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(54) Title: METHOD AND APPARATUS FOR VIDEO CODING WITH ADAPTIVE CLIPPING

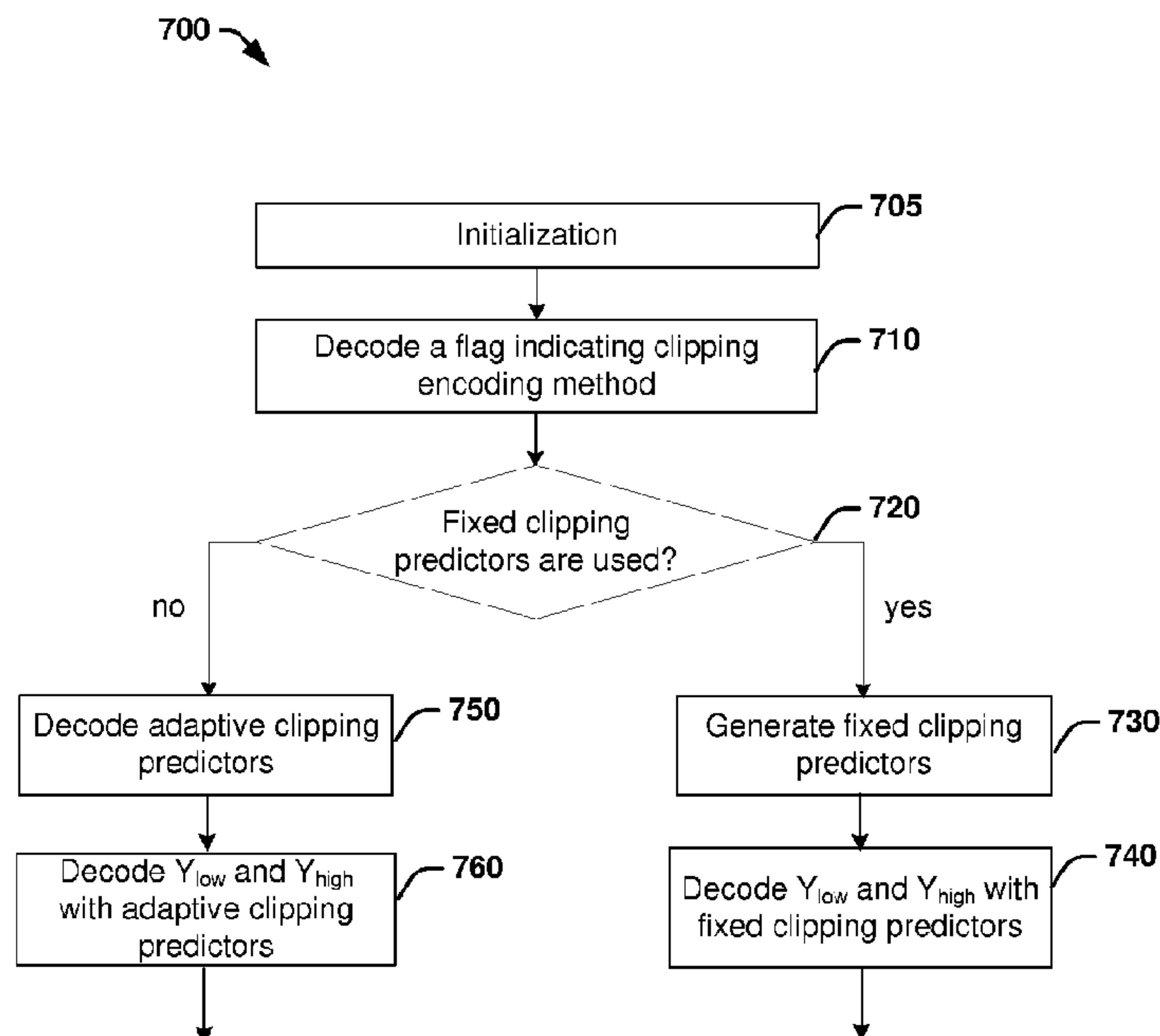


FIG. 7

(57) Abrégé/Abstract:

Clipping may be applied during various operations at an encoder or decoder. The lower and upper bounds for clipping can be differentially encoded with respect to predictors. Fixed predictors can be used, for example, 0 and 2^{bitdepth} for the lower and upper bounds, respectively. Adaptive predictors can also be used. Adaptive predictors can be derived from clipping bounds in previously encoded or decoded pictures, or explicitly signaled in a bitstream. Whether to encode the clipping bounds can be determined based on the number of pixels that have values close to the clipping bounds. Additionally, taking advantage of the clipping operation, the prediction residuals can be smoothed, while the distortion for the block may not necessarily increase.

