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(54) Title: SINGLE MOLECULE DETECTION

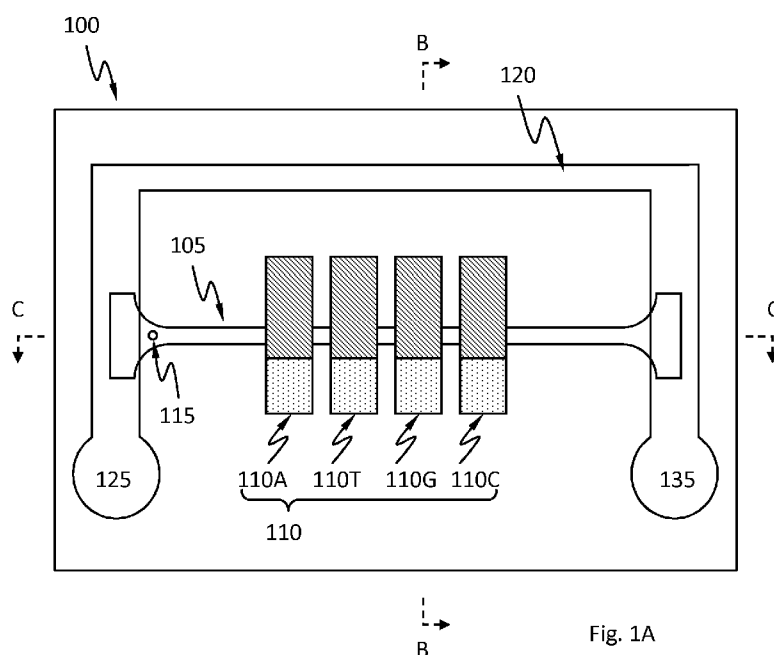


Fig. 1A

(57) Abstract: Disclosed herein is a method comprising patterning a second electrode of each of a plurality of electrode pairs onto a substrate; patterning a strip of a sacrificial layer directly across the second electrode; patterning a first electrode of each of the plurality of electrode pairs directly on the strip of the sacrificial layer; forming a nanogap channel by removing the strip of the sacrificial layer; wherein the strip of the sacrificial layer is sandwiched between and in direct contact with the first electrode and the second electrode before the strip is removed, and wherein at least a portion of the first electrode directly faces at least a portion of the second electrode. The method may involve planarization (e.g., CMP). The electrode pairs may be configured such that a redox active molecule can only diffuse back and forth therebetween while it is in the portion of the nanogap channel sandwiched therebetween.



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SINGLE MOLECULE DETECTION

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] Reference is made to commonly owned and co-pending U.S. Application No. 12/655,578 titled “Nanogap Chemical and Biochemical Sensors,” filed December 31, 2009, now pending; U.S. Patent Application No. 11/226,696, titled “Sensor Arrays and Nucleic Acid Sequencing Applications,” filed September 13, 2005, now pending; which is a continuation-in-part application that claims the benefit of U.S. Patent Application No. 11/073,160, titled “Sensor Arrays and Nucleic Acid Sequencing Applications,” filed March 4, 2005; U.S. Patent Application No. 11/967,600, titled “Electronic Sensing for Nucleic Acid Sequencing,” filed December 31, 2007 now pending; U.S. Patent Application No. 12/319,168, titled “Nucleic Acid Sequencing and Electronic Detection,” filed December 31, 2008, now pending; U.S. Patent Application No. 12/459,309, titled “Chemically Induced Optical Signals and DNA Sequencing,” filed June 30, 2009, now pending; U.S. Patent Application No. 12/655,459, titled “Solid-Phase Chelators and Electronic Biosensors,” filed Dec. 30, 2009, now pending; U.S. Patent Application No. 12/823,995, titled “Nucleotides and Oligonucleotides for Nucleic Acid Sequencing,” filed Jun 25, 2010, now pending; U.S. Patent Application No. 12/860,462, titled “Nucleic Acid Sequencing,” filed Aug 20, 2010, now pending; International Patent Application PCT/US2011/067520, titled “Nanogap Transducers with Selective Surface Immobilization Sites,” filed Dec 28, 2011; International Patent Application PCT/US2011/065154, titled “Diamond Electrode Nanogap Transducers,” filed Dec 15, 2011; and U.S. Patent Application No. 13/538,346, titled “High throughput biochemical detection using single molecule fingerprinting arrays,” filed on June 29, 2012; the disclosures of which are incorporated herein by reference. Appropriate components for device/system/method/process aspects of the each of the foregoing patents and patent publications may be selected for the present disclosure in embodiments thereof.

[0001.1] This invention was made with Government support under contract number 7R01HG006882-02 awarded by the National Institutes of Health. The Government has certain rights in this invention.

TECHNICAL FIELD

[0002] The present disclosure relates to a method and a device suitable for single molecule detection and especially suitable for single molecule sequencing of molecules such as DNA, RNA, and peptides.

BACKGROUND

[0003] Single-molecule sequencing enables molecules such as DNA, RNA, and peptides to be sequenced directly from biological samples without steps such as purification, separation, amplification of the molecules themselves. Single-molecule sequencing is thus well-suited for diagnostic and clinical applications.

[0004] The classical DNA sequencing technology (sometimes referred to as first generation sequencing technology) was developed in the late 1970s and evolved from a low-throughput approach, in which the same radiolabeled DNA sample was run on a gel with one lane for each nucleotide, to an automated method in which all four fluorescently labeled dye terminators for a single sample were loaded onto individual capillaries. These capillary-based instruments could handle hundreds of individual samples per week and were used in obtaining the first draft sequence of a human genome. Various improvements in components used in this technology pushed read lengths up to 1,000 base pairs (bp) without much improvement on the underlying principle.

[0005] The second generation sequencing technology emerged in 2005 and increases the throughput by at least two orders of magnitude over the first generation sequencing technology. Representative platforms include pyrosequencing (454 Life Sciences), Solexa (Illumina) and SOLiD (Applied Biosystems). The second generation sequencing technology is superior to its predecessor because the sequencing target changed from single clones or samples to many independent DNA fragments, enabling large sets of DNAs to be sequenced in parallel. Many platforms in this generation achieved massively parallel sequencing by imaging light emission from the sequenced DNA, or by detecting hydrogen ions (Ion Torrent by Life Technologies). The second generation sequencing technology avoids the bottleneck that resulted from the individual preparation of DNA templates required in the first generation technology. Read lengths of the second generation sequencing technology have exceeded 400 bp at an error rate below 1%.

[0006] The second generation sequencing technology still requires amplification of template. Amplification may cause quantitative and qualitative artifacts that can have detrimental impacts on quantitative applications, such as chromatin immunoprecipitation sequencing (ChIP-Seq) and RNA/cDNA sequencing. Amplification also places limitations on the size of the template being sequenced because molecules that are too short or too long tend not to be amplified well.

[0007] The third generation sequencing technology allows sequencing one or a few copies of a molecule and thus is often referred to as the single-molecule sequencing technology. The third generation sequencing technology thus simplifies sample preparation, reduces sample mass requirements, and most importantly eliminates amplification of templates. The third generation sequencing technology tends to have high read lengths, low error rates and high throughput. The third generation sequencing technology allows resequencing the same molecule multiple times for improved accuracy and sequencing molecules that cannot be readily amplified, for example because of extremes of guanine-cytosine content, secondary structure, or other reasons. These characteristics of the third generation sequencing technology make it well suited for diagnostic and clinical applications.

[0008] The third generation sequencing technology encompasses a wide variety of platforms that differ in their fundamental principles. Representative platforms include sequencing by synthesis, optical sequencing and mapping, and nanopores.

[0009] Sequencing by synthesis

[0010] One representative sequencing-by-synthesis platform involves hybridizing individual molecules to a flow cell surface containing covalently attached oligonucleotides, sequentially adding fluorescently labeled nucleotides and a DNA polymerase, detecting incorporation events by laser excitation, and recording with a charge coupled device (CCD) camera. The fluorescent nucleotide prevents the incorporation of any subsequent nucleotide until the nucleotide dye moiety is cleaved. The images from each cycle are assembled to generate an overall set of sequence reads.

[0011] Another representative sequencing-by-synthesis platform involves constraining DNA to a zero-mode wave guide so small that light can penetrate only the region very close to the edge of the wave guide, where the polymerase used for sequencing is constrained. Only

nucleotides in that small volume near the polymerase can be illuminated and their fluorescence can be detected. All four potential nucleotides are included in the reaction, each labeled with a different color fluorescent dye so that they can be distinguished from each other.

[0012] Yet another representative sequencing-by-synthesis platform is based on the fluorescence resonance energy transfer (FRET). This platform uses a quantum-dot-labeled polymerase that synthesizes DNA and four distinctly labeled nucleotides in a real-time system. Quantum dots, which are fluorescent semiconducting nanoparticles, have an advantage over fluorescent dyes in that they are much brighter and less susceptible to bleaching, although they are also much larger and more susceptible to blinking. The sample to be sequenced is ligated to a surface-attached oligonucleotide of defined sequence and then read by extension of a primer complementary to the surface oligonucleotide. When a fluorescently labeled nucleotide binds to the polymerase, it interacts with the quantum dot, causing an alteration in the fluorescence of both the nucleotide and the quantum dot. The quantum dot signal drops, whereas a signal from the dye-labeled phosphate on each nucleotide rises at a characteristic wavelength.

[0013] Optical sequencing and mapping

[0014] Optical sequencing and mapping generally involves immobilizing a DNA molecule to be sequenced to a surface, cutting it with various restriction enzymes or labeling it after treatment with sequence-specific nicking enzymes.

[0015] Nanopores

[0016] Sequencing by synthesis and optical sequencing and mapping platforms use some kind of label to detect the individual base for sequencing. In contrast, nanopore platforms generally do not require an exogenous label but rely instead on the electronic or chemical structure of the different nucleotides for discrimination. Representative nanopores include those based on solid-state materials such as carbon nanotubes or thin films and those based on biological materials such as α -hemolysin or MspA.

BRIEF DESCRIPTION OF THE DRAWINGS

[0017] The above aspects and other aspects and features will become apparent to those ordinarily skilled in the art upon review of the following description of specific embodiments in conjunction with the accompanying figures, wherein:

[0018] Fig. 1A-Fig. 1E schematically show the structure of a device suitable single molecule sequencing, according to an embodiment.

[0019] Fig. 2 shows scanning electron microscopy images of a partial cross-section along section B of Fig 1A, according to an embodiment.

[0020] Fig. 3 schematically shows that the plurality of electrode pairs may be configured to identify products of incorporation reactions of nucleotides (e.g., dATP, dTTP, dGTP, and dCTP) into a complementary strand to a DNA molecule being sequenced, according to an embodiment.

[0021] Fig. 4 schematically shows redox cycling, according to an embodiment.

[0022] Fig. 5A-5D show various electric circuits that can be used to read and process signals from the electrode pairs, according to an embodiment.

[0023] Fig. 6A shows an exemplary configuration where the redox active molecule has nowhere else to diffuse to but back and forth between the electrodes, according to an embodiment.

[0024] Fig. 6B and Fig. 6C each show an exemplary configuration where if the redox active molecule diffuses to portions of the nanogap channel in solid black, the redox cycling is broken.

[0025] Fig. 6D shows that the portion of the nanogap channel sandwiched between the directly facing portions of the electrodes preferably has a high length to width ratio.

[0026] Figs. 7A-7K show an exemplary fabrication process for the device 100 of Fig. 1A-Fig. 1C, according to an embodiment.

[0027] Fig. 7L shows a flow chart for a method of fabricating the device 100, according to an embodiment.

[0028] Fig. 8A and Fig. 8B show an exemplary method of bonding a microfluidics chip, according to an embodiment.

[0029] Figs. 9A-9D show an exemplary process for connecting the electrode pairs to the electric circuit, according to an embodiment.

[0030] Fig. 10A and Fig. 10B show a top view image of a microfluidic network and its overlay with the nanogap device, according to an embodiment.

DETAILED DESCRIPTION

[0031] Embodiments will now be described in detail with reference to the drawings, which are provided as illustrative examples so as to enable those skilled in the art to practice the embodiments. Notably, the figures and examples below are not meant to limit the scope to a single embodiment, but other embodiments are possible by way of interchange of some or all of the described or illustrated elements. Wherever convenient, the same reference numbers will be used throughout the drawings to refer to same or like parts. Where certain elements of these embodiments can be partially or fully implemented using known components, only those portions of such known components that are necessary for an understanding of the embodiments will be described, and detailed descriptions of other portions of such known components will be omitted so as not to obscure the description of the embodiments. In the present specification, an embodiment showing a singular component should not be considered limiting; rather, the scope is intended to encompass other embodiments including a plurality of the same component, and vice-versa, unless explicitly stated otherwise herein. Moreover, applicants do not intend for any term in the specification or claims to be ascribed an uncommon or special meaning unless explicitly set forth as such. Further, the scope encompasses present and future known equivalents to the components referred to herein by way of illustration.

