



## (51) International Patent Classification:

A61K 38/04 (2006.01) A61K 38/17 (2006.01)  
A61K 38/16 (2006.01) A61K 47/02 (2006.01)

## (21) International Application Number:

PCT/US2017/042382

## (22) International Filing Date:

17 July 2017 (17.07.2017)

## (25) Filing Language:

English

## (26) Publication Language:

English

## (30) Priority Data:

62/363,565 18 July 2016 (18.07.2016) US  
62/363,592 18 July 2016 (18.07.2016) US  
62/436,727 20 December 2016 (20.12.2016) US

(71) Applicant: **REGENTREE, LLC** [US/US]; 116 Village Boulevard, Suite 200, Princeton, NJ 08540 (US).

(72) Inventors: **YANG, Won Suk**; 116 Village Blvd, Suite 200, Princeton, NJ 08540 (US). **KANG, Sin Wook**; 106-501 (kumkang Apt), 177, Seohyeon-ro, Bundang-gu, Seongnam-si, Gyeonggi-do, 13568 (KR). **KIM, Kyoungsun**; 107-3701, 145, Centum Jungang-ro, Haeundae-gu, Busan, 48050 (KR).

(74) Agent: **LEE, Sandra S.**; Baker Botts LLP, 30 Rockefeller Plaza, New York, NY 10112-4498 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN,

HR, HU, ID, IL, IN, IR, IS, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

## Published:

- with international search report (Art. 21(3))
- with sequence listing part of description (Rule 5.2(a))

(54) Title: METHODS OF TREATING DRY EYE SYNDROME

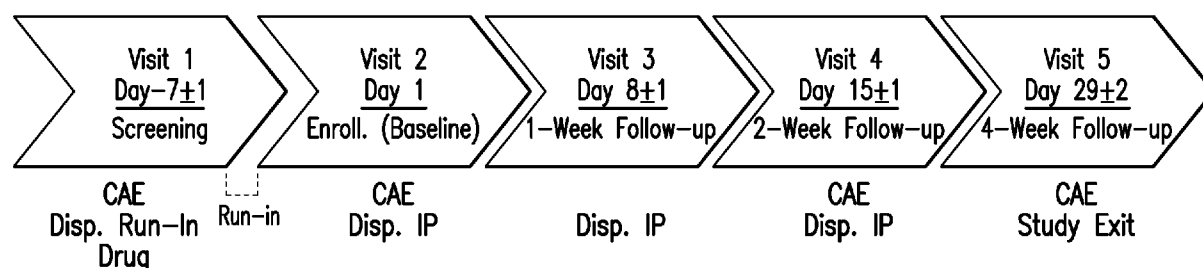


FIG. 1

(57) Abstract: Methods of treating dry eye syndrome (DES) with an effective amount of thymosin beta 4 (Tβ4), Tβ4 fragments, Tβ4 isoforms, Tβ4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO: 1] or LKKTNT [SEQ ID NO:2], or variants thereof are provided. The presently disclosed subject matter provides methods of increasing tear volume, increasing tear film stability, decreasing ocular surface damage, and decreasing ocular discomfort by delivering compositions of thymosin beta 4 or fragments thereof to subjects in need.

## **METHODS OF TREATING DRY EYE SYNDROME**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims priority under 35 U.S.C. §119 to U.S. Application Serial  
5 No. 62/363,565, filed July 18, 2016, U.S. Application Serial No. 62/363,592, filed July  
18, 2016, and U.S. Application Serial No. 62/436,727, filed December 20, 2016, all of  
which are hereby incorporated by reference herein in their entireties.

### **FIELD OF THE INVENTION**

10 The presently disclosed subject matter relates to methods for treating or  
preventing dry eye syndrome and the symptoms associated with the same. Specifically,  
the presently disclosed subject matter relates to methods of increasing tear amounts,  
increasing tear film stability, decreasing ocular discomfort, and reducing ocular surface  
damage.

15

### **SEQUENCE LISTING**

The specification further incorporates by reference the Sequence Listing  
submitted herewith via EFS on July 17, 2017. Pursuant to 37 C.F.R. § 1.52(e)(5), the  
Sequence Listing text file, identified as 085089\_0102seqlisting, is 554 bytes and was  
20 created on July 17, 2017. The Sequence Listing, electronically filed herewith, does not  
extend beyond the scope of the specification and thus does not contain new matter.

### **BACKGROUND OF THE INVENTION**

Dry eye syndrome (DES) is a common eye disorder affecting an estimated 25 to  
25 30 million people in the United States, with prevalence estimates varying widely from  
7.8% to almost 58%.

Incidence of DES rises sharply with age, with women being affected more than  
men, purportedly due to the pathophysiological role of androgens and the complex nexus  
of the endocrine-immunological systems. The Dry Eye WorkShop (DEWS), established  
30 by the Tear Film & Ocular Surface Society (TFOS), has redefined dry eye as “a  
multifactorial disease of the tears and ocular surface that results in symptoms of  
discomfort, visual disturbance, and tear film instability with potential damage to the  
ocular surface, accompanied by increased osmolality of the tear film and inflammation of

the ocular surface.” *See* “The definition and classification of dry eye disease”, Report of the Definition and Classification Subcommittee of the International Dry Eye WorkShop (2007).

Approaches to treatment have varied in the past. However, disease modification  
5 has generally targeted the inflammatory aspects of the disease. In fact, currently  
approved therapies for dry eye disease are the use of cyclosporine ophthalmic emulsion  
(Restasis®) or lifitegrast ophthalmic solution (Xiidra®), which target the inflammation  
response of the disease. A focus on treatments that could reduce the inflammatory  
responses while accelerating corneal epithelial healing would represent a major step  
10 forward from current treatment options. The presently disclosed subject matter  
addresses this need with thymosin beta 4 (Tβ4), a naturally-occurring polypeptide, which  
has been found to elicit a spectrum of therapeutic responses, including but not limited to,  
rapid corneal re-epithelialization and reduction in corneal inflammation.

Thymosin beta 4 (Tβ4) is a low molecular weight, 43-amino acid protein that is  
15 critical to cell survival due to its unique, broad-ranging wound healing and anti-  
inflammatory activities that are active at different stages of tissue repair. *See* Sosne et  
al., FASEB J. 2010; 24: 2144-51. Tβ4 is present in high concentrations (up to about 0.4  
to 2.1 µg/ml in human serum) in all tissue types except red blood cells, with highest  
levels occurring in platelets, white blood cells, plasma and wound fluid. *See* Hannappel  
20 & van Kampen, 1987 J Chromatography, 397:279-85; Huff et al., 2001 FASEB J  
16:691-6; and Sosne et al., 2002 Cur. Eye Res. 24: 268-273.

In a Phase I clinical trial, an injectable solution of Tβ4 for promoting cell survival  
during cardiac ischemia was administered for 14 consecutive days at four escalating dose  
levels. The administration was deemed safe and well-tolerated. *See* Ruff et al., 2010  
25 Ann N.Y. Acad. Sci. 1194:223-229. In another Phase I clinical trial, a total of 20 healthy  
patients (i.e., without DES) were given a single intravenous dose of Tβ4 for assessing  
safety of the Tβ4 composition. *See* Ruff et al., 2010 Ann N.Y. Acad. Sci. 1194:223-229.

In a Phase II clinical trial, the safety and efficacy of a Tβ4 ophthalmic  
formulation was evaluated in patients with DES using the Controlled Adverse  
30 Environment (CAE®, Ora, Inc. ) model. *See* Sosne et al., 2015 Clin Ophthal 9:877-884.  
A total of 72 subjects were given either 0.1% Tβ4 or placebo treatment for a total of 28  
days. The primary efficacy end points were measured on day 29. Secondary end points  
were measured over the course of the study. Despite a lack of adverse events reported,

the primary endpoints did not show a significant difference between treatment and control groups on day 29. Although some differences between treatment groups were observed for secondary endpoints, these efficacy endpoints were assessed with one treatment regimen. Thus, optimization of a treatment regimen and high degree of individual patient variability are desired to confirm and extend therapeutic effects of the disclosed effects of the T $\beta$ 4 ophthalmic formulation.

Accordingly, there is an ongoing need for new methods for the treatment of DES. Described herein are methods for such effective treatments of DES.

10

### SUMMARY OF THE INVENTION

The present disclosure provides ophthalmic compositions and methods for treating dry eye syndrome. The method can include delivering a composition containing thymosin beta 4 (T $\beta$ 4), T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof to an affected eye of a subject.

In certain aspects, the present disclosure provides a method of increasing tear amounts in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof to an affected eye of the subject. In particular embodiments, the subject can have DES characterized by a tear volume test score of less than about 10 mm in the affected eye.

In certain aspects, the present disclosure provides a method of increasing tear film stability in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof to an affected eye of the subject. In particular embodiments, the subject can have DES characterized by a tear film break up time of less than about 10 seconds in the affected eye.

In certain aspects, the present disclosure provides a method of decreasing ocular surface damage in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID

NO:2], or variants thereof to an affected eye of the subject. In particular embodiments, the subject can have DES characterized by a fluorescein staining score of about 4 or higher in the affected eye. Further, in particular embodiments, the subject can have DES characterized by a tear film break up time of less than about 10 seconds in the affected eye.

In certain aspects, the present disclosure provides a method of decreasing ocular discomfort in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof to an affected eye of the subject. In particular, the subject has DES characterized by an ocular discomfort score of about 2 or higher in the affected eye.

In certain aspects, the present disclosure provides a method of treating DES in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof to an affected eye of the subject. The DES can be characterized by decreased tear amount, decreased tear film stability, increased ocular surface damage, increased ocular discomfort, or combinations thereof.

In certain embodiments, the composition comprises from about 0.05% to about 0.1% by weight T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof. As embodied herein, the composition can be formulated as a solution. For example, and not limitation, the solution including T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof can be delivered to the subject in a form of eye drops. In certain embodiments, the composition can be used in combination with artificial tears.

In certain embodiments, the method can further include delivering artificial tears to the affected eye of the subject. For example, and not limitation, the artificial tears can be delivered simultaneously with the composition. In some embodiments, the artificial tears and the composition can be delivered sequentially.

In certain embodiments, the composition can be delivered to the subject at least once per day but no more than four times per day. For example, and not limitation, the composition can be delivered to the subject once, twice, three, or four times per day.

In certain embodiments, the present disclosure provides an ophthalmic  
5 composition comprising an effective amount of T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or variants thereof, wherein the composition is effective in treating DES in a subject in need thereof.

Other features and advantages of the disclosure will be apparent from the  
10 following detailed description, figures, and claims.

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 provides a flow chart depicting a study design to evaluate the efficacy and safety of a 0.05% T $\beta$ 4 ophthalmic composition and a 0.1% T $\beta$ 4 ophthalmic  
15 composition compared to a placebo composition.

Figures 2A and 2B provide (2A) a plot of total cornea fluorescein staining score changes in the 25%, 50%, 75%, and 100% Tear Film Break Up Time (TFBUT) quartile groups and (2B) a plot of total cornea fluorescein staining score changes of the 25% TFBUT quartile group at day 8, day 15, and day 29.

Figures 3A and 3B provides (3A) a plot of inferior region fluorescein staining score changes in the 25%, 50%, 75%, and 100% TFBUT quartile groups and (3B) a plot of inferior fluorescein staining score changes of the 50% TFBUT quartile group at day 8, day 15, and day 29.

Figure 4 provides a plot of ocular discomfort during CAE per ocular discomfort  
25 at baseline.

### DETAILED DESCRIPTION OF THE DISCLOSURE

Provided herein, inter alia, is a method of treating ophthalmic diseases (*e.g.*, DES) in a subject in need thereof, wherein the method is directed to the use of an  
30 ophthalmic composition that contains human T $\beta$ 4 or fragments thereof. These and other aspects of the presently disclosed subject matter are discussed more in the detailed description and examples.

### *Definitions*

Unless defined otherwise, all technical and scientific terms used herein have the meaning commonly understood by a person skilled in the art to which this disclosure belongs. The following references provide one of skill with a general definition of many of the terms used in this disclosure: The Cambridge Dictionary of Science and Technology (Walker ed., 1988); The Glossary of Genetics, 5th Ed., R. Rieger et al. (eds.), Springer Verlag (1991); and Hale & Marham, The Harper Collins Dictionary of Biology (1991). Certain terms are defined below to provide additional guidance in describing the compositions and methods of the disclosed subject matter and how to use them.

As used herein, the following terms have the meanings ascribed to them below, unless specified otherwise. Abbreviations used herein have their conventional meaning within the chemical and biological arts.

Unless specifically stated or obvious from context, as used herein, the term “or” is understood to be inclusive. Unless specifically stated or obvious from context, as used herein, the terms “a”, “an”, and “the” are understood to be singular or plural.

Unless specifically stated or obvious from context, as used herein, the term “about” is understood as within a range of normal tolerance in the art, for example within 2 standard deviations of the mean. About can be understood as within 10%, 9%, 8%, 7%, 6%, 5%, 4%, 3%, 2%, 1%, 0.5%, 0.1%, 0.05%, or 0.01% of the stated value. Unless otherwise clear from context, all numerical values provided herein are modified by the term about. About with respect to concentration range of the compositions of the current disclosure also refers to any variation of a stated amount or range which would be an effective amount or range.

