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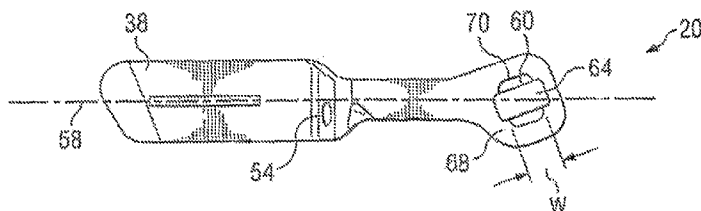
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(54) Title: POSTERIOR JOINT REPLACEMENT DEVICE



(57) Abstract: A prosthetic device for posterior placement in an intervertebral space defined between an upper vertebrae and a lower vertebrae may include an upper articular portion configured to be at least partially disposed in the intervertebral space and a lower articular portion configured to be at least partially disposed in the intervertebral space below the upper articular portion, the upper and lower articular portions being configured to provide articulating motion to the upper and lower vertebrae. The upper and lower articular portions each may include a posterior section configured to be disposed in a location posterior of the intervertebral space. The posterior section of one of the upper and lower articular portions may include a post, and the posterior section of the other of the upper and lower articular portions may include a receiving portion configured to interact with the post during articulation.

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POSTERIOR JOINT REPLACEMENT DEVICE

BACKGROUND

5 Disc arthroplasty is one way of treating injured, degraded, or diseased spinal discs. Some disc arthroplasty treatments include replacing injured discs of the joint with a motion-preserving spinal disc that allows some articulation or movement of the spinal joint. While the inserted disc may provide joint articulation to a patient, inserting the spinal disc can be an invasive and intensive procedure. For example, anterior procedures
10 often require displacement of organs, such as the aorta and vena cava, and must be performed with great care. Further, because scar tissue may grow about the surgical site, any required second treatment can be more difficult, and may introduce additional distress to the patient.

 What is needed is a prosthetic device for insertion into an intervertebral space that
15 may be installed from a posterior position. The posterior joint replacement device disclosed herein overcomes one or more problems in the prior art.

SUMMARY OF THE INVENTION

 In one exemplary aspect, this disclosure is directed to a prosthetic device for
20 posterior placement in an intervertebral space defined between an upper vertebrae and a lower vertebrae to provide articulating motion to the upper and lower vertebrae. The device may include an upper articular portion configured to be at least partially disposed in the intervertebral space. It may also include a lower articular portion configured to be at least partially disposed in the intervertebral space below the upper articular portion.

25 The upper and lower articular portions may be configured to provide articulating motion to the upper and lower vertebrae.

 In one aspect, the upper and lower articular portions each may include a posterior section configured to be disposed in a location posterior of the intervertebral space. The posterior section of one of the upper and lower articular portions may include a post, and
30 the posterior section of the other of the upper and lower articular portions may include a receiving portion configured to interact with the post during articulation.

In another aspect, at least one connecting hole may be associated with at least one of the upper and lower articular portions. The at least one of the upper and lower articular portions may define a longitudinal centerline and the at least one connecting hole may be aligned along the centerline.

5 In another exemplary aspect, this disclosure is directed toward a joint replacement device for placement in an intervertebral space defined between an upper vertebrae and a lower vertebrae to provide articulating motion to the upper and lower vertebrae. The joint replacement device may include a first joint replacement device and a second joint replacement device. The first and the second joint replacement devices each may include
10 an upper articular device configured to be at least partially disposed in the intervertebral space and a lower articular device configured to be at least partially disposed in the intervertebral space. The upper and lower articular device may be configured to provide articulated motion to the upper and lower vertebrae. The upper and lower articular devices each may have a centerline and a screw port aligned along the centerline.

15 In yet another exemplary aspect, this disclosure is directed to a joint replacement device for placement in an intervertebral space defined between an upper vertebrae and a lower vertebrae to provide articulating motion to the upper and lower vertebrae. The joint replacement device may include a first joint replacement device configured to be implanted at least partially within the intervertebral disc space and a second joint
20 replacement device configured to be implanted at least partially within the intervertebral disc space adjacent the first joint replacement device. The first and the second joint replacement devices may be substantially identical so that each can be implanted on either of a right side or the left side of the intervertebral disc space.

In some exemplary aspects, the joint replacement device disclosed herein may include one
25 or more features disclosed in the following prior patent applications, incorporated herein in their entirety by reference:

U.S. Utility Patent Application Serial No. 11/031,602, filed on January 7, 2005 and entitled "Spinal Arthroplasty Device and Method;"

U.S. Utility Patent Application Serial No. 11/031,603, filed on January 7, 2005 and
30 entitled "Dual Articulating Spinal Device and Method;"

U.S. Utility Patent Application Serial No. 11/031,780, filed on January 7, 2005 and entitled "Split Spinal Device and Method;"

U.S. Utility Patent Application Serial No. 11/031,904, filed on January 7, 2005 and entitled "Interconnected Spinal Device and Method;"

5 U.S. Utility Patent Application Serial No. 11/031,700, filed on January 7, 2005 and entitled "Support Structure Device and Method;"

U.S. Utility Patent Application Serial No. 11/031,783, filed on January 7, 2005 and entitled "Mobile Bearing Spinal Device and Method;"

10 U.S. Utility Patent Application Serial No. 11/031,781, filed on January 7, 2005 and entitled "Centrally Articulating Spinal Device and Method;" and

U.S. Utility Patent Application Serial No. 11/031,903, filed on January 7, 2005 and entitled "Posterior Spinal Device and Method."

BRIEF DESCRIPTION OF THE DRAWINGS

15 Fig. 1 is a pictorial representation of a lateral view of a portion of a vertebral column.

Fig. 2 is a pictorial representation of a lateral view of a pair of adjacent vertebral bodies defining an intervertebral space.

20 Fig. 3 is a pictorial representation of an intervertebral prosthetic device disposed between adjacent vertebral bodies.

Fig. 4 is a pictorial representation of a top view of an intervertebral prosthetic device on a lower vertebral body.

Fig. 5 is a pictorial representation showing inner features of an intervertebral prosthetic device between vertebral bodies.

25 Figs. 6, 7, and 8a-8c are pictorial representations of an intervertebral prosthetic device.

Fig. 9 is a pictorial representation of an intervertebral prosthetic device including a biasing member.

30 Figs. 10-12 are pictorial representations of an intervertebral prosthetic device according to another aspect.

Figs. 13-15 are pictorial representations of the intervertebral prosthetic device of Figs. 10-12 disposed between vertebral bodies.

Fig. 16 is a pictorial representation of an intervertebral prosthetic device according to another aspect of the device shown in Figs. 10-12.

DETAILED DESCRIPTION

The present invention relates generally to vertebral reconstructive devices and, more particularly, to an intervertebral prosthetic device for implantation. For the purposes of promoting an understanding of the principles of the invention, reference will now be made to embodiments or examples illustrated in the drawings and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended. Any alterations and further modifications in the described embodiments, and any further applications of the principles of the invention as described herein are contemplated as would normally occur to one skilled in the art to which the invention relates.

Fig. 1 shows a lateral view of a portion of a spinal column 10, illustrating a group of adjacent upper and lower vertebrae V1, V2, V3, V4 separated by natural intervertebral discs D1, D2, D3. The illustration of four vertebrae is only intended as an example. Another example would be a sacrum and one vertebrae.

For the sake of further example, two of the vertebrae will be discussed with reference to Fig. 2. The two vertebrae form a spinal segment 12 including an upper vertebrae 14 and a lower vertebrae 16. Some types of disc arthroplasty require that some or all of the natural disc that would have been positioned between the two vertebrae 14, 16 be removed via a discectomy or a similar surgical procedure. Removal of the diseased or degenerated disc results in the formation of an intervertebral space S between the upper and lower vertebrae 14, 16. Although the illustration of Fig. 2 generally depicts the vertebral joint 12 as a lumbar vertebral joint, it is understood that the devices, systems, and methods of this disclosure may also be applied to all regions of the vertebral column, including the cervical and thoracic regions.

