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(54) Title: NANOPARTICLES FOR DRUG DELIVERY

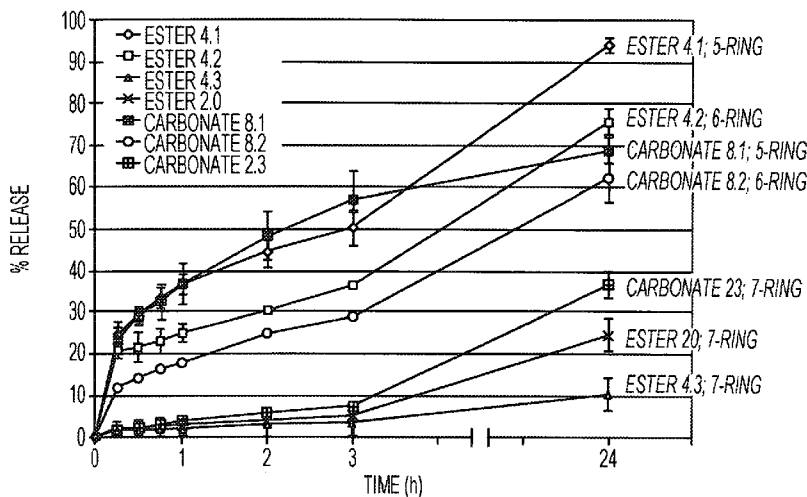


FIG. 12

(57) Abstract: The invention provides nanoparticles, methods for making nanoparticles, and methods for using nanoparticles. An important attribute of a drug delivery system is its ability to allow for spatial and temporal regulated drug release, thereby minimizing side effects and improving therapeutic efficacy of conventional pharmaceuticals. Iron oxide nanoparticles (NPs), specifically Fe3O4 nanoparticles, possess many appropriate qualities that make them a viable choice for drug delivery.



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NANOPARTICLES FOR DRUG DELIVERY

Cross Reference to Related Applications

This patent application claims the benefit of priority of U.S. application serial No. 5 61/762,832 filed February 8, 2013 and U.S. application serial No. 61/773,663 filed March 6, 2013, which applications are hereby incorporated by reference.

Statement of Government Support

This invention was made with state government support under Grant number 2190- 10 RDE-013 awarded by the Kentucky Science and Engineering Foundation. The Commonwealth of Kentucky has certain rights in the invention.

Background

An important attribute of a drug delivery system is its ability to allow for spatial 15 and temporal regulated drug release, thereby minimizing side effects and improving therapeutic efficacy of conventional pharmaceuticals. Iron oxide nanoparticles (NPs), specifically Fe₃O₄ nanoparticles, possess many appropriate qualities that make them a viable choice for drug delivery. Fe₃O₄ NPs are biocompatible (Kievit, F. M., et al., *Accounts of Chemical Research* **2011**, *44* (10), 853-862), have low cytotoxicity (Bulte, J. 20 W. M., et al., *NMR in Biomedicine* **2004**, *17*, 484-499), and provide multiple means for surface modification. Though these attributes are needed in a drug delivery vehicle, there are multiple different NPs that possess similar qualities including gold and silica. Fe₃O₄ is set apart from these NPs due to its paramagnetic or superparamagnetic (SPM) qualities (Yang, C., et al., *Chemical Communications* **2011**, *47*, 5130-5141). The SPM properties of 25 Fe₃O₄ NPs have been used for a variety of applications. A basic utilization of SPM capability is to induce non-invasive hyperthermia within cancer cells. Alternating electromagnetic field (AMF)-induced Fe₃O₄ NPs heat body tissue to temperatures as high as 45 °C, and this causes cell death. In addition, when functionalized either by ionic interactions or through entrapment via a polymer gel coating, drugs can be guided to tumor 30 regions through the use of a magnet, as first demonstrated by Meyers in 1963 (Meyers, P.H., et al., *American Journal of Roentgenology, Radium Therapy, and Nuclear Medicine* **1963**, *90*, 1068–1077). Through more advanced methods, Fe₃O₄ NPs are now extensively functionalized with complex delivery mechanisms and can be directed by taking advantage of tumor folate receptors (Kim, J., et al., *Advanced Materials* **2008**, *20*, 478-483, Zhang,

Z., et al., *Biomaterials* **2007**, 28 (10), 1889-1899, Zhang, L., et al., *International Journal of Pharmaceutics* **2004**, 287 (1-2), 155-162). Finally, iron oxide also can be used as a magnetic resonance imaging contrast agent, so delivery systems based on this material can be visualized (Lee, J. E.; et al., *Journal of the American Chemical Society* **2010**, 132, 552-557).

Some of the most common methods of functionalization or attachment of drug payloads to Fe₃O₄ NPs involve the use of ionic attraction (Nantz, M. H., et al., *PCT Int. Appl.* **2011**, WO 2011049972 A1 20110428), the addition of a mesoporous silica shell around the Fe₃O₄ NPs followed by further functionalization of the silica (Meng, H., et al., *ACS Nano* **2010**, 4 (8), 4539-4550, Lin, Meng M., et al., *Nano Reviews* **2010**, 1, 4883) or the use of a polymer coating around the Fe₃O₄ NPs (Yu, M. K., et al., *Angewandte Chemie International Edition* **2008**, 47 (29), 5362-5365, Rahimi, M., et al., *Nanomedicine: Nanotechnology, Biology, and Medicine* **2010**, 6, 672-680). Once the NPs reach target (e.g., cancerous) tissue, a release mechanism is initiated so that the drug payloads are available only to the target tissue. One of the most common release methods involves use of a pH sensitive trigger, such as when using a hydrazone linkage (Aryal, S., et al., *Journal of Materials Chemistry* **2009**, 19, 7879-7884). For example, when the loaded NP enters into a tumor, the reduced pH of the tumor can hydrolyze the hydrazone linkage to unmask the drug (a carbonyl-based drug). Another method of release is photochemical. By adding a photolabile group into a linker, usually an aromatic ring with a nitro-group ortho to a leaving group, the drug can be released upon exposure to a specific wavelength of light (Choi, S. K., et al., *Bioorganic & Medicinal Chemistry* **2012**, 20, 1281-1290).

Another method to release the drug involves the use of an alternating electromagnetic field (AMF). An AMF, similar to an AC current, switches the poles of the magnetic current at a quick pace, and this causes resident iron oxide NPs to heat as they struggle to stay aligned with the applied magnetic field (Carrey, J., et al., *Journal of Applied Physics* **2011**, 109, 083921). AMF-mediated drug delivery has a distinct advantage over the pH sensitive linker approach in that drug release relies on a controllable external stimulus whereas the acid labile linker requires a stimulus within the patient that cannot be easily controlled. If the tumor is not sufficiently acidic, then the linker-bound drug will not be released. In the same way, if certain healthy cells happen to be overly acidic, then the drug is released and can exert its pharmacological effect on healthy cells. In contrast, AMF exposure allows for the controlled release in a specific region and at a

specific time without the need for precise, and often unpredictable, internal conditions. Thus AMF-mediated delivery systems offer the advantages of spatial and temporal control.

Despite the advantages in controlled release using an AMF trigger, many present NP drug delivery systems have a problem of premature drug release (i.e., leakage). In these instances, drugs are slowly released prior to application of the external stimulus. This is largely due to the inability of the drugs in these delivery systems to be covalently retained until the stimulus is applied. For example, AMF-induced NP heating commonly is used to reduce ionic interactions and/or hydrogen bonding interactions (Biswas, S. Functionalized Nanoparticles for AMF-Induced Gene and Drug Delivery. University of Louisville, Louisville, KY, 2011), or to cause a polymer shell to squeeze out the drug payload (Liu, T.-Y., et al., *Langmuir* **2008**, *24*, 13306-13311) or to expand and allow the drug payload to diffuse away (Liu, J., et al., *Journal of Physical Chemistry C* **2010**, *114*, 7673-7679). In these cases, the ambient heat or biological milieu of a living system can reduce the ionic/hydrogen bonding interactions between NP and drug, or cause polymer contractions or expansions. Premature drug release occurs since the drug is not covalently attached to the NP carrier.

Thus, there is a need for a drug delivery system with reduced premature release of its drug payload and that can optionally target the drug spatially, temporally, or both spatially and temporally.

Summary of Certain Embodiments

Accordingly, certain embodiments provide a therapeutic magnetic nanoparticle, or a salt thereof, comprising a magnetic nanoparticle covalently bonded to one or more -L-D groups wherein D is a residue of a therapeutic agent (e.g., a residue of a therapeutic agent, a residue of a prodrug of a therapeutic agent or a residue of a functional group derivative of a therapeutic agent) and L is a linker capable of undergoing an intramolecular cyclization.

In certain embodiments the linker capable of undergoing an intramolecular cyclization is suitable to release the therapeutic agent from the linker upon intramolecular cyclization.

In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker can form a 3-8 membered heterocycle upon intramolecular cyclization.

In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 3-8 membered heterocycle upon intramolecular cyclization.

5 In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker can form a 3-8 membered heterocycle upon intramolecular cyclization, wherein the 3-8 membered heterocycle has a functional group within the ring selected from an amide, carbamate, urea, carbamothioate, thioamide, thiocarbamate, thiourea and carbamodithioate.

10 In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 4-8 membered heterocycle upon intramolecular cyclization, wherein the 4-8 heterocycle has a functional group within the ring selected from an amide, carbamate, urea, carbamothioate, thioamide, thiocarbamate, thiourea and carbamodithioate.

15 In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 5-8 membered heterocycle upon intramolecular cyclization, wherein the 5-8 membered heterocycle has a functional group within the ring selected from an amide, carbamate, urea, carbamothioate, thioamide, thiocarbamate, thiourea and carbamodithioate.

20 In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 5-7 membered heterocycle upon intramolecular cyclization, wherein the 5-7 membered heterocycle has a functional group within the ring selected from an amide, carbamate, urea, carbamothioate, thioamide, thiocarbamate, thiourea and carbamodithioate.

25 In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 3-8 membered heterocycle upon intramolecular cyclization, wherein the 3-8 membered heterocycle has an amide or carbamate functional group within the ring.

30 In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 4-8 membered heterocycle upon intramolecular cyclization, wherein the 4-8 membered heterocycle has an amide or carbamate functional group within the ring.

In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 5-8

membered heterocycle upon intramolecular cyclization, wherein the 5-8 membered heterocycle has an amide or carbamate functional group within the ring.

In certain embodiments the linker capable of undergoing an intramolecular cyclization that is suitable to release the therapeutic agent from the linker forms a 5-7
5 membered heterocycle upon intramolecular cyclization, wherein the 5-7 membered heterocycle has an amide or carbamate functional group within the ring.

It is to be understood that the 3-8, 4-8, 5-8 and 5-7 membered heterocycles discussed herein above may be substituted depending on the linker from which they are formed.

10 In certain embodiments the magnetic nanoparticle further comprises a coating.

In certain embodiments the magnetic nanoparticle further comprises a gold coating.

In certain embodiments the magnetic nanoparticle further comprises a silica coating.

15 In certain embodiments a magnetic nanoparticle is an iron oxide nanoparticle or a coated iron oxide nanoparticle.

In certain embodiments a magnetic nanoparticle comprises iron.

In certain embodiments a magnetic nanoparticle is an iron alloy.

In certain embodiments a magnetic nanoparticle comprises iron oxide.

In certain embodiments a magnetic nanoparticle is an iron oxide alloy.

20 In certain embodiments a magnetic nanoparticle is a coated iron oxide nanoparticle.

In certain embodiments a magnetic nanoparticle is an iron oxide nanoparticle.

In certain embodiments a coated iron oxide nanoparticle is an iron oxide nanoparticle coated with silica.

25 In certain embodiments a coated iron oxide nanoparticle is an iron oxide nanoparticle coated with gold.

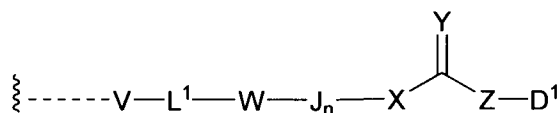
In certain embodiments the magnetic nanoparticle is an iron oxide nanoparticle coated with silica.

In certain embodiments the magnetic nanoparticle is an iron oxide nanoparticle coated with gold.

30 Certain embodiments provide a linker capable of undergoing an intramolecular cyclization wherein the intramolecular cyclization can be induced by heating the magnetic nanoparticle.

Certain embodiments provide a linker capable of undergoing an intramolecular cyclization wherein the intramolecular cyclization can be induced by application of an alternating electromagnetic field to the magnetic nanoparticle.

Certain embodiments provide a therapeutic magnetic nanoparticle, or a salt thereof comprising a magnetic nanoparticle covalently bonded to one or more -L-D groups wherein -L-D is a compound of formula I:



wherein

V is -OSi(G)₂-, and the dashed line represents a covalent bond between the oxygen atom of -OSi(G)₂- and the magnetic nanoparticle; or V is -S-, and the dashed line represents a covalent bond between -S- and the magnetic nanoparticle;

L¹ is (C₁-C₆)alkylene, (C₁-C₆)heteroalkylene, (C₂-C₆)alkenylene, (C₂-C₆)alkynylene, phenylene or (C₃-C₇)carbocyclene, wherein (C₁-C₆)alkylene, (C₁-C₆)heteroalkylene, (C₂-C₆)alkenylene, (C₂-C₆)alkynylene, phenylene or (C₃-C₇)carbocyclene are optionally substituted with one or more halogen;

each J is C(R^b)₂ wherein one C(R^b)₂ of J may be replaced by -O- -S- or -N(R^e)-;

(a) W is NH, X is CR^cR^d, and n is an integer from 0-5; or

(b) W is NH, X is O, NR^e or S, and n is an integer from 1-5; or

(c) W is $\begin{array}{c} \text{(C(R}^f\text{)}_2\text{)}_m\text{NHR}^g \\ | \\ \text{---C---} \\ | \\ \text{H} \end{array}$, X is CR^cR^d, O, NR^e, S or absent, m is an integer from 0-5 and n is an integer from 0-5, wherein the sum of m and n is 0-5;

Y is O or S;

Z-D¹ is a residue of a therapeutic agent wherein Z is O, NR^h or S;

each G is independently -OR^{a1}, -OR^{a2} or (C₁-C₆)alkyl;

R^{a1} is a covalent bond between the oxygen atom of -OR^{a1} and the iron oxide nanoparticle optionally coated in silica;

each R^{a2} is independently H or (C₁-C₆)alkyl; or two -OR^{a2} groups of two adjacent L-D groups together form -O-;

each R^b is independently selected from H and (C₁-C₃)alkyl; or two R^b groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

each R^c is independently selected from H and (C₁-C₆)alkyl, and each R^d is independently selected from H and (C₁-C₆)alkyl; or an R^c group and an R^d group together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

each R^e is independently selected from H and (C₁-C₆)alkyl;

5 each R^f is independently selected from H and (C₁-C₆)alkyl; or two R_f groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

R^g is selected from H and (C₁-C₆)alkyl; and

R^h is selected from H and (C₁-C₆)alkyl.

10 In certain embodiments the therapeutic nanoparticle further comprises a targeting element.

One embodiment provides a pharmaceutical composition comprising a therapeutic magnetic nanoparticle or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups or a pharmaceutically acceptable salt thereof as described herein) and a pharmaceutically acceptable carrier.

15 One embodiment provides a method for administering a therapeutic agent to an animal (e.g., a mammal) comprising administering a therapeutic magnetic nanoparticle or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups, or a pharmaceutically acceptable salt thereof as described herein), to the animal.

20 One embodiment provides a method for treating cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection in an animal (e.g., a mammal) in need thereof that has been administered an effective amount of a therapeutic magnetic nanoparticle or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups, or a pharmaceutically acceptable salt thereof as described herein), comprising providing conditions to release the therapeutic agent from the therapeutic magnetic nanoparticle.

25 One embodiment provides a method for treating cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection in an animal (e.g., a mammal such as a human) comprising treating the animal with a therapeutic magnetic nanoparticle or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups, or a pharmaceutically acceptable salt thereof as described herein), to the animal.

30

One embodiment provides a method for treating cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection in an animal (e.g., a mammal such as a human) in need thereof, comprising treating the animal with an effective amount of a therapeutic magnetic nanoparticle or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups, or a pharmaceutically acceptable salt thereof as described herein), to the animal.

Certain embodiments provide magnetically targeting the therapeutic magnetic nanoparticle to a specific location in the animal (e.g., a mammal such as a human).

Certain embodiments provide delivering a source of heat to the therapeutic magnetic nanoparticle to induce cyclization of the linker thereby releasing the therapeutic agent from the therapeutic magnetic nanoparticle.

Certain embodiments provide applying an alternating electromagnetic field to the therapeutic magnetic nanoparticle to induce cyclization of the linker thereby releasing the therapeutic agent from the therapeutic nanoparticle (e.g., a mammal).

Certain embodiments provide for further treating the animal (e.g., a mammal) with one or more additional therapeutic agents.

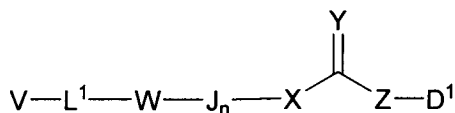
In certain embodiments the additional therapeutic agent is iron oxide nanoparticle.

One embodiment provides a therapeutic magnetic nanoparticle or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups, or a pharmaceutically acceptable salt thereof as described herein), for use in medical therapy.

One embodiment provides the use of a therapeutic magnetic or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups, or a pharmaceutically acceptable salt thereof as described herein), to prepare a medicament for treating cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection in an animal (e.g., a mammal such as a human).

One embodiment provides a therapeutic magnetic nanoparticle or a pharmaceutically acceptable salt thereof (e.g., a magnetic nanoparticle covalently bonded to one or more -L-D groups, or a pharmaceutically acceptable salt thereof as described herein), for the therapeutic or prophylactic treatment of cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection.

One embodiment provides a method for preparing a therapeutic magnetic nanoparticle, or a salt thereof comprising contacting a compound of formula II:



II

with a magnetic nanoparticle to prepare the therapeutic nanoparticle;

wherein:

5 V is $-\text{Si}(\text{OR}^a)_3$ or $-\text{SH}$;

L^1 is $(\text{C}_1\text{-C}_6)$ alkylene, $(\text{C}_1\text{-C}_6)$ heteroalkylene, $(\text{C}_2\text{-C}_6)$ alkenylene, $(\text{C}_2\text{-C}_6)$ alkynylene, phenylene or $(\text{C}_3\text{-C}_7)$ carbocyclene, wherein $(\text{C}_1\text{-C}_6)$ alkylene, $(\text{C}_1\text{-C}_6)$ heteroalkylene, $(\text{C}_2\text{-C}_6)$ alkenylene, $(\text{C}_2\text{-C}_6)$ alkynylene, phenylene or $(\text{C}_3\text{-C}_7)$ carbocyclene is optionally substituted with one or more halogen;

10 each J is $\text{C}(\text{R}^b)_2$ wherein one $\text{C}(\text{R}^b)_2$ of J may be replaced by $-\text{O}-$, $-\text{S}-$ or $-\text{N}(\text{R}^e)-$;

(a) W is NH, X is CR^cR^d , and n is an integer from 0-5; or

(b) W is NH, X is O, NR^e or S, and n is an integer from 1-5; or

(c) W is $\begin{array}{c} (\text{C}(\text{R}^f)_2)_m\text{NHR}^g \\ | \\ \text{---}\text{C}\text{---} \\ | \\ \text{H} \end{array}$, X is CR^cR^d , O, NR^e , S or absent, m is an integer from 0-5 and n is an integer from 0-5, wherein the sum of m and n is 0-5;

15 Y is O or S;

$Z\text{-D}^1$ is a residue of a therapeutic agent wherein Z is O, NR^h or S;

R^a is $(\text{C}_1\text{-C}_6)$ alkyl;

each R^b is independently selected from H and $(\text{C}_1\text{-C}_3)$ alkyl; or two R^b groups together with the carbon to which they are attached form a $(\text{C}_3\text{-C}_7)$ carbocycle;

20 each R^c is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl, and each R^d is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl; or an R^c group and an R^d group together with the carbon to which they are attached form a $(\text{C}_3\text{-C}_7)$ carbocycle;

each R^e is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl;

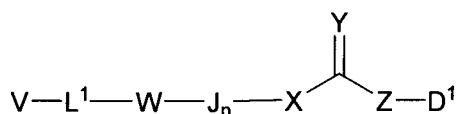
each R^f is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl; or two R^f groups

25 together with the carbon to which they are attached form a $(\text{C}_3\text{-C}_7)$ carbocycle;

R^g is selected from H and $(\text{C}_1\text{-C}_6)$ alkyl; and

R^h is selected from H and $(\text{C}_1\text{-C}_6)$ alkyl.

One embodiment provides a therapeutic magnetic nanoparticle or a salt thereof, prepared by contacting a compound of formula II:



II

with a magnetic nanoparticle to prepare the therapeutic nanoparticle;

wherein:

5 V is $-\text{Si}(\text{OR}^a)_3$ or $-\text{SH}$;

L^1 is $(\text{C}_1\text{-C}_6)$ alkylene, $(\text{C}_1\text{-C}_6)$ heteroalkylene, $(\text{C}_2\text{-C}_6)$ alkenylene, $(\text{C}_2\text{-C}_6)$ alkynylene, phenylene or $(\text{C}_3\text{-C}_7)$ carbocyclene, wherein $(\text{C}_1\text{-C}_6)$ alkylene, $(\text{C}_1\text{-C}_6)$ heteroalkylene, $(\text{C}_2\text{-C}_6)$ alkenylene, $(\text{C}_2\text{-C}_6)$ alkynylene, phenylene or $(\text{C}_3\text{-C}_7)$ carbocyclene is optionally substituted with one or more halogen;

10 each J is $\text{C}(\text{R}^b)_2$ wherein one $\text{C}(\text{R}^b)_2$ of J may be replaced by $-\text{O}-$, $-\text{S}-$ or $-\text{N}(\text{R}^e)-$;

(a) W is NH, X is CR^cR^d , and n is an integer from 0-5; or

(b) W is NH, X is O, NR^e or S, and n is an integer from 1-5; or

(c) W is $\begin{array}{c} (\text{C}(\text{R}^f)_2)_m\text{NHR}^g \\ | \\ \text{C} \\ | \\ \text{H} \end{array}$, X is CR^cR^d , O, NR^e , S or absent, m is an integer from 0-5 and n is an integer from 0-5, wherein the sum of m and n is 0-5;

15 Y is O or S;

$Z\text{-D}^1$ is a residue of a therapeutic agent wherein Z is O, NR^h or S;

R^a is $(\text{C}_1\text{-C}_6)$ alkyl;

each R^b is independently selected from H and $(\text{C}_1\text{-C}_3)$ alkyl; or two R^b groups together with the carbon to which they are attached form a $(\text{C}_3\text{-C}_7)$ carbocycle;

20 each R^c is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl, and each R^d is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl; or an R^c group and an R^d group together with the carbon to which they are attached form a $(\text{C}_3\text{-C}_7)$ carbocycle;

each R^e is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl;

each R^f is independently selected from H and $(\text{C}_1\text{-C}_6)$ alkyl; or two R^f groups

25 together with the carbon to which they are attached form a $(\text{C}_3\text{-C}_7)$ carbocycle;

R^g is selected from H and $(\text{C}_1\text{-C}_6)$ alkyl; and

R^h is selected from H and $(\text{C}_1\text{-C}_6)$ alkyl.

Brief Description of the Figures

30 **Figure 1** illustrates the IR spectrum of Fe_3O_4 NPs (20-30 nm diameter).

Figure 2 illustrates the hydrolysis and condensation loading of alkoxy silane.

Figure 3 illustrates the TGA of polymerized coating on Fe₃O₄ NPs.

Figure 4 illustrates the IR spectrum of polymerized coating on Fe₃O₄ NPs.

Figure 5 illustrates the disordered polymerization of alkoxy silanes (a – desired; b + c –
5 problematic polymerization).

Figure 6 illustrates the TGA of bare and coated Fe₃O₄ NPs.

Figure 7 illustrates the IR spectrum of coated Fe₃O₄ NPs.

Figure 8 illustrates the IR spectrum of Boc protected amine.

Figure 9 illustrates the IR spectrum of the deprotected ammonium salt.

10 **Figure 10** illustrates the TGA of the Boc protected amine and deprotected ammonium salt.

Figure 11 illustrates the fluorescence (FL) measurements taken after Boc deprotection,
soaking, and AMF steps.

Figure 12 illustrates the heat-induced release of alcohol **11**. The substrates were heated as
methanol solutions (*ca.* 0.01 M) at 55 °C for the indicated times. Shown are the standard
15 deviations from the mean (n = 3).

Figure 13 illustrates the general process for the release of the drug surrogate from the
nanoparticle. First, the Boc protecting group was removed under acidic conditions and
basified to afford the secondary amine. Then, the nanoparticles were exposed to an AMF
that caused the nanoparticles to heat, thus providing the energy needed to induce the
20 intramolecular cyclization and release the drug surrogate.

Figure 14 illustrates the AMF-induced release of the drug surrogate (top line) and release
of drug surrogate at 37 °C without AMF (bottom line).

Figure 15 illustrates AMF-induced release of the drug surrogate (top line) and release of
drug surrogate from Boc-protected linker at 37 °C without AMF (bottom).

25 **Figure 16** illustrates a coated magnetic nanoparticle. Figure 16A illustrates a fully coated
magnetic nanoparticle; Figure 16B illustrates a partially coated magnetic nanoparticle; and
Figure 16C illustrates a partially coated magnetic nanoparticle wherein the coating is non-
contiguous (for example spotted).

30

Detailed Description

Described herein, is a therapeutic magnetic nanoparticle drug delivery system that
is designed to reduce the problem of payload leakage. Magnetic nanoparticles can be
covalently attached to a molecular linker wherein the linker is also covalently bound to a

therapeutic agent (e.g., drug) such as through an ester, carbonate or carbamate functional group. By placing a reactive moiety such as an amine moiety within the linker at a specified distance from the ester, carbonate or carbamate carbonyl group, the linker can undergo an intramolecular cyclization that causes release of the bound therapeutic agent.

5 In one embodiment the heat generated by the magnetic nanoparticle on AMF exposure induces the intramolecular cyclization. This release mechanism provides a platform for the purposes of drug delivery with both spatial and temporal control.

It is possible to target the therapeutic magnetic nanoparticles to a specific location in a patient's body, e.g., by magnetically guiding the nanoparticles to the target tissue
10 and/or by conjugating appropriate targeting elements (e.g., an antibody fragment, a small molecule ligand of a cellular receptor) to therapeutic nanoparticle.

In certain embodiments, the nanoparticles can be magnetically guided to the desired location in the body of the patient. This delivery system provides a method for delivering therapeutic agents including agents that are toxic when administered systemically by
15 allowing for targeting of the drug to a specific location. Thus, this system is particularly useful for delivering drugs that are beneficially delivered to a specific location at a high concentration, e.g., anticancer, antibiotic, antifungal, antiparasitic, and antiviral drugs. An advantage of this delivery system is the delivery of a therapeutic agent to a specific location and the release of the therapeutic agent at a specific time through the selective
20 heating of the magnetic nanoparticle by exposure to an AMF.

The following definitions are used, unless otherwise described.

Magnetic nanoparticle.

Magnetic nanoparticles include any nanoparticles that possess paramagnetic or superparamagnetic (SPM) properties such as those paramagnetic or SPM properties of
25 nanoparticles that comprise iron (iron nanoparticles) which for example include nanoparticles that comprise iron oxide (e.g., iron oxide nanoparticles). The desirable paramagnetic or superparamagnetic (SPM) properties include properties that make the magnetic nanoparticle responsive to a magnetic field (e.g., the magnetic nanoparticles will heat when exposed to an AMF). Thus, magnetic nanoparticles include iron nanoparticles
30 such as nanoparticles comprising iron oxide (e.g., Fe₃O₄, the partially oxidized preparations Fe₂O₃/Fe₃O₄ or the fully oxidized Fe₂O₃). Magnetic nanoparticles also include metal alloys that possess the desired paramagnetic or superparamagnetic (SPM) properties such as those paramagnetic and SPM properties of iron nanoparticles (e.g., iron

oxide nanoparticles). Accordingly the term “magnetic nanoparticle” includes nanoparticle alloys, that possess magnetic properties such as but not limited to alloys of iron oxide (for a discussion on magnetic nanoparticle alloys see: Tang, Q., et al., Using Thermal Energy Produced by Irradiation of Mn-Zn Ferrite magnetic Nanoparticles (MZF-NPs) for Heat-Inducible Gene Expression. *Biomaterials* **2008**, 29, 2673-2679 which reference is incorporated herein in its entirety). It is to be understood that the amount of magnetic material (such as iron) in a magnetic nanoparticle can vary as long as the nanoparticle possesses the desired magnetic properties. Magnetic nanoparticles also include magnetic nanoparticles that are coated (e.g., coated magnetic nanoparticles) by another substance or material such as but not limited to gold, graphene or silica. As used herein the term “coated magnetic nanoparticle” includes magnetic nanoparticles wherein the surface of the magnetic nanoparticle is coated (e.g., fully or partially) by the substance or material. It is to be understood the surface of the coated magnetic nanoparticle may be fully coated or partially coated and that when the coated magnetic nanoparticle is partially coated the coating may or may not be contiguous and the coating may be of any shape (e.g., spotted). In one embodiment the surface is at least 1%, at least 10%, at least 20%, at least 40%, at least 60%, at least 80%, at least 90% or completely covered by the substance or material. In one embodiment the core of a coated magnetic nanoparticle is magnetic but the coating may not be magnetic. In one embodiment the magnetic nanoparticle is coated with two or more different coatings. The size of the magnetic nanoparticle can vary. In one embodiment the size of the magnetic nanoparticle is about 1-750 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 1-500 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 1-250 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 1-150 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 1-50 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 5-750 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 5-500 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 5-250 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 5-150 nM in diameter. In one embodiment the size of the magnetic nanoparticle is about 5-50 nM in diameter.

