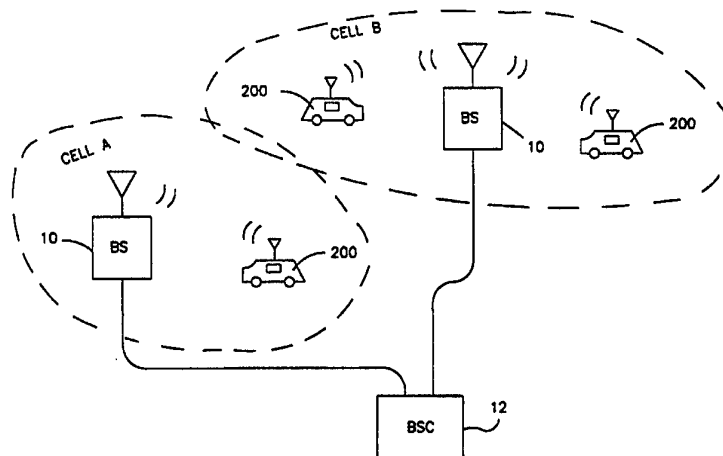




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<p>(21) International Application Number: PCT/US96/05945 (22) International Filing Date: 29 April 1996 (29.04.96) (30) Priority Data: 08/434,597 4 May 1995 (04.05.95) US (71) Applicant: WAVELINK COMMUNICATIONS [-/-]; c/o Codan Services Ltd., Clarendon House, Church Street, Hamilton, HM II (BM). (71)(72) Applicants and Inventors: SAGE, Gerald, F. [US/US]; 1200 Dale Avenue #74, Mountain View, CA 94040 (US). MSUTTA, Gurbux, S. [US/US]; 6575 Sloping Meadow Court, San Jose, CA 95135 (US). (74) Agents: CASERZA, Steven, F. et al.; Flehr, Hohbach, Test, Albritton &amp; Herbert, Suite 3400, 4 Embarcadero Center, San Francisco, CA 94111-4187 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: SPREAD SPECTRUM COMMUNICATION NETWORK WITH ADAPTIVE FREQUENCY AGILITY



(57) Abstract

A base station communicates with a plurality of mobile stations over a cellular network. In one embodiment, the base station includes a receiver having a receiver synthesizer input, where the receiver is configured to receive inbound information from the mobile station on a first predetermined frequency. The receiver further has two programmable frequency sources that are configured to alternately supply a receiver synthesizer input signal to the receiver. The base station also includes a transmitter having a transmitter synthesizer input, where the transmitter is configured to transmit outbound information to the mobile station on a second predetermined frequency. The transmitter further has two programmable frequency sources that are configured to alternately supply a transmitter synthesizer input signal to the transmitter. A processor is connected to the receiver and the transmitter and is configured to decode the inbound information and to encode the outbound information to communicate with the mobile station. This two-way communication continues by programming and then alternately selecting the receive synthesizers to receive on the correct frequency, and by programming and then alternately selecting the transmit synthesizers to transmit on the correct frequency. A preferred protocol is Global Systems for Mobile Communication (GSM).

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SPREAD SPECTRUM COMMUNICATION NETWORK  
WITH ADAPTIVE FREQUENCY AGILITY

RELATED APPLICATIONS

8 The present application incorporates the following  
9 patent applications by reference:

10 CELLULAR PRIVATE BRANCH EXCHANGES, U.S. Ser. No.  
11 08/435,709, filed on May 4, 1995, Attorney docket No.  
12 WAVEP001;

13 METHODS AND APPARATUSSES FOR AN INTELLIGENT SWITCH, U.S.  
14 Ser. No. 08/435,838, filed on May 4, 1995, Attorney docket  
15 No. WAVEP004;

16 SPREAD SPECTRUM COMMUNICATION NETWORK SIGNAL PROCESSOR,  
17 U.S. Ser. No. 08/434,554, filed on May 4, 1995, Attorney  
18 docket No. A-60910; and

19 CELLULAR BASE STATION WITH INTELLIGENT CALL ROUTING,  
20 U.S. Ser. No. 08/434,598, filed on May 4, 1995, Attorney  
21 docket No. A-61115.

22

23

FIELD

24 The present invention relates to a spread spectrum  
25 communication network with adaptive frequency agility. In  
26 particular, the present invention is used in a cellular  
27 communication network to improve the information channel  
28 capacity by adapting the spread spectrum frequencies to  
29 reduce interference and improve performance.

30

31

BACKGROUND

32 Spread spectrum communication typically includes two  
33 type of techniques: direct sequence spread spectrum (DSSS),  
34 where the information signal in-phase and quadrature-phase  
35 are varied; and frequency hopping spread spectrum (FHSS),  
36 where the information carrier frequency is varied. Moreover,  
37 these techniques can include formats for what is known as  
38 time division multiple access (TDMA) and frequency division

1 multiple access (FDMA). These formats dedicate a specific  
2 periodic time slot or frequency to each mobile station.  
3 Advantages of DSSS, FHSS, TDMA and FDMA include reduced co-  
4 channel interference and improved information channel  
5 capacity over a given bandwidth. While these techniques can  
6 be employed independently, they can also be combined.

7 One limitation of existing communication networks is  
8 that the base station must have a multiplicity of dedicated  
9 transmitters and receivers to adequately process all the  
10 mobile station signals. Since each base station transmitter  
11 and receiver can communicate only one frequency, a large  
12 number of transmitters and receivers are required to serve  
13 the communication network employing multiple frequencies.  
14 For example, eight transmitters and eight receivers are  
15 required to serve eight receive frequencies and eight  
16 transmit frequencies.

17 Moreover, since existing communication networks use a  
18 multiplicity of dedicated transmitters and receivers, a fault  
19 can cause data to be lost, or even cause the network to  
20 malfunction. When a transmitter or receiver is broken, the  
21 network must operate in a reduced capacity, if it can operate  
22 at all.

23 Another limitation of existing communication networks is  
24 that the FHSS protocol sequence is predetermined. That is,  
25 the frequency hops are periodic within the same frequency  
26 set. This results in continual interference from other  
27 operating electro-magnetic fields. The existing  
28 communication protocols do not adapt to avoid interference.

29 Another limitation of existing communication networks is  
30 that the processing is performed within a central signal  
31 processor. A central signal processor employs software to  
32 perform the procedures necessary to process the data. While  
33 this configuration provides high flexibility, it is also slow  
34 and requires high computational and memory overhead.

35 Another limitation of existing communication networks is  
36 that in the communication protocol, the specific periodic  
37 TDMA time slot is fixed. Each mobile station is entitled to  
38 a single slot and may not receive an additional slot even if

1 other mobile stations are not fully utilizing their  
2 respective information channel capacity.

3

4

#### SUMMARY

5 The present invention relates to a spread spectrum  
6 communication network with adaptive frequency agility. In  
7 particular, the present invention is used in a cellular  
8 communication network to improve the information channel  
9 capacity by adapting the spread spectrum frequencies to  
10 reduce interference and improve performance. Exemplary  
11 embodiments are provided for use with the Global Systems for  
12 Mobile Communication (GSM) protocol.

13 A base station communicates with a plurality of mobile  
14 stations over a cellular network. In one embodiment, the  
15 base station includes a receiver having a receiver  
16 synthesizer input, where the receiver is configured to  
17 receive inbound information from the mobile station on a  
18 first predetermined frequency. The receiver further has two  
19 programmable frequency sources that are configured to  
20 alternately supply a receiver synthesizer input signal to the  
21 receiver. The base station also includes a transmitter  
22 having a transmitter synthesizer input, where the transmitter  
23 is configured to transmit outbound information to the mobile  
24 station on a second predetermined frequency. The transmitter  
25 further has two programmable frequency sources that are  
26 configured to alternately supply a transmitter synthesizer  
27 input signal to the transmitter. A processor is connected to  
28 the receiver and the transmitter and is configured to decode  
29 the inbound information and to encode the outbound  
30 information to communicate with the mobile station. This  
31 two-way communication continues by programming and then  
32 alternately selecting the receive synthesizers to receive on  
33 the correct frequency, and by programming and then  
34 alternately selecting the transmit synthesizers to transmit  
35 on the correct frequency.

36 In another embodiment, the communication frequencies are  
37 modified to reduce interference. The processor maintains  
38 statistics on the communication error rates and modifies the

1 frequency hopping table (also known as a mobile allocation  
2 table) to avoid error prone frequencies. This is an adaptive  
3 modification based on the communication error rates with  
4 respect to frequency. In a first aspect of the invention,  
5 the base station gathers error rate statistics. In a second  
6 aspect of this embodiment, both the base station and the  
7 mobile station gather error rate statistics since they each  
8 transmit and receive in different frequency bands. In a  
9 third aspect of this embodiment, the base station has an  
10 additional receiver that receives on the mobile station  
11 receiver frequency band. The additional receiver scans the  
12 available mobile station receive frequencies to identify  
13 those frequencies that contain interference and those  
14 frequencies that are clear. Then, the base station processor  
15 modifies the frequency hopping table to avoid error prone  
16 frequencies.

17 The advantages of the present invention include reduced  
18 interference, improved communication bandwidth, fault  
19 tolerance, and more efficient and cost-effective base  
20 stations and mobile stations.

21

#### 22 BRIEF DESCRIPTION OF THE DRAWINGS

23 Additional advantages of the invention will become  
24 apparent upon reading the following detailed description and  
25 upon reference to the drawings, in which:

26 Figure 1 depicts a cellular network showing several base  
27 stations and several mobile stations;

28 Figures 2A-C illustrate the frequency bands allocated to  
29 GSM communication, a typical frequency hopping table, and the  
30 GSM frequency hopping algorithm;

31 Figure 3 illustrates a speech waveform sampled and  
32 assembled into a digital GSM format;

33 Figure 4 illustrates a GSM frame and associated data;

34 Figure 5 depicts one embodiment of a base station  
35 architecture according to the invention;

36 Figure 6 is a flow chart showing steps performed by the  
37 base station of Figure 5 for controlling frequency;

38

1 Figure 7 depicts another embodiment of a base station  
2 architecture according to the invention;

3 Figure 8 depicts one embodiment of a mobile station  
4 according to the invention;

5 Figures 9A-B are flow charts showing steps performed by  
6 the base station of Figure 5 and the mobile station of Figure  
7 8 to gather and store statistics regarding communication  
8 error rates;

9 Figure 10 depicts another embodiment of a base station  
10 according to the invention, where the base station includes  
11 an additional receiver to scan the mobile station receive  
12 frequency band; and

13 Figure 11 is a flow chart showing steps performed by the  
14 base station of Figure 10 to gather and store statistics  
15 regarding communication error rates.

