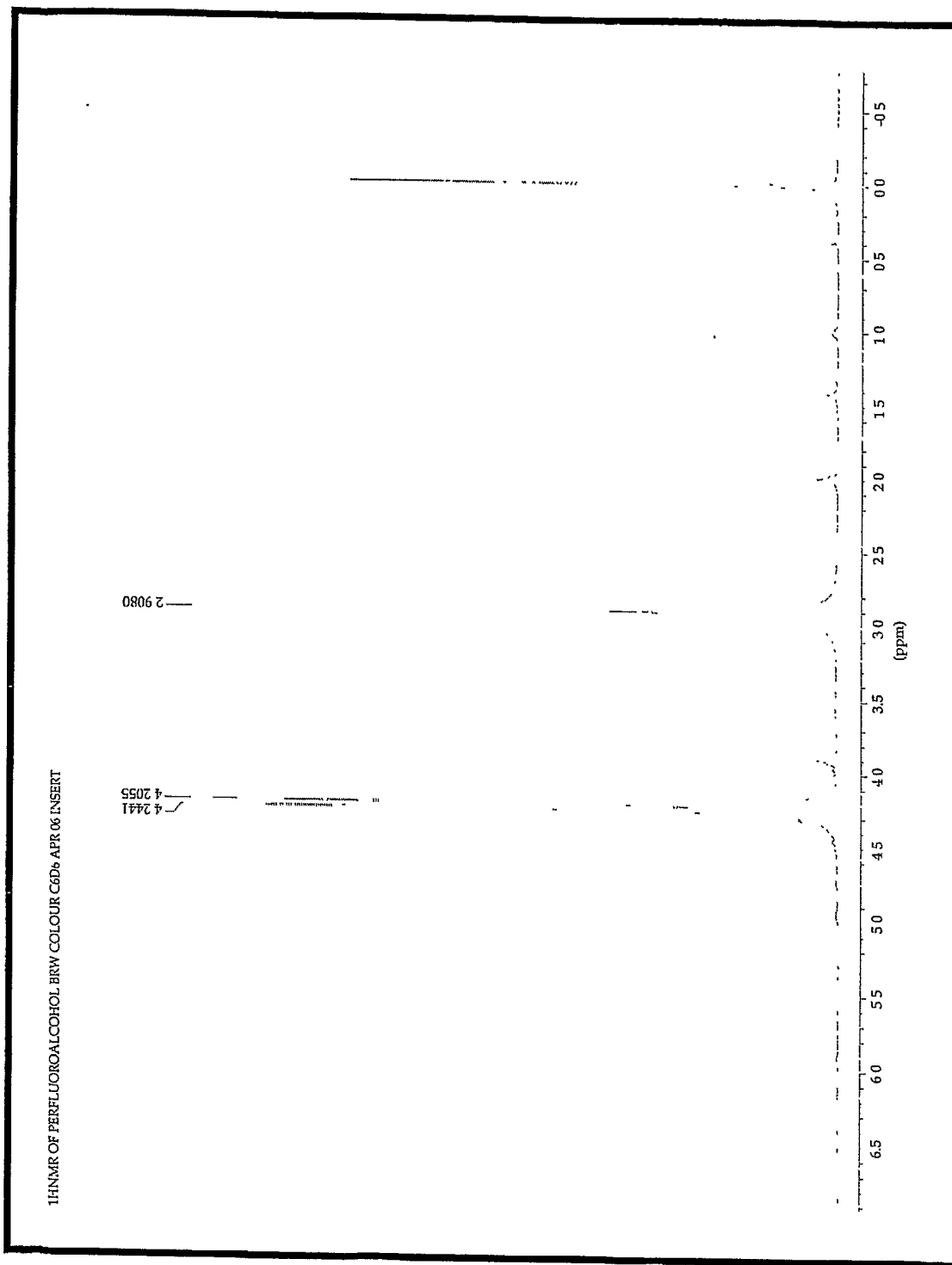


FIG. 3

Polymer Chain CH₂OCH₂CH=CH₂ amu 2000 NMR

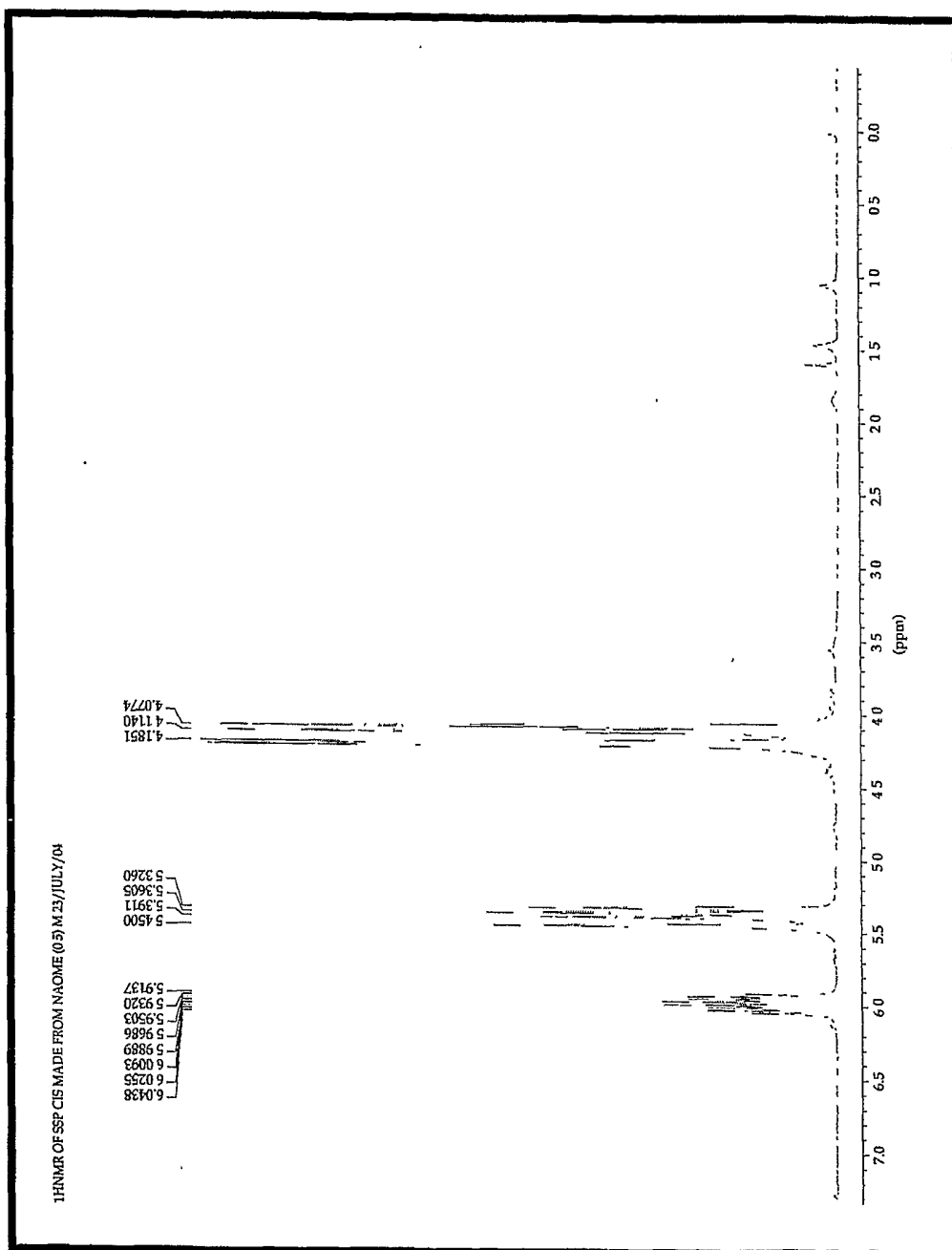


FIG. 4

Polymer chain-SiCl₃ 2000 amu IR

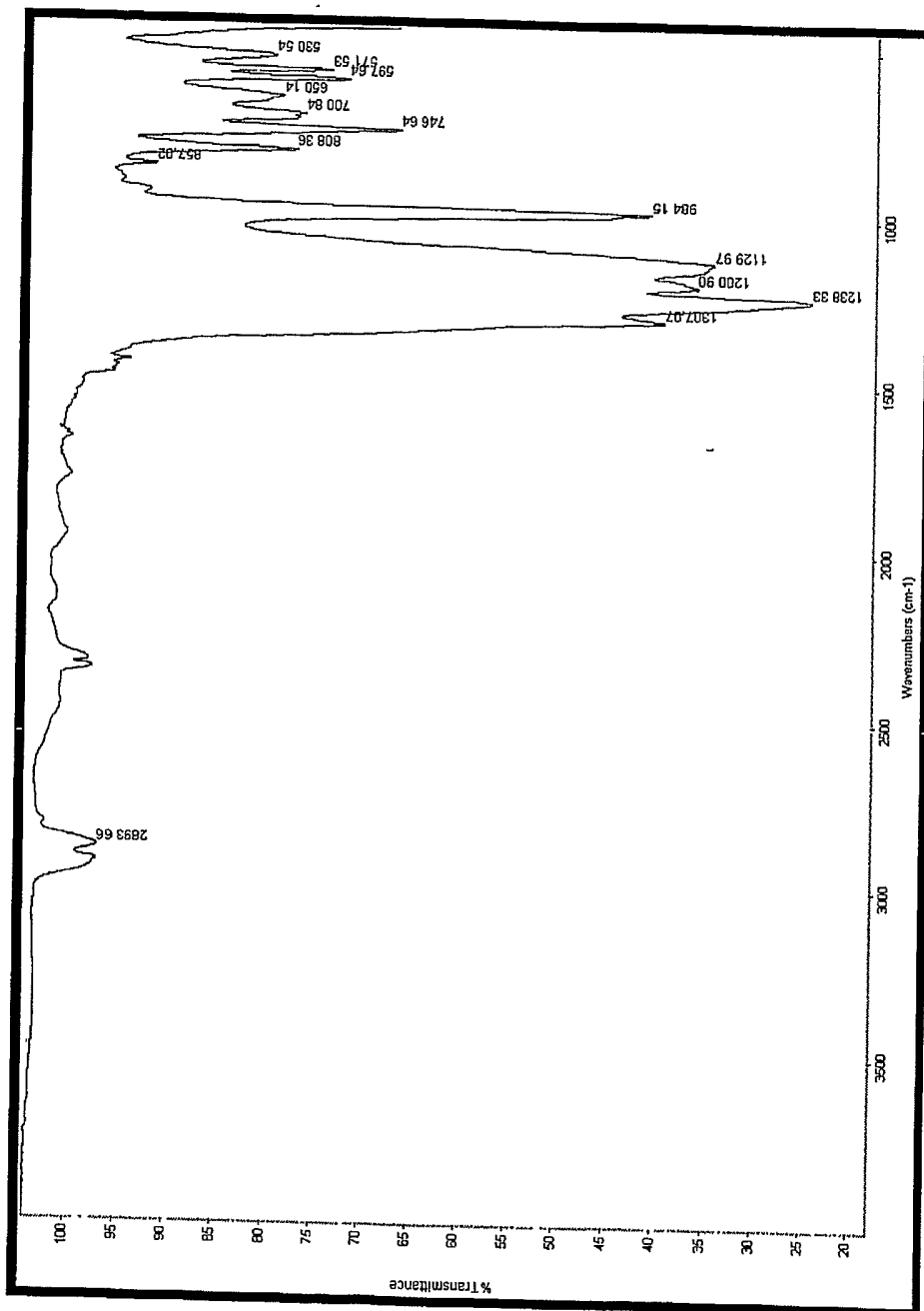


FIG. 5