Linker.

As described herein, the magnetic nanoparticles can be connected to a therapeutic agent through a linker. The linker can be (a) covalently bonded to the magnetic nanoparticle by at least one atom of the linker and (b) covalently bonded to a therapeutic agent at another atom of the linker. Thus, the linker can be covalently bonded to the magnetic nanoparticle or if the magnetic nanoparticle is coated it can be covalently bonded to the coating. It is also to be understood that if a magnetic nanoparticle is coated some of the linkers can be covalently bonded to the coating and some of the linkers can be covalently bonded to the magnetic nanoparticle. For example, the linker can be covalently bonded to the iron oxide magnetic nanoparticle through a silicon atom of the linker. The linker can also be bonded to a coated magnetic nanoparticle, such as a silica coated magnetic nanoparticle through a silicon atom of the linker. The linker can also be bonded to a coated magnetic nanoparticle, such as a gold-coated magnetic nanoparticle. For example, a sulfur atom of a linker can be covalently bonded to a gold atom of a gold-coated magnetic nanoparticle.

The linker can be covalently bound to the therapeutic agent via a functional group (e.g., ester, amide, carbonate, carbamate, urea, thioester, thioamide, thiocarbonate, thiocarbamate, thiourea) that allows the therapeutic agent to be cleaved from the functional group when the linker undergoes intramolecular cyclization as described herein. The therapeutic agent is generally connected to a carbonyl or thiocarbonyl moiety of the functional group via a labile bond. Thus, when the linker undergoes intramolecular cyclization the bond connecting the therapeutic agent to the carbonyl or thiocarbonyl moiety (for example a bond such as an oxygen, nitrogen or sulfur bonded to either the carbonyl or thiocarbonyl) of the functional group is broken thereby releasing the therapeutic agent from the linker. Accordingly, hydroxy, amine, or thioether groups of a therapeutic agent are particularly useful for forming the labile bond to the carbonyl or thiocarbonyl moiety of the functional group.

The linkers described herein can vary in length and composition and be branched or non-branched. In general the linker can comprise atoms selected from carbon, oxygen, nitrogen, sulfur and silicon. In one embodiment the linker comprises a carbonyl or thiocarbonyl and an amine nitrogen such as a primary amine nitrogen or secondary amine nitrogen. In one embodiment, the linker, upon heating (e.g., upon AMF irradiation of the attached magnetic NP), undergoes intramolecular cyclization thereby releasing the therapeutic agent from the linker. The intramolecular cyclization generally occurs through

reaction of an amine nitrogen within the linker and the carbon of the carbonyl carbon or thiocarbonyl carbon of the functional group that connects the therapeutic agent to the linker.

In one embodiment the linker comprises about 4-50 atoms in the linker. In one
5 embodiment the linker comprises about 4-40 atoms in the linker. In one embodiment the
linker comprises about 4-30 atoms in the linker. In one embodiment the linker comprises
about 4-20 atoms in the linker. In one embodiment the linker comprises about 4-15 atoms
in the linker. In one embodiment the linker comprises about 7-50 atoms in the linker. In
one embodiment the linker comprises about 7-40 atoms in the linker. In one embodiment
10 the linker comprises about 7-30 atoms in the linker. In one embodiment the linker
comprises about 7-20 atoms in the linker. In one embodiment the linker comprises about
7-15 atoms in the linker. In one embodiment the linker comprises about 6-15 atoms in the
linker. In one embodiment the linker comprises about 7-14 atoms in the linker. In one
embodiment the linker comprises about 8-14 atoms in the linker. In one embodiment the
15 linker comprises about 9-13 atoms in the linker. In one embodiment any of the above the
atoms are independently selected from carbon, nitrogen, oxygen, sulfur and silicon. In one
embodiment any of the above the atoms are independently selected from carbon, nitrogen,
oxygen, sulfur and silicon provided the linker contains at least one NH group and one
group selected from (C=O) and (C=S). In one embodiment no oxygen, nitrogen, silicon or
20 sulfur are directed bonded (e.g., adjacent) to another oxygen, nitrogen, silicon or sulfur. In
one embodiment no oxygen, nitrogen or sulfur are directed bonded (e.g., adjacent) to
another oxygen, nitrogen or sulfur.

It is to be understood that the magnetic nanoparticle may be bonded with multiple
linker groups and that some of these groups are adjacent (e.g., in close proximity) to one
25 another. In such situations it is possible that certain groups of the adjacent linkers may
interact (e.g., be bonded to each other). One example of this would include linkers which
comprise a silicon atom wherein the silicon atoms on adjacent linkers can be connected to
one another via a bridging oxygen atom (e.g., -O-).

30 Therapeutic agent

The term "therapeutic agent" includes agents that are useful for the treatment of a
disease or a physiological condition in an animal (e.g., a mammal such as a human) and
thus includes known drugs. Thus, the term "therapeutic agent" includes but is not limited

to known drugs and/or drugs that have been approved for sale in the United States. For example, therapeutic agents include but are not limited to chemotherapeutic agents, antibiotic agents, antifungal agents, antiparasitic agents and antiviral agents. The term “therapeutic agent” agent also includes “prodrugs” of such therapeutic agents or drugs.

5 The term “therapeutic agent” agent also includes functional group derivatives of such therapeutic agents or drugs. Such functional group derivatives include for example, but are not be limited to alcohols of the corresponding ketone of a therapeutic agent. Accordingly, the term “therapeutic agent” includes a therapeutic agent, a prodrug of a therapeutic agent and a functional group derivatives of therapeutic agent. It is to be understood that the bond
10 between the therapeutic agent and the linker can be at any suitable atom of the therapeutic agent such as (a) the therapeutic agent itself, (b) the prodrug portion of the prodrug of a therapeutic agent or (c) the functional group derivative portion of the functional group derivative of a therapeutic agent.

Therapeutic agent can connected to the linker described herein by the removal of a
15 hydrogen from the therapeutic agent (e.g., a residue of a therapeutic agent) which provides the open valency to be connected to the linker. In one embodiment the term $-Z-D^1$ of formula I can be a residue of a therapeutic agent and the corresponding group $H-Z-D^1$ can be the corresponding therapeutic agent. Thus, one embodiment provides therapeutic agents comprising one or more hydroxyl (-OH), thiol (-SH) or amine (e.g., primary (-NH₂) or
20 secondary (-NH-, -NH(C₁-C₆)alkyl), groups which groups can be connected to the linker as described herein.

In one embodiment the therapeutic agent is a therapeutic agent (e.g., a drug) or a prodrug of the therapeutic agent.

In one embodiment the therapeutic agent is a therapeutic agent (e.g., drug) and not
25 a prodrug and not a functional group derivative of the therapeutic agent.

In one embodiment the therapeutic agent is selected from Cladribine, Azacitidine, Abraxane, Adcetris, Doxorubicin, Afinitor, Vinblastine, Amifostine, Amifostine, Arabinosylcytosine, Cytarabine, Pamidronic Acid, Nelarabine, Bicalutamide, Blemycin, Bortezomib, Cabazitaxel, Irinotecan, Camptothecin, Capecitabine, Temsirolimus,
30 Daunorubicin, Cortisone, Decitabine, Dasatinib, Dexamethasone, Prednisolone, Dexamethasone Acetate, Mitoxantrone, Docetaxel, Hydroxycarbamide, Methylprednisolone, Epirubicin, Curcumin, Estramustine, Eribulin, Etoposide, Everolimus, Raloxifene, Fulvestrant, Floxuridine, Fludarabine, Fluoxymesterone, Gemcitabine,

Goserelin, Topotecan, Hydrocortisone, Hydrocortone Phosphate, Idarubicin, Ixabepilone, Vincristine, Leuprolide (Leuprorelin), Megestrol, Vinorelbine, Nelarabine, Pentostatin, Octreotide, Paclitaxel, Streptozotocin, Teniposide, Valrubicin, Vorinostat, Zoledronic Acid Cladribine, Azacitidine, Mecaptopurine, Tioguanine, Actinomycin D, Doxorubicin,

5 Anagrelide, Pemetrexed, Vinblastine, Melphalan, Methotrexate, Amifostine, Aminoglutethimide, Arabinosylcytosine, Cytarabine, Pamidronic Acid, Nelarabine, Axitinib, Bleomycin, Bosutinib, Folinic Acid (Na or Ca), Leucovorin, Vandetanib, Lenalidomide, Daunorubicin, Crizotinib, Dacarbazine, Decitabine, Dasatinib, Mitoxantrone, Eribulin, Erlotinib, Fludarabine, Pralatrexate, Gefitinib, Gemcitabine,

10 Imatinib, Goserelin, Idarubicin, Lapatinib, Vincristine, Leuprolide, Procarbazine, Methotrexate, Mitomycin, Vinorebine, Nelarabine, Nilotinib, Pentostatin, Octreotide, Pazopanib, Sunitinib, Abraxane, Actinomycin D, Doxorubicin, Afinitor, Exemestane, Carfilzomib, Daunorubicin, Cortisone, Prednisolone, Prednisone, Dexamethasone Acetate, Docetaxel, Methylprednisolone, Epirubicin, Curcumin, Everolimus, Fluoxymesterone,

15 Hydrocortisone, Hydrocortone Phosphate, Idarubicin, Ixabepilone, Vincristine, Megestrol, Valrubicin, Mesna, 13-cis-Retinoic Acid, Isotretinoin, Alitretinoin, Melphalan, Tretinoin, Methotrexate, Anastrozole, Bendamustine, Bexarotene, Carmustine, Lomustine, Chlorambucil and IbritumomabTiuxetan.

20 In one embodiment the therapeutic agent is a chemotherapeutic agent, an antibiotic agent, an antifungal agent, an antiparasitic agent or an antiviral agent or a prodrug thereof.

In one embodiment the therapeutic agent is a chemotherapeutic agent, an antibiotic agent, an antifungal agent, an antiparasitic agent or an antiviral agent.

In one embodiment the therapeutic agent has at least one amine (e.g., -NH₂ or -NH(C₁-C₆)alkyl), hydroxy or a thiol group.

25 In one embodiment the therapeutic agent has at least one hydroxy (-OH), thiol (-SH) or amine (e.g., primary (-NH₂) or secondary (-NH-, -NH(C₁-C₆)alkyl)) group.

In one embodiment the therapeutic agent has at least one hydroxy (-OH), thiol (-SH) or amine (e.g., primary (-NH₂) or secondary (-NH-)) group.

In one embodiment the therapeutic agent has at least one hydroxy or thiol group.

30 In one embodiment the therapeutic agent has at least one hydroxy group.

In one embodiment the therapeutic agent has at least one amine (e.g., -NH₂ or -NH(C₁-C₆)alkyl), hydroxy (-OH) or a thiol group and is attached to the linker through the

amine (e.g., -NH₂ or -NH(C₁-C₆)alkyl), hydroxy (-OH) or a thiol group of the therapeutic agent.

In one embodiment the therapeutic agent has at least one hydroxyl (-OH), thiol (-SH) or amine (e.g., primary (-NH₂) or secondary (-NH-, -NH(C₁-C₆)alkyl)) group and is attached to the linker through the hydroxyl (-OH), thiol (-SH) or amine (e.g., primary (-NH₂) or secondary (-NH-, -NH(C₁-C₆)alkyl)) group of the therapeutic agent.

In one embodiment the therapeutic agent has at least one hydroxy (-OH), thiol (-SH) or amine (e.g., primary (-NH₂) or secondary (-NH-)) group and is attached to the linker through the hydroxyl (-OH), thiol (-SH) or amine (e.g., primary (-NH₂) or secondary (-NH-)) group of the therapeutic agent.

In one embodiment the therapeutic agent has at least one hydroxy or thiol (-SH) group and is attached to the linker through the hydroxy (-OH) or thiol (-SH) group of the therapeutic agent.

In one embodiment the therapeutic agent has at least one hydroxy group (-OH) and is attached to the linker through the hydroxy (-OH) group of the therapeutic agent.

In one embodiment the therapeutic agent has at least one amine (e.g., primary (-NH₂) or secondary (-NH-)) and is attached to the linker through the amine (e.g., primary (-NH₂) or secondary (-NH-)) group of the therapeutic agent.

In one embodiment the therapeutic agent has at least one thiol (-SH) group and is attached to the linker through the thiol (-SH) group of the therapeutic agent.

In one embodiment the therapeutic agent is selected from Cladribine, Azacitidine, Abraxane, Adcetris, Doxorubicin, Afinitor, Vinblastine, Amifostine, Amifostine, Arabinosylcytosine, Cytarabine, Pamidronic Acid, Nelarabine, Bicalutamide, Blemycin, Bortezomib, Cabazitaxel, Irinotecan, Camptothecin, Capecitabine, Temsirolimus, Daunorubicin, Cortisone, Decitabine, Dasatinib, Dexamethasone, Prednisolone, Dexamethasone Acetate, Mitoxantrone, Docetaxel, Hydroxycarbamide, Methylprednisolone, Epirubicin, Curcumin, Estramustine, Eribulin, Etoposide, Everolimus, Raloxifene, Fulvestrant, Floxuridine, Fludarabine, Fluoxymesterone, Gemcitabine, Goserelin, Topotecan, Hydrocortisone, Hydrocortone Phosphate, Idarubicin, Ixabepilone, Vincristine, Leuprolide (Leuprorelin), Megestrol, Vinorelbine, Nelarabine, Pentostatin, Octreotide, Paclitaxel, Streptozotocin, Teniposide, Valrubicin, Vorinostat and Zoledronic Acid.

In one embodiment the therapeutic agent is selected from Cladribine, Azacitidine,

Mecaptopurine, Tioguanine, Actinomycin D, Doxorubicin, Anagrelide, Pemetrexed, Vinblastine, Melphalan, Methotrexate, Amifostine, Aminoglutethimide, Arabinosylcytosine, Cytarabine, Pamidronic Acid, Nelarabine, Axitinib, Bleomycin, Bosutinib, Folinic Acid (Na or Ca), Leucovorin, Vandetanib, Lenalidomide, Daunorubicin, 5 Crizotinib, Dacarbazine, Decitabine, Dasatinib, Mitoxantrone, Eribulin, Erlotinib, Fludarabine, Pralatrexate, Gefitinib, Gemcitabine, Imatinib, Goserelin, Idarubicin, Lapatinib, Vincristine, Leuprolide, Procarbazine, Methotrexate, Mitomycin, Vinorebine, Nelarabine, Nilotinib, Pentostatin, Octreotide, Pazopanib and Sunitinib.

In one embodiment the therapeutic agent is selected from Abraxane, Actinomycin 10 D, Doxorubicin, Afinitor, Exemestane, Carfilzomib, Daunorubicin, Cortisone, Prednisolone, Prednisone, Dexamethasone Acetate, Docetaxel, Methylprednisolone, Epirubicin, Curcumin, Everolimus, Fluoxymesterone, Hydrocortisone, Hydrocortone Phosphate, Idarubicin, Ixabepilone, Vincristine, Megestrol, Valrubicin and Mesna.

In one embodiment the therapeutic agent is selected from 13-cis-Retinoic Acid, 15 Isotretinoin, Alitretinoin, Melphalan, Tretinoin, Methotrexate, Bendamustine, Bexarotene, Chlorambucil, and Ibritumomab Tiuxetan.

In one embodiment the therapeutic agent is selected from Carmustine, Lomustine, Chlorambucil and Bendamustine.

Targeting elements (*e.g.*, an antibody fragment, a small molecule ligand of a 20 cellular receptor) can be attached to the therapeutic nanoparticle at any suitable location including the magnetic nanoparticle, linker or therapeutic agent by any suitable means.

“Prodrug” of a therapeutic agent refers to a labile functional group which separates from the active compound during metabolism, systemically, inside a cell, by hydrolysis, enzymatic cleavage, or by some other process (Bundgaard, Hans, “Design and Application of 25 Prodrugs” in A Textbook of Drug Design and Development (1991), P. Krogsgaard-Larsen and H. Bundgaard, Eds. Harwood Academic Publishers, pp. 113-191). Enzymes which are capable of an enzymatic activation mechanism with the prodrug compounds of the invention include, but are not limited to, amidases, esterases, microbial enzymes, phospholipases, cholinesterases, and phosphases. Prodrug moieties can serve to enhance 30 solubility, absorption and lipophilicity to optimize drug delivery, bioavailability and efficacy. A prodrug may include an active metabolite of drug itself.

“Alkyl” is a straight or branched saturated hydrocarbon. For example, an alkyl group can have 1 to 8 carbon atoms (*i.e.*, (C₁-C₈)alkyl) or 1 to 6 carbon atoms (*i.e.*, (C₁-C₆

alkyl) or 1 to 4 carbon atoms. "Alkylene" refers to an alkyl group having two monovalent radical centers derived by the removal of two hydrogen atoms from the same or two different carbon atoms of the alkyl.

"Alkenyl" is a straight or branched hydrocarbon with at least one (e.g., one or more) carbon-carbon double bond. For example, an alkenyl group can have 2 to 8 carbon atoms (i.e., C₂-C₈ alkenyl), or 2 to 6 carbon atoms (i.e., C₂-C₆ alkenyl). "Alkenylene" refers to an alkenyl group having two monovalent radical centers derived by the removal of two hydrogen atoms from the same or two different carbon atoms of the alkenyl.

"Alkynyl" is a straight or branched hydrocarbon with at least one (e.g., one or more) carbon-carbon, triple bond. For example, an alkynyl group can have 2 to 8 carbon atoms (i.e., C₂-C₈ alkyne), or 2 to 6 carbon atoms (i.e., C₂-C₆ alkynyl). "Alkynylene" refers to an alkynyl group having two monovalent radical centers derived by the removal of two hydrogen atoms from the same or two different carbon atoms of the alkyne.

The term "halo" or "halogen" as used herein refers to fluoro, chloro, bromo and iodo.

The term "carbocycle" or "carbocyclyl" refers to a single saturated (i.e., cycloalkyl) or a single partially unsaturated (e.g., cycloalkenyl, cycloalkadienyl, etc.) all carbon ring having 3 to 7 carbon atoms (i.e. (C₃-C₇)carbocycle). "Carbocyclene" refers to an carbocycle group having two monovalent radical centers derived by the removal of two hydrogen atoms from the same or two different carbon atoms of the carbocycle.

"Phenylene" refers to a phenyl group having two monovalent radical centers derived by the removal of two hydrogen atoms from two different carbon atoms of the phenyl.

The term "heteroalkyl" as used herein refers to an alkyl as defined herein, wherein one or more of the carbon atoms of the alkyl are replaced by an O, S, or NR_q, (or if the carbon atom being replaced is a terminal carbon with an OH, SH or NR_{q2}) wherein each R_q is independently H or (C₁-C₆)alkyl. "Heteroalkylene" refers to a heteroalkyl group having two monovalent radical centers derived by the removal of two hydrogen atoms from a same or two different carbon atoms or an OH, SH or NHR_q of the heteroalkyl.

The term "heterocyclyl" or "heterocycle" as used herein refers to a single saturated or partially unsaturated ring that has at least one atom other than carbon in the ring, wherein the atom is selected from the group consisting of oxygen, nitrogen and sulfur. Thus, the term includes 3, 4, 5, 6, 7 or 8-membered single saturated or partially unsaturated

rings from about 1 to 7 carbon atoms and from about 1 to 4 heteroatoms selected from the group consisting of oxygen, nitrogen and sulfur in the ring. The ring may be substituted with one or more (e.g., 1, 2 or 3) oxo groups and the sulfur and nitrogen atoms may also be present in their oxidized forms. Such rings include but are not limited to azetidinyl, 5 tetrahydrofuranyl or piperidinyl.

Silica (silicon dioxide (SiO_2)) includes all forms of silica such as amorphous silica, silica gel, mesoporous silica and fumed silica.

Specific values listed below for radicals, substituents, and ranges are for illustration only; they do not exclude other defined values or other values within defined ranges for the 10 radicals and substituents. It is to be understood that two or more values may be combined.

A specific group of compounds of formula I are compounds wherein V is $-\text{OSi}(\text{G})_2-$, the magnetic nanoparticle is optionally coated with silica, and the dashed line represents a covalent bond between the oxygen atom of $-\text{OSi}(\text{G})_2-$ and the magnetic nanoparticle optionally coated with silica; or V is $-\text{S}-$, the magnetic nanoparticle is 15 magnetic nanoparticle coated with gold, and the dashed line represents a covalent bond between $-\text{S}-$ and the magnetic nanoparticle coated with gold.

A specific group of compounds of formula I are compounds wherein V is $-\text{OSi}(\text{G})_2-$, the magnetic nanoparticle is coated with silica, and the dashed line represents a covalent bond between the oxygen atom of $-\text{OSi}(\text{G})_2-$ and the magnetic nanoparticle coated 20 with silica.

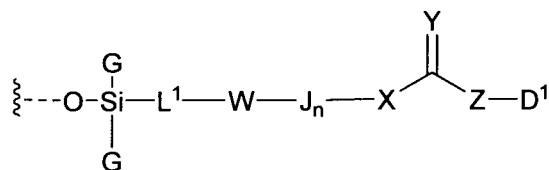
A specific group of compounds of formula I are compounds wherein V is $-\text{OSi}(\text{G})_2-$, the magnetic nanoparticle is an iron oxide nanoparticle coated with silica, and the dashed line represents a covalent bond between the oxygen atom of $-\text{OSi}(\text{G})_2-$ and the iron oxide nanoparticle coated with silica.

25 A specific group of compounds of formula I are compounds wherein V is $-\text{OSi}(\text{G})_2-$, the magnetic nanoparticle is an iron oxide nanoparticle, and the dashed line represents a covalent bond between the oxygen atom of $-\text{OSi}(\text{G})_2-$ and the iron oxide nanoparticle.

A specific group of compounds of formula I are compounds wherein V is 30 $-\text{OSi}(\text{G})_2-$, the magnetic nanoparticle is an iron oxide nanoparticle optionally coated with silica, and the dashed line represents a covalent bond between the oxygen atom of $-\text{OSi}(\text{G})_2-$ and the iron oxide nanoparticle optionally coated with silica.

A specific group of compounds of formula I are compounds wherein V is $-\text{OSi}(\text{G})_2-$, the magnetic nanoparticle is an iron oxide nanoparticle coated with silica, and the dashed line represents a covalent bond between the oxygen atom of $-\text{OSi}(\text{G})_2-$ and the iron oxide nanoparticle coated in silica.

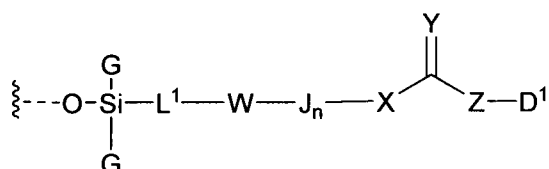
5 A specific group of compounds of formula I are compounds wherein $-\text{L}-\text{D}$ has the following formula Ia:



Ia

wherein the dashed bond represents a covalent bond to the magnetic nanoparticle.

10 A specific group of compounds of formula I are compounds wherein the magnetic nanoparticle is further coated in silica and wherein $-\text{L}-\text{D}$ has the following formula Ia:

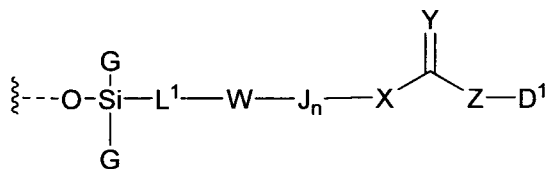


Ia

wherein the dashed bond represents a covalent bond to the magnetic nanoparticle

15 further coated with silica.

A specific group of compounds of formula I are compounds wherein the magnetic nanoparticle is an iron oxide nanoparticle coated with silica and wherein $-\text{L}-\text{D}$ has the following formula Ia:



20

Ia

or a salt thereof, wherein the dashed bond represents a covalent bond to the iron oxide nanoparticle coated with silica.

A specific value for G is $-\text{OR}^{\text{a1}}$.

A specific group of compounds of formula I are compounds wherein each G is $-\text{OR}^{\text{a2}}$, wherein each $-\text{OR}^{\text{a2}}$ together with another $-\text{OR}^{\text{a2}}$ group on an adjacent $-\text{L}-\text{D}$ group forms an $-\text{O}-$.

A specific group of compounds of formula I are compounds wherein each G is -OR^{a1} or -OR^{a2}, wherein each -OR^{a2} together with another -OR^{a2} group on an adjacent -L-D group form an -O-.

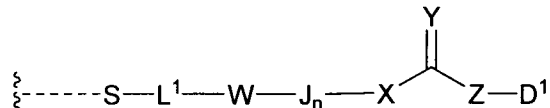
5 A specific group of compounds of formula I are compounds wherein V is -S-, the magnetic nanoparticle is coated in gold, and the dashed line represents a covalent bond between -S- and the magnetic nanoparticle coated in gold.

A specific group of compounds of formula I are compounds wherein the dashed line represents a covalent bond between -S- and a gold atom of the magnetic nanoparticle coated in gold.

10 A specific group of compounds of formula I are compounds wherein V is -S-, the magnetic nanoparticle is an iron oxide nanoparticle coated in gold, and the dashed line represents a covalent bond between -S- and the iron oxide nanoparticle coated in gold.

15 A specific group of compounds of formula I are compounds wherein the dashed line represents a covalent bond between -S- and a gold atom of the iron oxide nanoparticle coated in gold.

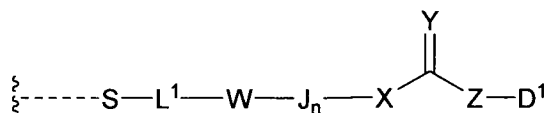
A specific group of compounds of formula I are compounds wherein -L-D has the following formula Ib:



Ib

20 wherein the dashed bonds represent a covalent bond to the magnetic nanoparticle.

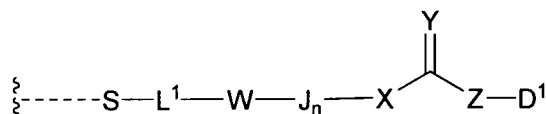
A specific group of compounds of formula I are compounds wherein the magnetic nanoparticle is coated with gold and wherein -L-D has the following formula Ib:



Ib

25 wherein the dashed bonds represent a covalent bond to the magnetic nanoparticle coated with gold.

A specific group of compounds of formula I are compounds wherein the magnetic nanoparticle is an iron oxide nanoparticle coated with gold and wherein -L-D is a compound of formula Ib:



Ib

or a salt thereof, wherein the dashed bonds represent a covalent bond to the iron oxide nanoparticle coated with gold.

5 A specific value for L^1 is (C_1-C_6) alkylene.

A specific value for L^1 is (C_2-C_4) alkylene.

A specific value for L^1 is (C_1-C_6) alkylene optionally substituted with one or more halogen.

10 A specific value for L^1 is (C_2-C_4) alkylene optionally substituted with one or more halogen.

A specific value for L^1 is $-(CH_2)_2-$, $-(CH_2)_3-$ or $-(CH_2)_4-$.

A specific value for L^1 is $-(CH_2)_3-$.

A specific group of compounds of formula I are compounds wherein:

(a) W is NH, X is CR^cR^d , and n is an integer from 0-5; or

15 (b) W is NH, X is O, NR^e or S, and n is an integer from 1-5.

A specific group of compounds of formula I are compounds wherein:

(a) W is NH, X is CR^cR^d , and n is an integer from 0-5; or

(b) W is NH, X is O, and n is an integer from 1-5.

20 A specific group of compounds of formula I are compounds wherein W is NH, X is CR^cR^d and n is an integer from 0-5.

A specific group of compounds of formula I are compounds wherein R^c and R^d are each independently selected from H and methyl.

A specific group of compounds of formula I are compounds wherein R^c and R^d are each H.