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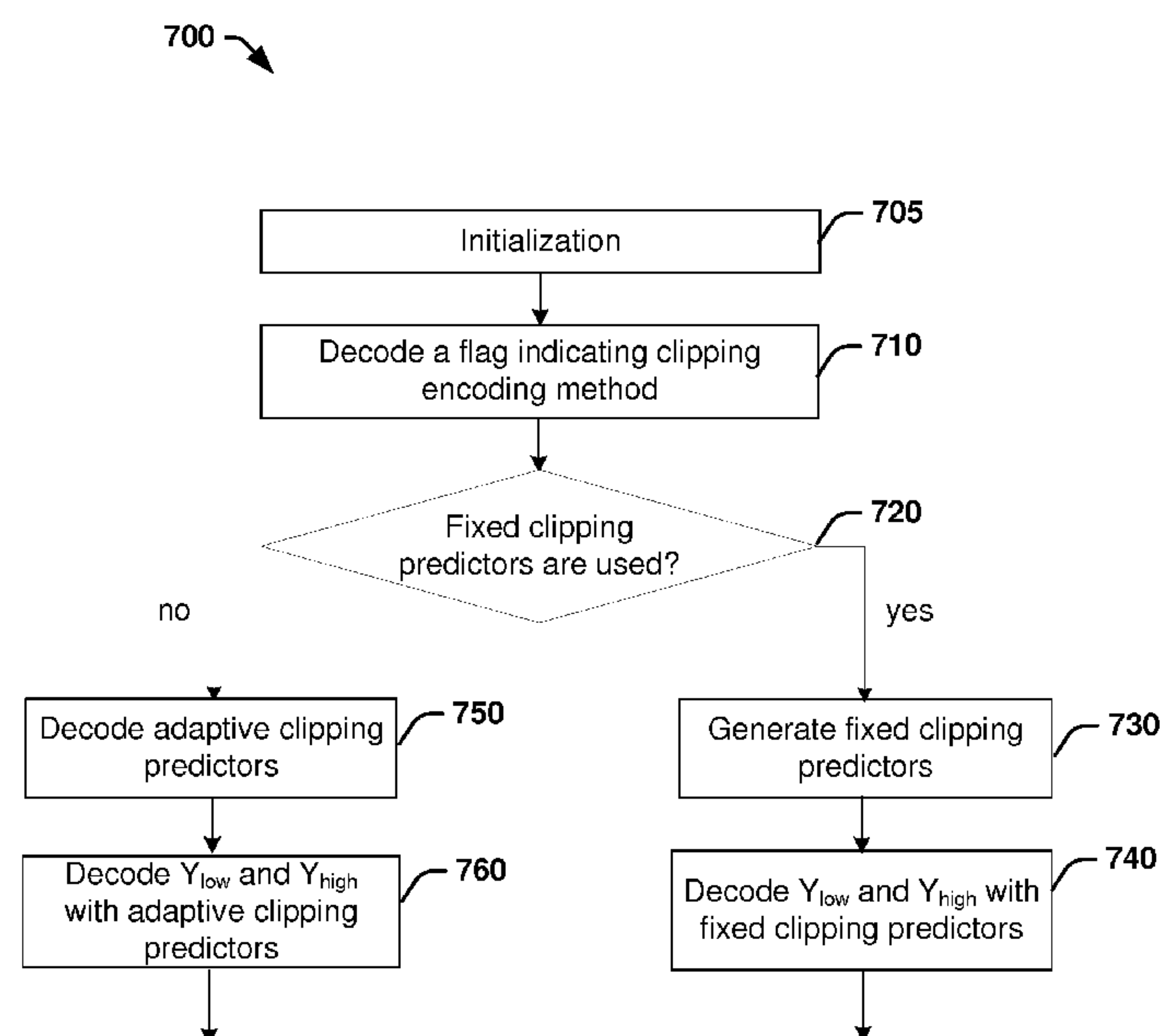


FIG. 7

(57) Abstract: Clipping may be applied during various operations at an encoder or decoder. The lower and upper bounds for clipping can be differentially encoded with respect to predictors. Fixed predictors can be used, for example, 0 and 2^{bitdepth} for the lower and upper bounds, respectively. Adaptive predictors can also be used. Adaptive predictors can be derived from clipping bounds in previously encoded or decoded pictures, or explicitly signaled in a bitstream. Whether to encode the clipping bounds can be determined based on the number of pixels that have values close to the clipping bounds. Additionally, taking advantage of the clipping operation, the prediction residuals can be smoothed, while the distortion for the block may not necessarily increase.

