PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶:
G05B 23/02, H04L 12/28, 12/56, G06F 7/00, 13/00

(11) International Publication Number:

WO 97/49019

(43) International Publication Date:

24 December 1997 (24.12.97)

(21) International Application Number:

PCT/US97/09595

A1

(22) International Filing Date:

30 May 1997 (30.05.97)

(30) Priority Data:

08/665,293

18 June 1996 (18.06.96)

US

Published
With international search report.

(81) Designated States: AU, CA, IL, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,

(71) Applicant: RAPTOR SYSTEMS, INC. [US/US]; 69 Hickory Drive, Waltham, MA 02154 (US).

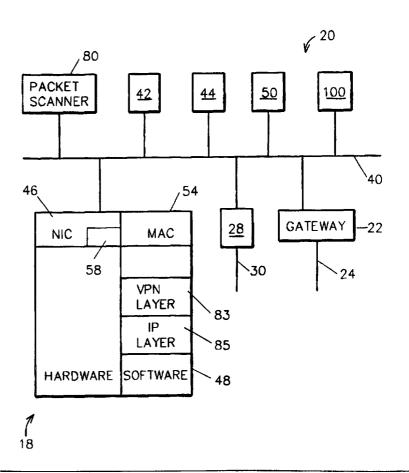
(72) Inventors: KRAEMER, Jeffrey, A.; 18 Treetop Circle, Northborough, MA 01532 (US). KIRBY, Alan, J.; 17 Mendelsohn Drive, Hollis, NH 03049 (US). WATERMAN, David, C.; 133 Prospect Street, Leominster, MA 01453 (US).

(74) Agent: FEIGENBAUM, David, L.; Fish & Richardson P.C., 225 Franklin Street, Boston, MA 02110-2804 (US).

(54) Title: DETECTING UNAUTHORIZED NETWORK COMMUNICATION

(57) Abstract

Back door packet communication between a work station (18) on a network (20) and a device outside the network is identified by detecting packets that are associated with communication involving devices outside the network, and identifying packets, among those detected packets, that are being sent or received by a device that is not authorized for communication with devices outside the network.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	T.J	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Нипдагу	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	2,,	Zimozowe
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

- 1 -

07644/005W01

DETECTING UNAUTHORIZED NETWORK COMMUNICATION Background

This invention relates to detecting unauthorized 5 network communication.

As seen in Fig. 1, workstations 18 in an internal local area network (LAN) 20 may communicate with the world outside the LAN via authorized gateways 22 that are connected, on one hand, to the LAN, and, on the other hand, 10 to a dedicated or dial-up telephone line 24. The gateways may be set up to prevent unauthorized communication between the LAN workstations and devices located outside the LAN (e.g., a device 26 connected to another LAN which is another gateway 27). Unauthorized reached via 15 communication still occur inadvertently may intentionally when a LAN workstation 28 is connected directly to the outside world. The same is true in situations where a LAN has no authorized gateway to the outside world.

For example, a LAN workstation that includes a modem hooked to a outside telephone line 30, may serve as an unauthorized "back door" that may pass packets back and forth between the outside telephone line and the LAN. To eliminate back door communication, LAN administrators sometimes make a physical inventory of workstations to determine whether any of them are connected to the outside world by a path other than through the authorized gateways.

Referring also to Fig. 3, a packet constructed in accordance with the so-called IP protocol includes an IP portion 69. The IP portion has an IP source address 74 which identifies the source device, and an IP destination address 76 which identifies the target device. While the packet is being communicated within an internal network, 35 the packet also includes a hardware destination address 72

- 2 -

(e.g., the hardware address of a network interface card, or NIC) which identifies a destination device within the network by an address that is unique at least within the internal network.

Referring again to Fig. 1, a packet sent by workstation 18 includes the IP address of workstation 18 as the IP source address and the IP address of the target device as the IP destination address. If the target device is within the internal network, the hardware address of the target device is included as the hardware destination address 72. If the target device is not on the internal network, for example device 26, the hardware address of the NIC in gateway 22 is included as the hardware destination address. In this latter case, the gateway removes the hardware addresses from the packet and forwards it to gateway 27. Gateway 27 adds to the packet the hardware address of device 26 as the hardware destination address 72.

Summary

20 In general, in one aspect, the invention is used with devices that are coupled by a communication medium to form an internal packet network, at least one of the devices not being an authorized conduit for communication with external devices that are not part of the internal 25 packet network. In the invention, packets are observed while passing on the medium. Based on the observation, packets associated with communication with an external device are detected. For detected packets associated with communication with an external device, a determination is 30 made (e.g., by examining hardware addresses in the packets, or watching for packets indicating that a non-authorized device is advertising routes, or watching for redirect messages being sent by a non-authorized device) whether or not the communication is authorized.