NMR Polymer Chain-SiCl₃ amu 2000

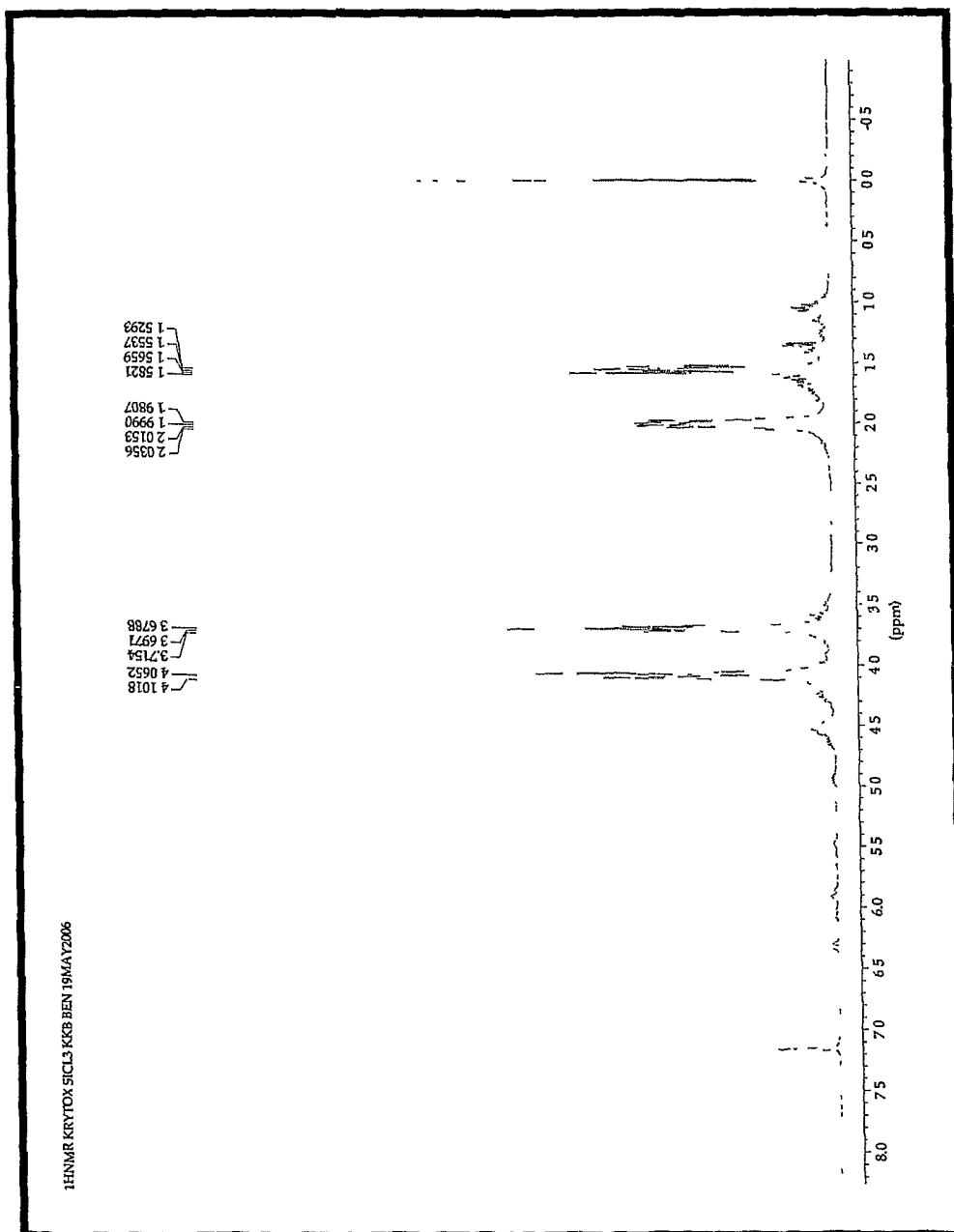


FIG. 6

7/14

IR Polymer Chain-SiCl₃ amu 4000

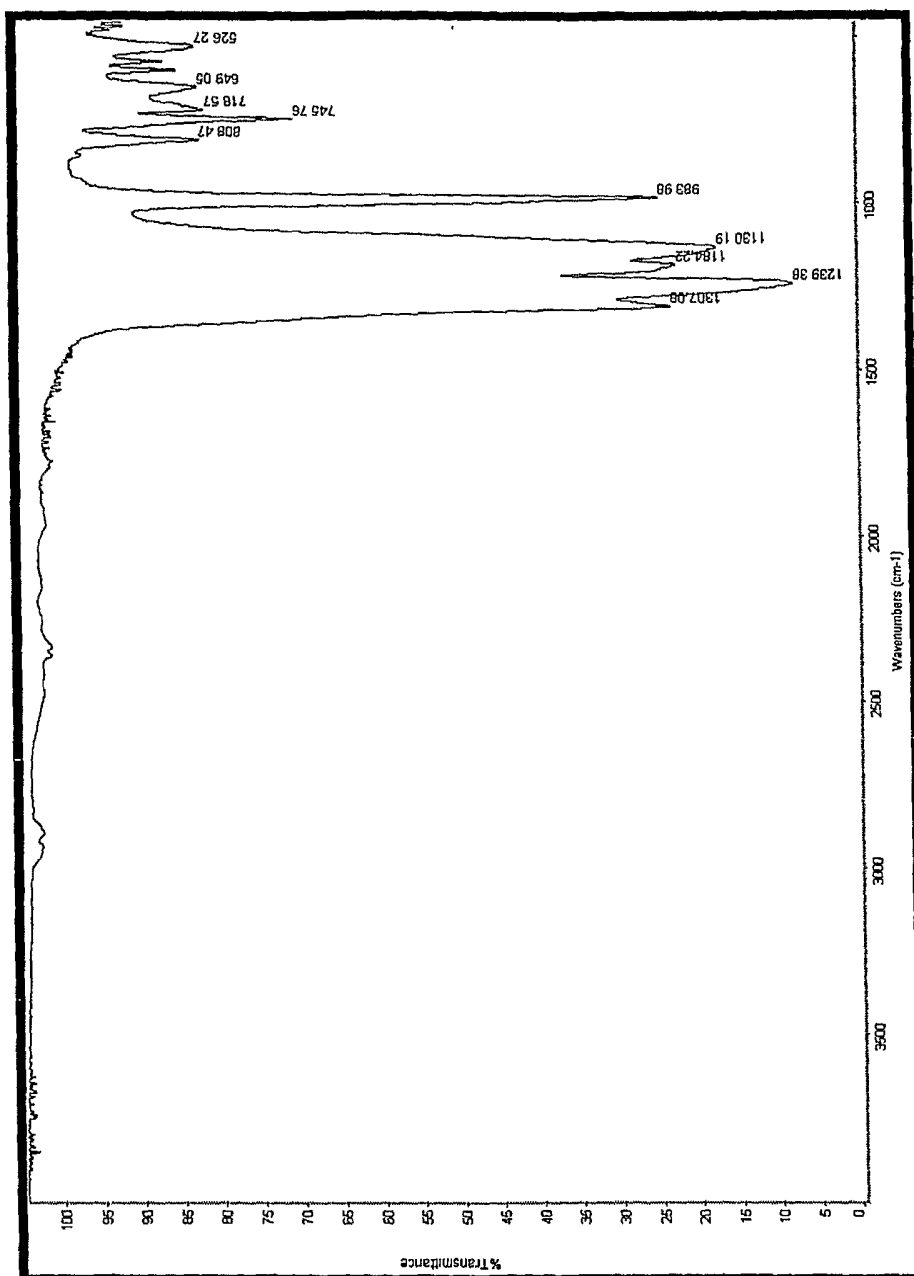


FIG. 7

NMR Polymer Chain-SiCl₃ amu 4000

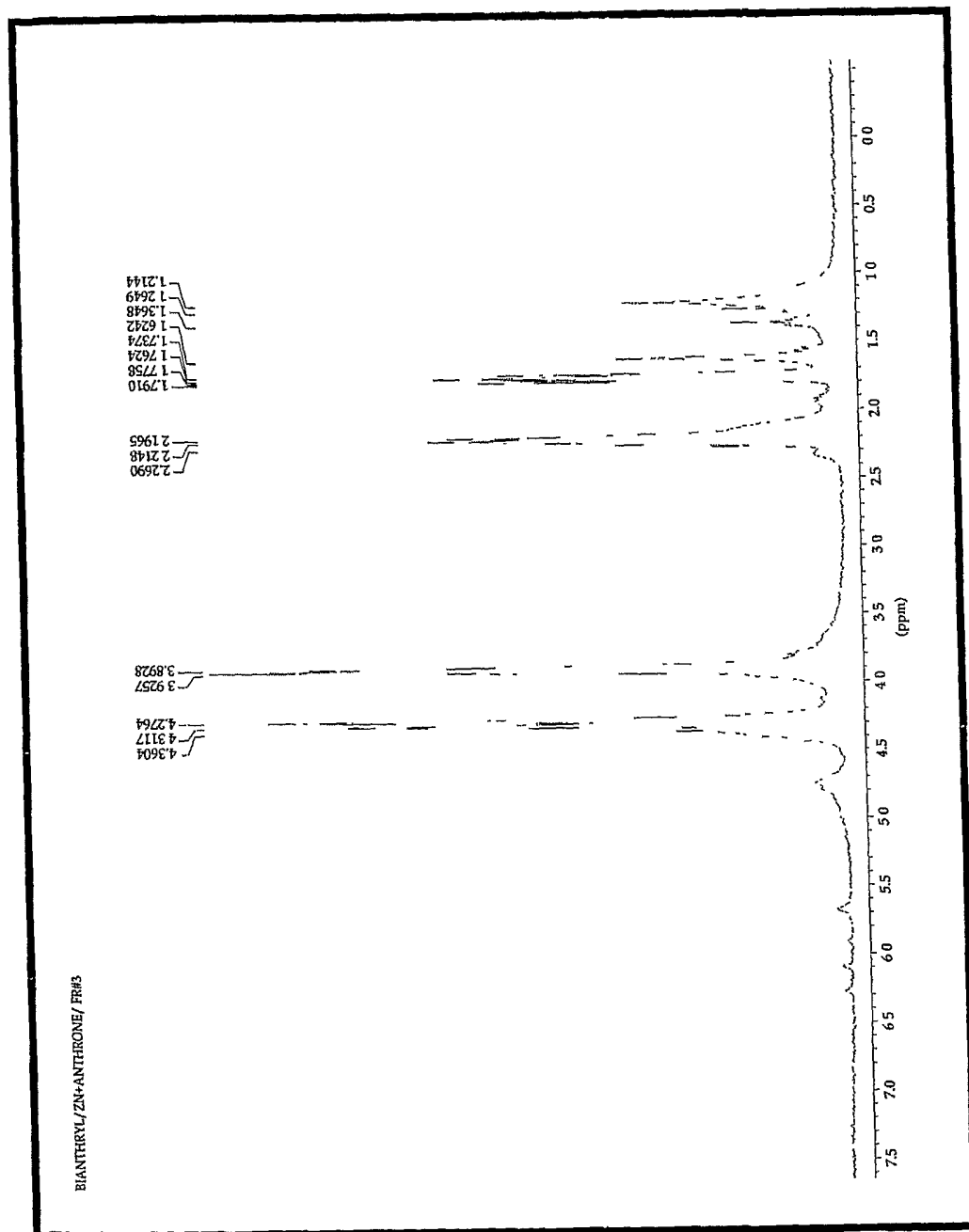


