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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/EP94/01847 <b>(22) International Filing Date:</b> 4 June 1994 (04.06.94) <b>(30) Priority Data:</b> 08/074,184      9 June 1993 (09.06.93)      US <b>(71) Applicant (for AU BB CA GB IE LK MN MW NZ SD TT only):</b> UNILEVER PLC [GB/GB]; Unilever House, Blackfriars, London EC4P 4BQ (GB). <b>(71) Applicant (for all designated States except AU BB CA GB IE LK MN MW NZ SD TT):</b> UNILEVER N.V. [NL/NL]; Weena 455, NL-3013 Al Rotterdam (NL). <b>(72) Inventor:</b> ZIEGLER, Philip, Dale; 19 Palmer, Oxford, CT 06478 (US). <b>(74) Agent:</b> EVANS, Jacqueline, G., V.; Unilever plc, Patent Division, Colworth House, Sharnbrook, Bedford MK44 1LQ (GB).		<b>(81) Designated States:</b> AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, GE, HU, JP, KG, KP, KR, KZ, LK, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> SKIN CARE COMPOSITION CONTAINING EMULSIFIED PETROLEUM JELLY  <b>(57) Abstract</b>  A cosmetic composition is provided having exceptional emulsion stability. The composition comprises water, petroleum jelly, a sterol, a phosphatide and a C <sub>16</sub> -C <sub>22</sub> alkanolic triglyceride.		

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Skin care composition containing emulsified petroleum jelly.

FIELD OF THE INVENTION

5 The invention concerns a cosmetic composition in emulsion form.

BACKGROUND TO THE INVENTION

10 Petroleum jelly, commercially available under the Vaseline® brand, has long been recognised as therapeutically effective against dry skin. A major negative limiting use of petroleum jelly is the greasiness of this material. Petroleum jelly operates as a barrier but does not  
15 penetrate into the skin. Transfer of this material onto clothing, therefore, readily occurs. By contrast, water-based formulations, although often less effective, do not transfer to clothing and exhibit better feel properties. Not surprisingly, water-based cosmetic compositions such as  
20 aqueous lotions and creams have garnered the main share of the market.

An approach to providing the benefits of petroleum jelly while neutralising its greasy feel has been the preparation  
25 of aqueous petroleum jelly emulsions. Emulsifiers have been utilised to provide compatibility between aqueous and oil phases. Attempts at emulsification have not always been successful. Even when successful, the resultant product often fails to exhibit the skin protective  
30 properties of petroleum jelly. New and improved emulsifying systems would be highly desirable.

Illustrative of the art is US 4,760,096 (Sakai et al) which discloses a skin moisturising preparation that includes a  
35 phosphatide, at least one C<sub>10</sub>-C<sub>30</sub> carboxylic acid sterol ester and at least one C<sub>6</sub>-C<sub>12</sub> alkanoic triglyceride in a dermatologically acceptable carrier. WO 90/01323

(Bernstein) describes a composition for preventing dry skin based on a lipid concentrate combining three naturally-occurring lipid groups found in the stratum corneum. These groups include fatty acids, sterols (e.g. cholesterol) and sterol esters, and phospholipids and glycolipids (e.g. lecithin and ceramides). US 4,855,090 (Wallach) approaches the problem through the use of liposome technology. A nonaqueous lipophilic phase is combined with an aqueous phase under high shear mixing conditions to form the liposomes. Among the components included in the lipophilic phase are cholesterol and polyoxyethylene fatty ether surfactant while the aqueous phase contains phosphatides such as lecithin.

In this area of technology, further improvements are desirable with respect to skin conditioning and product stability.

Accordingly, it is an object of the present invention to provide a cosmetic composition for skin which exhibits improved moisturisation and provides greater protection against dry skin condition.

It is a further object of the present invention to provide a cosmetic composition for skin which has improved storage stability.

These and other objects of the present invention will become more readily apparent from the detailed description and examples which follow.

#### DISCLOSURE OF THE INVENTION

Accordingly the invention provides a cosmetic composition comprising:

- (i) from 5 to 80% by weight of water;

- (ii) from 0.5 to 30% by weight of petroleum jelly;
- (iii) from 0.01 to 10% by weight of sterol;
- (iv) from 0.001 to 5% by weight of a phosphatide; and
- (v) from 0.5 to 20% by weight of a C<sub>16</sub>-C<sub>22</sub> alkanolic triglyceride.

The objects of the present invention, especially with respect to emulsion stability and skin moisturisation, are achieved with a water and oil emulsion that includes petroleum jelly, a sterol, a phosphatide and a C<sub>16</sub>-C<sub>22</sub> alkanolic triglyceride.

The emulsion will contain water in an amount from 5 to 80%, preferably from 10 to 50%, optimally between 20 and 40% by weight of the composition.

