# (19) World Intellectual Property Organization

International Bureau





### (43) International Publication Date 12 March 2009 (12.03.2009)

(10) International Publication Number WO 2009/032932 A1

- (51) International Patent Classification: A61M 16/00 (2006.01)
- (21) International Application Number:

PCT/US2008/075266

(22) International Filing Date:

4 September 2008 (04.09.2008)

- (25) Filing Language: **English**
- (26) Publication Language: English
- (30) Priority Data:

60/970,779 7 September 2007 (07.09.2007) US

- (71) Applicant (for all designated States except US): GALEMED CORPORATION [CN/CN]; 87, Li-Gong, 2nd Road, 268 Wu-jia, I-Lan (TW).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): LEE, Gary, C.J. [CN/CN]: 10F, 109, sec. 6 Min-chiuan E, Road, 114 Taipei (TW). CHEN, Lorence [CN/CN]; 87, Li-gong, 2nd Road, 268 Wu-Jia, I-Lan (TW). LOESCHER, Thomas, C. [US/US]; Via Quatro Caminos, Rancho Santa Fe, CA 92067 (US).
- Agent: MALLON, Joseph, J.; Knobbe Martens Olson & Bear LLP, 2040 Main Street, 14th Floor, Irvine, CA 92614 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

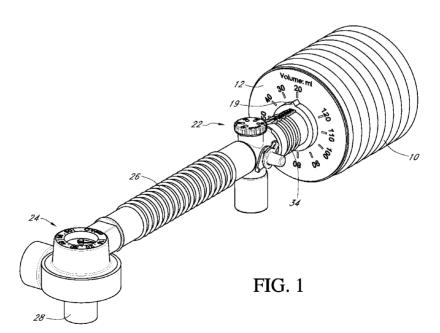
#### **Declaration under Rule 4.17:**

of inventorship (Rule 4.17(iv))

#### **Published:**

with international search report

(54) Title: ADJUSTABLE VOLUME MANUAL RESUSCITATION BAG ASSEMBLY



(57) Abstract: An adjustable volume control manual resuscitation bag assembly includes a resuscitation bag, a volume control knob, a traction assembly operating in response to adjustment of the control knob, and a follower assembly including a bag compression limiting member and a follower which are moved in response to operation of the traction assembly to adjust and limit the volume of gas delivered by compression of the bag.

### ADJUSTABLE VOLUME MANUAL RESUSCITATION BAG ASSEMBLY

### **BACKGROUND OF THE INVENTION**

[0001] Volume control of manual resuscitation bags is an important feature not presently found on commercially available manual resuscitation equipment. Volume control and adjustment is especially important, and may be critical, for resuscitating infants, particularly neonatal care patients. Typical infant and neonatal resuscitation bags have a volume capacity of about 100 ml. Yet for very small patients with such limited lung capacity, it is desirable to limit the volume delivered to the infant or prenatal patient to less than 100 ml, e.g., between about 10 ml and about 60 ml or between about 20 ml and about 80 ml per bag compression. It is to such a volume controllable manual resuscitation bag assembly that the present invention is directed.

### SUMMARY OF THE INVENTION

[0002] The following description is directed to a manual resuscitation bag apparatus having components capable of providing operator selection and adjustment of the volume of gas delivered to a patient with each resuscitation bag compression. In a preferred embodiment, the resuscitation bag is a bellows or accordion-type bag having a total bag capacity of about 120 ml. The bag is compressed by the operator depressing the distal end of the bag toward the proximal end of the bag.

[0003] The volume selection and adjustment components include a rotatable volume control member secured on the outside of the bag, preferably at the distal end, and volume adjustment components located within the bag. In a preferred embodiment, the volume adjustment components include a follower assembly comprising a bag compression stop member and a follower device movable axially in the bag from the distal end toward the proximal end, and traction assembly components cooperating with the volume control member for moving the follower assembly in response to rotation of the volume control member.

[0004] In a first preferred embodiment, a follower assembly is rotated by a rotatable guide member secured to the volume control member. The follower assembly is

moved axially in response to a traction device cooperating with a helical track formed on the follower assembly to urge a rotating follower device axially. The guide member also cooperates with the traction device to support the follower assembly.