Some conventional spinal prosthetic devices are installed using an anterior procedure, requiring a physician to access the spinal column using distressing and

sometimes traumatic procedures. Once a prosthetic is installed using an anterior procedure, scar tissue may build on sensitive vessels. If a second procedure is required, a physician may be required to remove the scar tissue to access the previously placed prosthetic. This sensitive procedure can cause additional distress to the patient. The
5 intervertebral prosthetic device disclosed herein may be advantageous over prior devices because it may be installed using a posterior procedure. Accordingly, a physician need not access and disturb the critical vessels that reside at the anterior side of the spinal column. Further, if a second procedure becomes necessary, the physician has easy access to the previously placed prosthetic without removing scar tissue off of sensitive vessels.
10 Accordingly, the procedure may be simplified and may cause less distress to the patient. Posterior implantation procedures often include removal of facet joints or processes. Because the joints and processes operate as connection locations for ligaments and muscles, their removal may limit the ability of the joint to control the degree or range of joint articulation. Accordingly, conventional prosthetic devices implanted through a
15 posterior procedure provide articulation, but it may be largely uncontrolled. With the removal of the muscles and ligaments, the repaired joint may become floppy. The intervertebral prosthetic devices disclosed herein limit the range of articulation, thereby providing more stability and more control to the spinal column.

Fig. 3 shows a side view of the vertebrae 14, 16 with an intervertebral prosthetic
20 disc 18 in the disc space S. The disc 18 allows the vertebra 14 to articulate relative to the vertebra 16 to provide movement to the spinal joint. Sized to fit the disc space height in a manner similar to a natural intervertebral disc, such as any of discs D1-D4, the prosthetic disc 18 provides support and stabilization to the vertebrae.

Figs. 4-8 show a number of views of at least a portion of the prosthetic disc 18.
25 Fig. 4 shows a top view of the prosthetic disc 18 in place on the vertebra 16, and Fig. 5 shows a view of the prosthetic disc 18 in place on the vertebrae 14, 16, but with the vertebrae separated to display inner features of the prosthetic disc 18. With reference to

Fig. 4, the prosthetic disc 18 may include a pair of prosthetic devices including a
left prosthetic device 20 and a right prosthetic device 21 that cooperate together to take the
30 place of the natural disc. While it is recognized that the prosthetic disc 18 may include more than one prosthetic device, the following description is primarily directed to only the

left prosthetic device 20. It should be readily apparent that the right prosthetic device 21 of the prosthetic disc 18 may be substantially similar in structure and function to the left prosthetic device 20 and therefore will not be described in further detail.

With reference to Fig. 4-8, the prosthetic device 20 includes an upper articular portion 22 and a lower articular portion 24. The upper articular portion 22 includes an interdiscal section 26, a posterior section 28, and a bridge 30 extending between the interdiscal and posterior sections 26, 28. Similarly, the lower articular portion 24 includes an interdiscal section 32, a posterior section 34, and a bridge 36 extending between the interdiscal and posterior sections 32, 34.

The upper and lower articular portions 22, 24 may be formed of any suitable biocompatible material including metals such as cobalt-chromium alloys, titanium alloys, nickel titanium alloys, and/or stainless steel alloys. Ceramic materials such as aluminum oxide or alumina, zirconium oxide or zirconia, compact of particulate diamond, and/or pyrolytic carbon may also be suitable. Polymer materials may also be used, including any member of the polyaryletherketone (PAEK) family such as polyetheretherketone (PEEK), carbon-reinforced PEEK, or polyetherketoneketone (PEKK); polysulfone; polyetherimide; polyimide; ultra-high molecular weight polyethylene (UHMWPE); and/or cross-linked UHMWPE. The various sections comprising the upper articular portion 22 and the lower articular portion 24 may be formed of different materials thus permitting metal on metal, metal on ceramic, metal on polymer, ceramic on ceramic, ceramic on polymer, or polymer on polymer constructions.

In the exemplary embodiment shown, each of the upper and lower articular portions 22, 24 are integrally formed or molded of a single piece of material. In other embodiments, one or more of the interdiscal, posterior, and bridge sections of either of the upper or lower articular portions 22, 24 may be formed separately and attached to one or more of the other sections. Attachments in these embodiments may be accomplished using any fastening mechanism known in the art including, for example, a threaded connection, a bolted connection, or a latched connection. In those embodiments, the interdiscal, posterior, and bridge sections also may be formed of different materials.

The interdiscal section 26 of the upper articular portion 22 may include a bone contacting surface 38 and an inner surface 44 opposite the bone contacting surface 38. A

first articular surface 42 may form a part of the inner surface 44. In the embodiment shown, the first articular surface 42 is a recess. Similarly, the lower articular portion 24 may include a bone contacting surface 40 opposite an inner surface 48, with a second articular surface 46 forming a part of the inner surface 48 and being configured to mate with the first articular surface 42. In the embodiment shown, the second articular surface 46 is a protrusion. Together, the first and second articular surfaces 42, 46 may form an articulating joint that allows the upper and lower articular portions 22, 24 to articulate relative to each other. This articulation, in turn, may allow articulating movement of the upper vertebra 14 relative to the lower vertebra 16, and in some embodiments, may allow movement similar to that provided by a natural spinal disc. In the embodiment shown, the second articular surface 46 is a partial sphere that may rotate or translate within the first articular surface 42, forming a loosely constrained ball and socket style joint. Although shown as a ball and socket joint, the first and second articular surfaces 42, 46 may be any shape or design that allows one of the upper and lower articular portions 22, 24 to move relative to the other of the upper and lower articular portions 22, 24. For example, the first and second articular surfaces 42, 46 may include a trough and recess, a ball and saucer, or other shaped features.

As shown in Fig. 5, when implanted, the interdiscal section 26 may be situated along an inferior surface of the upper vertebra 14 and the interdiscal section 32 may be situated above a superior surface of the lower vertebra 16. However, it should be understood by one of ordinary skill in the art that the two interdiscal sections 26, 32 are not limited to such an arrangement, and may be oriented in different positions and/or shaped differently than what is illustrated herein.

The bone contacting surfaces 38, 40 of the upper and lower articular portions 22, 24 may include features or coatings which enhance the fixation of the implanted prosthetic device 20. For example, the surfaces 38, 40 may be roughened such as by chemical etching, bead-blasting, sanding, grinding, serrating, and/or diamond-cutting. All or a portion of the bone contacting surfaces 38, 40 of the upper and lower articular portions 22, 24 may also be coated with a biocompatible and osteoconductive material such as hydroxyapatite (HA), tricalcium phosphate (TCP), and/or calcium carbonate to promote bone in growth and fixation. Alternatively, osteoinductive coatings, such as proteins from

transforming growth factor (TGF) beta superfamily, or bone-morphogenic proteins, such as BMP2 or BMP7, may be used. Other suitable features may include spikes, ridges, and/or other surface textures.

In the exemplary embodiment shown, optional upper and lower bone connectors 50, 52 are formed on the bone contacting surfaces 38, 40, respectively. These bone connectors 50, 52 extend toward the upper and lower vertebrae 14, 16 in a manner to help secure the upper and lower articular portions 22, 24 in place. In the example shown, the bone connectors 50, 52 are keels configured to extend into notches or grooves formed into the vertebral endplates. Although shown as extending along a substantial length of the upper and lower articular portions, the bone connectors 50, 52 may be of any length, either shorter or longer than that shown, and in addition, may have some other orientation or features other than that shown. For example, in some embodiments, the bone connectors are a series of ridges, protrusions, or other surface features that help fix the prosthetic device 20 in place.

In the embodiment shown, the upper articular portion 22 also includes additional features for affixing to the vertebrae 14. For example, the upper articular portion 22 includes a connecting aperture 54 (best seen in Figs. 6 and 7) configured to receive a bone fastener 56 (shown in Figs. 3 and 4), such as a screw. The connecting aperture 54 may be disposed adjacent a rear of the interdiscal section 26 so that the bone fastener 56 may be driven through the aperture 54 into the rear of the vertebral body of the vertebra 14. In other embodiments, the connecting aperture 54 may be disposed elsewhere so long as the bone fastener 56 in the aperture 54 may help hold the prosthetic device 20 in place. In the embodiment shown, the lower articular portion 24 does not include a connecting aperture. However, in other embodiments, one or more connecting apertures may be included.

The bridge sections 30, 36 extend rearward from the interdiscal sections 26, 32 respectively. In the embodiment shown, the bridge sections 30, 36 extend substantially along a longitudinal centerline 58 (Fig. 7) of the prosthetic device 20. In other embodiments, the bridge sections do not align with a longitudinal centerline of the interdiscal sections, but may be curved or angled to depart away from the longitudinal centerline.

The posterior sections 28, 34 may be disposed at the end of the bridge sections 30, 36 and may be configured to fit adjacent to the processes of the vertebrae 14, 16. The posterior section 34 of the lower articular portion 24 may include a post 60 having a bridge end 62 and a tail end 64. The post 60 may be configured to extend generally in a direction along the spinal column.