As used herein, “additive” can include any additional components that can be added to the composition as described herein. One or more additives can be added to the composition. Exemplary additives can include preservatives, viscosity agents, buffering agents, hypertonic agents, isotonic agents, and pH adjustment agents. Additives in the current disclosure can be used in any suitable amount.

As used herein, the term “administering” can mean any suitable route, *i.e.*, via oral administration, via topical administration (*e.g.*, eye drops or a spray), or intraocular administration.

As used herein, the term “co-administer” is meant that a composition described herein is administered at the same time, just prior to, or just after the administration of additional therapies. The composition of the disclosure can be administered alone or can be co-administered with a second composition/therapeutic agent to a subject. Co-administration is meant to include simultaneous or sequential administration of the composition individually or in combination with a second composition/therapeutic agent. Additionally, the first and second agents can be formulated separately or together in one or more compositions.

As used herein, “comprises,” “comprising,” “containing” and “having” and the like can have the meaning ascribed to them in U.S. Patent law and can mean “includes,” “including,” and the like; “consisting essentially of” or “consists essentially” likewise has the meaning ascribed in U.S. Patent law and the term is open-ended, allowing for the presence of more than that which is recited so long as basic or novel characteristics of that which is recited is not changed by the presence of more than that which is recited, but excludes prior art embodiments.

As used herein, “concurrent administration” includes overlapping in duration at least in part. For example, when two agents (*e.g.*, any of the compositions described herein) are administered concurrently, their administration occurs within a certain desired time. The compositions’ administration can begin and end on the same day. The administration of one composition can also precede the administration of a second composition by day(s) as long as both compositions are taken on the same day at least once. Similarly, the administration of one composition can extend beyond the administration of a second composition as long as both compositions are taken on the same day at least once. The compositions do not have to be taken at the same time each day to include concurrent administration.

As used herein, “conservative variant” or grammatical variations thereof can denote the replacement of an amino acid residue by another biologically similar residue. Examples of conservative variations include the replacement of a hydrophobic residue, such as isoleucine, valine, leucine or methionine for another, the replacement of a polar residue for another, such as the substitution of arginine for lysine, glutamic for aspartic acids, or glutamine for asparagine, and the like.

As used herein, the term, “CAE” refers to a clinical model that provides a standardized approach to studying investigational treatments of dry eye. The model



exacerbates the signs and symptoms of dry eye (e.g., corneal staining and ocular discomfort) in a controlled manner by regulating humidity, temperature, airflow, lighting conditions and visual tasking within the CAE chamber. More details are available at <http://www.oraclinical.com/ophthalmic-models/cae>.

5 As used herein, the term “cream” can refer to a thick (high viscosity) liquid or semi-liquid that can be used for therapeutic treatment of a disease, syndrome, or condition (*i.e.*, DES).

The term “dosage” is intended to encompass a formulation expressed in terms of total amounts for a given timeframe, for example as  $\mu\text{g/kg/hr}$ ,  $\mu\text{g/kg/day}$ ,  $\text{mg/kg/day}$ , or  
10  $\text{mg/kg/hr}$ . The dosage is the amount of an ingredient administered in accordance with a particular dosage regimen. A “dose” is an amount of an agent administered to a mammal in a unit volume or mass, *e.g.*, an absolute unit dose expressed in mg of the agent. The dose depends on the concentration of the agent in the formulation, *e.g.*, in moles per liter (M), mass per volume (m/v), or mass per mass (m/m). The two terms are closely related,  
15 as a particular dosage results from the regimen of administration of a dose or doses of the formulation. The particular meaning in any case will be apparent from context.

As used herein, “dry eye” or “dry eye syndrome” or “DES” can refer to an ophthalmic syndrome or ocular surface condition. The Dry Eye WorkShop (DEWS) has redefined dry eye as “a multifactorial disease of the tears and ocular surface that results  
20 in symptoms of discomfort, visual disturbance, and tear film instability with potential damage to the ocular surface, accompanied by increased osmolarity of the tear film and inflammation of the ocular surface.” Dry eye and tear film instability can damage the ocular surface. It is accompanied by increased osmolarity of the tear film and inflammation of the ocular surface. The tear film instability can be initiated by several  
25 etiologies such as xerophthalmia, ocular allergy, topical preservative use and contact lens wear. The tear film instability can cause surface hyperosmolarity.

As used herein, an “effective amount” or “therapeutically effective amount” is that amount sufficient to affect a desired biological effect, such as beneficial results, including clinical results. As such, an “effective amount” depends upon the context in  
30 which it is being applied. An effective amount can vary according to factors known in the art, such as the disease state, age, sex, and weight of the individual being treated. Several divided doses can be administered daily or the dose can be proportionally reduced as indicated by the exigencies of the therapeutic situation. In addition, the

compositions/formulations of this disclosure can be administered as frequently as necessary to achieve a therapeutic amount.

As used herein, the term “fluorescein staining” can refer to a method of instilling a fluorescein dye into an eye. The fluorescein dye can be instilled either as a liquid drop or via a fluorescein impregnated paper strip. The fluorescein can penetrate in adjoining bowman’s and stromal layers, and the dye makes contact with an alkaline interstitial fluid. Fluid turns bright green owing to its pH indicator properties & depending to extent of lesion. The fluorescein cannot stain intact corneal epithelium but can stain corneal stroma, thus demarcating the area of the epithelial loss. The corneal fluorescein staining can stain all corneal damage non-specifically, irrespective of cause (*e.g.*, refractive laser surgery and drug toxicity). For example and not limitation, 2% preservative-free sodium fluorescein solution can be instilled into the inferior conjunctival cul-de-sac of each eye. In order to achieve maximum fluorescence, the examiner should wait several minutes after instillation before evaluating fluorescein staining. A yellow filter can be used to enhance the ability to grade fluorescein staining. The staining will be graded with the Fluorescein Staining Scale. Digital images of fluorescein staining can be taken for digital analysis. In some embodiments, lissamine green solution can be instilled into the inferior conjunctival cul-de-sac for staining.

As used herein, the term “fragment” or “peptide” or “peptide fragment” comprises a portion of a protein (*e.g.*, Tβ4 protein) with homology or percent amino acid sequence identity. Peptides can be biologically occurring short chains of amino acid monomers linked by peptide (amide) bonds.

As used herein, “gel” can refer to a material which is not a readily flowable liquid and is not a solid, *i.e.*, a semi-solid gel. Gels can be formed from naturally occurring or synthetic materials. The gels can be non-ordered to slightly ordered showing some birefringence, liquid crystal character. A semi-solid gel formulation apparent viscosity can increase with concentration. Gels can be administered topically.

As used herein, “homology” or “percent (%) amino acid sequence identity” is used with respect to a protein (*i.e.*, Tβ4 or fragment thereof). The homology or percent amino acid sequence identity can be defined as the percentage of amino acid residues in a candidate sequence that are identical with the amino acid residues in the specific peptide or polypeptide sequence, after aligning the sequences and introducing gaps, if necessary, to achieve the maximum percent sequence identity, and not considering any

conservative substitutions as part of the sequence identity (i.e., about 60% identity, preferably 61%, 62%, 63%, 64%, 65%, 66%, 67%, 68%, 69%, 70%, 71%, 72%, 73%, 74%, 75%, 76%, 77%, 78%, 79%, 80%, 81%, 82%, 83%, 84%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or higher identity over a  
5 specified region when compared and aligned for maximum correspondence over a comparison window or designated region) as measured using a BLAST or BLAST 2.0 sequence comparison algorithms with default parameters described below, or by manual alignment and visual inspection (*see, e.g.*, NCBI web site or the like). Such sequences are then said to be “substantially identical”. This definition also refers to, or can be  
10 applied to, the complement of a test sequence. The definition also includes sequences that have deletions and/or additions, as well as those that have substitutions. Alignment for purposes of determining percent amino acid sequence identity can be achieved in various ways that are within the skill in the art, for instance, using publicly available computer software such as BLAST, BLAST-2 or ALIGN software. Those skilled in the  
15 art can determine appropriate parameters for measuring alignment, including any algorithms needed to achieve maximal alignment over the full length of the sequences being compared.

As used herein, “intermittent administration” includes the administration of a composition for a period of time (which can be considered a “first period of  
20 administration”), followed by a time during which the composition is not taken or is taken at a lower maintenance dose (which can be considered an “off-period”) followed by a period during which the composition is administered again (which can be considered a “second period of administration”). Generally, during the second phase of administration, the dosage level of the composition will match that administered during  
25 the first period of administration but can be increased or decreased as medically necessary.

As used herein, “liquid” is a dosage form consisting of a composition in its liquid state. A liquid is pourable; it flows and conforms to its container at room temperature. Liquids display Newtonian or pseudoplastic flow behavior. In certain  
30 embodiments, a “semi-liquid” as used herein can have properties of both a liquid and another formulation (*i.e.*, a suspension, an emulsion, a solution, a cream, a gel, a jelly, and the like).

As used herein, “ocular surface” includes the cornea and the conjunctiva. The ocular surface is covered by a thin layer of fluid or tear film. The tear film is not only responsible for the majority of the refractive power of the eye and clear vision; it is also responsible for nourishing the cells on the surface of the eye and preventing infection.

5 The surface of the eye can suffer many kinds of diseases. One of most common diseases of the surface of the eye is DES.

As used herein, “ocular surface disorder” “ophthalmic disease,” “ophthalmic disorder,” and the like, includes, but is not limited to, dry eyes, epithelial defects, Superior limbic keratoconjunctivitis, keratoconjunctivitis sicca, Neurotrophic  
10 keratopathy, Sjögren’s syndrome, Stevens-Johnson syndrome, Ocular cicatricial pemphigoid, Medicamentosa, Graft-versus-host disease, and corneal ulcerations and erosions.

As used herein, “ointment” can refer to a highly viscous liquid or semi-liquid formulation that can be used for therapeutic treatment of a disease, syndrome, or  
15 condition (*i.e.*, DES).

As used herein, “ophthalmic composition” refers to a composition intended for application to the eye or its related or surrounding tissues such as, for example, the eyelid or onto the surface of eye. The term also includes compositions intended to therapeutically treat conditions of the eye itself or the tissues surrounding the eye. The  
20 ophthalmic composition can be applied topically or by other techniques, known to persons skilled in the art, such as injection to the eye. Examples of suitable topical administration to the eye include administration in eye drops and by spray formulations. A further suitable topical administration route is by subconjunctival injection.

As used herein, “Fluorescein Staining Scale” refers to a scale specific to dry eye  
25 to for grading. Corneal staining can be assessed, for example, in the inferior, central, and superior regions of the cornea. Conjunctiva staining is assessed, for example, in the temporal and nasal regions of the conjunctiva. Grading by the clinician normally involves a qualitative estimation of punctate dots in various corneal regions. The cornea and conjunctiva are typically divided into several regions (e.g., inferior, superior, central,  
30 temporal, nasal) with each region graded separately. The Fluorescein Staining Scale ranges from 0 to 4 (half grade increments can be used), where grade 0 = none and 4 = severe.

As used herein, "Ocular Discomfort Scale" refers to a scale specific to measuring ocular discomfort levels of dry eye for grading. Ocular discomfort scores can be subjectively graded by the subjects according to the following scale, rating each eye separately. It consists of a 5-point scale ranging from 0 to 4, where grade 0 = no  
5 discomfort and 4 = severe discomfort. Relatively higher symptomatic subjects can include subjects with an ocular discomfort score of 2 or 3, whereas relatively lower symptomatic subjects can have an ocular discomfort score of 0 or 1.

As used herein, "patient," "patient in need thereof," "subject," and "subject in need thereof" are used interchangeably and refer to an animal or living organism (human  
10 or nonhuman) suffering from or prone to a disease or condition that can be treated by administration using the methods and compositions provided herein. Non-limiting examples of subjects include humans, other mammals, bovines, rats, mice, dogs, monkeys, goat, sheep, cows, deer, and other non-mammalian animals. In certain embodiments, the subject is human.

As used herein, "pharmaceutically acceptable carrier" includes any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents, and the like that are physiologically compatible. The type of carrier can be selected based upon the intended route of administration. Pharmaceutically acceptable carriers include sterile aqueous solutions or dispersions and sterile powders  
20 for the extemporaneous preparation of sterile topical solutions or dispersion. The use of such media and agents for pharmaceutically active substances is well known in the art. Except insofar as any conventional media or agent is incompatible with the composition (*e.g.*, Tβ4 or fragments thereof), use thereof in the ophthalmic compositions for the disclosure is contemplated.