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METHOD AND APPARATUS FOR VIDEO CODING WITH ADAPTIVE CLIPPING

TECHNICAL FIELD

5 [1] The present principles generally relate to a method and an apparatus for video encoding and decoding, and more particularly, to a method and an apparatus for video encoding and decoding with adaptive clipping.

BACKGROUND

10 [2] To achieve high compression efficiency, image and video coding schemes usually employ prediction and transform to leverage spatial and temporal redundancy in the video content. Generally, intra or inter prediction is used to exploit the intra or inter frame correlation, then the differences between the original image and the predicted image, often denoted as prediction errors or prediction residuals, are transformed, quantized and entropy coded. To reconstruct the video, the compressed data is decoded by inverse processes
15 corresponding to the prediction, transform, quantization and entropy coding.

[3] During various operations in a video compression system, the video data may exceed the data range used to represent the video data. To avoid possible data inversion, for example, a high value exceeding the range of an 8-bit representation may be inadvertently treated as a low value if only the lower eight bits are retained, the video data is usually clipped to a proper
20 range.

SUMMARY

[4] According to a general aspect, a method for encoding a picture into a bitstream is presented, comprising: accessing an upper bound for clipping for a block of the picture and a first predictor for the upper bound; quantizing a first difference between the upper bound and the first predictor; encoding the quantized first difference into the bitstream; de-quantizing the
25 quantized first difference to form a decoded first difference; adjusting values of video data for the block to be smaller than a reconstructed upper bound, the reconstructed upper bound being formed based on the decoded first difference and the first predictor; and encoding the block of the picture into the bitstream.

[5] The encoding method may further comprise: accessing a lower bound for clipping for the block of the picture and a second predictor for the lower bound; quantizing a second difference between the upper bound and the second predictor; encoding the quantized second difference into the bitstream; de-quantizing the quantized second difference to form a decoded second difference; and adjusting values of video data for the block to be greater than a reconstructed lower bound, the reconstructed lower bound being formed based on the decoded second difference and the second predictor.

[6] To reduce distortion, the quantization and de-quantization can be designed such that the reconstructed lower bound is smaller than the lower bound for clipping, and the reconstructed upper bound is greater than the upper bound for clipping.

[7] The first difference can be represented by $(\text{bitdepth} - 1)$ bits, wherein bitdepth is a bit depth used to represent the video data for the block.

[8] To decide whether or not to apply adaptive clipping, the method may further comprise: determining the number of pixels that have values close to at least one of the upper bound and the lower bound in the block; and determining whether to use the first predictor for encoding the upper bound based on the determined number of pixels.

[9] According to another general aspect, a method for decoding a picture from a bitstream is presented, comprising: accessing a first predictor for an upper bound for clipping for a block of the picture; decoding a quantized first difference from the bitstream to form a decoded first difference; adjusting values of video data for the block to be smaller than a reconstructed upper bound, the reconstructed upper bound being formed based on the decoded first difference and the first predictor; and decoding the block of the picture from the bitstream.

[10] The decoding method may further comprise: accessing a second predictor for a lower bound for clipping for the block of the picture; decoding a quantized second difference from the bitstream to form a decoded second difference; adjusting values of video data for the block to be greater than a reconstructed lower bound, the reconstructed lower bound being formed based on the decoded second difference and the second predictor.

[11] In the encoding or decoding method, the first predictor can be 2^{bitdepth} , or the first predictor is determined based on a predictor for an upper bound for clipping in a previously encoded or decoded picture. The first predictor may be adapted to content of the block of the

picture.

[12] The present embodiments also provide an apparatus for performing these steps.

[13] The present embodiments also provide a computer readable storage medium having stored thereon instructions for encoding a picture into a bitstream or decoding a picture from a
5 bitstream according to the methods described above.

[14] The present embodiments also provide a computer readable storage medium having stored thereon a bitstream generated according to the methods described above.

[15] According to another general aspect, a video signal is formatted to include: an encoded
10 section of a quantized first difference formed by quantizing a first difference between an upper bound for clipping for a block of a picture and a first predictor for the upper bound, wherein the quantized first difference is de-quantized to form a decoded first difference, and values of video data for the block are adjusted to be smaller than a reconstructed upper bound, the reconstructed upper bound being formed based on the decoded first difference and the first predictor.