25 A specific group of compounds of formula I are compounds wherein R^c and R^d are each methyl.

A specific group of compounds of formula I are compounds wherein W is -NH-, X is O, and n is an integer from 1-5.

A specific value for n is 2, 3 or 4.

30 A specific value for n is 1, 2, 3, 4 or 5.

A specific group of compounds of formula A are compounds wherein the sum of m and n is 1, 2, 3, 4 or 5.

A specific group of compounds of formula A are compounds wherein the sum of m and n is 1, 2, 3 or 4.

5 A specific group of compounds of formula A are compounds wherein the sum of m and n is 1, 2 or 3.

A specific group of compounds of formula I are compounds wherein each J is C(R^b)₂.

10 A specific group of compounds of formula I are compounds wherein each R_b is independently H or methyl.

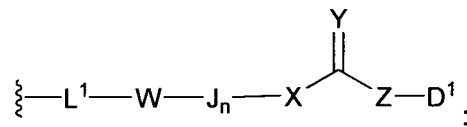
A specific value for R_b is H.

A specific value for J_n is -(CH₂)₂-, -(CH₂)₃-, -(CH₂)₄-, -CH₂CH₂CMe₂CH₂-.

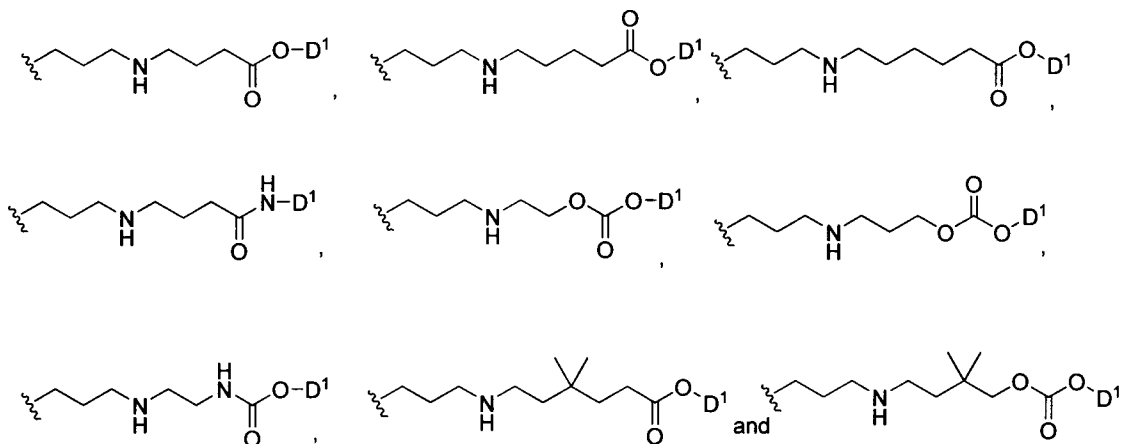
A specific value for Y is O.

A specific value for Z is O, NH or S.

15 A specific group of compounds of formula I are compounds wherein the portion of formula I as shown in the formula below:



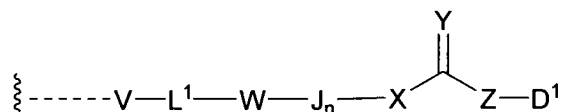
is selected from;



20

One embodiment provides a therapeutic magnetic nanoparticle comprising a magnetic nanoparticle covalently bonded to one or more -L-D groups wherein D is a therapeutic agent and L is a linker capable of undergoing an intramolecular cyclization.

One embodiment provides a therapeutic magnetic nanoparticle comprising a magnetic nanoparticle covalently bonded to one or more -L-D groups wherein -L-D is a compound of formula I:



5

I

wherein

V is -OSi(G)₂-, the magnetic nanoparticle is an iron oxide nanoparticle, and the dashed line represents a covalent bond between the oxygen atom of -OSi(G)₂- and the iron oxide nanoparticle; or V is -S- the magnetic nanoparticle is an iron oxide nanoparticle coated in gold, and the dashed line represents a covalent bond between -S- and the iron oxide nanoparticle coated in gold;

L¹ is (C₁-C₆)alkylene, (C₁-C₆)heteroalkylene, (C₂-C₆)alkenylene, (C₂-C₆)alkynylene, phenylene or (C₃-C₇)carbocyclene;

each J is C(R^b)₂ wherein one C(R^b)₂ of J may be replaced by -O-;

15

(a) W is NH, X is CR^cR^d, and n is an integer from 0-5; or

(b) W is NH, X is O, NR^e or S, and n is an integer from 1-5; or

(c) W is $\begin{array}{c} (\text{C}(\text{R}^f)_2)_m \text{NHR}^g \\ | \\ \text{---} \text{C} \text{---} \\ | \\ \text{H} \end{array}$, X is CR^cR^d, O, NR^e, S or absent, m is an integer from 0-

5

and n is an integer from 0-5, wherein the sum of m and n is 0-5;

Y is O or S;

20

Z-D¹ is a residue of a therapeutic agent wherein Z is O, NR^h or S;

each G is independently -OR^{a1}, -OR^{a2} or (C₁-C₆)alkyl;

R^{a1} is a covalent bond between the oxygen atom of -OR^{a1} and the iron oxide nanoparticle;

25

each R^{a2} is H or (C₁-C₆)alkyl; or two -OR^{a2} groups of two adjacent L-D groups together form -O-;

each R^b is independently selected from H and (C₁-C₃)alkyl; or two R^b groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

each R^c is independently selected from H and (C₁-C₆)alkyl, and each R^d is independently selected from H and (C₁-C₆)alkyl; or an R^c group and an R^d group together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

30

each R^e is independently selected from H and (C₁-C₆)alkyl;

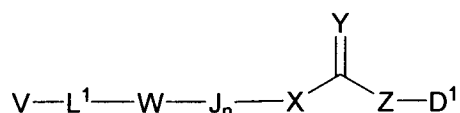
each R^f is independently selected from H and (C₁-C₆)alkyl; or two R_f groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

R^g is selected from H and (C₁-C₆)alkyl; and

5 R^h is selected from H and (C₁-C₆)alkyl;

or a salt thereof.

One embodiment provides a method for preparing a therapeutic magnetic nanoparticle, comprising reacting a compound of formula II:



10

II

with an iron oxide nanoparticle when V is -Si(OR^a)₃; or with an iron oxide nanoparticle coated in gold when V is -SH;

wherein:

15 L¹ is (C₁-C₆)alkylene, (C₁-C₆)heteroalkylene, (C₂-C₆)alkenylene, (C₂-C₆)alkynylene, phenylene or (C₃-C₇)carbocyclene;

each J is C(R^b)₂ wherein one C(R^b)₂ of J may be replaced by -O-;

(a) W is NH, X is CR^cR^d, and n is an integer from 0-5; or

(b) W is NH, X is O, NR^e or S, and n is an integer from 1-5; or

20 (c) W is $\begin{matrix} (\text{C}(\text{R}^f)_2)_m\text{NHR}^g \\ | \\ \text{C} \\ | \\ \text{H} \end{matrix}$, X is CR^cR^d, O, NR^e, S or absent, m is an integer from 0-5 and n is an integer from 0-5, wherein the sum of m and n is 0-5;

Y is O or S;

Z-D¹ is a residue of a therapeutic agent wherein Z is O, NR^h or S;

R^a is (C₁-C₆)alkyl;

25 each R^b is independently selected from H and (C₁-C₃)alkyl; or two R^b groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

each R^c is independently selected from H and (C₁-C₆)alkyl, and each R^d is independently selected from H and (C₁-C₆)alkyl; or an R^c group and an R^d group together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

each R^e is independently selected from H and (C₁-C₆)alkyl;

each R^f is independently selected from H and (C₁-C₆)alkyl; or two R_f groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

R^g is selected from H and (C₁-C₆)alkyl; and

R^h is selected from H and (C₁-C₆)alkyl;

5 or a salt thereof.

Salts

In cases where compounds are sufficiently basic or acidic, a salt of a therapeutic magnetic nanoparticle as a pharmaceutically acceptable acid or base salt may be appropriate. Examples of pharmaceutically acceptable salts are organic acid addition salts
10 formed with acids which form a physiological acceptable anion, for example, tosylate, methanesulfonate, acetate, citrate, malonate, tartarate, succinate, benzoate, α-ketoglutarate, and α-glycerophosphate. Suitable inorganic salts may also be formed, including hydrochloride, sulfate, nitrate, bicarbonate, and carbonate salts.

Pharmaceutically acceptable salts may be obtained using standard procedures well
15 known in the art, for example by reacting a sufficiently basic compound such as an amine with a suitable acid affording a physiologically acceptable salt. Alkali metal (for example, sodium, potassium or lithium) or alkaline earth metal (for example calcium) salts of carboxylic acids can also be made.

20 Administration

The method of administering the therapeutic magnetic nanoparticle to the desired area for treatment and the dosage may depend upon, but is not limited to, the type and location of the disease material. The size range of the nanoparticles may allow for microfiltration for sterilization. Some methods of administration include intravascular
25 injection, intravenous injection, intraperitoneal injection, subcutaneous injection, and intramuscular injection. The nanoparticles may be formulated in an injectable format (*e.g.*, suspension, emulsion) in a medium such as, for example, water, saline, Ringer's solution, dextrose, dimethylsulfoxide, albumin solution, and oils. The nanoparticles may also be administered to the patient through topical application via a salve or lotion, transdermally
30 through a patch, orally ingested as a pill or capsule or suspended in a liquid or rectally inserted in suppository form. Nanoparticles may also be suspended in an aerosol or pre-aerosol formulation suitable for inhalation via the mouth or nose. Once administered to the patient, delivery of the nanoparticles to the target site may be assisted by an applied static

magnetic field due to the magnetic nature of the nanoparticles. Assisted delivery may depend on the location of the targeted tissue. The nanoparticles may also be delivered to the patient using other methods. For example, the nanoparticles may be administered to the patient orally, or may be administered rectally. It is to be understood the therapeutic magnetic nanoparticles described herein may also be useful in diagnostics as well as studies in cells, tissues and animals.

The invention will now be illustrated by the following non-limiting Examples.

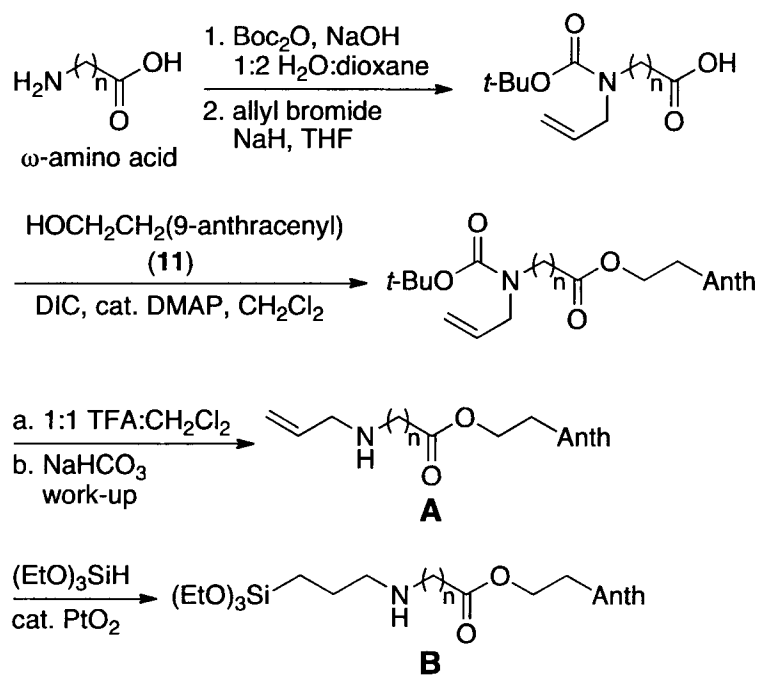
Example 1.

10 **Iron Oxide Nanoparticles**

Iron oxide (Fe₃O₄) NPs were prepared following the procedure described by Mikhaylova (Mikhaylova, M.; Kim, D. K.; Bobrysheva, N.; Osmolowsky, M.; Semenov, V.; Tsakalakos, T.; Muhammed, M., Superparamagnetism of Magnetite Nanoparticles: Dependence on Surface Modification. *Langmuir* 2004, 20, 2472-2477) using FeCl₂ and FeCl₃ in an aqueous caustic soda solution. Transmission Electron Microscopy (TEM) imaging was used to find that the average particle diameter was 5-10 nm. The zeta potential was measured to be ~ -32 mV. Iron oxide (Fe₃O₄) NPs with a diameter of 20-30 nm also were purchased from US Research Nanomaterials, Inc. IR analysis confirmed that the purchased NPs have the characteristic Fe-O bond stretch at ~630 cm⁻¹ along with the OH stretch of the surface alcohols and hydrogen bound water (Figure 1).

Linker Synthesis

The syntheses of the linkers (Scheme 1 and Examples herein below) started with commercial ω-amino acids. The amines were Boc-protected and then alkylated by treatment with NaH and allyl bromide. The protected *N*-allylamino acids then were esterified by reaction with a fluorescent alcohol (2-(9-anthracenyl)ethanol) serving as a model drug surrogate. Subsequent Boc deprotections were accomplished using trifluoroacetic acid (TFA). Hydrosilylations then were performed to incorporate the triethoxysilane group for subsequent loadings onto the Fe₃O₄ NPs.

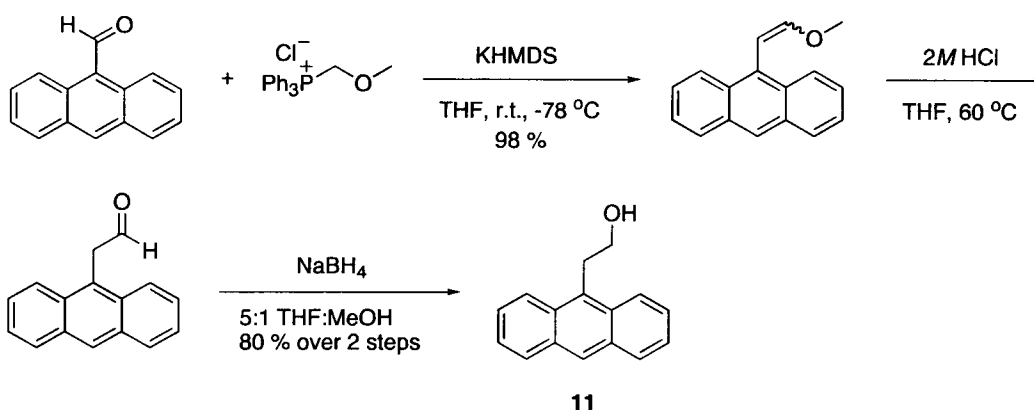


Scheme 1

Linker synthesis where $n = 3-5$

5 Attachment of a Drug Surrogate

Development of the thermo-labile linkers began with commercially available 9-anthracenecarboxaldehyde. The high ultraviolet (UV) and fluorescence capability of the anthracene ring (λ_{max} 413 nm) allows for quantitative detections at low concentrations. An additional methylene group was synthetically inserted and the carbonyl group reduced to afford the model drug surrogate 2-(9-anthracenyl)ethanol (11) as depicted in Scheme 2.



Scheme 2

Synthesis of the model drug surrogate 2-(9-anthracenyl)ethanol (11)

15

Demonstration of Heat-Induced Probe Release

Experiments were performed to verify that an alcohol-functionalized compound could be released from the linker in its original alcohol form. The four, five, and six carbon amino esters (compound family **A**, Scheme 1) were heated in toluene, starting at room temperature and heating to reflux in $\sim 10^\circ\text{C}$ increments with a one-hour waiting time at each temperature. At the end of each hour the solutions were analyzed by thin-layer chromatography (TLC). The released anthracene alcohol **11** was first observed for the five-membered lactam at room temperature, the six-membered lactam was seen at $\sim 35^\circ\text{C}$, and the seven-membered ring was not seen until the solution temperature had reached 100°C . The six-carbon amino ester was also tested under simulated biological conditions (PBS:dioxane solution) with similar results.

Next, the 5-10 nm iron oxide NPs were covalently bound to the silylated linkers (compound family **B**, Scheme 1) and the coated NPs then were heated in toluene where, once again, the released anthracene alcohol was observed by TLC analysis of the reaction solutions at the above indicated temperatures.

15

Attachment of the linker to Fe_3O_4 NPs

Witucki (Witucki, G. L. In *A Silane Primer: Chemistry and Applications of Alkoxy Silanes*, 57th Annual Meeting of the Federation of Societies for Coatings Technology, Chicago, IL, Coating Technology: Chicago, IL, 1992) outlined the first loading method that was employed in the system described herein (Figure 2). Following the procedure described by Ma *et. al.* (Ming, M.; Zhang, Y.; Yu, W.; Shen, H.-y.; Zhang, H.-q.; Gu, N., Preparation and Characterization of Magnetite Nanoparticles Coated by Amino Silane. *Colloids and Surfaces, A: Physicochemical and Engineering Aspects* 2003, 212 (2-3), 219-226), Fe_3O_4 NPs were suspended in EtOH containing a small amount of water and then sonicated for 30 minutes. The alkoxy silane (~ 3 mmol per gram of NPs) was added to the suspension and sonicated for 10 minutes. An overhead stirrer was then affixed to the flask and the suspension was stirred overnight. Upon completion, the magnetic NPs were magnetically separated, washed five-times with EtOH, twice with Et_2O , and then dried under pump vacuum. Thermogravimetric analysis (TGA), along with IR spectroscopy, were used to confirm that linker attachment had occurred. The TGA (Figure 3) showed a loading of 29.3% (w/w) and the IR spectrum (Figure 4) showed intense peaks for Si-O, Si-O-Si, and Fe-O-Si bonds. Further support was provided by the presence of the ester carbonyl peak. Using equations outlined by Galeotti, (Galeotti, F.; Bertini, F.; Scavia, G.;

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Bolognesi, A., A Controlled Approach to Iron Oxide Nanoparticles Functionalization for Magnetic Polymer Brushes. *Journal of Colloid and Interface Science* **2011**, *360*, 540-547) the grafting density was found to be 6.79 molecules per nm². This density suggested excess polymerization prior to attachment to the iron oxide NP (Figure 5).

5 To eliminate this problem, the loading method described by Galeotti was used. The NPs were placed in a flask flushed with nitrogen, CHCl₃ was then added via syringe and the suspension was sonicated for 30 minutes. The alkoxy silane (20 mmol per gram of NPs) was dissolved in a small amount of CHCl₃ and added to the suspension dropwise while sonication was continued. After the addition was complete, the sonication was
10 continued for 10 minutes and then switched to an overhead stirrer at room temperature for two hours and then heated to 60 °C overnight. The coated NPs were magnetically separated and washed five-times with CHCl₃ and dried under pump vacuum. TGA analysis (Figure 6) showed 9.3% (w/w) organic coating with a grafting density of 1.04 molecules per nm² and the IR spectrum (Figure 7) displayed the presence of the ester and
15 Si-O bonds with less intense peaks. These numbers are in agreement with Galeotti's results.

It is possible that reacting the coated NPs under vacuum at room temperature may not be enough to form the covalent Si-O bonds. While most published articles use a vacuum pump step at room temperature to form the 'covalent' bonds, higher temperatures (e.g.,
20 100-110 °C) may be needed to effect the condensation reaction. The formation of the covalent bond happens in two steps as can be seen in Figure 2. The first reaction is the hydrolysis of the alkoxy silane, followed by the hydrogen bonding of the linker to the surface hydroxyl groups on the NP. The second reaction is a condensation reaction between silanol and hydroxy-iron that is difficult to initiate.

25 Thus, the covalent loading procedures using different concentrations at the hydrolysis stage, heating temperatures for the condensation, and heating times for the condensation were evaluated. In general, as described by Galeotti, 20 mmol/g NPs maximize the grafting density, but such concentrations are not always needed. Also, though current condensation conditions (100-110 °C for 24 hours) work well, it may be
30 preferable if milder conditions would suffice.

To prevent lactamization during the loading condensation reaction, the secondary amine was Boc protected. After loading, the secondary amine would then be deprotected using trifluoroacetic acid (TFA), which might be acidic enough to dissolve some, if not all,

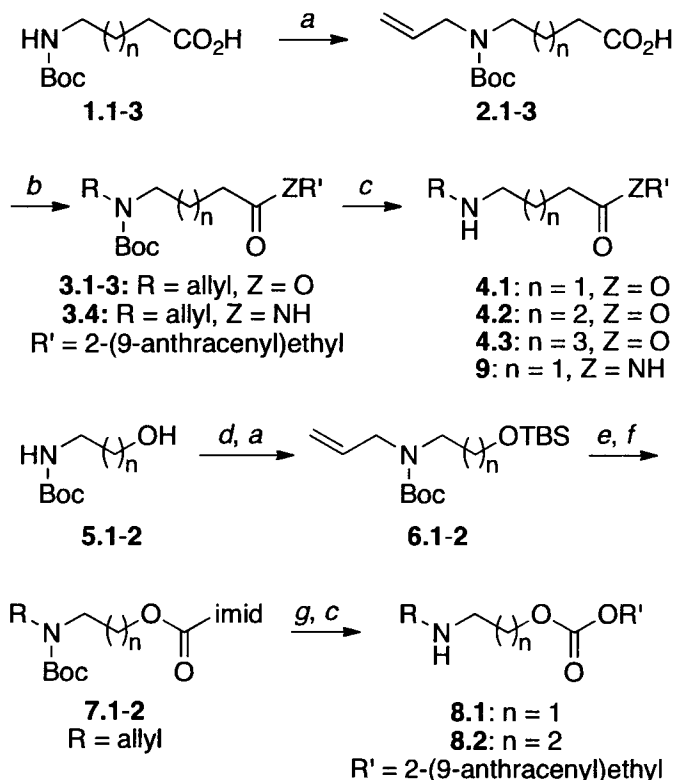
of the NPs. An experiment was performed to show that little to no Fe₃O₄ was lost when subjected to 1:1 TFA:DCM (dichloromethane) for one hour. After magnetic separation and removal of the acidic solution, the NPs were dried on a vacuum pump for three-hours and reweighed to determine the amount of Fe₃O₄ removed by the TFA. To determine if the Si-O bond could withstand an hour in 1:1 TFA:DCM solution a new chain, *N*-Boc-*N,N*-methylallylamine, was synthesized, hydrosilylated, and affixed onto the NPs. IR spectroscopy (Figure 8) and TGA (Figure 10) verified the loading. The coated NPs were placed in a 1:1 TFA:DCM solution for one-hour at 0 °C. At completion, the coated NPs were magnetically separated and the solution was removed followed by washing the coated NPs five times with DCM and drying under vacuum. IR spectroscopy (Figure 9) and TGA (Figure 10) verified the removal of Boc and the formation of an ammonium salt.

After the deprotection, the NPs were rinsed with NaHCO₃ solution to provide the secondary amine from the ammonium salt. To further verify the presence of the nucleophilic amine, FITC (fluorescein isothiocyanate) was added to the coated NPs in THF (tetrahydrofuran) and allowed to react overnight to form the FITC-NP thiourea bond. The FITC-NPs were magnetically separated and wash five-times with THF and dried under vacuum. Fluorescence measurements showed the presence of FITC (λ_{max} 521 nm), verifying the deprotection and reaction of the amine.

To test the effectiveness of these results the six carbon Boc-protected linker was chosen. Following hydrosilylation, the linker was loaded onto the NPs and heated at ~110 °C for 24 hours. After heating, 6.4 mg of coated NPs were placed in a vial followed by 1:1 TFA:DCM for 30 minutes. The NPs were then magnetically separated and washed once with DCM, three times with saturated NaHCO₃ solution, five times with MeOH to remove water and NaHCO₃ salts, and finally twice with Et₂O. The NPs were then dried under vacuum to remove residual solvent.

Following work-up, the NPs were suspended in 1.5 mL 2:1 PBS:MeCN and soaked for 30 minutes followed by magnetic separation. The NPs were washed once with 1.5 mL of the PBS:MeCN solution and magnetically separated. A third 1.5 mL slug of 2:1 PBS:MeCN was added to the NPs and the suspension was subjected to 750 A AMF for 30 minutes. After AMF treatment, the NPs were magnetically separated. The three solutions obtained from the separations were centrifuged at 12,000 rpm for 25 minutes and the supernatant fluorescence was measured (Figure 11). The data shows that AMF-treatment caused release of the drug surrogate from the NPs.

Example 2. Preparation of ester compounds 4.1, 4.2 and 4.3 and carbonate compounds 8.1 and 8.2.



5

Conditions: *a.* allyl bromide, NaH, THF, 0 °C to rt, 87%; *b.* 2-(9-anthracenyl)ethanol (or 2-(9-anthracenyl)ethanamine), DIC, cat. DMAP, CH₂Cl₂, 12h, 59%; *c.* TFA, CH₂Cl₂, 0 °C, 1h, 100%; *d.* TBSCl, Et₃N, imidazole, CH₂Cl₂, 0 °C to rt, 98%; *e.* TBAF, THF, 0 °C to rt, 95%; *f.* (imid)₂C=O, (i-Pr)₂NEt, CH₂Cl₂, 0 °C to rt, 95%; *g.* 2-(9-anthracenyl)ethanol,

10 KOH, toluene, 60 °C, 45%.

Ester analogs 4.1, 4.2 and 4.3.

Preparation of 5-((*tert*-butoxycarbonyl)amino)pentanoic acid (1.2). 5-Aminopentanoic acid (1.99 g, 17.0 mmol) was dissolved in a 2:1 mixture of 1,4-dioxane:H₂O (51 mL) and cooled to 0 °C. A 1 M solution of NaOH (0.68 g, 17.1 mmol) was added, followed by the addition of di-*tert*-butyl dicarbonate (Boc₂O) (4.10g, 18.8 mmol). After 18 h, the dioxane was removed *in vacuo* and the remaining aqueous layer was washed with EtOAc (19 mL). The aqueous phase was then acidified to pH ~3 with 1 M HCl and extracted with EtOAc (3 x 19 mL). The combined organics were dried over

15
20 MgSO₄, filtered, and concentrated *in vacuo* to give crude **1.2** (white crystals) which was

used in the next step without further purification. ^1H NMR (400 MHz, CDCl_3) δ 1.43 (s, 9H), 1.52 (dt, $J = 7.2$ Hz, 2H), 1.65 (dt, $J = 7.4$ Hz, 2H), 2.36 (t, $J = 7.2$ Hz, 2H), 4.61 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 22.0, 28.6, 29.6, 33.7, 40.4, 79.5, 156.3, 178.9.

5 **Preparation of 4-((*tert*-butoxycarbonyl)amino)butanoic acid (1.1).** ^1H NMR (400 MHz, CDCl_3) δ 1.43 (s, 9H), 1.80 (dt, $J = 7.2$ Hz, 2H), 2.38 (t, $J = 7.0$ Hz, 2H), 3.16 (br s, 2H), 4.72 (br s, 1H), 9.34 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 25.3, 28.6, 31.5, 40.0, 79.8, 156.4, 178.5.

10 **Preparation of 6-((*tert*-butoxycarbonyl)amino)hexanoic acid (1.3).** ^1H NMR (400 MHz, CDCl_3) δ 1.31-1.52 (m, 13H), 1.63 (dt, $J = 7.6$ Hz, 2H), 2.33 (t, $J = 7.4$ Hz, 2H), 3.08 (br s, 2H), 4.60 (br s, 1H), 10.44 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 24.5, 26.4, 28.6, 29.9, 34.1, 40.6, 79.6, 156.1, 179.2.

15 **Preparation of 5-(allyl(*tert*-butoxycarbonyl)amino)pentanoic acid (2.2).** Boc-protected amine **1.2** (4.65 g, 21.4 mmol) was added to a slurry of 60% NaH (4.28 g, 107.1 mmol) in dry tetrahydrofuran (THF) (140 mL) at 0 °C. After one-hour of stirring, allyl bromide (5.56 mL, 64.2 mmol) was added dropwise. After 24 h, the reaction mixture was cooled to 0 °C and quenched with water until the reaction became transparent. The
20 reaction was acidified to pH ~3 with 1 M HCl and the layers were separated. The aqueous phase was extracted with EtOAc (2 x 30 mL) and the combined organics washed with brine (50 mL), dried over Na_2SO_4 , filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO_2 , 1:1, EtOAc:hexanes) to give a light yellow
25 oil **2.2** (4.77 g, 87%). *R_f*: 0.36 (1:1 EtOAc:hexanes); ^1H NMR (400 MHz, CDCl_3) δ 1.43 (s, 9H), 1.51-1.64 (m, 4H), 2.35 (t, $J = 7.0$ Hz, 2H), 3.17 (br s, 2H), 3.78 (br s, 2H), 5.09 (d, $J = 11.6$ Hz, 2H), 5.70-5.78 (m, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 22.1, 27.8, 28.6, 33.9, 46.2, 49.7, 79.9, 116.5, 134.4, 155.8, 179.6.

