

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
28 April 2005 (28.04.2005)

PCT

(10) International Publication Number
WO 2005/037363 A2

(51) International Patent Classification⁷: **A61N**

(21) International Application Number:
PCT/US2004/032092

(22) International Filing Date:
28 September 2004 (28.09.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
10/683,885 10 October 2003 (10.10.2003) US

(71) Applicant: **XOFT MICROTUBE, INC.** [US/US]; 49000
Milmont Drive, Fremont, CA 94538 (US).

(72) Inventors: **STEWART, Daren, L.**; 2013 Notre Dame Av-
enue, Belmont, CA 94002 (US). **LOVOI, Paul, A.**; 19152
DeHavilland Drive, Saratoga, CA 95070 (US). **RUSCH,**
Thomas, W.; 12 East St. Albans Road, Hopkins, MN
55305 (US). **LIM, Alex**; 3257 San Juan Avenue, Santa
Clara, CA 95051 (US). **FRANCESCATI, Darius**; 26455
Falkirk Circle, Barrington, IL 60010 (US).

(74) Agent: **FREIBURGER, Thomas, M.**; P.O. Box 1026,
Tiburon, CA 94920 (US).

(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,
MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,
ZW.

(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,
FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI,
SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,
GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished
upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.

(54) Title: APPLICATOR FOR RADIATION TREATMENT OF A CAVITY

(57) Abstract: An applicator for facilitating radiation treatment in a body cavity, particularly post resection, provides for superior wound closure management, with an integrated drain and a flexible main shaft that permits further treatments at intervals without disturbing the wound closure. The drain includes channels in the shaft, and the surface of an expandable balloon of the applicator may have features that help channel the flow of drain liquids toward the cavity entrance. Non-spherical, irregular geometries of balloons are achieved in several different ways. In some embodiments multiple balloons are included, either an inner and outer balloon or a series of balloons extending outwardly from the main shaft. Non-balloon applicators are also disclosed.



WO 2005/037363 A2

APPLICATION FOR UNITED STATES PATENT

APPLICATOR FOR RADIATION TREATMENT OF A CAVITYS P E C I F I C A T I O NBackground of the Invention

This invention concerns an applicator for treatment, particular radiation treatment, of a body cavity. More specifically, the invention is useful for radiation treatment of a cavity following surgical resection of a tumor, especially a breast tumor.

Treatment of surgical cavities, such as after malignant tumor excision, has been accomplished with applicators which are inserted usually into a newly formed opening through the skin, a conveniently located opening into the surgical resection cavity. Generally the location is different from the surgical closure itself. Proxima Therapeutics, in Patents Nos. 5,913,813, 5,931,774, 6,083,148, 6,413,204 and 6,482,142, has disclosed applicators which essentially comprise a balloon of known and relatively rigid geometry, i.e. spherical, expandable generally from about four to six centimeters, i.e. designed to have an inflated size of about four to six centimeters diameter. The prior art was limited to the use of such known-geometry balloons that were inflated with a liquid and in which an applicator guide would be positioned, to receive a radiation source.

In the prior art, the applicator guide extended straight out from the insertion wound, and generally the tube was folded down and dressed following an initial treatment, requiring removal of dressing and re-dressing with every subsequent radiation treatment, often twice per day. Such tube handling is satisfactory for a surgical drain, since the drain tube need not be prepared for additional treatments, but is generally unsatisfactory for a radiation procedure involving repeated treatments.

With balloons limited to known geometries, there are limitations in the ability to treat a cavity margin thoroughly. In some cases, the patient cannot take advantage of such a treatment protocol because the known-geometry balloon applicator simply cannot fill many surgical cavities that are irregular in shape. Other measures have to be used in those cases, such as external radiation therapy.

Insertion of such prior balloon applicators has also presented some problems. The balloons, usually of silicone material, encounter friction on insertion through the wound made for this purpose, making insertion difficult, possibly causing unneeded patient trauma and preventing correct positioning of the balloon in the cavity.

Another important consideration in radiation treatment inside an excision cavity is the need to confirm balloon position and position against the cavity wall prior to treatment. Typically physicians add a contrast medium to the balloon inflation liquid, to make the balloon visible by x-ray. The concentration of medium may be inconsistent, however, affecting dose during treatment. A better means of introducing x-ray contrast is needed.

Summary of the Invention

The invention disclosed herein improves applicators in a number of ways. The applicator allows for superior wound closure management, with integrated drains and wound closure

devices and providing that wound dressing need not be changed each time a radiation therapy device is inserted into the applicator. Basically, the applicator of the invention has an extending tube which can bend without disturbing the dressing and the antiseptic nature of the dressing. A strain reliever preferably is attached or is apart of the tube, and when the tube is inactive a holder device can be incorporated in the wound closure apparatus to hold the tube in an inactive position against the skin.

In addition, either as a part of the applicator itself or as another device integrated with the wound closure element, a drain can be incorporated to bring fluid to the exterior of the body, through another tube or integrally through the main shaft of the applicator, which preferably has several lumens or channels.

Insertion of the balloon or applicator of the invention is accomplished using an obturator (a rod-like device), as in the prior art, which is effective to push the deflated applicator fully into the surgical cavity. One aspect of the invention, however, is that the balloon is coated with a "slippery coat" so that it easily passes through the insertion opening and into the excision cavity without excessive resistance, friction and discomfort.

Another important consideration is the manner in which the applicator is shaped to the cavity. In some embodiments rather than having a prescribed-geometry balloon, the applicator is made to be highly conforming to irregularly-shaped cavities. The geometry of the cavity and applicator, once installed and inflated, can then be determined by self mapping techniques as described in copending application Serial No. 10/464,140, filed June 18, 2003, or external imaging can be performed, provided the applicator has contrast markers. In this way, virtually any shape of excision cavity can be properly treated, without gaps between the applicator and the cavity wall.

Multiple applicators, e.g. multiple balloons, can be aggregated in an application of a further embodiment of the invention. The advantage of having a multiple radiation source applicator and treatment system is that irregular surgical cavities can be treated, especially in conjunction with internal and external radiation detectors as described in copending application Serial No. 10/464,140 referenced above. To take advantage of the irregular nature of some surgical cavities the source guides need to comply with the cavity geometry. With the use of a single balloon, the stretch between radially positioned x-ray guides constrains how far each guide can comply from the adjacent guide. This compliance may not be sufficient to match the irregular cavity. A method of achieving the necessary compliance is to use a separate balloon for each guide. The balloons can be joined to the central lumen but be independent of the neighboring balloons. This allows one guide to comply without constraint from neighboring balloons. The collection of balloons, if they had no circumferential pressure on one another, would tend to move together and not stay circumferentially equally spaced. The bulge in each balloon will press against its neighbor, forcing nearly equal circumferential spacing but without the source guide to source guide tension found in a single balloon design.

Another embodiment of the invention has an applicator that facilitates variation in the radial distance of the x-ray source from the central shaft. Multiple x-ray source applicators have previously been designed to have the guides in direct contact with the balloon-wound interface. It would be an advantage to allow the guides to be a variable radial distance from the central shaft. This will allow the guides to be either compacted around the central inter lumen, expanded out to the wall of an outer balloon or set anywhere in between. An example where this could be used to advantage would be to provide one dose of radiation with the guides near

the center of the balloon, in one, several or all of the guides, another dose of radiation with the guides at some intermediate distance from the center of the balloon, again with one, several or all of the guides used which may be different from the first set of guides used, and another dose of radiation with the guides expanded to the outer balloon. In this way a tailored isodose curve can be achieved with very special variations for restricting the dose to specific structures or increasing the radiation dose to other specific structures or volumes.

In another aspect of the invention, a bio-erodible applicator, not in the form of an inflatable balloon, is used to expand the excision cavity. The applicator may be in the form of a basket or helical wire device, a portion of which can be rotated to deploy in the cavity to fully expand against the cavity walls. Here, contact at every point of the wall is not as critical, although the applicator should contact essentially all of the cavity wall areas so as to provide for mapping of the shape of the cavity for development of the treatment plan. Erodeable materials, which are further described below are fully absorbed into the body at a time after the series of treatments is completed.

Several polymers based upon bio-compatible plastics as described in detail below dissolve when exposed to body fluids. That is, they basically dissolve over time and are absorbed by the body. These materials can be used to form devices that have a function initially and are left in the body to avoid the effort, inconvenience or trauma of removing the device from the body. A basket or weave made from such material could be used to hold the cavity open and provide a guide for the x-ray source during treatment and then left in place to hold open the wound for a short period and then dissolve away allowing the resection cavity to collapse and heal naturally. The pig tail that emerges from the breast for guiding the x-ray source into the applicator could be cut off,

the entry wound dressed and closed allowing the remaining device to dissolve as discussed above. These plastic-like materials tend to be fairly rigid so thin sections or fibers could be used together, like fiber optics made from rigid glass, that would be flexible and strong. This basket could unfold under rotation or an insertion device such as a balloon could be used to expand the basket to fill the cavity. Besides the advantage of not having to remove the applicator there are other advantages to a basket approach. The expansion of a basket applicator would not be constrained by tension between ribs of the applicator which prevents single conventional balloons from filling irregular cavity shapes. There would be little or no lateral tension in the woven basket approach so each rib could comply with the cavity wall independently of neighboring ribs.

Another advantage of the bio-erodible design is the elimination of concern over adhesion. The applicator is placed in the cavity typically for up to two weeks. A conventional applicator may form adhesions between the applicator and the healing wound making removal difficult or in some cases impossible without surgical assistance. With a bioerodible structure adhesion is a moot issue since the structure that is being adhered to vanishes and the structure does not need to be removed in the first place.

Further, the bio-erodible material of the applicator can be impregnated with drugs to deliver a sustained-released drug to the wound, for wound healing, for pain management or other purposes, such treatments themselves being well known in the art.

The most common matrix materials for drug delivery have typically been polymers. The field of biodegradable polymers has developed rapidly since the synthesis and biodegradability of polylactic acid was reported by Kulkarni et al., in 1966

("Polylactic acid for surgical implants," Arch. Surg., 93:839). Examples of other polymers which have been reported as useful as a matrix material for delivery devices include polyanhydrides, polyesters such as polyglycolides and
5 polylactide-co-glycolides, polyamino acids such as polylysine, polymers and copolymers of polyethylene oxide, acrylic terminated polyethylene oxide, polyamides, polyurethanes, polyorthoesters, polyacrylonitriles, and polyphosphazenes. See, for example, U.S. Pat. Nos. 4,891,225 and 4,906,474 to
10 Langer (polyanhydrides), U.S. Pat. No. 4,767,628 to Hutchinson (polylactide, polylactide-co-glycolide acid), and U.S. Pat. No. 4,530,840 to Tice, et al. (polylactide, polyglycolide, and copolymers).

Degradable materials of biological origin are well known,
15 for example, crosslinked gelatin. Hyaluronic acid has been crosslinked and used as a degradable swelling polymer for biomedical applications (U.S. Pat. No. 4,957,744 to Della Valle et al.; (1991) "Surface modification of polymeric biomaterials for reduced thrombogenicity," Polym. Mater. Sci. Eng., 62:731-735!).
20

In Patent No. 5,747,058 is disclosed a composition for controlled release of substances including a non-polymeric, non-water-soluble high-viscosity liquid carrier material of viscosity of at least 5.000 cP at 37° C that does not
25 crystallize neat under ambient or physiological conditions; and the substance to be delivered. The patent describes biodegradable compositions that can be used with a bio-erodible applicator device of the invention, and the disclosure of that patent is incorporated herein by reference.

30 It is therefore among the objects of the invention to provide an improved applicator, particularly for brachytherapy following a breast tumor excision, with improved ease of use, reliability and applicability for virtually any cavity shape, and other enhanced functions. These and other objects,
35 advantages and features of the invention will be apparent from

the following description of preferred embodiments, considered along with the drawings.

