(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 24 April 2003 (24.04.2003)

(10) International Publication Number WO 03/034623 A3

(51) International Patent Classification7: H04B 10/155

(21) International Application Number: PCT/US02/32999

(22) International Filing Date: 17 October 2002 (17.10.2002)

(25) Filing Language: English

(26) Publication Language: **English**

(30) Priority Data:

60/329,516 17 October 2001 (17.10.2001) US 10/270,726 16 October 2002 (16.10.2002) US

(71) Applicant (for all designated States except US): OPTINEL SYSTEMS, INC. [US/US]; 6835 Deerpath Road, Elkridge, MD 21075 (US).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): MATTHEWS, Paul, J. [US/US]; 9605 John Randolph Ct., Ellicott City, MD 21042 (US). BIERNACKI, Paul, D. [US/US]; 11515 Rothbury Square, Fairfax, VA 22030 (US). VOHRA, Sandeep, T. [US/US]; 3726 Garand Road, Ellicott City, MD 21042 (US).
- (74) Agents: DALEY, Henry, J. et al.; Pillsbury Winthrop LLP, 1600 Tysons Boulevard, McLean, VA 22102 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

(88) Date of publication of the international search report: 6 November 2003

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: EFFICIENT OPTICAL TRANSMISSION SYSTEM

(57) Abstract: A transmitter for optical communication systems includes a source of optical radiation, a source of complex non-information signals, and a modular unit in communication with the source of optical radiation. The modulator unit is also in communication with the source of complex non-information signals. The modulator has an input adapted to receive information-bearing

INTERNATIONAL SEARCH REPORT

Internal pplication No PCT/US 02/32999

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04B10/155

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{H04B} \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	US 5 515 196 A (SANO HIROHISA ET AL) 7 May 1996 (1996-05-07)	1-8,11, 12,15, 20,21, 23-29, 31-34, 37,38, 41, 46-49, 51,52
	column 1, line 58 -column 2, line 4; figure 2	31,32
	column 2, line 44-51	
	column 2, line 60 -column 3, line 6	
	column 6, line 30-37; figure 1	
	column 6, line 45 -column 7, line 11;	
	figure 4	
	column 7, line 26 - last line; figure 5	
	column 11, line 1-15; figure 11	

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents: 'A' document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international filling date 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'O' document referring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filling date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
15 April 2003	24/04/2003
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer
NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Sieben, S

INTERNATIONAL SEARCH REPORT

Internal pplication No PCT/US 02/32999

		PC1/US 02/32999		
	etion) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
X	HIROSE A ET AL: "SUPPRESSION OF STIMULATED BRILLOUIN SCATTERING AND BRILLOUIN CROSSTALK BY FREQUENCY-SWEEPING SPREAD-SPECTRUM SCHEME*" JOURNAL OF OPTICAL COMMUNICATIONS, FACHVERLAG SCHIELE & SCHON, BERLIN, DE, vol. 12, no. 3, 1 September 1991 (1991-09-01), pages 82-85, XP000241880 ISSN: 0173-4911 Summary page 84, left-hand column, paragraph 4; figure 4	1-8,11, 20,21, 23-29, 31-34, 37, 46-49,51		
X A	US 5 477 368 A (ESKILDSEN LARS E ET AL) 19 December 1995 (1995-12-19)	1,6-8, 11,20, 21,23, 24,29, 31-34,37		
А		2-5, 25-28,		
	column 1, line 23-41 column 2, line 32-53; figure 1	46-52		

INTERNATIONAL SEARCH REPORT

Information on patent family members

Internal pplication No
PCT/US 02/32999

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US 5515196	Α	07-05-1996	JP	3223562 B2	29-10-2001
			JP	5289125 A	05-11-1993
			DE	69332131 D1	29-08-2002
			DE	69332131 T2	27-03-2003
			ΕP	0565035 A2	13-10-1993
US 5477368	Α	19-12-1995	DE	69510438 D1	29-07-1999
			DE	69510438 T2	18-11-1999
			ĒΡ	0720313 A1	03-07-1996
			ĴΡ	8234251 A	13-09-1996