30 **Preparation of 4-(allyl(*tert*-butoxycarbonyl)amino)butanoic acid (2.1).** ^1H NMR (400 MHz, CDCl_3) δ 1.44 (s, 9H), 1.83 (dt, 2H), 2.34 (t, $J = 7.2$ Hz, 2H), 3.24 (br s, 2H), 3.79 (br s, 2H), 5.08-5.12 (m, 2H), 5.71-5.80 (m, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 23.5, 28.6, 31.4, 45.8, 49.7, 80.1, 116.7, 134.1, 155.9, 178.9.

6-(allyl(*tert*-butoxycarbonyl)amino)hexanoic acid (2.3). ^1H NMR (400 MHz, CDCl_3) δ 1.30 (dt, $J = 7.6$ Hz, 2H), 1.43 (s, 9H), 1.83 (dt, $J = 7.6$ Hz, 2H), 1.63 (dt, $J = 7.6$ Hz, 2H), 2.33 (t, $J = 7.4$ Hz, 2H), 3.15 (t, $J = 7.0$ Hz, 2H), 3.77 (br s, 2H), 5.07-5.11 (m, 2H), 5.70-5.80 (m, 1H), 10.02 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 24.6, 26.4, 28.1, 28.6, 34.2, 46.6, 49.7, 79.7, 116.3, 134.5, 155.8, 179.7.

Preparation of 2-(anthracen-9-yl)ethyl 5-(allyl(*tert*-butoxycarbonyl)amino)-pentanoate (3.2). **2.2** (255 mg, 0.99 mmol) and 2-(9-anthracenyl)ethanol (**11**) (196 mg, 0.88 mmol) were dissolved in dry CH_2Cl_2 (8 mL) with stirring. *N,N'*-Diisopropylcarbodiimide (DIC) (211.4 μL , 1.35 mmol) was added to the reaction solution followed by cat. 4-dimethylaminopyridine (DMAP). After 3 h, the white solids were filtered out and the filter cake was washed with CH_2Cl_2 . The filtrate was condensed *in vacuo* and the crude material was purified by column chromatography (SiO_2 , 0:100 to 1:19, EtOAc: CH_2Cl_2 gradient) to give a yellow oil **3.2** (242 mg, 59%). R_f : 0.46 (1:19, EtOAc: CH_2Cl_2); FT-IR 3058, 2981, 1729, 1685 cm^{-1} ; ^1H NMR (400 MHz, CDCl_3) δ 1.46 (s, 9H), 1.50-1.61 (m, 4H), 2.33 (t, $J = 7.4$ Hz, 2H), 3.16 (br s, 2H), 3.79 (br s, 2H), 3.97 (t, $J = 7.8$ Hz, 2H), 4.48 (t, $J = 7.8$ Hz, 2H), 5.11 (d, $J = 11.6$ Hz, 2H), 5.72-5.82 (m, 1H), 7.45-7.49 (m, 2H), 7.51-7.59 (m, 2H), 8.01 (d, $J = 8.4$ Hz, 2H), 8.34 (d, $J = 9.2$ Hz, 2H), 8.39 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 22.3, 27.5, 27.9, 28.6, 34.2, 46.3, 49.9, 64.3, 79.6, 116.2, 124.3, 125.1, 126.2, 127.0, 129.2, 129.4, 130.5, 131.7, 134.5, 155.7, 173.8.

Preparation of 2-(anthracen-9-yl)ethyl 4-(allyl(*tert* butoxycarbonyl)-amino)butanoate (3.1). FT-IR 3058, 2981, 1730, 1685 cm^{-1} ; ^1H NMR (400 MHz, CDCl_3) δ 1.45 (s, 9H), 1.81 (br s, 2H), 2.31 (br s, 2H), 3.17 (br s, 2H), 3.78 (br s, 2H), 3.98 (t, $J = 7.8$ Hz, 2H), 4.48 (t, $J = 7.8$ Hz, 2H), 5.07-5.12 (m, 2H), 5.71-5.80 (m, 1H), 7.45-7.49 (m, 2H), 7.53-7.56 (m, 2H), 8.00 (d, $J = 8.4$ Hz, 2H), 8.34 (d, $J = 8.8$ Hz, 2H), 8.38 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 23.7, 27.5, 28.6, 31.7, 45.8, 49.9, 64.4, 79.8, 116.7, 124.3, 125.1, 126.2, 127.0, 129.2, 129.4, 130.5, 131.7, 134.3, 155.7, 173.5.

Preparation of 1-(anthracen-9-yl)propan-2-yl 4-(allyl(*tert*-butoxycarbonyl)amino)butanoate (Boc-protected 15). FT-IR 3058, 2980, 1726, 1686 cm^{-1} ; ^1H NMR (400 MHz, CDCl_3) δ 1.29 (br s, 3H), 1.44 (s, 9H), 1.64 (br s, 2H), 2.18 (br s, 2H), 3.03 (br s, 2H), 3.69 (br s, 2H), 3.78 (dd, $J = 7.2$ Hz, 1H), 4.00 (dd, $J = 7.2$ Hz, 1H),

5.03-5.09 (m, 2H), 5.29-5.44 (m, 1H), 5.66-5.76 (m, 1H), 7.44-7.48 (m, 2H), 7.52-7.56 (m, 2H), 7.99 (d, $J = 8.4$ Hz, 2H), 8.37 (s, 1H), 8.39 (d, $J = 9.2$ Hz, 2H); ^{13}C NMR (100 MHz, CDCl_3) δ 20.2, 23.8, 28.6, 31.9, 33.8, 45.8, 49.9, 72.2, 79.7, 116.7, 125.0, 125.1, 126.0, 127.0, 129.4, 130.0, 130.8, 131.7, 134.3, 155.6, 173.0; FT-ICR-MS calcd for $\text{C}_{24}\text{H}_{28}\text{NO}_2^+$ [M - *t*-BuCO₂ + 2H]⁺ (m/z) 362.2115, found 362.2124.

Preparation of 2-(anthracen-9-yl)ethyl 6-(allyl(*tert*-

butoxycarbonyl)amino)hexanoate (3.3). FT-IR 3058, 2936, 1730, 1684 cm^{-1} ; ^1H NMR (400 MHz, CDCl_3) δ 1.26 (dt, $J = 7.8$ Hz, 2H), 1.42-1.54 (m, 11H), 1.58-1.64 (dt, $J = 7.6$ Hz, 2H), 2.31 (t, $J = 7.6$ Hz, 2H), 3.15 (br s, 2H), 3.80 (br s, 2H), 3.97 (t, $J = 7.8$ Hz, 2H), 4.47 (t, $J = 8.0$ Hz, 2H), 5.11 (d, $J = 12.0$ Hz, 2H), 5.73-5.83 (m, 1H), 7.45-7.48 (m, 2H), 7.52-7.56 (m, 2H), 8.00 (d, $J = 8.4$ Hz, 2H), 8.34 (d, $J = 8.8$ Hz, 2H), 8.38 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 24.8, 26.5, 27.5, 28.2, 28.6, 34.4, 46.6, 49.6, 64.2, 79.5, 116.2, 124.3, 125.1, 126.2, 126.8, 126.9, 129.2, 129.4, 130.5, 131.7, 134.6, 155.7, 173.9.

15

Preparation of 2-(anthracen-9-yl)ethyl 5-(allylamino)pentanoate (4.2).

Trifluoroacetic acid (TFA) (0.74 mL, 9.60 mmol) was added to a solution of **3.2** (67.7 mg, 0.147 mmol) in dry CH_2Cl_2 (0.74 mL) at 0 °C and stirred for 1 h. The volatiles were removed *in vacuo* and the remaining residue was diluted with Et_2O (10 mL) and washed with NaHCO_3 (3 x 5 mL). The organic phase was washed with brine (5 mL), dried over Na_2SO_4 , filtered and concentrated *in vacuo* to give a crude material **4.2** (53.0 mg orange oil, 100% yield) that was used in the next step without further purification. R_f : 0.20 (1:9, MeOH: CH_2Cl_2); ^1H NMR (400 MHz, CDCl_3) δ 1.49 (dt, $J = 7.4$ Hz, 2H), 1.64 (dt, $J = 7.6$ Hz, 2H), 1.84 (br s, 1H), 2.32 (t, $J = 7.4$ Hz, 2H), 2.59 (t, $J = 7.2$ Hz, 2H), 3.24 (d, $J = 5.6$ Hz, 2H), 3.97 (t, $J = 7.8$ Hz, 2H), 4.48 (t, $J = 7.8$ Hz, 2H), 5.11 (d, $J = 10.4$ Hz, 1H), 5.18 (d, $J = 17.2$ Hz, 1H), 5.86-5.96 (m, 1H), 7.47 (t, $J = 7.4$ Hz, 2H), 7.55 (t, $J = 7.6$ Hz, 2H), 8.01 (d, $J = 8.4$ Hz, 2H), 8.34 (d, $J = 9.6$ Hz, 2H), 8.38 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 22.8, 27.5, 29.5, 34.3, 48.9, 52.5, 64.3, 116.4, 124.3, 124.6, 125.1, 126.2, 126.9, 129.4, 130.5, 131.7, 136.7, 173.9; FT-ICR-MS calcd for $\text{C}_{24}\text{H}_{28}\text{NO}_2^+$ [M + H]⁺ (m/z) 362.2115, found 362.2141.

30

Preparation of 2-(anthracen-9-yl)ethyl 4-(allylamino)butanoate (4.1). ^1H NMR (400 MHz, CDCl_3) δ 1.64 (br s, 1H), 1.79 (dt, $J = 7.2$ Hz, 2H), 2.37 (t, $J = 7.2$ Hz, 2H),

2.59 (t, $J = 7.2$ Hz, 2H), 3.21 (d, $J = 6.4$ Hz, 2H), 3.97 (t, $J = 7.8$ Hz, 2H), 4.48 (t, $J = 7.8$ Hz, 2H), 5.09 (d, $J = 10.0$ Hz, 1H), 5.17 (dd, $J = 17.2, 1.4$ Hz, 1H), 5.83-5.93 (m, 1H), 7.45-7.49 (m, 2H), 7.52-7.55 (m, 2H), 8.01 (d, $J = 8.4$ Hz, 2H), 8.34 (d, $J = 8.8$ Hz, 2H), 8.38 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 25.3, 27.5, 32.3, 48.6, 52.3, 64.3, 116.3, 124.4, 124.9, 125.7, 126.8, 129.3, 129.5, 130.5, 131.7, 136.7, 173.8; FT-ICR-MS calcd for $\text{C}_{23}\text{H}_{26}\text{NO}_2^+$ $[\text{M} + \text{H}]^+$ (m/z) 348.1958, found 348.1964.

Preparation of 2-(anthracen-9-yl)ethyl 6-(allylamino)hexanoate (4.3). ^1H NMR (400 MHz, CDCl_3) δ 1.32 (dt, $J = 7.8$ Hz, 2H), 1.49 (dt, $J = 7.4$ Hz, 2H), 1.62 (quin, $J = 7.6$ Hz, 2H), 1.77 (br s, 1H), 2.31 (t, $J = 7.6$ Hz, 2H), 2.60 (t, $J = 7.0$ Hz, 2H), 3.26 (d, $J = 5.6$ Hz, 2H), 3.98 (t, $J = 7.8$ Hz, 2H), 4.48 (t, $J = 8.0$ Hz, 2H), 5.10 (d, $J = 10.4$ Hz, 1H), 5.19 (d, $J = 17.6$ Hz, 1H), 5.87-5.97 (m, 1H), 7.45-7.49 (m, 2H), 7.53-7.57 (m, 2H), 8.01 (d, $J = 8.4$ Hz, 2H), 8.34 (d, $J = 8.8$ Hz, 2H), 8.38 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 24.9, 27.0, 27.5, 29.8, 34.4, 49.2, 52.6, 64.2, 116.3, 124.3, 125.1, 126.2, 126.9, 129.3, 129.4, 130.5, 131.7, 136.8, 174.0; FT-ICR-MS calcd for $\text{C}_{25}\text{H}_{30}\text{NO}_2^+$ $[\text{M} + \text{H}]^+$ (m/z) 376.2271, found 376.2278.

Carbonate analogs 8.1 and 8.2

Preparation of *tert*-butyl (2-hydroxyethyl)carbamate (5.1). Et_3N (2.51 mL, 18.0 mmol) was added to a solution of 2-ethanolamine (1.02 g, 16.7 mmol) in dry CH_2Cl_2 (33 mL) with stirring at 0°C . Boc_2O (3.93 g, 18.0 mmol) was then added to the reaction, turning the solution to an opaque white color that slowly cleared as the reaction proceeded. The reaction was stirred for 19 h and then was quenched with sat. NH_4Cl (30 mL) and the aqueous phase was extracted with CH_2Cl_2 (2 x 20 mL). The combined organics were washed with brine (30 mL), dried over Na_2SO_4 , filtered and concentrated *in vacuo* to give a crude material **5.1** (light yellow oil) which was used in the next step without further purification. R_f 0.38 (1:19, $\text{MeOH}:\text{CH}_2\text{Cl}_2$); ^1H NMR (400 MHz, CDCl_3) δ 1.40 (s, 9H), 2.86 (br s, 1H), 3.23 (br s, 2H), 3.63 (br s, 2H), 5.03 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 28.6, 43.3, 62.6, 79.8, 157.0.

Preparation of *tert*-butyl (3-hydroxypropyl)carbamate (5.2). ^1H NMR (400 MHz, CDCl_3) δ 1.42 (s, 9H), 1.64 (dt, $J = 5.8$ Hz, 2H), 3.18 (br s, 1H), 3.25 (dt, $J = 6.4, 5.6$

Hz, 2H), 3.63 (br s, 2H), 4.87 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 28.6, 33.0, 37.1, 59.4, 79.8, 157.3.

Preparation of *tert*-butyl allyl(2-((*tert*-butyldimethylsilyl)oxy)ethyl)carbamate

5 (6.1). *tert*-Butyldimethylsilyl chloride (TBSCl) (3.06 g, 20.3 mmol) was added to a solution of 5.1 (2.70 g, 16.7 mmol), Et_3N (2.85 mL, 20.3 mmol) and imidazole (3.14 g, 46.1 mmol) in dry CH_2Cl_2 (40 mL) with stirring at 0 °C. After 23 h, the reaction was quenched with water (25 mL) and the aqueous phase was extracted with CH_2Cl_2 (2 x 10 mL). The combined organics were washed with sat. NH_4Cl , dried over Na_2SO_4 , filtered
10 and condensed *in vacuo*. The crude material was purified by column chromatography (SiO_2 , 3:7, EtOAc:hexanes) to give the silyl ether *tert*-butyl (2-((*tert*-butyldimethylsilyl)oxy)ethyl)carbamate as a colorless oil (4.52 g, 98%). R_f : 0.67 (1:1, EtOAc:hexanes); ^1H NMR (400 MHz, CDCl_3) δ 0.06 (s, 6H), 0.89 (s, 9H), 1.44 (s, 9H), 3.23 (br s, 2H), 3.65 (br s, 2H), 4.84 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ -5.1, 18.5,
15 26.1, 28.6, 43.1, 62.5, 79.4, 156.2.

The silyl ether (4.52 g, 16.4 mmol) was then added to a slurry of NaH (1.08 g of 60% in mineral oil, 26.9 mmol) in dry THF (36 mL) at 0 °C and stirred for 1 h, and then allyl bromide (3.88 mL, 44.8 mmol) was added dropwise. The slurry was stirred for two days before cooling it to 0 °C and quenching it with water (20 mL). The aqueous phase was
20 extracted with EtOAc (2 x 15 mL). The combined organics were washed with brine (20 mL), dried over Na_2SO_4 , filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO_2 , 1:19, Et_2O :hexanes) to give a light yellow oil 6.1 (4.36 g, 84%). R_f : 0.25 (1:19, Et_2O :hexanes); ^1H NMR (400 MHz, CDCl_3) δ 0.04 (s, 6H), 0.88 (s, 9H), 1.44 (s, 9H), 3.29 (br s, 2H), 3.71 (br s, 2H), 3.89 (br s, 2H), 5.10 (br s,
25 2H), 5.76 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ -5.2, 18.5, 26.1, 28.7, 49.0, 51.6, 62.0, 79.6, 116.5, 134.6, 155.6.

Preparation of *tert*-butyl (3-((*tert*-butyldimethylsilyl)oxy)propyl)carbamate

(silyl ether of 5.2). ^1H NMR (400 MHz, CDCl_3) δ 0.05 (s, 6H), 0.89 (s, 9H), 1.42 (s, 9H),
30 1.68 (dt, $J = 6.0$ Hz, 2H), 3.23 (br s, 2H), 3.70 (t, $J = 5.6$ Hz, 2H), 5.10 (br s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ -3.4, 18.4, 26.1, 28.6, 32.2, 39.4, 62.4, 79.0, 156.2.

Preparation of *tert*-butyl allyl(3-((*tert*-butyldimethylsilyl)oxy)propyl)carbamate (6.2). ¹H NMR (400 MHz, CDCl₃) δ 0.04 (s, 6H), 0.88 (s, 9H), 1.44 (s, 9H), 1.72 (dt, *J* = 6.0 Hz, 2H), 3.23 (br s, 2H), 3.61 (t, *J* = 6.0 Hz, 2H), 3.82 (br s, 2H), 5.10 (d, *J* = 11.2 Hz, 2H), 5.72-5.80 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ -5.1, 26.1, 28.7, 32.0, 44.0, 49.2, 61.0, 79.5, 116.5, 134.6, 155.7.

Preparation of 2-(allyl(*tert*-butoxycarbonyl)amino)ethyl 1*H*-imidazole-1-carboxylate (7.1). TBAF (18.0 mL of a 1 *M* solution, 18.0 mmol) was added dropwise to a solution of **6.1** (4.36 g, 13.8 mmol) in dry THF (28 mL) with stirring at 0 °C. After 20 h, the reaction solution was diluted with Et₂O (50 mL) and washed with sat. NaHCO₃ (3 x 40 mL). The reaction solution was then washed with brine (40 mL), dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 1:1, EtOAc:hexanes) to give the corresponding alcohol *tert*-butyl allyl(2-hydroxyethyl)carbamate as a light yellow oil (2.65 g, 95%). *R_f*: 0.33 (1:1, EtOAc:hexanes); ¹H NMR (400 MHz, CDCl₃) δ 1.45 (s, 9H), 3.07 (br s, 1H), 3.37 (br s, 2H), 3.72 (br s, 2H), 3.84 (br s, 2H), 5.10-5.14 (m, 2H), 5.78 (br s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 28.5, 50.0, 51.4, 62.5, 80.4, 116.6, 134.2, 157.4.

N,N-Diisopropylethylamine (390 μL, 2.24 mmol) was added to a solution of the alcohol (296 mg, 1.47 mmol) in dry CH₂Cl₂ (37 mL) at 0 °C. 1,1'-Carbonyldiimidazole (363 mg, 2.24 mmol) was then added to the cooled solution then the cooling bath was removed, allowing the reaction to slowly come to room temperature. After 24 h, the reaction was washed with water (2 x 20 mL), brine (20 mL), was dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, EtOAc) to give a colorless oil **7.1** (404 mg, 93%). *R_f*: 0.50 (EtOAc); ¹H NMR (400 MHz, CDCl₃) δ 1.41 (s, 9H), 3.59 (br s, 2H), 3.87 (br s, 2H), 4.48 (t, *J* = 5.2 Hz, 2H), 5.11 (d, *J* = 10.8 Hz, 2H), 5.76 (br s, 1H), 7.05 (s, 1H), 7.41 (s, 1H), 8.12 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 28.5, 45.4, 51.0, 66.0, 80.5, 116.7, 117.3, 130.9, 133.9, 137.3, 148.8, 155.7.

Preparation of *tert*-butyl allyl(3-hydroxypropyl)carbamate (desilylated 6.2). ¹H NMR (400 MHz, CDCl₃) δ 1.45 (s, 9H), 1.66 (br s, 2H), 3.37 (br s, 2H), 3.55 (br s, 2H), 3.75 (br s, 2H), 5.12 (d, *J* = 11.2 Hz, 2H), 5.71-5.81 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 28.5, 30.7, 42.6, 50.0, 58.5, 80.4, 116.7, 134.1, 157.1.

Preparation of 3-(allyl(*tert*-butoxycarbonyl)amino)propyl 1*H*-imidazole-1-carboxylate (7.2). ¹H NMR (400 MHz, CDCl₃) δ 1.43 (s, 9H), 2.01 (dt, *J* = 6.6 Hz, 2H), 3.34 (br s, 2H), 3.82 (br s, 2H), 4.43 (t, *J* = 6.4 Hz, 2H), 5.09-5.14 (m, 2H), 5.74-5.81 (m, 1H), 7.06 (s, 1H), 7.41 (s, 1H), 8.12 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 27.7, 28.6,
5 43.5, 50.3, 66.4, 80.2, 116.7, 117.3, 130.9, 134.2, 137.3, 148.8, 155.6.

Preparation of 2-(allylamino)ethyl (2-(anthracen-9-yl)ethyl) carbonate (8.1). **11** (85.5 mg, 0.385 mmol) was added to a solution of **7.1** (120 mg, 0.408 mmol) and 1 pellet of KOH in dry toluene (2 mL) at 60 °C. After 5 h the reaction was concentrated *in vacuo* and the residue was diluted with CH₂Cl₂ (5 mL). The solution was washed with
10 water (3 x 5 mL), dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 1:19 EtOAc:CH₂Cl₂) to give the corresponding carbonate *tert*-butyl allyl(2-(((2-(anthracen-9-yl)ethoxy)carbonyl)oxy)ethyl)carbamate as an orange oil (73.0 mg, 45%). *R*_f: 0.64 (1:19,
15 EtOAc:hexanes); FT-IR 3017, 2971, 1740, 1230 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 1.47 (s, 9H), 3.46 (br s, 2H), 3.95 (br s, 2H), 4.04 (t, *J* = 8.0 Hz, 2H), 4.27 (br s, 2H), 4.50 (t, *J* = 8.2 Hz, 2H), 5.13 (br s, 2H), 5.77 (br s, 1H), 7.44-7.50 (m, 2H), 7.51-7.58 (m, 2H), 8.02 (d, *J* = 8.0 Hz, 2H), 8.34 (d, *J* = 8.8 Hz, 2H), 8.40 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ
20 27.5, 28.6, 45.5, 50.4, 66.2, 67.5, 79.4, 117.1, 124.1, 125.2, 126.4, 127.2, 128.3, 129.5, 130.5, 131.7, 134.1, 155.0, 155.4; FT-ICR-MS calcd for C₂₂H₂₄NO₃⁺ [*M* - *t*-BuCO₂ + 2H]⁺ (*m/z*) 350.1751, found 350.1758. This material was Boc-protected using the procedure outlined for synthesis of **4.2** above to afford carbonate **8.1**.

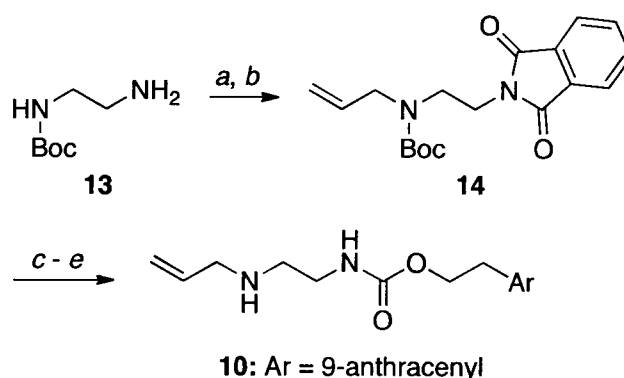
Preparation of 3-(allylamino)propyl (2-(anthracen-9-yl)ethyl) carbonate (8.2).
25 Data for corresponding *N*-Boc analog *tert*-butyl allyl(3-(((2-(anthracen-9-yl)ethoxy)carbonyl)oxy)propyl)carbamate: FT-IR 3017, 2971, 1739, 1229 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 1.47 (s, 9H), 1.92 (br s, 2H), 3.30 (br s, 2H), 3.83 (br s, 2H), 4.05 (t, *J* = 8.0 Hz, 2H), 4.19 (t, *J* = 6.2 Hz, 2H), 4.49 (t, *J* = 8.2 Hz, 2H), 5.13 (d, *J* = 11.2 Hz, 2H), 5.74-5.84 (m, 1H), 7.46-7.50 (m, 2H), 7.54-7.58 (m, 2H), 8.02 (d, *J* = 8.0 Hz, 2H), 8.34 (d,
30 *J* = 8.8 Hz, 2H), 8.40 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 27.6, 28.0, 28.6, 43.9, 49.8, 66.0, 67.3, 79.9, 116.8, 124.1, 125.2, 126.4, 127.2, 128.3, 129.5, 130.5, 131.7, 134.3, 155.5, 155.6; FT-ICR-MS calcd for C₂₃H₂₆NO₃⁺ [*M* - *t*-BuCO₂ + 2H]⁺ (*m/z*) 364.1907,

found 364.1911. This material was Boc-protected using the procedure outlined for synthesis of **4.2** above to afford carbonate **8.2**.

Example 3. Preparation of amide compound **9**.

5 Preparation of 4-(allylamino)-*N*-(2-(anthracen-9-yl)ethyl)butanamide (**9**). DIC (33 μ L, 0.21 mmol) and cat. DMAP were added to a solution of **2.1** (38 mg, 0.15 mmol) and 2-(9-anthracenyl)ethanamine (**12**) (31 mg, 0.14 mmol) in dry CH₂Cl₂ at room temperature with stirring. After 2 h, the white precipitate was filtered out and the filter cake was washed with CH₂Cl₂ and concentrated *in vacuo*. The crude material was purified by
 10 column chromatography (SiO₂, 0:100 to 1:1, EtOAc:hexanes gradient) to give *tert*-butyl allyl(4-((2-(anthracen-9-yl)ethyl)amino)-4-oxobutyl)carbamate as a yellow oil (45 mg, 72%). *R_f*: 0.59 (EtOAc); FT-IR 3445, 3058, 2970, 1679, 1520 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 1.43 (s, 9H), 1.80 (quin, *J* = 6.8 Hz, 2H), 2.11 (br s, 2H), 3.19 (br s, 2H), 3.65-3.70 (m, 2H), 3.76 (br s, 2H), 3.87 (t, *J* = 7.6 Hz, 2H), 5.08-5.11 (m, 2H), 2H), 5.70-5.79
 15 (m, 1H), 6.74 (br s, 1H), 7.43-7.46 (m, 2H), 7.49-7.53 (m, 2H), 7.98 (d, *J* = 8.4 Hz, 2H), 8.34 (br s, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 24.4, 27.9, 28.6, 33.7, 40.6, 45.7, 49.8, 79.9, 116.5, 124.5, 125.1, 126.0, 126.5, 129.3, 130.3, 131.3, 131.7, 134.2, 156.3, 173.1; FT-ICR-MS calcd for C₂₃H₂₇N₂O⁺ [*M* - *t*-BuCO₂ + 2H]⁺ (*m/z*) 347.2118, found 347.2143. This material was Boc-protected using the procedure outlined for synthesis of **4.2** above
 20 to afford amide **9**.

Example 4. Preparation of carbamate compound **10**.



Conditions: *a*. phthalic anhydride, toluene, reflux, 77%; *b*. allyl bromide, NaH, THF, 0 °C
 25 to rt, 58%; *c*. hydrazine monohydrate, 2:1 CH₂Cl₂:EtOH, 0 °C to rt, 92%; *d*.
 ArCH₂CH₂OC(O)Cl, Et₃N, CH₂Cl₂, 0 °C to rt, 12h, 41%; *e*. TFA, CH₂Cl₂, 0 °C, 1h.

Preparation of *tert*-butyl (2-aminoethyl)carbamate (13). Ethylenediamine (9.2 mL, 137.6 mmol) was added dropwise via syringe to dry CH₂Cl₂ (82 mL) at 0 °C with stirring. A solution of Boc₂O (5.60 g, 25.7 mmol) in dry CH₂Cl₂ (76 mL) was added dropwise over 8 h with continued chilling. The reaction was stirred overnight. Precipitated solids were filtered and the filter cake was washed with CH₂Cl₂. The filtrate was condensed *in vacuo*, and then poured into NaHCO₃ solution (75 mL), which produced an exotherm, and shaken. The aqueous solution was extracted with CH₂Cl₂ (3 x 50 mL), and then the combined organics were dried over Na₂SO₄, filtered and condensed *in vacuo* to give a crude material **13** (turbid oil, 4.50 g) which was used in the next step without further purification. *R*_f: 0.24 (10:2:88, MeOH:NH₄OH:CH₂Cl₂); ¹H NMR (400 MHz, CDCl₃) δ 1.40 (s, 9H), 2.76 (br s, 2H), 3.14 (br s, 2H), 5.05 (br s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 28.5, 41.9, 43.4, 79.3, 156.4.