The bridge end 62 of the post 60 may connect to the bridge section 36. In the example shown, the bridge end 62 of the post 60 is formed by a bend in the bridge section 36, and includes a depression 65 that dips below the level of the bridge section 36. The post 60 may extend upwardly so that the tail end 64 of the post 60 may be disposed at a location higher than the bridge section 36. The tail end 64 may include a motion stop 66 configured to limit the range of articulation between the upper and lower articular portions 22, 24. In the embodiment shown, the motion stop 66 is a bend in the post 60 having a length that is configured to work together with the upper articular portion 22 to limit the available range of articular rotation of the upper and lower articular portions 22, 24. It should be noted that the post 60 may include a straight segment extending between the bridge end 62 and the tail end 64. In one exemplary embodiment, the post 60 may include a curve concentric with the curvature of the protruding articular surface 46.

The posterior section 28 of the upper articular portion 22 includes a tab 68 having an aperture 70 formed therein that is configured to receive the post 60 of the lower articular portion 24. In the embodiment shown, the aperture 70 is a rectangular shaped hole having a width w (Fig. 7) that is less than the length of the tail end 64. A portion of the tab 68 forms a motion stop 69 that is configured to cooperate with the motion stop 66 on the post 60. Accordingly, when the upper and lower articular portions 22, 24 are assembled as shown in Fig. 6, the motion stop 66 and the motion stop 69 cooperate to limit the range of articulation of the prosthetic device 20. In addition, the aperture 70 is configured so that when the articulating surfaces 42, 46 are mated, the post 60 extends through the aperture 70 in a manner that articulation may still freely occur within the range.

Because of the bend in the tail end 64 forming the motion stop 66, the upper and lower articular portions 22, 24 may be configured for assembly outside of the disc space S of Fig. 2. For example, the upper articular portion 22 may be placed on the lower articular

portion 24 when the upper and lower articular portions 22, 24 are outside the disc space S. Further, the upper and lower articular portions 22, 24 may be difficult to disassemble within the disc space S. Therefore, the chance of the upper and lower articular portions 22, 24 becoming misaligned after implantation is virtually eliminated. Furthermore, the post 60 and aperture 70 reduce axial rotation of one of the upper and lower articular portions 22, 24 about the other of the upper and lower articular portions 22, 24. Accordingly, despite forming a ball and socket joint, the upper and lower articular portions 22, 24 are bound together so that axial rotation is limited to by the size of the aperture 70 and the post 60.

Figs. 4 and 7 are top views of the prosthetic device 20. As apparent in these views, the post 60 and aperture 70, while still aligned along the longitudinal centerline 58, are also rotated. Accordingly, the tail end 64 is turned to point in a direction offset from the longitudinal centerline 58. Likewise, the rectangular or square aperture 70 is angled to match the angle of the tail end 64. In the embodiment shown, the angle is formed in the posterior section 34 of the lower articular portion 24, and not in the bridge section 36 of the lower articular portion 24. As seen best in Fig. 4, this angle may assist in fitting the prosthetic device 20 within the intervertebral space S by allowing the tail end to extend substantially rearward although the bridge sections and intervertebral disc sections extend into the intervertebral space S at an angle. As shown in Fig. 4, the right prosthetic device 21 includes a similar angled posterior end, but is angled in a direction opposite that of the left prosthetic device 20. In some embodiments, the posterior sections are not angled at all, while in others the bridge sections are angled or turned.

Figs. 8a-8c show one example of an articulation range of the articulating prosthetic device 20. Fig. 8a shows the prosthetic device 20 articulated to a first limit, Fig. 8b shows the prosthetic device 20 articulated to a central position, and Fig. 8c shows the prosthetic device 20 articulated to a second limit. At the first limit in Fig. 8a, the motion stop 69 on the posterior section 34 of the upper articular portion 22 is in contact with the motion stop 66 of the lower articular portion 24. Accordingly, a flexion/extension and/or torsional articulation range of the prosthetic device 20 is limited to the amount allowed by the motion stops 66 and 69. Fig. 8b shows the prosthetic device 20 articulated to a substantially central position, with the aperture 70 being disposed about the middle region

of the post 60. Fig. 8c shows the prosthetic device 20 articulated to the second limit. At the second limit, the bridge sections 30, 36 act as motion stops to limit the articulation between the upper and lower articular portions 22, 24. In the example shown, the total range of motion, represented by Fig. 8a to 8c may be about 45 degrees. However, the range of motion could be more or less than this, as controlled by the motion stops.

Fig. 9 shows an alternate embodiment of an articulating device. In Fig. 9, a biasing member 72 is disposed about the post 60. As discussed above, in posterior procedures, muscles and ligaments supporting the vertebrae may be disconnected from the facet joints, and the facet joints may be removed. Accordingly, implanting an articular device may allow unrestrained movement within the range of motion. To limit the undesirable ranges of motion, the biasing member 72 in Fig. 9 may bias the prosthetic device 20 to a desired position, such as a neutral position. Accordingly, although some or all the muscles and ligaments that control the articulation of a healthy spinal disc are removed, the biasing member 72 may provide a stabilizing force that controls the articular movement. In one example, the biasing member 72 is one or more springs disposed about the post 60 both above and below the posterior section 28 of the upper articular portion 22. This may provide dampening in both flexion and tension. In another embodiment, the biasing member is a elastomeric member. In yet other embodiments, the biasing member may be, for example, a friction element, an extendable band, or a bumper, such as a urethane bumper. In some embodiments, rather than being disposed both above and below the posterior section 28 of the upper articular portion 22, the biasing member 72 is disposed only above or only below the posterior section 28 of the upper articular portion 22. In other embodiments, the biasing member is disposed elsewhere, such as on the bridge sections 30, 36. In yet other embodiments, the biasing member may provide some torsional resistance to the articular device.

Another embodiment of an articular prosthetic device 100 is shown in Figs. 10-16. The articular prosthetic device 100 may have many features similar to the articular prosthetic device 20 described above. A description of these features will not be repeated here in detail. The articular prosthetic device 100 includes an upper articular portion 102 and a lower articular portion 104, each having an interdiscal section 106, 108, respectively. The interdiscal sections 106, 108 define a longitudinal centerline 109. A

first connecting aperture 110 on the upper articular portion 102 is configured to allow introduction of a bone fastener 112, such as a screw, in a direction that is substantially aligned with the longitudinal centerline 109 (Fig. 12) of the articular prosthetic device 100, so that the fastener 112 and the longitudinal centerline 109 may lie substantially within the same plane. Similarly, a second connecting aperture 114 on the lower articular portion 104 is configured to allow introduction of a bone fastener 116 in a direction that is substantially aligned with the longitudinal centerline 109 of the articular prosthetic device 100 so that the fastener 116 and the longitudinal centerline 109 may lie substantially within the same plane.

In a conventional prosthetic disc, any screws are driven into bone at an angle offset from the longitudinal centerline. As the screws are tightened, drawing the device against the bone, the device may be displaced and may move from its initial, set position. Displacement often occurs in the direction of the screw. Accordingly, if the direction of the screw is offset from the longitudinal centerline of the device, then when driven into the bone, the screw often displaces the device in a direction offset from the longitudinal centerline. This movement can create alignment discrepancies between the top and bottom articulating portions.

To assist in securing it in place, the articular prosthetic device 100 is configured so that both the first and second connecting apertures 110, 114 are configured to align the respective fasteners 112, 116 so that the longitudinal centerline 109 and the fasteners 112, 116 lie substantially within the same plane. Accordingly, when the fasteners 112, 116 draw the prosthetic device 100 tight against the bone, any movement or displacement of the prosthetic device 100 from its position is in the direction of the longitudinal centerline. Accordingly, the prosthetic device 100 may be better aligned and may sit closer to the actual desired location.

The articular prosthetic device 100 also is designed to be versatile and fit in either a right or left side of the vertebral space S. Accordingly, a physician need not determine whether the prosthetic device 100 is a left or a right device. This simplifies the surgical procedure and reduces chance of error. Further, a single prosthetic device usable for both the right and left sides may reduce and simplify manufacturing costs because only one design is required, rather than two. It should be noted, however, that the disclosed features

may be included on symmetric devices, such as devices designed for use in either a left or right position, or on asymmetric devices, such as devices designed for use in one of a left and a right position.