[0032] A sequencing technology would benefit from high throughput, single-molecule reading capability, pure electrical detection and capability with established fabrication processes. The benefits of pure electrical detection include the elimination of bulky and expensive optical detection systems and relatively unstable and expensive fluorescent labeling. The benefits of capability with established fabrication processes include easier integration with other microelectronic devices (e.g., for signal acquisition and processing) and lower production cost.

[0033] The term “tag” refers to a marker or indicator distinguishable by an observer. A tag may achieve its effect by undergoing a pre-designed detectable process. Tags are often used in biological assays to be conjugated with, or attached to, an otherwise difficult to detect substance. At the same time, tags usually do not change or affect the underlying assay process. A tag used in biological assays includes, but not limited to, a redox-active molecule.

[0034] The term “nucleotide” includes deoxynucleotides and analogs thereof. These analogs are those molecules having some structural features in common with a naturally occurring nucleotide such that when incorporated into a polynucleotide sequence, they allow hybridization with a complementary polynucleotide in solution. Typically, these analogs are derived from naturally occurring nucleotides by replacing and/or modifying the base, the ribose or the phosphodiester moiety. The changes can be tailor-made to stabilize or destabilize hybrid formation, or to enhance the specificity of hybridization with a complementary polynucleotide sequence as desired, or to enhance stability of the polynucleotide.

[0035] The term “sequence” refers to the particular ordering of monomers within a macromolecule and it may be referred to herein as the sequence of the macromolecule.

[0036] Fig. 1A-Fig. 1C schematically the structure of a device suitable single molecule 100 sequencing, according to an embodiment. Fig. 1A shows a top view of this device 100. Fig. 1B shows a cross-sectional view along section B. Fig. 1C shows a cross-sectional view along section C. The device 100 has a nanogap channel 105 and a plurality of electrode pairs 110. The device 100 may further have any combination of a bioreactor 115, a bypass channel 120, an inlet 125, and an outlet 135. The plurality of electrode pairs 110 and the nanogap channel 105 may be formed in one or more layers 130 of dielectric materials. The plurality of electrode pairs 110 may be electrically connected to an electric circuit 150 through vias 145.

[0037] Each electrode pair among the plurality of electrode pairs 110 comprises a first electrode 110U and a second electrode 110L. The first electrode 110U may include one or more discrete pieces of conductors. The second electrode 110L may include one or more discrete pieces of conductors. A portion of the nanogap channel is sandwiched between the first electrode 110U and the second electrode 110L. At least a portion of the first electrode 110U directly faces at least a portion of the second electrode 110L, across a first dimension (“height” hereafter) of the nanogap channel 105. The distance between these facing portions across the first dimension is 100 nm or less, 75 nm or less, 50 nm or less, 25 nm or less, 10 nm or less, 5 nm or less, or 1 nm or less. At least a portion of the first electrode 110U is exposed to an interior of the nanogap channel 105. At least a portion of the second electrode 110L is exposed to an interior of the nanogap channel 105. The phrase “exposed to an interior of the nanogap channel 105” means that the first electrode 110U, the second electrode 110L and the nanogap channel 105 are arranged such that a fluid filling the interior of the nanogap channel 105 directly

contacts the first electrode 110U and the second electrode 110L. The first electrode 110U and the second electrode 110L are electrically conductive. The first electrode 110U and the second electrode 110L can be made of different materials or the same material. The first electrode 110U and the second electrode 110L preferably do not dissolve in water. The first electrode 110U and the second electrode 110L may include gold, platinum, palladium, silver, boron doped diamond and, alloys, mixtures or composites thereof. Fig. 2 shows scanning electron microscopy images of a partial cross-section along section B.

[0038] The nanogap channel 105 may fluidically and sequentially extend across each of the plurality of electrode pairs 110. The nanogap channel 105 and the plurality of electrode pairs 110 are arranged such that fluid flowing along the nanogap channel 105 passes between the first electrode 110U and the second electrode 110L of one of the electrode pairs 110 before the fluid passes between the first electrode 110U and the second electrode 110L of another of the electrode pairs 110. The nanogap channel 105 is not necessarily straight. A portion of the nanogap channel 105 between the first electrode 110U and the second electrode 110L of an electrode pair among the plurality of electrode pairs 110 may have a height (i.e., the distance separating the first electrode 110U and the second electrode 110L along the first dimension) of 100 nm or less, 75 nm or less, 50 nm or less, 25 nm or less, 10 nm or less, 5 nm or less, or 1 nm or less. The nanogap channel 105 may have a size across a second dimension (“width”) (i.e., the dimension perpendicular to the first dimension and the flow direction of the nanogap channel 105) of 500 nm or less, 250 nm or less, 100 nm or less, 50 nm or less, or 10 nm or less. The cross-sectional shape of the nanogap channel 105 perpendicular to the flow direction thereof may be rectangular, square, circular, elliptical or any other suitable shape.

[0039] The plurality of electrode pairs 110 are configured to identify chemical species (e.g., four chemical species) passing therebetween and flowing in the nanogap channel 105, for example, by an electrical signal the chemical species generate on the plurality of electrode pairs 110. The electrical signal may be generated from an electrochemical reaction of the chemical species, from a chemical reaction of the chemical species, or a combination thereof. For example, the plurality of electrode pairs 110 may be electrically biased differently in order to identify the chemical species. A chemical species may undergo an electrochemical or chemical reaction at one or more electrical potentials (usually relative to a reference electrode or to the solution the chemical species is in) but not at others. If a first chemical species undergoes a reaction at a first potential and a second chemical species undergoes a reaction at a second

potential different from the first potential, an electrode pair biased at the first potential will generate an electrical signal (e.g., voltage or current) when the first chemical species is present regardless whether the second chemical species is present, and an electrode pair biased at the second potential will generate an electrical signal (e.g., voltage or current) when the second chemical species is present regardless whether the first chemical species is present. A chemical species may undergo an electrochemical or chemical reaction with a material attached to an electrode pair but not with another material attached to another electrode pair. If a first chemical species undergoes a reaction with a first material and a second chemical species undergoes a reaction with a second material different from the first material, an electrode pair with the first material attached thereto will generate an electrical signal (e.g., voltage or current) when the first chemical species is present regardless whether the second chemical species is present, and an electrode pair with the second material attached thereto will generate an electrical signal (e.g., voltage or current) when the second chemical species is present regardless whether the first chemical species is present.

[0040] The device 100 of Fig. 1A-1C may be used to sequence peptides, DNAs and RNAs. DNA sequencing is used as an example to explain the operation of this device.

[0041] In the context of DNA sequencing, the plurality of electrode pairs 110 may be configured to identify products of incorporation reactions of nucleotides (e.g., dATP, dTTP, dGTP, and dCTP) into a complementary strand to a DNA molecule being sequenced, as schematically shown in Fig. 3. The reaction products may be a distinct tag 301, 302, 303 or 304 on each type (e.g., A, T, G, C) of the nucleotides introduced to react with the complementary strand, where upon incorporation 310 of the nucleotides, the distinct tag 301, 302, 303 or 304 is released from the nucleotides and can flow to the plurality of electrode pairs 110. The released tag may be “activated,” e.g., by using activating enzymes or other molecules, before flowing to the plurality of electrode pairs 110. Upon identifying the released tag by the plurality of electrode pairs 110, the type of the nucleotide incorporated is ascertained.

[0042] Alternatively, the plurality of electrode pairs 110 may be configured to identify products of digestion of a DNA molecule being sequenced. For example, the DNA molecule being sequenced may be digested by a nuclease to sequentially release the nucleosides or nucleotides in the DNA molecule. The released nucleosides or nucleotides flow to the plurality of electrode pairs 110 and are identified by them. Alternatively, the released nucleosides or

nucleotides may be “activated,” e.g., by using activating enzymes or other molecules, to produce distinct tags that flow to the plurality of electrode pairs 110 and are identified by them. Upon identifying the released nucleosides or nucleotides or the tags by the plurality of electrode pairs 110, the type of the nucleotide incorporated is ascertained.

[0043] The plurality of electrode pairs 110 may have two, three, four, or more electrode pairs. The plurality of electrode pairs 110 are preferably independently addressable. In one embodiment, the plurality of electrode pairs 110 have four electrode pairs 110A, 110T, 110G and 110C. For example, electrode pairs 110A, 110T, 110G and 110C are configured (by biasing at four different potentials or by attaching with four different materials) such that they generate a signal when a tag released (or also activated) from incorporation of dATP, dTTP, dGTP or dCTP is present, respectively, or such that they generate a signal when an adenosine (or a deoxyadenosine), a thymidine (or a deoxythymidine), a guanosine (or a deoxyguanosine), a cytidine (or a deoxycytidine) released (or also activated) from digestion is present, respectively.

[0044] In one embodiment, as shown in Fig. 1D, the plurality of electrode pairs 110 have two electrode pairs 110P and 110Q. For example, electrode pairs 110P and 110Q are configured (by biasing at two different potentials or by attaching with two different materials) such that electrode pair 110P generates a signal when a tag released (or also activated) from incorporation of a dTTP or dCTP is present; and such that electrode pair 110Q generates a signal when a tag released (or also activated) from incorporation of a dTTP or dATP is present.

[0045] In one embodiment, as shown in Fig. 1E, the plurality of electrode pairs 110 have three electrode pairs 110P, 110Q and 110R. For example, electrode pairs 110P, 110Q and 110R are configured (by biasing at three different potentials or by attaching with three different materials) such that electrode pair 110P generates a signal when a tag released (or also activated) from incorporation of a dTTP or dCTP is present; such that electrode pair 110Q generates a signal when a tag released (or also activated) from incorporation of a dTTP or dATP is present; and such that electrode 110R generates a signal when a tag released (or also activated) from incorporation of a dATP, dTTP, dGTP or dCTP is present.

[0046] In an embodiment, identification of a chemical species by an electrode pair involves redox cycling. Redox cycling can be especially useful when only a few or even a single molecule of the chemical species are available for identification. Fig. 4 schematically shows redox cycling. Redox cycling is an electrochemical method in which a molecule 410 that can be

reversibly oxidized and/or reduced (i.e., a redox active molecule) moves between at least two electrodes 411 and 412, one of which biased below a reduction potential and the other of which biased above an oxidation potential for the molecule being detected, shuttling electrons between the electrodes (i.e., the molecule is oxidized at a first electrode 411 and then diffuses to a second electrode 412 where it is reduced or vice versa, it is first reduced and then oxidized, depending on the molecule and the potentials at which the electrodes are biased). The same molecule 410 can therefore contribute a plurality of electrons to the recorded current resulting in the net amplification of the signal (e.g., presence of molecule 410). In a redox cycling measurement, the electrodes 411 and 412 are used to repeatedly flip the charge state of a redox active molecule 410 in solution allowing a single redox active molecule to participate in multiple redox reactions and thereby contribute multiple electrons to an electric current between the electrodes 411 and 412. In redox cycling measurements, the height of the gap between the electrodes 411 and 412 can be on the nanometer scale. In the device of Fig. 1A-Fig. 1C, the height of the gap is the height of the nanogap channel 105. A single redox active molecule 410 flowing between the two electrodes 411 and 412 can shuttle multiple electrons (e.g., >100) between the electrodes 411 and 412, leading to amplification of the measured electrochemical current. The number of electrons a single redox active molecule 410 can shuttle depends on factors such as the stability of the redox active molecule 410 and the time the redox active molecule 410 spends in the region between the electrodes 411 and 412. The magnitude of current through either electrode is proportional to the concentration of the redox active molecule 410 in the region between the electrodes 411 and 412 and to the number of electrons the redox active molecule 410 shuttles from one electrode to the other. In the device of Fig. 1A-Fig. 1C, the number of electrons shuttled from one electrode to the other electrode of an electrode pair by one redox active molecule 410 may depend on the length of the portion of the nanogap channel 105 sandwiched by the electrode pair. A redox active molecule is a molecule that is capable of reversibly cycling through states of oxidation and/or reduction a plurality of times.