Preparation of *tert*-butyl allyl(2-(1,3-dioxoisindolin-2-yl)ethyl)carbamate (14). Phthalic anhydride (4.18 g, 28.2 mmol) was added to a solution of **13** (4.11 g, 25.7 mmol) in toluene (86 mL) with stirring and the reaction flask was fitted with a Dean-Stark apparatus. The mixture was heated to reflux for 6.5 h with the phthalic anhydride slowly going into solution. After the heat was removed, the solution was allowed to stir overnight where a precipitate dropped out of solution. The mixture was washed with water (3 x 50 mL) and the combined aqueous phases were extracted with EtOAc (2 x 30 mL). The combined organics were washed with brine (50 mL), dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 1:19 to 1:1, EtOAc:CH₂Cl₂ gradient) to give the corresponding phthalimide *tert*-butyl (2-(1,3-dioxoisindolin-2-yl)ethyl)carbamate as a white solid (5.76 g, 77%). *R*_f: 0.27 (1:19, EtOAc:hexanes); ¹H NMR (400 MHz, CDCl₃) δ 1.33 (s, 9H), 3.42 (br s, 2H), 3.82 (t, *J* = 5.6 Hz, 2H), 4.83 (br s, 1H), 7.70-7.72 (m, 2H), 7.82-7.86 (m, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 28.4, 38.3, 39.8, 79.7, 123.5, 132.3, 134.2, 156.2, 168.7.

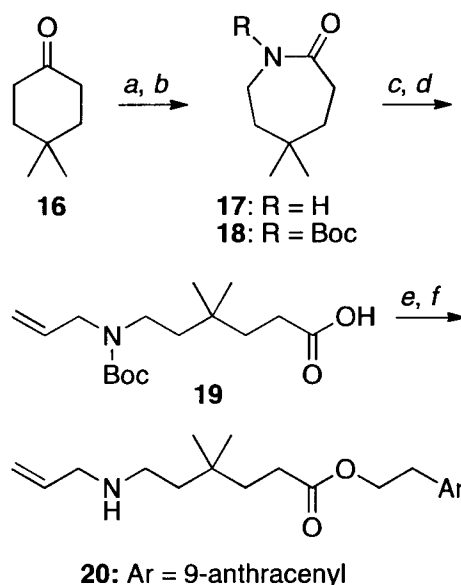
The phthalimide (5.76 g, 19.8 mmol) was added to a slurry of NaH (1.59 g of 60% in mineral oil, 39.7 mmol) in dry THF (83 mL) at 0 °C and stirred for 1 h, and then allyl bromide (2.23 mL, 25.8 mmol) was added dropwise. The slurry was stirred for three days, then forward quenched into water (50 mL) and the aqueous phase was extracted with EtO₂ (2 x 35 mL). The combined organics were washed with brine (50 mL), dried over Na₂SO₄,

filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 1:19, EtOAc:CH₂Cl₂) to give a white solid **14** (3.77 g, 58%). *R*_f: 0.55 (1:19, EtOAc:hexanes); ¹H NMR (400 MHz, CDCl₃) δ 1.27 (s, 9H), 3.46 (br s, 2H), 3.81 (br s, 2H), 3.87 (br s, 2H), 5.01-5.13 (m, 2H), 5.71-5.77 (m, 1H), 7.70 (br s, 2H), 7.82 (br s, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 28.2, 44.5, 49.3, 50.2, 80.1, 117.2, 123.4, 132.3, 133.9, 134.2, 155.3, 168.3.

Preparation of 2-(anthracen-9-yl)ethyl (2-(allylamino)ethyl)carbamate (10).

Hydrazine monohydrate (147 μL, 3.03 mmol) was added to a solution of **14** (217 mg, 0.66 mmol) in 2:1 CH₂Cl₂:EtOH (6 mL) with stirring at 0 °C. The reaction was stirred for 18 h, allowing it to warm slowly to room temperature. The white precipitate was then filtered and the filter cake was washed with CH₂Cl₂ and concentrated *in vacuo*. The concentrate was diluted with CH₂Cl₂ and the precipitate was filtered, the cake washed with CH₂Cl₂ and concentrated *in vacuo* again to give the corresponding amine *tert*-butyl allyl(2-aminoethyl)carbamate as a light yellow oil (122 mg, 92%) which was used in the next step without further purification. *R*_f: 0.47 (10:2:88, MeOH:NH₄OH:CH₂Cl₂); ¹H NMR (500 MHz, CDCl₃) δ 1.40 (s, 2H), 1.43 (s, 9H), 2.79 (t, *J* = 5.0 Hz, 2H), 3.22 (br s, 2H), 3.81 (br s, 2H), 5.09-5.12 (m, 2H), 5.74-5.79 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 28.6, 40.8, 50.1, 79.8, 116.4, 134.3, 156.0.

The amine (188 mg, 0.94 mmol) was added dropwise with stirring to a solution of ClC(O)OCH₂CH₂(9-anthracenyl) (303 mg, 1.06 mmol) in dry CH₂Cl₂ (3.5 mL) at 0 °C. After 10 minutes, Et₃N (148 μL, 1.06 mmol) was added dropwise to the reaction, causing the solution to darken, and was stirred for 17 h. The reaction was quenched with sat. NH₄Cl (5 mL) and the aqueous phase was extracted with CH₂Cl₂ (5 mL). Combined organics were dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 5:1:4, CH₂Cl₂:hexanes:EtOAc) to give a yellow gum **1.6** (171 mg, 41%). *R*_f: 0.33 (1:19, EtOAc:CH₂Cl₂); FT-IR 3449, 3058, 2971, 1724, 1514 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 1.46 (s, 9H), 3.13 (br s, 2H), 3.36 (br s, 2H), 3.83 (br s, 2H), 3.97 (t, *J* = 8.0 Hz, 2H), 4.43 (br s, 2H), 5.10-5.15 (m, 2H), 5.75-5.81 (m, 1H), 7.44-7.48 (m, 2H), 7.52-7.56 (m, 2H), 8.00 (d, *J* = 8.4 Hz, 2H), 8.35-8.37 (m, 3H); ¹³C NMR (100 MHz, CDCl₃) δ 28.1, 28.5, 40.5, 46.2, 50.6, 64.6, 80.3, 116.6, 124.4, 125.1, 126.1, 126.8, 129.3, 130.5, 131.7, 134.0, 155.3, 157.0; FT-ICR-MS calcd for C₂₂H₂₅N₂O₂⁺ [M - *t*-BuCO₂ + 2H]⁺ (*m/z*) 349.1910, found 349.1913.

Example 5. Preparation of *gem*-dimethyl ester compound **20**.

5

Conditions: *a.* H₂NOSO₃H, HCO₂H, reflux, 73%; *b.* Boc₂O, DMAP, THF, reflux, 4h, 68%;
c. LiOH, H₂O, THF, 60 °C, 96%; *d.* allyl bromide, NaH, THF, 0 °C to rt, 68%; *e.* **11**, DIC,
 cat. DMAP, CH₂Cl₂, 12h, 87%; *f.* TFA, CH₂Cl₂, 0 °C, 1h.

10

Preparation of 4,4-dimethylcyclohexanone (16). 10 % Pd/C (10 mg, 0.0094 mmol) was added to a solution of 4,4-dimethyl-2-cyclohexen-1-one (1.52 g, 12.2 mmol) in hexanes (15 mL). The atmosphere was purged with H₂ and sealed with a H₂ balloon attached. After 48 h the reaction was filtered through Celite and the filter cake was rinsed
 15 with hexanes. The filtrate was concentrated *in vacuo* to give a crude material **16** (1.29 g white crystals, 83%) which was used in the next step without further purification. *R_f*: 0.46 (1:3, EtOAc:Hexanes); ¹H NMR (400 MHz, CDCl₃) δ 1.09 (s, 6H), 1.66 (t, *J* = 6.0 Hz, 4H), 2.33 (t, *J* = 6.0 Hz, 4H); ¹³C NMR (100 MHz, CDCl₃) δ 27.7, 30.1, 38.2, 39.3, 212.8.

20

Preparation of 5,5-dimethylazepan-2-one (17). A solution of **16** (1.29 g, 10.2 mmol) in formic acid (10 mL) was added dropwise to a solution of hydroxylamine-*O*-sulfonic acid (1.73 g, 15.3 mmol) in formic acid (7 mL) and allowed to stir at room temperature for 15 min. The reaction flask was then heated to reflux. After 24 h, the reaction solution was cooled to room temperature and quenched with NaOH (40 mL of a
 25 10 *N* solution). The aqueous mixture was extracted with chloroform (4 x 20 mL) and the

combined organics were washed with water (2 x 10 mL) and brine (10 mL). The solution was dried over MgSO₄, filtered and concentrated *in vacuo* to give a crude material **17** (1.06 g brown crystals, 73%) which was used in the next step without further purification. *R_f*: 0.13 (EtOAc); ¹H NMR (400 MHz, CDCl₃) δ 0.97 (s, 6H), 1.42-1.44 (m, 2H), 1.48-1.50 (m, 2H), 2.39-2.42 (m, 2H), 3.15 (q, *J* = 5.2 Hz, 2H), 6.50 (br s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 29.0, 32.0, 33.0, 36.0, 38.3, 42.4, 179.1.

Preparation of *tert*-butyl 5,5-dimethyl-2-oxoazepane-1-carboxylate (18). Di-*tert*-butyl dicarbonate (1.54 g, 7.01 mmol) and DMAP (856 mg, 7.01 mmol) were added to a solution of **17** (899 mg, 6.37 mmol) in dry THF (16 mL) at rt. After purging the head-space with N₂, the reaction was heated to reflux. After 2.5 h the reaction was cooled to room temperature and the volatiles were removed *in vacuo* and the crude material was purified by column chromatography (SiO₂, 1:9 to 3:7, EtOAc:hexanes gradient) to give yellow crystals **18** (1.05 g, 68%). *R_f*: 0.68 (EtOAc); ¹H NMR (400 MHz, CDCl₃) δ 0.94 (s, 6H), 1.46-1.49 (m, 11H), 1.52-1.55 (m, 2H), 2.54-2.57 (m, 2H), 3.67-3.70 (m, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 28.2, 28.8, 32.2, 35.2, 36.5, 41.8, 41.9, 83.0, 153.0, 175.8.

Preparation of 6-(allyl(*tert*-butoxycarbonyl)amino)-4,4-dimethylhexanoic acid (19). Lithium hydroxide monohydrate (340 mg, 8.10 mmol) was added to a solution of **18** (888 mg, 3.68 mmol) in 2:1 THF:H₂O (18 mL) and the reaction was heated to 60 °C. After 4 h the reaction was cooled to room temperature and partitioned between Et₂O and H₂O and the organic layer separated. The aqueous phase was acidified to pH ~4 with 10 % HCl and extracted with EtOAc (3 x 15 mL). The combined organics were washed with brine (15 mL), dried over Na₂SO₄, filtered and concentrated *in vacuo* to give the corresponding amino acid 6-((*tert*-butoxycarbonyl)amino)-4,4-dimethylhexanoic acid as a yellow crystal (912 mg, 96%) which was used in the next step without further purification. *R_f*: 0.29 (1:1, EtOAc:Hexanes with 0.5 % AcOH); ¹H NMR (400 MHz, CDCl₃) δ 1.37-1.43 (m, 11H), 1.58 (t, *J* = 8.4 Hz, 2H), 2.30 (t, *J* = 8.4 Hz, 2H), 3.11 (br s, 2H), 4.49 (br s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 26.9, 28.6, 29.5, 32.1, 36.6, 36.9, 41.6, 79.5, 156.2, 179.7.

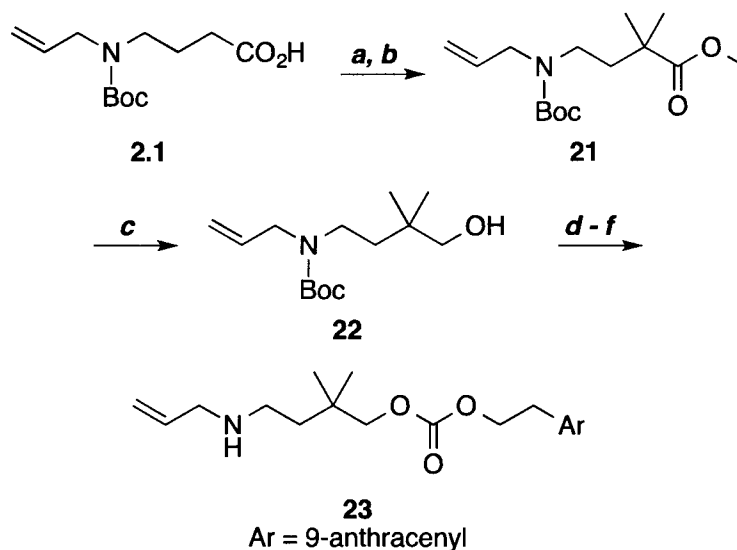
The crude amino acid (912 mg, 3.52 mmol) was added to a slurry of 60% NaH (703 mg, 17.6 mmol) in dry THF (18 mL) at 0 °C. After one-hour of stirring, allyl bromide (912 μL, 10.5 mmol) was added dropwise. After 24 h, the reaction mixture was cooled to 0 °C and quenched with water until the reaction became transparent. The reaction was acidified

to pH ~3 with HCl (1 M solution) and the layers were separated. The aqueous phase was extracted with EtOAc (3 x 10 mL) and the combined organics washed with brine (15 mL), dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 1:1, EtOAc:hexanes with 0.5% AcOH) to give a light yellow oil **19** (712 mg, 68%). *R_f*: 0.43 (1:1, EtOAc:hexanes with 0.5% AcOH); ¹H NMR (400 MHz, CDCl₃) δ 0.88 (s, 6H), 1.39-1.44 (m, 11H), 1.56 (t, *J* = 8.0 Hz, 2H), 2.31 (t, *J* = 8.2 Hz, 2H), 3.14 (br s, 2H), 3.80 (br s, 2H), 5.11 (d, *J* = 11.6 Hz, 2H), 5.71-5.81 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 26.8, 28.7, 29.5, 32.0, 36.4, 39.5, 42.8, 49.6, 79.8, 116.8, 134.6, 155.6, 180.2.

10

Preparation of 2-(anthracen-9-yl)ethyl 6-(allylamino)-4,4-dimethylhexanoate (20). **19** (370 mg, 1.24 mmol) and **11** (249 mg, 1.12 mmol) were dissolved in dry CH₂Cl₂ (10 mL) with stirring. DIC (264 μL, 1.69 mmol) was added to the reaction solution followed by cat. DMAP. After 16 h, the white solids were filtered out and the filter cake was washed with CH₂Cl₂. The filtrate was condensed *in vacuo* and the crude material was purified by column chromatography (SiO₂, 0:100 to 1:19, EtOAc:CH₂Cl₂ gradient) to give the corresponding ester 2-(anthracen-9-yl)ethyl 6-(allyl(*tert*-butoxycarbonyl)amino)-4,4-dimethylhexanoate as a yellow oil (494 mg, 87%). *R_f*: 0.58 (1:19, EtOAc:CH₂Cl₂); FT-IR 3058, 2963, 1728, 1684 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 0.87 (s, 6H), 1.39 (br s, 2H), 1.46 (s, 9H), 1.51 (t, *J* = 8.4 Hz, 2H), 2.28 (t, *J* = 8.2 Hz, 2H), 3.12 (br s, 2H), 3.81 (br s, 2H), 3.98 (t, *J* = 7.8 Hz, 2H), 4.48 (t, *J* = 7.8 Hz, 2H), 5.12 (d, *J* = 11.6 Hz, 2H), 5.73-5.82 (m, 1H), 7.46-7.49 (m, 2H), 7.53-7.57 (m, 2H), 8.02 (d, *J* = 8.4 Hz, 2H), 8.34 (d, *J* = 9.2 Hz, 2H), 8.39 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 26.8, 27.5, 28.7, 29.8, 32.0, 36.6, 39.2, 42.8, 49.6, 53.6, 64.3, 79.6, 116.6, 124.3, 125.2, 126.2, 127.0, 129.5, 130.5, 131.7, 134.7, 155.5, 174.5; FT-ICR-MS calcd for C₂₇H₃₄NO₂⁺ [M - *t*-BuCO₂ + 2H]⁺ (*m/z*) 404.2584, found 404.2588.

25

Example 6. Preparation of gem-dimethyl carbonate compound **23**.

5

^aConditions: *a.* MeOH, DIC, cat. DMAP, rt, 80%;
b. LiHMDS, MeI, THF, -78 °C to rt, 67%; *c.* LiBH₄, THF, 0
 °C to rt, 73%; *d.* (imid)₂C=O, (*i*-Pr)₂NEt, CH₂Cl₂, 0 °C to rt,
 92%; *e.* 2-(9-anthracenyl)ethanol, NaH, THF, -5 °C to rt,
 48%; *f.* TFA, CH₂Cl₂, 0 °C, 1h, 100%.

10

Preparation of Methyl 4-(allyl(*tert*-butoxycarbonyl)amino)-2,2-

dimethylbutanoate (21). DIC (837 μ L, 5.34 mmol), followed by cat. DMAP, was added
 15 to a solution of **S2.1** (631 mg, 2.59 mmol) and dry MeOH (173 μ L, 4.28 mmol) in dry
 CH₂Cl₂ (32 mL) at rt. After 12 h the white precipitate was filtered out and the filter cake
 was washed with CH₂Cl₂, and the filtrate was concentrated *in vacuo*. The crude material
 was purified by column chromatography (SiO₂, 1:1:8, EtOAc:THF:hexanes) to give the
 ester methyl 4-(allyl(*tert*-butoxycarbonyl)amino)butanoate as a pale yellow solid (532 mg,
 20 80%). *R*_f 0.65 (1:1:8, EtOAc:THF:hexanes); ¹H NMR (400 MHz, CDCl₃) δ 1.44 (s, 9H),
 1.82 (dt, *J* = 7.0 Hz, 2H), 2.30 (t, *J* = 7.4 Hz, 2H), 3.20 (br s, 2H), 3.65 (s, 3H), 3.79 (br s,
 2H), 5.10 (d, *J* = 12.8 Hz, 2H), 5.72-5.80 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 23.7,
 28.6, 31.4, 45.9, 49.7, 51.8, 79.8, 116.7, 134.3, 155.7, 173.8.

The methyl ester (532 mg, 2.06 mmol) was dissolved in dry THF (10 mL) and
 25 cooled to -78 °C with stirring. A solution of Lithium bis(trimethylsilyl)amide (LiHMDS)
 in THF (6.20 mL of 1 M solution, 6.20 mmol) was added dropwise to the reaction solution

and allowed to stir for 1 h. Methyl iodide (772 μL , 12.4 mmol) was then added dropwise and the reaction was stirred overnight, while slowly coming to rt. After 22 h, the reaction was cooled to 0 °C and quenched with water (5 mL), followed by 1 M HCl (5 mL). The phases were separated and the aqueous phase was extracted with Et₂O (3 x 15 mL). The combined organics were washed with NaHCO₃ (10 mL) and brine (10 mL) and were then dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 1:4 EtOAc:hexanes) to give a pale yellow solid **21** (397 mg, 67%). *R_f* 0.48 (1:4, EtOAc:hexanes); ¹H NMR (400 MHz, CDCl₃) δ 1.18 (s, 6H), 1.44 (s, 9H), 1.74 (br s, 2H), 3.11 (br s, 2H), 3.65 (s, 3H), 3.79 (br s, 2H), 5.10 (d, *J* = 10.8 Hz, 2H), 5.70-5.79 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 25.3, 28.6, 38.1, 41.1, 43.1, 49.4, 51.9, 79.7, 116.1, 134.2, 155.5, 178.0.

Preparation of *tert*-butyl allyl(4-hydroxy-3,3-dimethylbutyl)carbamate (22).

LiBH₄ (45 mg, 2.08 mmol) was added to a solution of **21** (265 mg, 0.93 mmol) in dry THF (21 mL) at 0 °C. After 5 minutes of stirring the reaction was warmed to room temperature and stirred overnight. The reaction was then carefully quenched with NH₄Cl (25 mL) and extracted with Et₂O (3 x 10 mL). The combined organics were washed with brine (15 mL), dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, 1:1 EtOAc:hexanes) to give a colorless oil **22** (175 mg, 73%). *R_f* 0.47 (1:1, EtOAc:hexanes); ¹H NMR (400 MHz, CDCl₃) δ 0.86 (s, 6H), 1.43-1.49 (m, 11H), 2.89 (br s, 1H), 3.13-3.17 (m, 2H), 3.32 (s, 2H), 3.78 (br s, 2H), 5.09-5.13 (m, 2H), 5.71-5.81 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 24.4, 28.6, 34.7, 36.5, 42.9, 50.4, 70.8, 79.8, 116.4, 134.6, 155.8.

Preparation of 4-(allylamino)-2,2-dimethylbutyl (2-(anthracen-9-yl)ethyl) carbonate (23).

N,N-Diisopropylethylamine (304 μL , 1.74 mmol) was added to a solution of **22** (251 mg, 0.98 mmol) in dry CH₂Cl₂ (25 mL) at 0 °C. 1,1'-Carbonyldiimidazole (283 mg, 1.74 mmol) was then added to the solution and it was warmed to rt. After 24 h, the reaction was washed with water (2 x 10 mL), brine (10 mL), was dried over Na₂SO₄, filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO₂, EtOAc) to give the imidazole carbamate as a colorless oil (316 mg, 92%). *R_f* 0.61 (EtOAc); ¹H NMR (400 MHz, CDCl₃) δ 1.01 (s, 6H), 1.42 (s, 9H), 1.56 (t, *J* = 7.8 Hz, 2H), 3.21 (br s, 2H), 3.76 (br s, 2H), 4.12 (s, 2H), 5.07-5.09 (m, 2H), 5.70-5.79

(m, 1H), 7.07 (s, 1H), 7.42 (s, 1H), 8.13 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 24.2, 28.6, 33.7, 36.7, 42.5, 50.0, 75.9, 79.8, 116.4, 117.2, 130.9, 134.5, 137.2, 148.9, 155.4.

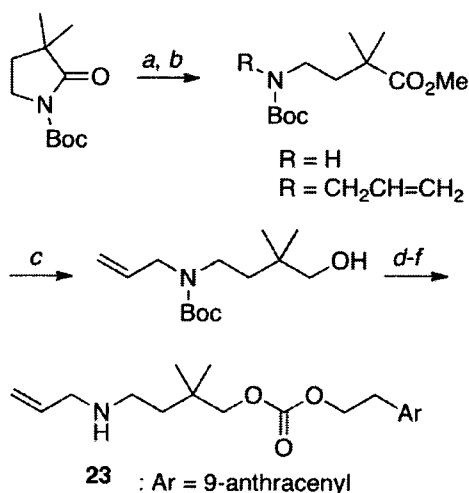
A solution of 2-(9-anthracenyl)ethanol (191 mg, 0.86 mmol) in dry THF (1 mL) was added dropwise to a slurry of NaH (103 mg of 60% in mineral oil, 2.57 mmol) in dry THF (5 mL) at $-5\text{ }^\circ\text{C}$ and stirred for 30 minutes. A solution of the imidazole carbamate (316 mg, 0.90 mmol) in dry THF (1 mL) was then added dropwise to the reaction mixture. The reaction was stirred overnight, then the mixture was filtered through Celite and the filter cake was washed with Et_2O . The filtrate was washed with water (2 x 10 mL) and the combined aqueous layers were extracted with Et_2O (3 x 10 mL). The combined organic phases were washed with brine, dried over Na_2SO_4 , filtered and concentrated *in vacuo*. The crude material was purified by column chromatography (SiO_2 , 1:19 $\text{EtOAc}:\text{CH}_2\text{Cl}_2$) to give **23** as a yellow oil (207 mg, 48%). R_f 0.64 (1:19, $\text{EtOAc}:\text{CH}_2\text{Cl}_2$); FT-IR: 3008, 2974, 1743, 1685, 1256 cm^{-1} ; ^1H NMR (400 MHz, CDCl_3) δ 0.98 (s, 6H), 1.46 (s, 9H), 1.53 (t, $J = 7.8$ Hz, 2H), 3.19 (br s, 2H), 3.77-3.82 (m, 2H), 3.90 (s, 2H), 4.05 (t, $J = 8.0$ Hz, 2H), 4.50 (t, $J = 8.4$ Hz, 2H), 5.10-5.13 (m, 2H), 5.74-5.80 (m, 1H), 7.45-7.49 (m, 2H), 7.53-7.57 (m, 2H), 8.01 (d, $J = 8.4$ Hz, 2H), 8.34 (d, $J = 9.2$ Hz, 2H), 8.40 (s, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 24.1, 27.6, 28.7, 33.5, 37.1, 42.5, 49.7, 67.3, 76.2, 79.7, 116.2, 124.1, 125.2, 126.4, 127.2, 128.3, 129.5, 130.5, 131.7, 134.5, 155.5, 155.7; FT-ICR-MS calcd for $\text{C}_{26}\text{H}_{32}\text{NO}_3^+$ [$\text{M} - t\text{-BuCO}_2 + 2\text{H}$] $^+$ (m/z) 406.2377, found 406.2379.

20

Example 7. Alternative preparation of compound **23**.

Compound **23** can also be prepared according to the synthetic sequence outlined below.

25



Conditions: *a.* NaOMe, MeOH, 0 °C; *b.* allyl bromide, NaH, THF, 0 °C to rt; *c.* LiBH₄, THF, rt; *d.* (imid)₂C=O, (*i*-Pr)₂NEt, CH₂Cl₂, 0 °C to rt; *e.* 2-(9-anthracenyl)ethanol, KOH, toluene, 60 °C; *f.* TFA, CH₂Cl₂, 0 °C, 1h.

Example 8. Heat induced release of drug surrogates (e.g., alcohol 11 and 12) from linkers.

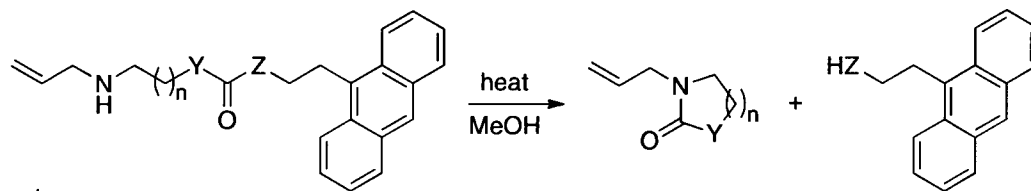
General procedure

Methanol (MeOH) was used as a solvent to provide a protic solvent capable of hydrogen bonding. The amine-containing linker compounds, formed on Boc-deprotection with basic work-up (compounds 4.1-3, 8.1-2, 9, 10), were diluted with MeOH (2 mL, ~0.01 M). An aliquot was removed to serve as a room temperature control. Heat was applied to the MeOH solutions using an oil bath. The temperature was set at 55 °C, and the solutions were heated for a total of 24 h. Aliquots were taken at *t* = 0.25, 0.50, 0.75, 1, 2, 3, and 24 h into the reaction. On sampling, the aliquots were, immediately stored at -5 °C. On completion of the experiment, the samples were warmed to room temperature, filtered and dried under reduced pressure (3.5 h duration) and then returned to -5 °C. Each sample was then removed individually from the freezer and diluted with 400 μL CH₂Cl₂ before injecting 50 μL into an HPLC fitted with a normal phase silica column (Waters Nova-Pak HR Silica, 6 μm, 60 Å, 3.9 x 300 mm prep column; 1% MeOH in CH₂Cl₂ with 0.1% Et₃N). HPLC analysis enabled quantification of released 11 or 12. Schemes 3 and 4 depict the intramolecular cyclization processes to release the drug surrogate (e.g., 11 or 12). Table 1 shows the percent release of alcohol 11 or amine 12 upon heating. Figure 12 graphically

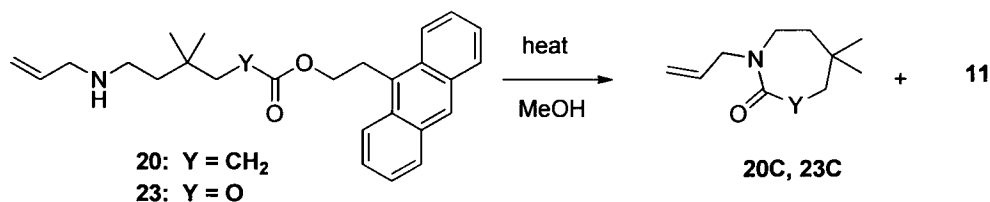
illustrates the heat-induced release of alcohol **11** (Table 1). The substrates were heated as methanol solutions (*ca.* 0.01 M) at 55 °C for the indicated times as described above.

5

Scheme 3. Evaluation of linkers.