16

17

#### DETAILED DESCRIPTION

18 The present invention relates to a spread spectrum  
19 communication network with adaptive frequency agility. In  
20 particular, the present invention is used in a cellular  
21 communication network to improve the information channel  
22 capacity by adapting the spread spectrum frequencies to  
23 improve performance and reduce interference. Exemplary  
24 embodiments are provided for use with the Global Systems for  
25 Mobile Communication (GSM) communication protocol.

26 The exemplary embodiments are described herein with  
27 reference to specific configurations and protocols. Those  
28 skilled in the art will appreciate that various changes and  
29 modifications can be made to the exemplary embodiments while  
30 remaining within the scope of the present invention.

31 A first embodiment is described with reference to  
32 Figures 1 through 6. Figure 1 is a relatively general  
33 illustration of a cellular communication network. A number  
34 of base stations (BS) 10 are positioned to serve a number of  
35 geographically distinct cells, for example cell A and cell B.  
36 Each base station 10 is responsible for serving all the  
37 mobile stations (MS) 200 within its respective cell boundary.  
38 To perform this task, each base station 10 downloads a

1 frequency hopping table (also known as a mobile allocation  
2 table) to each mobile station 200 so that the communication  
3 between base station 10 and mobile station 200 is on  
4 predefined frequencies, as explained more fully below.

5 A base station controller (BSC) 12 is connected to every  
6 base station 10, typically via land line 92, and controls the  
7 communication between users, such as between mobile station  
8 users or existing infrastructure telephone users. Moreover,  
9 base station controller 12 controls the hand-off from one  
10 base station 10 to another base station 10 as a mobile  
11 station 200 moves among cells.

12 A protocol selected for the embodiments is the Global  
13 Systems for Mobile Communication (GSM) protocol. The GSM  
14 protocol is lengthy and complicated. Therefore, the salient  
15 features are discussed with respect to the embodiments. For  
16 additional information on the subject, the reader is referred  
17 to the GSM specification. One important GSM protocol  
18 requirement is frequency hopping spread spectrum (FHSS).  
19 That is, sequentially communicating over more than one  
20 frequency.

21 Figure 2A shows the allocated frequency spectrum for GSM  
22 communication (from the mobile station standpoint). As can  
23 be seen, the mobile station transmit frequency band ( $T_f$ ) is  
24 disjoint from the mobile station receive frequency band ( $R_f$ ).  
25 Each of these frequency bands occupies approximately 25MHz.  
26 Within that 25MHz, there are 124 200KHz frequency steps on  
27 which the communication frequencies are permitted to hop.  
28 The specific hopping sequence is a function of the GSM  
29 hopping algorithm defined by the GSM specification and a  
30 given frequency hopping table that is downloaded from base  
31 station 10 to mobile station 200. An example frequency  
32 hopping table is presented in Figure 2B. Based on the GSM  
33 hopping algorithm (Figure 2C), the mobile station receiver  
34 and transmitter operate on specified 200KHz frequencies in  
35 their respective frequency bands  $T_f$ ,  $R_f$ . Of course, the base  
36 station  $T_f$  and  $R_f$  correspond to the mobile station  $R_f$  and  $T_f$   
37 respectively.

38



1           Since GSM is a digital data communication network,  
2 Figure 3 shows how a speech waveform is sampled and digitally  
3 encoded. Figure 4 shows how the encoded data is formatted  
4 into the GSM word. Note that the information from one mobile  
5 station 200 is processed and placed into a specific time slot  
6 reserved for that particular mobile station 200 within a TDMA  
7 frame. Further, note that after the TDMA frame is collected,  
8 a multiframe is constructed from 26 TDMA frames, including 24  
9 TDMA speech frames and 2 control frames. Beyond the  
10 multiframe are superframes and hyperframes. There are 51  
11 multiframe in a superframe, and there are 2048 superframes  
12 in a hyperframe. The hyperframe number is one variable used  
13 by the GSM frequency hopping algorithm to define the  
14 frequency hopping sequence.

15           Based on the GSM frequency hopping algorithm (Figure  
16 2C), the TDMA frames are then frequency hopped over the  
17 frequencies of the frequency hopping table. The mobile  
18 station receivers are also periodically hopped onto a fixed  
19 monitor frequency that is unique to each base station. The  
20 frequency hopping serves to spread the communication signal  
21 over the frequency bands  $T_f$ ,  $R_f$ . An advantage of spread  
22 spectrum is reduced interference effects from other electro-  
23 magnetic sources and other base station/mobile station  
24 communications. For the mobile station, three frequencies  
25 are tuned onto in one 4.615ms TDMA time frame (transmit,  
26 receive, monitor). Each mobile station transmitter and  
27 receiver synthesizer has 1 or 2 time slots (4.615ms times 1/8  
28 or 2/8, i.e., .58ms or 1.15ms) to change frequencies.  
29 Frequency hopping once per frame is easily accomplished  
30 because the synthesizers have plenty of time (1 or 2 time  
31 slots) to settle before a new reception or transmission is  
32 required. However, the base station receiver and transmitter  
33 have only 30 $\mu$ s to change frequencies (the time duration of  
34 the guard bits). This short time period is difficult to  
35 accommodate, so the invention incorporates a plurality of  
36 receiver synthesizers and transmitter synthesizers as now  
37 explained.

38

1           Figure 5 depicts a base station 10 having a receiver 20,  
2 a transmitter 40 and a processor 80. As shown, receiver 20  
3 and transmitter 40 share common antenna 21 via diplexer 23.  
4 This configuration is possible since the receive frequency  
5 and transmit frequency are different (see Figure 2A).  
6 Diplexer 23 is used to permit the receive frequency to pass  
7 from antenna 21 to receiver 20, and to permit the transmit  
8 frequency to pass from transmitter 40 to antenna 21.  
9 Receiver 20 and transmitter 40 each employ two independent  
10 synthesizers in order to facilitate fast frequency agility.  
11 The detail of the embodiment and the operation is explained  
12 with reference to the Figure 6 flow chart.

13           The reset step 102 is performed only at start-up, such  
14 as when base station 10 initially comes on-line or when  
15 recovering from a power failure. Step 104 is turns off  
16 transmitter 40 to prevent invalid transmission before  
17 initialization of the base station 10. Thereafter, step 106  
18 waits for the processor 80 to perform its self-test and other  
19 required procedures before base station 10 can become  
20 operational in the cellular network. Step 108 calculates the  
21 required first frequency and the subsequent second frequency  
22 from the GSM hyperframe number and the frequency hopping  
23 table. Once these first and second frequencies are  
24 calculated, the first and second receiver synthesizers 32,  
25 34, and transmitter synthesizers 52, 54 are programmed to  
26 generate the required frequencies. At this point, the  
27 switches 36, 56 are set to provide the mixers 24, 44 with the  
28 frequencies from the first synthesizers 32, 52 respectively.

29           A loop sequence begins with step 110, where processor 80  
30 waits for the transmitter interrupt from the CPU 82 to  
31 indicate that the TDMA frame should be processed. If the  
32 step 112 is being queried for the first time (i.e.,  
33 transmitter 40 was turned off in step 104), step 114 is  
34 performed to turn transmitter 40 on. Once transmitter 40 is  
35 on, step 116 proceeds to transmit a TDMA frame and then to  
36 toggle the transmitter synthesizer selector switch 56 to the  
37 other transmitter synthesizer 54. Step 116 also calculates  
38

1 the next transmitter frequency and programs the previously  
2 active synthesizer 52 to generate that frequency.

3 When the receiver interrupt occurs in step 118, step  
4 120 proceeds to receive a TDMA frame and then to toggle the  
5 receiver synthesizer selector switch 36 to the other receiver  
6 synthesizer 34. Step 120 also calculates the next receiver  
7 frequency and programs the previously active synthesizer 32  
8 to generate that frequency.

9 Steps 110 through 120 are then repeatedly performed to  
10 transmit and receive the TDMA frames to and from the mobile  
11 stations 200 on the proper frequencies. This configuration  
12 of the dual synthesizer receiver 20 and dual synthesizer  
13 transmitter 40 permits base station 10 to faithfully  
14 accomplish all the frequency hops required for proper  
15 communication.

16 It is important to note that base station 10 of Figure 5  
17 employs processor 80 to orchestrate the synthesizers 32, 34,  
18 52, 54 and the synthesizer switches 36, 56. Processor 80  
19 includes a central processing unit (CPU) 82 for performing  
20 many of the general procedures required to communicate over  
21 the network with mobile station 200. Processor 80 also  
22 performs procedures necessary to communicate with base  
23 station controller 12. A digital signal processor (DSP) 84  
24 is included in processor 80 to perform many of the  
25 application specific and computationally intensive procedures  
26 such as encoding and decoding the TDMA frame data. As shown,  
27 the processor 80 also includes memory (RAM) 86 and bulk disk  
28 memory 88. Moreover, user interface 90 is provided to  
29 receive instructions from a user and to display requested  
30 information. Ground line 92 is also provided to connect to  
31 base station controller 12 and other base stations 10 as  
32 required by the GSM specification.

33 In actual implementation, it is useful to employ a  
34 plurality of receivers in order to perform both TDMA and  
35 FDMA, as provided by the GSM specification. In a  
36 conventional configuration, each receiver is tuned to a fixed  
37 frequency and frequency-hopped information from the mobile  
38 stations is received by various receivers depending on the

1 specified communication frequency. Then the conventional  
2 processor must re-assemble inbound information from a  
3 plurality of receivers to obtain data from one mobile  
4 station. Moreover, the conventional processor must dis-  
5 assemble outbound information and deliver it to a plurality  
6 of transmitters to properly transmit information to a mobile  
7 station.

8         Figure 7 depicts another embodiment of a base station 10  
9 according to the invention. There are provided a plurality  
10 of receivers 20A-J that are frequency agile (as shown in  
11 Figure 5). Hence, receivers 20A-J can be programmed to  
12 receive various frequencies over time and can receive  
13 information from each mobile station 200 on a respective one  
14 of receivers 20A-J. This feature permits both FDMA received  
15 signals and TDMA received signals associated with one mobile  
16 station 200 to be received by one of the receivers 20A-J.  
17 Because processor 80 programs the receiver synthesizers,  
18 processor 80 has a priori knowledge of which receiver 20A-J  
19 is receiving communication signals from which mobile station  
20 200. This information permits the processor to more  
21 efficiently process the inbound data. For example, if the  
22 signal from one mobile station 200 is always received in  
23 receiver card one 20A, then the processor 80 can reduce its  
24 control logic (hardware, software, or both) to avoid the  
25 conventional step of re-assembling a mobile station's data  
26 from a number of different receivers. Also, configuring a  
27 plurality of frequency agile receivers 20A-J in parallel  
28 permits processor 80 to reconfigure receivers 20A-J at any  
29 time a fault is detected. If, for example, processor 80  
30 detects a fault in receiver 20A (e.g., by self-test, null  
31 data, or corrupted data), processor 80 re-programs another  
32 receiver, such as receiver 20J, to operate on the parameters  
33 that were previously assigned to receiver 20A. The feature  
34 of agile receivers and enhanced processing resource  
35 allocation reduces overhead, permits fault tolerance, and  
36 increases throughput since it eliminates a processing step.