[0005] In a second preferred embodiment, the follower assembly does not rotate but is urged axially by a rotatable traction device secured to the volume control member, the traction device cooperating with a helical track formed on the follower assembly. A stationary guide member cooperates with the follower assembly to assist in directing its axial movement. The guide member also cooperates with the traction device to support the follower assembly position in the bag.

[0006] More specific features of the various components, configurations, assembly and operation of the apparatus will be described in the following detailed description.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0007] Figs. 1 and 2 are perspective views from the proximal and distal ends of the manual resuscitation bag assembly, respectively;

[0008] Fig. 3 is an exploded view showing components of the volume control assembly of the apparatus;

[0009] Figs. 4 and 5 are proximal and distal sectional views, respectively, showing the internal components of the volume control and volume adjustment assemblies; and

[0010] Fig. 6 is a perspective view of volume adjustment assembly components showing other features thereof.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0011] Figs. 1 and 2 are external views showing various components of a preferred manual resuscitation bag assembly. Major components illustrated include a resuscitation bag 10, pressure relief valve assembly 22, non-rebreathing valve assembly 24 and an extension tube 26. At the patient end of the apparatus, i.e., the proximal end, is located the non-rebreathing valve assembly 24 and a patient adapter 28. Typically, when

resuscitating a patient, a face mask is used which is attached to the patient adapter 28. Details of components, designs and operation of a pressure relief valve and a non-rebreathing valve assembly are not described in further detail herein. The extension tube 26 is preferably flexible, and, for example, a corrugated gas delivery tube may be used.

- [0012] The resuscitation bag 10, as shown, is preferably an accordion or bellows-type which is self-inflating and self-rebounding. The bag is manually compressed by an operator depressing the bag at the distal end toward the proximal end or front of the bag, thereby displacing a volume of gas directed and delivered to the patient. The bag is preferably formed of silicon or polyurethane, although other materials such as polyethylene, polypropylene or polyvinylchloride may be used. The latter may be least preferred because of the possibility of undesirable volatile gaseous residues.
- [0013] Observing also Figs. 3-6, the volume control member 12 is rotatably secured at the proximal end of the resuscitation bag where it can be readily observed and selectively rotated by the user. The front or exposed face of the volume control member may be provided with indicia marking the different volumes to be selected and provided with lock recesses or detents 18 which cooperate with retractable volume control lock 34 to position the selected volume control member and prevent inadvertent rotation.
- [0014] The volume adjustment components includes a follower assembly and a traction assembly. The traction assembly includes a guide member secured to and extending from rotatable volume control member 12 and comprises a pair of shafts 11, 15, spaced apart to define an elongated slot 16. A follower assembly comprises a compression stop plate 13 to which is secured a follower device comprising a cylindrical sleeve 14 on which is formed an elongated flange 17. Observing also Figs. 3 and 6, flange 17 slidably engages elongated slot 16 and is movable along the slot when the volume adjustment assembly is rotated, as later explained. The pair of shafts and the slot form a guide for axial movement of the follower device 14 forward and backward (proximal and distal) within the resuscitation bag.
- [0015] The traction assembly also includes a traction device configured to contact and force movement of the follower device 14 and compression stop plate 13 in response to rotation of the volume control member 12. In the embodiment illustrated, this operation is controlled by a helical track 27 in the form of a helical groove or recess formed on the

interior surface of cylindrical sleeve 14 cooperating with lugs 23 and 25 at the ends of traction device arms 21, 29. The traction device arms extend from and are secured to gas inlet/outlet pipe 20. The traction device arms 21, 29 are stationary and are not driven by rotation of the volume control member.