Description of the Drawings

5 Fig. 1 is a system drawing showing components of a post-surgery applicator device, as used on a breast and including a controller.

 Fig. 2 is a perspective view schematically showing an applicator of the invention, with sealing devices to seal
10 against the patient's skin.

 Figs. 3 and 3A are views showing the device of Fig. 2 as used on a patient and showing two positions of the exterior portion of the device.

 Fig. 4 is another view of an applicator according to the
15 invention, with depth indicator markings to assist in proper insertion.

 Figs. 5 and 6 are sectional and elevation views showing examples of balloon shape as defined by variable wall thickness.

20 Figs. 7, 8 and 9 are views showing the use of ribs on a balloon to control the shape of the balloon as inflated.

 Figs. 10 and 10A show an embodiment of an applicator in which multiple balloons are bonded together, one inside the other, and with an x-ray absorbent contrast medium in an
25 unbonded area between them.

 Fig. 11 shows another applicator embodiment in which a balloon has varied wall thickness with an embedded contrast medium which has greater effect in thicker regions.

 Figs. 12 and 13 are end-looking sectional views of
30 modified forms of applicators having radially-disposed multiple balloons connected to a central inflation lumen.

 Figs. 14 - 14C are views showing an applicator having an expansion device which is not a balloon, showing stored position and positions progressing to deployment.

35 Fig. 15 is an end view of the device of Fig. 14.

Fig. 16 is a transverse cross-sectional schematic view showing another form of applicator, with inner and outer balloons and guides carried on the inner balloon.

5 Figs. 17, 18 and 19 are side sectional schematic views of the Fig. 16 device, showing the inner balloons at different degrees of inflation.

Fig. 20 is a graph showing x-ray absorption for imaging a balloon having integral contrast medium.

10 Fig. 20A is a graph showing path length data related to Fig. 20.

Fig. 21 is a schematic side cross-section of a patient breast and showing an applicator as in Fig. 20 disposed in a cavity of the breast, indicating an x-ray image of the applicator in the breast cavity.

15 Fig. 22 is a sectional schematic view showing a stiffening device for a central lumen of a balloon applicator.

Fig. 23 is a schematic view, partly in section, showing an applicator with a drain system for draining a seroma and other liquids from a wound.

20 Fig. 24 is an end view of the applicator of Fig. 23.

Fig. 25 is a schematic perspective view showing in detail a portion of the applicator of Fig. 23.

25 Figs. 26-30 are schematic representations of balloon applicators having external devices for promoting drainage from the surgical cavity.

Description of Preferred Embodiments

Fig. 1 shows an apparatus 10 according to one embodiment of the invention, including a controller 12, a flexible control line 14, a connection 16 and an applicator generally identified as 18. The applicator 18 is shown as inserted into a breast 20, with an applicator balloon 22 inflated inside a cavity, i.e. a post-surgery excision cavity in the breast. The balloon is supported by a flexible shaft 24 which extends
35 through an exit opening in the breast and through the skin,

with the shaft being sealed against the surface of the breast by a seal 26.

Fig. 2 shows the applicator 18 in greater detail, with the balloon 22 shown inflated. At the proximal end 28 of the applicator is a branch 30. The three ports 32, 34 and 36 of this branch device may comprise a service port, a drainage port and a balloon inflation port, respectively. The functions of these ports are further explained below with reference to other drawings. Fig. 2 also shows the seal 26, which preferably is closely and slidably fitted over the exterior surface of the flexible main shaft 24, and which may comprise a silicone ring, or another suitable flexible elastomer. The silicone seal may include an embedded antibacterial solution to help prevent infection from occurring at the wound opening. Optionally, an interchangeable seal pad 38 may be used rather than the seal 26. This pad is also an annular seal with a central hole slidable on the shaft 24, but including a radial slit from the central hole outward to allow interchangeability of the pad.

The shaft 24 is flexible, and in particular, it is highly flexible and pliable near the proximal end 28. This facilitates the ability to fold or rather sharply bend down the flexible shaft 24 where it exits the breast, as shown in Figs. 3 and 3A. A strain reliever (not shown), comprising tapered elastomeric sleeve, can be used over the bending region to prevent prolapsing. As explained above, the flexible shaft provides a lumen for admitting a fluid to inflate a balloon, while also providing a duct or lumen for insertion of one or more radiation sources, to enter guides connected to the balloon. The shaft 24, as also explained above, preferably provides a channel for drainage of liquids from the cavity. Instead of intermittently disturbing a taped and dressed wound closure to bring an applicator lumen or shaft into position for repeated radiation treatments, requiring re-dressing, re-taping and closing each occurrence,

the invention with the pliable proximal shaft portion 24 allows a comfortable folding down of the shaft end from the position in Fig. 3 to the position in Fig. 3A, without disturbing the seal 26, and while still allowing the shaft its
5 drainage function. A drainage receptacle can be connected to the end of the drainage port or an aspirator can be used when needed to withdraw liquids.

Fig. 4 shows the applicator 18 of a preferred embodiment of the invention with the balloon 22 collapsed. The service
10 port 32, in line with the flexible shaft 24, as well as the drainage port 34 and the balloon inflation port 36, are illustrated. Also shown is a distance scale preferably included, with distances shown at 6 cm, 7 cm, 8 cm, etc., up to about 15 cm, to indicate to the physician the total depth
15 of the applicator into the cavity and opening wound. This provides a direct and easily used means to determine the position of the distal end 28 of the applicator as it is being inserted.

Although not capable of illustration, the applicator 18
20 preferably is inserted with a "slippery coat" covering the balloon and flexible shaft 24 as they are inserted according to the method of the invention. Such material has typically been used in other procedures, such as for coronary catheters.

The balloon 22 preferably is made of a silicone material,
25 generally as disclosed in some of the Proxima Therapeutics patents noted above, although other appropriate biocompatible materials can be used. It is bonded to the outside surface of the flexible shaft 24 in sealed relationship thereto, by known procedures.

30 Figs. 5 and 6 illustrate one aspect of the invention whereby the shape of the balloon 22 can be controlled by using varied thickness in the balloon wall 22a. Fig. 5 shows that the balloon may have a normal thickness as shown at the left side of the drawing, at a region 22b, e.g. in an approximate
35 thickness range of about .015 inch to .025 inch. At a region

22c toward the right, however, the thickness is greater, and may be in a range, for example, of about .025 inch to .05 inch. The effect of this is shown approximately in Fig. 6. On inflation the balloon 22 assumes not a spherical shape but approximately a pear shape, because the thicker-walled region 22c is less flexible for expansion and is unable to inflate to the extent of the thinner-walled region 22b.

Figs. 7, 8 and 9 illustrate the use of ribs on a balloon to control the inflated shape. In Figs. 7 and 8 an internal rib 42 is shown on a balloon 22e, Fig. 8 showing the balloon essentially unstretched and Fig. 7 showing the balloon inflated and stretched. The rib 42 puts a constraint on the girth of the balloon at the location of the rib, again causing the balloon to assume generally a pear shape. The thickness of such a rib 42 can be adjusted to adjust the effect on the inflated balloon, with a thicker rib having more constraining influence on that area of the balloon.

Fig. 9 shows an essentially unstretched balloon 22f with an exterior rib 44, for the same purpose. It should be understood that the ribs 42 or 44 in these drawings could be several in number and could be positioned anywhere on the balloon, to create the desired shape. These shapes are useful for resection cavities of unusual shape, helping to assure that the balloon walls can be inflated essentially into full contact with the walls of the cavity.

Figs. 10 - 10A show another embodiment of an applicator 46, in this case comprising a balloon 22g which contains another balloon 47 inside in coaxial relationship and essentially in contact. The balloons are secured to a flexible shaft 24. These inner and outer balloons are bonded together around their interface, except at a selected region shown at 48. This provides a space at the region 48, where a contrast medium can be inserted so as to control the radiation delivered to the cavity. Guides for radiation sources, either isotopes or switchable miniature x-ray tubes, are included in

the inner balloon, not shown in Figs. 10 and 10A. These guides position the x-ray sources at desired locations in each balloon, and the contrast medium shown at 48 (Fig. 10) can be oriented to a desired position to limit radiation to part of the cavity as needed. The region 48 can be as large or small as needed and in any desired shape and location. The contrast medium can be injected between the layers through the outer balloon, via a lumen in the flexible shaft 24.

In Fig. 11 a balloon 22h is shown inflated and with different wall thicknesses. In a region 22d near the distal end of the applicator, the wall thickness is greater, while being less in the remainder of the balloon. In this case the shape is modified somewhat by the wall is only slightly thicker and the shape modification is minimal. The thickness variation here is primarily for the purpose of increasing the effect of a contrast medium embedded into the balloon wall material. Where the wall material is thicker, as at 22d, the radiation will be blocked to a much greater extent than where the wall is thinner. Thus, isodose profile can be controlled in this way.

Figs. 12 and 13 show an embodiment of the invention wherein an applicator has multiple balloons arranged radially around a flexible shaft. This can help to locate guides for radiation sources fully adjacent to the cavity wall without the constraints present in a single balloon. In Fig. 12, which is a schematic view in cross section, five separate balloons 22j are shown radially disposed and connected to a generally central flexible shaft 24. The number of balloons can vary. Each balloon in this example has a guide 50 essentially at its outer periphery as shown. The generally central flexible shaft 24 can have separate individual lumens leading to each balloon if desired for individual inflation control of the balloons, or they can be on a common inflation lumen, each thus being inflated to the same pressure but still tending to settle the entire array of balloons into a proper

positioning in the cavity 52 as the balloons are inflated, with the cavity confining some balloons and thus causing other balloons to assume a larger volume to fill regular spaces in the cavity.

5 Fig. 13 shows an example similar to Fig. 12, wherein a balloon 22k is actually of a larger radial length than the other balloons, each of which varies somewhat in radial length from the others. In this case the balloon 22k can be of larger initial (collapsed) size, or the drawing can be
10 considered to illustrate what occurs in a unusually shaped resection cavity, with the radial array of balloons assuming the shape of the cavity as the balloons are inflated.

 Figs. 14 to 14C and 15 show one alternative form of applicator 53, not a balloon but rather a basket-like
15 structure which can be expanded. Fig. 14 shows a housing or catheter 53 containing the unexpanded applicator device, essentially a Nitinol wire with shape memory. The catheter 54 is not to scale, shown shortened in these views. An end view of the catheter, with the applicator device undeployed, is
20 shown in Fig. 15. Figs. 14A - 14C show progressively the deployment of the applicator device 55. The wire 55, with shape memory, is pushed out of the catheter 54, and assumes its memorized shape as shown. In Fig. 14C the applicator device is shown fully deployed, with a shape designed to
25 conform to a cavity.

 In another embodiment an applicator formed as a basket or frame can be formed of the materials described above, particularly the bio-erodible materials with the advantages described. Moreover, as also described in some detail above,
30 the applicators of Figs. 14 - 15 or other embodiments can be impregnated or coated with a matrix carrying drugs, the matrix itself being biodegradable. These drugs can be for wound healing, for pain management or other purposes, and their use is discussed above. Further in the Fig. 14 embodiment an
35 outer sleeve of bio-erodible material can be deployed and

expanded by the Nitinol frame, then the frame removed.

Figs. 16-19 show another embodiment of an applicator 56, in this case comprising an inner balloon 22m within an outer balloon 22n. This arrangement of a balloon inside a balloon, allows a high degree of control of the positions of source guides 50 shown in the sectional schematic view of Fig. 16. The flexible shaft is shown at 24, within the inner balloon 22m. Separate lumens (not specifically shown) are provided in the shaft 24 to feed inflation fluid into the respective inner and outer balloons. The outer balloon will typically be fully inflated to engage against the wall of the cavity. The inner balloon 22m, however, is inflated to varying degrees as needed for the particular procedure, especially to control isodose profile. Fig. 18 shows no deployment of the inner balloon 22m, that is, the inner balloon is collapsed against the shaft 24. The outer balloon 22n is essentially fully inflated. This position puts the guides 50 all closely adjacent to the generally central flexible shaft 24, and this can be a position for administering radiation therapy for a particular case, or it can be important for calibration, as described in the above referenced copending application Serial No. 10/464,140.