- 3 -

Other aspects and features of the invention include the following. The detecting includes comparing address information in the packets (e.g., logical addresses, such as IP addresses) with address information 5 associated with devices that are part of the internal packet network to determine if the packet communication only between devices that are part of the internal packet network. The address information associated with devices that are part of the internal 10 packet network is stored in a look-up table.

The hardware addresses that are examined are hardware addresses of network interface cards. The address information that is used for comparison is address information (stored in a look-up table) of devices that are authorized conduits for communication with external devices.

The packets are stored temporarily in a look-ahead buffer. Information about the non-authorized communication is reported for use by another process, which may, e.g., 20 raise an alarm or obstruct the passage of the non-authorized packet.

In an internal packet network of the kind in which none of the devices are authorized conduits for communication with external devices, an event may be logged 25 with respect to packets intended for communication with an external device even without checking the hardware addresses in the packets.

Among the advantages of the invention are that back door communication may be effectively identified in a 30 simple way. The system works automatically. The scanner may be a passive device. The tables may be built easily without requiring a detailed knowledge of hardware addresses.

Other advantages and features will become apparent 35 from what follows.

- 4 -

<u>Description</u>

Figs. 1 and 2 are block diagrams of a network.

Fig. 3 is a diagram of a portion of a packet.

Fig. 4 is a block diagram of a portion of a packet 5 scanner.

Fig. 5 is a flow diagram.

As seen in Fig. 2, internal LAN 20 includes a physical communication medium 40 (such as twisted pair wiring, optical fibers, or coaxial cable) that 10 interconnects workstations 18, 42, 44. In each workstation 18, the interconnection is made via a network interface card (NIC) 46. Operating system software 48, such as Microsoft Windows NT®, running on the workstation and in a network server 50 implements network packet communication.

The network software is layered. The lowest layer, medium access control (MAC) 54, manages the operation of the NIC to achieve the communication of physical bits on the communication medium. The NIC in each workstation has a hardware address 58 that is unique at least among the devices connected in the internal LAN. The hardware address may be set manually using physical switches or manually or automatically using software switches. As seen in Fig. 3, the hardware address may be used as a hardware destination address 72 or a hardware source address 70 within a packet.

The packet also includes a network layer source address 74 and destination address 76 (for example, IP addresses). An IP address is a logical address which specifies a device whether or not located on the internal LAN. An IP address may be of the form 206.8.142.10 where the higher order elements (e.g., 206.8.142) of the address hierarchically and globally identify the address of the LAN, and the lower order elements of the address (.10) identifies a particular device on the LAN. A network mask 35 (e.g., 255.255.255.0) may be provided for masking the

PCT/US97/09595 WO 97/49019

- 5 -

address, leaving only the elements that identify a network. This enables a determination whether a particular addressed device (e.g., .10) is part of a particular addressed network (e.g., 206.8.142).

Referring again to Fig. 2, to identify packets which may be passing via a back door 28, a packet scanner 80 is connected to the internal LAN medium 40. The packet scanner may be implemented as a workstation similar to the other workstations on the LAN but with additional software 10 to perform the scanning operations.

5

Referring to Fig. 4, during scanning operations, the the packet server operates in a MAC so-called promiscuous listening mode in which it places, in a FIFO look-ahead buffer, every packet P1, P2, ... that appears on 15 the network medium. The look-ahead FIFO buffer is provided by the MAC layer to make incoming packets available to the layer above it.

A layer of software 83 (called the VPN layer and discussed in Alan J. Kirby et al., United States Patent 20 application serial number 08/585,765, filed on 1/16/95) looks, in turn, at each of the packets in the look-ahead buffer on a FIFO basis.

The VPN software layer maintains two tables. table 84 holds a list of subnetwork addresses 86(e.g., 25 8.142) which represent internal logical networks which are served by the physical network medium to which the packet Note that, although each of the scanner is connected. devices that is physically connected to the physical network medium 40 (Fig. 2) has a unique hardware address, 30 the devices may be grouped logically into internal logical networks each of which may include a subset of all of the physical devices. A second table 88 lists the hardware addresses 90 of only authorized gateways connected to the physical network medium.

- 6 -

As seen in Figure 5, during the scanning loop, after getting (90) the next packet from the look-ahead buffer, the VPN layer compares (92) the source and destination IP addresses with subnetwork addresses in table 86 to determine if the packet is one that both came from and is intended for devices which are within one of the internal networks. If so, it is assumed that the packet did not pass via a back door and the packet is disregarded for further purposes of the scanning loop. Then the next 10 packet is fetched from the buffer

If neither of the source or destination IP addresses are associated with internal networks (93), the packet should not be passing on that subnetwork and an event is logged.