[0016] In operation, as the volume control member 12 is rotated, the guide member shafts 11 and 15 cause rotation of follower device 14, and as the sleeve rotates, it is drawn axially, proximally or distally, as the helical track moves along the stationary traction assembly components. Thus, rotation of the volume control member 12 results in rotational and axial movement of stop plate 13 and follower device 14. The volume of gas displaced by compression of the distal end of the resuscitation bag is determined by the distance between the compression stop member plate 13 and the distal end of the bag. The volume selected by the user results in axial movement of the stop member plate 13 to a position which corresponds to the volume of gas which will be displaced between the compression stop plate and the distal end of the bag when the bag is compressed.

[0017] As an alternative design to that shown in the drawings and previously described, the stationary traction device may contact and operate on the outside of the follower device with the helical track formed on its exterior surface and with the guide member shafts extending into the follower device to force its rotation.

[0018] In another alternative configuration, not shown, the traction assembly comprises a traction device secured to the rotatable volume control member so that rotation of the volume control member will rotate the traction device. The traction device is provided with lugs, teeth or other protuberances received in or otherwise engaging the helical track formed on the outer surface of the follower device. The traction device may be in the form of a rotatable sleeve, or shafts or arms or equivalent components secured to and extending from the volume control plate and which rotate with the volume control plate to urge the follower assembly axially, forwardly or rearwardly, within the bag. The traction assembly also includes a stationary axial guide member rigidly secured to the gas inlet/outlet pipe. The guide member may comprise a flange or equivalent component received in an elongated slot on the interior surface of the follower device. Alternatively, the interior surface of the follower device may be provided with a flange or protuberance received in a slot formed on

the guide member. In either case, in this latter described embodiment, the traction device rotates while the follower assembly does not rotate but moves forward or backward (proximally or distally) within the bag.

[0019] As previously noted, the volume control lock 34 is retractable, and preferably biased to the locking position using a compression spring 33 which urges the volume control lock in a locked position against the face of the volume control member to prevent inadvertent rotation once the operator has rotated the member to the selected volume.

[0020] In a preferred embodiment illustrated in Fig. 1, an operator may select and adjust the volume delivered with each bag compression in 10 ml increments between 20 ml and 120 ml, as shown on the face of volume control member 12. However, these volumes are by way of example only. Incremental volume adjustments as well as total bag delivery volume may be modified by various means, for example, by changing the size of the resuscitation bag, modifying the pitch of the helical track groove, changing the design or structure of the volume adjustment components, such as the distance the follower assembly moves in response to rotation of the volume control member, adjusting the length of the shafts, and/or moving the position of the compression stop plate. These as well as other variations and modifications of the different components as well as the arrangement and interaction of components of the apparatus within the purviews of the disclosure and claims herein will be understood by those skilled in the art.

### WHAT IS CLAIMED IS:

1. A manual resuscitation bag assembly comprising:

- (a) a manually compressible resuscitation bag comprising an enclosed interior chamber having a gas inlet/outlet port at a proximal end thereof, wherein said resuscitation bag is compressible to exhaust a volume of air in response to compression at a distal end of said bag;
- (b) an axially movable volume adjustment assembly positioned in said interior chamber comprising a follower assembly including a bag compression stop member and a follower device rigidly secured thereto and extending proximally therefrom;
- (c) a rotatably movable volume control member secured at or adjacent to the proximal end of said resuscitation bag and a traction assembly cooperating therewith and configured to contact and force movement of said follower assembly in response to rotation of said volume control member; and
- (d) a gas inlet/outlet pipe communicating with said interior chamber via said gas inlet/outlet port and configured to direct a volume of air from said resuscitation bag in response to compression thereof.
- 2. An assembly of Claim 2 wherein said traction assembly includes a guide member comprising a plurality of shafts spaced apart to define one or more elongated slots, and wherein said follower device includes one or more flanges received in one or more of said slots, and wherein movement of said shafts forces movement of said follower device along said guide member.
- 3. An assembly of Claim 1 wherein said traction assembly includes a stationary traction device configured to cooperate with said follower device to direct axial movement thereof.
- 4. An assembly of Claim 3 wherein said follower device cooperates with said traction assembly and rotates in response to rotation of said volume control member, and wherein said follower device and said traction device contact one another and are configured to move said follower device axially in response to rotation of said guide member.