*ester***4.1:** Y = CH₂, Z = O, n = 1 (to 5-ring)**4.2:** Y = CH₂, Z = O, n = 2 (to 6-ring)**4.3:** Y = CH₂, Z = O, n = 3 (to 7-ring)*carbonate***8.1:** Y, Z = O, n = 1 (to 5-ring)**8.2:** Y, Z = O, n = 2 (to 6-ring)*amide***9:** Y = CH₂, Z = NH, n = 1 (to 5-ring)*carbamate***10:** Y = NH, Z = O, n = 1 (to 5-ring)**4.1c - 4.3c****8.1c - 8.2c****9c****10c****11:** Z = O**12:** Z = NH

Scheme 4. Evaluation of linkers

**20:** Y = CH₂**23:** Y = O**20c, 23c****11**

10

Table 1. Heat-induced release of **11** or **12** (Schemes 3 and 4). Shown are the standard deviation from the mean (n = 3).

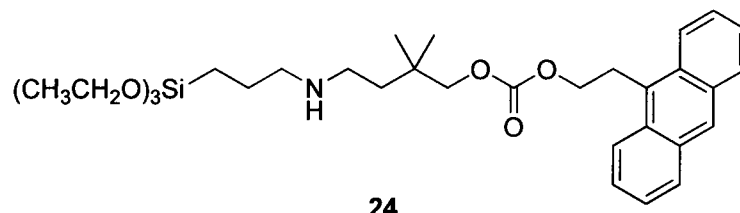
Entry	Substrate (ring size)	% release after heating (55 °C) at time (h)							% release at rt, 3.5h
		0.25	0.5	0.75	1	2	3	24h	
1	ester 4.1 (5)	24.6 ±3.1	29.2 ±1.7	33.4 ±2.5	36.6 ±2.6	44.4 ±3.7	50.2 ±4.2	94.0 ±1.8	20.2 ±3.1
2	ester 4.2 (6)	20.9 ±1.9	21.6 ±3.5	23.0 ±3.0	25.0 ±2.1	30.5 ±1.3	36.5 ±1.2	75.7 ±3.2	17.0 ±4.5
3	ester 4.3 (7)	2.1 ±1.6	1.8 ±1.9	2.1 ±2.2	2.1 ±1.8	3.1 ±2.9	3.6 ±3.0	10.4 ±3.8	1.7 ±2.0
4	<i>gem</i> -ester 20 (7)	2.0 ±0.1	2.2 ±0.1	2.7 ±0.1	3.3 ±0.4	4.1 ±0.3	5.2 ±0.8	24.7 ±3.9	1.8 ±0.2
5	carbonate 8.1 (5)	23.5 ±1.9	28.9 ±1.7	32.4 ±4.0	36.7 ±4.8	48.3 ±5.7	57.0 ±6.9	68.9 ±3.0	18.8 ±2.3
6	carbonate 8.2 (6)	12.0 ±0.8	14.1 ±0.7	16.4 ±1.0	18.0 ±0.7	24.8 ±1.1	29.0 ±1.0	62.1 ±5.6	9.6 ±1.1
7	<i>gem</i> - carbonate 23 (7)	1.8 ±0.4	2.3 ±0.5	2.9 ±0.1	4.0 ±0.3	5.7 ±1.0	7.6 ±0.3	36.6 ±0.3	0.7 ±0.1
8	amide 9 (5)	no reaction							
9	carbamate 10 (5)	no reaction							

5

Example 9. Preparation and analysis of linker covalently attached to SiO₂ coated Fe₃O₄ nanoparticles.

Attachment of SiO₂ coating to Fe₃O₄ Nanoparticles.

10 SiO₂ coated iron oxide nanoparticles (i.e., Fe₃O₄@SiO₂) were prepared using the Stöber process (Stöber, W.; et al., *J. Colloid Interface Sci.* **1968**, *26*, 62-69) that was modified for the preparation silica-coated nanoparticles (Pinho, S.; et al., *ACS Nano* **2010**, *4*, 5339-5349).

Preparation of compound 24.

Compound **23** (150 mg, 0.30 mmol) was placed into a pressure tube with a stir-bar and the headspace was purged with nitrogen. Catalytic PtO₂ (Sabourault, N., et al.,
5 *Organic Letters* **2002**, 4, 2117-2119) was then added, followed by triethoxysilane (55 μL, 0.30 mmol). The headspace was purged with nitrogen and the pressure tube was sealed and heated to 85 °C for two days. The reaction was then cooled to room temperature and the solution was filtered through Celite and the filter cake was washed with dry CH₂Cl₂. The filtrate was concentrated *in vacuo* to give crude **24** as a brown oil which was loaded
10 onto the NPs without further purification.

Covalent Attachment of Linker to Fe₃O₄@SiO₂.

The Fe₃O₄@SiO₂ nanoparticles (100 mg) were suspended in a 19:1 solution of EtOH:H₂O (20 mL) with sonication and were then vigorously stirred by a mechanical
15 stirrer. A solution of the triethoxysilane-functionalized linker **24** (0.4 mmol) in EtOH (4 mL) was added dropwise to the suspended nanoparticles. The suspension was heated to 65 °C and stirred for 24 h. The nanoparticles were then collected by magnetic separation and decantation of the supernatant. The nanoparticles were then heated at 85 °C under vacuum for 2 h. The nanoparticles were then washed five-times with EtOH followed by magnetic
20 separation and decantation to remove any unbound linker and dried under vacuum to afford the functionalized nanoparticles. Loading was verified by FTIR and the loading density was determined by TGA.

Boc deprotection on functionalized nanoparticles.

25 The Boc protecting group was cleaved by adding CH₂Cl₂ (1 mL) to the protected nanoparticles (10 mg) and cooling the mixture to 0 °C. TFA (1 mL) was then added and the mixture was vortexed every five minutes for 0.5 h. The nanoparticles were then collected by magnetic separation and decantation of the acidic solvent, followed by two washes with CH₂Cl₂. The acidic ammonium functionalized nanoparticles were then
30 washed three times with a basic solution of 1:1 TEA:CH₂Cl₂ followed by three washes

with CH₂Cl₂. The nanoparticles were dried under vacuum to afford the free amine functionalized nanoparticles.

AMF Irradiation of NPs, and Fluorescent and MALDI-TOF Analyses.

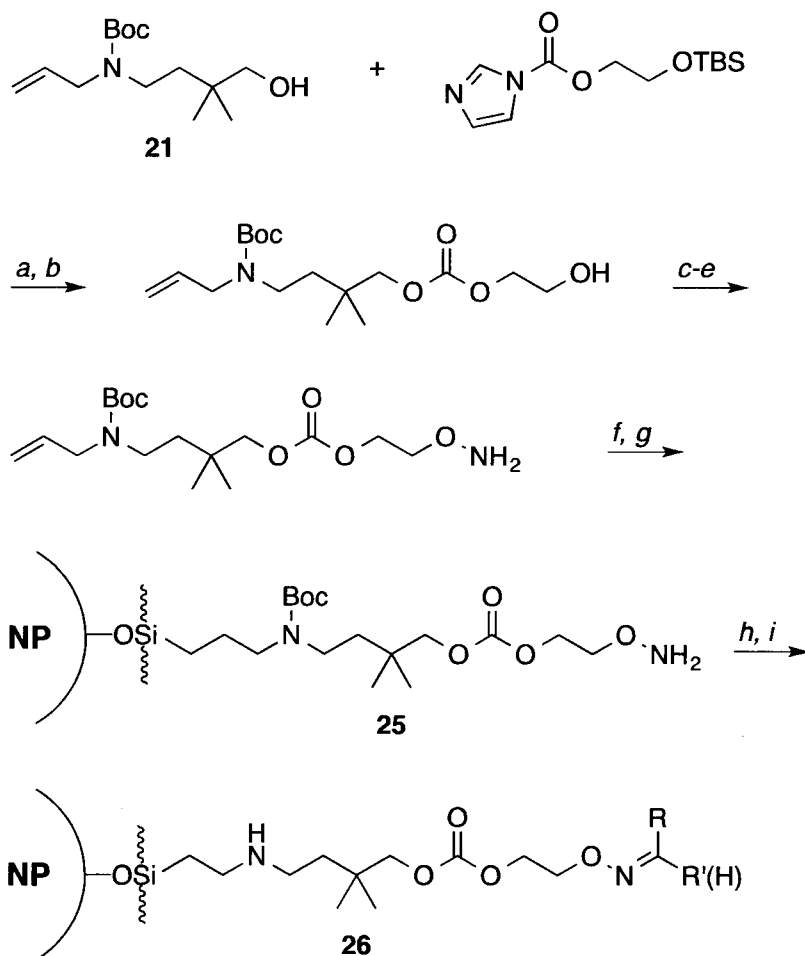
5 5-10 mg of nanoparticles were placed into a quartz cuvette and suspended in a 2:1 PBS:MeCN solution (75 μ L) and the AMF was applied using an Ambrell EasyHeat L1 at 595 Amps with a five-turn coil in 5 minute pulses for a total of 30 minutes of AMF exposure. After each 5 minute burst the nanoparticles were removed from the solution by magnetic separation and the fluorescence of the supernatant was measured using a
10 Molecular Devices SpectraMax M5 ($\lambda_{\text{ex}} = 360/\lambda_{\text{em}} = 413$) to determine the amount of the probe that was released. The supernatant was analyzed on a Voyager DE-Pro MALDI-TOF instrument (PE Biosystems) and the spectra were acquired in positive reflectron mode to verify that only the desired probe was being released from the nanoparticle. The MALDI sample was prepared by mixing the supernatant 1:1 with a 3:7 MeCN:0.1% TFA
15 in water solution of 2,5-dihydroxybenzoic acid. 1 μ L drop was placed on a ground steel MALDI plate and dried for analysis. The alcohol probe was the only peak observed.

Effect of heat without AMF radiation on NPs.

 Figures 14 and 15 demonstrate that the release from the nanoparticle without AMF
20 is a result of hydrolysis and not due to premature cyclization. The intramolecular cyclization only occurs when an AMF is applied. This is shown by the similar fluorescence results attained from linkers with and without the Boc protecting group that prevents intramolecular cyclization.

25

Example 10. Preparation of therapeutic magnetic nanoparticles with therapeutic agents comprising a ketone or aldehyde group.



5

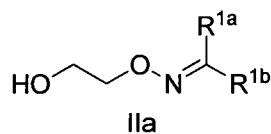
Conditions: *a.* DBU, MeCN, rt; *b.* TBAF, THF, 0 °C; *c.* MsCl, Et₃N, CH₂Cl₂, 0 °C; *d.* *N*-hydroxyphthalimide, K₂CO₃, DMSO, 80 °C; *e.* hydrazine monohydrate, EtOH, rt; *f.* (EtO)₃SiH, cat. PtO₂, 85 °C; *g.* NPs, EtOH, H₂O, 65 °C; *h.* RC(O)R' or RC(O)(H), EtOH, rt; *i.* 1:1 TFA:CH₂Cl₂, 0 °C. (RC(O)R' and RC(O)(H) include therapeutic agents containing an aldehyde or a ketone)

10

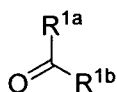
Therapeutic magnetic nanoparticles with therapeutic agents comprising a ketone or aldehyde group can be readily prepared according to the scheme directly above using chemical steps analogous to those described herein.

15

The ketone or aldehyde of the therapeutic agent has been converted to a prodrug of the therapeutic agent as shown in formula Iia which prodrug is attached to the linker. Accordingly, one embodiment provides a therapeutic agent which is a prodrug of the therapeutic agent and is represented by formula Iia:

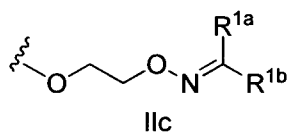


wherein R^{1a} and R^{1b} together with the remainder of formula IIa are the prodrug of the therapeutic agent. It is to be understood that the prodrug of formula IIa represents a therapeutic agent of formula IIb (wherein R^{1a} and R^{1b} and the carbonyl to which they are attached represent a therapeutic agent):



wherein the ketone or aldehyde of the therapeutic agent of formula IIb has been condensed with the aminoxy moiety of HO-(CH₂)₂-O-NH₂ to arrive at the prodrug of the therapeutic agent of formula IIa.

In one embodiment a residue of a therapeutic agent (-Z-D¹) is represented by formula IIc:



wherein R^{1a} and R^{1b} together with the remainder of formula IIc the residue of the therapeutic agent (-Z-D¹).

All publications, patents and patent applications cited herein are incorporated herein by reference. While in the foregoing specification this invention has been described in relation to certain embodiments thereof, and many details have been set forth for purposes of illustration, it will be apparent to those skilled in the art that the invention is susceptible to additional embodiments and that certain of the details described herein may be varied considerably without departing from the basic principles of the invention.

The use of the terms “a” and “an” and “the” and similar referents in the context of describing the invention are to be construed to cover both the singular and the plural, unless otherwise indicated herein or clearly contradicted by context. The terms “comprising,” “having,” “including,” and “containing” are to be construed as open-ended terms (*i.e.*, meaning “including, but not limited to”) unless otherwise noted. Recitation of ranges of values herein are merely intended to serve as a shorthand method of referring

individually to each separate value falling within the range, unless otherwise indicated herein, and each separate value is incorporated into the specification as if it were individually recited herein. All methods described herein can be performed in any suitable order unless otherwise indicated herein or otherwise clearly contradicted by context. The use of any and all examples, or exemplary language (*e.g.*, “such as”) provided herein, is intended merely to better illuminate the invention and does not pose a limitation on the scope of the invention unless otherwise claimed. No language in the specification should be construed as indicating any non-claimed element as essential to the practice of the invention.

10 Embodiments of this invention are described herein, including the best mode known to the inventors for carrying out the invention. Variations of those embodiments may become apparent to those of ordinary skill in the art upon reading the foregoing description. The inventors expect skilled artisans to employ such variations as appropriate, and the inventors intend for the invention to be practiced otherwise than as specifically
15 described herein. Accordingly, this invention includes all modifications and equivalents of the subject matter recited in the claims appended hereto as permitted by applicable law. Moreover, any combination of the above-described elements in all possible variations thereof is encompassed by the invention unless otherwise indicated herein or otherwise clearly contradicted by context.

20

Claims

What is claimed is:

1. A therapeutic magnetic nanoparticle, or a salt thereof, comprising a magnetic
5 nanoparticle covalently bonded to one or more -L-D groups wherein D is a residue of a
therapeutic agent and L is a linker capable of undergoing an intramolecular cyclization.
2. The therapeutic magnetic nanoparticle of claim 1, wherein the linker capable of
undergoing an intramolecular cyclization is suitable to release the therapeutic agent from
10 the linker upon intramolecular cyclization.
3. The therapeutic magnetic nanoparticle of claim 1 or claim 2, wherein the linker
capable of undergoing an intramolecular cyclization can form a 3-8 membered heterocyclic
ring upon cyclization.
15
4. The therapeutic magnetic nanoparticle of claim 3, wherein the 3-8 membered ring
comprises a group selected from an amide, carbamate, urea, carbamothioate, thioamide,
thiocarbamate, thiourea and carbamodithioate.
- 20 5. The therapeutic magnetic nanoparticle of any one of claims 1-4, wherein the
magnetic nanoparticle further comprises a coating.
6. The magnetic nanoparticle of claim 5, wherein the coating is gold.
- 25 7. The magnetic nanoparticle of claim 5, wherein the coating is silica.
8. The therapeutic magnetic nanoparticle of any one of claims 1-7, wherein the
magnetic nanoparticle comprises iron.
- 30 9. The therapeutic magnetic nanoparticle of any one of claims 1-4, wherein the
magnetic nanoparticle is an iron oxide nanoparticle coated with silica.

10. The therapeutic magnetic nanoparticle of any one of claims 1-9, wherein the cyclization can be induced by heating the magnetic nanoparticle.

11. The therapeutic magnetic nanoparticle of any one of claims 1-9, wherein the cyclization can be induced by application of an alternating electromagnetic field to the magnetic nanoparticle.

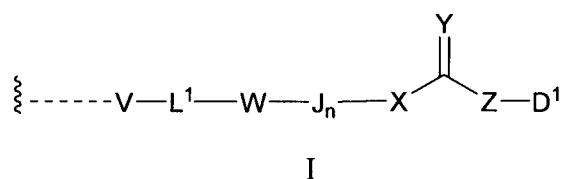
12. The therapeutic magnetic nanoparticle of any one of claims 1-11, wherein the linker comprises about 4-50 atoms.

10

13. The therapeutic magnetic nanoparticle of claim 12, wherein the atoms are independently selected from silicon, carbon, nitrogen, oxygen and sulfur.

14. The therapeutic magnetic nanoparticle, or a salt thereof, of any one of claims 1-11, wherein -L-D has the following formula I:

15



wherein

V is -OSi(G)₂-, and the dashed line represents a covalent bond between the oxygen atom of -OSi(G)₂- and the magnetic nanoparticle; or V is -S-, and the dashed line represents a covalent bond between -S- and the magnetic nanoparticle;

L¹ is (C₁-C₆)alkylene, (C₁-C₆)heteroalkylene, (C₂-C₆)alkenylene, (C₂-C₆)alkynylene, phenylene or (C₃-C₇)carbocyclene, wherein (C₁-C₆)alkylene, (C₁-C₆)heteroalkylene, (C₂-C₆)alkenylene, (C₂-C₆)alkynylene, phenylene or (C₃-C₇)carbocyclene are optionally substituted with one or more halogen;

25

each J is C(R^b)₂ wherein one C(R^b)₂ of J may be replaced by -O-, -S- or -N(R^e)-;

(a) W is NH, X is CR^cR^d, and n is an integer from 0-5; or

(b) W is NH, X is O, NR^e or S, and n is an integer from 1-5; or

(c) W is $\begin{array}{c} (\text{C}(\text{R}^f)_2)_m\text{NHR}^g \\ | \\ \xi \text{---C---} \xi \\ | \\ \text{H} \end{array}$, X is CR^cR^d, O, NR^e, S or absent, m is an integer from

30

0-5 and n is an integer from 0-5, wherein the sum of m and n is 0-5;

Y is O or S;

Z-D¹ is a residue of a therapeutic agent wherein Z is O, NR^h or S;

each G is independently -OR^{a1}, -OR^{a2} or (C₁-C₆)alkyl;

R^{a1} is a covalent bond between the oxygen atom of -OR^{a1} and the magnetic
5 nanoparticle;

each R^{a2} is independently H or (C₁-C₆)alkyl; or two -OR^{a2} groups of two adjacent
L-D groups together form -O-;

each R^b is independently selected from H and (C₁-C₃)alkyl; or two R^b groups
together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

10 each R^c is independently selected from H and (C₁-C₆)alkyl, and each R^d is
independently selected from H and (C₁-C₆)alkyl; or an R^c group and an R^d group together
with the carbon to which they are attached form a (C₃-C₇)carbocycle;

each R^e is independently selected from H and (C₁-C₆)alkyl;

15 each R^f is independently selected from H and (C₁-C₆)alkyl; or two R^f groups
together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

R^g is selected from H and (C₁-C₆)alkyl; and

R^h is selected from H and (C₁-C₆)alkyl.

15. The therapeutic magnetic nanoparticle of claim 14, wherein V is -OSi(G)₂-, the
20 magnetic nanoparticle is optionally coated with silica, and the dashed line represents a
covalent bond between the oxygen atom of -OSi(G)₂- and the magnetic nanoparticle
optionally coated with silica; or V is -S-, the magnetic nanoparticle is magnetic
nanoparticle coated with gold, and the dashed line represents a covalent bond between -S-
and the magnetic nanoparticle coated with gold.

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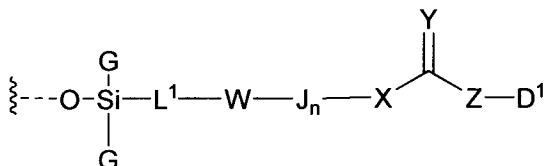
16. The therapeutic magnetic nanoparticle of claim 14, wherein V is -OSi(G)₂-, the
magnetic nanoparticle is coated with silica, and the dashed line represents a covalent bond
between the oxygen atom of -OSi(G)₂- and the magnetic nanoparticle coated with silica.

30 17. The therapeutic magnetic nanoparticle of any one of claims 14-16, wherein the
magnetic nanoparticle comprises iron.

18. The therapeutic magnetic nanoparticle of claim 14, wherein V is $-\text{OSi}(\text{G})_2-$, the magnetic nanoparticle is an iron oxide nanoparticle coated with silica, and the dashed line represents a covalent bond between the oxygen atom of $-\text{OSi}(\text{G})_2-$ and the iron oxide nanoparticle coated with silica.

5

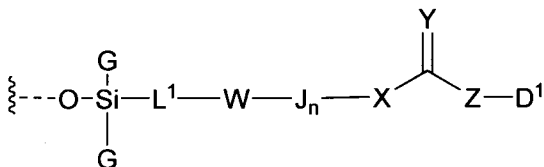
19. The therapeutic magnetic nanoparticle of claim 14, wherein $-\text{L-D}$ has the following formula Ia:



Ia

10 wherein the dashed bond represents a covalent bond to the magnetic nanoparticle.

20. The therapeutic magnetic nanoparticle of claim 14, wherein the magnetic nanoparticle is further coated with silica and wherein $-\text{L-D}$ has the following formula Ia:



Ia

15

wherein the dashed bond represents a covalent bond to the magnetic nanoparticle further coated with silica.

21. The therapeutic magnetic nanoparticle of claim 19 or claim 20, wherein the magnetic nanoparticle is an iron oxide nanoparticle.

20

22. The therapeutic magnetic nanoparticle of any one of claims 14-21, wherein each G is $-\text{OR}^{\text{a1}}$.

23. The therapeutic magnetic nanoparticle any one of claims 14-21, wherein each G is $-\text{OR}^{\text{a2}}$, wherein each $-\text{OR}^{\text{a2}}$ together with another $-\text{OR}^{\text{a2}}$ group on an adjacent L-D group forms an $-\text{O}-$.

25

24. The therapeutic magnetic nanoparticle any one of claims 14-21, wherein each G is -OR^{a1} or -OR^{a2}, wherein each -OR^{a2} together with another -OR^{a2} group on an adjacent L-D group form an -O-.

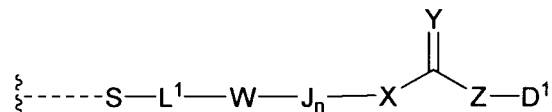
5 25. The therapeutic magnetic nanoparticle of claim 14, wherein V is -S-, the magnetic nanoparticle is coated in gold, and the dashed line represents a covalent bond between -S- and the magnetic nanoparticle coated in gold.

10 26. The therapeutic magnetic nanoparticle of claim 22, wherein the dashed line represents a covalent bond between -S- and a gold atom of the magnetic nanoparticle coated in gold.

27. The therapeutic magnetic nanoparticle of claim 25 or claim 26, wherein the magnetic nanoparticle is an iron oxide nanoparticle.

15

28. The therapeutic magnetic nanoparticle of claim 14 or claims 25-27, wherein -L-D has the following formula Ib:



Ib

20 wherein the dashed bonds represent a covalent bond to the magnetic nanoparticle.

29. The therapeutic magnetic nanoparticle of any one of claims 14-28, wherein L¹ is (C₁-C₆)alkylene optionally substituted with one or more halogen.

25 30. The therapeutic magnetic nanoparticle of any one of claims 14-28, wherein L¹ is (C₁-C₆)alkylene.

31. The therapeutic magnetic nanoparticle of any one of claims 14-28, wherein L¹ is (C₂-C₄)alkylene optionally substituted with one or more halogen.

30

32. The therapeutic magnetic nanoparticle of any one of claims 14-28, wherein L^1 is (C_2-C_4) alkylene.
33. The therapeutic magnetic nanoparticle of any one of claims 14-28, wherein L^1 is
5 $-(CH_2)_2-$, $-(CH_2)_3-$, or $-(CH_2)_4-$.
34. The therapeutic magnetic nanoparticle of any one of claims 14-28, wherein L^1 is $-(CH_2)_3-$.
- 10 35. The therapeutic magnetic nanoparticle of any one of claims 14-34, wherein:
(a) W is NH, X is CR^cR^d , and n is an integer from 0-5; or
(b) W is NH, X is O, NR^e or S, and n is an integer from 1-5.
36. The therapeutic magnetic nanoparticle of any one of claims 14-34, wherein:
15 (a) W is NH, X is CR^cR^d , and n is an integer from 0-5; or
(b) W is NH, X is O, and n is an integer from 1-5.
37. The therapeutic magnetic nanoparticle of any one of claims 14-34, wherein W is NH, X is CR^cR^d and n is an integer from 0-5.
20
38. The therapeutic magnetic nanoparticle of any one of claims 14-37, wherein R^c and R^d are each independently selected from H and methyl.
39. The therapeutic magnetic nanoparticle of any one of claims 14-37, wherein R^c and
25 R^d are each H.
40. The therapeutic magnetic nanoparticle of any one of claims 14-37, wherein R^c and R^d are each methyl.
- 30 41. The therapeutic magnetic nanoparticle of any one of claims 14-34, wherein W is -NH-, X is O, and n is an integer from 1-5.

42. The therapeutic magnetic nanoparticle of any one of claims 14-41, wherein n is 2, 3 or 4.

43. The therapeutic magnetic nanoparticle of any one of claims 14-41, wherein each J is C(R^b)₂.

44. The therapeutic magnetic nanoparticle of any one of claims 14-43, wherein each R^b is independently H or methyl.

45. The therapeutic magnetic nanoparticle of any one of claims 14-43, wherein each R^b is H.

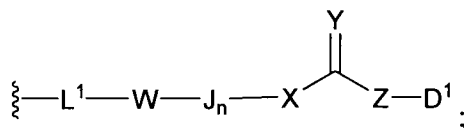
46. The therapeutic magnetic nanoparticle of any one of claims 14-41, wherein J_n is -(CH₂)₂-, -(CH₂)₃-, -(CH₂)₄- or -CH₂CH₂C(Me)₂CH₂-.

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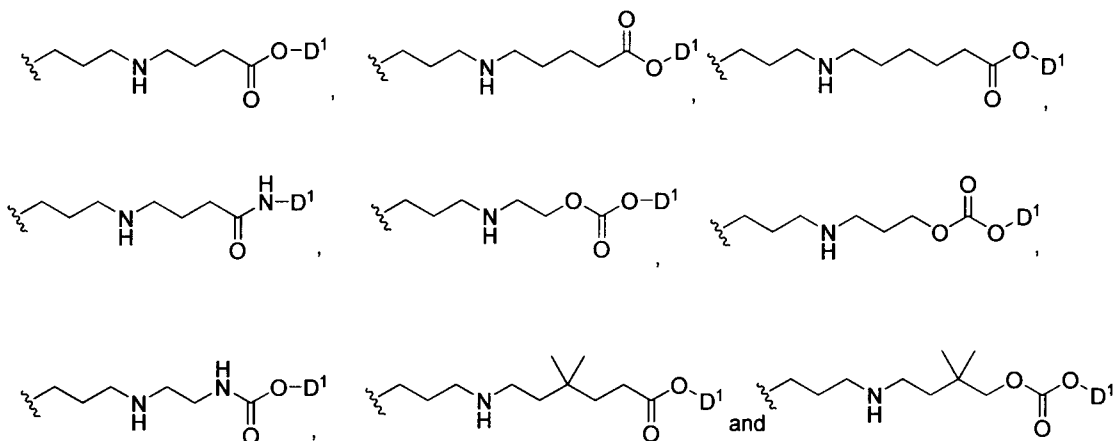
47. The therapeutic magnetic nanoparticle of any one of claims 14-46, wherein Y is O.

48. The therapeutic magnetic nanoparticle of claim 14, wherein the portion of formula I as shown in the formula below:

20



is selected from;



49. The therapeutic magnetic nanoparticle of any one of claims 1-48, wherein the residue of a therapeutic agent is a residue of a chemotherapeutic agent, an antibiotic agent, an antifungal agent, an antiparasitic agent or an antiviral agent or a prodrug thereof.

5 50. The therapeutic magnetic nanoparticle of any one of claims 1-48, wherein the residue of a therapeutic agent is a residue of a chemotherapeutic agent, an antibiotic agent, an antifungal agent, an antiparasitic agent or an antiviral agent.