A second essential element of the cosmetic composition according to the present invention is petroleum jelly. The amount of petroleum jelly will range from 0.5 to 30%, preferably between 3 and 20%, optimally 5 and 15% by weight of the composition.

A third essential element of the cosmetic composition according to the present invention is a sterol. Preferably the sterol is a 3 $\beta$ -sterol having a tail on the 17 position and having no polar groups. Illustrative of this category is cholesterol, sitosterol, stigmasterol and ergosterol. Cholesterol and soy sterol are preferred. A commercial source of soy sterol is a product known as Generol 122®, available from the Henkel Corporation, Ambler, PA. Generol 122® is a mixture of stigmasterol, sitosterol and ergosterol. Cosmetic compositions according to the present invention will include the sterol in an amount from 0.01 to 10%, preferably between 0.05 and 2%, optimally between 0.05 and 1.5% by weight of the composition.

A further essential component of the cosmetic composition

according to the present invention is a phosphatide. Example of suitable phosphatides are lecithin, phosphatidyl choline, phosphatidyl ethanolamine, phosphatidyl serine, phosphatidyl inositol, diphosphatidyl glycerol and mixture thereof. Lysophosphoglycerides may also serve as the phosphatide. Preferred among the foregoing list is lecithin. Amounts of the phosphatide will range from 0.001 to 5%, preferably from 0.01 to 2%, optimally between 0.025 and 1% by weight of the composition.

A further essential component of the cosmetic composition according to the present invention is a C<sub>16</sub>-C<sub>22</sub> alkanolic triglyceride. Preferably the triglyceride will be based on a material whose major component is linoleic acid residues. Sunflower seed oil is the preferred embodiment. Amounts of the triglyceride will range from 0.5 to 20%, preferably from 1 to 15%, optimally between 2 and 10% by weight of the composition.

Another useful ingredient of the cosmetic composition according to the present invention is a gamma-linolenic acid. Borage seed oil (comprising 20% gamma-linolenic acid) is a desirable source for this ingredient. Amounts of the gamma-linolenic acid may conveniently range from 0.001 to 5%, preferably between 0.01 to 2% by weight of the composition.

For improved lubricity, there may also be included one or more silicone oils or fluids which may be selected from a dimethyl polysiloxane, a methylphenyl polysiloxane and an alcohol-soluble silicone glycol copolymer. Preferred siloxanes include dimethyl polysiloxane (CTFA name: dimethicone), a polysiloxane end-blocked with trimethyl units and polydimethylcyclsiloxane, (CTFA name: cyclomethicone). The preferred siloxanes exhibit a viscosity from about 2 to 50 centistokes at 25°C. Amounts of the silicones can conveniently range from 0.5 to 60%,

preferably between 1 and 30% by weight of the composition.

A variety of oily emollients may be employed in the compositions of this invention. These emollients may be selected from hydrocarbon oils (e.g. mineral oils)  $C_1$ - $C_{20}$  alkyl esters of fatty acids having 10 to 20 carbon atoms,  $C_{10}$ - $C_{22}$  fatty acids (e.g. stearic, palmitic, myristic and oleic acids),  $C_{10}$ - $C_{22}$  fatty alcohols (e.g. stearyl, palmityl, lauryl, myristyl and oleyl alcohols),  $C_{10}$ - $C_{22}$  fatty alcohol ethers formed from ethoxylation of the alcohols with 1-50 ethylene or propylene oxide groups,  $C_5$ - $C_{50}$  polyhydric alcohol esters and combinations thereof.

Amounts of the above listed emollients may range anywhere from 0.5 to 40% by weight of the total composition. Preferably the amounts of these emollients will range from 2 to 25%, optimally between 5 and 15% by weight.

Humectants of the polyhydric alcohol-type may also be included in the compositions of this invention. The humectant aids in increasing the effectiveness of the emollient, reduces scaling, stimulates removal of built-up scale and improves skin feel. Typical polyhydric alcohols include polyalkylene glycols and more preferably alkylene polyols and their derivatives, including propylene glycol, dipropylene glycol, polypropylene glycol, polyethylene glycol and derivatives thereof, sorbitol, hydroxypropyl sorbitol, hexylene glycol, 1,3-butylene glycol, 1,2,6-hexanetriol, ethoxylated glycerin, propoxylated glycerin and mixtures thereof. For the best result humectant is preferably glycerin. The amount of humectant may range anywhere from 0.5 to 20%, preferably between 1 and 15% by weight of the composition.

Sunscreen agents may also be included within compositions of the present invention. The term "sunscreen agent" as used herein defines ultraviolet ray-blocking compounds

exhibiting absorption within the wavelength region between 290 and 420 nm. Sunscreens may be classified into five groups based upon their chemical structure: para-amino benzoates; salicylates; cinnamates; benzophenones; and  
5 miscellaneous chemicals including menthyl anthralinate and digalloyl trioleate. Inorganic sunscreens may also be used including titanium dioxide, zinc oxide, iron oxide and polymer particles such as those of polyethylene and polyamides.