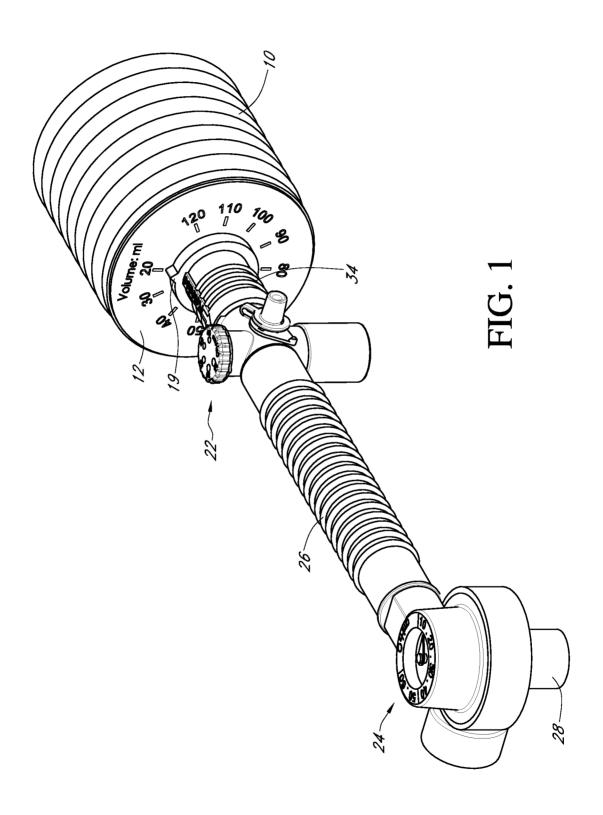
5. An assembly of Claim 4 wherein said follower device includes a helical track, and wherein said traction member is configured to cooperate with said helical track to provide said axial movement of said follower device in response to rotation of said volume control member.

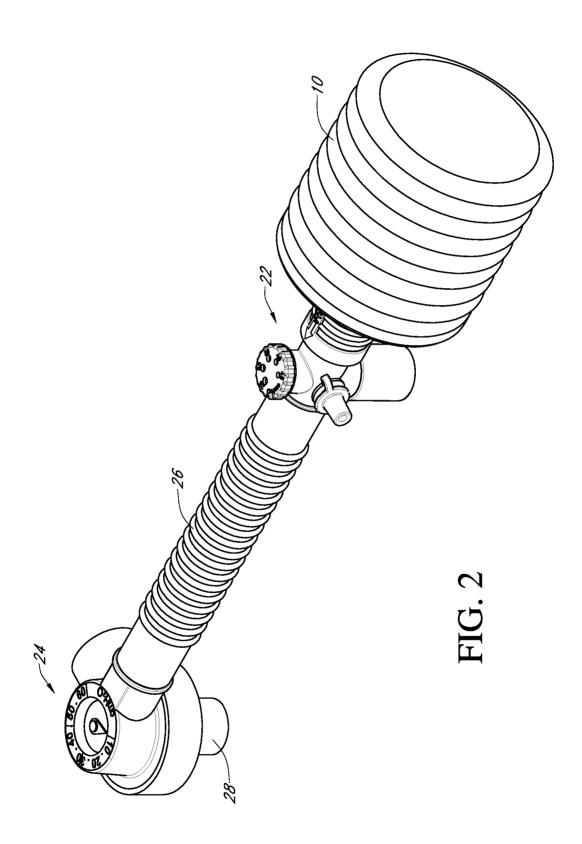
- 6. An assembly of Claim 5 wherein said helical track comprises a helical recess, and wherein said traction member includes one or more lugs received in said helical recess.
- 7. An assembly of Claim 6 wherein said follower device comprises a cylindrical sleeve, and wherein said helical track is formed along the interior of said cylindrical sleeve.
- 8. An assembly of Claim 7 wherein said traction member comprises an elongated stationary shaft extending within said cylindrical sleeve, and whereby rotation of said volume control member causes rotation of said follower device and axial movement thereof along said traction member.
- 9. An assembly of Claim 3 wherein said follower device comprises a cylindrical sleeve having a helical track formed along the exterior thereof, and wherein said traction assembly includes an elongated traction member extending along the exterior surface of said follower device and having one or more lugs received in said helical track.
- 10. An assembly of Claim 9 wherein said elongated traction member is rotatable in response to rotation of said volume control member, and wherein said follower device moves axially along said guide member in response to rotation of said volume control member.
  - 11. An assembly of Claim 1 wherein said bag compression stop comprises a plate.
- 12. An assembly of Claim 1 including a locking member configured for selectively locking said volume adjustment member.
- 13. An assembly of Claim 12 wherein said locking member is spring biased for locking and is retractable for selectively unlocking said volume control member.
- 14. An assembly of Claim 1 including a flexible tube having a first end communicating with said gas inlet/outlet pipe and a patient connector attached to a second end thereof.
- 15. An assembly of Claim 14 including a pressure relief valve cooperating with said gas inlet/outlet pipe.