Otherwise, one and only one of the IP addresses must be of a device not associated with the internal networks; which one has already been determined in steps 92 and 93. For the IP address of the device that is not associated with the internal networks, the VPN layer compares (96) the corresponding hardware address with hardware addresses held in table 88 to determine if the packet came from or is intended for (depending on the particular case) a device that is one of the authorized gateways. If the address is in the table (result of the test is "yes" 99), then the packet is assumed not to have passed via a back door.

Otherwise, if the hardware address does not match any of the hardware addresses in the gateway table, it is assumed that it passed or is going to pass via a back door. The VPN layer then performs (98) one or more event routines associated with this occurrence before proceeding to fetch the next packet.

The routines may include logging information about the destination and source devices and the content of the packet, the time on which the event occurred, and a variety of other information. The information may be sent to

- 7 -

another process or device using an SNMP (simple network management protocol) message or other mechanisms.

Even though the hardware address of the backdoor may be determined and reported using the method described 5 above, it may be cumbersome for the LAN administrator to use this information because the hardware addresses of the devices connected to the network medium may not be known. To simplify the administrator's work, a reverse address resolution protocol (RARP) server 100 (Figure 2) may be The RARP server includes a table that permits it automatically to translate hardware network addresses to IP addresses which are typically well known administrator. One of the event routines 98 may be to send the hardware address of the back door to the RARP server 15 for resolution into the corresponding IP address.

Similarly, building up table 88 may be a cumbersome job for the administrator who may not know the hardware addresses of the devices connected to the network medium. The table could be built dynamically using an ARP protocol on IP addresses.

An example of code which implements a packet scanner is set forth in Appendix A.

Other embodiments are within the scope of the following claims. For example, the network protocol need 25 not be IP.

The determination of whether or not a packet is involved in an off-network communication need not be based on analysis of IP addresses in the packet. In the case of a routing protocol (such as RIP), the packet scanner could watch for packets indicating that a non-authorized router on its network is advertising routes. In the case of a protocol (such as ICMP), the packet scanner could watch for a "redirect" message which may be sent to force a redirection of a message to an unauthorized gateway.

```
/*++
```

Copyright (c) 1996 Raptor Systems, Inc. All rights reserved.

Permission to copy, modify, distribute, or sell this software or its documentation for any purpose is hereby denied without specific, written prior permission from Raptor Systems Incorporated.

Raptor Systems Incorporated disclaims all warranties with regard to this software, including all implied warranties of merchantability and fitness, in no event shall Raptor Systems Incorporated be liable for any special, indirect or consequential damages or any damages whatsoever resulting from loss of use, data or profits, whether in an action of contract, negligence or other tortious action, arising out of or in connection with the use or performance of this software.

Scan.c

Abstract:

Detecting Unauthorized Network Communications

This file contains SAMPLE CODE... associated with the implementation of the promiscuous mode WAN/Scan interface portion of the NT Firewall driver.