37         There are also provided a plurality of transmitters 40A-  
38 K that are frequency agile (as in Figure 5). Hence,

1 transmitters 40A-K can be programmed to transmit various  
2 frequencies over time and can transmit information to each  
3 mobile station 200 on a respective one of transmitters 40A-K.  
4 This feature permits both FDMA transmitted signals and TDMA  
5 transmitted signals associated with one mobile station 200 to  
6 be transmitted by one of the transmitters 40A-K. Because  
7 processor 80 programs the transmitter synthesizers, processor  
8 80 has a priori knowledge of which transmitter 40A-J is  
9 transmitting communication signals to which mobile station  
10 200. This information permits the processor to more  
11 efficiently process the outbound data. For example, if the  
12 signal to one mobile station 200 is always transmitted by  
13 transmitter one 40A, then the processor 80 can reduce its  
14 control logic (hardware, software, or both) to avoid the  
15 conventional step of dis-assembling a mobile station's data  
16 and delivering it to a number of different transmitters.  
17 Also, configuring a plurality of frequency agile transmitters  
18 40A-K in parallel permits processor 80 to reconfigure  
19 transmitters 40A-K at any time a fault is detected. If, for  
20 example, processor 80 detects a fault in transmitter 40A  
21 (e.g., by self-test, null data received by the mobile  
22 station, or corrupted data), processor 80 re-programs another  
23 transmitter, such as transmitter 40K, to operate on the  
24 parameters that were previously assigned to transmitter 40A.  
25 The feature of agile transmitters and enhanced processing  
26 resource allocation reduces overhead, permits fault  
27 tolerance, and increases throughput since it eliminates a  
28 processing step.

29 As shown, receivers 20A-J and transmitters 40A-K are  
30 coupled to receive antenna 22 and transmit antenna 42  
31 respectively. However, a common antenna 21 can be employed  
32 as shown in Figure 5. Also as shown, transmitters 40A-K are  
33 coupled to single transmit antenna 42. However, if  
34 transmitters 40A-K are sensitive to back propagation of each  
35 other's transmissions, a plurality of transmit antennas (42A-  
36 K) can be employed with each transmitter having its own  
37 transmit antenna. Moreover, corresponding receivers and  
38 transmitters, e.g. 20A and 40A, 20B and 40B, 20C and 40C, can

1 be grouped and combined to have common antennas 21A, 21B and  
2 21C respectively, as shown in Figure 5.

3 Additional base station embodiments are described in  
4 CELLULAR BASE STATION WITH INTELLIGENT CALL ROUTING, U.S.  
5 Ser. No. 08/434,598, filed on May 4, 1995, Attorney docket  
6 No. A-61115, which is incorporated herein by reference.

7 A mobile station 200 is depicted in Figure 8. Mobile  
8 station 200 is similar to base station 10, but requires less  
9 hardware since the purpose is to serve only one user. A  
10 receiver 220 is provided connected to a common antenna 222  
11 via diplexer 223. Processor 280 reads the stored frequency  
12 hopping table and calculates the proper receive frequency for  
13 the inbound TDMA frame. Processor 280 then programs receiver  
14 synthesizer 232 to generate that frequency. Receiver  
15 synthesizer 232 provides the frequency to the receiver mixer  
16 224, which down-mixes the received signal and provides an  
17 information signal to processor 280. Processor 280 then  
18 decodes the received TDMA frame. Processor 280 includes a  
19 CPU 282, DSP 284, RAM 286 and user interface 290 (e.g. keypad  
20 and LCD display), much like base station 10. A transmitter  
21 240 is provided connected to the common antenna 222 via  
22 diplexer 223. The CPU reads the frequency hopping table and  
23 calculates the proper transmit frequency for the outbound  
24 TDMA frame. Processor 280 then programs the transmitter  
25 synthesizer 252 to generate that frequency. Processor 280  
26 encodes the transmit TDMA frame data. Transmitter  
27 synthesizer 252 then provides the transmit frequency to the  
28 transmitter mixer 244, which up-mixes an information signal  
29 containing the TDMA frame data and provides a radio frequency  
30 signal to be transmitted via antenna 222.

31 In another embodiment, the frequency hopping table is  
32 modified to reduce interference. This is done by continually  
33 monitoring the error rates of the communication. Processor  
34 80 maintains statistics on the communication error rates and  
35 modifies the frequency hopping table to avoid error-prone  
36 frequencies.

37 In a first aspect of this embodiment, shown in Figures  
38 9A-B, base station 10 gathers error rate statistics. This

1 feature of gathering statistics of bit error rates (BER) is  
2 included in the GSM protocol specification. Base station 10  
3 operation is shown in Figure 9A flow chart 300. Receiver 20  
4 receives the signal from mobile station 200 and decodes the  
5 TDMA frame in step 302. Then the TDMA raw data is error-  
6 corrected by the CPU 80 to obtain valid data. In step 304  
7 the processor 80 builds a database storing the errors with  
8 respect to frequency. Ordinarily the errors stored are bit  
9 error rates (BER). If few errors are detected, step 308  
10 continues the receiving steps for receiving the signal from  
11 mobile station 200 without modification. However, if an  
12 error-prone frequency is observed in step 306, step 310  
13 calculates a different set of frequencies that may have less  
14 error-prone tendencies and then re-programs base station 10  
15 and mobile station 200 with a new frequency hopping table.  
16 Step 310 may estimate which frequencies are less crowded, or  
17 may look to the error rate database to avoid error-prone  
18 frequencies.

19 In a second aspect of this embodiment, also described  
20 with respect to Figures 9A-B, both base station 10 and mobile  
21 station 200 gather statistics since they each transmit and  
22 receive on different frequencies. This feature of gathering  
23 error rate statistics of is included in the GSM communication  
24 protocol specification. Base station 10 operation is shown  
25 in Figure 9A flow chart 300. Receiver 20 receives the signal  
26 from mobile station 200 and down-mixes the information signal  
27 in step 302. Then the TDMA raw data is decoded by the  
28 processor 80 and error corrected to obtain valid data. In  
29 step 304 the processor 80 builds a database storing the  
30 errors with respect to frequency. Ordinarily the errors  
31 stored are bit error rates (BER). If few errors are  
32 detected, step 308 continues the receiving steps for  
33 receiving the signal from mobile station 200 without  
34 modification. However, if an error-prone frequency is  
35 observed in step 306, step 310 calculates a different set of  
36 frequencies that may have less error-prone tendencies and  
37 then re-programs base station 10 and mobile station 200 with  
38 a new frequency hopping table. Step 310 may estimate which

1 frequencies are less crowded, or may look to the error rate  
2 database to avoid error-prone frequencies.

3 Figure 9B shows mobile station 200 detecting and storing  
4 error-rate statistics. The receiver 220 receives the signal  
5 from the base station 10 and down-mixes the information  
6 signal in step 322. Then the TDMA raw data is decoded by the  
7 processor 280 and error corrected to obtain valid data. In  
8 step 324 the processor 280 builds a database storing the  
9 errors with respect to frequency. Ordinarily the errors  
10 stored are bit error rates (BER). This information is  
11 uploaded to base station 10 to make a determination of  
12 whether the error-rate statistics warrant modifying the  
13 mobile station transmit frequency hopping table. If an  
14 error-prone frequency is observed in step 324, then Figure 9A  
15 step 310 calculates a different set of frequencies that may  
16 have less error-prone tendencies and then re-programs base  
17 station 10 and mobile station 200 with a new frequency  
18 hopping table. Step 310 may estimate which frequencies are  
19 less crowded, or may look to the error rate database to avoid  
20 error-prone frequencies.

21 In a third aspect of this embodiment depicted in Figure  
22 10, base station 10 has an additional receiver 30 that  
23 receives on the mobile station receiver frequency band. The  
24 additional receiver 30 scans available frequencies to  
25 identify frequencies that contain interference and  
26 frequencies that are clear. Figure 11 shows a flow chart 450  
27 where the receive frequency is received in step 452 and the  
28 transmit frequency is received in step 454. In one  
29 alternative, the receiver 30 is designed to scan the  
30 frequencies for noise. The receiver 30 is never tuned to the  
31 same frequency as the transmitter 40. This avoids saturation  
32 of the receiver 30. In another alternative, the receiver 30  
33 is located away from the transmitter 40, and the receiver 30  
34 is tuned to the same frequency as the transmitter 40. In  
35 either event, the purpose of the additional receiver 30 is to  
36 employ a directly accessible receiver that provides either  
37 noise level or error rate feedback to base station 10. Step  
38 456 checks the noise threshold or error-rate of the signal



1 from receiver 30, and checks for interference on the transmit  
2 frequency. If no significant data corruption or interference  
3 is present, step 458 initiates step 460, which continues the  
4 process from the beginning. However, if step 458 detects  
5 high corruption or interference, then step 462 is executed to  
6 modify the transmit frequency hopping table, the receive  
7 frequency hopping table, or both.

8 Advantages of the present invention include reduced  
9 interference, improved communication bandwidth, fault  
10 tolerance, and more efficient and cost-effective base  
11 stations and mobile stations.

12 As used herein, when a first element and a second  
13 element are coupled, they are related to one another, but  
14 need not have a direct path to one another. For example, an  
15 antenna element may be coupled to a processing element via a  
16 receiver. However, when a first element and second element  
17 are connected, they are required to have a direct path to one  
18 another.

19

#### 20 ALTERNATIVE EMBODIMENTS

21 Having disclosed exemplary embodiments and the best  
22 mode, modifications and variations may be made to the  
23 disclosed embodiments while remaining within the scope of the  
24 present invention as defined by the following claims.

1 What is claimed is:

2

3 1. A base station for communicating over a cellular network  
4 with a mobile station, said base station comprising:

5 a receiver having a receiver synthesizer input, said  
6 receiver configured to receive inbound information from the  
7 mobile station on a predetermined frequency, said receiver  
8 further having two receiver synthesizers that are configured  
9 to alternately supply a receiver synthesizer signal to said  
10 receiver synthesizer input;

11 a transmitter having a transmitter synthesizer input,  
12 said transmitter configured to transmit outbound information  
13 to the mobile station on a predetermined frequency, said  
14 transmitter further having two transmitter synthesizers that  
15 are configured to alternately supply a transmitter  
16 synthesizer signal to said transmitter synthesizer input;

17 a processor coupled to said receiver and said  
18 transmitter and configured to decode said inbound information  
19 and to encode said outbound information to communicate with  
20 the mobile station.