15 [16] According to another general aspect, a method for encoding a picture is presented, comprising: accessing an original block to be encoded, a prediction block and a residual block corresponding to the original block; determining that a first element in the original block or the prediction block is close to an upper bound for clipping; replacing a first element in the residual
20 block corresponding to the first element in the original block or the prediction block with a first value that is greater than the first element in the residual block; encoding and decoding the residual block with the replaced element to form a decoded residual block; forming a reconstructed block based on the prediction block and the decoded residual block; and replacing a first element in the reconstructed block corresponding to the first element in the residual block with the upper bound for clipping if the first element in reconstructed block
25 exceeds the upper bound for clipping.

[17] The method may further comprise: determining that a second element in the original block or the prediction block is close to a lower bound for clipping; replacing a second element in the residual block corresponding to the second element in the original block or the prediction block with a second value that is smaller than the second element in the residual block;
30 replacing a second element in the reconstructed block corresponding to the second element in

the residual block with the lower bound for clipping if the second element in the reconstructed block is smaller than the lower bound for clipping.

[18] The first value may be a low-pass filtered value of the block.

[19] To determine that the first element in the original block or the prediction block is close to an upper bound for clipping, a threshold can be used. In one example, the threshold is 0. In another example, the threshold increases with a quantization parameter corresponding to the residual block.

[20] The present embodiments also provide an apparatus for performing these steps.

[21] The present embodiments also provide a computer readable storage medium having stored thereon instructions for encoding a picture according to the methods described above.

[22] The present embodiments also provide a computer readable storage medium having stored thereon a bitstream generated according to the methods described above.

BRIEF DESCRIPTION OF THE DRAWINGS

[23] FIG. 1 illustrates a block diagram of an exemplary HEVC (High Efficiency Video Coding) encoder.

[24] FIG. 2 illustrates a block diagram of an exemplary HEVC video decoder.

[25] FIG. 3 depicts an exemplary method for determining whether or not to enable adaptive clipping at an encoder, according to an embodiment of the present principles.

[26] FIG. 4A depicts an exemplary method for encoding clipping bounds, according to an embodiment of the present principles; FIG. 4B depicts an exemplary method for decoding clipping bounds, according to an embodiment of the present principles; FIG. 4C depicts an exemplary method for initializing reference clipping bounds at the encoder side, according to an embodiment of the present principles; and FIG. 4D depicts an exemplary method for initializing reference clipping bounds at the decoder side, according to an embodiment of the present principles.

[27] FIG. 5 illustrates the quantization and de-quantization for A_d and B_d using an example.

[28] FIG. 6 illustrates an exemplary method for encoding clipping bounds with fixed predictors or adaptive predictors, according to an embodiment of the present principles.

[29] FIG. 7 illustrates an exemplary method for decoding the clipping bounds with fixed predictors or adaptive predictors, according to an embodiment of the present principles.

5 [30] FIG. 8A illustrates an exemplary method for encoding a current block; and FIG. 8B illustrates an exemplary method for encoding a current block with adaptive residual smoothing, according to an embodiment of the present principles.

[31] FIG. 9 illustrates an exemplary method for performing residual smoothing in an encoder, according to an embodiment of the present principles.

10 [32] FIG. 10 illustrates a block diagram of an exemplary system in which various aspects of the exemplary embodiments of the present principles may be implemented.

DETAILED DESCRIPTION

[33] FIG. 1 illustrates an exemplary HEVC encoder 100. To encode a video sequence with one or more pictures, a picture is partitioned into one or more slices where each slice can
15 include one or more slice segments. A slice segment is organized into coding units, prediction units and transform units.

[34] The HEVC specification distinguishes between “blocks” and “units,” where a “block” addresses a specific area in a sample array (e.g., luma, Y), and the “unit” includes the collocated block of all encoded color components (Y, Cb, Cr, or monochrome), syntax elements and
20 prediction data that are associated with the block (e.g., motion vectors).

[35] For coding, a picture is partitioned into coding tree blocks (CTB) of square shape with a configurable size, and a consecutive set of coding tree blocks is grouped into a slice. A Coding Tree Unit (CTU) corresponding to a CTB contains the CTBs of the encoded color components. A CTB is the root of a quadtree partitioning into Coding Blocks (CB), and a
25 Coding Block is partitioned into one or more Prediction Blocks (PB) and forms the root of a quadtree partitioning into Transform Blocks (TBs). Corresponding to the Coding Block, Prediction Block and Transform Block, a Coding Unit (CU) includes the Prediction Units (PUs) and the tree-structured set of Transform Units (TUs), a PU includes the prediction information for all color components, and a TU includes residual coding syntax structure for each color

and $\text{bitdepth}_0 = 8$ as shown in TABLE 1, in order for the quantization of the differences to cancel out the upscaling caused by internal bit depth increase at an encoder.