[0047] According to an embodiment, the bioreactor 115 may be arranged such that all reaction products from the bioreactor 115 flow into the nanogap channel 105 and by the plurality of electrode pairs 110. The bioreactor 115 may be positioned inside the nanogap channel 105 and upstream to the plurality of electrode pairs 110. The bioreactor 115 is not necessarily inside the nanogap channel 105. The bioreactor 115 may be an area with a functionalized surface. The bioreactor 115 may be an area of different materials from its surrounding areas. For example,

the bioreactor 115 may be an area of silicon oxide or gold. Being an area made of a different material makes surface functionalization easier. For example, if the bioreactor 115 is the only component made of gold that is exposed to the interior of the nanogap channel 105, the surface of the bioreactor 115 can be modified by flowing a ligand that only reacts with gold through the nanogap channel 105. The functionalized surface may be used as a site to immobilize a molecule thereon. The molecule may be a polymerase, a nuclease, a DNA or RNA strand, or a peptide. The bioreactor 115 preferably has a small area (e.g., 100 nm or less in diameter) so that statistically only one molecule is immobilized thereon.

[0048] A flow through the nanogap channel 105 may be induced. The flow preferably transports reaction products from the bioreactor 115 through the nanogap channel 105 sequentially, in an order of time of release (e.g., dissociation from any immobilized molecule into the flow) of the reaction products. Namely, the flow transports a reaction product released earlier before a reaction product released later. The flow preferably is at a rate that preserves the order of the reaction products before they pass the last electrode pair. The flow rate may be as low as in the range of pL/min (picoliters per minute). The flow may be induced by a pressure differential between the inlet 125 and the outlet 135. When the pressure differential dictated by the desired flow rate is too small to be practically maintained, the device 100 can have a bypass channel 120 fluidically parallel with the nanogap channel 105. For example, if the practically maintainable flow rate is in the range of $\mu\text{L}/\text{min}$. The bypass channel 120 can be much wider than the nanogap channel 105 so that the fraction through the latter is at a much smaller flow rate. The bypass channel 120 may have a valve that can controllably shut it off.

[0049] The electric circuit 150 may be a chip of CMOS electronics. The rest of the device 100 may be attached to the electric circuit 150 by a suitable technique such as solder microbumps.

[0050] The electric circuit 150 may have the sensitivity and foot print size to match the density of the electrode pairs. Multiple electrode pairs may share the same circuit. The electric circuit 150 may be configured to read or process signals on the electrode pairs. In an embodiment, the electric circuit 150 is configured to read a differential of the potential on the first electrode 110U and the second electrode 110L of an electrode pair (e.g., Fig. 5A). In an embodiment, the electric circuit 150 is configured to use transimpedance amplifiers to amplify the signal by cross-correlation signal processing techniques to reduce the amplifier noise (e.g.,

Fig. 5B). In an embodiment, the electric circuit 150 is configured to allow sharing of the circuit among multiple electrode pairs (e.g., Fig. 5C) in a time domain multiplexed fashion.

[0051] Fig. 5A is an example of the electric circuit 150 that uses two common gate amplifiers (M1 and M2) which set the electrode potentials approximately $V_{b1} - V_t$ and $V_{b2} - V_t$ (V_t is the threshold voltage) while relaying the electrode current to either a current mirror formed by M3/M4 (which inverts it) or to the summing node directly. The current mirror formed by M5 and M6 provides amplification and an interface to a current-mode ADC or other means of acquiring the resulting current, which can be shared between many electrode pairs.

[0052] Fig. 5B is an example of the electric circuit 150 that independently acquires signals from both electrodes so that cross-correlation signal processing techniques can be used to reduce the impact of the amplifier (A1 and A2) noises.

[0053] Fig. 5C is an extension of the readout circuit in Fig. 5B, where the amplifiers are shared among many electrode pairs. Switches controlled by non-overlapping control signals may be used to address each of the electrode pairs.

[0054] Fig. 5D is a switched capacitor implementation of a pair of transimpedance amplifiers with two separate outputs, which can be used for cross-correlation or similar signal processing. Furthermore, the other switches (e.g., V01, V02) can implement controllable current cancellation (switches can either be connected to a voltage source or to a capacitor). By means of logic controlling the switches, it is possible to implement hardware subtraction or detection of anti-correlated currents at the electrodes. As shown in Fig. 5D, a switched capacitor approach can be used to implement the transimpedance amplifier as well as perform background subtraction of the current traces (to ideally remove any portion not attributable to the redox active molecules) as well as implementing some level of cross-correlation in the circuitry.

[0055] Preferably, a redox active molecule that is oxidized or reduced at one of the electrodes 110U and 110L diffuses to the other electrode to complete the redox cycling. However, if the redox active molecule diffuses to some place other than the other electrode, the redox cycling is broken, which causes noise in the signal. Preferably, the electrode pairs are configured such that the redox active molecule can only diffuse back and forth between the electrodes 110U and 110L while it is in the portion of the nanogap channel 105 sandwiched therebetween. Fig. 6A shows an exemplary configuration where the redox active molecule has

nowhere else to diffuse to but back and forth between the electrodes 110U and 110L. Fig. 6B and Fig. 6C each show an exemplary configuration where if the redox active molecule diffuses to portions of the nanogap channel 105 in solid black, the redox cycling is broken. If the width of the nanogap channel 105 is smaller than the width of the directly facing portions of the electrodes and is entirely sandwiched between the directly facing portions, the redox cycling is not broken because the redox active molecule can only diffuse back and forth between the electrodes 110U and 110L. As shown in Fig. 6D, the portion 105A of the nanogap channel 105 sandwiched between the directly facing portions of the electrodes 110U and 110L preferably has a high length 105L to width 105W ratio. Preferably, the ratio is greater than 50:1, greater than 100:1, greater than 500:1, greater than 1000:1, or greater than 2000:1. Higher length 105L to width 105W ratio leads to more time a redox active molecule stays in the portion 105A and less stray capacitance due to the area of the fluid-electrodes interfaces.

[0056] Figs. 7A-7K show an exemplary fabrication process for the device 100.

[0057] As shown in Fig. 7A, the second electrodes 110L of the electrode pairs 110 and the bioreactor 115 are patterned on a layer 702 of insulator (e.g., silicon oxide) on a substrate 701 (e.g., silicon wafer). The second electrodes 110L may be platinum, boron doped diamond (BDD), gold or other suitable electrical conductive materials. The second electrodes 110L may be patterned using a suitable technique such as photolithography, e-beam evaporation or sputter deposition, and lift-off. An adhesion layer such as titanium (Ti) may be deposited before depositing the second electrodes 110L. If the second electrodes 110L comprise BDD, it may be deposited using chemical vapor deposition (CVD) and may be patterned using a hard mask, such as chromium (Cr), followed by an oxygen plasma etch and Cr removal using CR14 etchant.

[0058] As shown in Fig. 7B, a dielectric layer 703 (e.g., silicon nitride) may be deposited on the second electrodes 110L using a suitable technique such as plasma enhanced chemical vapor deposition (PECVD). Silicon nitride thickness may be 1.5 times the thickness of the second electrodes 110L to allow adequate material for planarization that follows.

[0059] As shown in Fig. 7C, the dielectric layer 703 is planarized using a suitable technique such as chemical mechanical planarization (CMP). The CMP process is concluded when the second electrodes 110L are exposed. Dummy fill structures with a

constant local and global pattern density (~50%) may be deposited with the second electrodes 110L in order to reduce dishing during planarization. Resistance probe measurements of test structures with comparable pattern densities and sizes as the sensor area are utilized for determining the end point of the planarization process. Equivalent pattern densities and sizes expose the electrical test structures to similar local pressures as the sensor area during the planarization process, hence providing an accurate end point indication.

[0060] As shown in Fig. 7D, a sacrificial layer 704 is patterned on the second electrodes 110L and on the dielectric layer 703. The sacrificial layer 704 will later be removed to form the nanogap channel 105. The sacrificial layer 704 may be patterned using suitable techniques such as photolithography, metal deposition, and lift-off. Chromium (Cr), tantalum nitride (TaN) and tungsten (W) are examples of the material of the sacrificial layer 704 due to their capability of being selectively etched compared to the other materials in the device 100.

[0061] As shown in Fig. 7E, a second dielectric layer 705 (e.g., silicon nitride) is deposited over the sacrificial layer 704.

[0062] As shown in Fig. 7F, the second dielectric layer 705 is planarized to expose the sacrificial layer 704.

[0063] As shown in Fig. 7G, the first electrodes 110U of the electrode pairs 110 are patterned on the second dielectric layer 705 and the exposed portion of the sacrificial layer 704. The first electrodes 110U may be platinum, boron doped diamond (BDD), gold or other suitable electrical conductive materials. The first electrodes 110U may be patterned using a suitable technique such as photolithography, e-beam evaporation or sputter deposition, and lift-off. An adhesion layer such as titanium (Ti) may be deposited before depositing the first electrodes 110U. If first electrodes 110U comprise BDD, it may be deposited using chemical vapor deposition (CVD) and may be patterned using a hard mask, such as chromium (Cr), followed by an oxygen plasma etch and Cr removal using CR14 etchant.

[0064] As shown in Fig. 7H, a third dielectric layer 706 (e.g., silicon nitride) may be deposited on the first electrodes 110U using a suitable technique such as plasma enhanced

chemical vapor deposition (PECVD). Silicon nitride thickness may be 1.5 times the thickness of the first electrodes 110U to allow adequate material for the planarization that follows.

[0065] As shown in Fig. 7I, the third dielectric 706 is planarized using a suitable technique such as CMP. The CMP process is concluded before the first electrodes 110U are exposed. Dummy fill structures be deposited with the first electrodes 110U in order to reduce dishing during planarization.

[0066] As shown in Fig. 7J, ports 707 for the introduction of fluid into the nanogap channel 105 are etched in the second and third dielectric layers 705 and 706 to expose portions of the sacrificial layer 704, using a suitable technique (e.g., a plasma etch (CHF_3 , O_2) for silicon nitride). These ports are also used for removing the sacrificial layer 704 away to create the nanogap channel 105.

[0067] As shown in Fig. 7K, the sacrificial layer 704 is removed by a suitable technique such as wet etching, leaving a void space as the nanogap channel 105.

[0068] Fig. 7L shows a flow chart for a method of fabricating the device 100. In 7100, the second electrode 110L of an electrode pair 110 is patterned on a substrate. In 7200, a strip of the sacrificial layer 704 is patterned directly across the second electrode 110L. In 7300, the first electrode 110U of the electrode pair 110 is patterned directly on the strip of the sacrificial layer 704, such that the first electrode 110U and the second electrode 110L are not electrically shorted, that the strip of the sacrificial layer 704 is sandwiched between and in direct contact with the first electrode 110U and the second electrode 110L, and that at least a portion of first electrode 110U overlaps at least a portion of the second electrode 110L. In 7400, the nanogap channel 105 is formed by removing the strip of the sacrificial layer 704, where the at least portion of first electrode 110U and the at least portion of second electrode 110L are exposed to an interior of the nanogap channel 105.

[0069] Fig. 8A and Fig. 8B show an exemplary method of bonding a microfluidics chip (e.g., on a borosilicate wafer) including the bypass channel 120 with the third dielectric layer 706. The microfluidics chip may be aligned with the ports 707 and anodically bonded with the third dielectric layer 706. The microfluidics chip may be made by etching patterns into a

borosilicate wafer. Borosilicate may be composed of about 80 % silica, about 13 % boric oxide, about 3% aluminum oxide, and about 4% sodium oxide. Microfluidic channels can have a depth of 2-3 μm . Ports such as inlet 125 and outlet 135 and, if necessary, electrical connections maybe ultrasonically drilled into the borosilicate wafer. Anodical bonding supports a high-pressure (<300 psi) driven fluidic system. A high voltage (>1000 V) and bonding time (>30 minutes) may be utilized. The borosilicate wafer not only can carry a microfluidic network, but also can function as a handling wafer for subsequent bonding with the electric circuit 150. Fig. 10A and Fig. 10B show a top view image of a microfluidic network and its overlay with the nanogap device.

[0070] Figs. 9A-9D show an exemplary process for connecting the electrode pairs 110 to the electric circuit 150.

[0071] As shown in Fig. 9A, a device as fabricated by the process in Figs. 7A-7K is obtained, either before or after the sacrificial layer 704 is removed.

[0072] As shown in Fig. 9B, the substrate 701 is removed by a suitable method such as silicon etching, to expose the layer 702 of insulator.

[0073] As shown in Fig. 9C, vias 901 and microbumps 902 are fabricated in and on the layer 702 of insulator to electrically connect the electrode pairs 110.