The term, "preservative" as used herein can include any agents included in an ophthalmic composition for the purpose of inhibiting the growth of microorganisms (*e.g.*, bacteria, fungi, viruses, and protozoa) in the product, thereby helping to maintain sterility during use. Additionally, the term "anti-microbial agent" can be used herein to denote a specific active agent which provides the anti-microbial efficacy. Exemplary  
30 preservatives can include, for example, benzalkonium chloride, thimerosal, chlorobutanol, chlorhexidine, methyl paraben, propyl paraben, phenylethyl alcohol, edetate disodium sorbic acid, Onamer M Polyquat, cetyl bromide, cetyl pyridinium chloride, benzyl bromide, EDTA, phenylmercury nitrate, phenylmercury acetate,

thimerosal, merthiolate, acetate and phenylmercury borate, polymyxin B sulphate, methyl and propyl parabens, quaternary ammonium chloride, sodium benzoate, sodium propionate, and sodium perborate, and other agents known to those skilled in the art, or a combination thereof.

5           As used herein, the terms “prevent,” “preventing,” or “prevention,” “prophylactic treatment” and the like, refer to reducing the probability of developing a disorder or condition in a subject, who does not have, but is at risk of or susceptible to developing a disorder or condition. The prevention can be complete (*i.e.*, no detectable symptoms) or partial, so that fewer symptoms are observed than would likely occur absent treatment.

10       The terms further include a prophylactic benefit. For a disease or condition to be prevented, the compositions can be administered to a patient at risk of developing a particular disease, or to a patient reporting one or more of the physiological symptoms of a disease, even though a diagnosis of this disease cannot have been made.

          Ranges can be expressed herein as from “about” one particular value, and/or to  
15       “about” another particular value. When such a range is expressed, another aspect includes from the one particular value and/or to the other particular value. Similarly, when values are expressed as approximations, by use of the antecedent “about,” it is understood that the particular value forms another aspect. It is further understood that the endpoints of each of the ranges are significant both in relation to the other endpoint, and  
20       independently of the other endpoint. It is also understood that there are a number of values disclosed herein, and that each value is also herein disclosed as “about” that particular value in addition to the value itself. It is also understood that throughout the application, data are provided in a number of different formats and that this data represent endpoints and starting points and ranges for any combination of the data points.  
25       For example, if a particular data point “10” and a particular data point “15” are disclosed, it is understood that greater than, greater than or equal to, less than, less than or equal to, and equal to 10 and 15 are considered disclosed as well as between 10 and 15. It is also understood that each unit between two particular units are also disclosed. For example, if 10 and 15 are disclosed, then 11, 12, 13, and 14 are also disclosed.

30       Ranges provided herein are understood to be shorthand for all of the values within the range. For example, a range of 1 to 50 is understood to include any number, combination of numbers, or sub-range from the group consisting 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33,

34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, or 50 as well as all intervening decimal values between the aforementioned integers such as, for example, 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, and 1.9. With respect to sub-ranges, “nested sub-ranges” that extend from either end point of the range are specifically contemplated. For example, a nested sub-range of an exemplary range of 1 to 50 can include 1 to 10, 1 to 20, 1 to 30, and 1 to 40 in one direction, or 50 to 40, 50 to 30, 50 to 20, and 50 to 10 in the other direction.

As used herein “Schirmer’s test” refers to a test used to determine whether the eye produces enough tears to keep it moist. For example, the Schirmer’s test can be performed according to the following procedure: (a) a sterile Schirmer’s test strip will be placed in the lower temporal lid margin of each eye such that the strip fits tightly. Subjects will be instructed to close their eyes and (b) after 5 minutes have elapsed, the Schirmer strip will be removed. The length of the moistened area will be recorded (mm) for each eye. This test is used when a person experiences very dry eyes or excessive watering of the eyes and poses no risk to the subject. A negative (more than 10 mm of moisture on the filter paper in 5 minutes) test result is normal.

As used herein, “sequential administration” includes that the administration of two agents (*e.g.*, compositions described herein) occurs separately on the same day or do not occur on a same day (*e.g.*, occurs on consecutive days).

As used herein, a “solution” is a clear, homogeneous liquid dosage form that contains one or more chemical substances (*i.e.*, T $\beta$ 4 or fragments thereof) dissolved in a solvent or mixture of mutually miscible solvents. A solution is a liquid preparation that contains one or more dissolved chemical substances in a suitable solvent or mixture of mutually miscible solvents. Because molecules of a drug substance in solution are uniformly dispersed, the use of solutions as dosage forms generally provides assurance of uniform dosage upon administration and good accuracy when the solution is diluted or otherwise mixed. For example and not limitation, T $\beta$ 4 can be dissolved in a solution comprised of sodium chloride, potassium chloride, calcium chloride, magnesium chloride, sodium acetate, and sodium citrate, with a pH of approximately 7.0.

The term “solvent,” as used herein, refers to a liquid solvent either aqueous or non-aqueous. The selection of the solvent depends notably on the solubility of the composition on said solvent and on the mode of administration. Aqueous solvent can consist solely of water, or can consist of water plus one or more miscible solvents, and

can contain dissolved solutes such as sugars, buffers, salts or other excipients. The more commonly used non-aqueous solvents are the short-chain organic alcohols, such as, methanol, ethanol, propanol, short-chain ketones, such as acetone, and poly alcohols, such as glycerol.

5           “Suspension,” as used herein, is a liquid dosage form that contains solid particles dispersed in a liquid vehicle.

          As used herein, the term “syndrome” can refer to a group of symptoms that consistently occur together or a condition characterized by a set of associated symptoms. A syndrome (*e.g.*, DES) can be a set of medical signs and symptoms that are correlated  
10       with a specific disease. A disease on the other hand, can be a health condition that has a clearly defined reason behind it. A syndrome (from the Greek word meaning ‘run together’) however, can produce a number of symptoms without an identifiable cause. They can suggest the possibility of an underlying disease or even the chances of developing a disease.

15           As used herein, the terms “tear breakup time” or “TBUT” or “tear film breakup time” or “TFBUT” can refer to a clinical test that measures the interval between the individual’s last complete blink and the breakup of the tear film. The test can be used to assess for DES. To measure TBUT, fluorescein is instilled into the patient's tear film and the patient is asked not to blink while the tear film is observed under a broad beam of  
20       cobalt blue illumination. The TBUT is recorded as the number of seconds that elapse between the last blink and the appearance of the first dry spot in the tear film.

          As used herein, “tear film” can refer to a three-layered structure, comprising a mucoidal basal layer, an aqueous component and a superficial lipid layer. The components work together to maintain the overall form of tear film. The tear film is  
25       formed and maintained by blinking. The structure of the tear film can be affected by systemic or ocular medication, general health and a number of ocular conditions, such as keratoconjunctivitis sicca or DES. The tears are also affected by age, with changes in both the volume of tear production and stability of the tear film. Patients with relatively lower tear film stability can refer to patients with a tear film break up time shorter than  
30       the median value of a total population. Patients with relatively higher tear film stability can refer to patients with a tear film break up time longer than the median value of a total population.



As used herein, “thymosin beta 4” or “Tβ4” refers to a human protein. Tβ4 encodes for an actin sequestering protein which plays a role in regulation of actin polymerization. The protein is also involved in cell proliferation, migration, and differentiation. The thymosin beta 4 peptide, if used after a heart attack, has been shown to potentially reactivate cardiac progenitor cells to repair damaged heart tissue. The safety of topical Tβ4 formulations has been demonstrated, both in dermal preparations and in a preservative-free formulation used in the eye. Based on its multifunctional activities during tissue regeneration, Tβ4 has the potential for clinical application in a wide range of pathological conditions including ocular surface diseases. The NCBI Reference Sequence of human Tβ4 is available under accession number NP\_066932.1.

The terms “treat,” “treating” or “treatment,” and other grammatical equivalents as used herein, include alleviating, abating, ameliorating, or preventing a disease, condition or symptoms, preventing additional symptoms, ameliorating or preventing the underlying metabolic causes of symptoms, inhibiting the disease or condition, e.g., arresting the development of the disease or condition, relieving the disease or condition, causing regression of the disease or condition, relieving a condition caused by the disease or condition, or stopping the symptoms of the disease or condition, and are intended to include prophylaxis. The terms further include achieving a therapeutic benefit and/or a prophylactic benefit. By therapeutic benefit is meant eradication or amelioration of the underlying disorder being treated. Also, a therapeutic benefit is achieved with the eradication or amelioration of one or more of the physiological symptoms associated with the underlying disorder such that an improvement is observed in the patient, notwithstanding that the patient can still be afflicted with the underlying disorder.

As used herein, “viscosity” refers to a fluid's resistance to flow. Exemplary viscosity agents that can be used include, for example polyvinyl alcohol, polyvinyl pyrrolidone, methyl cellulose, hydroxy propyl methylcellulose, hydroxyethyl cellulose, carboxymethyl cellulose, hydroxy propyl cellulose, other agents known to those skilled in the art, or a combination thereof.

As used herein, the term “weight percent” or “% (w/w)” refers to a percentage of a component in a solution that is calculated on the basis of weight for the component and the solvent. For example, a 1% (w/w) solution of a component would have 1 g of the component dissolved in a 100 g of solvent. The term “volume percent” or “% (v/v)” refers to a percentage of a component in a solution that is calculated on the basis of

volume for the component and the solvent. For example, a 1% (v/v) solution of a component would have 1 mL of the component dissolved in a 100 mL of solvent. The term “weight/volume percent” or “% (w/v)” refers to a percentage of a component in a solution that is calculated on the basis of weight for the component and on the basis of  
5 volume for the solvent. For example, a 1.0% (w/v) solution of a component would have 1 g of the component dissolved in a 100 mL of solvent.

### *Compositions*

The present disclosure provides for ophthalmic compositions comprising Tβ4 or fragments thereof, in an effective amount to treat DES and symptoms thereof in a subject  
10 in need thereof.

In certain embodiments, the ophthalmic composition can include from about 0.05% to about 0.1% by weight of Tβ4 or fragments thereof. Human Tβ4 is a polypeptide composed of 43 amino acids having 4.9 kDa, which can be first isolated from thymus and then identified from various tissues. This protein can upregulate the  
15 migration and proliferation of corneal epithelial cells. In some embodiments, the ophthalmic composition can include Tβ4 isoforms. Tβ4 isoforms can have about 70%, or about 75%, or about 80% or more homology to the known amino acid sequence of Tβ4. Such isoforms can include, for example, Tβ4<sup>ala</sup>, Tβ9, Tβ10, Tβ11, Tβ12, Tβ13, Tβ14 and Tβ15. Tβ4 of the presently disclosed subject matter can also be an N-terminal  
20 variant or C-terminal variant of wild-type Tβ4.

In certain embodiments, the ophthalmic composition can include a peptide agent comprising amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], or a conservative variant thereof. Amino acid sequence, LKKTET [SEQ ID NO:1] and LKKTNT [SEQ ID NO:2] appear to be involved in mediating actin  
25 sequestration or binding. Tβ4 has anti-inflammatory activity, and can also modulate actin polymerization (e.g. β-thymosins appear to depolymerize F-actin by sequestering free G-actin). Tβ4's ability to modulate actin polymerization can be due to its ability to bind to or sequester actin via the LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2] sequence. Thus, as with Tβ4, other proteins which are anti-inflammatory and/or bind or  
30 sequester actin, or modulate actin polymerization, including Tβ4 isoforms having the amino acid sequence LKKTET [SEQ ID NO:1], are likely to be effective, alone or in a combination with Tβ4, as set forth herein. For example and not limitation, other agents or proteins having anti-inflammatory activity and/or actin sequestering or binding

capability, or that can mobilize actin or modulate actin polymerization, as demonstrated in an appropriate sequestering, binding, mobilization or polymerization assay, or identified by the presence of an amino acid sequence that mediates actin binding, such as LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], for example, can similarly be employed in the disclosed subject matter. Such proteins can include gelsolin, vitamin D binding protein (DBP), profilin, cofilin, depactin, Dnasel, vilin, fragmin, severin, capping protein,  $\beta$ -actinin and acumentin.

In certain embodiments, the ophthalmic composition can include oxidized forms of T $\beta$ 4 including T $\beta$ 4 sulfoxide or conservative variant thereof. Oxidized T $\beta$ 4 is a form of T $\beta$ 4 in which a methionine residue, 6 amino acids from the N-terminus (Met6), is oxidized such that the residue is converted to methionine sulfoxide. The oxidized T $\beta$ 4 can be obtained by reacting native T $\beta$ 4 under oxidizing conditions, for example, by treating with hydrogen peroxide.

Although the present invention is described primarily hereinafter with respect to T $\beta$ 4 and T $\beta$ 4 fragments, it is to be understood that the following description is intended to be equally applicable to amino acid sequence LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], peptides and fragments comprising or consisting essentially of LKKTET [SEQ ID NO:1] or LKKTNT [SEQ ID NO:2], conservative variants thereof and/or T $\beta$ 4 isoforms, analogues or derivatives, including oxidized T $\beta$ 4, N-terminal variants of T $\beta$ 4, and C-terminal variants of T $\beta$ 4.