21

22 2. The base station of claim 1, wherein:

23 said two receiver synthesizers each include a voltage  
24 controlled oscillator combined with a phase-locked feedback  
25 loop to maintain a respective first receiver synthesizer  
26 frequency and second receiver synthesizer frequency, and  
27 wherein said two receiver synthesizers are coupled to said  
28 processor;

29 said receiver further includes a switch coupled to each  
30 of said receiver synthesizers, and selectable between a first  
31 position to deliver said first receiver synthesizer frequency  
32 to said receiver synthesizer input and a second position to  
33 deliver said second receiver synthesizer frequency to said  
34 receiver synthesizer input;

35 said two transmitter synthesizers each include a voltage  
36 controlled oscillator combined with a phase-locked feedback  
37 loop to maintain a respective first transmitter synthesizer  
38 frequency and second transmitter synthesizer frequency, and

1 wherein said two receiver synthesizers are coupled to said  
2 processor; and

3       said transmitter further includes a switch coupled to  
4 each of said transmitter synthesizers, and selectable between  
5 a first position to deliver said first transmitter  
6 synthesizer frequency to said transmitter synthesizer input  
7 and a second position to deliver said second transmitter  
8 synthesizer frequency to said transmitter synthesizer input.

9

10 3. The base station of claim 1 for further communicating  
11 with a second mobile station, said base station further  
12 comprising:

13       a second receiver having a second receiver synthesizer  
14 input, said second receiver configured to receive second  
15 inbound information from the second mobile station on a  
16 predetermined frequency, said second receiver further having  
17 two receiver synthesizers that are configured to alternately  
18 supply a receiver synthesizer signal to said second receiver  
19 synthesizer input; and

20       wherein said processor is further coupled to said second  
21 receiver and configured to decode said second inbound  
22 information to communicate with the second mobile station.

23

24 4. The base station of claim 3, further comprising:

25       a second transmitter having a second transmitter  
26 synthesizer input, said second transmitter configured to  
27 transmit second outbound information to the second mobile  
28 station on a predetermined frequency, said second transmitter  
29 further having two transmitter synthesizers that are  
30 configured to alternately supply a second transmitter  
31 synthesizer signal to said second transmitter synthesizer  
32 input; and

33       wherein said processor is coupled to said second  
34 transmitter and configured to encode said second outbound  
35 information to communicate with the second mobile station.

36

37

38

1 5. The base station of claim 1 for further communicating  
2 with a second mobile station, said base station further  
3 comprising:

4 a second transmitter having a second transmitter  
5 synthesizer input, said transmitter configured to transmit  
6 second outbound information to the mobile station on a  
7 predetermined frequency, said second transmitter further  
8 having two transmitter synthesizers that are configured to  
9 alternately supply a transmitter synthesizer signal to said  
10 second transmitter synthesizer input;

11 wherein said processor is coupled to said second  
12 transmitter and configured to encode said second outbound  
13 information to communicate with the second mobile station.

14

15 6. The base station of claim 3, wherein:

16 said processor is configured to reprogram said second  
17 receiver when said first transmitter is broken.

18

19 7. The base station of claim 5, wherein:

20 said processor is configured to reprogram said second  
21 transmitter when said first transmitter is broken.

22

23 8. A method of communicating over a cellular network  
24 between a mobile station and a base station having a  
25 processor, and a transmitter containing a first transmitter  
26 frequency source and a second transmitter frequency source,  
27 said method comprising the steps of:

28 (a) receiving, via the receiver, an initialization  
29 signal from the mobile station;

30 (b) computing a first transmit frequency from  
31 predetermined timing information;

32 (c) tuning said first transmitter frequency source to  
33 generate said first transmit frequency;

34 (d) supplying the first transmit frequency to the  
35 transmitter;

36 (e) modulating the first transmit frequency with a first  
37 transmit signal representing information, said modulating  
38 step producing a second transmit signal;

- 1 (f) transmitting the second transmit signal, via the  
2 transmitter, to the mobile station;
- 3 (g) computing a second transmit frequency from  
4 predetermined timing information plus one;
- 5 (h) tuning said second transmitter frequency source to  
6 generate said second transmit frequency;
- 7 (i) supplying the second transmit frequency to the  
8 transmitter;
- 9 (j) modulating the second transmit frequency with a  
10 third transmit signal representing information, said  
11 modulating step producing a fourth transmit signal;
- 12 (k) transmitting the fourth transmit signal, via the  
13 transmitter, to the mobile station;
- 14 (l) repeating steps (b) through (k) with newly computed  
15 frequencies from the predetermined timing information.  
16
- 17 9. The method of claim 8, wherein said predetermined timing  
18 information is the hyperframe number.  
19
- 20 10. The method of claim 8, wherein said base station further  
21 has a receiver containing a first receiver frequency source  
22 and a second receiver frequency source, said method further  
23 comprising the steps of:
- 24 (m) computing a first receive frequency from  
25 predetermined timing information;
- 26 (n) tuning said first receiver frequency source to  
27 generate said first receive frequency;
- 28 (o) supplying the first receive frequency to the  
29 receiver;
- 30 (p) receiving a first receive signal, via the receiver,  
31 from the mobile station;
- 32 (q) demodulating the first receive signal with the first  
33 receive frequency, said demodulating step producing a second  
34 receive signal representing information;
- 35 (r) computing a second receive frequency from  
36 predetermined timing information plus one;
- 37 (s) tuning said second receiver frequency source to  
38 generate said second receive frequency;

- 1           (t) supplying the second receive frequency to the  
2 receiver;
- 3           (u) receiving a third receive signal, via the receiver,  
4 from the mobile station;
- 5           (v) demodulating the third receive signal with the  
6 second receive frequency, said demodulating step producing a  
7 fourth receive signal representing information;
- 8           (w) repeating steps (m) through (v) with a newly  
9 computed first receive frequency and second receive frequency  
10 from said predetermined timing information.
- 11
- 12 11. The method of claim 10, wherein said predetermined  
13 timing information is the hyperframe number.
- 14
- 15 12. A method of communicating over a cellular network  
16 between a mobile station and a base station having a  
17 processor, and a receiver containing a first receiver  
18 frequency source and a second receiver frequency source, said  
19 method comprising the steps of:
- 20           (a) computing a first receive frequency from  
21 predetermined timing information;
- 22           (b) tuning said first receiver frequency source to  
23 generate said first receive frequency;
- 24           (c) supplying the first receive frequency to the  
25 receiver;
- 26           (d) receiving a first receive signal, via the receiver,  
27 from the mobile station;
- 28           (e) demodulating the first receive signal with the first  
29 receive frequency, said demodulating step producing a second  
30 receive signal representing information;
- 31           (f) computing a second receive frequency from  
32 predetermined timing information plus one;
- 33           (g) tuning said second receiver frequency source to  
34 generate said second receive frequency;
- 35           (h) supplying the second receive frequency to the  
36 receiver;
- 37           (i) receiving a third receive signal, via the receiver,  
38 from the mobile station;

1 (j) demodulating the third receive signal with the  
2 second receive frequency, said demodulating step producing a  
3 fourth receive signal representing information;

4 (k) repeating steps (a) through (j) with a newly  
5 computed first receive frequency and second receive frequency  
6 from said predetermined timing information.

7

8 13. The method of claim 12, wherein said predetermined  
9 timing information is the hyperframe number.

10

11 14. A method of communicating over a cellular network  
12 between two mobile stations and a base station having a  
13 processor and two frequency agile transmitters, said method  
14 comprising the steps of:

15 tuning the first transmitter to transmit outbound  
16 information to the first mobile station;

17 tuning the second transmitter to transmit outbound  
18 information to the second mobile station; and

19 when said second transmitter breaks, tuning the first  
20 transmitter to transmit outbound information to the second  
21 mobile station.

22

23 15. The method of claim 14, wherein said base station further  
24 has two frequency agile receivers, said method further  
25 comprising the steps of:

26 tuning the first receiver to receive inbound information  
27 from the first mobile station;

28 tuning the second receiver to receive inbound  
29 information from the second mobile station; and

30 when said second receiver breaks, tuning the first  
31 receiver to receive inbound information from the second  
32 mobile station.

33

34 16. A method of communicating over a cellular network  
35 between two mobile stations and a base station having a  
36 processor and two frequency agile receivers, said method  
37 comprising the steps of:

38

1 tuning the first receiver to receive inbound information  
2 from the first mobile station;  
3 tuning the second receiver to receive inbound  
4 information from the second mobile station; and  
5 when said second receiver breaks, tuning the first  
6 receiver to receive inbound information from the second  
7 mobile station.

8

9 17. A base station for communicating over a cellular network  
10 with a mobile station, comprising:

11 a receiver configured to receive inbound information  
12 from the mobile station on a first predetermined frequency;

13 a transmitter configured to transmit outbound  
14 information to the mobile station on a second predetermined  
15 frequency; and

16 a processor coupled to said receiver and said  
17 transmitter and configured to decode said inbound information  
18 and to encode said outbound information, said processor  
19 further configured to store statistics regarding the  
20 communication error rate over said first predetermined  
21 frequency, and when said statistics indicate a high error  
22 rate, to modify said first predetermined frequency.

23

24 18. The base station of claim 17, wherein:

25 said processor is further configured to store a  
26 frequency table representing the available frequencies, and  
27 to modify said frequency table to modify said first  
28 predetermined frequency.

29

30 19. The base station of claim 17, wherein:

31 said processor is further configured to store statistics  
32 regarding the communication error rate over said second  
33 predetermined frequency, and when said statistics indicate a  
34 high error rate, to modify said second predetermined  
35 frequency.

36

37

38



1 20. The base station of claim 19, wherein:  
2 said processor is further configured to store a  
3 frequency table representing the available frequencies, and  
4 to modify said frequency table to modify said second  
5 predetermined frequency.

6  
7 21. The base station of claim 17, wherein:  
8 said receiver is further configured to scan available  
9 frequencies; and  
10 said processor is further configured to modify said  
11 first predetermined frequency and said second predetermined  
12 frequency based on a scan of said available frequencies.

13  
14 22. The base station of claim 17, further comprising:  
15 a second receiver coupled to said processor, said second  
16 receiver configured to receive said second predetermined  
17 frequency and to provide information regarding said second  
18 predetermined frequency to said processor.

19  
20 23. The base station of claim 22, wherein:  
21 said second receiver is configured to scan the available  
22 second communication frequency band and to provide said  
23 processor with information regarding the presence of noise on  
24 any available second predetermined frequency.