This version only scans for IP-based "back-doors"

```
--*/
#include "scan.h"
                        /* macros, definitions, prototypes... */
static const IPADDRESS BroadcastAddress = 0xFFFFFFFFUL:
        Begin code section.
        VpnScan is the entry-point from the code in our driver which is
        given the the look-ahead buffers from the lower (MAC) layer
 */
UINT
VpnScan (
        IN PVPN_ADAPTER
                                Adapter,
        IN NDIS MEDIUM
                                MediaInUse,
        IN PVOID
                                Data.
        IN UINT
                                DataLength
        NDIS STATUS
                                Status:
        PETHERNET HEADER
                                EnetHeader;
        PIP HEADER
                                IpHeader;
        IPADDRESS
                                 IpDstAddress;
        USHORT
                                EnetProtocol;
```

```
UINT
                                   IpHeaderLength;
      UINT
const NDIS_PHYSICAL_ADDRESSH_Acceptable = NDIS_PHYSICAL_ADDRESS_CONST(-1,-1);
      VPNDBG(VpnDbgIpSec, DbgPrint ("VPN: -->VpnScan \n"); )
      result = SCAN_KEEP;
                                            /* lets assume we want to keep it */
      switch (MediaInUse) {
           case NdisMedium802_3 :
                EnetHeader = (PETHERNET_HEADER) Data;
EnetProtocol = *((PUSHORT)(&EnetHeader->EthProtocolType[0]));
                break:
           case NdisMedium802_5 :
                //
// In our receive code we built a contiguous buffer so we can
// pass the sum of the header and data to other functions.
                EnetProtocol = VpnTrFrameEtherType ( Data, DataLength);
                break:
           default :
                ASSERT (FALSE);
                goto Done;
      }
                // End switch (MediaInUse)
      if (ETHER_ARP_PROTOCOL == EnetProtocol) {
       // Pass along ARP requests.
      goto Done;
  if (ETHER_IP_PROTOCOL != EnetProtocol) {
      //
// Don't handle anything but IP from here.
      goto Done;
  }
      if ( DataLength < MIN_SCAN_DATA_LENGTH ) {</pre>
                // Don't have enough data to work with so don't attempt to do // anything with this frame.
                result = SCAN_DROP;
                goto Done;
      }
      switch (MediaInUse) {
```

```
case NdisMedium802 3 :
          IpHeader = (PIP_HEADER) (((PUCHAR)Data)+sizeof(ETHERNET_HEADER));
         break;
    case NdisMedium802_5 :
          //
// In our receive code we built a contiguous buffer so we can
// pass the sum of the header and data to other functions.
          IpHeader = VpnTrFrameIpOffset ( Data, DataLength);
          if (NULL == IpHeader)
goto Done;
         break:
     default :
          ASSERT (FALSE);
          goto Done;
// End switch (MediaInUse)
}
/* get IP header length, using macro */
IpHeaderLength =
          (UINT) IP_HEADER_LENGTH(IPHeader->IpVersionAndHeaderLength);
          Check for valid IP Header Length, must be at least 20 bytes.
if ( IpHeaderLength < sizeof(IP_HEADER) ) {
    result = SCAN_DROP;</pre>
          goto Done;
}
// Determine if this IP packet is to be scanned
if (result = vpn_packet_is_routing_protocol((unsigned char*)IpHeader)){
    unsigned char* dptr;
          dptr = (unsigned char*)Data;
dptr += 6;
                                                             /* point to mac */
/* point to src addr */
          if ( vpn_packet_via_badguy( dptr)) {
    /*
                                                            /* check src mac addr */
                              src address is not authorized gateway!
                     +/
                    int
                                                             /* loop var */
                                        ip;
mac[6];
                    struct ipv4*
                    unsigned char
                    unsigned char
                                        STC [4];
                                        dst[4];
                    unsigned char
                    /* build mac logging buffer */
                    for (i=0; i<6; i++)
mac[i] = *dptr++;
                    /* build ip logging buffer */
ip = (struct ipv4*)IpHeader;
dptr = (unsigned char*)&ip->ip_src; /* pt to IP src */
```

```
for (i=0; i<4; i++) {
    src[i] = *dptr;
    dst[i] = *(dptr+4);
                         dptr++;
                }
        /* log something */
    VPNDBG( VpnDbgScanAlert, DbgPrint (
"ts td.td.td.td.td.td.td ts tx:tx:tx:tx:tx:n",
"SCAN: ALERT 3 - packet ",
src[0], src[1], src[2], src[3],
dst[0], dst[1], dst[2], dst[3],
        "routing protocol by unauthorized gateway "
        mac[0], mac[1], mac[2], mac[3], mac[4], mac[5]
                 * pass packet to event logger for
                 * application layer reporting
                 */
                save_packet_for_reporting( Data);
        }
//
// Determine if this IP packet is to be scanned
if (result = vpn_packet_off_protected_net((unsigned char*)IpHeader) ) {
        unsigned char* dptr;
        dptr = (unsigned char*)Data;
                                                   /* point to mac */
        if ( (SRC_SUSPECT | DST_SUSPECT) == result) {
                                                   /* loop var */
                 int
                                  i;
                 struct ipv4*
                                  ip;
                                  smac[6];
                 unsigned char
                 unsigned char
                                  dmac[6];
                 unsigned char
                                 src[4];
                 unsigned char
                                  dst[4]:
                 }
                  * pass packet to event logger for * application layer reporting
                 save_packet_for_reporting( Data);
```

```
/* build mac logging buffer */
                      for (i=0; i<6; i++) {
    dmac[i] = *dptr;
    smac[i] = *(dptr+6);
                                   dptr++;
                       }
                      /* build ip logging buffer */
ip = (struct ipv4*) IpHeader;
dptr = (unsigned char*) &ip->ip_src; /* pt to IP src */
                       for (i=0; i<4; i++) {
    src[i] = *dptr;
    dst[i] = *(dptr+4);
                                   dptr++;
                       }
/* log something */
VPNDBG( VpnDbgScanAlert, DbgPrint (
"ts td.td.td->td.td.td (tx:tx:tx:tx:tx:tx->tx:tx:tx:tx:tx:tx)\n",
* pass packet to event logger for
* application layer reporting
                       save_packet_for_reporting( Data);
                       goto cleanup;
            }
            if (result == SRC_SUSPECT)
                       dptr += 6;
            if ( vpn_packet_via_badguy( dptr) ) {
    /*
                                   address is not authorized gateway!
                         */
                       int
                                                                      /* loop var */
                                             ip;
mac[6];
src[4];
                       struct ipv4*
                       unsigned char unsigned char
                                               dst[4];
                       unsigned char
                        /* build mac logging buffer */