Like the prosthetic device 20 described above, the prosthetic device 100 of Figs. 10-15 includes a posterior section 118 of the lower articular portion 104 having an upwardly extending post 120. The post 120 is disposed along the centerline 109 and includes a motion stop 122 that cooperates with a posterior section 124 of the upper articular portion 102 to limit the range of articulation. In this embodiment, the post 120 includes an aperture 126 extending therethrough that provides access to the first connecting aperture 110 in the upper articular portion 102. As shown in Fig. 15, the second connecting aperture 114 is disposed in a lower portion of the post 120 and therefore can be easily accessed from the posterior side.

The posterior section 124 of the upper articular portion 102 includes a first extending arm 128 and a second extending arm 130. The arms 128, 130 extend around the post 120 so that the post is constrained from both lateral movement and from displacement along the centerline 109. Adjacent the post 120, the arms 128, 130 include motion stops 132 configured to contact the motion stop 122 on the post 120. Unlike the prosthetic device 20 described above, the arms 128, 130 of the prosthetic device 100 do not connect to form a closed aperture through which the post extends. Instead, the arms 132 with the motion stops 132 do not connect, leaving a centrally disposed gap 134. The gap 134 in the posterior section of the upper articular portion 102 of the prosthetic device 100 is aligned with the aperture 126 in the post 120 to provide access to the connecting aperture 110 of the upper articular portion 102 of the prosthetic device 100, as shown in Fig. 15.

With reference to Fig. 11, the second connecting aperture 114 may be formed in the lower articular portion 104, and may be formed at the first end of the post 120. Accordingly, the first and second connecting apertures 110, 114 are not horizontally aligned, but are horizontally offset. The upper connecting aperture 110 is disposed so that the fastener 112 enters the rear of the anterior arch of the vertebra 14. The lower connecting aperture 114 is disposed so that the fastener 116 enters the pedicle and passes into the anterior arch of the lower vertebra 16. It should be noted that in other embodiments, the connecting apertures 110, 114 may horizontally aligned, and additionally, in other embodiments, the

connecting aperture 114 may be disposed at any location adjacent to or between the post 120 and the articular portions. One example of this is shown with reference to an artificial intervertebral joint 100' in Fig. 16. All the features discussed with respect to the artificial intervertebral joint 100 also may be applicable to the artificial intervertebral joint 100'.

5 The artificial intervertebral joint 100 may be installed between the vertebrae 14, 16 as will be described below. Although installation will be described with respect to the left prosthetic device 20, it is understood that the right prosthetic device 21 may be installed in a similar manner. Further, it is understood that the prosthetic device 100 also may be installed in a similar manner. Generally, as discussed above, the artificial intervertebral
10 prosthetic device 20 may be implanted into a body using a posterior transforaminal approach similar to the known transforaminal lumbar interbody fusion (TLIF) or posterior lumbar interbody fusion (PLIF) procedures. PLIF approaches are generally more medial and rely on more retraction of the traversing root and dura to access the vertebral interspace. TLIF approaches are typically more oblique, requiring less retraction of the
15 exiting root, and less epidural bleeding with less retraction of the traversing structures. It is also possible to access the interspace using a far lateral approach. In some instances it is possible to access the interspace via the far lateral without resecting the facets.

Furthermore, a direct lateral approach through the psoas is known. This approach avoids the posterior neural elements completely. It is anticipated that embodiments of the
20 prosthetic devices 20, 100 could utilize any of these common approaches.

According to at least one of these approaches, an incision, such as a midline incision, may be made in the patient's back and some or all of the affected disc and surrounding tissue may be removed via the foramina. The superior endplate surface of the vertebra 14 may be milled, rasped, or otherwise resected to match the profile of the bone contacting surface
25 38 of the upper articular surface 22, to normalize stress distributions on the superior endplate surface of the vertebra 14, and/or to provide initial fixation prior to bone ingrowth. The preparation of the endplate of vertebra 14 may result in a flattened surface or in surface contours such as pockets, grooves, or other contours that may match corresponding features on the bone contacting surface 38. The inferior endplate of the
30 vertebra 16 may be similarly prepared to receive the lower articular portion 24 to the extent allowed by the exiting nerve root and the dorsal root ganglia. In some procedures,

the natural facet joints of vertebrae 14, 16 may be trimmed or removed to make room for the posterior component 120.

The upper and lower articular portions 22, 24 of the prosthetic device 20 may then be oriented so that the post 60 is extending through the aperture 70. Or with reference to the prosthetic device 100, so that the post 120 is extending between the two arms 128, 130. The upper and lower articular portions then may be simultaneously introduced into the transforaminal openings and are placed in the appropriate intervertebral disc space between the upper and lower vertebrae. In some procedures, because of the compact nature of the post and aperture (or post and arms), the upper and lower articular portions may be introduced through a cannula. If the pieces are modular, the prosthetic device may be implanted pieces at a time, with posterior sections of the upper and lower articular portions introduced last. The bridge sections 30, 36 may extend in a posterior direction from the interdiscal sections 26, 32 and in a posterior direction from the intervertebral disc space S. The posterior sections 28, 34 are positioned in a posterior direction of the intervertebral disc space to replace or supplement the function of the natural facet joints.

Referring to the prosthetic device 20, the fastener 56 may be inserted through the connecting aperture 58 into the upper vertebra 14. Referring to the prosthetic device 100, the fastener 112 may be introduced through the gap 134 and the aperture 126 in the posterior sections, through the aperture 110, and into the upper vertebra 14. Likewise, the fastener 116 may be inserted through the connecting aperture 114 in the posterior section 118 of the lower articular portion 104 and into adjacent bone such as the pedicle of the vertebra 16.

As installed, the ball and socket type joint created by the articular surfaces 42, 46 may be relatively stable and self-centering. Both the anterior joint and the posterior connection (formed by the post and aperture connection) allow the prosthetic device 20 to resist shear forces, particularly anterior-posterior forces. Further, rotational motion about a longitudinal centerline defined by the cylindrical bodies 14, 16 may be limited both by the constraint in the post and aperture connection and by the combined constraint provided by the two prosthetic devices 20, 21.

The robust and forgiving structure of the anterior joint and the post and aperture connection permits misalignment and slight inaccuracy in the placement of the prosthetic

devices 20, 21. For example, the ball and socket structure of the articular joint tolerates a certain amount of misalignment between the components. The interaction of the post and aperture may also accommodate parallel misalignment and/or anterior-posterior misalignment between the prosthetic devices 20, 21. In some embodiments, a single
5 unilateral prosthetic device may be implanted, while in others, two devices, forming a right and a left device may be implanted. In yet other embodiments, instead of only upper and lower articulating portions that provide articulation, a three-piece articulating disc may be used. In this embodiment, a third articulating component may be disposed between the upper and lower articulating portions to provide articulation.

10 Although only a few exemplary embodiments have been described in detail above, those skilled in the art will readily appreciate that many modifications are possible in the exemplary embodiments without materially departing from the novel teachings and advantages of this disclosure. Accordingly, all such modifications and alternative are intended to be included within the scope of the invention as defined in the following
15 claims. Those skilled in the art should also realize that such modifications and equivalent constructions or methods do not depart from the spirit and scope of the present disclosure, and that they may make various changes, substitutions, and alterations herein without departing from the spirit and scope of the present disclosure. It is understood that all spatial references, such as "horizontal," "vertical," "top," "upper," "lower," "bottom,"
20 "left," "right," "cephalad," "caudal," "upper," and "lower," are for illustrative purposes only and can be varied within the scope of the disclosure. In the claims, means-plus-function clauses are intended to cover the elements described herein as performing the recited function and not only structural equivalents, but also equivalent elements.

We Claim:

1. A prosthetic device for placement in an intervertebral space defined between an upper vertebrae and a lower vertebrae to provide articulating motion to the upper and lower vertebrae, comprising:
5 an upper articular portion configured to be at least partially disposed in the intervertebral space; and
a lower articular portion configured to be at least partially disposed in the intervertebral space below the upper articular portion, the upper and lower articular portions being
10 configured to provide articulating motion to the upper and lower vertebrae,
the upper and lower articular portions each including a posterior section configured to be disposed in a location posterior of the intervertebral space,
wherein the posterior section of one of the upper and lower articular portions includes a post, and wherein the posterior section of the other of the upper and lower articular
15 portions includes a receiving portion configured to interact with the post during articulation.
2. The prosthetic device of claim 1, wherein the post is configured to extend in a
20 direction along the spinal column.
3. The prosthetic device of claim 1, wherein the post includes a motion stop that
limits an articulation range of the upper and lower articular portions.
4. The prosthetic device of claim 3, wherein the motion stop is at one end of the post.
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5. The prosthetic device of claim 1, wherein the upper and lower articular portions each include:
an interdiscal section configured to be disposed within the intervertebral space; and
a bridge section connecting the interdiscal section to the posterior section, wherein the
30 post is an integral extension from the bridge section of said one of the upper and lower articular portions.