25  
26 24. A method of communicating over a cellular network  
27 between a mobile station and a base station having a  
28 receiver, and a processor, said method comprising the steps  
29 of:  
30 receiving inbound information from the mobile station on  
31 a predetermined frequency;  
32 decoding said inbound information;  
33 error-correcting said inbound information;  
34 storing error statistics regarding the errors detected  
35 in said error-correcting step; and  
36 when the error statistics exceed a predetermined  
37 threshold, modifying said predetermined frequency.

38

- 1 25. The method of claim 24, said base station further  
2 comprising a transmitter, said method comprising the steps  
3 of:  
4 encoding outbound information;  
5 transmitting said outbound information to the mobile  
6 station on a second predetermined frequency;  
7 receiving second error statistics regarding errors  
8 detected in said transmitting step;  
9 storing said second error statistics; and  
10 when the second error statistics exceed a predetermined  
11 threshold, modifying said second predetermined frequency.  
12
- 13 26. A method of communicating over a cellular network  
14 between a mobile station and a base station having a  
15 transmitter, and a processor, said method comprising the  
16 steps of:  
17 encoding outbound information;  
18 transmitting said outbound information to the mobile  
19 station on a second predetermined frequency;  
20 receiving second error statistics regarding errors  
21 detected in said transmitting step;  
22 storing said second error statistics; and  
23 when the second error statistics exceed a predetermined  
24 threshold, modifying said second predetermined frequency.  
25
- 26 27. A method of communicating over a cellular network  
27 between a mobile station and a base station having a  
28 receiver, transmitter and processor, said method comprising  
29 the steps of:  
30 receiving, at the base station, an off-hook signal from  
31 the mobile station;  
32 scanning a plurality of available frequency in a  
33 predetermined frequency band;  
34 determining, from said scanning step, a plurality of  
35 preferred frequencies;  
36 determining, from the plurality of preferred  
37 frequencies, a preferred hopping sequence of said preferred  
38 frequencies;

- 1 transmitting to the mobile station the preferred hopping
- 2 sequence; and
- 3 communicating between the mobile station and the base
- 4 station over the preferred hopping sequence of frequencies.

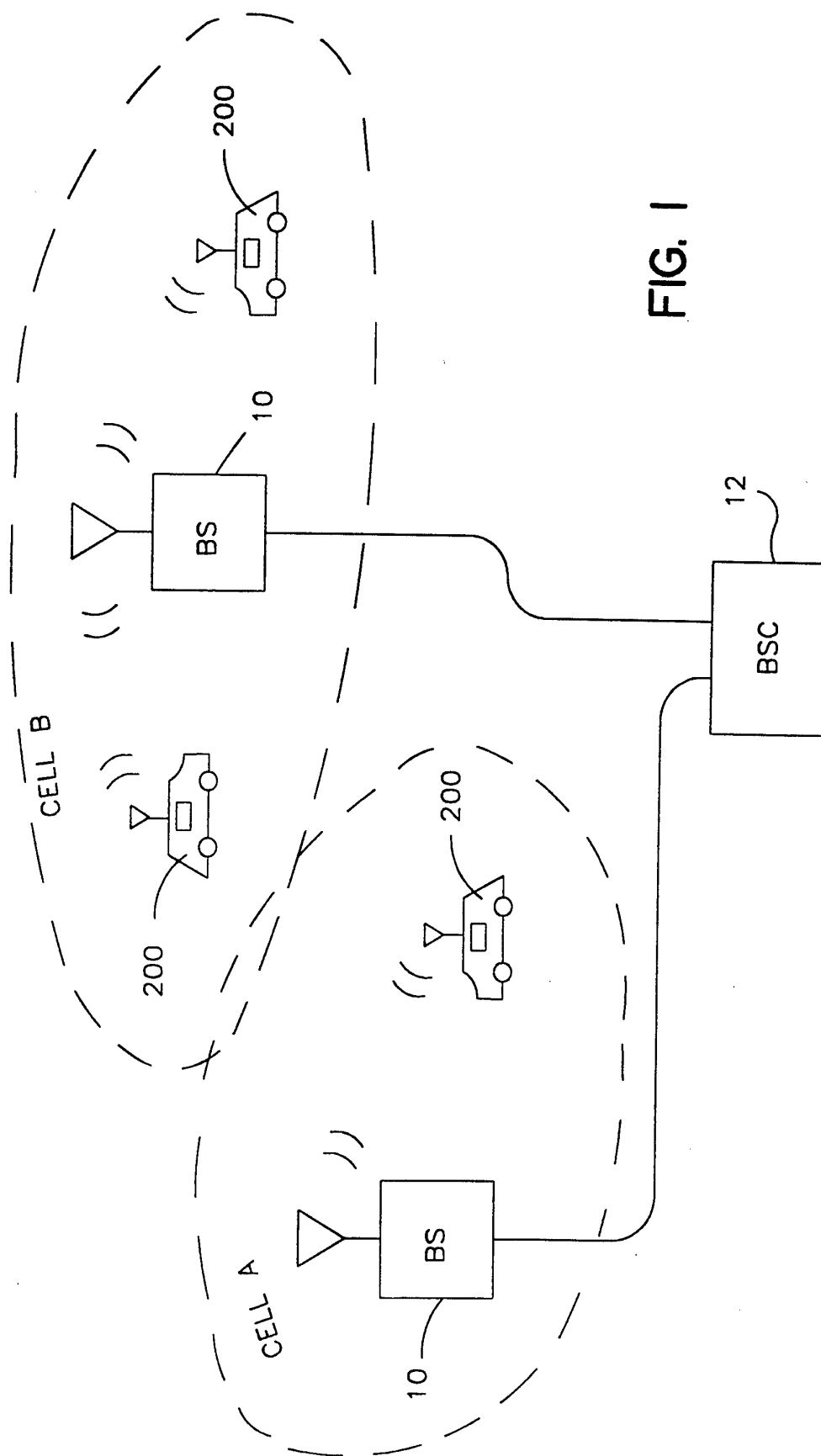


FIG. 1

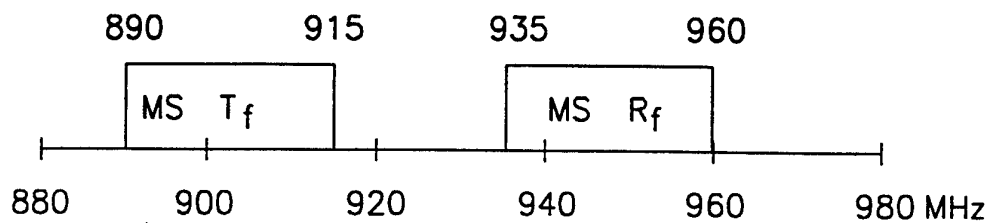


FIG. 2A

#	MS TRANSMIT	MS RECEIVE
0	m0	m0+45MHz
1	m1	m1+45MHz
2	m2	m2+45MHz
3	m3	m3+45MHz
⋮	⋮	⋮
N-1	mN-1	mN-1+45MHz

FIG. 2B

FN FRAME NUMBER  
 HSN HOPPING SEQUENCE NUMBER - 0...63  
 MA MOBILE ALLOCATION - SET OF N FREQUENCIES  
 AVAILABLE FOR USE  $m_0 \dots m_{N-1}$   
 MAIO MOBILE ALLOCATION INDEX - OFFSET IN MA TABLE  
 N NUMBER OF FREQUENCIES IN MA  
 RFCHN RAIDO FREQUENCY CHANNEL NUMBER