FIG. 8

9/14

Polymer Chain-Si (OMe)₃ amu 2000 IR

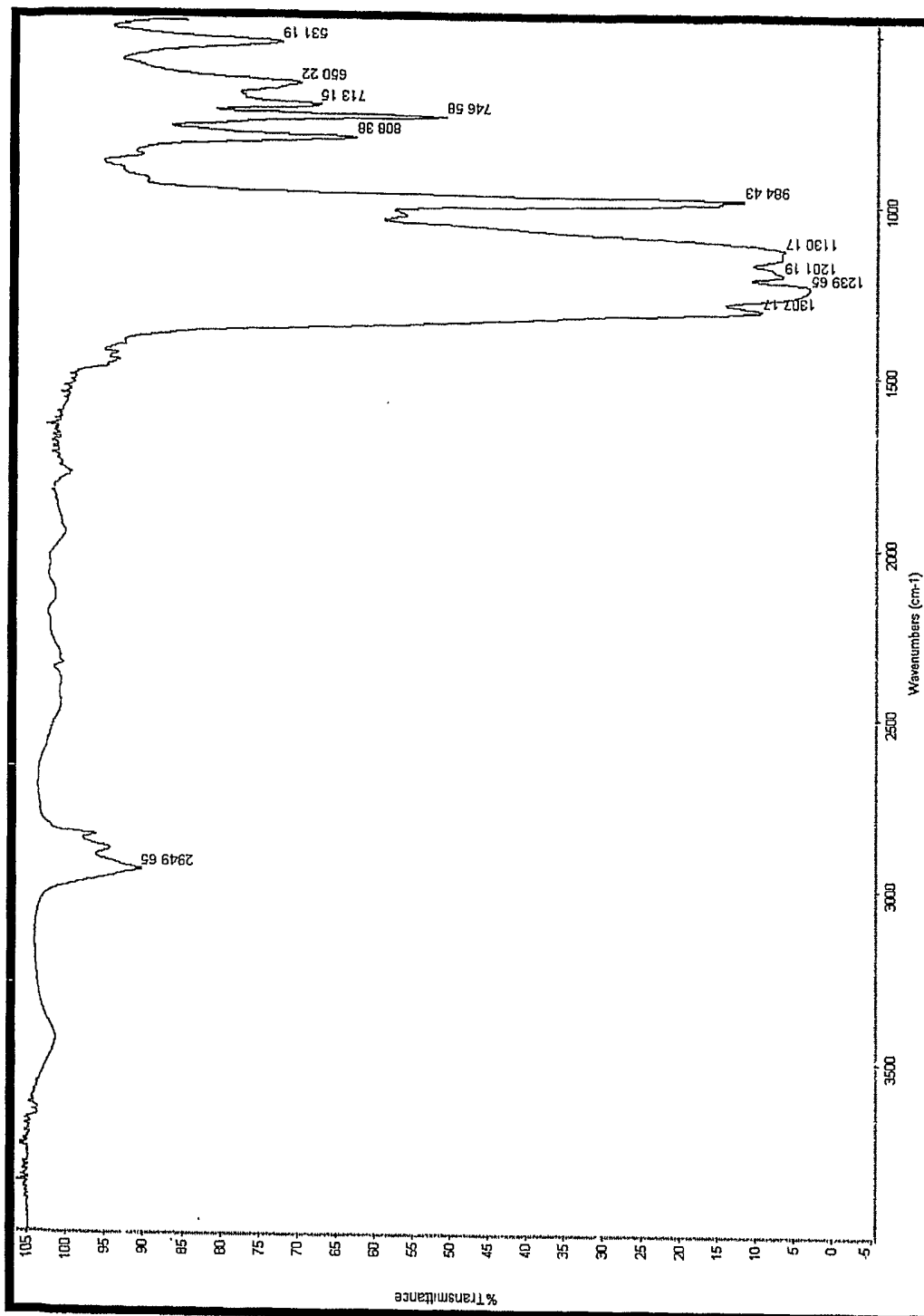


FIG. 9

NMR Polymer Chain-Si(OMe)₃ amu 2000

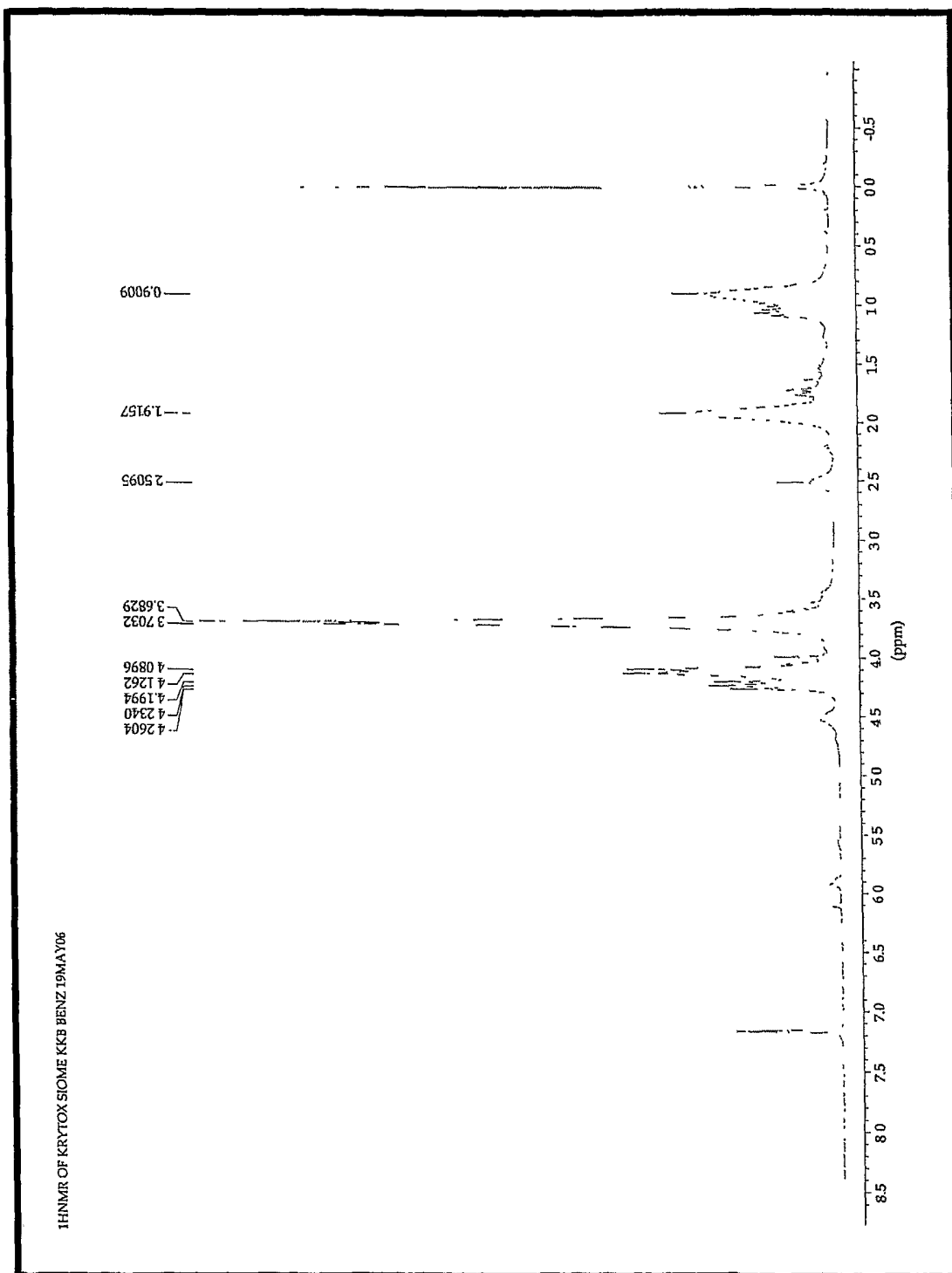


FIG. 10

IR Polymer Chain-Si(OMe)₃ amu 4000