In certain embodiments, the ophthalmic composition can include carriers which can be suitable for topical or intravitreal administration. The carriers can include, for example and not limitation, water; a mixture of water and water-miscible solvents such as C<sub>1</sub>-C<sub>7</sub> alkanols, vegetable oils or mineral oils such as from about 0.5 to about 5 wt. % of hydroxyethyl cellulose, ethyl oleate, carboxymethyl cellulose, polyvinyl pyrrolidone, and other non-toxic water-soluble polymers for ophthalmic use, for example, cellulose derivatives such as methyl cellulose, alkali-metal salts of carboxymethyl cellulose, hydroxymethyl cellulose, hydroxyethyl cellulose, hydroxypropyl methyl cellulose and hydroxypropyl cellulose, acrylates or methacrylates such as salts of polyacrylate or ethyl acrylate, polyacrylamides; natural products such as gelatin, alginate, pectin, tragacanth, karaya gum, xanthan gum, carrageenan, agar, acacia, starch derivatives such as starch acetate and hydroxylpropyl starch; and other synthetic products, for example, polyvinyl alcohol, polyvinyl pyrrolidone, polyvinyl methylether, polyethylene oxide, preferably,

cross-linked polyacrylic acid such as neutral carbopol, or mixtures of the above polymers. Preferable carriers can include water, cellulose derivatives, for example, methyl cellulose, alkali-metal salts of carboxymethyl cellulose, hydroxymethyl cellulose, hydroxyethyl cellulose, hydroxypropyl methyl cellulose and hydroxypropyl cellulose, neutral carbopol, or mixtures thereof.

In certain embodiments, the ophthalmic composition can include one or more pharmaceutically acceptable excipients including but not limited to stabilizers, buffers, preservatives, tonicity agents, and viscosity enhancers.

In certain embodiments, the ophthalmic composition can include stabilizers. The stabilizers according to the presently disclosed subject matter can include, for example and not limitation, tyloxapol, aliphatic glycerol poly-lower alkylene glycol esters, aliphatic poly-lower alkylene glycol esters, polyethylene glycols, glycerol ethers, acetic acid, citric acid, ascorbic acid, EDTA/disodium edetate, glutathione, acetylcysteine or mixtures of these compounds. Acetic acid used herein is a weak acid represented by formula  $\text{CH}_3\text{COOH}$ . In the presently disclosed subject matter, this can be used in the form of an acetate. The acetate can include at least one molecule of water. For example and not limitation, mono-, sesqui-, di-, tri-, tetra-, penta-, hexa-, hepta-, octa-, nona-, deca-, undeca-, or dodeca-hydrate forms of acetate can be added into the composition. In particular, sodium acetate trihydrate can be included in an amount of from about 0.01% (w/v) to about 1.5% (w/v) based on the total volume of the composition. Further, acetic acid or its salt can be included in an amount of from about 0.1% (w/v) to about 0.8% (w/v), and preferably, from about 0.2% (w/v) to about 0.5% (w/v). Citric acid used herein is a compound represented by formula  $\text{C}_6\text{H}_8\text{O}_7$ . In the presently disclosed subject matter, citric acid can be used in the form of one or more citrates. The citrate can be a derivative of citric acid. Additionally, the citrate can include at least one molecule of water. For example and not limitation, mono-, sesqui-, di-, tri-, tetra-, penta-, hexa-, hepta-, octa-, nona-, deca-, undeca-, or dodeca-hydrate forms of citrate can be added into the composition. In particular, the citrate can be sodium citrate and sodium citrate trihydrate. In this case, citric acid or its salt can be included in an amount of from about 0.01% (w/v) to about 0.5% (w/v). Further, citric acid or its salt can be included in an amount of from about 0.05% (w/v) to about 0.25% (w/v), and preferably, from about 0.1% (w/v) to about 0.3% (w/v). They are typically added in an amount sufficient to dissolve active ingredients.

In certain embodiments, the ophthalmic composition can include a buffer. For example, the buffer can include any forms of acetate, ascorbate, borate, hydrocarbonate/carbonate, gluconate, phosphate, propionate, acetic acid, citric acid and/or tromethamine (TRIS) buffers. The buffer can be added, for example, in an amount to ensure and maintain a physiologically acceptable pH range. Such pH can be typically in the range of about 5 to about 9, preferably from about 6 to about 8.2, more preferably from about 6.8 to about 8.1.

In other embodiments, the pH value of the ophthalmic formulations can range from about 3.5 to about 9, preferably from about 4.5 to about 8, and most preferably from about 5.5 to about 7.8, and can be about pH 7.0.

The composition in accordance with the presently disclosed subject matter can further include an acid selected from the group consisting of hydrochloric acid, acetic acid, phosphoric acid etc. The composition can further include a base selected from the group consisting of sodium hydroxide, potassium hydroxide, sodium hydrogen carbonate etc., specifically, sodium hydroxide. For example and not limitation, hydrochloric acid or sodium hydroxide can be suitably added to adjust a pH of the composition. As such, the pH of the composition can be from about 6.5 to about 7.5, or from about 6.8 to about 7.2. Preferably, the composition can have a pH of about 7.0.

In certain embodiments, the ophthalmic composition can include preservatives. The preservatives can include, for example, quaternary ammonium salts such as Cetrimide, benzalkonium chloride or benzoxonium chloride; alkyl-mercury salts of thiosalicylic acid such as thimerosal, phenylmercuric nitrate, phenylmercuric acetate or phenylmercuric borate, parabens such as phenylparaben or propylparaben, alcohols such as chlorobutanol, benzyl alcohol or phenyl ethanol, guanidine derivatives such as chlorohexidine or polyhexamethylene biguanide or sorbic acid. Preferable preservatives can include cetrimide, benzalkonium chloride, benzoxonium chloride and parabens. The preservative can be added in a sufficient amount to prevent secondary contamination caused by bacteria and fungi during the use.

In certain embodiments, the ophthalmic composition can include a tonicity agent to adjust the composition closer to isotonicity (*e.g.*, 0.9% saline). For instance and not limitation, any form of sodium chloride, potassium chloride, calcium chloride, magnesium chloride, dextrose and/or mannitol can be added to the composition comprising thymosin  $\beta$ 4 according to the presently disclosed subject matter. The tonicity

agents can include at least one molecule of water. For example and not limitation, mono-, sesqui-, di-, tri-, tetra-, penta-, hexa-, hepta-, octa-, nona-, deca-, undeca-, or dodeca-hydrate forms of sodium chloride, potassium chloride, calcium chloride and/or magnesium chloride can be added into the composition. An amount of the tonicity agent depends upon the kind of active agents to be added. In general, particular compositions of the present disclosed subject matter can include a tonicity agent therein to enable the final composition to have an osmolality acceptable for ophthalmic use, i.e., preferably in a range of from about 150 to about 450 mOsm, and more preferably in a range of from about 250 to about 350 mOsm. Preferable tonicity agents can include, for example, sodium salts and potassium salts, in particular, sodium chloride and potassium chloride. Most preferably, the tonicity agent can be sodium chloride. Further, a concentration of sodium chloride can range from about 0.1 to about 1.2% (w/v) or from about 0.3 to about 1.0% (w/v). Preferably, it ranges from about 0.5 to about 0.7% (w/v). Further, a concentration of potassium chloride can range from about 0.01 to about 0.15% (w/v) or from about 0.03 to about 0.12% (w/v). Preferably, it ranges from about 0.05 to about 0.09% (w/v). Further, a concentration of calcium chloride dihydrate can range from about 0.01 to about 0.12% (w/v) or from about 0.03 to about 0.09% (w/v). Preferably, it ranges from about 0.03 to about 0.06% (w/v). Further, a concentration of magnesium chloride hexahydrate can range from about 0.01 to about 0.12% (w/v), and preferably, from about 0.01 to about 0.05% (w/v). Although the tonicity agents are described primarily herein with respect to adjusting tonicity of the ophthalmic composition, the disclosed tonicity agents can also be used as electrolytes.

In certain embodiments, the ophthalmic composition can include a viscosity enhancer. Suitable viscosity enhancers in ophthalmic formulations and their concentration ranges used in certain inventive compositions can include but are not limited to: (a) Monomeric polyols, such as tyloxapol (from about 0.1 to about 1%), glycerol (from about 0.2 to about 1%), propylene glycol (from about 0.2 to about 1%), ethylene glycol (from about 0.2 to about 1%); (b) Polymeric polyols, such as polyethylene glycol (*e.g.*, PEG 300, PEG 400) (from about 0.2 to about 1%); (c) Cellulose derivatives (polymers of the cellulose family), such as hydroxyethylcellulose (from about 0.2 to about 2.5%), hypromellose (from about 0.2 to about 2.5%), hydroxypropylmethyl cellulose (from about 0.2 to about 2.5%), methylcellulose (from about 0.2 to about 2.5%), carboxymethylcellulose sodium (from about 0.2 to about

2.5%), hydroxypropylcellulose (from about 0.2 to about 2.5%); (d) Dextrans, such as dextran 70 (at about 0.1% when used with another polymeric demulcent agent); (e) Water-soluble proteins such as gelatin (at about 0.01%); (f) Vinyl polymers such as polyvinyl alcohol (from about 0.1 to about 4%), polyvinyl pyrrolidone (from about 0.1 to about 4%); (g) Other polyols, such as polysorbate 80 (from about 0.2 to about 1%), povidone (from about 0.1 to about 2%); (h) Carbomers, such as carbomer 934P, carbomer 941, carbomer 940, and carbomer 974P, and (i) Polysaccharides/Glycosaminoglycans, such as hyaluronan (hyaluronic acid/hyaluronate) (from about 0.1 to about 3%), chondroitin sulfate (from about 0.1 to about 3%).

10           In certain embodiments, the amount and type of excipient(s) added can be varied depending on specific requirements, the excipient(s) is generally used in a range of about 0.0001 to about 90 wt. %, and within the range commonly used in ophthalmic fields.

          In certain embodiments, the ophthalmic composition is formulated as a solution, suspension, semi-liquid, semi-solid gel, gel, ointment or cream. In specific  
15       embodiments, the ophthalmic composition can be formulated as a preservative-free, sterile eye-drop solution in a single unit dropper. According to one embodiment the topical formulation containing the active compound can also contain a physiologically compatible vehicle, as those skilled in the ophthalmic art can select using conventional criteria.

20           In certain embodiments, the ophthalmic composition is administered in the form of eye drops. The ophthalmic composition can be, where appropriate, adjusted and/or buffered to the desired pH and, where appropriate, a stabilizer, or a tonicity enhancing agent can be added. Where appropriate, preservatives and/or other excipients can be added to an ophthalmic composition.

25           In certain embodiments, the ophthalmic composition can be formulated into a unit dosage form to provide a total daily dosage of from about 0.08 to about 2.0 ml and can be suitably filled in a container for ophthalmic use, which can enable quantitative administration of the composition. For this purpose, the composition can be formulated into a unit dosage form with a dose of from about 0.01 to about 10 ml that can be used  
30       once or several times. Further, in order to suitably provide the pharmaceutical composition in a total daily dosage of from about 0.08 to about 2.0 ml, the composition can be contained in an eye drop container dropping from about 0.01 to about 2.0 ml per droplet.

In certain embodiments, the ophthalmic composition can include from about 0.05 to about 0.1% by weight of T $\beta$ 4 or fragments thereof. The ophthalmic composition can be in a solution comprised of sodium chloride, potassium chloride, calcium chloride dihydrate, magnesium chloride hexahydrate, sodium acetate trihydrate, and sodium citrate trihydrate. The pH of the composition can be adjusted to about 6.5 to about 7.5 using an acid or a base. The acid can be selected from the group consisting of hydrochloric acid, acetic acid, phosphoric acid, etc. The base can be selected from the group consisting of sodium hydroxide, potassium hydroxide, sodium hydrogen carbonate, etc.

### Methods

The present disclosure provides, *inter alia*, a method of treating DES or signs or symptoms thereof in a subject in need of such treatment. The method includes administering to an eye of the subject an ophthalmic composition including T $\beta$ 4 or fragments thereof, in an effective amount to treat DES and signs and symptoms thereof.

The presently disclosed subject matter provides methods that effectively address at least two aspects of DES, including but not limited to inflammatory responses and corneal epithelial healing. In certain embodiments, delivering the ophthalmic composition including T $\beta$ 4 or fragments thereof to the dry eye can reduce or prevent inflammation and improve or accelerate corneal epithelial healing. DES can include various signs and symptoms including, but not limited to, deficient tear production, decreased tear film stability, increased ocular surface damage, and increased ocular discomfort. Subjects with DES can exhibit one or more signs or symptoms. The presently disclosed subject matter effectively treats both inflammatory responses and increases corneal epithelial healing by administering effective amounts of ophthalmic composition including T $\beta$ 4 or fragments thereof. Such methods are successful in increasing tear amounts, increasing tear film stability, decreasing ocular surface damage and decreasing ocular discomfort.