A position of medium inner balloon deployment is shown in Fig. 17, and is also indicated in Fig. 16. Again, this position can be useful for certain dose profile requirements. It should be understood that in a medium position of inner balloon deployment, or any position of partial or full inflation of the inner balloon, the guides 50 can be used in a discriminating way such that, if needed to achieve the desired dose, only one or several of the guides can be active in that an isotope or one or more switchable x-ray tubes will be delivering radiation therefrom at any particular time. Also, some or all of the guide positions can be used to deliver radiation at several different incremental degrees of inner balloon inflation, again to achieve the desired isodose

profile. The inner/outer balloon arrangement provides a great flexibility for the manipulation of radiation dose by varying the position from which radiation is delivered from one, several or all of the guides mounted on the inner balloon.

5 Fig. 19 shows a position of maximum inner balloon deployment, with the inner balloon 22m substantially engaging against the outer balloon 22n.

Figs. 20, 20A and 21 illustrate a balloon 22p which has a contrast medium in or on the balloon wall. This contrast
10 medium, as discussed above, will absorb radiation and thus attenuate the radiation delivered from inside the balloon to some extent. However, with a low concentration of such contrast medium in the balloon, the attenuating effect of the medium for radiation passing through the balloon at an angle
15 normal or generally normal to the balloon wall will be small and nearly negligible. However, the effect of radiation, particularly x-ray radiation, passing tangentially through the edges of the balloon, which are what is seen in Fig. 21, will be at maximum, since the radiation must pass through the
20 balloon essentially edgewise at this tangential angle, a much longer effective path length. The result is that a balloon with such contrast medium can be located by external x-ray, visible in an x-ray by its edges as shown in Fig. 21. The darkest outline of the balloon will be at its circumference
25 appearing as in Fig. 21, and especially at distal and proximal ends of the balloon itself, shown at 22q and 22r, where the wall material may be somewhat thicker at its attachment to the flexible shaft 24 and in any event, where the balloon has areas that are stretched far less due to the geometry of the
30 balloon and its attachment to the flexible guide.

Fig. 20 is a schematic approximation showing a graph of apparent x-ray density (darkness of the line appearing in an x-ray) on the vertical axis, versus position. For clarity a balloon 22p is represented directly adjacent to the graph, and
35 the direction of x-rays which would produce approximately such

a graph is shown by arrows at 62. Fig. 20A is a graph of data on effective path length through the balloon versus position, for 4 cm and 5 cm diameter balloons. As illustrated, some density is observed in the middle of the balloon, at a region 64 in Fig. 20 where the radiation passes generally normally through the balloon wall; however, spikes of extreme density are shown at 66 and 68, where the rays must pass through considerable distance of the balloon wall on edge. The effective path length at these tangent regions can be about 15 to 25 times greater than the normal path length.

Fig. 22 shows schematically, and not to scale, an applicator 70 in transverse cross section. The applicator includes a balloon 22s, shown inflated and surrounding a generally central flexible shaft 24. The drawing illustrates the use of a stiffener 72 on that section of the shaft 24 which is within the balloon. Pursuant to the invention the flexible shaft is soft and pliable, particularly at portions designed to be at the exterior of the cavity, and the shaft will usually be one integral extrusion. The pressure of the balloon when inflated can collapse or partially collapse such a soft and pliable shaft. To address this problem, Fig. 22 shows that a stiffening sleeve 22, which may be a polyester sleeve heat shrunk onto the exterior of the shaft 24, can provide the needed stiffness against the tendency to collapse under pressure. The use of a stiffener of this type makes convenient the stiffening of only one section of the flexible shaft, where the balloon surrounds the shaft, without affecting the remaining length of the shaft. Other stiffening devices which could be used are an extrusion over the main extrusion or a separate sleeve over the shaft.

Fig. 23 shows a preferred and specific embodiment of an applicator 18 such as shown in Figs. 1, 2 and 4. Fig. 24 is a distal end view, particularly showing the end of the flexible shaft 24. Fig. 23 shows the branched proximal end 30 of the device, with the service, drainage and balloon inflation ports

32, 34 and 36 respectively. Fig. 25 shows schematically and not to scale a distal portion of the applicator, the portion with the balloon 22, in an enlarged view and with the balloon in cross section. The flexible shaft 24 of the device has a central lumen or main passage 74, surrounded by a series of longitudinal channels 76, 77, of which there may be approximately 5 to 8. The central shaft preferably is formed by extrusion, and can be, for example, silicone, soft polyurethane, or other suitable medical grade materials. The end view of Fig. 24 shows that one of these longitudinal channels or passageways 77 is closed at the distal end. This preferably is to dedicate the channel 77 as an inflation lumen for the balloon 22. For example, a hole 78 may be provided in the wall of the flexible shaft 24, communicating into the inflation channel 77, to provide for admitting an inflating fluid to the balloon.

The remaining channels 76, or some of them, preferably are used for drainage. As shown in Fig. 24, at the distal end of the shaft 24 these channels 76 are shown as open holes, for collecting drainage liquids at the distal end of the cavity. In addition, holes or ports may be formed on the shaft just proximal of the balloon, as shown at 80 in Fig. 23, these ports communicating with the channels 76 within the shaft. Near the proximal end of the applicator are corresponding holes or ports 82 communicating with the same channels. Here, drainage liquid flowing through the channels exits the flexible shaft 24 and enters a plenum 84 surrounding the shaft, formed by the branching device 30. The inflation port 34 has a seal 86, which may be formed by a glue, for example, to prevent escape of drainage liquids through that port. Similarly, a seal 86 is formed at the service port 32 surrounding the end of the flexible shaft 24 (shown in dashed lines here), so that the service port 32 communicates only with the central lumen 74 of the shaft (the drainage channels 76 preferably are blocked off at the proximal end of the

shaft, as is the inflation lumen or channel 77, this feature not shown in the drawings). Thus, the draining liquid can only exit via the drainage port 36.

The inflation port 34 communicates only with the single lumen or channel 77. This is accomplished with a tube 88 which passes through the seal 86 and is sealingly connected to a hole at the exterior surface of the flexible shaft 24, communicating with the appropriate channel within the shaft.

Figs. 24 and 25 show that the distal end of the flexible shaft 24 has the end of the central, larger lumen 74 closed off. This larger lumen is for insertion of the radiation sources into the flexible shaft. In the case where the guides are out in the balloon or balloons (e.g. Figs. 12, 13, 16-19), a different shaft or multiple such shafts are used, with guides that extend out into the balloons.

Figs. 26 - 30 show various embodiments of textured balloons on applicators, to enhance drainage of seroma, allowing the liquid to reach the drain holes discussed above. These surface features, essentially bumps, ridges, grooves or interrupted relief lines, are configured to enhance fluid migration. In Fig. 26 a multiplicity of bumps are shown, formed integrally on the exterior surface of a balloon 22t. As noted above, drain holes, communicating with drain channels in the flexible shaft 24, are at 76 and also at the distal end 28 of the shaft. The bumps 90 effectively stand the balloon surface off a slight distance from the cavity wall against which it is engaged, allowing the migration of the liquids.

Fig. 27 shows grooves 92 oriented longitudinally on the surface of the balloon 22u, tending to conduct seroma and other liquids in a longitudinal direction for drainage. Figs. 28 and 29 show pairs of ridges 94, forming channels 96 for liquid flow. Wider channels are found between adjacent pairs of ridges, as at 98, but these are wide enough that this area for the most part can engage against the tissue of the cavity wall. The ridges 94 of each pair are sufficiently close

together to allow liquid flow, but not to allow tissue to fill the channel.

Fig. 30 shows a variation in which ridges 100 on the surface of a balloon 22w are interrupted, with gaps 102 between them. This provides generally for a longitudinal flow of fluid, but allows cross flow across the ridges, particular for gravitational flow of liquid toward the bottom of the cavity.

The above described preferred embodiments are intended to illustrate the principles of the invention, but not to limit its scope. Other embodiments and variations to this preferred embodiment will be apparent to those skilled in the art and may be made without departing from the spirit and scope of the invention.

WE CLAIM:

1. An applicator device for facilitating radiation treatment of a cavity within human tissue, comprising:
an inflatable balloon configured to be inserted into the
5 cavity,
a lumen connected to the balloon for inflating the balloon when positioned within the cavity, and
the balloon being formed of a flexible, expandable material which includes a sufficient quantity of an x-ray-
10 absorbing material that when inflated and inside the cavity, the balloon's peripheral edges, essentially tangential to a line of sight in an x-ray image, can be seen in such an x-ray image taken from outside the cavity.

15 2. The applicator device of claim 1, wherein the x-ray absorption density of the balloon wall is such as to absorb about 5% of radiation during treatment, at a selected energy level of the radiation.

20 3. The applicator device of claim 1, wherein the x-ray absorbing material is integrated into the flexible, expandable material of the balloon and comprises about 3% to 5% by weight barium sulfate.

25 4. The applicator device of claim 1, wherein the x-ray absorbent material of the balloon is sufficiently low in concentration as to absorb no more than about 5% of x-ray radiation having an energy of about 15-40 kV at the balloon surface, when the x-ray penetrate the balloon approximately
30 normal to the balloon surface.

5. The applicator device of claim 1, wherein the x-ray absorbing material in the balloon is of such concentration that, in an x-ray view of the balloon, the portion of the x-

ray view at essentially a tangent of the balloon is a factor of about 15 to 25 times more absorbent, due to an effective path length about 15 to 25 times greater, than a portion normal to the balloon wall.

5

6. The applicator device of claim 1, wherein the balloon has a wall thickness which varies at different portions of the balloon, causing higher x-ray absorption in some areas than others, to control dose distribution to different areas of the tissue to be treated when x-ray radiation is delivered from within the balloon.

7. A method for determining the position of a balloon applicator placed in a cavity within human tissue, comprising:
15 providing an applicator device including an inflatable balloon configured to be inserted into the cavity, a lumen connected to the balloon for inflating the balloon when positioned within the cavity, and the balloon being formed of a flexible, expandable material which includes a sufficient
20 quantity of an x-ray absorbing material that when inflated and inside the cavity, the balloon's peripheral edges, essentially tangential to a line of site in an x-ray image, can be seen in such an x-ray image taken from outside the cavity,

25 inserting the applicator with the balloon into the cavity, and inflating the balloon using the lumen, and

forming an x-ray image of the inflated balloon in the cavity and detecting the position of the balloon relative to the surrounding tissues by observation of the walls of the balloon which appear in the x-ray image essentially along the
30 tangent to the balloon wall, where x-ray absorption is maximum.

8. The method of claim 7, wherein the x-ray absorbing material in the balloon is of such concentration that, in an

x-ray view of the balloon, the portion of the x-ray view at essentially a tangent of the balloon is a factor of about 15 to 25 times more absorbent than a portion normal to the balloon wall.

5

9. The method of claim 7, wherein the x-ray absorbing material comprises about 3% to 5% by weight barium sulfate in the balloon wall material.

10

10. The method of claim 7, wherein the balloon has a wall thickness which varies at different portions of the balloon, causing higher x-ray absorption in some areas than others, to control dose distribution to different areas of the tissue to be treated when x-ray radiation is delivered from within the balloon.

15

11. An applicator for radiation treatment of a cavity within human tissue, comprising:

20

an inflatable balloon insertable into the cavity in a deflated state,

a lumen connected to the balloon for inflation of the balloon following insertion into the cavity and for receiving a source of radiation inserted into the lumen,

25

the balloon being configured to reach a desired general shape when inflated, and

the balloon having a wall thickness which varies in different parts of the balloon so as to control the inflated shape of the balloon, thicker areas tending not to expand as extensively as thinner areas of the balloon wall.