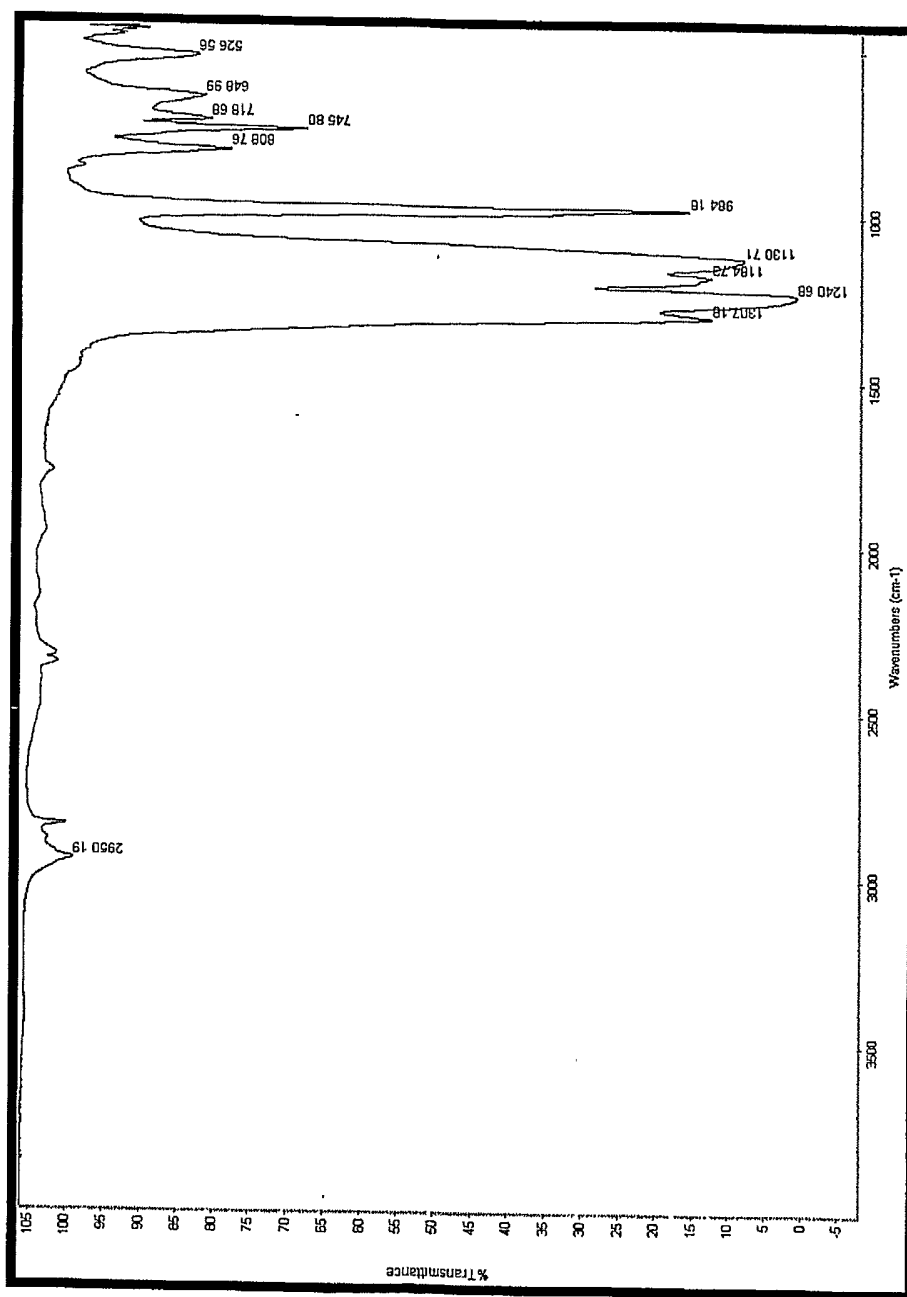


FIG. 11

NIK Polymer Chain-Si(OMe)₃ amu 4000



FIG. 12

Polymer Chain-CH₂OCH₂CH=CH₂ amu 4000 IR

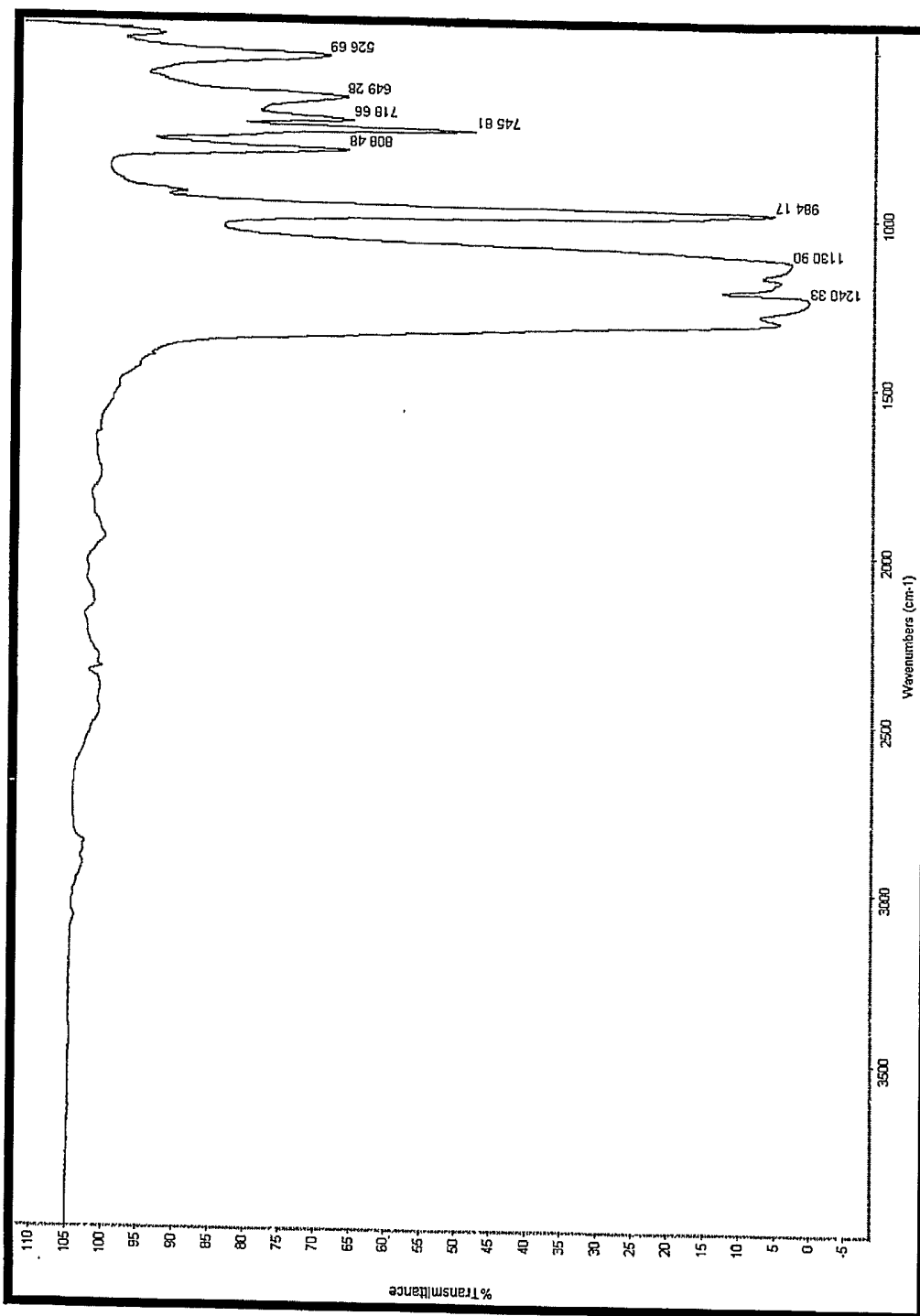


FIG. 13

NMR Polymer Chain-CH₂OCH₂CH=CH₂ amu 4000