[0074] As shown in Fig. 9D, the electric circuit 150 is bonding to the electrode pairs through the vias 901 and the microbumps 902, and an underfill 903 may be disposed to fill void among the microbumps 902.

[0075] Examples

[0076] Disclosed herein is a method comprising: patterning a second electrode of each of a plurality of electrode pairs onto a substrate; patterning a strip of a sacrificial layer directly across the second electrode; patterning a first electrode of each of the plurality of electrode pairs directly on the strip of the sacrificial layer; and forming a nanogap channel by removing the strip of the sacrificial layer; wherein the strip of the sacrificial layer is sandwiched between and in direct contact with the first electrode and the second electrode before the strip is removed, and wherein at least a portion of the first electrode directly faces at least a portion of the second electrode.

[0077] Disclosed herein is a method comprising: forming a first electrode and a second electrode of each of a plurality of electrode pairs; wherein the first electrode and the second electrode are separated by a nanogap channel; wherein at least a portion of first electrode directly faces at least a portion of the second electrode; and wherein the at least portion of first electrode and the at least portion of second electrode are exposed to an interior of the nanogap channel.

[0078] Disclosed herein is a method comprising: forming a nanogap channel fluidically and sequentially extending across each of a plurality of electrode pairs; wherein at least one electrode pair in the plurality of electrode pairs is configured to detect a redox cycling of a chemical species flowing in the nanogap channel.

[0079] According to an embodiment, the first electrode and the second electrode are not electrically shorted.

[0080] According to an embodiment, the at least portion of first electrode and the at least portion of second electrode are exposed to an interior of the nanogap channel.

[0081] According to an embodiment, the nanogap channel has a height of 100 nm or less, 75 nm or less, 50 nm or less, 25 nm or less, 10 nm or less, 5 nm or less, or 1 nm or less.

[0082] According to an embodiment, the first electrode and the second electrode comprise one or more materials selected from a group consisting of gold, platinum, palladium, silver, boron doped diamond, and, alloys, mixtures and composites thereof.

[0083] According to an embodiment, the first electrode and the second electrode do not dissolve in water.

[0084] According to an embodiment, the nanogap channel fluidically and sequentially extends across each of the plurality of electrode pairs.

[0085] According to an embodiment, the nanogap channel has a width of 500 nm or less, 250 nm or less, 100 nm or less, 50 nm or less, or 10 nm or less.

[0086] According to an embodiment, the nanogap channel has a cross-sectional shape of rectangular, square, circular, elliptical shape.

[0087] According to an embodiment, the first and second electrodes are configured to be electrically biased.

[0088] According to an embodiment, the plurality of electrode pairs consist of two electrode pairs.

[0089] According to an embodiment, the plurality of electrode pairs consist of three electrode pairs.

[0090] According to an embodiment, the plurality of electrode pairs are configured to identify products of incorporation reactions of nucleotides into a complementary strand to a DNA molecule being sequenced.

[0091] According to an embodiment, the plurality of electrode pairs are configured to identify products of digestion of a DNA molecule being sequenced.

[0092] According to an embodiment, the methods may further comprises patterning a bioreactor.

[0093] According to an embodiment, the bioreactor is arranged such that all reaction products from the bioreactor flow into the nanogap channel and by the plurality of electrode pairs.

[0094] According to an embodiment, the bioreactor is inside the nanogap channel.

[0095] According to an embodiment, the bioreactor is an area with a functionalized surface.

[0096] According to an embodiment, a molecule is immobilized to the bioreactor, wherein the molecule is selected from a group consisting of a polymerase, a nuclease, a DNA or RNA strand, and a peptide.

[0097] According to an embodiment, the methods may further comprises performing planarization.

[0098] According to an embodiment, the methods may further comprises bonding a microfluidics chip comprising a bypass channel.

[0099] According to an embodiment, the bypass channel is fluidically parallel with the nanogap channel.

[00100] According to an embodiment, the strip of the sacrificial layer is removed by etching.

[00101] According to an embodiment, the methods may further comprises bonding an electric circuit to the plurality of electrode pairs through vias and microbumps.

[00102] According to an embodiment, a portion of the nanogap channel sandwiched between the at least portion of the first electrode and the at least portion of the second electrode has a length to width ratio of greater than 50:1, greater than 100:1, greater than 500:1, greater than 1000:1, or greater than 2000:1.

[00103] The descriptions above are intended to be illustrative, not limiting. Thus, it will be apparent to one skilled in the art that modifications may be made to the embodiments as described without departing from the scope of the claims set out below.

WHAT IS CLAIMED IS:

1. A method comprising:
patterning a second electrode of each of a plurality of electrode pairs onto a substrate;
patterning a strip of a sacrificial layer directly across the second electrode;
patterning a first electrode of each of the plurality of electrode pairs directly on the strip
of the sacrificial layer; and
forming a nanogap channel by removing the strip of the sacrificial layer;
wherein the strip of the sacrificial layer is sandwiched between and in direct contact
with the first electrode and the second electrode before the strip is removed, and
wherein at least a portion of the first electrode directly faces at least a portion of the
second electrode.
2. The method of claim 1, wherein the first electrode and the second electrode
are not electrically shorted.
3. The method of claim 1, wherein the at least portion of first electrode and the at
least portion of second electrode are exposed to an interior of the nanogap channel.
4. The method of claim 1, wherein the nanogap channel has a height of 100 nm or
less, 75 nm or less, 50 nm or less, 25 nm or less, 10 nm or less, 5 nm or less, or 1 nm or less.
5. The method of claim 1, wherein the nanogap channel fluidically and sequentially
extends across each of the plurality of electrode pairs.
6. The method of claim 1, wherein the plurality of electrode pairs consist of two
electrode pairs.
7. The method of claim 1, wherein the plurality of electrode pairs consist of three
electrode pairs.
8. The method of claim 1, further comprising patterning a bioreactor.

9. The method of claim 8, wherein the bioreactor is arranged such that all reaction products from the bioreactor flow into the nanogap channel and by the plurality of electrode pairs.

10. The method of claim 8, wherein the bioreactor is inside the nanogap channel.

11. The method of claim 8, wherein the bioreactor is an area with a functionalized surface.

12. The method of claim 8, wherein a molecule is immobilized to the bioreactor, wherein the molecule is selected from a group consisting of a polymerase, a nuclease, a DNA or RNA strand, and a peptide.

13. The method of claim 1, further comprising bonding a microfluidics chip comprising a bypass channel fluidically parallel with the nanogap channel.

14. The method of claim 1, further comprising bonding an electric circuit to the plurality of electrode pairs through vias and microbumps.

15. The method of claim 1, wherein a portion of the nanogap channel sandwiched between the at least portion of the first electrode and the at least portion of the second electrode has a length to width ratio of greater than 50:1, greater than 100:1, greater than 500:1, greater than 1000:1, or greater than 2000:1.

16. A method comprising:
forming a first electrode and a second electrode of each of a plurality of electrode pairs;
wherein the first electrode and the second electrode are separated by a nanogap channel;
wherein at least a portion of first electrode directly faces at least a portion of the second electrode; and

wherein the at least portion of first electrode and the at least portion of second electrode are exposed to an interior of the nanogap channel.

17. The method of claim 16, wherein the at least portion of first electrode and the at least portion of second electrode are exposed to an interior of the nanogap channel.

18. The method of claim 16, wherein the nanogap channel has a height of 100 nm or less, 75 nm or less, 50 nm or less, 25 nm or less, 10 nm or less, 5 nm or less, or 1 nm or less.

19. The method of claim 16, wherein the nanogap channel fluidically and sequentially extends across each of the plurality of electrode pairs.

20. The method of claim 16, wherein the plurality of electrode pairs consist of two electrode pairs.

21. The method of claim 16, wherein the plurality of electrode pairs consist of three electrode pairs.

22. The method of claim 16, further comprising bonding an electric circuit to the plurality of electrode pairs through vias and microbumps.

23. A method comprising:

forming a nanogap channel fluidically and sequentially extending across each of a plurality of electrode pairs;

wherein at least one electrode pair in the plurality of electrode pairs is configured to detect a redox cycling of a chemical species flowing in the nanogap channel.

24. The method of claim 23, wherein the plurality of electrode pairs are configured to identify products of incorporation reactions of nucleotides into a complementary strand to a DNA molecule being sequenced.

25. The method of claim 23, wherein the plurality of electrode pairs are configured to identify products of digestion of a DNA molecule being sequenced.