                        for (i=0; i<6; i++)
mac[i] = *dptr++;
                        /* build ip logging buffer */
ip = (struct ipv4*) IpHeader;
dptr = (unsigned char*) &ip->ip_src; /* pt to IP src */
                        for (i=0; i<4; i++) {
    src[i] = *dptr;
    dst[i] = *(dptr+4);
                                   dptr++;
```

```
/* log something */
    VPNDBG( VpnDbgScanAlert, DbgPrint (
"ts td.td.td.>td.td.td.td ts tx:tx:tx:tx:tx:tx:n",
                    "SCAN: ALERT 1 - packet ",
src[0], src[1], src[2], src[3],
dst[0], dst[1], dst[2], dst[3],
                    "via unauthorized gateway ", mac[0], mac[1], mac[2], mac[3], mac[4], mac[5]
                    ); )
                               /*
 * pass packet to event logger for
 * application layer reporting
                               save_packet_for_reporting( Data);
                    }
cleanup:
                    if packet not for me or bcast, drop it
          IpDstAddress = *((PIPADDRESS)(&IpHeader->IpDstAddress[0]));
               If this IP packet is addressed to this gateway then pass it up.
NOTE: We don't check the IP checksum here because we want the IP
          // NOTE: statistics to catch this error.
          //
if ( IpDstAddress == Adapter->IpAddress) {
                               IP Addressed to this station so pass it up...
                     goto Done;
          if ( IpDstAddress == BroadcastAddress) {
                                IP Broadcast so pass it up...
                     goto Done;
           }
                     else it isn't for me, so drop packet...
           result - SCAN_DROP;
Done:
           VPNDBG(VpnDbgIpSec, DbgPrint ("VPN: <--VpnScan \n"); )</pre>
           return result;
           // End VpnScan
}
```

```
global anchors for lookup tables
struct scan_net*
                     net_db_head = NULL;
struct scan_gate*
                       gate_db_head = NULL;
/*
       For SAMPLE code, simple hard-coding 1 authorized gateway and 1 protected internal network
*/
void *
VpnScanInit()
       NDIS STATUS
                                               status;
        unsigned char*
                                               new_data;
my_net;
        struct scan net*
        struct scan_gate*
                                               my_gate;
       unsigned int
                                               size:
  const NDIS_PHYSICAL_ADDRESS H_Acceptable = NDIS_PHYSICAL_ADDRESS_CONST(-1,-1);
        VPNDBG(VpnDbgIpSec, DbgPrint ("VPN: -->VpnScanInit\n"); )
        /*
**
        **
                       build internal network database
        **
        size = sizeof( *my_net);
status = NdisAllocateMemory (
                                      &new_data,
(unsigned int)size,
                                       H Acceptable
        if (NDIS STATUS SUCCESS != status) {
            VPNDBG(VpnDbgScan, DbgPrint ("VPN: Memory ALLOC Failed (tunnel) \n");
           return 0;
        }
        /*
                setup main tunnel structure
         +/
        my_net = (struct_scan_net*)new_data;
        my_net->prev = NULL;
my_net->next = NULL;
        my net->net = htonl(0x01020300);
my net->mask = htonl(0xffffff00);
                                             /* internal net 1.2.3.0 */
        /*
```

```
Link it into database
         net_db_head = my_net;
                                build allowed Gateway database
         **
         **
         size = sizeof( *my_gate);
status = NdisAllocateMemory (
                                                       &new data,
                                                        (unsigned int) size,
                                                       0,
H_Acceptable
         if (NDIS STATUS SUCCESS != status) {
    VPNDBG(VpnDbgScan, DbgPrint ("VPN: Memory ALLOC Failed (tunnel)\n");
               return 0;
          }
          /*
                     setup main tunnel structure
         my_gate = (struct scan_gate*)new_data;
my_gate->prev = NULL;
my_gate->next = NULL;
           */
          my_gate->ip_addr = htonl(0x04050607); /* address 4.5.6.7 */
         my_gate->mac_addr[0] = 0x08;
my_gate->mac_addr[1] = 0x00;
my_gate->mac_addr[2] = 0x20;
my_gate->mac_addr[3] = 0x01;
my_gate->mac_addr[4] = 0x02;
my_gate->mac_addr[5] = 0x03;
                     Link it into database
            */
          gate_db_head = my_gate;
          VPNDBG(VpnDbgIpSec, DbgPrint ("VPN: <--VpnScanInit\n"); )</pre>
          return 0;
}
vpn_packet_is_routing_protocol( packet )
unsigned char* packet;
     struct ipv4*
     unsigned char
                                  next_proto;
                                  result;
     int
      VPNDBG (VpnDbgIpSec,
                 DbgPrint ("VPN: -->vpn_packet_is_routing_protocol\n"); )
```

```
* retrieve next protocol from packet
    */
   ip = (struct ipv4*)packet;
next_proto = ip->ip_p;
       SAMPLE
       list of routing protocols you want to flag for attention
    * add protocols as desired...
       note: if you want to scan for RIP, scan for appropriate UDP traffic. if you want to scan for BGP, scan for appropriate TCP traffic.
                                                  /* (3) Gateway-to-Gateway */
   if (next_proto == IPPROTO_GGP) {
                                                  /* ALERT (maybe), match on scan */
        result = 1;
   else if (next_proto == IPPROTO_EGP) {
    result = 1;
                                                  /* (8) Exterior Gateway Protocol */
/* ALERT (maybe), match on scan */
    else if (next_proto == IPPROTO_IGP) {
   result = 1;
                                                  /* (9) private Interior Gateway */
/* ALERT (maybe), match on scan */
    else {
                                                  /* OK, no match on this scan */
        result = 0;
    return( result);
}
vpn packet_off_protected_net( packet )
unsigned char* packet;
    struct ipv4*
                                               /* Destination address - host order*/
    unsigned long
                           dst;
                                               /* Source address - host order */
    unsigned long
                           STC;
    int
                           src_stat;
    int
                           dst_stat;
                           result;
    int
    struct scan_net*
                           net;
    /*
* assume the worst
    */
src_stat = SRC_SUSPECT;
dst_stat = DST_SUSPECT;
result = SRC_SUSPECT | DST_SUSPECT;
   /*
* retrieve addresses from packet
```

```
ip = (struct ipv4*)packet;
  dst = ip->ip_dst;
  src = ip->ip_src;
  for (net = net_db_head; net ; net = net->next)
     result = src_stat | dst_stat;
     if ( 0 == result)
           goto done;
  }
done:
  return result;
}
vpn_packet_via_badguy( mac )
unsigned char* mac;
   struct scan_gate*
                 gate;
   unsigned char*
                 gateway;
   int
                 result;
   result = 1;
                  /* assume badguy */
   for (gate = gate_db_head; gate ; gate = gate->next) {
      /* found a registered gateway, so he is OK */
            result = 0;
            goto done;
      }
done:
   VPNDBG(VpnDbgIpSec, DbgPrint ("VPN: <--vpn_packet_via_badguy\n"); )</pre>
                             /* not found, must be a badguy... */
   return ( result );
}
```