In certain embodiments, the method of treating DES includes treating dry eye associated with or resulting from treating inflammation of the surface of the eye, the lacrimal gland, or the conjunctiva; dry eye associated with any disease process that alters the components of the tears; dry eye associated with an increase in the surface of the eye, as in thyroid disease when the eye protrudes forward; and/or dry eye associated with a cosmetic surgery, for example, if the eyelids are opened too widely during surgery; dry



eye associated with eye correction surgery such as laser-assisted in situ keratomileusis (LASIK) or laser-assisted sub epithelial keratectomy (LASEK). Hyperosmolarity can cause damage to the surface epithelium by activating a cascade of inflammatory events and releasing inflammatory mediators into the tears. The inflammatory mediators can cause cell death, loss of goblet cells, reduction in mucus secretion, and tear film instability. In certain embodiments, delivering an ophthalmic composition including T $\beta$ 4 or fragments thereof to the tear-deficient dry eye can improve tear film stability and/or increase the tear amount. The methods herein can improve the quality of the lacrimal gland which secretes the aqueous layer of the tear film.

The presently disclosed subject matter provides for methods of treating tear-deficient dry eye, which is a disorder in which the lacrimal glands fail to produce enough of the watery component of tears to maintain a healthy eye surface, with a composition of the present disclosure. The aqueous tear-deficient dry eye can be characterized by various assessments, including but not limited to, a tear volume test. The tear volume test can be used to determine whether the eye produces enough tears to keep it moist. For example and not limitation, the tear volume test can be performed according to the following procedure: (a) a sterile test strip can be placed in the lower temporal lid margin of each eye such that the strip fits tightly. Subjects can be instructed to close their eyes and (b) after an appropriate time (e.g., about 5 minutes) has elapsed, the strip can be removed. The length of the moistened area can be recorded (mm) for each eye. This test can be used when a person experiences very dry eyes or excessive watering of the eyes and poses no risk to the subject. A negative test result is normal, whereby, for example, more than about 10 mm of moisture on the filter paper is recorded.

Alternatively, the aqueous tear-deficient dry eye can be characterized by a phenol red thread test. For example and not limitation, the crimped end of a cotton thread impregnated with phenol red dye can be placed in the inferior conjunctival sac on the temporal side. Phenol red is a pH indicator which exhibits a gradual transition from yellow to red when wetted by tears, due to the alkaline nature of tears (pH 7.4). Subjects can be instructed to close their eyes and the thread can be removed after 15 seconds. The length of the color change on the thread, indicating the length of the thread wetted by the tears, can be measured in millimeters. Wetting lengths should normally be between about 9 mm and about 20 mm. Values of less than about 9 mm have been shown to correlate with subjective symptoms of dryness.

The presently disclosed subject matter also provides for methods of improving tear film stability. Tear film stability can be evaluated by various assessments in the art, including but not limited to a TFBUT analysis. For example and not limitation, 2% preservative-free sodium fluorescein solution can be administered into the inferior conjunctival cul-de-sac of each affected eye. In order to achieve maximum fluorescence, an appropriate waiting period is implemented after instillation before evaluating TFBUT. With the aid of a slit-lamp, the integrity of the tear film can be monitored by noting the time it takes to form micelles from the time that the eye is opened. TFBUT can be measured in seconds using a stopwatch and a digital image recording system for one eye followed by the second eye. A negative test result is normal, whereby more than about 10 seconds of TFBUT is recorded.

Alternatively, tear film stability can be evaluated by a Non-Invasive Break-Up Time (NIBUT) analysis. During a NIBUT analysis, an illuminated grid pattern reflected from the anterior tear surface can be observed without administration of fluorescein solution. During a NIBUT analysis, subjects can be asked to stop blinking until told to restart. The time between the last complete blink and the first indication of pattern break-up can be recorded with a stop-watch.

Further, the presently disclosed subject matter provides for methods of improving damaged ocular surface area. Damaged ocular surface area of the dry eye can be characterized by various assessments in the art, including but not limited to a fluorescein staining analysis. During a fluorescein staining analysis, the damaged ocular surface can be stained with fluorescein compounds. For example and not limitation, 2% preservative-free sodium fluorescein solution can be instilled into the inferior conjunctival cul-de-sac of each eye. In order to achieve maximum fluorescence, a waiting time is implemented after instillation before evaluating fluorescein staining. Grading can involve a qualitative estimation of punctate dots in various corneal regions. The cornea and conjunctiva are typically divided into several regions (*e.g.*, inferior, superior, central, temporal, nasal) with each region graded separately. The scale ranges from 0 to 4 (half grade increments can be used), where grade 0 = none and 4 = severe). In certain embodiments, the fluorescein compounds can include rose bengal for a fluorescein staining analysis.

Alternatively, damaged ocular surface area of the dry eye can be characterized by a lissamine Green Staining analysis. Lissamine Green can stain ocular surface epithelial

cells that are unprotected by mucin or glycocalyx. During a lissamine Green Staining analysis, lissamine green solution can be instilled into the inferior conjunctival cul-de-sac of each eye. The subject can be instructed to blink several times to distribute the lissamine green. The staining will be graded with the same staining scale as a fluorescein staining analysis. Alternative staining techniques in the art can also be used, including for example, Rose Bengal.

The presently disclosed subject matter also provides for methods of reducing ocular discomfort in a subject in need thereof with the administration of an ophthalmic composition including T $\beta$ 4 or fragments thereof. In certain embodiments, the method includes ameliorating symptoms including but not limited to stinging or burning of the eye; a sandy or gritty feeling as if something is in the eye; episodes of excess tears following very dry eye periods; a stringy discharge from the eye; pain and redness of the eye; episodes of blurred vision; heavy eyelids; inability to cry when emotionally stressed; uncomfortable contact lenses; decreased tolerance of reading, working on the computer, or any activity that requires sustained visual attention; and/or eye fatigue. Indications of ocular discomfort can be characterized and quantified by various assessments known in the art. For example and not limitation, ocular discomfort scores can be subjectively graded by the subjects before, after, or during exposure to an adverse environment. During exposure to the adverse environment, the signs and symptoms of dry eye (*e.g.*, corneal staining and ocular discomfort) are exacerbated in a controlled manner by regulating humidity, temperature, airflow, lighting conditions and visual tasking. The discomfort scale can consist of a 5-point scale ranging from 0 to 4, where grade 0 = no discomfort and 4 = severe discomfort. Relatively higher symptomatic subjects can include subjects with an ocular discomfort score of 2 or 3, whereas relatively lower symptomatic subjects can have an ocular discomfort score of 0 or 1.

As previously noted, the presently disclosed subject matter provides for the effective treatment of DES and associated signs and symptoms characterized by various assessments including but not limited to a Schirmer's test, a TFBUT test, a fluorescein staining test, decreased tear film stability, increased ocular surface damage, increased ocular discomfort, an ocular discomfort analysis and combinations thereof. In certain embodiments, the target subject can have DES characterized by a tear volume test score of less than about 10 mm in an affected eye. In certain embodiments, the target subject can have DES characterized by a tear film break up time of less than about 10 seconds in

an affected eye. In certain embodiments, the target subject can have has DES characterized by a total corneal fluorescein staining score of about 4 or higher in an affected eye. In certain embodiments, the target subject can have DES characterized by an ocular discomfort score of about 2 or higher in the affected eye.

5           In certain embodiments, the present disclosure provides a method for increasing tear amount and tear film stability. In certain embodiments, the present disclosure provides a method for reducing ocular surface damage. In certain embodiments, the present disclosure provides a method for reducing ocular discomfort. All methods provided herein include the administration of an ophthalmic composition including T $\beta$ 4  
10 or fragments thereof to one or both eyes of a subject in need thereof.

### ***Co-administration***

In certain embodiments, the methods of treating DES can be managed as an ongoing condition. In certain embodiments, if there is an underlying disease, that disease is concurrently treated.

15           In certain embodiments, the composition can be administered at the same time, just prior to, or just after the administration of additional therapies. The composition of the disclosure can be administered alone or can be co-administered with a second composition/therapeutic agent to a subject.

20           Co-administration can be meant to include simultaneous or sequential administration of the composition individually or in combination with a second composition/therapeutic agent.

In certain embodiments, the method includes treating DES with a composition of the present disclosure in combination with artificial tears. Artificial tears can include any ocular ointments, drops, or sprays and the like known in the art. Exemplary artificial  
25 tears can include, for example, Celluvisc, Clear Eyes CLR, GenTeal, Hypotears, Isopto Tears, Lacri-Lube S.O.P., Liquitears, Moisture Drops, Oasis Tears, Opti-Free Rewetting Drops, optive, Refresh, Soothe, Systane, TheraTears, Ultra Fresh, Visine Tears, and the like.

### ***Dosage Regimens***

30           For example and not limitation, the methods can include contacting an eye or eye tissue with an effective amount of a composition including T $\beta$ 4 or fragments thereof as an active ingredient. The administration can be topical or intravitreal administration. An example of topical administration can include direct application of the composition in the

form of, for example, a solution, lotion, plaster, gel, cream, paste, spray, suspension, dispersion, hydrogel, ointment, oil or foaming agent to a subject in order to contact same with eye tissues

In certain embodiments, a method of treating DES in a subject in need thereof, includes administering to an eye of the subject, an ophthalmic composition including human T $\beta$ 4 or fragments thereof formulated in the form of a solution, a suspension, a semi-solid gel, a gel, an emulsion, semi-liquid, an ointment, a cream, foam gel, or a controlled-release/sustain-release vehicle. For example, the composition can be in the form of a contact lens solution, eyewash, eye drop, eye gel, eye ointment, and the like.

The following dosage regimens can be used to treat DES in general and can be used to treat both inflammatory responses and increases corneal epithelial healing by administering effective amounts of ophthalmic composition including T $\beta$ 4 or fragments thereof. The dosage regimens provided herein can be used to increase tear amounts, increase tear film stability, decrease ocular surface damage and/or decrease ocular discomfort.

In a particular embodiment, the composition is in the form of a solution that can be administered as eye drops. The composition can be administered topically to an eye for treating DES in a dosage range from about 5  $\mu$ g to about 150  $\mu$ g per eye, or from about 5  $\mu$ g to about 100  $\mu$ g per eye, or from about 5  $\mu$ g to about 50  $\mu$ g per eye, or from about 5  $\mu$ g to about 25  $\mu$ g per eye. In other embodiments, the composition can be administered topically to an eye for treating DES in a dose range from about 5  $\mu$ g to about 150  $\mu$ g per eye, or from about 25  $\mu$ g to about 150  $\mu$ g per eye, or from about 50  $\mu$ g to about 150  $\mu$ g per eye, or from about 100  $\mu$ g to about 150  $\mu$ g per eye.

In certain embodiments, the dosage for one eye can be about 1 to about 5 drops of solution. In certain embodiments, the dosage for one eye can be 1, 2, or 3 drops of solution. Each drop of an ophthalmic composition in solution from can correspond to about 10  $\mu$ L to about 150  $\mu$ L of ophthalmic composition. Preferably, each drop of an ophthalmic composition in solution can correspond to about 20  $\mu$ L to about 70  $\mu$ L of ophthalmic composition.

In certain embodiments, the ophthalmic composition can be administered to an eye for treating DES by placing one to two drops or more in each eye, 1 to 24 times daily. For example, the ophthalmic composition can be applied, 1, 2, 3, 4, 8, 12, 18 or 24 times a day, or more. In certain embodiments, the ophthalmic composition can be

applied by placing one or two drops in each eye, once daily or twice daily, or three times daily, or four times daily. For example and not limitation, the composition can be applied by placing one drop in each eye four times daily, including, for example, in the morning, noon, afternoon, and evening.

5           In certain embodiments, the method of treating DES includes administering a composition human T $\beta$ 4 or fragments thereof to a subject in any suitable or therapeutically effective amount, *e.g.*, from about 0.001 percent by weight to about 90 percent by weight of the composition, from about 0.001 percent by weight to about 1 percent by weight, from about 0.001 percent by weight to about 10 percent by weight, 10   from about 0.001 percent by weight to about 20 percent by weight, from about 0.001 percent by weight to about 30 percent by weight, from about 0.001 percent by weight to about 40 percent by weight, from about 0.001 percent by weight to about 50 percent by weight, from about 0.001 percent by weight to about 60 percent by weight, from about 0.001 percent by weight to about 70 percent by weight, from about 0.001 percent by 15   weight to about 80 percent by weight, from about 0.01 percent by weight to about 90 percent by weight, from about 0.01 percent by weight to about 1 percent by weight, from about 0.01 percent by weight to about 10 percent by weight, from about 0.01 percent by weight to about 20 percent by weight, from about 0.01 percent by weight to about 30 percent by weight, from about 0.01 percent by weight to about 40 percent by weight, 20   from about 0.01 percent by weight to about 50 percent by weight, from about 0.01 percent by weight to about 60 percent by weight, from about 0.01 percent by weight to about 70 percent by weight, from about 0.01 percent by weight to about 80 percent by weight, from about 0.1 percent by weight to about 90 percent by weight, from about 0.1 percent by weight to about 1 percent by weight, from about 0.1 percent by weight to 25   about 10 percent by weight, from about 0.1 percent by weight to about 20 percent by weight, from about 0.1 percent by weight to about 30 percent by weight, from about 0.1 percent by weight to about 40 percent by weight, from about 0.1 percent by weight to about 50 percent by weight, from about 0.1 percent by weight to about 60 percent by weight, from about 0.1 percent by weight to about 70 percent by weight, from about 0.1 30   percent by weight to about 80 percent by weight, or any range in between, of the composition. In certain embodiments, the method of treating DES includes administering a composition human T $\beta$ 4 or fragments thereof to a subject at about 0.05%

by weight. In certain embodiments, the method of treating DES includes administering a composition human T $\beta$ 4 or fragments thereof to a subject at about 0.1% by weight.