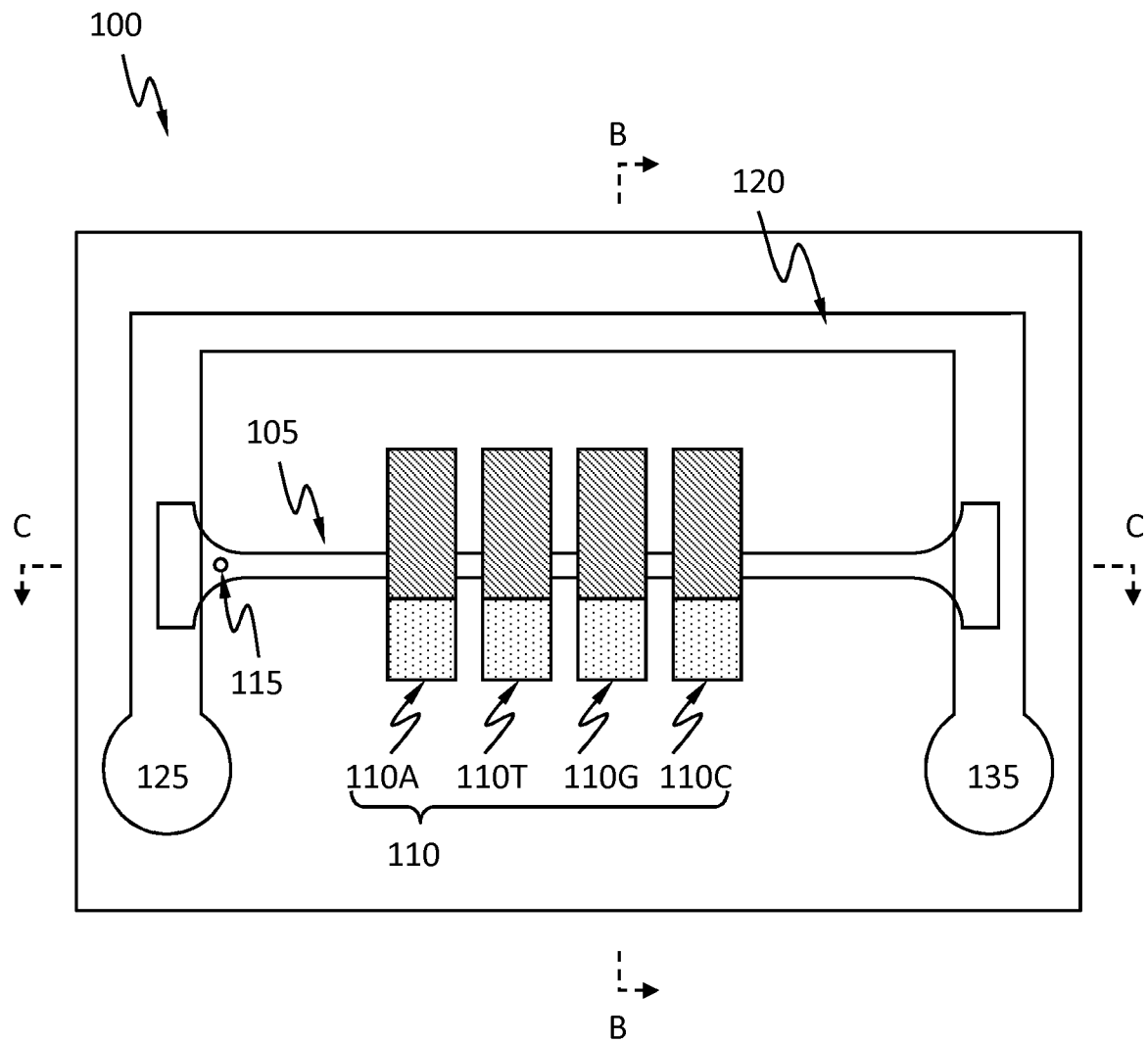


Fig. 1A

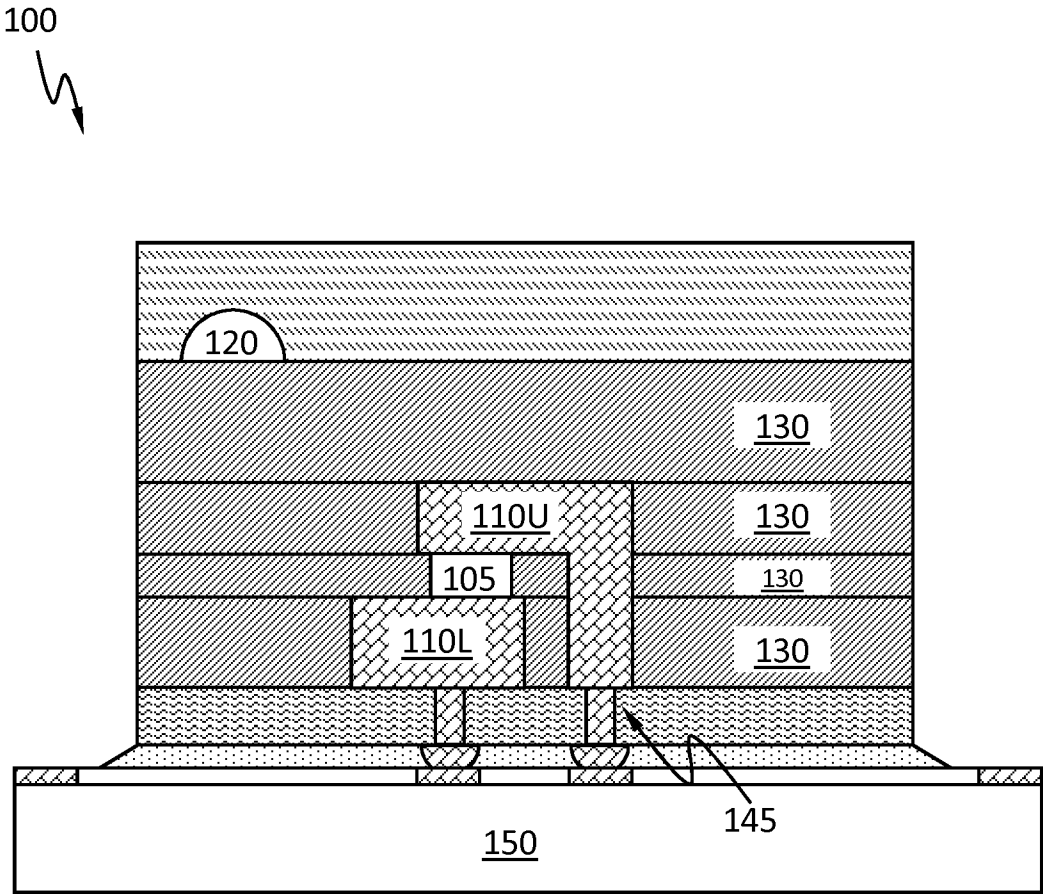


Fig. 1B

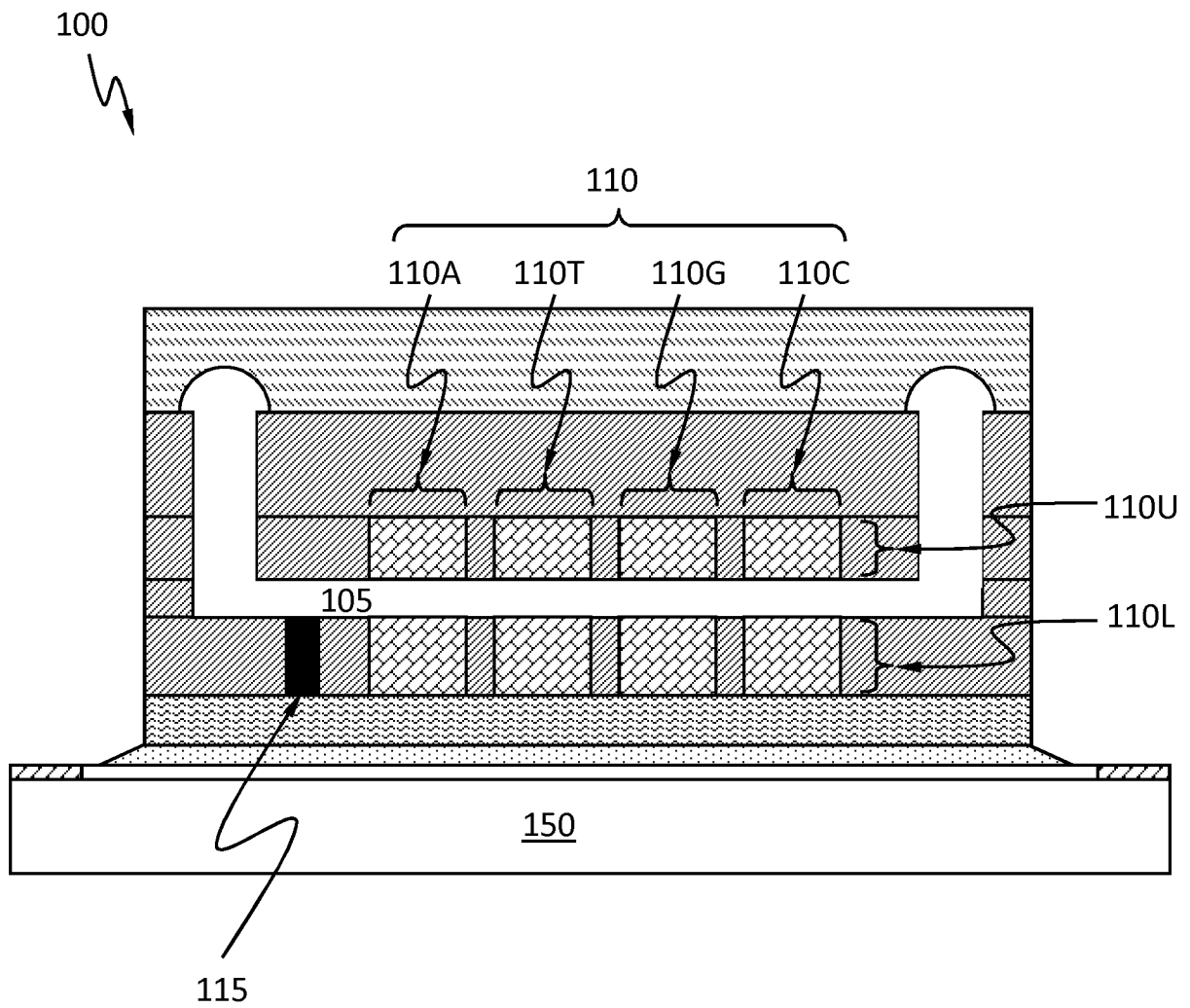


Fig. 1C

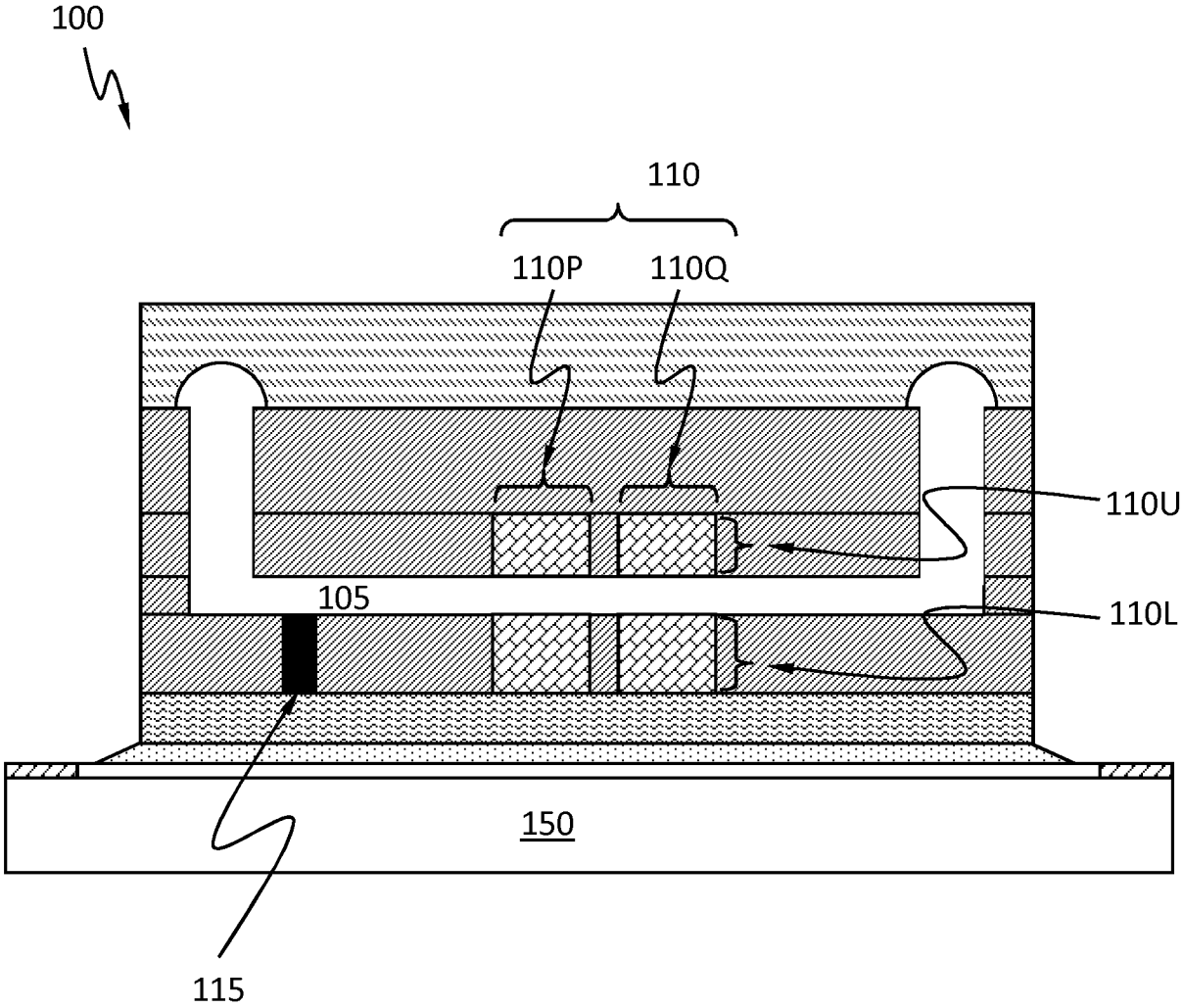


Fig. 1D

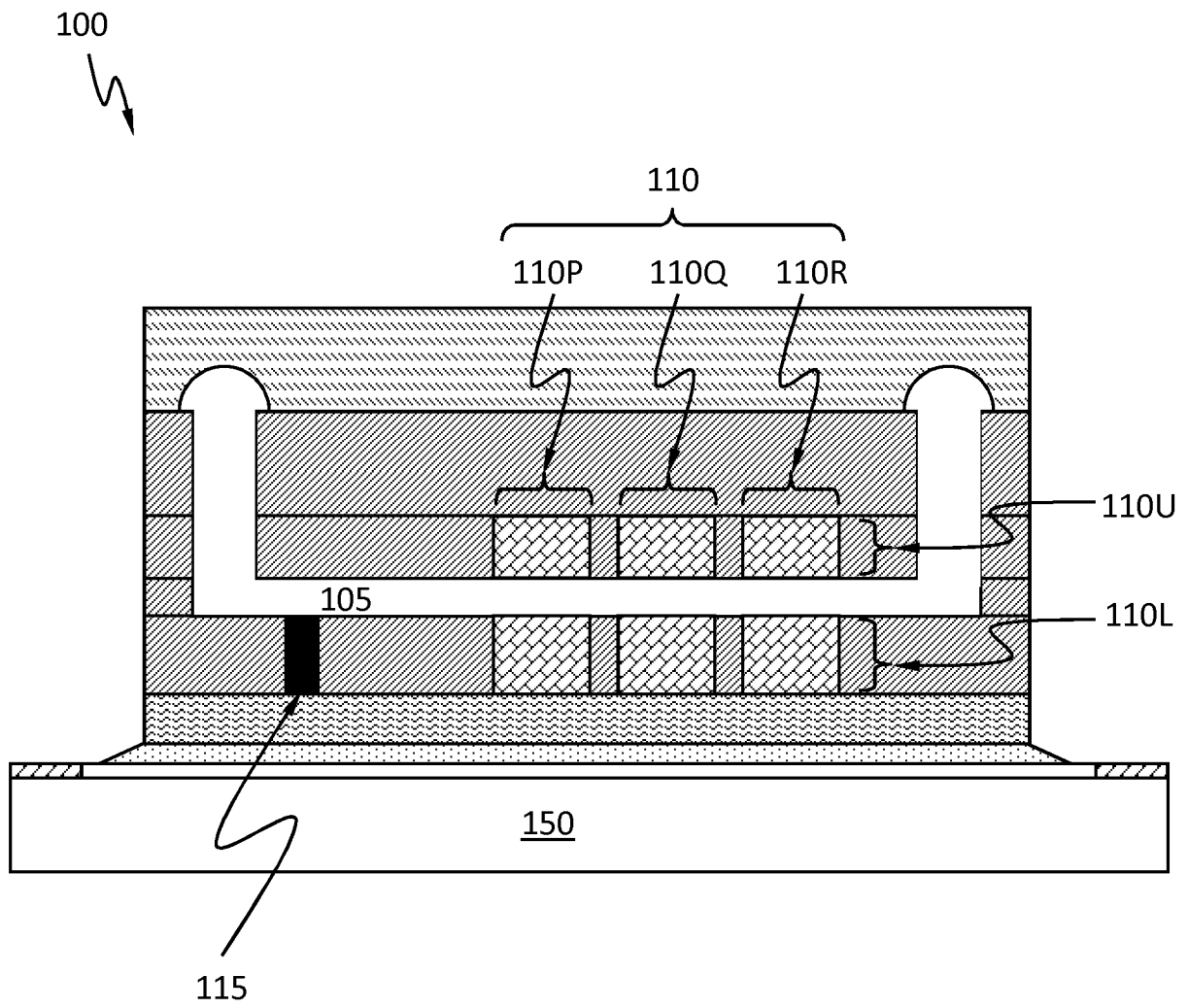


Fig. 1E

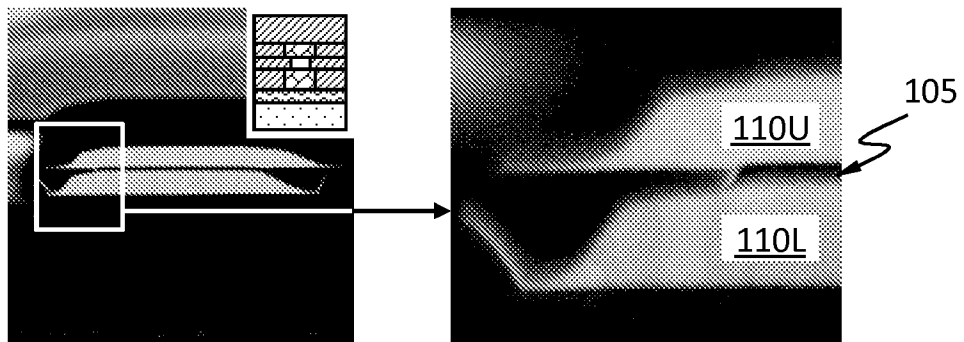


Fig. 2

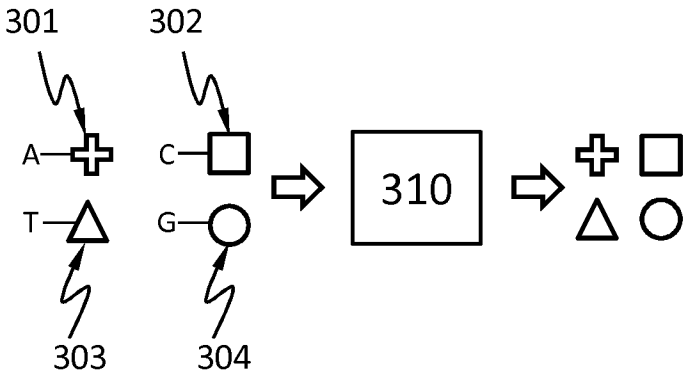