51. The therapeutic magnetic nanoparticle of any one of claims 1-48, wherein the
 10 residue of a therapeutic agent is a residue of Cladribine, Azacitidine, Abraxane, Adcetris, Doxorubicin, Afinitor, Vinblastine, Amifostine, Amifostine, Arabinosylcytosine, Cytarabine, Pamidronic Acid, Nelarabine, Bicalutamide, Blemycin, Bortezomib, Cabazitaxel, Irinotecan, Camptothecin, Capecitabine, Temsirolimus, Daunorubicin, Cortisone, Decitabine, Dasatinib, Dexamethasone, Prednisolone, Dexamethasone Acetate,
 15 Mitoxantrone, Docetaxel, Hydroxycarbamide, Methylprednisolone, Epirubicin, Curcumin, Estramustine, Eribulin, Etoposide, Everolimus, Raloxifene, Fulvestrant, Floxuridine, Fludarabine, Fluoxymesterone, Gemcitabine, Goserelin, Topotecan, Hydrocortisone, Hydrocortone Phosphate, Idarubicin, Ixabepilone, Vincristine, Leuprolide (Leuprorelin), Megestrol, Vinorelbine, Nelarabine, Pentostatin, Octreotide, Paclitaxel, Streptozotocin,
 20 Teniposide, Valrubicin, Vorinostat, Zoledronic Acid Cladribine, Azacitidine, Mecaptopurine, Tioguanine, Actinomycin D, Doxorubicin, Anagrelide, Pemetrexed, Vinblastine, Melphalan, Methotrexate, Amifostine, Aminoglutethimide, Arabinosylcytosine, Cytarabine, Pamidronic Acid, Nelarabine, Axitinib, Bleomycin, Bosutinib, Folinic Acid (Na or Ca), Leucovorin, Vandetanib, Lenalidomide, Daunorubicin,
 25 Crizotinib, Dacarbazine, Decitabine, Dasatinib, Mitoxantrone, Eribulin, Erlotinib, Fludarabine, Pralatrexate, Gefitinib, Gemcitabine, Imatinib, Goserelin, Idarubicin, Lapatinib, Vincristine, Leuprolide, Procarbazine, Methotrexate, Mitomycin, Vinorebine, Nelarabine, Nilotinib, Pentostatin, Octreotide, Pazopanib, Sunitinib, Abraxane, Actinomycin D, Doxorubicin, Afinitor, Exemestane, Carfilzomib, Daunorubicin,
 30 Cortisone, Prednisolone, Prednisone, Dexamethasone Acetate, Docetaxel, Methylprednisolone, Epirubicin, Curcumin, Everolimus, Fluoxymesterone, Hydrocortisone, Hydrocortone Phosphate, Idarubicin, Ixabepilone, Vincristine, Megestrol, Valrubicin, Mesna, 13-cis-Retinoic Acid, Isotretinoin, Alitretinoin, Melphalan, Tretinoin,

Methotrexate, Anastrozole, Bendamustine, Bexarotene, Carmustine, Lomustine, Chlorambucil and Ibritumomab Tiuxetan.

52. The therapeutic magnetic nanoparticle of any one of claims 1-51, wherein the
5 therapeutic nanoparticle further comprises a targeting element.

53. A pharmaceutical composition comprising a therapeutic magnetic nanoparticle as described in any one of claims 1-51, or a pharmaceutically acceptable salt thereof and a pharmaceutically acceptable carrier.

10

54. A method for administering a therapeutic agent to an animal comprising administering the therapeutic magnetic nanoparticle as described in any one or claims 1-51, or a pharmaceutically acceptable salt thereof, to the animal.

15 55. A method for treating cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection in an animal in need thereof that has been administered an effective amount of a therapeutic magnetic nanoparticle as described in any one of claims 1-51, or a pharmaceutically acceptable salt thereof, comprising providing conditions to release the therapeutic agent from the therapeutic magnetic nanoparticle.

20

56. The method of claim 54 or claim 55, further comprising magnetically targeting the therapeutic magnetic nanoparticle to a specific location in the animal.

57. The method of any one of claims 54-56, further comprising delivering a source of
25 heat to the therapeutic magnetic nanoparticle to induce intramolecular cyclization of the linker thereby releasing the therapeutic agent from the therapeutic magnetic nanoparticle.

58. The method of any one of claims 54-56, further comprising applying an alternating
30 electromagnetic field to the therapeutic magnetic nanoparticle to induce intramolecular cyclization of the linker thereby releasing the therapeutic agent from the therapeutic nanoparticle.

59. The method of any one of claims 54-58, further comprising treating the animal with one or more additional therapeutic agents.

60. The method of claim 59, wherein the therapeutic agents are selected from
5 chemotherapeutic agents, antibiotic agents, antifungal agents, antiparasitic agents and antiviral agents.

61. The method of claim 59 wherein one of the additional therapeutic agents is iron oxide nanoparticles.

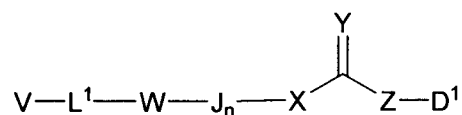
10

62. A therapeutic magnetic nanoparticle, or a pharmaceutically acceptable salt thereof as described in any one of claims 1-51 for use in medical therapy.

63. The use of a therapeutic magnetic nanoparticle, or a pharmaceutically acceptable
15 salt thereof as described in any one of claims 1-51 to prepare a medicament for treating cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection in an animal.

64. A therapeutic magnetic nanoparticle, or a pharmaceutically acceptable salt thereof
20 as described in any one of claims 1-51 for the therapeutic or prophylactic treatment of cancer, a bacterial infection, a fungal infection, a parasitic infection or an antiviral infection.

65. A method for preparing a therapeutic magnetic nanoparticle, or a salt thereof
25 comprising contacting a compound of formula II:



II

with a magnetic nanoparticle to prepare the therapeutic nanoparticle;
wherein:

30 V is $-\text{Si}(\text{OR}^a)_3$ or $-\text{SH}$;

L^1 is $(\text{C}_1\text{-C}_6)$ alkylene, $(\text{C}_1\text{-C}_6)$ heteroalkylene, $(\text{C}_2\text{-C}_6)$ alkenylene, $(\text{C}_2\text{-C}_6)$ alkynylene, phenylene or $(\text{C}_3\text{-C}_7)$ carbocyclene, wherein $(\text{C}_1\text{-C}_6)$ alkylene, $(\text{C}_1\text{-C}_6)$

(C₆)heteroalkylene, (C₂-C₆)alkenylene, (C₂-C₆)alkynylene, phenylene or (C₃-C₇)carbocyclene is optionally substituted with one or more halogen;

each J is C(R^b)₂ wherein one C(R^b)₂ of J may be replaced by -O- -S- or -N(R^e)-;

(a) W is NH, X is CR^cR^d, and n is an integer from 0-5; or

5 (b) W is NH, X is O, NR^e or S, and n is an integer from 1-5; or

(c) W is $\begin{array}{c} (C(R^f)_2)_m NHR^g \\ | \\ \text{---C---} \\ | \\ H \end{array}$, X is CR^cR^d, O, NR^e, S or absent, m is an integer from 0-5 and n is an integer from 0-5, wherein the sum of m and n is 0-5;

Y is O or S;

Z-D¹ is a residue of a therapeutic agent wherein Z is O, NR^h or S;

10 R^a is (C₁-C₆)alkyl;

each R^b is independently selected from H and (C₁-C₃)alkyl; or two R^b groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

each R^c is independently selected from H and (C₁-C₆)alkyl, and each R^d is independently selected from H and (C₁-C₆)alkyl; or an R^c group and an R^d group together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

15

each R^e is independently selected from H and (C₁-C₆)alkyl;

each R^f is independently selected from H and (C₁-C₆)alkyl; or two R^f groups together with the carbon to which they are attached form a (C₃-C₇)carbocycle;

R^g is selected from H and (C₁-C₆)alkyl; and

20 R^h is selected from H and (C₁-C₆)alkyl.

66. The method of claim 65, wherein V is -Si(OR^a)₃ and the magnetic nanoparticle is coated with silica; or V is -SH and the magnetic nanoparticle is coated with gold.

25 67. The method of claim 65 or claim 66, wherein the magnetic nanoparticle is an iron oxide nanoparticle.

68. The method of any one of claims 65-67, wherein of the values for L¹, W, J_n, X, Y, Z and D¹ of formula II can have any of the values described in any of claims 29-51.

30

69. A therapeutic magnetic nanoparticle prepared according to any of the methods as described in claims 65-69.

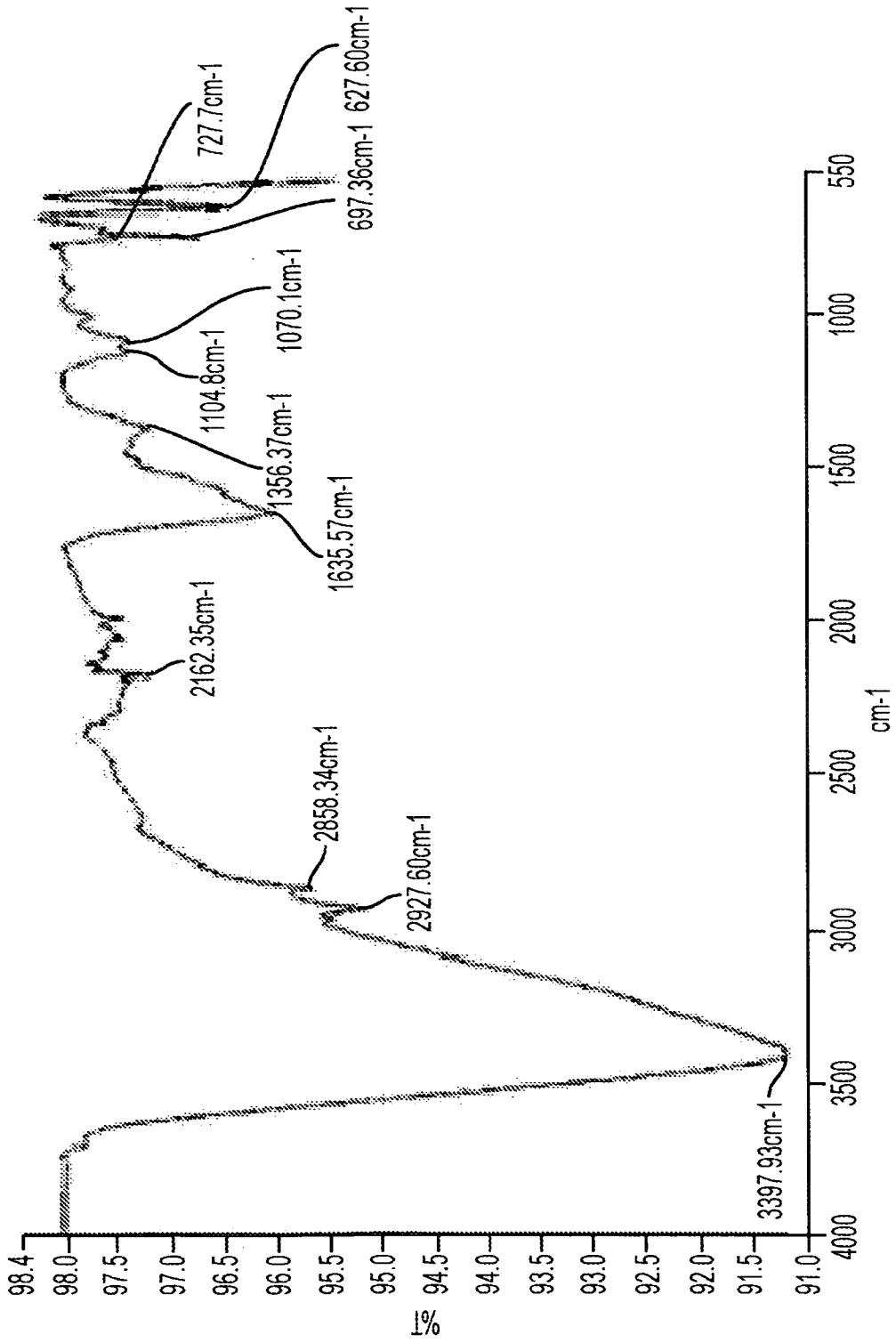


FIG. 1

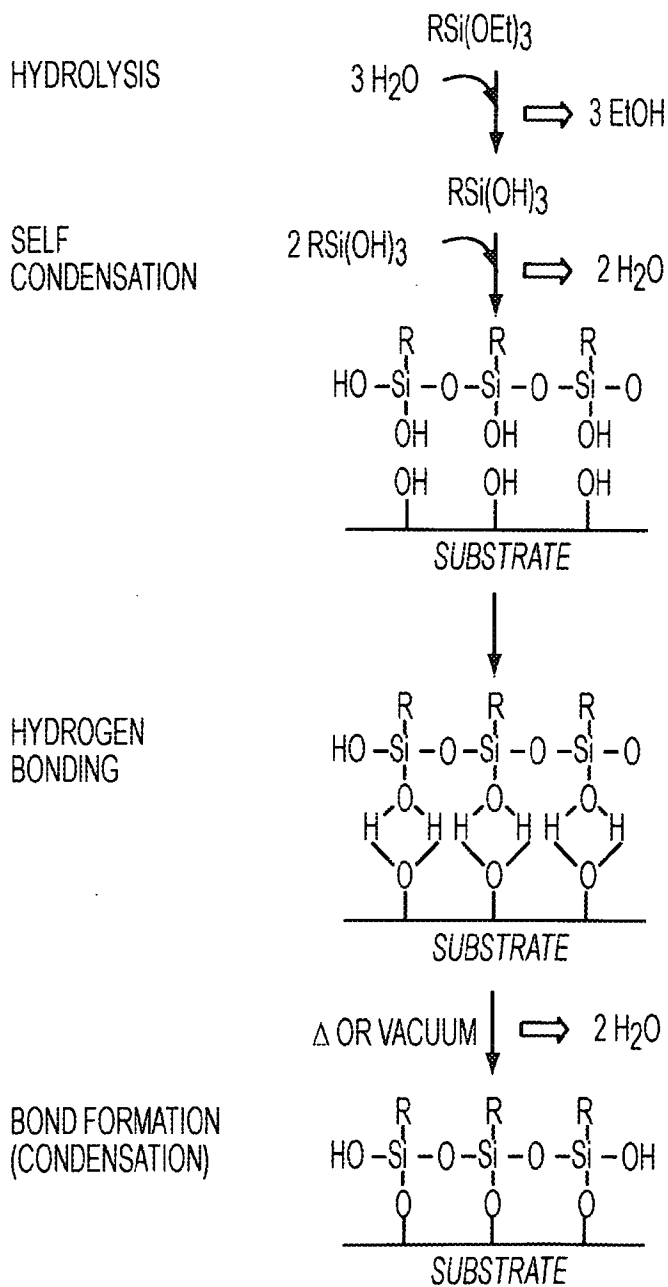


FIG. 2

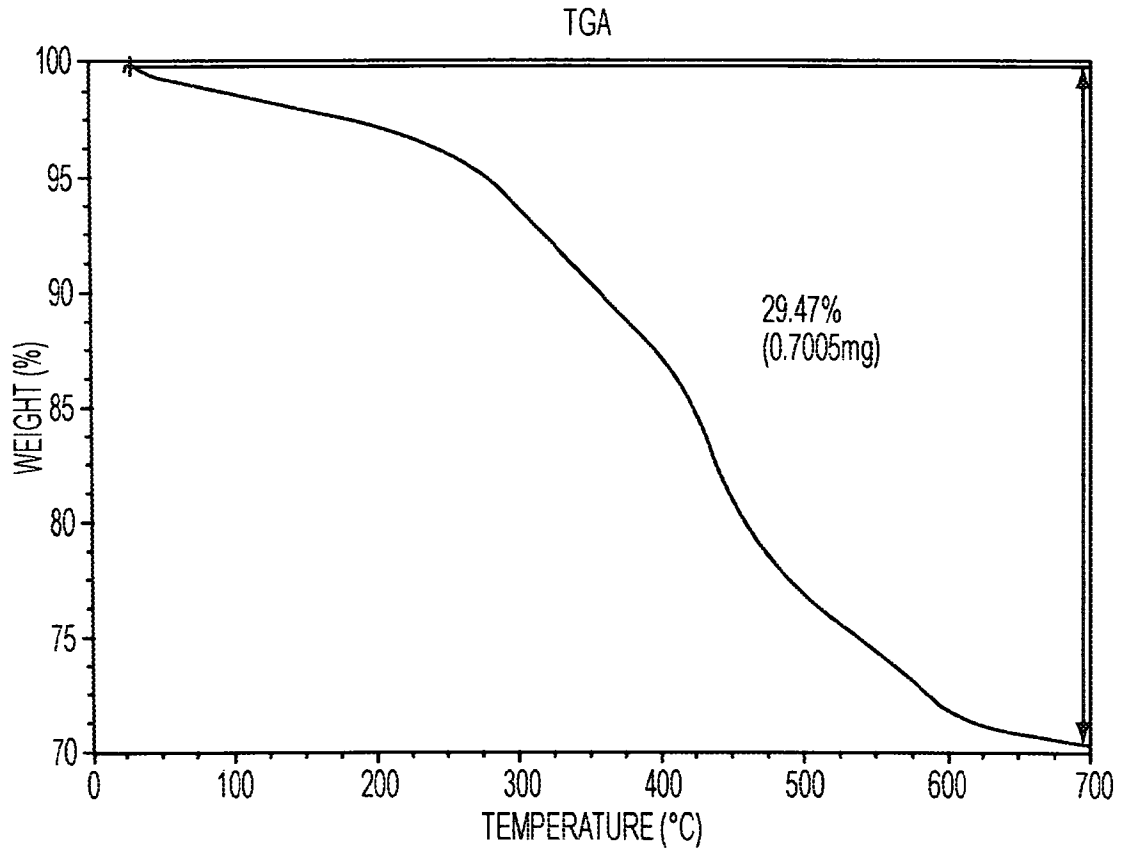


FIG. 3

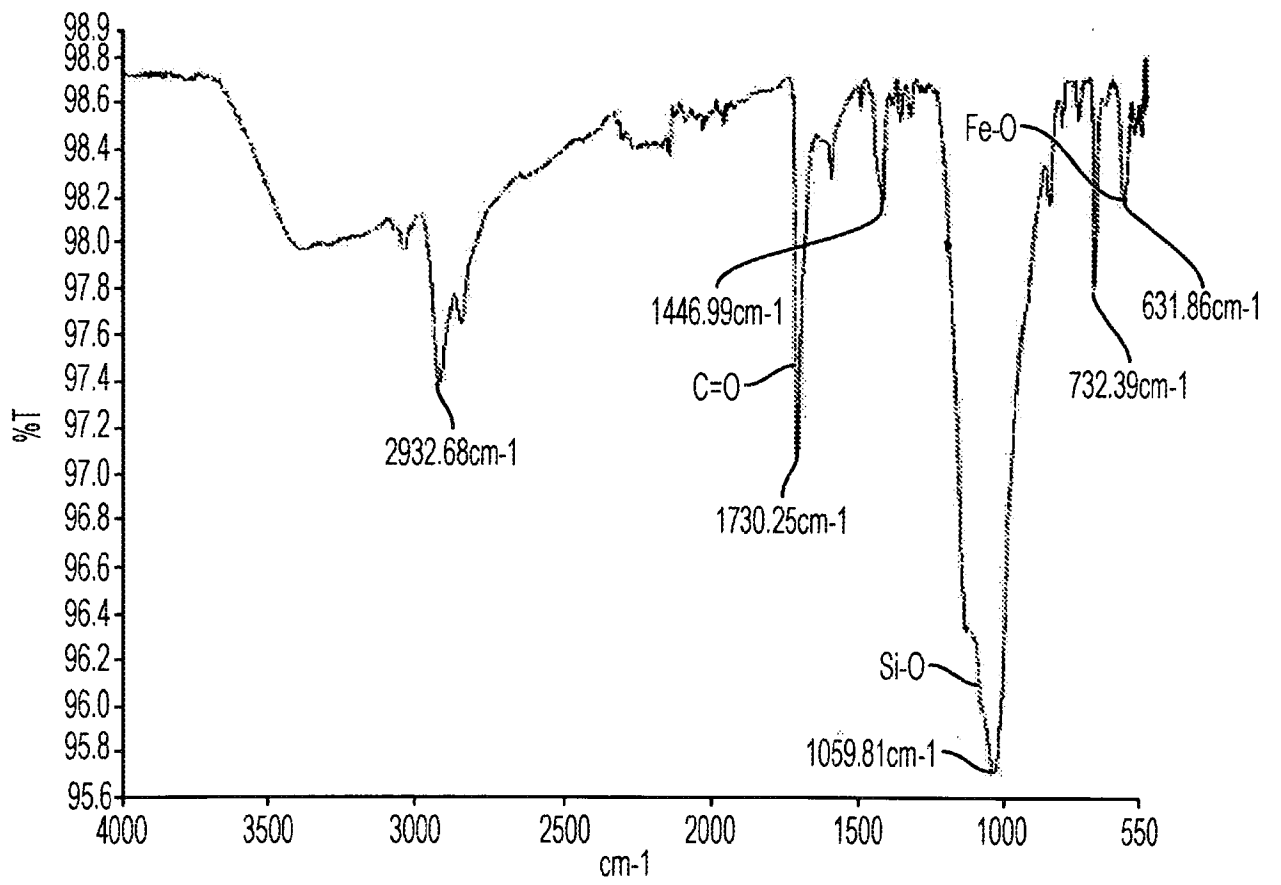
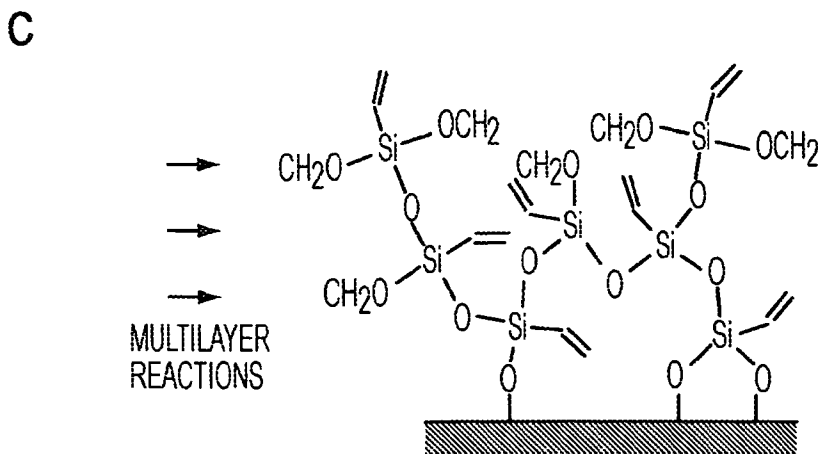
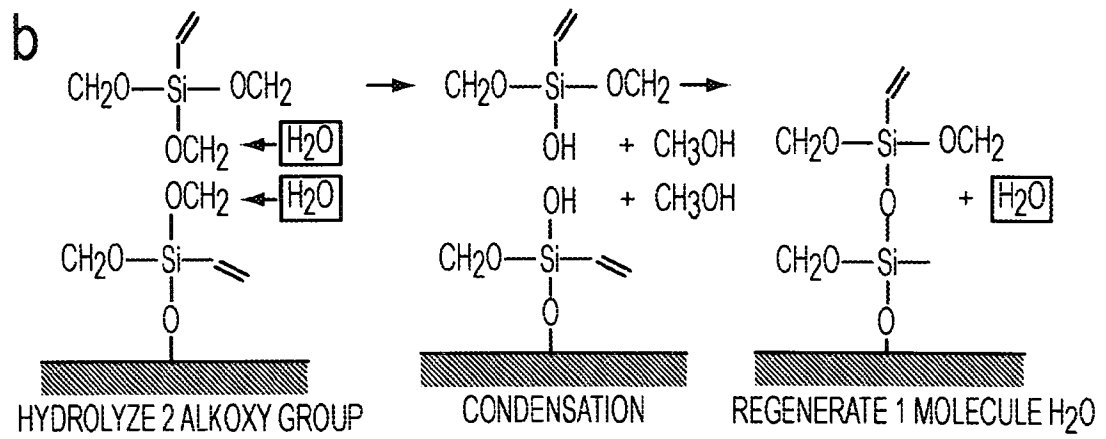
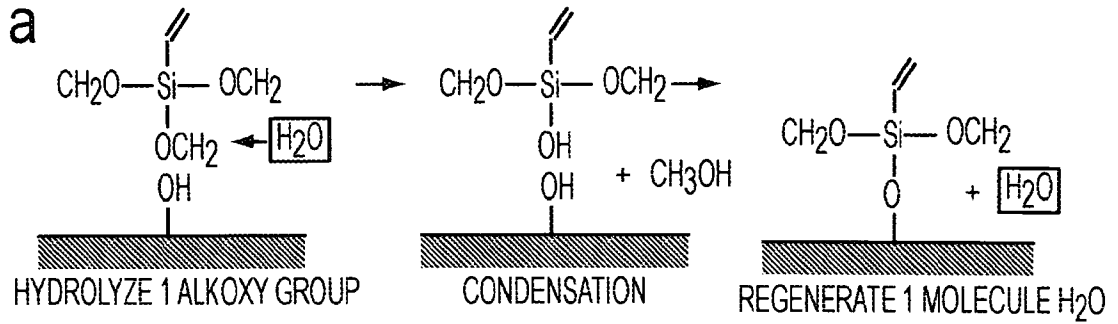