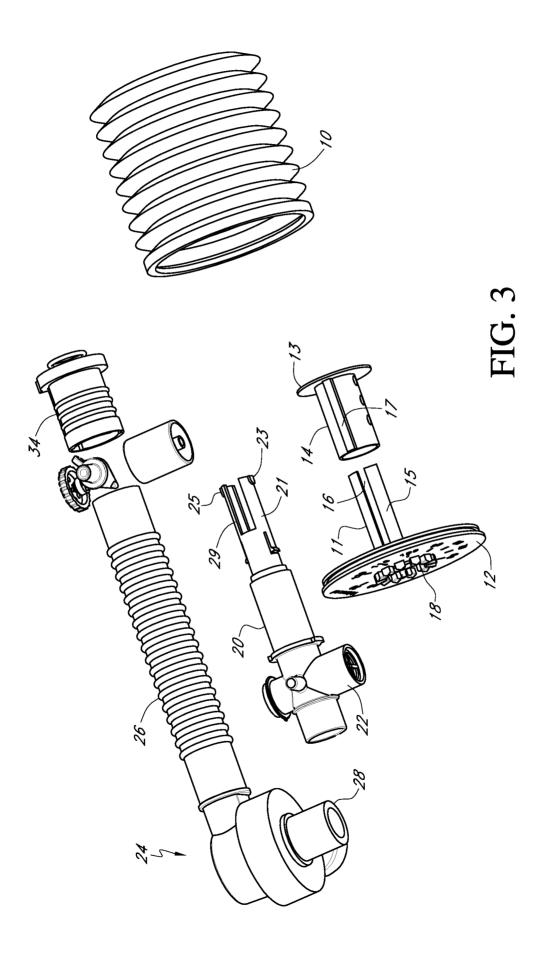
16. An assembly of Claim 14 including a one-way non-rebreathing valve cooperating with said patient connector.