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The compositions of the invention can also include thickeners/viscosifiers in amounts up to 5% by weight. As known to those skilled in the art, the precise amount of thickeners can vary depending upon the consistency and  
15 thickness of the composition which is desired. Exemplary thickeners are xanthan gum, sodium carboxymethyl cellulose, hydroxyalkyl and alkyl celluloses, and cross-linked acrylic acid polymers such as those sold by B.F. Goodrich under the Carbopol® trademark.

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Preservatives can desirably be incorporated into the cosmetic compositions of this invention to protect against the growth of potentially harmful microorganisms. While it is in the aqueous phase that microorganisms tend to grow,  
25 microorganisms can also reside in the oil phase. As such, preservatives which have solubility in both water and oil are preferably employed in the present compositions. Suitable preservatives for compositions of the invention are alkyl esters of para-hydroxybenzoic acid, hydantoin derivatives, propionate salts, and a variety of quaternary  
30 ammonium compounds. Cosmetic chemists are familiar with appropriate preservatives and routinely choose them to satisfy the preservative challenge test and to provide product stability. Particularly preferred preservatives  
35 are DMDM hydantoin, methyl paraben, imidazolidinyl urea, sodium dehydroxyacetate, propyl paraben and benzyl alcohol. The preservatives should be selected having regard for the



use of the composition and possible incompatibilities between the preservatives and other ingredients in the emulsion. Preservatives are preferably employed in amounts ranging from 0.01% to 2% by weight of the composition.

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Minor adjunct ingredient may also include fragrances, antifoam agents, bacteriostats, opacifiers and colourants, each in their effective amounts to accomplish their respective functions.

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#### EXAMPLES

The following examples will more fully illustrate the embodiments of this invention. All parts, percentage and proportions referred to herein and in the appended claims are by weight unless otherwise indicated.

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EXAMPLE 1

The following formulation is a cream composition that was prepared with the following ingredients.

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CREAM

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INGREDIENT	WEIGHT % RANGE
Water	30-60
Carbopol Dispersion (2% in water)	10-50
Petroleum Jelly	5-30
Myreth-3-Myristate	2-20
Glycerin	2-20
Sunflower Seed Oil	0.5-10
Cetearyl Alcohol/Cetyl Steareth 20	0.5-10
Triethanolamine	0.1-5
Dimethicone	0.1-5
DMDM Hydantoin	0.05-1
Methyl Paraben	0.05-1
Propyl Paraben	0.05-1
Fragrance	0.05-1
Cholesterol	0.01-1
Stearic Acid	0.01-1
Lecithin	0.01-1
Borage Seed Oil (Gamma-Linolenic Acid)	0.01-1

EXAMPLE 2

The following formulation is a lotion composition that was prepared with the following ingredients.

LOTION

INGREDIENT	WEIGHT % RANGE
Water	40-80
Glycerin	1-30
Petroleum Jelly	1-30
Carbopol Dispersion (2%)	1-20
Mineral Oil	0.5-10
Stearic Acid	0.5-10
Sunflower Seed Oil	0.5-10
Glycol Stearate	0.5-10
Cetyl Acetate	0.5-10
Glycerol Monostearate	0.5-10
Triethanolamine	0.5-10
Dimethicone	0.5-10
POE-40-Stearyl Ether	0.1-5
Cetyl Alcohol	0.1-5
Methyl Paraben	0.5-1
Propyl Paraben	0.5-1
Fragrance	0.5-1
Magnesium Aluminum Silicate	0.5-1
Cholesterol	0.01-1
Disodium EDTA	0.01-1
Lecithin	0.01-1
DMDM Hydantoin	0.01-1
Borage Seed Oil (Gamma-Linolenic Acid)	0.01-1
Ascorbyl Palmitate	0.0001-0.1

EXAMPLE 3

The following formulation is a concentrate composition that was prepared with the following ingredients.

CONCENTRATE

INGREDIENT	WEIGHT % RANGE
Glycerin	10-50
Carbopol Dispersion (2%)	10-50
Cyclomethicone	5-30
Cyclomethicone/Dimethicone	5-30
Sunflower Seed Oil	5-20
Petroleum Jelly	1-20
Oleth-10	1-20
Generol 122® (Soy Sterol)	0.5-10
Borage Seed Oil (Gamma-Linolenic Acid)	0.5-10
Silicone Fluid 350	0.5-10
Water	0.5-10
Stearic Acid	0.5-10
Lecithin	0.1-1
Triethanolamine	0.1-1
Vitamin E Acetate	0.1-1
Vitamin A Palmitate/Vitamin D3	0.1-1
Glydant Plus®	0.01-1
Disodium EDTA	0.01-1

EXAMPLE 4

5 A series of formulations were prepared to evaluate emulsion stabilities of the key components of compositions according to the present invention. These formulations are listed in the Table below.