What is claimed is:

 A method for use with devices that are coupled by a communication medium to form an internal packet network, at least one of the devices not being an authorized conduit for communication with external devices that are not part of the internal packet network, the method comprising

observing packets passing on the medium,

based on the observation, detecting packets
10 associated with communication with an external device, and
for detected packets associated with communication
with an external device, determining if the communication
is authorized.

2. The method of claim 1 in which the detecting 15 comprises

comparing address information in the packets with address information associated with devices that are part of the internal packet network to determine if the packet involves communication only between devices that are part of the internal packet network.

- 3. The method of claim 2 in which the address information comprises logical network addresses.
- 4. The method of claim 2 in which the logical network addresses comprise IP addresses.
- 5. The method of claim 2 further comprising storing the address information associated with devices that are part of the internal packet network in a look-up table.
 - 6. The method of claim 1 in which the determining comprises
- examining hardware addresses in the packets.

- 7. The method of claim 6 in which the hardware addresses comprise unique hardware addresses of network interface cards in the devices.
- 8. The method of claim 1 further comprising
 comparing address information in the packets with address information associated with the devices that are authorized conduits for communication with external devices.
- 9. The method of claim 8 further comprising storing 10 the address information associated with the devices that are authorized conduits in a look-up table.
- 10. The method of claim 1 in which at least one of the devices of the internal packet network is an authorized conduit for communication with external devices that are not part of the internal packet network.
 - 11. The method of claim 1 further comprising storing packets temporarily in a look-ahead buffer.
- 12. The method of claim 1 further comprising reporting information about the non-authorized 20 communication.
 - 13. A method for reducing back door packet communication between a device on a network and a device outside the network comprising

detecting packets that are associated with 25 communication involving devices outside the network, and

identifying packets among the detected packets that are sent or received by a device that is not authorized for communication with devices outside the network.

- 20 -

14. Apparatus for use in reducing packet communication between an unauthorized device on a network and a device outside the network comprising

a scanner connected to observe packets passing on 5 the network, and

an analyzer that determines if one of the packets includes address information indicating that communication is occurring with the unauthorized device.

15. A method for use with devices that are coupled 10 by a communication medium to form an internal packet network, none of the devices being an authorized conduit for communication with external devices that are not part of the internal packet network, the method comprising

observing network address information in packets 15 passing on the medium,

based on the observed network address information, detecting packets intended for communication with an external device, and

raising an alarm with respect to packets intended 20 for communication with an external device.