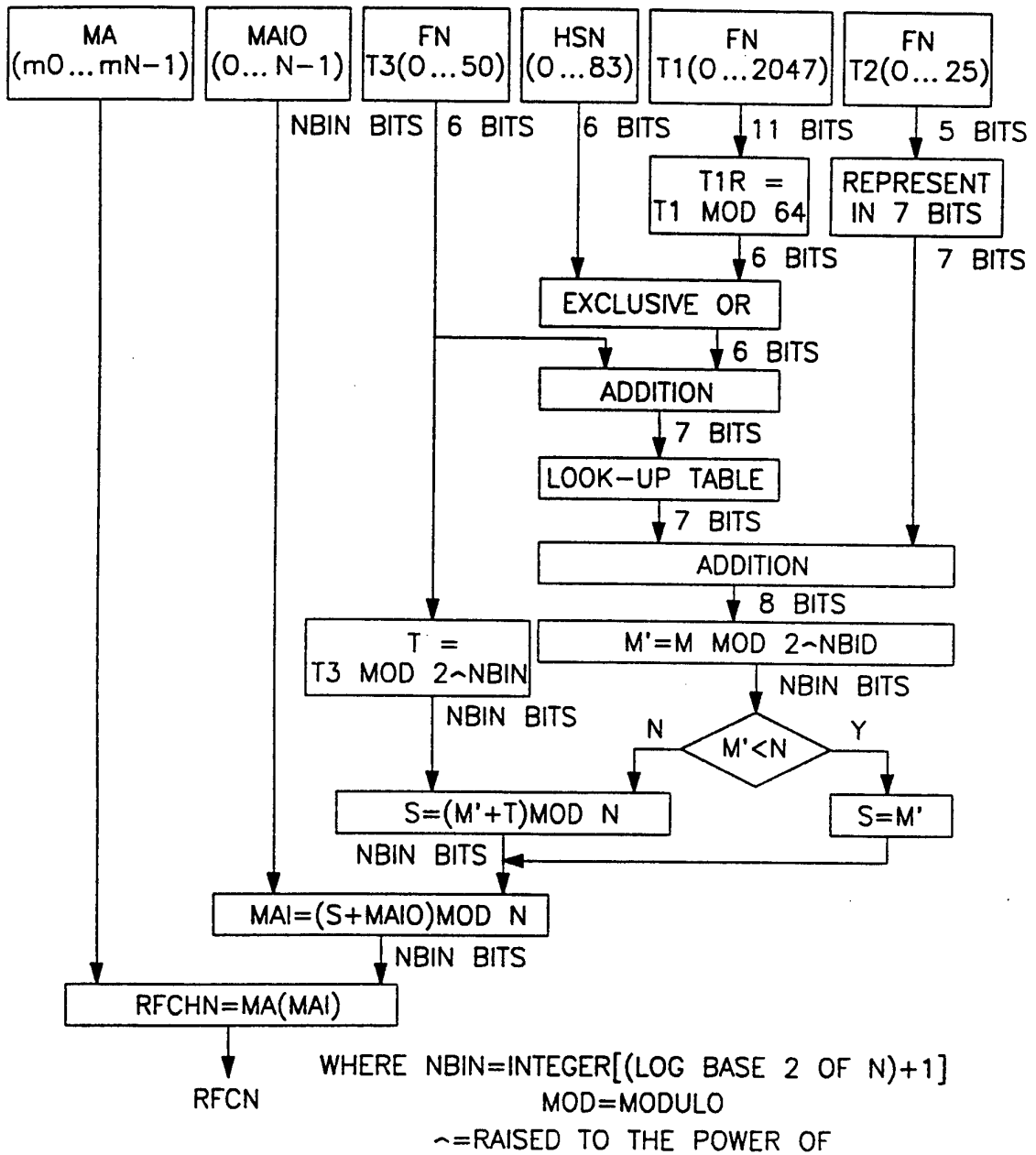
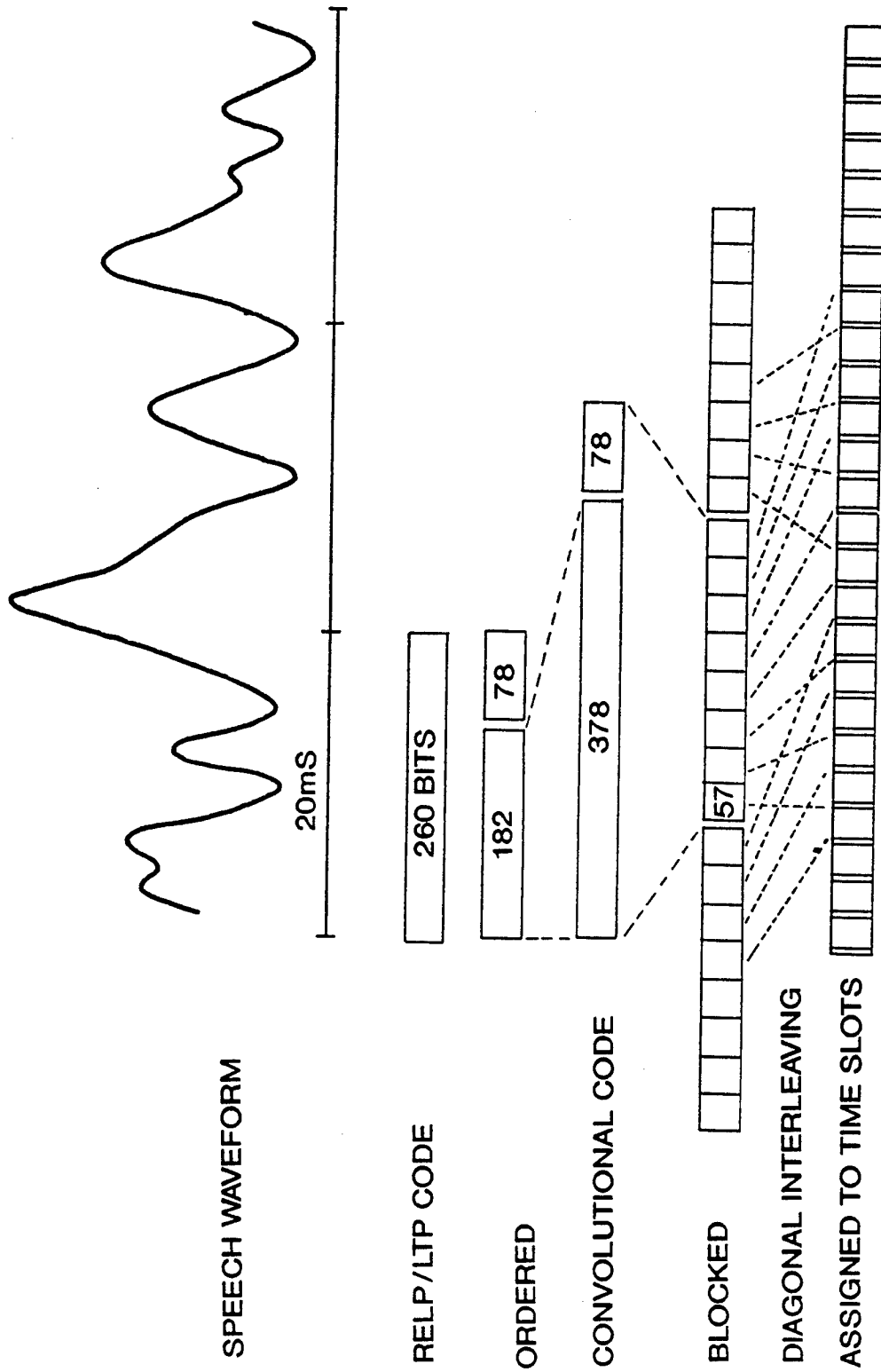