6. The prosthetic device of claim 1, wherein the post includes a first end and a second end, the first end being bent to angle away from a centerline of the prosthetic device.

5 7. The prosthetic device of claim 1, wherein the receiving portion is an aperture, the post extending through the aperture.

8. The prosthetic device of claim 1, wherein the receiving portion is configured to extend about at least two sides of the post to limit motion of one of the upper and lower articular portions relative to the other of the upper and lower articular portions.

9. The prosthetic device of claim 1, wherein the upper and lower articular portions each include:

10 an interdiscal section configured to be disposed within the intervertebral space; and
15 a bridge section connecting the interdiscal section to the posterior section, wherein the bridge sections are configured to limit a range of articulating motion of the device.

10. The prosthetic device of claim 1, further comprising a biasing member associated with at least one of the upper and lower articular portions to dampen articulation of the upper articular portion relative to the lower articular portion.

11. The prosthetic device of claim 10, wherein the biasing member is disposed at the posterior end of at least one of the upper and lower articular portions.

12. The prosthetic device of claim 10, wherein the biasing member is configured to resist motion of the post relative to the receiving member.

13. The prosthetic device of claim 10, wherein the biasing member is configured to dampen motion in both flexion and extension.

14. The prosthetic device of claim 10, wherein the biasing member is at least one of a spring, an elastomeric ring, a high-friction element, and a band.

15. The prosthetic device of claim 10, wherein the resistance member is disposed about the post.

16. The prosthetic device of claim 1, further comprising at least one fastener configured to attach at least one of the upper and lower articular portions to at least one of the upper and lower vertebrae.

17. The prosthetic device of claim 16, wherein the fasteners are screws substantially aligned along a centerline.

18. The prosthetic device of claim 1, further comprising a connecting hole formed in at least one of the upper and lower articular portions.

19. The prosthetic device of claim 18, wherein the connecting hole is aligned with a centerline of the at least one of the upper and lower articular portions.

20. The prosthetic device of claim 1, wherein the post includes an aperture formed therein for passage of a fastener.

21. A prosthetic device for implantation into an intervertebral space defined between an upper vertebrae and a lower vertebrae to provide articulating motion to the upper and lower vertebrae, comprising:

an upper articular portion configured to be at least partially disposed in the intervertebral space;

a lower articular portion configured to be at least partially disposed in the intervertebral space below the upper articular portion, the upper and lower articular portions being configured to provide articulated motion to the upper and lower vertebrae; and

at least one connecting hole associated with at least one of the upper and lower articular portions, said at least one of the upper and lower articular portions defining a longitudinal centerline and the at least one connecting hole being aligned along the centerline.

5 22. The prosthetic device of claim 21, comprising at least one connecting hole associated with each of the upper and lower articular portions, the upper and lower articular portions defining a longitudinal centerline and the at least one connecting hole being aligned along the centerline.

10 23. The prosthetic device of claim 22, wherein the at least one connecting hole associated with the upper articular portion is horizontally offset from the at least one connecting hole associated with the lower articular portion.

15 24. The prosthetic device of claim 21, wherein the upper and lower articular portions each include:
an interdiscal section configured to be disposed in the intervertebral space; and
a posterior section configured to be disposed in a location posterior of the respective upper or lower vertebrae.

20 25. The prosthetic device of claim 24, wherein the posterior section of one of the upper and lower articular portions includes a post, and wherein the posterior section of the other of the upper and lower articular portions includes a receiving section configured to interact with the post during articulation.

25 26. The prosthetic device of claim 25, wherein the post is aligned along the longitudinal centerline.

30 27. The prosthetic device of claim 26, wherein the post includes an aperture formed therein, the aperture being configured to provide access to the at least one connecting hole.

28. The prosthetic device of claim 26, wherein the receiving section includes a gap formed therein, the gap being configured to provide access to the at least one connecting hole.

5 29. The prosthetic device of claim 26, wherein the receiving section is formed of at least one arm extending partially around the post.

30. The prosthetic device of claim 26, wherein the receiving section is formed by an aperture.

10 31. The prosthetic device of claim 26, wherein the at least one connecting hole is disposed at one end of the post.

15 32. The prosthetic device of claim 25, wherein the post is configured to extend in a direction along the spinal column.

33. The prosthetic device of claim 25, wherein the post includes a motion stop that limits an articulation range of the upper and lower articular portions.

20 34. The prosthetic device of claim 33, wherein the motion stop is at one end of the post.

25 35. The prosthetic device of claim 25, wherein the upper and lower articular portions each include a bridge section connecting the interdiscal section to the posterior section, wherein the post is an integral extension from the bridge section of said one of the upper and lower articular portions.

30 36. The prosthetic device of claim 25, wherein the receiving portion is configured to extend about at least two sides of the post to limit motion of one of the upper and lower articular portions relative to the other of the upper and lower articular portions.

37. The prosthetic device of claim 25, wherein the upper and lower articular portions each include a bridge section connecting the interdiscal section to the posterior section, wherein the bridge sections are configured to limit a range of articulating motion of the device.

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38. The prosthetic device of claim 21, further comprising a biasing member associated with at least one of the upper and lower articular portions to dampen articulation of the upper articular portion relative to the lower articular portion.

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39. The prosthetic device of claim 21, further comprising at least one fastener configured to cooperate with the connecting hole to attach at least one of the upper and lower articular portions to at least one of the upper and lower vertebrae.

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40. A joint replacement device for placement in an intervertebral space defined between an upper vertebrae and a lower vertebrae to provide articulating motion to the upper and lower vertebrae, comprising:

a first joint replacement device;

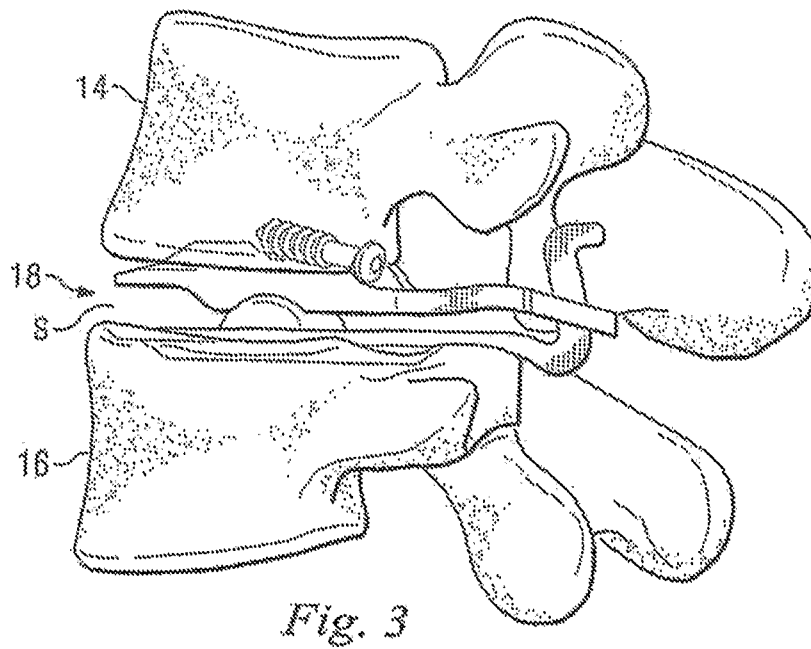
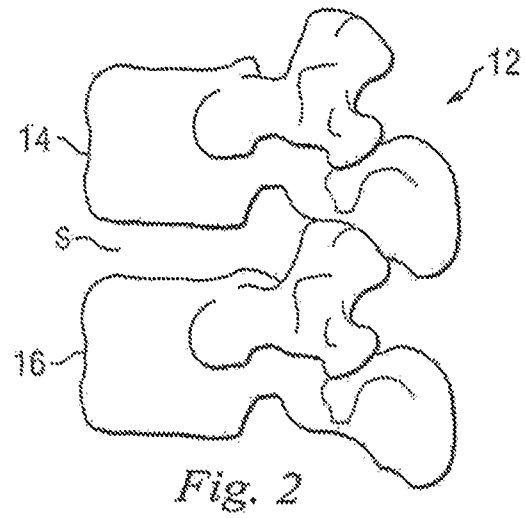
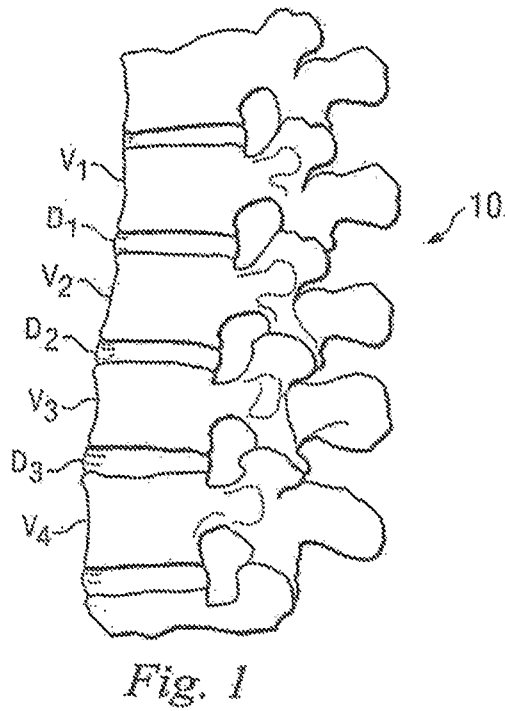
a second joint replacement device, wherein the first and the second joint replacement devices each include