## EXAMPLES

5           The following examples are merely illustrative of the presently disclosed subject matter and they should not be considered as limiting the scope of the disclosed subject matter in any way.

### **Example 1: Safety and efficacy of 0.05% and 0.1% T $\beta$ 4 ophthalmic composition**

#### Study objectives

10           The objective of this study was to compare the safety and efficacy of 0.05% T $\beta$ 4 ophthalmic composition and 0.1% T $\beta$ 4 ophthalmic composition to placebo for the treatment of the signs and symptoms of dry eye.

#### Materials and methods

15           This study was a multicenter, randomized, double-masked study designed to evaluate the efficacy and safety of 0.05% and 0.1% T $\beta$ 4 ophthalmic solution compared to placebo in subjects with dry eye. 317 male and female subjects who were at least 18 years of age, had a subject-reported history of dry eye in both eyes and met all other study eligibility criteria were randomized to receive either 0.05% T $\beta$ 4, 0.1% T $\beta$ 4 or  
20           placebo at a ratio of 1:1:1 (105:107:105 subjects in each treatment group, respectively).

          The study consisted of two periods: a 7-day run-in period and a 28-day treatment period. A flow chart of the study is presented in Figure 1.

          The CAE is a clinical model that provides a standardized approach to studying investigational treatments of dry eye. The model exacerbates the signs and symptoms of  
25           dry eye (e.g. corneal staining and ocular discomfort) in a controlled manner by regulating humidity, temperature, airflow, lighting conditions and visual tasking within the CAE chamber.

#### Patients and selection criteria

          Eligible patients were 18 years or older, had a reported history of dry eye for at  
30           least 6 months prior to enrollment, and had a history of eye drop use for dry eye symptoms within the previous 6 months. Patients had to have a tear film breakup time (TFBUT)  $\leq$  10 seconds, unanesthetized Schirmer tear test (mm/5 minutes) of  $\geq$ 1 and  $\leq$  10, a sum corneal fluorescein staining score of  $\geq$ 4, based on the sum of the central,

superior, and inferior regions of the cornea with the fluorescein staining scale (reported for each region on a 0–4 scale).

If initial screening requirements were met, patients were required to demonstrate an increase in fluorescein staining following exposure in the CAE. Additionally, patients had to report a worsening in ocular discomfort score (a five-point [0–4] scale, where 0 = none and 4 = severe) during exposure to the CAE. All patients had to have a corrected visual acuity  $\geq$  logarithm of the minimum angle of resolution (logMAR) +0.7 in both eyes. Patients who met the selection criteria at visit 1 were initiated on self-administered, placebo solution for 7 days until visit 2 (day 1). After this run-in period, at visit 2, eligible patients were required to meet all assessments as described for visit 1 above.

### Interventions

The clinical dosage form and packaging of T $\beta$ 4 ophthalmic solution and the placebo ophthalmic solutions were identical sterile, low-density polyethylene unit-dose non-preserved bottles. They were packaged in foil-wrap pouches to prevent light exposure, each containing single-use bottles. Throughout the study, between day 1 and day 29, patients were instructed to instill one drop of study medication in each eye four times daily, once in the morning, noon, afternoon and in the evening before bed. Patients were assigned randomization kit numbers in strict numerical sequence, using a code generated by an independent biostatistician. All investigators, study and site personnel, and patients were masked to the treatment assignments.

### Outcome measures

Patients were evaluated on day 8 (visit 3), day 15 (visit 4), and day 29 (visit 5) during the dosing period. Exposure to the CAE occurred on days 14 and 28. At each study visit, a panel of dry eye signs and symptoms and safety measures were evaluated (including both before [pre-CAE] and after [post-CAE] exposure).

The sign endpoints assessed at each visit, both pre- and post-CAE, included Fluorescein Staining (in three regions: inferior, superior and central cornea, with scores provided in single regions and sum of three regions), TFBUT, and unanesthetized Schirmer's test (measured pre-CAE and/or post-CAE).

The symptom endpoints assessed at each visit (both pre- and post-CAE) were ocular discomfort (Ocular Discomfort Scale, using a five-point [0–4] scale, where 0 = none and 4 = severe). Ocular discomfort was also graded during exposure to the CAE.

### Study Results



### Fluorescein Staining Score in Total Cornea Region

A 28-day treatment (visit 5) with 0.05% and 0.1% T $\beta$ 4 elicited improvements on total corneal staining in subjects. Subjects were grouped by the severity of TFBUT at baseline (pre-CAE) and the change of fluorescein staining score for the total cornea from baseline of each sub-group was analyzed. For example, as shown in Figure 2A, the subjects were grouped into the 100%, 75%, 50%, and 25% quartile groups. As illustrated in Figure 2A, there was a distinction of the fluorescein staining score between the T $\beta$ 4-treated and placebo-treated groups after the 28-day treatment (visit 5) with 0.05% and 0.1% T $\beta$ 4 in all quartile groups. For example, when compared with baseline (visit 2) to visit 5, the fluorescein staining score change in total cornea region was 0.83 in placebo group, 0.075 in the 0.05% T $\beta$ 4-treated group and 0.10 in 0.1% T $\beta$ 4-treated group in the 25% quartile group. The lower fluorescein staining score change indicates less defect in cornea, whereas a high fluorescein staining change indicates the worsening of defect.

Figure 2B provides a plot of the change of fluorescein staining score in total cornea region at different time points. The change of fluorescein staining score in total cornea region of the about 25% subpopulation group was measured at day 8 (visit 3), day 15 (visit 4), and day 29 (visit 5). As shown in Figure 2B, 7-day, 14-day, and 28-day treatments with 0.05% T $\beta$ 4 elicited significant improvements on total corneal staining in subjects. Moreover, 14-day and 28-day treatments with 0.1% T $\beta$ 4 elicited significant improvements on total corneal staining in subjects.

These results indicated therapeutic effects of the T $\beta$ 4 treatment on reducing ocular surface damage of DES patients. Particularly, these results indicated that the T $\beta$ 4 treatment can be more effective in patient groups with decreased tear film stability.

### Fluorescein Staining Score in Inferior Region

The 28-day treatment (visit 5) with 0.05% and 0.1% T $\beta$ 4 elicited significant improvements on corneal staining in inferior region. Subjects were grouped by the severity of TFBUT at baseline (pre-CAE) as discussed above and the change of fluorescein staining score for the inferior region of cornea from baseline of each sub-group was analyzed. As shown in Figure 3A, there was a distinction of the fluorescein staining score in inferior region between the T $\beta$ 4-treated and placebo-treated groups after the 28-day treatment (visit 5) with 0.05% and 0.1% T $\beta$ 4 in 75%, 50%, and 25% quartile groups. For example, when compared with baseline (visit 2) to visit 5, the fluorescein

staining score change in inferior region was 0.39 in placebo group, 0.20 in the 0.05% T $\beta$ 4-treated group and -0.04 in 0.1% T $\beta$ 4-treated group in the 25% subpopulation group.

Figure 3B provides a plot of the change of fluorescein staining score in inferior cornea region at different time points. The change of fluorescein staining score in inferior cornea region of the 50% subpopulation group was measured at day 8 (visit 3), day 15 (visit 4), and day 29 (visit 5). As shown in Figure 3B, 7-day, 14-day, and 28-day treatments with 0.05% and 0.1% T $\beta$ 4 elicited significant improvements on corneal staining in subjects.

The subjects were grouped into the lower tear film stability group and the higher tear film stability group. In the subjects group of the lower tear film stability (the patients had the tear film break up time shorter than the median value of total population) at baseline, there was a distinction between the T $\beta$ 4-treated and placebo-treated groups after the 28-day treatment (visit 5) with 0.05% and 0.1% T $\beta$ 4. When compared with baseline (visit 2) to visit 5, the fluorescein staining score change in inferior region was 0.400 in placebo group, 0.120 in the 0.05% T $\beta$ 4-treated group and 0.009 in 0.1% T $\beta$ 4-treated group. The lower fluorescein staining score present the patient indicates less defect in cornea, whereas a high fluorescein staining change indicates the worsening of defect.

In the higher tear film stability group, however (the patients had the tear film break up time longer than the median value of total population) at baseline, the fluorescein staining score change in inferior region from baseline was 0.094 in placebo group, 0.444 in the 0.05% T $\beta$ 4-treated group and 0.245 in 0.1% T $\beta$ 4-treated group.

When comparing the mean difference between T $\beta$ 4-treated group and placebo, the T $\beta$ 4-treated groups showed less worsening of defects in the lower tear film stability group than the placebo group (the negative score means the less worsening than placebo group). But in higher tear film stability group, the mean difference between T $\beta$ 4-treated group and placebo showed the positive value, i.e., the more worsening of ocular damage.

These results indicated that significant reducing ocular surface damage effect of T $\beta$ 4-treated patients with low tear film stability.

Table 1. Fluorescein Staining Score in Inferior Region – Change from visit 2 (baseline) to visit 5

Subpopulation	Lower tear film stability group		Higher tear film stability group	
	Subject #	Change from baseline	Subject #	Change from baseline
Mean				
Placebo	55	0.400	48	0.094
0.05%	50	0.120	54	0.444
0.1%	48	0.009	53	0.245
Mean Difference between Tβ4 and Placebo (Tβ4 – Placebo)				
0.05%	-	-0.280	-	0.350
0.1%	-	-0.304	-	0.141

#### Tear Film Break Up Time

5 In the subjects group of the lower tear film stability (the patients had the tear film break up time shorter than the median value of total population, e.g., median value between 1-9 seconds) at baseline, there was a distinction between the Tβ4-treated and placebo-treated groups after the 28-day treatment (visit 5) with 0.05% and 0.1% Tβ4. When compared with baseline (visit 2) to visit 5, the tear film break up time change was 10 0.54 sec in placebo group and 0.74 sec in the Tβ4-treated group. The longer tear film break up time present the patient indicates better tear film stability.

In the higher tear film stability group, however (the patients had the tear film break up time longer than the median value of total population) at baseline, the change from baseline for tear film break up time was 0.05 sec in placebo group and 0.14 sec in 15 the Tβ4-treated group.

When comparing the mean difference between all Tβ4-treated groups and placebo, the mean difference between Tβ4-treated group and placebo showed that the Tβ4 treatment group had the better tear film stability (Tβ4 treatment group vs. placebo = 0.20 vs. 0.09).

20 These results indicated that significant increasing tear film stability effect of Tβ4-treated patients with a low tear film stability.

Table 2. Tear Film Break Up Time – Change from visit 2 (baseline) to visit 5

Subpopulation	Lower tear film stability group		Higher tear film stability group	
	Subject #	Change from baseline (sec)	Subject #	Change from baseline (sec)
Mean				
Placebo	55	0.54	48	0.05
Tβ4	98	0.74	106	0.14
Mean Difference between Tβ4 and Placebo (Tβ4 – Placebo)				
Tβ4	-	0.20		0.09

#### Ocular Discomfort Score Change during exposure to the CAE

The 28-day treatment (visit 5) with 0.05% and 0.1% Tβ4 elicited improvements on ocular discomfort in subjects with dry eye. Subjects were grouped by the severity of ocular discomfort at baseline (beginning of CAE) and the change of ocular discomfort during exposure to the CAE chamber of each sub-group was analyzed. For example, as shown in Figure 4, the subjects were grouped into the ITT, >0, >1, and >2 subpopulation groups. The ITT subpopulation group included every subject who was randomized. The subjects in the >0 subpopulation group had an ocular discomfort score more than about 0 at visit 2. The subjects in the >1 subpopulation group had an ocular discomfort score more than about 1 at visit 2. The subjects in the >2 subpopulation group had an ocular discomfort score more than about 2 at visit 2. As shown in Figure 4, following the 28-day treatment with 0.05% and 0.1% Tβ4, there was a distinction between the active and placebo treatment group in all subpopulation groups. Particularly, the ocular discomfort score change from the beginning to the end of CAE was 1.7 in placebo group of the >2 subpopulation. However, the ocular discomfort score change was only 1.34 in the 0.05% Tβ4-treated group and 1.39 in the 0.1% Tβ4-treated group. Comparison of the changes shows a lower increase for 0.1% and 0.05% Tβ4-treated subjects than placebo-treated subjects.