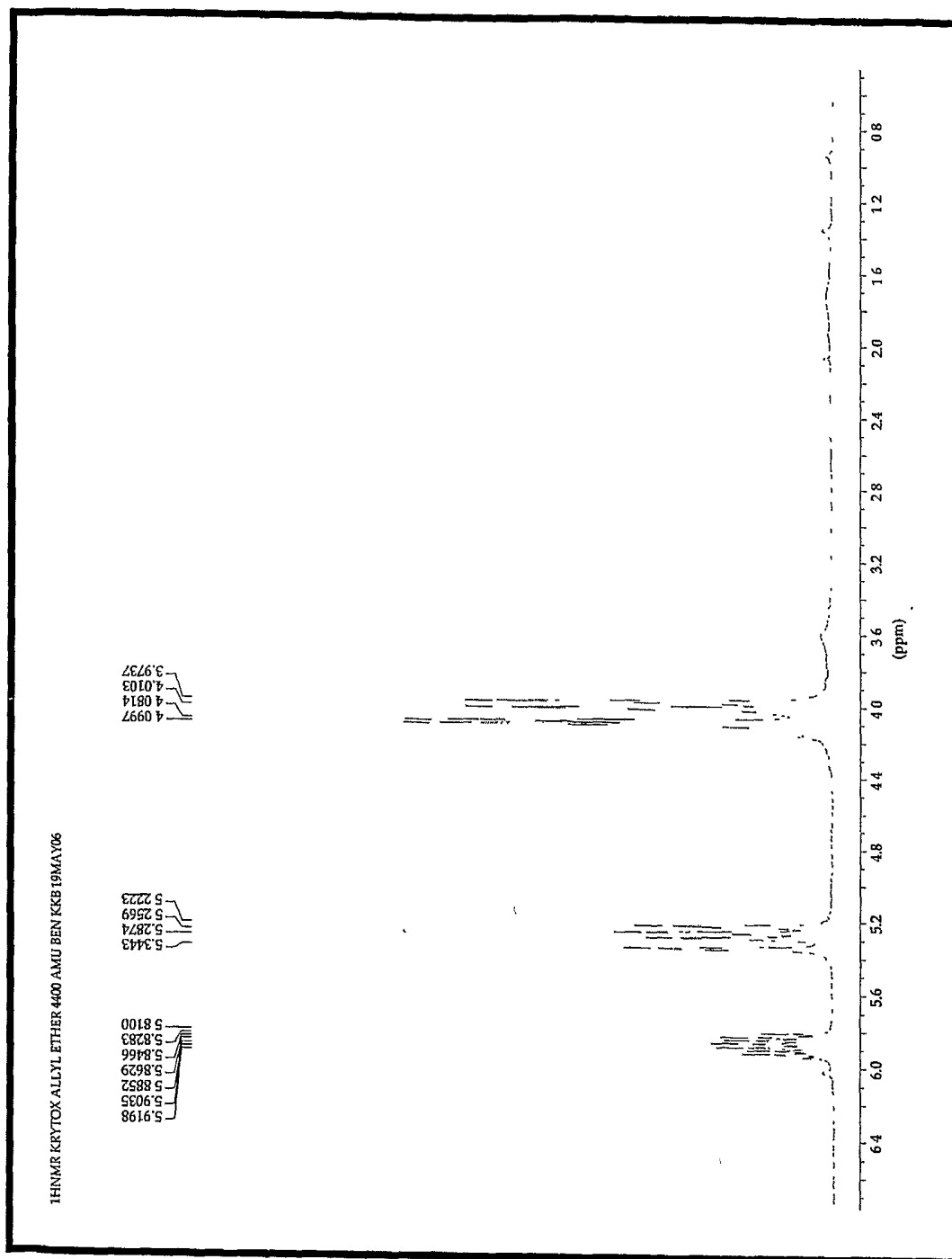


FIG. 14

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US06/19843

A. CLASSIFICATION OF SUBJECT MATTER
IPC: C07F 9/02(2006.01),7/04(2006.01),7/08(2006.01)

USPC: 556/400,465,487
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 U.S. : 556/400,465,487

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 EAST, WEST AND CAS ONLINE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 97/01565 (E. I. DU PONT DE NEMOURS & CO.) 16 January 1997, see entire document.	1-20
A	US 5,684,111 A (MICHALCZYK ET AL) 04 November 1997, see entire document.	1-20
A	US 3,038,000 A (SCHMIDT) 05 June 1962, see entire document.	1-20

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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Date of the actual completion of the international search 04 August 2006 (04.08.2006)	Date of mailing of the international search report 31 AUG 2006
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