TABLE 1

bitdepth	“qshift” value	qshift_idx_numbits	qshift_idx
8	0	“qshift_idx” not coded, inferred to be 0	0
$8 < \text{bitdepth} \leq 10$	2	1	1
$10 < \text{bitdepth} \leq 12$	4	2	2
$12 < \text{bitdepth} \leq 14$	6	2	3

- 5 [72] Using this quantization method, the reconstructed values can be calculated by reverse shifting (without rounding):

$$\begin{aligned}\widehat{Y}_{\text{low}} &= \widehat{A}_d = A_q \ll \text{qshift}, \\ \widehat{Y}_{\text{high}} &= 2^{\text{bitdepth}} - \widehat{B}_d = 2^{\text{bitdepth}} - (B_q \ll \text{qshift})\end{aligned}\quad (6)$$

- 10 [73] After quantization and de-quantization, the reconstructed value $\widehat{Y}_{\text{low}}$ for the lower bound is generally smaller than the lower bound before encoding (Y_{low}), and the reconstructed value ($\widehat{Y}_{\text{high}}$) for the upper bound is generally greater than the upper bound before encoding (Y_{high}).

[74] Adaptive predictors

- 15 [75] In another embodiment, we may also vary predictors for the lower and upper clipping with the content. We call them adaptive predictors for clipping bounds, and we call the encoding method as a differential encoding method with adaptive predictors. Using the adaptive predictors ($\text{pred}_l, \text{pred}_u$) we can calculate (420) the differences as:

$$A_d = Y_{\text{low}} - \text{pred}_l, \quad B_d = Y_{\text{high}} - \text{pred}_u \quad (7)$$

- 20 [76] Note that A_d and B_d are calculated based on adaptive predictors, and the values may be positive, zero or negative. Therefore, we adjust the quantization processes as described in Eq. (5) to quantize the differences. For the lower bound difference, quantization may be performed as:

$$\begin{aligned}\text{If } A_d > 0, \quad A_q &= A_d \gg \text{qshift} \\ \text{Else } A_q &= -1 * ((|A_d| + (1 \ll \text{qshift}) - 1)) \gg \text{qshift}\end{aligned}\quad (8)$$

- 25 [77] For the upper bound difference, quantization may be performed as:

$$\begin{aligned}\text{If } B_d < 0, \quad B_q &= -1 * (|B_d| \gg \text{qshift}) \\ \text{Else } B_q &= (B_d + (1 \ll \text{qshift}) - 1) \gg \text{qshift}\end{aligned}\quad (9)$$

32. The apparatus of any one of claims 29-31, wherein the one or more processors are configured to determine that the first element in the original block or the prediction block is close to an upper bound for clipping is based on a threshold.

5 33. The apparatus of claim 32, wherein the threshold is 0.

34. The apparatus of claim 32, wherein the threshold increases with a quantization parameter corresponding to the residual block.