The subjects were grouped into the higher symptomatic subjects group and the lower symptomatic subjects group, based on predetermined ocular discomfort scores. In the higher symptomatic subjects group (a subject had an ocular discomfort score of 2 or 3) at baseline, a distinction between the Tβ4-treated and placebo-treated treatment

groups after the 28-day treatment (visit 5) with Tβ4 was observed. When compared with baseline (visit 2) to visit 5, the ocular discomfort score change from the beginning to the end of CAE was 0.50 in placebo group, 0.23 in the 0.05% Tβ4-treated group and 0.06 in 0.1% Tβ4-treated group. The lower ocular discomfort score indicates discomfort, and the low ocular discomfort change indicates a high dampening effect (a protective effect) to the exacerbating condition.

The lower symptomatic subject group (subject had ocular discomfort score 0 and 1) at baseline (visit 2), when compared with baseline (visit 2) to visit 5, the ocular discomfort score change from the beginning to the end of CAE was -0.86 in placebo group, -0.05 in the 0.05% Tβ4-treated group and -0.61 in 0.1% Tβ4-treated group.

These results indicated that Tβ4 treatment caused a significant dampening of the effect of Tβ4 to the CAE, i.e., a protective effect against adverse stimuli, in ocular discomfort change during CAE. The change in response from visit 2 to visit 5 was significantly different in the Tβ4 eye drops versus placebo treated eyes, with Tβ4-treated patients mitigating challenge effects.

Table 3. Ocular Discomfort Score Change during CAE – Change from visit 2 (baseline) visit 5

Subpopulation	Ocular discomfort = 2, 3		Ocular discomfort = 0, 1	
	Subject #	Change during CAE from baseline to visit 5	Subject #	Change during CAE from baseline to visit 5
Placebo	90	0.50	14	-0.86
0.05%	83	0.23	19	-0.05
0.1%	84	0.06	18	-0.61

#### Tear Amount

Increase in tear production was observed in the study. Schirmer's test results showed a change from a baseline of 0.26 in the placebo group, 0.88 in the 0.05% Tβ4-treated group and 0.67 in 0.1% Tβ4-treated group. This result indicated that the Tβ4 increased the tear amount in the dry eye patient. A low Schirmer's test result is indicative that the patient has small tear amount. Accordingly, a large positive change in

Schirmer's test indicates an increase in tear amount and a negative change indicates a decrease of tear amount.

Moreover, in the higher corneal fluorescein staining group (i.e., the patients with a total corneal fluorescein staining score more than 5) at baseline, there was a distinction between the active and placebo treatment groups after the 28-day treatment (visit 5) with 0.05% and 0.1% Tβ4. When compared with baseline to visit 5, the Schirmer's test results were -1.00 in placebo group, 1.59 in the 0.05% Tβ4-treated group and 0.65 in 0.1% Tβ4-treated group (Table 4 below).

The lower corneal fluorescein staining group, however, (i.e., the patients with a corneal fluorescein staining score not more than 5) at baseline, the change of Schirmer's test results from baseline were 1.08 in placebo group, 0.45 in the 0.05% Tβ4-treated group and 0.65 in 0.1% Tβ4-treated group (Table 4 below).

This result indicated that the Tβ4 increased the tear amount in the severe dry eye patient group.

Table 4. Tear amount change from visit 2 (baseline) to visit 5 using Schirmer's test

Subpopulation*	higher corneal fluorescein staining group		lower corneal fluorescein staining group	
	Subject #	Change from baseline	Subject #	Change from baseline
Placebo	43	-1.00	60	1.08
0.05%	39	1.59	64	0.45
0.1%	48	0.65	54	0.65

## **Example 2: Safety and efficacy of 0.05% and 0.1% Tβ4 ophthalmic composition in combination with artificial tears**

### **Study Objectives**

The objective of this study is to compare the safety and efficacy of 0.05% Tβ4 ophthalmic composition in combination with artificial tears and 0.1% Tβ4 ophthalmic composition in combination with artificial tears to placebo for the treatment of the signs and symptoms of dry eye.

## Materials and methods

This study is a multicenter, randomized, double-masked study designed to evaluate the efficacy and safety of 0.05% and 0.1% Tβ4 ophthalmic solution in combination with artificial tears compared to placebo in subjects with dry eye.

## 5 Patients and selection criteria

Eligible patients are 18 years or older, have a reported history of dry eye for at least 6 months prior to enrollment, and have a history of eye drop use for dry eye symptoms within the previous 6 months.

The clinical dosage form and packaging of Tβ4 ophthalmic solution in combination with artificial tears and the placebo ophthalmic solutions are identical sterile, low-density polyethylene unit-dose non-preserved bottles. They are packaged in foil-wrap pouches to prevent light exposure, each containing single-use bottles. Throughout the study, between day 1 and day 29, patients are instructed to instill one drop of study medication in each eye four times daily, once in the morning, noon, afternoon and in the evening before bed. Patients are assigned randomization kit numbers in strict numerical sequence, using a code generated by an independent biostatistician. All investigators, study and site personnel, and patients are masked to the treatment assignments.

Results indicate that the Tβ4 in combination with artificial tears increase the tear film stability and reduce ocular surface damage of Tβ4-treated patients with a low tear film stability and the protective effect against adverse stimuli in the more severe symptomatic dry eye patients. Moreover, the treatment of Tβ4 in combination with artificial tears increases the tear amount in the severe dry eye patient group.

25 \* \* \*

All patents, patent applications, publications, product descriptions and protocols, cited in this specification are hereby incorporated by reference in their entireties. In case of a conflict in terminology, the present disclosure controls.

30 While it will become apparent that the subject matter herein described is well calculated to achieve the benefits and advantages set forth above, the presently disclosed subject matter is not to be limited in scope by the specific embodiments described herein. It will be appreciated that the disclosed subject matter is susceptible to modification,

variation and change without departing from the spirit thereof. Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments described herein. Such equivalents are intended to be encompassed by the following claims.



**WHAT IS CLAIMED IS:**

1. A method of increasing tear amounts in a subject in need thereof, wherein the method comprises delivering a composition containing thymosin beta 4 (T $\beta$ 4), T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET or LKKTNT, or variants thereof to an affected eye of the subject.
2. The method of claim 1, wherein the subject has dry eye syndrome (DES) characterized by a tear volume test score of less than about 10 mm in the affected eye.
3. A method of increasing tear film stability in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET or LKKTNT, or variants thereof to an affected eye of the subject.
4. The method of claim 3, wherein the subject has DES characterized by a tear film break up time of less than about 10 seconds in the affected eye.
5. A method of decreasing ocular surface damage in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET or LKKTNT, or variants thereof to an affected eye of the subject.
6. The method of claim 5, wherein the subject has DES characterized by a fluorescein staining score of about 4 or higher in the affected eye.
7. The method of claim 5, wherein the subject has DES characterized by a tear film break up time of less than about 10 seconds in the affected eye.
8. A method of decreasing ocular discomfort in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET or LKKTNT, or variants thereof to an affected eye of the subject.
9. The method of claim 8, wherein the subject has DES characterized by an ocular discomfort score of about 2 or higher in the affected eye.
10. The method of claim 9, wherein the ocular discomfort scale of the affected eye is about 3 or higher during exposure to an adverse environment.
11. A method of treating DES in a subject in need thereof, wherein the method comprises delivering a composition containing T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET or LKKTNT, or

variants thereof to an affected eye of the subject, wherein the DES is characterized by decreased tear amount, decreased tear film stability, increased ocular surface damage, increased ocular discomfort, or combinations thereof.

12. The method of any one of the preceding claims, wherein the composition comprises about 0.05% - about 0.1% by weight T $\beta$ 4 or fragments thereof.

13. The method of any one of the preceding claims, wherein the composition is formulated as a solution, suspension, semi-liquid, semi-solid gel, gel, ointment or cream.

14. The method of any one of the preceding claims, wherein the solution is delivered to the subject in a form of eye drops.

15. The method of any one of the preceding claims, wherein the method further comprises delivering artificial tears to the affected eye of the subject.

16. The method of any one of the preceding claims, wherein the artificial tears are delivered simultaneously with the composition.

17. The method of any one of the preceding claims, wherein the artificial tears and the composition are delivered sequentially.

18. The method of any one of the preceding claims, wherein the composition further comprises artificial tears.

19. The method of any one of the preceding claims, wherein the composition is delivered to the subject at least once per day but no more than four times per day.

20. The method of any one of the preceding claims, wherein the composition is delivered to the subject once, twice, three, or four times per day.

21. The use of an ophthalmic composition comprising an effective amount of T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET or LKKTNT, or variants thereof in the manufacture of a medicament for the treatment of DES in a subject in need thereof, wherein the DES is characterized by decreased tear amount, decreased tear film stability, increased ocular surface damage, increased ocular discomfort, and combinations thereof.

22. An ophthalmic composition comprising an effective amount of T $\beta$ 4, T $\beta$ 4 fragments, T $\beta$ 4 isoforms, T $\beta$ 4 derivatives, peptide agents including amino acid sequence LKKTET or LKKTNT, or variants thereof or use in a method for treating DES in a subject in need thereof, wherein the DES is characterized by decreased tear amount, decreased tear film stability, increased ocular surface damage, increased ocular discomfort, and combinations thereof.