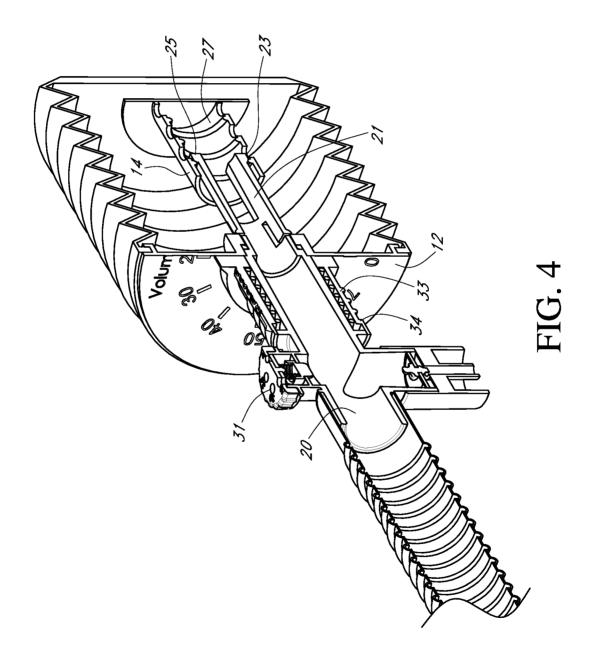
- 17. An assembly of Claim 1 wherein said resuscitation bag comprises a bellows bag compressible from the distal end thereof.
  - 18. A manual resuscitation bag assembly comprising:
  - (a) a manually compressible resuscitation bag comprising an enclosed interior chamber having a gas inlet/outlet port at a proximal end thereof, wherein said resuscitation bag is compressible to exhaust a volume of air in response to compression of the distal end of said bag;
  - (b) a movable volume adjustment assembly positioned in said interior chamber comprising a component configured for limiting the axial compression of said bag, and wherein said volume adjustment assembly is movable along an axis extending between the distal and proximal ends of said resuscitation bag;
  - (c) a rotatable volume control member secured adjacent to the proximal end of said resuscitation bag;
  - (d) traction means cooperating with said volume control member and said volume adjustment assembly for moving said volume adjustment assembly along said axis for selectively limiting the manual compression of said resuscitation bag in response to rotation of said volume control member; and
  - (e) a gas inlet/outlet pipe communicating with said interior chamber via said gas inlet/outlet port and configured to direct a volume of air from said resuscitation bag in response to compression thereof.
- 19. An assembly of Claim 18 wherein said traction means comprises a traction assembly configured to urge said volume adjustment assembly along said axis in response to rotation of said volume control member.
- 20. An assembly of Claim 19 wherein said volume adjustment assembly comprises a follower member secured to a volume adjustment member whereby said volume adjustment member is moved to increase or decrease the compressible volume of said resuscitation bag in response to rotation of said volume control member.

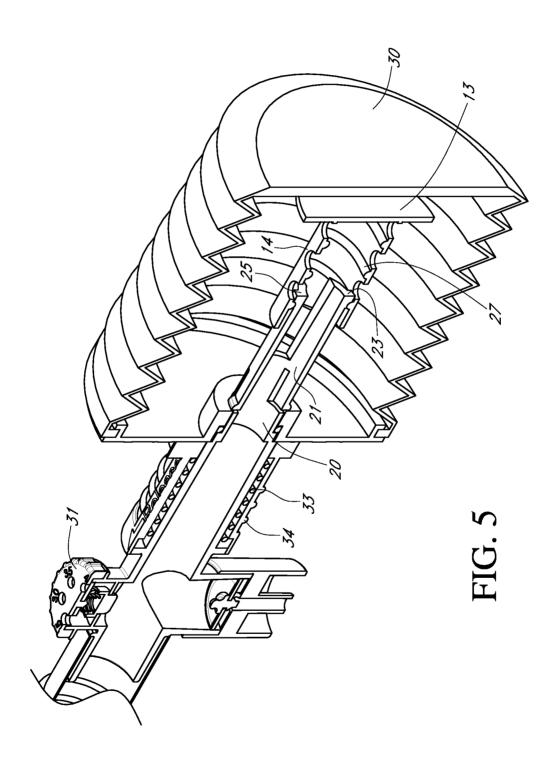
21. An assembly of Claim 20 wherein said resuscitation bag comprises a bellows bag compressible from the distal end thereof.