Fig. 3

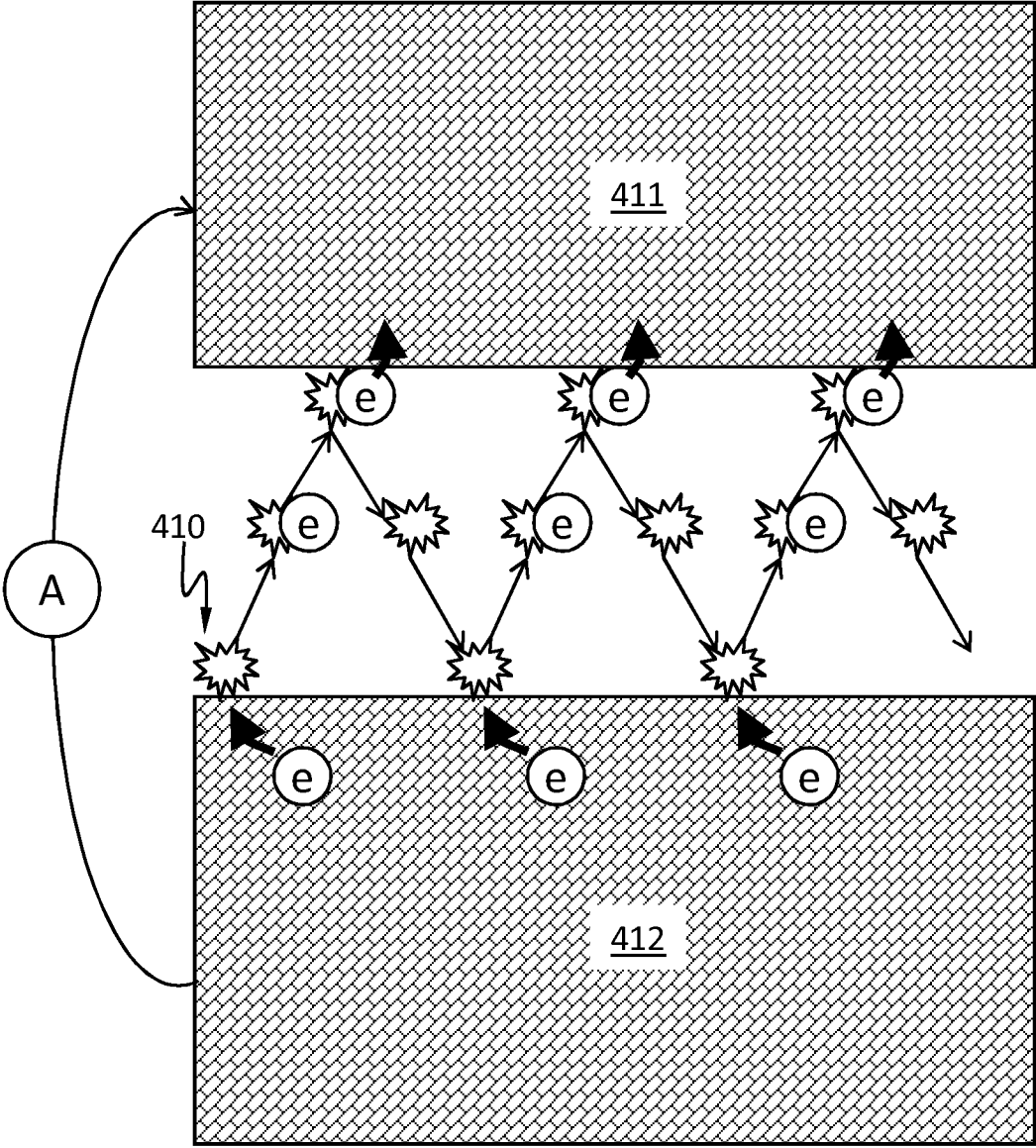


Fig. 4

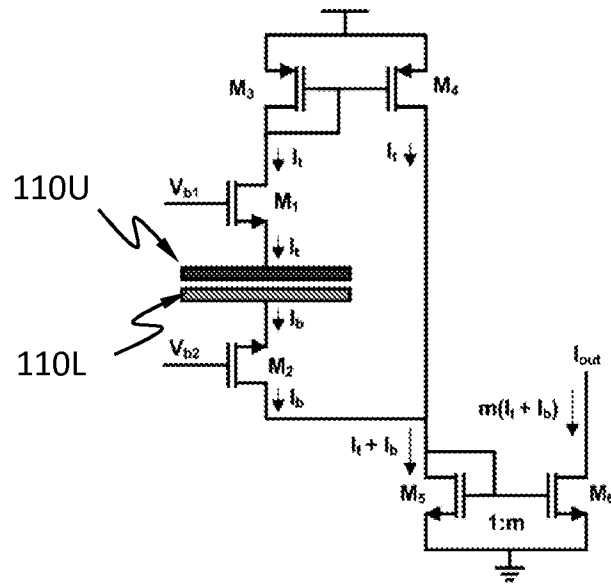


Fig. 5A

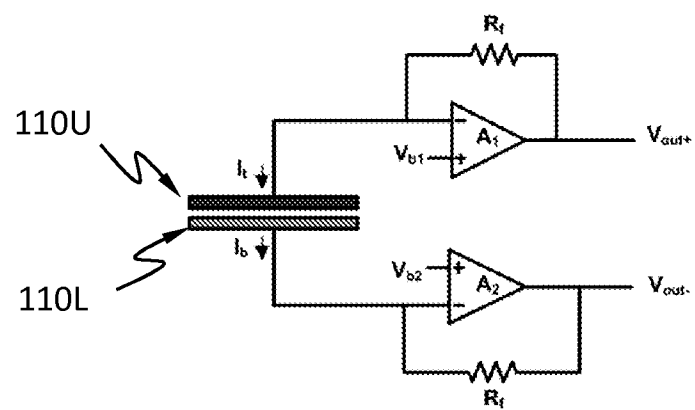


Fig. 5B

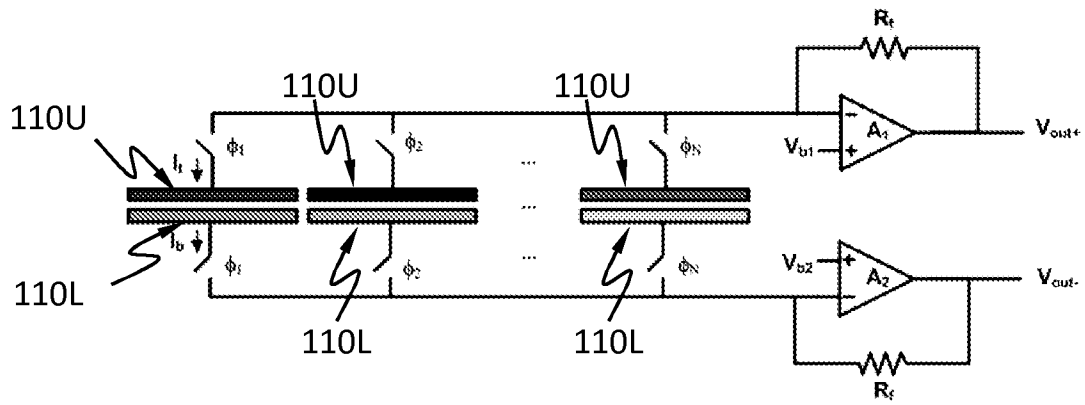


Fig. 5C

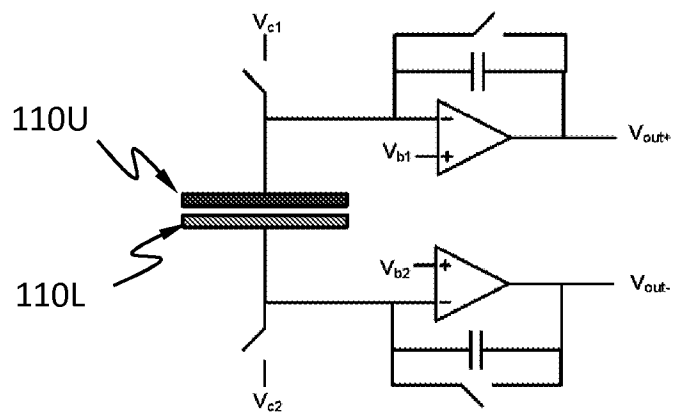


Fig. 5D

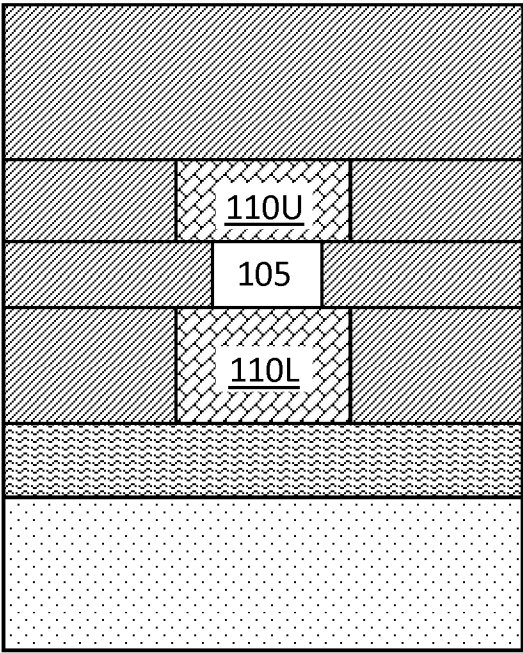


Fig. 6A

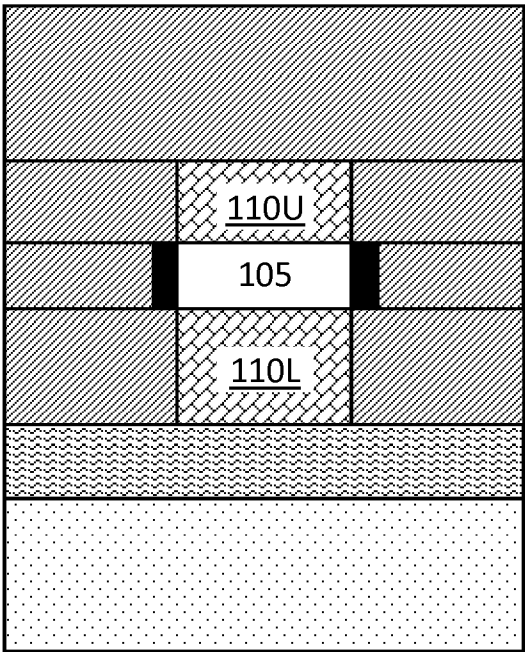