FIG. 4



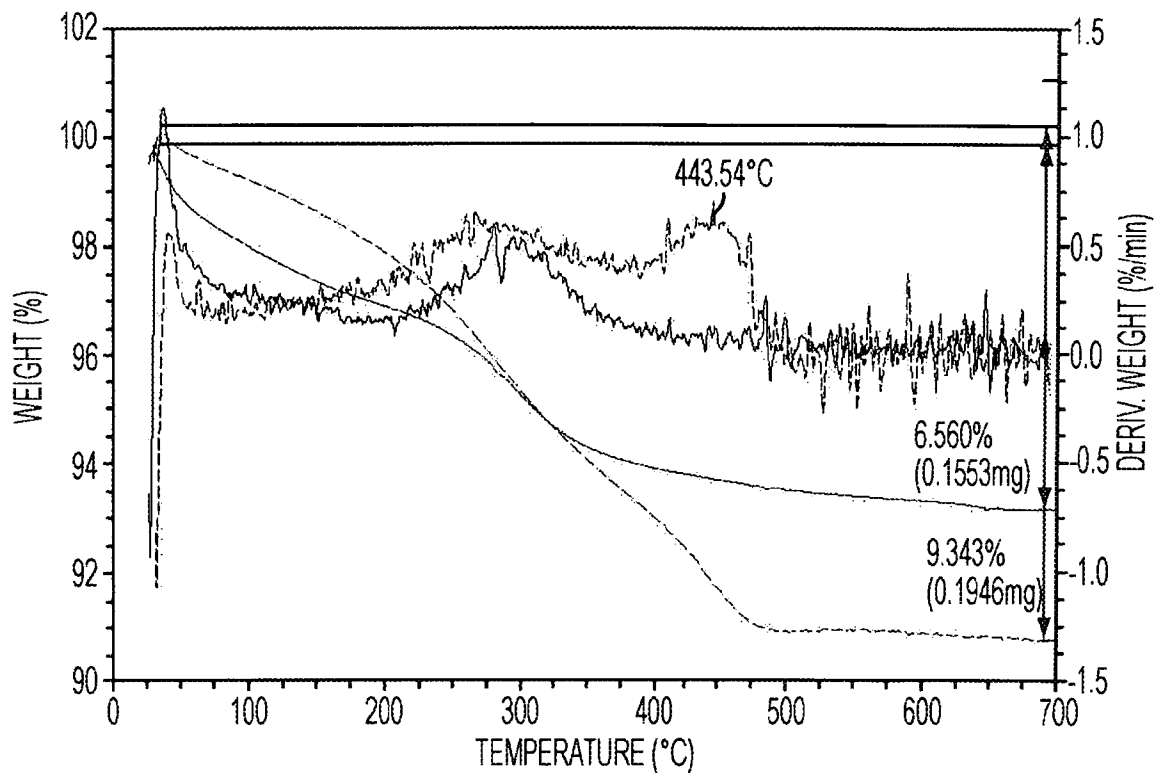


FIG. 6

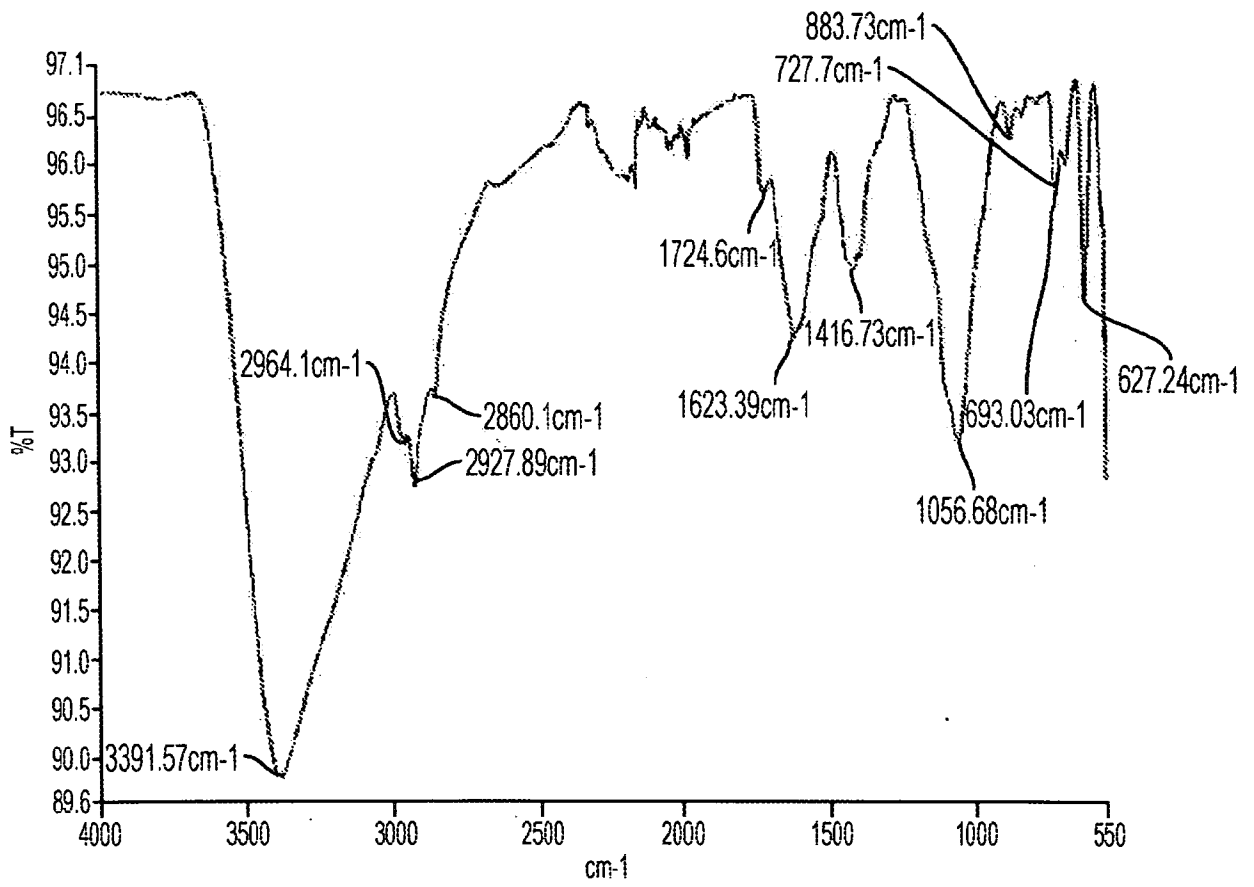


FIG. 7

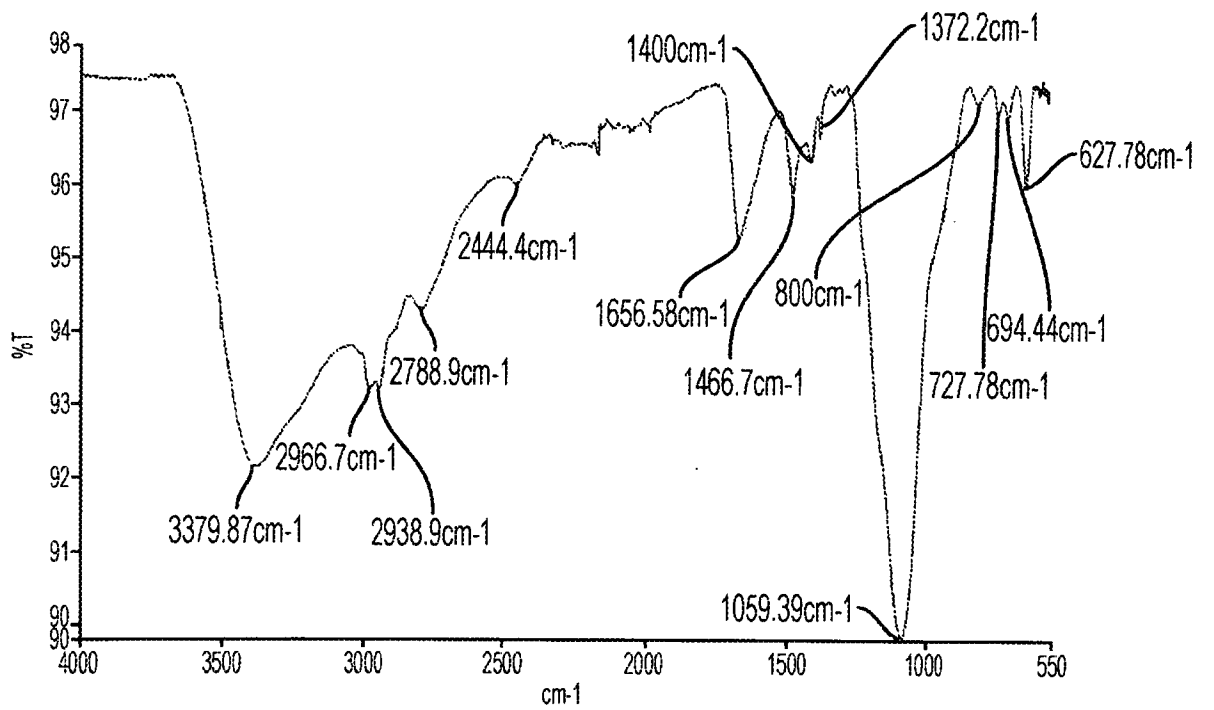


FIG. 8

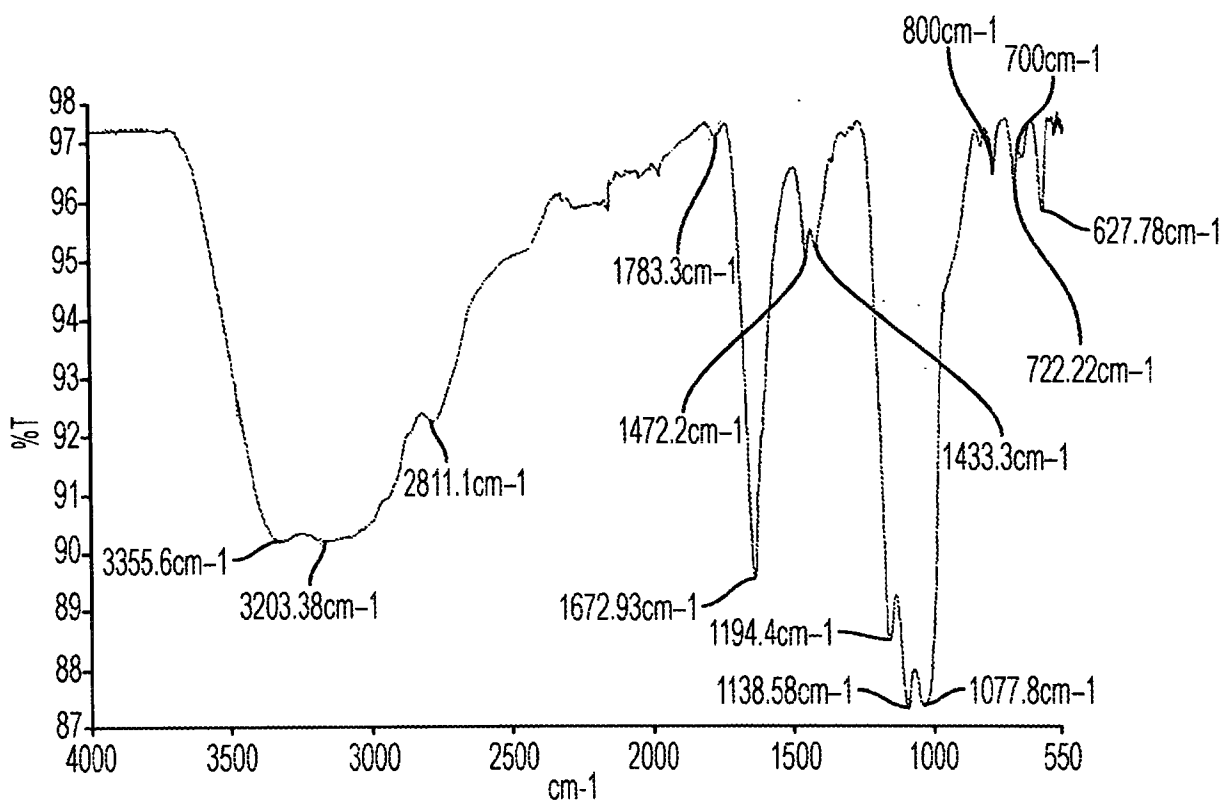


FIG. 9

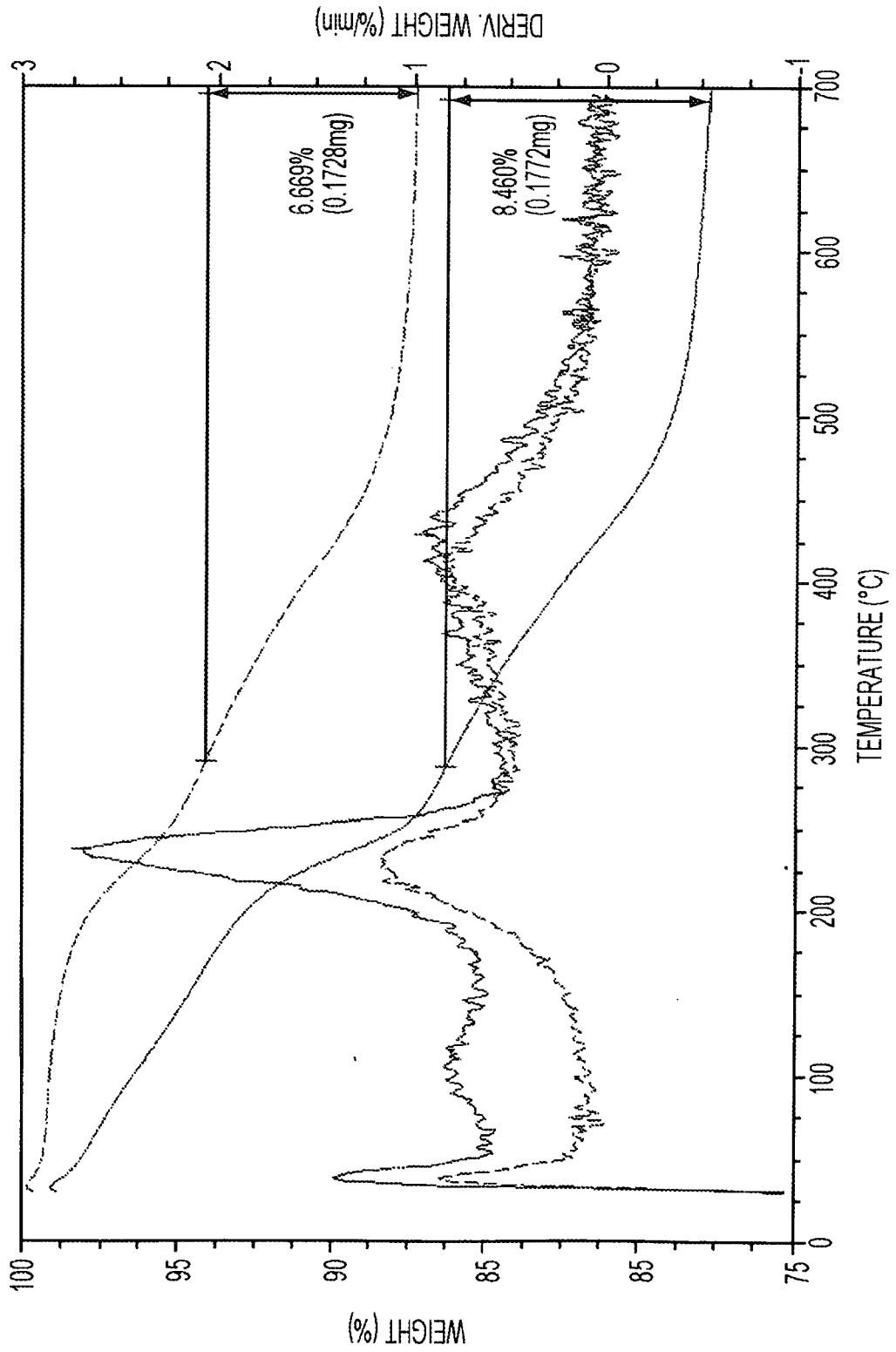


FIG. 10

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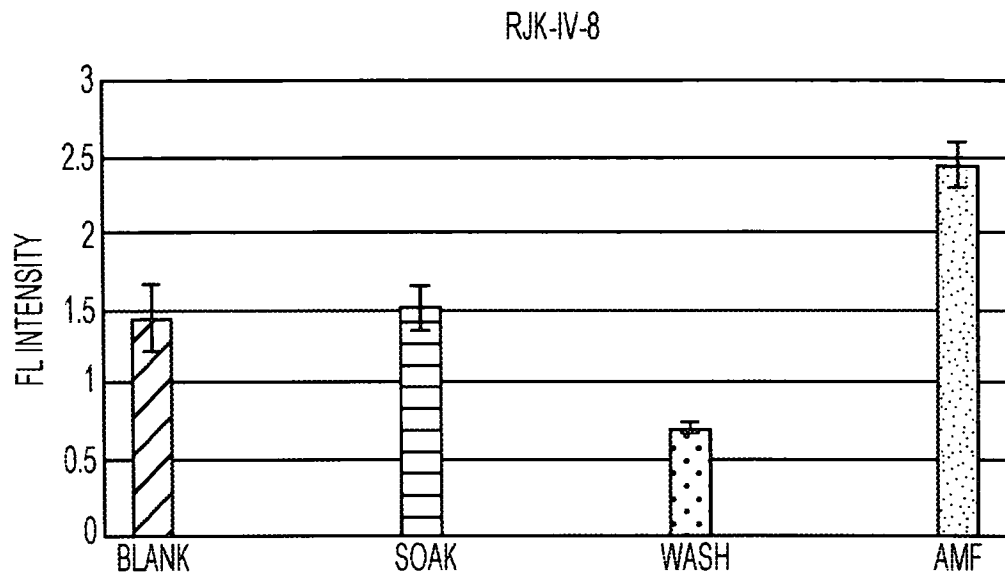


FIG. 11

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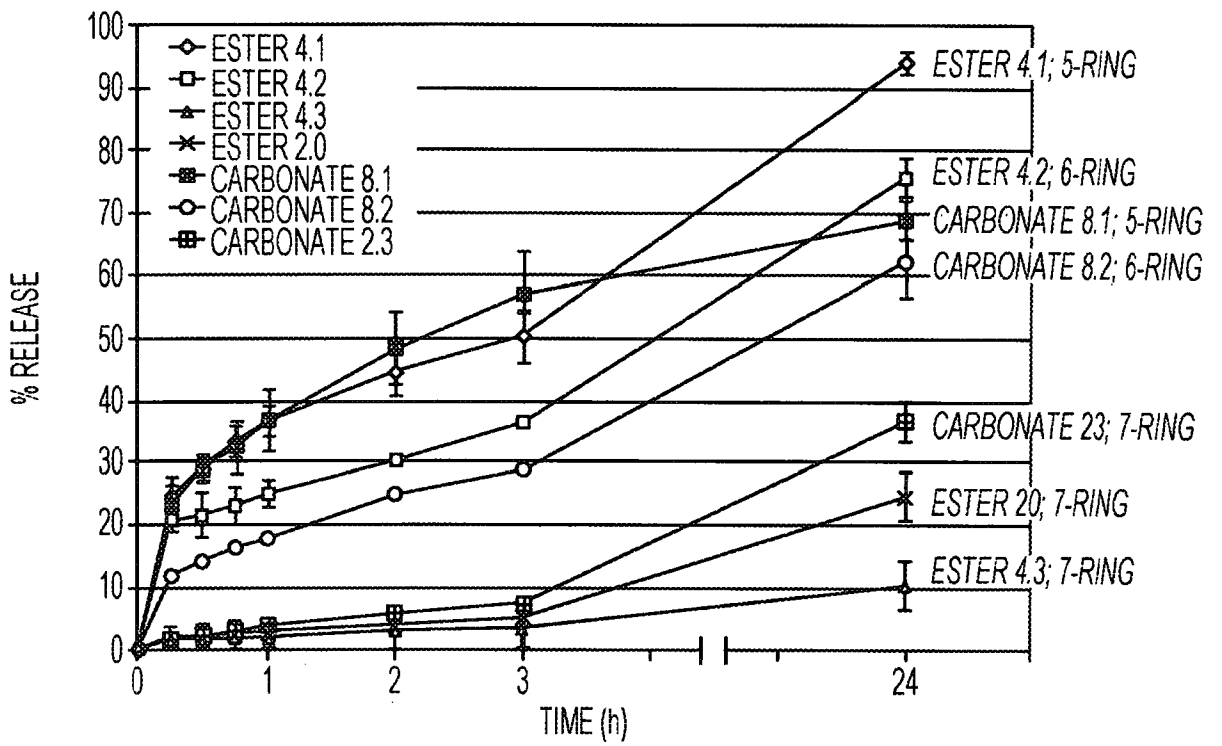


FIG. 12

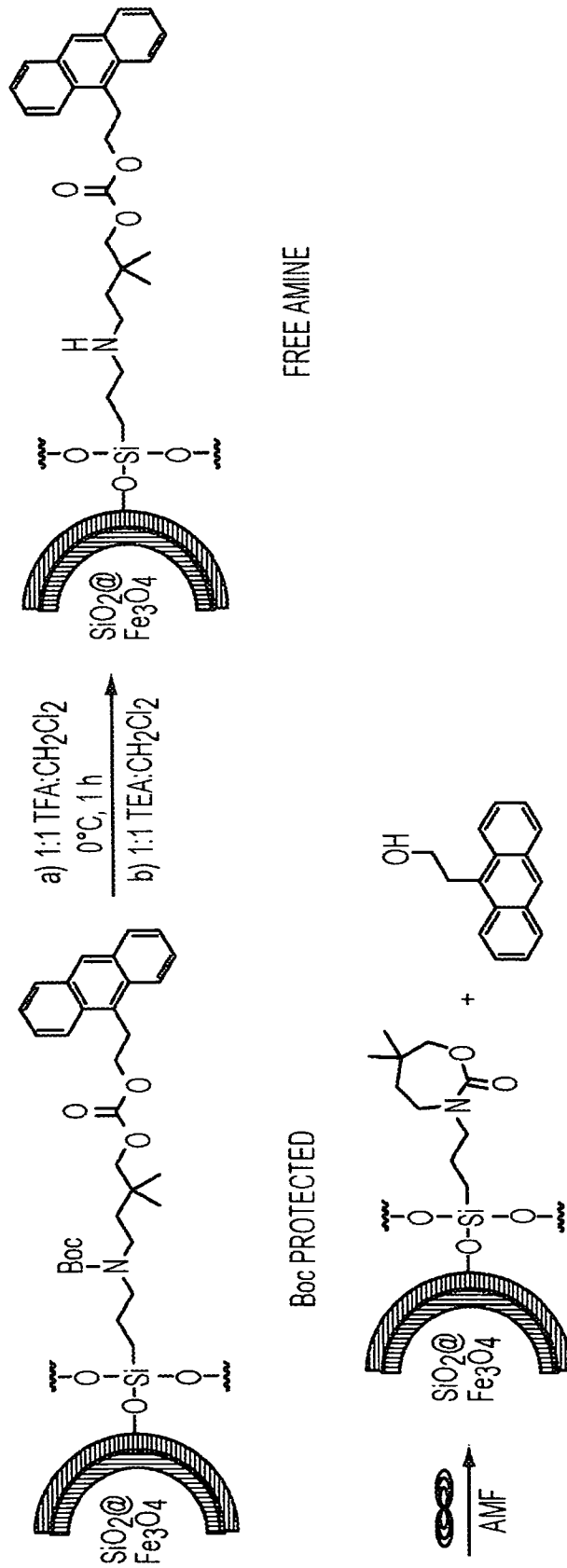


FIG. 13

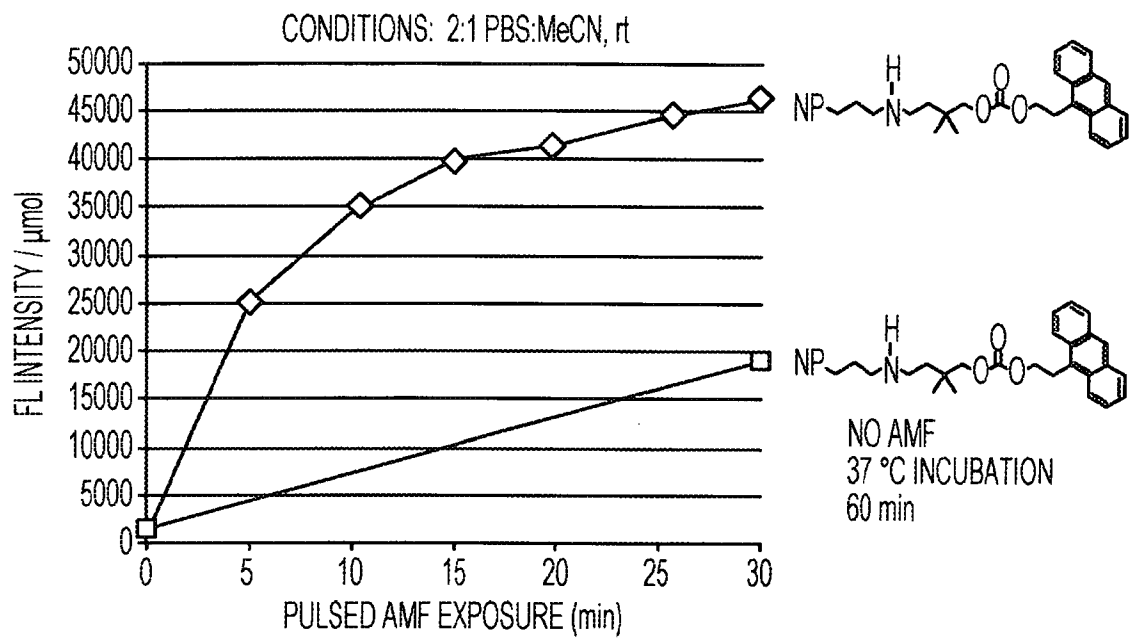


FIG. 14

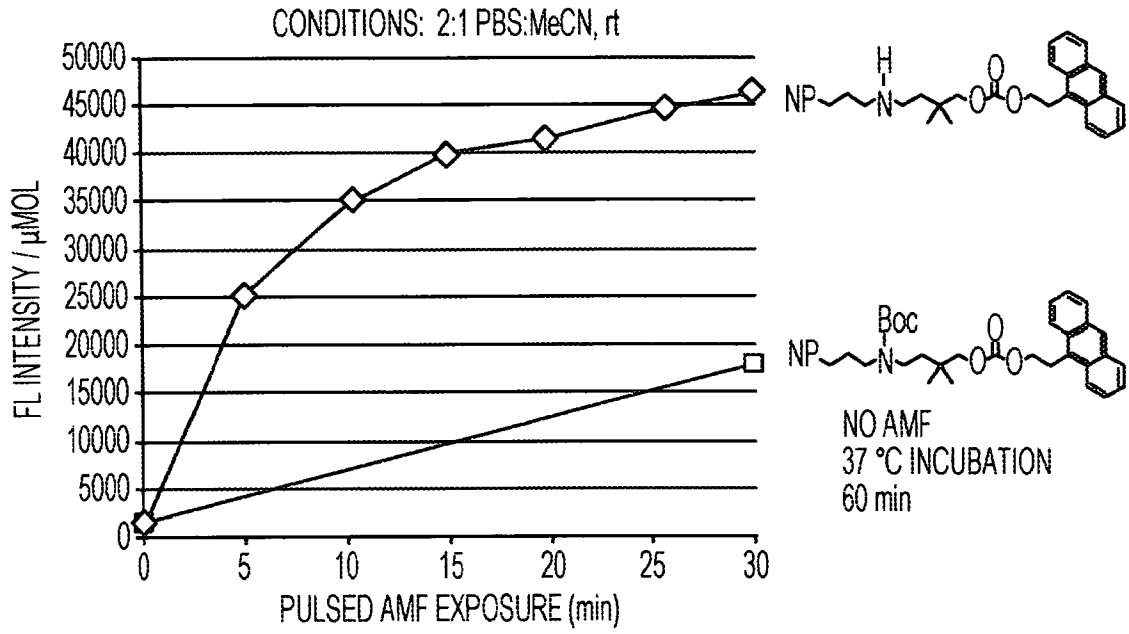


FIG. 15

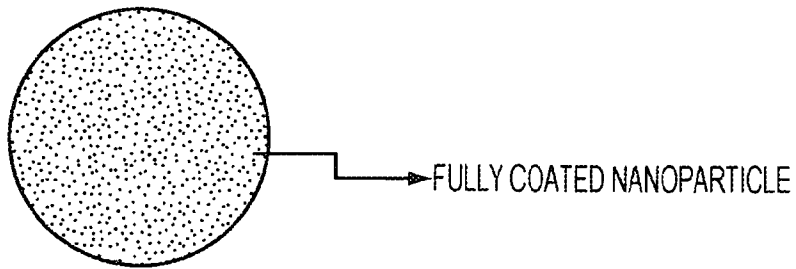


FIG. 16A

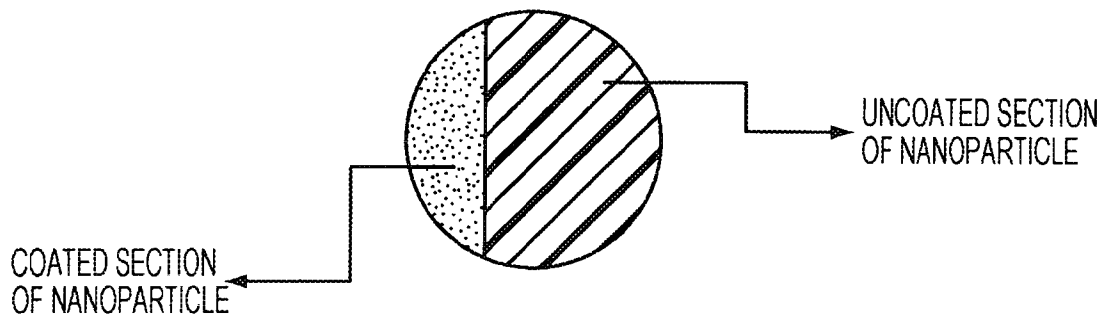


FIG. 16B

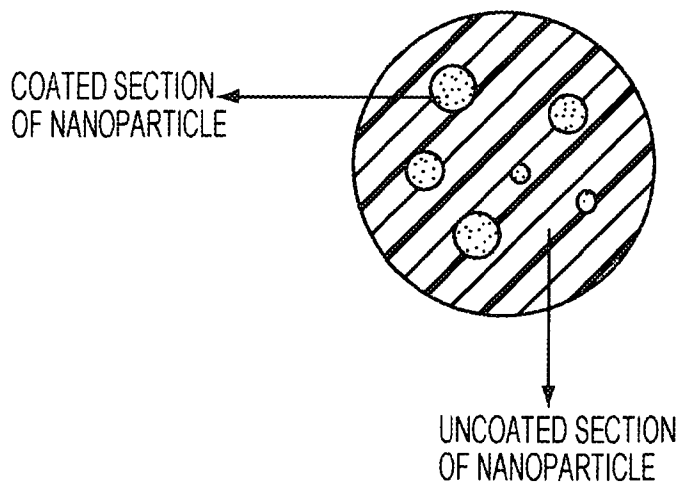


FIG. 16C

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2014/015413

A. CLASSIFICATION OF SUBJECT MATTER
 IPC(8) - A61K 47/48 (2014.01)
 USPC - 600/9
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
 Minimum documentation searched (classification system followed by classification symbols)
 IPC(8) - A61K 9/14, 41/00, 47/48 (2014.01)
 USPC - 600/9, 12

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
 CPC - A61K 9/0009, B82Y 5/00 (2014.02)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 Orbit, Google Patents, Google Scholar, Google, PubChem

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2012/0309691 A1 (ZHOU et al) 06 December 2012 (06.12.2012) entire document	1-4
A	WO 2012/082382 A1 (WEAVER) 21 June 2012 (21.06.2012) entire document	1-4, 65-67
A	US 2012/0003155 A1 (KANNAN et al) 05 January 2012 (05.01.2012) entire document	1-4, 65-67
A	US 2012/0135530 A1 (BAMDAD et al) 31 May 2012 (31.05.2012) entire document	1-4, 65-67

Further documents are listed in the continuation of Box C.

* Special categories of cited documents:

“A” document defining the general state of the art which is not considered to be of particular relevance	“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
“E” earlier application or patent but published on or after the international filing date	“X” document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
“L” document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	“Y” document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
“O” document referring to an oral disclosure, use, exhibition or other means	“&” document member of the same patent family
“P” document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 28 April 2014	Date of mailing of the international search report 12 MAY 2014
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Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	Authorized officer: Blaine R. Copenheaver PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774
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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2014/015413

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

- 1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

- 2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

- 3. Claims Nos.: 5-64, 68, 69
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

- 1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
- 2. As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
- 3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
- 4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.