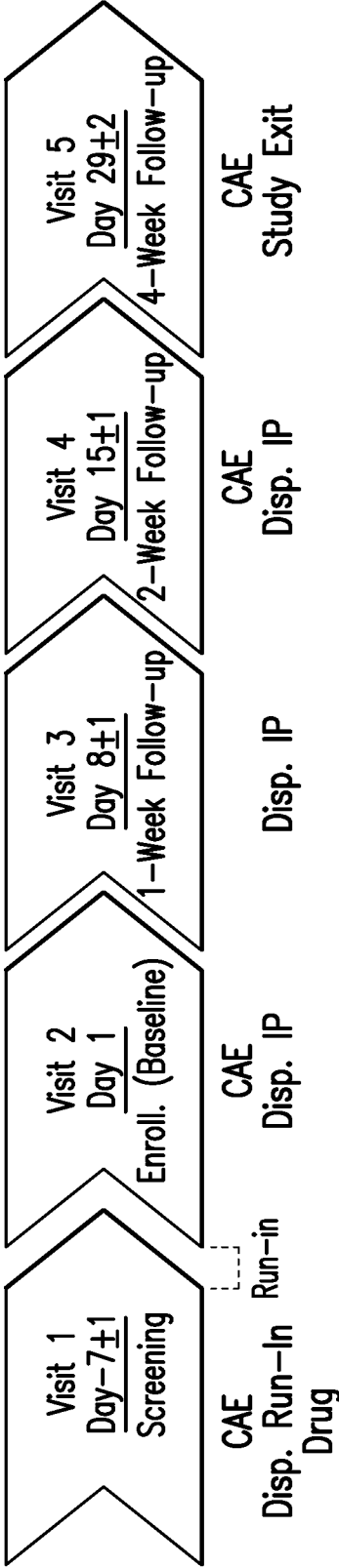


FIG. 1

2/4

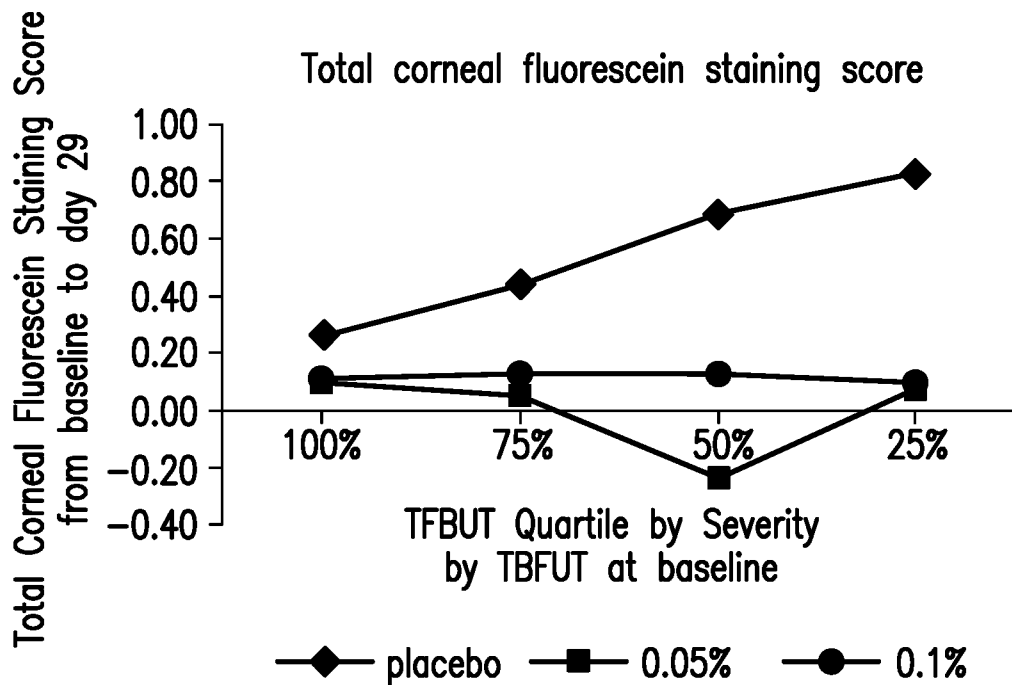


FIG. 2A

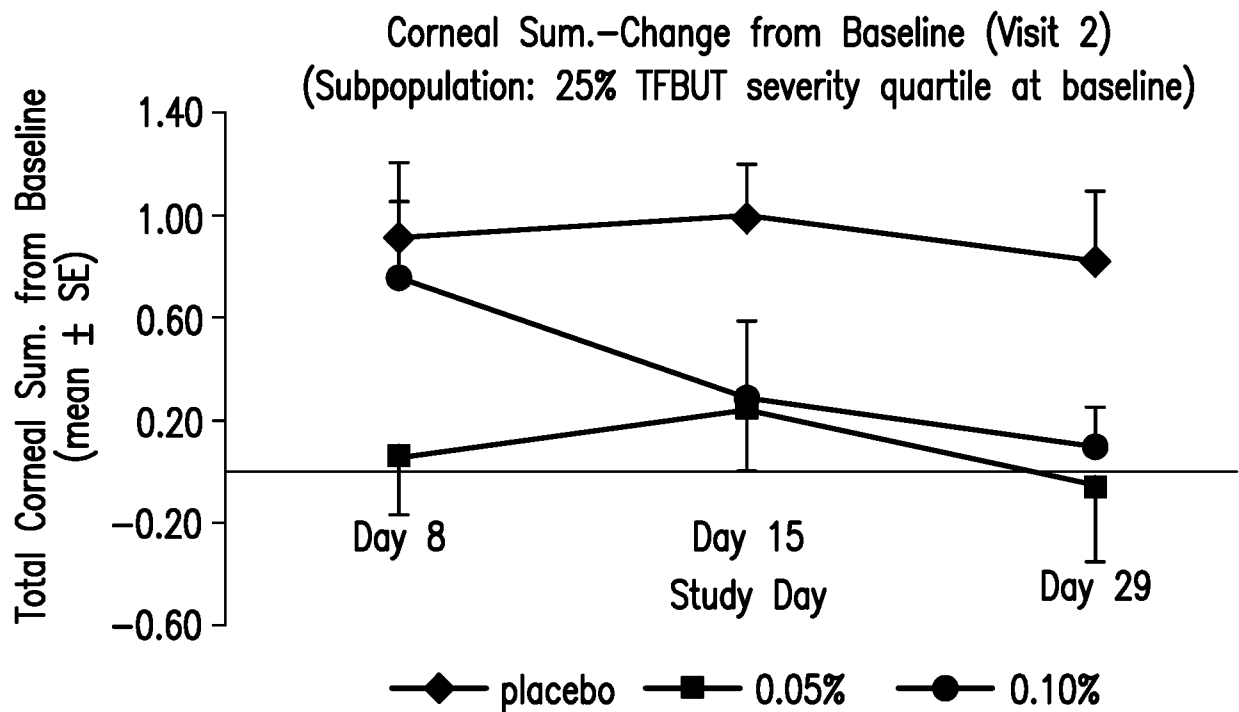


FIG. 2B

3/4

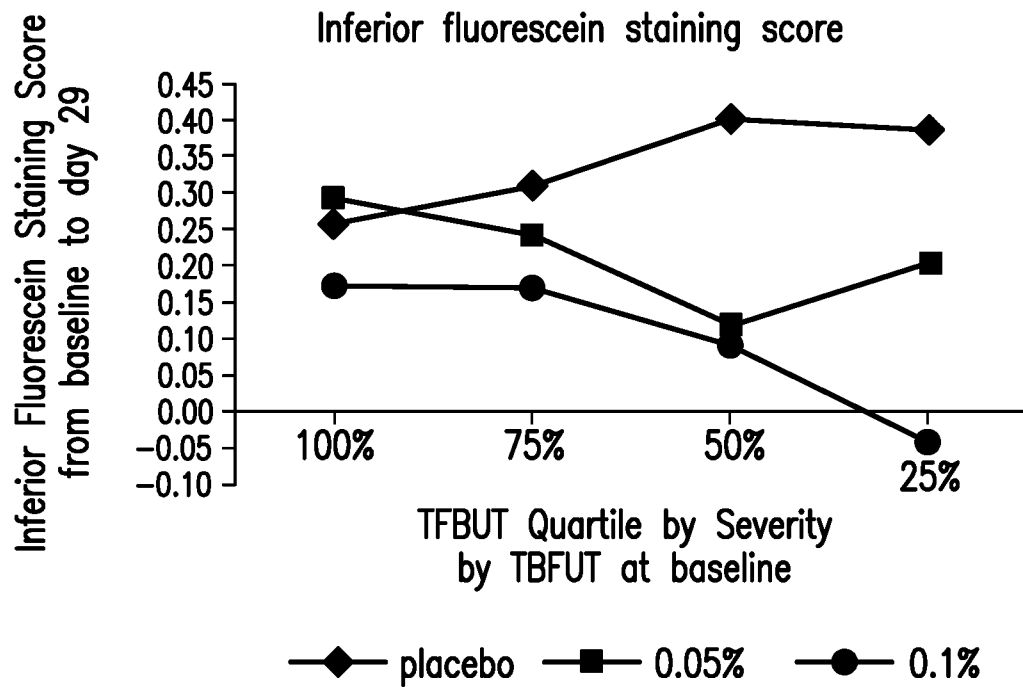


FIG. 3A

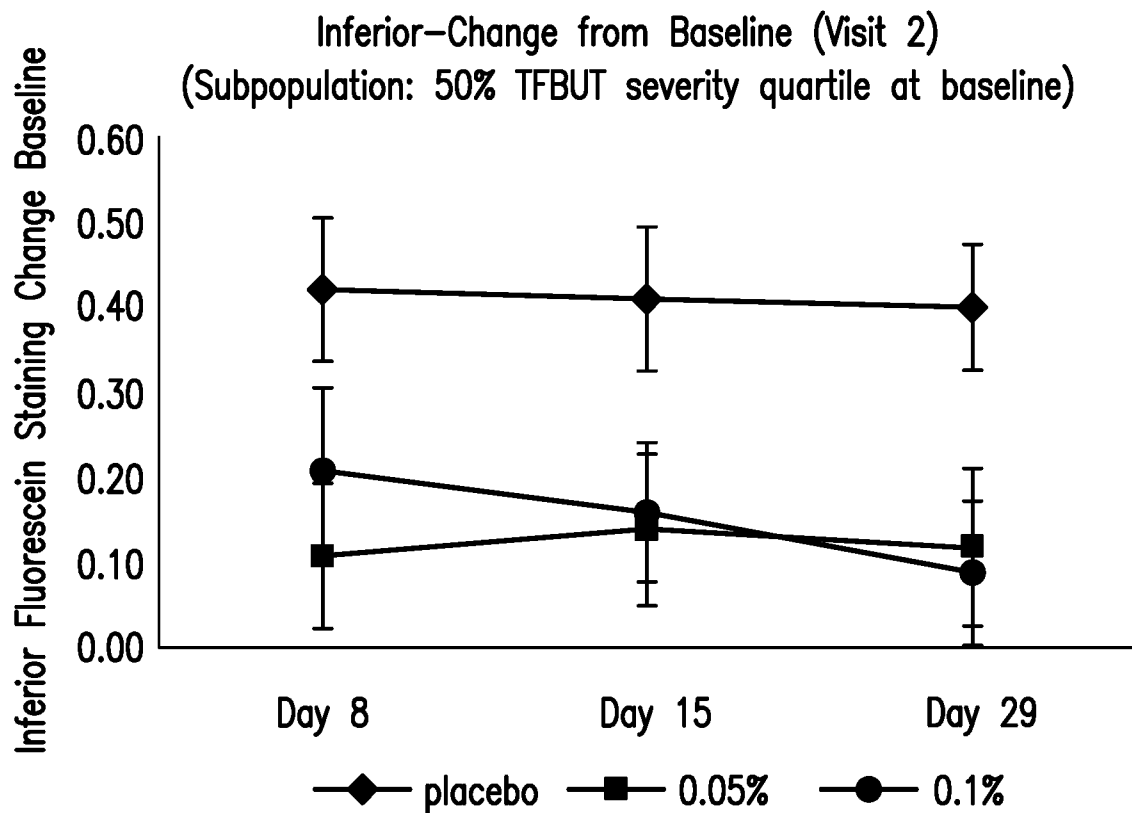


FIG. 3B

4/4

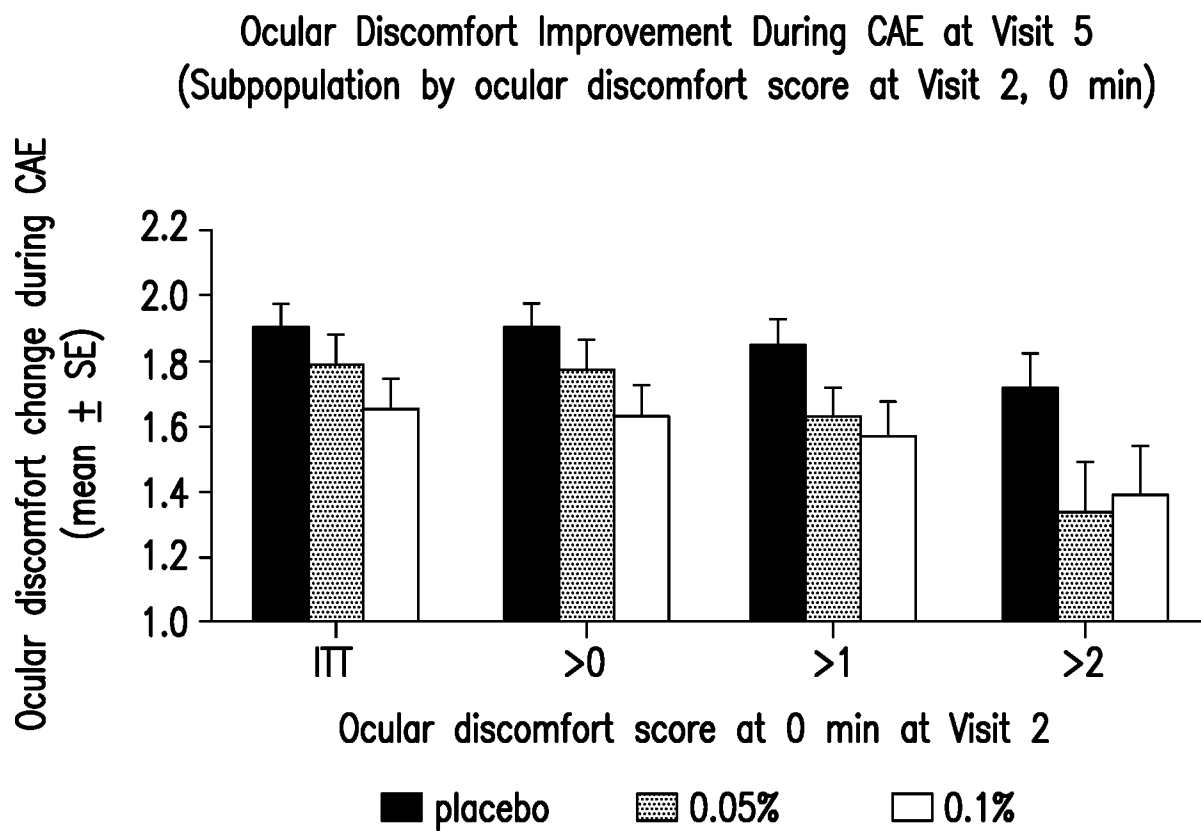


FIG. 4

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/42382

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61K 38/04, A61K 38/16, A61K 38/17, A61K 47/02 (2017.01)

CPC - A61K 38/04 A61K 38/16 A61K 38/17 A61K 9/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History Document

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ----- Y	US 2008/0096817 A1 (GOLDSTEIN) 24 April 2008 (24.04.2008); para [0005], [0006], [0007], [0010], [0011], [0026]	1, 3, 5, 8, 11, 12/( 1, 3, 5, 8, 11) and 21-22 ----- 2, 4, 6-7, 9-10 and 12/( 2, 4, 6-7, 9-10)
Y	US 2013/0303557 A1 (PFIZER INC) 14 November 2013 (14.11.2013); para [0067], [0069], Table 1	2, 4, 6-7, 9-10 and 12/( 2, 4, 6-7, 9-10)

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

7 September 2017 (07.09.2017)

Date of mailing of the international search report

26 SEP 2017

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents  
P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-8300

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300  
PCT OSP: 571-272-7774

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/42382

**Box No. 1** Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing:

a. ☒ forming part of the international application as filed:

☒ in the form of an Annex C/ST.25 text file.

☐ on paper or in the form of an image file.

b. ☐ furnished together with the international application under PCT Rule 13ter.1(a) for the purposes of international search only in the form of an Annex C/ST.25 text file.

c. ☐ furnished subsequent to the international filing date for the purposes of international search only:

☐ in the form of an Annex C/ST.25 text file (Rule 13ter.1(a)).

☐ on paper or in the form of an image file (Rule 13ter.1(b) and Administrative Instructions, Section 713).

2. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that forming part of the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

3. Additional comments:



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/42382

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 13-20  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.