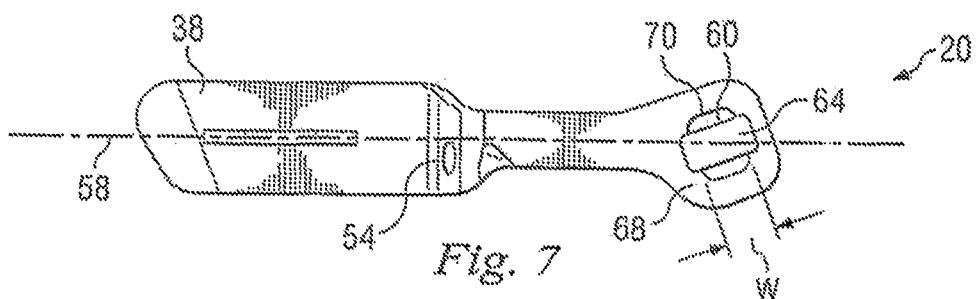
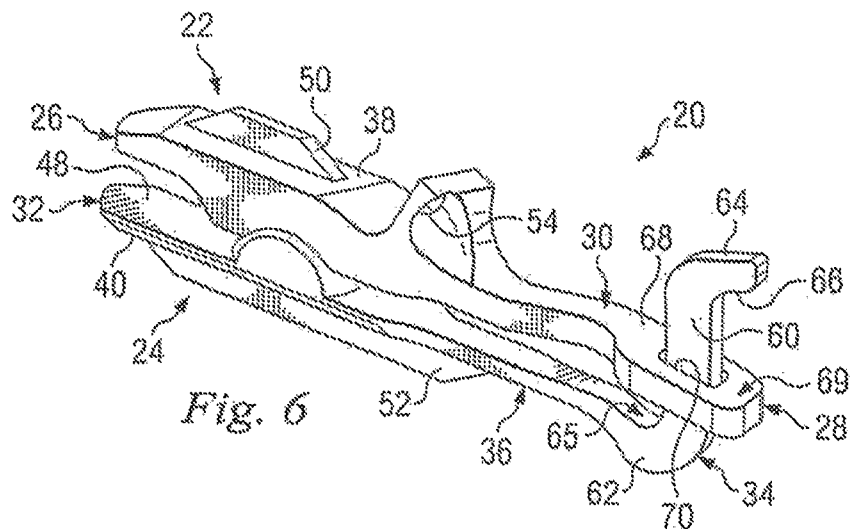
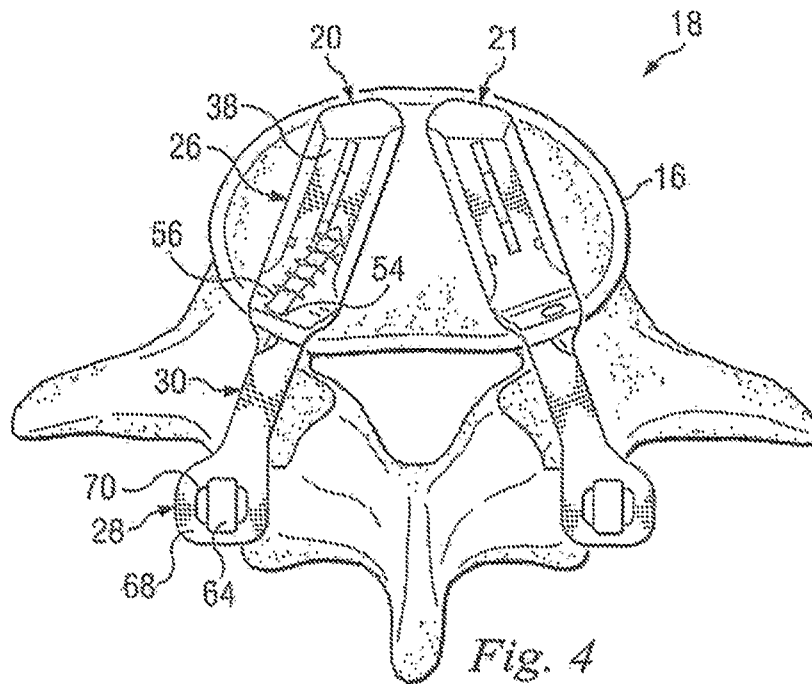
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an upper articular device configured to be at least partially disposed in the intervertebral space;

a lower articular device configured to be at least partially disposed in the intervertebral space below the upper articular portion, the upper and lower articular portions being configured to provide articulated motion to the upper and lower vertebrae, the upper and lower articular devices each having a centerline and a screw port aligned along the centerline.