Fig. 6B

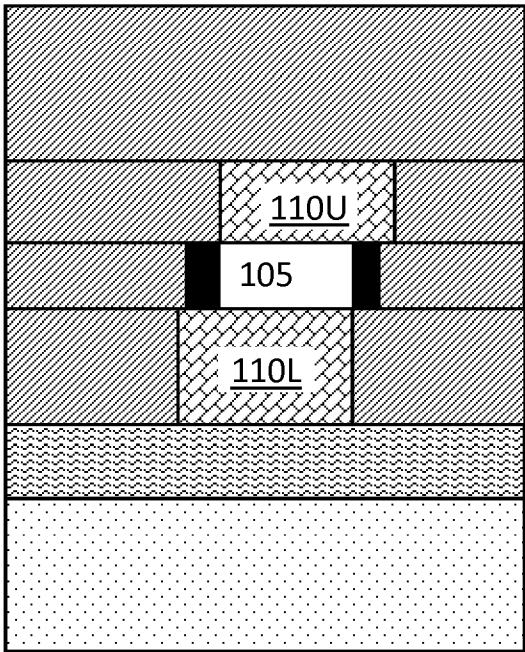


Fig. 6C

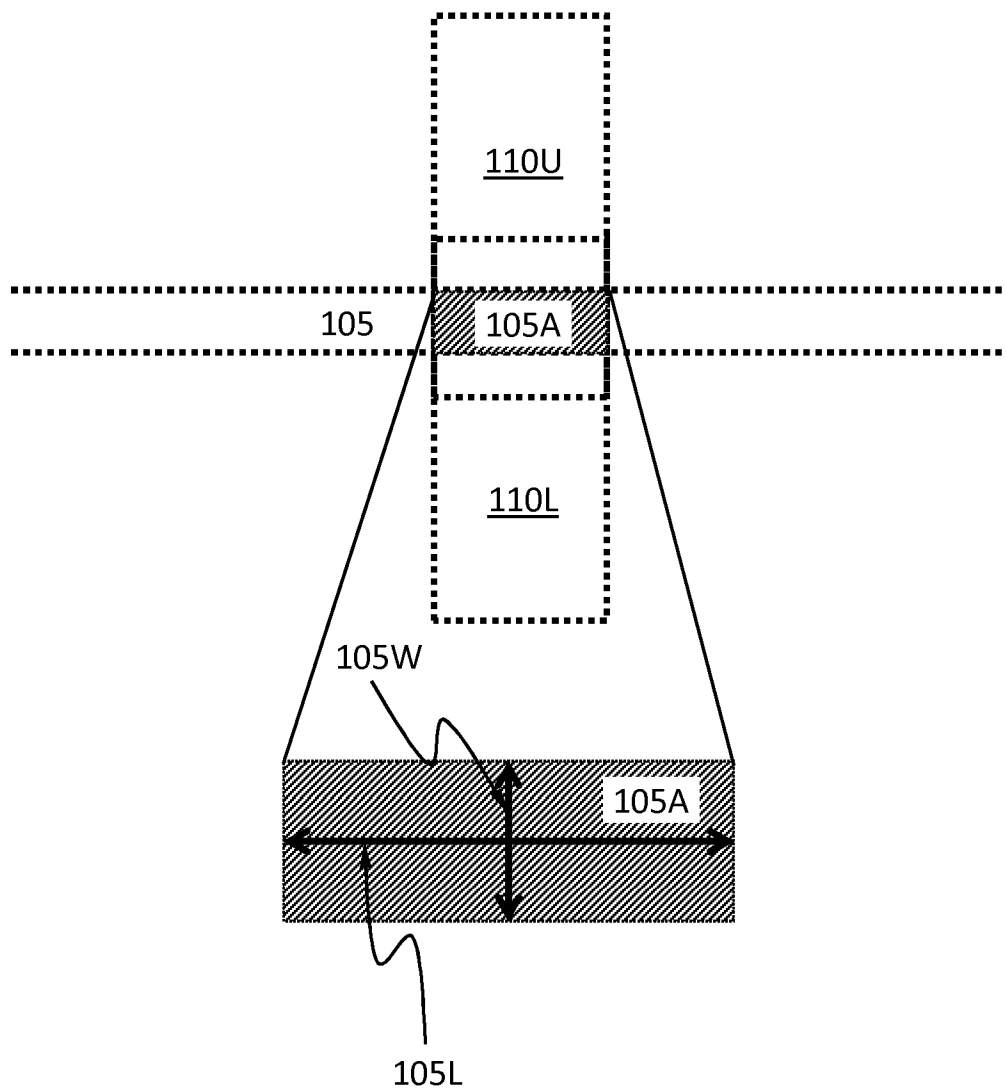
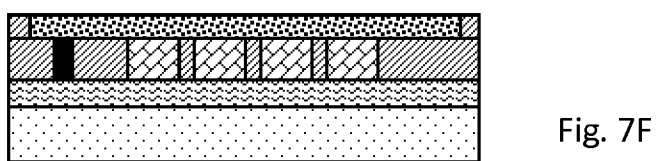
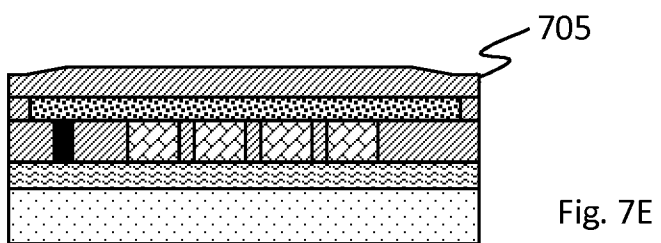
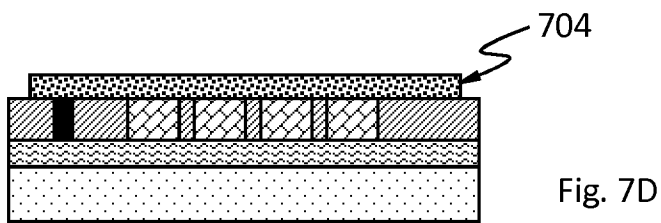
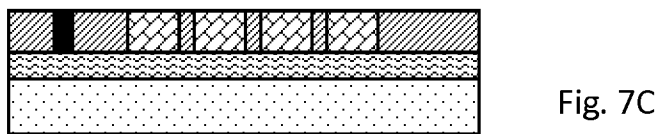
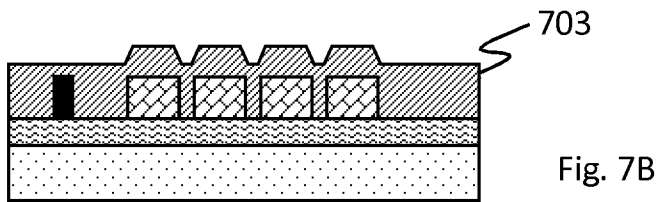
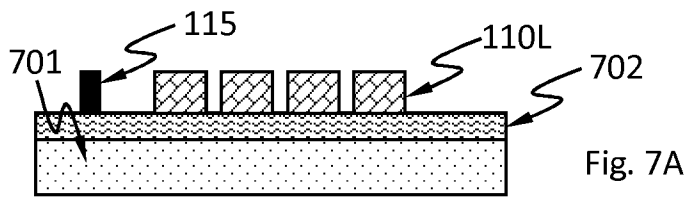