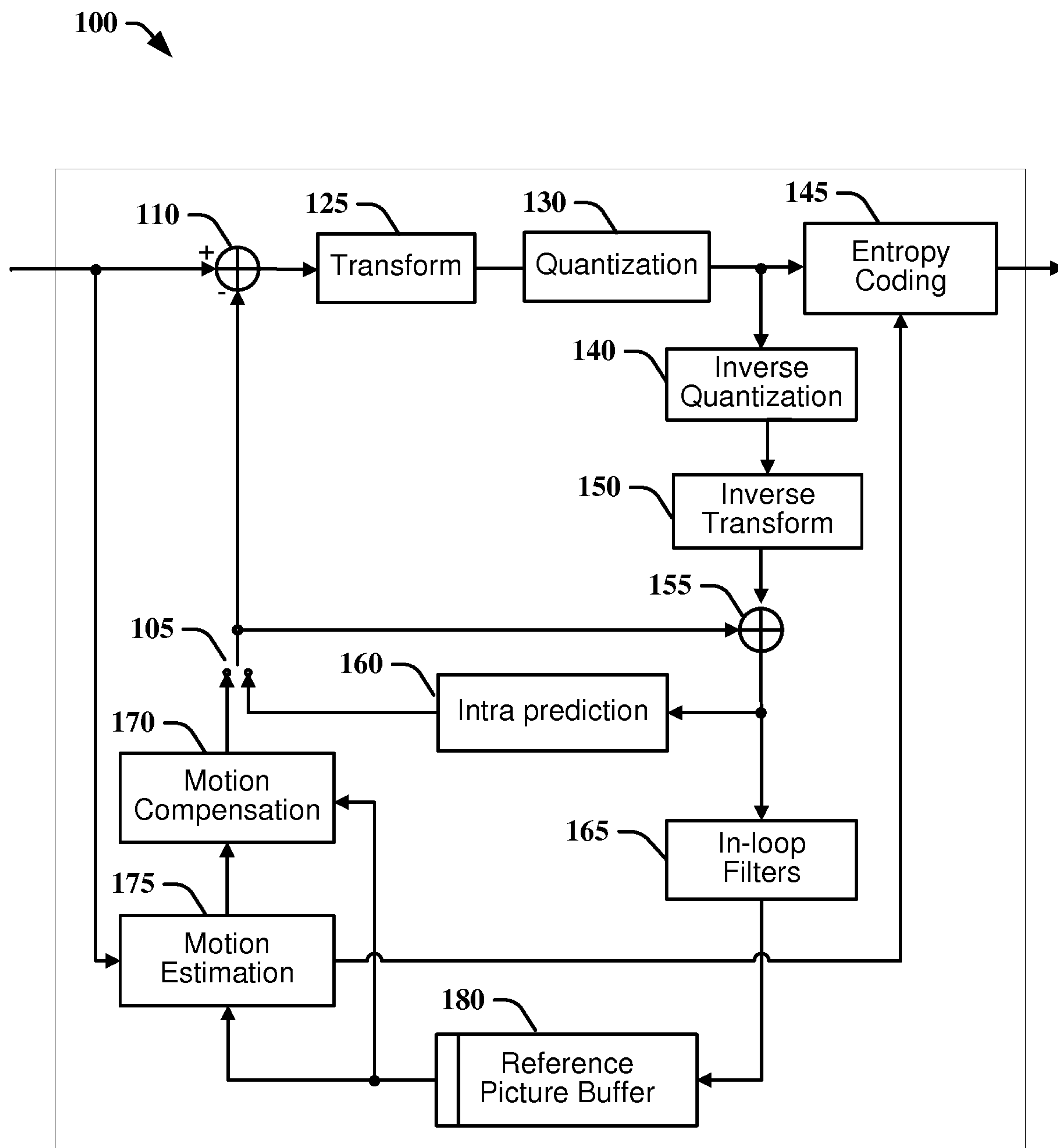


FIG. 1

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300 →