FIG. 2C



**FIG. 3**

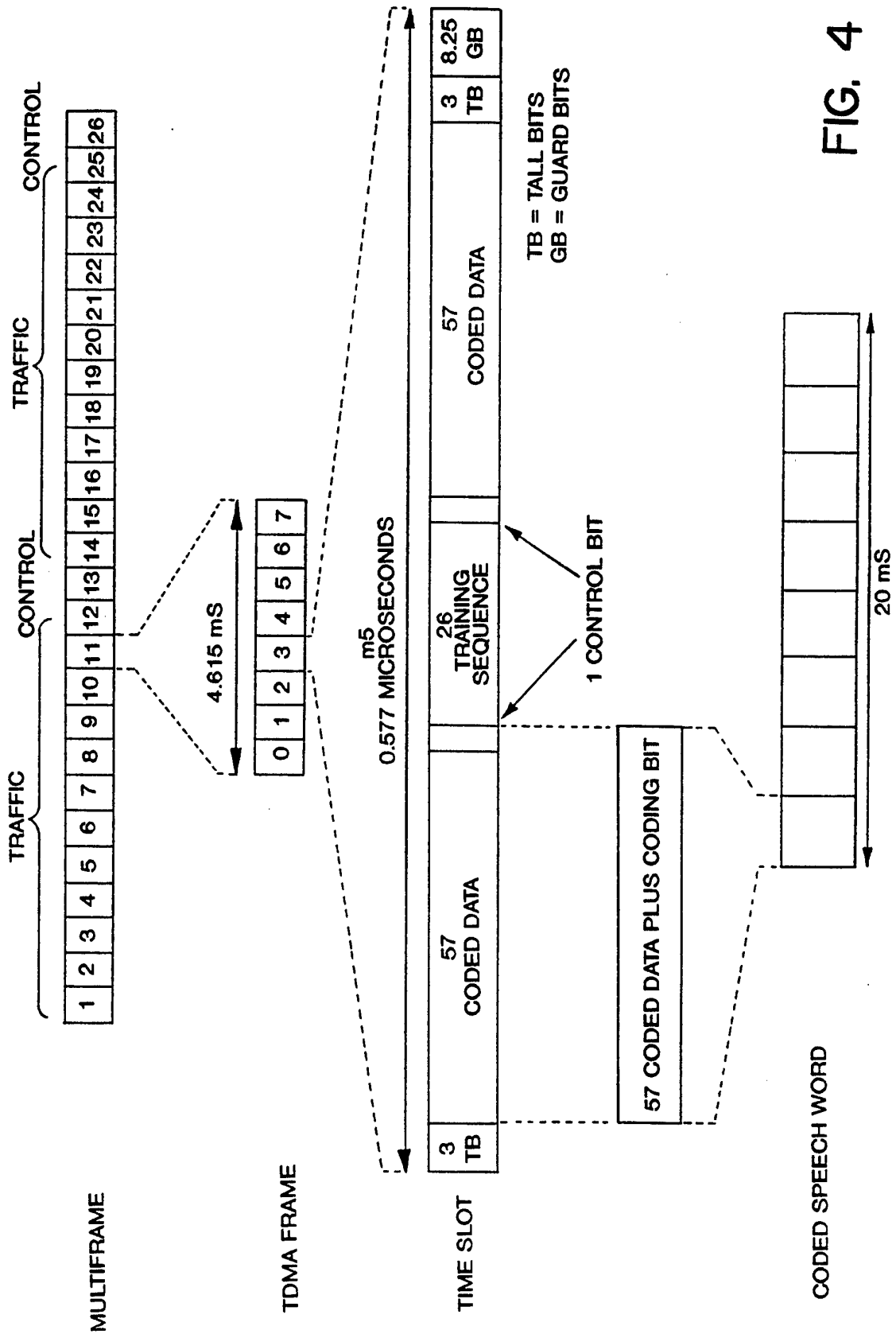


FIG. 4



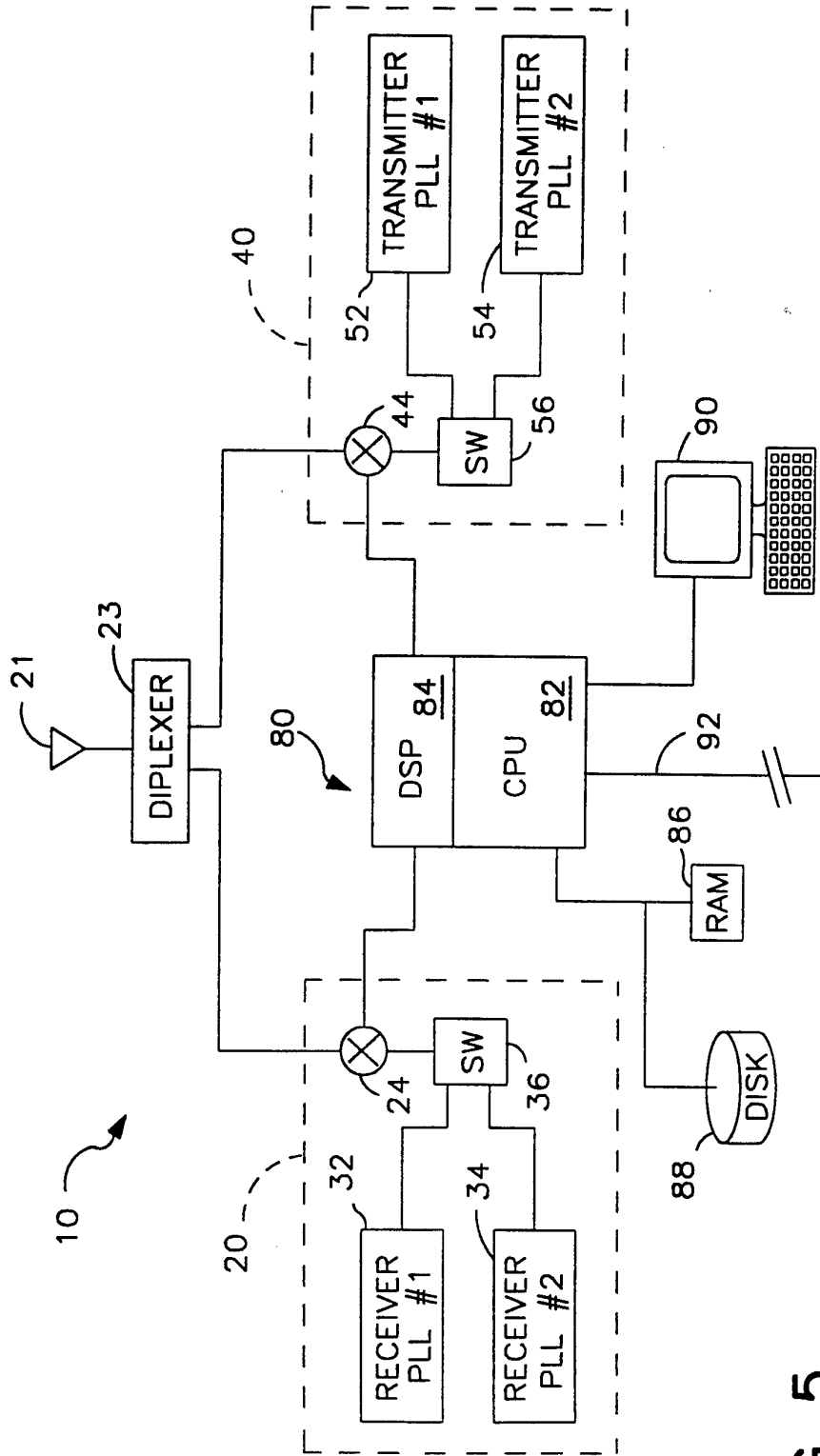
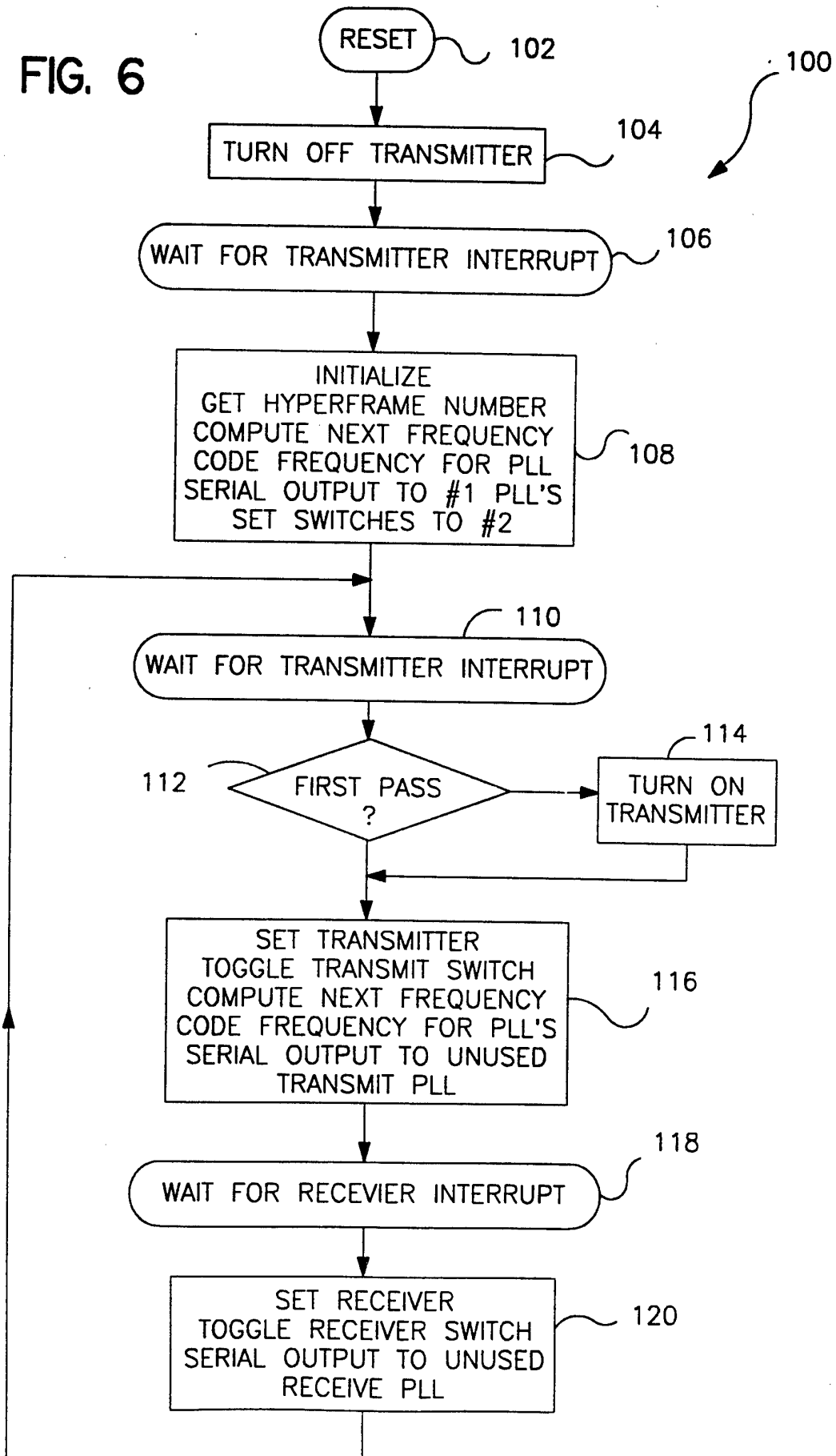