30

12. The applicator of claim 11, wherein some portions of the balloon wall have a thickness which is a factor of about two times thicker than other areas of the balloon wall.

13. The applicator of claim 11, wherein the variation in balloon wall thickness is such as to restrict the expansion of thicker regions of the balloon, when the balloon is inflated, to about 70% compared to the same balloon geometry without the wall thickness variations.

14. The applicator of claim 11, wherein the balloon wall thickness variation is configured so as to produce the general shape of a football, a hotdog, a pear or a truncated cone.

15. An applicator for radiation treatment of a cavity within human tissue, comprising;

an inflatable balloon insertable into the cavity in a deflated state,

a flexible shaft connected to the balloon for inflation of the balloon following insertion into the cavity and for receiving a source of radiation,

the balloon being configured to reach a desired general shape when inflated, so as to engage a wall of the balloon against tissue surrounding the cavity, and

wherein the balloon wall has one or more ribs configured to restrict expansion along the lines of the ribs and thus to control the shape of the balloon upon inflation, to the desired general shape.

16. The applicator of claim 15, wherein the flexible shaft is arranged longitudinally relative to the balloon, and wherein at least one said rib extends circumferentially on the balloon, generally in a plane transverse to the flexible shaft.

17. The applicator of claim 15, wherein the rib or ribs are formed on the inside of the balloon wall.

18. The applicator of claim 15, wherein the rib or ribs are formed on the outside of the balloon wall.

19. The applicator of claim 15, further including a surgical drain comprising a plurality of said ribs arranged on the outside surface of the balloon so as to form channels along which seroma and other fluids from the cavity can flow in a direction toward an opening of the cavity into which the applicator has been inserted.

20. The applicator of claim 19, wherein the flexible shaft includes drain holes for withdrawing liquids from the cavity via at least one duct in the shaft and wherein the ribs are arranged to form said channels in a way to conduct liquids toward the drain holes.

21. The applicator of claim 20, wherein the flexible shaft includes at least one additional drain opening at a distal end of the flexible shaft for collecting fluids from the cavity.

22. An applicator for use in administering radiation to a cavity in living tissue, comprising:

at least two inflatable balloons positioned side by side and connected so as to be insertable into the tissue cavity together when collapsed, and a flexible shaft connected to the balloons with an inflation lumen for the balloons, at least one of the balloons having a guide within the balloon connected to a channel in the shaft for receiving a radiation source at a peripheral position in the balloon to deliver radiation to walls of the cavity.

23. The applicator of claim 22, wherein each balloon has a guide within the balloon for receiving a radiation source.

24. The applicator of claim 22, wherein the balloons are bonded together.

5 25. The applicator of claim 22, wherein at least three balloons are included in the applicator, secured to the flexible shaft which is located generally centrally in the applicator, and each balloon carrying a guide for receiving a source of radiation.

10 26. The applicator of claim 25, wherein the plurality of balloons are radially disposed around the flexible shaft and are of different sizes when inflated, whereby radiation sources can be located along the walls of an irregularly
15 shaped cavity.

20 27. The applicator of claim 26, with the balloons in the cavity and inflated and with an isotope radiation source in the guide, irradiating the cavity.

25 28. The applicator of claim 26, the applicator including at least four balloons radially disposed around the flexible shaft, and the applicator inserted into a tissue cavity and the balloons inflated, the cavity being irregular in shape and the balloons together assuming generally the shape of the
30 cavity and extending into irregularities.

29 . The applicator of claim 22, inserted into the tissue cavity and the balloons inflated, in combination with
30 an isotope radiation source in the guide.

30. The applicator of claim 22, inserted into the tissue cavity and the balloons inflated, in combination with a miniature switchable x-ray tube radiation source in the guide.

31. An applicator for use in administering radiation to a cavity in living tissue, comprising;

outer and inner inflatable balloons, the inner balloon being positioned within the outer balloon and the balloons
5 being connected and insertable into the tissue cavity when collapsed,

a shaft connected to the balloons with an inflation lumen for the balloons, and the shaft extending into the inner balloon and including a channel for receiving a radiation
10 source to deliver radiation to walls of the cavity,

the outer wall of the inner balloon being substantially in contact with the inner wall of the outer balloon and bonded there to except at a particular desired area of the balloon where the two balloons are unbonded, and

15 the unbonded area between the two balloons being filled with a contrast medium to limit radiation passing through said area so as to shield cavity tissue immediately adjacent to said area.

20 32. An applicator for use in administering radiation to a cavity in living tissue, comprising:

an inner balloon and an outer balloon, and a flexible shaft with inflation lumens connected to the inner and outer balloons for inflation of each balloon, and

25 the inner balloon having a plurality of guides secured to the balloon, each guide for receiving a radiation source at a peripheral position relative to the inner balloon, to deliver radiation to walls of the cavity,

whereby expansion of both the outer balloon and the inner
30 balloon is controllable, and whereby the positions of the guides and thus of radiation sources inserted into the guides is controllable so that radiation dose profile to the cavity can be manipulated as needed.

33. The applicator of claim 32, inserted into the tissue cavity and the balloons inflated, and further including an isotope radiation source in at least one of the guides.

5 34. The applicator of claim 32, inserted into the tissue cavity and the balloons inflated, and further including miniature switchable x-ray tube sources in at least some of the guides.

10 35. An applicator for administering radiation therapy to a surgical cavity in living tissue, comprising:

 an expandable balloon for positioning within the cavity,
 a flexible shaft including a lumen connected to the
balloon for delivering a fluid to inflate the balloon,

15 the shaft being highly flexible and pliable at least in an outer or proximal portion of the shaft, positioned to be at the exterior of the cavity, so as to be foldable down adjacent to the skin of a patient during periods when radiation therapy is not being administered, and

20 a radially extending seal secured to the exterior of the flexible shaft, the seal being soft and pliable and being generally thin and flat and having a size and area much larger than the diameter of the flexible shaft to permit adhering of the seal to the patient's skin surrounding a surgical opening
25 leading to said cavity against leakage of seroma and other liquids from the wound.

36. The applicator of claim 35, wherein the seal comprises a circular disc of silicone.

30 37. The applicator of claim 35, wherein the seal has a central hole that fits closely over the flexible shaft, essentially sealing against the exterior of the flexible shaft but being slidable along the flexible shaft such that the seal

can be moved longitudinally on the lumen for adjustment while still maintaining an essentially sealed relationship with the flexible shaft.

5 38. The applicator of claim 35, wherein the seal comprises a round disc having a generally radial slit extending to a central hole through which the flexible shaft passes, such that the seal can be installed onto the flexible shaft and can be interchanged.

10 39. The applicator of claim 35, wherein the flexible shaft includes a drain channel and at least one hole from the drain channel to the exterior of the flexible shaft, the holes being positioned to be inside the patient's tissue for
15 withdrawal of liquids from the cavity as retained therein by the seal.

20 40. The applicator of claim 39, with the flexible shaft folded down at the exterior of the cavity, adjacent to the skin of the patient, the drain channel being effective to drain liquids from the cavity while the tube device is folded down.

25 41. An applicator for facilitating radiation treatment of a cavity inside living tissue, comprising:

an inflatable balloon having a collapsed state and an inflated state,

30 a flexible shaft secured to the balloon and being elongated so as to extend from inside the surgical cavity to outside the surgical cavity when installed, said flexible shaft having a lumen for introducing a fluid to the balloon to inflate the balloon,

surface relief means on the exterior of the balloon for providing channels when the balloon is inflated, to allow the

flow of liquids from the surgical cavity toward the exit of the surgical cavity, and

at least one drain channel provided in the flexible shaft, positioned to receive draining liquids from the surgical cavity, and means in the flexible shaft for
5 conducting said liquids out of the surgical cavity through the drain channel.

42. The applicator of claim 41, wherein the flexible
10 shaft has a central longitudinal channel and a series of outer longitudinal channels arranged generally in an annular array around the central longitudinal channel, at least one of the outer channels comprising said drain channel and being open at a distal end of the flexible shaft to collect liquid.

15

43. The applicator of claim 42, wherein the flexible shaft has entry holes proximal of the balloon, communicating with at least one drain channel, providing another location to collect drain liquids.

20

44. The applicator of claim 41, wherein a proximal end of the flexible shaft is branched, one branch having said drain channel and adapted to an aspirator to draw off liquids, another branch having said lumen for inflation of the balloon,
25 and a further branch having a channel for insertion of a radiation delivering source, through said central longitudinal channel.

45. The applicator of claim 44, wherein said lumen
30 comprises one of the outer channels.

46. The applicator of claim 44, wherein the balloon includes guides to receive the radiation delivery source, said guides connected to said central longitudinal channel and said

further branch.

47. The applicator of claim 41, wherein the surface relief means comprises longitudinally extending ridges on the exterior of the balloon, providing channels between adjacent ridges.

48. The applicator of claim 47, wherein the ridges are interrupted in their length, providing for cross flow of liquids between channels.

49. The applicator of claim 41, wherein the surface relief means comprises bumps extending outwardly on the exterior of the balloon.

50. The applicator of claim 41, wherein the surface relief means comprises grooves extending inwardly on the exterior surface of the balloon.

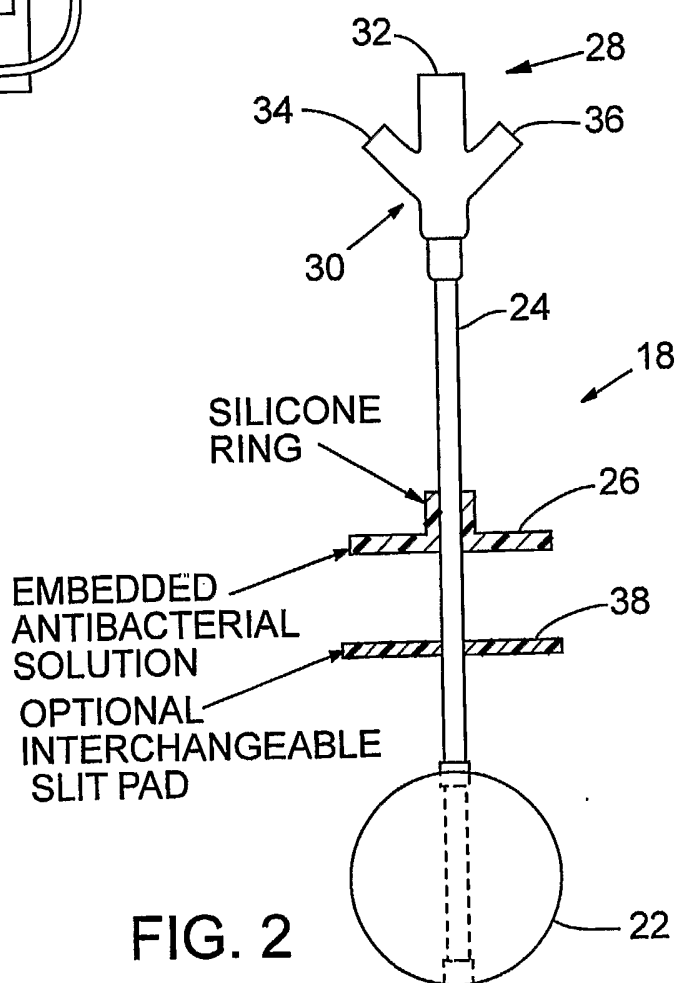
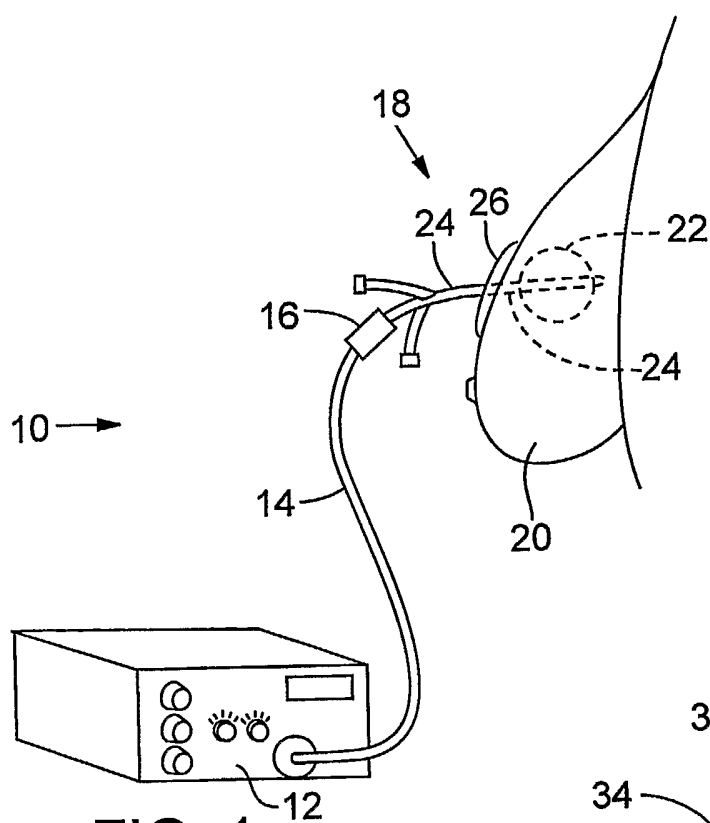
51. An applicator device for facilitating radiation treatment of a cavity within human tissue, comprising:

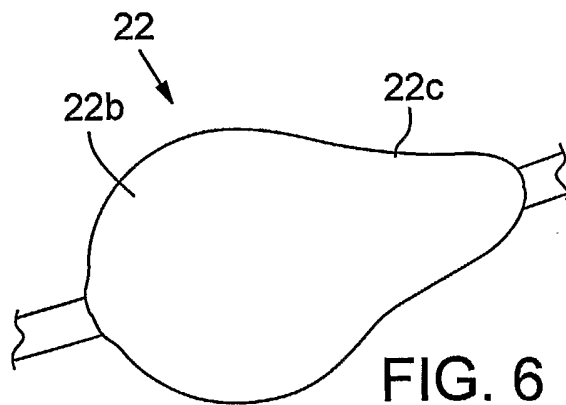
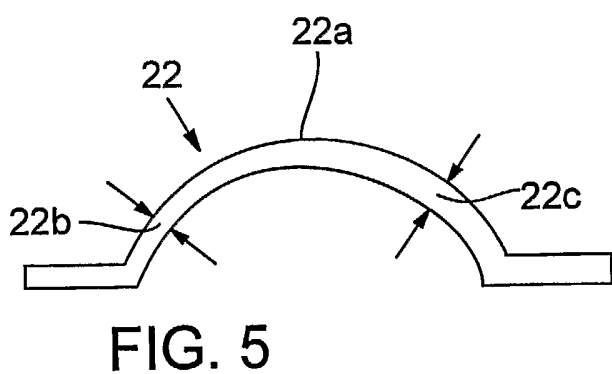
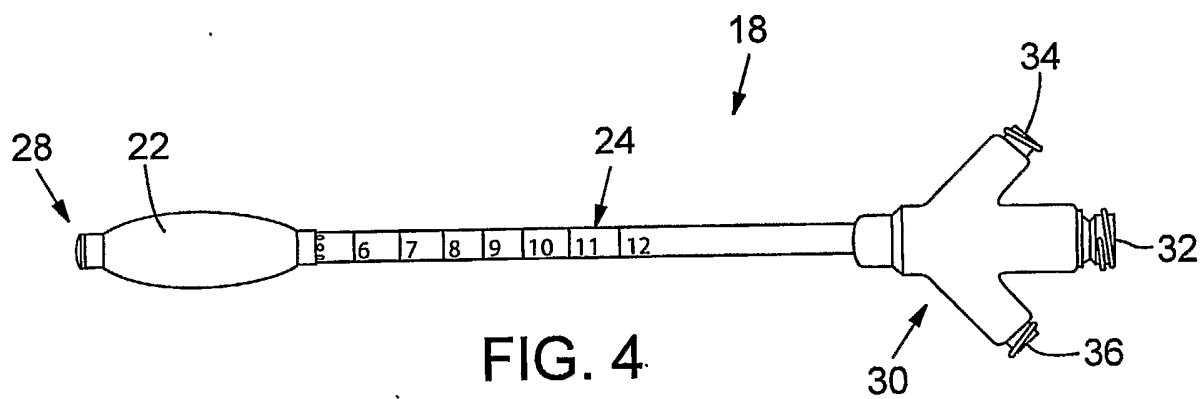
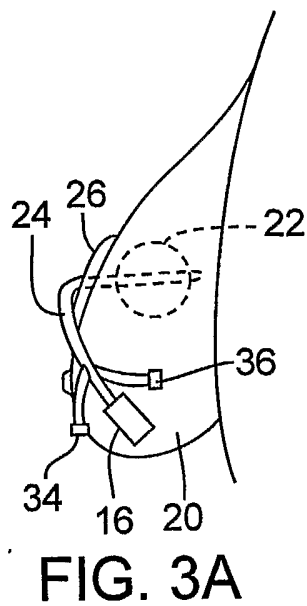
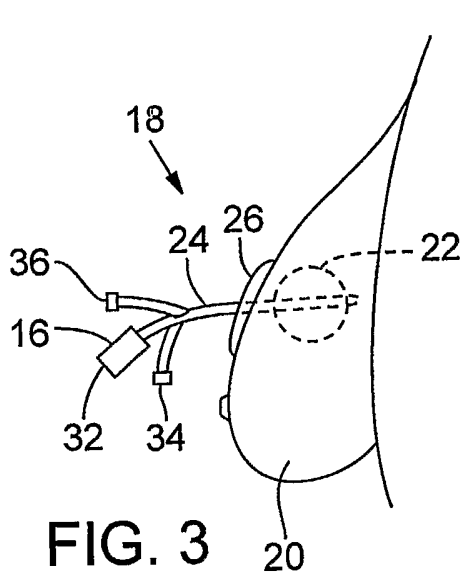
an inflatable balloon configured to be inserted into the cavity when uninflated,

a flexible shaft connected to the balloon for inflating the balloon when positioned within the cavity, and

the flexible shaft having a stiffener on a portion of the shaft within the balloon, the stiffener comprising a sleeve tightly engaging over the other surface of the flexible shaft.

52. The applicator of claim 51, wherein the stiffener comprises a heat shrink material over the shaft within the balloon.