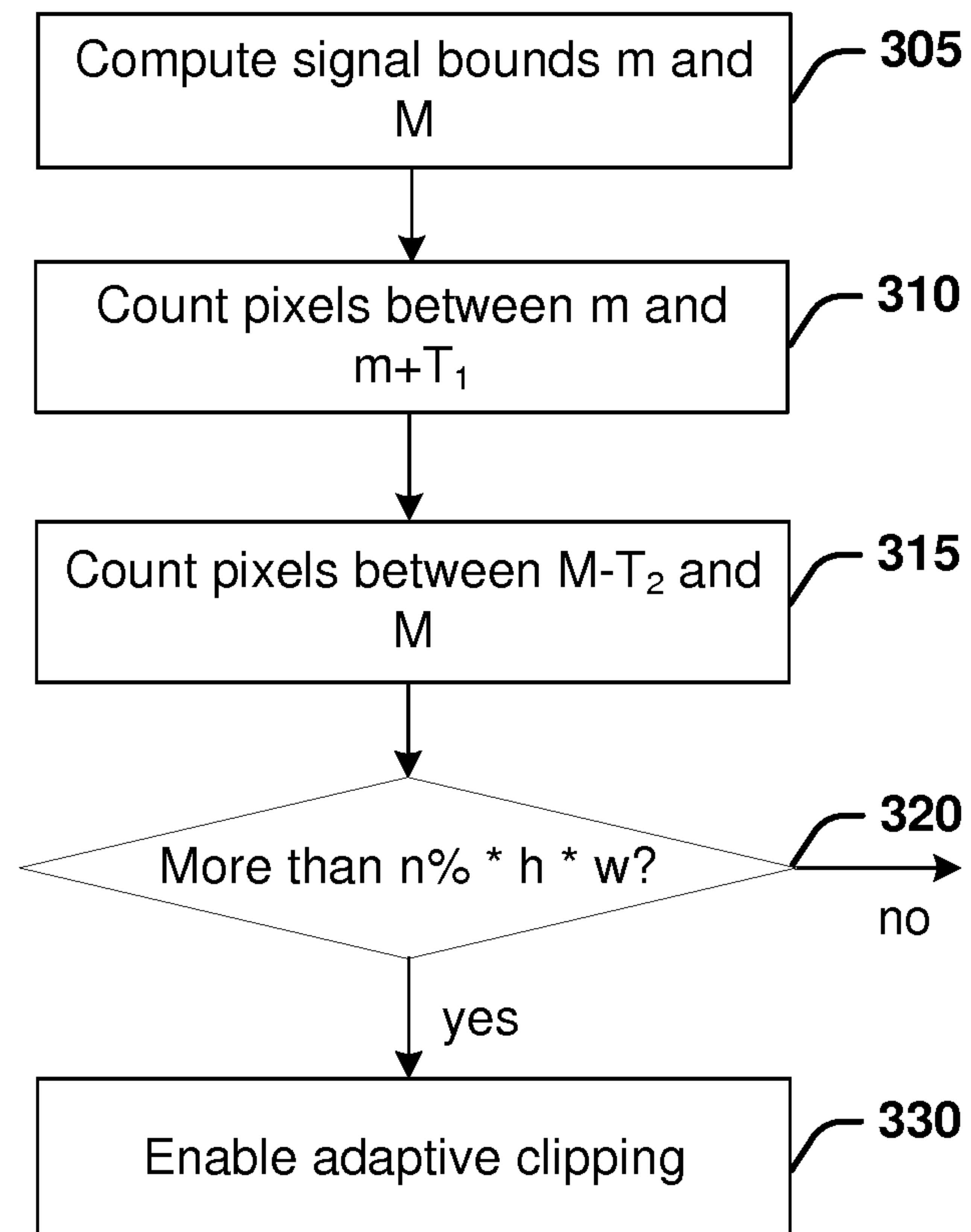


FIG. 3

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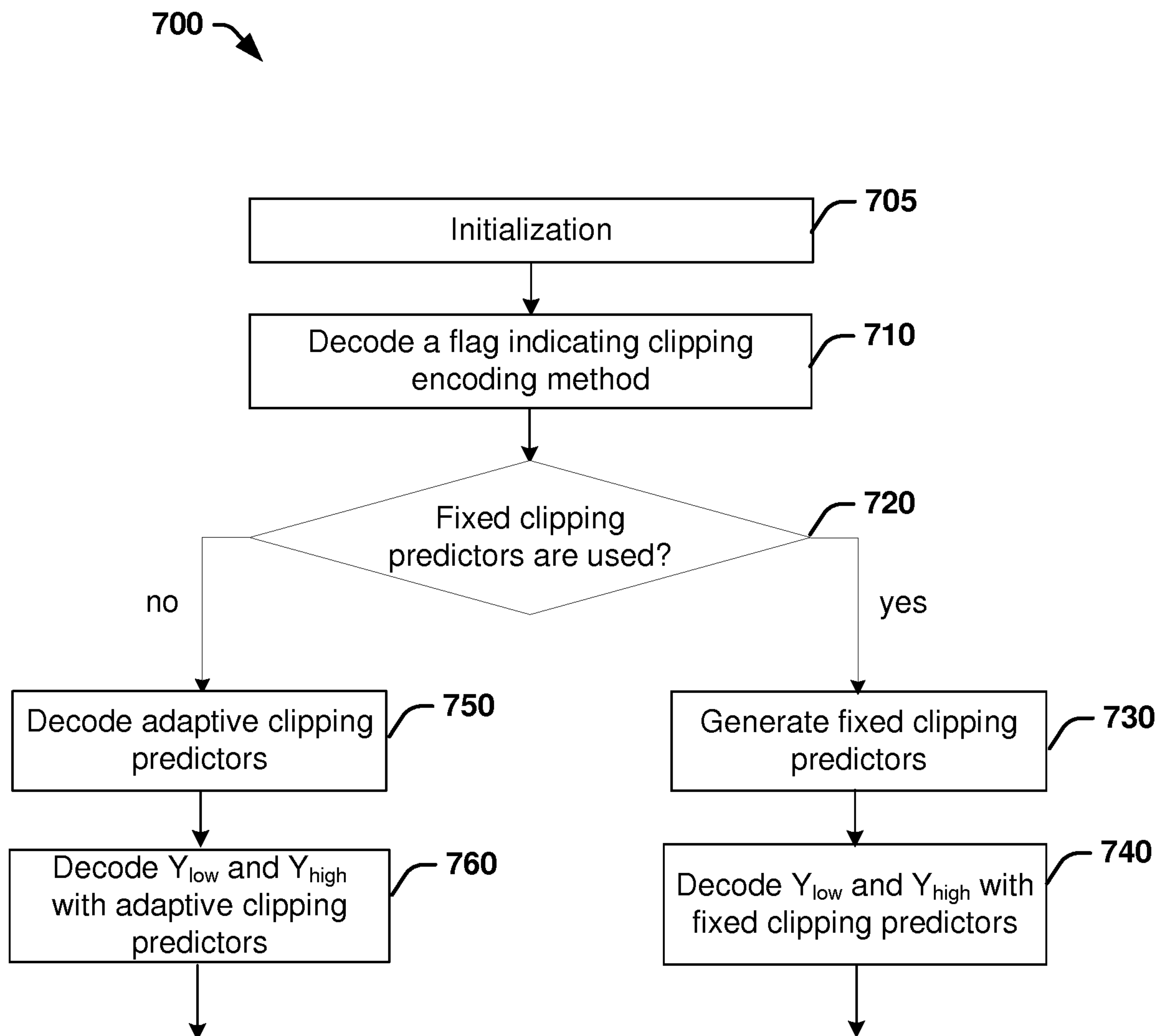