FIG. 5

FIG. 6



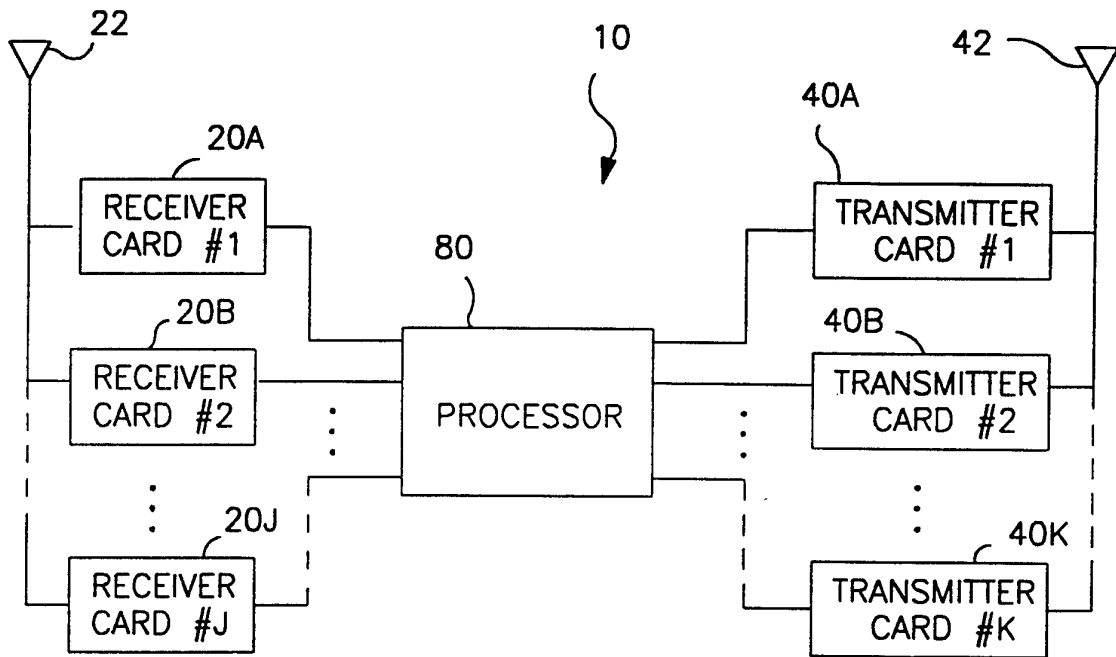


FIG. 7

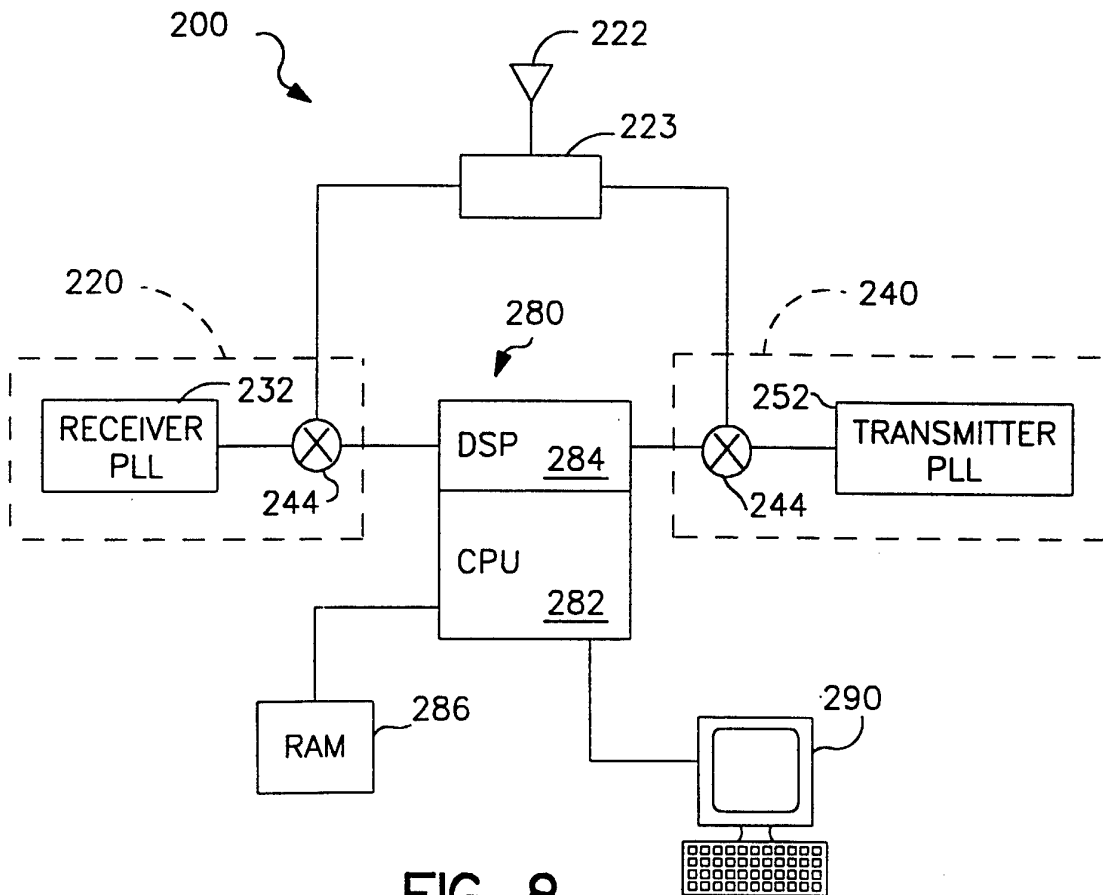
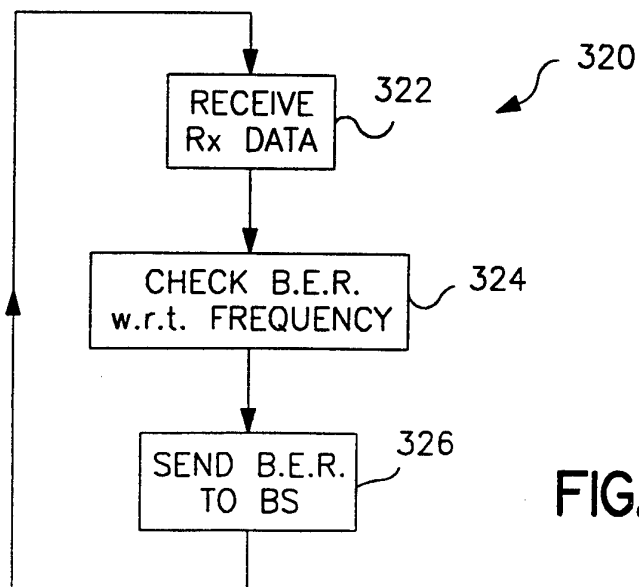
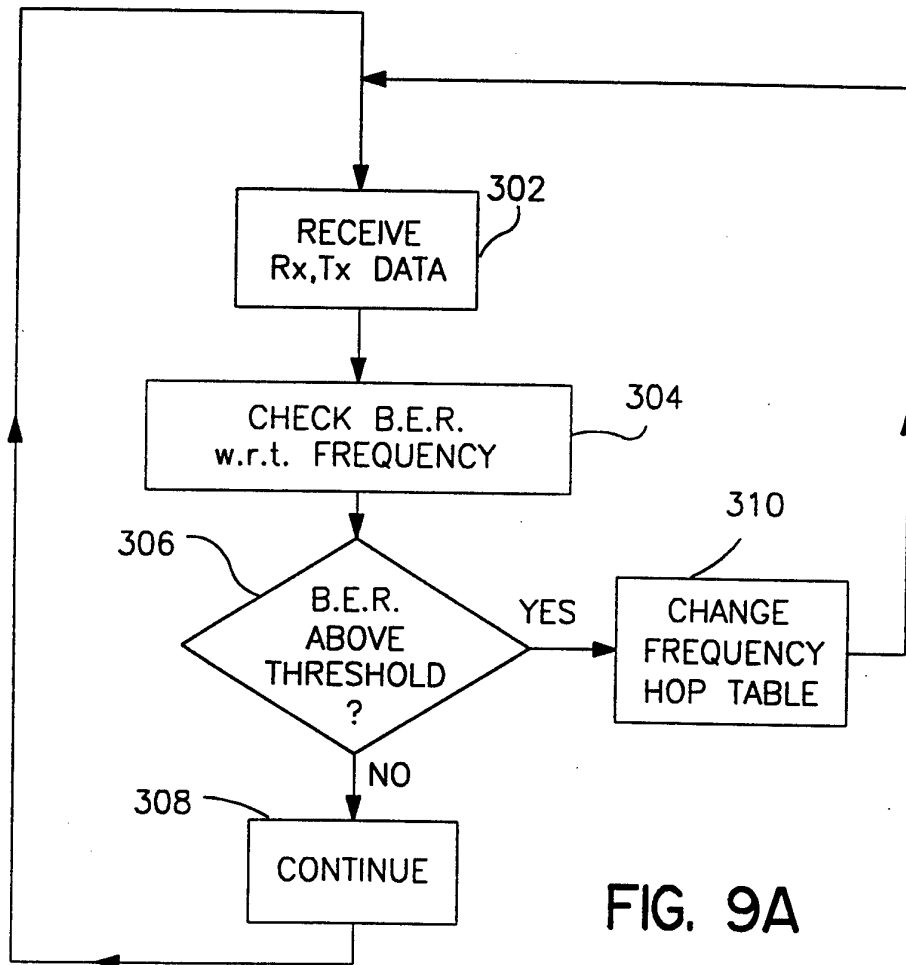


FIG. 8



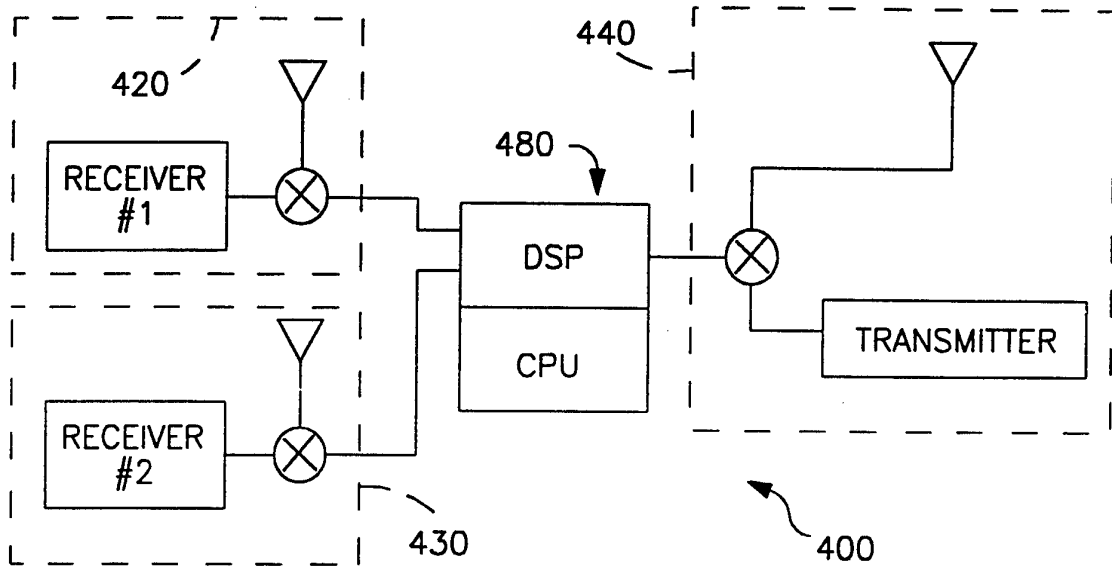


FIG. 10

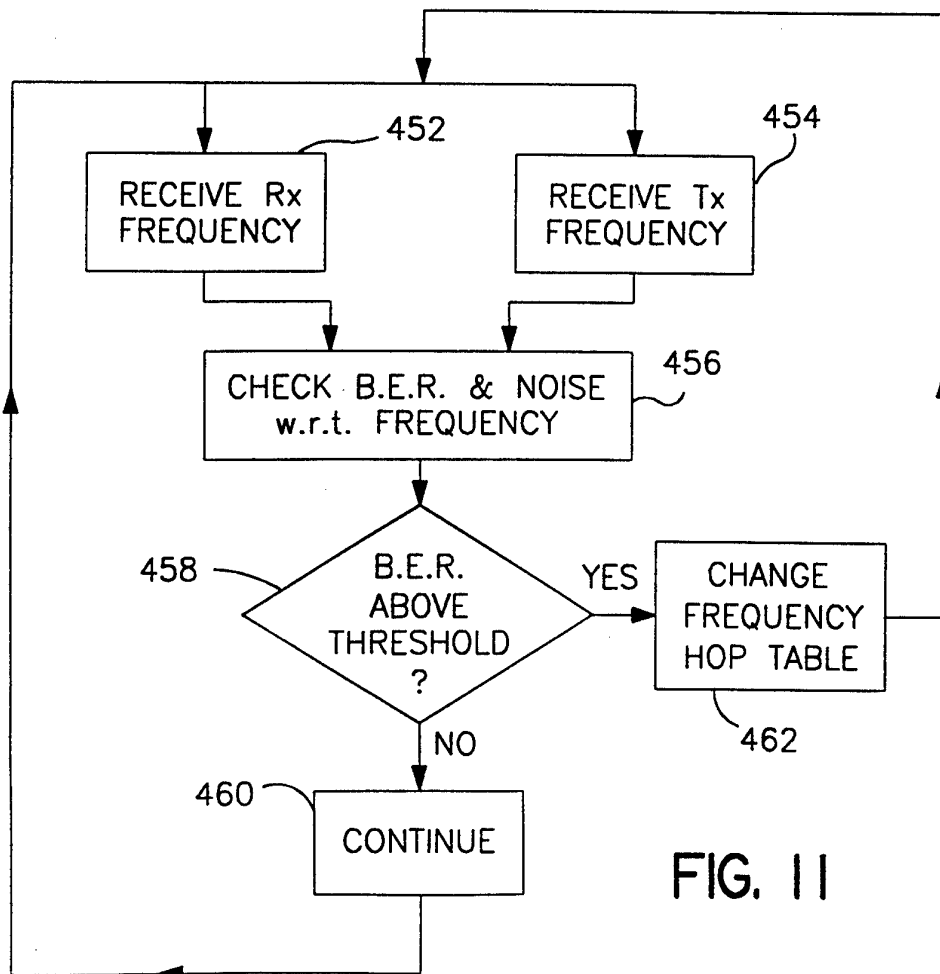


FIG. 11

**INTERNATIONAL SEARCH REPORT**

International Application No

PC1/US 96/05945

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 H04B1/713 H04B7/26

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 6 H04B H04Q H03L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US,A,5 224 121 (SCHORMAN) 29 June 1993 see column 3, line 62 - column 4, line 7; figures 1,2	1-16
Y	GB,A,2 169 477 (THE PLESSEY COMPANY) 9 July 1986 see claims 1-7; figures 1,2	1-16
Y	FR,A,2 612 028 (AEROSPATIALE ) 9 September 1988 see claims 1-10; figure 1	1-16
Y	WO,A,93 20625 (ERICSSON) 14 October 1993 see page 1, line 21 - page 2, line 11; figures 1-4	1-16
A	EP,A,0 565 127 (NEC ) 13 October 1993 see column 2, line 1 - column 3, line 27	2
	-/--	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

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Date of the actual completion of the international search

12 September 1996

Date of mailing of the international search report

26.09.96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax (+ 31-70) 340-3016

Authorized officer

Bischof, J-L

INTERNATIONAL SEARCH REPORT

International Application No

PC1/JS 96/05945

C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP,A,0 182 762 (ERICSSON) 28 May 1986 see page 1, line 20 - page 2, line 18 ----	17-27
X	FR,A,2 699 769 (3EI-EUROPEENNE D'ÉTUDES ÉLECTRONIQUES ET INFORMATIQUES) 24 June 1994 see page 2, line 13 - page 4, line 17; figure 1 -----	17-27

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 96/05945

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GB-A-2169477	09-07-86	NONE	
FR-A-2612028	09-09-88	NONE	
WO-A-9320625	14-10-93	SE-B- 470078	01-11-93
		AU-B- 661150	13-07-95
		AU-A- 3911593	08-11-93
		BR-A- 9305455	08-11-94
		CA-A- 2102426	28-09-93
		EP-A- 0591491	13-04-94
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