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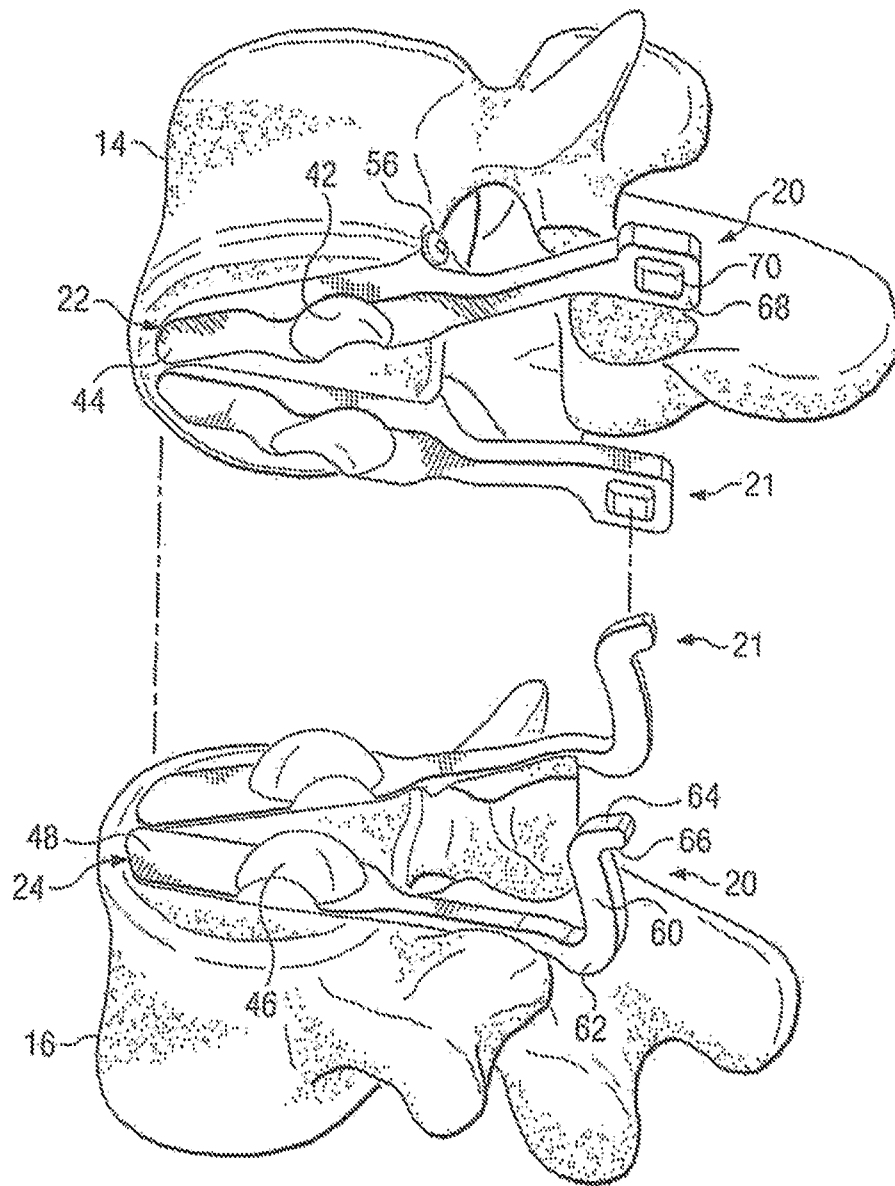
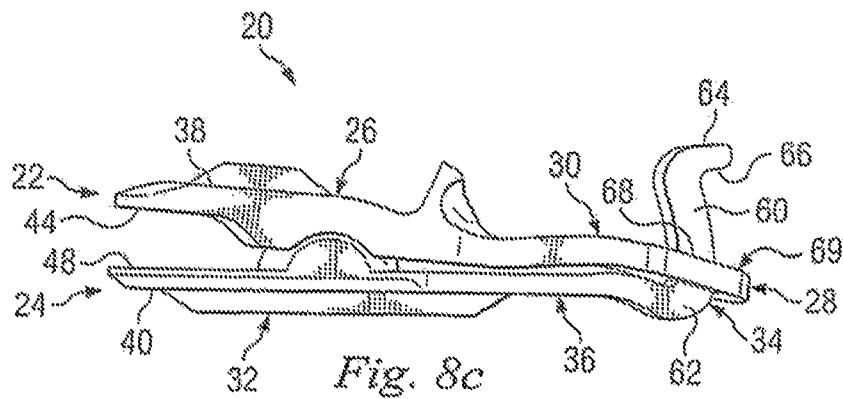
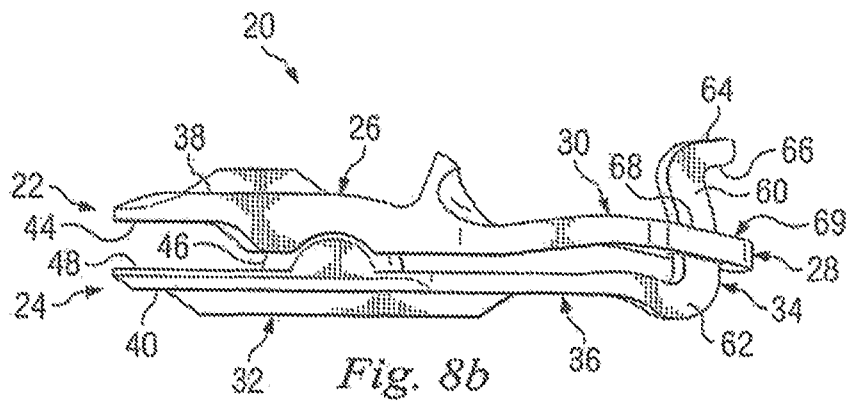
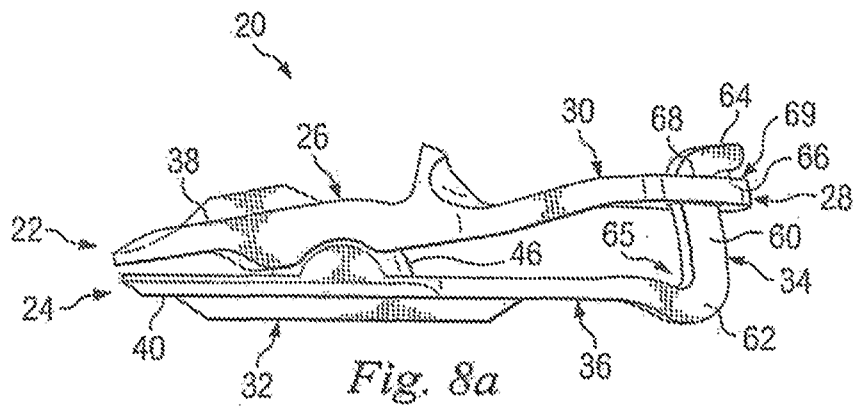
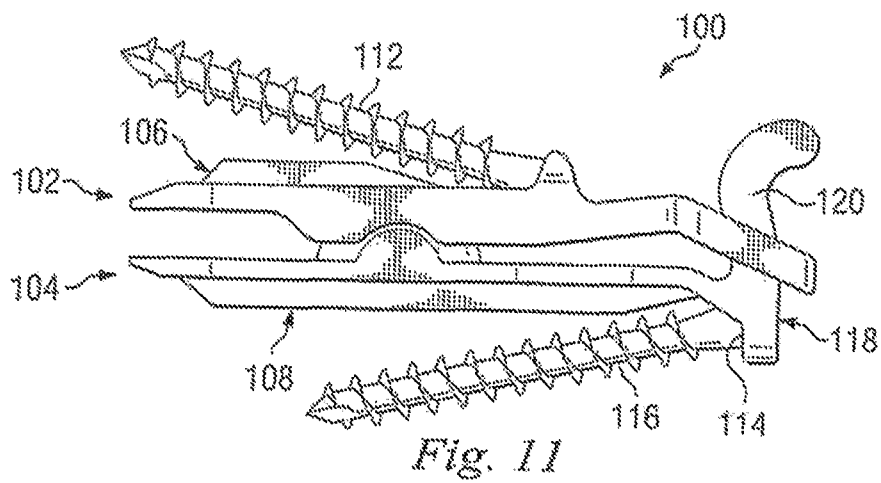
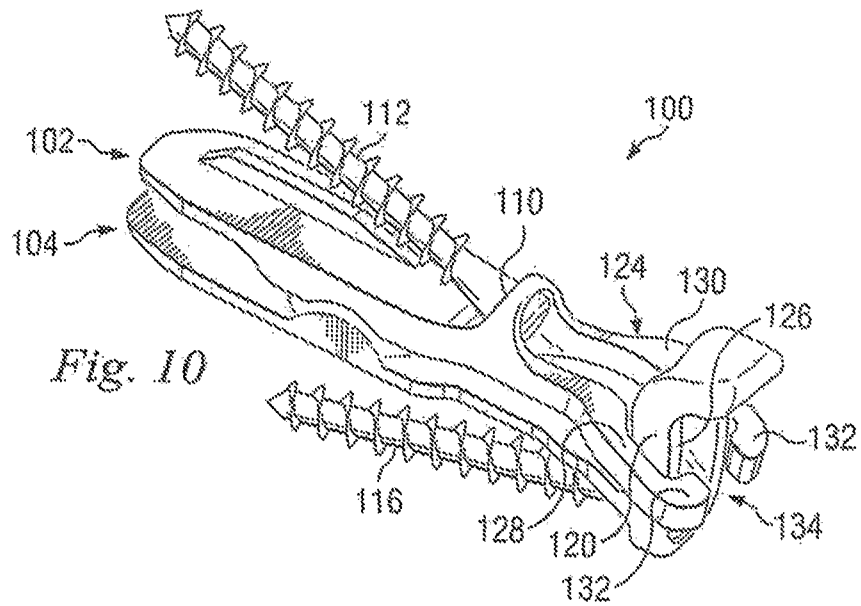
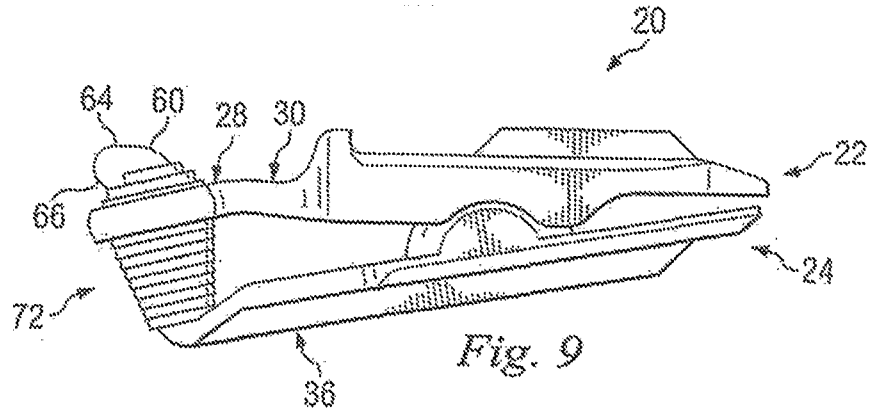
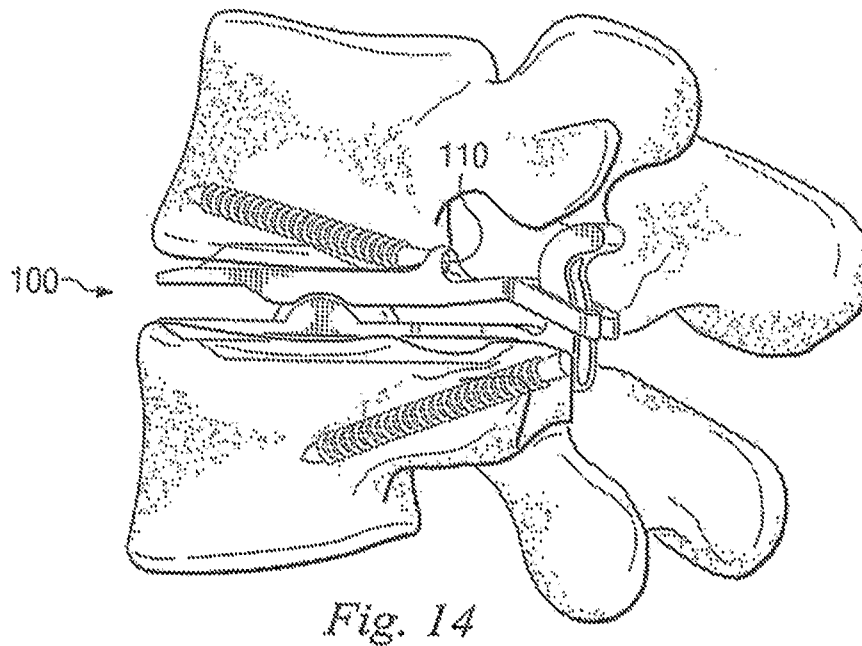
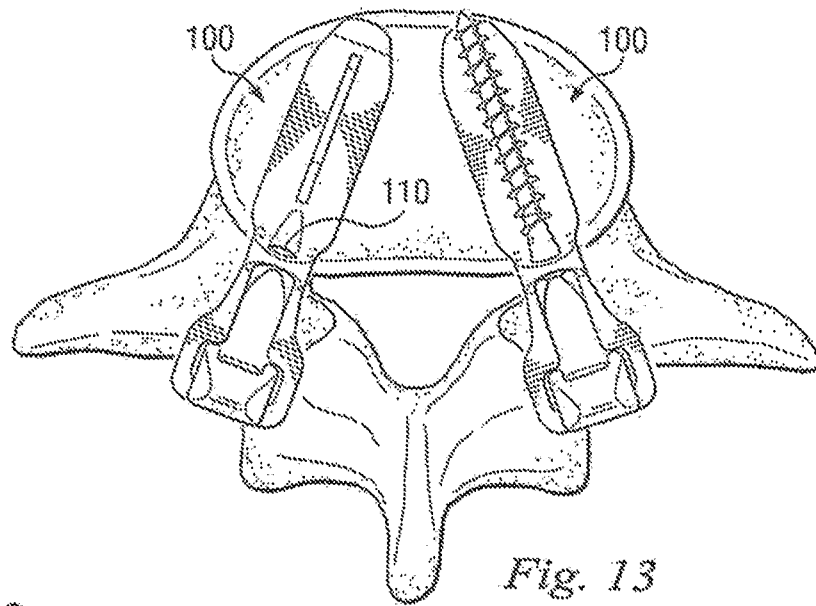
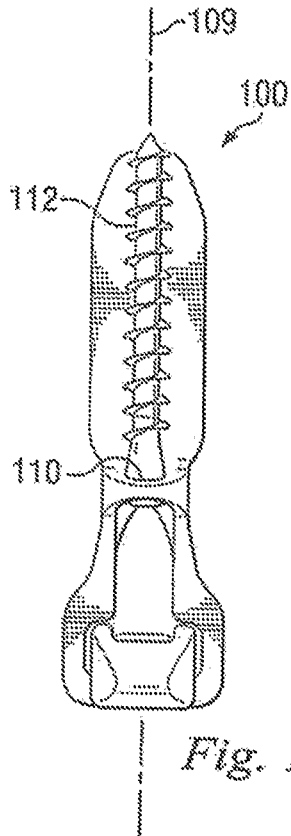