FIG. 7

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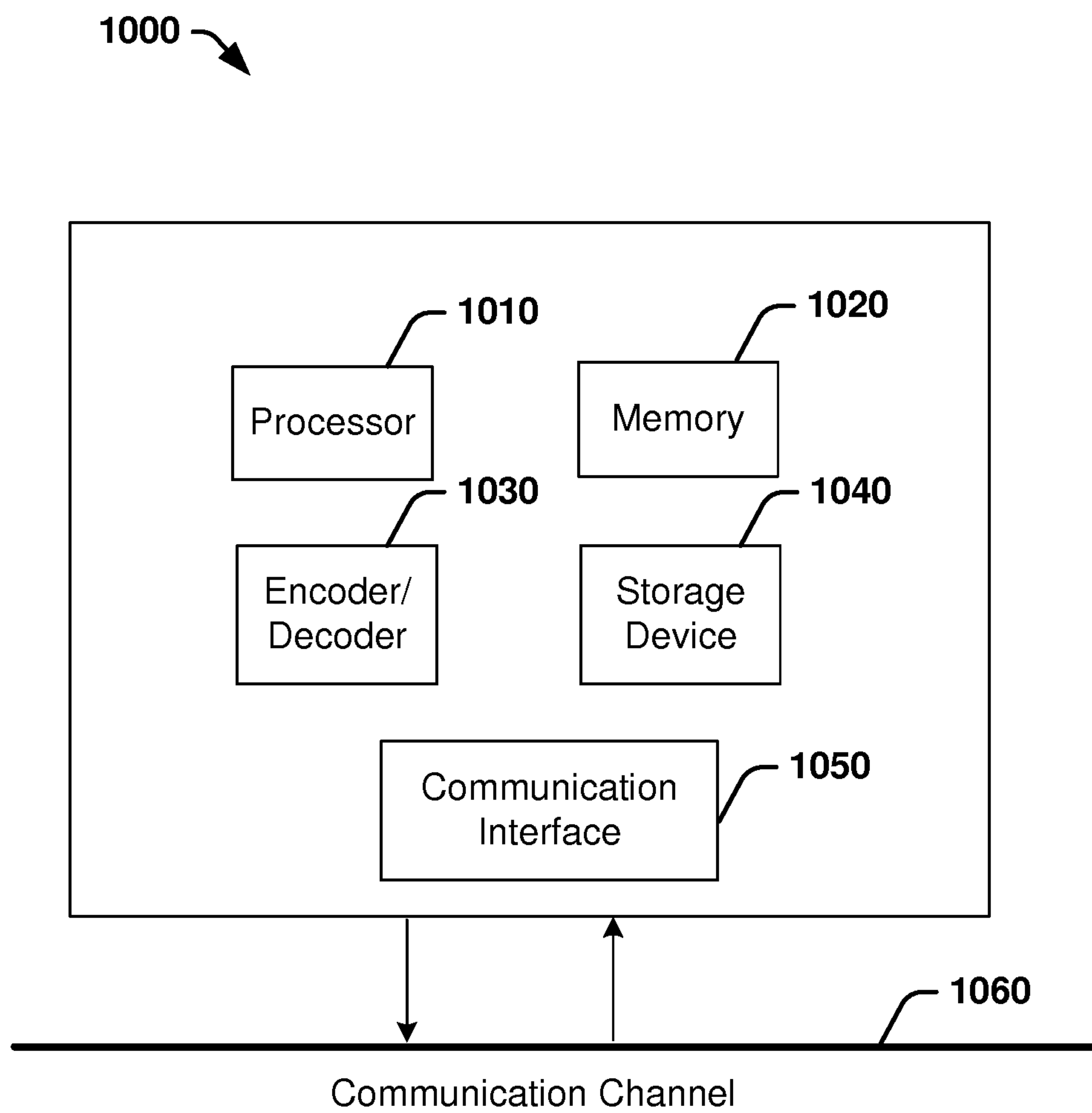


FIG. 10