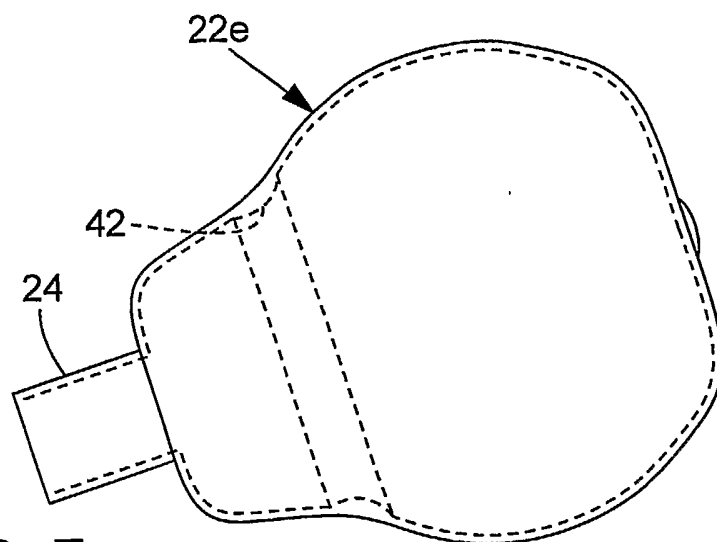


FIG. 7

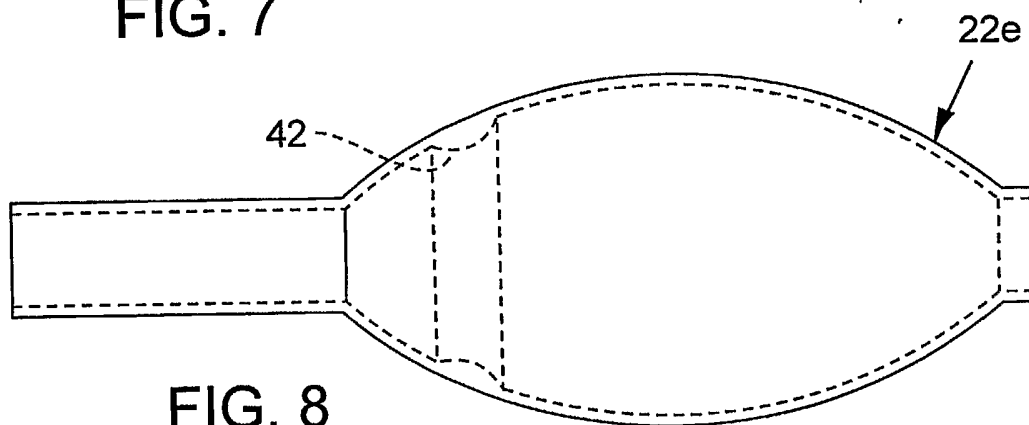


FIG. 8

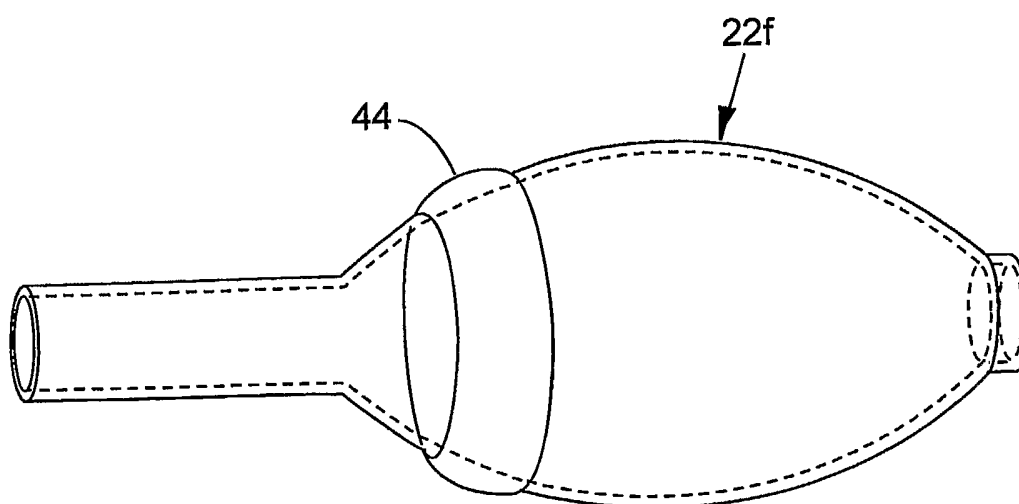
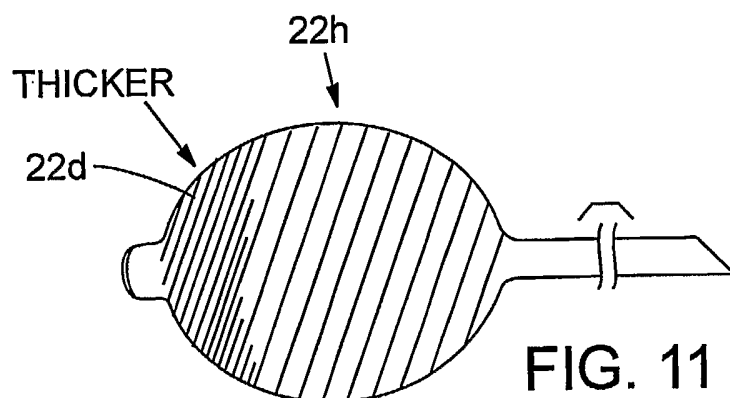
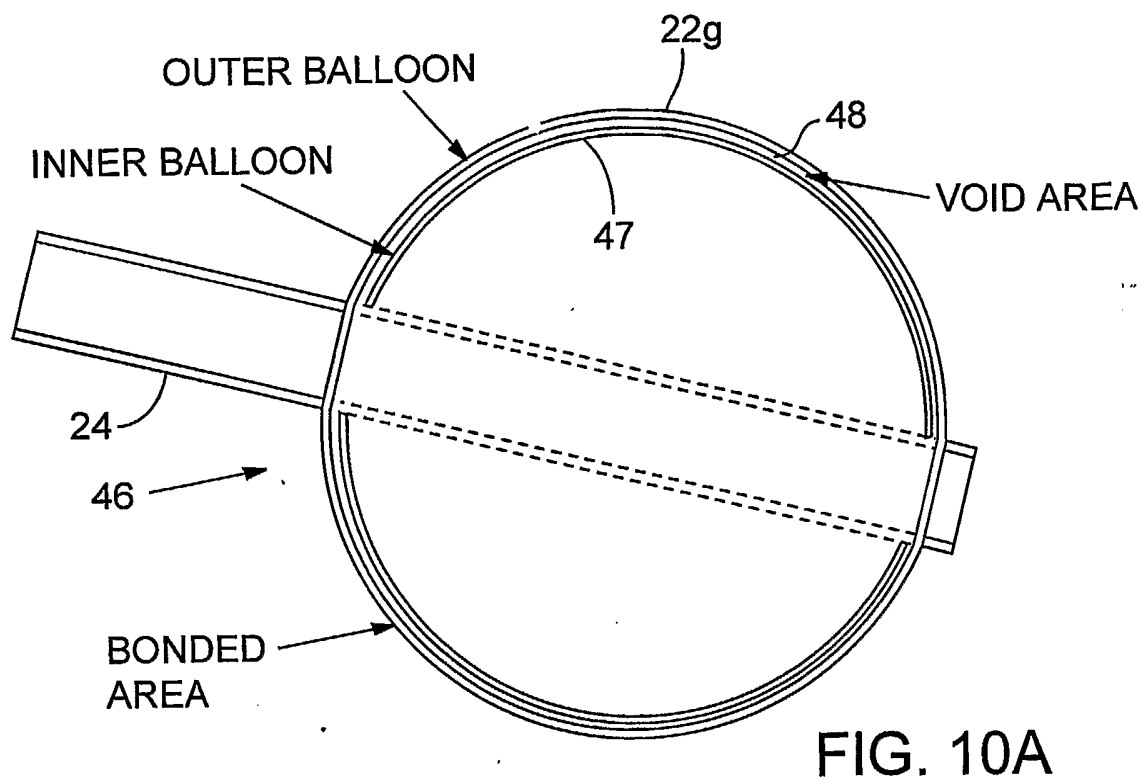
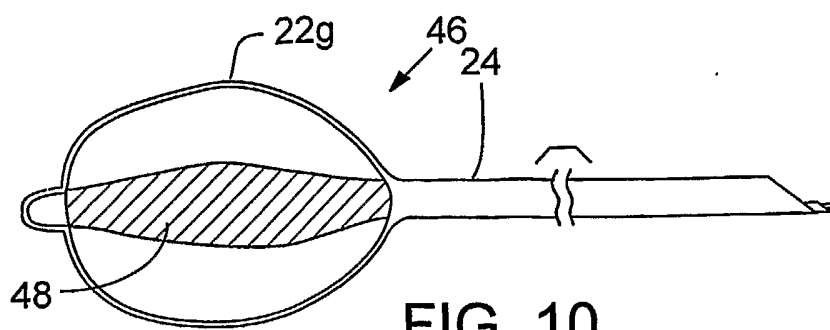


FIG. 9



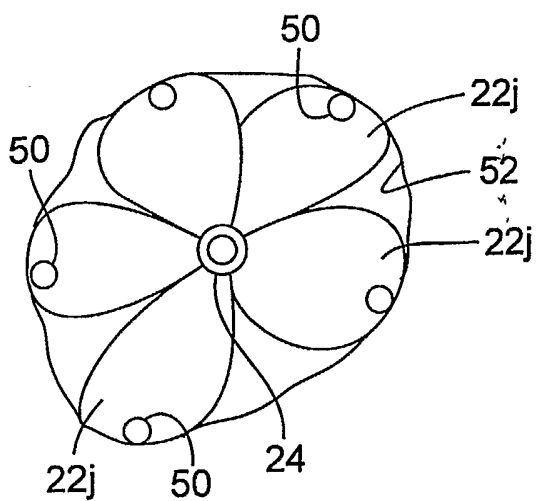


FIG. 12

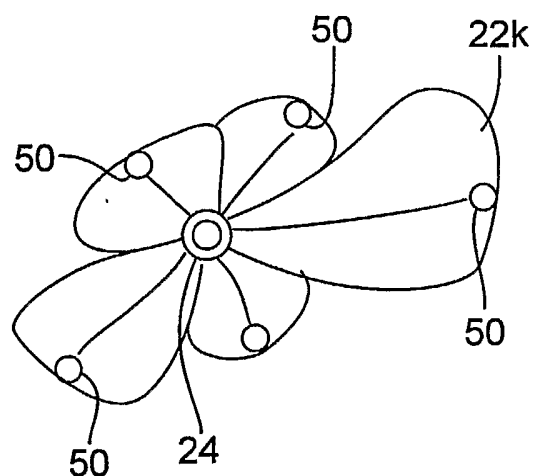


FIG. 13

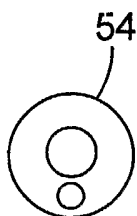


FIG. 15