- 16. The method of claim 1 in which the determining comprises watching for packets indicating that a non-authorized device is advertising routes.
- 17. The method of claim 1 in which the determining 25 comprises watching for redirect messages being sent by a non-authorized device to redirect traffic to an unauthorized gateway.

18. A method for use with devices that are coupled by a communication medium to form an internal message network, at least one of the devices not being an authorized conduit for communication with external devices that are not part of the internal message network, the method comprising

observing messages passing on the medium,

based on the observation, detecting messages associated with communication with an external device, and for detected messages associated with communication with an external device, determining if the communication

is authorized.

1/4

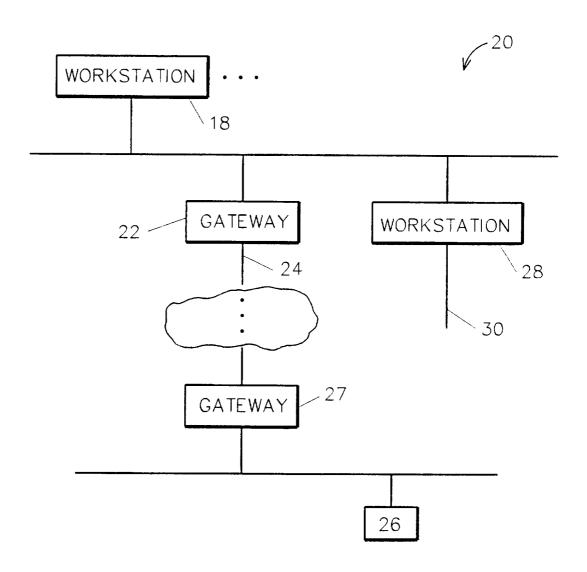
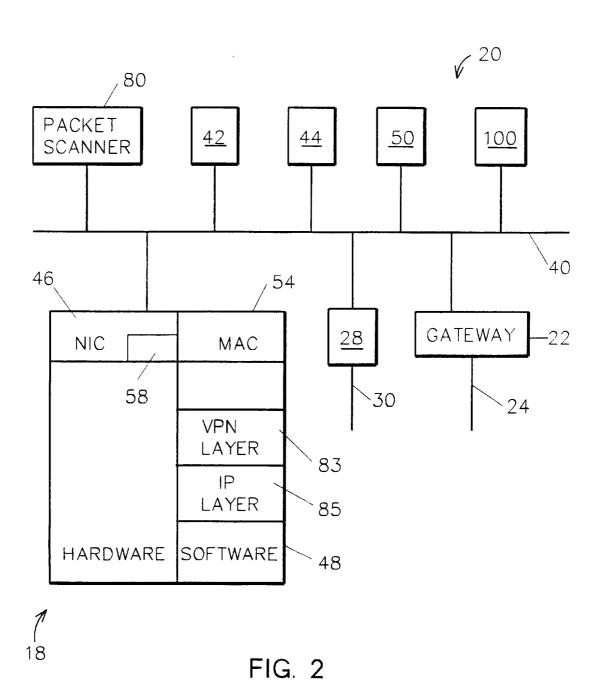
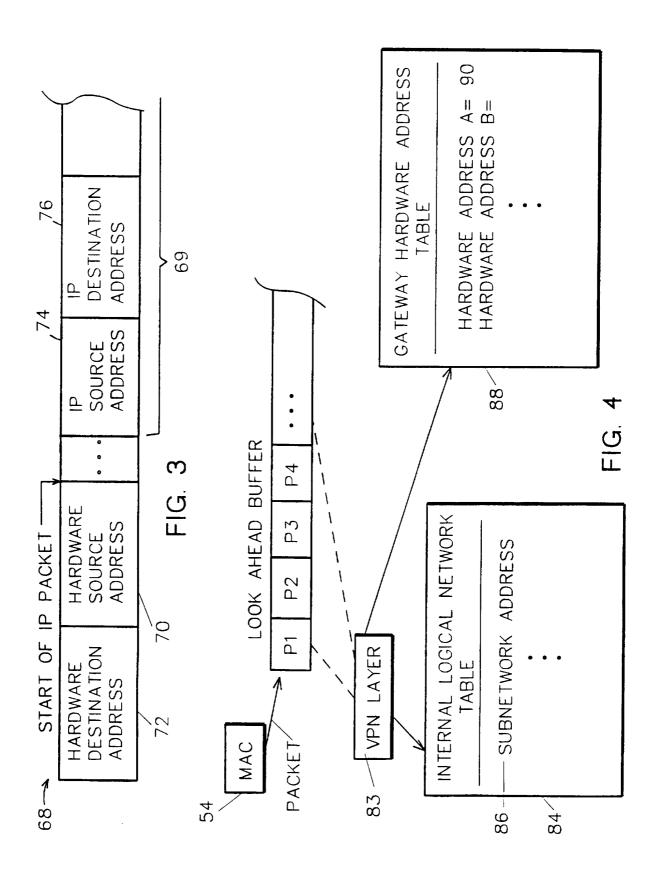
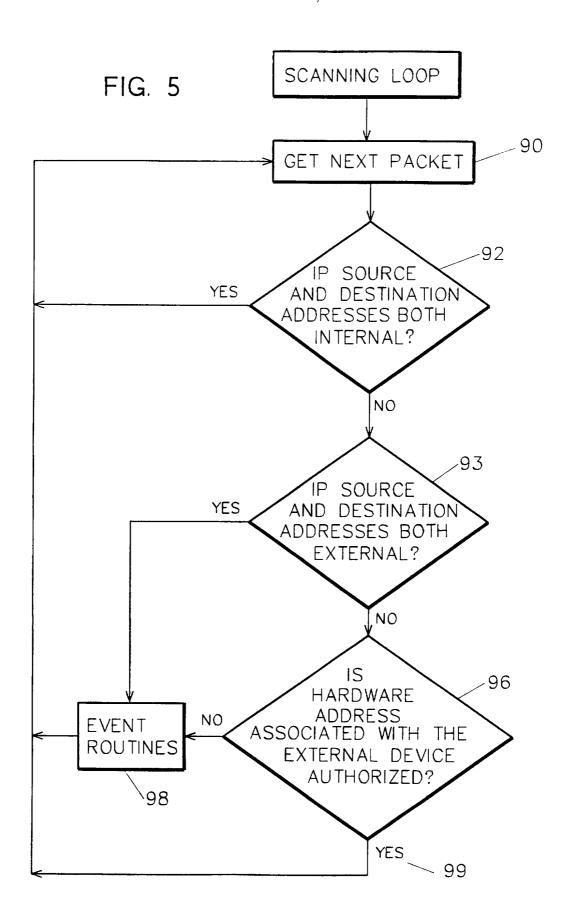