**TABLE**  
Stability of Emulsion

Component	Formulation (wt%)						
	A	B	C	D	E	F	G
Water	80	79	77	77	78	77	77
Petroleum Jelly	20	20	20	20	20	10	10
Lecithin	-	1	1	1	-	1	1
Generol 122®	-	-	2	-	2	2	2
Cholesterol	-	-	-	2	-	-	-
Sunflower Seed Oil	-	-	-	-	-	10	-
Capric/Caprylic/ Triglyceride	-	-	-	-	-	-	10

  

Physical Properties of Emulsion	Separation	Separation	good emulsion but extremely thick	good emulsion but extremely thick	separation	good emulsion with viscosity	unstable emulsion

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Formulations A and B demonstrate that even in the presence of lecithin (emulsifying agent) a combination of water and petroleum jelly exhibit immediate phase separation. Addition of soy sterol or cholesterol, as in Formulations C and D, do allow for the structuring of an emulsion. However, these emulsions were extremely thick and physically unattractive. In the absence of lecithin, but with soy sterol present, Formulation E exhibited phase separation between water and petroleum jelly.

By contrast with Formulations A-E, addition of sunflower seed oil ( $C_{16}$ - $C_{22}$  alkanolic triglyceride), as shown in Formulation F, achieved an excellent emulsion with good storage stability. Replacement of the sunflower seed oil with caprylic/capric ( $C_6$ - $C_{12}$  alkanolic) triglyceride (as in Formulation G), provided an emulsion that was only momentarily stable and broke shortly after preparation. These results indicate the criticality of the lecithin, sterol,  $C_{16}$ - $C_{22}$  alkanolic triglyceride combination for stabilising a water and petroleum jelly emulsion.

Although this invention is described with reference to specific Examples it will be apparent to one skilled in the art that various modifications may be made thereto which fall within the spirit and purview of this invention.

CLAIMS

1. A cosmetic composition comprising:
  - 5 (i) from 5 to 80% by weight of water;
  - (ii) from 0.5 to 30% by weight of petroleum jelly;
  - (iii) from 0.01 to 10% by weight of sterol;
  - (iv) from 0.001 to 5% by weight of phosphatide; and
  - 10 (v) from 0.5 to 20% by weight of a C<sub>16</sub>-C<sub>22</sub> alkanolic triglyceride.
2. A composition according to claim 1, wherein the sterol is selected from the group consisting of cholesterol, stigmasterol, sitosterol, ergosterol and combinations thereof.  
15
3. A composition according to claim 1, or claim 2 wherein the phosphatide comprises lecithin.
- 20 4. A composition according to any one of claims 1 to 3, wherein the triglyceride comprises a sunflower seed oil.
- 25 5. A composition according to any one of the claims 1 to 4, wherein water is present in an amount from 10 to 50% by weight.
- 30 6. A composition according to any one of claims 1 to 5, wherein the petroleum jelly is present in an amount from 3 to 20% by weight.
7. A composition according to any one of claims 1 to 6, wherein the sterol is present in an amount from 0.05 to 2% by weight.



8. A composition according to any one of claims 1 to 7, wherein the phosphatide is present in an amount from 0.01 to 2% by weight.
- 5 9. A composition according to any one of claims 1 to 8, wherein the C<sub>16</sub>-C<sub>22</sub> alkanolic triglyceride is present in an amount from 1 to 15% by weight.
- 10 10. A composition according to any one of claims 1 to 9, further comprising from 0.0001 to 5% by weight of gamma-linolenic acid.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP 94/01847

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 5 A61K7/48

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO,A,90 01323 (BERNSTEIN) 22 February 1990 cited in the application ---	
A	US,A,4 760 096 (SAKAI ET AL) 26 July 1988 cited in the application ---	
Y	EP,A,0 103 910 (PROCTER & GAMBLE) 28 March 1984 see example 7 ---	1-10
Y	DE,A,26 03 803 (HENKEL) 4 August 1977 see the whole document ---	1-10
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/EP 94/01847

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>DATABASE WPI Week 8327, Derwent Publications Ltd., London, GB; AN 83-702267 &amp; JP,A,58 088 304 (POLA KASEI) 26 May 1983 see abstract -----</p>	1-10

# INTERNATIONAL SEARCH REPORT

information on patent family members

International application No.

PCT/EP 94/01847

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9001323	22-02-90	AU-A- 4216089	05-03-90
US-A-4760096	26-07-88	NONE	
EP-A-0103910	28-03-84	NONE	
DE-A-2603803	04-08-77	NONE	