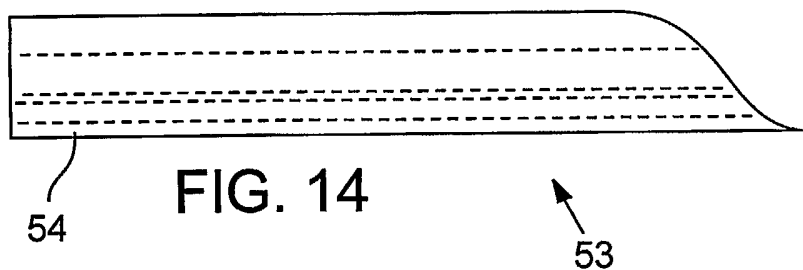


FIG. 14

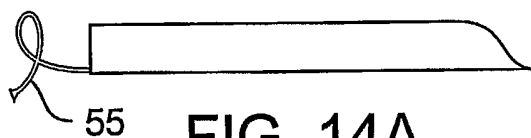


FIG. 14A

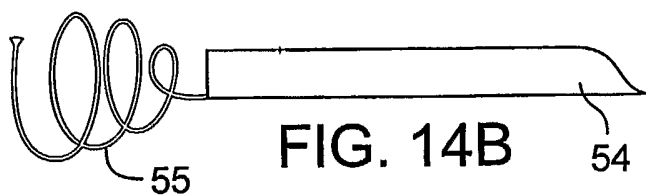


FIG. 14B

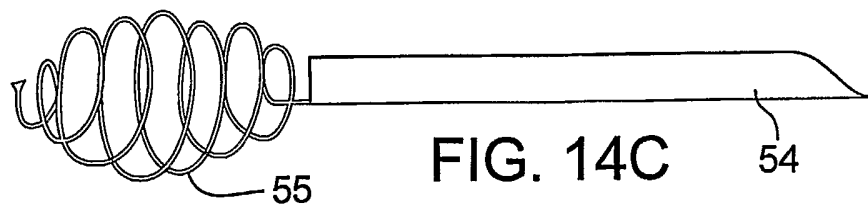
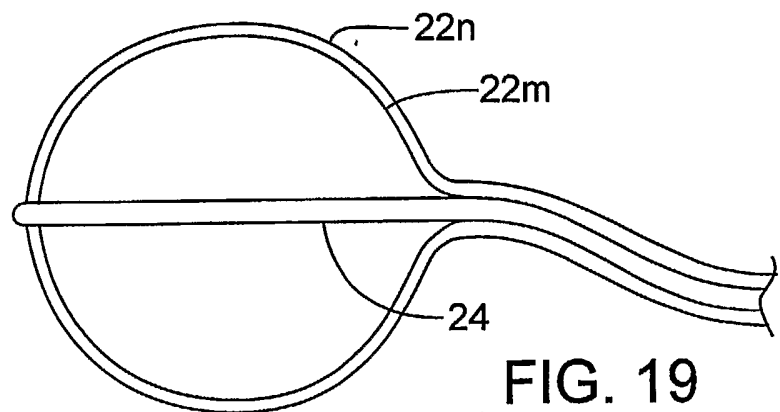
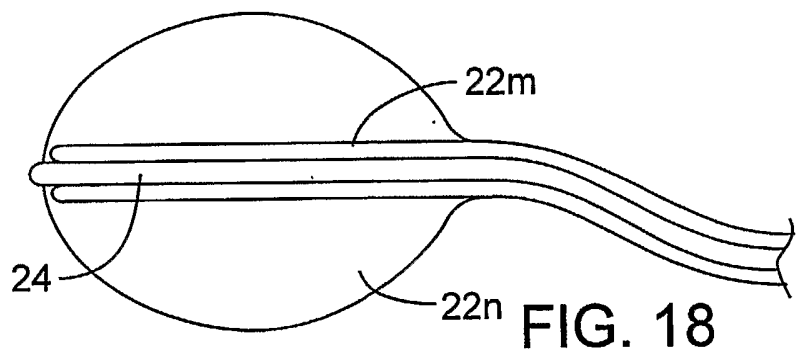
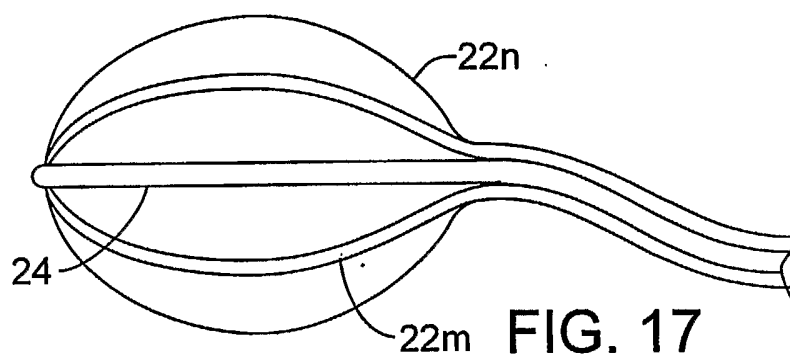
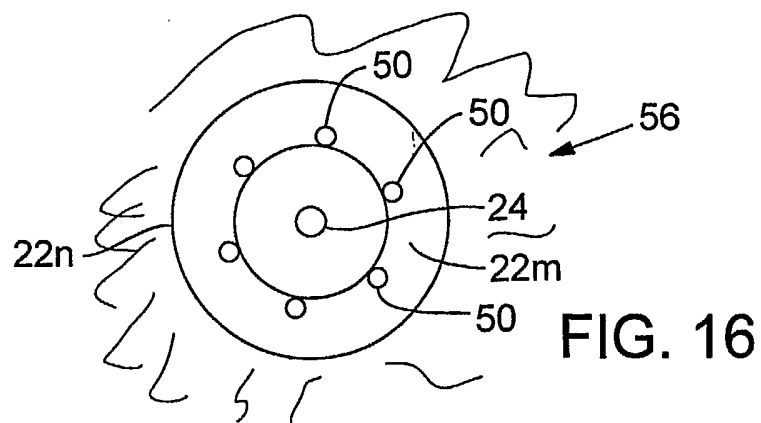
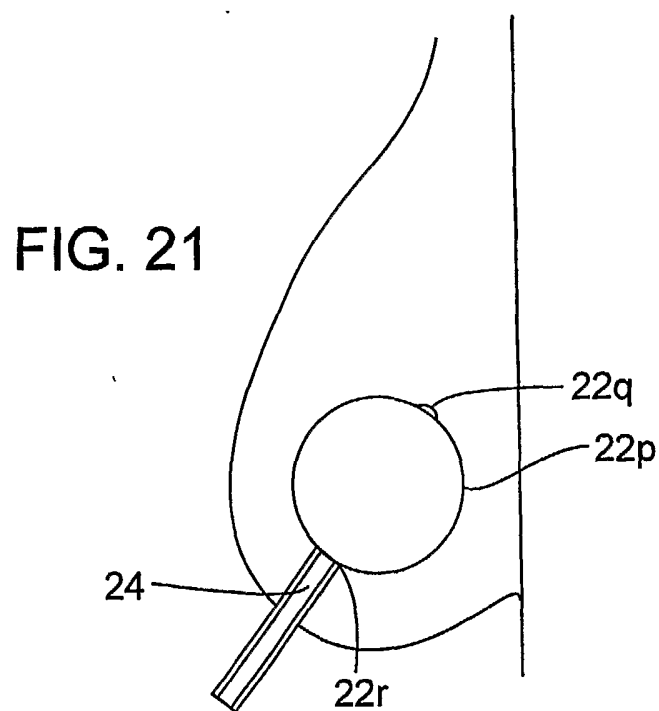
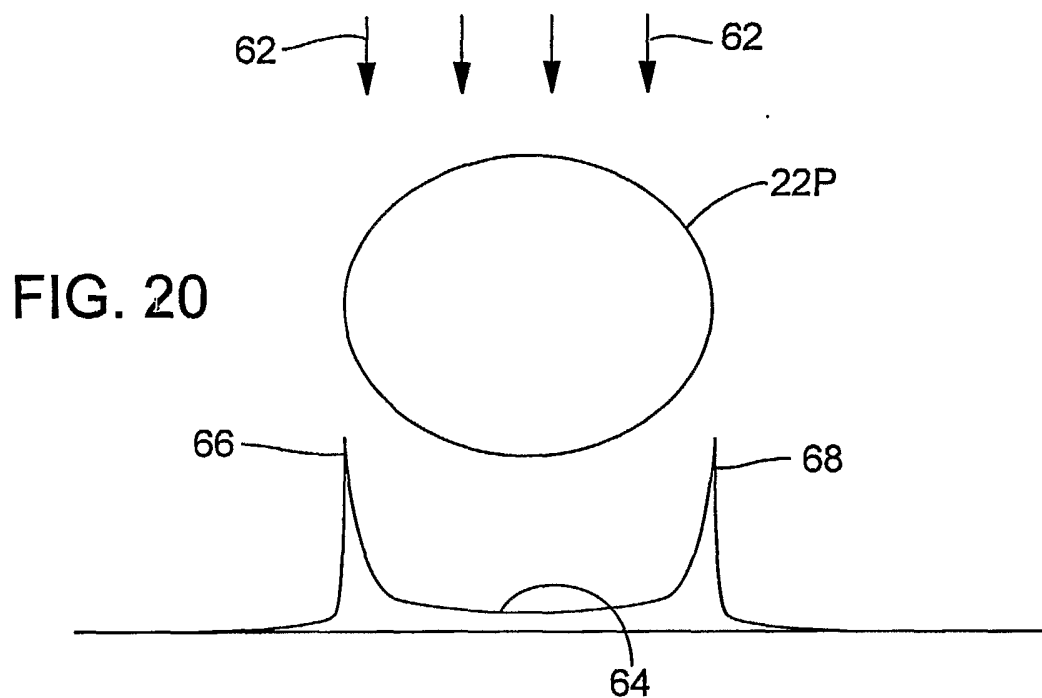