FIG. 1





SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

International application No. PCT/US97/09595

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :G05B 23/02; H04L 12/28, 12/56; G06F 7/00, 13/00 US CL :340/825.07; 370/401; 395/187.01 According to International Patent Classification (IPC) or to both national classification and IPC								
B. FIELDS SEARCHED								
Minimum d	ocumentation searched (classification system follow	ed by classification symbols)						
U.S. :	3 40/825.07, 825.06; 370/401, 402, 252; 395/187.0	1, 186, 287						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched								
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS search terms: security and unauthorized								
C. DOC	UMENTS CONSIDERED TO BE RELEVANT							
Category*	Citation of document, with indication, where a	appropriate, of the relevant passages	Relevant to claim No.					
Y,P	US 5,537,099 A (LIANG) 16 JULY AND 59-68, COL. 4, LINES 45-5 COL. 7, LINES 1-63, COL. 8, LIN 64, COL. 10, LINES 6-58.	55, COL. 6, LINES 17-54,	1-18					
Y	US 5,414,833 A (HERSHEY EABSTRACT.	12						
Y,P	US 5,550,984 A (GELB) 27 AUG 46-62.	UST 1996, COL. 1, LINES	1					
Y,P	US 5,557,742 A (SMAHA ET AI COL.3, LINES 42-43.	L.) 17 SEPTEMBER 1996,	12					
X Furth	er documents are listed in the continuation of Box C	See patent family annex.						
Special categories of cited documents: T								
	ument defining the general state of the art which is not considered of particular relevance	date and not in conflict with the applica principle or theory underlying the inve						
E cartier document published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is		document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone.						
cito	d to establish the publication date of another citation or other cital reason (as specified)	'Y' document of particular relevance; the	claimed invention cannot be					
"O" document referring to an oral disclosure, use, exhibition or other means		considered to involve an inventive combined with one or more other such being obvious to a person skilled in the	documents, such combination					
	ument published prior to the international filing date but fater than priority date claimed	"&" document member of the same patent !	amily					
Date of the actual completion of the international search O2 SEPTEMBER 1997 Date of mailing of the international search report 2 4 SEP 1997								
	ailing address of the ISA/US er of Patents and Trademarks	Authorized officer (i.e.	Turne					
Box PCT	D.C. 20231	JEDWARD MERZ	Jen / July					
Facsimile No		Telephone No. (703) 305-4869						

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/09595

C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the releva	int passages	Relevant to claim No.
Y,P	US 5,606,668 A (SHWED) 25 FEBRUARY 1997, ABS	STRACT.	1
A,P	US 5,581,559 A (CRAYFORD ET AL.) 03 DECEMBI ABSTRACT.	ER 1996,	1
A	US 5,479,612 A (KENTON ET AL.) 26 DECEMBER ABSTRACT.	1995,	1
A,P	US 5,623,601 A (VU) 22 APRIL 1997, ABSTRACT.		1