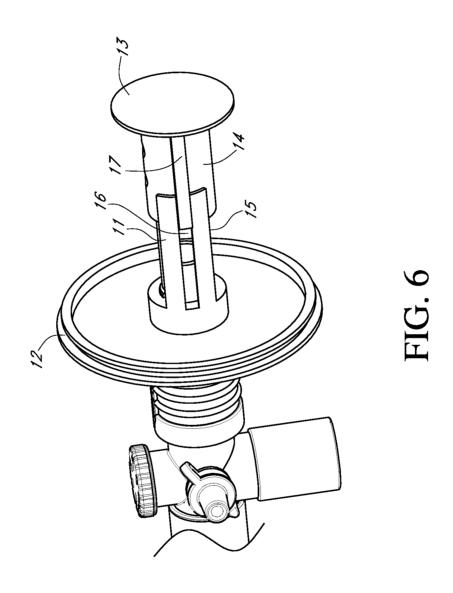












## INTERNATIONAL SEARCH REPORT

International application No PCT/US2008/075266

|  |   | 101700200   |  |  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|--|--|
| A. CLASSI<br>INV.  | FICATION OF SUBJECT MATTER<br>A61M16/00   |   |  |  |  |  |  |  |  |
| According to International Patent Classification (IPC) or to both national classification and IPC  |   |   |  |  |  |  |  |  |  |
|  | SEARCHED  |   |  |  |  |  |  |  |  |
| Minimum do<br>A61M   | cumentation searched (classification system followed by classification  | on symbols)   |  |  |  |  |  |  |  |
|  | ion searched other than minimum documentation to the extent that s  | · ·   |  |  |  |  |  |  |  |
| EPO-In   | ata base consulled during the international search (name of data bat<br>ternal  | se and, where practical, search terms used  | ,  |  |  |  |  |  |  |
| C. DOCUM   | ENTS CONSIDERED TO BE RELEVANT  | •   |  |  |  |  |  |  |  |
| Category*  | Citation of document, with indication, where appropriate, of the rele   | event naceanor  | Polovant to alaim No                                     |  |  |  |  |  |  |
| Calogory   | oldinon of document, with malezalon, where appropriate, of the rea  | evant passages  | Relevant to claim No.                                    |  |  |  |  |  |  |
| Α  | US 5 628 305 A (MELKER RICHARD [U<br>13 May 1997 (1997-05-13)<br>the whole document   | us])  | 1-21   |  |  |  |  |  |  |
| А  | WO 97/01367 A (TECHBASE PTY LTD [ ROYAL CHILDRENS HOSPITAL [AU]; KO DAVID) 16 January 1997 (1997-01-1 the whole document                        | MESÁROFF  | 1–21   |  |  |  |  |  |  |
| A .  | US 6 427 687 B1 (KIRK GILBERT M [<br>6 August 2002 (2002-08-06)<br>the whole document<br>   | us])  | 1–21   |  |  |  |  |  |  |
|  |   |   |  |  |  |  |  |  |  |
|  |   | ·   |  |  |  |  |  |  |  |
| Furth  | ner documents are listed in the continuation of Box C.  | X See patent family annex.  |  |  |  |  |  |  |  |
| "A" docume<br>consid   | ategories of cited documents : ent defining the general state of the art which is not lered to be of particular relevance                       | *T* later document published after the inte<br>or priorliy date and not in conflict with<br>cited to understand the principle or the<br>invention | the application but<br>eory underlying the               |  |  |  |  |  |  |
| 'E' earlier document but published on or after the international filing date  'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  'X' document of particular relevance; the claim(s) or involve an inventive step when the document of particular relevance; the claim of particular relevan |   |   | be considered to current is taken alone laimed invention |  |  |  |  |  |  |
| 'O' document referring to an oral disclosure, use, exhibition or other means  'P' document published prior to the international filing date but later than the priority date claimed  'C' document so considered to involve an inventive step when to document is combined with one or more other such document is combined with one or more other such document, such combination being obvious to a person skille in the art.  '&' document member of the same patent family   |   |   |  |  |  |  |  |  |  |
| <del></del>  | Date of the actual completion of the international search  Date of mailing of the international search report                                   |   |  |  |  |  |  |  |  |
| <u> </u>   | November 2008   | 02/12/2008  |  |  |  |  |  |  |  |
| Name and r   | nalling address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016 | Authorized officer Fuertes, Santiago  |  |  |  |  |  |  |  |

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No PCT/US2008/075266

| Patent document cited in search report |    | Publication<br>date | ,        | Patent family member(s) | Publication<br>date      |
|--|----|---------------------|----------|-------------------------|--------------------------|
| US 5628305                             | Α  | 13-05-1997          | AU<br>WO | 7168896 A<br>9711733 A1 | 17-04-1997<br>03-04-1997 |
| WO 9701367                             | Α  | 16-01-1997          | NONE     |                         |                          |
| US 6427687                             | B1 | 06-08-2002          | NONE     | <del></del>             |                          |