Fig. 6D



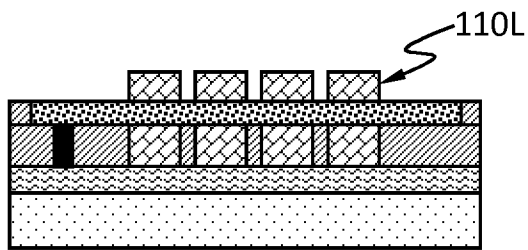


Fig. 7G

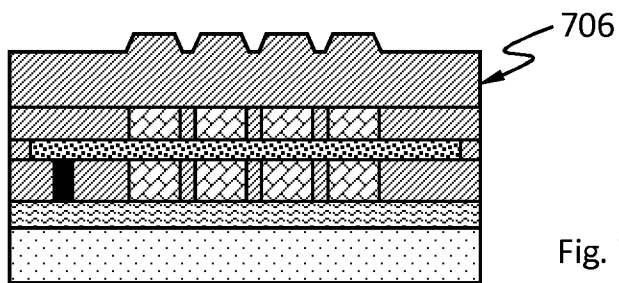


Fig. 7H

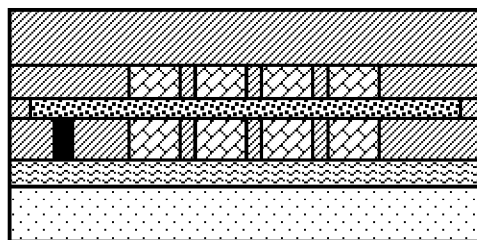


Fig. 7I

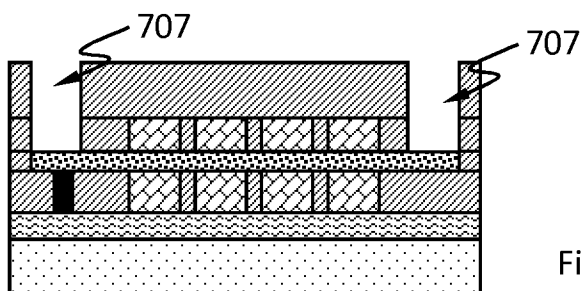


Fig. 7J

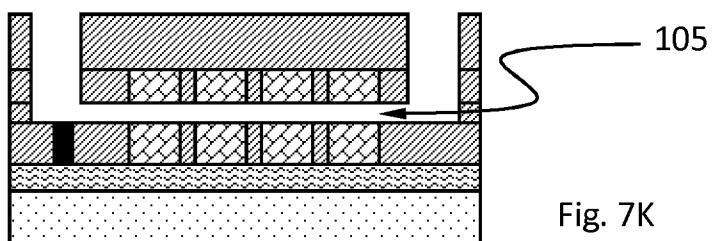


Fig. 7K

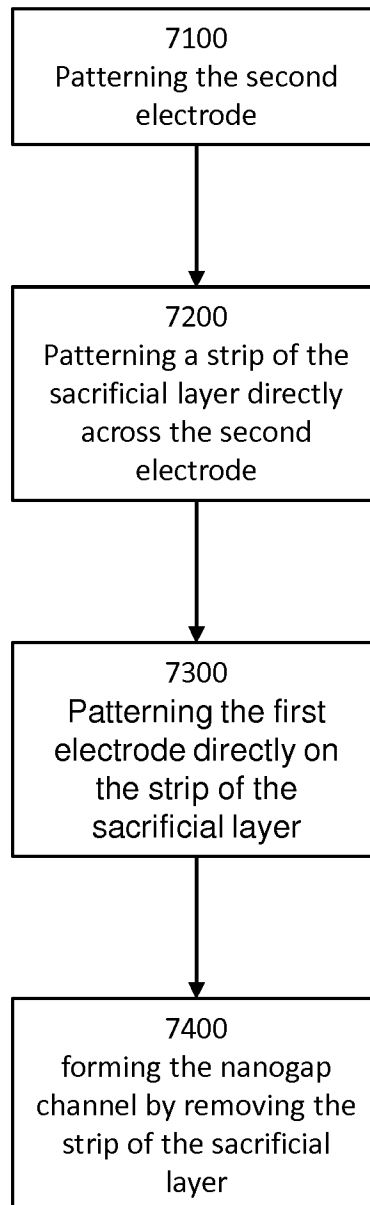


Fig. 7L

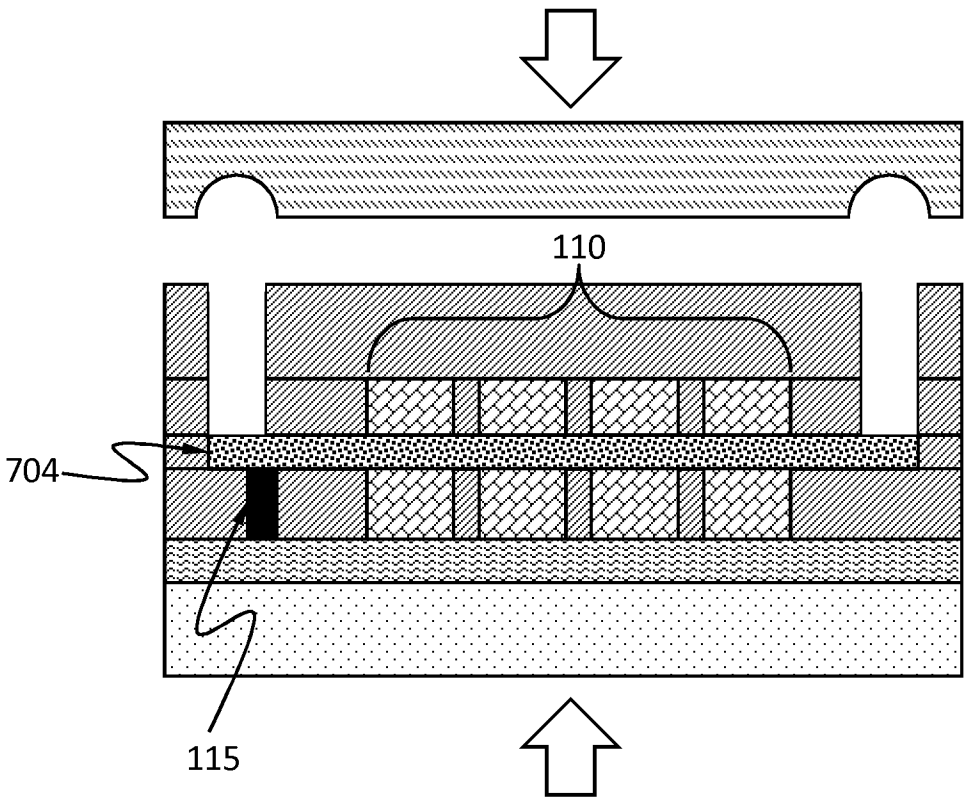


Fig. 8A

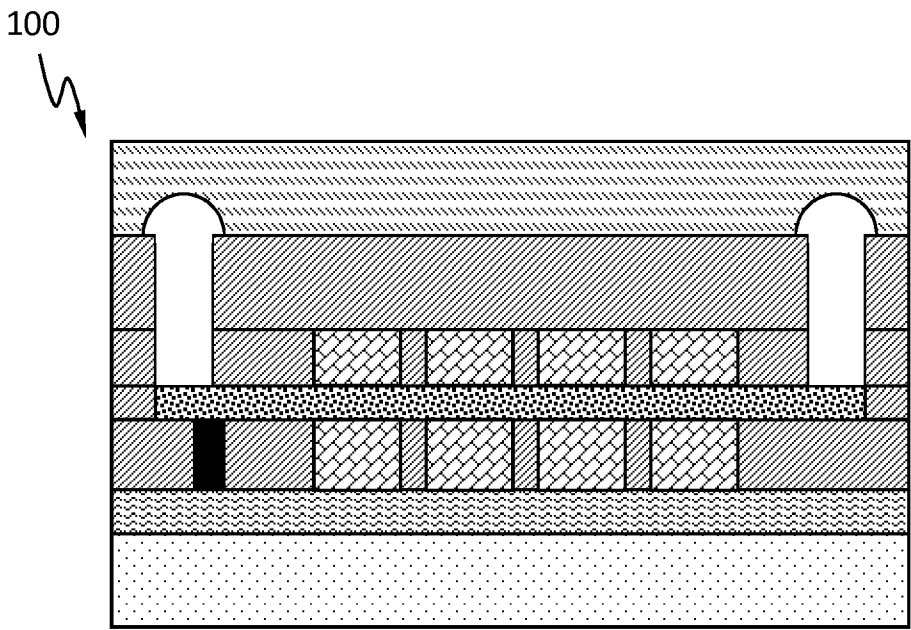


Fig. 8B

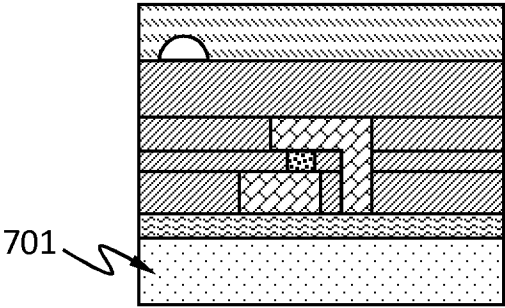


Fig. 9A

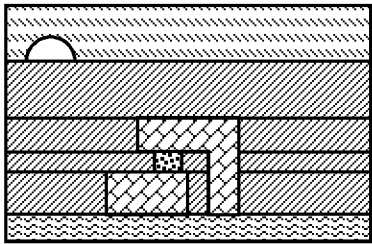


Fig. 9B

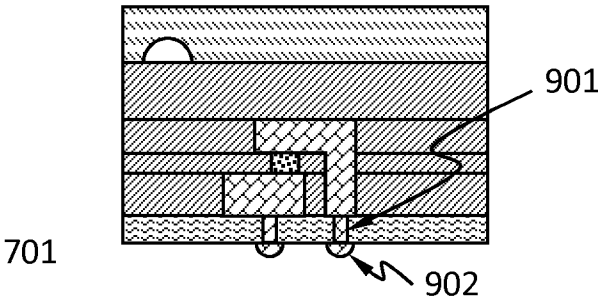


Fig. 9C

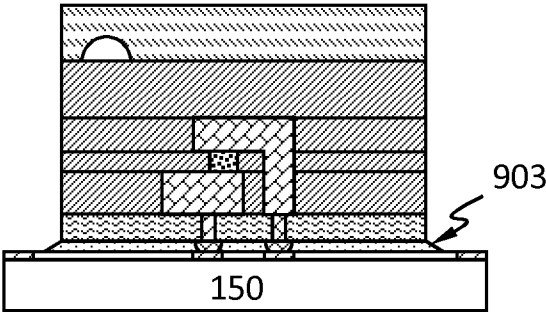


Fig. 9D

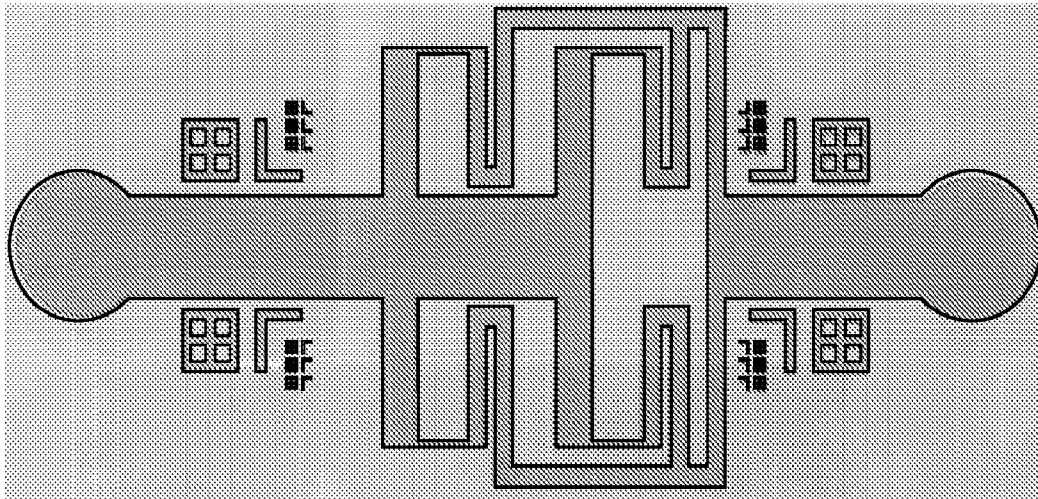


FIG. 10A

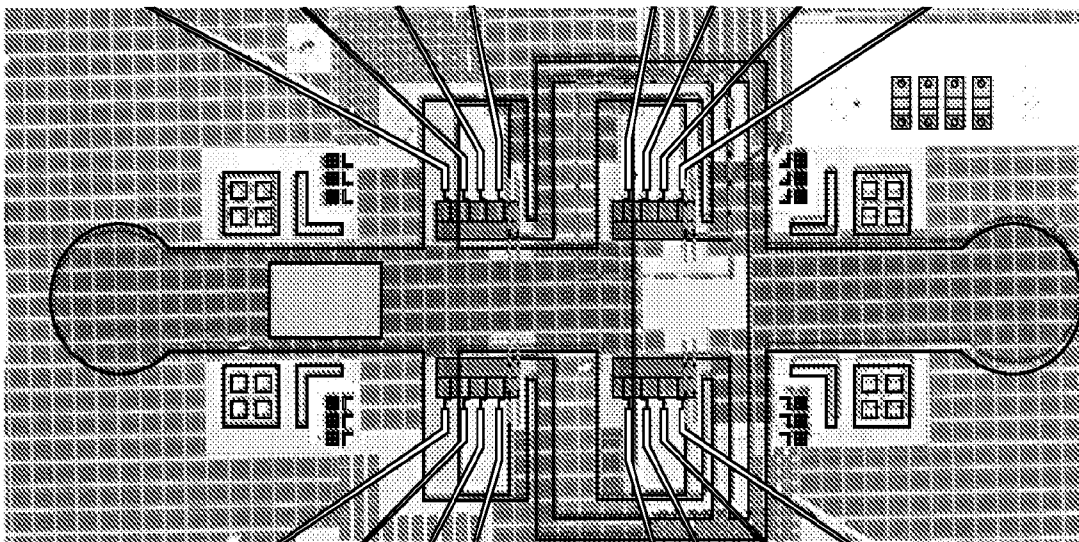


FIG. 10B

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2015/061671**A. CLASSIFICATION OF SUBJECT MATTER****G01N 33/487(2006.01)i, G01N 27/26(2006.01)i, G01N 33/68(2006.01)i, G01N 35/08(2006.01)i, C12M 1/34(2006.01)i, C12Q 1/68(2006.01)i, B01L 3/00(2006.01)i, B82Y 5/00(2011.01)n, B82Y 35/00(2011.01)n, B82Y 40/00(2011.01)n**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G01N 33/487; C12Q 168; C12Q 1/00; G01N 27/30; NotA vai/lable; G01N 33/483; G01N 27/327; C12Q 100; G01N 27/26; G01N 33/68; G01N 35/08; C12M 1/34; C12Q 1/68; B01L 3/00; B82Y 5/00; B82Y 35/00; B82Y 40/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: electrode, sensor, nanogap, sacrificial layer, bioreactor, functionalized, single molecule

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 8500979 B2 (ELIBOL et al.) 06 August 2013 See column 1, lines 21-24; column 2, line 39-column 9, line 65; column 14, line 47-column 15, line 7; claims 1-24; and figures 1-9.	1-25
A	US 6824974 B2 (PISHARODY et al.) 30 November 2004 See column 10, line 44-column 32, line 47; claims 1-76; and figures 1-13.	1-25
A	US 2014-0190824 A1 (CREDO et al.) 10 July 2014 See paragraphs [0015]-[0077]; claims 1-34; and figures 1-9.	1-25
A	WO 03-020946 A2 (THE PENN STATE RESEARCH FOUNDATION) 13 March 2003 See pages 4-27; claims 1-81; and figures 1a-13.	1-25
A	WO 2006-088425 A1 (MIDORION AB et al.) 24 August 2006 See pages 10-51; claims 1-51; and figures 1a-18b.	1-25



Further documents are listed in the continuation of Box C.



See patent family annex.

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2015/061671

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