FIG. 14C





PATH LENGTH THROUGH 4 AND 5 DIAMETER BALLOON WALLS

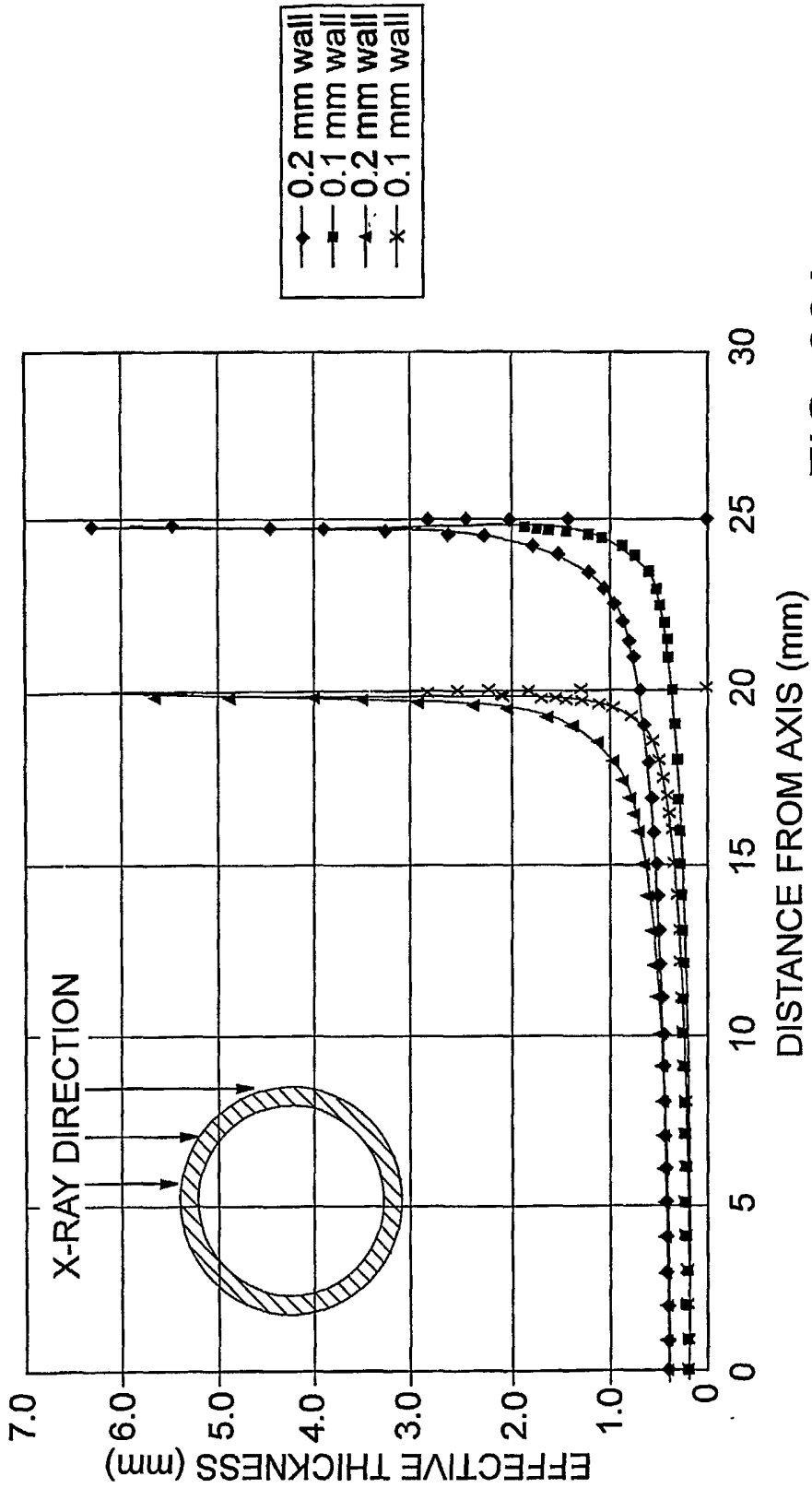


FIG. 20A

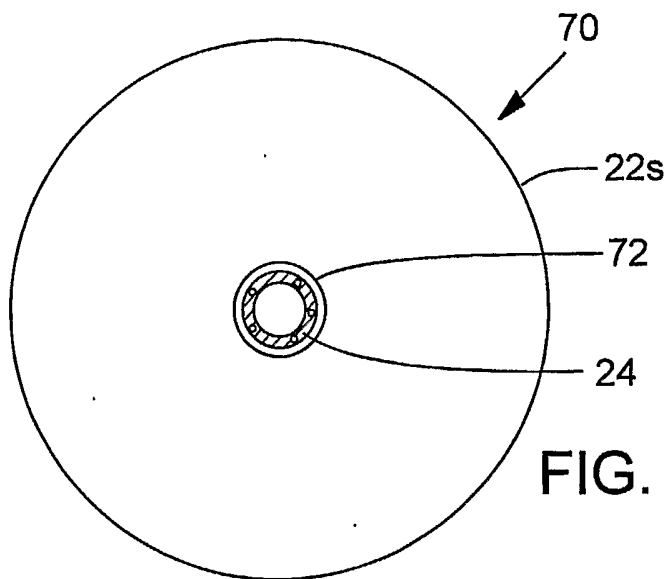


FIG. 22

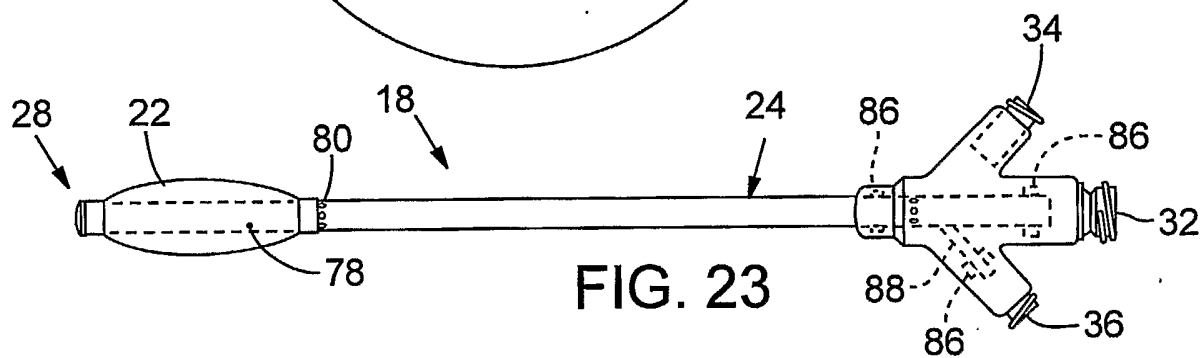


FIG. 23

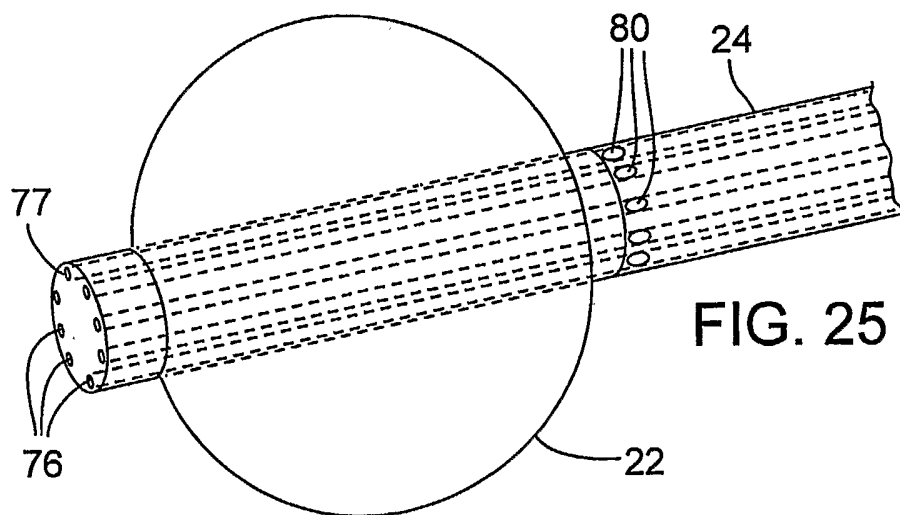


FIG. 25

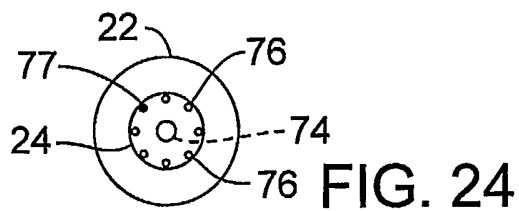


FIG. 24

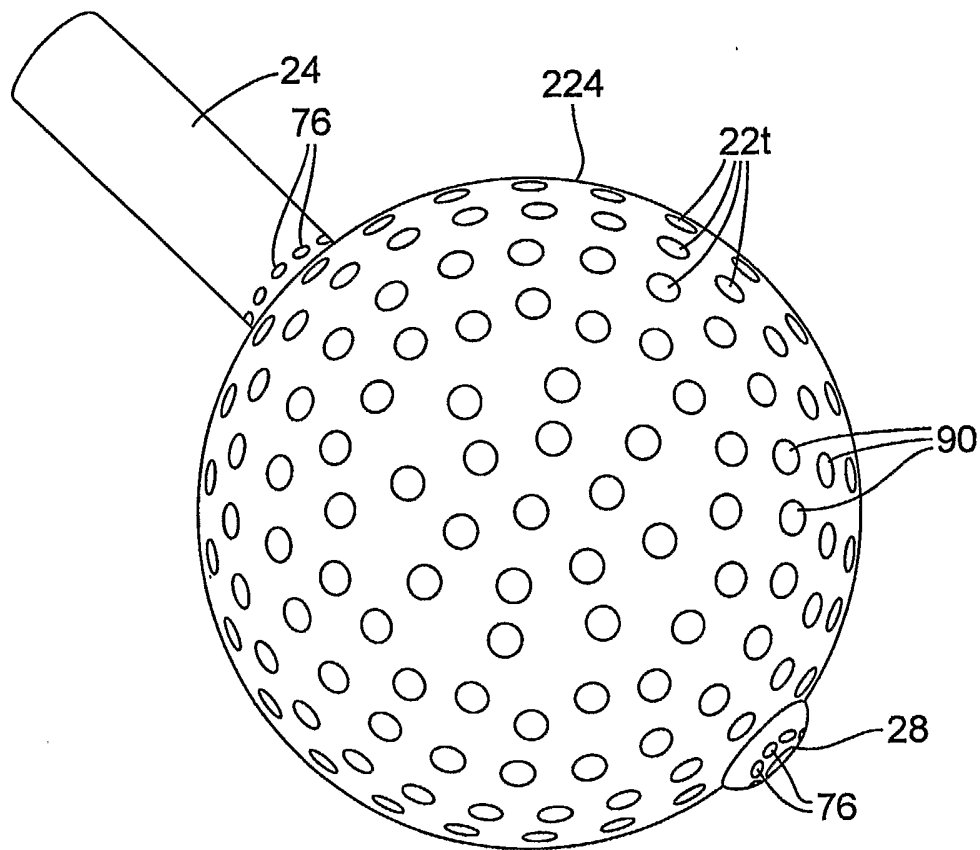
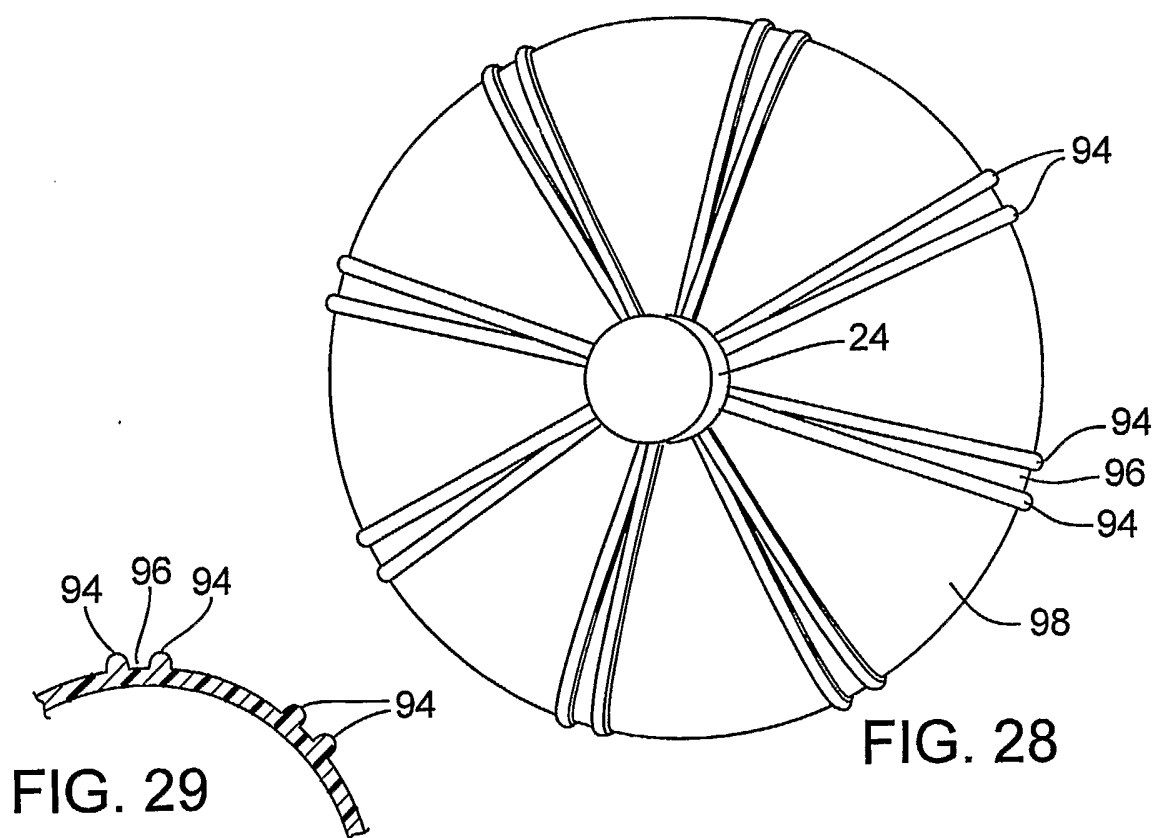
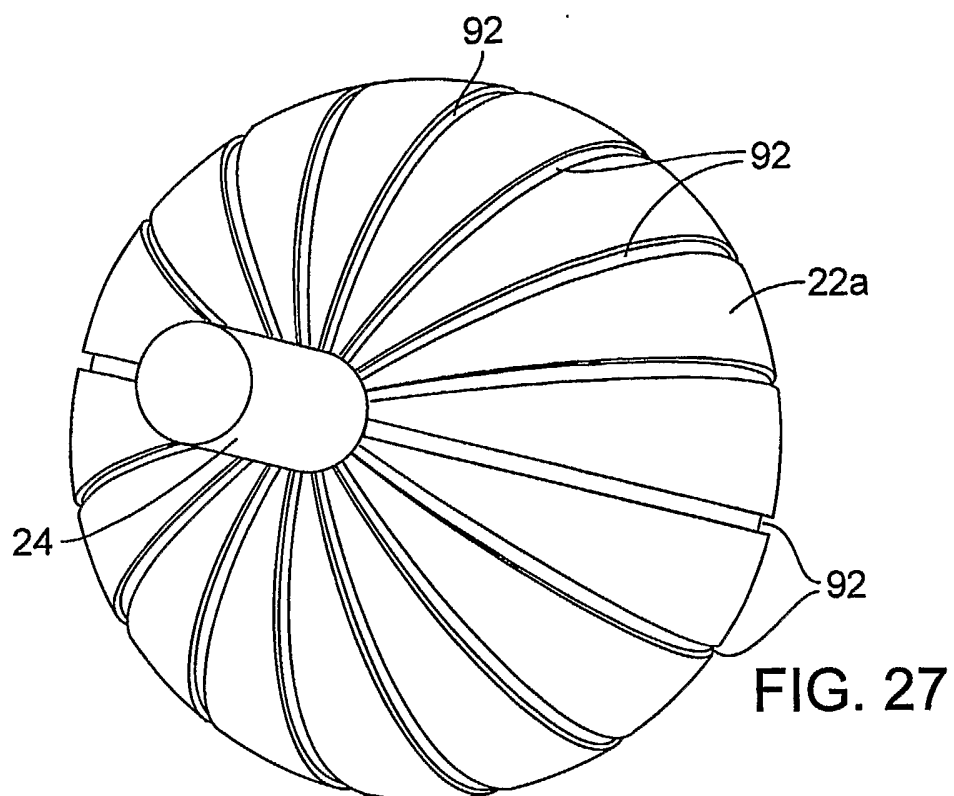


FIG. 26



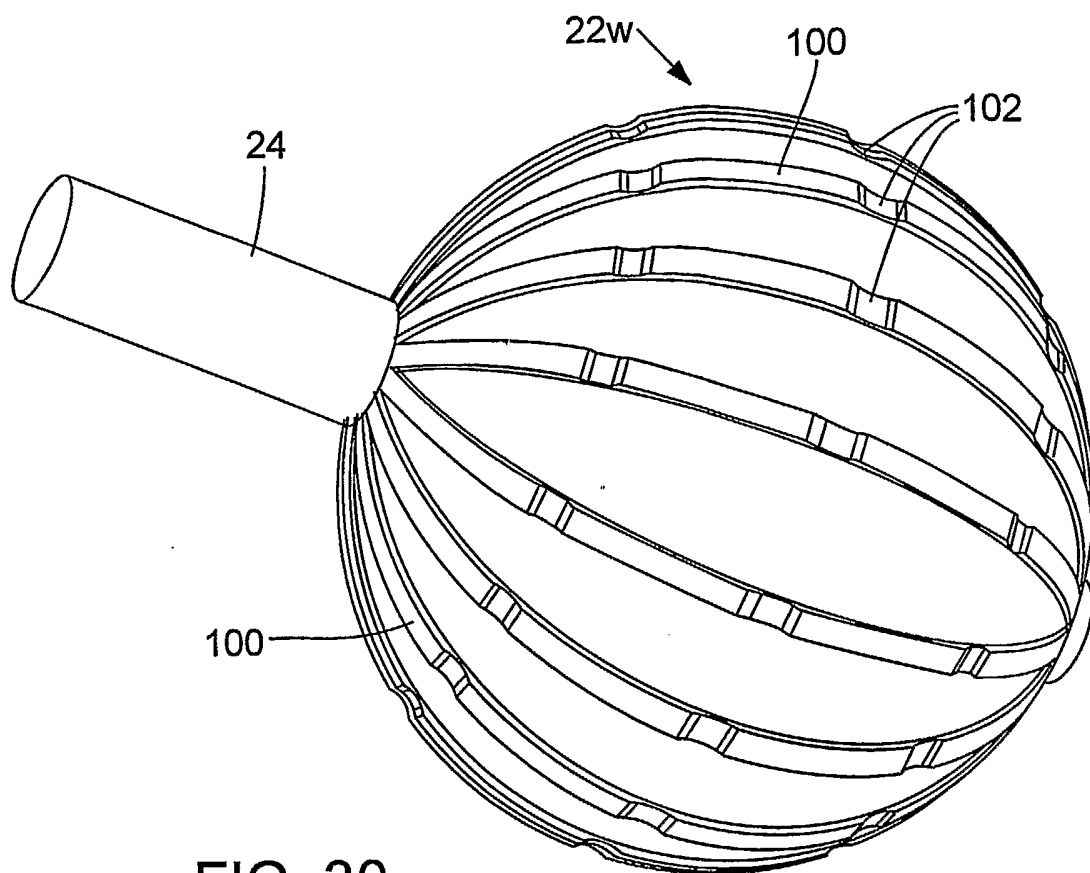


FIG. 30