Fig. 5







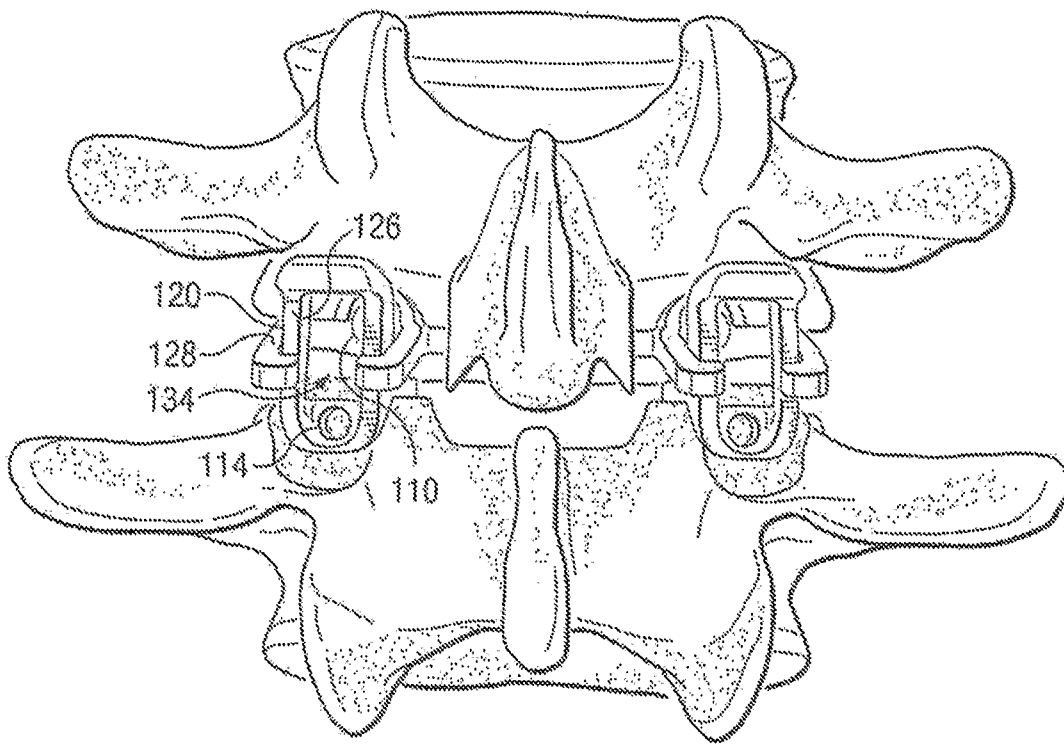


Fig. 15

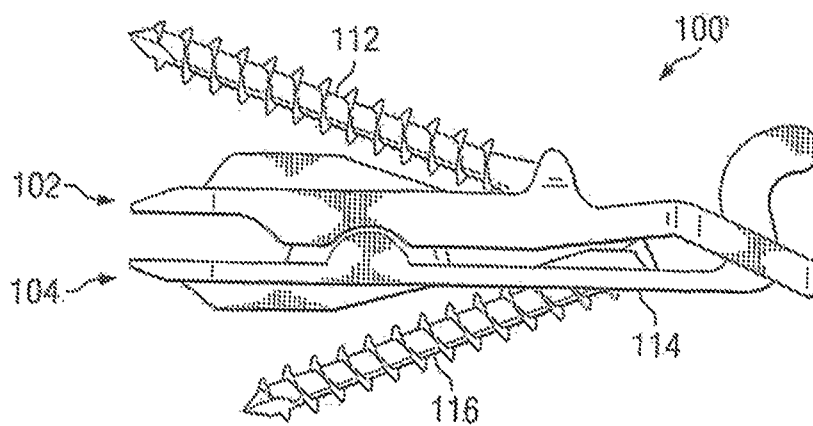


Fig. 16